

Hepatitis B Virus Infection as a Neglected Tropical Disease

Geraldine A O'Hara^{*1,2}, Anna L McNaughton^{*3}, Tongai Maponga⁴,
Pieter Jooste⁵, Ponsiano Ocama², Roma Chilengi⁶, Jolynne Mokaya⁷,
Mitchell I Liyayi⁸, Tabitha Wachira⁹, David M Gikungi¹⁰,
Lela Burbridge¹¹, Denise O'Donnell¹¹, Connie S Akiror¹², Derek Sloan¹³,
Judith Torimiro^{14,15}, Louis Marie Yindom¹⁶, Robert Walton¹⁷, Monique
Andersson^{4,18}, Kevin Marsh^{3,19}, Robert Newton^{2,20}, Philippa C Matthews^{3,18}

*These authors contributed equally to this work

¹ London School of Hygiene and Tropical Medicine, Keppel Street, London
WC1E 7HT, UK

² MRC/UVRI Uganda Research Unit, P.O. Box 49, Entebbe, Uganda

³ Nuffield Department of Medicine, Peter Medawar Building for Pathogen
Research, South Parks Road, Oxford OX1 3SY, UK

⁴ Division of Medical Virology, Stellenbosch University, Faculty of Medicine
and Health Sciences, Tygerberg, Cape Town 7500, South Africa

⁵ Department of Paediatrics, Kimberley Hospital, 114 Du Toitspan Road,
Kimberley 8300, South Africa

⁶ Centre for Infectious Disease Research in Zambia, Plot 5032, Great North
Road, Lusaka, Zambia

⁷ KEMRI Wellcome Trust Research Programme, Kilifi, Kenya

⁸ Family Health International, Baringo County Referral Hospital, Baringo,
Kenya

⁹ Machakos Level 5 Hospital, Machakos, Kenya

¹⁰ Garissa County Referral Hospital, Garissa, Kenya

¹¹ Patient and Public Involvement Committee, Translational Gastroenterology
Unit, Nuffield Department of Medicine, John Radcliffe Hospital, Headley Way,
Oxford, OX3 9DU, UK

32 ¹² Global Healthcare Public Foundation, Makindu Lane, Kololo, Kampala,
33 Uganda

34 ¹³ University of St Andrews, School of Medicine, Medical & Biological
35 Sciences, North Haugh, St Andrews KY16 9TF, Scotland, UK

36 ¹⁴ Chantal Biya International Reference Centre for Research on HIV/AIDS,
37 Yaounde, Cameroon

38 ¹⁵ Faculty of Medicine and Biomedical Sciences, University of Yaounde I,
39 Yaounde, Cameroon

40 ¹⁶ Nuffield Department of Medicine, University of Oxford, Old Road Campus
41 Research Building, Roosevelt Drive, Oxford OX3 7FZ, UK
42 Oxford, OX3 7FZ, UK

43 ¹⁷ Warwick Medical School, University of Warwick, Coventry CV4 7AL, UK

44 ¹⁸ Department of Infectious Diseases and Microbiology, Oxford University
45 Hospitals NHS Foundation Trusts, John Radcliffe Hospital, Headley Way,
46 Oxford OX3 9DU, UK

47 ¹⁹ Africa-Oxford (AfOx) Initiative, Peter Medawar Building for Pathogen
48 Research, South Parks Road, Oxford OX1 3SY, UK

49 ²⁰ Department of Health Sciences, University of York, UK

50

51

52 **Corresponding author:** Email philippa.matthews@ndm.ox.ac.uk; Tel 0044
53 1865 271973

54

55 **Running header:** HBV as an NTD

56

57 **Key words:** hepatitis b virus, HBV, neglected tropical disease, epidemiology,
58 funding, eradication, public health, stigma

59

60 **BACKGROUND**

61 The Global Hepatitis Health Sector Strategy is aiming for ‘elimination of viral
62 hepatitis as a public health threat’ by 2030 [1], while enhanced elimination
63 efforts for hepatitis are also promoted under the broader remit of global
64 Sustainable Development Goals (SDGs) [2]. This is an enormous challenge
65 for hepatitis B virus (HBV) given the estimated global burden of 260 million
66 chronic carriers, of whom the majority are unaware of their infection [3]
67 (Figure 1).

