

1 Zika Fetal Neuropathogenesis: Etiology of a Viral Syndrome

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14 Abstract:

15 The ongoing Zika Virus epidemic in the Americas, and the observed association with both fetal
16 abnormalities (primary microcephaly) and adult autoimmune pathology (Guillain-Barré syndrome) has
17 brought attention to this neglected pathogen. While initial case studies generated significant interest in
18 the Zika virus outbreak, larger prospective epidemiology and basic virology studies examining the
19 mechanisms of Zika viral infection and associated pathophysiology are only now starting to be published.
20 In this review, we analyze Zika fetal neuropathogenesis from a comparative pathology perspective, using
21 the historic metaphor of “TORCH” viral pathogenesis to provide context. By drawing parallels to other
22 viral infections of the fetus, we identify common themes and mechanisms that may illuminate the
23 observed pathology. The existing data on the susceptibility of various cells to both Zika and other
24 flavivirus infections are summarized. Finally, we highlight relevant aspects of the known molecular
25 mechanisms of flavivirus replication.

26 Key Learning Points:

- 27 1. Viral TORCH pathogens reveal common patterns of fetal pathophysiology and vertical
28 transmission which are relevant to Zika Virus fetal neuropathogenesis.

- 29 2. The teratogenic effects of Zika Virus infection during the first trimester may involve infection of
30 the trophoblast, viral translocation across the placenta, migration of infected cells resulting in
31 embryonic infection, or indirect effects associated with high levels of inflammatory cytokines
32 produced by infected placenta.
- 33 3. Pre-existing maternal non-neutralizing antibody to Zika virus may enhance the probability of
34 infection or more severe disease in the fetus.
- 35 4. AXL has been identified as a major receptor for Zika Virus.
- 36 5. Zika virus activation of Toll Like Receptor 3 (TLR-3) pathways in central nervous system cells may
37 trigger apoptosis and attenuate neurogenesis, directly contributing to fetal neuropathology.
- 38 6. Flaviviruses subvert host autophagy and noncoding RNA regulatory pathways.
- 39 7. Recognition of viral sequences by regulatory RNA binding proteins such as Musashi may have a
40 role in Zika pathogenesis and host tissue tropism.
- 41 8. Evidence from other TORCH viral pathogen studies indicate multiple plausible hypotheses for
42 transplacental infection by Zika virus during the second or third trimester, including transcytosis
43 of non-neutralizing antibody-coated Zika virus complexes.

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69 Zika Virus (ZIKV), a mosquito vectored flavivirus, was first isolated in 1947 from a sentinel research
70 monkey caged in the Zika forest canopy within Uganda (1, 2). Soon after discovery, ZIKV was observed
71 to infect humans (3). Travel, shipping, and the worldwide distribution of human hosts and mosquito
72 vectors (including *Aedes aegypti* and other *Aedes* species) has facilitated a global radiation of Zika viral
73 infection (4). More recently, introduction of ZIKV into naïve human populations has yielded rapidly
74 spreading outbreaks in various Pacific island clusters (Cook Island, Easter Island, French Polynesia and
75 Micronesia) and the ongoing epidemic in the Americas which may have originated in Haiti (5), and has
76 subsequently spread throughout Brazil, the Caribbean, and worldwide via travelers visiting affected
77 regions (6, 7). In ZIKV endemic regions such as continental Africa and Asia, there is epidemiologic
78 support for the hypothesis that people are exposed to ZIKV during childhood and thereby develop
79 immunity prior to puberty in both males and females. Introduction of ZIKV into dense immunologically
80 naïve populations has facilitated rapid viral evolution, including conserved modifications consistent with
81 possible adaptation to a human host (8, 9). Most pertinent to the current concern about ZIKV is the
82 infection of pregnant women who are immunologically naïve to ZIKV, intrauterine infection of their
83 babies, and associated increased risk of congenital malformations consistent with other fetal pathogens
84 such as those historically referred to by the TORCH acronym (**T**oxoplasmosis, **O**ther (HIV, Syphilis,
85 **V**aricella Zoster Virus (VZV) etc.), **R**ubella, **C**ytomegalovirus (CMV) and **H**erpes simplex virus-2 (HSV)).

86 ZIKV fetal syndrome resembles but is more severe than that observed with many other intrauterine viral
87 infections. Typical presentation includes multiple defects; microcephaly, facial disproportionality, cutis
88 gyrata, hypertonia/spasticity, hyperreflexia, and irritability; abnormal neurologic image findings include
89 coarse and anarchic calcifications mainly involving the subcortical cortical transition and the basal
90 ganglia, ventriculomegaly secondary to the lack of brain tissue, and lissencephaly (7, 10-13). This
91 alarming and consistent clinical presentation provoked a rapid regional mobilization of public health
92 experts in Pernambuco (in the Northeast of Brazil). Investigation soon revealed a correlation between

93 ZIKV infection and the unusually high rate of infant microcephaly observed at the heart of the outbreak
94 in Recife, Pernambuco. The striking features of ZIKV fetal syndrome may have gone unrecognized
95 during prior outbreaks in the Pacific islands, or may involve regional confounding variables or risk
96 cofactors present in Brazil such as prior exposure to Dengue virus (14, 15). The current pathology may
97 also be consequent to recent viral mutations, such as observed changes in the prM protein of the
98 Brazilian ZIKV strains (8, 16, 17). It has been demonstrated that ZIKV can infect human induced
99 pluripotent stem cell -derived neural progenitor cells as well as human neurospheres and brain
100 organoids in vitro, resulting in dysregulation of cell-cycle-related pathways and increased cell death (18-
101 21). While the etiology remains unconfirmed, there appears to be a shift in the spectrum and incidence
102 of birth defects between the latter stage of the French Polynesian outbreak (22) and what is now being
103 observed in Recife, Rio, and throughout northern Brazil and surrounding regions (23, 24). In general, the
104 combination of epidemiologic association and experimental research results strongly support a causal
105 relationship between intrauterine ZIKV infection and fetal primary microcephaly.

106 Historically, human infection with ZIKV has presented in adults and young children as a mild, self-
107 limiting, non-life threatening infection with clinical symptoms appearing in 20% of infected patients, and
108 up to 80% being clinically asymptomatic during initial infection. Symptoms typically persist an average
109 of 4 to 5 days to approximately one week from initial onset of headache and fever. Key major symptoms
110 following retro-orbital and frontal headache and fever include a less consistent presentations of malaise,
111 arthalgias, conjunctivitis, and pruritic maculopapular rash. More severe causes include escalation of the
112 symptoms above, as well as nausea, vomiting and GI distress (4). The most recent assessment of clinical
113 signs and symptoms of acute Zika virus infection observed in Puerto Rico includes rash (74%), myalgia
114 (68%), headache (63%), fever (63%), arthralgia (63%), eye pain (51%), chills (50%), sore throat (34%),
115 petechiae (31%), conjunctivitis (20%), nausea/vomiting (18%), and diarrhea (17%) (25). Based on blood
116 bank screens, viremia can begin up to 10 days before onset of symptoms (26), and the modest plasma

117 viral titers observed often clear within two days of presentation with clinical symptoms, similar to what
118 is observed with Dengue (27). At present, definitive diagnosis requires a polymerase chain reaction
119 (PCR)-based test, and development of a rapid serologic diagnostic test is complicated by antibody cross-
120 reactivity with other co-circulating arboviruses (28, 29). Historic serologic surveillance studies have
121 been compromised by acute Zika infection induction of high titers of anti-dengue and even anti-
122 chikungunya convalescent IgG levels, routinely at titers above 1:1280 (30, 31).

