

NATURAL SELECTION ON MHC IIb IN PARAPATRIC LAKE AND STREAM STICKLEBACK:

BALANCING, DIVERGENT, BOTH, OR NEITHER?

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Keywords: Major Histocompatibility Complex, divergent selection, balancing selection, *Gasterosteus*

Running head: Parapatric divergence of stickleback MHC

18 **Abstract**

19 Major histocompatibility (MHC) genes encode proteins that play a central role in vertebrates'
 20 adaptive immunity to parasites. MHC loci are among the most polymorphic in vertebrates' genomes,
 21 inspiring many studies to identify evolutionary processes driving MHC polymorphism within
 22 populations, and divergence between populations. Leading hypotheses include balancing selection
 23 favoring rare alleles within populations, and spatially divergent selection. These hypotheses do not
 24 always produce diagnosably distinct predictions, causing many studies of MHC to yield inconsistent or
 25 ambiguous results. We suggest a novel strategy to distinguish balancing versus divergent selection on
 26 MHC, taking advantage of natural admixture between parapatric populations. With divergent
 27 selection, immigrant alleles will be more infected and less fit because they are susceptible to novel
 28 parasites in their new habitat. With balancing selection, locally-rare immigrant alleles will be more fit
 29 (less infected). We tested these contrasting predictions using threespine stickleback from three
 30 replicate pairs of parapatric lake and stream habitats. We found numerous positive and negative
 31 associations between particular MHC II β alleles and particular parasite taxa. A few allele-parasite
 32 comparisons supported balancing selection, others supported divergent selection between habitats.
 33 But, there was no overall tendency for fish with immigrant MHC alleles to be more or less heavily
 34 infected. Instead, locally rare MHC alleles (not necessarily immigrants) were associated with heavier
 35 infections. Our results illustrate the complex relationship between MHC II β allelic variation and
 36 spatially varying multi-species parasite communities: different hypotheses may be concurrently true
 37 for different allele-parasite combinations.

38 Introduction

39 MHC class II loci, which aid in the recognition of extracellular parasites, are among the most
 40 polymorphic loci in vertebrates' genomes (Figueroa *et al.* 1988). Evolutionary biologists have long
 41 sought to elucidate the evolutionary processes that maintain the exceptional diversity of MHC within
 42 and among populations. Most studies have focused on documenting parasite-mediated selection on
 43 MHC given its role in immunity. Parasite-derived proteins (antigens) are collected and fragmented by
 44 antigen presenting cells (Roche & Furuta 2015). MHC proteins bind to certain antigen sequences, and
 45 export these to the cell surface for presentation to T-cells, which may then initiate an immune
 46 response. MHC II β chains with different peptide binding region sequences enable recognition of
 47 different parasite antigens (Eizaguirre & Lenz 2010; Hedrick 2002). Accordingly, MHC polymorphism
 48 contributes to variation in resistance to parasites including pathogenic and symbiotic bacteria
 49 (Bolnick *et al.* 2014; Kubinak *et al.* 2015; Lohm *et al.* 2002), viruses (Thursz *et al.* 1995), protozoa (Hill
 50 *et al.* 1991; Sinigaglia *et al.* 1988; Wedekind *et al.* 2006), helminthes (Paterson *et al.* 1998), fungi
 51 (Savage & Zamudio 2011), and even contagious cancers (Siddle *et al.* 2010). Despite these and many
 52 other studies, it remains unclear how MHC polymorphism is sustained. The leading hypotheses
 53 invoke balancing selection within populations, or divergent selection among populations, each of
 54 which has received mixed support (Bernatchez & Landry 2003; Piertney & Oliver 2006; Tobler *et al.*
 55 2014; Yasukochi & Satta 2013).

56 Balancing selection occurs when rare alleles gain an inherent fitness advantage over common
 57 alleles, preventing their loss and maintaining allelic diversity (Takahata & Nei 1990; Takahata *et al.*
 58 1992). Balancing selection can result from heterozygote advantage because individuals carrying more
 59 diverse MHC alleles recognize and resist more diverse parasites (Doherty & Zinkernagel 1975; Oliver

60 *et al.* 2009), thanks to co-dominance (Lohm *et al.* 2002). Because rare alleles tend to occur in
61 heterozygotes, they increase fitness and are protected from loss (Wegner *et al.* 2003). Alternatively,
62 balancing selection can result from negative frequency-dependent selection. Parasites evolve
63 strategies to exploit locally common host genotypes, such as evading detection by locally common
64 MHC alleles (Slade & McCallum 1992). Because rare alleles do not provoke parasite counter-
65 evolution, they may be more effective at detecting and protecting against local parasites (Muirhead
66 2001; Schierup *et al.* 2000).

67 Divergent natural selection (divergent selection) is also widely invoked to explain MHC diversity
68 (Hedrick 2002; Hill *et al.* 1991; Meyer & Thomson 2001). Parasite communities often differ among
69 host populations, favoring different MHC alleles in different locations and driving between-
70 population divergence but undermining local polymorphism. Many studies have invoked divergent
71 selection on MHC to explain allele frequency differences between populations with different
72 parasites (e.g., (Copley *et al.* 2007; Matthews *et al.* 2010; Pavey *et al.* 2013). But, many studies do not
73 formally test the null hypothesis that MHC divergence is neutral and unrelated to parasitism (Miller *et al.*
74 2010). Those that do consider neutrality often find mixed results: MHC divergence sometimes is
75 greater than, less than, or equal to neutral genetic markers (Lamaze *et al.* 2014; Mona *et al.* 2008;
76 Schwensow *et al.* 2007; Sutton *et al.* 2011). An alternative approach to test divergent selection is to
77 evaluate whether different MHC alleles confer protection in different populations, using spatial
78 variation in MHC-parasite associations to argue for divergent selection (e.g. (Eizaguirre *et al.* 2012a;
79 Loiseau *et al.* 2009). Comparatively few studies have used experimental transplants or infections to
80 test for of local adaptation at MHC loci (Eizaguirre *et al.* 2012a, b; Evans *et al.* 2010), and some of
81 these have yielded negative results (Rauch *et al.* 2006).

Unfortunately, divergent and balancing selection may be difficult to distinguish because in certain contexts they can result in similar patterns, as pointed out by Spurgin and Richardson (2010) and more recently by Tobler et al. (2014). Both heterozygote advantage and negative frequency-dependent selection can lead to fluctuating allele frequencies through time (Slade & McCallum 1992). If these allele frequency fluctuations are asynchronous across host populations (Gandon 2002), then populations will be genetically divergent. Experimental transplants between such populations may (transiently) yield signals that appear to support divergent selection, even though MHC divergence arose from balancing selection within populations. Still more problematic, balancing and divergent selection are not mutually exclusive phenomena. Balancing selection may act within populations (driven by some parasites), while other parasites generate divergent selection favoring differences between populations. Simultaneous balancing and divergent selection may obscure each force's effect on within-population diversity and between-population divergence. Lastly, the majority of studies using MHC-parasite associations to test for selection have focused on one parasite species at a time. This inevitably yields an incomplete picture of the selective forces shaping diversity at MHC, especially because different parasites may drive different kinds of selection. Consequently, tests for balancing selection and divergent selection have yielded mixed evidence (Eizaguirre & Lenz 2010; Spurgin & Richardson 2010; Yasukochi & Satta 2013).

Balancing versus divergent selection in parapatry

In certain settings, balancing and divergent natural selection can lead to unique and thus testable outcomes. In particular, we suggest they can be distinguished in parapatric populations that actively exchange migrants but experience distinct parasite communities (Fig. 1), by estimating three

104 parameters. δ_m measures how strongly an allele m is enriched in a focal habitat. θ_p measures how
105 strongly a parasite taxon p is enriched in a focal habitat. β_{mp} measures the association between allele
106 m and parasite p ; negative values imply that the presence of the allele coincides with lower parasite
107 abundance (Fig. 1A).

108 Divergent selection will tend to increase the abundance of an allele in the habitat where it
109 confers a protective benefit ($\beta_{mp} < 0$), or decrease the allele in a habitat where it confers susceptibility
110 ($\beta_{mp} > 0$). Consequently, alleles that are strongly enriched in a particular habitat (δ_i) should tend to be
111 protective ($\beta_{mp} < 0$) against parasites enriched in that same habitat ($\theta_p > 0$). In the context of our study
112 system (lake and stream populations of threespine stickleback, details below), this means that the
113 more lake-biased alleles should protect against lake-biased parasites (and be susceptible to stream-
114 biased parasites). Conversely, stream-biased alleles should protect against stream-biased parasites
115 and be susceptible to typical lake parasites (Fig. 1B).

116 Balancing selection will tend to favor alleles that are locally rare, which in parapatric settings
117 includes immigrants. Namely, when there is balancing selection we expect that alleles enriched in a
118 particular habitat (relative to the neighboring habitat) will be particularly susceptible to parasites
119 from that habitat ($\beta_{mp} > 0$; Fig. 1C). In contrast, alleles that are scarce in a focal habitat will tend to
120 protect against the local parasites (Muirhead 2001; Schierup *et al.* 2000). These locally rare alleles
121 could be new mutations or (more frequently in a parapatric setting) immigrants (Lamaze *et al.* 2014).
122 In this regard, balancing selection resembles local maladaptation, the diametric opposite of
123 expectations for divergent selection.

124 Thus, divergent and balancing selection make opposite predictions regarding the sign of the
125 correlation between δ_m (the extent to which an allele is habitat-specific) and β_{mp} (the allele's effect on

126 parasites), for habitat-biased parasites (θ_p ; Fig. 1). Do endemic macroparasites disproportionately
 127 infect hosts with locally-enriched alleles (implying balancing selection) or locally-depleted alleles
 128 (implying divergent selection)? To test these predictions we rely on natural migrants between
 129 populations, which add rare genetic variants that are either beneficial (balancing selection), or
 130 deleterious (divergent selection). Of course, both selective forces might act concurrently, for instance
 131 if certain parasites select against immigrants while other parasites select against locally common
 132 alleles. Therefore, any test of these alternative predictions should take into account the full set of
 133 MHC alleles, and all common parasites within each population.

134 Some previous studies have used a related approach, testing whether MHC alleles confer
 135 protection or susceptibility to different parasites in different habitats (e.g., estimating β_{mp}) (Tobler *et al.*
 136 2014). But, a key element of our approach is that the sign and strength of MHC-parasite
 137 associations (β_{mp}) will depend on the extent of between-population differences in parasite and allele
 138 frequencies (θ_p and δ_m). To our knowledge, previous studies of MHC adaptation have not tested for
 139 an interactive effect of θ_p and δ_m on β_{mp} (parasite-habitat and allele-habitat biases jointly affecting
 140 the parasite-allele association). Here, we use this novel approach to test for signatures of balancing or
 141 divergent selection in connected (parapatric) lake and stream populations of threespine stickleback
 142 (*Gasterosteus aculeatus*).

143

144 *Study system: threespine stickleback*

145 Genetic sequencing suggests that threespine stickleback have between 4 and 6 functional MHC class
 146 II β loci in their genome (Reusch *et al.* 2004; Reusch & Langefors 2005; Sato *et al.* 1998), though this
 147 may vary between individuals (Reusch & Langefors 2005). Expression analysis indicates that all

148 putative MHC class II β loci are typically expressed (Reusch *et al.* 2004).

149 Prior studies of stickleback have provided evidence for balancing or divergent selection on
150 MHC II β . Balancing selection is supported by several observations. Individuals with an intermediate
151 number of alleles are more resistant to infection (Kurtz *et al.* 2004; Wegner *et al.* 2004), harbor fewer
152 parasites (Wegner *et al.* 2003), build better –quality nests (Jager *et al.* 2007), survive better (McCairns
153 *et al.* 2011; Wegner *et al.* 2008), and attain higher lifetime reproductive success (Kalbe *et al.* 2009).
154 Divergent selection is supported because MHC II β allele differ between (i) co-occurring benthic and
155 limnetic stickleback species pairs (Matthews *et al.* 2010), (ii) closely parapatric estuarine stickleback
156 in Quebec (McCairns *et al.* 2011), and (iii) lake and river stickleback from northern Germany (Rauch *et al.*
157 *et al.* 2006; Reusch *et al.* 2001). The German lake/river system has been used for experimental tests of
158 divergent selection on MHC II β . Lab-bred F2 lake-stream hybrids placed into field mesocosms gained
159 more weight if they had local MHC alleles, but non-native MHC genotypes were not systematically
160 more infected (Eizaguirre *et al.* 2012a). An earlier F2 hybrid transplant experiment found that
161 genomic background but not MHC genotype explained habitat-specific infection rates (Rauch *et al.*
162 2006).

163 Here, we present a simultaneous test both balancing and divergent natural selection on
164 stickleback MHC II β in three replicate lake-stream pairs of stickleback. We first document between-
165 habitat differences in parasite composition (θ_j), and MHC genotypes (δ_i). Then, for each of the three
166 pairs, we test for associations between each MHC II β and each parasite taxon (β_{ij}) within a multi-
167 species parasite community. Lastly, we test whether allele-parasites associations covary positively or
168 negatively with habitat differences in allele and parasite frequencies (Fig. 1). Specifically, we test
169 whether locally common parasites disproportionately infect locally-enriched alleles, or locally-rare

170 immigrant alleles.

171 **Methods**

172 *Collections*

173 In July 2007, we sampled threespine stickleback from three lakes on northern Vancouver island,
174 British Columbia (Roberts Lake, Farewell Lake, and Comida Lake) and their corresponding outlet
175 streams (three ‘lake-stream pairs’, Fig. 2). Most lake-stream pairs on Vancouver Island evolved
176 independently *in situ*, after marine stickleback colonized freshwater after Pleistocene deglaciation
177 (Clague & James 2002; Hendry *et al.* 2013; Stuart *et al.* In review).

