HTSeq – A Python framework to work with high-throughput sequencing data
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ABSTRACT
A large choice of tools exists for many standard tasks in the analysis of high-throughput sequencing (HTS) data. However, once a project deviates from standard work flows, custom scripts are needed. We present HTSeq, a Python library to facilitate the rapid development of such scripts. HTSeq offers parsers for many common data formats in HTS projects, as well as classes to represent data such as genomic coordinates, sequences, sequencing reads, alignments, gene model information, variant calls and more, and provides data structures that allow for querying via genomic coordinates. We also present htseq-count, a tool developed with HTSeq that preprocesses RNA-Seq data for differential expression analysis by counting the overlap of reads with genes.

1 INTRODUCTION
The rapid technological advance in high-throughput sequencing (HTS) has led to the development of many new kinds of assays, each of which requires the development of a suitable bioinformatical analysis pipeline. For the recurring “big tasks” in a typical pipeline, such as alignment and assembly, the bioinformatician can choose from a range of published tools. For more specialised tasks, and in order to interface between existing tools, customised scripts often need to be written.

For instance, before reads are aligned, various preprocessing steps may need to be performed on the raw reads, such as trimming low-quality ends, removing adapter sequences or de-multiplexing. Once reads have been aligned, downstream analysis typically attempts to relate the alignment to feature metadata such as gene models.

In the case of RNA-Seq data a typical downstream analysis is calculating the number of alignments overlapping certain features (gene, exons, etc.). An example for ChIP-Seq data would be the generation of aggregate coverage profiles relative to transcription start sites or other features of interest.

While collections of scripts, and some specialised tools with graphical user interfaces, are available for some of these recurring smaller tasks, users are often restricted by the limited scope and flexibility of such monolithic tools. Only the most common and widely used analysis work flows can be performed without the need to write custom scripts for some of the tasks.

Here we present HTSeq, a Python library to facilitate the rapid development of scripts for processing and analysis of high-throughput sequencing (HTS) data. HTSeq includes parsers for common file formats for a variety of types of input data and offers a general platform for a diverse range of tasks. A core component of HTSeq is a container class that simplifies working with data associated with genomic coordinates, i.e., values attributed to genomic positions (e.g., read coverage) or to genomic intervals (e.g., genomic features such as exons or genes). Two stand-alone applications developed with HTSeq are distributed with the package, namely htseq-qa for read quality assessment and htseq-count for preprocessing RNA-Seq alignments for differential expression calling.

Most of the features described in the following sections have been available since the initial release of the HTSeq package in 2010. Since then, the package and especially the htseq-count script have found considerable use in the research community. The present article provides a description of the package and also reports on recent improvements.

HTSeq comes with extensive documentation, including a tutorial that demonstrates the use of the core classes of HTSeq and discusses several important use cases in detail. The documentation, as well as HTSeq’s design, is geared towards allowing users with only moderate Python knowledge to create their own scripts, while shielding more advanced internals (such as the use of Cython, Behnel et al. (2011), to enhance performance) from the user.

HTSeq is released as open-source software under the GNU General Public Licence and available from http://www-huber.embl.de/HTSeq or from the Python Package Index https://pypi.python.org/pypi/HTSeq.

2 COMPONENTS OF HTSEQ
HTSeq is designed as an object-oriented framework that uses classes to encapsulate data and provide services. Its classes fall into four categories: Parsers, data records, auxiliary classes and containers.

2.1 Parser and record objects
Currently, HTSeq provides parsers for reference sequences (FASTA), short reads (FASTQ), short-read alignments (the SAM/BAM format and some legacy formats), feature, annotation and score data (GFF/GTF, VCF, BED, Wiggle).

HTSeq provides a parser for each of the supported file types and a record class for each type of data. Parser objects are tied to files and act as iterator generators which can be used e.g. in the head of a for loop, to populate the loop variable for each iteration with an instance of the appropriate record class. For instance, a FastqParser object is connected to a FASTQ file and when used as an iterator, it generates objects of type SequenceWithQuality that represent the
records in the FASTQ file and provide the data in slots for the read name, read sequence and quality string.

A core idea is that the loop body does not need to know about specifics of the data source because the parser enforces clearly defined conventions. For example, it is sufficient to inform the FastqParser object on construction which of the various encoding standards for the base-call quality scores the file uses. The parser will decode and convert the scores as necessary, and any downstream code may safely assume that the integer array representing the quality scores is always in the standard Sanger/Phred scale.

Another example of conflicting conventions concerns genomic coordinates. Some formats index the first base pair of a chromosome with zero (e.g., the BED format), others with one (e.g., the GFF format). In some formats, an interval is meant to include both start and end positions, in other formats, the base pair under the “end” coordinate is not part of the interval. HTSeq sticks to one convention, namely to start indexing sequences with zero and not to include endpoints (as is standard in Python). Parser classes adjust coordinates where needed, and hence, whenever HTSeq objects present genomic coordinates in the HTSeq classes for this purpose, GenomicInterval and GenomicPosition, they are guaranteed to follow this convention. When writing a position or interval into a file, the conversion is reversed to follow the convention of the output file format.