68

69 We here present HBV within the framework for neglected tropical diseases
70 (NTDs) [4], in order to highlight the ways in which HBV meets NTD criteria
71 and to discuss the ways in which the NTD management paradigm could be
72 used to strengthen a unified global approach to HBV elimination [5]. The
73 major burden of morbidity and mortality from HBV is now borne by tropical
74 and subtropical countries [6]. We here focus particular attention on Africa, as
75 many African populations epitomize specific vulnerability to HBV [7].
76 However, the themes we represent are transferable to other low and middle-
77 income settings, and are relevant on the global stage.

78

79 **CURRENT STRATEGIES FOR HBV CONTROL**

80 Robust preventive vaccines have been rolled out in Africa since 1995 as a
81 component of the Expanded Programme on Immunization (EPI). For adults
82 with chronic infection and evidence of ongoing liver damage, a daily dose of
83 suppressive antiviral therapy using nucleot(s)ide analogues (Table 1)
84 successful at effecting viraemic suppression in the majority of cases,
85 reducing complications and diminishing spread. Antiviral therapy does not
86 commonly result in cure, due to the persistence of transcriptionally active
87 DNA in the hepatocyte nucleus, but Interferon (IFN)-based therapy can
88 increase rates of clearance.

89

90

Table 1. Drug therapy used to treat HBV. Costing is based on International medical products price guide: <http://mshpriceguide.org/en> (price for 3TC - South Africa Department of Health; price for TDF - Supply Chain Management Project; price for HBIG – Sudan MSF). WHO essential medicines: http://who.int/medicines/publications/essentialmedicines/EML_2015_FINAL_amended_NOV2015.pdf?ua=1.

Drug name	Drug class	Potency against HBV	Resistance	Severe adverse effects	Safe in pregnancy?	Use in children	Use as part of combined ART?	WHO 'essential medicine'	Monitoring	Cost (International medical products price guide)
Tenofovir (TDF)	Nucleotide reverse transcriptase inhibitor	+	Rare	Lactic acidosis; hepatitis; renal injury; bone demineralisation	Yes	>12yrs for HBV*	Yes	Yes	LFTs, renal function	\$3.91/month
Entecavir (ETV)	Nucleoside reverse transcriptase inhibitor	++	<10% at 3 years. Increased in 3TC resistance	Lactic acidosis; steatosis	Not known	From age 2 years	No	Yes	LFTs, FBC	Not listed
Lamivudine (3TC)	Nucleoside reverse transcriptase inhibitor	+ (potentially limited by resistance)	50% at 3 years. Best recognised mutations are in YMDD motif in viral polymerase.	Lactic acidosis; hepatomegaly and steatosis; pancreatitis	Yes	From birth	Yes	Yes	LFTs, FBC	\$1.43/month
Interferon (IFN)	Biologic response modifier	+ (genotype dependent)	No	Anorexia, diarrhea; flu-like symptoms; neurotoxicity; seizures; hepatotoxicity	No	Not recommended >18yrs only**	N/A	Yes	LFTs, FBC, TFTs	Not listed
HBV Immunoglobulin (HBIG) for prophylaxis	Biologic response modifier	++	N/A	Abdominal pain; buccal ulceration; hest pain	Yes	From birth	N/A	No	N/A	\$38.02/dose

* British National Formulary states Tenofovir can be prescribed for HIV in infants >2yrs, but data for HBV treatment are lacking.

** British National Formulary states Peg-IFN-alpha can be prescribed for chronic HCV in infants >5yrs but data for HBV treatment are lacking.

<https://www.medicinescomplete.com/mc/bnfc/current/>

Prevention of mother to child transmission (PMTCT) can be improved through a combination of routine antenatal screening, antiviral drugs during the latter stages of pregnancy, and HBV vaccination to the baby starting at birth. Where resources permit, HBV immunoglobulin (HBIG) can further reduce the risk of vertical transmission.

Despite the efficacy of these strategies in managing or preventing individual cases, these interventions do not currently offer a route to global HBV eradication, due to a shortage of investment and resources, the large pool of undiagnosed cases, lack of routine diagnostic screening, the high cost of IFN

115 and HBIG, the lack of a curative therapy, substantial gaps in drug and
116 vaccine coverage, and the potential for increasing drug resistance [8].

