BENEFICIAL EFFECTS OF KETOGENIC DIETS FOR CANCER PATIENTS – A REALIST REVIEW WITH FOCUS ON EVIDENCE AND CONFIRMATION

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ABSTRACT

Background: Ketogenic diets (KDs) have gained popularity among patients and researchers alike due to their putative anti-tumor mechanisms. However, the question remains which conclusions can be drawn from the available human data thus far concerning the safety and efficacy of KDs for cancer patients.

Methods: A realist review utilizing a matrix-analytical approach was conducted. All available human studies were systematically analyzed and supplemented with results from animal studies. Evidence and confirmation were treated as separate concepts.

Results: 29 animal and 24 human studies were included in the analysis. The majority of animal studies (72%) yielded evidence for an anti-tumor effect of KDs. Evidential support for such effects in humans is very weak and limited to individual cases, but a probabilistic argument shows that the available data justify belief in the anti-tumor effect hypothesis at least for some individuals. Evidence for pro-tumor effects was lacking completely.

Conclusions: Feasibility of KDs for cancer patients has been shown in various contexts. The probability of achieving an anti-tumor effect seems greater than that of causing serious side effects when offering KDs to cancer patients. Future controlled trials would provide stronger evidence for or against the anti-tumor effect hypothesis.

1. INTRODUCTION

Ketogenic diets (KDs) are diets that mimic the metabolic state of fasting by inducing a physiological rise in the two main circulating ketone bodies, acetoacetate and beta-hydroxybutyrate (BHB), above the reference range (typically ≥ 0.5 mmol/l for BHB). KDs have recently gained increased interest for the treatment of a variety of diseases, where they are used either as a stand-alone metabolic therapy or as part of a broader therapeutic approach [1]. One of these diseases is cancer, a chronic systemic disease with a strong metabolic peculiarity that theoretically provides an ideal target for ketogenic metabolic therapies [2,3]. While theoretical reasoning, experimental work and preliminary human studies provide some support for KDs in cancer treatment [4–8], it has been criticized that there is no evidence for KDs being beneficial for cancer patients due to the lack of randomized controlled trials (RCTs). For example, Huebner et al. [9] stated: "*Following scientific practice e.g. with herbs, controlled, if possible randomized clinical studies are mandatory as scientific evidence for the efficacy of a diet and the basis of a positive recommendation. Risks may be derived from case reports and even preclinical data.*" However, such statements appear problematic for at least two reasons. First, a *reductio ad absurdum* argument shows that (a) there is no evidential support from RCTs for any particular diet against which one would compare a KD and (b) greater risk of animals on a standard diet versus a KD in preclinical studies (which is frequently observed, i.e. regarding weight loss) would speak against advising patients to eat a non-KD. Second, several arguments imply that this hierarchical view of evidence, the hallmark of the evidence based medicine paradigm [10], is inadequate for the evaluation of complex interventions such as those belonging to complementary and alternative medicine [11,12]. The KD certainly is a very complex intervention since it simultaneously impacts a multitude of cell signaling pathways, induces complex metabolic changes and can be implemented in a great variety of ways (examples are the Spanish Mediterranean KD [13], Paleolithic KD [14], Medium chain triglyceride KD [15] or even a vegan version [16]). The reader is invited to do her own thought experiment about how a RCT to test the effects of a KD for cancer patients should be conducted. Which diet composition should be used? How should the inclusion and exclusion criteria be formulated? More generally, would findings concerning patients who fulfilled the inclusion criteria be translatable to patients who were or would have been excluded from the study? A recent clinical controlled trial testing a KD in advanced cancer patients exemplifies this problem. In this study by Tan-Shalaby et al. [17], most patients had to be recruited from locations more than a 3h drive away: "*Majority of the interested patients who lived locally did not qualify, as they were not US veterans, one reason for inclusion.*" [17]

Could such a long way to the hospital not possibly confound the results, e.g. due to increased cortisol levels associated with the stress of traveling? This example and the questions posed reveal several problems of placing RCTs over other types of studies for deriving evidence, relating to internal versus external validity, specific versus overall treatment effects, and context dependence of the intervention [11]. Several studies on the KD in cancer patients have already been published; to simply dismiss all those as "no evidence" makes the life of the investigator easy, but is epistemologically invalid because it confuses the concepts of data and evidence. Studies by themselves do not constitute evidence but deliver data which are context free; it is the data that *can* constitute evidence but only *within a context provided by competing hypothesis* [18]. Therefore, even small studies and case reports can constitute evidence (although with *a priori* low strength [10]) if they are relevant for discriminating between two competing hypotheses.

