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**Evolutionary genetic analysis uncovers multiple sympatric species with distinct
habitat preferences and antibiotic resistance phenotypes among aquatic
Stenotrophomonas maltophilia complex isolates**

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Running title: *Stenotrophomonas* evolutionary genetics and ecology

23 **Abstract**

24 The genus *Stenotrophomonas* (*Gammaproteobacteria*) has a broad environmental
25 distribution. *S. maltophilia* is its best known species because it is a globally emerging,
26 multidrug-resistant (MDR), opportunistic pathogen. Members of this species are known to
27 display high genetic, ecological and phenotypic diversity, forming the so-called *S.*
28 *maltophilia* complex (Smc). Heterogeneous resistance and virulence phenotypes have been
29 reported for environmental Smc isolates of diverse ecological origin. We hypothesized that
30 this heterogeneity could be in part due to the potential lumping of several cryptic species in
31 the Smc. Here we used state-of-the-art phylogenetic and population genetics methods to
32 test this hypothesis based on the multilocus dataset available for the genus at pubmlst.org.
33 It was extended with sequences from complete and draft genome sequences to assemble a
34 comprehensive set of reference sequences. This framework was used to analyze 108
35 environmental isolates obtained in this study from the sediment and water column of four
36 rivers and streams in Central Mexico, affected by contrasting levels of anthropogenic
37 pollution. The aim of the study was to identify species in this collection, defined as
38 genetically cohesive sequence clusters, and to determine the extent of their genetic,
39 ecological and phenotypic differentiation. The multispecies coalescent, coupled with Bayes
40 factor analysis was used to delimit species borders, together with population genetic
41 structure analyses, recombination and gene flow estimates between sequence clusters.
42 These analyses consistently revealed that the Smc contains at least 5 significantly
43 differentiated lineages: *S. maltophilia* and Smc1 to Smc4. Only *S. maltophilia* was found to
44 be intrinsically MDR, all its members expressing metallo- β -lactamases (MBLs). The other
45 Smc lineages were not MDR and did not express MBLs. We also obtained isolates related
46 to *S. acidaminiphila*, *S. humi* and *S. terrae*. They were significantly more susceptible to
47 antibiotics than *S. maltophilia*. We demonstrate that the sympatric lineages recovered
48 display significantly differentiated habitat preferences, antibiotic resistance profiles and
49 beta-lactamase expression phenotypes, as shown by diverse multivariate analyses and
50 robust univariate statistical tests. We discuss our data in light of current models of bacterial
51 speciation, which fit these data well, stressing the implications of species delimitation in
52 ecological, evolutionary and clinical research.

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58 1. Introduction

59 Bacterial species identification and delimitation are non-trivial tasks, which are critical in
60 certain settings such as the clinic, bio-terrorism and industry. More generally, the
61 conclusions drawn from evolutionary and ecological analyses are strongly dependent on
62 organismal classification, as species are the relevant units of diversity (Vinuesa et al.,
63 2005b;Koeppel et al., 2008;Shapiro et al., 2016). Proper species delimitation is a requisite
64 to discover the species-specific phenotypic attributes underlying their ecological niche
65 differentiation (Cadillo-Quiroz et al., 2012;Shapiro et al., 2012;Cordero and Polz, 2014).

66 We hypothesized that problems with species delimitations have hindered progress in
67 systematic, taxonomic and ecological research on the ubiquitous genus *Stenotrophomonas*
68 (Gammaproteobacteria, *Xanthomonadales*, *Xanthomonadaceae*) (Palleroni and Bradbury,
69 1993), which currently comprises 12 validly described species
70 (<http://www.bacterio.net/stenotrophomonas.html>). This limitation particularly affects the *S.*
71 *maltophilia* species complex (Smc) (Svensson-Stadler et al., 2011), which has long been
72 recognized to have a broad ecological distribution, being associated with humans, animals,
73 plants and diverse anthropogenic and natural environments (Berg et al., 1999;Ryan et al.,
74 2009;Berg and Martinez, 2015). Although different genotyping methods, particularly
75 AFLPs (Hauben et al., 1999), rep-PCR (Adamek et al., 2011) and multilocus sequence
76 analysis/typing (MLSA/MLST) (Kaiser et al., 2009;Vasileuskaya-Schulz et al., 2011) have
77 clearly revealed the existence of multiple genomic groups within the Smc, proper
78 recognition of species borders within the complex has not yet been satisfactorily achieved.
79 This has ultimately hindered the discovery of statistically significant associations between
80 species and traits such as habitat preferences, antibiotic resistance phenotypes and
81 pathogenicity potential (Adamek et al., 2011;Berg and Martinez, 2015;Deredjian et al.,
82 2016). *S. maltophilia* is an important globally emerging and multidrug-resistant (MDR)
83 opportunistic pathogen causing difficult-to-treat infections (Chang et al., 2015). High
84 mortality rates are reported mainly in the immunocompromised, cancer and cystic fibrosis
85 patients, as well as those with central venous catheters or long-lasting antibiotic therapy
86 (Looney et al., 2009;Brooke, 2012). Therefore, the identification of significant genotype-
87 phenotype associations is critical for the safe use of particular strains from the Smc with
88 high potential for diverse environmental biotechnologies such as bioremediation, plant
89 growth promotion and protection (Ryan et al., 2009;Berg and Martinez, 2015).

90 The main objectives of this study were: *i*) to identify genetically cohesive and differentiated
91 sequence clusters (genospecies) among a collection of environmental *Stenotrophomonas*
92 isolates by using a combination of state-of-the-art phylogenetic and population genetic
93 methods; *ii*) to test whether such lineages exhibit distinct phenotypic and ecological
94 attributes, as predicted by current models of bacterial speciation. We used the multilocus
95 dataset for the genus available at pubmlst.org (Kaiser et al., 2001;Vasileuskaya-Schulz et
96 al., 2011), and extended it with sequences extracted from complete (Crossman et al.,
97 2008;Lira et al., 2012;Zhu et al., 2012;Davenport et al., 2014;Vinuesa and Ochoa-Sánchez,
98 2015) and draft (Patil et al., 2016) genome sequences to assemble a comprehensive MLSA
99 dataset with representative strains of 11 out of 12 validly described *Stenotrophomonas*
100 species. We used this reference dataset to study our collection of environmental
101 *Stenotrophomonas* isolates ($n = 108$) recovered from the sediments and water column of

102 several rivers with contrasting levels of contamination in the state of Morelos, Central
103 Mexico.

104 For an initial exploration of this dataset, we used thorough maximum-likelihood tree
105 searching. The evidence from this phylogenetic analysis was used to define diverse species
106 border hypotheses, which were formally evaluated in a Bayesian framework under the
107 multispecies coalescent (MSC) model (Rannala and Yang, 2003;Edwards et al.,
108 2007;Degnan and Rosenberg, 2009) by subjecting them to Bayes factor (BF) analysis (Kass
109 and Raftery, 1995). To the best of our knowledge, this is the first study that evaluates the
110 utility of this Bayesian statistical framework for bacterial species delimitation, which is
111 emerging as a successful and promising strategy for species delimitation in plants and
112 animals (Fujita et al., 2012;Aydin et al., 2014;Grummer et al., 2014). The MSC model is
113 independent of gene concatenation and acknowledges the very well known fact that gene
114 trees have independent evolutionary histories embedded within a shared species tree
115 (Degnan and Rosenberg, 2006;Rosenberg, 2013). The basic MSC model assumes that gene
116 tree discordance is solely the result of stochastic coalescence of gene lineages within a
117 species phylogeny. Populations, rather than alleles sampled from single individuals, are the
118 units to infer phylogeny in the MSC framework, effectively connecting traditional
119 phylogenetic inference with population genetics, providing estimates of topology,
120 divergence times and population sizes (Rannala and Yang, 2003;Edwards et al., 2007;Heled
121 and Drummond, 2010).

122 Current microbial speciation models predict that bacterial species-like lineages should be
123 identifiable by significantly reduced gene flow between them, even when recombination
124 levels are high within species. Such lineages should also display differentiated ecological
125 niches (Koeppel et al., 2008;Cadillo-Quiroz et al., 2012;Shapiro and Polz, 2014). This
126 study shows the power of modern phylogenetic and population genetic methods to delimit
127 species borders in bacteria and demonstrates that the Smc, as currently defined in
128 pubmlst.org, genome databases and literature, contains multiple genospecies that are
129 ecologically and phenotypically differentiated. We discuss our findings and approaches in
130 the light of current models of bacterial speciation, highlighting the practical implications
131 and ecological relevance of proper species delimitation.

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134 **2. Materials and Methods**

135 **Sampling sites and isolation of environmental *Stenotrophomonas* strains**

136 *Stenotrophomonas* strains were recovered from the sediments and water columns at 6 sites
137 of 4 rivers and streams in the State of Morelos, Central Mexico (Table 1, supplementary
138 Fig. S1). These sites experience different levels of anthropogenic pollution, broadly
139 classified as low (L), intermediate (I) and high (H), based on triplicate counts of thermo-
140 tolerant coliforms (TTCs) on mFC agar (Oxoid). Thermotolerant *E. coli* (TTEc) counts
141 were obtained on modified m-TEC agar (USEPA, 2002), using the one-step membrane
142 filtration (0.45 µm) method (APHA, 2005). Water samples were taken in sterilized 1L
143 recipients at 5-20 cm depth (2 per site). Six physico-chemical parameters of the water

144 columns were measured using a HANNA multi-parametric HI9828 instrument operated in
145 continuous measurement mode, for 1 minute, along a 10 m transect (Fig. S2). Sediment
146 samples (3 per site, along a 3 m linear transect) were taken from the same sites in sterile
147 plastic cores dug 2-3 cm deep into the sediment. Samples were kept on ice until processing
148 within 4-8 hrs (APHA, 2005). Sampling took place at the end of the dry season (April-
149 May), between 2012 and 2014. Oligotrophic [NAA (Aagot et al., 2001) and R2A (Ultee et
150 al., 2004) agar] and rich media [LAM (Jawad et al., 1994) and MacConkey], supplemented
151 or not with antibiotics [trimethoprim 30 + carbenicillin 100, ciprofloxacin 4, ceftazidime 8,
152 cefotaxime 4, imipenem 4 ($\mu\text{g/ml}$)] were used to isolate bacteria from these samples by
153 plating 100 μl of serial dilutions (1 to 10e^{-4}) in triplicate for each sample and incubation at
154 30°C for up to 24 hrs. Single colonies were repeatedly streaked on the same media for
155 strain purification. Bacteria were routinely grown on LB and stored frozen in this medium
156 supplemented with 20% (V/V) glycerol at -80°C .

