

1 **Characterization of a thalamic nucleus mediating habenula**
2 **responses to change in illumination**

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18

19 **Abstract**

20 **Background**

21 Neural activity in the vertebrate habenula is affected by changes in ambient
22 illumination. The nucleus that links photoreceptors with the habenula is not well
23 characterized. Here, we describe the location, inputs and potential function of this
24 nucleus in larval zebrafish.

25 **Results**

26 High-speed calcium imaging shows that onset and offset of light evokes a rapid
27 response in the dorsal left neuropil of the habenula, indicating preferential targeting
28 of this neuropil by afferents mediating response to change in irradiance. Injection of a
29 lipophilic dye into this neuropil led to bilateral labeling of a nucleus in the anterior
30 thalamus that responds to onset and offset of light, and that receives innervation from
31 the retina and pineal organ. Lesioning the neuropil of this thalamic nucleus reduced
32 the habenula response to light. Optogenetic stimulation of the thalamus with
33 channelrhodopsin-2 caused depolarization in the habenula, while manipulation with
34 anion channelrhodopsins inhibited habenula response to light and disrupted climbing
35 and diving that is evoked by irradiance change.

36 **Conclusions**

37 A nucleus in the anterior thalamus of larval zebrafish innervates the dorsal left
38 habenula. This nucleus receives input from the retina and pineal, responds to
39 increase and decrease in irradiance, enables habenula responses to change in
40 irradiance, and may function in light-evoked vertical migration.

41 **Keywords:**

42 Thalamus, Zebrafish, Calcium imaging, Habenula, Irradiance, Non-visual, Two-
43 photon imaging, diel vertical migration.

44 **Background**

45 The habenula is an evolutionarily conserved structure [1] that influences multiple
46 behaviors, ranging from fear [2–4], to learning [5 - 7], addiction [8], sleep [9],
47 aggression [10,11] and performance under stress [12]. One function of the habenula
48 is to regulate the release of broadly-acting neuromodulators such as serotonin,
49 dopamine, epinephrine and histamine [12–15]. To precisely control these
50 neuromodulators, the habenula integrates diverse variables including internal state,
51 reward value and sensory stimuli. This information reaches the habenula from
52 distinct sources. For example, circadian time is transmitted to the habenula by
53 hypocretin-secreting neurons located in the hypothalamus [16]. Negative reward or
54 punishment is conveyed by neurons of the entopeduncular nucleus (internal segment
55 of the globus pallidus) [17]. Olfactory stimuli evoke activity in the habenula [18,19] via
56 a direct innervation of mitral cells from the olfactory bulb [20]. Light as well as loss of
57 light can also cause activity in the habenula, as has been demonstrated in rat [21],
58 mouse [22], pigeon [23] and zebrafish [18,24], but the neurons regulating habenula
59 responses to changes in ambient illumination are not well defined.

60 The habenula is divided into two major regions based on pattern of connectivity. In
61 mammals, these are called the medial and lateral habenula, while in fish these are
62 the dorsal and ventral habenula [25]. In larval zebrafish, short pulses of red light
63 cause asymmetric depolarization of the dorsal habenula, with a stronger response on
64 the left side [18]. This response is lost in fish lacking eyes [18]. However, no direct
65 pathway from the retina to the habenula has been documented [26,27]. By retrograde

66 tracing in adult zebrafish, Turner et al proposed that the habenula receives input from
67 the nucleus rostromedialis [28], a diencephalic structure with retinal input that has
68 been described in several ray-finned fish [29,30]. Because injections into both left
69 and right habenula led to labeling of this structure, and also because of potential
70 artifacts in labeling, the authors concluded that the source of light-evoked activity in
71 the habenula could not be determined [28]. Here, we set out to characterize the
72 nucleus by which ambient light affects activity in the habenula and to explore the
73 function of this nucleus in innate responses to change in irradiance.

74 **Results**

75 **Habenula afferents mediating response to change in irradiance target the dorsal** 76 **left neuropil**

77 The zebrafish habenula consists of neurons surrounding neuropils that are
78 innervated by afferent neurons located in different regions of the brain [20,25,28,31].
79 To identify neurons that mediate light-evoked activity in the habenula, we sought to
80 determine which neuropils are affected by this stimulus; blue light was used as the
81 stimulus, as the habenula has a strong response to this wavelength [32]. We first
82 used wide-field microscopy, which has a large depth-of field and thus provides an
83 overview of stimulus-evoked fluorescence. Imaging was carried out on
84 *elavl3:GCaMP6f* fish, which have broad expression of the calcium indicator. The
85 exposure time (5 msec; 200 Hz) was shorter than the rise time of GCaMP6f (~80
86 msec; see supplementary table 1 in [33]), so the initial image primarily reflected
87 activity prior to effects of the stimulating light. With this approach, a rapid increase in
88 fluorescence was detected in a discrete region in the dorsal left habenula following
89 onset of the blue light used to excite the reporter (Fig. 1a-c; n = 5 fish). This suggests
90 that onset of light activates a neuropil in the left habenula.

91 Two-photon microscopy was used next, as this allows higher spatial resolution
92 imaging before, during and after delivery of a more precisely timed light stimulus.
93 Imaging was carried out using *GAL4s1011t, UAS:GCaMP6s* fish, in which expression
94 of the calcium reporter is restricted mainly to habenula neurons [19]. In agreement
95 with wide-field microscopy, onset of light was found to trigger a response in the
96 dorsal left neuropil of the habenula (Fig. 1d-h; blue pixels). Responses in the
97 neuropil, which contains dendrites of habenula neurons, correlated with but preceded
98 the response of the cell body of habenula neurons (Fig. 1i-k). These observations
99 suggest that neurons mediating the habenula response to light reside outside the
100 habenula and target the dorsal left neuropil.

101 In addition to responses to the presence of light, we also detected a response to the
102 offset of light in the dorsal left neuropil (Fig. 1g, h; magenta pixels). As with the
103 response to light ON, the light OFF response in the neuropil preceded the response
104 in habenula neurons (Fig. 1k). Two different classes of response to light OFF could
105 be seen in habenula neurons (Fig. 2). In one, the activity was suppressed during light
106 ON and increased after offset of light; in the other, there was an increase in activity
107 after the pulse of light but there was no decrease during the pulse (Fig. 2a-c). The
108 former class is referred to as INH (for “inhibited”). All three classes of neurons – ON,
109 OFF and INH – were more numerous in the dorsal left habenula as compared with
110 the right (Fig. 2d), similar to what has been reported before for neurons that respond
111 to the onset of red light [18]. Responses were seen in all ages examined (5 – 10 dpf)
112 (Fig. 2e), indicating that this phenomenon is not restricted to early stages of nervous
113 system development. These observations suggest that the dorsal left habenula is
114 innervated by neurons that respond to light and darkness.

115 **A thalamic nucleus innervates the habenula**

116 To identify afferents to the dorsal left neuropil, the lipophilic tracer DiD was injected
117 here (Fig. 3a; n = 10 fish). This led to bilateral labeling of a cluster of neurons
118 posterior to the zona limitans thalamica (ZLI) (Fig. 3b, c, Movie 1 (Additional File 1)).
119 In contrast, when the right dorsal habenula was injected with DiD, label was seen
120 primarily in the ipsilateral entopeduncular nucleus (Fig. 3d, Movie 2 (Additional File
121 2); n = 4 fish). We hypothesized that neurons innervating the dorsal left habenula
122 belong to a thalamic nucleus, given their position relative to the ZLI, which marks the
123 anterior limit of the thalamus. A feature of the thalamus in zebrafish is the presence
124 of GABAergic neurons at the rostral margin [34]; in general, first-order thalamic nuclei
125 contain GABAergic interneurons that synapse onto the axons of incoming sensory
126 neurons [35]. Thus, if the habenula-projecting nucleus were a thalamic nucleus, it
127 would be expected to contain GABAergic neurons that extend neurites into its
128 neuropil. Consistent with this, DsRed that was driven by the *gad1b* promoter [36] was
129 seen in cells posterior to the ZLI and in the neuropil of the anterior thalamus (Fig. 3e;
130 Movie 3 (additional file 3)). Additionally, immunofluorescence with the GAD65/67
131 antibody labeled the neuropil containing retrogradely labeled habenula afferents (Fig.
132 3f). Given the location and the presence of GABAergic neurons, the neurons that
133 innervates the dorsal left habenula appear to be located within an anterior thalamic
134 nucleus.