178 We collected adult stickleback using unbaited minnow traps (0.5-cm gauge). We placed traps
179 haphazardly along the shoreline of each lake (< 3m depth) within 350 meters of the outlet stream,
180 and at 5 traps at each of multiple locations along each lake’s outlet stream (Table 1, Fig. 2). Stream
181 samples spanned the genetic clinal transition from lake- to stream-genotypes (Berner *et al.* 2009;
182 Weber *et al.* 2017). Upon capture, fish were immediately euthanized in MS-222. Caudal fin clips were
183 taken and preserved in 90% ethanol for later DNA extraction. Fish were preserved in 10% neutral
184 buffered formalin. Collection and animal handling were approved by the University of Texas
185 Institutional Animal Use and Care Committee (Protocol # 07-032201), and a Scientific Fish Collection
186 Permit from the Ministry of the Environment of British Columbia (NA07-32612).

187 *Parasite load*

188 Each fish was exhaustively screened to enumerate macro-parasites (helminths, crustaceans, molluscs,
189 and microsporidia) visible under a standard dissection microscope. This included scans of the outer

body (i.e. skin and bony armour structures), mouth and gills, interior body cavity including all organs (liver, swim bladder, gonads), the interior of the intestinal tract (stomach and intestine), and the eyes (interior and exterior). Only the gills on the right (but not left) side of the fish were scanned for parasites, as the common gill parasites (*Thersitina* sp. and *Unionidae* glochidia) were present at very high abundances on both left and right gills. All parasites were identified to the lowest possible taxonomic unit (genus in most cases).

196

197 *Analysis of habitat effect on infection*

To determine whether parasite abundance differed between lake and stream habitats, we first fit hierarchical generalized linear models separately for each lake stream pair. The GLMs used (additively) overdispersed-Poisson distributions to model each parasite taxon's abundance in individual fish. The basic form of each model was:

$$\begin{aligned} y_i &\sim \text{Poisson}(\lambda_i) \\ \lambda_i &= \exp(X\beta + \alpha_{j[i]} + \epsilon_i) \\ \alpha_j &\sim N(0, \sigma_j^2) \\ \epsilon_i &\sim N(0, \sigma_i^2) \end{aligned} \tag{1}$$

where y_i is the abundance of a focal parasite taxon in individual i . The term α_j denotes a habitat-specific intercept where j =lake, or stream. The vector β includes the regression parameters β_1 through β_5 which indicate, respectively, the means for lake (β_1) and stream (β_2) habitat, the covariate effect of fish standard length of lake fish (β_3) and stream fish (β_4), and a coefficient for sex (β_5). Random effects (α_i) associated with each sampled stream site (i.e. 100m, 200m, etc.), are modeled as a normal random variable with mean equal to zero and standard deviation σ_j . The error terms ϵ_i that

208 account for overdispersion in the abundance data were also modeled as normal random variables
209 with mean equal to zero and standard deviation σ_i . Sex was centered at zero, and length was
210 centered at zero prior to fitting the models (Gelman & Hill 2006). Thus, the models explicitly account
211 for the effects of sex, size, and heterogeneity among sampling locations (e.g., within-stream clines)
212 and sample sizes when estimating mean abundances within each habitat (β_1 and β_2).

213 All parameters were estimated by drawing 1000 samples from their joint posterior distributions
214 using the Markov Chain Monte Carlo (MCMC) algorithm implemented the *MCMCglmm* package
215 (Hadfield 2010) in R version 3.2.1. Weakly informative normal priors with a scale of 3 and 10 were
216 applied to all fixed slope and intercept coefficients respectively, providing some shrinkage of β
217 estimates away from extremely large values (Gelman *et al.* 2008). Half-Cauchy priors with scale equal
218 to 10 were applied to α_j 's, while a uniform prior was applied to the residual standard deviation α_i . In
219 cases where hyperparameter variances were close to zero, stronger half-Cauchy or inverse-Wishart
220 priors were used to improve model convergence. MCMC chain parameters were determined
221 heuristically by increasing the thinning interval until all estimated parameters achieved an
222 autocorrelation less than 0.1.

223 As our metric of parasite habitat bias we calculated the posterior distributions for a derived
224 parameter (θ_p), which was the log of the ratio of parasite p 's mean abundance estimates (on the data
225 scale) between the lake and the stream. When $\theta_p > 0$, the focal parasite is more abundant in the lake,
226 and when $\theta_p < 0$ the parasite is more abundant in the stream. Parasites with greater than 95% percent
227 posterior probability of being at least two times more abundant in one habitat that were considered
228 strongly 'habitat-specific' in subsequent analyses. We use 'habitat-biased' to refer to weaker habitat
229 effects.

230 *MHC sequencing and genotyping*

231 We genotyped MHC IIβ from a random subset of the fish that were screened for parasites
232 (sample sizes listed in Table 2), by 454 pyrosequencing of PCR amplicons. The procedures for DNA
233 extraction, quantitation, PCR amplification, and library preparation, and computational analysis are
234 described fully in (Stutz & Bolnick 2014). We used PCR primers that produce a 210 base pair amplicon
235 (excluding primer sequences) covering 75% of the length of exon 2 (210 bp out of 265 bp of exon2;
236 (Stutz & Bolnick 2014). This covers 70 out of 88 amino acid residues, including the highly variable
237 peptide binding region (PBR) of the exon (Lenz et al. 2009a). Of the 846 fish genotyped in the present
238 study, 295 were previously described in Stutz and Bolnick (2014). We genotyped the additional
239 samples in four new pyrosequencing runs (1/4 plate per run).

240 Our analytical pipeline uses a quasi-Dirichlet process to iteratively cluster similar sequence
241 reads into groups at increasing levels of sequence similarity, and estimates whether clusters
242 represent single true allelic variants present in the original sample (Stutz & Bolnick 2014). A separate
243 research group independently tested this bioinformatics pipeline, using multiple datasets, and
244 confirmed its accuracy (Sebastian *et al.* 2016). Allelic sequences for each individual were aligned to
245 the cloned sequences in Sato et al. (1998) to ascertain phase, then translated into amino acid
246 sequences for further analysis. Hereafter we refer to a unique amino acid sequence as an ‘allele’. We
247 focus on allele presence or absence, because MHC is expected to have co-dominant effects on
248 parasites (Doherty & Zinkernagel 1975).

249

250 *Analysis of habitat effect on MHC genotype*

251 We applied a similar hierarchical modeling approach estimate allele frequency bias between habitats

252 within each lake-stream pair. Because an MHC allele may be distributed across multiple paralogs, this
253 is not a traditional allele frequency, but rather the proportion of fish carrying an allele. For each allele
254 we fit the following model:

$$\begin{aligned} Pr(y_i = 1) &\sim \text{logit}^{-1}(X\beta + \alpha_{j[i]} + \epsilon_i) \\ \alpha_j &\sim N(0, \sigma_j^2) \\ \epsilon_i &\sim N(0, 1) \end{aligned} \quad (2)$$

255 where $y_i=1$ indicates that fish i carries the allele. The vector β contains separate intercept coefficients
256 for the lake and stream (β_1 and β_2) as well as coefficients for sex (β_3) and size (β_4, β_5) while the α_j
257 term indicates additional (random) effects associated with each sampled stream site j . The variance
258 of ϵ_i was fixed at one due to non-identifiability of individual-level overdispersion in binomial GLMs
259 (Gelman & Hill 2006). As with parasites, non- or weakly informative priors were used for all
260 parameters, which were estimated by drawing 1000 samples from their joint posterior distributions
261 using *MCMCglmm*.

262 As a metric of habitat bias (whether allele frequency was greater in one habitat or the other),
263 we estimated the derived parameter δ_m for each allele m , which is equal to the log of the ratio of
264 allele frequency estimates for the lake and the stream. Alleles with $\delta < 0$ are more common in the lake,
265 and $\delta > 0$ are more common in the stream. Alleles with a 95% posterior probability of occurring at
266 least twice as frequently in one habitat were considered 'habitat-specific' in subsequent analyses. We
267 use 'habitat-bias' to refer to a less stringent form of divergence (e.g., 95% posterior for δ excludes 0).

268 *Estimating MHC allele effects on infection*

269 We next estimated whether the presence or absence of each MHC allele m in individual fish is

270 associated with each parasite taxon p 's abundance. If an allele helps the host recognize and resist a
 271 particular parasite, then individuals with that allele should be less intensely infected by that parasite.
 272 We call this a 'negative' allele-parasite association because the allele has a negative effect on the
 273 parasite. Positive associations (an allele's presence coincides with heavier infection loads) can arise
 274 for several reasons including susceptibility, if the parasite directly exploits that allele to establish an
 275 infection (Westerdahl *et al.* 2012).

276 For each lake-stream pair, we used hierarchical models to estimate the effect of each MHC
 277 allele on each parasite. The basic form of each model was:

$$\begin{aligned} y_i &\sim \text{Poisson}(\lambda_i) \\ \lambda_i &= \exp(X\beta + \alpha_j + \epsilon_i) \\ \alpha_j &\sim N(0, \sigma_j^2) \\ \epsilon_i &\sim N(0, \sigma_i^2) \end{aligned} \quad (3)$$

278 where y_i is the abundance of a given parasite taxon p in individual i . The vector β includes the same 5
 279 regression coefficients as the parasite specificity models, plus an additional coefficient associated
 280 with the presence/absence of the focal allele m (β_6). As before, the α_j term indicates sampling-
 281 location effects on abundance (i.e. 'random' effects). The error term ϵ_i gives the fitted residual error
 282 for individual i , accounting for any observed overdispersion in the abundance data. For the few
 283 instances where one MHC allele strongly covaried with another allele (Yule's $|Q| > 0.8$, see
 284 Supplementary Material), we included the correlated (non-focal) allele as an additional factor in our
 285 model. When two alleles were perfectly correlated, however, we dropped the less common allele.

286 Models were fit in a Bayesian probability framework using MCMC sampling implemented in
 287 the *MCMCglmm* package (Hadfield 2010). Alleles were transformed from 0/1 variables to a
 288 continuous variable with a mean zero to avoid issues with separation. Fish length was scaled to a

mean of zero and standard deviation of 1 (within habitats) prior to model fitting (Gelman *et al.* 2008). As before, MCMC chain parameters were determined heuristically by increasing the thinning interval until all estimated parameters achieved an autocorrelation less than 0.1. Posteriors for each allele/parasite combination were estimated by drawing 1000 samples from their joint posterior distributions. Posterior means, standard errors, and 95% high probability density intervals (HPDIs) for all estimated allele effect sizes were calculated from these posterior distributions.

We use the parameter β_6 as our measure of the effect of allele m on parasite p , which we hereafter denote $\beta_{m,p}$. Because we assume that most true allele effects are zero (a given allele has no discernible effect on a given parasite), but that a few alleles will have moderate to strong effects on parasite abundance, those effects whose 95% HPDI's for $\beta_{m,p}$ did not include zero were delineated as "non-zero" effects. Note that when $\beta_{m,p} > 0$, the focal allele is associated with higher abundance of the given parasite in a given habitat, and when $\beta_{m,p} < 0$ the allele is associated with lower abundance. For shorthand we refer to these alternative outcomes as susceptibility and resistance, respectively.

Testing for balancing or divergent selection

We used the estimates of MHC allele frequency differences between habitats (δ_m), parasite abundance differences between habitats (θ_p) and MHC-parasite association strengths (β_{mp} coefficient in model (3) above), to test for signatures of balancing or divergent selection using the logic explained in the introduction (Fig. 1). Specifically, we tested whether lake-biased alleles ($\delta_m > 0$) disproportionately protect the host ($\beta_{mp} < 0$) from lake-biased parasites ($\theta_p > 0$), and conversely whether stream-biased alleles ($\delta_m < 0$) protect the host ($\beta_{mp} < 0$) from stream-specific parasites ($\theta_p < 0$). When defining stream-specific parasites (or alleles), we retain those whose 95% HPDI of θ_p (or δ_m)

311 excludes zero. Our prediction can be tested with a linear model examining whether alleles' protective
312 effects (β_{mp}) depend on an interaction between the allele-frequency bias (δ_m) and which habitat a
313 parasite is specific to (θ_p). Balancing selection should also generate a $\delta_m * \theta_p$ interaction, but with the
314 opposite slopes compared to divergent selection (Fig. 1C).

315 The above analysis focuses only on strongly lake- and stream-specific parasites, because these
316 are most likely to drive divergent selection. As a consequence, that analysis omits parasites that are
317 common or rare in both habitats. We repeated the analysis by regressing each MHC-parasite effect
318 (β_{mp}) on the relevant allele's habitat bias (δ_m), parasite's habitat bias (θ_p), and a $\delta_m * \theta_p$ interaction,
319 with habitat as a factor as well. We expected to observe a significant $\delta_m * \theta_p$ interaction whose
320 direction would distinguish between selection models.

321 The preceding tests focus on allele frequency differences between habitats (δ_m), rather than
322 absolute allele frequencies within habitats. This is most appropriate when considering gene flow and
323 divergent selection, but local absolute allele frequency may be more relevant to frequency-
324 dependent selection by parasites. We therefore repeated the analyses described above, but using
325 within-habitat MHC allele frequency instead of the between-habitat frequency difference (δ_m).
326 Specifically, we regressed the MHC-parasite effect β_{mp} against the allele's frequency in whichever
327 habitat the focal parasite is most abundant in (e.g., stream frequency when $\theta_p < 0$, lake frequency
328 when $\theta_p > 0$). We did this focusing on only the convincingly non-zero MHC-parasite associations
329 (whose 95% HDPI excludes zero), and then again using all pairwise associations.