157

158 **Determination of antibiotic resistance and β -lactamase expression profiles**

159 A total of 15 antimicrobials from 6 families and two inhibitor/ β -lactamase combinations
160 were used to determine the resistance profiles of each strain by streaking them in parallel
161 on agar plates supplemented with the antibiotics and concentrations indicated in
162 supplementary Table S1. Double disk synergism (DDS) assays were performed to
163 determine the expression phenotypes of specific β -lactamase types [Ambler class A
164 extended spectrum beta-lactamases (ESBLs), class B metallo-beta-lactamases (MBLs) and
165 class C cephalosporinases (AmpC)], as detailed in the legend to Fig S10. The antibiotic
166 breakpoint concentrations and growth inhibition zones were interpreted according to the
167 26th edition of the Clinical and Laboratory Standards Institute (CLSI, 2016) values for
168 *Stenotrophomonas*, *Pseudomonas aeruginosa* or *Enterobacteriaceae*, when not available
169 for the first or second genus, respectively (cutoff values are shown in Tables S1 and S2).

170

171 **PCR amplification of 16S rDNA sequences and their phylogenetic analysis**

172 All strains recovered were classified at the genus level by phylogenetic analysis of the 16S
173 rRNA gene (*rrs*) sequences amplified with the universal fD1/rD1 primers (Weisburg et al.,
174 1991), as previously described (Vinuesa et al., 2005a), and detailed in the supplementary
175 material (supplementary protocol 1).

176

177 **PCR amplification and maximum likelihood phylogenetic analysis of multilocus 178 sequence data**

179 For multilocus sequence analysis (MLSA) of environmental *Stenotrophomonas* isolates we
180 used the primers and conditions reported at <http://pubmlst.org/smaltophilia/>, except for the
181 mutM_steno_6F (5'-ytccccgaagtmgaaacyac-3') and mutM_steno_684R (5'-
182 gcagytctgytgcgaartacc-3') primers, which were designed *de novo* using the Primers4Clades
183 server (Contreras-Moreira et al., 2009) fed with *mutM* orthologues identified using the

184 GET_HOMOLOGUES package (Contreras-Moreira and Vinuesa, 2013) from
185 *Stenotrophomonas* genome sequences (data not shown). PCR amplicons were purified and
186 commercially sequenced at both strands by Macrogen, (South Korea). Raw reads were
187 assembled with the phredphrap script (de la Bastide and McCombie, 2007), codon-based
188 multiple sequence alignments generated with an in-house Perl script, and MSA borders
189 stripped to match the reference pubmlst.org profiles. Individual gene alignments were
190 concatenated and the resulting matrix subjected to model selection with jModelTest2
191 (Darriba et al., 2012) for phylogenetic analysis under the maximum likelihood criterion in
192 PhyML3 (Guindon et al., 2010). Tree searches were initiated from 1000 random seed trees
193 and a BioNj phylogeny, under the BEST moves option, as previously described (Vinuesa et
194 al., 2008).

195

196 **Identification of the 7 MLSA loci in genome sequences retrieved from GenBank**

197 We selected 24 complete (Crossman et al., 2008;Lira et al., 2012;Zhu et al.,
198 2012;Davenport et al., 2014;Vinuesa and Ochoa-Sánchez, 2015) and draft (Patil et al.,
199 2016) genome sequences available in GenBank to expand our dataset with additional key
200 reference strains. The orthologs of the seven MLSA loci were identified using from single-
201 copy homologous gene clusters computed with the GET_HOMOLOGUES package
202 (Contreras-Moreira and Vinuesa, 2013). We found that the *gap* gene in the draft genome
203 sequence of strain *S. ginsengisoli* DSMC24757^T (Acc. No. LDJM00000000) (Patil et al.,
204 2016) contains a thymidine insertion at position 602 that causes a frame-shift mutation and
205 a premature end of the gene. Consequently, the last 72 sites of this sequence were re-coded
206 as ‘?’ (missing characters).

207

208 **Sequence data availability**

209 The sequences generated in this study for multilocus sequence analysis were deposited in
210 GenBank under accession numbers KX895367-KX896038.

211

212 **Bayesian species delimitation using the multispecies coalescent (MSC) and Bayes** 213 **factors (BFs)**

214 Bayesian species delimitation from multilocus data under the MSC model was performed
215 using the recent *BEAST2 module (version 0.7.2) for BEAST 2 (Heled and Drummond,
216 2010;Bouckaert et al., 2014), to evaluate a set of explicit hypotheses of species-boundaries.
217 *BEAST2 was run using the best fitting partitioning scheme (see supplementary protocol
218 2) and the TrN+G model with empirical frequencies, without rate estimation. Trees were
219 unlinked across partitions, setting the ploidy level to 1 for each gene tree and assuming a
220 constant population IO population model. A non-correlated relaxed log-normal clock
221 (Drummond et al., 2006) was assumed for each partition, fixing the clock rate of the first
222 partition and estimating the remaining ones. A non-calibrated Yule prior was set on the
223 species tree. The default 1/x population mean size prior was changed for a proper inverse

224 gamma prior (Baele et al., 2013), with shape parameter $\alpha = 2$ and scale parameter $\beta = 2$ and an initial value of 0.05. The upper and lower bounds were set to 0.001 and 1000.0, respectively. Path sampling was used to estimate the marginal likelihoods of each species delimitation model in *BEAST2 runs for BF calculations (Lartillot and Philippe, 2006; Baele et al., 2012; Grummer et al., 2014), with the MODEL_SELECTION 1.3.1 package. Each *BEAST2 chain was run for 10^8 generations, sampling the posterior every 20000th, with 10 replicate runs and the alpha value set to 0.3, applying 50% burnin. A final triplicate *BEAST2 analysis was set up to get the final estimate of the multispecies phylogeny under the best delimitation model with the same parameters, priors, chain length and sampling frequency described above. Convergence and mixing of replicate runs was checked in tracer (<http://tree.bio.ed.ac.uk/software/tracer/>), as well as the effective sample size values for each parameter. The species tree corresponding to the best species-delimitation hypothesis was visualized with densitree (Bouckaert, 2010), on combined post-burnin (50%) species tree files generated with logcombiner. A summary tree was generated from the latter with treeannotator and visualized with FigTree v1.4.2 <http://tree.bio.ed.ac.uk/software/figtree/>.

240

241 **DNA polymorphism, population structure and recombination analyses**

242 Descriptive statistics for DNA polymorphisms, population differentiation, gene flow, diverse neutrality and population growth tests, as well as coalescent simulations, were computed with DNAsp v.5.10.01 (Rozas et al., 2003), as previously described (Vinuesa et al., 2005b). Bayesian analysis of population structure based on multilocus sequence data was performed in STRUCTURE v2.3.4 under the admixture and correlated gene frequencies models (Pritchard et al., 2000; Falush et al., 2003; 2007). Twenty runs were launched for each K value between 2 and 10, with 10^5 steps sampled after a burnin of 2×10^5 chain iterations. The best K value was defined by the Evanno (Evanno et al., 2005) and Pritchard (Pritchard et al., 2000) methods, as implemented in CLUMPAK (Kopelman et al., 2015). Estimation of recombination rates of selected lineages was performed with ClonalFrameML v1.0.9 (Didelot and Wilson, 2015), using ML trees and Ti/Tv ratios estimated under the HKY85+G model with PhyML3 (Guindon et al., 2010).

254

255 **Statistical analyses**

256 All statistical and graphical analyses were performed with base R (R Development Core Team, 2016) and add-on packages. Basic data manipulation, transformation and graphical displays were achieved with functions of the tidyverse metapackage (<https://CRAN.R-project.org/package=tidyverse>). Tests for normality, homoscedasticity, outliers and skew were performed with the car (<https://cran.r-project.org/package=car>) and moments (<https://cran.r-project.org/package=moments>) packages. Robust ANOVA and associated *post-hoc* analyses (Wilcox, 2016) were performed with the WRS2 package (<https://cran.r-project.org/package=WRS2>). Empirical distributions of test statistics were generated by bootstrapping with the boot package (<https://cran.r-project.org/package=boot>). Multivariate association plots for categorical data were performed with the vcd package (<https://cran.r->

266 [project.org/package=vcd](https://cran.r-project.org/package=vcd)). Multiple correspondence analysis (MCA) was performed with
267 the FactoMineR (<https://cran.r-project.org/package=FactoMineR>) and factoextra packages
268 (<https://cran.r-project.org/package=factoextra>).

269 3. Results

270 3.1 Evaluation of different isolation media for the recovery of *Stenotrophomonas* from 271 aquatic ecosystems with contrasting degrees of fecal contamination

272 We sampled 6 sites located in four rivers/streams of Morelos (supplementary figure S1)
273 that were ranked into three categories based on their pollution level (low, intermediate,
274 high), based on counts of thermotolerant fecal coliforms and *E. coli* (Table 1). Additional
275 physicochemical parameters of each sampling site are presented in Fig. S2. Classification
276 of the isolates at the genus level was based on the phylogenetic analysis of 16S rRNA gene
277 sequences ($n = 697$), as shown in Fig. S3. *Stenotrophomonas* was the second most abundant
278 genus ($n = 154$, 22.1 %) recovered in our collection, after *Pseudomonas* ($n = 239$, 34.3 %),
279 as shown in Fig S4. The inset in Fig. S4 shows a Trellis barplot summarizing the relative
280 efficiency of the different microbiological media tested for the recovery of
281 *Stenotrophomonas*. The analysis reveals that environmental *Stenotrophomonas* strains can
282 be efficiently recovered on the oligotrophic NAA medium supplemented with imipenem (8
283 $\mu\text{g/ml}$; > 90% recovery efficiency). The rich McConkey medium amended with imipenem
284 is also useful (4 $\mu\text{g/ml}$; ~60%), selecting non-fermenting (whitish) colonies.

