Complementary task representations in hippocampus and prefrontal cortex for generalising the structure of problems

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Abstract

Few situations in life are completely novel. We effortlessly generalise prior 1 knowledge to solve novel problems, abstracting common structure and map-2 ping it onto new sensorimotor specifics. Here we trained mice on a series 3 of reversal learning tasks that shared the same structure but had different 4 physical implementations. Performance improved across tasks, demonstrat-5 ing transfer of knowledge. Neurons in medial prefrontal cortex (mPFC) 6 maintained similar representations across multiple tasks, despite their dif-7 ferent sensorimotor correlates, whereas hippocampal (dCA1) representa-8 tions were more strongly influenced by the specifics of each task. Critically, 9 this was true both for representations of the events that comprised each 10 trial, and those that integrated choices and outcomes over multiple trials to 11 guide subjects' decisions. These data suggest that PFC and hippocampus 12 play complementary roles in generalisation of knowledge, with the former 13 abstracting the common structure among related tasks, and the latter map-14 ping this structure onto the specifics of the current situation. 15

16 1 INTRODUCTION

¹⁷ When we walk into a new restaurant, we know what to do. We might find a table and ¹⁸ wait to be served. We know that the starter will come before the main, and when the bill ¹⁹ arrives, we know it is the food we are paying for. This is possible because we already know ²⁰ a lot about how restaurants work, and only have to map this knowledge onto the specifics ²¹ on the new situation. This requires that the common structure is abstracted away from ²² the sensorimotor specifics of experience, so it can be applied seamlessly to new but related ²³ situations.

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²⁵ Such abstraction has been variously described as a schema (in the context of human ²⁶ behaviour¹ and memory research^{2,3}), learning set⁴ (in the context of animal reward-guided ²⁷ behaviour), transfer learning⁵ and meta-learning⁶ (in the context of machine learning). We have little understanding of how the necessary abstraction is achieved in the brain, or how abstract representations are tied to the sensorimotor specifics of each new situation. However, recent data suggest that interactions between frontal cortex and the hippocampal formation play an important role. Neurons^{7,8} and fMRI voxels^{9,10} in these brain regions form representations that generalise over different sensorimotor examples of tasks with the same structure, and track different task rules embedded in otherwise similar sensory experience^{11,12}.

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The involvement of these regions in abstraction is also of interest from a theoretical perspective. Both frontal $\operatorname{cortex}^{13-16}$ and $\operatorname{hippocampus}^{17-25}$ have been hypothesized to 36 37 represent task states and the relationships between them. It has not been clear what 38 distinguishes the representations in these regions, but some insight might be gained by 39 considering hippocampal representations underlying spatial cognition. In rodent hippocam-40 pus, place cells are specific to each particular environment $^{26-28}$, but firing patterns in 41 neighbouring entorhinal cortex (including grid cells) generalise across different environ-42 ments – that is, they are abstracted from sensorimotor particularities $^{29-33}$. Similarly, there 43 is evidence that mPFC representations of spatial tasks generalise across different paths^{34–36}. 44 45

46 One possibility is that, as in space, abstracted or schematic representations of tasks 47 in cortex might be flexibly linked with the sensorimotor characteristics of a particular 48 environment to rapidly construct concrete task representations in hippocampus, affording 49 immediate inferences^{37, 38}. Indeed, hippocampal manipulations appear particularly disrup-50 tive when new task rules must be inferred, either at the beginning of training³⁹ or when 51 task contingencies change^{40,41}.

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To probe cortical and hippocampal contributions to generalisation, we developed a novel 53 behavioural paradigm where we presented mice with a series of tasks with the same ab-54 stract structure (probabilistic reversal learning), but different physical instantiations, and 55 hence different sensorimotor correlates. We recorded single units in medial prefrontal cortex 56 (mPFC) and hippocampus (dCA1) across multiple physical task layouts in each recording 57 session. We examined neuronal representations both of the individual elements of each trial, 58 and of the cross-trial learning that controlled animal's choices. Prefrontal representations 59 generalised across tasks, with neurons coding for a given task event, irrespective of the 60 sensorimotor particulars of the current task. In contrast, hippocampal neurons were more 61 task specific - different neuronal populations participated in each task representation. Both 62 hippocampus and prefrontal cortex also contained representations of animals' current policy 63 that integrated events over multiple trials. These policy representations were again abstract 64 in prefrontal cortex but tied to sensorimotor specifics in hippocampus. 65

66 2 Results

67 2.1 Mice generalise knowledge between structurally equivalent tasks

Subjects serially performed a set of reversal learning tasks which shared the same structure 68 but had different physical layouts. In each task, every trial started with an 'initiation' 69 nose-poke port lighting up. Poking this port illuminated two 'choice' ports, which the 70 subject chose between for a probabilistic reward (Figure 1A). Once the subject consistently 71 (75 % of trials) chose the high reward probability port, reward contingencies reversed 72 (Figure 1B). Once subjects completed ten reversals on a given port layout (termed a 'task'), 73 they were moved onto a new task where the initiation and choice ports were in different 74 physical locations (Figure 1C). All tasks therefore shared the same trial structure (initiate 75 in the illuminated poke, then choose between the two illuminated pokes) and a common 76 abstract rule (one port has high and one low reward probability, with occasional reversals), 77 but required different motor actions due to the different port locations. In this phase of the 78 experiment, task switches occurred between sessions, and subjects completed ten different 79 tasks. 80

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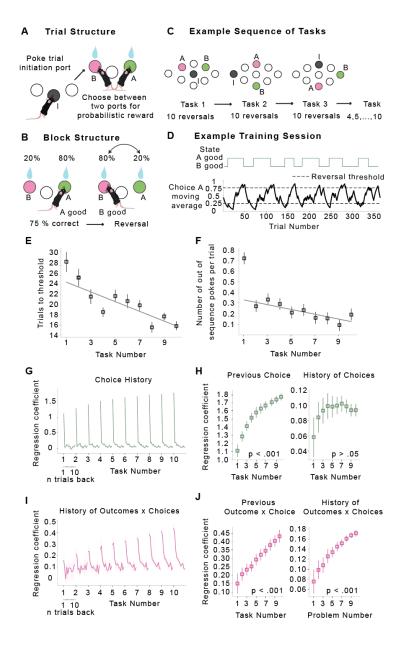


Fig: 1. Transfer learning in mice. A) Trial structure of the probabilistic reversallearning task. Mice poked in an initiation port (grey), then chose between two choice ports (green and pink) for a probabilistic reward. **B**) Block structure of the probabilistic reversal-learning task. Reward contingencies reversed after the animal consistently chose the high reward probability port. C) Example sequence of tasks used for training, showing different locations of the initiation (I) and two choice ports (A & B) in each task. D) Example behavioural session late in training in which the animal completed 12 reversals. Top panel shows which side has high reward probability; bottom panel shows exponential moving average of subjects' choices (tau=8 trials). E) Number of trials following a reversal taken to reach the threshold to trigger the next reversal, as a function of task number. F) Number of pokes per trial to a choice port that was no longer available because the subject had already chosen the other port, as a function of task number. G, I) Coefficients from a logistic regression predicting current choices using the history of previous choices (G), outcomes (not shown) and choice-outcome interactions (I). For each task and predictor the coefficients at lag 1-11 trials are plotted. H, J) Coefficients for the previous trial (lag 1, left) and average coefficients across lags 2-11 (right), as a function of task number. Error bars on all plots show mean \pm SEM across mice.