In an alternative approach, one could therefore collect all available data in order to try answering the questions "does a KD work, and if yes, for which cancer patients, under what circumstances, and how?" Such an approach is naturally pursued within the philosophy of realism [19–21], which states that objects and their relationships in nature exist independently from our perception, but the scientific method allows us to recognize these objects and their relationships [22]. A realist review emphasizes inquiry about the relationship of an intervention with the outcome dependent on the mechanisms that connect them and the context in which this relationship occurs [19].

This work therefore aims to present a systematic realist synthesis of the question whether KDs are beneficial for cancer patients. The review will be complemented by a matrix analysis in order to provide the reader with a clearer overview of the available evidence [12]. The following research questions were formulated to guide the analysis: (1) Does the KD has an effect against tumor growth, metabolism or genetics? (2) Is there a benefit for the patient in terms of an improvement in quality of life (QoL)? (3) What are the mechanisms that researchers put forward to explain their findings?

This review was undertaken without requesting ethical approval, since ethical approval was granted for most of the included studies, all human data were anonymous, and the ethical recommendations of the Helsinki Declaration [23] were adhered to. It was conducted and structured according to the RAMESES reporting standards for realist synthesis [21].

2. MATERIALS AND METHODS

Realist reviews were originally designed, and still are mainly used for investigating complex policy interventions. However, a KD intervention is certainly also too complex to be compared with a pharmaceutical intervention such as a targeted therapy since it requires behavioral changes in eating patterns and replaces certain food items with others which induces a complex change in metabolism as well as micronutrient availability. I therefore chose a realist review approach, but adapted towards a more qualitative analysis by collating all individual research findings in a matrix form. This combination of realist synthesis with matrix analysis was proposed by Walach and Loef [12] and is especially useful for the evaluation of lifestyle and alternative and complementary medicine interventions.

It was planned to utilize every study in which cancer patients had been treated with a KD based on an anti-tumor rationale. Additionally, animal studies were planned to be investigated in order to gain insights into molecular mechanisms; thereby only those studies in which the diet was started on the day of or after tumor implantation were considered since these mimic the clinical situation in which a KD is introduced after cancer is already manifested. The primary search was conducted in Pubmed on May 10th using the term "ketogenic diet AND cancer". A secondary search was conducted in Scopus, reference lists and my personal electronic library. From the animal studies the tumor model, concurrent treatment modality and outcome was recorded. From the studies on humans, I extracted the study type, number of patients treated, tumor location and stage, type of KD (calorie restricted or not), concurrent treatment, as well as outcomes, context and proposed mechanisms as applying to the four research questions formulated above. For each study I asked four questions: (1) Do the data provide evidence for the hypothesis that a KD has beneficial effects against tumor growth, metabolism or genetics, compared to the hypothesis that it has a neutral effect? (2) Is there evidence for a tumor growth-promoting effect compared to a neutral effect? (3) Do the data provide evidence for the hypothesis that a KD has a positive effect on physical, psychological or emotional functioning (for short, QoL), compared to the hypothesis that it has a neutral effect? (4) Is there evidence for a detrimental effect on QoL compared to no effect?

For answering these questions, I make the distinction between evidence and confirmation/justification pointed out by Bandyopadhyay et al. [18]. While confirmation refers to a single hypothesis, the evidence is always evaluated against a second hypothesis. Given some background knowledge or context C, the data D are interpreted as *evidence* for a

hypothesis H_1 as against hypothesis H_2 if the likelihood ratio $P(D|H_1 \text{ \& } C)/P(D|H_2 \text{ \& } C)>1$; in contrast D confirm a hypothesis H if and only if they raise the posterior probability of H compared to its prior probability, $P(H|D)>P(H)$ [18]. In this way, H_1 is better confirmed by the data than H_2 if $P(H_1|D) > P(H_2|D)$. A prior probability for H could be derived, e.g. from animal and in vitro studies [12]. My judgment of the evidence remained qualitative in the sense that I only asked whether – *ceteris paribus* – the individual study data appear more likely under the considered hypothesis as against its competitor hypothesis or not. The results and discussion sections of the individual studies were used to guide this decision under the consideration of the specific context.