285

286 3.2 Phylogenetic structure of the genus *Stenotrophomonas* and the definition of species 287 border hypotheses

288 We used intense maximum likelihood (ML) tree searching (see methods) to obtain a global
289 hypothesis of the phylogenetic structure of the genus based on 194 non-redundant
290 multilocus STs (Fig. 1). This dataset contains sequences retrieved from pubmlst.org (seven
291 loci for 103 STs representative of all the “classic” genogroups and clusters defined in
292 previous works (Kaiser et al., 2009; Vasileuskaya-Schulz et al., 2011), plus 24 selected
293 reference strains from different species for which the genome sequences were retrieved
294 from GenBank and the 7 loci extracted, as explained in methods. This comprehensive set of
295 reference strains comprises 11 out of 12 validly describes species of the genus as of April
296 2017. Recently *S. tumulicola* (Handa et al., 2016) was added to the list
297 (www.bacterio.net/stenotrophomonas.html; last access April 10th, 2017), which is the only
298 species missing from our analysis, as it lacks a genome sequence or MLSA data in
299 pubmlst.org. To this set we added the sequences generated in this study for 108
300 environmental isolates from Mexican rivers, comprising 63 haplotypes (distinct multilocus
301 sequence types). Figure 1A presents the best hypothesis found among 1001 independent
302 tree searches (the lnL profile of the tree search is shown in figure S5A), displaying the Smc
303 clade in collapsed form. The ML tree was rooted using four *Xanthomonas* species as the
304 outgroup, chosen based on the evidence of a comprehensive ML phylogeny of nearly full-
305 length 16S rRNA gene sequences for all type strains currently described in the order
306 *Xanthomonadales* (Fig. S6). *S. panacihumi* (Yi et al., 2010) represents the most basal
307 lineage of the genus, which is consistent with its position on the 16S rRNA gene phylogeny
308 (Fig. S6). However, this species was described based on the *rrs* sequence analysis of a
309 single isolate and currently has a non-validated taxonomic status. Two large clades follow,
310 labeled I and II on figure 1A. All species grouped in clade I are of diverse environmental
311 origin, lacking strains reported as opportunistic pathogens. Three of our environmental

312 isolates tightly cluster with the type strain of *S. acidaminiphila* (Assih et al., 2002),
313 including strain ZAC14D2_NAIMI4_2, for which we have recently reported its complete
314 genome sequence (Vinuesa and Ochoa-Sánchez, 2015). The type strain of this species
315 (AMX19^T, LMG22073^T) was isolated from the sludge of a lab-scale anaerobic chemical
316 waste water reactor in Iztapalapa, Mexico City, 1999 (Assih et al., 2002). A single isolate
317 of our collection is phylogenetically related to *S. humi*, while 7 others form a perfectly
318 supported clade with the type strain of *S. terrae* (Heylen et al., 2007). In conclusion, all
319 environmental isolates grouped in clade I were conservatively classified as indicated by the
320 labeled boxes on Fig. 1A, based on the very strong support of the monophyletic clusters
321 formed with the corresponding type strains.

322 Clade IIa groups strains of *S. chelatiphaga* and *S. rhizophila* and clade IIb groups strains of
323 the *S. maltophilia* species complex (Smc) (Fig. 1A). Both of them hold human
324 opportunistic pathogens and environmental isolates, and suffer from taxonomic problems.
325 The taxonomic inconsistency of classifying strains of genogroups #8 and #10 as *S.*
326 *maltophilia* was previously recognized (Vasileuskaya-Schulz et al., 2011). They cluster
327 within the *S. chelatiphaga*-*S. rhizophila* clade, making *S. maltophilia* polyphyletic. *S.*
328 *maltophilia* strains of genogroup #8 were already recognized to belong to *S. rhizophila*, but
329 the taxonomic status of its sister clade, genogroup #10, holding strains labeled as *S.*
330 *maltophilia*, was not clarified (Vasileuskaya-Schulz et al., 2011).

331 Figure 1B shows the same phylogeny presented in Fig. 1A, but collapsing clades I and IIa,
332 and displaying the Smc strains grouped in cluster IIb. All terminal clades containing only
333 reference sequences were also collapsed to avoid excessive cluttering. Figure S5B displays
334 the same tree but without collapsing those clusters. The Smc clade was conservatively split
335 into 5 potential species (labeled boxes in Fig. 1B) based on the deep and strongly supported
336 branches subtending MLSA phylogroups Smc1-Smc4, and taking into account the location
337 of the well characterized *S. maltophilia* model strains K279a (Crossman et al., 2008), D457
338 (Lira et al., 2012) and the type strain of the species ATCC 13637^T (Davenport et al., 2014).
339 The latter three are spread across the clade labeled as *S. maltophilia* (Fig. 1B). Each of the
340 phylogroups Smc1 to Smc4 currently holds ecologically coherent groups of strains: Smc1
341 and Smc2 contain exclusively Mexican river isolates recovered in this study, Smc3 groups
342 cystic fibrosis isolates and Smc4 predominately rhizosphere isolates from diverse plants
343 and parts of the world. In contrast, the large *S. maltophilia* clade holds heterogeneous
344 groups of isolates of clinical and environmental origin, including the previously defined
345 MLSA phylogroups A to E (Kaiser et al., 2001; Vasileuskaya-Schulz et al., 2011) and
346 AFLP genogroups #1 to #10 (Hauben et al., 1999). We conservatively define a new MLSA
347 phylogroup F, comprising isolates of clinical and environmental origin from different
348 continents, but dominated by river isolates reported herein (Fig. 1B). We note that *S.*
349 *pavanii* DSM 25135^T, an endophytic N₂-fixing bacterium isolated from sugarcane in Brazil
350 (Ramos et al., 2010), is clearly nested within genogroup #1, closely related to the reference
351 strain *S. maltophilia* D457 (Fig. 1B). This represents an additional taxonomic inconsistency
352 for the *S. maltophilia* clade not previously reported. Here we suggest that *S. pavanii* is a
353 late heteronym of *S. maltophilia*. *S. africana*, nested within genogroup #4, had already been
354 described as a later heterotypic synonym of *S. maltophilia* (Coenye et al., 2004; Kaiser et
355 al., 2009), the same as *Pseudomonas beteli* 962^T, related to MLSA genogroup E, and *P.*
356 *hibiscicola* ATCC19867, related to genogroup #3 (Hauben et al., 1999; Vasileuskaya-

357 Schulz et al., 2011). The latter two species were already recognized in 1990 to be
358 misclassified based on the analysis of an extensive set of phenotypic features, being
359 synonyms of *S. maltophilia* (Van Den Mooter and Swings, 1990). The taxonomic status of
360 *S. tumulicola* (Handa et al., 2016) could not be revised in the present work because it lacks
361 multilocus sequence data.

362

363 **3.3 Bayesian species delimitation based on the multispecies coalescent (MSC) model** 364 **and Bayes factor (BF) analysis of marginal likelihoods**

365 We used a recent software implementation of the MSC model (Heled and Drummond,
366 2010;Bouckaert et al., 2014) to test the explicit species delimitation hypotheses highlighted
367 in Figs. 1A and 1B by means of BF analysis of marginal likelihoods (Grummer et al., 2014)
368 in a formal Bayesian statistical framework. The best partitioning scheme (see
369 supplementary protocol 2 and Fig. S7) was used for all *BEAST2 runs. Of particular
370 interest to this work was the evaluation of the following five species delimitation
371 hypotheses within the Smc: split1 (species assignments as defined by the shaded areas on
372 Figs. 1A and 1B), lump_ *S. maltophilia*+Smc1, lump_ *S. maltophilia*+Smc12, lump_ *S.*
373 *maltophilia*+Smc123, lump_ *S. maltophilia*+Smc1234, which successively lump the *S.*
374 *maltophilia* sequence cluster with the proposed Smc1-Smc4 genospecies (Table 2 and Fig.
375 1B). Analysis of the logfiles for the path sampling runs for each model and replicate had
376 effective sample size (ESS) values > 150 for all parameters, most of them with ESSs >>
377 500. As shown in Table 2, the split1 hypothesis was the favored one as it attained the
378 highest marginal likelihood. The BF analysis provides overwhelming evidence (Table 2)
379 that the Smc, as actually defined in pubmlst.org, lumps multiple cryptic species, strongly
380 supporting the species delimitation hypothesis presented in Fig. 2B, which conservatively
381 splits the complex into the 5 species: *S. maltophilia* and the four new lineages Smc1, Smc2,
382 Smc3 and Smc4. Smc1 and Smc2 contain only Mexican representatives of environmental
383 *Stenotrophomonas* sampled in this study. The split1 vs. lump_cluster#8+cluster#10 (Fig
384 1A) model was also evaluated, providing overwhelming evidence in favor of separating the
385 two genogroups #8 and #10 as distinct species (\ln -BF > 5, Table 2). Supplementary figures
386 S8 and S9 show the consensus and DensiTree (see methods) species tree representations,
387 respectively, of the merged (3 replicate runs), post-burnin (50%) samples, for the best
388 hypothesis.

389

390 **3.4 Population genetic structure analysis of Mexican environmental *S. maltophilia*** 391 **complex isolates**

392 In order to challenge the results of the multispecies coalescent (MSC)-based species
393 delimitations presented in the previous section, we performed a Bayesian population
394 clustering analysis on all Mexican isolates grouped within the Smc clade (Fig. 1B) using
395 STRUCTURE (see methods). We included also the Smc4 lineage, identified among
396 pubmlst.org sequences, as an outgroup control. Figure 2 shows the optimally aligned
397 STRUCTURE barplots for the 20 replicate runs made for the indicated *K* values, depicting
398 the ancestry proportions of each individual. Evanno's delta-*K* method estimated an optimal

399 number of 3 clusters, while Pritchard's method suggested an optimal $K = 6$. This analysis
400 uncovered a strong population subdivision of the Mexican Smc isolates, which is consistent
401 with the MSC-based species delimitation (Fig. 1B). Given the independent evidence from
402 the MSC analysis, we favor a conservative $K = 4$, as it consistently resolves the same 4
403 clusters classified as distinct species by the phylogenetic approach (*S. maltophilia*, Smc1,
404 Smc2 and Smc4). Detailed inspection of the barplots reveals that already at $K = 4$ an
405 important substructure exists within the *S. maltophilia* and Smc1 lineages. At $K = 6$ the
406 Mexican *S. maltophilia* population gets subdivided into three clusters, while Smc1 is split
407 into two subgroups. Clear evidences of admixture exist in both clades, suggesting that gene
408 flow and recombination might be at play in these clusters.