117

118 **APPLICATION OF NTD CRITERIA TO HBV**

119 We have applied the WHO criteria for NTDs to HBV [4], and refer to case
120 studies and experience from our own clinical practice (Suppl. data file) to
121 illustrate how HBV in Africa fulfills NTD criteria.

122

123 ***(i) NTDs ‘primarily affect populations living in tropical and sub-tropical***
124 ***areas’.*** Although HBV is endemic globally, the bulk of morbidity and mortality
125 is now borne by low/middle income countries in tropical and sub-tropical
126 regions [6, 9]. In Africa, many populations are particularly vulnerable due to
127 co-endemic HIV infection and other co-infections, host and viral genetic
128 factors, poverty, and lack of education and infrastructure [7]. In this setting,
129 HBV has been eclipsed by the more acute and tangible health crisis of
130 human immunodeficiency virus (HIV); only now in the ART era is it re-
131 emerging as a visible threat [S2]. One illustration of this shift is the increase
132 in deaths from HBV-related liver cancer over time that contrasts a reduction
133 in AIDS deaths [10].

134

135 ***(ii) NTDs ‘disproportionately affect populations living in poverty; and***
136 ***cause.... morbidity and mortality, including stigma and discrimination’.***
137 HBV is part of a cycle of poverty, with a high burden of morbidity and
138 mortality in young adults [S1, S4, S9]. The economic burden on individual
139 families can be particularly catastrophic in low and middle income settings
140 [11] [S4, S5, S7], although robust data are lacking for Africa. In resource-poor
141 settings, lack of education and scarce healthcare resources impinge on
142 successful diagnosis and monitoring [S4, S7], as well as failure to control
143 symptoms where relevant [S9]. Stigma and discrimination are often invisible,
144 but can be potent and highly relevant challenges to the success of scaling up
145 interventions for prevention, diagnosis, and treatment [12] [S5, S6, S7].

146

147 ***(iii) NTDs are ‘immediately amenable to broad control, elimination or***
148 ***eradication by applying... public health strategies’.*** We already have an

armamentarium of strategies with which to tackle HBV prevention and treatment (Figure 2). In order to be widely and robustly deployed, these approaches should interlink with existing resources and infrastructure wherever possible [S2].

153

(iv) NTDs are ‘relatively neglected by research – i.e., resource allocation is not commensurate with the magnitude of the problem’. Compared with other blood-borne viruses, namely HIV and hepatitis C virus, which infect substantially lower numbers [7], HBV has attracted far fewer research resources, and this gap may actually be widening over time [13]. HBV mortality (887,000 deaths / year [3]) is now twice that of malaria (429,000 deaths / year [14]) but, malaria receives nearly five-fold more funding (Figure 3). Moreover, development of clinical programs for hepatitis testing and treatment are fragmented in comparison to the progressive infrastructure that has emerged to tackle HIV [S7].

164

165 **RECOMMENDATIONS BASED ON NTD FRAMEWORK**

Even for an organism that is not officially recognized as an NTD, there is much to be learnt from the NTD paradigm that could accelerate progress in tackling HBV. The ethos of combining several public health strategies, and integrating care for different diseases, is captured by the approach advocated for NTDs [4], and is also a helpful model for HBV. Particularly in the African subcontinent, where other NTD models have had significant impact [15], using this framework for HBV could promote awareness, leverage advocacy and resources, and promote integration of HBV prevention and treatment into existing HIV infrastructure [5].

In the following section, we use suggested interventions for NTDs to discuss briefly how these are pertinent to reducing – and ultimately eliminating – HBV infection as a public health threat.

178

179 **(i) ‘Intensified case management’**

Based on the significant numbers of individuals lost at every step of the ‘cascade’ from diagnosis through to successful treatment and prevention (Figure 1), enhanced efforts are needed to promote linkage through care

183 pathways. Enhanced HBV testing is crucial to facilitate entry into clinical care,
184 allowing treatment to reduce the risk of onward spread, including
185 underpinning PMTCT [S8]. Initially, this may rely on using existing diagnostic
186 platforms (based on serology), but investment is required in developing and
187 rolling out new approaches, including molecular testing strategies that are
188 more sensitive, provide enhanced data (e.g. detection of drug resistance), and
189 are fast enough to enable point-of-care testing. This can often be transferred
190 from technology that has been initially developed for the diagnosis of other
191 diseases.