We first asked whether subjects showed evidence of generalising the abstract task structure 82 (one port is good a time, with reversals) to new tasks (Figure 1B). Mice took fewer trials 83 to reach the 75 % correct threshold for triggering a reversal within each task ($F_{(9, 72)}$ = 84 3.23, p = .002; Supplementary Figure 2A), and crucially also across tasks $(F_{(9, 71)} = 3.88,$ 85 p < .001; Figure 1E), consistent with generalising knowledge of this abstract structure. 86 Improvement across tasks in a subject's ability to track the good port might reflect an 87 increased ability to integrate the recent history of outcomes and choices across trials. To 88 assess this, we fit a logistic regression model predicting subjects' choices using the choices, 89 outcomes and choice-outcome interactions over the past history of trials. Across tasks, 90 the influence of both the most recent ($F_{(9,71)} = 5.50$, p < .001; Figure 1I, J) and earlier 91 $(F_{(9,71)} = 4.33, p < .001;$ Figure 1I, J) choice-outcome interactions increased. Subjects' 92 choices were also increasingly strongly influenced by their previous choices $(F_{(9,71)} = 11.18,$ 93 p < .001; Figure 1G, H), suggesting a decrease in spontaneous exploration with learning. 94 95

We also looked at whether subjects showed evidence of generalising the trial structure (initiate then choose; Figure 1A) across tasks, by assessing how often they made nose pokes that were inconsistent with this sequence (i.e., pokes to the alternative choice port after having made a choice, instead of going straight back to initiation). Mice made fewer such out-of-sequences pokes across reversals within each task ($F_{(9, 72)} = 5.43$, p < .001; Supplementary Figure 2B), but importantly also across tasks ($F_{(9, 71)} = 18.40$, p < .001; Figure 1F).

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These data suggest that mice learned to generalise both the block and trial structure across tasks. We next searched for evidence of neural representations that abstracted the task structure away from its physical details, allowing generalisation of knowledge.

107 ABSTRACT AND TASK-SPECIFIC REPRESENTATIONS OF TRIAL EVENTS BY PFC AND CA1 108 UNITS

We recorded single units from dorsal CA1 (345 neurons, n = 3 mice, 91 to 162 neurons per 109 mouse) and medial prefrontal cortex (mPFC, 556 neurons, n = 4 mice, 117 to 175 neurons 110 per mouse; Supplementary Figure 1, Figure 2) using electrophysiology. For recording 111 sessions, we modified the behavioural task such that changes from one task to the next 112 occurred within a session, with the transition to the next task triggered once subjects 113 had completed four reversals on the current task, up to a maximum of three tasks in one 114 session. Subjects adapted well to this change and in most recording sessions performed 115 at least four reversals in three different task layouts, allowing us to track the activity of 116 individual units across tasks (Figure 2B). Cross-task learning reached asymptote prior to 117 starting recordings, i.e., during recording sessions mice no longer showed improvement 118 across tasks (Supplementary Figure 3). 119

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During recording sessions, we used ten different port layouts, but to simplify the analysis 121 they were all reflections of three basic layout types (Figure 2B), each of which occurred 122 once in every session. In the first layout type, the initiation port (I1) was the top or bottom 123 port, and the choice ports were the far left and far right ports. One of these choice ports 124 remained in the same location in all three layouts used in a session, and will be referred 125 to as the A choice. This acted as a control for physical location, allowing us to assess 126 how the changing context of the different tasks affected the representation of choosing the 127 same physical port. Both the other choice port (B choice), and the initiation port, moved 128 physical locations between tasks. In the second layout type, both the initiation port (I2) 129 and B choice port (B2) were in locations that were not used in layout type 1. In the third 130 layout type, the initiation port was the same as the initiation port in layout type 1 (I3 =131 I1), and the B choice port was the same as the initiation port from layout type 2 (B3 = 132 12). Hence, in every recording session, we had examples of (1) the same port playing the 133 same role across tasks, (2) different ports playing the same role across tasks and (3) the 134 same port playing different roles across tasks (I3 and B2). The order of the layout types 135

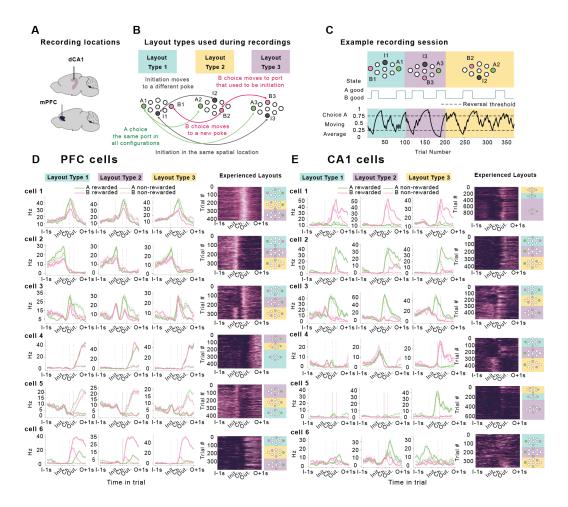


Fig: 2. Recording units across multiple tasks in a single session. A) Silicon probes targeting hippocampal dorsal CA1 and medial PFC were implanted in separate groups of mice. **B)** Diagram of task layouts types used during recording sessions. **C)** Example recording session in which a subject completed four reversals in each of three tasks. Top panel shows the ports participating in each task colour coded by layout type. Bottom panel shows the exponential moving average of choices, with the blocks shown above. **D**) **Example PFC neurons.** Cell 1 in PFC fired selectively to both choice ports (but not initiation) in each task, even though the physical location of the choice ports was different both within and across tasks. Cell 2 fired at the initiation port in every task, even when its physical location changed. Cell 3 fired at B choice ports in all tasks, but also gained a firing field when initiation port moved to the previous B choice port (showing PFC does have some port-specific activity). Cell 4 responded to reward at every choice port in every task. Cell 5 responded to reward omission, and had high firing during the ITI. Cell 6 responded to reward at B choice port (that switched location) in each task. E) Example **CA1 neurons.** Some CA1 cells also had task general firing properties (cell 1 and 2). Cell 1 fired at B choice that switched physical location between tasks. Cell 2 responded to the same port in all tasks and modulated its firing rate depending on whether it was rewarded or not. Cell 3 fired at the same port in all task layouts. Cell 4 switched its firing preference from initiation to B choice that shared physical locations, analogous to 'place cells' firing at a particular physical location. This port selectivity was more pronounced in CA1 than PFC (Supplementary Figure 4). Cell 5 and 6 'remapped' - showing interactions between task and space. Cell 5 fired at a given port in one layout but not when the same port was visited in a different layout. Cell 6 fired at choice time at a given port in one layout and changed its preferred firing time to pre-initiation in a different layout.

136 was randomised in each recording session.

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As animals transferred knowledge of the trial structure across tasks, we reasoned that neu-138 rons may exhibit 'task general' representations of the abstract stages of the trial (initiate, 139 choose, outcome) divorced from the sensorimotor specifics of each task. On inspection, such 140 cells were common in PFC (Figure 2D). To respond flexibly when a novel task with the same 141 trial structure is encountered, abstract knowledge should be mapped onto the sensorimotor 142 specifics of the new experience. In line with this, although we observed some task-general 143 firing in CA1, hippocampal cells were more likely to respond to the specifics of each task 144 (Figure 2E). These single unit examples suggest that although task general representations 145 might exist in both regions, PFC activity appears to generalise more across tasks, while CA1 146 represents physical location more strongly, and additionally exhibits 'remapping' between 147 tasks in which neurons change their tuning to both physical location and task events. 148

149 PFC population activity generalises more strongly across tasks than CA1

To assess whether our single unit observations hold up at the population level, we sought to characterise how neural activity in each region represented task events, and how these representations generalised across tasks.

