1 Discordant inheritance of chromosomal and extrachromosomal DNA elements

2 contributes to dynamic disease evolution in glioblastoma

- 3 Ana C. deCarvalho^{1*†}, Hoon Kim^{2*}, Laila M. Poisson³, Mary E. Winn⁴, Claudius
- 4 Mueller⁵, David Cherba⁴, Julie Koeman⁶, Sahil Seth⁷, Alexei Protopopov⁷, Michelle
- 5 Felicella⁸, Siyuan Zheng⁹, Asha Multani¹⁰, Yongying Jiang⁷, Jianhua Zhang⁷, Do-Hyun
- 6 Nam^{11, 12, 13} Emanuel F. Petricoin⁵, Lynda Chin^{2, 7}, Tom Mikkelsen^{1, 14†}, Roel G.W.
- 7 Verhaak^{2†}
- 8
- ⁹ ¹Department of Neurosurgery, Henry Ford Hospital, Detroit, MI 48202, USA.
- ²The Jackson Laboratory for Genomic Medicine, Farmington, CT 06130, USA.
- ³Department of Public Health Sciences, Henry Ford Hospital, Detroit, MI 48202, USA.
- ⁴Bioinformatics and Biostatistics Core, Van Andel Research Institute, Grand Rapids, MI
- 13 49503, USA.
- ⁵Center for Applied Proteomics and Personalized Medicine, George Mason University,
- 15 Manassas, VA, USA
- ⁶Pathology and Biorepository Core, Van Andel Research Institute, Grand Rapids, MI
 49503, USA.
- ⁷Institute for Applied Cancer Science, The University of Texas MD Anderson Cancer
- 19 Center, Houston, TX 77030, USA.
- ⁸Department of Pathology, Henry Ford Hospital, Detroit, MI 48202, USA.
- ⁹Deptartment of Genetics, The University of Texas MD Anderson Cancer Center,
- 22 Houston, TX 77030, USA.
- ¹⁰Deptartment of Neuro-Oncology, The University of Texas MD Anderson Cancer
 Center, Houston, TX 77030, USA.
- ¹¹Institute for Refractory Cancer Research, Samsung Medical Center, Seoul 06351,
- 26 Korea
- ¹²Department of Health Sciences and Technology, Samsung Advanced Institute for
- Health Sciences and Technology, Sungkyunkwan University, Seoul 06351, Korea
- ¹³Department of Neurosurgery Samsung Medical Center, Sungkyunkwan University
- 30 School of Medicine, Seoul, 135-710, Korea;
- ¹⁴Department of Neurology, Henry Ford Hospital, Detroit, MI 48202, USA.
- 32 33
- ³⁴ * These authors contributed equally to this work.
- [†] Correspondence: adecarv1@hfhs.org (A.C.D.), tmikkel1@hfhs.org (T.M.),
- 36 roel.verhaak@jax.org (R.G.W.V.)

37

38 **Keywords**: glioblastoma, double minute, extrachromosomal DNA, tumor evolution

39 ABSTRACT

To understand how genomic heterogeneity of glioblastoma (GBM) contributes to the 40 41 poor response to therapy, which is characteristic of this disease, we performed DNA and RNA sequencing on GBM tumor samples and the neurospheres and orthotopic 42 43 xenograft models derived from them. We used the resulting data set to show that somatic driver alterations including single nucleotide variants, focal DNA alterations, 44 and oncogene amplification in extrachromosomal DNA (ecDNA) elements were in 45 majority propagated from tumor to model systems. In several instances, ecDNAs and 46 chromosomal alterations demonstrated divergent inheritance patterns and clonal 47 selection dynamics during cell culture and xenografting. Longitudinal patient tumor 48 49 profiling showed that oncogenic ecDNAs are frequently retained after disease recurrence. Our analysis shows that extrachromosomal elements increase the genomic 50 51 heterogeneity during tumor evolution of glioblastoma, independent of chromosomal 52 DNA alterations.

53

54

55 **INTRODUCTION**

Cancer genomes are subject to continuous mutagenic processes in combination with an 56 57 insufficient DNA damage repair ¹. Somatic genomic variants that are acquired prior to and throughout tumorigenesis may provide cancer cells with a competitive advantage 58 59 over their neighboring cells in the context of a nutrition- and oxygen-poor microenvironment, resulting in increased survival and/or proliferation rates². The 60 61 Darwinian evolutionary process results in intratumoral heterogeneity in which single cancer-cell-derived tumor subclones are characterized by unique somatic alterations³. 62 Chemotherapy and ionizing radiation may enhance intratumoral evolution by eliminating 63 cells lacking the ability to deal with increased levels of genotoxic stress, while targeted 64 65 therapy may favor subclones in which the targeted vulnerability is absent ^{4,5}. Increased clonal heterogeneity has been associated with tumor progression and mortality ⁶. 66 67 Computational methods that analyze the allelic fraction of somatic variants identified from high throughput sequencing data sets are able to infer clonal population structures 68 and provide insights into the level of intratumoral clonal variance 7 . 69

70 Glioblastoma (GBM), a WHO grade IV astrocytoma, is the most prevalent and 71 aggressive primary central nervous system tumor. GBM is characterized by poor response to standard post-resection radiation and cytotoxic therapy, resulting in dismal 72 prognosis with a 2 year survival rate around 15%⁸. The genomic and transcriptomic 73 landscape of GBM has been extensively described ⁹⁻¹¹. Intratumoral heterogeneity in 74 GBM has been well characterized, in particular with respect to somatic alterations 75 affecting receptor tyrosine kinases ¹²⁻¹⁴. To evaluate how genomically heterogeneous 76 tumor cell populations are affected by selective pressures arising from the transitions 77 78 from tumor to culture to xenograft, we performed a comprehensive genomic and transcriptomic analysis of thirteen GBMs, the glioma-neurosphere forming cultures 79 (GSC) derived from them, and orthotopic xenograft models (PDX) established from 80 early passage neurospheres. Our results highlight the evolutionary process of GBM 81 82 cells, placing emphasis on the diverging dynamics of chromosomal DNA alterations and 83 extrachromosomally amplified DNA elements in tumor evolution.

84

85 **RESULTS**

86 Genomic profiling of glioblastoma, derived neurosphere and PDX samples

We established neurosphere cultures from 12 newly diagnosed and one matched 87 88 recurrent GBM (Table 1). Neurosphere cultures between 7 and 18 passages were used for molecular profiling and engrafting orthotopically into nude mice. The sample cohort 89 90 included one pair of primary (HF3016) and matching recurrent (HF3177) GBM. A 91 schematic overview of our study design is presented in Fig. 1a. To determine whether 92 model systems capture the somatic alterations that are thought to drive gliomagenesis, 93 and whether there is selection for specific driver genes, we performed whole genome sequencing at a median depth of 6.5X to determine genome wide DNA copy number as 94 95 well as exome sequencing on all samples. DNA copy number was generally highly 96 preserved between tumor and derived model systems (Supplementary Fig. 1). Whole 97 chromosome 7 gain and chromosome 10 loss were retained in model systems when 98 detected in the tumor, consistent with their proposed role as canonical GBM lesions that occur amongst the earliest events in gliomagenesis ¹⁵. The global DNA copy number 99 100 resemblance between xenografts and the GBM from which they were derived confirms that PDXs recapitulate the majority of molecular properties found in the original tumor. 101

102 We compared mutation and DNA copy number status of genes previously found to be significantly mutated, gained, or lost in GBM ^{9,11}. We found that 100% of homozygous 103 104 deletions and somatic single nucleotide variants (sSNVs) affecting GBM driver genes in 105 tumor samples were propagated to the neurospheres and xenografts, including non-106 coding variants in the *TERT* promoter (Fig. 1b). Genomic amplifications showed greater 107 heterogeneity. In two cases, MYC amplification was not detected in the parental tumor, 108 but presented in the derivative neurospheres and maintained in xenografts, consistent with its role in glioma stem cell maintenance ^{16,17}. Other genes showing variable 109 110 representation across tumor and model systems included MET in HF3035 and HF3077, 111 and EGFR and PIK3CA in HF2354. The HF2354 derived model systems were 112 considerably less similar compared to the primary tumor than other cases which 113 coincided with HF2354 being the only case subjected to neoadjuvant carmustine treatment. Whole chromosome gains of chromosome 1, 14 and 21, and one copy loss 114 115 of chromosome 3, 8, 13, 15 and 18 were acquired in the neurosphere culture and 116 propagated to the xenograft models (Supplementary Fig. 1). At the gene level, this

resulted in newly detected mutations in *PTEN* and *TP53*, focal amplification of *MYC* (also in HF3016), and absence of *CDK4* and *EGFR* amplification in the neurosphere and xenografts relative to the tumor sample (Fig. 1b).