330 Results

331 *Parasite abundance differences between habitats*

332 A total of 34 parasite taxa were identified across the three lake-stream pairs, although not every
 333 parasite was present in every population or pair (Fig. 3). Within each pair, the parasite community
 334 differed substantially between habitats. Per-fish parasite richness was significantly higher in lake than
 335 stream habitats for all three pairs (Fig. S1). More parasite taxa were strongly habitat-specific to lakes
 336 ($\theta_p \gg 0$, for 8, 5, and 5 taxa in Comida, Farewell, Roberts Lakes respectively) than to their adjoining
 337 streams (2, 0, and 0 taxa respectively). *Crepidostomum* was the only parasite that was considered
 338 lake-specific in all three lake-stream pairs (Blackspot, *Thersitina*, and *Unionidae* were lake-specific in
 339 two of three pairs). *Anisakis* and *Bunodera* met our approaches our strict habitat-specific threshold in
 340 the three streams. But, most parasites exhibit variable, weak, or no habitat affiliation (Fig. 3).

341 *Allele prevalence differences between habitats*

342 We identified 374 unique MHC alleles across our three lake-stream pairs, 95% of which were
 343 restricted to a single lake-stream pair. Within each lake-stream pair, up to 13% of the MHC alleles
 344 were strongly habitat-specific (at least a 2-fold frequency difference, $|\delta_m| \gg 0$; Fig. 4). There
 345 were more lake-specific alleles (9, 7, and 9 in Comida, Farewell, and Roberts respectively) than
 346 stream-specific (2, 6, 2 respectively). No allele was habitat-specific in more than one lake-stream pair.
 347 For the 19 alleles shared among replicate pairs we found no parallel evolution of habitat differences
 348 (e.g., δ_m was not correlated across independent pairs).

349 The diversity of MHC is comparable between habitats (Fig S2). All sites exhibit on average about
 350 six unique MHC amino acid sequences per fish, albeit with substantial among-individual variation. In

351 contrast, neutral genomic SNPs exhibited consistently lower nucleotide diversity in stream than lake
352 fish (Fig. S3). Consequently, relative to neutral expectations MHC diversity is relatively higher in
353 stream stickleback than in lake stickleback.

354 *Associations between MHC alleles and parasite infection*

355 We estimated association strengths (β_{mp}) between a total of 6006 combinations of MHC allele versus
356 parasite taxon. Models for an additional 678 possible allele-parasite combinations failed to converge
357 adequately, usually due to low extreme rarity of the parasite or allele. For the few cases where two
358 alleles are statistically strongly linked (see Supp. Mat. on Yule's Q), we dropped one of the redundant
359 alleles. These tests found a substantial number of robust associations. Sixty-two MHC allele – parasite
360 taxon associations had 95% HPDIs that did not include zero (Figs. 4&5, Table 4). No single allele is
361 strongly associated with more than one parasite.

362 Overall, there were approximately 50% more negative than positive effects estimated within
363 each pair (Table 3). Negative associations imply that fish carrying the focal allele are less-heavily
364 infected by the focal parasite. The bias towards negative effects may be an artifact of comparing rare
365 alleles to rare parasites, so henceforth we restrict our attention to strongly supported associations.
366 Of those strong effects, 23 were negative. For example, stream-biased allele P293 coincided with an
367 18 fold reduction ($\beta=-2.87$ [-5.17,-0.78]) in the abundance of the lake-specific parasite
368 *Crepidostomum* in Comida Lake (Fig. S4). Another 39 strong effects were positive, for instance lake-
369 specific allele P342, associated with an 16-fold increase ($\beta=2.75$, [1.25,4.11]) in (lake-specific)
370 Blackspot infection loads.

371 Several of the strong MHC-parasite associations exhibit a pattern consistent with local
372 adaptation via divergent selection (Table S4): rare alleles conferring susceptibility to local parasites.

373 Fish carrying MHC allele P273 carry ~3-fold more *Unionidae* (Fig. S5), which may explain why this
374 allele is less common in the lake ($\delta = -2.73$ [-4.68, -1.15]) where *Unionidae* are 314-fold more abundant
375 ($\theta = 5.75$, [4.38, 7.27]). Conversely, two MHC alleles are rarer in Comida stream and confer
376 susceptibility to stream-specific *Apatemon*.

377 Other strong MHC-parasite associations support balancing selection: common alleles
378 conferring susceptibility to local parasites. MHC allele P231 is 12-fold more common in Roberts Lake
379 than stream ($\delta = 2.50$ [0.84, 4.36]). In the lake, it confers a 4-fold greater probability of infection by a
380 lake-specific cestode (Fig. S6; $\theta = 6.93$, [5.12, 9.31]). Similarly, allele P403 is 72-fold more common in
381 the Comida Lake ($\delta = 4.28$ [2.13, 6.94]) where it confers 3-fold higher risk of infection by lake-specific
382 *Crepidostomum* ($\theta = 2.99$, [2.14, 3.91]; Fig. S7). Assuming these parasites reduce host fitness, these
383 associations favor locally rare alleles over the currently-abundant P231 or P403 alleles.

384 *Tests for divergent or balancing selection*

385 Aggregating across many such allele-parasite associations, we found no overall trends towards
386 towards divergent or balancing selection. Regressions revealed no significant effect of habitat-biased
387 MHC allele frequency (δ_m) on the posterior mean estimate of the strong MHC-parasite associations
388 (β_{mp} ; Fig. 6A). There was no significant relationship for either lake-specific parasites ($\theta_p > 0$, $t_{40} = 1.22$,
389 $P = 0.246$), or stream-specific parasites ($\theta_p < 0$, $t_{18} = 0.465$, $P = 0.647$). Lake-stream pair had no effect in
390 either regression ($P > 0.2$). Putting these regressions into a single ANCOVA, we confirmed that the
391 slopes of $\beta_{mp} \sim \delta_m$ were indistinguishable from zero for both lake- and stream-specific parasites
392 (overall δ_m effect $P = 0.1876$). There was no significant interaction between δ_m and parasite-habitat
393 ($P = 0.733$), thus refuting both hypotheses' expectation of opposing slopes for lake- versus stream-
394 specific parasites. The effect of δ_m and the δ_m *habitat interaction were also non-significant if we

395 expanded the analysis to include all MHC-parasite associations (β_{mp} , regardless of their strength,
396 using only habitat-specific parasites. We expanded this still further to use all 6006 β_{mp} estimates
397 (regardless of strength of δ_m , θ_p , or β_{mp}) we still found no significant $\theta_m * \delta_p$ interaction ($t_{6680}=1.49$,
398 $P=0.1365$). The only significant effect was that all MHC alleles (regardless of δ_m) were more
399 susceptible to lake-biased parasites than stream-biased parasites (Fig. S8; positive effect of θ_p on β_{mp} ;
400 $t_{6680}=2.47$, $P=0.0137$).

401 Lastly, we tested for effects of local allele frequency, rather than allele habitat-bias. MHC-
402 parasite effect sizes (β_{mp}) were negatively correlated with the focal allele's frequency in the habitat
403 where the parasite is relatively common (Fig. 7). This is true whether we focus only on large-effect
404 estimates of β_{mp} ($t_{60}=-3.87$, $P=0.00028$), or on all estimates of β_{mp} ($t_{6682}=-2.10$, $P=0.0446$). For either
405 variant on the analysis, the effects are weak ($r^2=0.186$ and 0.0005 , respectively). The negative trend
406 arises because locally rare alleles (which are not necessarily immigrants) are most likely to confer
407 susceptibility to local parasites ($\beta_{mp}>0$). In contrast, locally common alleles are equally likely to exhibit
408 positive or negative effects on local parasites (Fig. 7).

409 Discussion

410 Stickleback in lake and stream habitats harbor distinct but overlapping MHC class II genotypes, and
411 substantial MHC diversity within populations (Chain *et al.* 2014; Eizaguirre *et al.* 2012a; Stutz &
412 Bolnick 2014). We tested whether this between- and within-population MHC variation systematically
413 supports a role of divergent versus balancing selection. We estimated pairwise statistical associations
414 between 374 MHC alleles and 34 parasite taxa in three replicate lake-stream pairs, revealing a
415 moderate number of clear associations between the presence of an MHC allele and less or greater

infection by a particular parasite. This represents an exceptional survey of MHC-parasite associations in nature, revealing many more MHC-parasite associations than we expected, though most alleles were strongly associated with just a single parasite, if any. These associations included both positive and negative effects that may be loosely interpreted as evidence of susceptibility and resistance respectively.

These associations do not, by themselves, provide a clear test for divergent or balancing selection (Piertney & Oliver 2006). Instead, the MHC-parasite associations should be interpreted in the context of the alleles' and parasites absolute or relative frequencies in each habitat (Fig. 1). To our knowledge, this has not previously been reported. We found a few MHC-parasite associations that clearly supported with either balancing or divergent selection. But, was no overall tendency for immigrant MHC alleles to be more susceptible to local parasites (implying divergent selection) or less susceptible (implying balancing selection). Instead, we found a weak but unexpected trend for locally rare (but not foreign) alleles to confer greater infection susceptibility.

We observed significant between-habitat differences in parasite community composition. A few parasites were systematically habitat-specific or habitat-biased (*Crepidostomum*, Unionidae, Thersitina, and Blackspot in lakes; Anisakis, Apatemon and Bunodera in streams). Consistent with prior findings from other lake-stream pairs (Eizaguirre *et al.* 2010; Feulner *et al.* 2015), stream parasite communities were a less diverse subset of the neighboring lake parasites.

We expected these differences in parasite community composition to generate divergent natural selection on stickleback immune genes. Consistent with this expectation, we observed significant differences in MHC genotypes between parapatric lake and stream sites. Approximately 10% of alleles exhibit substantial (> 2-fold) frequency differences between parapatric habitats. But,

438 genomic SNPs (most of which will be neutral) also exhibit significant divergence along the sampled
439 clines (Weber *et al.* 2017). Despite divergence in MHC genotypes, we saw little evidence for local
440 adaptation. A few alleles are rare in habitats where they confer susceptibility to a parasite (e.g., P273
441 is rare in Comida Lake where Unionidae is common). Such patterns may arise if selection largely
442 eliminates alleles from habitats where they are detrimental. However, this depletion of locally
443 susceptible alleles involves only a few MHC alleles from Comida. Taking a larger view across many
444 allele-parasite associations, we found no general trend for alleles common in a given habitat to
445 confer (i) protection against that habitat's parasites, or (ii) susceptibility to parasites in the
446 neighboring habitat. The prediction illustrated in Fig. 1B is therefore not supported.

447 In a few cases, we found rare MHC alleles associated with reduced infection rates. This
448 apparent rare-allele advantage fits expectations from balancing selection. However, as with local
449 adaptation, this particular outcome is confined to a few examples. There is no overall tendency for
450 locally rare alleles to be more resistant (or, locally common alleles to be more susceptible). We
451 therefore found no overall support for balancing selection in any of the three lake-stream pairs,
452 despite trying multiple variants on our analytical approach.

453 An alternative approach to testing balancing selection is to ask whether MHC-parasite
454 associations depend on allele frequency *within* a given habitat, rather than frequency differences
455 between habitats. We find that locally rare alleles tend to confer susceptibility to local parasites,
456 whereas locally common alleles are equally likely to be susceptible or resistant. This result is
457 consistent with the notion that selection removes alleles that are susceptible to local parasites. But,
458 by focusing specifically on local allele frequency (rather than between-habitat frequency difference),
459 this result does not prove that *different* alleles are favored in the two habitats. Indeed, the

460 susceptible rare alleles are typically not immigrants (e.g., not more common in the other habitat).

461 To summarize, we proposed contrasting predictions to distinguish between balancing versus
462 divergent selection. Both predictions were supported by a few allele-parasite combinations, but
463 neither was supported overall. There may be several explanations for these equivocal results. First,
464 divergent- and balancing selection may in fact be weak or absent. This conclusion would be odd,
465 given the high MHC diversity within populations and divergence between adjoining populations that
466 readily exchange migrants. Second, MHC has been widely linked to mate choice decisions in
467 stickleback and other vertebrates (Lenz *et al.* 2009; Milinski 2006), so divergent sexual selection,
468 might plays a primary role in MHC population structure. Lastly, divergent and balancing selection
469 might act concurrently. As we show here, some parasites might drive divergence in some alleles'
470 frequencies, while other parasites target locally common alleles. But, the net effect may be that these
471 two selective processes obscure each other's signals in an overall meta-analysis, as we find.

472 Some additional caveats are worth noting. Our results are based on a brief survey of three lake-
473 stream pairs in a single season and year. It may be that the strongest selection occurs at another
474 season, ontogenetic stage, or year. Also, we focused exclusively on readily visible macroparasites, but
475 MHC evolution could plausibly also depend on readily overlooked symbionts including but not limited
476 to gut microbiota (Bolnick *et al.* 2014). Lastly, although some stickleback parasites are well known to
477 reduce host fitness, we do not presently know how host survival or fecundity depend on infection
478 loads of all parasites examined here.

479 We observed a substantial number of MHC allele –parasite associations, consistent with typical
480 expectations that MHC II β is involved in immunity to macroparasites. However, it is surprising that
481 positive and negative associations ('susceptibility' and 'resistance', respectively) were about equally

482 common. Why would so many MHC alleles, when present in a fish, coincide with greater infection by
483 a certain parasite? A first possibility is that positive effects are spurious consequences of having
484 alternative alleles. The presence of one allele may imply the absence of an alternative allele with
485 protective value. This explanation is unlikely in our present study, because we statistically accounted
486 for moderately correlated alleles. A second explanation could be that an allele facilitating recognition
487 of one parasite might result in immunological trade-offs that inhibit resistance to another parasite.
488 For instance, an MHC allele that recognized a microbe might drive an inflammatory response that
489 inhibits resistance to a subsequent helminth infection (Moser & Murphy 2000; Oladiran & Belosevic
490 2012; Salgame *et al.* 2013). A third possibility entails direct interactions among parasites. If one
491 parasite inhibits invasion of another parasite, then an allele that resists the former may facilitate
492 infection by the latter (Hafer & Milinski 2015). Lastly, because we are sampling wild-caught adult fish,
493 a positive correlation between genotype and infection could reflect a tolerance effect of the allele. If
494 individuals with a given allele are more likely to survive a chronic infection, the allele will be enriched
495 among infected survivors, compared to uninfected individuals (Westerdahl *et al.* 2012). This last point
496 brings up an important caveat about our analysis: we assume that higher infection load implies lower
497 fitness, but variation in infection tolerance, and survival prior to our sampling effort, complicates this
498 interpretation.

