

1 **Design Principle of Lysis/Lysogeny Decision vis-a-vis**

2 **Multiplicity of Infection**

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8

9 Abstract

10 Bacteriophage lambda possesses dual strategy of replication. Upon infecting its host, *Escherichia*
11 *coli*, it can either choose lytic pathway, in which the host undergoes lysis, releasing hundreds
12 of progeny viruses, or opt for lysogeny, in which the viral genome exists as part of bacterial
13 chromosome known as prophage. Classic and molecular studies have shown that the lysis/lysogeny
14 decision depends upon the number of coinfecting phages, viz. the multiplicity of infection
15 (MoI): lysis at low MoI; lysogeny at high MoI. Here, by constructing an expression for quality
16 of the lysis/lysogeny minimalist two-protein switch which, beside another thing, demands
17 high equilibrium concentration of Cro-like protein (Lyt) and low equilibrium concentration of
18 CI-like protein (Lys) - that is, lytic development - at MoI of 1, and vice versa - that is, lysogeny
19 development - at MoI of 2, I demonstrate that positive feedback loop formed by activation of
20 *cI*'s transcription by its own product in a cooperative manner underlies the switch's design. The
21 minimalist two-protein model is justified by showing its analogy with the GRN responsible for
22 lysis/lysogeny decision. Existence of another stable state at MoI of 1 is argued to be responsible
23 for lysogen stability. By comparing the minimalist model and its variants, possessing the
24 positive feedback loop, with other models, without having the positive feedback loop, such
25 as the mutual repression model, it is shown why lysis/lysogeny switch involving positive
26 autoregulation of *cI* is evolved instead of one without it. A three-protein simplified version
27 of lambda switch is shown to be equivalent to a close variant of the two-protein minimalist
28 switch. Only a fraction, if at all, of parameter sets that produced switch deterministically were
29 able to do so in stochastic simulations more than 95% of the time. Another stable state at MoI
30 of 1 was not found during stochastic simulation.

31

32 **Keywords:** Bacteriophage λ , switch, positive feedback, bistability

33 Introduction

34 Virulent bacteriophages possess only one method of replication; that is, lytic strategy. However,
35 other bacteriophages have a dual perpetuation strategy, viz. lytic and lysogeny. In lytic strategy,
36 phage injects its genetic material into the host bacterium, viral genes are transcribed, m-RNAs,
37 thus produced, are translated, and phage's genetic material is replicated. Finally, the host
38 bacterium undergoes lysis, releasing progeny particles. In lysogeny, lytic pathway is repressed,
39 the viral genome is integrated into that of the host bacterium, and thus, it exists in a latent
40 form known as prophage. As the teleological explanation goes, lytic strategy leads to fast
41 multiplication, but it's risky, as viral progenies have to find new hosts which don't already
42 contain lysogenized phages. On the other hand, a lysogenized phage replicates along with its
43 host, and therefore, reproduces by a slower process as compared to lytic strategy, but this way
44 phage safeguards its survival. Should a phage infect a bacterium containing lysogenized phage,
45 lambda repressors (CI) present in the cytosol will not allow expression from pR . Thus, the
46 newly entered phage would remain inert and, ultimately, get digested by the host's nucleases.

47 Classic [1] and molecular studies [2] have shown that the lysis/lysogeny decision depends
48 upon MoI. Avlund et al. analysed [3] Kourilsky's data [1,4] and determined the probability of
49 lysogeny at MoI of 1 to be almost zero, at MoI of 2 to be around 0.6960, and at all higher
50 MoIs to be around 0.9886. This ability of phage to choose between lysis and lysogeny based
51 upon multiplicity of infection is but a form of quorum sensing occurring inside a bacterium.
52 As described in sections below, a minimalist two-protein model, which was analogous to
53 lambda's GRN, and many other models were constructed. The models were evaluated on the
54 quality of switch they generated, by solving their defining equations using parameters, which
55 were searched in two steps (see Methods), and few sets of Hill coefficients. It is shown that
56 positive feedback loop formed by CI activating transcription of its own gene is the essence of
57 lysis/lysogeny switch's model. Lastly, a three-protein simplified version of lambda switch is
58 constructed in which the roles of Lyt and Lys are identical to those of Cro and CI in the latter,
59 respectively, and the function of CII-like protein is fairly similar to that of CII in the latter.

60 **Result and discussion**

61 **Minimalist two-protein lysis/lysogeny switch**

62 The promoter of *lyt* gene is constitutive; whereas, that of *lys* gene is positively regulated as
63 they are in lambda phage's GRN. The role of Lys in the minimalist two-protein model; that
64 is, binding cooperatively to the intergenic region, activating transcription of its own gene, and
65 inhibiting transcription of *lyt* gene, is identical to that of CI in lambda phage's GRN. The role
66 of Lyt was conceptualized from first principle in the following way. At MoI of 2, equilibrium
67 concentrations of Lyt and Lys should be much lower and higher, respectively, as compared to
68 those at MoI of 1. However, if Lyt did not bind to *lys* promoter, assuming no basal expression
69 of *lys* (which is weak promoter anyway), equilibrium concentration of Lyt at MoI 2 would be
70 even higher, let alone much lower, than that at MoI of 1. And equilibrium concentration of Lys
71 would be very low, instead of being high enough to repress *lyt*, at MoI of 2. Since the only
72 protein present to actuate any process is Lyt, it was argued that Lyt should engender lysogeny
73 and inhibit lytic pathway at MoI of 2.

74 Thus, Lyt activates transcription of *lys* (whose product causes lysogeny development),
75 represses transcription of its own gene, thereby suppressing lytic development (though, as
76 shown below, the last interaction is dispensable), and activates imaginary downstream pathway
77 which leads to lytic development. This seemingly paradoxical role of Lyt, as explained below,
78 is due to it being proxy for CII, which causes lysogeny, and anti-termination factor Q, which
79 enables transcription of lytic genes. The positive feedback loop constituted by transcriptional
80 activation of *lys* by its own protein causes Lys to accumulate to low concentration at MoI of 1
81 and high concentration at MoI of 2. Thus, at MoI of 1 Lyt's equilibrium concentration is high
82 because it is constitutively produced and Lys' equilibrium concentration is not high enough to
83 repress its production. On the other hand, at MoI of 2 Lyt's equilibrium concentration is low
84 because of repression by Lys, which is present in high concentration.

85 GRN underlying lysis/lysogeny decision is much more complex than the minimalist two-protein

86 model proposed here, because MoI is but one of many signals taken into account by the
87 phage to decide between lysis and lysogeny. Since the expression for quality of lysis/lysogeny
88 switch (the switching quotient) takes equilibrium values into account, the values of degradation
89 constants of X (concentration of Lyt) and Y (concentration of Lys), viz. k_2 and k_5 , respectively,
90 can be subsumed into k_1 , k_3 , and k_4 . Hence, they are taken to be unity for all two-protein
91 models. This model would henceforth be referred to as 1A_Lyt_Lys.

92

93 **1A_Cro_CI:**

$$\frac{dX}{dt} = \frac{mk_1}{1 + \frac{X^a}{K_{D1}} + \frac{Y^b}{K_{D2}}} - k_2X \quad (1)$$

$$\frac{dY}{dt} = \frac{m(k_3 \frac{X^a}{K_{D1}} + k_4 \frac{Y^b}{K_{D2}})}{1 + \frac{X^a}{K_{D1}} + \frac{Y^b}{K_{D2}}} - k_5Y \quad (2)$$

94 where, m is multiplicity of infection, k_1 is basal expression rate of *lys*, k_3 and k_4 are rate
95 constants for transcriptional activation of *lys* by Lyt and Lys, respectively, K_{D1} and K_{D2} are
96 the "combined" dissociation constants of Lyt and Lys, respectively (see Methods). In those
97 models where *lys* has basal expression, k_3 represents basal expression rate. Exponents a and b
98 are Hill coefficients for binding of Lyt and Lys, respectively.