135 Thalamic nuclei usually contain glutamatergic projection neurons [35], but may in
136 rare cases extend GABAergic projections [37]. When DiD was injected into the dorsal
137 left habenula, approximately 45% of retrogradely labelled thalamic neurons
138 expressed eGFP under the control of the vGlut2 GAL4 driver [36] (arrowheads, Fig.
139 3b; Movie 4; n = 3 fish), consistent with projection neurons being glutamatergic. We
140 asked whether any of the afferent neurons might be GABAergic, as this is one

141 possible mechanism for the suppression of activity seen in INH neurons. However,
142 no retrograde DiD label was seen in thalamic cells labeled by *gad1b:DsRed* (see
143 Movie 1 and Movie 4), nor were there DsRed labeled neurites in the dorsal left
144 habenula neuropil (see Movie 3), as would be expected if there was innervation by
145 GABAergic neurons. Moreover, when the fixable dye CM-Dil was injected into the
146 dorsal left habenula, followed by immunofluorescence with the antibody to
147 GAD65/67, no double-labeled cells were seen (Fig. 3f, n = 6 fish). It is unclear
148 whether this is due to the low probability of labeling the relevant GABAergic cells with
149 lipophilic tracing or with the transgene, or, more simply, if there are no GABAergic
150 projections from the thalamus to the habenula. It is evident, however, that the
151 anterior thalamus sends glutamatergic projections to the habenula.

152 **Irradiance change evokes activity in the anterior thalamus**

153 If the anterior thalamic nucleus mediates illumination-dependent activity in the
154 habenula, neurons here should respond to increase and decrease of illumination. To
155 test this, calcium imaging was carried out in *GAL4s1020t, UAS:GCaMP6s* transgenic
156 fish, which expresses the calcium indicator in thalamic neurons. Given the depth
157 spanned by thalamic neurons innervating the dorsal left habenula (Fig. 3c), multiple
158 planes were imaged, using a piezo-drive for fast focusing and resonant scanning for
159 fast acquisition. A response to increase or decrease in illumination was detected in
160 cell bodies of the anterior thalamus (Fig. 4a-j) in all fish imaged (n = 10). A minority of
161 cells (yellow) responded to both increase and decrease. Responses could also be
162 seen in the thalamic neuropil (Fig. 4k-m), which would be expected to receive driver
163 (i.e. sensory [35]) inputs. The response to light was stronger for blue than for red light
164 (Fig. 4n-r) as was seen previously with lower resolution whole-brain imaging [32].
165 Thus, calcium imaging supports the hypothesis that the anterior thalamic nucleus
166 mediates responses to both increase and decrease in illumination, and also shows

167 that it is more responsive to blue rather than red light.

168 The habenula response to light has been reported to be eye dependent [19]. To test
169 if the habenula-projecting thalamic nucleus is innervated by retinal ganglion cell
170 (RGC) axons, Dil was injected into the retina in fish where DiD had been injected into
171 the dorsal left habenula. RGC axons could be seen to intermingle with neurites from
172 DiD labeled neurons in the neuropil of the anterior thalamus (Fig. 5a, Movie 5
173 (Additional File 5)). This terminal field may include the previously described AF4 and
174 AF2 [26,27], given the position anterior and medial to the optic tract. Consistent with
175 this, two regions within the anterior thalamus neuropil of *elavl3:GCaMP6f* fish (in
176 which retinal ganglion cells are labelled) responded to change in illumination, with
177 increase in irradiance causing activity more dorso-caudally while decrease caused
178 activity more rostro-ventrally (Fig. 5b-h).

179 If the anterior thalamic nucleus functions as a relay for information from the retina to
180 the habenula, the thalamic response to light should be absent in fish lacking eyes.
181 Surprisingly, although the response to light ON was reduced, a response to light OFF
182 could still be detected (Fig. 6a-l). This implies that there could be non-retinal inputs to
183 this nucleus. One potential source may be the pineal organ, as injection of Dil into
184 the pineal led to labelling of axons that extended into the neuropil of the anterior
185 thalamus (Fig. 6m, n; Movie 6 (Additional File 6)), and the pineal has a response to
186 light OFF (Fig. 6o, p). An OFF response was seen in the habenula in fish lacking
187 eyes (Fig. 6q-s), consistent with the habenula being a target of the anterior thalamus,
188 and the retina not being the sole source of sensory input to this nucleus.

189 **Lesion of the anterior thalamic neuropil inhibits habenula response to illumination** 190 **change**

191 To test if the anterior thalamic nucleus contributes to light-evoked activity in the

192 habenula, we lesioned the anterior thalamic neuropil with a femtosecond laser.
193 *elavl3:GCamp6f* fish were used, to enable imaging prior to lesioning so that the
194 thalamic neuropil could be visualized and the plane with the response to light
195 identified. Repeated pulsing with the laser led to the formation of a cavitation bubble
196 in the neuropil (Fig. 7a), a characteristic feature of two-photon lesioning of tissue
197 [38,39], and resulted in a reduction of both ON and OFF responses in the habenula
198 (Fig. 7b-g). As a control for specificity of the lesioning technique, we targeted the
199 parapineal, a light-sensitive organ that is located adjacent to the left habenula and
200 directly innervates the dorsal left neuropil (Fig. S1a (Additional File 7)). This did not
201 have any significant effect on habenula response to blue light or darkness (Fig. S1),
202 indicating that the lesioning technique used here does not cause indiscriminate
203 damage to surrounding tissue. Moreover, these observations suggest that the
204 parapineal does not have an essential role in habenula response to illumination
205 conditions, consistent with the findings of Dreosti et al [18], whereas the anterior
206 thalamus is required.

207 **Optogenetic manipulation of the thalamus affects habenula response to irradiance** 208 **change**

209 Physically lesioning the anterior thalamic neuropil with the femtosecond pulsed laser
210 is a difficult experiment, due to the presence of a blood vessel in the neuropil: ~80%
211 of lesioned animals could not be used due to bursting of this vessel. As an alternative
212 method of silencing the thalamus, we developed a transgenic line expressing the
213 anion channel rhodopsin ACR1 from *Guillardia theta* [40]. This channel generates a
214 chloride current in the presence of green or blue light, thus hyperpolarizing neurons.
215 We expressed this channel in thalamic neurons under the control of the *GAL4s1020t*
216 driver; expression in the anterior thalamus was confirmed by the presence of the YFP

217 tag (Fig. 8a, b). This channel can be actuated in larval zebrafish by blue or green
218 light at a power density of approximately $3 \mu\text{W}/\text{mm}^2$ (see accompanying manuscript)
219 [41]. With this level of light, fish expressing GtACR1 showed a reduced response in
220 the dorsal left neuropil to light ON compared to non-expressing siblings (Fig. 8c-j),
221 consistent with input from the thalamus being required.

222 Strikingly, there was a stronger response to the offset of light in GtACR1-expressing
223 fish, compared to non-expressing siblings (Fig. 8g-k). This may be the result of
224 depolarization at the termination of light-gated hyperpolarization, as has been
225 reported for other light activated chloride channels [42,43] and for GtACR1 (see
226 accompanying manuscript). This finding implies that depolarization of thalamic
227 neurons can drive habenula activity. To test this more directly, we examined the
228 effect of optogenetic activation of the thalamus with Channelrhodopsin-2 (Fig. 9).
229 This experiment was carried out in fish lacking eyes, to prevent a visual response.
230 Short pulses of blue light reproducibly caused an increase in fluorescence of
231 GCaMP6f in habenula neurons of fish with expression of ChR2 in the thalamus (Fig.
232 9b, f). No change in fluorescence was seen in the absence of blue light (Fig. 9c, d),
233 indicating that the activity is due to the stimulus. Some response was seen in fish
234 without ChR2 expression (Fig. 9e), suggesting that a component of the habenula
235 response may be due to non-ocular sensors such as deep brain photoreceptors
236 [44,45]. The larger response in fish with ChR2 expression (Fig. 9g-h), however, is
237 consistent with the hypothesis that a thalamic nucleus regulates activity in the
238 habenula of larval zebrafish.

239 **Optogenetic manipulation of the thalamus disrupts an innate behavioral response**
240 **to irradiance change.**

241 Finally, we asked whether the anterior thalamic nucleus might be involved in an

242 innate behavior that is responsive to change of light. We hypothesized that one such
243 behavior may be light-evoked vertical migration [44]. Larval zebrafish normally move
244 upwards to the surface of a water column in the presence of blue or green light, but
245 move downwards when the lights are switched off [32]. We tested the effect of
246 optically manipulating the thalamus using the anion channel rhodopsins, reasoning
247 that the presence of these channels would disrupt normal light-controlled responses:
248 if no difference was seen, then the hypothesis should be rejected.