499 Prior studies of stickleback have suggested that MHC heterozygosity is itself under stabilizing
500 selection (Wegner *et al.* 2004; Wegner *et al.* 2003). The suggestion is that individuals with few MHC
501 alleles are unable to recognize enough parasites, whereas individuals with too many alleles have
502 reduced T-cell receptor diversity, resulting in an intermediate optimal MHC heterozygosity. Prior
503 studies suggested that lower parasite diversity in streams than in lakes, causes a lower optimal allelic

diversity (Feulner *et al.* 2015). In contrast, we find comparable MHC diversity in the lake and stream populations, even though the stream parasite community is less diverse. Moreover, stream sticklebacks had lower effective population sizes based on genomic SNP data (Weber *et al.* 2017). So, MHC diversity is actually relatively high in the stream (compared to neutral markers) despite their lower parasite diversity. Our samples therefore do not support the proposal that stream stickleback have a lower optimal MHC diversity.

510

Conclusions

A great many studies have tested for divergent or balancing selection on MHC, in numerous vertebrate species (reviewed by (Bernatchez & Landry 2003; Edwards & Hedrick 1998; Eizaguirre & Lenz 2010; Hedrick 2002; Piertney & Oliver 2006; Yasukochi & Satta 2013). Few of these studies have simultaneously tested for both forms of selection (Tobler *et al.* 2014). Most of these studies yield some support for one hypothesis or the other, but frequently the supporting evidence has important caveats and some inconsistencies. Consequently, the evolutionary maintenance of MHC diversity within and between populations remains something of a puzzle despite extensive research. Our own data exacerbate this puzzle. We found some support for both divergent and balancing selection, depending on which allele and parasite we considered. But, at the scale of all alleles and parasites, we found no predominant signal favoring one form of selection over the (Fig. 1).

We propose that there in fact may not be a predominant form of selection at this multi-locus gene family. Rather, balancing and divergent selection act simultaneously on different MHC II alleles, in association with different parasites. Some alleles may experience a native advantage, while others may experience a rare-allele advantage. Current analytical approaches are not effective at separating

526 such simultaneous forms of selection. Future work on MHC evolution must therefore account for
527 many parasite species concurrently, and the distinct but simultaneous selective pressures that each
528 may exert.

529 **Acknowledgments**

530 We thank Claire Patenia and Jason Clu for lab assistance. This research was supported by
531 fellowships to DIB from the David and Lucile Packard Foundation and the Howard Hughes Medical
532 Institute, and a grant to WES from the Graduate Program in Ecology Evolution and Behavior at UT
533 Austin.

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714

Data Accessibility: Data required to reproduce the analyses presented in this paper will be made publically available at the time of publication. 454 amplicon sequencing reads have been uploaded to _____. Tables of allele presence/absence from these 454 amplicon sequences will be uploaded to Dryad doi: _____. Tables of parasite infections and fish ecomorphology and sex will be uploaded to Dryad at doi: _____, along with meta-data linking individual fish to their MHC genotype and sampling location.

Author contributions: WES and DIB collaboratively planned the data collection and analysis. WES conducted the field work, lab work, sequencing, bioinformatics. The statistical analyses were conducted primarily by WES with contributions from DIB. WES and DIB wrote the manuscript together.

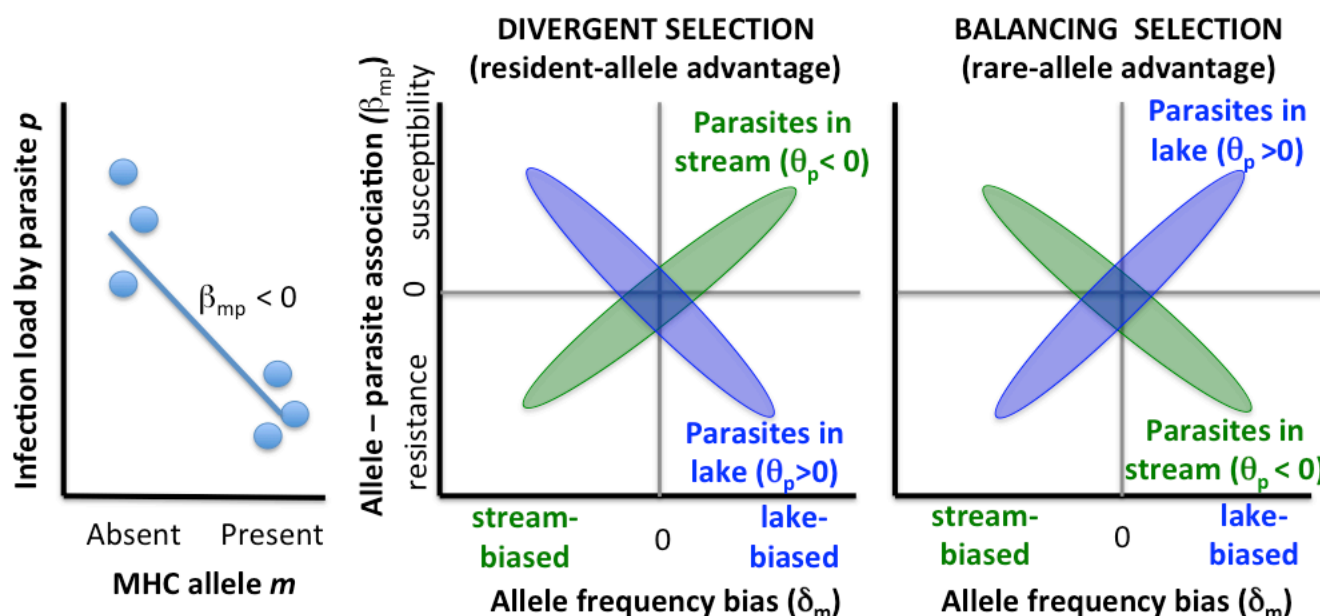


Figure 1. A conceptual diagram illustrating our strategy to test for a predominant effect of divergent

selection between populations, versus balancing selection within populations. We calculate an effect size and direction (β_{mp}) for all pairwise associations between MHC allele m versus parasite taxon p , within a given habitat (lake or stream). Then, we test whether β_{mp} depends on the extent to which allele m is lake- or stream-biased (δ_m), and the parasite is lake- or stream-biased (θ_p). We expect that β_{mp} covaries with δ_m , but the sign of this trend is opposite within the lake versus stream samples. With divergent selection, each population will contain locally common alleles that confer protection against locally common parasites, whereas immigrants will tend to be susceptible to unfamiliar parasites. For example, alleles that are particularly common in the lake ($\delta_m > 0$) should confer protection ($\beta_{mp} < 0$) against lake-specific parasites ($\theta_p > 0$), but susceptibility ($\beta_{mp} > 0$) against parasites in the stream ($\theta_p < 0$). In contrast, balancing selection favors rare alleles, so immigrant alleles should benefit. Stream-biased MHC alleles ($\delta_m < 0$) that migrate into the neighboring lake should be rare and confer resistance ($\beta_{mp} < 0$) to lake-specific parasites ($\theta_p > 0$). Therefore, both divergent and balancing selection should produce a $\theta_p * \delta_m$ interaction effect on β_{mp} , but the direction of this interaction depends on the form of selection.

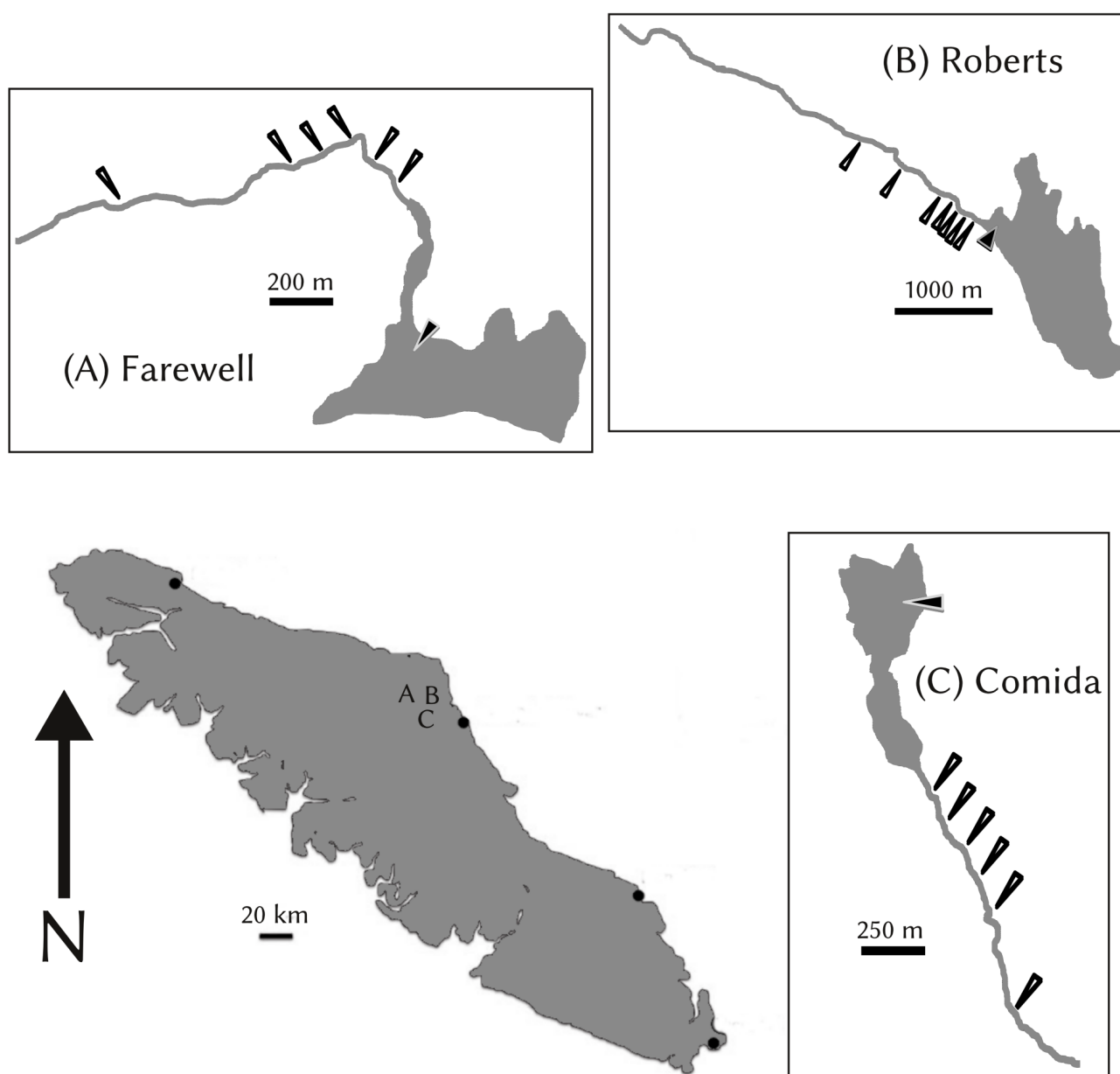


Figure 2. Map of study system showing Vancouver Island and the three lake-stream pairs used in this study.

Approximate locations of each lake-stream pair on the island are indicated by their respective letters (A:

Farewell, B: Roberts, C: Comida). Arrows indicate separate sampling locations within each pair. Separate scale

bars are provided for the entire island and each pair individually.