99 **Analogy between the minimalist two-protein model (1A_Lyt_Lys) and lambda**
100 **phages GRN**

101 Upon infection, RNA polymerase transcribes from the constitutive promoters, pL and pR , till it
102 encounters transcription terminators tLI and tRI , respectively. N and cro genes are transcribed
103 by pL and pR , respectively. The product of N is an anti-termination factor that modifies
104 subsequent RNAPs initiating at pL and pR so that they move past their respective terminators
105 and transcribe $cIII$ and cII genes, respectively. Such an RNAP from pR is also able to transcribe

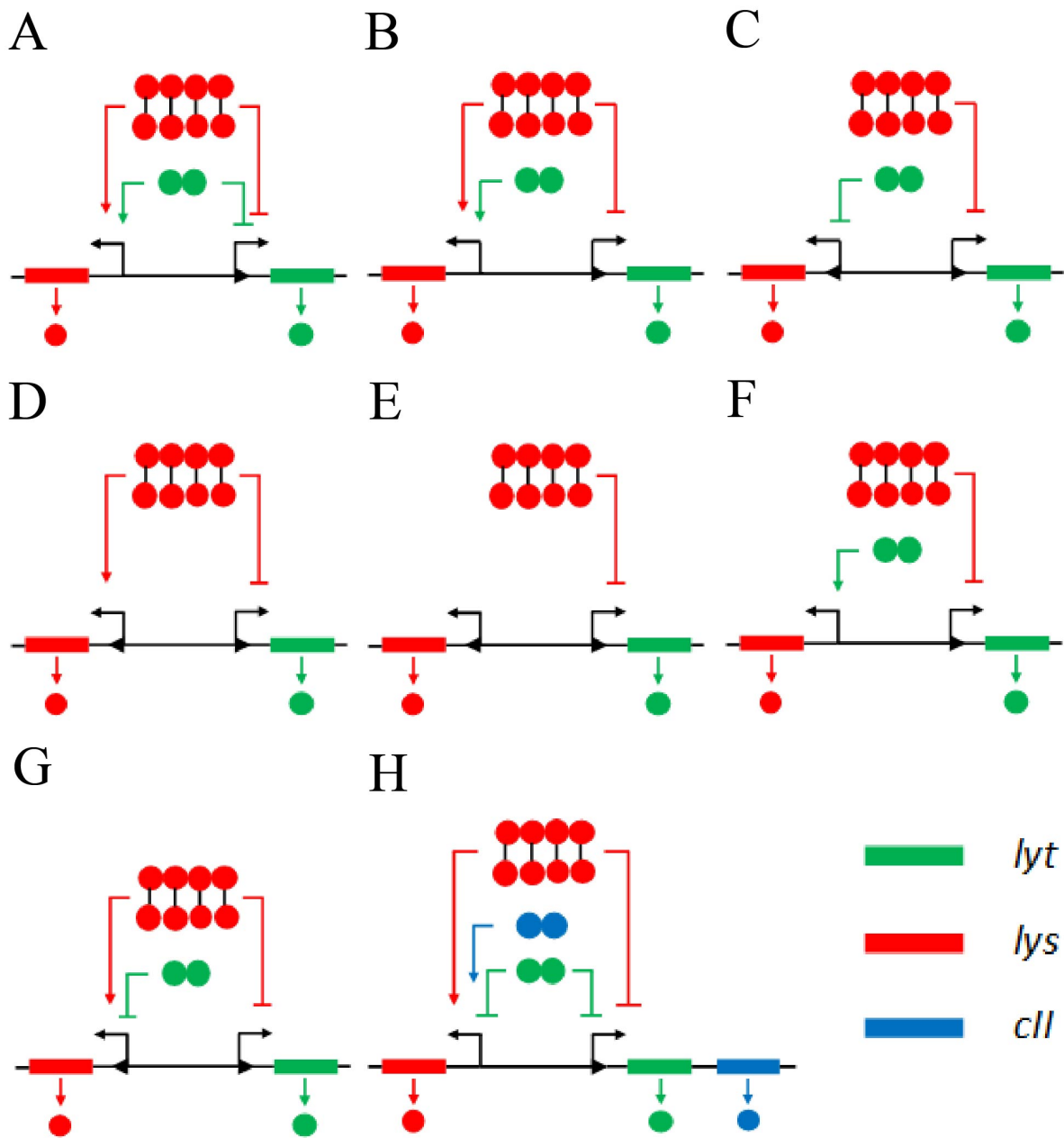


Figure 1: Various two-protein models, and three-protein model. Lower arrowhead represents basal expression. (A) The minimalist model or 1A_Lyt_Lys. (B) Previous model with self-repression of *lyt* removed or 1B_Lyt_Lys. (C) Mutual repression or 2_Lyt_Lys. (D) 3_Lyt_Lys. (E) 4_Lyt_Lys. (F) 5_Lyt_Lys. (G) 6_Lyt_Lys. (H) A three-protein simplified version of lambda switch or Lyt_Lys_CII.

106 through another terminator, *tR2*, present upstream of gene *Q* (see Figure 2). Up to this point,
107 the pathway for lytic and lysogeny are identical. Lytic pathway is chosen when the extended
108 transcription from *pR* also causes gene *Q* to be transcribed. *Q*, being an anti-termination factor,
109 causes transcription of *pR'* to not terminate, as it would otherwise do, at *tR'*, which is present
110 at about 200 bases away from the beginning, thereby allowing transcription of the lytic genes
111 downstream of *Q*. Once this happens, the cell is committed to lysis. CIII protein has an indirect
112 role in establishing lysogeny. It prevents the degradation of CII by inhibiting bacterial protease
113 HflB [5,6]. As the current paper focuses on the design principle of lysis/lysogeny switch, the
114 (indirect) role of cIII will not be taken into consideration.

115 In lambda's GRN, *cII* and *Q* are under the control of promoter *pR*. Since in 1A_Lyt_Lys
116 *lyt* is transcribed from *pR*, *Lyt* protein should be functionally equivalent to CII and *Q*. That
117 is, on the whole, CII and *Q* should carry out three actions: activate transcription from *lys*,
118 inhibit transcription from *lyt* gene, and engender lytic development. When CII accumulates
119 in sufficient concentration, it activates transcription from three promoters: *pI*, *pRE*, and *pAQ*
120 [10,11]. Promoter *pI* transcribes *int* gene, required for the integration of phage genome into
121 that of the host bacterium. Transcript produced from *pRE* contains orf for *cI*; hence, activation
122 of this promoter leads to production of *CI*. Thus, the action of CII on promoters *pI* and *pRE*
123 is functionally equivalent to *Lyt* protein activating transcription of *lys*. Notably, while the role
124 of *Cro* in lambda's GRN is to inhibit the expression of *lys*, *Cro*-like protein (*Lyt*) activates the
125 expression of *lys* in the 1A_Lyt_Lys.

126 CII inhibits lytic development by activating transcription from *pAQ*, which is located
127 within *Q* gene in the opposite polarity. The transcript, thus produced, being antisense to (a part
128 of) *Q* mRNA hybridizes with the latter, thereby preventing the translation of *Q* m-RNA, which
129 is essential for lytic development [2]. Thus, the action of CII on promoter *pAQ* is functionally
130 equivalent to *Lyt* protein inhibiting transcription of its own gene. If CII is not produced in
131 sufficient amount, *Q* m-RNA is translated and anti-terminator *Q*, thus produced, causes lysis.

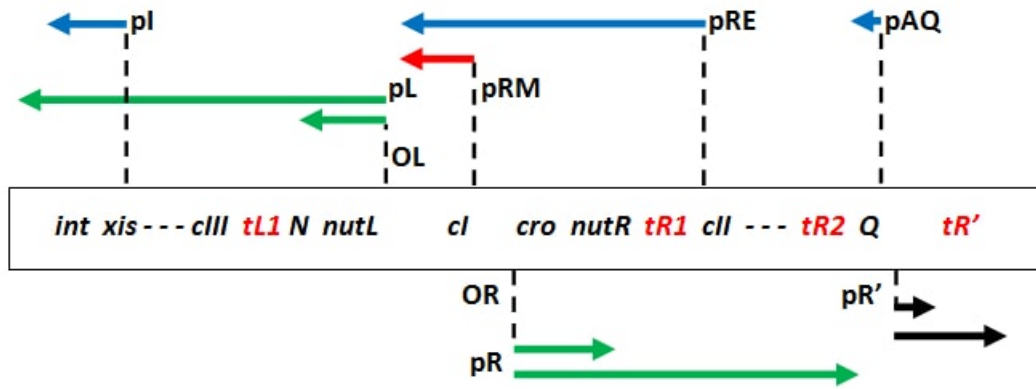


Figure 2: GRN and transcription map of lambda (adapted from Figure 1 of [8]). Transcripts that are produced earliest, viz. from *pL* and *pR* promoters, are depicted as green arrows. The late transcript, viz. from *pR'*, is a black arrow. Transcripts from CII-activated promoters, viz. *pI*, *pRE*, and *pAQ*, are shown as blue arrows. Transcript from *pRM*, which is activated by CI, is shown as red arrow. Transcription terminators, namely *tL1*, *tR1*, and *tR2*, are depicted in red.