120

121 Extrachromosomal elements are frequently found in glioblastoma

122 Cytogeneticists have since long recognized that DNA in cancer can be amplified as part of chromosomal homogenously staining regions (HSR) and as extrachromomal minute 123 bodies ¹⁸. An early example of the importance of extrachromosomal DNA elements 124 125 (ecDNA) in cancer was the discovery of double minutes carrying the oncogene N-MYC in neuroblastoma¹⁹. A recent survey of a compendium of cancer cells and cell lines 126 127 highlighted the frequent presence of ecDNA in glioblastoma, among other cancer types, ²⁰, confirming previous studies ²¹⁻²³. We searched our data set for complex patterns of 128 DNA copy number amplification and rearrangement that are suggestive of ecDNA 129 130 elements (Supplementary Fig. 2). On the basis of DNA copy number patterns we 131 predicted 74 ecDNAs originating from 21 unique genomic loci which were distributed over ten of the thirteen patient tumors and their derived model systems. The predicted 132 133 ecDNA elements contained oncogenes including MYC, MYCN, EGFR, PDGFRA, MET, the MECOM/PIK3CA/SOX2 gene cluster and the CDK4/MDM2 gene cluster. In total, 19 134 135 of the 21 unique oncogene carrying ecDNAs were detected in more than one sample, 136 i.e. in neurospheres and matching PDX or in tumor sample and matching neurosphere 137 or PDX (Fig. 2a). We performed interphase FISH on tumor samples and PDX, and 138 metaphase FISH on neurospheres to validate 34 predicted ecDNA amplifications, 139 including of EGFR (HF2927, HF3178, HF3016 and HF3177), MYC (HF2354, HF3016 140 and HF3177), CDK4 (HF3055, HF3016 and HF3177), MET (HF3035 and HF3077), 141 MDM2 (HF3055) and PDGFRA (HF3253). In all interphase FISH experiments we 142 observed a highly variable number of fluorescent signals per nucleus, ranging from two 143 to 100 (Fig. 2b, Supplementary Table 1). This heterogeneity was strongly suggestive of 144 differences in the number DNA copies of the targeted gene per cell and thereby of an extrachromosomal DNA amplification. Metaphase FISH on neurosphere cells validated 145 146 the extrachromosomal status in all cases (Fig. 2b). Our analysis showed that oncogene amplification frequently resided on extrachromosomal DNA elements. 147

148

149 Extrachromosomal *MET* DNA elements mark a distinct tumor subclone

150 Among the identified oncogene carrying ecDNA elements, two cases of 151 extrachromosomal MET amplification stood out due to their variable presence across 152 the parental tumor (high frequency), neurosphere (low frequency) and xenograft 153 triplicates (high frequency) (Fig. 3a). In both cases, the MET amplification associated 154 with a transcript fusion with neighboring gene CAPZA2 (Fig. 3b, Supplementary Fig. 155 3a). The pattern of undetectable and re-appearing MET rearrangements may result 156 from clonal selection of glioblastoma cells with a competitive advantage for proliferation 157 in vivo. This hypothesis is strengthened by the observation that the breakpoints of the 158 lesions were identical across samples from the same parental origin (Supplementary 159 Fig. 3b). MET is a growth factor responsive cell surface receptor tyrosine kinase and may provide context dependent proliferative signals ²⁴. We reasoned that evolutionary 160 161 patterns resulting in such dominant clonal selection would likely be replicated by sSNVs tracing the cells carrying the MET amplicon. To evaluate clonal selection patterns, we 162 determined variant allele fractions of all sSNVs identified across HF3035 and HF3077 163 164 samples. To increase our sensitivity to detect mutations present in small numbers of cells, we corroborated the exome sequencing data using high coverage (>1,400x) 165 166 targeted sequencing. All mutations detected in the HF3035 GBM were recovered in the 167 neurosphere and xenografts. The mutational profile of HF3035 suggested that a 168 subclone developed in the xenografts that was not present in parental GBM and 169 neurosphere and revealed a subclone that was present at similar frequencies in all 170 samples (Fig. 3c). Only a single and very low frequency LAMB1 mutation (variant allele 171 fraction in tumor = 0.003) present in the HF3077 primary tumor, but not detected in its 172 derived neurosphere, resurfaced in one of three xenografts with a 0.04 variant allele 173 fraction. A low frequency subclone (C2) developed in the neurosphere which was transmitted to xenografts (Fig. 3c). Subclonal heterogeneity as recovered by the 174 175 mutation profiles thus suggested a very different clonal selection trend compared to to the disappearing and resurfacing *MET* amplifications and associated transcript fusions. 176 177 EcDNAs are thought to inherit through random distribution over the two daughter cells²⁵, possibly through a binomial model²⁶, but much is unknown with respect to the 178

propagation of ecDNA through cancer cell populations. The disjointed propagation of chromosomal SNVs and extrachromosomal *MET* ecDNAs indicate that they are marking different tumor subclones and suggest alternative modes of tumor evolution. While sSNVs are copied to daughter cells during mitosis such that both cells inherit the full spectrum of chromosomal alterations present in the parental cell, ecDNA elements likely randomly segregate and end up in the daughter cells in uneven numbers.

185 MET expressing cells exhibited MET activation and were selected early during 186 tumor formation in the orthotopic xenografts (Supplementary Fig. 3c), suggesting that 187 MET activity was driving selection for MET amplified cells in vivo. Treatment of HF3077 PDX with ATP-competitive MET inhibitor capmatinib (INCB28060) ²⁷ at a daily oral dose 188 189 of 30 mg/kg showed a significant survival benefit, despite the relatively low 190 concentration of drug in the brain tumor as assessed by LC-MS/MS (Fig. 3d). In 191 contrast, capmatinib treatment of HF3035 PDX did not increase survival nor decrease 192 MET expression but resulted in decrease of phospho-MET in treated tumors. This may 193 reflect MET functions that are independent of the kinase activity in these tumors, as previously proposed ^{28,29}. These results demonstrate that targeting MET in GBM 194 195 harboring MET ecDNA amplification has therapeutic potential, but MET amplification alone is not a predictor of response to single agent ATP-competitive inhibitor treatment. 196 197 Comparable to the orthotopic xenografts, subcutaneous PDX tumors formed from 198 implant of HF3035 neurosphere cells were dominated by MET-amplified cells 199 accompanied by robust MET expression (Supplementary Fig. 3c). The increase in the 200 frequency of MET-amplification in HF3035 cells in vivo are therefore not dependent on 201 factors uniquely present in the brain microenvironment.