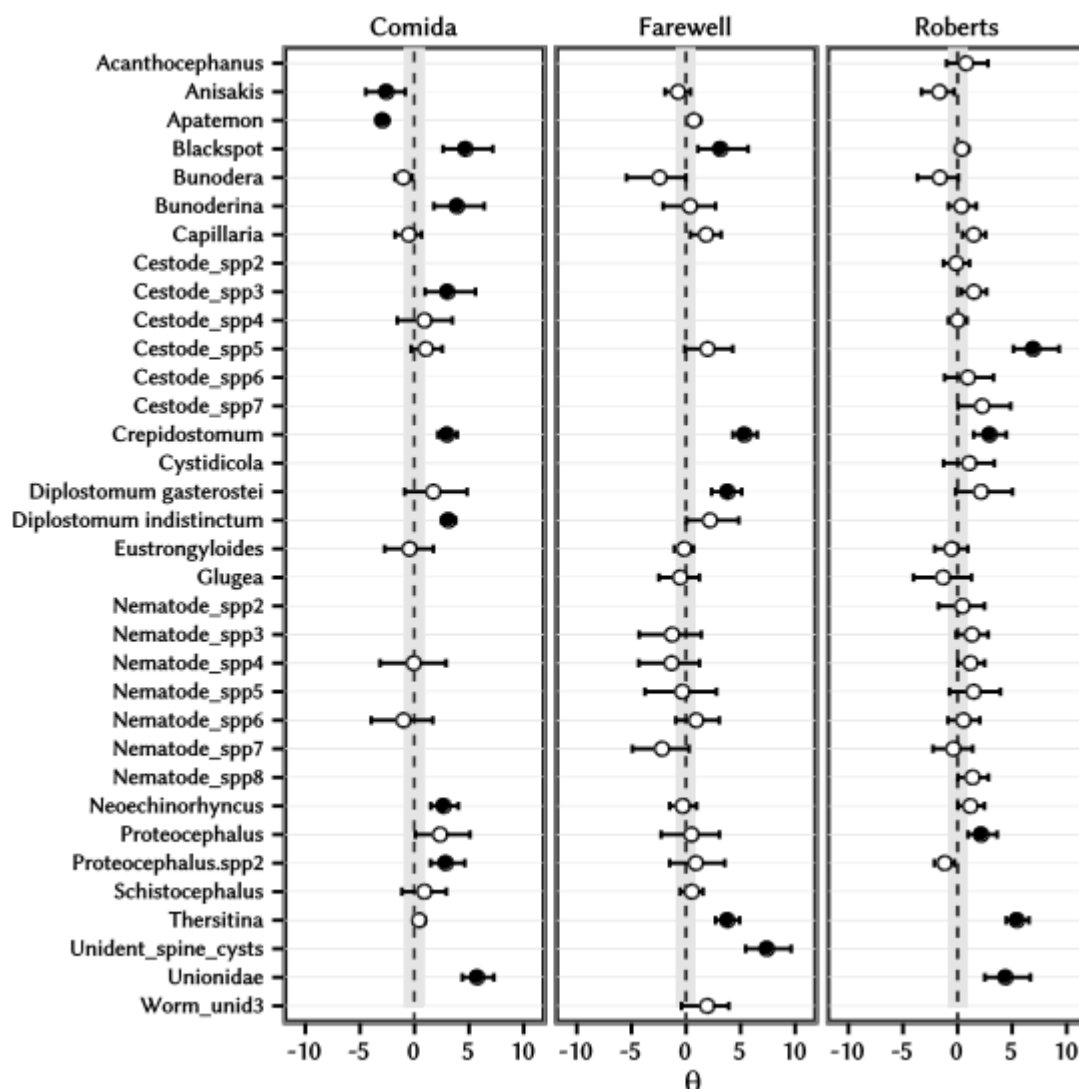


Figure 3. Posterior distributions of parasite prevalence differences between habitats (θ_p) for each parasite within each for lake-stream pair indicate which parasites are habitat specific. Positive values of θ_p indicate lake-biased parasites, while negative values indicate stream bias. Note θ_p is calculated on a logarithmic scale. Posterior means are indicated by circles while the bars indicate 95% credible intervals. Credible intervals must fall completely above or below the gray band in each panel to meet our criterion of regarding parasites as habitat-specific (a high probability of being at least twice as abundant in one habitat than in the other). Filled circles indicate habitat-specific parasites.

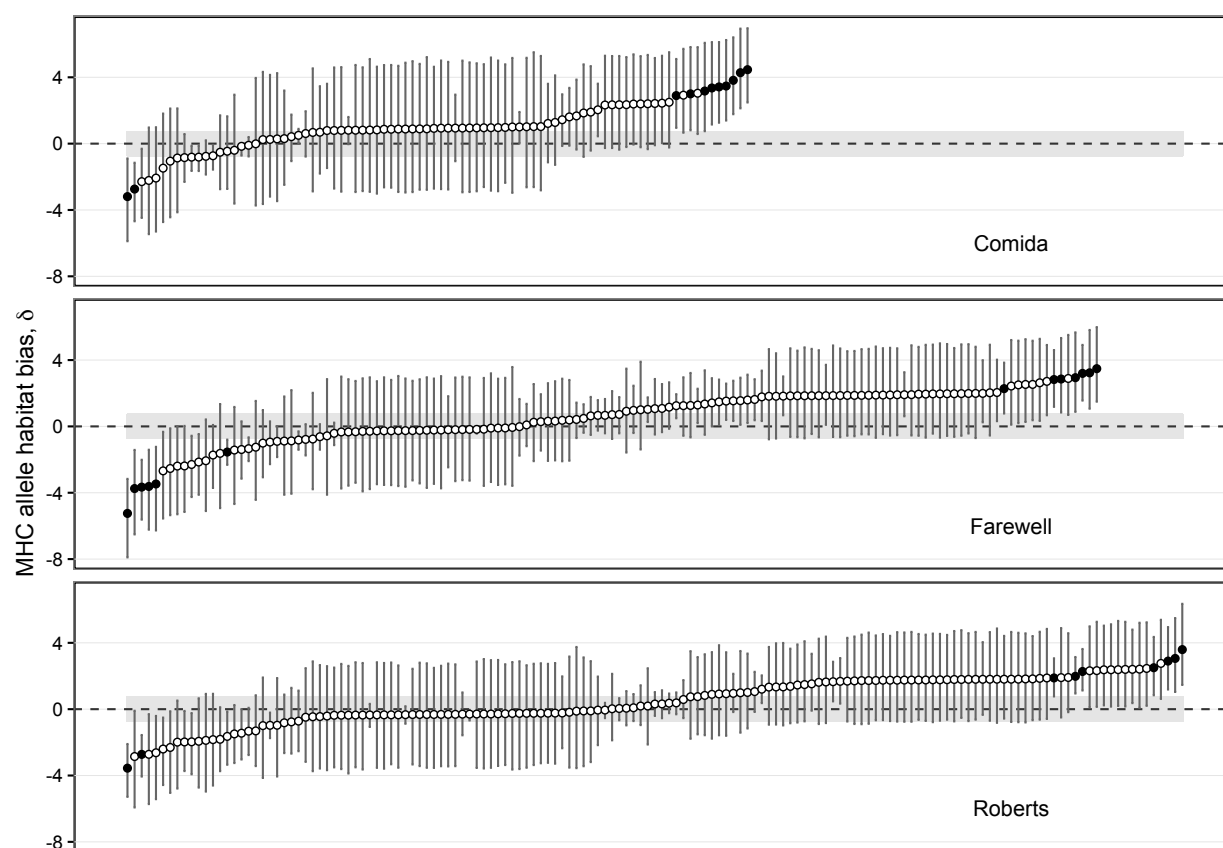


Figure 4. Posterior distributions of MHC II β allele differences between habitats (δ_m) within each lake-stream pair. Positive values of δ_m indicate lake-biased alleles, while negative values indicate stream-biased alleles. Note that δ_m is calculated on a logarithmic (non-linear) scale. Posterior means are indicated by circles while the bars indicate 90% credible intervals. Credible intervals must fall complete above or below the gray band in each panel to indicate alleles with high probability of occurring twice as frequently in one habitat compared to the other. Habitat-specific alleles are indicated by filled circles and are labeled. Alleles are ordered along the x axis by increasing values of δ_m . Note that few alleles are shared between the three lake-stream pairs.

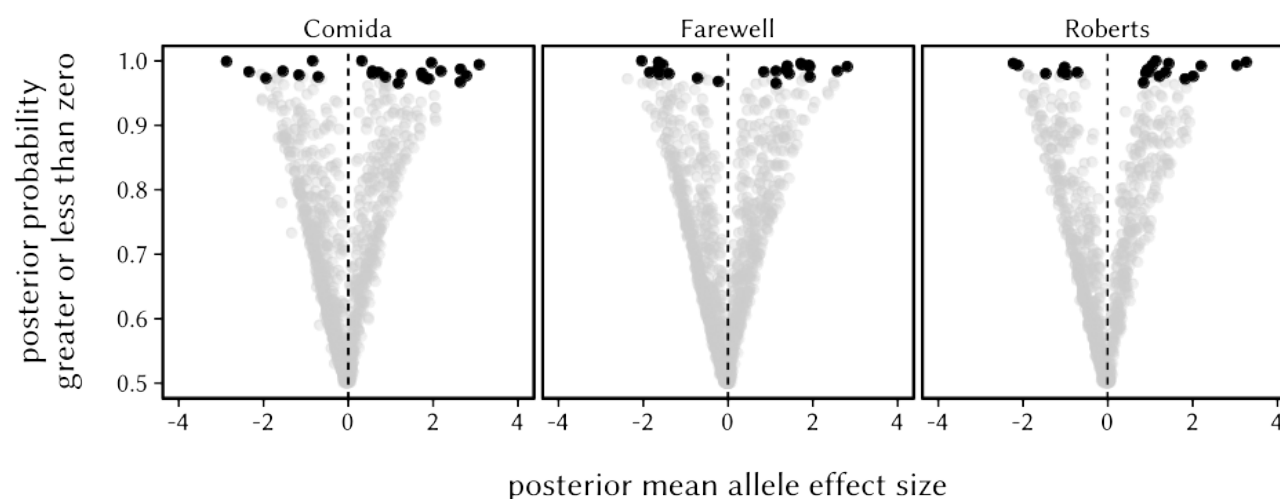


Figure 5. Volcano plot comparing the posterior mean effect sizes versus posterior probability of all MHC

allele-parasite associations (β_{mp}). Each point represents a single estimated effect of β_{mp} , calculated for a given

lake-stream pair. The y-axis shows the proportion of the posterior distribution greater than zero (for positive effects) or less than zero (for negative effects). Effect sizes are given on the latent (i.e. natural log) data scale.

Black circles indicated effects with 95% HPD intervals that do not include zero.

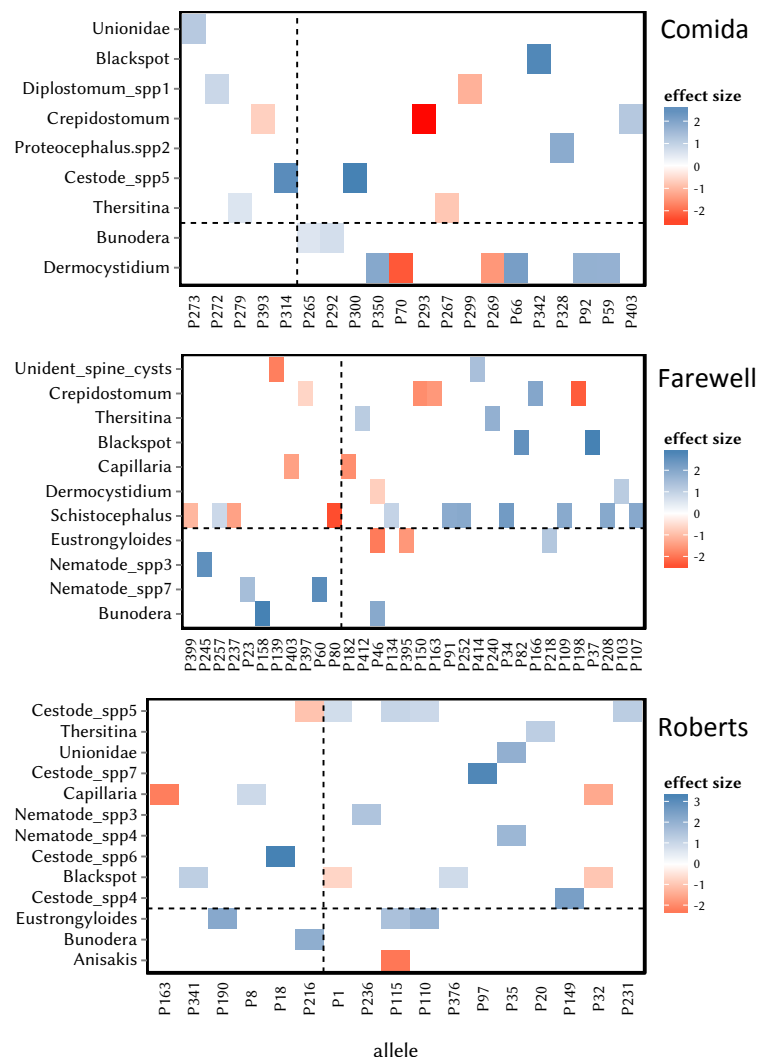


Figure 6. Heatmap of associations (β_{mp}) between MHC alleles (x-axis) and parasite taxa (y-axis). We only plot associations whose 95% HPD intervals do not include zero (black circles from Fig. 5). Blue squares represent positive effects (alleles associated with higher parasite load), red represent negative effects (alleles conferring lower parasite load). We plot each lake-stream pair separately (Comida at the top, then Farewell, then Roberts). Within each pair, alleles to the right of the vertical dashed line are more common in the lake ($\delta_m > 0$), alleles to the left are more common in the stream ($\delta_m < 0$). Parasites above the horizontal dashed line are more common in the lake ($\theta_p > 0$), parasites below the line are more common in the stream ($\theta_p < 0$). Examples of allele-parasite associations are plotted in the Supplementary Figures.