123 Current best estimates for the basic reproductive ratio (R_0) for ZIKV varies between 1.2 and 6.6 (32-34),
124 with seroconversion rate being approximately 70%, upon achieving maximal herd immunity. This
125 limitation on further infection within a naïve population is typically achieved within four to eighteen
126 months of initial introduction (35, 36). Acute motor axonal neuropathy-type Guillain-Barré syndrome
127 (GBS) occurred at a rate of 1 in 5,000 cases of ZIKV during the outbreak in French Polynesia (15); the rate
128 for GBS and all combined neurologic disease in the Americas may be as high as 1 in 100 cases (25). A
129 clear temporal relationship between the peak of Zika virus infection in a susceptible population and a
130 peak of GBS incidence following five to nine weeks later has been demonstrated, consistent with an
131 autoimmune-mediated (rather than direct viral infectious neuropathy) pathologic mechanism (37).
132 Interim analysis of an ongoing prospective case study of ZIKV -infected pregnancies indicates a birth
133 defect rate of circa 29% (23). For the sake of illustration, the potential impact of these epidemiologic
134 estimates on the anticipated 2017-2018 Puerto Rico birth cohort is summarized in Figure 1.

135 **Figure 1: Projected teratogenic impact of maternal ZIKV infection on 2017-2018 birth cohort, Puerto**
136 **Rico.** For illustration purposes, the potential impact of unencumbered ZIKV spread through Puerto Rico
137 on the cumulative one-year incidence of ZIKV-associated birth defects has been estimated and
138 graphically summarized. Birth defect rate is based on preliminary data involving defects visible by *in*
139 *utero* ultrasound examination from Brazilian (Rio) prospective pregnancy cohort study (23). Final
140 seroconversion rate of 70% is based on seroconversion observed with prior island outbreaks in Yap and
141 French Polynesia (35, 36). Annual birth cohort for Puerto Rico is approximated as 36,000 infants, a
142 number which presumes that the incidence of pregnancy is not impacted by anticipated risk of ZIKV
143 infection or public health policy recommendations. Total birth defect rate associated with intrauterine
144 ZIKV infection in Northern and Central Brazil is currently not determined, and may exceed 30% of all
145 Zika-infected pregnancies.

146
147 In the current outbreak in the Americas, there is evidence for sexual transmission of the virus (38-41).
148 While ZIKV RNA can be detected in breast milk, urine, semen and sputum from infected individuals (42),
149 replication competent virus has been most readily cultured from semen samples. Semen ZIKV RNA
150 levels may be up to 100,000 times higher than corresponding plasma levels (43). Preferential ZIKV
151 replication in testes has been hypothesized. ZIKV is shed in semen for an extended period, and the
152 average duration of shedding has yet to be determined (43). The stability of ZIKV in aqueous
153 suspension, on surfaces or as fomites is unknown, but other flaviviruses can persist under various
154 ambient conditions for extended periods (44-48). Zika virus sequences have been difficult to detect in
155 trapped mosquitoes from outbreak areas, but have recently been recovered from *Aedes albopictus*
156 mosquitoes by the Laboratory of the Institute of Epidemiological Diagnosis and Reference (InDRE),
157 which functions as part of the Mexico Epidemiological Surveillance System (SINAVE) (49). ZIKV is more
158 stable than Dengue virus (16), and so it cannot be assumed that sexual transmission is the only means of
159 direct human to human infection. Sequence comparisons of ZIKV isolates indicate significant genetic
160 differences between historic samples obtained from mosquito species and more modern isolates from
161 human sources, including human samples obtained during the current outbreak in the Americas (8, 9).
162 Any clinical significance associated with these viral genetic changes has yet to be elucidated.
163 The apparent teratogenic effects of ZIKV infection have turned what was once considered a relatively
164 benign pathogen into a subject of great social and scientific concern. Detection of ZIKV RNA and
165 particles in amniotic fluid and fetal brain obtained from the products of conception strongly suggest that
166 the virus is capable of directly infecting fetal tissue (12, 13). When considering the vast array of human
167 pathogens, the probability of a mother passing an infection to her developing fetus is relatively rare.
168 However, examples of pathogens consistently capable of vertical intrauterine transmission do exist, and
169 can be associated with teratogenic effects. These viral diseases involving intrauterine infection may

170 illuminate and inform research into the possible mechanisms by which ZIKV may induce fetal
171 neuropathology as well as other birth defects, and may facilitate development of public health risk
172 mitigation strategies and potential treatments.

173 **TORCH Viral Pathogens**

174 Teratogenic infectious agents that are vertically transmitted from mother to infant during pregnancy,
175 childbirth or breastfeeding have traditionally been classified as TORCH pathogens. For the purpose of
176 this review we will focus on the classical viral TORCH pathogens: Rubella, CMV, HSV and VZV. These
177 viruses can cross the placenta and cause congenital defects including, but not limited to, microcephaly,
178 growth and mental retardation, heart disease, hearing loss and blindness (50-52). Years of scientific
179 research concerning TORCH pathogen infection and teratogenicity have yet to identify therapeutic
180 interventions which reduce occurrence of serious medical sequela and miscarriages for most of these
181 viruses. Current preventative measures are limited to vaccination and avoiding viral exposure, or dosing
182 with acyclovir for HSV (53). These approaches have limitations, and are not globally available. The most
183 extensive fetal damage associated with viral TORCH infections typically takes place when the mother is
184 infected during first eight weeks of the pregnancy, during which time the central nervous system (CNS)
185 of the developing fetus is actively forming. With most viral TORCH pathogens, birth defect risk and
186 severity is significantly reduced when infection occurs after seventeen weeks of gestation (54). Often
187 first trimester infections result in miscarriages. Not all fetal congenital abnormalities manifest clinically
188 at birth, and may present later in a child's development. As summarized in Table 1, presence of
189 congenital defects at birth is typically linked to TORCH infection at earlier stages of gestation.

190 **Table 1: Selected Viral TORCH pathogens and associated morbidity.** After (54).

Viral TORCH Pathogen	Symptoms	First or Second Trimester Teratogen	Third Trimester Teratogen	Primary microcephaly	Spontaneous abortion or fetal death
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Rubella virus (German measles)	Defects in multiple organ systems including the ophthalmic (cataracts and microphthalmia), cardiac, neurological (deafness, mental retardation), and increased risk of type 1 diabetes in childhood	+	-	+	+
Cytomegalovirus	Mental retardation, sensorineural hearing loss, jaundice, hepatosplenomegaly, petechiae, preterm birth, preeclampsia, and fetal growth restriction	+	-	+	+
Herpes simplex virus	Encephalitis, sepsis, cataracts, pneumonitis, myocarditis, hepatosplenomegaly, choriorretinitis, encephalitis, and mental retardation	+	+	+	+
Varicella zoster virus (chickenpox)	Skin lesions, neurological and eye defects, limb hypoplasia, fetal growth restriction, and defects of multiple organ systems	+	-	+/-	+
Zika virus	Microcephaly, facial disproportionality, cutis gyrata, hypertonia/spasticity, hyperreflexia, and irritability; abnormal neuroimages include calcifications, ventriculomegaly, and lissencephaly	+	+	+	+

191

192 **Rubella (German measles)**

193 Prior epidemic outbreaks of Rubella and consequent associated birth defects may provide the best

194 illustration of the neonatal health risks of the current ZIKV outbreak in the Americas (55), although the

195 incidence of congenital rubella syndrome (CRS) associated with initial outbreaks in Rubella naïve