409

410 **3.5 McDonald-Kreitman (MK) neutrality test, genetic differentiation and gene flow** 411 **estimates between pairs of environmental lineages of the *S. maltophilia* complex**

412 To determine the statistical support of the major clusters revealed by STRUCTURE we
413 computed the K^*_{ST} index of population genetic differentiation (Hudson et al., 1992)
414 between them. The results presented in Table 3 indicate that the Smc1, Smc2 and *S.*
415 *maltophilia* lineages are strongly differentiated ($p < 0.001$) based on the K^*_{ST} index, with
416 multiple fixed differences between populations (range 9 - 45) and mean population
417 divergences (D_{xy}) $> 4.5\%$. The high F_{ST} fixation indices (range 0.43 – 0.59) further denote
418 very strong population differentiation. This is consistent with the low numbers of effective
419 migrants per generation estimated (Nm range 0.34 – 0.66), indicating limited genetic flux
420 between these lineages. We applied the MK test (McDonald and Kreitman, 1991) and
421 computed the “neutrality index” (NI) (Rand and Kann, 1996) to test if the observed
422 polymorphisms between pairs of lineages have evolved by the accumulation of neutral
423 mutations by random drift, the fixation of adaptive mutations by selection, or a mixture of
424 the two. As shown in Table 3, the G and NI indices for pairwise species comparisons
425 indicate that fixed differences between species are due to nonsynonymous differences more
426 often than expected, suggesting that positive selection may be driving divergence of Smc1
427 and *S. maltophilia* from Smc2. This signal is not significant ($p = 0.077$) for the Smc1 and *S.*
428 *maltophilia* comparison.

429

430 **3.6 Comparative analysis of DNA polymorphisms and recombination rates across the** 431 ***S. maltophilia* complex (Smc) and *S. terrae* lineages**

432 Table 4 presents basic descriptive statistics of DNA polymorphisms, neutrality and
433 population growth tests computed for the Mexican populations/genospecies with ≥ 10
434 isolates (Smc1, Smc2, *S. maltophilia* and *S. terrae*. Based on their average nucleotide
435 diversity per site (π) values, the lineages sort in the following decreasing order of diversity:
436 *S. maltophilia* $>$ Smc1 $>$ *S. terrae* $>$ Smc2. The high π values, high numbers of haplotypes
437 (h) and the high haplotype diversity values (Hd) consistently reveal that species in the
438 genus comprise notoriously diverse gene pools. Tajima's D values are all negative, but non-
439 significant, suggesting that the loci are either under purifying selection or that populations
440 are undergoing expansions. We used the R_2 population growth test statistic (Ramos-Onsins

441 and Rozas, 2002) to test the null hypothesis of constant population size. Since all p -values
442 were > 0.1 , there is no evidence of population expansion.

443 Table 5 shows the estimates for R/θ , the ratio between the mean number of
444 recombination to mutation events. The ratios are > 1 (except for *S. terrae*) and for Smc2
445 and *S. maltophilia* the recombination events are estimated to be almost twice and nearly
446 three times the number of mutation events, respectively. This indicates that homologous
447 recombination events introduce significantly more polymorphisms into the
448 *Stenotrophomonas* genomes than point mutations. The inverse mean DNA import length
449 estimates ($1/\delta$) suggest that on average rather long sequence stretches are affected by
450 recombination (range 375-1472 nt), with a considerable mean sequence divergence (range
451 0.009-0.065).

452

453 **3.7 High diversity of novel STs among the Mexican Smc isolates**

454 The allele numbers and STs were determined for each of the 77 isolates in the Smc (Smc1
455 = 11, Smc2 = 15 and *S. maltophilia* = 51) recovered from Mexican rivers, by comparing
456 them with the corresponding 177 STs and associated alleles downloaded from pubmlst.org
457 (as of Nov. 18th, 2016) using an in house Perl script. A high diversity of new alleles was
458 discovered, as summarized in Table S4. Only the ST139, displayed by the Mexican *S.*
459 *maltophilia* isolate ESTM1D_MKCAZ16_6, was previously reported in pubmlst.org,
460 highlighting the novelty of the genotypes recovered in this study. A single entry is currently
461 found in pubmlst.org for ST139, which corresponds to the Spanish isolate S3149-CT11 (id
462 220), recovered in 2007 from a surgical wound of a patient treated in an hospital from
463 Barcelona. The Mexican Smc strains add 56 new STs (numbers 178 – 233) to those
464 reported in the pubmlst.org database, with ST219 being the most prevalent one (see
465 supplementary Tables S3, S4 and Figure S10), shared by 9 isolates recovered from the
466 sediments of the highly contaminated TEX site (Table 1), both on MK and NAA media.

467

468 **3.8 Multivariate association mapping of species, antimicrobial resistance phenotypes** 469 **and habitat preferences by multiple correspondence analysis (MCA)**

470 We used MCA to visualize the associations between the antibiotic resistance profiles, β -
471 lactamase production phenotypes (Fig. S11), habitat preferences and species assignments
472 (Figs. 1A and 1B) made for the Mexican *S. maltophilia*, Smc1, Smc2 and *S. terrae* isolates
473 (all with > 10 isolates /species). Figure 3 depicts the MCA factor-individual biplot resulting
474 from the analysis of 17 active variables and 4 supplementary categorical variables, listed in
475 the figure caption. The clouds of individuals for each species were hidden (visible in Fig.
476 S12) to avoid over-plotting, but the 95% confidence intervals (CIs) for species are shown as
477 color-coded ellipses. The first two dimensions explain 45.3% of the variance, the first
478 dimension accounting for > 3.8 times the variability explained by the second and following
479 ones, as shown in the screeplot presented in Fig. S13A. The variable plot depicted in Fig.
480 S13B reveals that the active variable species is most correlated one with the two first two
481 dimensions, indicating that their resistance profiles and β -lactamase expression phenotypes

482 are distinct. The variables GM, KA, MER, FEP, TET, ATM (abbreviations defined in the
483 legend to Fig. 3), β -lactamase expression and the MDR condition are strongly correlated
484 with the first component, while IMP and SM are the variables most strongly associated
485 with the second dimension (Fig. S13B). Figure 3 shows that the *S. maltophilia* (malt)
486 strains form a distinct and independent cloud that is characterized by a very strong
487 association with a resistance status to the following antibiotics: CAZ, CAZ.CLA, GM, FEP,
488 GM, KA and SM. It is also strongly associated with the MDR condition and metallo- β -
489 lactamase production. The latter are the most-strongly contributing variables for the
490 delimitation of this group, as depicted in the variable-categories MCA map presented in
491 Fig. S14. *S. maltophilia* shows a preference for the sediments of contaminated sites. The
492 resistance phenotypes and habitat preferences of the Smc1 and Smc2 lineages largely
493 overlap, those of *S. terrae* being more differentiated, but partially overlapping with the 95%
494 CI ellipse for Smc2. The Smc1 and Smc2 lineages are strongly associated with non MDR,
495 aminoglycoside, P.T. and Tm.Cb sensitivity, showing a preference for the water column of
496 clean or moderately contaminated sites (Fig 3 and Fig S13). The *S. terrae* isolates are
497 distinctly and strongly associated with carbapenem and ATM sensitivity (Fig 3 and Fig
498 S14). The statistical significance of these antibiotic resistance and habitat preference
499 patterns will be formally tested in the next two sections, respectively.

500

501 **3.9 Only *S. maltophilia* is truly multidrug-resistant (MDR) and isolates from polluted** 502 **sites express resistance to more antibiotic families**

503 We performed one-way ANOVA analyses to evaluate differences *i*) in the mean number of
504 resistances to individual antibiotics (NumR), *ii*) in the mean number of distinct drug
505 families (NumFam) across species, and *iii*) to determine whether *S. maltophilia* isolates
506 recovered from high and low pollution sites have the same NumR and NumFam. Figures
507 4A, 4B, 4E and 4F display violin and boxplots for the raw count data, which revealed
508 skewed, non-normal distributions, with a few outliers. Key assumptions (homoscedasticity
509 and normality) made by standard one-way ANOVA were formally tested (Tables S5, and
510 S6), which confirmed multiple cases of highly significant departures from normality.
511 Consequently, we performed robust one-way ANOVA (Wilcox, 2016) using trimmed
512 means ($tr = .2$) and bootstrap ($nboot = 2000$) to simulate the distribution of the trimmed
513 sample means and compute the appropriate critical values for the confidence intervals (95%
514 CIs). Figs. 4C and 4D depict mean plots with 95% CIs for the NumR and NumFam,
515 respectively, across the four species with > 10 isolates. These clearly show that *S.*
516 *maltophilia* strains express significantly higher mean $_{(tr=.2)}$ NumR (12.63) and NumFam
517 (4.66) than the other three species, only *S. maltophilia* being truly MDR. Highly significant
518 results for both the NumR [$F_t = 176.3447$, $p = 0$. Variance explained (σ^2) = 0.821; effect
519 size ($e.s.$) = .906] and NumFam ($F_t = 32.2755$, $p = 0$; $\sigma^2 = .511$; $e.s. = .715$) were obtained,
520 thus rejecting the null hypothesis of equal trimmed means for both variables across species,
521 and revealing huge effect sizes (>.8). A Wilcox robust *post-hoc* test of the trimmed mean
522 NumR and NumFam comparisons across pairs of species confirms that all those involving
523 *S. maltophilia* are very highly significant ($p = 0$; Figs. S15A and S15B, Tables S7 and S8),
524 as indicated by asterisks on the mean $_{(tr=.2)}$ plots shown in Figs. 4C and 4D.

525 Sample sizes for *S. maltophilia* isolates recovered from sites with high and low pollution
526 were large enough (Fig. 4E) to test the hypothesis of equal NumR and NumFam conditional
527 on pollution level. We used the robust *yuenbt* ($tr = .2$, $nboot = 998$) method for independent
528 mean comparisons (Wilcox, 2016). The tests for NumR [$T_y = 2.1964$, $p = 0.038$; $mean_{(tr=.2)}$
529 difference = 1.233, $CI_{95\%} = (0.0663, 2.4004)$, $e.s. = .43$] and for NumFam [$T_y = 2.6951$, p
530 = 0.022; $mean_{(tr=.2)}$ difference = 0.95, $CI_{95\%} = (0.1636, 1.7364)$, $e.s. = .5$], indicating that
531 both variables have significantly higher values in the high-pollution sites (Figs. 4G and
532 4H). However, given that the 95% *CI*s of the mean NumR comparison overlap (Fig. 4G),
533 and that a high number of tied (repeated) values occur (Fig. 4E), we run also Wilcox's
534 robust percentile bootstrap method for comparing medians [*medpb2* ($nboot = 2000$)], which
535 provides good control over the probability of Type I error when tied values occur. For
536 NumR the test could not reject the null of equal medians [$M^* = 1$, $p = .094$, $CI_{95\%} = (0,$
537 $2.5)$], but was significant for NumFam [$M^* = 1$, $p = .0495$, $CI_{95\%} = (0, 2)$].

