ALUMINUM RESISTANCE TRANSCRIPTION FACTOR 1 (ART1) underlies a major Al resistance QTL and interacts with natural variation in the genetic background to quantitatively regulate Al resistance in rice.

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ABSTRACT

Transcription factors (TFs) mediate stress resistance indirectly via physiological mechanisms driven by the array of genes they regulate. Therefore, when studying TF-mediated stress resistance, it is important to understand how TFs interact with different genetic backgrounds. Here, we fine-mapped the major aluminum (Al) resistance QTL Alt12.1 to a 44 Kb region surrounding ART1, which encodes a C2H2-type zinc finger TF required for Al resistance in rice. The parents of the mapping population Al-resistant Azucena (tropical japonica) and Al-sensitive IR64 (*indica*) showed similar ART1 expression levels but extensive sequence polymorphism within the ART1 coding region. Using reciprocal near-isogenic lines (NILs) in the Azucena and IR64 genetic backgrounds, we examined how allele-swapping Alt12.1 would affect plant responses to Al. Analysis of global transcriptional responses to Al stress in roots of the reciprocal NILs alongside their recurrent parents demonstrated that the ART1 from Al-resistant Azucena led to greater changes in gene expression in response to Al when compared to the ART1 from IR64 in both genetic backgrounds. The presence of the ART1 allele from the opposite parent affected the expression of several genes not previously implicated in rice Al tolerance. We also highlight specific examples where putatively functional variation in *cis*-regulatory regions of ART1-regulated genes interacts with ART1 to determine gene expression in response to Al. This ART1-promoter interaction is associated with transgressive variation for Al resistance in the Azucena × IR64 population. These results illustrate how ART1 interacts with the genetic background in determining quantitative phenotypic variation in rice Al resistance.

Introduction

Plants achieve abiotic stress resistance through diverse mechanisms that evolved through both natural and artificial selection. The characterization of quantitative trait loci (QTL) and their underlying genes has led to insights about the genetic architecture and the biological mechanisms of resistance to several abiotic stresses. Two major classes of genes predominate as determinants of stress resistance: membrane transporters and transcription factors (TFs) (Mickelbart et al., 2015). The reprogramming of gene expression through transcriptional regulation, mediated by TFs, is a finely orchestrated and tightly regulated process, and is one of the hallmarks of plant response to stress (Vaahtera and Brosché, 2011). To achieve specificity in the transcriptional response, a TF must activate only target genes involved in adaptation to a particular stress or combination of stresses (Vaahtera and Brosché, 2011). TFs are activated through post-translational modification, nuclear import and/or may be transcriptionally activated by other TFs. Genetic variation in the TF loci, or in any element along the regulatory chain, can contribute to phenotypic variation in plant stress resistance. Understanding the quantitative nature of TF-mediated stress resistance in plants is essential when predicting the impact of introducing alleles into a new genetic background in the context of plant breeding and crop improvement.

Aluminum toxicity severely limits plant growth on acidic soils (pH <5). Under these conditions, the rhizotoxic Al species Al³⁺ is solubilized, inhibiting root growth and function (Kochian et al., 2015), and leaving plants more vulnerable to drought and mineral nutrient deficiencies. Approximately 30% of the earth's land area consists of highly acid soils, and as much as 50% of all potentially arable lands are acidic (von Uexküll and Mutert, 1995). As vast areas of acid soils in the tropics and subtropics are critical food-producing regions, Al toxicity constitutes a food security threat exceeded only by drought among the abiotic limitations on crop production.

Rice (*Oryza sativa* L.) is the most Al-resistant species among the small grain cereals (Foy, 1988; Ma et al., 2002). A comparative cross-species study in hydroponics showed that rice is two- to six-fold more resistant than maize, wheat and sorghum (Famoso et al., 2010). This high level of resistance is likely achieved by the pyramiding of multiple mechanisms conferred by multiple genes, a hypothesis supported by results from both genome-wide association (GWAS) and QTL studies (Famoso et al., 2011). Mapping of Al resistance QTL in a rice

recombinant inbred line (RIL) population derived from a cross between Al-resistant Azucena (*O. sativa* L., *tropical japonica*) and Al-sensitive IR64 (*O. sativa* L., *indica*) cultivars identified four genomic regions associated with Al resistance, on chromosomes 1, 2, 9, and 12 (Famoso et al., 2011; Spindel et al., 2013). The QTL *Alt12.1* on chromosome 12, which explains a large proportion (> 19%) of the variation in Al resistance, encompasses a genomic region that includes the gene *ALUMINUM RESISTANCE TRANSCRIPTION FACTOR 1* (*ART1*), encoding a C2H2-type zinc finger transcription factor (Yamaji et al., 2009). The *art1* mutant, producing a truncated version of the ART1 protein, is sensitive to Al stress.

A total of 32 genes were up-regulated in response to Al in the wild-type but were not up-regulated in the *art1* mutant (Yamaji et al., 2009). Some of these genes have been shown to play a role in rice Al resistance. These "ART1-regulated genes" have received this denomination because they are mis-regulated in the *art1* mutant; in other words, their expression is up-regulated by Al stress in the wild type, but not in the mutant (Yamaji et al., 2009). It is worth noting that direct binding of the ART1 protein to upstream regulatory regions has so far been experimentally demonstrated only for *STAR1* (Tsutsui et al., 2011) and *OsFRDL4* (Yokosho et al., 2016).

The genetic architecture of rice Al resistance is complex and quantitative in nature (Famoso et al., 2011). In the present study, we describe how natural variation in the *ART1* locus affects transcriptional responses to Al stress in two distinct genetic backgrounds of rice. We fine-mapped the Al resistance QTL *Alt12.1* to a small region surrounding *ART1* and used reciprocal near-isogenic lines (NILs) to examine the effect of two different *ART1* alleles in both *japonica* (Azucena) and *indica* (IR64) genetic backgrounds. Analysis of the global transcriptional response to Al stress in roots of the reciprocal NILs demonstrated that the *ART1* allele from Alresistant Azucena induced greater changes in gene expression in response to Al stress when compared to the ART1 from IR64 in both genetic backgrounds. These changes were observed in a number of genes in addition to those previously reported as ART1-regulated. Moreover, our data suggest that the changes in gene expression pattern in response to the ART1 allele-swapping may be background-specific, suggesting that natural variation in *cis*-regulatory regions also plays a role in determining gene expression responses to Al. These results demonstrate the importance of genetic background in determining the phenotypic impact of a major Al resistance gene.

RESULTS

Development and evaluation of reciprocal near-isogenic lines (NILs) for the Al resistance QTL *Alt12.1*.

We developed reciprocal near-isogenic lines (NILs) in the Azucena (Al-resistant) and IR64 (Alsensitive) genetic backgrounds to examine how allele swapping at the *Alt12.1* QTL would affect plant responses to Al (Figure 1). The chromosomal region harboring *Alt12.1* from the Alresistant parent Azucena was introduced into IR64 via backcrossing, and vice-versa (Methods S1). Phenotypically, AZU_[IR6412.1] and IR64_[AZU12.1] plants closely resemble their respective recurrent parents when grown under greenhouse conditions (Fig. 1A). The NIL AZU_[IR6412.1] contains a 3.92 Mb introgression from IR64 encompassing *Alt12.1* in the Azucena background, while the reciprocal NIL IR64_[AZU12.1] carries a 2.36 Mb Azucena introgression spanning the *Alt12.1* locus in the IR64 genetic background. Both NILs carry a single donor introgression across the target region, while over 99% of their genomes are identical to the recipient background (Fig. 1C; Table S2). When evaluated for Al resistance based on relative root growth (RRG) in hydroponics, the NIL AZU_[IR6412.1] was significantly (p < 0.05) less Al-resistant than its recurrent parent Azucena. Conversely, IR64_[AZU12.1], was significantly (p < 0.05) more Alresistant than its recurrent parent, IR64 (Fig. 1B-D, Supplemental Table S2). These results validate the effect of the *Alt12.1* QTL on Al resistance in both genetic backgrounds.

Fine mapping of the Alt12.1 QTL.

The *Alt12.1* locus was fine-mapped using a substitution mapping approach (Methods S2) to a 0.2 cM region (44.7 Kb; chromosome 12: 3,578,363 - 3,623,299 bp), between markers *K_3.57* and *indel_3.62* (Figure 2A, Supplemental Figure S1, Supplemental Table S1). According to the rice MSUv.7 genome assembly (Kawahara et al., 2013), this region encompasses six gene models: *ART1* (LOC_Os12g07280), LOC_Os12g07290, LOC_Os12g07300, LOC_Os12g07310, LOC_Os12g07340, and LOC_Os12g07350.

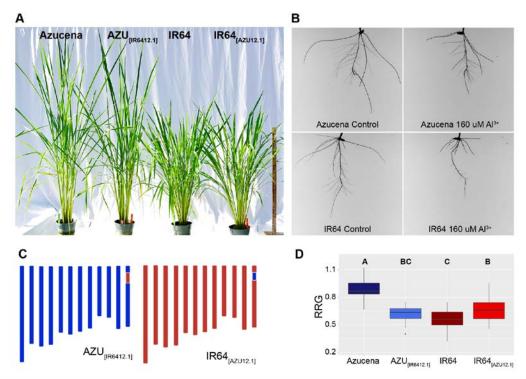


Figure 1: Near-isogenic lines (NILs) carrying reciprocal introgressions of the Alt12.1 region validate the effect of the QTL on rice Al resistance. (A) Parents of the recombinant inbred line (RIL) mapping population used to map Al resistance QTL (Famoso et al., 2011). Azucena (tropical japonica) is Al-resistant and IR64 (indica) is Al-sensitive. The photographs show roots of representative five day-old seedlings of Azucena and IR64 grown in hydroponics under control (0 uM Al³⁺ activity, left) and Al stress (160 μM Al³⁺ activity, right) condition for five days. (B) Genotypic makeup of the near-isogenic lines AZU[IR6412.1] (left) and IR64[AZU12.1] (right). In the schematic representation of the 12 chromosomes of rice, blue denotes Azucena background, and red denotes IR64 background. The reciprocal introgressions at the Alt12.1 region on chromosome 12 are indicated by a blue rectangle in AZU_[IR64]2,1] and by a red rectangle in IR64_[AZU]2,1]. (C) Representative plants of the Al-resistant rice variety Azucena, of the Al-sensitive variety IR64, and of the reciprocal NILs nearisogenic lines AZU_[IR6412.1] and IR64_[AZU12.1] grown under greenhouse conditions. Photograph taken 110 days after sowing. (D) Al resistance phenotypes of Azucena, IR64, and the reciprocal NILs AZU_[IR6412.1] and IR64_[AZU12.1]. Relative root growth (RRG) was calculated as the ratio between the total root growth (TRG) of seedlings (n = 18) grown under stress conditions (160 μ M Al³⁺ activity) over TRG of seedlings (n = 18) grown under control conditions (0 μ M Al³⁺ activity).