Typically, files are processed sequentially; however, support of random access for FASTA and BAM files is provided, too. For this feature, HTSeq builds on PySam (the Python bindings to the samtools API; Heger et al.) to leverage the indexing functionality provided by samtools (Li et al., 2009).

Note that in all these cases the operating system’s buffering and caching of disk accesses works to our advantage. Even though a typical HTSeq script may look like it accesses its input data files in every loop, most loop iterations will get their data from memory, so that the streaming fashion of data access carries no performance penalty.

2.2 The GenomicArray class

Data in genomics analyses is often associated with positions on a genome, i.e., coordinates in a reference assembly. One example for such data is read coverage: for each base pair or nucleotide of the reference genome, the number of read alignments overlapping that position are stored. Similarly, gene models and other genomic annotation data can be represented as objects describing features whose elements are references to the features overlapping the step.

A core component of HTSeq is the class GenomicArray, which is a container to store for each step just its starting index and its value. A balanced binary tree (bottom) allows for efficiently retrieval of the value given a position index.

Fig. 1. Efficient storage of piecewise constant data: We wish to store data that conceptually form a one-dimensional array as depicted on top. The values are constant for certain index ranges, which we call steps, and we wish to store for each step just its starting index and its value. A balanced binary tree (bottom) allows for efficiently retrieval of the value given a position index.

Fig. 2. Using the class GenomicArrayOfSets to represent overlapping annotation metadata. The indicated features are assigned to the array, which then represents them internally as steps, each step having as value a set whose elements are references to the features overlapping the step.

Especially for large genomes and a moderate number of reads the resulting vector will have steps, i.e., stretches of constant values. To store these efficiently, we represent each chromosome (or, alternatively, each strand of the chromosome) with an object of class StepVector. Such an object stores steps, i.e., intervals associated with a value, in a way that offers fast access while substantially reducing memory requirements. Internally this is done by using the map template of the C++ standard template library (Josuttis, 1999) (exposed to Python via SWIG; Beazley et al. (1996)), which is typically implemented as a red-black tree (Sedgewick, 1988). See Figure 1 for an example.

On the other hand, for large numbers of reads, the steps may become small and this storage strategy will be less efficient than a simple dense array. Hence, the user can alternatively ask the GenomicArray object to use standard NumPy arrays to represent the chromosomes. (NumPy (Oliphant et al.) is a widely used Python extension providing dense array functionality and linear algebra routines.) For larger genomes, a computer’s RAM may be insufficient to keep the data for all chromosomes in memory simultaneously, and in that case the memmap feature of NumPy may be used, which transparently swaps currently unused parts of the data out to disk. As before, the choice of storage back-end is transparent, i.e., if the user changes it, no changes need to be made in the code using the GenomicArray objects.

Similar to NumPy arrays, a GenomicArray has a data type, which may either be a simple scalar type such as int or float, or object, i.e., a reference to arbitrary Python objects. The
latter is handy for the other main use case of genomic arrays, namely providing access to metadata. Given a genomic interval, for example, the interval a read was aligned to, it may be interesting to know which genomic features this interval overlaps.

For RNA-Seq data, determining the overlaps between aligned reads and exons is a common approach to estimating for each gene how many reads originated from its transcripts. This can be achieved by using the HTSeq GFF_Parser to read in a GFF file with exon annotations and a GenomicArray to store the exons at their respective genomic intervals. The second step is to iterate through the aligned reads (using e.g. the BAM_Reader) and finding the overlaps between the reads genomic intervals and the exons genomic intervals. To this end the GenomicArray object can be queried with a GenomicInterval object and will return all the steps overlapping that interval.

Here, dealing with the situation of a read overlapping with no feature or a single feature is usually straightforward, while an overlap with several features is more challenging to interpret. The possibility to code custom logic at this point is a core advantage of our approach of iterating sequentially through data rather than offering vectorized functions (see Discussion).

A variant of the GenomicArray, the class GenomicArrayOfSets facilitates dealing with overlapping genomic features. Figure 2.2 shows a situation with two features (e.g. genes) partially overlapping (and one feature is split by a gap, e.g., an intron). The depicted metadata data can be stored in a GenomicArrayOfSets object \( f \) by performing three assignation operations, typically using the syntax \( f[iv] += a \). This adds the object \( a \) to the interval specified by the GenomicInterval object \( iv \), in order to assign objects representing \( A \) (twice, once for each of its parts) and \( B \) to the corresponding intervals.

Afterwards, the GenomicArrayOfSets object will contain steps as depicted in Figure 2.2, which carry as values sets of object references.

If afterwards \( f[p] \) is accessed (where \( p \) is a GenomicPosition object indicating a position within the overlap of \( A \) and \( B \)), \( \text{set}([\text{"A"}, \text{"B"}]) \) is returned. When querying with an interval, the return value is an iterator over all steps covered by this interval. In this manner, the GenomicArrayOfSets offers functionality to handle metadata associated with overlapping intervals and allows for easy, straight-forward querying.