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We first assessed the influence of different task variables in each region using linear 154 regression to predict spiking activity of each neuron, at each time point across the trial, as a 155 function of the choice, outcome, and outcome x choice interaction on that trial (Figure 3A). 156 We quantified how strongly each variable affected population activity as the population 157 coefficient of partial determination (i.e., the fraction of variance uniquely explained by each 158 regressor) at every time point across the trial (Figure 3B). This analysis was run separately 159 for each task in the session and the results were averaged across tasks and sessions. Both 160 regions represented current choice, outcome, and choice x outcome interaction, but there 161 was regional specificity in how strongly each variable was represented. Choice (A vs B) 162 representation was more pronounced in CA1 than PFC (peak variance explained - CA1: 163 8.4 %, PFC: 4.8 %, p < .001), whereas outcome (reward vs no reward) coding was stronger 164 in PFC (peak variance explained – CA1: 7.1 %, PFC: 12.9 %, p < .001). Furthermore, 165 choice x outcome interaction explained more variance in CA1 than PFC (peak variance 166 explained – CA1: 3.7 %, PFC: 2.4 %, p < .001). 167 168

Though highlighting some differences in population coding between regions, this approach 169 cannot assess the relative contribution of abstract representations that generalise across 170 tasks versus task specific features such as the physical port location. This requires 171 comparing activity both across time points in the trial and across tasks, which we did using representational similarity analysis $(RSA)^{42}$. We extracted firing rates around initiation 172 173 and choice port entries (40ms window) and categorised these windows by which task they 174 came from, whether they were initiation or choice, and - for choice port entries whether the 175 choice was A or B and whether it was rewarded - yielding a total of 15 categories (Figure 176 3C). For each session we computed the average activity vector for each category, then 177 quantified the similarity between categories as the correlation between the corresponding 178 activity vectors. We show RSA matrices for this 'choice time' analysis (Figure 3C, left 179 panels), and also an 'outcome time' analysis (Figure 3C, right panels) where the windows 180 for choice events were moved 240ms after port entry, holding the time window around trial 181 initiations constant. 182

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To quantify the factors influencing representation similarity, we created representational similarity design matrices (RDMs) which each encapsulated the predicted pattern of similarities under the assumption that activity was influenced by a single task feature (Figure 3D). For example, if the population activity represented only which physical port the animal was at, its correlation matrix would look like Figure 3D, Port. We included design matrices for a set of task-general features; the trial stage ('Initiation vs Choice'), choice (A vs B), trial outcome (both on its own as 'Outcome', and in conjunction with bioRxiv preprint doi: https://doi.org/10.1101/2021.03.05.433967; this version posted March 6, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

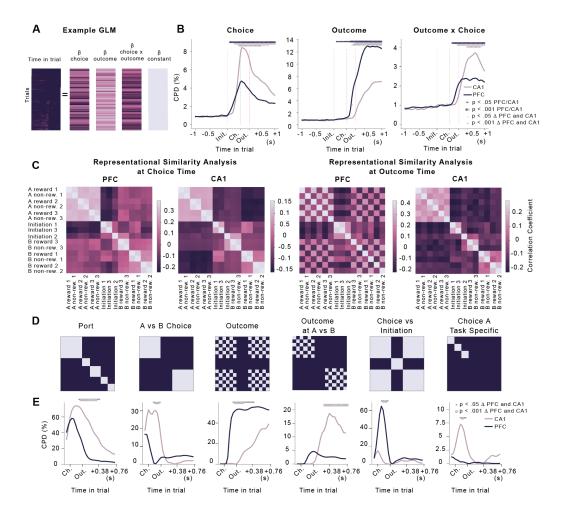


Fig: 3. Task-general and task-specific representations in PFC and CA1 population activity. A) Linear regression predicting activity of each neuron at each time point across the trial, as a function of the choice, outcome and outcome x choice interaction. B) Coefficients of partial determination from the linear model shown in A for choice, outcome and outcome x choice regressors in PFC and CA1. C) Representation similarity at 'choice time' (left) and 'outcome time' (right), quantified as the Pearson correlation between the demeaned neural activity vectors for each pair of conditions. D) Representational Similarity Design Matrices (RDMs) used to model the patterns of representation similarity observed in the data. Each RDM codes the expected pattern of similarities among categories in C under the assumption that the population represents a given variable. The Port RDM models a representation of the physical port poked (e.g., far left) irrespective of its meaning in the task. A vs B Choice models a representation of A/B choices irrespective of physical port. The Outcome RDM models representation of reward vs reward omission. The Outcome at A vs B RDM models separate representations of reward vs omission following A and B choices. Choice vs Initiation models representation of the stage in the trial. Choice A Task Specific models separate representation of the A choice in different tasks. E) Coefficients of partial determination in a regression analysis modelling the pattern of representation similarities using the RDMs shown in **D**. The time-course is given by sliding the windows associated with choices from being centered on choice port entry to 0.76 s after choice port entry, while holding time windows centered on trial initiations fixed. Stars indicated time points where regression weight for each RDM was significantly different between the two regions (p < p.05 (small stars) and p < .001 (big stars), permutation test across sessions corrected for multiple comparison over time points. For more details on permutation tests see Methods.

choice 'Outcome at A vs B'). Changes in activity across tasks might occur simply due to 191 192 neurons being tuned for particular physical locations, which will be captured by the 'Port' RDM. However, it is also possible that the changing context provided by different tasks 193 modifies the representation of choosing the same physical port at the same trial stage. To 194 assess such 'remapping', we included an RDM 'Choice A task specific' which modelled 195 task specific representations of the A choice, which shares the same physical location and 196 meaning across tasks. We modelled the observed pattern of similarities in the data as a 197 linear combination of these RDMs, quantifying the influence of each by its corresponding 198 weight in the linear fit. To be able to examine the temporal evolution of these effects we 199 run a series of regressions onto the data. In each, the data around initiation port entry was 200 the same but the data around the choice port entry progressed serially through time from 201 choice point until after the reward was delivered (Figure 3E). 202

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Consistent with our single unit observations, both PFC and CA1 represented both task 204 specific and task general features to some extent. However, there was a marked regional 205 specificity in how strongly different features were encoded (Figure 3E). PFC had stronger, 206 abstract, sensorimotor-invariant representation of trial stage (initiation vs choice) and trial 207 outcome (p < .001). In contrast, CA1 had stronger representation of the physical port 208 the subjects was poking, and whether it was an A vs B choice (p < .001). Additionally, 209 CA1 but not PFC showed a task specific representation of A choices (p < .001). This 210 is striking because during A choices both the physical port and its meaning are identical 211 across tasks, indicating that the changing task context alone induced some 'remapping' in 212 CA1 but not PFC. Finally, there was a regional difference in the representation of trial 213 outcome. PFC outcome representations were more general (the same neurons responded 214 to reward or reward omission across ports and tasks - p < .001). CA1 also maintained an 215 outcome representation, but this was more likely to be conjunctive than in PFC – different 216 neurons would respond to reward on A and B choices (p < .001). To exclude the possibility 217 that task specificity in CA1 might be driven by CA1 representations drifting slowly over 218 time we confirmed that task representation changed abruptly at transitions between tasks 219 (Supplementary Figure 5). 220

Low dimensional temporal structure of activity is invariant across tasks and regions, but cell assemblies generalise more strongly in PFC than CA1