196 populations (56) appears to have been significantly less than what is being documented with ZIKV in
197 Brazil (23). Rubella virus (RuV) is a member of the *Rubivirus* genus and *Togaviridae* family. The Rubella
198 genome is encoded on a positive single stranded RNA (ssRNA), which is assembled on a protein scaffold
199 and surrounded by lipid envelope. Host cell infection with RuV is driven by two glycoproteins, E1 and
200 E2. Encoded in by the RuV genome, these glycoproteins assemble as heterodimers on the surface of the
201 viral envelope and function similarly to the fusion proteins of flaviviruses (57, 58). E1 protein trimer
202 directly inserts into host cell plasma membrane lipid bilayer, and using hairpin motion, brings the RuV
203 closer to the cell surface to facilitate endocytosis (59, 60). The release of viral genome into the host cell
204 occurs via low pH, and with the Ca²⁺ dependent E1 trimer conformational changes associated with
205 maturing endocytic vesicles (61). Recent work has identified myelin oligodendrocyte glycoprotein as a
206 receptor with affinity for RuV E1 protein (62). This discovery may provide a causal link between rubella
207 virus and brain damage in fetuses with CRS. RuV infection of pregnant women has a pronounced
208 teratogenic effect, especially during the first gestational trimester (59). Pathological and
209 immunohistochemical analyses of aborted fetuses with CRS demonstrated wide spread necrosis to
210 organs including eye, heart, brain and ear, and are associated with the presence of rubella virus in all
211 tissues (63). In-vitro studies suggest that RuV infection inhibits normal growth and differentiation of
212 human embryonic mesenchymal cells (64). RuV encoded replicase P90 protein has been shown to
213 disrupt actin cytoskeleton formation by directly binding and inhibiting Cytron-K kinase, a cytokinesis
214 regulatory protein (65). Inhibition of Cytron-K leads to cell cycle arrest and apoptosis in developing
215 neuronal populations and retina of *in vitro* cultured mouse embryos (66). Additionally, Rubella virus
216 infection of placenta and embryonic cells induces interferon expression, especially in the placenta (67).
217 The most commonly observed outcomes of CRS are congenital cataracts (97.4%), inner ear
218 abnormalities (73.9%), microcephaly (68.4%), and congenital heart defects (57.9%) (52, 63, 68, 69). If

219 the infection occurs during the first trimester, the rate of CRS is 80-90%. Odds of intrauterine
220 development of extensive CRS dramatically decreases after 12 weeks of gestation (50).

221 **Cytomegalovirus (CMV)**

222 CMV is a member of the *Herpesviridae* family, *Betaherpesvirinae* subfamily and is also known as Human
223 Herpesvirus 5 (HHV-5). Intrauterine CMV infection is linked to development of severe neurological
224 handicaps, microcephaly (36%), intracranial calcifications, microgyria, eye defects and sensorineural
225 hearing loss (68, 70-72). Congenital CMV infections are associated with radiographic findings which vary
226 with gestational age at time of infection. Lissencephaly, including thin cerebral cortices, extremely
227 diminished volume of white matter, delayed myelination, small cerebella, and very enlarged lateral
228 ventricles have been correlated with CMV infection prior to eighteen weeks of gestational age, whereas
229 those cases of congenital CMV infection which present with more normal gyral patterns (normal
230 cerebral cortices, slightly diminished volume of white matter, delayed myelination, normal cerebella,
231 and slightly enlarged lateral ventricles) are associated with third trimester infection (73, 74). These
232 findings are similar to those observed with heritable disorders including cystic leukoencephalopathy
233 without megalencephaly, Aicardi-Goutières syndrome, type 1 interferonopathies and RNASET2-related
234 leukodystrophy (75, 76).

235 CMV is a double stranded DNA virus (dsDNA) with a complex envelope structure of 12 glycoproteins.
236 Due to this complexity CMV, can bind to a broad spectrum of cell surface receptors, and quickly
237 becomes ubiquitous in the human host after initial infection (77). CMV glycoprotein gB and
238 heterodimer gM/gN have affinity to heparan sulfate proteoglycans (HSPGs), which are abundantly
239 present on the surface of most cell types (78, 79). Additionally, CMV has been shown to bind epidermal
240 growth factor receptor (EGFR) and β 1 integrin coreceptors thereby facilitating proximity to the host cell
241 membrane (80, 81).

242 CMV crosses host cell barriers via membrane fusion mediated by the gH/gL/gO and gB viral envelope
243 glycoproteins (80, 82). CMV infection is mostly asymptomatic in immune competent adults, and forms a
244 life-long latent infection. Primary CMV infection during pregnancy yields the highest risk of vertical
245 transmission (32%) relative to virus re-activation in chronically infected mothers (1.4%) (83). CMV
246 infection of the cytotrophoblast progenitor cells associated with floating villi in the placenta appears to
247 elicit a shift in the Th1/Th2 cytokine balance of amniotic fluid and placental tissues, towards a Th1
248 profile, by upregulation of pro-inflammatory cytokines like MCP-1 and TNF- α (84, 85). This shift has
249 been hypothesized to directly induce defects in placental formation and congenital abnormalities.
250 There is significant evidence supporting the hypothesis that CMV virions transit placental barriers to
251 fetal infection by co-opting the neonatal Fc receptor-mediated transport pathway for IgG (transcytosis)
252 (86). However, replication of CMV in uterine endothelial cells may be required for subsequent infection
253 of cytotrophoblasts (87, 88).

254 **HSV (HSV-1 and HSV-2)**

255 HSV is a dsDNA enveloped virus belonging to the *Herpesviridae* family. Similar to CMV, HSV has a large
256 number of glycoproteins present on the surface of its viral envelope, and can bind to multiple host cell
257 receptors (89). HSV infection leads to formation of oral (HSV-1) and genital (HSV-2) lesions in adults.
258 HSV host cell entry requires viral glycoprotein (primarily gD) binding to heparan sulfate and HveA
259 (Herpes Virus Entry Mediator (HVEM) receptor), HveB (nectin-2) or HveC (nectin-1) receptors on the
260 host cell plasma membrane surface. HSV enters the host-cell via membrane fusion or endocytosis (89).
261 HSV can enter the CNS of adults, and in rare cases has been associated with clinical encephalitis (90).
262 HSV infects neuronal cells through the nectin-1 receptor, and can form a latent and immunologically
263 privileged reservoir of infection in the brain (91).

264 In contrast to CMV, cross-placental transition of HSV from mother to fetus is uncommon (92). Cells of
265 the outer layer of the placenta do not express HveA, HveB or HveC, and cannot be infected by HSV (93).

266 Congenital HSV infection is very rare, and usually occurs when a serologically negative mother is
267 exposed to the virus during the first trimester of pregnancy. Congenital HSV pathology includes multi-
268 organ failure, liver necrosis, encephalitis, microcephaly (32%), hydrocephalus, chorioretinitis and skin
269 lesions (94, 95). HSV infection of placenta-associated cells induces inflammation and necrosis of
270 placental tissue (94). Neonatal HSV-2 infection during childbirth or HSV-1 infection during the first year
271 of life is more common, and is associated with up to 40% mortality. Aggressive anti-HSV treatment of
272 neonates with acyclovir often controls the virus at the cost of long-lasting health risks to the child (96).
273 There is a higher risk for HSV infection of the infant during childbirth in mothers that acquired genital
274 HSV during the last trimester (~50%), while peripartum HSV-2 reactivation is associated with less than
275 1% of neonatal infections (96). This result suggests the role of maternal antibodies in protection of the
276 child from HSV infection during birth. Congenital HSV infection is differentiated from perinatal infection
277 by early onset (within 24h of birth) and increased severity of the symptoms (50). The relatively rare
278 event of HSV microcephaly is exclusively associated with congenital infections (95).

279 **VZV (Chickenpox)**

280 Varicella Zoster Virus (VZV) is a dsDNA enveloped virus. It belongs to *Herpesviridae* family,
281 *Alphaherpesviridae* subfamily. VZV and HSV belong to the same subfamily, and share many
282 characteristics (97). Similar to HSV, VZV can cause encephalitis, and can also form latent viral reservoirs
283 in the brain (90, 98). The VSV viral envelope glycoprotein gE is essential for infection. This protein binds
284 the Insulin-Degrading Enzyme (IDE) receptor, and employs heparan sulfate to facilitate host cell
285 infection (99). Congenital VZV is associated with a high neonatal mortality rate (30%). Primary VZV
286 infection during the first 6 months of pregnancy is associated with a 25% risk of in-utero infection (51).
287 Twelve percent of intrauterine infections will result in a range of birth defects including limb hypoplasia,
288 microcephaly, hydrocephaly, mental retardation and cataracts (51), in many ways similar to the disease
289 spectrum currently observed with Zika fetal syndrome.