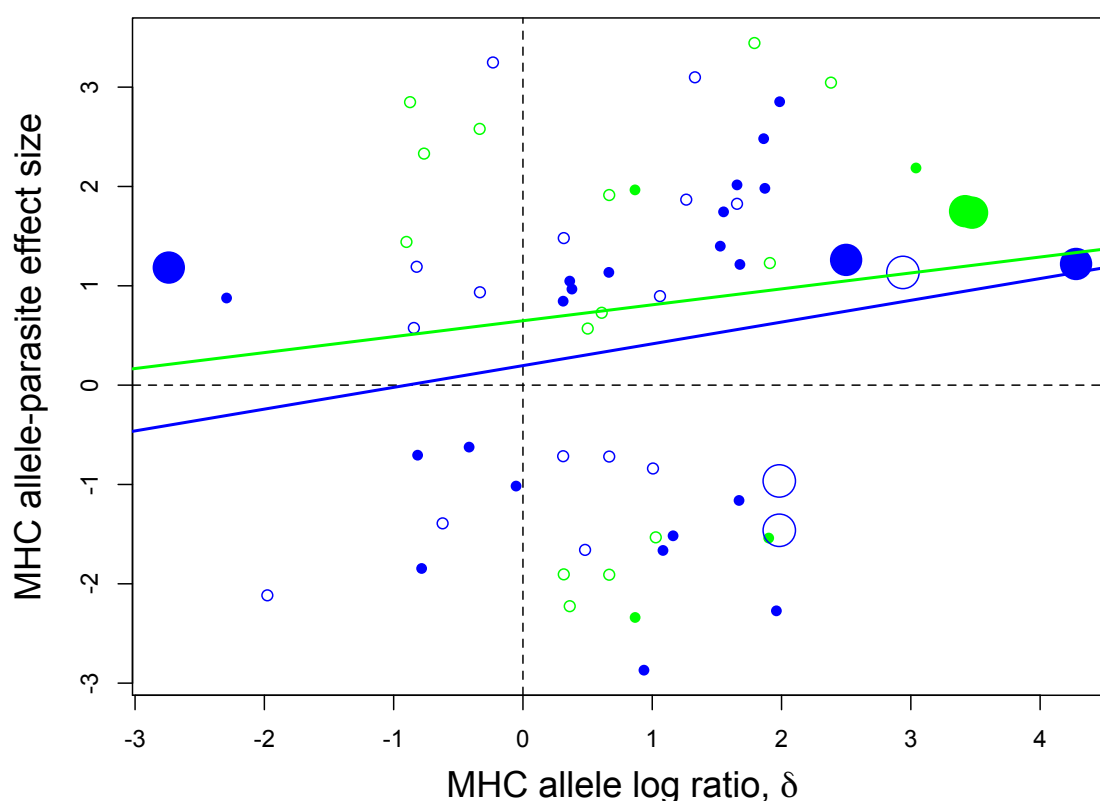


Figure 7. An empirical test of local adaptation versus balancing selection, as illustrated in our conceptual diagram (Fig. 1). We plot MHC allele effect size on parasites (β_{mp} ; positive values imply susceptibility, negative values imply resistance) as a function of the alleles' relative abundance in the lake or stream (δ_m ; positive values imply higher frequency in the lake, and negative values imply higher frequency in the stream). Each point represents a non-zero association between an MHC allele and a parasite taxon (95% HPD intervals of β_{mp} do not include zero; black circles from Fig. 4). We plot separate regression lines for parasites that tend to be more common in the lake (blue, $\theta_p > 0$) versus stream (green; $\theta_p < 0$), because we predicted their slopes would have opposite signs. Habitat-specific parasites (strong frequency bias) are indicated by filled points. Habitat-specific alleles are indicated by larger points. We combine all three lake-stream pairs in this plot, because different alleles were involved in parasite susceptibility or resistance in each pair.

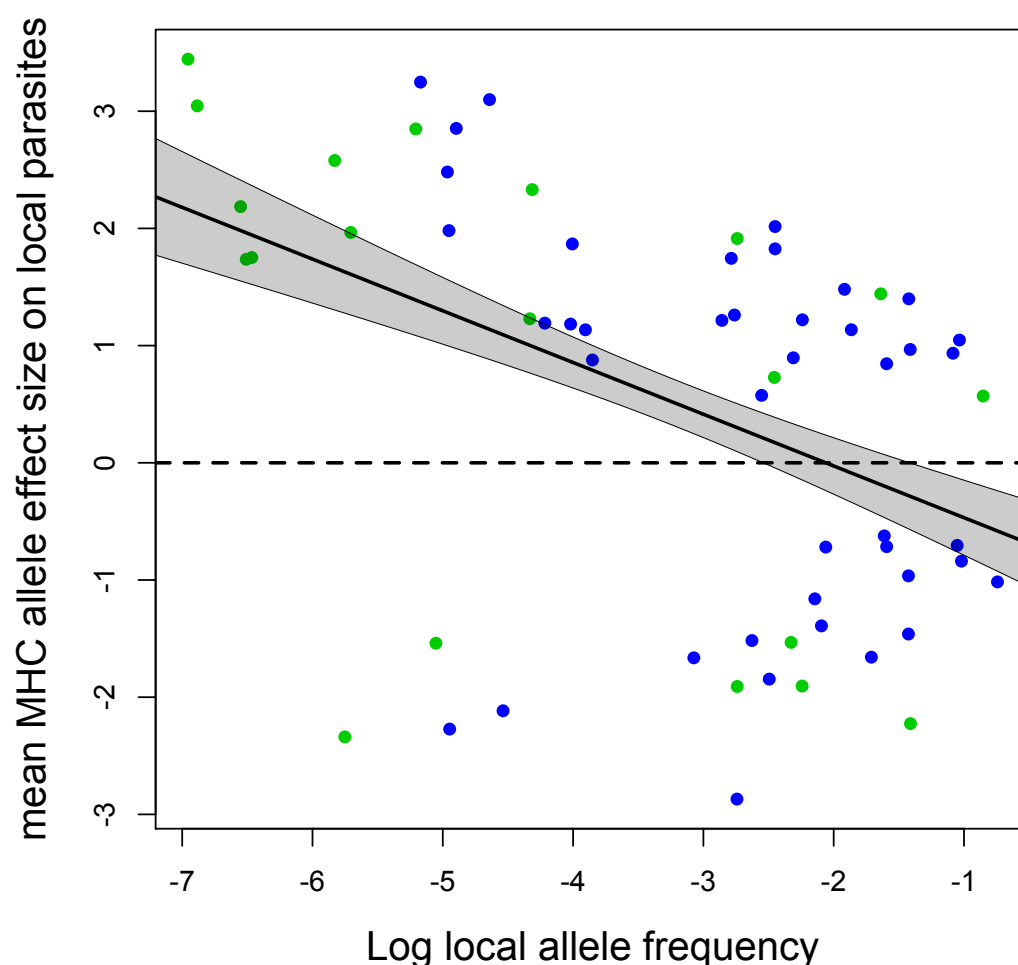


Figure 8. Locally rare alleles tend, on average, to confer susceptibility to local parasites (positive allele-parasite associations), whereas common alleles tend to be about equally likely to confer resistance or susceptibility. Allele frequency is calculated as the \log_2 of the fraction of individuals carrying the allele. Each point is an allele in a particular habitat in a lake-stream pair. For each allele, we calculated its average effect size (β_m) across all present parasites. Only those alleles with at least some significant parasite associations are included.

SUPPORTING INFORMATION

748

749 **Table S1. Sampling locations and sample sizes.** Columns give distances downstream from lake (in meters) where fish were sampled. Cells indicate the
750 total number of fish sampled at each sampling distance. Not all fish were screened for parasites or genotyped.

	Lake location	Stream sites (distance downstream from lake, m)														
Pair	Latitude/Longitude	Lake	20	25	50	100	150	200	250	300	400	500	1000	1500	stream total	
Comida	50.1443, -125.5283	81	0	0	14	20	21	20	20	19	20	20	20	20	194	
Farewell	50.2010, -125.5860	126	0	0	0	13	0	50	0	48	50	50	50	0	261	
Roberts	50.2266, -125.5530	138	1	1	0	43	4	48	47	49	28	18	50	70	359	

751

752 **Table S2. Sample sizes by data available.** Cells indicate the total number of fish at each site for which each type data was collected

753 (dissected=measured and screened for parasites, genotyped=genotyped at MHC loci).

	Parasite screening	MHC genotyping	both
Comida Lake	81	42	42
Comida Stream	190	138	136
Farewell Lake	121	102	97
Farewell Stream	258	211	209
Roberts Lake	137	119	118
Roberts Stream	333	234	216

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757 **Table S3. Percentage of estimated allele effects that were positive and negative.** Numbers in parentheses give the percentage of estimated effects
758 that were non-zero effects. P-values are derived from exact binomial tests that negative and positive effects were equally likely within each pair (p-
759 value in parenthesis gives the result for tests for just non-zero effects).

760

Lake- stream pair	Number of allele- parasite associations tested		% negative effects	# positive effects	P-value	% non-zero negative effects	% non-zero positive effects	P-value
								0.11531829
Comida	1653	0.727	0.272	3.50E-79	0.0036	0.0085	8	
								0.22948101
Farewell	2362	0.708	0.292	1.00E-93	0.0055	0.00891	3	
								0.02411954
Roberts	2669	0.739	0.261	8.33E-140	0.0030	0.0079	5	

761

762

763 **Table S4. Estimated non-zero effects.** Habitat specific parasite and alleles are indicated in bold.

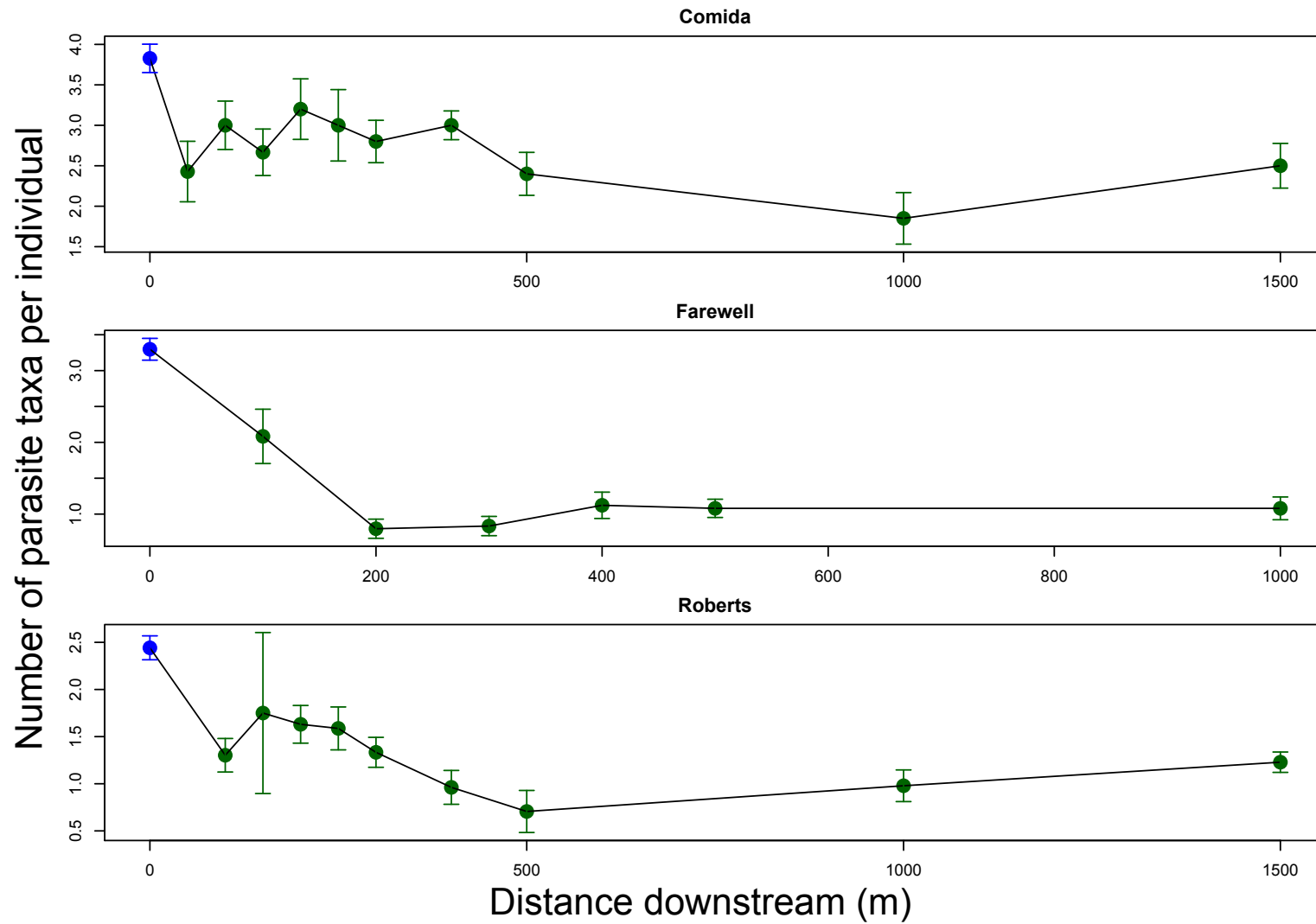
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pair	allele m	parasite p	β_{mp}	95% HPDI		Habitat Specificity	
				low	hi	parasite	allele
Comida	P293	Crepidostomum	-2.87	-5.17	-0.78	lake	none
Farewell	P198	Crepidostomum	-2.27	-4.88	-0.13	lake	none
Comida	P70	Apatemon	-2.34	-4.67	-0.13	stream	none
Roberts	P236	Cestode_spp2	-1.91	-4.42	-0.03	none	none
Roberts	P115	Anisakis	-2.23	-4.13	-0.56	none	none
Farewell	P46	Eustrongyloides	-1.91	-3.96	-0.02	none	none
Roberts	P163	Capillaria	-2.12	-3.92	-0.23	none	none
Farewell	P139	Unident_spine_cysts	-1.85	-3.76	-0.08	lake	none
Farewell	P150	Crepidostomum	-1.66	-3.25	-0.19	lake	none
Farewell	P182	Capillaria	-1.66	-3.17	-0.11	none	none
Farewell	P395	Eustrongyloides	-1.53	-3.09	-0.02	none	none
Comida	P269	Apatemon	-1.54	-2.98	-0.15	stream	none
Farewell	P403	Capillaria	-1.39	-2.94	-0.16	none	none
Roberts	P32	Capillaria	-1.46	-2.94	-0.11	none	lake