To further explore how the structure of population activity generalised between tasks, 223 we used singular value decomposition to compare the principal temporal and cellular 224 modes across the different tasks. We decomposed activity in each task into a set of 225 cellular (across neurons) and temporal modes (across trial and time). For each cell in 226 each task, we computed the average firing rate at each time point across the trial, for four 227 types of trials – rewarded A choices, A non-rewarded, B rewarded, and B non-rewarded. 228 We concatenated these four time series for each cell to create an activity matrix D229 where each row contained the average activity of one neuron in one task across each 230 time point of the four trial types (Figure 4A). Using SVD, we decomposed each activ-231 ity matrix into cellular and temporal modes U and V, linked by a diagonal weight matrix Σ . 232 233

$D = U \Sigma V^T$

Each cellular mode in U is a vector with a weight for each cell. They can be thought 234 of as cell assemblies, as they correspond to sets of neurons whose activity covaries over 235 time. Cellular and temporal modes come in pairs, such that each cellular mode has an 236 associated temporal mode in V, which is a vector of weights indicating how strongly 237 the cellular mode contributes to population activity at each time point across the four 238 trial types. The cellular and temporal modes are both unit vectors, so the contribution 239 of each pair to the total data variance is determined by the corresponding element of 240 the diagonal matrix Σ . The first cellular and temporal mode of PFC activity in three 241 different tasks is shown in Figure 4B, C. It is high throughout the ITI and trial with a 242

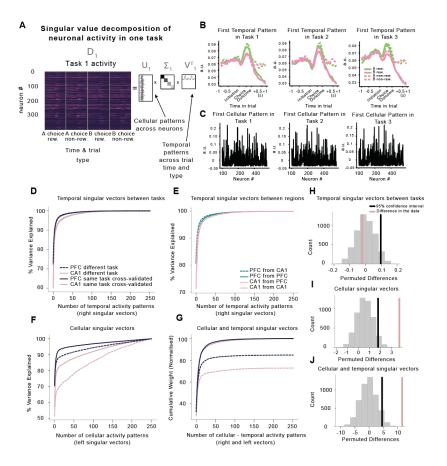


Fig: 4. Generalisation of low dimensional representations of trial events. A) Diagram of singular value decomposition (SVD) analysis. A data matrix comprising the average activity of each neuron across time points and trial types was decomposed into the product of three matrices, where diagonal matrix Σ linked a set of temporal patterns across trial type and time (rows of V^T) to a set of cellular patterns across cells (columns of U). **B**) First temporal mode in V^T from SVD decomposition of data matrix from PFC plotted in each task separately for clarity and separated by A (green) and B (pink) rewarded (solid) non-rewarded (dashed) choices. C) First cellular mode from SVD decomposition of data matrix from PFC in each task showing similar pattern of cells participate in all tasks. **D**) Variance explained when using temporal activity patterns V_1^T from one task to predict either held out activity from the same task (solid lines) or activity from a different task (dash lines). E) Variance explained when using temporal activity patterns V_1^T to predict either activity from the same task and brain region (solid lines) or a different brain region and the same task (dash lines) D_2 . F) Variance explained when using cellular activity patterns U_1 from one task to predict either held out activity from the same task (solid lines) or activity from a different task (dash lines). G) Cumulative weights along the diagonal Σ using pairs of temporal V_1^T and cellular U_1 activity patterns from one task to predict either held out activity from the same task (solid lines) or activity from a different task (dash lines). Weights were normalised by peak cross-validated cumulative weight computed on the activity from the same task. H) To assess whether the temporal singular vectors generalised significantly better between tasks in PFC than CA1, we evaluated the area between the dash and solid lines in \mathbf{D} for CA1 and for PFC separately, giving a measure for each region of how well the singular vectors generalised. We computed the difference in this measure between CA1 and PFC (pink line in H), and compared this difference to the null distribution obtained by permuting sessions between brain regions (grey histogram, black line shows 95^{th} percentile of distribution). For more details on permutation tests see Methods. Temporal singular vectors generalised equally well between tasks in the two regions. I) Cellular singular vectors generalised significantly better between tasks in PFC than CA1. Computed as in **H** but using the solid / dash lines from **F**. **G**) Pairs of cellular and temporal singular vectors generalised significantly better between tasks in PFC than CA1. Computed as in \mathbf{H} but using the solid / dash lines from \mathbf{G} . 9/23

243 peak at choice time, but strongly suppressed following reward (similar to cell 5 in Figure 2D).
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We reasoned that: (i) if the same events were represented across tasks (e.g. initiation, A/B choice, reward), then the temporal modes would be exchangeable between tasks, no matter whether these representations were found in the same cells; (ii) if the same cell assemblies were used across tasks, then the cellular modes would be exchangeable across tasks, no matter whether the cell assemblies played the same role in each task; and (iii) if the same cell assemblies performed the same roles in each task, then pairs of cellular and temporal modes would be exchangeable across tasks.

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To see whether the same representations existed in each task, we first asked how well the 253 temporal modes from one task could be used to explain recordings from other tasks. Since 254 V is an orthonormal basis, any data of the same rank or less can be perfectly explained 255 when using all the temporal modes. However, population activity in each task is low 256 dimensional so a small number of modes explain a great majority of the variance. Modes 257 that explain a lot of variance in one task will only explain a lot of variance in the other task 258 if the structure captured by the mode is prominent in both tasks. The question is therefore 259 how quickly variance is explained in data set B, when ordering the modes according to 260 variance explained in data set A. To assess this, we regressed the temporal modes from 261 one task onto the data matrix from the other, and plotted cumulative variance explained 262 (Figure 4D). To control for drift in neuronal representations across time, we computed the 263 data matrices separately for the first and second halves of each task. We compared the 264 amount of variance explained using modes from the first half of one task to model activity 265 in the second half of the same task, with the variance explained using modes from the 266 second half of one task to model activity from the first half of the next task. 267 268

In both PFC and CA1, the cumulative variance explained as a function of the number of 269 temporal modes used, did not depend on whether the two data sets were from the same 270 task (solid) or different tasks (dashed) (Figure 4D, H, p > .05). This indicates that the 271 temporal patterns of activity, and therefore the trial events represented, did not differ 272 across tasks in either brain area. However, as this analysis used only the temporal modes, it 273 says nothing about whether the same or different neurons represented a given event across 274 tasks. In fact, we can even explain activity in one brain region using temporal modes from 275 another region and mouse. (Figure 4E). 276

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The pattern was very different when we used cellular modes (i.e., assemblies of co-activating 278 neurons) from one task to explain activity in another. In both PFC and CA1, cellular 279 modes in U that explained a lot of variance in one task, explained more variance in the 280 other half of the same task than they did in an adjacent task (Figure 4F - differences 281 between solid and dashed lines). However, the within task vs cross task difference was 282 larger in CA1 than PFC (Figure 4I, p < .05). This indicates that PFC neurons whose 283 activity covaried in one task were more likely to also covary in another task, when compared 284 to CA1 neurons. As this analysis considered only the cellular modes it does not indicate 285 whether a given cell assembly carried the same task information across tasks. 286

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To assess how well the cellular-temporal activity patterns from one task explained activity in another, we projected one data set D_2 onto the cellular and temporal mode pairs of the other (U_1^T, V_1) .

$$\Sigma_2 = U_1^T D_2 V_1$$

If the same cell assemblies perform the same roles in two different tasks, the temporal and cellular modes will align, and Σ_2 will have high weights on the diagonal. We therefore plotted the cumulative weight of the diagonal elements of Σ within and between tasks (Figure 4G).

²⁹⁴ In both PFC and CA1 cellular and temporal modes aligned better in different data sets from

the same task (solid lines), than for different tasks (dashed lines). However, this difference was substantially larger for CA1 than PFC (Figure 4J, p < .05).