3 DOCUMENTATION AND CODING STRATEGIES

HTSeq comes with extensive documentation to guide developers. Care has been taken to expect only moderate experience with Python from the reader. A “Tour” offers an overview over the classes and principles of HTSeq by demonstrating their use in simple examples. Then, two common use cases are discussed in detail to show how HTSeq can be applied to complex tasks.

The first use case is that of aggregate coverage profiles: Given ChiP-Seq data, e.g. from histone marks, we want to calculate the profile of these marks with respect to specific positions in the genome, such as transcription start sites (TSSs). This is achieved by aligning coverage data in windows centred on the TSSs and averaging over the TSSs of all genes or a subset thereof. The documentation discusses and compares three alternative strategies to combine the data on TSS positions with the data on read alignments.

As this serves to illustrate three typical ways to write scripts with HTSeq, we summarise them here briefly:

(i) In the case of relatively small genomes we suggest processing all read alignments into a tally of alignment coverage for the whole genome and storing it in a GenomicArray. The GenomicArray can then be queried with the genomic intervals corresponding to the selected TSSs to obtain the coverage profiles in windows around them, which are then added up in a vector that will hold the result.

(ii) The alternative is to proceed in the opposite order: The list of TSSs is obtained first and stored in a GenomicArray object. Then, the read alignments are processed sequentially: For each alignment, the GenomicArray is queried to find nearby TSSs and the result vector is incremented where a match is found.

(iii) The BAM_Reader offers random access on an indexed BAM file and can therefore be used to extract only the reads overlapping a specified interval. Using this functionality we can iterate through the TSS positions and query the BAM file for alignments in a window around each TSS and construct the profiles from this data.

The documentation demonstrates each of these approaches with worked-out code examples to illustrate these various manners of working with the HTSeq library.

The second use case discussed in detail is that of counting for each gene in a genome how many RNA-Seq reads map to it. In this context, the HTSeq class CigarOperation is demonstrated, which represents complex alignments in a convenient form (Figure 3). This section of the documentation also explains HTSeq’s facilities to handle multiple alignments and paired-end data.

The remainder of the documentation provides references for all classes and functions provided by HTSeq, including those classes not used in the highlighted use cases of the tutorial part, such as the facilities to deal with variant-call format (VCF) files.

4 HTSEQ-QA AND HTSEQ-COUNT

We distribute two stand-alone scripts with HTSeq, which can be used from the shell command line, without any Python knowledge, and hence are also of use to practitioners without Python knowledge – and also illustrate potential applications of the HTSeq framework.

The script htseq-qa is a simple tool for initial quality assessment of sequencing runs. It produces plots as in Figure 4, which summarise the nucleotide compositions of the positions in the read and the base-call qualities.
suitable for a user’s application, they are easier to use. However, the
need to write customised code will inevitably arise in many projects,
and then, HTSeq aims to offer advantages over other programming
libraries that focus on specific file formats, e.g. PySam (Heger et al.)
and Picard (Wysoker et al.) for SAM/BAM files, by integrating
parsers for many common file formats and fixing conventions for
data interchange between them. HTSeq complements Biopython
(Cock et al., 2009), which provides similar functionality for
more “classic” bioinformatics tasks such as sequence analysis and
phylogenetic analyses but offers little support for HTS tasks.

While most uses of HTSeq will be the development of
scripts for one specific analysis task, it can also be useful for writing
more general tools which may then be deployed for use by the
community. The htsq-count script, for example, prepares a count
table for differential expression analysis, a seemingly easy task,
which, however, becomes complicated when ambiguous cases have
to be treated correctly. When HTSeq was first released in 2010, no
other simple solution was available, but we note that by now, further
tools for this task have appeared, including the sumnerizeOverlap
function in the GenomicRanges Bioconductor package (Lawrence
et al., 2013) and the stand-alone tool featureCount (Liao et al.,
2013), which is implemented in C. Nevertheless, neither htsq-count
nor the other tools offer much flexibility to deal with special cases,
which is why the HTSeq documentation discusses in detail how
users can write their own scripts for this important use case.

Interval queries are a recurring task in HTS analysis problems,
and several libraries offer solutions for various programming
languages, including BEDtools (Quinlan and Hall, 2010; Dale
et al., 2011) and IRanges.GenomicRanges (Lawrence et al., 2013)
Typically, these methods take two lists of intervals and report
overlaps between intervals in the latter with intervals in the former
list. HTSeq uses a different paradigm, namely that one list of
intervals is read in and stored in a GenomicArrayOfSets object,
and then the other intervals are queried one by one, in a loop.
This explicit looping often simplifies development for users, and
hence we prefer it over the use of specialized algorithms optimised
for list-vs-list queries. The advantage is especially apparent in
the read counting problem discussed above, where split reads,
gapped alignments, ambiguous mappings etc. cause much need for
treatment of special cases within the inner loop.

In conclusion, HTSeq offers a comprehensive solution to facilitate
a wide range of programming tasks in the context of high-
throughput sequencing data analysis.

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