249 Fish expressing GtACR1 or GtACR2 under the control of the *GAL4s1020t* driver
250 behaved differently from siblings (Fig. 10a, b). Rather than swimming upwards in the
251 light, GtACR-expressing fish were seen to move downwards in the light and to swim
252 upwards in the dark. This is reflected by a reversal in the correlation between position
253 in the tank and illumination status in GtACR1 or GtACR2-expressing fish, in contrast
254 to non-expressing siblings (Fig. 10c, e). One potential reason for GtACR-expressing
255 fish to swim upward at the offset of light could be that there was a lack of space to
256 move downwards, given their starting position near the bottom of the tank. To test
257 whether space was a constraint, we plotted the direction of initial movement at light
258 OFF as a function of position (Fig. 10d). Although GtACR1 fish were predominantly
259 located near the base of the tank, a number were located in the middle of the tank,
260 i.e. between a relative depth of 0.25 and 0.75, where they would have space to move
261 up or down. A comparison of the behavior of fish in this region, using multilevel
262 analysis to rule out nesting effects caused by repeated measures on the same fish
263 [45], indicated significant difference between GtACR1-expressing fish and siblings
264 ($\chi^2=6.8958$, $p = 0.0088$, $df = 1$). We also investigated whether the lack of climbing
265 could be due to an inability to swim in the presence of light, given that the
266 *GAL4s1020t* line can drive effector genes in motor neurons [46]. As shown in Fig.
267 10g-h, both GtACR1 and GtACR2 expressing fish moved less than siblings in the

268 presence of light, although there was not a complete cessation of movement. Thus,
269 while some of the loss of climbing in the presence of light could be due to nonspecific
270 effects, the overall result, including the downward movement in the light and climbing
271 at light offset is consistent with the hypothesis that the anterior thalamic nucleus has
272 a role in climbing behavior that is normally triggered by light.

273 **Discussion**

274 Imaging with wide-field and two-photon microscopy demonstrates that the dorsal left
275 neuropil of the zebrafish habenula is stimulated by change in light, consistent with
276 previous reports of an asymmetric response in habenula neurons to a flash of light
277 [18]. Lipophilic tracing demonstrates that this neuropil is asymmetrically innervated
278 by a nucleus in the anterior region of both left and right thalamus. The anterior
279 thalamic nucleus receives input from the retina and pineal, and responds to change
280 in irradiance. Lesion of the anterior thalamic neuropil or optogenetic silencing of the
281 thalamus inhibited light-evoked activity in the habenula, while optogenetic stimulation
282 of the thalamus drove activity in the habenula. Thus, by optical recording, anatomical
283 tracing, optical manipulation and lesion, our data suggests that an anterior thalamic
284 nucleus mediates the habenula responses to irradiance change in larval zebrafish.

285 The thalamic nucleus that projects to the habenula can be functionally separated into
286 two domains, based on the response to light – excitation to light OFF in the anterior-
287 ventral regions and excitation to light ON more dorso-posteriorly. This neuropil
288 contains two previously defined targets of retinal ganglion cells, AF2 and AF4 [27],
289 that have this location. AF4 is innervated predominantly by M3 and M4 retinal
290 ganglion cells, which extend their dendritic tree into the proximal layer of the inner
291 plexiform layer and are considered ON neurons [27]. AF2 is innervated by B1 retinal
292 ganglion cells that have dendrites in the distal layer [27], and these may account for
293 the OFF responses in the thalamus and habenula. The pineal may also be

294 responsible for a component of OFF responses: pineal cells appear to depolarize in
295 darkness, and pineal fibers innervate the thalamic neuropil of larval zebrafish, as has
296 been reported for adult zebrafish [47].

297 As in the anterior thalamus, a response to the loss of light was seen in the habenula.
298 This has a number of implications. Firstly, this suggests that darkness itself may be a
299 stimulus, in which case the level of activity in habenula neurons during darkness prior
300 to a light stimulus cannot be taken to be a “ground” state. Such activity may include
301 what has been termed spontaneous activity [48], but may additionally reflect the
302 current state of the animal (i.e. the effects of being in the dark, which is aversive to
303 larval zebrafish [49]). Secondly, the fact that there is more than one class of
304 habenula response to darkness implies that there may be more than one mechanism
305 involved. In particular, the suppression of activity in the presence of light in INH
306 neurons implies that a part of the OFF response could involve active inhibition. As
307 yet, there is no evidence that there is direct hyperpolarization of habenula neurons
308 during light ON. However, inhibition need not occur in the habenula, but could occur
309 in the thalamus, where there are GABAergic neurons that extend neurites into the
310 thalamic neuropil. Inhibition of thalamic OFF neurons by thalamic ON neurons, for
311 example, could lead to the observed pattern in habenula INH neurons.

312 The thalamic nucleus mediating activity in the habenula may represent the nucleus
313 rostromedialis, as proposed by Turner et al [28]. The nucleus rostromedialis was
314 initially described as a dorsal thalamic nucleus that receives retinal input [50].
315 However, it was more recently suggested that this nucleus is an extension of the
316 habenula, due to apparent innervation of the interpeduncular nucleus (IPN) [30]. We
317 find no evidence that the nucleus identified here has a direct connection to the IPN.
318 The *GAL4s1020t, UAS:GCaMP6s* line which was used for calcium imaging the
319 thalamic response, for example, does not label axons extending to the IPN.

320 Moreover, the *GAL4s1011t* driver, which labels the habenula neurons and axons that
321 extend to the IPN, does not label the nucleus with retinal input. It is thus unclear
322 whether the nucleus identified here is different from the nucleus rostrrolateralis
323 described in the butterfly fish, or if there was a labeling artifact in the tracing
324 experiment [30].

325 While this manuscript was in review, it was suggested that light-evoked activity in the
326 habenula is driven by input from the thalamic eminence (EmT) [51], an “ambiguous
327 thalamic structure” [34] that has been proposed to give rise to the glutamatergic bed
328 nucleus stria medullaris (BNSM) [34,52] or the ventral entopeduncular nucleus, a
329 homolog of the globus pallidus [28]. However, the nucleus characterized here is
330 distinct from the ventral entopeduncular nucleus, which is located more anteriorly and
331 ventrally [28]. It also contains GABAergic neurons, and is thus unlikely to be the
332 BNSM. It is possible that the nucleus here is an additional derivative of the EmT,
333 although this remains to be demonstrated with lineage tracing. Intriguingly, Zhang et
334 al [51] show that the retinal inputs to AF4 express the melanopsin-related gene
335 *opn4xa*, consistent with our finding that the thalamic response to light is stronger for
336 blue light relative to red light, and another report that the habenula response is
337 stronger for blue light [32]. In mammals, melanopsin expressing retinal ganglion cells
338 target a number of thalamic structures, including the intergeniculate leaflet and the
339 margin of the lateral habenula [53]. The latter region may correspond to the para-
340 habenular termination zone, which is located in the anterodorsal thalamic nucleus
341 [54]. Whether either of these regions is homologous to the zebrafish nucleus
342 described here remains to be determined.

343 Neurons in the anterior thalamus have a prominent sustained response to blue light
344 (see Fig. 4a-e and [32]), and may be involved in a behavior that is evoked by blue
345 light, which is vertical migration. This response is disrupted by expression of anion

346 channelrhodopsins in the anterior thalamus, suggesting that the behavior is not
347 independent of the thalamus. A limitation of this experiment, however, is that the
348 driver line used also causes expression of the channel in spinal motor neurons [46].
349 Silencing of these neurons may contribute to reduced ability of GrACR1 and GtACR2
350 fish to move upwards in the light. However, the offset of light, which causes activity in
351 networks containing light-gated chloride channels (see Fig. 8) [43,55], led to upward
352 movement. This is unlikely to be due only to rebound activation of motor neurons, as
353 there is a choice of which direction to move. Instead, the upward movement at light
354 offset is consistent with the hypothesis that activation of the thalamus may drive
355 vertical migration.