²⁹⁷ These data show that although the temporal structure of activity in both regions generalises

 $_{\tt 298}$ $\,$ perfectly across tasks, brain regions and subjects – a consequence of the same set of trial

²⁹⁹ events being represented in each, the cell assemblies used to represent them generalised more

300 strongly in PFC than CA1.

POLICY REPRESENTATIONS ARE ABSTRACT IN PFC, BUT LINKED TO SENSORIMOTOR
 EXPERIENCE IN CA1

So far, we have focused on the neuronal representations of events on individual trials, and how they generalise across tasks. But to maximise reward, the subject must also track which option is currently best by integrating the history of choices and outcomes across trials. To be useful for generalisation, this policy representation should also be divorced from the current sensorimotor experience of any specific task.

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To obtain an estimate of subjects' beliefs about which option was best, we used a logistic 309 regression predicting current choices as a function of the history of previous rewards, 310 choices and their interactions (Figure 5A). This allowed us to compute, trial-by-trial, the 311 probability that the animal would choose A vs B - i.e., the animal's policy. When we used 312 this policy as a predictor of neural activity, it explained variance that was not captured by 313 within-trial regressors such as choice, reward and choice x reward interaction. Specifically, 314 the subjects' policy interacted with the current choice explained variance (Figure 5B, p <315 .001). Notably, this signal became prominent around the time of trial initiation, when it 316 would be particularly useful for guiding the decision. 317

To examine whether policy representations generalised across tasks, we evaluated the 319 correlation across tasks between the policy weights in the neural regression. Because the 320 A port was the same on each task, but the B port varied between tasks, we computed 321 policy regression weights at each time point separately for A and B choices (controlling for 322 reward). We then computed the average across-task correlation of these weights between 323 every pair of timepoints (Figure 5C). The diagonal elements of these matrices show the 324 average correlation across tasks at the same time point in each task. Visual inspection 325 (Figure 5C), and permutations tests of differences between sums of the diagonals of Policy 326 on A and B choices correlation matrices (p < .05), revealed that these correlations were 327 larger in PFC than CA1 (Figure 5D). On average, therefore, cellular representations of 328 policy generalised across tasks better in PFC than CA1 on both A and B choices. 329 330

One possible explanation is that PFC simply represented action values in a task-general 331 A more interesting possibility is that current policy shapes the representation 332 wav. of each trial stage differently, but in CA1 these representations are more tied to the 333 sensorimotor specifics of the current task. To test this, we examined time-slices through 334 the correlation matrices at initiation, choice, and outcome times (Figure 5E). In PFC, 335 all three correlation profiles on both A and B trials peaked at the correct time point 336 (the equivalent to the diagonal elements of the matrix) - i.e., the policy representations 337 generalised across problems, but were specific to the different parts of the trial (initiate, 338 choose, outcome). A similar pattern was present in CA1, but only on A choices (which 339 are the same physical port across tasks). No CA1 correlation was significantly above 340 zero on B choices. Indeed, whilst PFC policy correlations were greater than CA1 corre-341 lations for all representations (all p < .05) on both A and B choices, CA1 correlations 342 showed a greater difference between A and B trials at outcome time (Figure 5E, all p < .05). 343 344

Overall, therefore, both PFC and CA1 maintained representations of the subject's current policy that were not simple value representations – as they differed depending on the trial stage. These representations were abstracted across tasks in PFC, but tied to the sensori-

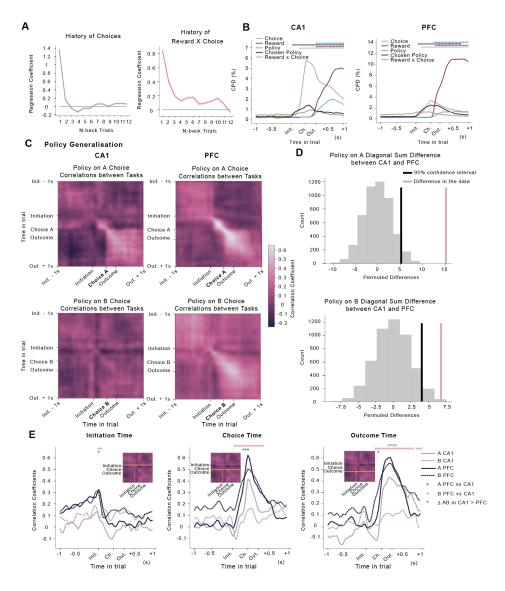


Fig: 5. Policy Generalisation in PFC and CA1. A) Weights from logistic regression predicting choices in recording sessions using choices, rewards and choice x reward interactions over the previous twelve trials as predictors. The effect of choice x outcome interaction history was above zero on up to eleven trials back. Error bars report the mean \pm SEM across mice. B) CPDs from regression models predicting neural activity using current trial events, subjects' policy (estimated using the behavioural regression in A), and policy interacted with current choice. Stars denote the time points at which each regressor explained significantly more variance than expected by chance (permutation test across sessions - p < .001, corrected for multiple comparisons; for more details on permutation tests see Methods.) C) Correlations across tasks between policy weights in regressions predicting neural activity. Regressions were run separately for A (top panels) and B (bottom panels) choices in each task, and at each time point across the trial. Correlations of policy representations between all task pairs were evaluated for each pair of time points, values on the diagonal show how correlated policy representation was at the same time point in both tasks. Positive correlation indicates that the same neurons coded policy with the same sign in both tasks. **D**) To quantify whether policy generalised more strongly between tasks in PFC than CA1, we computed the between region difference in the sum along the diagonal of the correlation matrices in C), separately for A and B choices, and compared it against the null distribution obtained by permuting sessions between brain regions. Policy representation on both A and B choices generalised more strongly in PFC than CA1. E) Slices through the correlation matrices at initiation (left), choice (center) and outcome (right) times for A (solid) and B (dash line) choices. Significant differences between conditions are indicated by stars as 12/23shown in legend.

³⁴⁸ motor specifics in CA1. A portion, but not all, of this task specificity in CA1 was accounted ³⁴⁹ for by the port identity.

350 3 DISCUSSION

Humans and other animals effortlessly generalise prior experience to novel situations that 351 are only partially related. This ability relies on our understanding of the abstract structure 352 in the regularities we experience in the world. Here we developed a novel behavioural 353 paradigm to measure this generalisation of abstract knowledge between reinforcement 354 learning problems with the same structure - probabilistic reversal learning - but different 355 sensorimotor particularities. Mice generalised knowledge about two elements of the task 356 structure between different but related problems - the sequence of responses required 357 within a trial, and the between-trial policy required to obtain rewards. Recordings from 358 hippocampal CA1 and mPFC revealed that both abstract and task-specific representations 359 existed in both brain areas but in markedly different proportions, such that population 360 responses in mPFC but not CA1 were dominated by task invariant, abstract representa-361 tions. By contrast, the CA1 responses contained major sources of variance that were either 362 invariant to the sensorimotor particularities (port selective), or intriguingly, the interaction 363 of these with the task (demonstrating 'remapping' between tasks). Notably, this was true 364 both for correlates of the elements of an individual trial, and for correlates of the long-term 365 behavioural policy that guided between-trial behaviour. 366 367