538

539 **3.10 *Stenotrophomonas* species differ in their β -lactamase expression patterns and** 540 **only *S. maltophilia* strains express metallo- β -lactamases**

541 Association plots (Fig. 5) revealed a very highly significant association ($p \approx 0$) between
542 species and type of β -lactamases expressed (Fig. S11). Metallo- β -lactamase expression was
543 significantly and exclusively associated with *S. maltophilia* isolates. Most isolates from the
544 Smc1 and Smc2 lineages did not express any kind of β -lactamase, although expression of
545 extended spectrum of β -lactamases could be detected in a few isolates of these species. No
546 β -lactamase expression was detected in *S. terrae* isolates.

547

548 **3.11 The prevalence of environmental *Stenotrophomonas* species recovered from** 549 **Mexican rivers is significantly associated with habitat and pollution level**

550 We performed a multi-way association analysis to test the null hypothesis that
551 *Stenotrophomonas* species prevalence is independent of isolation habitat (sediment vs.
552 water column), the pollution level of the sampling site (Table 1) and isolation medium (Fig.
553 6). The test strongly rejects the null hypothesis ($p < .00001$). *S. maltophilia* was mainly
554 recovered on MK plates, being significantly associated with polluted sediments. The Smc1
555 lineage displayed a moderately significant association with clean water columns, although
556 some isolates could also be recovered from contaminated sediments using NAA, which is
557 consistent with the MCA results presented in Fig. 3. In contrast, Smc2 isolates were very
558 significantly overrepresented in the water columns of clean rivers and underrepresented in
559 sediments, suggesting a high level of ecological specialization. *S. terrae* isolates were
560 mainly recovered on oligotrophic NAA plates from the sediments of clean sites (Table 1).

561

562 4. Discussion

563 In this study we demonstrate the power of complementary phylogenetic and population
564 genetics approaches to delimit genetically and ecologically coherent species among a
565 diverse collection of environmental isolates of the genus *Stenotrophomonas*. Importantly,
566 this is done based exclusively on molecular evolutionary criteria (Vinuesa et al., 2005b),
567 without using any arbitrary sequence or phenetic similarity cut-off values, as embraced by
568 the standard polyphasic approach that dominates current bacterial taxonomic practice
569 (Kämpfer and Glaeser, 2012). The robustness of the species delimitations proposed here are
570 supported by the statistically significant associations they exhibit with distinct habitat
571 preferences, antibiotic resistance profiles, MDR status and β -lactamase expression
572 phenotypes.

573 To our knowledge, this is the first study that used the multispecies coalescent (MSC) model
574 (Rannala and Yang, 2003; Edwards et al., 2007; Degnan and Rosenberg, 2009) coupled with
575 Bayes factor (BF) analyses (Kass and Raftery, 1995) for microbial species delimitation.
576 The MSC model has the virtue of relaxing the implicit assumption made by the
577 concatenation approach that the phylogeny of each gene is shared and equal to that of the
578 species tree. Although this assumption is problematic, the concatenation approach is the
579 current standard in microbial multilocus sequence analysis (Gevers et al., 2005; Vinuesa,
580 2010; Glaeser and Kämpfer, 2015), including phylogenomics (Rokas et al., 2003; Wu and
581 Eisen, 2008). It has been shown that phylogenetic estimates from concatenated datasets
582 under coalescence are inconsistent (Kubatko and Degnan, 2007; Song et al., 2012), that is,
583 converge to wrong solutions with higher probability as the number of concatenated loci
584 increases. However, the impact of this inconsistency still needs to be thoroughly evaluated
585 with clonally multiplying microbial organisms experiencing different rates of
586 recombination (Hedge and Wilson, 2014). In our analyses, the topology of the ML
587 phylogeny inferred from the concatenated dataset (Fig. 1) is largely congruent with the
588 Bayesian species tree inferred under the MSC (Fig. S8). It is worth noting that the numbers
589 on the branches in this type of species trees denote the estimated population sizes. That of
590 *S. maltophilia* is about 1 order of magnitude larger than the population size estimates for
591 the Smc1 and Smc2 genospecies (Fig. S8), which reflects the genetic heterogeneity of
592 strains grouped in the *S. maltophilia* lineage, which includes the majority of the Mexican
593 isolates, along with reference strains from the pubmlst.org and genome databases, isolated
594 across the globe. Lumping this heterogeneous set of recombining sub-lineages into a single
595 species results in coalescent events higher up in the species tree than those observed for the
596 Smc1 and Smc2 lineages. This is consistent with the marked internal structure revealed by
597 the Bayesian structure analysis within *S. maltophilia* (Fig. 2), which suggests that
598 additional cryptic species may be found within the *S. maltophilia* lineage. Patil and
599 colleagues recently proposed that the type strains of *S. africana*, *P. beteli* and *P.*
600 *hibiscicola*, which are phylogenetically placed within the *S. maltophilia* clade (Fig. 1A),
601 and have been reclassified as *S. maltophilia*, actually represent distinct species, based on
602 their estimates of genomic average nucleotide identity values < 94% (Patil et al., 2016). In
603 our view, these clusters represent incipient species that are still capable of recombining
604 between them, as suggested by the admixture found in the STRUCTURE barplots (Fig. 2)
605 and by our estimates for recombination within *S. maltophilia* (Table 5). Further
606 investigations involving comparative and population genomics are required to identify clear

607 signatures of speciation within the *S. maltophilia* sub-lineages, including “speciation genes
608 and islands” (Shapiro et al., 2016). Despite the conservative approach taken in this study,
609 the BF analysis provides statistical support in favor of splitting the *S. maltophilia* complex
610 as currently defined in pubmlst.org into the following 5 broad evolutionary lineages: *S.*
611 *maltophilia* and the genospecies Smc1 to Smc4. Overwhelming support ($\ln\text{-BF} > 5$) was
612 also obtained indicating that genogroup #10 (Vasileuskaya-Schulz et al., 2011), the sister
613 clade of *S. rhizophila* (genogroup #8), constitutes an independent, non-described species
614 (Table 2). This is of practical importance from a biotechnological perspective because it has
615 been argued that plant-associated *S. rhizophila* strains (Vasileuskaya-Schulz’s genogroup
616 #8) can be safely and easily separated from *S. maltophilia* pathogens in clade IIb (Berg and
617 Martinez, 2015) based on 16S rRNA gene sequences and *ggs* and *smeD* PCR-based
618 typing (Ribbeck-Busch et al., 2005). However, it would be important to define differences
619 of the former with strains in the sister genogroup #10, which holds both rape rhizosphere
620 and human blood and tigh bone infection isolates. In summary, our MSC-BF analysis
621 provided strong evidence for the existence of 5 new species in the analyzed dataset.

622 Considering that the MSC model implemented in *BEAST 2 was not specifically
623 developed for bacteria, and given that this model has been put under criticism due to
624 detectable model misspecification when tested on diverse empirical animal and plant
625 datasets using posterior predictive simulations (Reid et al., 2014), it was important to
626 evaluate the robustness of the Bayesian species delimitations with independent methods.
627 We challenged the proposed species borders within the Smc by performing well-established
628 population genetic analyses on our collection of environmental isolates from the sister
629 lineages *S. maltophilia*, Smc1 and Smc2. We focused on detecting population genetic
630 structure, estimating gene flow between the phylogenetically defined species, and
631 identifying signatures of selection. Such data and evidence are predicted by current
632 ecological models of bacterial speciation to reflect speciation events (Vinuesa et al.,
633 2005b;Koeppel et al., 2008 ;Vos, 2011;Cadillo-Quiroz et al., 2012;Shapiro and Polz, 2014).

634 Current models of bacterial speciation suggest that groups of closely related strains that
635 display some degree of resource partitioning, and consequently occupy different niches,
636 will be affected by independent selective sweeps caused by the gain of a beneficial gene
637 either by horizontal transfer or by adaptive mutation. These may sweep to fixation if
638 recombination levels are low in relation to selection coefficients, or form so called
639 “speciation islands or continents” in the genomes of highly recombining populations
640 (Cadillo-Quiroz et al., 2012;Shapiro et al., 2012). Such (sympatric) populations are
641 predicted to be discernable as sequence clusters that diverge from each other because of the
642 fixation of different adaptive mutations. This leads to the formation of independent
643 genetically and ecologically coherent units as gene flow between them gradually drops as
644 they diverge by means of natural selection (Vos, 2011;Shapiro and Polz, 2014). We could
645 show that the Mexican *S. maltophilia*, Smc1 and Smc2 lineages satisfy these predictions.
646 The K_{ST}^* test statistic (Hudson et al., 1992) detected highly significant genetic
647 differentiation between all pairs of these sympatric lineages based on DNA polymorphisms
648 (Table 3). This is consistent with the results from the STRUCTURE analysis. Conversely,
649 the number of migrants between these lineages was negligible, evidencing low levels of
650 gene flow between them. Additionally, the “neutrality index” (NI), and the results of the
651 MK tests suggest that positive selection, rather than drift, is the force promoting divergence

652 between the lineages, which is in line with predictions from the adaptive divergence model
653 (Vos, 2011). However, the latter interpretation needs to be considered cautiously, as the
654 high relative rate of between-species non-synonymous substitutions observed could also be
655 generated by within-species purifying selection to eliminate slightly deleterious mutations
656 (Hughes, 2005; Hughes et al., 2008). The latter interpretation is consistent with the observed
657 negative, but not significant Tajima's D values (Table 4). These are not likely to reflect a
658 population expansion, given the non-significant p -values of the powerful R_2 statistic for
659 population growth, which is well suited for small sample sizes such as those of the Smc1
660 and Smc2 lineages (Ramos-Onsins and Rozas, 2002). We could show that recombination is
661 an important force, providing genetic cohesion to these lineages, with Rho/θ estimates
662 ranging from 1.11 in Smc1 to nearly 3 in *S. maltophilia*. Since recombination events are
663 only detectable when a tract of multiple polymorphisms are introduced in a population, it is
664 clear that most of the observed polymorphisms within the analyzed populations originate
665 from recombination rather than point mutations. The high recombination levels detected
666 within the *S. maltophilia* lineage suggests that speciation within this group is an ongoing,
667 possibly not yet finished process, along a "spectrum" of speciation, resulting in "fuzzy"
668 borders between the sub-lineages (Hanage et al., 2005; Shapiro et al., 2016). However, these
669 can be already detected as phylogenetic and STRUCTURE clusters, even with the limited
670 resolving power provided by the 7 gene MLST scheme used.