356 A projection from the thalamus to the habenula may be evolutionarily conserved in
357 vertebrates. Using retrograde tracing with horseradish peroxidase, a projection from
358 the dorsal thalamus to the habenula has been reported in a lizard [56] and in the frog
359 [57]. In humans and rabbits, a thalamo-habenula projection was proposed many
360 years ago based on degeneration experiments [58,59], but evidence with modern
361 tracing techniques is lacking. Hints of a projection can be seen in a tracing
362 experiment performed in rats [60], but this remains to be confirmed. The mesoscale
363 mouse connectome project [61] also suggests that such a projection may exist, but
364 the large volume of label means that the possibility of label from neighboring regions
365 cannot be excluded. In humans, resting state functional magnetic resonance imaging
366 indicates that the habenula and thalamus are directly connected [62,63]. However, it
367 remains to be determined whether this connection is direct. The findings in lower
368 vertebrates suggest that it may be worthwhile revisiting efferent connectivity of the
369 anterior thalamus in mammals and investigating if and how this mediates non-visual
370 responses to light.

371 **Conclusions**

372 A nucleus in anterior thalamus of zebrafish enables habenula responses to increase
373 and decrease in ambient illumination. This nucleus is innervated by the retina and
374 pineal. It may function in vertical migration triggered by light.

375 **Methods**

376 Experiments were performed in accordance with guidelines issued by the Institutional
377 Animal Care and Use Committee of the Biological Resource Centre at Biopolis,
378 Singapore.

379 **Fish lines**

380 Zebrafish (*Danio rerio*) lines used for this study were: *UAS:GCaMP6s^{sq205}*, *SqKR11Et*
381 *[2]*, *sqKR4Et [64]*, *GAL4s1011t [65]*, *GAL4s1020t [65]*, *UAS:GCaMP3^{sq200}*,
382 *elavl3:GCaMP6f¹²²⁰⁰*, *UAS:ChR2-eYFP [66]*, *gad1b:DsRed [36]*, *vGlut2a:GAL4 [36]*,
383 *UAS:eGFP*, *UAS:GtACR1 [55]*, *UAS:GtACR2 [55]*, *elavl3:GCaMP6f* (Wolf et al, in
384 press) and AB wildtype.

385 **Imaging**

386 Zebrafish larvae were anaesthetized in mivacurium and embedded in low-melting
387 temperature agarose (1.2-2.0 % in E3; egg water: 5 mM NaCl, 0.17 mM KCl, 0.33
388 mM CaCl₂, 0.33 mM MgSO₄) in a glass-bottom dish (Mat Tek). They were imaged
389 on a Nikon two-photon microscope (A1RMP), attached to a fixed stage upright
390 microscope, using a 25x water immersion objective (NA = 1.1). The femtosecond
391 laser (Coherent Vision II) was tuned to 920 nm for GCaMP imaging. Stacks were
392 collected in resonant-scanning mode with a 525/50 nm bandpass emission filter and
393 with 8x pixel averaging; single-plane images were collected in galvano-scanning
394 mode with 2x pixel averaging.

395 Light stimuli were generated by 5 mm blue LEDs (458 nm peak emission). They were
396 powered by a 5 V TTL signal from a control computer and synchronized with image
397 capture using a National Instruments DAQ board, controlled by the Nikon Elements
398 software. Light intensity at the sample was 0.13 mW/cm^2 .

399 For widefield microscopy, excitation was provided by LEDs (Cairn OptoLED) at 470
400 nm. Images were captured on a Zeiss Axio Examiner with a 20x water immersion
401 objective, using a Flash4 camera (Hamamatsu) controlled by MetaMorph. After
402 background subtraction, change in fluorescence was measured using MetaMorph.

403 **Image data analysis**

404 **Initial Data Preprocessing:** Data was analysed using custom written codes in
405 Python. Raw images obtained were first registered using cross-correlation to correct
406 for any vertical/horizontal movement artifacts. Then, a median spatial filter of size 3
407 was applied to remove spatial noise. A darker region outside the fish was chosen as
408 the background and subtracted from the image to remove any signal that did not
409 arise from GCaMP fluorescence. Non linear trends in the data were detrended using
410 polynomials of order 2-3.

411 **Pixel based analysis in single fish:** In order to look at the overall spatial distribution
412 of responses, which included both neuropils and cells, we performed clustering via *K-*
413 *means* using the Thunder platform [67]. Data here were normalized into Z-scores by
414 subtracting the overall mean and dividing by the standard deviation of each pixel over
415 time and smoothed with a rolling window. Since pixel based analysis are sensitive to
416 noise, and neighboring pixels with the same response could have varying standard
417 deviation (in case of cell segmentation, pixels forming an ROI are averaged to obtain
418 its intensity value), z-scores that account for both mean and standard deviation were
419 used. Clusters obtained using pixel-based k-means analysis also provided the basis

420 for the type of responses we looked for in segmented neurons.

421 ***K-means***: *K-means* clustering was performed to identify pixels with similar
422 responses profiles. This algorithm classifies the pixels into clusters, where the
423 number of clusters, k , is chosen by the user. The end results are k cluster centers
424 and labelling of pixels that belong to each cluster. Given the uncertainty of the
425 optimal cluster number, an iterative approach was used to separate pixels relating to
426 evoked responses versus pixels that do not (here referred to as independent
427 clusters). The number of clusters were chosen to reveal as many stimulus related
428 clusters as possible, until there was little change in the number and types of stimulus
429 related clusters and increase in independent clusters. In normal fish, clusters related
430 to evoked activity were easy to obtain. Clusters that are stimulus-independent were
431 removed from the spatial and temporal plots for clarity. Examples of such clusters are
432 shown in Fig. S2 (Additional File 8). In all cases, *K-means* cluster centers showing
433 evoked responses to light ON were colored in shades of blue and those showing
434 responses to light OFF were colored in shades of red. Pixels belonging to the cluster
435 were colored similarly and superimposed on an average image of the plane
436 analysed. In different datasets (Fig. 1d-e, Fig. 4a-f, 4n-o and 5c-e), this analysis
437 provided an optimal k of 6-10. The 2-4 clusters that did not correspond to evoked
438 activity were not included while plotting.

439 **Cell Segmentation**: Cells were manually segmented in ImageJ. The average
440 intensity of pixels within an ROI across time were saved for further analysis. $\Delta F/F_0$ of
441 the temporal traces were calculated by subtracting and then dividing by the mean of
442 the total fluorescence during a baseline period (usually 10 seconds before first
443 stimulus). A rolling window average was performed to smooth traces.

444 **Classifying responses:** Pixel based *K-means* analysis revealed many categories of
445 responses to changes in irradiance. Using that as a basis, temporal traces from the
446 cells were first broadly classified as those responding to light ON or light OFF. This
447 was done by calculating their correlation coefficient to a square wave that is 1 when
448 the light is ON or when light is OFF and 0 during other time periods. High correlation
449 to these traces indicated that the pixel or cell is responding to light ON or OFF
450 respectively (from multiple runs, a correlation coefficient of 0.4 and above seemed to
451 provide accurate classification). Inspecting the cell traces in the ON and OFF
452 categories revealed further classifications that could be made based on time of
453 response (transient or sustained) and direction of response (excitatory or inhibitory).
454 Cells responding transiently to both ON and OFF were also found. The temporal
455 traces from the many categories in individual fish are plotted as heatmaps (for
456 example, Fig. 2a, 4g). In experiments looking for the presence or absence of activity
457 (effects of anterior thalamus neuropil ablation, parapineal ablation, enucleation, red
458 vs blue response), the broad categories of ON and OFF were used. Spatial
459 distribution of these categories are also plotted (for example, Fig. 2b, 4h).

460 **Neuropil responses:** Similar to the cell responses, pixels from habenula, thalamic
461 neuropil and the pineal were similarly classified. Pixels from multiple fish were
462 overlaid on each other and image transparency was adjusted to view the compiled
463 response. Since locations of responses were largely similar and different classes
464 spatially distinct in the neuropil of individual fish, the overlay did not mask any
465 response.

466 **Boxplots:** Where possible, boxplots were plotted to show the full distribution of the
467 data. The box in the boxplot ranges from the first quartile to the third quartile, and the
468 box shows the interquartile range (IQR). The line across the box is the median of the
469 data. The whiskers extend to $1.5 \times \text{IQR}$ on either side of the box. Anything above this

470 range are defined as outliers and plotted as black diamonds in the plots.

471 **% of active cells/pixels vs % of cells/pixels** : % of active cell/pixels were
472 calculated by dividing the number of active cells / neuropil pixels by the total number
473 of cells / neuropil pixels. These provide an indication of the response across
474 individual animals and have been shown as boxplots or individual data points.
475 Histograms, on the other hand, display % of cells/pixels, which are obtained by
476 dividing number of cells / neuropil pixels with a particular $\Delta F/F_0$ by the total number of
477 cells / neuropil pixels.