To assess the levels of structural variation between *japonica* and *indica* across the 44.7 Kb fine-mapped *Alt12.1* locus, we aligned sequences from the *japonica* rice reference genome, Nipponbare (which served as a proxy for Azucena) to a long-read, *de novo* assembly of the IR64 genome (Schatz et al., *in preparation*; http://schatzlab.cshl.edu/data/ir64/). A single IR64 scaffold was identified that covered > 36 Kb of the *Alt12.1* fine-mapped locus (44.7 Kb). No major rearrangements were detected in the region, and both gene order and gene content were

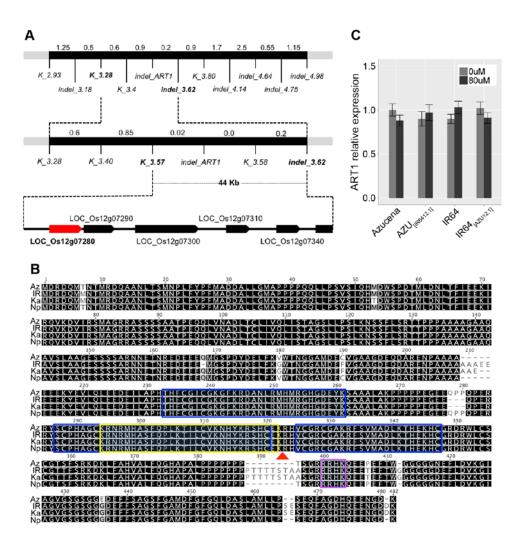


Figure 2: The rice Al resistance gene AL RESISTANCE TRANSCRIPTION FACTOR 1 (ARTI) localizes to the fine-mapped region of the Al resistance QTL Alt12.1. (A) Fine-mapping schema. The Al resistance QTL Alt12.1 was fine-mapped using 4,224 F₃ and 3,552 F₄ plants from a cross between the Al-sensitive parent IR64 and an Al-resistant recombinant inbred line from the Azucena × IR64 mapping population (Supplemental Methods S2). The black bar represents a region of rice chromosome 12; molecular markers used at each step are indicated below the bar. Genetic distances are shown in pseudo cM (1 cM ~ 200.000 bp), ARTI (LOC Os12g07280) is highlighted (red); other genes in the region are also represented (black arrows). (B) Alignment of the predicted ART1 amino acid sequences from Azucena, IR64, Kasalath, and Nipponbare. Predicted C2H2 domains (blue box) are shown; predicted monopartite (purple rectangle) and bipartite (yellow rectangle) nuclear localization domains are also shown. A red triangle under the alignment indicates the position of the 1bp deletion found in the art1 mutant (Yamaji et al., 2009). (C) ART1 relative expression in rice roots, measured using RT-qPCR. Azucena, IR64, and the reciprocal NILs AZU[IR6412.1] and IR64[AZU12.1] were grown in hydroponics and treated with Al (80 µM Al³⁺ activity) for 4 hours. Control: light-gray bar; Al stress, dark-gray bar. RNA was collected from six independent biological replicates. Error bars indicate a 95% confidence interval. All pairs of means were compared using a Tukey-Kramer HSD test and no significant differences were found (p < 0.05).

preserved (Supplemental Figure S2). Based on previous reports showing the major role played by *ART1* in rice Al resistance, and the extreme Al sensitive phenotype of the *art1* mutant (Yamaji et al, 2009), we considered *ART1* to be the primary candidate gene underlying the Al resistance QTL *Alt12.1*.

Allelic variation in ART1.

To analyze the extent of allelic variation in the *ART1* gene, we examined both sequence and expression level polymorphisms. First, we sequenced the CDS in four rice varieties representing four major rice subpopulations: IR64 (*indica*), Azucena (*tropical japonica*), Kasalath (*aus*) and Nipponbare (*temperate japonica*) (Garris et al., 2005; Zhao et al., 2011). Azucena and Nipponbare, both Al-resistant *japonica* cultivars (Famoso et al., 2011), differ from each other by a single, non-synonymous amino acid substitution at position 436 of the ART1 protein (Fig. 2B). IR64 and Kasalath, both Al-sensitive cultivars, differ from each other by one non-synonymous substitution (position 53) and two indels (positions 168 and 210-211). The two *japonica* cultivars differ from the *indica* and *aus* cultivars by a total of 11 polymorphisms (4 aa substitutions and 7 indels). The most notable difference is a large C-terminal insertion (8 aa) that is present in IR64 and Kasalath (position 387-395) but absent in Azucena and Nipponbare. Between Azucena and IR64, the parents of the mapping population used in this study, we observed 4 aa substitutions and 7 indels (Fig. 2B).

Next we examined ARTI expression levels in Azucena, IR64, and the reciprocal NILs AZU_[IR6412.1] and IR64_[AZU12.1]. An RT-qPCR study in the presence and absence of Al found that ARTI expression is not responsive to Al stress in the roots of Azucena, AZU_[IR6412.1], IR64, and IR64_[AZU12.1], and that there are no significant differences (p < 0.05) in ARTI transcript accumulation in the roots of any of the four lines (Fig. 2C). These results suggest that the phenotypic variation associated with the Alt12.1 QTL is likely due to genetic variation in the ARTI coding region rather than expression level polymorphism.

Functional analysis of the proteins encoded by different ART1 alleles.

In light of the polymorphisms observed in the ART1 CDS, we were interested to determine whether the proteins encoded by the different ART1 alleles differ in their nuclear targeting and/or

DNA-binding affinity. *In-silico* analysis of the predicted ART1 proteins identified a bipartite nuclear localization signal upstream of the functional 1-bp frameshift mutation reported by Yamaji et al. (2009) in the *art1* mutant (Fig. 2B). This differed from the monopartite nuclear localization domain originally reported by Yamaji et al. (2009), which occurred downstream of the frameshift mutation. We used YFP fusions to determine the subcellular localization of the proteins encoded by the four natural *ART1* alleles as well as the aberrant protein expressed by the *art1* mutant. C-terminal YFP fusion proteins were expressed in tobacco leaves under control of a 35S promoter. A strong YFP signal was detected in the nucleus of cells of tobacco leaves expressing all four ART1 alleles as well as the *art1* mutant (Fig 3A). We conclude that the polymorphisms in the *ART1* coding region do not affect the subcellular localization of the protein.

Next we compared the DNA-binding ability of the proteins encoded by different ARTI alleles in yeast one-hybrid assays (Fig. 3B). To evaluate the DNA-binding ability of the Nipponbare, Azucena, Kasalath, IR64 and artI-mutant alleles, we used stably transformed yeast lines carrying a fragment of the OsSTARI promoter known to contain the ART1-binding site and previously used in yeast one-hybrid assays (Yamaji et al., 2009) to drive a beta-galactosidase reporter gene (Fig. 3B). When quantified via β -galactosidase assays, the artI mutant allele was unable to activate the reporter (Fig. 3C), in agreement with the fact that the 1-bp frameshift mutation in the artI mutant disrupts one of the predicted DNA-binding domains. The japonica alleles found in Nipponbare and Azucena, which differed by a single amino acid, showed comparable levels of reporter gene activation, indicating that they have similar DNA-binding affinity (Fig. 3C). The aus allele from Kasalath and the indica allele from IR64 activated the reporter gene at similar levels. On the other hand, the two japonica alleles (Azucena and Nipponbare) activated the reported gene at significantly lower levels (p < 0.05) when compared to the indica/aus alleles (IR64 and Kasalath).

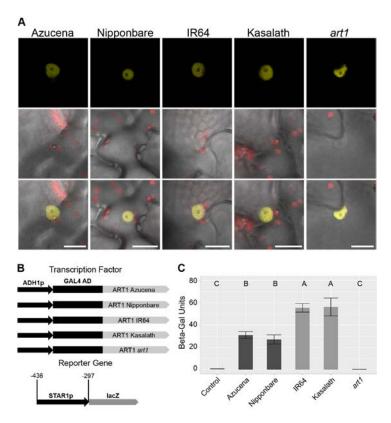


Figure 3: Proteins encoded by different ART1 alleles localize to the nucleus, but differ in their **DNA-binding affinity.** (A) Subcellular protein localization of ART1 using C-terminal YFP gene fusions (ART1:YFP) transiently expressed in tobacco (N. benthamiana). Plasmids carrying the Azucena, Nipponbare, IR64, Kasalath, or art1 mutant allele of ART1 were individually infiltrated into tobacco leaves. The top row shows the YFP fluorescence, while the middle row shows the superimposed chloroplast fluorescence and bright field channels. The third row shows merged images from all three channels (YFP fluorescence, chloroplast fluorescence, and bright field). Scale bar = 20 μm. (B) Schematic diagram of the constructs used in yeast one-hybrid assays. For the reporter construct, a fragment of the STAR1 promoter was cloned in front of the lacZ gene and integrated into the yeast genome. Individual yeast colonies carrying the reporter construct were selected and transformed with one of five different transcription factor constructs. Each transcription factor construct contained the constitutive ADH1 promoter driving the expression of the GAL4 activation domain (GAL4 AD) fused to the ART1 CDS from Azucena (tropical japonica; Al-resistant), Nipponbare (temperate japonica; Al-resistant), IR64 (indica; Al-sensitive), Kasalath (aus Alsensitive), and the art1 mutant allele. (C) Yeast one-hybrid assay comparing promoter activation by different ART1 alleles. A 164-bp fragment of the OsSTAR1 promoter was used. Constructs carrying an empty vector (control), or the Azucena, Nipponbare, IR64, Kasalath, or art1 mutant allele were assayed for beta-galactosidase reporter gene activity (n = 10). Error bars indicate standard deviation. All pairs of means in (C) were compared using a Tukey-Kramer HSD test; lines not connected by the same letter are significantly different (p < 0.05).