290 **Zika virus, a new viral TORCH pathogen**

291 The list of TORCH viral pathogens is constantly expanding, and sufficient clinical data support adding
292 ZIKV to the list. The exposure of a naïve population to a new virus which has historically been mosquito
293 vectored, is sexually transmissible, and may be capable of direct human to human transmission by other
294 means presents a greater challenge. With the emerging global threat of ZIKV infection to pregnant
295 women, it is critical that we improve our understanding of the mechanism(s) of intrauterine infection,
296 and of the medical management of subsequent neurologic disease.

297 Examination of the classic TORCH pathogens reveals some common themes, which can inform research
298 concerning ZIKV fetal neuropathogenesis: these agents either infect the placenta, or infect specific
299 tissues in the fetus linked to pathology. In some cases, specific molecular mechanisms that exacerbate
300 the resulting pathology have been identified. Further exploration of cell surface receptors and placental
301 permeability may assist with development of interventional prophylactics and therapeutics for pregnant
302 women.

303 **Zika Virus Infection of the Placenta and Fetal Brain**

304 In order to successfully establish an infection in a target tissue, all viruses must go through the same
305 basic steps: the virus must overcome local host defenses at the site of infection (both barrier and
306 immunologic response), infect a cell that is both susceptible and permissive to producing infectious
307 virions, and the infected cell must release sufficient numbers of infectious particles which are able to
308 travel to the target tissue and again infect a susceptible cell. Analyzing what we know about ZIKV
309 infection in terms of this model can shed light on the possible mechanisms by which ZIKV might cause
310 fetal abnormalities after initial maternal infection.

311 There are many plausible alternative hypotheses for Zika virus-induced fetal neuropathogenesis (100).
312 These alternatives generally fall into two categories; infection of fetal tissue by ZIKV, or transcytosis of
313 other factors that are causative of Zika Fetal Syndrome. Infection of fetal tissue may involve transcytosis

314 of ZIKV from mother across the placenta or infection of the placenta itself. Either option may lead to
315 dissemination of the virus in the fetus and subsequent infection of the developing brain. Infection of the
316 placenta and resulting inflammatory response may indirectly alter neural development. Transcytosis of
317 (yet to be defined) antigen-specific immunoglobulins or other maternal molecules related to the
318 development of ZIKV GBS may directly harm the fetal brain without requiring viral replication in nervous
319 tissue (15, 101, 102). ZIKV transfer and infection of the developing fetal brain may occur directly as free
320 virus, as viral/non-neutralizing antibody complexes, or via infected Hofbauer or other migratory cells.
321 Activation of TLR-3 by ZIKV binding to nervous tissue cells may directly induce damage without requiring
322 viral replication (21). Placental infection by ZIKV triggering induction and release of inflammatory
323 response-associated molecules may be sufficient to indirectly damage the fetal CNS (103-105). These
324 possible mechanisms are not mutually exclusive, and may operate at different stages of fetal
325 development.

326 The placenta represents a major barrier to fetal infection. This organ has evolved pathways for
327 regulating the transport of materials, metabolites, oxygen and electrolytes, and both innate and
328 adaptive immunologic effectors (particularly maternal immunoglobulin) between the mother and fetus.
329 Soluble factors, oxygen and cells can all be selectively exchanged. Despite the relatively common event
330 of infection of a pregnant woman by different viruses, transplacental passage of virus and intrauterine
331 fetal infections are rare. This high degree of selectivity is largely due to a specialized outer placental
332 layer; the syncytiotrophoblast, a large multinuclear body formed by the fusion of multiple cells into a
333 syncytium during the second trimester of fetal development (106). This fusion into a single giant cell
334 avoids the problems of maintaining intercellular junctions, which are sufficiently tight to prevent the
335 unregulated movement of large molecules (and pathogens). In order for a virus to reach the fetus after
336 this event, ZIKV must either have a mechanism to bypass the syncytiotrophoblast barrier, or must
337 directly infect the placenta itself as has been observed with various viral TORCH pathogens. One

338 possible method for the passage of ZIKV across the placenta to the fetus is through the mechanism
339 which facilitates unidirectional transmission of maternal antibodies to the amniotic fluid and developing
340 embryo (107, 108). The neonatal Fc receptor (FcRn, or FCGRT) is proposed to be involved in the
341 recognition of maternal IgG, and in uptake of these antibodies by the cells of the infant gut. In addition,
342 neonatal Fc gamma receptor IIb2 molecules expressed in human villous endothelium (within the
343 FCGR2B2 compartment) actively participate in endothelial transcytosis of maternal IgG (109, 110).
344 RAB3D, a member of the RAS-related protein RAB family, appears to play a key role in regulating the
345 activity of the FCGR2B2 organelle, and therefore may influence transport of either autoimmune-
346 associated antibodies or antibody-coated ZIKV. Antibody mediated enhancement of infection has been
347 reported for Dengue virus, a related flavivirus, as well as for ZIKV (14). For Dengue virus, antibodies
348 raised against previous infection with a different serotype of virus may enhance subsequent infection in
349 a dendritic cell-mediated fashion (111, 112). For ZIKV, in vitro studies have demonstrated enhancement
350 of infectivity with serum from patients with serologic responses to Dengue virus (14). The high degree
351 of cross-reactivity between antibodies elicited by co-circulating arboviruses present in Brazil and
352 throughout the Caribbean may contribute to intrauterine ZIKV disease by facilitating infected dendritic
353 cell transport or by direct transcytosis of non-neutralizing antibody-coated ZIKV virions (14).

354 Delivery of ZIKV by transcytosis of antibody bound virus does not appear to be compatible with the
355 window of greatest vulnerability for Zika teratogenicity, the first trimester of pregnancy. The transport
356 of maternal IgG across the placenta begins at week sixteen (113, 114); the levels of IgG in fetal
357 circulation at gestational weeks 17-22 are relatively low (5-10% of maternal levels) and rise continually
358 with levels reaching 50% at weeks 28-32, followed by an exponential increase in the final four weeks
359 before delivery (115). A study of RNA levels of Fc receptors in the placenta confirms that transcytosis is
360 likely to begin primarily in the second trimester (116). Functionally active placental FcRn expression has
361 been detected at 20 weeks (117). By analogy, maternal autoimmune antibody which may be elicited by

362 ZIKV epitope mimics (ergo, GBS-associated antibodies) (118) are also unlikely to cross the placenta prior
363 to the sixteenth gestational week. Many mothers of microcephalic children were infected with ZIKV
364 before the tenth gestational week, and are likely to have cleared the virus well before sixteen weeks
365 (29).

366 The timing of ZIKV infection relative to neonatal outcome may illuminate the mechanism of fetal
367 infection. A recent preliminary report describes neuropathological aspects of fetal development in a
368 cohort of Zika infected women (23). Most strikingly, fetal ultrasonography revealed abnormalities in
369 twelve of the forty-two women who experienced ZIKV infection during pregnancy, as compared to none
370 of the sixteen cohort-matched fetuses in Zika-negative women. Although the size of the cohort studied
371 in this reported in this study was still low, they span a period of initial ZIKV exposure running from eight
372 weeks to thirty-five weeks of gestation. The observations of microcephaly and severe cerebral
373 pathology appear most commonly when the mother was infected with ZIKV at twelve weeks or earlier.

374 Infection of the mother during the second or third trimester was reported to result in intrauterine
375 growth restriction or, in two cases, fetal death. This pattern of timing supports the hypothesis that first
376 trimester infection results in direct transmission of the virus to the fetal brain with subsequent viral
377 replication, whereas later infection may involve activation of placental inflammatory responses. ZIKV
378 infection of human cerebral organoids acts (at least in part) via TLR-3 to elicit a direct neural cell
379 depletion which is partially abrogated by TLR-3 inhibition. TLR-3 activation by ZIKV resulted in
380 alterations in expression of multiple genes associated with neuronal development, implying a
381 mechanistic connection to disrupted neurogenesis (21).