202 Different genetic origins for ecDNA have been postulated, with evidence for postreplicative excision of chromosomal fragments and non-homologous end joining ³⁰. 203 204 Interphase FISH analysis in the parental HF3077 tumor identified a small percentage of 205 nuclei with 3 copies of chromosome 7 but only 2 copies of MET. The frequency of cells 206 with one deleted copy of MET in Ch 7 increased significantly in HF3077 neurospheres 207 and decreased in the xenografts (Supplementary Table 1). The observed gene deletion 208 in one copy of chromosome 7 is suggestive of the post-replication segregation-based model of double minute formation³⁰. To precisely define the genomic contents and 209

210 structure of the predicted double minutes, we generated long read (Pacific Biosciences) 211 DNA sequencing from a single xenograft of each HF3035 and HF3077, and performed 212 *de novo* assembly. In HF3035, seven assembled contigs (range: 6,466 ~ 135,621 bp) 213 were identified to have sequence fragments (at least 1,000 bp long) aligned on the 214 MET-CAPZA2 region of hg19 chromosome 7. Interestingly, analysis of the aligned 215 sequence fragments from the seven contigs revealed a more complex structural 216 rearrangement than expected from the analysis of short read sequencing data. For 217 example, the 135kb tig01170337 contig consisted of 8 sequence framents that were 218 nonlinearly aligned on alternating strands of the MET-CAPZA2 and CNTNAP2 regions. 219 Other contigs such as tig01170699, tig01170325, and tig00000023 also showed 220 nonlinear alignment, suggesting that these contigs resulted from chromosomal 221 structural variations. We performed pairwise sequence comparison of the contigs to 222 search for sequence fragments (at least 5,000 bp long) shared among them, and we 223 found four contigs each of which shared sequence fragments with one of the contigs. 224 Interestingly, three of them could be connected in a circular form using the shared sequence fragments (Fig. 3e; Supplementary Fig. 4a), revealing a circular structure that 225 226 may represent the full double minute. In HF3077, only two contigs were detected to be aligned on the MET-CAPZA2 region of hg19 chromosome 7 (Fig. 3e; Supplementary 227 228 Fig. 4a). Presence of only two aligned contigs in HF3077 might be related to the lower 229 sequence coverage of the double minute structure, compared to HF3035 (34x vs 405x, 230 respectively) (Supplementary Fig. 4b). The longest contig, tig01141776 (183,455 bp 231 long), consisted of two segment framents that were nonlinearly aligned over exon 1 of CAPZA2 and all except exons 3-5 of MET, suggesting that it resulted from structural 232 233 variations. The second short contig, tig01141835 (22,628 bp long), was aligned as a 234 whole over exon 3-5 of *MET*. Interestingly, connecting the two contigs created a circular 235 DNA segment. Through analysis of PacBio sequencing, we were able to detect and 236 reconstruct the predicted double minute structures.

237

238 Multiple ecDNA elements are longitudinally preserved in a patient GBM and its 239 derivative model systems

240 Analysis of a pair of primary and recurrent GBM included in our cohort, respectively HF3016 and HF3177, showed that chromosomal and extrachromosomal elements 241 242 jointly orchestrated complex evolutionary dynamics (Fig. 4a). Primary and recurrent 243 tumor were globally very similar (Fig. 1b, Supplementary Fig. 1). While the HF3016 244 primary tumor showed diploid MYC DNA copy numbers, a focal MYC amplification was detected in the neurosphere and PDXs derived from this tumor, and the same MYC 245 246 amplification was identified in all samples from the recurrent tumor (Fig. 4b). 247 Interestingly, FISH analysis showed that MYC amplification was present in low frequency (2%) in the initial HF3016 tumor, and was enriched to 100% of nuclei in the 248 neurospheres and in the recurrent tumor (Fig. 4c, Supplementary Table 1). Metaphase 249 250 FISH analysis confirmed extrachromosomal MYC amplification in both HF3016 and 251 HF3177 neurospheres (Fig. 4c). The sSNV based clonal tracking plots for the paired 252 patient samples identified two subclones in the HF3177 recurrence (Fig. 4d) that were 253 not detected in the HF3016 neurosphere/PDX models, suggesting that these were independent of the MYC ecDNA element. Of note, a 0.5% cell frequency amplification 254 255 was also detected in the parental tumor sample of HF2354, which increased to high 256 levels in the derived neurosphere. DNA copy number analysis detected parallel EGFR 257 and CDK4 amplifications in the HF3016 primary GBM that were retained in HF3177 258 GBM recurrence as well as all model systems. Sequencing reads connecting the two 259 amplifications and suggesting a complex structural variant were detected in the HF3016 neurosphere, the HF3016 PDXs, all HF3177 samples, but not the HF3016 primary GBM 260 261 (Fig. 4e). Metaphase FISH on HF3016 neurosphere and HF3177 neurosphere confirmed that the CDK4 and EGFR amplifications were part of the same ecDNA 262 263 element (Fig. 4f). The genomic and extrachromosomal characteristics of these two 264 tumor samples, their derived neurosphere cultures and xenografts provide an example 265 of how multiple ecDNA elements are able to be preserved during tumor progression 266 while in parallel acquiring new tumor subclones marked by sets of chromosomal sSNVs. 267

268 Longitudinal maintenance of extrachromosomal DNA in patient tumors

Large, megabase sized double minutes are frequently found in glioblastoma and can be identified using whole genome sequencing and DNA copy number data ²¹⁻²³. To 271 determine whether extrachromosomal DNA can survive therapeutical barriers, we 272 evaluated the DNA copy number profiles of 58 matching pairs of primary and recurrent glioma for the presence of ecDNAs⁴. Evidence supporting the presence of ecDNA was 273 274 found in 30 primary and 28 recurrent tumors spanning 34 patients and of these, ecDNA elements targeting cancer driver genes ³¹ were predicted in 22 primary tumors (Fig. 5a). 275 The most frequently targeted gene was EGFR which was identified in 11 primary 276 tumors, in agreement with previous reports^{20,22}. CDK4, PDGFRA were detected in six 277 and five primary tumors, respectively. We corroborated our computational predictions 278 279 through interphase FISH analyses of 17 predicted ecDNAs and 26 non-altered loci across 6 primary/recurrent tumor pairs. Sixteen out of 17 genomic amplifications 280 281 showed the highly variable number of DNA signals that is strongly suggestive of the extrachromosomal nature of the DNA locus (Fig. 5b, Supplementary Fig. 5a) whereas 282 283 the 26 control DNA regions predicted to be non-amplified were confirmed as such 284 (Supplementary Table 2). EGFR harboring ecDNA was preserved in the recurrent tumor in 4 out 5 pairs, half of which carried EGFRvIII mutation, including the HF2934 recurrent 285 286 tumor analyzed after treatment with EGFR inhibitor dacomitinib (Fig. 5b, Supplementary 287 Table 2). One tumor lost EGFR ecDNA and vIII mutation upon recurrence (HF2829), after treatment with the standard of care (radiation and temozolomide). In one case 288 289 MET ecDNA was present in the primary tumor and maintained in the recurrence, while 290 MYC ecDNA emerged upon recurrence, similar to what we reported above for the 291 HF3016/HF3177 pair. To corroborate 55 DNA copy number predicted ecDNAs, we 292 analysed whole genome and RNA sequencing data, which identified sequencing reads 293 connecting adjacent focally amplified DNA segments (Fig. 5c and Supplementary Fig. 294 5b) supporting the predictions. After disease recurrence, 19 of 22 tumors preserved at 295 least one cancer driver ecDNA, supporting the notion that ecDNA can prevail following 296 the selective pressure imposed by anti-cancer therapy. We did not detect any significant 297 correlations between somatic mutations and the presence of ecDNA. This analysis was 298 potentially limited by the cohort size and our sensitivity in detecting ecDNA.

299

300 Discussion

301 Glioblastoma is a heterogeneous disease that is highly resistant to chemo- and 302 radiotherapy. New modalities for treatment are urgently needed. Modeling of tumors 303 through cell culture and orthotopic xenotransplantation are essential approaches for 304 preclinical therapeutic target screening and validation, but in GBM have yet to result in 305 novel treatments. To what extent these models truthfully recapitulate the parental tumor 306 is a topic of active discussion. Here, we showed that neurosphere and orthotopic 307 xenograft tumor models are genomically similar, capturing over 80% of all genomic 308 alterations detected in the parental tumors.

