- Identifying outlier loci in admixed and in continuous
- populations using ancestral population differentiation
- statistics
- Helena Martins, Kevin Caye, Keurcien Luu, Michael G.B. Blum, Olivier François
- ⁵ May 20, 2016
- ⁶ Université Grenoble-Alpes, Centre National de la Recherche Scientifique, TIMC-IMAG UMR 5525,
- ⁷ Grenoble, 38042, France.
- 9 Running Title: Identifying outlier loci in admixed and in continuous populations
- 11 Keywords: Inference of Population Structure, Geographic Variation, Genome Scans for Selection,
- 12 Admixture.

10

- 13 Corresponding Author: Olivier François
- 14 Université Grenoble-Alpes,
- 15 TIMC-IMAG, UMR CNRS 5525,
- 16 Grenoble, 38042, France.
- +334 56 52 00 25 (ph.)
- $+334\ 56\ 52\ 00\ 55\ (fax)$
- olivier.francois@imag.fr

20 Abstract

Finding genetic signatures of local adaptation is of great interest for many population genetic 21 studies. Common approaches to sorting selective loci from their genomic background focus on 22 the extreme values of the fixation index, $F_{\rm ST}$, across loci. However, the computation of the fixation index becomes challenging when the population is genetically continuous, when predefining 24 subpopulations is a difficult task, and in the presence of admixed individuals in the sample. In this paper, we present a new method to identify loci under selection based on an extension of the $F_{\rm ST}$ statistic to samples with admixed individuals. In our approach, F_{ST} values are computed from the 27 ancestry coefficients obtained with ancestry estimation programs. More specifically, we used factor models to estimate F_{ST} , and we compared our neutrality tests with those derived from a principal component analysis approach. The performances of the tests were illustrated using simulated data, by re-analyzing genomic data from European lines of the plant species Arabidopsis thaliana, and by re-analyzing human genomic data from the population reference sample, POPRES.

1 Introduction

Natural selection, the process by which organisms that are best adapted to their environment have an increased contribution of genetic variants to future generations, is the driving force of evolution (Darwin, 1909). Identifying genomic regions that have been the targets of natural selection is one of the most important challenge in modern population genetics (Vitti et al., 2013). To this aim, examining the variation in allele frequencies between populations is a frequently applied strategy (Cavalli-Sforza, 1966). More specifically, by sampling a large number of single nucleotide polymorphisms (SNPs) throughout the genome, loci that have been affected by diversifying selection can be identified as outliers in the upper tail of the empirical distribution of $F_{\rm ST}$ (Lewontin & Krakauer, 1973; Beaumont & Nichols, 1996; Akey et al., 2002; Weir et al., 2005). For selectively neutral SNPs, F_{ST} is determined by genetic drift, which affects all SNPs across the genome in a similar way. In contrast, natural selection has locus-specific effects that can cause deviations in $F_{\rm ST}$ values at selected SNPs and at linked loci. Outlier tests based on the empirical distribution of F_{ST} across the genome requires that the sample is subdivided into K subsamples, each of them corresponding to a distinct genetic group. 47 For outlier tests, defining subpopulations may be a difficult task, especially when the background levels of $F_{\rm ST}$ are weak and when populations are genetically homogeneous (Waples & Gaggiotti, 2006). For example, Europe is genetically homogeneous for human genomes, and it is characterized by gradual variation in allele frequencies from the south to the north of the continent (Lao et al., 2008), in which genetic proximity mimics geographic proximity (Novembre et al., 2008). Studying evolution in the field, most ecological studies use individual-based sampling along geographic transects without using prior knowledge of populations (Manel et al., 2003; Schoville et al., 2012). For example, the 1001 genomes project for the plant species Arabidopsis thaliana used a strategy in which individual ecotypes were sampled with a large geographic coverage of the native and naturalized ranges (Horton et al., 2012; Weigel & Mott, 2009). One last difficulty with $F_{\rm ST}$ tests arises from the presence of individuals with multiple ancestries (admixture), for which the genome exhibits a mosaic of fragments originating from different ancestral populations (Long, 1991). The admixture phenomenon is ubiquitous over sexually reproducing organisms (Pritchard et al., 2000).

Admixture is pervasive in humans because migratory movements have brought together peoples from different origins (Cavalli-Sforza et al., 1994). Striking examples include the genetic history of African American and Mestizo populations, for which the contributions of European, Native American, and African populations had been studied extensively (Bryc et al., 2010; Tang et al., 2007). Most of the concerns raised by definitions of subpopulations are commonly answered by the application of clustering or ancestry estimation approaches such as structure or principal component analysis (Pritchard et al., 2000; Patterson et al., 2006). These approaches rely on the framework of factor models, where a factor matrix, the Q-matrix for structure and the score matrix for PCA, is used to define individual ancestry coefficients, or to assign individuals their most probable ancestral genetic group (Engelhardt & Stephens, 2010). To account for geographic patterns of genetic variation produced by complex demographic histories, spatially explicit versions of the structure algorithm can include models for which individuals at nearby locations tend to be more closely related than individuals from distant locations (François & Durand, 2010; Wright, 1943). 75 In this study, we propose new tests to identify outlier loci in admixed and in continuous populations by extending the definition of $F_{\rm ST}$ to this framework (Long, 1991). Our tests are based on the computation of ancestry coefficient and ancestral allele frequency, Q and F, matrices obtained from ancestry estimation programs. We develop a theory for the derivation of this new $F_{\rm ST}$ statistic, defining it as the proportion of genetic diversity due to allele frequency differences among populations in a model with admixed individuals (Holsinger & Weir, 2009). Then we compute our new statistic using the outputs of two ancestry estimation programs: snmf which is used as fast and accurate version of the structure algorithm, and tess3 a fast ancestry estimation program using genetic and geographic data (Frichot et al., 2014; Caye et al., 2016). Using simulated data sets and SNPs from human and plants, we compared the results of genome scans obtained with our new F_{ST} statistic with the results of PCA-based methods (Hao et al., 2016; Duforet-Frebourg et al., 2016; Galinsky et al., 2016; Luu et al., in prep.).

* 2 F-statistics for populations with admixed individuals

In this section, we extend the definition of $F_{\rm ST}$ to populations containing admixed individuals, and for which no subpopulations can be defined a priori. We consider SNP data for n individuals genotyped at L loci. The data for each individual, i, and for each locus, ℓ , are recorded into a genotypic matrix Y. The matrix entries, $y_{i\ell}$, correspond to the number of derived or reference alleles at each locus. For diploid organisms, $y_{i\ell}$ is an integer value 0, 1 or 2. Assuming K predefined subpopulations, the fixation index, $F_{\rm ST}$, can be calculated according to S. Wright's definition as follows (Wright, 1951)

$$F_{\rm ST} = 1 - \frac{H_{\rm S}}{H_{\rm T}} \,,$$

where $H_{\rm S} = \sum_{k=1}^{K} n_k f_k (1 - f_k) / n$, $H_{\rm T} = f(1 - f)$, n_k is the sample size, f_k is the allele frequency in subpopulation k, and f is the allele frequency in the total population.