Recent data have highlighted the low dimensional structure of task representations in rodent 368 OFC⁸. We show that these low dimensional temporal modes are also consistent across tasks 369 in both mPFC and CA1. We also confirm that they are consistent between animals and 370 further demonstrate they are consistent between different brain areas (mPFC and CA1), 371 suggesting this low dimensional structure does not reflect the unique representational 372 properties of a particular brain area. Our manuscript makes further unique contributions. 373 Because we record across the same neurons in different tasks, we are able to ask not only 374 whether the temporal dimensions are preserved across tasks, but whether these temporal 375 modes align to the same neurons in each task, i.e., whether the same neurons represent the 376 same trial events across tasks. They do so significantly more in PFC than CA1. Whilst 377 transfer learning relies on building abstractions, it must also tie these abstractions to 378 the sensorimotor properties of each new task. In this context it is intriguing that CA1 379 representations contained distinct portions of variance aligned to abstract task coordinates, 380 to sensorimotor coordinates and to the interaction of the two coordinate sets. Lastly, our 381 paper extends these ideas to variables that must integrate information over many different 382 experiences (such as the animals' choice policy) and shows a similar distinction between 383 mPFC and CA1 in performing such computations. 384

385

A second recent line of work has examined related ideas in primate PFC and hippocam-386 pus^{7,43}. Where data are available from both structures in the same task, representations 387 are found to be geometrically arranged in line with task coordinate space and no clear 388 differences are observed between structures. Whilst it is tempting to postulate a species 389 difference, careful examination reveals another possibility. Because these data are acquired 390 in tasks that share no sensory elements (no overlapping images between tasks), and because 391 motor coordinates are aligned to task coordinates, it is not possible in these data to discern 392 whether there is also a sensorimotor component to the hippocampal representation, as we 393 observe in our data. 394

395

We found that prefrontal neurons encoded abstract meanings of different stages of the task (initiation, choice, and outcome), which might underlie animals' ability to quickly know how to do a trial on any set of physical ports. The identification of a common representation of the sequential structure of different states/actions aligns with theoretical arguments about abstracting the structure of behaviour^{38,44}. Such theories suggest that these abstractions need not be limited to representing exact sequences, but can also abstract the rules and regularities that constrain possible sequences. These ideas were developed in the context of the entorhinal cortex. Whilst we did not record from entorhinal cortex in the current study, recent fMRI evidence in humans in a conceptually similar experiment suggests entorhinal representations will also generalise the structure of reinforcement learning tasks¹⁰. It is also notable that abstract representations of trials are present in mPFC in purely spatial contexts^{35, 36}. It will therefore be intriguing to build an understanding of how these representations differ.

One possibility is that abstractions that affect behaviour over longer timescales will be preferentially represented in frontal regions⁴⁵, such as the policy representations described here. Indeed, the notion that policy representations may be abstracted aligns directly with recent ideas from computer science such as meta-reinforcement learning. When neural networks are trained with such algorithms, their internal representations resemble those seen in frontal cortex in a number of distinct tasks^{6,46,47}.

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Along with extracting structure in regularities in the world the brain also processes ongoing 417 experiences and creates memories of specific events. Memories are defined by vivid sensory 418 representations, such as sounds, smells, tastes, and physical locations. The hippocampal 419 formation play an important role in episodic memory, and contains neural representations 420 relevant for memory encoding and recall^{18, 19, 48}. In this broader context, it is perhaps 421 unsurprising that hippocampus contain rich representations of the sensorimotor specifics of 422 current experience. The fact that these coexisted with structural abstractions is consistent 423 with the idea that hippocampus is modulated by the schema that underlie episodic experi-424 ences^{19,49}. Notably, we also found that policy coding was not unique to prefrontal cortex, 425 as hippocampus also contained policy representations, corroborating existing findings for 426 the existence of signals relevant for decision-making in hippocampal formation 50, 51. We 427 expand on these observations to provide further evidence that hippocampal activity might 428 represent sensorimotor specifics of events in the context of broader memory schemas and 429 task structures. 430

431

We do not perceive the world as it really is. Starting with the visual 2D inputs on the retina 432 that we use along with prior experience to infer the 3D world around us^{52} , our brains likely 433 develop structural placeholders for many of our experiences. In fact, we remember things 434 more easily if we know the general schema or a script for a particular event⁵³, and often 435 ignore information that does not align with our understanding of the world⁵⁴. More broadly, 436 here we demonstrate that mice also acquire sophisticated models of tasks they frequently 437 experience in their environment and can apply this knowledge to solve new problems faster. 438 We further show that prefrontal cortex contains representations of what can be thought of 439 as a 'learning-set', or 'schema' of abstract relationships and variables needed to solve new 440 related problems while hippocampus combines sensorimotor and abstract information to 441 represent an interaction between the two, which might be crucial for both interpreting our 442 ongoing experiences as well as encoding and recall of episodic memories. 443

444 AUTHOR CONTRIBUTIONS

V.S., T.A., M.E.W. and T.E.J.B. designed the study; V.S., T.A. and J.L.B. acquired the
data; V.S. and T.E.J.B analyzed the data with input from T.A. V.S., T.A. and T.E.J.B
wrote and edited the manuscript with input from M.E.W.

448 Competing interests

449 Authors declare no competing interests.

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- 460 DATA AND MATERIALS AVAILABILITY
- 461 All data, analysis and behavioural training code will be released on publication.
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595 MATERIALS AND METHODS

596 BEHAVIOURAL APPARATUS

operant performed Experiments were incustom made boxes 597 (https://github.com/pyControl/hardware), controlled using pyControl⁵⁵.598 The boxes used in the training phase of the experiment had six nose poke ports mounted on the 599 back wall, each with infrared beam, stimulus LED and solenoid valve for dispensing 600 liquid rewards, and a speaker for auditory stimuli. For recording experiments mice were 601 transferred to operant boxes with nine nose poke ports located in electrically shielded 602 sound attenuating chambers. 603

604 SUBJECTS

Nine male C57BL/6J experimentally naïve mice bred in the Biomedical Sciences Facility at 605 the University of Oxford were obtained for this experiment at six weeks of age. Animals 606 were group housed prior to surgery, and individually housed post-surgery, in a humidity-607 and temperature-controlled vivarium, on a 12-hour light-dark cycle (7:00 to 19:00). All nine 608 animals were implanted with silicon probes, but we only obtained data from seven animals, 609 due to one probe being damaged during surgery and having to cull one animal prior to 610 recordings. Experiments were carried out in accordance with the Oxford University animal 611 use guidelines and performed under UK Home Office Project Licence P6F11BC25. 612

613 BEHAVIOURAL TRAINING

Mice were placed on water restriction 48 hours prior to starting behavioural training, with 1 hour water access provided 24 hours before the first session. Mice were trained six days per week, and on the day off they received 1 hour ad lib water access in their home cage. On training days, mice typically received all their water in the task, but were given additional water if required to maintain their body weight above 85 % of their pre-restriction baseline weight.

620

Mice were trained on a sequence of reversal learning tasks each with the same structure but a different physical port layout. Each reversal learning problem used three nose poke ports, out of the six or nine ports available in the operant box. One port was used for trial initiation, the other two were choice ports where reward could be obtained. During the initial training phase (Figure 1A) ports not used in the current task were covered. During recording sessions, ports used in all three tasks presented in the session were exposed throughout, and unused ports were covered.