671 As predicted by the ecological speciation models recently developed for bacteria (Koepfel
672 et al., 2008; Vos, 2011; Shapiro and Polz, 2014), the marked genetic differentiation detected
673 between the sympatric *S. maltophilia*, Smc1 and Smc2 environmental populations is
674 significantly associated with different habitat preferences, antibiotic susceptibility profiles
675 and β -lactamase expression phenotypes. These attributes strongly suggest that these
676 lineages have differentiated ecological niches. The significant differences in habitat
677 preferences could provide some micro-geographic separation between the populations
678 coexisting in the same river, which might partly explain the reduced gene flow measured
679 between them, despite of being sister lineages, contributing to their genetic differentiation.
680 Similar patterns have been reported for other aquatic microbes such as *Desulfohalobus*
681 (Oakley et al., 2010), *Exiguobacterium* (Rebollar et al., 2012) and *Vibrio* (Shapiro et al.,
682 2012; Friedman et al., 2013). Consequently, our results support the growing body of
683 evidence pointing to niche partitioning as a major factor promoting evolutionary divergence
684 between closely related sympatric prokaryotic populations, even when they exhibit high
685 levels of recombination (Shapiro and Polz, 2014).

686 As noted before, *S. maltophilia* is well-known as an emergent opportunistic multidrug-
687 resistant (MDR) nosocomial pathogen, causing increasing morbidity and mortality (Looney
688 et al., 2009; Brooke, 2012). Comparative genomics and functional analyses have clearly
689 established that the MDR or extensively drug-resistant (XDR) phenotype displayed by
690 clinical isolates of this species is largely intrinsic, resulting from the expression of a
691 combination of several types of efflux pumps (RND, MATE, MFS and ABC types) and
692 diverse chromosomally-encoded antibiotic resistance genes [*aph(3')-IIIc*, *aac(6')-Iz* and
693 *Smqnr*], including the metallo-beta-lactamase *blaL1* and the inducible Ambler class A beta-
694 lactamase *blaL2* (Crossman et al., 2008; Brooke, 2014; García-León et al., 2014; Sanchez,
695 2015; Youenou et al., 2015). However, contradictory results have been reported regarding
696 the MDR status of environmental isolates of the Smc. For example, a recent ecological

697 study of a large collection isolates classified as *S. maltophilia* recovered from diverse
698 agricultural soils in France and Tunisia concluded that they display a high diversity of
699 antibiotic resistance profiles, expressing resistance against 1 to 12 antibiotics, with clinical
700 and manure isolates expressing the highest numbers (Deredjian et al., 2016). These isolates
701 were vaguely classified as *S. maltophilia* based on growth on the selective VIA isolation
702 medium (Kerr et al., 1996) and PCR detection of the *smeD* gene (Pinot et al., 2011). We
703 argue that the large phenotypic variance observed in that and similar studies result from the
704 lack of proper species delimitation. This cannot be achieved with such coarse typing
705 methods, most likely resulting in the lumping of multiple species into *S. maltophilia*. In
706 contrast, in the present study we found a very strong statistical association between the
707 MDR condition and metallo- β -lactamase (MBL) production with the *S. maltophilia* lineage,
708 whereas the sibling Smc1 and Smc2 genospecies were found to express on average
709 resistance to < 3 antibiotic families (Figs. 4B and 4D and S13B), most strains not
710 expressing any kind of β -lactamase, and none expressing MBLs (Fig. 5). Consequently,
711 intrinsic MDR can only be assumed for the *S. maltophilia* strains of clinical and
712 environmental origin.

713 In conclusion, the results presented here provide the first in depth and integrative molecular
714 systematic, evolutionary genetic and ecological analysis of the genus *Stenotrophomonas*.
715 The study demonstrates that both phylogenetic and population genetic approaches are
716 necessary for robust delimitation of natural species borders in bacteria. Failure to properly
717 delimit such lineages hinders downstream ecological and functional analysis of species.
718 Comparative and population genomic studies are required to resolve pending issues
719 regarding the speciation status of the sub-lineages within the *S. maltophilia*.

720

721 **5. Conflict of Interest Statement:** The authors declare that the research was conducted in
722 the absence of any commercial or financial relationships that could be construed as a
723 potential conflict of interest.

724

725 **6. Author Contributions**

726 All authors read and approved the manuscript. LEOS and PV conceived and designed the
727 project. LEOS generated the collection of isolates, performed wet-lab experiments and
728 analyzed resistance phenotypes. PV performed bioinformatics, statistical and evolutionary
729 genetic analyses. PV wrote the manuscript.

730

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749

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1048 **Table 1.** Sampling sites for this study in Morelos, Mexico and pollution level based on
 1049 counts of thermotolerant fecal coliforms (TTFCs) and thermotolerant *E. coli* (TTEc)
 1050 colony forming units (cfu/100 ml) measured in the water column.

River	Site name	code	coordinates ^a	TTFCs ^b	TTEc ^c	Pol.level ^c
Apatlaco	Temixco	TEX	18°51'14.4"N 99°13'20.4"W	5.66e5	3.6e5	H
Apatlaco	Zacatepec	ZAC	18°38'23.4"N 99°11'44.5"W	5.34e5	3.2e5	H
Sauces	Los Sauces (river)	SAU	18°41'50.0"N 99°07'45.7"W	240	97	L
Sauces	Los Sauces (flooded soil)	SAUr	18°41'50.0"N 99°07'45.7"W	966	166	L
Estacas	Las Estacas	EST	18°43'57.5"N 99°06'48.3"W	83	17	L
Yautepec	Bonifacio García	YAU	18°43'24.0"N 99°06'53.3"W	1.96e3	833	I

1051 ^aSee supplementary Figure S1 for maps and pictures of the sampling sites.

1052 ^bThermo-tolerant fecal coliform counts were performed on mFC agar using the membrane-
 1053 filtration method.

1054 ^cThermo-tolerant *E. coli* counts were performed on mTEC agar using the membrane-
 1055 filtration method.

1056 ^dPollution level, coded as high (H > 1000), intermediate (200 < I < 1000) and low (L <
 1057 200), based on the colony forming units (cfu) of thermo-tolerant *E. coli*.

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1061 **Table 2.** Bayes factor (BF) analysis for 5 species delimitation hypotheses within the *S.*
 1062 *maltophilia* complex, plus genogroups #8 and #10, based on marginal likelihoods computed
 1063 for each hypothesis by path sampling (see methods).

Species model ^a	marginal lnL estimate	Model rank	ln-BF ^b (vs. best)	ln-BF ^c (vs. previous)
split1	-31347.16515	1 (best)	NA	NA
lump_Smal+Smc1	-31384.34206	2	4.308835**	4.308835** (2 vs. 1)
lump_Smal+Smc12	-31498.71633	3	5.714071***	5.432623*** (3 vs. 2)
lump_Smal+Smc123	-31521.18269	4	5.852303***	3.805166** (4 vs. 3)
lump_Smal+Smc1234	-31593.24923	5	6.19882***	4.970737** (5 vs. 4)

1064 ^aThe best (split1) model assumes that genogroup #10 is a sister clade of *S. rhizophila* (Fig.
 1065 1A) and splits the Smc into *S. maltophilia* (Smal) and four additional species clades (Smc1-
 1066 Smc4), according to Fig. 1B. The following models consecutively lump the *S. maltophilia*
 1067 clade with the Smc1-Smc4 clades, with lump_ *S. maltophilia*+Smc1234 representing the
 1068 whole *S. maltophilia* complex as a single species (Figs 1A and 1B). The marginal lnL for
 1069 the Lump_clades_#8+#10 model is -31465.00904, resulting in a ln-BF = 5.462508***
 1070 when compared against the split1 (best) model.

1071 ^bThe ln-Bayes factors are computed based on the marginal likelihood estimates ln-BF =
 1072 log(2(M₀-M₁)), where M₀ is the best model (model 1), which is compared against each of
 1073 the following ones. *indicates positive support [ln(BF) is n the range of 1.1-3]; ** indicates
 1074 strong support [ln(BF) is n the range of 3-5]; *** indicates overwhelming support [ln(BF) >
 1075 5].

1076 ^cThe ln-Bayes factors are computed as described above, but involve M₀ as the model
 1077 preceding model M₁ from the ranked model list.

1078 NA = not applicable.

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Table 3. McDonald-Kreitman (MK) neutrality tests, genetic differentiation and gene flow estimates between environmental isolates of the Smc1, Smc2 and *S. maltophilia* (Smal) lineages of the *S. maltophilia* complex recovered from Mexican rivers based on the concatenated dataset (3591 sites). D_{xy} is the interpopulation genetic distance. K_{ST}^* is Hudson's index of population genetic differentiation. F_{ST} is the fixation index. N_m represents the number of migrants per generation. NI is the neutrality index and G is the likelihood ratio or G -test of independence.

Lineages	No. Fixed diffs.	D_{xy}	K_{ST}^*	F_{ST}	N_m	NI	G	p -value
Smc1-Smc2	45	0.04616	0.13791***	0.57819	0.36	0.328	6.709	0.00959**
Smc1-Smal	9	0.04542	0.06654***	0.43022	0.66	0.247	3.133	0.07672
Smc2-Smal	28	0.04924	0.09508***	0.59384	0.34	0.312	6.020	0.02515*

1091 ^a The significance of the estimated statistic was computed using the permutation test with
1092 10000 iterations.

1093 ^b p -values for the G -test.

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Table 4. Descriptive statistics of DNA polymorphisms, neutrality and population growth tests for environmental isolates of the Smc1, Smc2, *S. maltophilia* (Smal) and *S. terrae* (Sterr) lineages recovered from Mexican rivers based on the concatenated dataset (3591 sites). The p -values for the R_2 statistic were estimated using 10000 coalescent simulations assuming an intermediate level of recombination.

Species	No. Seqs	S	Eta	π	h/Hd	$Theta / site$	$Tajima's D$	R_2	p -value
Smc1	11	258	280	0.02502	9/0.945	0.02662	-0.29156 (NS)	0.1390	0.45
Smc2	15	173	185	0.01393	14/0.990	0.01584	-0.45427 (NS)	0.1199	0.19
Smal	51	500	560	0.02652	33/0.929	0.03470	-0.85761 (NS)	0.0903	0.17
Sterr	10	318	328	0.02388	7/0.067	0.04455	-1.30647 (NS)	0.1482	0.49

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Table 5. Recombination estimates for environmental isolates of the Smc1, Smc2, *S. maltophilia* (Smal) and *S. terrae* (Sterr) lineages recovered from Mexican rivers based on the concatenated dataset (3591 sites). The figures indicate the posterior mean and their variances are shown in parentheses.