478 **Statistics:** The Kolmogorov-Smirnov test (KS-test) was used to calculate the
479 differences in distribution of amplitude or response duration. Histograms are shown
480 in all cases. For non parametric paired distributions of number of cells, a Wilcoxon
481 signed rank test was used and a Mann Whitney U test was used for independent
482 data. Test statistic and p-values are reported.

483 **Neural tracing**

484 DiD (Thermo Fisher Scientific) was dissolved in 50 μ l ethanol to make a saturated
485 solution. This was heated to 55°C for 5 minutes prior to injection into the fish that had
486 been fixed in 4% paraformaldehyde. Fish were mounted in 2% low melting
487 temperature agarose dissolved in PBS. The dye was pressure injected into the
488 habenula under a compound microscope (Leica DM LFS), using a 20X water
489 immersion objective. For labeling the retina, a saturated solution of Dil (Thermo
490 Fisher Scientific) in chloroform was used. Injections were carried out under a
491 stereomicroscope (Zeiss Stemi 2000). After injections, fish were stored at 4°C
492 overnight to allow tracing, and then imaged with a 40x water immersion objective on
493 a Zeiss LSM 710 confocal microscope.

494 CM-DiI (Thermo Fisher Scientific) was dissolved in ethanol (1 $\mu\text{g}/\mu\text{l}$). Fish were
495 mounted in 2% agarose in E3, injected on a compound microscope, then allowed to
496 recover in E3 at 28°C for 4 hours.

497 **Antibody label**

498 Larvae were fixed in 4% para-formaldehyde/PBS overnight at 4°C. They were then
499 rinsed in PBS. The brains were dissected out, and permeabilized using 1% BSA
500 (fraction V; Sigma), 0.1% DMSO and 0.1% Triton X-100. The anti-GAD65/67 (Abcam
501 ab11070, RRID:AB_297722; 1:500) has previously been used in zebrafish [2,68].
502 The brains were incubated in the primary antibody overnight, rinsed several times in
503 PBS, then incubated in secondary antibody (Alexa 488 goat anti-rabbit; 1:1000). After
504 washing, these were mounted in 1.2% agarose/PBS. Imaging was carried out using a
505 Zeiss LSM 800 laser scanning confocal microscope, with a 40x water immersion
506 objective.

507 **Enucleation**

508 5 day-old fish were anaesthetized in Ringer's saline containing buffered tricaine. The
509 eyes were removed using electrolytically sharpened tungsten needles. Fish were
510 allowed to recover for several hours in anesthetic-free saline. Activity was recorded 2
511 - 4 hours after eye removal. To enable lateral imaging of the thalamus (Fig. 5c,d),
512 one eye was removed using this method.

513 **Optogenetic stimulation**

514 5 dpf *GAL4s1020t*, *UAS:ChR2-eYFP*, *elavl3:GCaMP6f* larvae were used. All
515 experiments were performed on fish lacking eyes. Fish were mounted in 1.2%
516 agarose in Ringer's saline, and imaged using two-photon microscopy as described
517 above, at 1 Hz. Optical stimulation was carried out using a 50 μm fiber optic probe

518 (Doric Lenses). The probe was held with a pipette holder (UT-2, Narishige), and the
519 tip was positioned approximately 20 μm from fish, at the level of the thalamus, using
520 a hanging drop micromanipulator (MO-202U, Narishige). The 465 nm LED (Doric)
521 was driven with a current of 900 mA, 30 seconds after the start of imaging. 10 pulses
522 were provided, with a pulse duration of 25 milliseconds and a frequency between 1
523 and 8 Hz. Each fish was exposed to at least 3 pulse trains. For Fig. 9b-c, the average
524 of the first 29 frames was used as a reference. The ratio of all frames relative to this
525 reference was obtained using FIJI (RRID:SCR_002285). The analysis to generate
526 Fig. 9g was blind to the genotype.

527 **Laser ablation**

528 *elav3:GCaMP6f* larvae were anaesthetized and then mounted in 2% low-melting
529 temperature agarose. First, the response of dorsal habenula neurons to light pulses
530 was recorded. Lesions were then created with the femto-second laser tuned to 960
531 nm and fixed on a single point. Several pulses, each lasting 100 - 500 msec, were
532 used. Lesioning was monitored by time-lapse imaging GCaMP6f fluorescence before
533 and after each pulse, and was terminated when a cavitation bubble was seen; this
534 was visible by simultaneously collecting light at 595 nm. Animals with bleeding in the
535 brain after lesioning, due to bursting of a blood vessel in the thalamus, were
536 discarded. The dorsal habenula was then re-imaged at the focal plane that was
537 initially recorded, as determined by the focus motor, with care taken to ensure that
538 cell shapes matched.

539 **Vertical migration**

540 As described elsewhere [32], six naive larvae were tested simultaneously. Fish were
541 placed individually in a chamber (3 cm L x 1 cm W x 5 cm H). After 3 min of
542 adaptation to light and habituation to the chamber, 6 cycles of alternating light/dark

543 were delivered, each consisting of 1 min light ON and 1 min light OFF. A green (24V,
544 525 nm peak, TMS-lite) or blue (470 nm peak, TMS-lite) LED backlight was the only
545 visible light source in the incubator. The intensity of the green light was 3.8 mW/cm^2 ,
546 while the intensity of blue light was 6.0 mW/cm^2 , as measured using a Thorlabs light
547 meter (PM100A and S120VC). Videos were taken at 17 fps, 1096 x 1096 pixel
548 resolutions, using custom-written Python codes for real-time tracking of the fish
549 position in the tank. The codes also control a USB3.0 Basler camera (acA2040-
550 90umNIR) attached with a 1:1.8/4 mm lens (Basler) and a 830 nm longpass filter
551 (MIDOPT, LP830) for capturing images at the IR range. Four infrared LED bars (850
552 nm peak TMS-lite) were used for illumination. The LED backlight was controlled by
553 Python codes driving a microcontroller board (Arduino Uno) connected to a power
554 supply switch (TMS-lite). The entire experiment for one transgenic line was carried
555 out in one afternoon (3-6 pm). A total of 57 fish were tested (18 GtACR1, 17 control
556 siblings, tested at 8 dpf; 10 GtACR2, 12 control siblings, tested at 11 dpf).

557 Expression of GtACR1 or GtACR2 in each fish was determined after the experiment
558 using a fluorescent stereo microscope. No fish was excluded from analysis. The x-y
559 coordinate data were analyzed using custom-written macros in Excel (Microsoft). The
560 correlation coefficient of each fish (Fig.10c, e) was calculated using the *correl*
561 function in Excel to correlate the vertical position of the fish in the tank (normalized
562 from 0-bottom to 1-top) with the LED backlight status (0-OFF and 1-ON). To
563 determine the initial movement of the fish upon each light offset especially when the
564 fish was in the middle of the tank (defined as between 0.25 and 0.75 in the y-axis),
565 we calculated the position of the fish at the 6th sec after light offset (i.e after the first
566 10% of darkness). Upward movement is defined as vertical position at $t_6 > t_0$ (red
567 dots in Fig.10d, f) and downward movement is defined as vertical position at $t_6 < t_0$
568 (blue dots in Fig.10d, f). Because each fish has more than 1 data point in the 6

569 ON/OFF cycles in Fig.10d, a multilevel analysis was conducted to rule out the nested
570 cluster (fish). Locomotion was calculated as distance moved by each fish under light
571 ON and OFF and averaged across 6 cycles.

572 **Declarations**

573 **Availability of data and material**

574 The code and data used are available in the Figshare repository,
575 <https://figshare.com/s/68c165f8868eca15cbb9>.

576 **Competing interests**

577 The authors declare that they have no competing interests.

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583 **Author contributions**

584 Experiments were designed by CRK, QL and SJ. CRK carried out two-photon
585 imaging, and optogenetic experiments with anion channelrhodopsins. SK developed
586 software and analyzed imaging data. QL performed parapineal lesion. CK generated
587 the *UAS:GCaMP6s* line. SJ performed wide-field imaging and analysis, dye tracing,
588 antibody label, ChR2 manipulation and wrote the manuscript.