3. RESULTS

The primary search in PubMed resulted in 157 articles of which 13 where original research papers on tumor patients [17,24–35], 32 on animals [36–67], two studies on both [68,69], and two were meta-analysis of animal studies [70,71]. Another seven animal studies [72–78] and nine human studies [79–87] were identified by the secondary search process. Subsequently, 14 animal studies were excluded because they either initiated the KD prior to tumor cell injection [41,43,66,67], had no control group [44] or because they basically replicated the results of a previously published tumor model system ([37,38,73] replicated [36], [74] replicated [42], [57] replicated [75], [59] replicated [51], [78] replicated [56], and [60,65] replicated [45,47]). A flow chart of the search process is given in Figure 1.
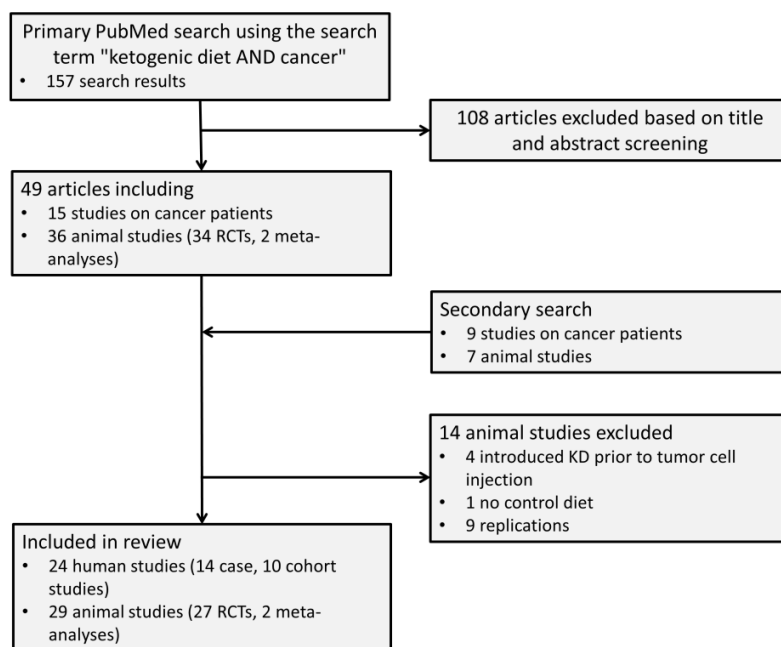

Figure 1: Flow chart of the study acquisition process.

Table 1 provides an overview of the selected patient studies with a focus on the efficacy and QoL outcomes; further details are given in Supplementary Table 1. There were 14 case studies and 10 cohort studies in which a total of 214 patients had been treated with a KD. The results concerning evidence for and against anti-tumor effects of the KD are tabulated in Table 2 together with the results of the animal studies. Details of these animal experiments are given in Supplementary Table 2.

In total, 72% (21/29) of the animal studies provided evidence for anti-tumor effects of the KD, while in only one study evidence for pro-tumor effects was found. Noteworthy, this study [64] provided evidence for both anti- and pro-tumor effects, depending on the length of the KD feeding period. The two meta-analyses concluded that there is overall evidence for anti-tumor effects of KDs in mice [70,71]. Concerning the human data, 42% of the studies (10/24) provided evidence for an anti-tumor effect of KDs. The majority of this evidence came from case reports in which other hypothesis seemed more unlikely as explanations for the observed responses. In seven studies (29%) the evidence was against the KD positively affecting the course of the disease, but only one study revealed evidence for a pro-tumorigenic effect of the KD [26] which is discussed in more detail below.

The results concerning QoL are tabulated in Table 3. Half of the studies (12/24) revealed improvements in QoL, with evidence coming from case and cohort studies with roughly equal contributions. Only one study using an extremely low protein KD with many artificial foods provided evidence for a detrimental effect [69]. Most frequent improvements concerned the general condition [24,25,34,82,83,85,86] and neurological function/seizure control in patients with brain tumors [17,26,32,87]. Side effects attributed to the KD were generally mild and reversible [84]. Weight loss occurred in 11 out of 14 studies in which it was quantified, and was associated with grade II fatigue in one patient who underwent a calorie restricted KD [29].

Three main rationales for why researchers chose to implement a KD for cancer patients could be identified (see also [88]):

1) Changes in growth factor and ketone body signaling should affect the complex tumor signaling network, and hence metabolism and growth.
2) The metabolic changes associated with the diet result in alterations of metabolic fuels which are ideally suited for the metabolic demands of the host tissues.

3) KDs increase oxidative stress in tumor cells, making them more vulnerable to oxidative therapies such as radio- and chemotherapy.