A new definition of F_{ST} . A classical definition of the fixation index, F_{ST} , corresponds to the proportion of the variance in sampled allele frequency explained by ancestral population structure (or population indicators)

$$F_{\rm ST} = R^2 = \frac{\sigma_T^2 - \sigma_S^2}{\sigma_T^2}$$

where σ_T^2 is the total variance and σ_S^2 is the residual variance (Holsinger & Weir, 2009). 101 This definition of F_{ST} , which uses a linear regression framework, can be extended to models 102 with admixed individuals. Suppose that a population contains admixed individuals, and the 103 source populations are unknown. Assume that the individual ancestry coefficients, Q, and the 104 ancestral population frequencies, F, are estimated from an ancestry estimation algorithm, such as 105 structure (Pritchard et al., 2000). For diploid organisms, a genotype is the sum of two parental gametes, taking the values 0 or 1. In an admixture model, the two gametes can be sampled 107 either from the same or from distinct ancestral populations. The admixture model assumes that 108 individuals mate at random at the moment of the admixture event. Let f_k be the allele frequency 109 in ancestral population k. Omitting the locus subscript ℓ , a statistical model for an admixed genotype at a given locus can be written as follows

$$y = x_1 + x_2$$

where x_1 and x_2 are independent Bernoulli random variables modeling the parental gametes. The conditional distribution of x_1 (resp. x_2) is such that $\operatorname{prob}(x_1 = 1|\operatorname{Anc}_1 = k) = f_k$ (Anc is an integer value between 1 and K representing the hidden ancestry of each gamete). The sampled allele frequency is defined as x = y/2 (x in 0,1/2, 1). Thus the expected value of the random variable x is given by the following formula

$$f = \mathbf{E}[x] = \sum_{k=1}^{K} q_k f_k \,,$$

where $q_k = \text{prob}(\text{Anc} = k)$. The total variance of x is equal to

$$2\sigma_T^2 = 2\text{Var}[x] = f(1-f).$$

Using the Q and F matrices, q_k can be estimated as the average value of the ancestry coefficients over all individuals in the sample, and the ancestral allele frequencies can be estimated as $f_k = F_k$. To compute the residual variance, σ_S^2 , we consider that the two gametes originate from the same ancestral population. Assuming Hardy-Weinberg equilibrium in the ancestral populations, the residual variance can be computed as follows

$$2\sigma_S^2 = \sum_{k=1}^K q_k f_k (1 - f_k) \,,$$

and the formula for $F_{\rm ST}$ becomes

$$F_{\rm ST} = 1 - \frac{\sum_{k=1}^{K} q_k f_k (1 - f_k)}{f(1 - f)}.$$
 (1)

The above definition of F_{ST} for admixed populations is obviously related to the original definition of Wright's fixation index, and it is also related to Long's formula which assumes known ancestral populations (Long, 1991). The estimates of the sample sizes, n_k , can be obtained by setting $n_k = nq_k$, and the sampled allele frequencies are replaced by their ancestral allele frequencies. For haploid organisms, for which the genotype is coded 0 or 1, the definition of F_{ST} follows the same developments. In this case, the definition of F_{ST} for a structured population corresponds to the squared correlation, R^2 , in the regression of observed allele frequencies on subpopulation indicators.

Admixture estimates. While many algorithms can compute the Q and F matrices, our application of the above definition will focus on ancestry estimates obtained by nonnegative matrix factorization algorithms (Frichot *et al.*, 2014). Frichot's algorithm assumes that the sampled genotype frequencies can be modelled by a mixture of ancestral genotype frequencies as follows

$$\delta_{(y_{i\ell}=j)} = \sum_{k=1}^{K} Q_{ik} G_{k\ell}(j), \quad j = 0, 1, \dots, p,$$

where $y_{i\ell}$ is the genotype of individual i at locus ℓ , the Q_{ik} are the ancestry coefficients for individual i, the $G_{k\ell}(j)$ are the ancestral genotype frequencies, and p is the ploidy of the studied organism (δ is the Kronecker delta symbol). For diploids (p=2), the relationship between ancestral allele and genotype frequencies can be written as follows

$$F_{k\ell} = G_{k\ell}(1)/2 + G_{k\ell}(2).$$

The above equation implies that the sampled allele frequencies, $x_{i\ell}$, satisfy the following equation

$$x_{i\ell} = y_{i\ell}/2 = \sum_{k=1}^{K} Q_{ik} F_{k\ell}$$
.

Frichot's matrix factorization algorithm is much faster than the Monte-Carlo algorithm implemented in **structure**, and the estimates computed by this method weaken the Hardy-Weinberg equilibrium assumptions made by other methods. As a result of the above equations, estimates of Q and F matrices obtained by matrix factorization algorithms could replace those obtained by the program **structure** advantageously for large SNP data sets (Wollstein & Lao, 2015).

Population differentiation tests. The regression framework developed in the previous paragraph leads to a direct approximation of the distribution of F_{ST} under the null-hypothesis of random mating. We define the squared z-scores as follows

$$z^2 = (n - K) \frac{F_{\rm ST}}{1 - F_{\rm ST}}$$
.

Then by classical arguments for regression models, we have

$$z^2/(K-1) \sim F(K-1, n-K)$$

where F(K-1, n-K) is the Fisher distribution with K-1 and n-K degrees of freedom (Sokal & Rohlf, 2012). In genome scans for selection, we assume that n is large enough to approximate the distribution of squared z-scores as a chi-squared distribution with K-1 degrees of freedom

$$z^2 \sim \chi^2(K-1) \, .$$

To calibrate the null-hypothesis, we adopt an empirical null-hypothesis testing approach which evaluates the level of population differentiation expected at selectively neutral SNPs (François et al., 2016). Following GWAS approaches, the test calibration is achieved after computing the genomic inflation factor, defined by the median of the squared z-scores divided by the median of a chi-squared distribution with K-1 degrees of freedom (genomic control, Devlin & Roeder (1999)).

3 Simulation experiments and data sets

159

Simulated data sets. We simulated of 10,000 unlinked SNPs for 200 individuals based on Wright's two-island models. Each island corresponded to an ancestral population prior to admixture. Two distinct scenarios were considered. In the first scenario, the proportion of loci under selection was equal to 5% whereas this proportion was equal to 10% in the second scenario. To mimic genetic variation at outlier loci, we used that a locus with reduced levels of gene flow is expected to have an increased $F_{\rm ST}$ value (Bazin et al., 2010; Caye et al., 2016). Thus, we assumed that adaptive SNPs had a migration rate smaller than the migration rate at selectively neutral SNPs (4Nm = 20, 15, 10, 5 for neutral SNPs and $4Nm_s = 0.1, 0.25, 0.5, 1$ for adaptive SNPs). A total number of 32 different data sets were generated by using the computer program ms (Hudson, 2002).