309 EcDNA is increasingly recognized as playing an important role in tumorigenesis and gliomagenesis in particular ^{20-23,30}. Our results provide direct evidence that ecDNA 310 311 enhance genomic diversity during tumor evolution, and show how ecDNA elements can 312 mark major clonal expansion in otherwise stable genomic background. Little is known 313 about the mechanism through which these elements arise and how they become fixed 314 across a cancer cell population. Our analysis provides a comprehensive study of the fate of chromosomal SNVs and ecDNA oncogene amplifications in GBM in a panel of 315 316 tumors and derivative models. We further demonstrated the widespread presence of 317 ecDNA driven oncogene amplification through extensive FISH analysis on sets of paired primary and recurrent tumor samples. Focal gene amplifications have 318 319 traditionally been recognized as homogeneously staining regions (HSR) and these may originate from chromosomal insertions of ecDNA²⁵. We did not observe HSR-like 320 321 staining patterns for the amplified genes in this study which suggests that this is not a 322 common mechanism for gene amplification in GBM. We captured the early stages of 323 MYC ecDNA expansion in the HF3016 and HF2354 tumors with 0.5-2% of cells 324 presenting amplification (<30 copies/nucleus), with no evidence of chromosomal based 325 gene amplification, while in all derived models, as well as the HF3016 recurrence (HF3077), the frequency of MYC amplification increased to 100% of cells with up to 100 326 327 copies/nucleus. These results are consistent with an origin through excision of a MYC 328 containing chromosomal DNA segment and end-joining into a circular ecDNA, with subsequent amplification of the ecDNA³⁰, followed by selection of *MYC*-amplified cells 329 330 in vitro and in the recurrent tumor. Spindle assembly and chromosome segregation 331 during mitosis lead to genetically identical daughter cells, containing similar sets of

332 chromosomal sSNVs and DNA copy number alterations. Double minutes/ecDNAs are replicated during S-phase, but lack the centromeres that dictate the organization of the 333 334 mitotic spindle, and as a result are randomly distributed across the daughter cells during 335 mitosis. EcDNA elements thus inherit in a radically different fashion than chromosomes. 336 This divergence in inheritance mechanism may explain for example why the evolution of 337 the MET event was not similarly captured by sSNVs (Fig. 6), and shows that 338 extrachromosomal elements play a key role in increasing genomic diversity during tumor evolution. Previous studies have found that extrachromosomal bodies can 339 provide a reservoir for therapeutically targetable genomic alterations ³². Targeted MET 340 inhibition of *MET* amplified GBMs has shown clinical promise ³³, although the variable 341 342 responses to MET inhibition recorded in our data suggest that single MET inhibiting agent efficacy is influenced by other factors. Our observations extend recent findings 343 that ecDNA are frequently detected in cancer ^{20,22} and demonstrate that detection of 344 345 point mutations alone is insufficient to accurately delineate tumor evolutionary process. 346 The disappearance of extrachromosomally amplified driver genes in neurosphere cultures has been reported ²³, but is not confirmed in our our data that show ecDNA 347 348 carrying amplification of MYC, CDK4, EGFR, and PDGFRA were maintained in neurosphere cultures, at least up to passage 18. 349

Double minutes have been reported in 10-40% of GBM ²¹⁻²³. These lesions 350 351 frequently involved genes on chromosome 12p, including CDK4 and MDM2, span up to 352 several megabases in size, and can be recognized by an intermittent amplification-353 deletion DNA copy number pattern. An important contribution of our work is in the size 354 of the ecDNA elements identified, which ranged from several kb to several Mb. Larger 355 ecDNAs are often characterized by an intermittent amplification-deletion DNA copy number pattern²², but kb-sized single segment episomes can only be identified using 356 high throughput sequencing approaches³⁴ or DNA staining/FISH experiments²⁰ and are 357 therefore likely underreported. Whether ecDNA size and structure affects the 358 359 mechanism of tumorigenesis is unclear. Extrachromosomal DNA is an understudied domain in cancer. Our analysis emphasizes the importance of this genomic alteration 360 361 category for gliomagenesis. Future studies that specifically target the formation of episomal events may lead to therapies to prevent this process from happening. The 362

363 models we described here may play a pivotal role in evaluating the potential of such364 approaches.

365

366 Acknowledgments

The authors would like to thank Dr. Norman Lehman and Dr. Chunhai (Charlie) Hao for 367 pathology reviews; Lisa Scarpace for clinical information; Susan Irtenkauf. Laura 368 369 Hasselbach, Kevin Nelson, Kimberly Bergman, and Susan Sobiechowski for cell culture 370 and animal work; Andrea Transou, Yuling Meng, and Enoch Carlton for histology at 371 HFH. We thank Genevieve Geneau, Sharen Roland, and Pac Bio platform personnel of the Génome Québec/Genome Canada-funded Innovation Centre for providing Pacific 372 373 Biosciences sequencing. This work was supported by the LIGHT Research Program at 374 the Hermelin Brain Tumor Center; grants from the National Institutes of Health P50 375 CA127001, R01 CA190121, P01 CA085878 and P30CA034196; the Cancer Prevention 376 & Research Institute of Texas (CPRIT) R140606. This work was also supported by a 377 grant of the Korea Health Technology R&D project through the Korea Health Industry 378 Development Institute (KHIDI), funded by the Ministry of Health & Welfare, Republic of 379 Korea (HI14C3418) We are hugely indebted to the patients who provided tumor and 380 germline material for the purpose of this study.

381

382 Author Information

BAM files from exome sequencing, low pass whole genome sequencing and RNA sequencing used in this study were deposited to the European Genome-phenome Archive (EGA; <u>http://www.ebi.ac.uk/ega/</u>), which is hosted by the EBI and the CRG, under accession number EGAS00001001878. The authors declare no competing financial interests.

388

389 Supplementary Information

Supplementary Figures, Methods, and Supplementary Tables are available assupplementary data.

392

393 References

394 1. Roos, W.P., Thomas, A.D. & Kaina, B. DNA damage and the balance between survival and death 395 in cancer biology. Nat Rev Cancer 16, 20-33 (2016). 396 2. Yap, T.A., Gerlinger, M., Futreal, P.A., Pusztai, L. & Swanton, C. Intratumor heterogeneity: seeing 397 the wood for the trees. Sci Transl Med 4, 127ps10 (2012). 398 3. Aparicio, S. & Caldas, C. The implications of clonal genome evolution for cancer medicine. N Engl 399 J Med 368, 842-51 (2013). 400 4. Kim, H. et al. Whole-genome and multisector exome sequencing of primary and post-treatment 401 glioblastoma reveals patterns of tumor evolution. Genome Res 25, 316-27 (2015). 402 5. Sequist, L.V. et al. Genotypic and histological evolution of lung cancers acquiring resistance to 403 EGFR inhibitors. Sci Transl Med 3, 75ra26 (2011). 404 6. Andor, N. et al. Pan-cancer analysis of the extent and consequences of intratumor 405 heterogeneity. Nat Med 22, 105-13 (2016). 406 7. Roth, A. et al. PyClone: statistical inference of clonal population structure in cancer. Nat 407 Methods 11, 396-8 (2014). 408 Dolecek, T.A., Propp, J.M., Stroup, N.E. & Kruchko, C. CBTRUS statistical report: primary brain 8. 409 and central nervous system tumors diagnosed in the United States in 2005-2009. Neuro Oncol 410 **14 Suppl 5**, v1-49 (2012). 411 9. Ceccarelli, M. et al. Molecular Profiling Reveals Biologically Discrete Subsets and Pathways of 412 Progression in Diffuse Glioma. Cell 164, 550-63 (2016). 413 10. Verhaak, R.G. et al. Integrated genomic analysis identifies clinically relevant subtypes of 414 glioblastoma characterized by abnormalities in PDGFRA, IDH1, EGFR, and NF1. Cancer Cell 17, 415 98-110 (2010). 416 11. Brennan, C.W. et al. The somatic genomic landscape of glioblastoma. Cell 155, 462-77 (2013). 417 12. Snuderl, M. et al. Mosaic amplification of multiple receptor tyrosine kinase genes in 418 glioblastoma. Cancer Cell 20, 810-7 (2011). 419 13. Sottoriva, A. et al. Intratumor heterogeneity in human glioblastoma reflects cancer evolutionary 420 dynamics. Proc Natl Acad Sci U S A 110, 4009-14 (2013). 421 14. Szerlip, N.J. et al. Intratumoral heterogeneity of receptor tyrosine kinases EGFR and PDGFRA 422 amplification in glioblastoma defines subpopulations with distinct growth factor response. Proc 423 Natl Acad Sci U S A 109, 3041-6 (2012). 424 15. Ozawa, T. et al. Most human non-GCIMP glioblastoma subtypes evolve from a common 425 proneural-like precursor glioma. Cancer Cell 26, 288-300 (2014). 426 16. Wang, J. et al. c-Myc is required for maintenance of glioma cancer stem cells. PLoS One 3, e3769 427 (2008).428 17. Annibali, D. et al. Myc inhibition is effective against glioma and reveals a role for Myc in 429 proficient mitosis. Nat Commun 5, 4632 (2014). 430 18. Cox, D., Yuncken, C. & Spriggs, A.I. Minute Chromatin Bodies in Malignant Tumours of 431 Childhood. Lancet 1, 55-8 (1965). 432 19. Kohl, N.E. *et al.* Transposition and amplification of oncogene-related sequences in human 433 neuroblastomas. Cell 35, 359-67 (1983). 434 20. Turner, K.M. et al. Extrachromosomal oncogene amplification drives tumour evolution and 435 genetic heterogeneity. Nature 543, 122-125 (2017). 436 21. Sanborn, J.Z. et al. Double minute chromosomes in glioblastoma multiforme are revealed by 437 precise reconstruction of oncogenic amplicons. Cancer Res 73, 6036-45 (2013). 438 22. Zheng, S. et al. A survey of intragenic breakpoints in glioblastoma identifies a distinct subset 439 associated with poor survival. Genes Dev 27, 1462-72 (2013).