3.1 INFLUENCE ON TUMOR CELL METABOLISM AND GROWTH

3.1.1 DECREASING GLUCOSE FLUX TO THE TUMOR

Many tumor cells possess dysfunctional mitochondria and lack certain enzymes necessary for effective ketone body utilization. To compensate, tumor cells would have to rely on substrate fermentation for energy production (reviewed in [2,3]). Many studies mentioned the increased utilization of glucose with subsequent fermentation to lactate by tumors compared to normal tissues, first described by Otto Warburg and co-workers in 1924 [89]. They hypothesized that a KD could be able to limit glucose flux to metabolically inflexible tumors while providing ketone bodies and fat as an alternative fuel for normal tissue [17,25,27–29,31,68,82,83,85,87]. In fact, the very first clinical application of a KD to treat cancer patients was undertaken by the Munich physician Wilhelm Brünings in 1941 based on Warburg's observations and the heuristic that diabetes, hypertensive disease and cancer are all based on an endocrine disturbance of carbohydrate metabolism [79]. Brünings hypothesized that a drastic reduction of blood glucose levels might be able to slow down tumor growth and developed a "de-glycation method" *("Entzuckerungsmethode")* consisting of a diet with less than 50g carbohydrates and three injections of depot insulin per day. In two reports [79,80], Brünings described impressive reductions in tumor size and improvements of QoL that would peak at 2-3 weeks and be sustainable for up to 2-3 months. With more experience, the focus of his treatment apparently shifted towards preparing patients for surgery or radium therapy [80]. While in hindsight, the beneficial effects on QoL are attributable to the anabolic, analgetic and anti-inflammatory effects of insulin and eventually the high quality of the diet compared to the standard German diet during World War 2, the reductions in tumor growth are harder to understand and could be judged as evidence for an effect specific to the KD. However, Schulte and Schütz were not able to confirm an anti-tumor effect of Brünings' method [81].

A KD by itself reduced average blood glucose levels in only a subset of the studies that prescribed no concurrent caloric restriction [24,25,29,84]. Two studies in which patients were advised to always eat to satiety failed to achieve significant reductions in blood glucose levels [34,68], and this was discussed as a possible reason for the failure to halt tumor

progression in the study by Rieger et al. [68]. However, more detailed measurements with microdialysis catheters [28] suggest that although the mean plasma concentration remains fairly stable, glucose spikes are greatly reduced under a KD. To also reduce average blood glucose levels, additional calorie restriction was a feasible option in glioblastoma patients [31,82,83,87].

More recent data imply that besides reducing blood glucose levels, a global rise of ketone bodies and free fatty acids is also important for down-regulating glycolysis. Evidence that a KD can reduce tumor glycolysis in some individuals has been gained from studies utilizing FDG-PET scans [17,25,84] as well as microdialysis measurements of tumor lactate concentrations [28]. Jansen and Walach [33] described reductions of the pentose phosphate pathway tumor marker TKTL-1 under a strict KD, providing further evidence for the hypothesis that KDs inhibit tumor glycolysis.

Mechanistically, Fine and colleagues proposed that an "inefficient" Randle cycle [90] occurs in cancer cells upon an inhibition of glycolysis through free fatty acids and ketone bodies; the inefficiency refers to the inability of tumor cells to compensate for the reduced glycolytic ATP production because of mitochondrial dysfunction [90–92]. Palmitic acid, stearic acid and oleic acid were shown to inhibit key glycolytic enzymes in Ehrlich ascites tumor cells [93]. Studies on the anti-tumor effects of oleic acid data back to the 1920s [94,95]; its intake as part of a KD was therefore emphasized by Ruggiero and colleagues, in part also based on the rationale that oleic acid increases the bioavailability of vitamin D_3 [30,86].

Schwartz et al. [31] found that ketolytic enzymes were expressed in tissue specimen from two glioblastoma patients, which indicates that a subset of tumor cells is likely able to metabolize ketones. This was discussed as part of the explanation why treatment with a restricted KD failed in retarding these patient's tumor growth, despite significant reductions in blood glucose and increases in ketone body levels [31].

3.1.2 CHANGING TUMOR SIGNALING NETWORKS

Several studies emphasized the possibility of a KD to alter several signaling networks in tumor cells [26,32,33,84,86]. One case study described favorable changes in HER2 and progesterone receptor expression during a three week KD supplemented with olive oil and high doses of vitamin D in a breast cancer patient, concluding that both oleic acid and the KD might have worked synergistically to downregulate HER2 expression [30].