Using ancestral populations from two-island models, a sample from a unique continuous population was created by simulating admixture of the two populations. The model of admixture was based on a longitudinal gradient of ancestry (Durand et al., 2009). Geographic coordinates (x_i, y_i) were created for each individual from two Gaussian distributions centered around two centroids put at distance 2 on the longitudinal axis (standard deviation [SD] = 1). As it happens in a secondary contact zone, we assumed that the admixture proportions had a sigmoidal shape across geographic space (Barton & Hewitt, 1985),

$$p(x_i) = \frac{1}{(1 + e^{-x_i})}.$$

For each individual, we assumed that each allele originated in the first ancestral population with probability $p(x_i)$ and in the second ancestral population with probability $1 - p(x_i)$ (Durand *et al.*, 2009).

Computer programs We performed genome scans for selection using three methods: snmf (Fri-173 chot et al., 2014), tess3 (Caye et al., 2016), pcadapt (Luu et al., in prep.; Duforet-Frebourg et al., 174 2016). A fourth method used the standard F_{ST} statistic where subpopulations were obtained from the assignment of individuals to their most likely genetic cluster. Like for snmf, the tess3 esti-176 mates of the Q and G matrices are based on matrix factorization techniques. The main difference between the two programs is that tess3 computes ancestry estimates by incorporating informa-178 tion on individual geographic coordinates in its algorithm whereas the snmf algorithm is closer to 179 structure (Caye et al., 2016). For snmf and for tess3, we used K=2 ancestral populations. This value of K corresponded to the minimum of the cross-entropy criterion when K was varied 181 in the range 1 to 6. The default values of the two programs were implemented for all their internal parameters. Each run of the two programs was replicated five times, and the run with the lowest 183 cross-entropy value was selected for computing the $F_{\rm ST}$ statistics according to formula (1). We 184 compared the results of snmf and tess3 with the program pcadapt (Luu et al., in prep.). The test 185 statistic of this new version of pcadapt is the Manhanalobis distance relative to the z-scores obtained after regressing the SNP frequencies on the K-1 principal components. We used pcadapt with the first principal component. As for snmf and for tess3, test calibration in pcadapt was based on the computation of the genomic inflation factor (genomic control). For genome scans based on the F_{ST} statistic where subpopulations are obtained from the assignment of individuals to their most likely genetic cluster, we used 2 clusters and a chi-squared distribution with one degree of freedom after recalibration of the null-hypothesis. Before applying the methods to the simulated data sets, the SNPs were filtered out and only the loci with minor allele frequency greater than 5% were retained for the analysis.

Candidate lists. False Discovered Rate (FDR) control algorithms, as described by Storey & Tibshirani (2003), were applied after the recalibration of the test significance values, yielding lists of outlier loci. Before applying FDR control methods, the histograms of test significance values were checked to display uniformly distributed random variables when the null hypothesis is correct.

Real data sets. To provide an application of our method to natural populations, we reanalyzed 199 data from the model plant organism Arabidopsis thaliana. We analyzed genomic data from 120 European lines of A. thaliana genotyped for 216k SNPs, with a density of one SNP per 500 bp (Atwell et al., 2010). This annual plant is native to Europe and central Asia, and within 202 its native range, it goes through numerous climatic conditions and selective pressures (Mitchell-203 Olds & Schmitt, 2006). Ecotypes from Northern Scandinavia were not included in the data (14 ecotypes representing a divergent genetic cluster in the original data set). We applied genome 205 scans for selection using snmf, tess3 and pcadapt with K=2 ancestral populations and one principal component. In addition, we analyzed human genetic data for 1,385 European individuals 207 genotyped at 447k SNPs (Nelson et al., 2008). We applied genome scans for selection using snmf and pcadapt with K=2 ancestral populations and one principal component.

4 Results

210

Simulations of admixed individuals. We evaluated the performances of genome scans using tests based on snmf, tess3, pcadapt, and F_{ST} , in the presence of admixed individuals. Considering q-values thresholds between 0.01 and 0.2, we computed observed FDR values for the lists of outlier loci produced by each test. The observed FDR values remained generally below their expected

values (Figure 1 for data sets with 5% of outliers, Figure S1 for data sets with 10% of outliers).

These observations confirmed that the use of genomic inflation factors leads to overly conservative tests (François et al., 2016). Since similar levels of observed FDR values were observed across the 217 4 tests, we did not implement other calibration methods than genomic control. 218 Next, we evaluated the sensitivity (power) of the 4 tests in each simulation scenario. As we 219 expected from the simulation process, the tests had higher power when the relative levels of the 220 selection intensity were higher. For 4Nm = 5 and $4Nm_s = .1, .25, .5$, and 1, the power of the tests for snmf, tess3, pcadapt was close to 27% (expected FDR equal to $\alpha = 0.1$, Figure 2A 222 for data sets with 5% of outliers, Figure S2A for data sets with 10% of outliers). The $F_{\rm ST}$ test 223 based on assignment of individuals to their most likely cluster failed to detect outlier loci (power 224 value equal to 0%). For 4Nm = 10, the power of the tests was in the range 40% - 45% for snmf, 225 tess3, pcadapt and equal to 26% for the F_{ST} test (Figure 2B (5% of outlier loci), Figure 2B (10% of outlier loci)). For $4mN \ge 15$, corresponding to the highest selection rates, the power was 227 approximately equal to 50% for all methods considered (Figure 2C and D ((5% of outlier loci), 228 Figure 2C and D (10% of outlier loci)). The relatively low power values confirmed that the tests 229 were conservative, and non-neutral loci were difficult to detect. To provide an upper bound on the power of an outlier test in the context of admixed populations, we applied an $F_{\rm ST}$ test to 231 the samples obtained prior to admixture, estimating allele frequencies from their true ancestral 232 populations (Figure 2). For 4Nm = 5 and 10, the power of the tests for snmf, tess3, pcadapt was similar to the power obtained when we applied outlier tests to the data before admixture. This experiment confirmed that the use of approaches that estimate ancestry coefficients is appropriate when no subpopulation can be predefined.