440	23.	Nikolaev, S. <i>et al.</i> Extrachromosomal driver mutations in glioblastoma and low-grade glioma.
441	23.	Nat Commun 5, 5690 (2014).
442	24.	Organ, S.L. & Tsao, M.S. An overview of the c-MET signaling pathway. Ther Adv Med Oncol 3, S7-
443		S19 (2011).
444	25.	Storlazzi, C.T. <i>et al.</i> Gene amplification as double minutes or homogeneously staining regions in
445		solid tumors: origin and structure. <i>Genome Res</i> 20 , 1198-206 (2010).
446	26.	Lundberg, G. et al. Binomial mitotic segregation of MYCN-carrying double minutes in
447		neuroblastoma illustrates the role of randomness in oncogene amplification. PLoS One 3 , e3099
448		(2008).
449	27.	Liu, X. et al. A novel kinase inhibitor, INCB28060, blocks c-MET-dependent signaling, neoplastic
450		activities, and cross-talk with EGFR and HER-3. <i>Clin Cancer Res</i> 17 , 7127-38 (2011).
451	28.	Tesfay, L., Schulz, V.V., Frank, S.B., Lamb, L.E. & Miranti, C.K. Receptor tyrosine kinase Met
452		promotes cell survival via kinase-independent maintenance of integrin alpha3beta1. <i>Mol Biol</i>
453		<i>Cell</i> 27 , 2493-504 (2016).
454	29.	Arena, S., Pisacane, A., Mazzone, M., Comoglio, P.M. & Bardelli, A. Genetic targeting of the
455		kinase activity of the Met receptor in cancer cells. Proc Natl Acad Sci U S A 104, 11412-7 (2007).
456	30.	Vogt, N. et al. Molecular structure of double-minute chromosomes bearing amplified copies of
457		the epidermal growth factor receptor gene in gliomas. <i>Proc Natl Acad Sci U S A</i> 101 , 11368-73
458		(2004).
459	31.	Rubio-Perez, C. <i>et al.</i> In silico prescription of anticancer drugs to cohorts of 28 tumor types
460	~~	reveals targeting opportunities. <i>Cancer Cell</i> 27 , 382-96 (2015).
461	32.	Nathanson, D.A. <i>et al.</i> Targeted therapy resistance mediated by dynamic regulation of
462	22	extrachromosomal mutant EGFR DNA. <i>Science</i> 343 , 72-6 (2014).
463 464	33.	Chi, A.S. <i>et al.</i> Rapid radiographic and clinical improvement after treatment of a MET-amplified
464 465		recurrent glioblastoma with a mesenchymal-epithelial transition inhibitor. <i>J Clin Oncol</i> 30 , e30-3 (2012).
465	34.	Shibata, Y. <i>et al.</i> Extrachromosomal microDNAs and chromosomal microdeletions in normal
400 467	54.	tissues. Science 336 , 82-6 (2012).
		(1550E5. 5CIEITCE 350 , 62-0 (2012).
468		
469		

Sample	Pathology	Age/	Rx	MGMT	OS	TTP
Sample		Gender	prior to surgery		(days)	(days)
HF2354	GBM	61/M	BCNU	U	196	60
HF2587	GBM	56/F	untreated	Μ	360	232
HF2927	GBM	55/F	untreated	U	664	566
HF3016	GBM	45/M	untreated	U	649	88
HF3177	rGBM4		RT/TMZ/DCVax	U		
HF3035	GBM	54/F	untreated	U	352	196
HF3055	GBM	58/M	untreated	U	371	77
HF3077	GBM	56/F	untreated	U	465	54
HF3160	GBM	21/F	untreated	Μ	1018	100
HF3178	GBM	65/M	untreated	U	189	138
HF3203	GBM	64/M	untreated	U	425	276
HF3216	GBM	76/M	untreated	U	94	
HF3253	GBM	82/F	untreated	U	68	

470 **Table 1.** Clinical characteristics of GBM patients included in this study.

471 Rx: treatment; MGMT: *MGMT* gene promoter methylation status, U = unmethylated, M =
472 methylated; OS: overall survival; TTP: time to progression.

473



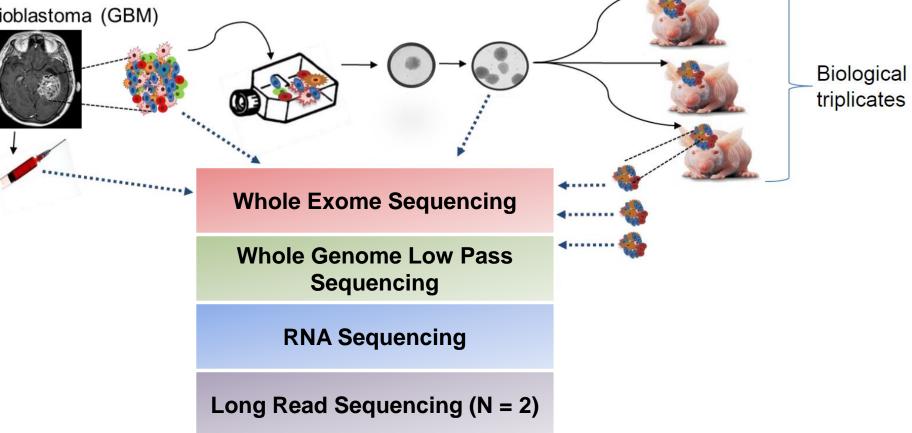


Figure 1. Comprehensive comparison of GBM, derived neurospheres and PDX models. a. Schematic study overview.