Lineages	$R/theta$	$1/delta$	nu
Smc1	1.10774 (0.07076)	0.0006789 (3.213e-08)	0.0152529 (7.570e-07)
Smc2	1.89989 (0.12028)	0.0009080 (3.121e-08)	0.00864951 (3.081e-7)
Smal	2.95326 (0.10044)	0.0010379 (1.302e-08)	0.0129774 (1.648e-7)
Sterr	0.87233 (0.05113)	0.0021663 (3.443e-07)	0.0650391 (1.2279e-5)

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1113 **Figure legends**

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1115 **Figure 1.** Maximum likelihood multilocus phylogeny of the genus *Stenotrophomonas* and
1116 species delimitation hypotheses. The tree shown corresponds to the best one found
1117 out of 1001 searches under the GTR+G model and BEST moves, using the
1118 concatenated alignment (7-loci) for 194 non-redundant STs, containing all validly
1119 described species of the genus except for *S. tumulicola*, additional key reference
1120 strains and 63 haplotypes from the 108 Mexican environmental isolates analyzed in
1121 this study. A) The *S. maltophilia* complex (Smc) clade is collapsed in this tree. B)
1122 The Smc clade is displayed, collapsing the clades visible in B. Clades are labeled
1123 using the A-E codes of Kaiser et al. (2009) and #1-#10 of Hauben et al. (1999) for
1124 easy cross-reference. The shaded areas indicate species assignment hypotheses
1125 specifically evaluated in this study by the multispecies coalescent using Bayes
1126 factors and by population genetics analyses (Tables 2 and 3). The combined
1127 evidence of both approaches reveals that the Smc complex should be split into *S.*
1128 *maltophilia* and four new species lineages: Smc1, Smc2, Smc3 and Smc4 (Fig. 1B).
1129 We also show (Fig 1A) that genotype #10 represents a non-described species that
1130 should not be merged with *S. rhizophila* clade #8 (Table 2). Type and other key
1131 reference strains are highlighted in bold-face. The bar indicates the number of
1132 expected substitutions per site under the GTR+G model.

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1134 **Figure 2.** Optimally aligned STRUCTURE barplots for 20 replicate runs executed for $K =$
1135 3 to $K = 6$ generated with CLUMPAK, showing the population genetic structure of
1136 the Mexican isolates from the Smc classified as *S. maltophilia* (Smalt) Smc1 (Sm1)
1137 and Smc2 (Sm2). The Sm4 cluster corresponds to Smc4 sequences from
1138 pubmlst.org, included in the analysis as an outgroup to the Mexican Smc strains
1139 (see Fig. 1B).

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1143 **Figure 3.** Multivariate correspondence analysis (MCA) factor –individuals biplot map,
1144 summarizing the associations between antibiotic resistance profiles, β -lactamase
1145 production phenotypes and species assignments. The state of 17 active variables
1146 (IMP=imipenem, MER=meropenem, CAZ=ceftazidime,
1147 CAZ.CLA=ceftazidime/clavulanate, FEP=cefepime, ATM=aztreonam,
1148 b.lactamase, species, Tm.Cb=trimethoprim+carbenicillin,
1149 CL=chloramphenicol, SM=streptomycin, GM=gentamicin, KA=kanamycin,
1150 P.T=piperacillin/tazobactam, NAL=nalidixic acid,
1151 CIP4=ciprofloxacin, TET=tetracycline) and 4 supplementary categorical
1152 variables [Habitat, Env_Qual (environmental quality), Isol_Med
1153 (isolation medium), MDR] are shown, sorted along the first two dimensions that
1154 together explain 45.3% of the total variance. Some variables like CTX4
1155 (cefotaxime) were excluded, due to lack of variability in the observed states.
1156 Species name abbreviations are as follows: malt = *S. maltophilia*; Sm1 = Smc1;
1157 Sm2 = Smc2; terr = *S. terrae*.

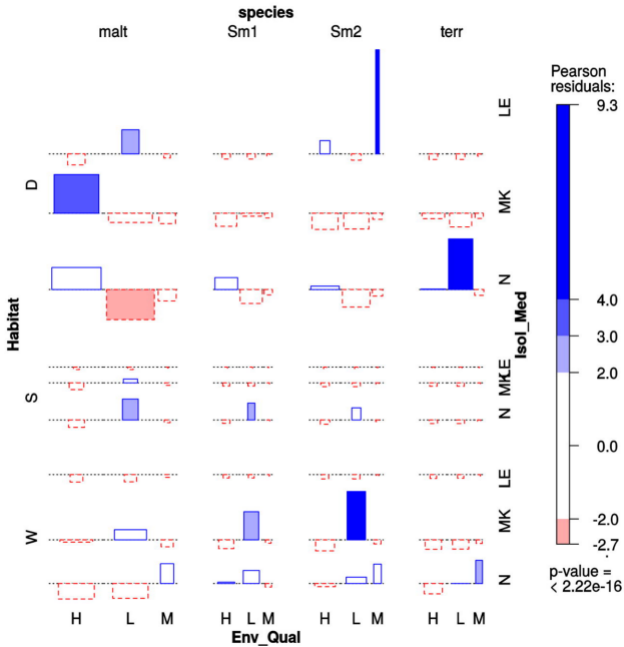
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1160 **Figure 4.** Panels A, B, E and F display violin and boxplots for the raw count data of
1161 number of resistances to individual antimicrobials (NumR) and distinct drug
1162 families (NumFam) for Mexican environmental isolates. The big white dot shows
1163 the median and the smaller blue one the mean of the distribution of individual
1164 observations, represented as small open circles. Yellow dots indicate outlier data
1165 points. Panels C, D, G and H show meanplots for NumR and NumFam (see labels
1166 on the ordinates) for 20% trimmed means and 95% confidence intervals estimated
1167 by non-parametric bootstrap ($nboot = 1000$). Upper row panels (A-D) correspond to
1168 analyses for the Mexican isolates classified in the four species/lineages indicated on
1169 the x-axes. Lower panels (E-H) correspond to Mexican *S. maltophilia* isolates
1170 recovered from high and low pollution sites, based on the criteria indicated on Table
1171 1. The 4 *S. maltophilia* isolates recovered from sites with intermediate
1172 contamination level were excluded, as only populations of organisms with > 10
1173 isolates were considered. Species codes are as defined in Fig. 3.
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1175 **Figure 5.** Two-way association plot for the categorical variables species and β -lactamase
1176 production phenotype and *Stenotrophomonas* species containing > 10 Mexican
1177 environmental isolates. The bars on the plot represent the Pearson residuals, the
1178 color code and the height of the bars denote the significance level and magnitude of
1179 the residuals, and their widths are proportional to the sample size. The β -lactamase
1180 codes are as follows: 0 = no β -lactamase activity detected; CI = clavulanate-
1181 inducible class A or class C (AmpC) cephalosporinase; CI_M = clavulanate-
1182 inducible cephalosporinase plus metallo β -lactamase (MBL); E = extended-
1183 spectrum β -lactamase (ESBL); E_M = ESBL plus MBL; M = MBL. Species codes
1184 are as defined in Fig. 3.
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1187 **Figure 6.** Four-way association plot showing the results of multiway-chi-square analysis
1188 for the categorical variables species (abbreviations as defined in Fig. 3), habitat (W
1189 = water column; S = flooded soil; D = sediment), isolation medium (N = NAA; MK
1190 = MacConkey; LE = Leed's Medium) and pollution level (H = high; L = low; M =
1191 intermediate, based on counts of thermotolerant coliforms and *E. coli*, as defined in
1192 Table 1), using Friendly's residual coloring scheme to highlight the significant
1193 associations. Species codes are as defined in Fig. 3. The bars on the plot represent
1194 the Pearson residuals, the color code and the height of the bars denote the
1195 significance level and magnitude of the residuals, and their widths are proportional
1196 to the sample size.
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b.lactamase