382 The overall retardation of growth observed after second and third trimester exposure to ZIKV suggests
383 that the virus may be exerting an indirect teratogenic effect by infecting the placenta rather than other
384 fetal tissues during this period. A separate case study has recently identified infectious virus in the
385 placenta of a fetus and detected resulting ongoing maternal ZIKV viremia (12), and this may include

386 placental Hofbauer cell infection and/or activation (105). This is in agreement with previously published
387 work showing that the placenta can induce viral resistance in nearby cells (119). In contrast, a well-
388 designed basic virology study has shown that placental cells from a full term pregnancy are resistant to
389 ZIKV (120). However, no data currently exist concerning the susceptibility of early placental cells to ZIKV
390 infection.

391 Another possible mode of fetal infection would be transmission of ZIKV-infected maternal cells across
392 the placenta at any stage of pregnancy. If a motile cell (such as a dendritic or Hofbauer cell) was
393 infected and then crossed the placenta or was able to transit maternal-placental blood vessels, it could
394 carry virus to the fetus. A similar situation has been modeled in mice in which dendritic cells can carry
395 intracellular pathogens across the placenta (121). There is some limited evidence for the presence of
396 maternal cells in the lymph nodes of second trimester fetuses, but the mechanism by which this
397 migration occurs is not well understood (122). Infected migratory maternal cells might also contribute
398 to fetal neuropathology via proinflammatory cytokine release. Placental Hofbauer cells have been
399 shown to be activated by TLR-3 and TLR-4 mediated pathways, and ZIKV has been shown to activate
400 TLR-3 mediated responses in neuronal cells (21).

401 Teratogenicity and neuropathology associated with TORCH pathogen infection of the placenta is well
402 documented (54), and ZIKV may also interfere with fetal development by this route (103). The
403 pronounced elevation of a variety of inflammatory cytokines may trigger microglial activation with
404 attendant damage to surrounding cells, including neurophils, but is usually associated with damage to a
405 wide range of fetal organs and tissue (123). The disease spectrum associated with chorioamnionitis
406 overlaps with many of the features of Zika fetal syndrome, and includes periventricular leukomalacia,
407 intraventricular hemorrhage, cerebral palsy, and retinopathy of prematurity (124-128). While ZIKV may
408 also elicit similar pathology by direct placental infection, the striking selectivity and consistency of
409 central nervous system damage observed, combined with the unusually severe damage to developing

410 brain and the presence of ZIKV sequences in amniotic fluid and brain tissue, suggests some contribution
411 of direct ZIKV infection of fetal CNS in the majority of cases.

412 **Expression of ZIKV receptors in placental and central nervous system tissues**

413 Early in embryonic development, direct infection of the placenta by ZIKV could provide a route of entry
414 to fetal tissue. Productive infection of the trophoblast by the virus would allow newly produced virions
415 to be passed inward to the fetus. A critical step to the productive infection of any target cell is the
416 expression of the correct viral receptors on the cell surface.

417 Flaviviruses, such as Dengue Virus (DV), Japanese Encephalitis Virus (JEV) and West Nile Virus (WNV) are
418 known to use cellular C-type lectin proteins as receptors (129). Expression of several members of this
419 receptor family is high on cells of the myeloid lineage such as monocytes, macrophages and dendritic
420 cells (130). Multiple studies provide evidence for the role of one specific lectin, dendritic-cell specific
421 ICAM-3-grabbing nonintegrin (DC-SIGN), in the infection of flaviviruses (131-135). DC-SIGN is an
422 essential host protein that is involved in pathogen capture and antigen presentation in dendritic cells.
423 As a lectin, DC-SIGN recognizes carbohydrate structures on proteins. Any ZIKV transmitted to a human
424 host after replication in the salivary gland of a mosquito vector will carry the glycosylation pattern
425 produced in the cells of the insect host. When virus replicates in insect salivary glands, the glycosylation
426 of the viral proteins involved in receptor binding will follow the pattern observed in insects (high-
427 mannose glycans) and not the more complex pattern seen in mammalian glycoproteins (132, 136).

428 Dendritic cells are capable of recognizing this difference and reacting to these non-host glycosylation
429 patterns. This specificity and the presence of dendritic cells in the epidermis, and therefore in close
430 proximity to the site of the mosquito bite, means that mosquito-vectored flaviviruses are likely to
431 preferentially infect the dendritic cell as an initial target cell type. The probability of uptake and initial
432 infection of host dendritic cells may be enhanced by the presence of pre-existing non-neutralizing
433 antibody which binds ZIKV (14).

434 Although the initial stages of human ZIKV infection are not as extensively studied as infection with
435 viruses such as Dengue, a study by Hamel *et al.* has identified multiple receptors involved in ZIKV entry
436 to the target cell (137). This seminal work examined the involvement of known Dengue virus receptors
437 in ZIKV infection. The results confirmed a role for DC-SIGN in mediating ZIKV entry, and also identified
438 roles for two TAM receptor proteins, called Tyro3 and AXL, and a minor role for a protein called TIM-1.
439 Tyro3 and AXL are tyrosine kinase receptors whose natural ligand are the vitamin-K dependent proteins
440 growth-arrest specific gene 6 (Gas6) and Protein S. Armed with this list of receptors, it is possible to
441 predict what specific cells in the placenta and CNS might be susceptible to ZIKV infection.

442 An analysis from the US Centers for Disease Control and Prevention (CDC) reported ZIKV RNA and
443 proteins in tissues from newborns and from two miscarriages (138). Examination of the corresponding
444 placentas showed pathology associated with viral infection. Direct ZIKV infection of the placenta is
445 plausible, as the trophoblast layer has been shown to express the needed receptors, and a recent report
446 has recovered infectious virus from the placenta (12). AXL expression has been detected in the
447 trophoblast, and perturbations in Gas6 signaling through AXL have been shown to be associated with
448 pre-eclampsia, suggesting a possible mechanism of pathology (139). Histology available through the
449 Human Protein Atlas also confirms expression of AXL and Tyro3 throughout the trophoblast layer (140).

450 Although the trophoblast does not appear to express DC-SIGN, tissue resident cells of the myeloid
451 lineage will express this lectin. This provides a pathway by which the infected trophoblast might
452 produce virus that will infect patrolling myeloid cells. Infected myeloid cells may allow production of
453 greater quantities of virus (leading to viremia) or serve as a vector to traffic virus to other tissues. Proof
454 of this second possibility requires the identification of ZIKV positive perivascular macrophages or
455 microglia in brain tissue from abortus specimens.

456 In order to selectively induce microcephaly and other observed changes in the brain, ZIKV must either
457 alter pathways that affect CNS development or directly infect cells of the CNS. Comparisons to other

458 viral TORCH pathogens strongly support the second possibility. It is worth noting that the early
459 preparation of ZIKV in the laboratory setting was performed by intracerebral passage of the virus in
460 neonatal mice. One study from 1971 presents an excellent microscopic examination of the brains of
461 these mice (141). The authors catalog disruption of the pyriform cell layer of the Ammon's horn and
462 increased number of astrocytes without the presentation of infiltrating leukocytes. Examination of the
463 tissue by electron microscopy reveals infected astroglia and neurons, but not microglia. The first
464 indication that this was happening in humans involved histologic and molecular examination of products
465 of conception including fetal brain tissue, which revealed the presence of viral particles in the brain of a
466 fetus at 32 weeks of gestation (13). These findings have been supported and confirmed by a second
467 paper examining another infected fetus (12). These case reports not only support the conclusion that
468 the virus can replicate in cells of the CNS, but that the CNS serves as a site of viral persistence long after
469 the mother was exposed. Again, the propensity for first trimester exposures to ZIKV provides clues
470 about the possible mechanisms of neuropathogenesis. During the first trimester, the fetal blood brain
471 barrier is 'leaky' and does not serve as a complete barrier against pathogens. Infection of the placenta
472 in the first trimester and induction of fetal viremia may sufficiently disseminate virus, thereby enabling
473 ZIKV access to the brain. Fetal development of a well-formed blood brain barrier later in pregnancy may
474 also reduce the risk of CNS infection. A second possibility is that the frequency of target cells in the
475 brain changes over time. A seminal report by Tang *et al.* reveals that ZIKV can infect neural progenitors
476 (19) and this has been more recently confirmed in a study of ZIKV infection of human cerebral organoids
477 in culture (18, 21). Infection of the brain in the first trimester might lead to infection of these precursor
478 cells and associated pathology due to the ability of ZIKV to slow cellular replication and induce cell
479 death. Supporting this hypothesis, direct examination of tissue from at least one ZIKV-positive fetus
480 indicates that mature neurons are relatively unperturbed, suggesting that the progenitors may be
481 preferentially infected (12). However, the reports by Bell *et al.* discussed above, as well as recent studies

482 involving a more natural route of infection (142), demonstrate that infection of more mature brain cells
483 is possible (141). Examination of the literature reveals the presence of Tyro3, AXL, DC-SIGN and TIM-1
484 on multiple cells in the CNS, leading to the hypothesis that multiple cell types might be infected (Table
485 2).