132 Variants of 1A_Lyt_Lys and mutual repression model

133 In order to better demonstrate that the positive feedback underlies lysis/lysogeny switch, I
 134 considered variants of 1A_Lyt_Lys, mutual repression model, which doesn't have positive feedback
 135 loop, and its variants, and a model having the features of 1A_Lyt_Lys and mutual repression
 136 model. Since two features, viz. constitutive expression of *lys* and its inhibition by Lys, are
 137 common, they would not be mentioned in the description of the models below. Since *cl* gene
 138 is positively regulated in lambda's GRN, *lys* has to have either basal expression or be activated
 139 by Lyt. All of these models can be categorized in terms of three factors, as shown in the Table
 140 1. First column shows whether *lys* possesses basal expression or is activated by Lyt. Second
 141 column shows if positive feedback, constituted by transcriptional activation of *lys* by its own
 142 product, is present. Third column shows if inhibition of *lys* by Lyt is present. Inhibition of
 143 *lys* by Lyt can only be present when *lys* possesses basal expression. Thus, for *lys* having basal
 144 expression, there are four models; and where it gets activated by Lyt, there are two models.
 145

146 **1B_Lyt_Lys:** This model differs from 1A_Lyt_Lys only in not having self-inhibition of Lyt. The
 147 inhibition of *lys*, required at MoI of 2, by its own product is dispensable, as Lys performs the

Table 1: Classification of additional two-protein models.

Model	Basal expression of <i>lys</i> / Activation of <i>lys</i> by <i>Lyt</i>	Activation of <i>lys</i> by <i>Lys</i>	Inhibition of <i>lys</i> by <i>Lyt</i>
1B_Lyt_Lys	Activation	Yes	N/A
5_Lyt_Lys	Activation	No	N/A
3_Lyt_Lys	Basal	Yes	No
6_Lyt_Lys	Basal	Yes	Yes
4_Lyt_Lys	Basal	No	No
2_Lyt_Lys	Basal	No	Yes

148 same function, and more so, because at MoI of 2 *Lyt*'s concentration is required to be much
 149 lower than that of *Lys* in order for switch to be of good quality. In terms of lambda's GRN, this
 150 would mean CI, instead of CII, activating transcription from *pAQ*.

$$\frac{dX}{dt} = \frac{mk_1(1 + \frac{X^a}{KD_1})}{1 + \frac{X^a}{KD_1} + \frac{Y^b}{KD_2}} - k_2X \quad (3)$$

$$\frac{dY}{dt} = \frac{m(k_3\frac{X^a}{KD_1} + k_4\frac{Y^b}{KD_2})}{1 + \frac{X^a}{KD_1} + \frac{Y^b}{KD_2}} - k_5Y \quad (4)$$

151 **2_Lyt_Lys** (Mutual repression): *Lyt* represses *lys*, which has basal expression.

$$\frac{dX}{dt} = \frac{mk_1(1 + \frac{X^a}{KD_1})}{1 + \frac{X^a}{KD_1} + \frac{Y^b}{KD_2}} - k_2X \quad (5)$$

$$\frac{dY}{dt} = \frac{mk_3(1 + \frac{Y^b}{KD_2})}{1 + \frac{X^a}{KD_1} + \frac{Y^b}{KD_2}} - k_5Y \quad (6)$$

152 **3_Lyt_Lys:** *lys* has basal expression and is activated by Lys cooperatively.

$$\frac{dX}{dt} = \frac{mk_1}{1 + \frac{Y^b}{K_{D2}}} - k_2X \quad (7)$$

$$\frac{dY}{dt} = \frac{m(k_3 + k_4 \frac{Y^b}{K_{D2}})}{1 + \frac{Y^b}{K_{D2}}} - k_5Y \quad (8)$$

153 **4_Lyt_Lys:** *lys* has basal expression.

$$\frac{dX}{dt} = \frac{mk_1}{1 + \frac{Y^b}{K_{D2}}} - k_2X \quad (9)$$

$$\frac{dY}{dt} = mk_3 - k_5Y \quad (10)$$

154 **5_Lyt_Lys:** *lys* is activated by Lyt.

$$\frac{dX}{dt} = \frac{mk_1(1 + \frac{X^a}{K_{D1}})}{1 + \frac{X^a}{K_{D1}} + \frac{Y^b}{K_{D2}}} - k_2X \quad (11)$$

$$\frac{dY}{dt} = \frac{mk_3 \frac{X^a}{K_{D1}}}{1 + \frac{X^a}{K_{D1}} + \frac{Y^b}{K_{D2}}} - k_5Y \quad (12)$$

155 **6_Lyt_Lys:** *lys* has basal expression, is activated by Lys, and inhibited by Lyt.

$$\frac{dX}{dt} = \frac{mk_1(1 + \frac{X^a}{K_{D1}})}{1 + \frac{X^a}{K_{D1}} + \frac{Y^b}{K_{D2}}} - k_2X \quad (13)$$

$$\frac{dY}{dt} = \frac{m(k_3 + k_4 \frac{Y^b}{K_{D2}})}{1 + \frac{X^a}{K_{D1}} + \frac{Y^b}{K_{D2}}} - k_5 Y \quad (14)$$

156 **Deterministic simulation**

157 Since Cro forms dimer, Hill coefficient for Lyt's binding is considered to be 2; whereas, since
158 CI forms tetramer, Hill coefficient for Lys' binding was taken to be 4. However, in the interest
159 of completeness, another set of Hill coefficients, viz. a=2, b=2, was also considered. The rate
160 constants and dissociation constants of equations defining a given model were searched (see
161 Methods) in two stages: order search and linear search (as they are called here). For a given
162 model and set of Hill coefficients (a and b), a set of rate constants and dissociation constants
163 would henceforth be referred to as a parameter set (That is, Hill coefficients are not part of
164 parameter set). Parameter sets were selected on the basis of quality of switch, viz. switch
165 quotient (as it is called here), they generated. Switch quotient was initially considered to be
166 determined by the expression

$$SQ = \frac{(S_1 - S_2)}{S_1}$$

167 $S_1 = \min\{\text{Lyt at MoI of 1, Lys at MoI of 2}\}$

168 $S_2 = \max\{\text{Lys at MoI of 1, Lyt at MoI of 2}\}$

169 The expression, however, selected parameter sets which gave unequal equilibrium values of Lyt
170 at MoI of 1 and Lys at MoI of 2. From the perspective of simplicity, I believe that the difference
171 between the two should be minimal; therefore, the previous expression is multiplied by ratio of
172 S_1 to S_3 in order to penalize the difference between S_3 and S_1 .

$$SQ = \frac{(S_1 - S_2)}{S_1} \cdot \frac{S_1}{S_3} = \frac{(S_1 - S_2)}{S_3}$$

173 $S_3 = \max\{\text{Lyt at MoI of 1, Lys at MoI of 2}\}$

174 This expression (like the older one) varies between 0 and 1. Only those parameter sets were

175 selected whose corresponding switch quotients (SQ) were positive.

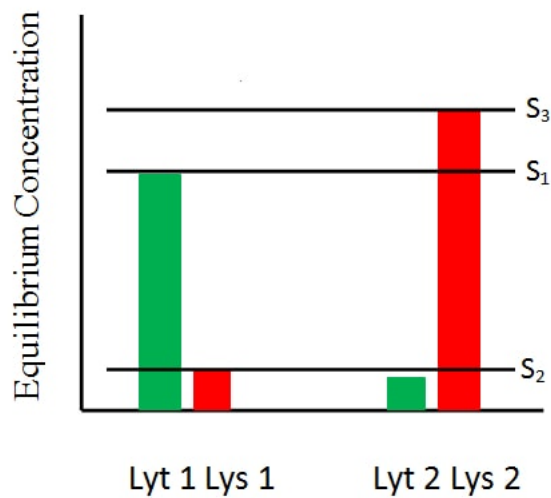


Figure 3: Schematic of switch's profile, viz. equilibrium concentrations of Lyt and Lys at the two MoIs.

176 As Table 2 shows, all of the models possessing the positive feedback loop have average
177 deterministic switch quotient (DSQ) of more than 0.97 for both sets of Hill coefficients (lowest
178 DSQ among all the models in this category was 0.9270). Mutual repression model for Hill
179 coefficients' set of $a=2$, $b=2$ have average DSQ of 0.5283 (highest DSQ was 0.6666); and,
180 for that of $a=2$, $b=4$ all DSQs were more than 0.9 except for one parameter set, whose DSQ
181 was 0.5. 4_Lyt_Lys for Hill coefficients' set of $a=2$, $b=2$ gives DSQs of 0.4794 and 0.4707;
182 and, for that of $a=2$, $b=4$ both DSQs were almost 0.5. Thus, if we exclude 2_Lyt_Lys for
183 Hill coefficients' set of $a=2$, $b=4$ from the analysis, average DSQs of models with the positive
184 feedback loop, viz. 1A_Lyt_Lys, 1A_Lyt(1)_Lys, 1B_Lyt_Lys, 3_Lyt_Lys, and 6_Lyt_Lys, were
185 much higher than average DSQs of models without it, viz. 2_Lyt_Lys and 4_Lyt_Lys. Not just
186 that, the lowest DSQ among the first set of models was much higher than the highest DSQ
187 among the second set of models.