The insulin/insulin-like growth factor (IGF) axis has repeatedly been implicated as a major modulator of a complex downstream signaling network in tumor cells including the MAPK and the PI3K-Akt-mTOR pathways [96,97]. Based on this rationale, Fine et al. [84] studied a KD over 4 weeks in ten advanced cancer patients. They found that insulin levels correlated inversely with ketosis, which in turn was correlated to less tumor progression in FDG-PET scans. As the authors themselves stated in their discussion, the outcome "was not a remarkable finding for a short study considering the variable course of even aggressive cancers", so I judged the evidence for the anti-tumor effect hypothesis from this study as unclear (the likelihood ratio ≈ 1). Cho-Shore and colleagues tested whether KD-mediated mTOR inhibition could slow down growth of tuberous sclerosis complex (TSC) tumors in patients who had started the diet for management of intractable epilepsy [26]. While three of five patients showed improved or complete seizure control, the KD was not able to slow down or inhibit tumor growth; only one patient showed regression of a previously growing subependymal giant cell tumor when he was placed on concomitant treatment with the mTOR inhibitor sirolimus [26]. A subsequent animal model of TSC tumor development showed that while the KD given to Eker rats induced anti-tumor effects in the short term, its long-term (8 months) administration resulted in elevated growth hormone concentrations, MAPK-ERK1/2 and mTOR hyperactivation and accelerated renal tumor growth [64]. This mechanism is consistent with the conclusion of Chu-Shore et al. that in three children with TSC who were on the diet for more than four years, "the rate of progression or development of new tumors was more than expected in the natural history of TSC" [26].

3.2 POSITIVE INFLUENCE ON BODY COMPOSITION

Free fatty acids and ketone bodies are considered to become a major fuel for normal tissues of cancer patients as a consequence of developing insulin resistance [4]. Additionally, ketone bodies have been shown to suppress protein catabolism during starvation [98]. Based on this rationale, Fearon et al. [24] applied a MCT-based KD to five severely cachectic cancer patients for one week. While nitrogen balance was unaltered compared to the previous normal diet, the KD induced a significant weight gain of 2kg and improved their performance status.

In a series of ambulatory patients eating a KD during the course of their radiotherapy, we found that their relative percentage of fat mass decreased but relative percentage of fat free mass increased while patients lost weight [34]. This was taken as evidence that a KD could positively influence body composition and will be investigated further in a currently running clinical trial [99].

Mechanistically, ketone bodies have been shown to exert anti-cachectic effects by curtailing both the cause and the symptoms of cachexia. In a pancreatic cancer model, the first action was related to the inhibition of glycolytic metabolism in tumor cells, while the second effect was mediated by an *in situ* downregulation of skeletal muscle and adipose tissue degrading proteins [53]. The relation between cachexia and glycolytic tumor metabolism and tumor size is consistent with the classical study by Fearon and colleagues in the Walker 256 sarcoma of the rat in which ketosis was not able to significantly reduce blood glucose levels, tumor growth or weight loss [72]. The significance of the second effect – a direct action of ketone bodies on muscle and adipose tissue – is also consistent with the seminal studies of the MAC16 cachectic mouse adenocarcinoma showing that the maintenance of body weight was greater than anticipated solely from the reduction of tumor size [36].

3.3 SYNERGISM WITH RADIO- AND CHEMOTHERAPY

Besides impaired oxidative energy production, dysfunctional mitochondria in tumor cells are believed to lead to increased steady-state levels of reactive molecules such as superoxide anion (O_2^-) and hydrogen peroxide (H_2O_2). Enhanced glycolysis helps to scavenge high steady state levels of these reactive oxygen species (ROS) through increased production of anti-oxidative substrates such as lactate, pyruvate and NADPH [5,88]. A down-regulation of this anti-oxidative defense mechanism, achieved through glucose withdrawal *in vitro* [100] or KDs *in vivo* [49], has been shown to sensitize such tumor cells to additional therapy-induced oxidative stress, while normal cells are left unaffected or even protected [6].

Animal studies confirm the hypothesis that KDs enhance the effects of oxidative stress-inducing therapies such as hyperbaric oxygen [51], radio- and chemotherapy [47,49]. Further confirmation for the hypothesis is obtained by the case report of a glioblastoma patient showing complete remission after treatment with a restricted KD, radiotherapy and temozolomide [82] as well as our case study of patients undergoing a KD during curative radiotherapy [34] who remain tumor-free after a median follow-up of 112 weeks (unpublished data). However, these data are not evidentially significant because they are not able to distinguish whether the KD contributed to these outcomes or not.

The phase 1 ketolung and ketopan studies were specifically conducted against this background in order to test the feasibility of a 4:1 KD supplying 90% energy from fat and 8% from protein [69]. The diet was highly artificial, with the usual breakfast made from KetoCal®

powder and artificial flavorings, as well as low carbohydrate versions of milk, bread and desserts. This KD was poorly tolerated, as only two out of nine patients in the ketolung trial and one of two patients in the ketopan study were able to follow it over the whole course of radiotherapy, and patients experienced several side effects of which at least one, a grade 4 hyperuricemia, was possibly related to the diet. Plasma protein carbonyl content was significantly higher after the KD and radio-chemotherapy intervention, indicating an increase in steady-state levels of ROS-damaged proteins. Again, the lack of a control group did not allow any conclusions about whether the KD contributed to the increased oxidative stress.