Farewell	P163	Crepidostomum	-1.52	-2.63	-0.22	lake	none
Comida	P299	Diplostomum indistinctum	-1.16	-2.25	-0.01	lake	none
Roberts	P216	Cestode_spp5	-1.02	-1.97	-0.24	lake	none
Roberts	P32	Blackspot	-0.96	-1.87	-0.04	none	lake
Farewell	P46	Apatemon	-0.72	-1.51	0.00	none	none
Comida	P393	Crepidostomum	-0.71	-1.46	-0.03	lake	none
Roberts	P1	Blackspot	-0.72	-1.41	-0.04	none	none
Comida	P267	Thersitina	-0.84	-1.40	-0.29	none	none
Farewell	P397	Crepidostomum	-0.62	-1.22	-0.01	lake	none
Comida	P292	Bunodera	0.73	0.01	1.37	none	none
Farewell	P23	Nematode_spp7	1.44	0.02	2.93	none	none
Roberts	P1	Cestode_spp5	0.85	0.02	1.88	lake	none
Comida	P272	Diplostomum indistinctum	0.88	0.02	1.75	lake	none
Farewell	P103	Apatemon	1.14	0.02	2.47	none	lake
Comida	P265	Bunodera	0.57	0.02	1.17	none	none
Roberts	P341	Blackspot	1.19	0.03	2.21	none	none
Comida	P273	Unionidae	1.18	0.03	2.58	lake	stream
Comida	P59	Apatemon	1.74	0.04	3.54	stream	lake

Farewell	P412	Thersitina	1.14	0.04	2.14	lake	none
Roberts	P20	Thersitina	1.22	0.04	2.48	lake	none
Roberts	P35	Nematode_spp4	1.83	0.05	3.48	none	none
Comida	P279	Thersitina	0.58	0.05	1.11	none	none
Comida	P403	Crepidostomum	1.22	0.06	2.52	lake	lake
Roberts	P8	Capillaria	0.94	0.07	1.77	none	none
Roberts	P236	Nematode_spp3	1.48	0.08	2.85	none	none
Farewell	P82	Blackspot	2.48	0.09	5.07	lake	none
Roberts	P376	Blackspot	0.90	0.09	1.79	none	none
Farewell	P60	Nematode_spp7	2.58	0.09	4.84	none	none
Roberts	P110	Cestode_spp5	0.97	0.10	1.87	lake	none
Farewell	P218	Eustrongyloides	1.23	0.10	2.24	none	none
Comida	P92	Apatemon	1.75	0.10	3.46	stream	lake
Roberts	P231	Cestode_spp5	1.26	0.10	2.67	lake	lake
Farewell	P166	Crepidostomum	1.98	0.15	3.98	lake	none
Roberts	P35	Unionidae	2.02	0.16	4.02	lake	none
Roberts	P115	Cestode_spp5	1.05	0.16	1.88	lake	none
Farewell	P414	Unident_spine_cysts	1.40	0.17	2.55	lake	none

Comida	P66	Apatemon	2.19	0.30	4.22	stream	none
Farewell	P37	Blackspot	2.85	0.34	4.86	lake	none
Farewell	P240	Thersitina	1.74	0.36	3.02	lake	none
Farewell	P46	Bunodera	1.91	0.37	3.62	none	none
Farewell	P91	Schistocephalus	1.87	0.39	3.23	none	none
Farewell	P158	Bunodera	2.85	0.47	5.04	none	none
Roberts	P190	Cestode_spp2	2.33	0.51	3.91	none	none
Comida	P350	Apatemon	1.97	0.53	3.52	stream	none
Roberts	P97	Cestode_spp7	3.10	0.67	5.53	none	none
Roberts	P123	Cestode_spp2	3.05	0.78	5.07	none	none
Roberts	P155	Cestode_spp2	3.44	1.17	5.62	none	none
Roberts	P18	Cestode_spp6	3.25	1.22	5.40	none	none

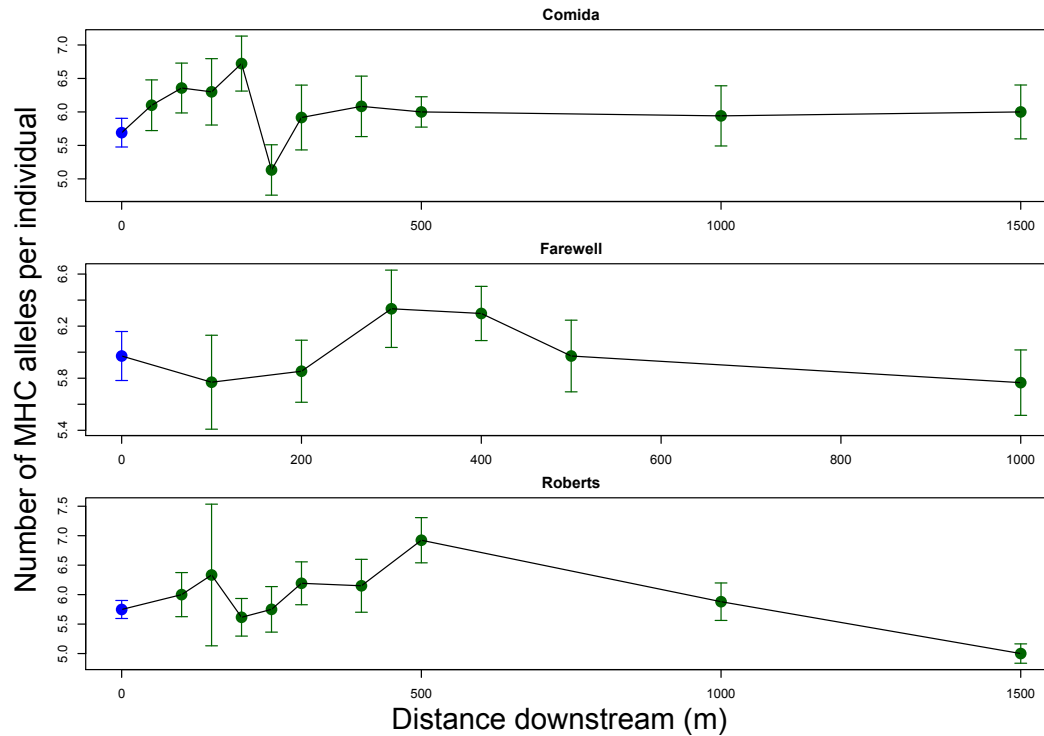


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767 **Fig. S1.** In all three lake-stream clines, stream stickleback carried a lower parasite richness than did their neighboring stream stickleback (all $P < 0.0001$),

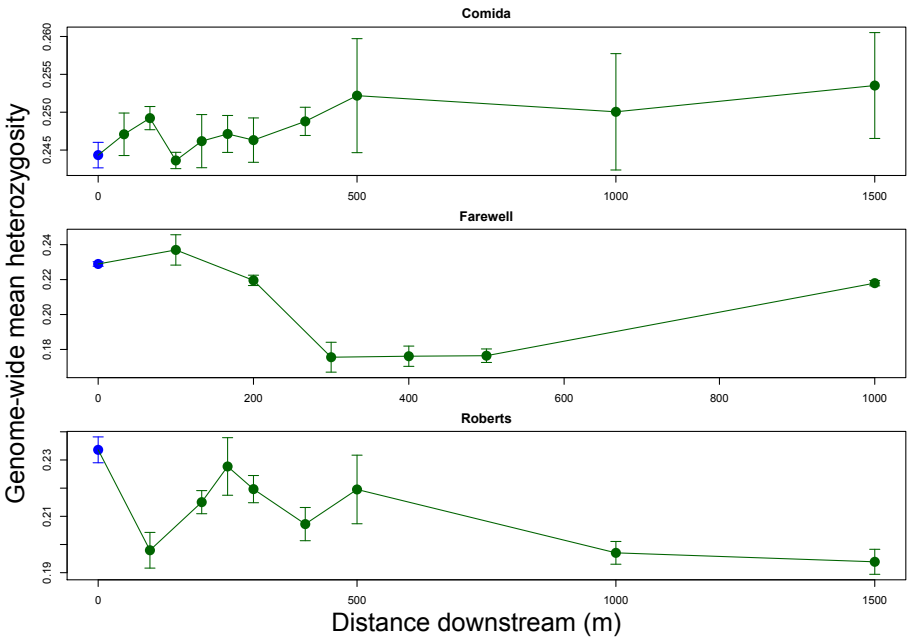
768 with no significant effect of distance within the stream (all $P > 0.05$).

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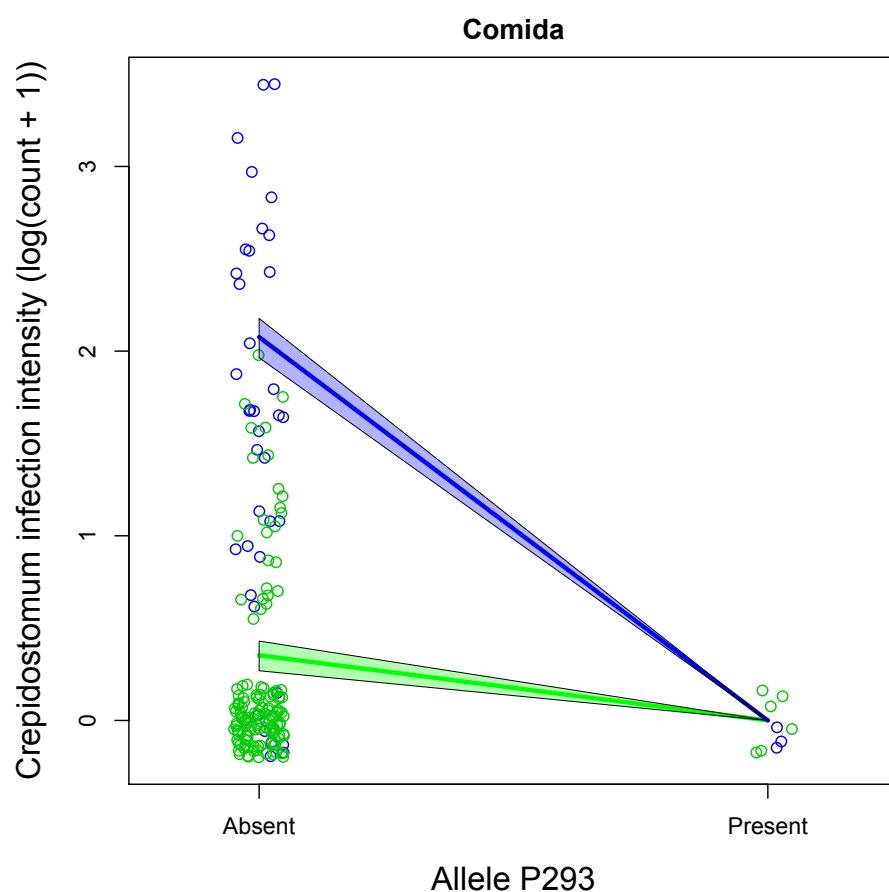
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771 **Figure S2.** MHC allelic diversity (operationally defined here as the number of unique amino acid sequences per individual fish) varies across lake-stream
 772 clines. In the Comida and Farewell pairs, there is no significant effect of habitat, distance, nor a quadratic distance effect (ANCOVA; Comida: $P=0.172$,
 773 0.433 , and 0.785 respectively; Farewell $P=0.832$, 0.724 , 0.128). In Roberts Lake, however, there is a significant effect of distance, including both a linear
 774 and a negative quadratic trend ($P=0.0065$ and 0.0201) but no effect of habitat ($P=0.9373$). In all three pairs, the greatest per-fish MHC diversity occurs
 775 in the stream, midway along the transect. This transitory increase in diversity is consistent with the proposed diversity-increasing effect of migration.
 776 Combining the three lake-stream pairs into a single ANOVA analysis, we find a significant effect of distance ($P=0.0006$) and marginal quadratic effect
 777 ($P=0.091$) on MHC diversity, but no effect of pair ($P=0.118$) or habitat ($P=0.497$). This result stands in contrast to other stickleback lake-stream pairs, in
 778 which stream fish consistently exhibit lower MHC diversity than lake fish (Eizaguirre *et al.* 2012a; Eizaguirre *et al.* 2010; Feulner *et al.* 2015).



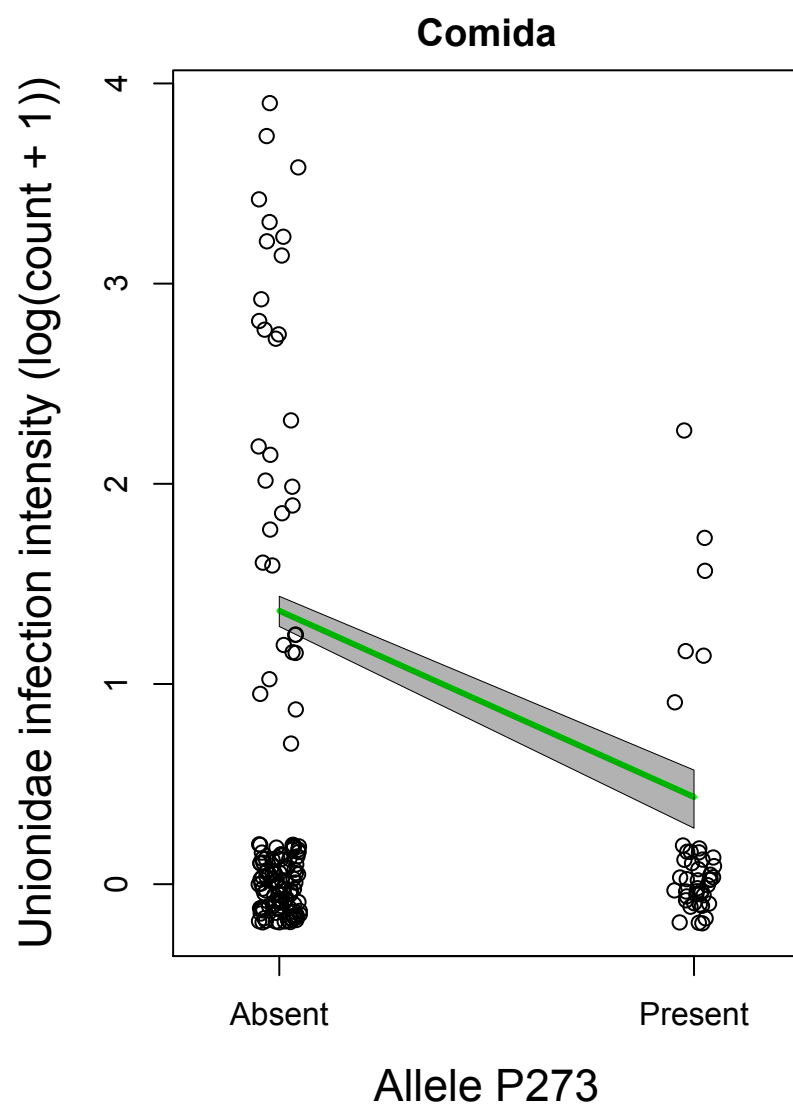
781 **Figure S3.** For comparison with Fig. S2, we here show the genomic SNP diversity (operationally defined here as the average heterozygosity across all
782 scored SNPs) across lake-stream clines. In Comida Lake there is a weakly significant trend towards higher diversity farther downstream ($P=0.034$), and a
783 very marginal difference between the habitats ($P=0.095$), but in an ANCOVA analysis with both effects, neither is significant. Farewell exhibits
784 significant among-site variation in heterozygosity, driven by lower heterozygosity in the stream than in the lake ($P<0.00001$) and a quadratic effect of
785 distance downstream ($P<0.00001$). Likewise, Roberts Lake exhibits lower heterozygosity in the stream than lake ($P<0.00008$) and decreasing
786 heterozygosity with distance downstream ($P=0.00315$). Unlike the trend for MHC, genome-wide data shows no consistent tendency for genetic
787 diversity to be elevated a short distance downstream. This suggests that the diversity-sustaining effect of migration might disproportionately impact
788 MHC II sequences. That said, this comparison is only qualitative, because of the polygenic nature of MHC II β genotypes in this study.