628

Each trial started with the initiation port lighting up, until the subject poked it, after 629 which two choice ports both lit up. Mice chose one of the choice ports which triggered a 630 sound cue (250ms long) indicating the trial outcome, with a pure tone (5 kHz) indicating 631 they will get a reward and white noise indicating reward omission. Reward was delivered 632 at the termination of the auditory cue. A 2s inter-trial interval started once the animal left 633 the port following reward consumption or a non-rewarded choice. One in four randomly 634 selected trials was a forced choice trial, where a single randomly selected choice port lit up 635 which the animals had to select. At any given point in time, one choice port had a high 636 reward probability and the other one had low probability. Reward probability reversals 637 were triggered 5-15 trials after the subject crossed a threshold of 75 % correct choices 638 (exponential moving average, tau=8 trials). 639

640

In the initial training stage of experiment mice (Figure 1) encountered a single task (i.e., port layout) per session, and moved to the next task the session after they had completed 10 reversals on the current task. In each task, the first three reversals had reward probabilities of 0.9 and 0.1 at the good/bad choice ports. The fourth and fifth reversals had reward probabilities of 0.85 and 0.15, and the remaining reversals had reward probabilities of 646 0.8 and 0.2. In this phase each session was 30 minutes long and animals performed two 647 sessions per day. The reward sizes during this stage were incrementally decreased from 648 15 ul in the beginning of the training to 4 ul, based on the current weight of the animal 649 and its performance on the previous session. Each session started with a free reward 650 given from each of the two choice ports. Mice were divided into three groups with each 651 group starting on a different task layout. Sequentially presented layouts were chosen to be 652 as different as possible, and the sequence of task layouts was counterbalanced across animals. 653

Once mice had completed 10 tasks during this initial training phase, we started to present 654 multiple tasks in each session, to prepare them for recording sessions where we sought to 655 record neurons across multiple tasks. Initially, mice were trained on two tasks in a session, in 656 the nine port operant boxes subsequently used for recordings. Mice completed 12 different 657 tasks in this stage, with the port layout used in each chosen to be as different from the 658 previous one as possible. The reward probabilities in this phase were always 0.8 and 0.2 and 659 the reward size was 4 ul. After mice completed two reversal blocks on one layout, choice 660 ports that were going to be a part of the new task layout both lit up. Mice received a free 661 reward from each of the new choice ports. Next, the new initiation port lit up signalling 662 mice where they could initiate a trial. 663

664 BEHAVIOURAL TRAINING DURING RECORDINGS

During recordings, subjects completed four reversal blocks in each of three different task 665 layouts in every session. All task parameters were kept the same as during the two-layout 666 per session training stage, with the exception that now subjects needed to complete four 667 blocks on each task before they were moved onto a new one. As before, the task change 668 was signalled by the two new choice ports lighting up and staying lit up until the subject 669 collected a reward from each port. This was followed by the new initiation port lighting 670 up. Port layouts used for tasks during recording sessions were designed to allow us to ask 671 specific questions of the neural activity. As described in the Results section, all layouts were 672 reflections of three basic layout types, each of which was presented once each session, in a 673 randomised order (Figure 2B). 674

675 ELECTROPHYSIOLOGICAL RECORDINGS AND SPIKE SORTING

The silicon probes used were Cambridge Neurotech 32 channel probes. F series probes 676 were used for hippocampus, P series for mPFC. For hippocampal recordings we started the 677 recordings only after we lowered the probe enough to detect characteristic of hippocam-678 pus sharp wave ripples in the local field potential while the animal was asleep in its home 679 cage. For mPFC recordings we lowered the probe ~ 100 um on every recording day. Fore 680 more details on recording sites see Supplementary Figure 1. Neural activity was acquired 681 at 30kHz with a 32-channel Intan RHD 2132 amplifier board (hardware bandpass filter-682 ing between 1.1 and 7603.8 Hz; Intan Technologies, USA) connected to an OpenEphys 683 acquisition board via a flexible serial peripheral interface cable ('Ultra Thin RHD2000 SPI 684 cable', Intan Technologies). Behavioural and ephys data were synchronised by sending sync 685 pulses from the pyControl system to the OpenEphys acquisition board. Electrophysiological 686 recordings were then spike sorted offline using KiloSort⁵⁶ and manually curated using phy 687 (https://github.com/kwikteam/phy). Clusters were classified as single units and retained 688 for further analysis if they had a characteristic waveform shape, showed a clear refractory 689 period in its autocorrelation, were stable over time and were present only on nearby chan-690 nels. We merged clusters only if there was a high similarity in waveforms and channels 691 they came from, had a refractory period in their cross-correlation histograms and occupied 692 similar areas in feature space or appeared to drift into one another. 693

694 SURGERY AND HISTOLOGY

Subjects were taken off water restriction 48 hours prior to surgery, then anaesthetised with isoflurane (3 % induction, 0.5–1 % maintenance), treated with buprenorphine (0.1 mg/kg) and meloxicam (5 mg/kg), and placed in a stereotactic frame. A silicon probe mounted on a

Microdrive (Ronal Tools) was implanted into either mPFC (AP:1.95, ML:0.4, DV:-0.8), or 698 699 dCA1 (AP:-2, ML:1.7, DV:-0.7), and a ground screw was implanted above the cerebellum. Both of the DV coordinates are relative to the brain surface. Mice were given additional 700 doses of meloxicam each day for 3 days after surgery, and were monitored carefully for 7 days 701 post-surgery, then placed back on water restriction 24 hours before restarting task behaviour. 702 At the end of the experiment, electrolytic lesions were made under terminal pentobarbital 703 anaesthesia to mark the probe location, animals were perfused, and the brains fixed in 704 formal saline for subsequent histology to identify lesion locations. 705

706 DATA ANALYSIS

707 All analyses were carried out using custom written code in Python.

708

709 TIME IN TRIAL ALIGNMENT

Activity was aligned across trials by warping the time interval between trial initiation and 710 choice to match the median interval across all recorded trials. Activity prior to trial initiation 711 or after choice was not warped. Spike times that occurred between initiation and choice 712 were converted into the aligned reference frame by linear interpolation between initiation 713 and choice time. The firing rate of each neuron was calculated in the aligned reference frame 714 at time points evenly spaced every 40ms, from 1 second before trial initiation to 1 second 715 after trial outcome, using a Gaussian kernel with 40ms standard deviation. To compensate 716 for the change in spike density due to time warping, spikes in the warped interval between 717 718 initiation and choice were weighted by the stretch factor applied, prior to evaluating the 719 firing rate.

720 STATISTICAL SIGNIFICANCE

The significance of the differences between brain areas in analyses reported throughout the 721 paper was computed by shuffling the sessions of CA1 and PFC animals to obtain null dis-722 tributions. Real differences in the data were compared against the 95^{th} and 99^{th} percentiles 723 of such null distributions. To correct for multiple comparisons, the maximum differences 724 between CA1 and PFC across time points was taken as a threshold for multiple comparison 725 correction, such that value at each time step was compared not to its respective shuffled 726 value at the same time step, but the biggest value at any time step. All comparisons also 727 survived a group test obtained by shuffling animal identities between regions. 728

729 Representational Similarity Regression Analysis

We created representational similarity matrices which consisted of the Pearson correlation coefficients of neurons in 15 different task condition, defined by the trial stage, choice, outcome and task number (see Results section and Figure 3). Because neurons were not simultaneously recorded, we collapsed data across recording sessions for each brain region into a single matrix (cells x task events) and then calculated the correlation matrix across cells between different task events (i.e., representational similarity). We used a linear regression to model the patterns of representation similarity in the data as a linear combination of representation similarity design matrices (RDMs):

$$r_{i,j} = \beta_0 + \sum_{n=1}^{9} \beta_n RDM_{n(i,j)} + \epsilon_{i,j}$$

⁷³⁰ Where $r_{(i,j)}$ are elements of the RSA matrix and $RDM_{n(i,j)}$ are elements of the nth RDM. ⁷³¹ The set of RDMs used is shown in Figure 3D. Before regressing the correlation matrices onto ⁷³² the RDMs the diagonal elements from both were deleted and a constant matrix of ones was ⁷³³ added to the design matrix to account for any condition independent correlation between ⁷³⁴ neurons. We plotted the coefficients of partial determination (CPDs) from the regression ⁷³⁵ model described above. The CPD was defined as:

$CPD(RDM_i) = (SSE_{\sim i} - SSE_{\text{full model}})/SSE_{\sim i}$

⁷³⁶ Where $SSE_{\sim i}$ refers to sum of squares from a regression model excluding the RDM_i of ⁷³⁷ interest and $SSE_{\text{full model}}$ is the sum of squares from a regression model including all the ⁷³⁸ RDMs. CPDs describe how much unique variance does each RDM account for in the RSA ⁷³⁹ matrix calculated from firing rates.