Biological data analysis

215

Arabidopsis data. We applied snmf, tess3 and pcadapt to perform genome scans for selection
in 120 European lines of Arabidopsis thaliana (216k SNPs). Each ecotype was collected from a
unique geographic location, and there were no predefined populations. To study adaptation at the
continental scale, ecotypes from northern Scandinavia, which were grouped together by clustering

programs, were removed from the original data set of Atwell et al. (2010). For snmf and tess3, the cross-entropy criterion indicated that there are 2 main clusters in Europe, and that finer substructure could be detected as a result of historical isolation-by-distance processes. For K=2, 244 the western cluster grouped all lines from the British Isles, France and Iberia and the eastern cluster grouped all lines from Central, Eastern Europe and Southern Sweden. For implementing genome scans for selection, we used 2 clusters in snmf and tess3, and one principal component 247 in pcadapt. The genomic inflation factor was equal to $\lambda = 11.5$ for the test based on snmf, and it was equal to $\lambda = 13.1$ for the test based on tess3. The interpretation of these two values is 249 that the background level of population differentiation that was used in the snmf and tess3 tests 250 is around 0.09 (see François et al. 2016). For the three methods, the Manhattan plots exhibited 251 peaks at the same chromosome positions (Figure 3). For an expected FDR level equal to 1%, 252 the Storey and Tibshirani algorithm resulted in a list of 572 chromosome positions for the snmf 253 tests, 882 for the tess3 tests (Figure 3). The test based on PCA was more conservative. The 254 difference between the tests could be attributed to the estimation of the genomic inflation factor which differs for PCA methods (see Venn diagrams in Figure S3). 256 Table 1 reports a list of 33 candidate SNPs for European A. thaliana lines in the 10% top hits, 257 based on the peaks detected by the three methods. Figure 4 displays a Manhattan plot for the plant 258 genome showing the main outlier loci detected by our genome scans for selection. For chromosome 259 1, the list contains SNPs in the gene AT1G80680 involved in resistance against bacterial pathogens. For chromosome 2, the list contains SNPs in the gene AT2G18440 (AtGUT15), which can be used by plants as a sensor to interrelated temperatures, and which has a role for controlling growth and development in response to a shifting environment (Lu et al., 2005). For chromosome 3, the list contains SNPs in the gene AT3G11920 involved in cell redox homeostasis. Fine control of 264 cellular redox homeostasis is important for integrated regulation of plant defense and acclimatory responses (Mühlenbock et al., 2007). For chromosome 4, we found SNPs in the gene AT4G31180 266 (IBI1) involved in defense response to fungi. The most important list of candidate SNPs was 267 found in the fifth chromosome. For example, the list of outlier SNPs contained SNPs in the gene AT5G02820, involved in endoreduplication, that might contribute to the adaptation to adverse environmental factors, allowing the maintenance of growth under stress conditions (Chevalier et al., 2011), in the genes AT5G18620, AT5G18630 and AT5G20620 (UBIQUITIN 4) involved in response to temperature stress (Kim & Kang, 2005), and in the gene AT5G20610 which is involved in response to blue light (DeBlasio et al., 2005).

Human data. We applied the snmf and pcadapt tests to 1,385 European individuals from the POPRES data set (447k SNPs in 22 chromosomes). We used K=2 ancestral populations in 275 snmf and one principal component for PCA. For snmf, the genomic inflation factor was equal to 276 $\lambda = 9.0$, indicating a background level of population differentiation around 0.006 between northern 277 and southern European populations. For an expected FDR equal to 10%, we found 205 outlier 278 loci using snmf tests, and 165 outlier loci with pcadapt (Figure 5). For chromosome 2, the most important signal of selection was found at the lactase persistence gene (LCT) (Bersaglieri et al., 2004). For chromosome 4, 5 SNPs were found at the ADH1C locus that is involved in alcohol metabolism (Han et al., 2007), close to the ADH1B locus reported by Galinsky et al. (2016). For chromosome 6, a signal of selection corresponding to the human leukocyte antigen (HLA) region 283 was identified. For chromosome 15, there was an outlier SNP in the HERC2 gene, which modulates human pigmentation (Visser et al., 2012) (Figure 6).

5 Discussion

When no subpopulation can be defined a priori, analysis of population structure commonly relies on the computation of the Q (and F) ancestry matrix obtained through the application of the program structure or one of its improved versions (Pritchard et al., 2000; Tang et al., 2005; Chen et al., 2007; Alexander et al., 2009; Raj et al., 2014; Frichot et al., 2014; Caye et al., 2016). In this context, we proposed a definition of F_{ST} based on the Q and F matrices, and we used this new statistic to screen genomes for signatures of diversifying selection. By modelling admixed genotypes, our definition of F_{ST} was inspired by an analysis of variance approach for the genotypic data (Weir & Cockerham, 1984; Holsinger & Weir, 2009).

The estimator for F_{ST} presented here is related to the estimator proposed by Long (1991) for

population data. Long's estimator is obtained from the variance of allele frequencies with respect

to their expectations based on an admixture model, that enable estimating the effect of genetic drift and the effective size of the hybrid population. In order to obtain Long's estimate, multiple locus samples are required from the hybrid population and from all contributing parental populations. 299 For the method proposed in our manuscript, information on ancestral genetic diversity is evaluated with less prior assumptions by the application of ancestry estimation programs. 301 Assuming that a large number of SNPs are genotyped across multiple populations, the calibra-302 tion of statistical tests of neutrality did not require assumptions about population demographic history. Our simulations of admixed populations provided evidence that the tests based on this 304 new statistic had an increased power compared to tests where we assigned individuals to their 305 most probable cluster. Interestingly, the power of those tests was only slightly lower than standard $F_{\rm ST}$ tests based on the truly ancestral allele frequencies. A comparison of our results for 307 Europeans from the POPRES data sets and the genome-wide patterns of selection in 230 ancient Eurasians provides additional evidence that the signals detected by our F_{ST} were already present 309 in the populations that were ancestral to modern Europeans (Mathieson et al., 2015). 310 Our reanalysis of European A. thaliana data provided a clear example of the usefulness of our 311 $F_{\rm ST}$ statistic to detect targets of natural selection in plants. European ecotypes of Arabidopsis 312 thaliana are continuously distributed across the continent, with population structure influenced by 313 historical isolation-by-distance processes (Atwell et al., 2010; Hancock et al., 2011; François et al., 314 2008). The application of our F_{ST} statistic to the SNP data suggested several new candidate loci involved in resistance against pathogens, in growth and development in response to a shifting 316 environment, in the regulation of plant defense and acclimatory responses, in the adaptation to 317 adverse environmental factors, in allowing the maintenance of growth under stress conditions, in 318 response to temperature stress or response to light. 319 An alternative approach to investigating population structure without predefined populations is by using principal component analysis (Patterson et al., 2006). Statistics extending the definition 321 of $F_{\rm ST}$ were also proposed for PCA (Hao et al., 2016; Duforet-Frebourg et al., 2016; Galinsky 322 et al., 2016). The performances of PCA statistics and our new F_{ST} statistic were highly similar. 323 The small differences observed for the two tests could be ascribed to the estimation of inflation