486 **Table 2: Expression of ZIKV receptors in human brain and placental tissue.** NA = data not available

	DC-SIGN	AXL	Tyro3	TIM-1	Evidence of Infection	References
CNS						
Vascular Endothelial	-	+	-	NA	Productive infection in tissue culture	(143-146)
Perivascular macrophages	NA	+	+	NA		(147)
Astroglia	-	+	+	NA	EM in mice	(143-146, 148, 149)
Microglia	-	+	+	NA		(143-146, 150)
Neurons	-	+	+	NA	EM in mice	(143-145, 149)
Neuronal Precursors	NA	NA	NA	NA	Productive infection in tissue culture	(19)
Placenta						
Trophoblast	-	+	+	NA	Pathology	(139, 143)
Dendritic Cells	+	+	+	NA		(147, 151, 152)

487

488 **Permissiveness to viral infection and alteration in cellular pathways**

489 Not all cells expressing the receptor for a given virus are capable of being productively infected. The
490 presence or absence of specific factors in the cell influence whether the virus can successfully establish
491 an infection and produce more virus. At this time, little is known about the intracellular factors which
492 may influence ZIKV replication. It may be that not all cells that display the appropriate receptors are
493 capable of supporting viral replication. Genome wide RNAi screens have identified hundreds of cellular
494 factors involved in flavivirus replication (153). Many of these factors are involved in critical host cell
495 pathways such as: nucleic acid production, protein production and transport, lipid metabolism and

496 energy production (153-155). Various interferon responsive genes have been shown to block flavivirus
497 replication, as highlighted by the numerous mechanisms employed by the virus to counter these effects.
498 However, in the absence of interferon, it is unclear if any cells are truly non-permissive to ZIKV infection.

499 What is clear is that flaviviruses have evolved multiple strategies for altering normal host cellular
500 pathways to favor viral replication. Stress granules and P-bodies are accumulations of RNA found in the
501 cytoplasm of cells that are involved in stress response, heat shock and response to infection by viruses
502 (156, 157). Flaviviruses alter both of these granule types to increase viral replication. Interaction of viral
503 non-coding regions with stress granule proteins has been implicated in increased viral RNA synthesis and
504 processing of viral RNA by enzymes in the P-body, which leads to the accumulation of a non-coding viral
505 RNA that may be involved in protecting the viral RNA against RNA interference (158, 159).

506 The existence of flavivirus encoded non-coding RNA (ncRNA) is of potential relevance to development of
507 fetal neuropathology. The genome of ZIKV and other flaviviruses is relatively small. As such, there is
508 evolutionary pressure to make efficient use of all available sequence to support viral replication and
509 evasion of adaptive and innate host defenses. That the virus supports and maintains RNA and RNA
510 structural motifs that are not directly used in the coding of proteins suggests that this non-coding RNA
511 serves an important role in the viral life cycle (160). The production of ncRNA in flaviviruses is due to
512 the incomplete digestion of viral RNA by XRN1, an exonuclease found in the P-body (159). Secondary
513 structure in a stem loop within the untranslated region (UTR) prevent digestion of this area and leads to
514 accumulation of viral ncRNA. Interestingly, this ncRNA seems to be essential for cytopathicity and viral
515 pathogenesis. Viruses with mutations in the 3'UTR have no deficit in their ability to make viral RNA, but
516 show attenuated cytopathic effects in infected cells. Two possible explanations have been given for this
517 observation. The first is that the ncRNA modulates the host innate sensing proteins (Toll like receptors
518 including TLR3, RIG-I and MDA5). Other studies show evidence that this ncRNA can function to inhibit
519 the RNA interference pathway and alter the expression of host genes (161). When primary human

520 fibroblasts are infected with Dengue virus, innate immune response signaling pathways are activated
521 through both TLR3 and RIG- 1, but not Mda5, triggering up-regulation of IFN β , TNF α , defensin 5 (HB5)
522 and β defensin 2 (HBD2) (162). Heritable mutations in RIG-I and MDA5 coding sequences have been
523 identified as causative for Type 1 interferonopathies (inherited autoimmune disorders associated with
524 an inborn elevated interferon response) including Aicardi-Goutières syndrome, Systemic Lupus
525 Erythematosus (SLE) in certain individuals as well as classic and atypical Singleton-Merten syndrome
526 (163). As reviewed above, the radiographic characteristics of these syndromes overlap considerably
527 with findings associated with both intrauterine CMV infection and Zika fetal syndrome. Prior
528 assessment of therapeutic strategies for Aicardi-Goutières syndrome may help inform treatment options
529 for Zika fetal syndrome (164). Hydroxychloroquine, used to treat SLE cerebritis and considered safe in
530 pregnancy, is a potent inhibitor of Type I IFNs, and this therapeutic strategy may figure into the selection
531 of drug-like entities being contemplated for treating pregnant women suffering from acute ZIKV (165-
532 167).

533 Interactions of cellular proteins with the untranslated regions of the full length ZIKV RNA may also be
534 critical for function. Examination of the West Nile Virus has shown that two cellular RNA-binding
535 proteins, TIA-1 and TIAR, interact with the 3' untranslated region (3'UTR) of that virus (158, 168). These
536 proteins are essential host factors involved in formation of stress granules, and are sequestered at the
537 site of viral RNA synthesis; an event that inhibits stress granule formation (168, 169). Viruses deficient
538 in TIA-1 and TIAR binding replicate at a diminished rate in fibroblasts. A similar mechanism has been
539 described for Dengue Virus (168). Due to the similarities to the secondary structure of the 3'UTR of
540 these flaviviruses, ZIKV is likely to have similar effects. Whether ZIKV genomic or subgenomic RNA has
541 binding sites for other host factors remains to be seen. Engagement of RNA-binding proteins specific to
542 the brain or placenta by ZIKV might explain the pathology seen in the current epidemic.

543 The ability of ZIKV non-coding RNA to recruit cellular proteins might provide some insight into possible
544 mechanisms of neuropathogenesis. The unique sequence of ZIKV may provide new targets for
545 interaction with cellular proteins that are not seen in related viruses such as Dengue. Of particular
546 interest will be whether factors specific to either the CNS or placenta bind to and regulate ZIKV RNA
547 translation or replication. For example, the RNA binding protein Musashi-1 is expressed at high levels in
548 neural precursors cells and can be found in both decidual and trophoblast cells in the placenta (140,
549 170).