DISCUSSION

This realist evaluation shows that KDs have been studied in a wide variety of contexts reflecting the broad spectrum of current cancer care. Despite the limitations of these studies which mostly consist of case reports, their data are therefore relevant for judging and comparing hypothesis about the effects of KDs for cancer patients in a real world setting. Earlier reviews have focused on specific mechanisms of the KD [5] or specific contexts in which it is applied [6,8]. This realist review differs from these works by focusing more broadly on the benefits of KDs for cancer patients within a clear framework of evidence and confirmation by the totality of available data.

An important finding was that across all these settings, half of the studies provided evidence for an improvement of QoL, an outcome that was rarely mentioned as a primary study goal. Thus, future studies could specifically be designed to investigate which aspects of QoL are influenced by a KD and what constitutes the mechanisms. It is clear from the ketolung and ketopan studies [69] that an artificial 90% fat, 8% protein diet is hardly sustainable. Such a low protein content is at odds with protein requirements of cancer patients which are estimated as at least 1.2g/kg body weight/day with ideal intakes of at least 2g/kg/day for patients in advanced stages [101]. The importance of protein quantity and quality and its relation to ketosis was briefly discussed in our case series report [34] where a focus on high quality (animal) protein sources was suggested. The attempt to adjust a KD to patient's preferences by using artificial food imitates may also be problematic because it is based on a reductionist view of food without considering the beneficial interplay of the various ingredients occurring in natural foods, a concept known as food synergy [102]. The Paleolithic KD is an example of a KD with both high protein quality and natural food synergy by excluding artificial foods and other foods that humans did not consume during most part of their

evolutionary past, and indeed no negative side effects have been reported in any case treated thus far (see [85] and references therein).

If the animal studies with their various tumor models evaluated here are utilized for estimating a prior probability for the hypothesis that KDs exhibit anti-tumor effects in (at least some) cancer patients, this prior probability appears high (72% of the studies supported anti-tumor effects). Its posterior probability would be even higher, considering that there are human data of some (exceptional) responses to the KD [17,25,87], as well as other data relevant in this context such as the correlations between high blood glucose [103–113] or insulin [114] levels with poor outcome in various cohorts of cancer patients. In contrast, the prior probability for the hypothesis that KDs accelerate tumor growth would be low and the posterior even lower given the lack of human data supporting it. Therefore the hypothesis of an anti-tumor effect of the KD (at least in some patients) is confirmed to a much larger degree than the hypothesis of a tumor growth promoting effect. However, evidence for the anti-tumor hypothesis is limited and of low strength in the human data (Table 2). This is partly based on the design of the studies undertaken so far that does not allow discriminating between the anti-tumor hypothesis and the hypothesis of a neutral or no effect. From a realist standpoint, mechanistic reasoning as outlined in this review would upgrade the evidence in certain contexts [10], for example by considering the causal chain of low carbohydrate intake → low insulin release → less insulin available for receptor activation on tumor cells → less growth stimuli [96,97]. Still, however, there are many unknowns in the inferential chain linking a KD intervention to patient-relevant outcomes, and currently running RTCs will be of great value for filling these knowledge gaps [8].

Nevertheless, the available data confirm the hypothesis that KDs are safe for cancer patients and also constitute some evidence supporting it. Thus a logical argument can be derived that KDs could be offered to patients, since the probability of potential benefits appears much larger than the probability of serious side effects. Of course this includes the assumption of a setting in which patients are both intrinsically motivated and externally supervised.

As a cautionary note, some recent animal experiments have revealed tumor growth stimulation through administration of ketone bodies [66,115,116]. Two studies using breast cancer models showed that BHB infusions accelerated tumor growth by serving as an energetic substrate for oxidative (non-Warburg) tumor cells [115,116]. Since no KD was applied, no evidence for or against its use can be derived from these data. The study of Xia et al. [66] showed that acetoacetate, but not BHB, accelerated tumor growth of BRAF V600E-

expressing melanoma xenografts, which led the authors to express concerns about KDs for patients with tumors that harbor such mutations. However, in this study a KD did only increase acetoacetate, but not BHB levels, which makes this KD appear different from all other animal experiments and questionable as a model system for a KD applied to humans where ketosis is characterized by more than four-fold lower acetoacetate than BHB levels [117]. It is also noteworthy that the best response to a KD in the study by Tan-Shalaby et al. [17] was seen in a patient with BRAF-V600 positive stage V melanoma who stayed tumor-free after surgery and a prolonged KD at 131 weeks of follow-up. Based on these animal data, the possibility that a subset of human tumors could be stimulated by a KD should therefore be considered, but is not justified by the human data published so far and not even supported by evidence from these studies themselves (because a KD was not used in two of them and elicited completely different effects to a KD in humans in the third).