Whole transcriptome analysis in roots of Azucena, IR64 and the reciprocal NILs.

We hypothesized that the polymorphisms also affect DNA-binding of the TF *in planta*, affecting its ability to activate downstream genes in response to Al stress. We examined this hypothesis by transcriptome analysis in roots of Azucena, IR64 and the reciprocal NILs under Al stress. To perform RNA-seq, rice seedlings of Azucena, IR64 and the reciprocal NILs AZU_[IR64121] and IR64_[AZU12.1] were grown in hydroponics, then subjected to treatment with or without Al for 4 hours. Four independent biological replicates per genotype per treatment were collected and analyzed. A total of \sim 580M reads were generated across the 32 samples, with an average of \sim 18M reads per sample. After removing adaptors, low-quality sequences, and reads that align to ribosomal RNA, we uniquely mapped \sim 360M reads to the Nipponbare reference genome (MSUv.7), averaging 11M reads per sample (Supplemental Table S3). Raw read counts for each gene model were used to identify differentially expressed genes. The genotypic identity of all samples was confirmed by visualizing SNPs located across the genome, both within and outside the target introgression regions (Suppl. Table S4). Differentially expressed genes were selected according to the following criteria: a) two-fold or greater change in transcript abundance; b) adjusted p value of \leq 0.05; and c) minimum of eight counts in at least one sample.

Samples of each reciprocal NIL were analyzed alongside samples of their respective recurrent parents, to avoid spurious results due to genotypic differences across the *indica* and *japonica* genetic backgrounds. A principal component analysis (PCA) on normalized counts was performed to determine which variance components explain most of the global transcriptional variation. In the Azucena background (Azucena and NIL AZU_[IR6412.1]) the first PC explains 44.5% of the global transcriptional variation and separates control from Al-treated samples (Supplemental Figure S3A). The second PC (23.7%) differentiates the two genotypes Azucena and AZU_[IR6412.1]. In the IR64 background (IR64 and NIL IR64_[AZU12.1]) the first PC explains 62% of the transcriptional variation, distinguishing the Al-treated IR64_[AZU12.1] samples from all others. The second PC (12.7%) separates the Al-treated IR64 samples from the control samples (Suppl. Fig. S3B). In both genetic backgrounds, the main source of transcriptional variation was Al treatment. However, in the IR64 background, the effect of Al treatment was more accentuated when the Azucena allele was present at the *ART1* locus, i.e., in the NIL IR64_[AZU12.1].

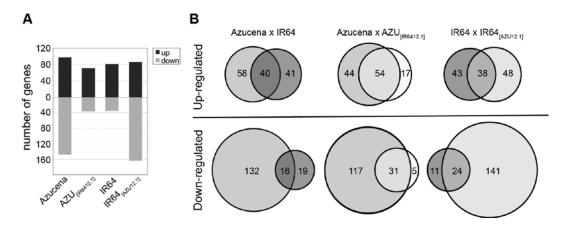


Figure 4: Azucena, IR64 and the reciprocal NILs AZU_[IR6412.1] and IR64_[AZU12.1] differ in their gene expression responses to Al stress. (A) Bar plot displaying the number of differentially-regulated genes in response to Al in roots of Azucena, IR64, and the reciprocal NILs AZU_[IR6412.1] and IR64_[AZU12.1] according to RNA-seq. Plants were treated with 0 or 80 μ M Al³⁺ activity for 4 hours. (B) Venn diagrams of differentially-regulated genes in response to Al in each genotype.

Differential gene expression in response to Al in roots of Azucena and IR64.

When seedlings were exposed to Al stress for 4 hours, a total of 495 unique genes showed differential expression in response to Al across the four genotypes under study: 215 genes were up-regulated and 280 were down-regulated (Figure 4). The parental lines Azucena (*tropical japonica*, Al-resistant) and IR64 (*indica*, Al-sensitive) showed similar numbers of up-regulated genes (Azucena = 98; IR64 = 81), and half of them were shared across the two genotypes (n = 40; Fig. 4B). In contrast, Azucena showed a larger number of genes that were down-regulated in response to Al stress (n = 148) compared to IR64 (n = 35), and only 16 of them were shared. These results suggest that many genes in the genetic background of both Azucena and IR64 show altered transcriptional profiles in response to Al, and that there are large differences in the identity of the differentially expressed, Al-responsive genes among *japonica* and *indica* rice varieties.

The effect of the reciprocal Alt12.1 QTL introgressions on gene expression.

To clarify whether there were genes that showed differential expression in the absence of Al in the NILs compared to their respective recurrent parents, we examined the RNA-seq results for each NIL alongside its recurrent parent grown under control conditions (absence of Al). When

the NIL AZU_[IR6412.1] was compared to its recurrent parent Azucena, 62 differentially-expressed genes were detected (Supplemental File S2). Of these 62 genes, 40 are located within the introgression from IR64 on chromosome 12 in AZU_[IR6412.1]. These correspond to 11% of the genes in the introgression (n = 352 gene models), and are interpreted to represent genotypic differences between *indica* and *japonica*. Three of them were also found to be differentially-regulated by Al in Azucena: two were down- and one was up-regulated (Suppl. File S2). When the NIL IR64_[AZU12.1] was compared with IR64 under control conditions, 14 differentially-expressed genes were identified. Seven of those genes are located within the 2.36 Mb introgression from Azucena in IR64_[AZU12.1], and correspond to 2.3% of the genes in the introgression (n = 298 gene models). Of these 14 genes, two were up-regulated by Al in IR64 (Suppl. File S2). None of the differentially expressed genes detected under control conditions corresponds to genes reported in previous gene expression studies to be Al-responsive in rice (Yamaji et al., 2009; Tsutsui et al., 2012; Arenhart et al., 2014), suggesting that these genotypic differences between *indica* and *japonica* outside of the *Alt12.1* locus are unlikely to affect the Al resistance phenotype.

One gene, LOC_Os12g07340, located near to *ART1* and within the 44 Kb fine-mapped region of the *Alt12.1* QTL, was differentially expressed between the NIL and its recurrent parent in both the Azucena and IR64 backgrounds. According to our RNA-seq data, LOC_Os12g07340 expression is higher in Azucena than in the NIL AZU_[IR6412.1] (Supplemental Figure S4A) and also higher in the NIL IR64_[AZU12.1] than in IR64. This suggests that the Azucena allele is more highly expressed than the IR64 allele in both genetic backgrounds tested. Under Al stress, LOC_Os12g07340 expression is down-regulated in response to Al in lines carrying the Azucena allele, though the difference is only significant in Azucena (Suppl. Fig. S4B). LOC_Os12g07340 encodes an expressed protein with no known functional domains; whether this gene also contributes to the Al resistance phenotype associated with the *Alt12.1* QTL merits further investigation.

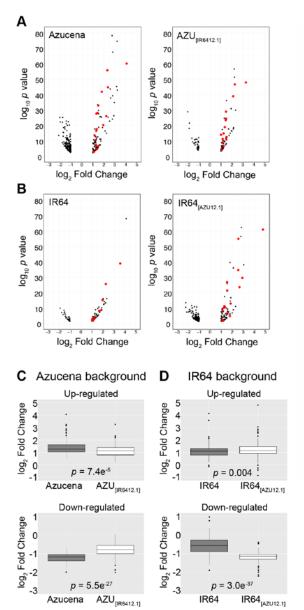


Figure 5: Gene expression responses to Al stress differ in magnitude in Azucena (tropical japonica) and IR64 (indica) genetic backgrounds. (A) and (B): Volcano plots showing gene expression responses to Al in each genotype. The $(-\log_{10}$ transformed) p values were plotted against log₂ fold-change for each differentiallyregulated gene. (A) Azucena background; (B) IR64 background. Genes described by Yamaii et al. (2009) are highlighted in red. (C) and (D): boxplots of gene expression changes (log₂) fold change) of genes in Azucena (C) and IR64 (D) backgrounds. Genes up-regulated in Azucena in response to Al (N = 98) are significantly more up-regulated than those in the NIL AZU_[IR6412.1] (N = 71) (p value = 7.4e-05). Genes down-regulated in Azucena (N = 148) are significantly more down-regulated than those in the NIL AZU_{IIR641211} (N = 36) (pvalue = 5.55e-27). Conversely, genes in IR64 (N = 81) are less up-regulated in response to Al than those in the NIL $IR64_{[AZU12.1]}$ (N = 115) (p value = 0.004). Genes down-regulated in IR64 (N = 35) are significantly less downregulated than those in the NIL IR64[AZII12 1] (p value = 3e-37) (N = 164).

The effect of the Alt12.1 QTL introgressions on the transcriptional response to Al stress.

While our RNA-seq data showed that the reciprocal Alt12.1 i ntrogressions triggered few changes in gene expression in the absence of Al, significant changes were observed in response to Al stress in terms of both numbers of genes and magnitude of response. In the Azucena genetic background, the presence of the ART1 allele from IR64 reduced the number of upregulated genes in the NIL AZU_[IR6412.1] (n = 71) relative to Azucena (n = 98). Of these genes, 54 were shared between Azucena and the NIL (Fig 4B). In contrast to what was observed in the

Azucena background, in the IR64 genetic background the presence of the *ART1* allele from Azucena did not greatly affect the number of up-regulated genes: this number was similar between IR64 (n = 81) and the NIL IR64_[AZU12.1] (n = 86), and 38 of these genes were shared between the two genotypes (Fig 4B).