192

193 The role and significance of stigma associated with HBV infection in Africa is
194 largely unreported in the literature. However, individual testimony leaves no
195 doubt that this is a significant barrier to diagnosis and clinical care [S5, S6].
196 Gaining a better understanding of the extent and nature of stigma and
197 discrimination in different populations is a crucial first step, in parallel with
198 enhanced efforts to educate patients, health care workers and the public.

199

200 **(ii) 'Preventive chemotherapy'**

201 Although antiviral therapy for HBV is generally regarded as treatment rather
202 than prevention, in the majority of cases it renders individuals aviraemic,
203 preventing onward transmission. Antiviral therapy for HBV (Table 1) should be
204 made accessible, ideally capitalizing on the supply chains and distribution
205 infrastructure that have been developed for HIV (and/or other prevalent
206 infections, such as tuberculosis and malaria) [5]. Research efforts are still
207 required to identify prognostic factors that predict differential response to
208 therapy and allow tailoring of care.

209 PMTCT can progressively become a realistic goal by expanding access to
210 antenatal diagnostics, simple treatment interventions such as maternal
211 tenofovir during trimester three, and HBV vaccination for all babies, with the
212 first dose delivered at birth [8] [S8]. Vaccination remains a cornerstone of
213 prevention, but more work is needed to investigate the most effective catch-up
214 immunization strategies to reduce the burden of HBV infection at a population
215 level [S3, S4].

216

217 **(iii) ‘Sanitation and hygiene’**

218 Although this category of interventions is conventionally applied to reducing
 219 food and water-borne infections, we here broaden our interpretation to include
 220 other aspects of prophylaxis. Safety and security of medical supplies has
 221 increasingly improved to reduce nosocomial transmission of blood borne
 222 viruses over recent decades [S3]. However, sterile practices need to be more
 223 widely promoted and guaranteed, to assure the safety of other procedures
 224 such as scarification, tattoos, piercings and circumcision that may occur in
 225 community settings. Provision of condoms alongside education regarding safe
 226 sex, particularly for high risk groups such as sex workers and men who have
 227 sex with men, is another important strategy for prevention.

228

229 **CONCLUSIONS**

230 Elimination of HBV infection has gained status within international health and
 231 development agendas, but is a complex clinical and public health challenge
 232 that currently lacks proportionate multi-lateral commitment from pharma,
 233 government, commissioners, funders and the research community. The many
 234 parallels with other NTDs are clearly exemplified by vulnerable populations of
 235 the African subcontinent. By viewing HBV within the NTD framework, we can
 236 improve approaches to reducing the burden of disease and move towards
 237 eventual elimination.

238

239 **FIGURE LEGENDS**

240 **Figure 1. The HBV cascade.** Diagrammatic representation of the total burden
241 of HBV infection, and the subsets of individuals who are diagnosed (orange),
242 linked to care (purple), engaged with care (dark blue), on treatment (light blue)
243 and have suppressed viraemia (green). An estimate of the proportion of cases
244 undiagnosed vs. diagnosed (91% vs. 9%, respectively) is based on the WHO
245 factsheet [3]. The proportion who flow from each pool to the next is otherwise
246 represented by a question mark, as these numbers are not represented by
247 robust data.

248

249 **Figure 2. A package of interventions to move towards elimination of**
250 **HBV infection as a public health threat.** Suggested measures are aligned
251 with WHO interventions for NTDs.

252

253 **Figure 3. Funding allocations for HBV, HCV, HIV and malaria, 2013-2018.**
254 Data from the US National Institutes for Health (NIH) estimated funding for
255 research, condition and disease categories 2013-2018 (projected), available
256 at https://report.nih.gov/categorical_spending.aspx. Figures for the projected
257 funding allocation (for 2018) relative to HBV are given. *Research into
258 'malaria' and 'malaria vaccine' have been subdivided in the dataset.