CONCLUSIONS

KDs have been studied in humans in a wide variety of contexts that are representative of modern cancer treatment. Due to a lack of controlled trials the human data are only able to provide very low level evidence for anti-tumor effects of KDs which is also limited to individual cases. The total evidence is upgraded to some extent by mechanistic reasoning. Nevertheless, the belief that KDs can exert anti-tumor effects in patients appears more justified by the data than its alternatives, because the posterior probability for this hypothesis is higher than for its alternatives. Furthermore, there is no evidence or reason to believe that KDs have any serious side effects or would accelerate tumor growth. The logical conclusion is therefore that KDs are worth of further study since the probability of achieving an anti-tumor effect is apparently much greater than that of causing serious side effects.

CONFLICT OF INTERESTS STATEMENT

The author declares that no conflicts of interest exist. No funding was received for writing this review.

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TABLES

Study	N	Tumor	Evidence for anti-tumor effect	Evidence for pro-tumor effect	Effect details	Evidence for QoL improvement	Evidence for QoL worsening	QoL details
Brünings 1941 [78]	14	head and neck	yes	no	partial to complete remission in all cases	yes	no	improvement in general condition with better appettite, sleep and mood
Brünings 1942 [79]	30	extra-cranial	yes	no	tumor shrinkage	yes	no	improvement of general condition and clinical symptoms, important as preparation for radium therapy or surgery
Schulte & Schütz 1942 [80]	23	extra-cranial	no	no	no changes in tumor size (X-ray scans)	Unclear	Unclear	reduction of pain, euphoria even when worsening of general condition, but also less orientation and tiredness
Fearon et al. 1988 [24]	5	stage IV extra-cranial	NA	NA	NA	yes	no	WHO performance score change +1; weight gain
Nebeling et al. 1995 [25]	1	grade III anaplastsic/ cerebellar astrozytoma	yes	no	about 22.8% decrease in FDG PET activity at 8 weeks; one patient still alive after 10 years (L. Nebeling, personal communication with U. Kämmerer)	yes	no	reversing weight loss experienced prior to KD, improved body control and coordination
Chu-Shore et al. 2010 [26]	5	renal angiomyolipomas/ subependymal giant cell tumors	no	yes	"rate of progression or development of new tumors was more than expected"	no	no	one impaired cognitive function, but seizure improvement in others
Zuccoli et al. 2010 [81]	1	glioblastoma	unclear	no	complete remission	yes	no	Karnofsky index 100%, no neurological complications, BMI change from 25.6 to 20.0 kg/m^2

Schmidt et al. 2011 [27]	16	stage IV extra-cranial	no	no	death in two patients, PD in five patients, SD in five patients after 12 weeks on KD	yes	no	improvement in emotional functioning and insomnia in these very advanced patients
Moore 2012 [82]	1	glioblastoma	yes	no	no abnormal hypermetabolic activity to suggest the presence of metabolically active tumor	unclear	no	maintained work and exercise
Fine et al. 2013 [83]	10	stage IV extra-cranial	unclear	no	"SD/PR in only five subjects was not a remarkable finding for a short study considering the variable course of even aggressive cancers, but it is noteworthy that all subjects with SD/PR exhibited high levels of ketosis"	no	no	some side effects which were reversible
Schroeder et al. 2013 [28]	11	stage II-IV head and neck	yes	no	Decline of lactate levels and possible switch to aerobic metabolism	NA	NA	NA
Champ et al. 2013 [29]	6	glioblastoma	unclear	no	median PFS 10.3 months; one patients without evidence of recurrence at 12 months from treatment	no	unclear	grade I constipation in two patients; fatigue in patient who underwent CR-KD
Rieger et al. 2014 [68]	20	glioblastoma	unclear	no	median PFS in patients with stable ketosis 6 weeks versus 3 weeks in the others (p=0.069)	no	no	NA
Branca et al. 2015	1	grade 3 breast	yes	no	downregulation of HER2 expression,	NA	NA	NA