The down-regulation of genes in response to Al stress showed a distinct pattern in response to the *ART1* allelic swapping. A total of 148 genes were down-regulated in Azucena, while only 36 were down-regulated in the NIL AZU_[IR6412.1] (Fig 4). Conversely, 35 genes were down-regulated in IR64, while 165 genes were down-regulated in the NIL IR64_[AZU12.1]. In other words, genotypes carrying the *ART1* allele from Al-resistant Azucena (Azucena and the NIL IR64_[AZU12.1]) showed a greater number of down-regulated genes than those carrying the IR64 *ART1* allele (IR64 and the NIL AZU_[IR6412.1]).

A comparison of the magnitude of the global transcriptional response (i.e., fold change of all up- and down-regulated genes) between each NIL and its recurrent parent indicated that the reciprocal *Alt12.1* introgressions influenced the magnitude of the transcriptional response to Al. The average fold-change across all up-regulated genes was significantly lower in the NIL AZU_[IR6412.1] than in the recurrent parent Azucena (Figure 5A, C). The same was true for down-regulated genes, which were significantly less down-regulated in the NIL AZU_[IR6412.1] than in the parent. Conversely, when IR64_[AZU12.1] (the NIL carrying the introgression from Azucena) was compared to IR64, the NIL showed greater fold-changes in both up- and down-regulated genes than its recurrent parent (Fig. 5B, D). These results suggest that genes in both genetic backgrounds are transcriptionally more responsive to the *ART1* allele from Al-resistant Azucena.

Next, we identified specific genes displaying higher fold-change in response to Al in the lines carrying the Azucena ARTI allele in each genetic background (Figure 6). The heat map in Fig. 6A displays differentially-regulated genes in the genotypes with Azucena background (Azucena and the NIL AZU_[IR6412.1]), sorted according to the difference in fold-change in Azucena (carrying the native Azucena ARTI allele) relative to the NIL carrying the ARTI allele from IR64. Among those up-regulated by Al, 26 genes were > 1.5-fold more up-regulated in Azucena than in AZU_[IR6412.1]. Of these genes, 12 were more than 2-fold more up-regulated in Azucena than in the NIL AZU_[IR6412.1] (Table I and Supplemental File S3).

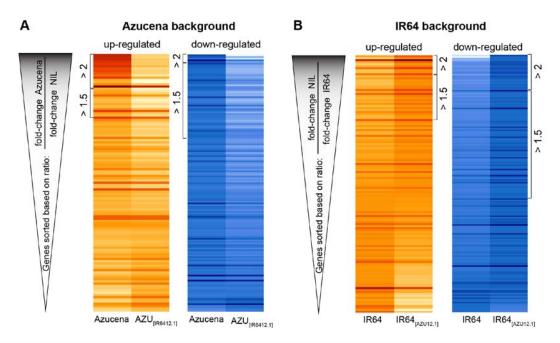


Figure 6: Differential gene expression responses to Al stress are stronger in the presence of the ART1 allele from Azucena in both genetic backgrounds. Heat maps illustrating the RNA-seq expression profile (log₂ fold change) of differentially-regulated genes in response to Al in (A) Azucena and (B) IR64 backgrounds. Genes were sorted according to the ratio between the fold-change (in response to Al) in the line carrying the Azucena ART1 allele and the line carrying the IR64 ART1 allele. In other words, Azucena background lines were sorted based on (fold-change in Azucena / fold-change in NIL AZU_[IR6412.1]). In the case of IR64 background, lines were sorted based on (fold-change in IR64_[AZU12.1] / fold-change in IR64). Brackets in (A) indicate genes with (fold-change NIL AZU_[IR6412.1]) ratios above 2 and above 1.5. Brackets in (B) indicate genes with (fold-change in IR64_[AZU12.1] / fold-change in IR64) above 2 and above 1.5. The color key represents normalized, log₂-transformed fold-change. For up-regulated genes, red indicates high fold-change, light yellow indicates low (or no) fold-change. For down-regulated genes, dark blue indicates high fold-change; light blue indicates low (or no) fold-change.

These genes are displayed at the top of the heat map (Fig. 6A). A similar pattern was observed for down-regulated genes. A similar pattern was observed for down-regulated genes. There were no genes displaying the opposite behavior, in other words, all differentially-regulated genes were either similarly or more intensely regulated in Azucena than in the NIL carrying the IR64 allele of *ART1*. The complete and annotated set of differentially-regulated genes sorted according to fold-change ratios can be found in Supplemental File S3.

In the IR64 background (Fig. 6B), genes were sorted according to the difference in fold-change in the NIL IR64_[AZU12.1] (*ART1* allele from Azucena) relative to the IR64 recurrent parent. Therefore, genes that respond more strongly in the presence of the *ART1* allele from Azucena are

at the top of the heat maps. Among the up-regulated genes, 32 genes were > 1.5-fold more up-regulated in IR64_[AZU12.1] than in IR64. Of these 32 genes, ten were more than 2-fold more up-regulated in IR64_[AZU12.1] than in IR64 (Table II and Suppl. file S3). In contrast to what was observed in the Azucena background, there were a number of genes displaying the opposite behavior, in other words, they were more up-regulated in IR64 than in the NIL IR64_[AZU12.1] (Suppl. File S3). These are seen at the bottom of the heat map of up-regulated genes (Fig. 6B). The biological basis for this difference is not known; a possible explanation may be that IR64, being less Al-resistant, is under more stress and therefore more stress-related genes are differentially regulated.

To examine whether the genes responding differently to the Azucena *versus* the IR64 *ART1* allele were the same or different in the two genetic backgrounds, we looked at the overlap between the genes identified in the top two tiers. A total of 26 genes showed greater upregulation by the Azucena *ART1* allele in the Azucena background, while 32 showed greater upregulation by the Azucena *ART1* allele in the IR64 background. Only six were shared across the two backgrounds (Supplemental Figure S5A). A total of 48 genes showed greater down-regulation by the Azucena *ART1* allele in the Azucena background, while 95 showed greater down-regulation by the Azucena *ART1* allele in the IR64 background. Only eight were shared between the two backgrounds (Suppl. Fig. S5A). Although only two genotypes were tested, these results indicate that the genes affected by the two *ART1* alleles differ in Azucena (*tropical japonica*) and IR64 (*indica*), suggesting that the genetic background influences the ART1-mediated transcriptional responses to Al stress.

Functional annotation of genes that respond more to Al stress in the presence of the *ART1* allele from Al-resistant Azucena.

In previous mutant studies, 32 genes were reported to be up-regulated by Al stress in wild-type but not in the *art1* mutant (Yamaji et al., 2009; Yokosho et al., 2011); these 32 genes have been designated ART1-regulated genes. Several of these were also identified in our study. The parental lines Azucena (Al-resistant) and IR64 (Al-sensitive) up-regulated 17 and 10 previously designated ART1-regulated genes, respectively (Fig. 5). Of these genes, 8 were shared across the two genotypes (Suppl. Fig. S5B). The NIL AZU_[IR6412.1] up-regulated 14 genes; of these, 13 were

shared between Azucena and the NIL (Suppl. Fig. S5B). In the IR64 genetic background, the NIL IR64_[AZU12.1] up-regulated 17 previously designated ART1-regulated genes in response to Al while IR64 up-regulated 10. All 10 genes up-regulated in IR64 were also up-regulated in the NIL (Suppl. Fig. S5B).

The genes that respond more strongly to the *ART1* allele from Azucena are presumably the drivers of the phenotypic difference in Al resistance observed between NILs and their corresponding recurrent parents. Of the 26 genes more up-regulated in Azucena than in the AZU_[IR6412.1] NIL, only three were previously identified as ART1-regulated genes (Suppl. File S3). Of the 32 genes that were more up-regulated in the IR64_[AZU12.1] NIL than in IR64, seven were previously listed as ART1-regulated (Suppl. File S3). These results suggest that in response to Al, ART1 regulates the expression of more genes than previously thought.

The previously described ART1-regulated gene *OsFRDL4* was more strongly upregulated in the presence of the Azucena *ART1* allele than the IR64 allele in both Azucena and IR64 backgrounds (Suppl. File S3). *OsFRDL4* encodes a MATE family transporter that mediates Al-activated citrate exudation from rice roots (Yokosho et al., 2011). In our RNA-seq study, the expression of *OsFRDL4* is affected by the presence of different *ART1* alleles, with the Azucena *ART1* allele driving greater up-regulation under Al stress than the IR64 allele. Specifically, the expression of *OsFRDL4* is more up-regulated in response to Al in the NIL IR64_[AZU12.1] than in its recurrent parent IR64, and less up-regulated in response to Al in the NIL AZU_[IR6412.1] than in Azucena (Supplemental Figure S6, Suppl. File S3). The difference in *OsFRDL4* expression is associated with the presence of a 1.2-Kb insertion in the promoter of Azucena compared to IR64, suggesting that, in the genetic backgrounds used our study, variation in both the ART1 protein and the *cis*-regulatory region of *OsFRDL4* may contribute to the regulation of *OsFRDL4* expression in response to Al (Suppl. Fig. S6).