550 Musashi-1 is required for differentiation and division of neural precursors, and is often used as a marker
551 in identification of these cells (171, 172). Studies have revealed a role for Musashi as a regulator of
552 mRNA translation, and that the protein is capable of both inhibiting and activating translation (173).
553 Specifically, Musashi proteins play a role in regulating progenitor (stem) cell growth and differentiation
554 through post-transcriptional control of gene expression (174). Musashi is also expressed in, and has
555 been shown to influence mRNA translation in, a variety of epithelial stem cell types associated with
556 glandular epithelium (174-177), spermatogenesis (178), brain and retinal tissue development (179, 180).
557 Utilizing sequence alignment methods and available genomes of both historic and current ZIKV isolates,
558 we have discovered a putative Musashi Binding Element (MBE) in the SL2 stem-loop of the 3'UTR (Figure
559 2) (181-184). Examination of ZIKV epidemic strains has revealed conserved changes in the NS2B open
560 reading frame and 3'UTR relative to ancestral strains found in Africa (184). Our alignment confirms this,
561 and highlights that two of these changes lie immediately upstream from the putative MBE. Both insects
562 and mammals have Musashi homologs, and it has been reported that they bind MBE with slightly
563 different sequence requirements (185). Application of the binding energy predictions of this work
564 suggests that the evolutionary nucleotide polymorphism alterations observed in the region immediately
565 upstream to the ZIKV core MBE may alter binding in mammals, but not the mosquito host. Given the
566 expression of Musashi in neuronal precursors and the placenta, it will be critical to determine whether

567 this element is involved in ZIKV pathogenesis, and if so, what ZIKV nucleotide polymorphisms may be
568 associated with alterations in ZIKV Musashi Binding Element activity.

569 **Figure 2: Alignment of first 130 nucleotides of 3'UTR of ZIKV illustrating Musashi Binding Element**
570 **location and associated mutations over time and geographic spread.** Sequences shown are the only
571 that are unique for country and/or sequence, duplicates of same country were discarded. Alignment
572 performed using MAFFT. Visualization using Geneious. Presence of SL I and SL II on those sequences,
573 being SL II partially shown. Presence of Musashi Binding Element (MBE) on SL II, with two SNPs on
574 African sequences, which could potentially change the RNA structure and availability of the element. SL
575 I and SL II were annotated from Zhu Z. *et al.* MBE was annotated using the UTRscan tool of the UTRSite.
576

577 Flavivirus proteins insert themselves into the membrane of the endoplasmic reticulum (ER), forming
578 invaginations that contain all of the proteins and RNA needed to produce additional viral RNA (186).
579 These invaginations are connected to the cytoplasm by a small pore, through which the RNA is
580 presumably passed to engage nearby ribosomes (187). Viral capsids are then assembled and enveloped
581 by budding into the membranes of the Golgi. This dependence on membranes and the need to produce
582 enough phospholipids to envelope all of the progeny virions has lead flaviviruses to evolve mechanism
583 to alter membrane synthesis, lipid metabolism and ER processing (188-193).

584 The classic sign of flavivirus infection is the visualization by electron microscopy of small 'viral factories'
585 where viral RNA and protein is made and then assembled into complete virions for release through the
586 cellular transport system. It has been noted that these assemblages look very much like the
587 autophagosomes formed during the process of autophagy. Autophagy is a normal cellular process
588 wherein the cell digests large protein complex or intracellular pathogens, and has been shown to play an
589 important role in the maintenance of stem cells (194). This process can provide a way for a cell to
590 recycle materials under conditions of starvation or as a way to respond to intracellular infection (195).
591 Studies of cells infected by ZIKV and other flaviviruses have shown an increase in the levels of autophagy
592 (137, 196-198). Microscopic examination of intracellular compartments has revealed the presence of

593 viral envelope protein (E protein) in the same vesicles as the autophagy marker LC3 (137). This suggests
594 that the vesicles into which the virus buds may be autophagosomes. Some viruses block the late stages
595 of autophagy, leading to the accumulation of autophagosomes that do not fuse with the lysosome.
596 However, it seems that ZIKV does not block this step, and LC3 and E protein can be detected in mature
597 autolysosomes. As the proper maturation of the viral envelope prior to release is pH dependent, it is
598 possible that the virus has co-opted this pathway to maintain the correct pH and access proteases
599 needed for maturation of the viral E protein. The trophoblast layer of the placenta produces miRNA that
600 are pro-autophagic in nature, and which are delivered to bystander cells by exosomes (119). It is
601 thought that this is a mechanism to make the trophoblast (and the cells in contact with it) more
602 resistant to viral infection. However, in the case of ZIKV, this mechanism may help replication and
603 spread by the virus once initial infection has been established, and could increase the susceptibility of
604 nearby myeloid cells. Multiple lines of research suggest a role for autophagy in neurodegenerative
605 diseases, which suggests that these ZIKV mediated changes in autophagy may also be involved in the
606 observed neuropathic effects (195, 199, 200). Pharmacologic inhibition of autophagy is associated with
607 inhibition of ZIKV replication in a variety of cell types, including human astrocytes (4, 137).

608 **Unanswered questions**

609 In order to more completely understand the link between ZIKV infection and fetal abnormalities, more
610 work must be done. The characteristic presentation of Zika Fetal Syndrome ranges from viral centric
611 (microcephaly, blindness, ventricular calcifications and fetal presence of ZIKV by rt-PCR) to another
612 extreme (long bone dysgenesis, negative for ZIKV) possibly associated with placental insufficiency.
613 Epidemiological assessment of potential confounding risk factors for Zika fetal syndrome, including
614 preceding immunologically cross-reactive arboviral infection and potential thalidomide sharing by
615 patients being treated for leprosy, remains to be completed (14, 201, 202). To underscore the point,

616 leprosy is now endemic throughout much of Brazil including Pernambuco (203), and post exposure
617 prophylaxis of exposed individuals has been advocated (204, 205).

618 The gaps in understanding of ZIKV neuropathology highlighted in this review suggest that efforts should
619 first be focused on obtaining clear, statistically significant data addressing a few specific questions.

620 Prospective case control study reports on ZIKV infection of pregnant women and fetal outcomes are a
621 step in the right direction. As such studies continue, a more definitive correlation between ZIKV
622 infection and various congenital outcomes will become possible. Additionally, fundamental research
623 will be required to answer questions regarding the ability of ZIKV to cross the placenta and infect the
624 developing brain. Based on the published report of receptors utilized by ZIKV, a more complete survey
625 of expression levels of these proteins in cells of the placenta should be prioritized. There is a desperate
626 need for high quality histology and EM analysis of brain and placental tissue from different times after
627 exposure. Although the Mlakar *et al.* report showed convincing evidence for the presence of viral
628 particles in the brain of a thirty-two-week fetus, the method of fixation unfortunately makes it
629 impossible to tell what specific cells may have been infected (13). A more recent analysis provides
630 better clarity, but more studies will be needed (12). Some conclusions may be inferred from the work of
631 Bell *et al.*, but the injection of virus directly into the brain of neonatal mice may not be physiologically
632 relevant (141). Recent progress involving the development and characterization of ZIKV infection using
633 the AG129 mouse model are consistent with the findings of Bell *et al.*, and may eventually enable a
634 more complete understanding of the neural and glial tropism underlying ZIKV neuropathology (142).

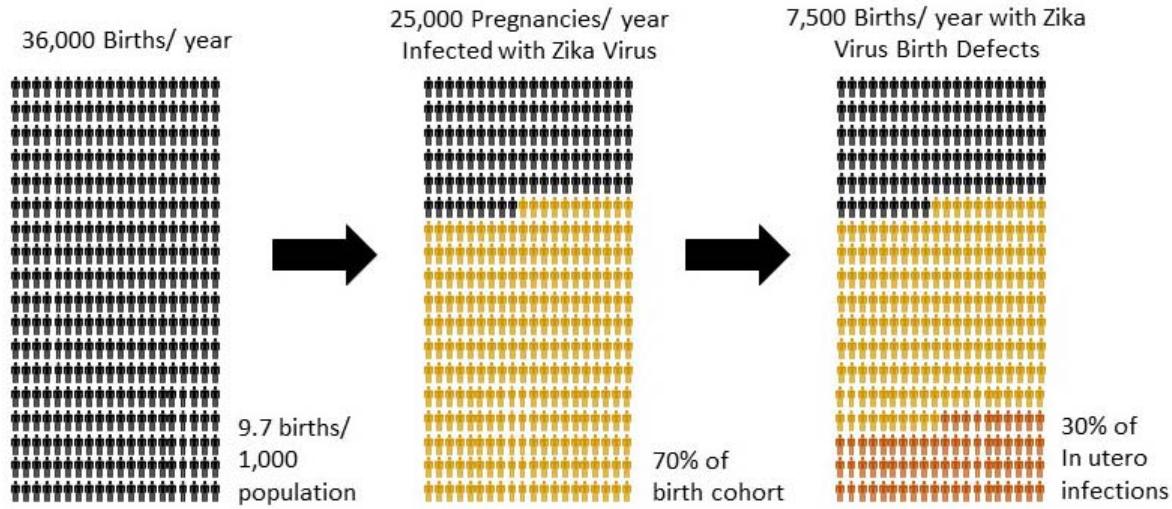
635 Although current literature provides some characterization of placental abnormalities, no definitive
636 evidence has been shown supporting infection of specific cells of the placenta. A qualified animal model
637 likely will be required to obtain this data. Finally, although PCR and histology are potentially powerful
638 techniques, definitive proof of infection of a given tissue, or the relevance of virus reported in a

639 biological sample, can only be obtained when replication competent virus can be retrieved from these
640 samples.