factors to calibrate the null-hypothesis. The idea of detecting signatures of selection in an admixed population has a considerable history and has been explored since the early seventies (Blumberg & Hesser, 1971; Adams & Ward, 1973; Tang et al., 2007). The connection between our definition 327 of $F_{\rm ST}$ and previous works shows that the methods studied in this study, including PCA or ancestry programs, are extensions of classical methods of detection of selection using admixed populations (Long, 1991). Our results allow us to hypothesize that the age of selection detected 330 by PCA and by the new methods proposed is similar. Thus it is likely that the selective sweeps detected by PCA and F_{ST} methods correspond to ancient selective sweeps already differentiating 332 in ancestral populations (Mathieson et al., 2015). 333 While only minor differences between our results of genome scans with 4 methods were ob-334 served, the results might be still sensitive to the algorithm used to estimating the ancestry matrices. 335 Wollstein & Lao (2015) performed an extensive comparison of 3 recently proposed ancestry estimation methods, admixture, faststructure, snmf (Alexander & Lange, 2011; Raj et al., 2014; 337 Frichot et al., 2014), and they concluded that the accuracy of the methods could differ in some simulation scenarios. In practice, it would be wise to apply several methods and to combine their results by using a meta-analysis approach as demonstrated in François et al. (2016).

1 References

- Adams J, Ward RH (1973). Admixture studies and the detection of selection. Science 180, 1137–
 1143.
- Akey JM, Zhang G, Zhang K, Jin L, Shriver MD (2002). Interrogating a high-density SNP map for signatures of natural selection. *Genome Research* 12, 1805–1814.
- Alexander DH, Lange K (2011.) Enhancements to the ADMIXTURE algorithm for individual ancestry estimation. *BMC Bioinformatics* 12, 246.
- Alexander DH, Novembre J, Lange K (2009). Fast model-based estimation of ancestry in unrelated individuals. *Genome Research* 19, 1655–1664.
- Ascencio-Ibáñez JT, Sozzani R, Lee TJ, et al. (2008). Global analysis of Arabidopsis gene expres-

- sion uncovers a complex array of changes impacting pathogen response and cell cycle during
- geminivirus infection. Plant Physiology 148, 436–454.
- 353 Atwell S, Huang YS, Vilhjálmsson BJ, et al. (2010). Genome-wide association study of 107 phe-
- notypes in Arabidopsis thaliana inbred lines. Nature 465, 627–631.
- Barton NH, Hewitt GM (1985). Analysis of hybrid zones. Annual review of Ecology and Systematics
- 356 16, 113–148.
- Bazin E, Dawson KJ, Beaumont MA (2010). Likelihood-free inference of population structure and
- local adaptation in a Bayesian hierarchical model. Genetics 185, 587–602.
- Beaumont MA, Nichols RA (1996). Evaluating loci for use in the genetic analysis of population
- structure. Proceedings of the Royal Society of London B: Biological Sciences 263, 1619–1626.
- 361 Bersaglieri T, Sabeti PC, Patterson N, et al. (2004). Genetic signatures of strong recent positive
- selection at the lactase gene. The American Journal of Human Genetics 74, 1111–1120.
- 363 Blumberg BS, Hesser JE (1971). Loci differentially affected by selection in two american black
- populations. Proceedings of the National Academy of Sciences 68, 2554–2558.
- 365 Bryc K, Auton A, Nelson MR, et al. (2010). Genome-wide patterns of population structure and
- admixture in west africans and african americans. Proceedings of the National Academy of
- sciences 107, 786–791.
- ³⁶⁸ Catinot J, Huang JB, Huang PY, et al. (2015). ETHYLENE RESPONSE FACTOR 96 positively
- regulates Arabidopsis resistance to necrotrophic pathogens by direct binding to GCC elements
- of jasmonate-and ethylene-responsive defence genes. Plant, Cell & Environment 38, 2721–2734.
- 371 Cavalli-Sforza LL, Menozzi P, Piazza A. The History and Geography of Human Genes. Princeton
- University Press, Princeton, USA, 1994.
- 273 Cavalli-Sforza LL (1966). Population structure and human evolution. Proceedings of the Royal
- Society of London B: Biological Sciences 164, 362–379.

- ³⁷⁵ Caye K, Deist TM, Martins H, Michel O, François O (2016). TESS3: Fast inference of spatial
- population structure and genome scans for selection. Molecular Ecology Resources 16, 540–548.
- 277 Chawade A, Bräutigam M, Lindlöf A, Olsson O, Olsson B (2007). Putative cold acclimation
- pathways in Arabidopsis thaliana identified by a combined analysis of mRNA co-expression
- patterns, promoter motifs and transcription factors. BMC Genomics 8, 1.
- ³⁸⁰ Chen C, Durand E, Forbes F, François O (2007). Bayesian clustering algorithms ascertaining
- spatial population structure: A new computer program and a comparison study. Molecular
- 382 Ecology Notes 7, 747–756.
- ³⁸³ Chen H, Kim HU, Weng H (2011). Malonyl-coA synthetase, encoded by ACYL ACTIVATING
- ENZYME13, is essential for growth and development of Arabidopsis. The Plant Cell 23(6),
- 2247-2262.
- ³⁸⁶ Chevalier C, Nafati M, Mathieu-Rivet E, et al. (2011). Elucidating the functional role of endoredu-
- plication in tomato fruit development. Annals of Botany 107(7), 1159–1169.
- Darwin C. On The Origin of Species by Means of Natural Selection John Murray, Londonn, UK,
- ³⁸⁹ 1909.
- DeBlasio SL, Luesse DL, Hangarter RP (2005). A plant-specific protein essential for blue-light-
- lnduced chloroplast movements. Plant Physiology 139, 101–114.
- Devlin B, Roeder K (1999). Genomic control for association studies. *Biometrics* 55, 997–1004.
- ³⁹³ Duforet-Frebourg N, Luu K, Laval G, Bazin E, Blum MGB (2016). Detecting genomic signatures
- of natural selection with principal component analysis: Application to the 1000 Genomes data.
- Molecular Biology and Evolution 33(4), 1082–1093.
- Durand E, Jay F, Gaggiotti OE, François O (2009). Spatial inference of admixture proportions
- and secondary contact zones. Molecular Biology and Evolution 26(9), 1963–1973.
- ³⁹⁸ Engelhardt BE, Stephens M (2010). Analysis of population structure: A unifying framework and
- novel methods based on sparse factor analysis. *PLoS Genetics* 6(9), e1001117. doi: 10.1371/jour-
- nal.pgen.1001117.