ART1 cis element analysis

The *cis* element binding site of ART1 has been experimentally defined as the short, degenerate sequence motif GGN(T/g/a/C)V(C/A/g)S(C/G). This motif was identified based on protein gel-shift assays using the promoter of *STAR1* (Tsutsui et al., 2011). We set out to determine whether the putative regulatory regions of the Al-regulated genes in our whole-

transcriptome analysis are enriched for the ART1-binding motif. As the first step, we probed the prevalence of the motif in the background, i.e. throughout the putative regulatory regions of all rice genes, regardless of their expression pattern. We generated a library of putative regulatory regions for all rice gene models based on the rice reference genome assembly (MSUv.7), defined as the 2-Kb region upstream of the start codon of each gene. When the ART1 *cis*-acting element GGNVS was mapped to the library of putative regulatory regions, the element was found to be present in 55,552 out of 55,554 putative regulatory sequences, with an average of 30.3 motifs per 2 Kb sequence (Supplemental Figure S7A). Because ART1 was shown to bind with lesser affinity when certain bases were found in positions 3 and 4 of the motif (Tsutsui et al., 2011), we also mapped the less degenerate motif GGYMS, in which the bases predicted to have less affinity to ART1 were excluded. The motif GGYMS was found in 55,460 out of 55,554 putative regulatory sequences, with an average of 10 hits per sequence (Suppl. Fig. S7B). We determined that enrichment of the 5-bp ART1-binding *cis* element in the regulatory region of putative Al response genes is insufficient criteria for identifying ART1-regulated genes, due to the widespread presence of the motif in the background of putative regulatory regions.

Putative ART1-regulated genes provide insight into transgressive segregation for Al resistance in rice.

We identified a number of genes that responded to Al stress only in the IR64 (Al-sensitive parent) genetic background, including two previously identified as ART1-regulated genes by Yamaji et al. (2009): LOC_Os01g53090 and LOC_Os04g41750. In our RNA-seq dataset, these genes were significantly up-regulated in response to Al stress in both IR64 and the NIL IR64_[AZU12.1] but not in Azucena or AZU_[IR6412.1] (Figure 7A). In other words, these two genes were up-regulated only in the IR64 genetic background and not in the Azucena genetic background, and the up-regulation is triggered by either of the *ART1* alleles. We hypothesized that IR64, the Al-sensitive parent, carries positive alleles conferring enhanced Al resistance at both of these loci (and possibly others). If this is true, we would predict that some of the progeny in the RIL population derived from Azucena x IR64 would show transgressive segregation for Al resistance due to the accumulation of favorable alleles from both parents. Indeed, transgressive segregation was reported previously (Famoso et al., 2011).

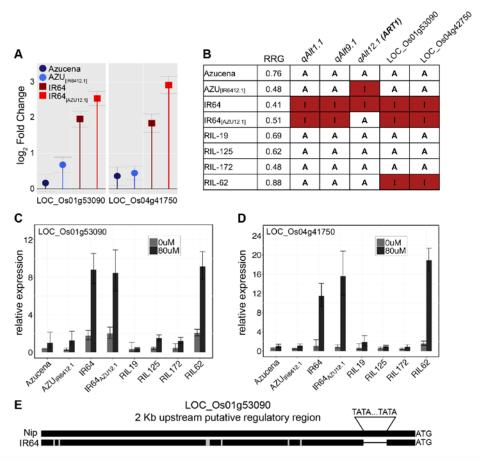


Figure 7: Expression patterns of ART1-regulated genes in different genetic backgrounds provide clues about transgressive variation. (A) Relative expression based on RNA-seq (log₂ fold change) of two putative ART1-regulated genes, LOC Os01g53090 and LOC Os04g41750 under Al stress. (B) Genotypic makeup and Al resistance phenotype (RRG) of rice genotypes used in qRT-PCR study: the parents Azucena and IR64, the reciprocal NILs IR64[AZU12.1] and AZU[IR6412.1], and RILs 19, 125, 172 (controls) and 62. RIL-62 shows transgressive variation for Al resistance. The colored boxes indicate genotype at these loci: QTLs Alt1.1, Alt9.1 and Alt12.1, as well as at the putative ART1regulated genes LOC Os01g53090 and LOC Os04g41750. "A" indicates Azucena genotype at that given loci; red boxes with "I" indicate IR64. (C) and (D) Relative expression based on qRT-PCR for genes (C) LOC Os01g53090 and (D) LOC Os04g41750 in roots of Azucena, AZU_[IR6412.1], IR64, IR64[AZU12.1], RIL-19, RIL-125, RIL-172, and RIL-62 grown in hydroponics and treated for 4h under control (0 µM Al³⁺) (light grey bar) and Al stress conditions (80 µM Al³⁺ activity) (dark gray bar). Error bars indicate a 95% confidence interval. (E) Graphical representation of a DNA sequence alignment of the 2Kb putative regulatory region of LOC Os01g53090 between the reference genome (Nipponbare) and IR64. Black bars represent regions of sequence identity. Grey rectangles indicate small indels and SNPs. A bracket indicates the position of a "TA" sequence repeat (32 bp longer in Nipponbare/Azucena relative to IR64).

To further explore this hypothesis, we investigated the expression patterns of LOC_Os01g53090 and LOC_Os04g41750 in a line (RIL-62) from the RIL population that

carries IR64 alleles at both of these ART1-regulated loci; this RIL also carries favorable alleles from Azucena at all three previously reported Al resistance QTL (*Alt1.1*, *Alt9.1* and *Alt12.1*, i.e. *ART1*) (Famoso et al., 2011), and shows transgressive variation for Al resistance (Fig. 7B). As controls, we selected three additional RILs carrying Azucena (resistant) alleles at the three QTL, as well as at the two ART1-regulated loci: RIL-19, RIL-125 and RIL-172 (Fig. 7B). Then, we used qRT-PCR to determine the expression patterns of LOC_Os01g53090 and LOC_Os04g41750 in these lines, the parents, and the NILs under the same treatment conditions used for RNA-seq. The qRT-PCR results confirmed the observations from RNA-seq showing that both genes were strongly up-regulated in response to Al in IR64 and IR64[AZU12.1] but not in Azucena or AZU[IR6412.1]. Both genes were also strongly up-regulated by Al in the transgressive RIL-62, but not in any of the control RILs (Fig. 7C,D).

These results suggest that variation in the *cis* regulatory regions of these genes is likely responsible for the difference in gene expression patterns. The regulatory regions of LOC_Os01g53090 and LOC_Os04g41750 are functional in IR64, permitting activation by ART1, while they may be disrupted in Azucena in a way that partially or fully prevents activation. In fact, an alignment of the 2 Kb upstream regulatory region of LOC_Os01g53090 and LOC_Os04g41750 between IR64 and the reference genome revealed a number of polymorphisms. In the upstream regulatory region of LOC_Os01g53090 there is a "TA" repeat region just 97 bp upstream of the start codon. An alignment between the Nipponbare reference genome and the IR64 assembly showed that the repetitive region is 32 bp longer in Nipponbare (Fig. 7E).

The RNA-seq data also showed that LOC_Os04g41750 is not only up-regulated exclusively in the IR64 background, but also responds more strongly to Al in the presence of the ART1 from Azucena: the up-regulation of LOC_Os04g41750 in response to Al in the NIL IR64_[AZU12.1] is higher than in IR64 (Table II and Fig. 7A). The same trend was observed by qRT-PCR. These results provide a clear illustration of how recombination between divergent parents can bring together favorable genetic variation at different, interacting loci that contribute to transgressive phenotypic variation in the offspring. In our population, Azucena carries the superior allele at *ART1*, while IR64 carries superior alleles at LOC_Os04g41750 and LOC_Os01g53090.

Discussion

Allelic variation in ART1 affects rice Al resistance

Our present study focused on a major QTL for Al resistance in rice, Alt12.1. This QTL was identified in an RIL mapping population derived from a cross between an Al-resistant tropical japonica variety (Azucena) and an Al-sensitive indica variety (IR64). Via fine-mapping, we narrowed down the QTL Alt12.1 to a region of 44 Kb surrounding ART1 (Fig. 2). ART1 encodes a C2H2 transcription factor required for rice Al resistance, and regulates the expression of other known Al resistance genes. While we did not observe differences in ART1 transcript levels between Azucena, IR64, or the NILs (with or without Al treatment), we identified extensive sequence polymorphism in the coding region. These polymorphisms are not predicted to truncate the protein product or affect the C2H2 domain but are likely to affect protein folding and interaction with target gene promoters. It is interesting to note that most of the polymorphisms between *indica* and *japonica ART1* alleles occur at the C-terminal end of the protein. Perhaps the most notable polymorphism is the large C-terminal insertion present in Kasalath and IR64, and absent in both Azucena and Nipponbare (Fig. 2). This insertion adds a poly-threonine sequence downstream of a polyproline-like sequence. The reactive hydroxyl groups on threonine are common targets for posttranslational modifications making this an interesting polymorphism for future protein-protein interaction studies.

The RNA-seq results presented here indicate that the *ART1* allele from Azucena leads to a stronger transcriptional response to Al stress in rice roots. Using yeast one-hybrid assays, we demonstrate that the ART1 proteins encoded by the alleles found in *indica / aus* (IR64; Kasalath) and *japonica* (Azucena; Nipponbare) differ significantly in their DNA binding ability. In the yeast one-hybrid, the ART1 proteins encoded by the IR64 and Kasalath alleles activated the reporter gene more strongly than the ones from Azucena and Nipponbare (Fig. 3C). This is a non-intuitive result, given that the Azucena allele drives gene expression more strongly than the IR64 allele *in planta*. Yeast one-hybrid is a heterologous system, in which the TF of interest is fused with the strong GAL4 activation domain and the DNA sequence of interest is placed upstream of a reporter gene. As such, other elements that may affect TF activity *in planta* are missing. Further studies using promoter-reporter systems in plant protoplasts will shed more light into the transcriptional activation ability of the different ART1 proteins.

Using reciprocal NILs to study transcriptomic responses to Al in IR64 and Azucena.

We generated reciprocal NILs in which the *Alt12.1* QTL region from Azucena was introgressed into IR64, and vice-versa (Fig 1). The NILs validated the effect of the QTL on Al resistance in both genetic backgrounds: the NIL in the Azucena background, AZU_[IR6412.1], is less Al-resistant than Azucena; conversely, the NIL in the IR64 background, IR64_[AZU12.1], is more Al-resistant than IR64. Because ART1 is a transcription factor, the phenotypic differences observed between each NIL and their respective recurrent parent are likely to result from differences in the expression of genes regulated by ART1. We took advantage of the reciprocal NILs to study how the IR64 and Azucena *ART1* alleles affect transcriptomic responses to Al stress in both genetic backgrounds.