641 To begin to understand the mechanism of ZIKV neuropathogenesis, other experiments might be
642 considered. A survey of serum from ZIKV infected individuals could shed light on the development of
643 self-reactive antibodies and possible links to GBS. Prior research and study designs which have
644 illuminated the roles of viral proteins and regions or motifs of viral RNA in the pathogenesis of other
645 flavivirus infections need to be applied to clarify the molecular virology of ZIKV. To what extent does
646 ZIKV activation of TLR-3 contribute to fetal neuropathology? Are migratory placental cells such as
647 Hofbauer cells infected by ZIKV during fetal development? Do specific proteins from the placenta and
648 brain bind to the non-coding regions of ZIKV and play a role in the observed neural tissue disease?
649 Recent studies have cataloged changes in the ZIKV genome as it has spread across the Pacific to the new
650 world. Specific studies will be necessary to determine if these changes have in any way altered the
651 transmissibility or virulence of the virus. Finally, the studied TORCH pathogens do not consistently cause
652 pathology. It has been hypothesized that ZIKV infection may achieve access to the placenta and CNS
653 secondary to some other event. Larger datasets will be needed to determine if ZIKV enters the fetus
654 following some other perturbation, or whether other cofactors or confounding variables are associated
655 with the severe congenital and adult neuropathology, which is now being observed with the current
656 ZIKV outbreak in the Americas. But what is most clear is that ZIKV fetal neuropathology represents a
657 new disease which does not completely overlap with the epidemiology or pathophysiology of other
658 TORCH pathogens, and which will demand effort, resources, unparalleled collaboration, and above all,
659 open mindedness in formulating public health responses as well as obstetrical and pediatric
660 management strategies.

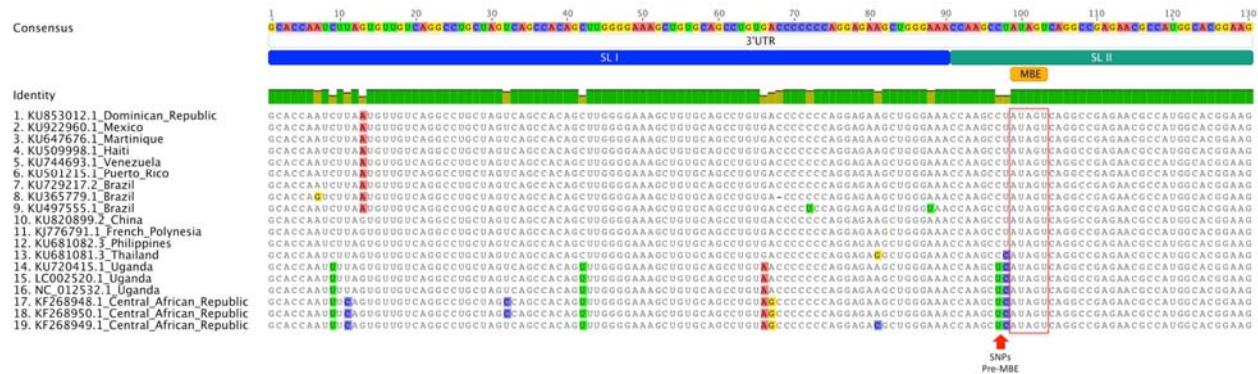
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662 **Figure 1: Projected teratogenic impact of maternal ZIKV infection on 2017-2018 birth cohort, Puerto
663 Rico.**



664

665 **Figure 2: Alignment of first 130 nucleotides of 3'UTR of ZIKV illustrating Musashi Binding Element**
 666 **location and associated mutations over time and geographic spread.**



667

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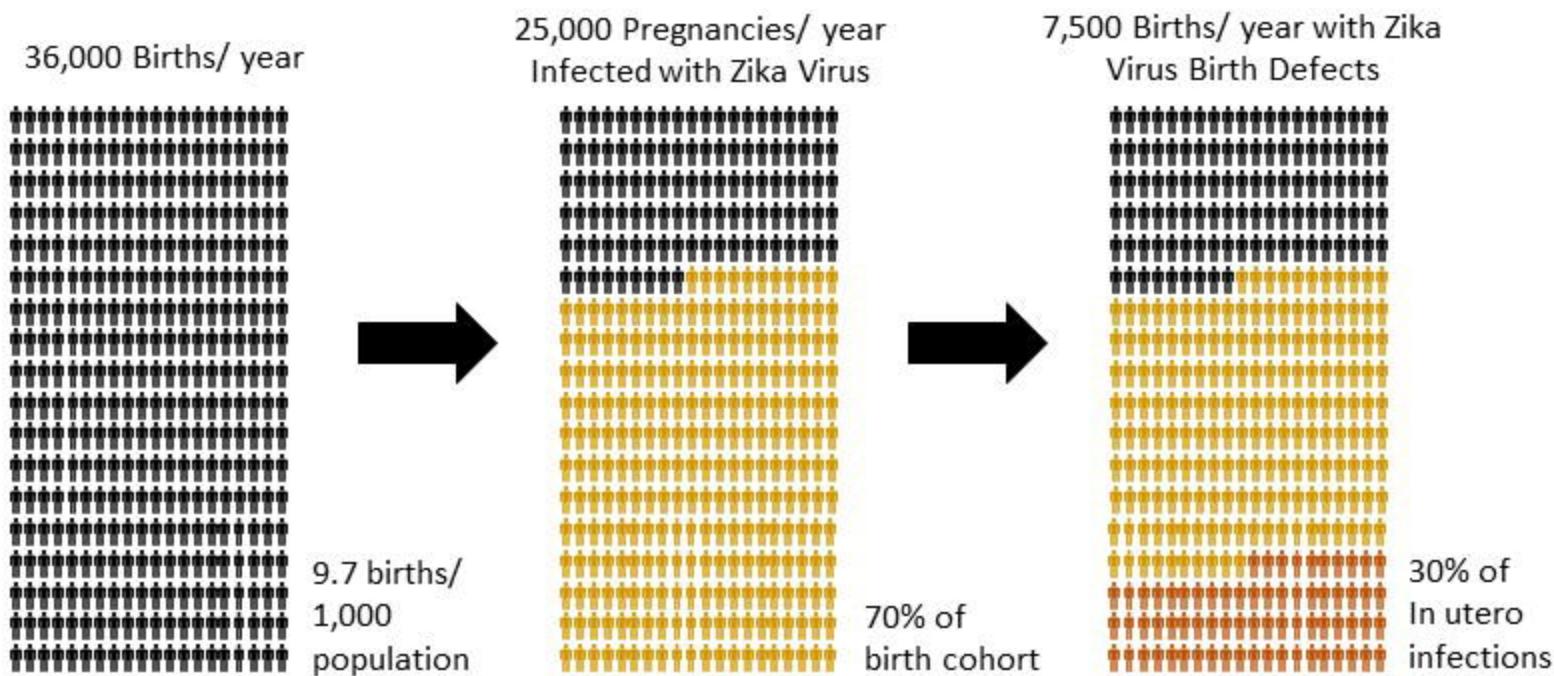
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Consensus