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770 **Figure legends**

771 *Fig. 1 Overview of the habenula response to onset and offset of light*

772 **a, b** Dorsal view of the fore and midbrain of 5 day old *elav13:CaMP6f* fish, imaged

773 with widefield fluorescence microscopy at 200 Hz. The time since start of illumination
774 is shown at the top right. The wedge indicates the ratio of fluorescence relative to the
775 first frame. There is an increase in GCaMP6f fluorescence in the left habenula
776 (arrowhead in b). **c.** Maximum $\Delta F/F_0$ value in the left and right habenula after onset
777 of light in five different fish. Each circle is one fish and the line joins data points from
778 the same fish. **d-f** Two photon imaging of the habenula in a 7dpf *GAL4s1011t*,
779 *UAS:GCaMP6s* fish, at 13 Hz. **d** Average of the time-lapse sequence, showing
780 anatomy. The dorsal left neuropil is indicated by the yellow arrowhead. **e** Spatial
781 distribution of responses to pulses of light. Pixels are color-coded according to the
782 temporal pattern of response, as indicated in panel f. **f** Centers of clusters obtained
783 from running *K-means* on the time series of pixels in panel d. Cluster centers are
784 colored in shades of blue for responses to light onset (On) and magenta and orange
785 for responses to light offset (Off). The presence of light is indicated by the blue bars.
786 **g, h** Neuropil response summarized from imaging 10 fish exposed to 7 pulses of blue
787 light. **g** Pixels in the left neuropil from all fish could be classified into three main
788 classes. They are pseudocolored and overlaid on an average image of a 6 dpf fish.
789 The largest response was a transient response to light on (blue). A sustained
790 response to light On (cyan) and Off (magenta) were also seen. Responses were
791 reproducible in all ten fish. **h** Average traces obtained from neuropil pixels, shown
792 here for two pulses of light. **i** Percentage of cells active to light On and Off in the
793 habenula is correlated with the percentage of active pixels in neuropil. The transient
794 and sustained neuropil responses were combined into ON. Percentage of active cells
795 or pixels were calculated by dividing the number of cells/pixels active to the stimulus
796 by the total number of segmented cells or neuropil pixels. Each circle per category is
797 one fish. The bold lines show best fit (linear regression). *r* is the correlation
798 coefficient. **j-k** Cumulative probability of peak $\Delta F/F_0$ response in cells and neuropil

799 pixels responding to light On (j) and light Off (k). The response in the neuropil
800 precedes the response in cells. P-value and test statistic (D) were obtained by a KS
801 test between categories in the first 5 seconds. In panel j, the dark gray * (left) is the
802 result of comparison between neuropil transient On and cell On, while light gray *
803 (right) between neuropil sustained On and cell On. rHb: right habenula; lHb: left
804 habenula. Pa: pallium; OT: optic tectum. a: anterior; p: posterior. Scale bar = 25 μ m.

805 **Fig. 2 Response of habenula neurons to pulses of light**

806 **a-d** The dorsal habenula response to 7 pulses of blue light in n=10 fish (*GAL4s1011t*,
807 *UAS:GCaMP6s*, 5-7 dpf). **a** Heatmaps from 5 example fish showing responses in
808 cells that were classified as On, Off or Inhibitory (Inh). The colors indicate $\Delta F/F_0$, as
809 shown in the colorbar. Responses in each fish are sorted in ascending order of mean
810 $\Delta F/F_0$. Black horizontal lines separate each fish. The bold vertical lines correspond to
811 light onset while the dashed lines indicate offset. The presence of light is also
812 indicated by the blue bars. The height of the heatmaps represent the number of cells
813 as indicated by the vertical scale bar. **b** Overlay of cells segmented from all fish. A
814 small circle was drawn around the centroid of the segmented cell. Three main
815 classes of activity are shown. Blue indicates cells responding to light ON (ON), green
816 cells are inhibited by light (Inh) and magenta cells are activated in the absence of
817 light (OFF). Hollow circles did not show an evoked response. The gap in the left
818 habenula indicates the neuropil region. **c** Averaged traces from the cells in panel b,
819 showing the response of different classes for the first two pulses of light. **d** Boxplots
820 showing distribution of cells responding to different classes in the left and right
821 habenula. Each circle is one fish and the line joins data points from the same fish. **e**

822 K-means clustering of pixels in the habenula of fish from 5-10 dpf as indicated. Pixels
823 are colored blue if they respond to light ON and magenta if they respond to light OFF.
824 Data from each fish was analysed separately. Individual traces of the cluster
825 centroids are not shown here but are similar to Fig. 1f. All fish have a response to
826 light onset in the dorsal left neuropil.

827 ***Fig. 3 Anatomical characterization of thalamic neurons projecting to the habenula***

828 **a** An example of DiD injection (cyan) into the dorsal neuropil of the left habenula
829 (yellow arrowhead). The dorsal neuropil of the right habenula contains afferents from
830 the entopeduncular nucleus (labelled by the SqKR11Et line; magenta) and has no
831 DiD labelled neurons, indicating specificity of the injection. **b** Dorsal view of the
832 thalamic region of a 7 day old fish following DiD injection into the dorsal left habenula
833 neuropil. Arrowheads indicate retrogradely DiD-labelled neurons that express eGFP
834 (shown in yellow) under the control of the vGlut2a GAL4 driver. **c** Lateral view of the
835 fish in panel b, showing DiD labelled neurons on the right side of the brain. **d** Dorsal
836 view of another larva, in which the dorsal right habenula had been injected with DiD.
837 Retrogradely labelled neurons are located in the entopeduncular nucleus. **e** A double
838 transgenic fish, with glutamatergic neurons shown in green, and GABAergic neurons
839 shown in magenta. The neuropil in the anterior thalamus (arrow) contains magenta
840 label (e''), indicating the presence of GABAergic fibers. **f** Lateral view of a 7 dpf larva
841 following injection of CM-Dil into the dorsal left habenula and labelling with anti GAD
842 65/67. The region of the neuropil containing CM-Dil labeled neurites (red;
843 arrowheads; f'') is labelled with the GAD65/67 antibody (cyan; f'). All panels except **c**
844 are single optical sections. Pa: pallium; rHb: right habenula; lHb: left habenula; fr:
845 fasciculus retroflexus; Th: thalamus. EN: entopeduncular nucleus; OT: optic tectum;
846 otr: optic tract; ZLI: zona limitans intrathalamica. Scale bar = 25 μ m. a: anterior; p:
847 posterior; d: dorsal; v: ventral.

848 **Fig. 4 The response of thalamic neurons to irradiance change.**

849 **a-e** Activity in five different focal planes of a 5-day-old fish expressing GCaMP6s in
850 thalamic neurons (arrows). Numbers indicate depth. The colours represent K-means
851 cluster centers shown in panel **f**, with blue indicating ON responses and magenta
852 indicating OFF responses; cyan pixels have a response to ON and OFF. **g-j**
853 Quantitative analysis of response of anterior thalamic neurons of *GAL4s1020t*,
854 *UAS:GCaMP6s* fish (5-6 dpf) to pulses of blue light. Note that this driver is not
855 expressed in afferent retinal ganglion cells. **g**. Responses in cells from 10 fish at
856 three different focal planes. Four pulses of blue light were given and imaging was
857 done at 7 Hz. **g**. Heatmaps of individual cells in five example fish, showing major
858 classes of responses seen in thalamic neurons: excitation to light ON, light OFF, or
859 both ON and OFF (yellow). **g** and **g'** have different scales. **h**. Segmented cells in all
860 fish overlaid and colored by their response. **i**. Traces showing mean responses of
861 cells in panel **h** for two blue pulses. **j** Percentage of cells responding to light ON, OFF
862 or both ON and OFF. **k**. Neuropil responses to pulses of light. Pixels with different
863 response classes from all fish were pseudo-colored and overlaid on an average
864 image from a 5dpf, *GAL4s1020t*, *UAS:GCaMP6s* fish. **l** Average traces of responses
865 in panel **k**. **m**. Percentage of neuropil pixels responding to light ON, OFF or to both
866 ON and OFF. **n-r** Thalamus response to blue and red light. **n**. Spatial distribution of
867 responses, color coded according to the *k-means* cluster centers in panel **o**, with
868 blue pixels showing a sustained response to light ON, while magenta pixels and
869 orange pixels are a mixture of responses to both ON and OFF. **Z** is the Z-score. **p**.
870 Heatmaps of cells responding to 3 pulses of blue light followed by 3 pulses of red
871 light in n=6 *GAL4s1020t*, *UAS:GCaMP6s* fish. Cells were classified as responding to
872 light ON or OFF. While the same cells responded to both blue and red light, the
873 amplitude of responses were lower to red light. **q**. Peak amplitude of response during

874 light ON and OFF is higher to blue light than red light. Each circle represents one fish
875 and lines join data points from the same fish. Crosses and diamonds represent
876 median amplitude. **r.** Histogram showing amplitude of responses during blue (blue
877 traces) and red (red traces) light ON (left panel) and OFF (right panel). Each trace is
878 response distribution from all cells in a single fish. P-values and test statistic (D) were
879 obtained using KS-Test on cumulative response distribution from all fish shown in the
880 inset in **r.** Th:Thalamus.