We performed RNA-seq in roots of rice seedlings of Azucena, IR64 and the reciprocal NILs AZU_[IR64121] and IR64_[AZU12.1] under control conditions (no Al) or exposed to Al for 4 hours. To determine the effect of the Alt12.1 QTL introgressions on gene expression under control conditions, we compared gene expression in each NIL and its recurrent parent in the absence of Al. Under these conditions, only a small number of differentially-regulated genes were identified. The majority localized to the chromosomal introgressions in each of the NILs, suggesting that these genes represent "background" genotypic differences between indica and japonica. However, five genes showed differential expression in response to Al treatment (Suppl. File S2). This included LOC Os12g07340, which is one of six genes located within the fine-mapped region, only 28 Kb away from ARTI (Fig. 2A). The function of these genes is unknown, and though none are predicted to encode a regulatory protein or TF, we cannot rule out the possibility that one or more may contribute to Al resistance. Our study illustrates some of the complexities and limitations of using NILs to molecularly characterize genes underlying QTLs. Even if the background of the NIL is clean, the target introgressions inevitably harbor more than just the gene of interest. Genome-editing tools are now well-established in a variety of plant species; we are currently applying this technology to create "clean" allelic swaps for ART1 in both *indica* and *japonica* backgrounds.

Down-regulation of gene expression in response to Al is associated with the Azucena ART1 allele.

One of the most striking features of our RNA-seq study is the extent of the down-regulation response to Al stress in Azucena when compared to IR64 (Fig. 4). Moreover, the larger number of down-regulated genes is associated with the presence of the Azucena ART1 allele: Azucena and the IR64 NIL carrying the Alt12.1 introgression from Azucena (IR64[AZU12.1]) both displayed a larger number of down-regulated genes than the genotypes carrying the ART1 allele from IR64 (IR64 and AZU_[IR6412.1]). To date, most transcriptomics studies examining responses to Al stress have focused on up-regulated genes. For instance, Yamaji et al. (2009) did not report on downregulated genes in the art1 mutant study. Transcriptome studies in the Al-sensitive species Medicago truncatula (Chandran et al., 2008) and in the highly Al-resistant species buckwheat (Yokosho et al., 2014) did not observe a larger number of down-regulated versus up-regulated genes. In maize, transcriptional profiling comparing an Al-resistant and an Al-sensitive genotype (Maron et al., 2008) reported that the Al-sensitive genotype showed a much larger number of both up- and down-regulated genes. This is likely due to the higher stress levels resulting from Al toxicity, as the number of differentially-regulated genes in the Al-sensitive genotype increased over time. In our study in rice, it was the Al-resistant genotype that displayed a larger number of down-regulated genes. However, we can only draw limited conclusions from these studies, as they each analyzed a small number of lines. More research is needed before we can implicate gene down-regulation with specific mechanisms of Al resistance in rice.

The effect of ART1 on transcriptomic responses to Al stress may depend on the genetic background.

Our RNA-seq study identified a number of differentially-regulated genes in response to Al stress, and only about half of them were shared between the Azucena (*tropical japonica*) and IR64 (*indica*) genetic backgrounds. The ART1 from Al-resistant Azucena led to greater global changes in gene expression in response to Al stress when compared to the ART1 from IR64, both in the number of genes and magnitude of the response. This result was observed in both the Azucena (native) and the IR64 genetic background (NIL IR64_[AZU12.1], carrying the *Alt12.1* QTL from Azucena), suggesting that the Azucena *ART1* allele is more effective in conferring Al

resistance than the IR64 allele in both genetic backgrounds. The small sample size (n = 4 genotypes) limits our ability to statistically analyze the differences between genetic backgrounds; nevertheless, our data suggests that the identity of the genes affected by the two *ART1* alleles differed in Azucena and IR64. This result suggests that genetic background influences the ART1-mediated transcriptional responses to Al stress. Because ART1 is a TF, its ability to confer Al resistance is dependent on the array of genes that it regulates in the genetic background. Thus, when introgressing the *japonica* allele of *ART1* into a new genetic background, a breeder may want to evaluate its ability to interact favorably with downstream genes that contribute to Al resistance. On the other hand, as our study illustrates, varieties that are sensitive to Al may harbor favorable alleles at downstream loci whose contributions to Al resistance are masked until a functional *ART1* is introduced.

ART1 allele swapping between Azucena and IR64 affects the expression pattern of many genes not previously designated as ART1-regulated.

Among the genes up-regulated by Al stress in our RNA-seq study are many previously characterized Al resistance genes, including *OsNrat1*, *OsFRDL4*, *OsFRDL2*, *OsALS1*, *STAR1*, *STAR2* and *OsCDT3*. *OsNrat1* encodes a plasma membrane-localized Al uptake transporter (Xia et al., 2010; Li et al., 2014). *OsNrat1* function is likely coupled with a mechanism of internal detoxification, involving another ART1-regulated gene: *OsALS1*. This gene encodes a half-size ABC transporter localized to the tonoplast of root cells, where it is thought to sequester Al³⁺ into the vacuole (Huang et al., 2012). *STAR1* and *STAR2* were also shown to play a role in rice Al resistance: *STAR1* encodes the nucleotide-binding domain of a bacterial-type ABC transporter that interacts with the transmembrane domain encoded by *STAR2* (Huang et al., 2009). Disruption of either gene results in higher Al sensitivity; however, the mechanism by which the STAR1-STAR2 complex confers Al resistance is unknown. When expressed in *Xenopus* oocytes, STAR1-STAR2 facilitates the export of UDP-glucose; this substrate is proposed to modify the cell wall in a way that reduces Al³⁺ accumulation. *OsCDT3* encodes a small cysteinerich peptide that exhibits Al-binding properties (Xia et al., 2013).

The genes that respond more strongly to the *ART1* allele from Azucena than to the *ART1* allele from IR64 are presumably the drivers of the phenotypic difference in Al resistance

observed between NILs and their corresponding recurrent parents. Among the genes previously designated as ART1-regulated, only a few were among those that responded more strongly to the *ART1* allele from Azucena: *OsFRDL4*, LOC_Os02g51930 and LOC_Os02g09390 in the Azucena background, and *OsFRDL4*, LOC_Os04g41750, LOC_Os02g09390, LOC_Os11g29780, LOC_Os10g38080, LOC_Os03g55290 and LOC_Os09g30250 in the IR64 background (Suppl. File S3). The functions of the vast majority of genes that responded more strongly to Al stress in the presence of the *ART1* allele from Al-resistant Azucena are unknown and present new opportunities for exploring the mechanisms of transcriptional regulation in rice roots in response to Al stress.

Expression of the MATE transporter OsFRDL4 is dependent on genetic background.

OsFRDL4 encodes a Multidrug and Toxin Extrusion (MATE) transporter that mediates root citrate release (Yokosho et al., 2011); Al-activated release of organic acids from the root is a major physiological mechanism of plant Al resistance (Kochian et al., 2015). OsFRDL4 is the major candidate for the gene underlying an Al resistance QTL in a Nipponbare (temperate japonica) x Kasalath (aus) population (Yokosho et al., 2016). The authors of that study also reported a 1.2-Kb insertion in the promoter of OsFRDL4, and demonstrated that a majority of japonica varieties tested carried the insertion, while most indica varieties did not, and suggested that the insertion was associated with higher levels of OsFRDL4 expression under Al stress. Yokosho et al. (2006) also concluded that the promoter of OsFRDL4 responds equally to the ART1 proteins from Nipponbare and Kasalath. In contrast, our RNA-seq study indicates that the expression of both alleles of OsFRDL4 is affected by the presence of the different ART1 alleles from Azucena and IR64, with the Azucena ART1 driving greater up-regulation than the IR64 ART1 (Suppl. Fig. S7, Suppl. File S3). In the Azucena background, OsFRDL4 carries the 1.2-Kb insertion in the promoter (like Nipponbare), while IR64 does not (like Kasalath), and in both cases, OsFRDL4 expression was more up-regulated by the Azucena ART1 allele than by the IR64 ART1 allele. It is possible that other regulatory elements are involved in regulating OsFRDL4 expression in response to Al. Similarly, (Melo et al., 2013) reported that sorghum NILs carrying different alleles of the major Al resistance gene SbMATE exhibited only partial transfer of Al resistance, which was closely correlated with a reduction in SbMATE expression.

The authors suggest that *SbMATE* expression is regulated by multiple elements, with the relative importance of each element depending on genetic context. Our results suggest that this may also be the case for *OsFRDL4* expression in rice. Moreover, these results further illustrate the importance of genetic background, not only when selecting alleles for breeding purposes, but also when assessing gene function at the molecular level.

ART1 cis element

The binding site of ART1 was experimentally defined as the short, degenerate sequence motif GGNVS, found in the promoter region of genes described as ART1-regulated. This motif was further validated for *OsSTAR1*, a known target of ART1, using EMSA (Tsutsui et al., 2011; Yokosho et al., 2011). Our results show that the presence of the *cis* element alone does not provide evidence of ART1 binding, as the short binding site is present in the promoter of almost all predicted rice genes. The very high frequency of the ART1 *cis* element in upstream regulatory regions of rice genes is not unexpected. Because the motif is both very short and very degenerate, it has a high probability of being found by chance. Evidence from the literature suggests that plant *cis* elements defined based on *in vitro* DNA-binding studies often contain core binding sites that are short, degenerate, and shared by multiple TFs within a transcription factor gene family (see AtcisDB: http://arabidopsis.med.ohio-state.edu/AtcisDB, and Rombauts et al., 2003). Several mechanisms control TF binding to a *cis* element only in a specific context, including sequence specificity of the TF, combinatorial cooperation between TFs, and chromatin state (Vandepoele et al., 2009; Vaahtera and Brosché, 2011; Franco-Zorrilla et al., 2014).