188 In the former set, Lys activating its own gene lets the value of Lys at MoI of 1 to be
189 disproportionately lower for its desired particular value at MoI of 2. On the other hand,
190 in 4_Lyt_Lys, since increase in genome copy number leads to proportional increase in the

191 equilibrium activity of *lys'* promoter, value of Lys at MoI of 1 would be half its value at MoI of
 192 2. However, mutual repression model does generate many parameter sets with SQ greater than
 193 0.9 for Hill coefficients' set of a=2, b=4. Since this model exhibits very different behaviour in
 194 the stochastic simulations, it will be discussed further in the section for stochastic simulations.

Table 2: Average and SD of SQs under deterministic and stochastic conditions for various thresholds of stochastic success rate.

Model	AVG Deterministic SQ (SD)		AVG Deterministic SQ (SD)		AVG Stochastic SQ (SD)	
	(SSR ^a ≥ 95)					
	a=2, b=2	a=2, b=4	a=2, b=2	a=2, b=4	a=2, b=2	a=2, b=4
1A_Lyt_Lys	0.9917 (0.0106)	0.9896 (0.0049)	0.9898 (N/A)	0.9905 (0.0043)	0.7997 (N/A)	0.6204 (0.0624)
1A_Lyt(1)_Lys	0.9950 (0.0053)	0.9923 (0.0045)	none	none	none	none
1B_Lyt_Lys	0.9806 (0.0236)	0.9769 (0.0277)	0.9971 (N/A)	0.9270 (N/A)	0.7995 (N/A)	0.7679 (N/A)
2_Lyt_Lys	0.5283 (0.0696)	0.8917 (0.1766)	none	0.5001 (N/A)	none	0.2725 (N/A)
3_Lyt_Lys	0.9938 (0.0078)	0.9873 (0.0157)	none	none	none	none
4_Lyt_Lys	0.4751 (0.0043)	0.4956 (0.0006)	none	0.4956 (0.0006)	none	0.2983 (0.0102)
6_Lyt_Lys	0.9988 (0.0004)	0.9876 (0.0135)	none	none	none	none
Lyt_Lys_CII	0.9855 (0.0155)	N/A	0.9573 (N/A)	N/A	0.7526 (N/A)	N/A
Lyt_Lys_CII(1)	0.9801 (0.0151)	N/A	0.9718 (N/A)	N/A	0.7595 (N/A)	N/A
Lyt(1)_Lys_CII(1)	0.9894 (0.0134)	N/A	none	N/A	none	N/A

^a SSR = Stochastic Success Rate

195 The model 5_Lyt_Lys did not generate any parameter set. The reason is that in the absence
 196 of the positive feedback loop, *lyt* needs to have strong basal expression in order to sustain high
 197 concentration of Lys, whose gene is activated by Lyt, at MoI of 2. Equivalently, the desired
 198 high concentration of Lyt at MoI of 1, also leads to excessive production of Lys at the same
 199 MoI. Thus, both proteins are present in similar amounts at both MoIs. In hindsight, one notes
 200 that the equations for Lyt and Lys are almost identical for this model.

Table 3: Average and SD of SQs under deterministic and stochastic conditions for various thresholds of stochastic success rate.

Model	AVG Deterministic SQ		AVG Stochastic SQ	
	(SD)		(SD)	
	(95 >SSR ^a ≥ 90)		(95 >SSR ≥ 90)	
	a=2, b=2	a=2, b=4	a=2, b=2	a=2, b=4
1A_Lyt_Lys	0.9937 (0.0025)	0.9883 (0.0068)	0.8087 (0.0382)	0.5728 (0.1003)
1A_Lyt(1)_Lys	0.9945 (N/A)	0.9930 (N/A)	0.8084 (N/A)	0.5825 (N/A)
1B_Lyt_Lys	0.9950 (N/A)	0.9972 (0.0020)	0.7891 (N/A)	0.6691 (0.0855)
2_Lyt_Lys	none	none	none	none
3_Lyt_Lys	none	none	none	none
4_Lyt_Lys	none	none	none	none
6_Lyt_Lys	none	none	none	none
Lyt_Lys_CII	0.9808 (0.0008)	N/A	0.7614 (0.0211)	N/A
Lyt_Lys_CII(1)	0.9593 (N/A)	N/A	0.7551 (N/A)	N/A
Lyt(1)_Lys_CII(1)	0.9647 (N/A)	N/A	0.7178 (N/A)	N/A

^a SSR = Stochastic Success Rate

201 In order to examine the significance of cooperativity in positive feedback here, another set
202 of Hill coefficients, viz. $a=2$, $b=1$, was also considered for 1A_Lyt_Lys. However, parameter
203 sets generated by this set gave DSQs which were almost equal to zero. For models having the
204 positive feedback loop, average DSQ of parameter sets was very slightly, almost negligibly,
205 greater for Hill coefficients' set of $a=2$, $b=2$ than that for set of $a=2$, $b=4$.
206

207 **Closer to lambda's GRN: the three-protein model**

208 In order to further verify if 1A_Lyt_Lys represents reduced form of lambda's GRN, I consider a
209 three-protein simplified version of lambda switch and show that it is equivalent to a two-protein
210 model possessing the positive feedback loop: 1B_Lyt_Lys. A CII-like protein is added to
211 1A_Lyt_Lys beside extending the role of Lyt. Since genes *lyt* and *cII* are under the control of
212 same promoter, in order to allow for potentially different rates of translation of their corresponding
213 cistrons during stochastic simulations, their mRNAs are considered explicitly. The role of Lyt
214 in this model is identical to that of Cro in lambda phage's GRN. That is, now Lyt represses
215 transcription of *lys*, in addition to repressing that of its own gene. The role of CII in the
216 three-protein model is to activate transcription of *lys*. This corresponds to CII's activation of
217 *pRE* promoter, leading to synthesis of mRNA which contains orf for *cI*. The three-protein
218 model considered here is different from that in [7], in which CII activates transcription of *cI*
219 from a distinct (*pRE*) promoter. Since in the three-protein model, CII has to compete with Lyt,
220 which represses transcription of *lys*, for binding to the intergenic region, the demonstration of
221 equivalence of the three-protein model (Lyt_Lys_CII) with 1A_Lyt_Lys, or any of its variants,
222 gets more challenging. The degradation constants for xz (concentration of *lyt-cII* mRNA) ,
223 X (concentration of Lyt), Z (concentration of CII), and Y (concentration of Lys), viz. k_6 ,
224 k_7 , k_9 , k_8 , respectively, are taken to be unity for the same reason why degradation constants
225 for two-protein models were set equal to 1. Since for 1A_Lyt_Lys SQs generated by Hill
226 coefficients' set of $a=2$, $b=2$ were as high as SQs generated by that of $a=2$, $b=4$, applying
227 occam's razor, Hill coefficients for binding of Lyt and Lys are taken to be 2 and 2, respectively,