881 **Fig. 5 Anatomical and physiological characterization of the anterior thalamic**
882 **neuropil**

883 **a.** Lateral view of a 6 day old fish following injection of DiD (cyan) into the dorsal
884 neuropil of the left habenula and Dil (yellow) into the right retina. Arrows indicate
885 terminals from retinal ganglion cells in the vicinity of fibers from habenula afferents.
886 See Movie 5. **b** Illustration of a fish larvae, showing the region imaged in panel a (red
887 box) and in panels c and d (black box). **c-h** Response in the anterior thalamic
888 neuropil to pulses of light. **c** Average projection of a lateral view of an
889 *elavl3:GCaMP6f* fish, showing the thalamic neuropil (arrowhead). **d, e** The response
890 to four pulses of blue light. Colours show the *K-means* cluster centers represented in
891 panel e. The regions responding to light ON and light OFF are distinct in the thalamic
892 neuropil. Responses can also be seen in the habenula. **f-h** Quantitation of the
893 anterior thalamus neuropil response to light pulses in 8 fish. **f** Contours show a
894 bivariate kernel density estimate of neuropil pixel location for responses to ON
895 (shades of blue) and OFF (shades of red) of blue light in n=8 fish. The two variables
896 here are x and y of neuropil pixels. The orientation is same as panel d. Crosses
897 indicate median location of response to light ON, while diamonds indicate median
898 location of response to light OFF in each fish. The dorso-ventral and anterior-

899 posterior positions of the median centers are shown in panels **g** and **h** respectively.
900 Each circle is one fish and lines join data points from the same fish. These panels
901 show that ON and OFF responses have a different location, with OFF responses in a
902 more anterior-ventral location. Scale bar = 25 μ m. P-values and test statistic (D) were
903 obtained using KS-Test on cumulative distribution of pixel location to light ON and
904 OFF from all fish. a: anterior; p: posterior; d: dorsal; v: ventral. Hb: Habenula.

905 *Fig. 6 Effect of eye removal on thalamus response to light ON and OFF*

906 **a-i** Activity in the thalamus in response to pulses of blue light in control (n = 3) and
907 enucleated (n = 4) fish. **a,b** Heatmaps showing activity in individual cells in control
908 and enucleated fish classified as having response to light ON or OFF. **c** A
909 comparison of the percentage of active cells in control and enucleated fish. The
910 response to light ON is reduced in fish lacking eyes, while the response to light OFF
911 is comparable to controls. **d-e**. Histogram of mean response amplitude in cells in
912 control and enucleated fish during (d) light ON and (e) OFF. Each trace is one fish.
913 The amplitude of response to light ON is reduced in enucleated fish. Insets show
914 cumulative histogram from all fish. **f-i** Pixels in the anterior thalamic neuropil of
915 control (f) and enucleated (h) fish, that are active to light ON (cyan) or OFF (pink),
916 were combined and overlaid. Panels f and h show a dorsal view of the thalamus. The
917 average traces from the colored pixels in f and h are shown in g and i respectively.
918 Control fish have a response to light ON and OFF, whereas enucleated fish only
919 have a response to light OFF. **j** Percentage of active neuropil pixels in control and
920 enucleated fish. **k-l** Cumulative probability of mean response amplitude in pixels of
921 control and enucleated animals to light ON (k) and light OFF (l). Mean response
922 during light OFF is not significantly different in enucleated and control fish. **m** Dorsal
923 view of a 6 day old fish, following injection of DiD into the dorsal left habenula
924 neuropil and CM-Dil into the pineal organ. See movie 6 for the complete z-stack. **n**

925 Lateral view of the right side of a 6 day old fish, showing anterogradely labeled fibers
926 from the pineal (red) and retrogradely labeled fibers from the habenula (cyan). The
927 arrow indicates a pineal axon in the neuropil of the anterior thalamus. **o, p** Response
928 in the pineal organ to pulses of blue light (n=4 fish). Only OFF responses can be
929 detected. (o) Pixels showing OFF responses are combined from all fish and overlaid.
930 (p) Average trace from the colored pixels. The habenula is shown here for orientation
931 only; habenula neuron responses have been masked. **q-s** Responses in the
932 habenula to light OFF in control (q) and enucleated (r) fish (*GAL4s1011t*,
933 *UAS:GCaMP6s*, n=4 fish). Each row in the heat maps represents an individual cell. **s**
934 Percentage of cells showing an OFF response. Each circle is one fish and lines join
935 data points from same fish before and after enucleation. Although reduced in
936 number, there are still cells that display an OFF response. D-statistic and p-values in
937 panels d, e, k and l were obtained using the KS test on response amplitude
938 distribution. Panels f, h, m and o are single optical sections; n is a projection
939 spanning 19.25 μm . rHb:right habenula; lHb: left habenula; Th: thalamus; a: anterior;
940 p: posterior; d: dorsal; v: ventral. Scale bar = 25 μm .

941 **Fig. 7 The effect of lesioning the anterior thalamic neuropil on habenula response**
942 **to light.**

943 **a** Dorsal view of an *elav13:GCaMP6f* fish, showing lesion bubbles in the anterior
944 thalamic neuropil created by a femtosecond laser (arrows). The bubble reflects the
945 two photon laser, and is thus captured in a separate channel from GCaMP6f
946 fluorescence. **a',a''** Close-up of the anterior thalamus neuropil before (a') and during
947 (a'') lesion. The cavity has not yet formed. **b** Heat map showing habenula cell
948 responses before (left) and after (right) lesioning in 3 fish. **c, d** The cells segmented
949 from all 3 fish are drawn as circles and overlaid. Responding cells before and after

950 lesion are colored as indicated. **e, f** Histogram showing distribution of mean intensity
951 in habenula neurons during light ON (e) and OFF (f) before and after lesion. Insets
952 show cumulative distribution from all fish. P-values and test statistic were obtained
953 using the KS-test. **g** Comparison of percentage of cells responding to light ON and
954 OFF before and after lesion. Each circle is one fish and lines join data points from the
955 same fish. a: anterior; p: posterior; Images are all single optical sections. Scale bar =
956 25 μm .

957 **Fig. 8 *GtACR1* expression in the thalamus disrupts habenula response to light ON**
958 **and OFF**

959 **a** A 6 day old fish expressing *GtACR1*-eYFP under the control of the *GAL4s1020t*
960 driver. *GtACR1*-expressing cells in the anterior thalamus (colored orange-purple) are
961 indicated by the yellow arrowheads. Puncta of *GtACR1*-eYFP are visible. There is a
962 low level of *GCaMP6f* expression, shown in green here. **b** A more dorsal focal plane,
963 with habenula afferents labelled by the *sqKR11Et* line (magenta). *GtACR1*-eYFP
964 puncta are indicated by the arrowheads. The asterisks indicate autofluorescent
965 pigment cells. **c-k** Comparison of dorsal left habenula neuropil response to pulses of
966 blue light, in controls and fish expressing *GtACR1* in the thalamus. **c,d** Response in
967 the neuropil of control (**c**; $n = 7$) and *GtACR1* expressing siblings (**d**; $n = 11$). -Blue
968 represents fast ON, cyan represents slow ON, whereas magenta represents OFF
969 response. **e,f** The average of the colored pixels from c and d respectively.. Shaded
970 areas show 95% confidence intervals. **g,h** Boxplots show the distribution of
971 percentage of neuropil pixels showing a response to light ON or OFF in fish
972 expressing *GtACR1* (h) or control siblings (g). Each circle is one fish and lines join
973 data points from same fish. P-values and test statistic are obtained using Mann-
974 Whitney U test between the distribution of pixels in control and *GtACR1* fish of the

975 same response class. **i,j** Histograms showing neuropil response to light ON and OFF
976 in individual fish expressing GtACR1 (j) and control siblings (i). There is a leftward
977 shift in the distribution for response to light ON in GtACR1 fish. **k** Interpolations of the
978 histograms in panels i-j using a smoothing spline fit to show the overall distribution
979 per category. Pa: pallium; Th: thalamus; hc: habenula commissure; rHb: right
980 habenula; lHb: left habenula; a: anterior; p: posterior.