It is worth noting that the list of putative ART1 targets was originally based on genes significantly mis-regulated in the *art1* mutant background. While this is a common method for defining genes regulated by a specific transcriptional activator, it can overestimate the number of true targets as TFs often regulate other TFs in complex regulatory networks. Because many TFs have low-abundance transcripts, they frequently fall below standard cutoff values in microarray and RNA-seq analyses. Thus, some of these genes may be indirectly regulated by ART1 via unidentified transcriptional regulators. Direct binding of the ART1 protein to upstream regulatory regions has only been experimentally demonstrated for *STAR1* (Tsutsui et al., 2011) and *OsFRDL4* (Yokosho et al., 2016). Furthermore, while the *art1* mutant phenotype is clearly

severe, it is unclear whether it is a complete loss-of-function allele. While our own yeast one-hybrid work shows that the aberrant *art1* protein encoded by the *art1* mutant does not interact with the *OsSTAR1* promoter, our YFP fusion experiment suggests that the *art1* mutant protein does localize properly to the nucleus and contains at least one DNA-binding domain. Thus, we cannot rule out the possibility that the *art1* mutant protein disrupts the transcription of non-native ART1 targets. Nevertheless, data from our RNA-seq study of natural, functional variants suggests that ART1 has a distinct effect on transcription that is highly dependent on genetic background, and not necessarily all of the mis-regulated genes are directly regulated by ART1. Future work to validate bona-fide ART1 transcriptional targets, including TF network analysis using more in-depth transcriptome data, will likely improve our functional understanding of ART1 and help to better refine its *cis*-regulatory element.

ART1-regulated genes and transgressive variation for rice Al resistance

The Azucena (*tropical japonica*) X IR64 (*indica*) mapping population exhibited transgressive segregation for Al resistance (Famoso et al., 2011). Transgressive segregation is observed when individuals in the population exceed the phenotype of the parents. This phenomenon can occur when the susceptible parent (in this case the Al-sensitive *indica* IR64) contributes positive alleles to the transgressive offspring. Using RNA-seq, we identified a number of genes that responded to Al stress only in the IR64 genetic background, including two genes previously identified as ART1-regulated by Yamaji et al. (2009): LOC_Os01g53090 and LOC_Os04g41750.

The functions of LOC_Os01g53090 and LOC_Os04g41750 are not known. A BlastP search revealed LOC_Os04g41750 encodes a protein containing two DUF642 domains; DUF642 proteins constitute a highly conserved family of proteins that are associated with the cell wall and are specific to spermatophytes (Vázquez-Lobo et al., 2012). Two Arabidopsis DUF642 proteins were recently shown to regulate the activity of pectin methyl-esterase during seed germination (Zúñiga-Sánchez et al., 2014). The cell wall plays an important role in rice Al resistance, but the exact mechanism(s) are far from understood. Therefore, the molecular function of LOC_Os04g41750 is worthy of further investigation.

ART1 function beyond Al resistance?

While a majority of genes differentially expressed between each NIL and its parent under control conditions was localized to the chromosomal introgression, a small number of them were distributed on other chromosomes. Therefore, the possibility that ART1 also regulates gene expression in the absence of Al cannot be discarded. It is important to note that expression of ART1 itself is constitutive and is not up-regulated by Al (Fig. 2C); the signaling mechanism that activates ART1 binding to the promoter of Al-responsive genes in response to Al stress has not been elucidated. In addition, it is also possible that ART1 could have additional functions beyond Al resistance. In fact, SENSITIVE TO PROTON RHIZOTOXICITY 1 (STOP1), the homolog of ART1 in Arabidopsis thaliana, was recently implicated as a critical checkpoint in the root developmental response to phosphate starvation (Mora-Macías et al., 2017). STOP1 also regulates low pH and Al stress responses in Arabidopsis (Iuchi et al., 2007); therefore, the authors suggest that STOP1 is likely to orchestrate root responses to multiple environmental stresses. The art1 mutant did not show decreased root elongation when exposed to cadmium, lanthanum, zinc or copper (Yamaji et al., 2009); however, it was not phenotyped under stresses that commonly occur together with Al as part of the acid soil syndrome, such as phosphate deficiency and iron toxicity. Plants are more likely to have evolved regulatory mechanisms that respond to multiple environmental stresses that are frequently found together in nature (Maron et al., 2016). Phenotyping of the reciprocal NILs carrying the ARTI alleles from *indica* and japonica, as well as the art1 mutant, under other abiotic stresses that co-occur in acid soils will shed light into the possible functions of ART1 beyond Al resistance. It will also deepen our understanding of how a TF such as ART1 can mediate quantitative forms of stress resistance, via transcriptional regulation of an orchestra of downstream genes, each with its own potential for variation that contributes to the fine-tuning of plant response to stress.

Materials and Methods

Plant Materials and Plant Growth Conditions

Seeds of the Al-resistant rice variety Azucena (*Oryza sativa* ssp. *tropical japonica*), of the Alsensitive variety IR64 (*Oryza sativa* ssp. *indica*), the recombinant inbred lines RIL-241, and RIL-48 were originally obtained from the Institut de recherche pour le développement (IRD,

Montpellier, France) but have been amplified in the Guterman Greenhouse at Cornell University. Experiments in hydroponic nutrient solutions under control (0 μ M Al $^{3+}$ activity), and Al stress (80 or 160 μ M of Al $^{3+}$ activity) were conducted according to Famoso et al. (2010). In the RNA-Seq experiments 40 uniform seedlings per genotype were grown under control conditions for five days, subsequently 20 seedlings per genotype were transferred into a hydroponic solution with 80 μ M of Al $^{3+}$ activity for 4 hours before total root tissue was harvested for RNA extraction. Four independent biological replicates were performed.

Phenotyping for Al resistance

Al resistance was phenotyped as described by Famoso et al. (2010). Individual root seedling digital images were obtained to quantify total root growth (TRG) values according to (Clark et al., 2013) using the software RootReader2D (www.plantmineralnutrition.net/rr2d.php). Total root growth (TRG) values from each genotype grown under control and Al stress conditions were used to estimate relative root growth (RRG) indices as described (Famoso et al., 2010). RRG values were used for further statistical analysis.

Genotyping

For PCR analysis total DNA was extracted from fresh leaf tissue using the Extract-N-AmpTM Plant Kit according to manufacturer's instructions (Sigma-Aldrich, www.sigmaaldrich.com/). Plants were genotyped using InDel and SNP markers based on competitive allele-specific PCR KASPTM chemistry assays (LGC, www.lgcgroup.com/). InDel and KASPTM SNP primers were identified and designed according to Imai et al. (2013) (Supplemental Table S2). InDel PCR was performed using 20 ng of DNA as template and amplified using GoTaq[®] Green Master Mix (Promega, www.promega.com/). Conditions for amplification and visualization of InDel markers are described in Arbelaez et al. (2015). For the KASPTM markers 10 ng of DNA was used as template and the KASPTM Assay/Master mixes (LGC, www.lgcgroup.com/) were used to amplify the KASPTM PCR products. PCR conditions and graphical viewing of genotyped KASPTM markers were carried out as described by Imai et al. (2013). Samples were genotyped using the 6K Infinium array (Illumina, www.illumina.com/). DNA was extracted using a

modified CTAB protocol described by (Imai et al., 2013). The 6K Infinium assays were performed as described by (Arbelaez et al. (2015).

Quantitative real-time PCR (RT-qPCR)

Total RNA was isolated using the QIAGEN RNeasy Mini Kit according to manufacturer's instructions (https://www.qiagen.com). In-column digestion of genomic DNA was performed using the QIAGEN RNase-Free DNase set according to manufacturer's instructions. Singlestranded cDNA was synthesized from total RNA using the High Capacity RNA-to-cDNA Kit (Applied Biosystems) according to manufacturer's instructions. Quantitative real-time PCR was performed employing the relative standard curve method using Power SybrGreen Mastermix (Applied Biosystems). Gene expression was normalized against three endogenous controls. Primers used were as follows. ART1: 5'-CCAGCCGCTGAAGACGAT-3' and 5'-GCAGTGGCTCCGCTTGTAGT-3'; LOC Os01g53090: 5'-CGTGAACAGCCTCTTCGAG-3' and 5'-CCATCTCCCATGTCTTGATCG-3'; LOC Os04g41750: 5'-ACTCGTTCGCCATCAAGAC-3' and 5'-AGTAGTCGTCCTCGTCCATC-3'. Endogenous control genes: HNR, 5'-TAAGGTCGGTATCGCCAATC-3' and 5'-GGCAGGTTCTGCAGTGGTAT-3'; Tip41, 5'-CGCTCCAGCTCTTTGAAGATAAA-3' and 5'-ACTCTCCCCAAAAACCATCTCA-3'; 18S, 5'-GACTACGTCCCTGCCCTTTG-3' and 5'-TCACCGGACCATTCAATCG-3'. Three technical replicates were averaged per sample per each assay. RNA from six independent biological replicates was used to measure ART1 expression and confirm that there are no significant differences in expression between genotypes and/or treatments. One biological replicate was used to confirm LOC Os01g53090 and LOC Os04g41750 in NILs and parents, and to determine expression levels in the RILs.

Subcellular localization of ART1:YFP protein fusions

ART1-YFP constructs were made in pGREEN under the control of a 35S promoter. Constructs were infiltrated into 3- to 5-week-old *N. benthamiana*. After allowing 2 to 4 days for the protein to express, leaf discs were cut from tissue adjacent to the infiltration site and used for confocal microscopy. Confocal images were collected on a Leica TCS-SP5 confocal microscope (Leica Microsystems, Exton, PA) using a 63x water immersion objective. The YFP fusion protein was

visualized by excitation with an argon ion laser (512 nm), and emitted light was collected between 525 nm and 575 nm. Chloroplasts were excited with the blue argon laser (488 nm), and emitted light was collected from 680 nm to 700 nm. The YFP and chloroplast fluorescence were collected on separate channels and superimposed with a bright field image collected simultaneously with the fluorescence images. Images were processed using Leica LAS-AF software (version 2.6.0).