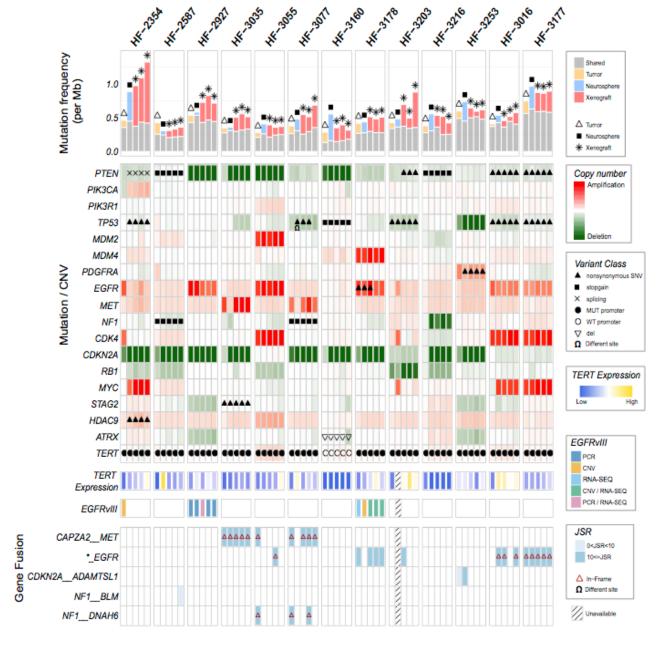
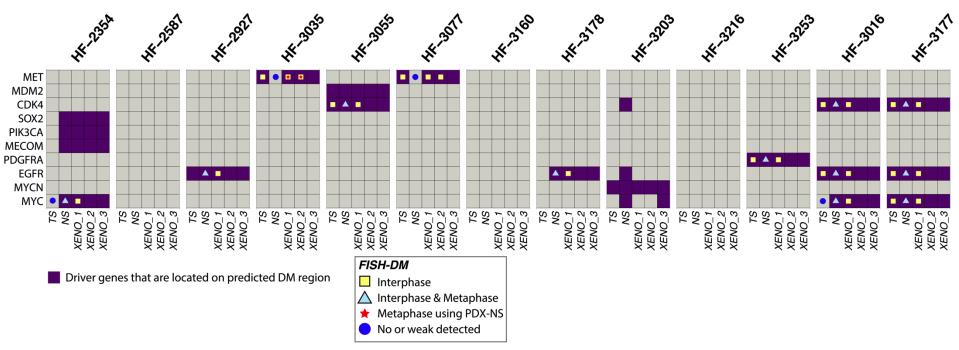


Figure 1. Comprehensive comparison of GBM, derived neurospheres and PDX models (cont'd). b. Somatic driver alterations compared between GBM tumors and derivative model systems.





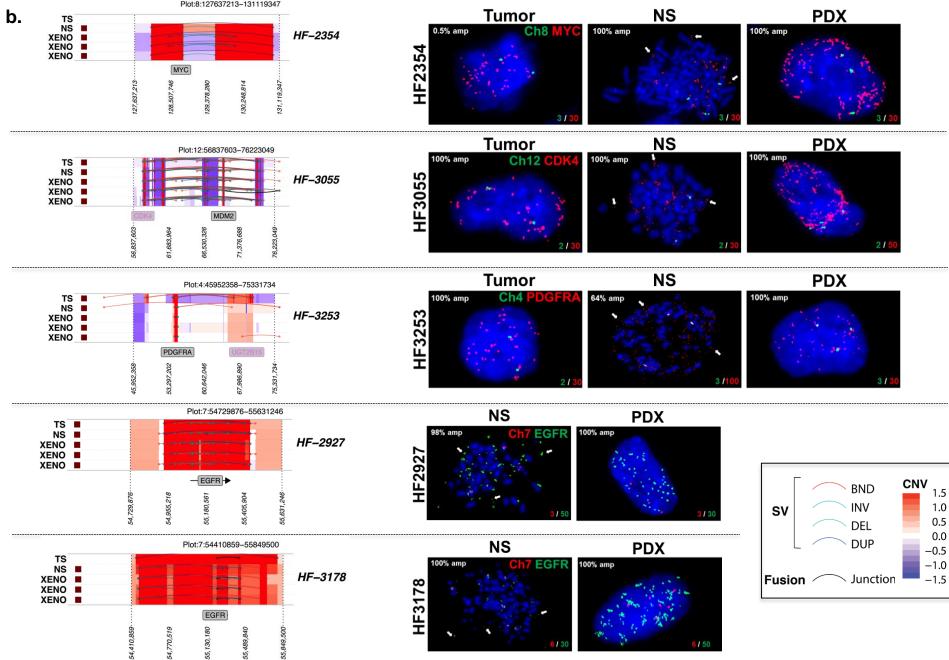


Figure 2. ecDNA in hGBM samples and FISH validation (cont'd). b. Representative FISH images showing amplification of *MYC*, *CDK4*, *PDGFRA* in tumor, neurospheres and PDXs (red) and control chromosomal probes (green). *EGFR* amplification in neurospheres and PDX (green) and Chr7 control are shown. Metaphase FISH is shown for the neurospheres, with arrows pointing to extrachromosomal amplification.

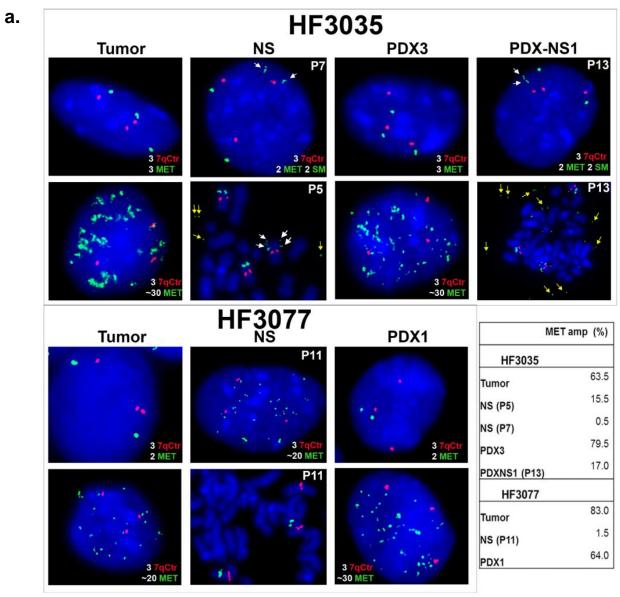


Figure 3. Extrachromosomal MET DNA. a. Representative fluorescent in-situ hybridization images for MET (green) and chromosome 7 control probes (7qCtr, red) labeling of HF3035 and HF3077 tumor, neurosphere (NS), and xenografts (PDX), and neurospheres established from HF3035 xenograft tumors (PDX-NS1). Passage numbers are indicated for neurosphere cultures. White arrows point to 2 fragmented MET signals in one chromosome in HF3035 samples (2SM). Yellow arrows point to double minute MET in metaphase nuclei of HF3035 neurospheres. The percentage of nuclei presenting MET amplification for each sample is shown.



DNA

number

DNA

DNA

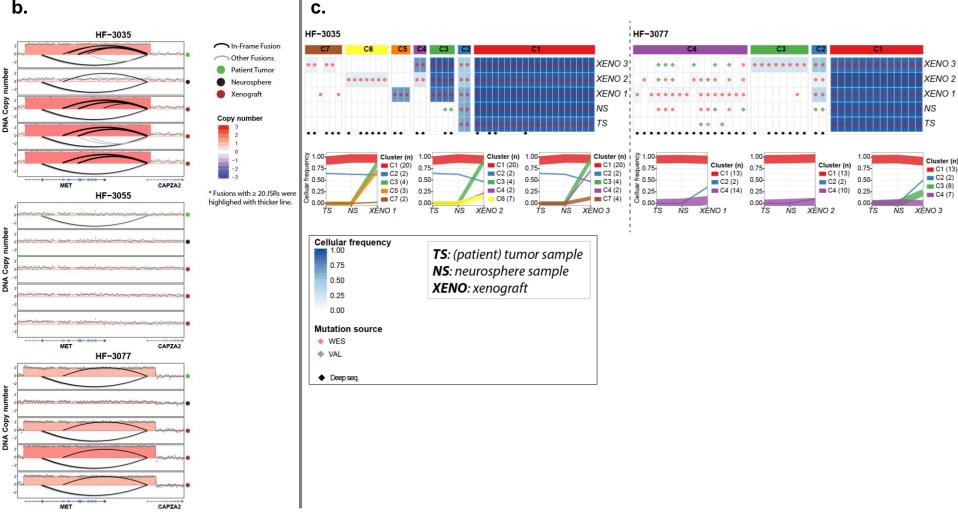


Figure 3. Extrachromosomal MET DNA (cont'd). b. The 7q31 locus in three sets of GBM tumors and derivate models. c. Coverage-controlled sSNVs detected using exome and deep sequencing (top panel). Color reflects cellular frequency estimates. Bottom panel shows clonal tracing from HF3035 and HF3077 parent tumor to neurospheres and PDXs. Each line represents a group of mutations computationally inferred to reflect a subclone.