981 **Fig. 9 Effect of optogenetic stimulation of the thalamus on habenula activity.**

982 **a** Expression of ChR2-eYFP in the thalamus (arrowheads) of a 5 day old
983 *GAL4s1020t, UAS:ChR2-eYFP, elav13:GCaMP6f* fish. **b, c** Activity in the habenula of
984 a ChR2-expressing fish, with (b) and without (c) blue LED stimulation of the
985 thalamus. The images show the maximum projections of F/F_0 images for a 25-
986 second period after blue LED illumination, following subtraction of maximum
987 projections of the period before illumination (i.e. difference in activity before and after
988 stimulation). **d-f** Heatmaps showing temporal activity from habenula neurons
989 segmented in fish with (e-f) and without (d) ChR2. In panels e (n = 3 fish) and f (n = 2
990 fish), blue light pulse was given at the time indicated by the black dashed line and at
991 a frequency specified. **g**. Cumulative distribution of mean response amplitude, 10
992 seconds after stimulation in ChR2-expressing and control fish and a randomly
993 chosen 10 second period in fish with no stimulation. All stimulation frequencies were
994 combined. The fish with ChR2 show increased $\Delta F/F_0$ after optogenetic
995 stimulation. Test statistic and p-values were obtained using KS-test. The gray *
996 (bottom) is the result of comparison between control siblings and Chr2-expressing
997 fish, while the black * (top) is between no stimulation and Chr2-expressing fish. **h**

998 Mean amplitude before and after optogenetic stimulation at different frequencies.
999 Each square stands for a stimulus trial. Scale bar = 25 μ m. Pa: pallium, a: anterior,
1000 p:posterior, lHb: left habenula, rHb: right habenula.

1001 *Fig. 10 Anion channelrhodopsin expression in the thalamus disrupts vertical*

1002 *migration to irradiance change*

1003 **a,b** Vertical position of control and *GAL4s1020t, UAS:GtACR1-eYFP* fish exposed to
1004 alternating periods of light and darkness. Thin lines show trajectories of individual
1005 fish, while the thicker red line indicates the average. Shading indicates 95%
1006 confidence intervals. Overall, control fish move up when lights go ON and down
1007 when lights go OFF. GtACR1-expressing fish have the opposite behavior. **c,e**
1008 Correlation between light (1 for ON, 0 for OFF) and vertical movement. Error bars
1009 indicate 95% confidence interval. Correlation is high for controls, but not for GtACR1
1010 (c) or GtACR2 (e) expressing fish. **d,f** Direction of initial vertical movement at light
1011 OFF for all fish, at all transitions. Blue indicates downward movement, while red
1012 indicates upward movement. GtACR1 (d) and GtACR2 (f) expressing fish tend to
1013 move upwards at light OFF, whereas non-expressing siblings tend to move down.
1014 **g,h** Amount of movement of GtACR1 (g) and GtACR2 (h) expressing fish at light ON
1015 and OFF averaged across all 6 cycles.

1016 **Supplementary Figures**

1017 *Fig. S1. The effect of parapineal lesion on habenula response to blue light.*

1018 **a** Visualization of the parapineal (yellow arrow), which is located adjacent to the left
1019 habenula and innervates the dorsal neuropil. **b, c** Two photon lesioning of the
1020 parapineal. **b** Before lesioning. **c** After lesioning, which led to formation of a bubble
1021 (arrow). **d, e** Habenula cells segmented from 5 fish, overlaid on top of each other,

1022 showing responses before and after lesion. Cells responding to light ON are shown in
1023 blue and to OFF in pink. **f, g** Heat maps of the habenula cells, in the 5 fish,
1024 responding to light ON and OFF before (f) and after (g) lesioning the parapineal.
1025 Horizontal black lines divide data from different fish. **h** Percentage of cells showing
1026 ON and OFF responses before and after parapineal lesioning. **i, j** Histogram showing
1027 distribution of mean intensity in habenula neurons during light ON (e) and OFF (f)
1028 before and after lesion. Insets show cumulative distribution from all fish. P-values and
1029 test statistic were obtained using the KS-test. pp:parapineal, lHb: Left Habenula,
1030 rHb:Right Habenula, cv: circumventricular organ; a: anterior, p:posterior. Scale bar =
1031 25 μ m.

1032 *Figure S2. Examples of signals that were excluded from visualisation of k-means*
1033 *clusters.*

1034 **a-e** Pixels showing stimulus-independent activity in the thalamus, at 5 different focal
1035 planes. Pixels are colored according to the traces in panel **f**. For clarity, these signals
1036 were excluded from the visualisation of clusters representing light evoked activity
1037 shown in Fig. 4a-e. **g** Stimulus-independent activity in the habenula. Pixels are
1038 colored according to the traces in panel **h**. For clarity, these signals were excluded
1039 from the visualisation of clusters representing light evoked activity shown in Fig. 1e-f.
1040 **f, h** Cluster centers that did not represent light-evoked activity in the habenula,
1041 obtained by running *K-means* on the time series of pixels in panel a-e and g. Th:
1042 Thalamus, a:anterior, p:posterior. Scale bar = 25 μ m.

1043 **Movie legends**

1044 ***Movie 1. Z-stack through a brain following DiD injection into the dorsal left***

1045 ***habenula neuropil.***

1046 DiD label (cyan) is seen bilaterally in two clusters of neurons in the anterior thalamus,
1047 starting from a depth of 65 μm from the first plane. Sparse labeling can also be seen
1048 in the ipsilateral entopeduncular nucleus (EN), at a depth of about 100 – 110 μm .
1049 Glutamatergic neurons are labeled by *vGlut2a:GAL4,UAS:eGFP* (yellow), while
1050 GABAergic neurons are labeled by *gad1b:DsRed* (magenta). The left fasciculus
1051 retroflexus is labeled by axons from the habenula. This is a dorsal view, with anterior
1052 to the left. Gamma = 0.45.

1053 ***Movie 2. Z-stack through the brain following DiD injection into the dorsal right***

1054 ***habenula neuropil***

1055 Retrogradely labeled cells are seen primarily in the ipsilateral entopeduncular
1056 nucleus (arrow). Labelled axons are also visible in the neuropils of the left habenula.
1057 These may arise from neurons that innervate the anterior right thalamus and/or from
1058 the right entopeduncular nucleus (arrow). This is a dorsal view, with anterior to the
1059 left.

1060 ***Movie 3. Z-stack of 6 day old gad1b:DsRed, vglut2a:GAL4, UAS:eGFP fish.***

1061 GABAergic neurons (magenta) are visible in the thalamus, below the habenula.
1062 Arrows indicate the anterior thalamic neuropil which contains DsRed-labelled fibers
1063 (~50 μm below the first plane). The entopeduncular nucleus does not contain DsRed-
1064 labelled fibers. In the first frame, DsRed-labelled neurites are visible in the optic
1065 tectum, but not in the habenula neuropil. Anterior is to the left. The stack goes from
1066 dorsal to ventral. rHb: right habenula; lHb: left habenula; OT: optic tectum; EN:

1067 entopeduncular nucleus.

1068 *Movie 4. Lateral view of a fish following DiD injection in the dorsal left neuropil of*
1069 *the habenula.*

1070 The right side of the fish shown in Movie 1. Thalamic neurons that have been
1071 retrogradely labeled are shown in cyan. Glutamatergic neurons are labeled by
1072 *vGlut2a:GAL4,UAS:eGFP* (yellow), while GABAergic neurons are labeled by
1073 *gad1b:DsRed* (magenta). DiD labeled cells extend neurites into neuropil of the
1074 anterior thalamus. A number are labeled by eGFP (arrows), but none are labeled by
1075 DsRed. The optic tract is visible in the DIC image, and contains eGFP labeled axons.
1076 Note that anterior is to the right in this stack.

1077 *Movie 5. Lateral view of the left anterior thalamus following injection of DiD (cyan)*
1078 *into the dorsal left habenula neuropil and Dil (yellow) into the right eye.*

1079 The arrow shows intermingling of retinal and habenula afferent fibers in the thalamic
1080 neuropil. The stack runs from lateral to medial, and habenula afferents and RGC
1081 terminals meet anteriorly and medially to the optic tract. fr: fasciculus retroflexus. This
1082 is a 6-day-old fish, with anterior to the left.

1083 *Movie 6. Z-stack of a 6 day old fish following CM-Dil injection into the pineal and*
1084 *DiD into the dorsal left habenula.*

1085 Pineal axons (red) project laterally and then posteriorly. Arrows indicate axons that
1086 enter anterior thalamic neuropil, where retrogradely labeled fibers from the habenula
1087 (cyan) are visible. This is a dorsal view, with anterior to the left.

1088