Yeast one hybrid experiments

A fragment of the STAR1 promoter along with the five ART1 alleles were cloned into pENTR D-TOPO (ThermoFisher, www.thermofisher.com). The STAR1 promoter fragment (164 bp) was selected according to Yamaji et al (2009) who showed that this fragment contains an ART1 binding site. Clone identity was confirmed by Sanger sequencing, and the pENTR-STAR1p construct was sub-cloned into pLacZi-GW (Pruneda-Paz et al., 2014) by LR recombination, according to the manufacturer's instructions (ThermoFisher). Bait strains were generated by homologous recombination of pLacZi-STAR1p into the yeast strain YM4271 according to manufacturer's protocol (Clontech, www.clontech.com). Integration was verified by PCR. The prey vectors were generated by LR recombination of the pENTR-ART1 constructs into the yeast one-hybrid compatible plasmid pDEST22, which fuses the GAL4-Activation Domain to the Nterminus of the transcription factor. The bait strain was then transformed using the lithium acetate method (Gietz and Schiestl, 2007) with each of the five different pDEST22-ART1 constructs, and assayed for beta-galactosidase reporter gene activity using the liquid ONPG method described in the Clontech yeast protocol handbook (Clontech). Ten independent yeast colonies were screened for each allele. Student t-tests assuming unequal variance (and α =0.05) were performed to determine significant differences in DNA-binding affinity.

RNA-Seq experiment and analysis

Total RNA was isolated from root tissue using the QIAGEN RNeasy Mini Kit according to the manufacturer's instructions (www.qiagen.com). Strand-specific RNA-Seq libraries (ssRNA-Seq) were constructed by Polar Genomics, as described by (Pombo et al., 2014) and (Zhong et al., 2011) (http://polargenomics.com/). Barcoded libraries were multiplexed by 16x for a total of 32

samples, and sequenced in two lanes of an Illumina Hiseq2000 using the single-end reads mode (Pombo et al. 2014). Raw RNA-Seq reads were processed to remove adaptor and low quality sequences using Trimmomatic (Bolger et al., 2014). RNA-Seq reads longer than 40 bp were kept. Reads were aligned to a ribosomal RNA database (Quast et al., 2013) using Bowtie (Langmead et al., 2009) and matches were discarded. The resulting, high-quality reads were aligned to the MSUv7.0 rice genome annotation (Kawahara et al., 2013) using HISAT (Kim et al., 2015). Following alignments, raw counts for each rice gene were derived and normalized to reads per Kilobase of exon model per million mapped reads (RPKM).

Differential expression analysis

Differentially expressed (DE) genes were identified using the DESeq2 package (Love et al., 2014), https://bioconductor.org/packages/release/bioc/html/DESeq2.html). Independent filtering to remove low expression genes previous to DESeq2 analysis was performed by eliminating genes that across all samples their total sum counts is below the threshold estimated as: *overall normalized mean counts / number of samples to be analyzed* (Bourgon et al., 2010).

Differentially expressed genes (DEGs) were selected according to the following post-hoc criteria: a) two-fold or greater change in transcript abundance, up or down, measured in $\log 2$ fold-change ratio ($\log_2 FC \ge 1$, or ≤ -1); b) p value of ≤ 0.05 , corrected for multiple testing using a false discovery rate (FDR) criteria (Benjamini and Hochberg, 1995); and c) minimum of eight normalized, \log -transformed counts in at least one sample (Worley et al., 2016). Regularized \log transformed counts (Love et al. 2014) estimated from DESeq2 using the true experimental design option (blind = TRUE; which accounts for different library sizes and stabilizes the variance among counts) were used to generate heat maps and for principal component analysis (PCA). For PCA, the variance across all samples for normalized, \log -transformed counts was estimated using the "rowVars" function in R (https://cran.r-project.org/). Genes were ranked from the most to the least variance and the 500 genes with the highest variance across all samples were used to calculate principal components using the function "prcomp" in R (https://cran.r-project.org/), as described in Love et al. (2014). Heat maps were generated in R using the package "gplots" (http://cran.stat.nus.edu.sg/web/packages/gplots/gplots.pdf) and the function 'heat map.2'.

counts from DESeq2. The control samples NIL AZU_{IR6412.1} rep 3, IR64 rep 4 and NIL-IR64_{AZU12.1} rep 1, as well as Al-treated samples NIL-AZU_{IR6412.1} rep 3, IR64 rep 3, and NIL-IR64_{AZU12.1} rep 1 did not group near their respective genotype/treatment samples in the PCA analysis, and therefore were removed from the PCA shown in Suppl. Figure 4. Nevertheless, all biological replicates were used for differential gene expression analysis.

Supplemental Material

Supplemental Figure S1: Fine-mapping of the Al resistance QTL *Alt12.1*.

Supplemental Figure S2: Alignment of the QTL *Alt12.1* fine-mapped region (Nipponbare reference genome) to IR64 (PacBio assembly).

Supplemental Figure S3: Principal component analysis (PCA) of gene expression profiles.

Supplemental Figure S4: Differential expression of LOC_Os12g07340, located within the *Alt12.1* fine-mapped region, based on RNA-seq.

Supplemental Figure S5: The presence of the *ART1* allele from Azucena may not affect the same set of genes in *indica* and *japonica* backgrounds.

Supplemental Figure S6: *OsFRDL4* expression is affected by the different ART1 alleles in Azucena and IR64 genetic backgrounds.

Supplemental Figure S7: Occurrence of ART1 predicted binding sites in putative regulatory regions of rice genes.

Supplemental Methods S1: Developing of *Alt12.1* reciprocal near-isogenic lines (NILs).

Supplemental Methods S2: Fine mapping of *Alt12.1*.

Supplemental Table S1: Genomic composition and Al resistance phenotype of the reciprocal NILs.

Supplemental Table S2: Molecular markers used during fine-mapping analysis.

Supplemental Table S3: Statistics for the RNA-seq read alignments.

Supplemental Table S4: SNPs genotyped for RNA-seq sample ID verification across 14 loci in Azucena, IR64, and the NILs AZU_[IR6412.1] and IR64_[AZU12.1].

Accession numbers

Sequences of the *ART1* alleles for Azucena, IR64, Nipponbare and Kasalath were submitted to GenBank (accession numbers MF093140 – MF093143).

Illumina RNA-Seq reads were submitted to Gene Expression Omnibus (GEO; www.ncbi.nlm.nih.gov/geo/info/seq.html) at the National Center for Biotechnology Information (NCBI; www.ncbi.nlm.nih.gov/) under the accession number GSE89494.

The de novo IR64 genome assembly is available at http://schatzlab.cshl.edu/data/ir64/.

Large Datasets

Supplemental File S1. Genotypic data for Azucena, IR64, and the NILs $AZU_{[IR6412.1]}$ and IR64_[AZU12.1] using 2410 polymorphic SNPs from the Infinium 6K Illumina platform (Thomson et al. 2016). The position and length of each reciprocal introgression on chromosome 12 is indicated in each NIL.

Supplemental File S2. Differentially-regulated genes in the RNA-seq study.

Supplemental File S3. Comparison of the fold-change of differentially-regulated genes in each genetic background.

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Table I: Genes up-regulated by Al stress showing fold-change 2X or higher in Azucena compared to NIL AZU $_{[IR6412.1]}$:

Gene	log ₂ FC Azucena	log2FC NIL AZU _[IR6412.1]	Annotation (MSUv7)
LOC_Os12g38270	3.25	0.79	metallothionein, putative, expressed
LOC_Os10g38340	3.20	0.74	glutathione S-transferase GSTU6, putative, expressed
LOC_Os03g16030	3.16	0.90	hsp20/alpha crystallin family protein, putative, expressed
LOC_Os01g43750	2.89	0.85	cytochrome P450 72A1, putative, expressed
LOC_Os08g05960	2.80	0.86	expressed protein
LOC_Os08g05970	2.95	1.02	expressed protein
ChrSy.fgenesh. gene.47	2.51	0.65	expressed protein
LOC_Os03g16020	2.62	0.79	hsp20/alpha crystallin family protein, putative, expressed
LOC_Os01g43774	2.38	0.78	cytochrome P450 72A1, putative, expressed
LOC_Os04g27060	2.12	0.53	oxidoreductase, aldo/keto reductase family protein, putative, expressed
LOC_Os12g38290	2.77	1.20	metallothionein, putative, expressed
LOC_Os08g05980	1.30	0.26	expressed protein

Table II: Genes up-regulated by Al stress showing fold-change 2X or higher in the NIL $IR64_{[AZU12.1]}$ compared to IR64:

Gene	log ₂ FC IR64	log ₂ FC NIL IR64 _[AZU12.1]	Annotation (MSUv7)
LOC_Os06g19130	0.88	2.31	cadmium tolerance factor, putative, expressed*
LOC_Os05g11320	-0.13	1.16	metallothionein-like protein 3B, putative, expressed
LOC_Os01g69010	3.56	4.79	MATE efflux protein, putative, expressed (FRDL4)**
LOC_Os04g01690	0.65	1.87	pyridoxal-dependent decarboxylase protein, putative, expressed
LOC_Os05g33900	-0.04	1.09	auxin-induced protein 5NG4, putative, expressed
LOC_Os12g38290	0.58	1.66	metallothionein, putative, expressed
LOC_Os04g41750	1.85	2.92	expressed protein**
LOC_Os11g41840	0.27	1.28	transporter family protein, putative, expressed
LOC_Os06g39700	0.21	1.21	DNA-directed RNA polymerase subunit alpha, putative, expressed
LOC_Os02g09390	1.65	2.65	cytochrome P450, putative, expressed**

^{*}gene annotation not confirmed by BlastP (see Suplemental File S3)

^{**}gene also listed by Yamaji et al. (2009)

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