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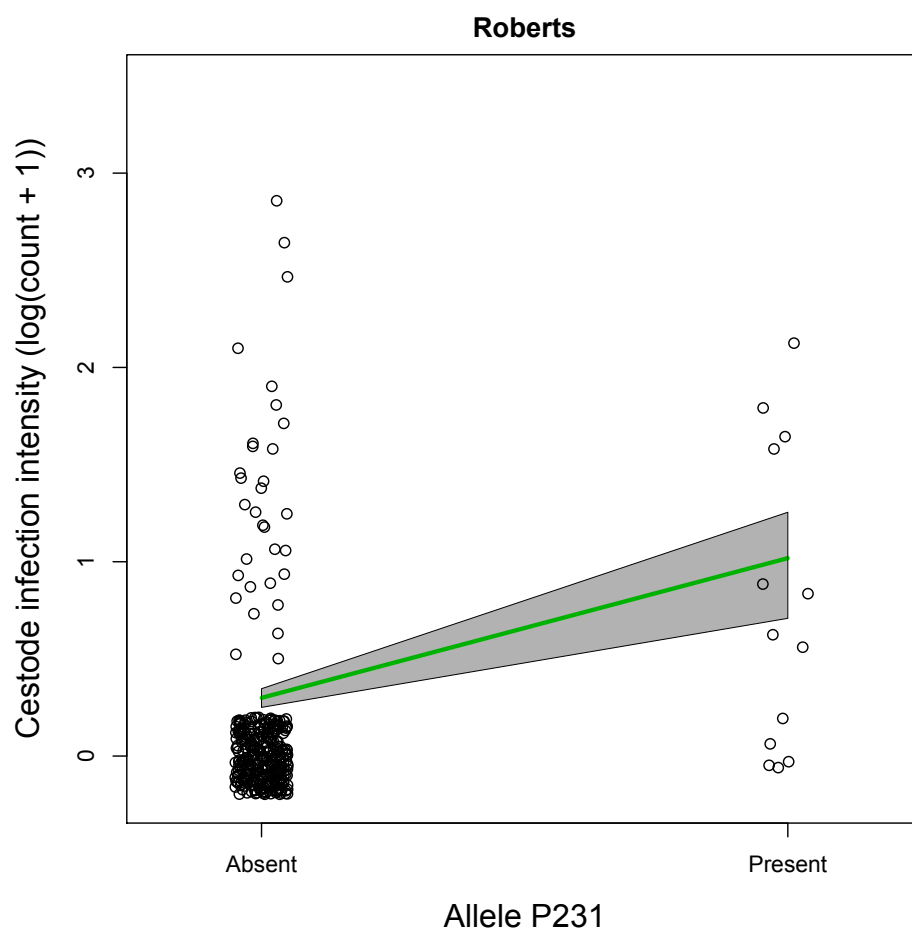
791 **Fig. S4.** An example of a significant negative MHC allele-parasite association. *Crepidostomum* sp. infection
792 intensity is lower in Comida fish carrying allele P293, than in fish without. This trend is supported overall, but is
793 stronger for lake fish (shown in blue) than stream fish (green).



794

795 **Fig. S5.** An example of a significant negative MHC allele-parasite association. Unionidae infection intensity is
 796 lower in Comida fish carrying allele P273, than in fish without. This trend is independent of fish habitat.

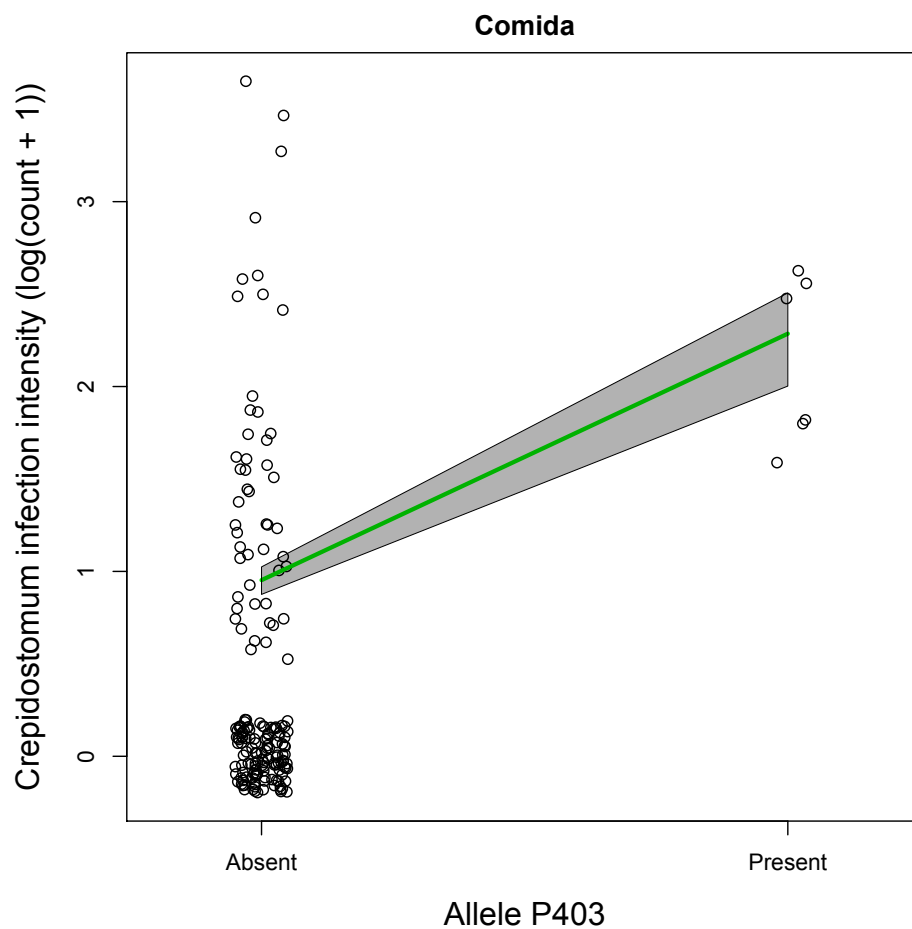
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798

799 **Fig. S6.** An example of a significant positive MHC allele-parasite association. Cestode spp infection intensity is
800 higher in Roberts fish carrying allele P231, than in fish without.

801



802

803 **Fig. S7.** An example of a significant positive MHC allele-parasite association. Crepidostomum infection intensity
804 is higher in Comida fish carrying allele P403, than in fish without. This trend is largely independent of the effect
805 plotted in Fig. S4 for the same parasite and the same lake-stream pair, because the allele P403 shown here
806 segregates independently of allele P293.

807

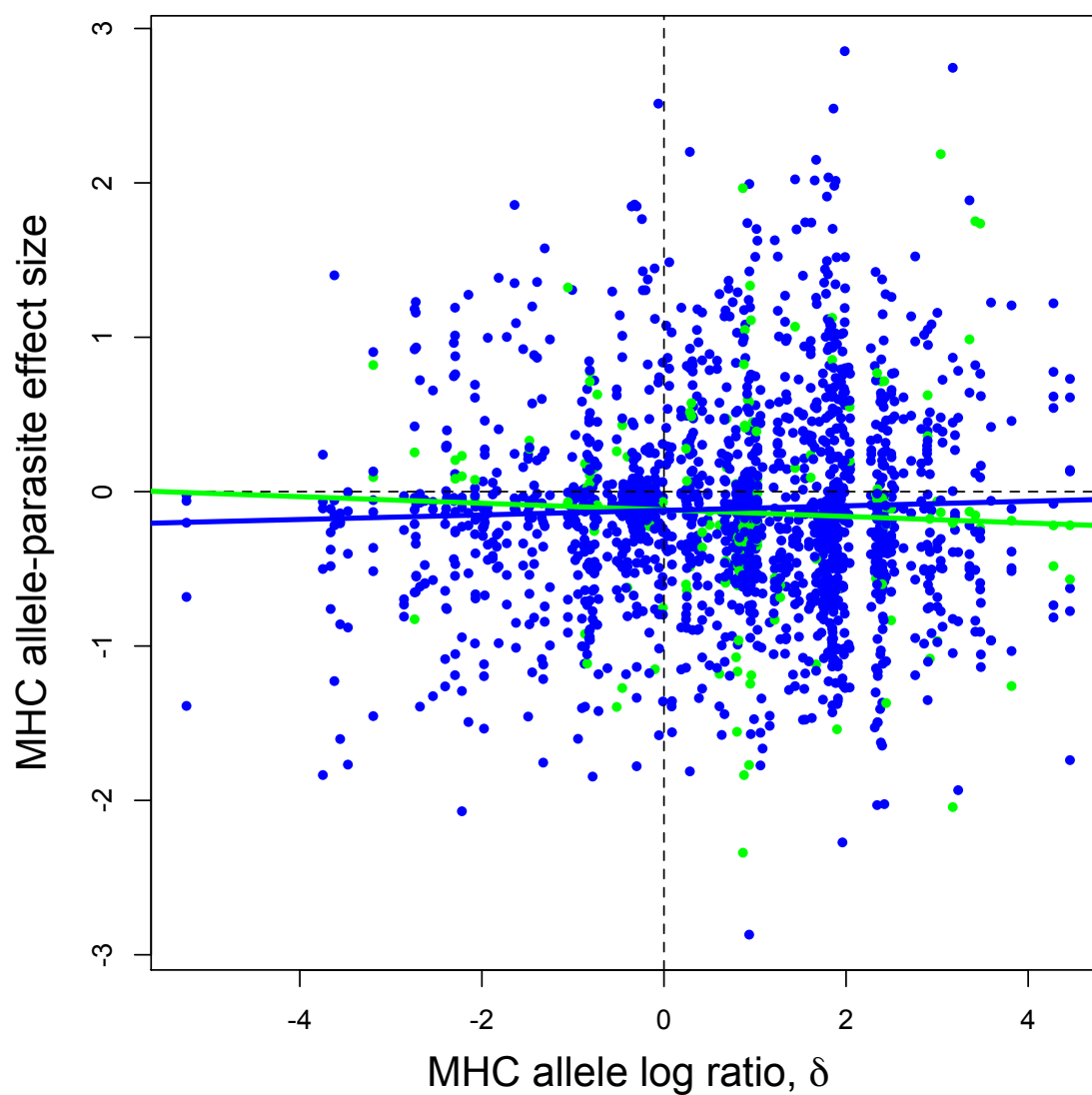


Figure S8. The same plot as in Fig. 7 in the main text, but for all allele-parasite associations tested, rather than just the strongly habitat-biased parasites, or strongly habitat-biased alleles.

Supplemental Methods:

809 *Accounting for allele co-occurrence*

810 Given that MHC II-B exists as multiple paralog loci, alleles can be co-inherited as haplotype blocks, resulting in
 811 linkage disequilibrium between different alleles. Because alleles may be co-inherited, it could be difficult to
 812 determine which of two (or more) linked alleles are responsible for variation in infections. We therefore
 813 calculated Yule's Q (Warrens 2008) as a measure of association between all pairs of alleles within a
 814 lake/stream pair, using *Yule* function in the *psych* package in R 3.2.1 (Revelle 2016). Like Pearson correlation
 815 coefficients, Q ranges from -1 to 1 and indicates the degree of positive or negative association between two
 816 binary variables (e.g. allele presence). This metric was used to determine which alleles co-occurred strongly.

817 *Yule's Q results*

818 Of the 27,406 pairwise comparisons of alleles, only 1702 pairs of alleles (6%) had a value of Yule's Q greater
 819 than 0.8, implying strong linkage. Many of these are cases where one allele is very rare (i.e. occurs once) and
 820 thus overlaps completely with any other alleles found in that particular individual. In general, the relatively low
 821 levels of co-occurrence means that allele effects could be estimated independently of the presence or absence
 822 of other alleles in most cases. There were 37 allele pairs that were reciprocally complete overlapping in
 823 occurrence; one allele from each of these pairs was removed for the data set prior to estimating effect sizes.

824