- François O, Blum MGB, Jakobsson M, Rosenberg NA (2008). Demographic history of Euro-
- pean populations of Arabidopsis thaliana. PLoS Genetics 4(5), e1000075. doi: 10.1371/jour-
- nal.pgen.1000075.
- 404 François O, Durand E (2010). Spatially explicit Bayesian clustering models in population genetics.
- Molecular Ecology Resources 10, 773–784.
- François O, Martins H, Caye K, Schoville SD (2016). Controlling false discoveries in genome scans
- for selection. Molecular Ecology 25, 454–469.
- Frichot E, Mathieu F, Trouillon T, Bouchard G, François O (2014). Fast and efficient estimation
- of individual ancestry coefficients. Genetics 196, 973–983.
- 410 Galinsky KJ, Bhatia G, Loh PR, et al. (2016). Fast principal component analysis reveals convergent
- evolution of ADH1B in Europe and East Asia The American Journal of Human Genetics 98(3),
- 412 456-472.
- 413 Guo KM, Babourina O, Christopher DA, Borsics T, Rengel Z (2008). The cyclic nucleotide-gated
- channel, AtCNGC10, influences salt tolerance in Arabidopsis. Physiologia Plantarum 134, 499—
- 415 507.
- 416 Han Y, Gu S, Oota H, et al. (2007) Evidence of positive selection on a class I ADH locus. The
- American Journal of Human Genetics 80(3), 441–456.
- 418 Hancock AM, Brachi B, Faure N, et al. (2011) Adaptation to climate across the Arabidopsis
- thaliana genome. Science 334, 83–86.
- 420 Hao W, Song M, Storey JD (2016). Probabilistic models of genetic variation in structured popu-
- lations applied to global human studies. *Bioinformatics* 32(5), 713–721.
- 422 He XJ, Mu RL, Cao WH, Zhang ZG, Zhang JS, Chen SY (2005). AtNAC2, a transcription factor
- downstream of ethylene and auxin signaling pathways, is involved in salt stress response and
- lateral root development. The Plant Journal 44, 903–916.
- Holsinger KE, Weir BS (2009). Genetics in geographically structured populations: Defining, esti-
- mating and interpreting F_{ST} . Nature Reviews Genetics 10, 639–650.

- 427 Horton MW, Hancock AM, Huang YS, et al. (2012). Genome-wide patterns of genetic variation
- in worldwide Arabidopsis thaliana accessions from the RegMap panel. Nature Genetics 44,
- 212-216.
- 430 Hudson RR (2002). Generating samples under a Wright-Fisher neutral model of genetic variation.
- Bioinformatics 18(2), 337–338.
- 432 Kim YO, Kang H (2005). Cold-inducible zinc finger-containing glycine-rich RNA-binding protein
- contributes to the enhancement of freezing tolerance in Arabidopsis thaliana. The Plant Journal
- 42, 890–900.
- Lao O, Lu TT, Nothnagel M, et al. (2008). Correlation between genetic and geographic structure
- in europe. $Current \ Biology \ 18(16), \ 1241-1248.$
- 437 Lewontin R, Krakauer J (1973). Distribution of gene frequency as a test of the theory of the
- selective neutrality of polymorphisms. Genetics 74, 175–195.
- Long JC (1991). The genetic structure of admixed populations. Genetics 127(2), 417–428.
- 440 Lu Y, Zhu J, Liu P (2005). A two-step strategy for detecting differential gene expression of cDNA
- microarray data. Current Genetics 47(2), 121–131.
- 442 Luu K, Bazin E, Blum MGB (in prep.) pcadapt: An R package for performing genome scans for
- selection based on principal component analysis. In preparation.
- 444 Manel S, Schwartz MK, Luikart G, Taberlet P (2003). Landscape genetics: Combining landscape
- ecology and population genetics. Trends in Ecology & Evolution 18, 189–197.
- 446 Mathieson I, Lazaridis I, Rohland N, et al. (2015). Genome-wide patterns of selection in 230
- ancient Eurasians. Nature 528, 499–503.
- 448 Mitchell-Olds T, Schmitt J (2006). Genetic mechanisms and evolutionary significance of natural
- variation in arabidopsis. Nature 441, 947–952.
- ⁴⁵⁰ Mühlenbock P, Karpinska B, Karpinski S (2007). Oxidative stress and redox signalling in plants.
- eLS. doi: 10.1002/9780470015902.a0020135.

- Nelson MR, Bryc K, King KS, et al. (2008). The population reference sample, POPRES: A resource
- for population, disease, and pharmacological genetics research. The American Journal of Human
- 454 Genetics 83(3), 347–358.
- Novembre J, Johnson T, Bryc K, et al. (2008). Genes mirror geography within Europe. Nature
- 456, 98–101.
- Patterson N, Price AL, Reich D (2006). Population structure and eigenanalysis. PLoS Genetics
- 458 2(12), e190.
- ⁴⁵⁹ Pritchard JK, Stephens M, Donnelly P (2000). Inference of population structure using multilocus
- genotype data. *Genetics* 155(2), 945–959.
- 461 Radin I, Mansilla N, Rödel G, Steinebrunner I (2015). The Arabidopsis COX11 homolog
- 462 is essential for cytochrome c oxidase activity. Frontiers in Plant Science 6, 1091.
- doi:10.3389/fpls.2015.01091.
- 464 Raj A, Stephens M, Pritchard JK (2014). fastSTRUCTURE: Variational inference of population
- structure in large SNP data sets. Genetics 197, 573–589.
- Rajjou L, Belghazi M, Huguet R, et al. (2006). Proteomic investigation of the effect of salicylic
- acid on Arabidopsis seed germination and establishment of early defense mechanisms. Plant
- Physiology 141(3), 910–923.
- Roth C, Wiermer M (2012). Nucleoporins Nup160 and Seh1 are required for disease resistance in
- Arabidopsis. Plant Signaling & Behavior 7(10), 1212-1214.
- Schoville SD, Bonin A, François O, et al. (2012). Adaptive genetic variation on the landscape:
- 472 Methods and cases. Annual Review of Ecology, Evolution, and Systematics 43, 23–43.
- Sokal R, Rohlf F. Biometry: The Principles and Practice of Statistics in Biological Research (4th
- edn). W.H. Freeman & Company, New York, NY, 2012.
- storey JD, Tibshirani R (2003). Statistical significance for genomewide studies. Proceedings of the
- National Academy of Sciences 100(16), 9440–9445.