[30]					increase in PrR expression			
Schwartz et al. 2015 [31]	2	glioblastoma	no	no	PFS 4 and 12 weeks (MRI scans)	no	unclear	further impairment of vision, mobility and cognition
Strowd et al. 2015 [32]	8	low and high grade glioma	unclear	no	"All participants are living and survival rates for those with progressive GBM are comparable to expected survival"	yes	no	seizure reduction
Jansen & Walach 2016 [33]	13	curative (6)/ palliative (6)/ end stage (1) tumors	yes	no	significant imrovement if on fully KD	NA	NA	NA
Klement & Sweeney 2016 [34]	6	stage I-IV extra-cranial	unclear	no	response as expected after radiotherapy; rapid progress after end of KD in stage IV lung cancer patient	yes	no	several subjective measures improved, e.g. chronic migraine vanished, euphoric feeling
Schwalb et al. 2016 [85]	6	stage IV extra-cranial	yes	no	shrinkage of tumors; contribution of KD rated as important	yes	no	improvements in general condition, returning to "normal life"
Tan-Shalaby et al. 2016 [17]	17	stage IV various tumors	unclear	no	36% of patients stable or improved at 16 weeks; unexpectedly long survival in one melanoma patient	yes	no	some improvement of cognitive functioning, but also side effects (dyspnea, constipation, diarrhea, insomnia)
Tóth & Clemens 2016 [84]	1	grade 2 myoepithelial soft palate	yes	no	reduction in tumor size despite no pre- or concurrent treatment with other therapies	yes	no	improvement in physical fitness and well-being
Abdelbary et al. 2017 [86]	3	grade II astrocytoma (2); glioblastoma (1)	yes	no	improved surgical outcome in all patients; favorable response to pre-surgery KD in glioblastoma patient (histopathological examination)	yes	no	seizure control; improved cognitive function

Artzi et al. 2017 [35]	5	low and high grade glioma	unclear	no	Partial response in 1 GBM patient after 2 months KD plus BVZ; SD in one patient with gliomatosis cerebri at 31 months on KD monotherapy	NA	NA	NA
Zahra et al. 2017 [69]	9	stage III-IV NSCLC (7) and pancreatic (2) cancer	no	unclear	Study not powered to detect differences in PFS and OS	no	yes	Poor compliance (33%) and side effects (possibly diet-related grade 4 hyperuricemia)

Table 1: Human studies on KD and cancer. BVZ: Bevacizumab; GBM: glioblastoma multiforme; NSCLC: Non-small cell lung cancer; PD: progressive disease; SD: stable disease

Study type	N	Evidence for anti-tumor effect			Evidence for pro-tumor effect		
		Yes	No	Unclear/ NA	Yes	No	Unclear/ NA
Animal studies							
RCT	27	19	5	3	1	26	0
Meta-Analysis	2	2	0	0	0	2	0
Human studies							
Case studies	14	7	3	4	1	13	0
Cohort studies	10	3	4	3	0	8	2

Table 2: Evidence for the hypotheses that a KD or STS has a positive or negative impact on tumor biology, respectively, each time compared to the hypothesis of no or a neutral impact. "Unclear" evidence is defined as a likelihood ratio close to 1, i.e., neither evidence for the one or the other hypothesis.

Study type	N	Evidence for positive effect on QoL			Evidence for negative effect on QoL		
		Yes	No	Unclear/ NA	Yes	No	Unclear/ NA
Case studies	14	7	3	4	0	9	5
Cohort studies	10	5	3	2	1	7	3

Table 3: Evidence for the hypotheses that a KD or STS has a positive or negative impact on quality of life (QoL), respectively, each time compared to the hypothesis of no or a neutral impact. "Unclear" evidence is defined analogous to Table 2.

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┌─────────────────────────────────────────┐
│ Primary PubMed search using the search   │
│       term "ketogenic diet AND cancer"   │        ┌──────────────────────────────────────┐
│  • 157 search results                    │───────▶│ 108 articles excluded based on title │
│                                          │        │      and abstract screening          │
└─────────────────────────────────────────┘        └──────────────────────────────────────┘
                    │
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┌─────────────────────────────────────────┐
│ 49 articles including                    │
│  • 15 studies on cancer patients         │
│  • 36 animal studies (34 RCTs, 2 meta-   │
│    analyses)                             │
└─────────────────────────────────────────┘
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                    │                               ┌──────────────────────────────────────┐
                    │                               │ Secondary search                     │
                    │◀──────────────────────────────│  • 9 studies on cancer patients      │
                    │                               │  • 7 animal studies                  │
                    │                               └──────────────────────────────────────┘
                    │
                    │                               ┌──────────────────────────────────────┐
                    │                               │ 14 animal studies excluded           │
                    │                               │  • 4 introduced KD prior to tumor cell│
                    │──────────────────────────────▶│    injection                         │
                    │                               │  • 1 no control diet                 │
                    │                               │  • 9 replications                    │
                    ▼                               └──────────────────────────────────────┘
┌─────────────────────────────────────────┐
│ Included in review                       │
│  • 24 human studies (14 case, 10 cohort  │
│    studies)                              │
│  • 29 animal studies (27 RCTs, 2 meta-   │
│    analyses)                             │
└─────────────────────────────────────────┘
```