259

260 **SUPPORTING INFORMATION LEGEND**

261 This document contains supplementary data to support our view that Hepatitis
262 B Virus (HBV) can helpfully be represented within the framework set out for
263 Neglected Tropical Diseases by the World Health Organization (WHO) [1].
264 This is in line with aims stated within Sustainable Development Goals [2].
265 Complementary evidence gathered from different locations in Africa illustrates
266 the ways in which HBV infection meets the criteria for NTDs. These scenarios
267 (labelled S1 to S9, and presented by geography from South to North)
268 contribute important insights into how the NTD paradigm can be helpful in
269 informing strategies to improve diagnosis, treatment and prevention of HBV
270 infection, with the ultimate goal of eliminating infection as a public health
271 threat.

272

273

274 REFERENCES

275 [1] WHO. Draft global health sector strategy on viral hepatitis, 2016-2021;
276 2016.

277 [2] Griggs D, Stafford-Smith M, Gaffney O, Rockstrom J, Ohman MC,
278 Shyamsundar P, et al. Policy: Sustainable development goals for people and
279 planet. *Nature* 2013;495:305-307.

280 [3] WHO. Hepatitis B Fact Sheet. 2017 [cited 2017 May]; Available from:
281 <http://www.who.int/mediacentre/factsheets/fs204/en/>

282 [4] WHO. Neglected tropical diseases. 2017 [cited 2017 May]; Available
283 from: http://www.who.int/neglected_diseases/diseases/en/

284 [5] Lemoine M, Eholie S, Lacombe K. Reducing the neglected burden of
285 viral hepatitis in Africa: strategies for a global approach. *J Hepatol*
286 2015;62:469-476.

287 [6] CDC. Viral hepatitis. 2017 [cited 2017 May]; Available from:
288 <https://www.cdc.gov/hepatitis/populations/api.htm>

289 [7] Matthews PC, Geretti AM, Goulder PJ, Klenerman P. Epidemiology
290 and impact of HIV coinfection with hepatitis B and hepatitis C viruses in Sub-
291 Saharan Africa. *J Clin Virol* 2014;61:20-33.

292 [8] Jooste P, van Zyl A, Adland E, Daniels S, Hattingh L, Brits A, et al.
293 Screening, characterisation and prevention of Hepatitis B virus (HBV) co-
294 infection in HIV-positive children in South Africa. *J Clin Virol* 2016;85:71-74.

295 [9] Lemoine M, Nayagam S, Thursz M. Viral hepatitis in resource-limited
296 countries and access to antiviral therapies: current and future challenges.
297 *Future Virol* 2013;8:371-380.

298 [10] Lozano R, Naghavi M, Foreman K, Lim S, Shibuya K, Aboyans V, et al.
299 Global and regional mortality from 235 causes of death for 20 age groups in
300 1990 and 2010: a systematic analysis for the Global Burden of Disease Study
301 2010. *Lancet* 2012;380:2095-2128.

302 [11] Lu J, Xu A, Wang J, Zhang L, Song L, Li R, et al. Direct economic
303 burden of hepatitis B virus related diseases: evidence from Shandong, China.
304 *BMC Health Serv Res* 2013;13:37.