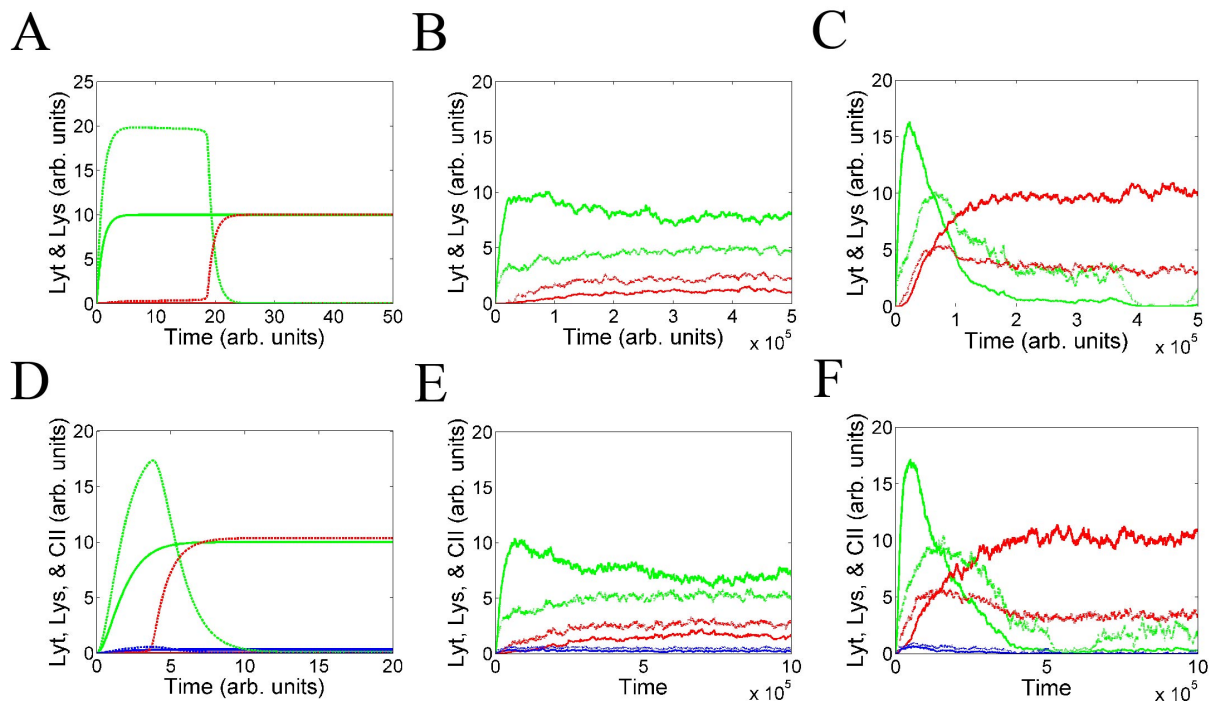


Figure 4: Deterministic and stochastic simulations of the minimalist two-protein model (1A_Lyt_Lys) and three-protein model (Lyt_Lys_CII). Lyt, Lys, and CII are represented by green, red, and blue, respectively. For deterministic simulations, concentrations of proteins at MoI of 1 and 2 are depicted by solid curve and dashed curve, respectively. For stochastic simulations, solid curve and dotted curve, respectively, represent average and standard deviation of number of protein molecules from 500 simulations for two-protein and 200 simulations for three-protein models. For a given model, the parameter set which had maximum stochastic success rate was used for simulation. The stochastic simulation trajectories shown here are qualitatively similar to those of all other models for parameter sets with high stochastic success rate; whereas, the deterministic simulation trajectories were so, irrespective of stochastic success rate. In stochastic simulation graphs, the original abscissa, which had unequally spaced time intervals, was converted to one with equally spaced time intervals. Each (arb.) unit of abscissa was divided into 10000 intervals. For the tiny fraction of intervals which still contained more than one event, their last events were defined to be their only events. **(A)** Deterministic simulations of 1A_Lyt_Lys. At MoI of 2, initially, the concentration of Lyt becomes more than its equilibrium concentration at MoI of 1 but then comes back to very low level. It is due to double initial rate of production of Lyt at MoI of 2 as compared to that at MoI of 1; however, as Lyt's concentration increases, *lys*' transcription becomes stronger, leading to production of Lys, which in turn represses *lyt*. **(B-C)** Stochastic simulations of 1A_Lyt_Lys for MoI of 1 and 2, respectively. **(D)** Deterministic simulations of Lyt_Lys_CII. At MoI of 2, initially, concentrations of Lyt and CII become more than their respective equilibrium concentrations at MoI of 1 but then come back to very low levels. This was also observed for a three-protein model, which is very similar to that of this paper, in a theoretical study [7]. Analogous to the two-protein model, it's due to heightened initial rate of production of CII at MoI of 2 as compared to that at MoI of 1; however, as CII's concentration increases, transcription of *lys* becomes stronger, leading to production of Lys, which represses *lyt* and *cI*. **(E-F)** Stochastic simulations of Lyt_Lys_CII for MoI of 1 and 2, respectively. Bell-shaped curve for CII at MoI of 6 was reported by an experimental study [2].

228 not 2 and 4. Further, taking lead from here, Hill coefficient for CII's binding is considered to
 229 be 2, even though it has been shown to exist as tetramer in solution [14] and in crystallized free
 230 and DNA-bound state [15].

231 Model equations for three-protein model are as follows.

232

233

234 Transcription of *lyt-cII* genes:
$$\frac{dxz}{dt} = \frac{mk_1(1 + \frac{Z^c}{K_{D3}})}{1 + \frac{X^a}{K_{D1}} + \frac{Y^b}{K_{D2}} + \frac{Z^c}{K_{D3}}} - k_6xz \quad (15)$$

235 Translation of *lyt*:
$$\frac{dX}{dt} = k_2xz - k_7X \quad (16)$$

236 Translation of *cII*:
$$\frac{dZ}{dt} = k_4xz - k_9Z \quad (17)$$

237 Production of Lys:
$$\frac{dY}{dt} = \frac{m(k_5\frac{Y^b}{K_{D2}} + k_3\frac{Z^c}{K_{D3}})}{1 + \frac{X^a}{K_{D1}} + \frac{Y^b}{K_{D2}} + \frac{Z^c}{K_{D3}}} - k_8Y \quad (18)$$

238 where, c is the Hill coefficient of CII's binding, k_1 is basal expression rate of *lyt-cII* genes, K_{D3}
 239 is the "combined" dissociation constant of CII (see Methods), k_2 and k_4 are translation rates of
 240 *lyt* and *cII*, respectively. k_5 and k_3 are rate constants for transcriptional activation of *lys* by Lys
 241 and CII, respectively.

242 Equilibrium values of xz , X , Z , and Y are

$$k_6\bar{x}\bar{z} = \frac{mk_1(1 + \frac{\bar{Z}^c}{K_{D3}})}{1 + \frac{\bar{X}^a}{K_{D1}} + \frac{\bar{Y}^b}{K_{D2}} + \frac{\bar{Z}^c}{K_{D3}}} \quad (19)$$

$$k_7\bar{X} = k_2\bar{x}\bar{z} \quad (20)$$

$$k_9 \bar{Z} = k_4 \bar{xz} \quad (21)$$

$$k_8 \bar{Y} = \frac{m(k_5 \frac{\bar{Y}^b}{K_{D2}} + k_3 \frac{\bar{Z}^a}{K_{D3}})}{1 + \frac{\bar{X}^a}{K_{D1}} + \frac{\bar{Y}^b}{K_{D2}} + \frac{\bar{Z}^a}{K_{D3}}} \quad (22)$$

243 From (20) and (21), it can be seen that equilibrium value of CII is in constant proportion to that
 244 of Lyt. Hence, CII can be written in terms of Lyt

$$\bar{Z} = p \bar{X} \quad (23)$$

245 where

$$p = \frac{k_4 k_7}{k_2 k_9}$$

246 Using (20) and (23), (19) and (22) can be written as

$$\bar{X} = \frac{m \frac{k_1 k_2}{k_6 k_7} (1 + \frac{(p \bar{X})^a}{K_{D3}})}{1 + \bar{X}^a (\frac{1}{K_{D1}} + \frac{p^a}{K_{D3}}) + \frac{\bar{Y}^b}{K_{D2}}} \quad (24)$$

$$\bar{Y} = \frac{m \frac{1}{k_8} (k_5 \frac{\bar{Y}^b}{K_{D2}} + k_3 \frac{(p \bar{X})^a}{K_{D3}})}{1 + \bar{X}^a (\frac{1}{K_{D1}} + \frac{p^a}{K_{D3}}) + \frac{\bar{Y}^b}{K_{D2}}} \quad (25)$$

247 The equivalence of equations (24) and (25) to the defining equations of 1B_Lyt_Lys which
 248 have reached equilibrium validates two-protein model. Two-protein model being sufficient for
 249 producing lysis/lysogeny switch constitutes an argument that *cro* in lambda's GRN is expendable.
 250 Mathematically, the reason for Cro being expendable lies in its equilibrium concentration being
 251 proportional to that of CII.

252 Kobiler et al. [2] showed that infection with lambda lacking cro gene (λcro^-) leads to
253 production of CII to level sufficient to cause lysogeny even at MoI of 1. This, however, does
254 not mean that Cro, per se, is required to engender lytic development. Cro represses pL and
255 pR by fourfold and twofold, respectively [12]. Thus, the absence of Cro increases the level
256 of CII in two ways: first, by allowing transcription of cII , which is under the control of pR ,
257 and $cIII$, which is under the control of pL and whose product prevents degradation of CII by
258 protease HflB. In the wild type strain, parameters associated with transcription rates of cII and
259 $cIII$, translation and degradation rates of their respective mRNAs, and degradation rates of CII
260 and CIII are such that enough CII is produced, despite Cro's repression of pL and pR , at higher
261 MoIs so as to sufficiently activate pRE promoter, leading to production of CI to level which
262 is enough to cause lysogeny. However, when cro is deleted, CI produced even at MoI of 1 is
263 enough to engender lysogeny. With appropriate changes in the aforementioned parameters, it
264 would be possible to model λcro^- strain which behaves like its wild type counterpart.