0

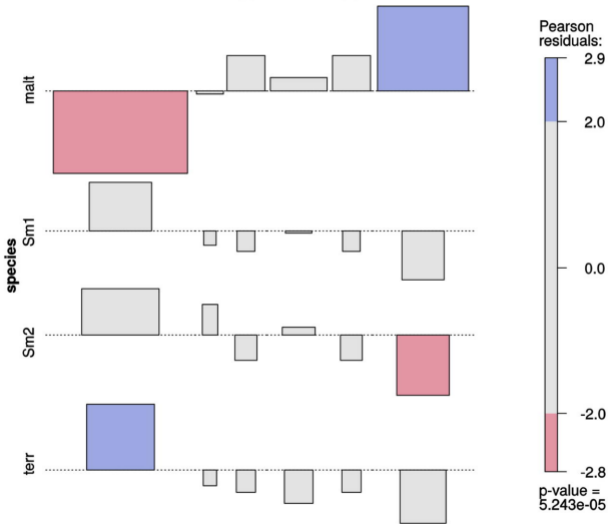
CI

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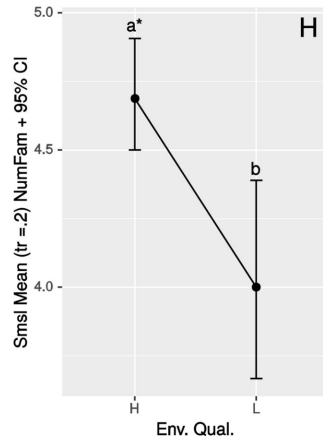
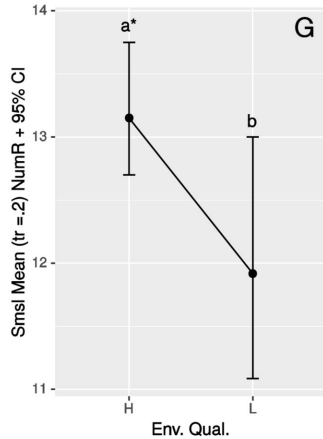
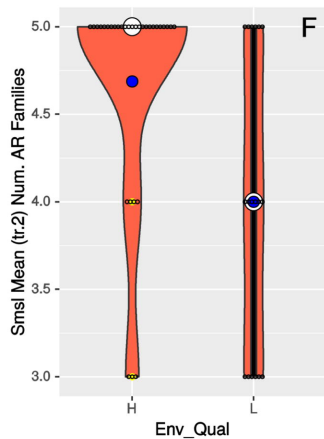
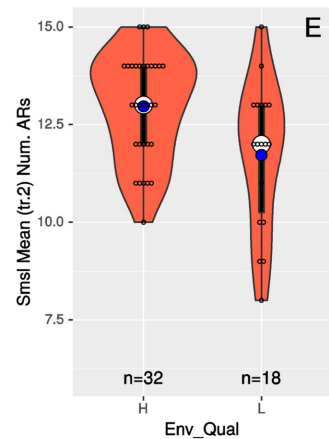
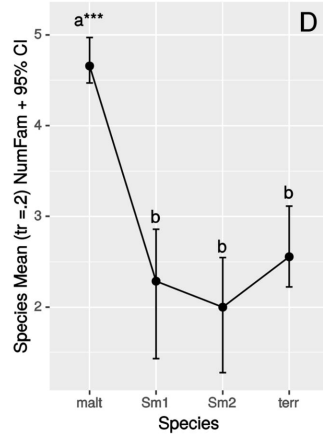
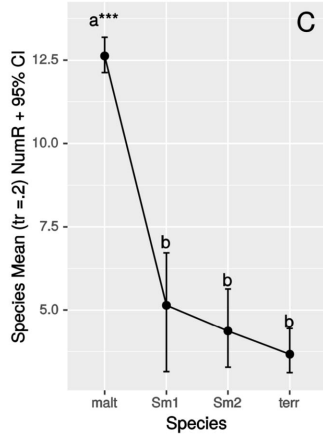
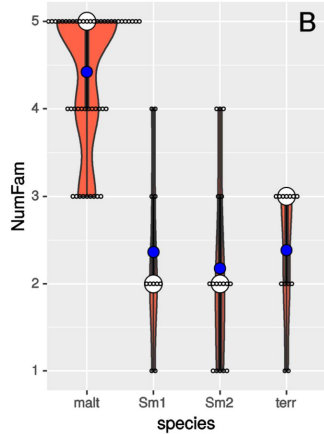
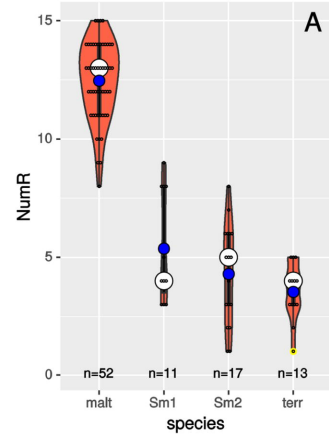
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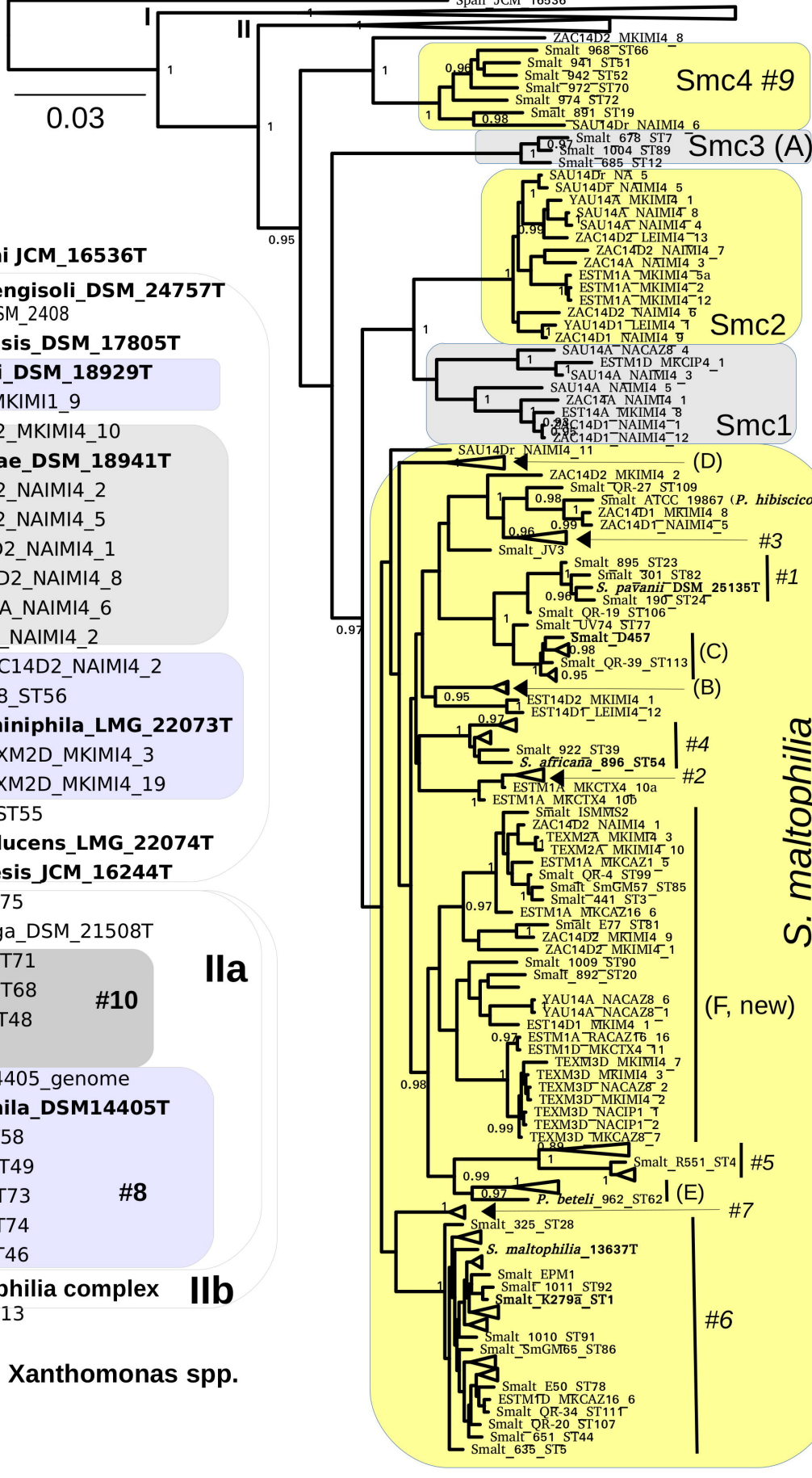
-2.0

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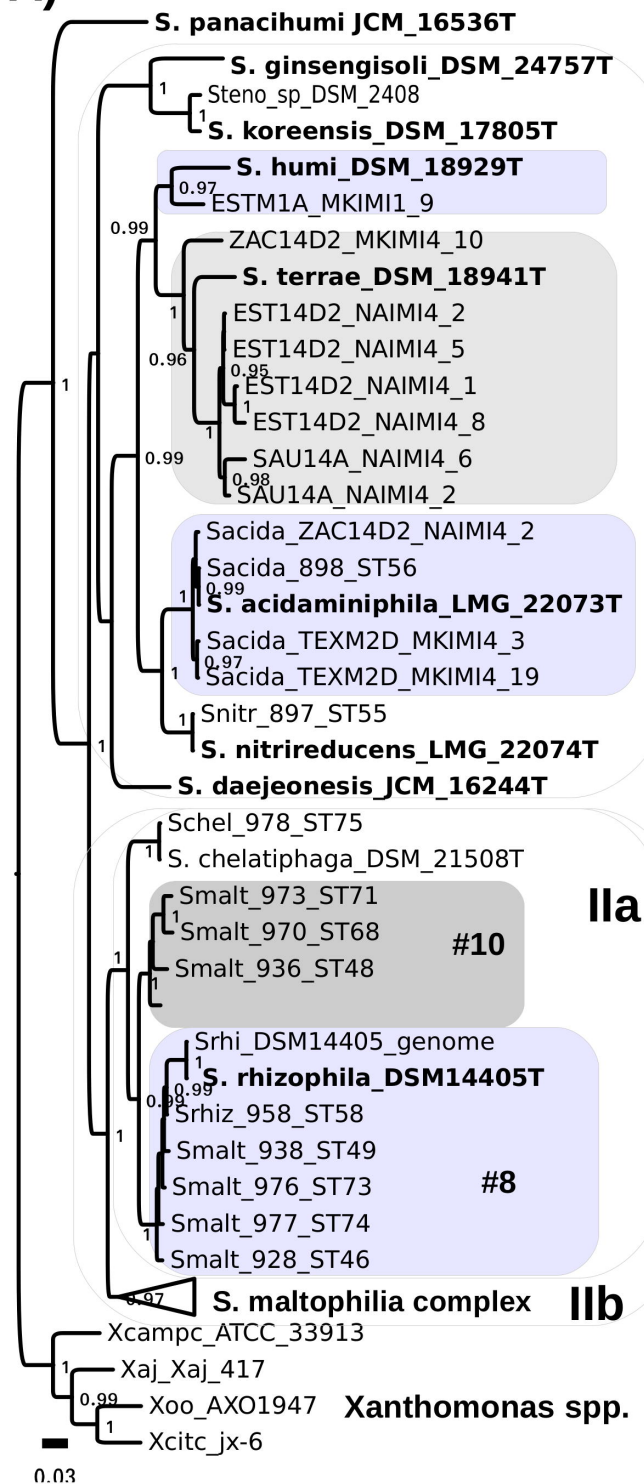
p-value =
5.243e-05



B)



A)

*S. maltophilia*

Smc4 #9

Smc3 (A)

Smc2

Smc1

(D)

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(C)

(B)

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(F, new)

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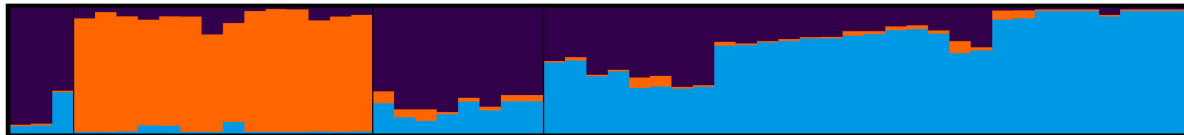
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IIa

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Xanthomonas spp.

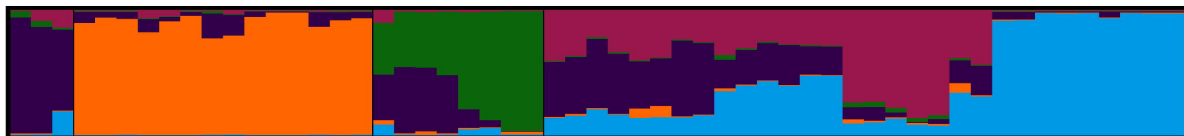
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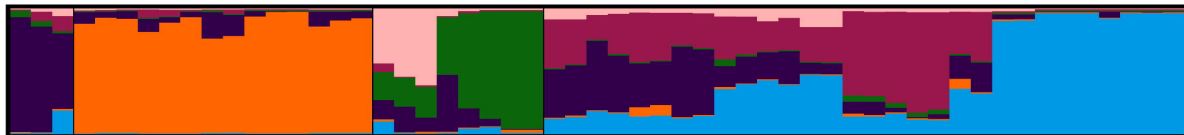
$K = 4$



$K = 5$



$K = 6$



Smc4 Smc2 Smc1 *S. maltophilia*

MCA factor map - Biplot