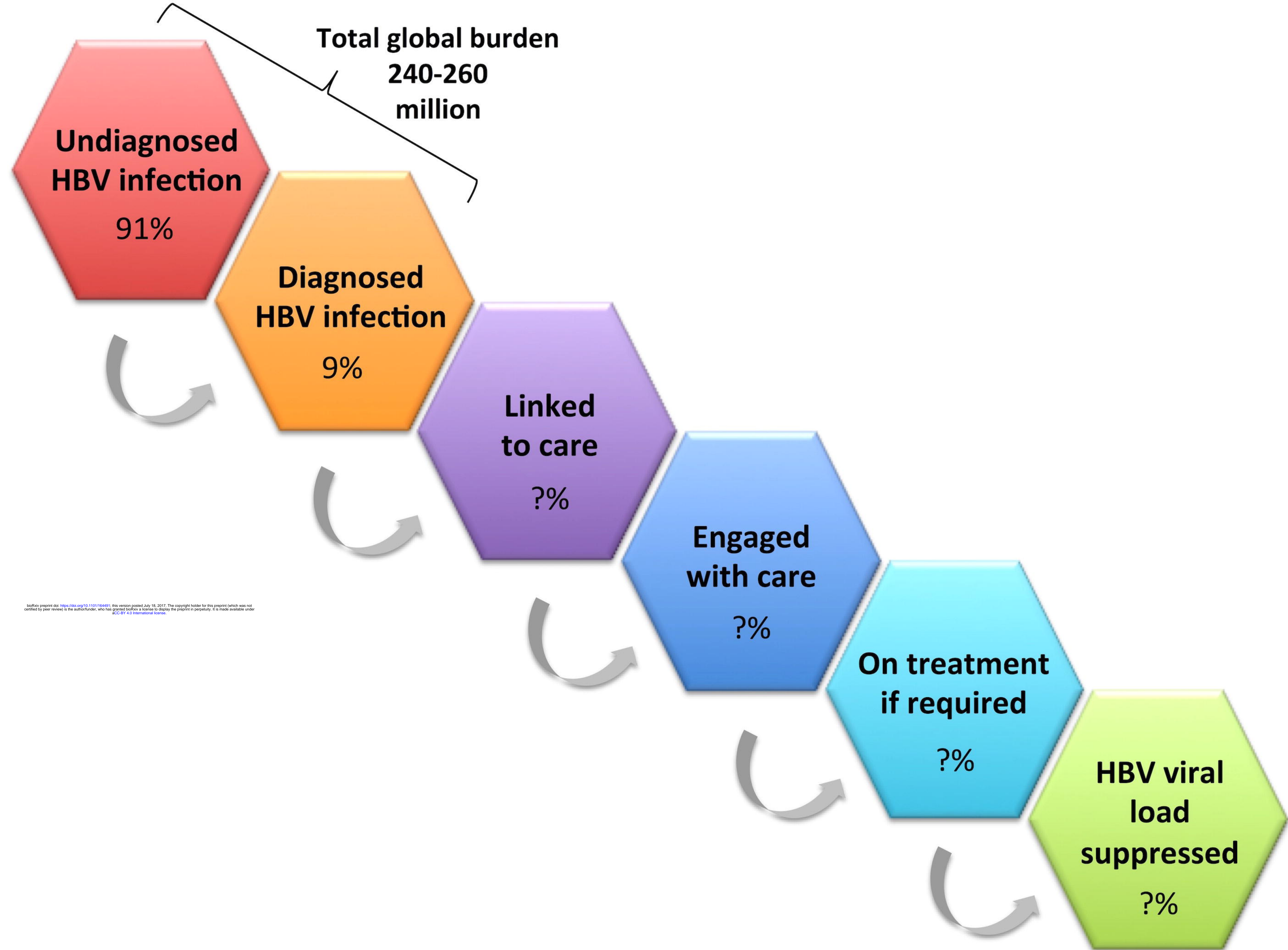
305 [12] Alizadeh AH, Ranjbar M, Yadollahzadeh M. Patient concerns regarding
306 chronic hepatitis B and C infection. East Mediterr Health J 2008;14:1142-
307 1147.

308 [13] Head MG, Fitchett JR, Cooke MK, Wurie FB, Hayward AC, Atun R. UK
309 investments in global infectious disease research 1997-2010: a case study.
310 Lancet Infect Dis 2013;13:55-64.

311 [14] WHO. Malaria Fact Sheet. 2016 [cited 2017 May]; Available from:

312 [15] Hotez P, Aksoy S. PLOS Neglected Tropical Diseases: Ten years of
313 progress in neglected tropical disease control and elimination ... More or less.
314 PLoS Negl Trop Dis 2017;11:e0005355.

315



Treatment:

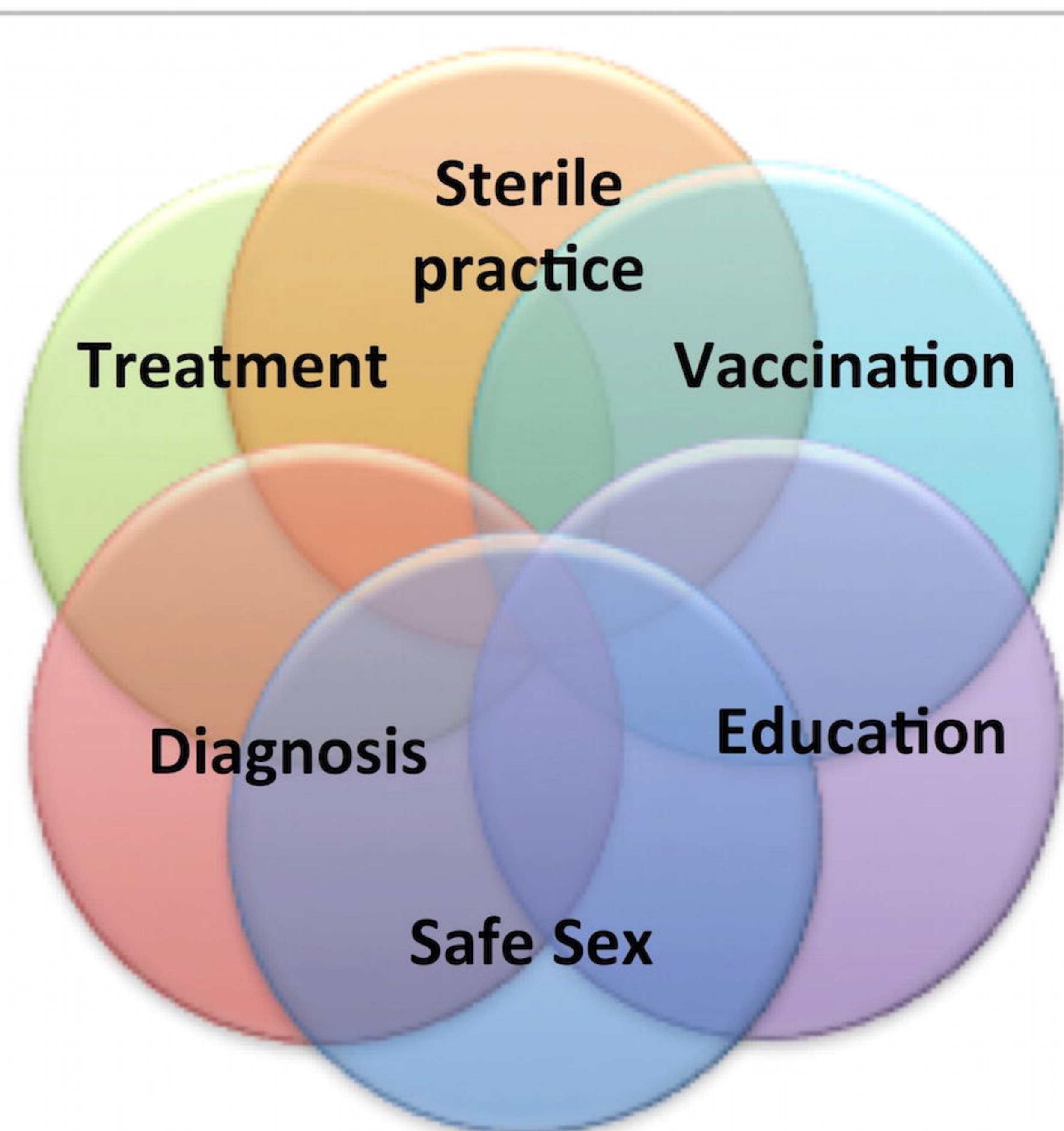
- Sustained, affordable supply of antiviral therapy integrated with other programs (e.g. ART for HIV)
- Monitoring and follow-up for patients on therapy, including renal function and HBV viral load
- Surveillance and monitoring where this can be provided (serial LFTs, U&Es, fibroscan)

Diagnosis:

- Roll-out of screening integrated with HIV VCT
- Improved antenatal screening programs for PMTCT
- Development of rapid point-of-care tests
- Enhanced molecular testing to detect drug resistance

Sterile practice:

- Safe blood and tissue products
- Clean needles – for clinical practice but also tattoos, piercing etc
- Attention to other transmission routes e.g. scarification, circumcision



Safe sex:

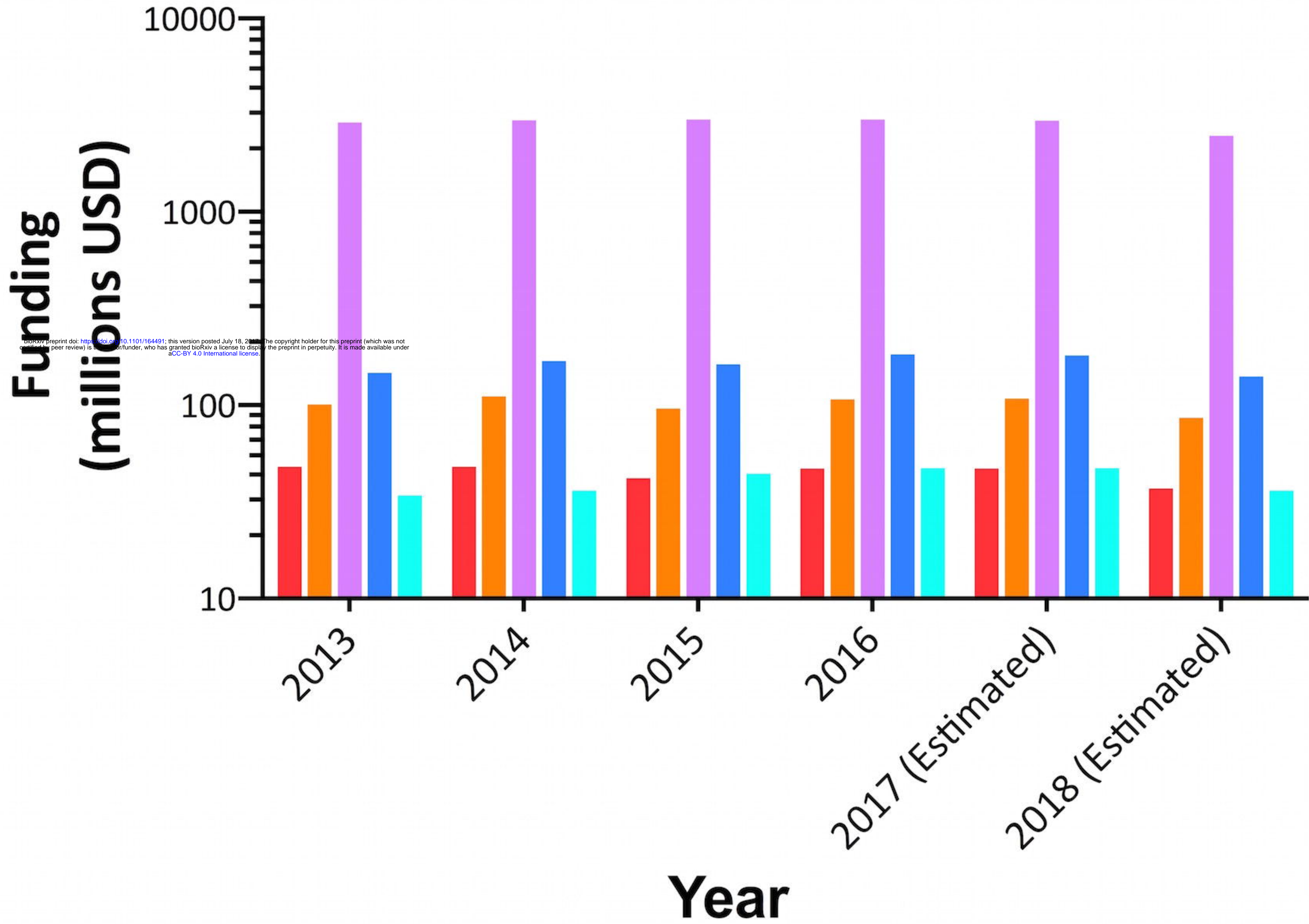
- Education alongside safe sex messages for other STI's
- Condom provision
- Focus resources on high risk groups (partners of HBV carriers, sex workers, MSM)

Vaccination:

- Advocacy for a birth dose for all babies for PMTCT
- Delivery of recombinant HBsAg vaccine integrated within EPI
- Improved vaccine coverage with catch-up campaigns for high risk groups (HCWs, MSM)
- Enhanced efforts from the research community to develop therapeutic vaccinations

Education:

- Campaigns to encourage screening
- Research to understand beliefs and behaviour
- Education to reduce stigma and discrimination
- Enhancement of compliance with therapy
- Helping mothers to protect their children – testing and vaccination



Funding relative to Hepatitis B Virus		
<div></div>	Hepatitis B Virus	-
<div></div>	Hepatitis C Virus	2.3x
<div></div>	HIV/AIDS	66.8x
<div></div>	Malaria	3.8x
<div></div>	Malaria Vaccine	1.0x