265 As stated above, there are experimental evidences for CII present as tetramer in solution
266 [14] and in crystallized free and DNA-bound state [15]. Additionally, as Figure 4 in [10] shows,
267 the binding curve of CII to pAQ has appreciable lag phase, indicating that it binds as a multimer.
268 However, Figure 2c in [2] shows that curve of pRE 's activity with respect to CII levels is not
269 sigmoidal as expected from multimeric binding, but hyperbolic as seen in monomeric binding.
270 Therefore, another model was considered where Hill coefficient for CII binding was taken to
271 be 1 (Lyt_Lys_CII(1)). Additionally, one more model was considered where Hill coefficient
272 for Lyt too was taken to be 1 (Lyt(1)_Lys_CII(1)). This made the current author go back to
273 two-protein models and consider 1A_Lyt_Lys model too with Hill coefficients' set of $a=1, b=2$
274 and $a=1, b=4$, named 1A_Lyt(1)_Lys. SQs generated by all new variants were similar in values
275 to those generated from their counterparts, where Hill coefficient of either Lyt or CII, or both,
276 were taken to be 2. Specifically, for 1A_Lyt(1)_Lys all SQs were more than 0.98 for both sets
277 of Hill coefficients. For all of the three protein models, all SQs were greater than 0.95. Just like
278 the Hill coefficients' set of $a=2, b=1$, parameter sets generated by the set of $a=1, b=1$ gave SQs
279 which were almost equal to zero.

280

281 Stochastic simulation

282 Since gene expression is stochastic [17,18], the true validity of results obtained in the deterministic
 283 simulations lie in their being replicated in the stochastic simulations. Thus, stochastic simulations
 284 were performed, using Gillespie algorithm [19], for parameter sets obtained in the deterministic
 285 simulations.

286 For both two-protein and three-protein models, for any given parameter set, SQ generated
 287 in the stochastic simulation, or stochastic switch quotient (SSQ), was less than its deterministic
 288 counterpart, viz. DSQ. No parameter set was able to produce switch in every run during
 289 stochastic simulation. That is because either the SSQ was negative ($S_1 < S_2$) or, rarely, S_3
 290 was zero. Percentage of runs that produce finite, positive SQs during stochastic simulation for
 291 a given parameter set and set of Hill coefficients would henceforth be referred to as stochastic
 292 success rate.

Table 4: Number of parameter sets for various ranges of stochastic success rate.

Model	SSR ^a ≥ 95		95 > SSR ≥ 90		90 > SSR ≥ 80		Total no. of parameter sets	
	a=2 b=2	a=2 b=4	a=2 b=2	a=2 b=4	a=2 b=2	a=2 b=4	a=2 b=2	a=2 b=4
1A_Lyt_Lys	1	4	5	3	10	3	21	17
1A_Lyt(1)_Lys	0	0	1	1	3	9	17	15
1B_Lyt_Lys	1	1	1	5	2	2	11	11
2_Lyt_Lys	0	1	0	0	0	0	6	6
3_Lyt_Lys	0	0	0	0	1	4	8	10
4_Lyt_Lys	0	2	0	0	0	0	2	2
6_Lyt_Lys	0	0	0	0	0	0	12	12
Lyt_Lys_CII	1	N/A	2	N/A	1	N/A	9	N/A
Lyt_Lys_CII(1)	1	N/A	1	N/A	5	N/A	9	N/A
Lyt(1)_Lys_CII(1)	0	N/A	1	N/A	1	N/A	9	N/A

^a SSR = Stochastic Success Rate

293 An interesting property was observed for mutual repression model for Hill coefficients' set
 294 of a=2, b=4. It was the only set of Hill coefficients for any model lacking the positive feedback

295 that produced a DSQ more than 0.9 (highest SQ for the same model for Hill coefficients' set of
296 $a=2$, $b=2$ was 0.6666). As aforementioned, all of the parameter sets for Hill coefficients' set of
297 $a=2$, $b=4$ produced DSQ of more than 0.9 except one, whose DSQ was 0.5. Notably, this is the
298 parameter set which had very high stochastic success rate, viz. that of 97%; while, maximum
299 stochastic success rate among other parameter sets was 50%. This peculiar result for mutual
300 repression has been reported earlier also.

301 Avlund et al. showed that various two-protein models, based upon mutual repression
302 model, which were able to produce switch in a noise-less environment, did not function when
303 noise was introduced [9]. However, additional CII-like protein conferred robustness to noise
304 in 8% of the parameter sets that produced switch deterministically. The different behaviour
305 of mutual repression model in deterministic simulations with respect to stochastic simulations
306 warrants theoretical investigation. Notably, one of their rare two-protein models (i.e., b of
307 Figure 2) which did produce switch even in the presence of noise (though with much lower
308 success as compared to their three-protein models) is model 6_Lyt_Lys in the current paper.

309 Thus, taking into account stochastic success rate of at least 95%, two-protein models can
310 be divided into two sets based upon DSQs or SSQs. One set comprises of two models with the
311 positive feedback loop, viz. 1A_Lyt_Lys and 1B_Lyt_Lys, and another without it, viz. 2_Lyt_Lys
312 and 4_Lyt_Lys. The one with the positive feedback loop has appreciably higher DSQs and SSQs
313 than the one without it. In fact, the lowest DSQ and SSQ among the first set of models were
314 much higher than the highest DSQ and SSQ, respectively, among the second set of models. The
315 comparison could not be made for stochastic success rate's range of less than 95% and greater
316 than or equal to 90% because neither 2_Lyt_Lys nor 4_Lyt_Lys produced switch.

317 However, for the same two thresholds of stochastic success rate, average SSQs for parameter
318 sets with Hill coefficients' set of $a=2$, $b=2$ were greater than average SSQs for those with Hill
319 coefficients' set of $a=2$, $b=4$, for any given model (Table 2 and Table 3). Not just that, the lowest
320 SSQ among parameter sets with Hill coefficients' set of $a=2$, $b=2$ was greater than the highest
321 SSQ among those with Hill coefficients' set of $a=2$, $b=4$, for any given model. This result is
322 against one's expectation: since Lys activating transcription of its own gene in a cooperative

323 manner is crux of the switch, increasing Hill coefficient of Lys should have, if at all, increased
 324 the SSQ. This comparison could not be made in models without the positive feedback loop
 325 because none of their parameter sets with Hill coefficients' set of $a=2$, $b=2$ had stochastic
 326 success rate of at least 90%.
 327

Table 5: Maximum stochastic success rate.

Model	Hill coefficients' set	Maximum stochastic success rate
1A_Lyt_Lys	$a=2$, $b=4$	96.8
1A_Lyt(1)_Lys	$a=1$, $b=2$	91
1B_Lyt_Lys	$a=2$, $b=4$	97.2
2_Lyt_Lys	$a=2$, $b=4$	97
3_Lyt_Lys	$a=2$, $b=4$	87
4_Lyt_Lys	$a=2$, $b=4$	98.8
6_Lyt_Lys	$a=2$, $b=4$	73
Lyt_Lys_CII	$a=2$, $b=2$, $c=2$	95.5
Lyt_Lys_CII(1)	$a=2$, $b=2$, $c=1$	97
Lyt(1)_Lys_CII(1)	$a=1$, $b=2$, $c=1$	93.5

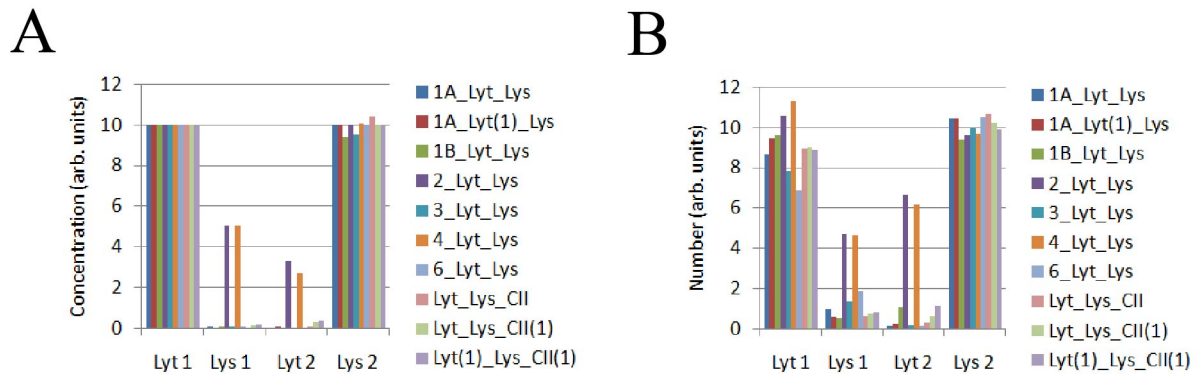


Figure 5: Equilibrium values correspond to those parameter sets which gave maximum stochastic success rate for their respective models (see Table 5). (A) Deterministic simulations. (B) Stochastic simulations. Note how the values of Lys at MoI of 1 and Lyt at MoI of 2 for 2_Lyt_Lys and 4_Lyt_Lys are much higher than those of the any other model.