- 477 Sun CW, Callis J (1997). Independent modulation of Arabidopsis thaliana polyubiquitin mRNAs
- in different organs and in response to environmental changes. The Plant Journal 11, 1017–1027.
- Tang H, Choudhry S, Mei R, et al. (2007). Recent genetic selection in the ancestral admixture of
- puerto ricans. The American Journal of Human Genetics 81(3), 626–633.
- Tang H, Peng J, Wang P, Risch NJ (2005). Estimation of individual admixture: Analytical and
- study design considerations. Genetic Epidemiology 28, 289–301.
- ⁴⁸³ Visser M, Kayser M, Palstra RJ (2012). HERC2 rs12913832 modulates human pigmentation by
- attenuating chromatin-loop formation between a long-range enhancer and the OCA2 promoter.
- $Genome\ Research\ 22(3),\ 446-455.$
- ⁴⁸⁶ Vitti JJ, Grossman SR, Sabeti PC (2013). Detecting natural selection in genomic data. Annual
- Review of Genetics 47, 97–120.
- Wang Y, Zhang WZ, Song LF, et al. (2008). Transcriptome analyses show changes in gene expres-
- sion to accompany pollen germination and tube growth in Arabidopsis. Plant Physiology 148,
- 490 1201–1211.
- Waples RS, Gaggiotti O (2006). What is a population? an empirical evaluation of some genetic
- methods for identifying the number of gene pools and their degree of connectivity. Molecular
- 493 Ecology 15, 1419–1439.
- Weigel D, Mott R (2009). The 1001 Genomes Project for Arabidopsis thaliana. Genome Biology
- 10(5), 1–5.
- Weir BS, Cardon LR, Anderson AD, Nielsen DM, Hill WG (2005). Measures of human population
- structure show heterogeneity among genomic regions. Genome Research 15(11), 1468–1476.
- Weir BS, Cockerham CC (1984). Estimating F-statistics for the analysis of population structure.
- Evolution 38(6), 1358–1370.
- Wollstein A, Lao O (2015). Detecting individual ancestry in the human genome. Investigative
- Genetics 6, 1-12.

- Wright S (1943). Isolation by distance. Genetics 28, 114.
- Wright S (1951). The genetical structure of populations. Annals of Eugenics 15, 323–354.
- Xin Z, Mandaokar A, Chen J, Last RL, Browse J (2007). Arabidopsis ESK1 encodes a novel
- regulator of freezing tolerance. The Plant Journal 49, 786–799.

6 Figures and Tables

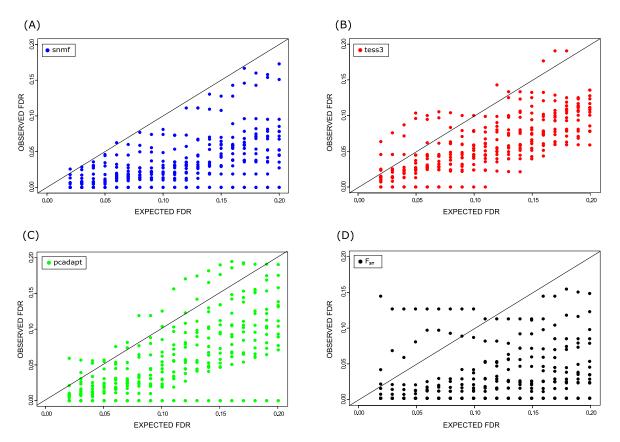


Figure 1. Observed false discovery rates. The tests are based on (A) snmf, (B) tess3, (C) pcadapt, (D) F_{ST} . Sixteen data sets containing 5% of outlier loci were used in each panel.

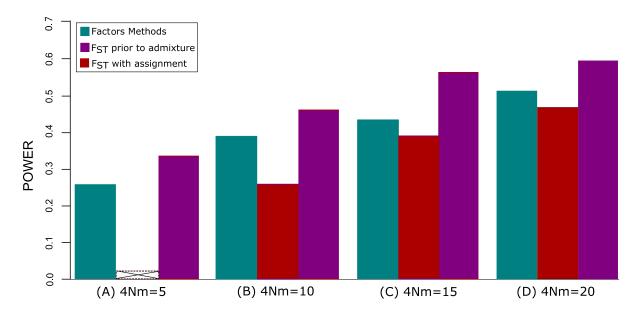


Figure 2. Power values of snmf, tess3, pcadapt (Factor methods) and classical F_{ST} tests with assignment and prior to admixture. All data sets contained 5% of outlier loci. Considering an expected FDR of $\alpha=0.1$: (A) Power values for the case 4Nm=5. The F_{ST} test based on assignment of individuals to their most likely cluster failed to detect outlier loci. (B) Power values for the case 4Nm=10. (C) Power values for the case 4Nm=15. (D) Power values for the case 4Nm=20.

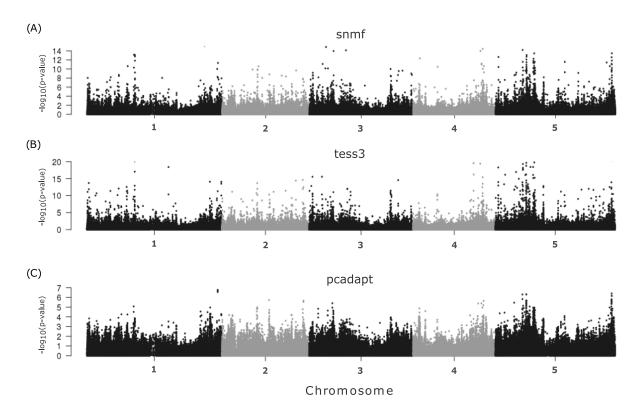


Figure 3. Manhattan plots of minus log10(p-values) for the A. thaliana. Considering the tests using: (A) snmf, (B) tess3 and (C) pcadapt.

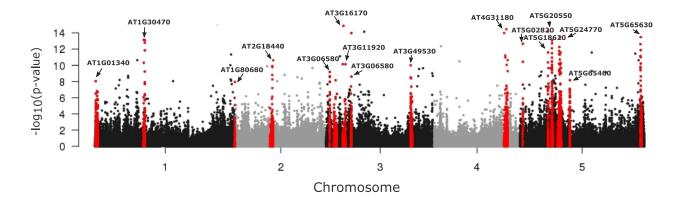


Figure 4. Manhattan plot of minus log10(p-values) for the A. thaliana. Candidate loci detected by genome scans for selection are colored in red (expected FDR level of 1%).

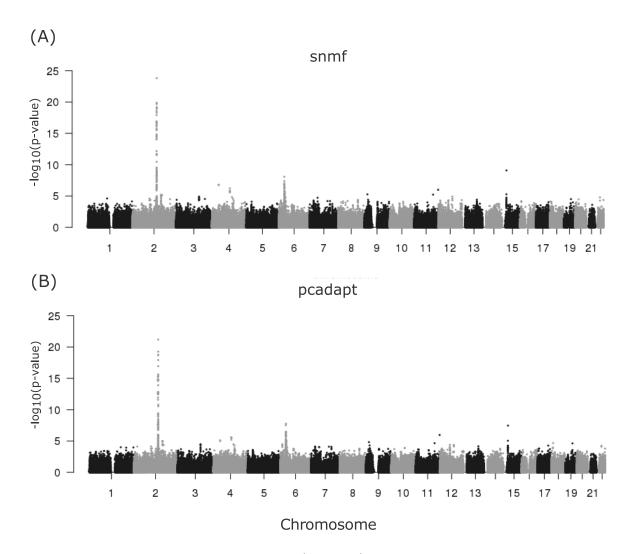


Figure 5. Manhattan plots of minus log10(p-values) for the 22 chromosomes of the POPRES data set. Considering the tests using: (A) snmf and (B) pcadapt.