740 SURPRISE MEASURE

To investigate the time course of how quickly the firing rates of neurons change in response to layout changes (Supplementary Figure 4), we used the 'surprise' measure from the information theory:

$$s\left(x_{ij}\right) = \left(x_{ij} - \mu_k\right)^2 / \sigma_k^2$$

where x_{ij} is the firing rate of one neuron on a given trial i and task layout j, μ_k and σ_k 744 are the baseline mean and the standard deviation of the firing rate of that neuron on a 745 particular task layout. If j = k, then the $s(x_{ij})$ on each trial i is calculated based on the 746 mean firing rate μ and standard deviation σ of the withheld trials from the same task. More 747 precisely, to calculate how much the firings rates change during the same task layout $s(x_{ij})$ 748 was calculated on the 10 trials before the task layout switch ('test' within task), where μ_k 749 and σ_k were calculated on the 10 trials before those 'test' trials ('train' within task). If 750 $j \neq k$, then the $s(x_{ij})$ on each trial i was calculated based on the mean firing rate μ and 751 standard deviation σ of the withheld trials from a different task. So, to estimate how much 752 the firings rates change after the task layout switch $s(x_{ij})$ was calculated on the 20 trials 753 after the task layout switch ('test' between tasks), where μ_k and σ_k were calculated from 754 the 'train' trials from a different task layout. This measure was calculated for each neuron 755 separately and then averaged across all neurons for each brain region. 756

757 SINGULAR VALUE DECOMPOSITION

Singular value decomposition (SVD) was performed using the numpy linalg.svd function in Python. SVD is a principal component analysis technique that decomposes any n x m matrix into a product of three matrices:

$$D = U\Sigma V^T$$

where D comprises the data matrix to be decomposed and the $U \Sigma$ and V^T matrices have specific interpretations depending on the type and organisation of data in matrix D. The $U \Sigma$ and V^T are computed based on the non-normalised covariances in the column space:

$$DD^{T} = (U\Sigma V^{T}) (U\Sigma V^{T})^{T}$$
$$DD^{T} = (U\Sigma V^{T}) (V\Sigma U^{T})$$
$$DD^{T} = U\Sigma^{2}U^{T}$$

and row space:

$$D^{T}D = (U\Sigma V^{T})^{T} (U\Sigma V^{T})$$
$$D^{T}D = (V\sum U^{T}) (U\Sigma V^{T})$$
$$D^{T}D = V\Sigma^{2}V^{T}$$

where $DD^{T}U = U\Sigma^{2}$ and $D^{T}DV = V\Sigma^{2}$ are analogous to eigenvalue decomposition 758 AQ = Q. These equations provide an intuition for what the U, Σ and V^T matrices mean. 759 In the analyses of our data, matrices D were of neuron x timepoints^{*} trial type dimensions. 760 As DD^T is a non-normalised covariance in the column space, this means that the U 761 singular vectors come from the eigendecomposition of the covariances between neurons 762 (as column space in D is neuron number) and thus describe the neural patterns in the 763 data (i.e., neurons that are active/silent together). $D^T D$ is non-normalised covariance 764 in the row space, meaning the V singular vectors come from the eigen decomposition 765

of the covariances between time and trial type (as D row space is time and trial type) and thus describe the trial and time modes in the data (i.e., trial times/types that are represented similarly). The Σ is diagonal matrix and captures the overall strength of association between each U and V^T vectors in the data matrix D, hence how much loading there is of a particular neural mode together with its respective trial x time mode in the data.

Our goal was to use the SVD to test how well cellular and temporal patterns generalise across different tasks. To make the D matrix we averaged time warped trial firing rates for each neuron in A choice rewarded, A choice non-rewarded, B choice rewarded and B choice non-rewarded conditions and concatenated the data from all sessions for each region separately such that each matrix was had the neurons x time point in trial and condition dimensions. We performed the SVD on demeaned firing rates separately for each task and for cross-validation purposes performed the decomposition separately on the first half and second half of the task:

$$D_{ij} = U_{ij} \Sigma_{ij} V_{ij}^T$$

where i is the task number i = 1, 2, 3 and j is the half of the task the data is taken from j = 1, 2.

To test how well the neural and temporal patterns generalised between pairs of tasks we used the U_{i2} , V_{i2}^T from the second half of the first task but the activity matrix from the first half of the next task D_{i+11} to compute the $\Sigma_{\text{pred }i+1}$:

$$\Sigma_{\text{pred }i+1} = U_{i2}^T D_{i+11} V_{i2}$$

Cross-validation was computed in an analogous manner but based on the data from the same task. Selecting the second versus first half of the task data ensured there was no time confound in cross-validated results, as the between task analysis would have analogous time effects.

778

Since we had different number of neurons in each brain region, each Σ was normalised by 779 the number of neurons recorded from the respective brain region. Computing the $\Sigma_{\mathrm{pred}~i}$ 780 for the new D using U^T and V from a decomposition of a different D matrix results in a 781 Σ matrix that is no longer diagonal. However, by looking at the diagonal elements we can 782 estimate how much the U^T and V from one task explain the activity of neurons from a 783 different layout or in the cross-validated version – same layout but second half of the task. 784 More specifically, the diagonal elements tell us how strong the association between each U^{T} 785 and V vectors computed on one of the D matrix is in a different data matrix D. 786

787

Hence, when we looked at how much variance the combination of neural and temporal 788 components from one task explain in a different task, we looked at the cumulative diagonal 789 elements in Σ_{pred} . Selecting only the diagonal elements from the Σ_{pred} also means that the 790 meaningful comparison is between the cross-validated within task $\hat{\Sigma}_{\text{pred }i}$ and between task 791 $\Sigma_{\text{pred }i+1}$ as the cumulative sum of the singular values in either $\Sigma_{\text{pred }i+1}$ or $\Sigma_{\text{pred }i}$ will not 792 add up to a 100 % because the matrix is no longer diagonal in either cross-validated or 793 cross-layout conditions because the singular vectors U and V were computed on a different 794 data matrix data matrix D. Thus, we normalised the test weights by the peak of the 795 cross-validated cumulative weights. 796

To investigate how much variance either U or V singular vectors independently explain in the data matrix from a different task we removed the constraint for any Us or Vs to be linked to each other. We estimated how much variance the temporal components V on their own explain in the new task:

$$M_{\text{pred }i+1} = D_{i+11} V_{i2}$$

and cross-validated analogously:

$$M_{\text{pred }i=}D_{i2}V_{i1}$$

Similarly, to estimate how much variance the neural components U explained in a different task we computed:

$$M_{\text{pred }i+1} = U_{i2}^T D_{i+11}$$

And cross-validated analogously:

$$M_{\text{pred }i=}U_{i2}^T D_{i1}$$

⁷⁹⁷ To determine the significance of the differences between two regions we compared differences

⁷⁹⁸ in the data between PFC and CA1 against a null distribution of differences between areas

⁷⁹⁹ under the curve by shuffling the sessions between CA1 and PFC animals.