328 **Bistability at MoI of 1 and lysogen stability**

329 In this study, parameter sets were searched for their ability to cause lysis at MoI of 1 and
330 lysogeny at MoI of 2. However, if only one of the phage genomes gets integrated into the
331 bacterial chromosome, it would not be able to maintain lysogeny, and lysis would ensue, if
332 only one stable state existed at MoI of 1. In the deterministic simulations, all of the two-protein
333 models possessing the positive feedback exhibited bistability at MoI of 1 for all of the parameter
334 sets, except one (for 1B_Lyt_Lys). In the other stable state, the concentration of Lyt is almost
335 zero and that of Lys is about half of its concentration at MoI of 2. Arguably, in lambda's system,
336 the level of Lys in the second stable state would be high enough to maintain lysogeny.

337 For 4_Lyt_Lys, none of the parameter sets produced bistability at MoI of 1. For 2_Lyt_Lys,
338 for Hill coefficients set of $a=2$, $b=2$ one parameter set generated bistability at MoI of 1, but its
339 stochastic success rate was just 7.6% (Bistability exists for two more parameter sets, but their
340 second stable states are at very high values of Lyt (>50) and very low values of Lys (<2); hence,
341 inconsequential for lysogeny maintenance, and in any case, never reached by the phase point).
342 For Hill coefficients set of $a=2$, $b=4$, the only parameter set which did not exhibit bistability
343 at MoI of 1 had stochastic success rate of 97%, while maximum stochastic success rate among
344 other parameter sets was 50% (as aforementioned in the section for stochastic simulations). All
345 of the three-protein models exhibited bistability at MoI of 1. The Lyt and Lys values of second
346 stable states at MoI of 1 in three-protein models are about same as those of second stable states
347 in two-protein models at the said MoI.

348 DNA between OL and OR sites forms a loop that has been shown to be important for the
349 stable maintenance of lysogeny [12]. The loop forms due to interaction between CI dimers
350 bound at OL1 and OL2 with those bound at OR1 and OR2 [13]. Therefore, the contribution of
351 OL-CI-OR complex to production of CI would be represented by adding a term proportional to
352 $[CI]^8$, raised to the power 8, to numerator and denominator. Since bistability at MoI of 1 in the
353 two-protein models is the consequence of *lys'* transcription getting activated by its own product
354 in a cooperative manner (i.e., by the binding of Lys dimer), in lambda's GRN, activation of

355 *cI*'s promoter when present in looped DNA, stabilized by CI octamer, would either generate
356 bistability or contribute to already existing bistability due to two CI dimers activating the
357 transcription of *cI*. Thus, it is reasonable to propose that the role of OL-CI-OR loop formation
358 is to produce or strengthen bistability at MoI of 1. This argument becomes stronger in the light
359 of the finding that looping also activates transcription from *pRM* by allowing the α -CTD of
360 RNAP bound at *pRM* to contact UP element at OL [16]. The heightened rate of transcription
361 from *pRM* when present in looped DNA would also lead to higher equilibrium concentration
362 of CI in the other stable state, thereby enabling better maintenance of lysogeny.

363 During prophage induction, Lys undergoes autocleavage, facilitated by activated RecA
364 coprotease [20], which results into removal of repression of pL and pR and lytic development
365 ensues. As can be seen in the phase diagram (Figure 6), Lys' concentration should become
366 extremely low for prophage induction to occur, viz. phase point reaching fixed point representing
367 lysis, thereby making this process hard to achieve. This result was seen for all of the parameter
368 sets exhibiting bistability. This could be explained away by simply noting that the criterion
369 of parameter selection here does not include the process of prophage induction. That would
370 have demanded the threshold of Lys' concentration for induction to be neither too high, so that
371 lysogen becomes unstable, nor too low, so that induction becomes difficult. In the stochastic
372 simulations, however, none of the two-protein and three-protein models produced bistability at
373 MoI of 1.

374 At MoI of 2, only two models, viz. 2.Lyt_Lys and 6.Lyt_Lys, show bistability for about
375 80% and 60%, respectively, of the parameter sets. Notably, only these two models have Lyt
376 repressing the transcription of *lys*. Since at the second stable state the concentration of Lyt is
377 very high and that of Lys is very low, a parameter set would not, if at all, generate switch with
378 high DSQ if its phase point reached this stable fixed point. Hence, bistability at MoI of 2 is
379 inconsequential.

380

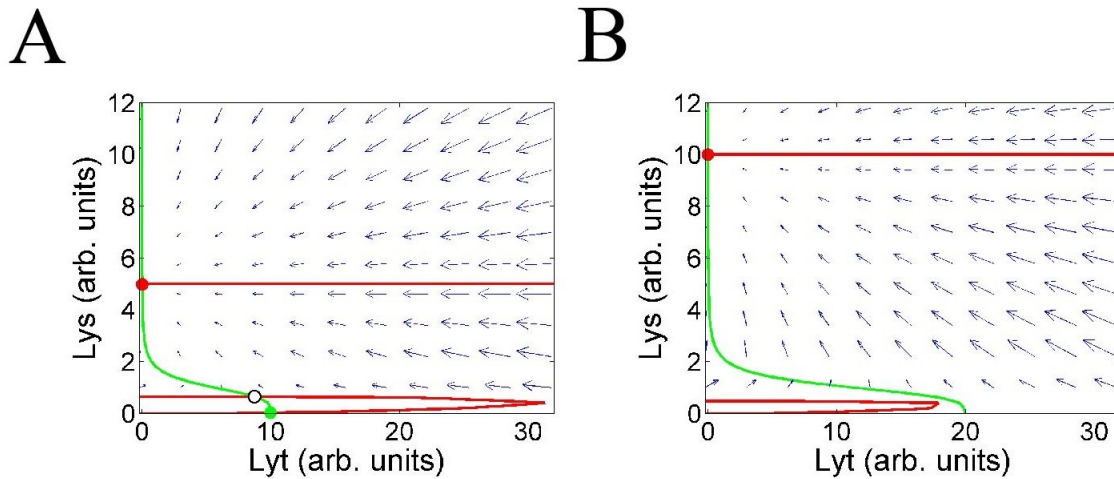


Figure 6: (A-B)Phase diagram of 1A_Lyt_Lys corresponding to the parameter set that gave maximum stochastic success rate, at MoI of 1 and 2. Green and red full circles are stable fixed points, whereas empty black circle is unstable fixed point. Green stable point is where system reaches when a single phage infects a bacterium. Red stable point is where system reaches when lysogeny is established by two phages, but only one of them gets integrated into the host's genome.

381 **Why positive feedback?**

382 There can be two reasons why lysis/lysogeny switch is based upon the positive feedback: 1)
383 biological properties of the switch, viz. a) highest switch quotient and b) presence of bistability
384 at MoI of 1, and 2) quickest evolution of such a model. It should be noted, however, that speed
385 of evolution would not matter if evolution is path-independent. That is, it's possible that nature
386 initially evolves a sub-optimal design but which, given enough time, gets superseded by an
387 optimal one.

388 1a) Switch quotient: As mentioned in the previous sections, SQs generated in the deterministic
389 and the stochastic simulations, respectively, for models possessing the positive feedback are
390 much greater than those of the models lacking positive feedback.

391 1b) Bistability at MoI of 1: As stated in the last section, for models not possessing the positive
392 feedback loop, no parameter set, if at all, having sufficiently good stochastic success rate
393 generated bistability. If one ignores the possibility of any other mechanism generating bistability,
394 such as the formation of OL-CI-OR complex, this reason alone is sufficient for nature to choose
395 models which possess the positive feedback loop over those which do not.