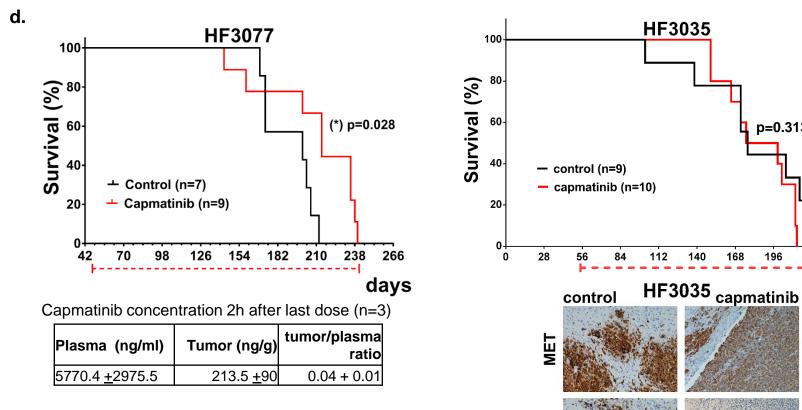


Figure 3. Extrachromosomal MET DNA (cont'd). d. Treatment with single agent capmatinib (30 mg/kg, daily oral doses) increases survival of HF3077 PDX, but not of HF3035. Kaplan-Meier survival curves were compared by log-rank (Mantel-Cox) test, significance set at P<0.05 (*), treatment schedule (doted red line) and number of mice in each arm (n) are shown. Capmatinib concentration in the plasma and tumor tissue collected 2h after the last dose was determined by LC-MS/MS for HF3077 PDX. MET and p-MET detection by IHC of control and capmatinib-treated xenografts show complete inhibition of p-MET, but did not affect MET overexpression in HF3035 PDX. Scale, 40 µm.

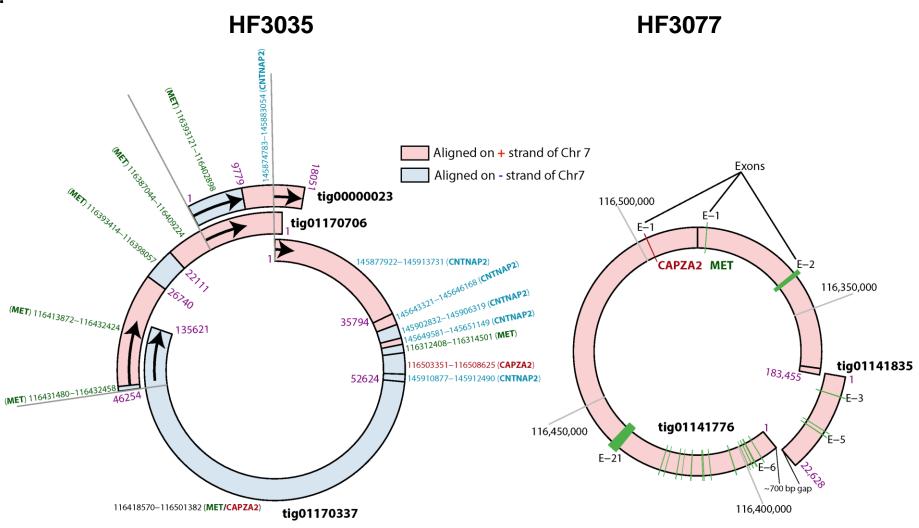
p-MET

p=0.313

196

224

days



Note: All genomic coordinates are on chromosome 7.

Figure 3. Extrachromosomal *MET* **DNA (cont'd). e.** Double minute structures predicted with long read sequencing in HF3035 and HF3077 xenografts.

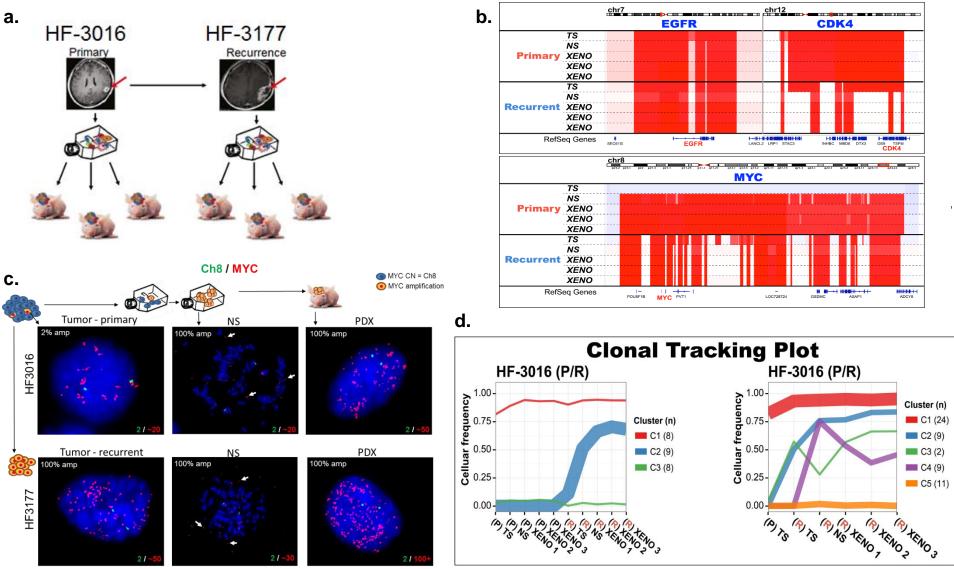
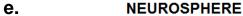
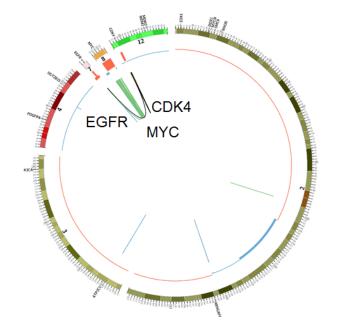


Figure 4. Double minutes drive tumor progression in patient tumors and derived model systems. a. Establishing neurosphere cultures and PDX models from a paired primary/recurrent GBM. **b.** A co-amplification of *EGFR* (chr7)/*CDK4* (chr 12) is detected in primary GBM HF3016 and this co-amplification is sustained in both neurosphere and xenografts derived from this primary tumor, as well as the recurrent GBM HF3177, and the neurosphere/xenografts thereof. The HF3016 primary tumor is not *MYC* amplified. The HF3016 neurosphere, as well as all HF3177 samples, show focal *MYC* amplification. **c.** Representative FISH images for MYC (red) and Ch8 marker (green) show that a small fraction (2%) of the cells in HF3016 tumor presents MYC amplification, while 100% of nuclei in the remaining samples present MYC amplification, which is clearly extrachromosomal (white arrows) in the metaphase spreads (NS). **d.** Clonal tracing of a pair of primary-recurrent GBM, their matching neurospheres, and xenografts.