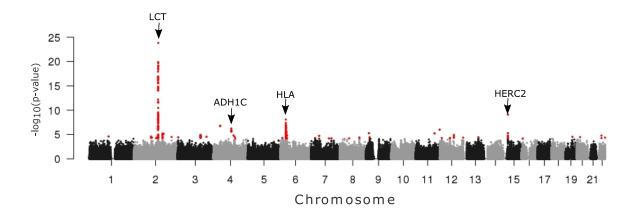


Figure 6. Manhattan plot of minus log10(p-values) for the POPRES data. Candidate loci detected by genome scans for selection are colored in red (expected FDR level of 10%

Chromosome	Position (kb)	Gene	Unknown	References
1	132330	AT1G01340	Salt tolerance	Guo et al. (2008)
	490925	AT1G02410	Plant growth and pollen germination	Radin et al. (2015)
	2191723	AT1G07140(SIRANBP)	Encodes a putative ran-binding protein	Wang et al. (2008)
	10779171	AT1G30470	Unknown	
	26503961	AT1G70340	Unknown	
	29516989	AT1G78450	Unknown	
	30324008	AT1G80680	Defense response	Roth & Wiermer (2012)
2	7995729	AT2G18440 (AtGUT15)	Encodes a noncoding RNA	
3	2048905	AT3G06580 (GAL1)	Galactose metabolic process	Wang et al. (2008)
	3772311	AT3G11920	Cell redox homeostasis	
	5476074	AT3G16170 (AAE13)	Fatty acid biosynthetic process	Chen et al. (2011)
	18595731	AT3G50150	Unknown	
	18362443	AT3G49530	Response to cold	Chawade et al. (2007)
4	15155879	AT4G31180 (IBI1)	Defense response	Rajjou et al. (2006)
5	642558	AT5G02820	Endoreduplication	
	644279	AT5G02830	Unknown	
	6092682	AT5G18400 (ATDRE2)	Apoptotic process	Wang et al. (2008)
	6195917	AT5G18620	Response to cold	Kim & Kang (2005)
	6202633	AT5G18630	Lipid metabolic process	Wang et al. (2008)
	6947843	AT5G20540	Unknown	
	6952417	AT5G20550	Oxidation-reduction process	
	6956660	AT5G20570 (ATRBX1)	Protein ubiquitination	Ascencio-Ibáñez et al. (2008)
	6958628	AT5G20580	Unknown	
	6963438	AT5G20590	Cell wall organization or biogenesis	Xin et al. (2007)
	6968690	AT5G20610	Response to blue light	DeBlasio et al. (2005)
	6973071	AT5G20620 (UBIQUITIN 4)	Cellular protein modification process	Sun & Callis (1997)
	8500476	AT5G24770	Defense response	Catinot et al. (2015)
	8773789	AT5G25280	Unknown	
	8823283	AT5G25400	Carbohydrate transport	Wang et al. (2008)
	10856791	AT5G28830	Unknown	
	26161831	AT5G65460 (KAC2)	Photosynthesis	He et al. (2005)
	26176021	AT5G65480	Unknown	Wang et al. (2008)
	26225832	AT5G65630 (GTE7)	Defense response	Wang et al. (2008)

Table 1. List of 33 candidate SNPs for European $A.\ thaliana$ lines in the 10% top hits, based on a combination of the three methods

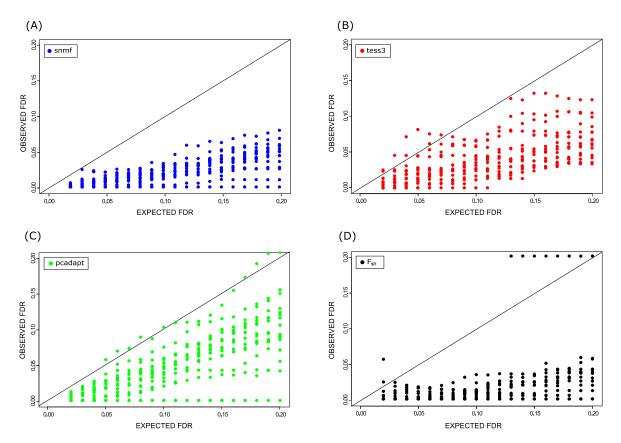


Figure S1. Observed false discovery rates. The tests are based on (A) snmf, (B) tess3, (C) pcadapt, (D) F_{ST} . Sixteen data sets containing 10% of outlier loci were used in each panel.

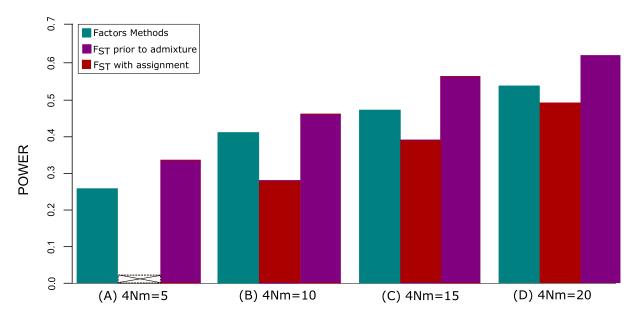


Figure S2. Power values of snmf, tess3, pcadapt (Factor methods) and classical F_{ST} tests with assignment and prior to admixture. All data sets contained 10% of outlier. Considering for a expected FDR of $\alpha=0.1$: (A) Power values for the case 4Nm=5. The F_{ST} test based on assignment of individuals to their most likely cluster failed to detect outlier loci. (B) Power values for the case 4Nm=10. (C) Power values for the case 4Nm=15. (D) Power values for the case 4Nm=20.

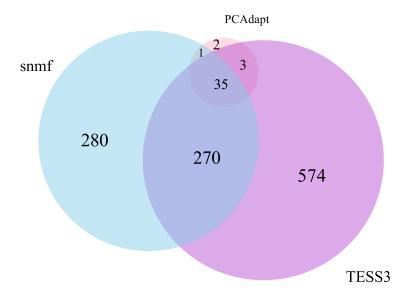


Figure S3. Venn diagrams. Intersection between the lists of loci obtained for each method applied to the *A. thaliana* data set. The pcadapt tests turned out to be more conservative.