396 2) Speed of evolution: Even though the maximum stochastic success rate is very low for
397 3_Lyt_Lys and (especially) 6_Lyt_Lys, they are still compared with 4_Lyt_Lys and 2_Lyt_Lys,
398 respectively, as these two are the only pairs within which mathematical comparison with regard
399 to the positive feedback loop is possible. 2_Lyt_Lys and 4_Lyt_Lys differ from 6_Lyt_Lys and
400 3_Lyt_Lys, respectively, only in not having the positive feedback loop. Thus, model equations
401 of former two models differ from those of latter two only in the dynamics of Lys. In models
402 with the positive feedback loop, the term representing binding of Lys to the intergenic region
403 (i.e., Y^b/K_{D2}) is multiplied by rate constant for transcriptional activation of *lys* by Lys, k_4 .
404 On the other hand, in models without the positive feedback loop Y^b/K_{D2} is multiplied by k_3 ,
405 the basal expression rate of *lys*. Thus, 2_Lyt_Lys and 4_Lyt_Lys can be thought of as being
406 equivalent to 6_Lyt_Lys and 3_Lyt_Lys, respectively, whose k_4 is equal to k_3 . That is, the former
407 two models are those latter two models, respectively, whose rate constant for transcriptional
408 activation of *lys* by Lys is equal to the basal expression rate of *lys*. This constrain of having
409 $k_3 = k_4$ reduces the potential parameter space for 2_Lyt_Lys and 4_Lyt_Lys by one dimension.
410 Hence, the two parameters being independent in 3_Lyt_Lys and 6_Lyt_Lys makes nature more
411 likely to discover them. This explains why 2_Lyt_Lys (11, 11) and 4_Lyt_Lys (2, 2) produced
412 fewer parameter sets than 6_Lyt_Lys (16, 16) and 3_Lyt_Lys (11, 13), respectively, for both sets
413 of Hill coefficients during the order search (as shown in the parenthesis).

414 Now, qualitative equivalence of 3_Lyt_Lys and 6_Lyt_Lys with 1B_Lyt_Lys, which is equivalent
415 to 1A_Lyt_Lys, is shown. 1B_Lyt_Lys is qualitatively equivalent to 3_Lyt_Lys for the reason that
416 in the former, transcriptional activation of *lys'* is achieved by binding of Lyt to its promoter;
417 whereas, in the latter, *lys* possesses basal expression. 6_Lyt_Lys differs from 3_Lyt_Lys in
418 having Lyt as a repressor of *lys*. This interaction is expendable, as at MoI of 2 concentration
419 of Lyt is anyway very low, and, qualitatively speaking, at MoI of 1 repression of *lys* by Lyt
420 can be compensated by reducing basal expression of *lys*. As Table 6 shows, for a given set of
421 Hill coefficients and range of stochastic success rate, average k_3 is higher (except being equal
422 on one occasion) for 6_Lyt_Lys than that for 3_Lyt_Lys, for those cases where both models
423 produce switch at least for one parameter set. It should be noted that the comparison is not

424 mathematical but only qualitative.

425

Table 6: Average and SD of k_3 for various thresholds of stochastic success rate.

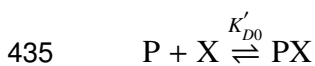
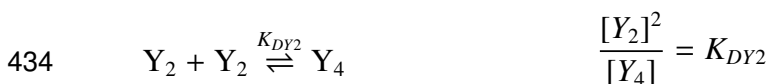
Design	AVG k_3		AVG k_3		AVG k_3	
	(SD)		(SD)		(SD)	
	$(SSR^a \geq 60)$		$(60 > SSR \geq 50)$		$(50 > SSR \geq 40)$	
	a=2, b=2	a=2, b=4	a=2, b=2	a=2, b=4	a=2, b=2	a=2, b=4
3_Lyt_Lys	0.0074 (0.0026)	0.0478 (0.0234)	0.0037 (N/A)	none	0.0031 (0.0005)	none
6_Lyt_Lys	none	0.1165 (0.0882)	0.0037 (≈ 0)	6.9641 (9.2816)	0.0711 (0.0678)	4.5459 (4.2041)

^a SSR = Stochastic Success Rate

426 Methods

427 Derivation of model equations

428 The model, using the fact that binding of protein to itself or DNA is a much quicker process than
 429 transcription and translation, assumes quick equilibration for the processes of protein binding
 430 to itself or DNA, in order to calculate the "combined" dissociation constants of proteins. In the
 431 expressions below, P, X, and Y are promoter, Lys, and Lyt, respectively.



$$\frac{[P][X]}{[PX]} = K'_{D0} = K_{D0}$$



438 Above expressions for concentrations of promoter-protein complexes are for cases where a) Lyt
 439 binds as monomer, b) Lyt binds as dimer, and c) Lys binds as tetramer. They exhaust all other
 440 cases, viz. monomeric and dimeric Lys, and monomeric and dimeric CII.

441 Processes of transcription and translation are not considered explicitly except for *lyt-CII*
 442 genes in the three-protein models. Hence, the model equations describe concentrations of
 443 proteins only. With expressions for concentrations of promoter-protein complexes, one can
 444 write generalized form of term representing protein production.

445
$$b + \sum_i k_i \cdot [DNA - Prot_i]$$

 446
$$\frac{\quad}{[Unbound DNA] + \sum_i k_i \cdot [DNA - Prot_i]}$$

447 where b is, in case present, basal expression and k_i is rate constant for transcriptional activation
 448 by i_{th} protein.

449 Parameter sets, viz. rate constants and dissociation constants, of model equations were
 450 searched deterministically in two stages, viz. order search and linear search (as they are named
 451 here). In the order search, rate constants and dissociation constants were searched as 3's
 452 exponent, which was varied between -5 and 5 with the difference of 1, in a nested fashion.
 453 Thus, the number of parameter sets searched was equal to the number of parameters raised
 454 to the power 11. Notably, switch quotients generated by this approach are unrefined because
 455 rate constants and dissociation constants were increased geometrically, thereby causing a lot
 456 of intervening values to remain unsampled. Therefore, parameter sets generated from order

457 search were further refined by linear search, which searches the neighbourhood of parameter
458 set arithmetically. It was noted that those parameter sets generated in the order search whose
459 SQs were too close to each other were either rescaled form of each other, or differed in
460 those parameters to which SQ was resilient up to a certain range. Thus, in order to remove
461 redundancy and in the interest of time, for linear search, the parameter sets were taken in such
462 a way that the difference between consecutive SQs is at least 0.01.

463 Parameter sets, and thus accompanied SQs, generated through order search were refined
464 by linear search in the following way. The value of each parameter (say, V) of a set was
465 varied between $-3*V/5$ and $3*V/5$ with the increment of $V/5$, in a nested fashion. Thus, the
466 number of parameter sets searched was equal to the number of parameters raised to the power
467 7. However, for three-protein model, which had eight parameters, in the interest of saving time,
468 each parameter was varied between $-2*V/5$ and $2*V/5$ with the increment of $V/5$, in a nested
469 fashion. Search was ended if the latest SQ was either lower than the previous one (which never
470 happened) or if $((\text{latest SQ} - \text{previous SQ})/\text{previous SQ})$ was less than 0.01. Again, in the
471 interest of saving time, for three-protein model, the search was ended if the SQ at the end of
472 the last iteration was more than or equal to 0.95. It should be noted that linear search is path
473 dependent: it may happen that a path which initially yields lower SQs leads to higher SQ in
474 the end than a path which initially yields higher SQs, and thus, treaded by the search. For both
475 order and linear search and for all of the models, in order to expedite search, those parameter
476 sets were rejected whose accompanying SQ was lower than the SQ of the previous parameter
477 set. The values of the parameters were normalized such that the Lyt's equilibrium concentration
478 was 10 arb. units. This was done for two purposes: a) to ensure that lowest values of Lyt at
479 MoI of 1 and Lys at MoI of 2 never drop to zero in the stochastic simulations; b) in order to
480 make comparison of parameter sets and equilibrium values of proteins visually easier. For both
481 order and linear search, simulations were carried for time 100 arb. units. Thus, there was a
482 possibility of a system of equations, defining a particular model, not reaching equilibrium in
483 100 arb. units for a given parameter set. In order to eliminate such parameter sets, simulations
484 were done for 10^5 arb. units. Only few parameter sets had not reached equilibrium, and all

485 of such parameter sets produced negative SQ. In order to calculate stochastic switch quotie nt,
486 levels of proteins were averaged between 100 and 200 arb. units. The transient kinetics, viz.
487 inital rise and plateauing at MoI of 1 and bell-shaped trajectory MoI 2, were completed at most
488 by 50 arb. units.

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