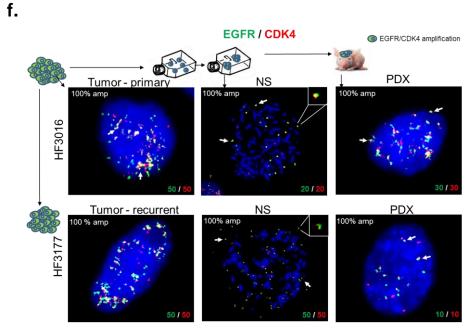


Figure 4. Double minutes drive tumor progression in patient tumors and derived model systems (cont'd). e. Starting in the neurosphere of the primary tumor, a complex structural variant is identified that connects the CDK4 locus to the EGFR locus. The MYC locus is not part of this variant. The EGFR/CDK4 variant is detected in HF3016 PDXs as well as all HF3177 samples. f. EGFR (green) and CDK4 (red), detected by FISH, are amplified in 100% of nuclei for every sample from this patient, with identical copy numbers in each nucleus (bottom of the panels). Overlapping dots show that EGFR/CDK4 co-localize (white arrows) and metaphase FISH (NS) shows extra chromosomal co-amplification in the same double minute (inserts)

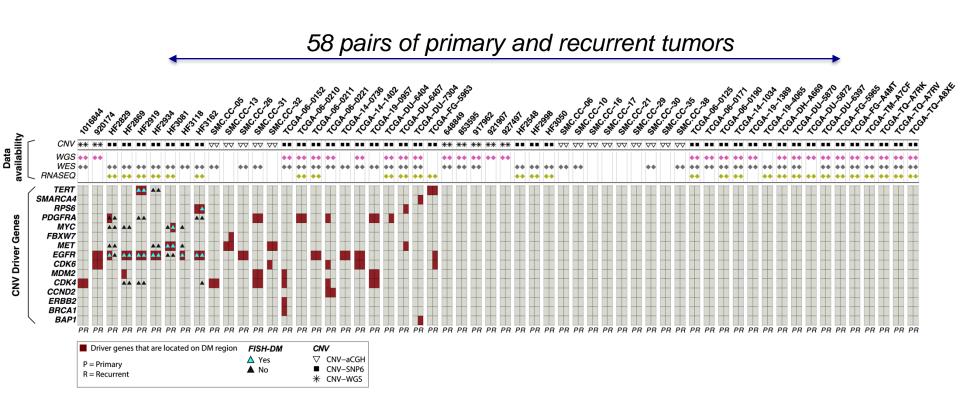


Figure 5. Copy number variant driver genes located on the potential double minute (DM) regions. a. 58 tumors (30 P, 28 R) from 34 patients were predicted to contain at least one ecDNA. Amongst these, 39 driver gene harboring ecDNAs were predicted in 22 primary tumors, of which 27 were also detected in the matching recurrent tumors.

b.

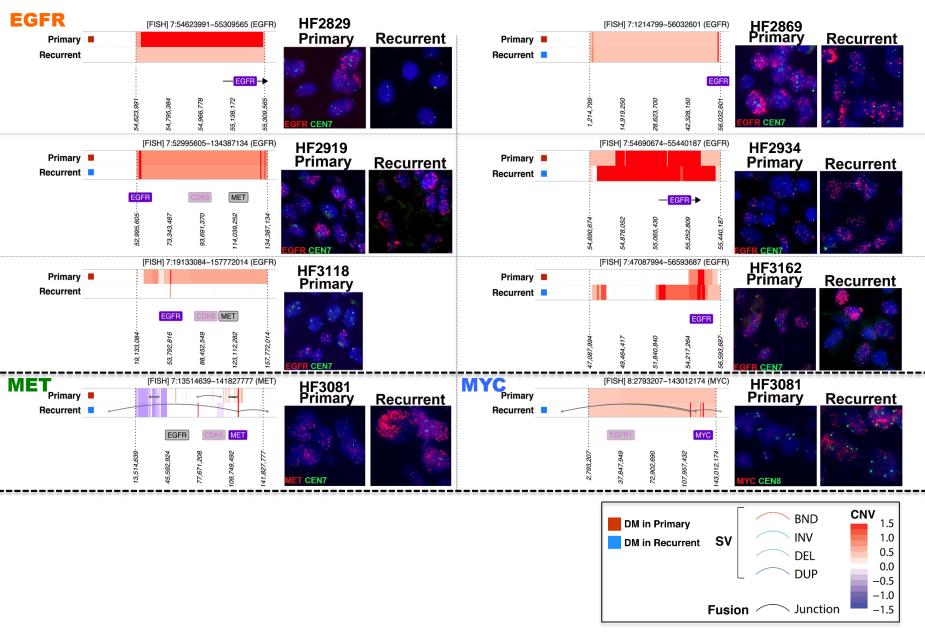
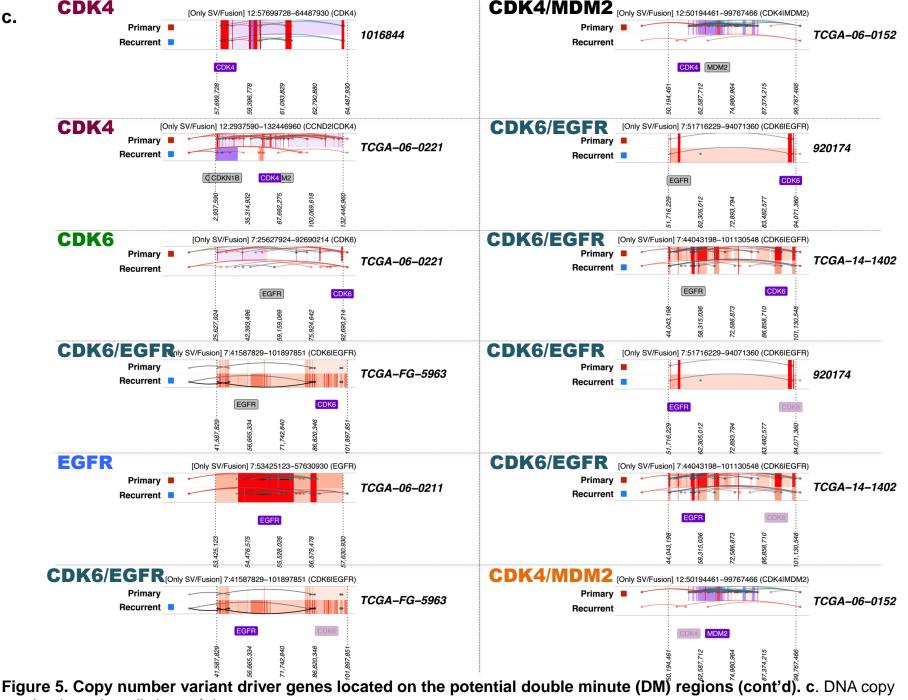


Figure 5. Copy number variant driver genes located on the potential double minute (DM) regions (cont'd). b. DNA copy number based predictions of double minute (DM) regions validated using fluorescent in situ hybridization in FFPE tissue sections.



number based predictions of double minute (DM) regions validated using whole genome or RNA sequencing.

C.

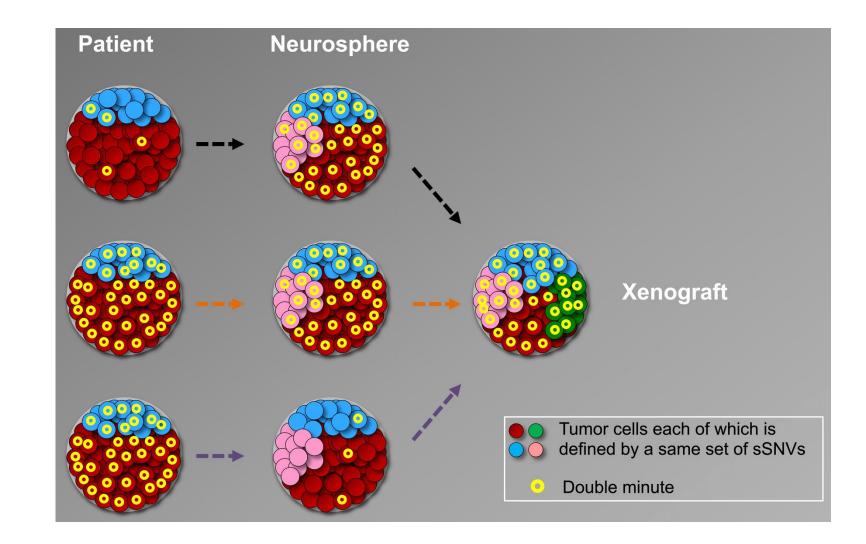


Figure 6. Schematic illustration of double minute contribution to clonal evolution in GBM patient derived models. The proliferation patterns in GBM tumors and models in which double minutes provide the dominant evolutionary force.