

## The fail-safe mechanism of post-transcriptional silencing of unspliced *HAC1* mRNA

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1 **Abstract**

2 *HAC1* encodes a transcription factor that is the central effector of the unfolded protein response  
3 (UPR) in budding yeast. When the UPR is inactive, *HAC1* mRNA is stored as an unspliced  
4 isoform in the cytoplasm and no Hac1 protein is detectable. Intron removal is both necessary  
5 and sufficient to relieve the post-transcriptional silencing of *HAC1* mRNA, yet the precise  
6 mechanism by which the intron prevents Hac1 protein accumulation has remained elusive.  
7 Here, we show that a combination of inhibited translation initiation and accelerated protein  
8 degradation—both dependent on the intron—prevents the accumulation of Hac1 protein when  
9 the UPR is inactive. Functionally, both components of this fail-safe silencing mechanism are  
10 required to prevent ectopic production of Hac1 protein and concomitant activation of the UPR.  
11 Our results provide a mechanistic understanding of *HAC1* regulation and reveal a novel strategy  
12 for complete post-transcriptional silencing of a cytoplasmic mRNA.

## 1 Introduction

2 The unfolded protein response (UPR) is a eukaryotic stress response pathway that is activated  
3 when unfolded proteins accumulate in the endoplasmic reticulum (ER) lumen (Gardner et al.,  
4 2013). In the budding yeast *Saccharomyces cerevisiae*, the central effector of the UPR is the  
5 transcription factor Hac1p (Cox and Walter, 1996; Mori et al., 1996; Nikawa et al., 1996). *HAC1*  
6 (and its metazoan ortholog *Xbp1*) is unique among eukaryotic genes in that it contains an intron  
7 that is excised through an unconventional cytoplasmic splicing reaction mediated by two  
8 proteins, Ire1p and tRNA ligase, rather than the spliceosome (Cox and Walter, 1996; Kawahara  
9 et al., 1997; Sidrauski and Walter, 1997; Sidrauski et al., 1996). In the absence of ER protein-  
10 folding stress, the intron-containing mRNA (denoted *HAC1<sup>u</sup>*; “u” for UPR “uninduced”) is  
11 transcribed and exported to the cytoplasm but does not give rise to detectable protein due to the  
12 presence of the inhibitory intron (Cox and Walter, 1996; Chapman and Walter, 1997). The  
13 accumulation of unfolded proteins in the ER lumen activates the ER-resident transmembrane  
14 kinase–endonuclease Ire1p, which cleaves out the intron from *HAC1* mRNA via its cytoplasmic  
15 nuclease domain (Sidrauski and Walter, 1997). After the exons are joined by tRNA ligase, the  
16 resulting spliced mRNA (denoted *HAC1<sup>i</sup>*; “i” for UPR “induced”) is now translated into Hac1<sup>i</sup>p.  
17 This active transcription factor is imported into the nucleus, where it activates the expression of  
18 UPR target genes involved in restoring protein-folding homeostasis in the ER (Chapman et al.,  
19 1998). Intron removal is both necessary and sufficient to relieve the post-transcriptional  
20 silencing of *HAC1* that otherwise prevents Hac1p accumulation (Chapman and Walter, 1997).

21 The post-transcriptional silencing of *HAC1* and its subsequent reversal by cytoplasmic  
22 splicing together enable a rapid UPR that does not depend on *de novo* transcription  
23 (Rüegsegger et al., 2001). At the same time, a robust silencing mechanism is required to  
24 prevent ectopic accumulation of Hac1<sup>u</sup>p from the abundant cytoplasmic pool of *HAC1<sup>u</sup>* mRNA  
25 that might otherwise turn on UPR target genes in the absence of ER stress. The current model  
26 for silencing is that elongating ribosomes are stalled on the mRNA during translation, thereby

1 preventing synthesis of full-length Hac1p (Rüegsegger et al., 2001). According to this model, the  
2 mediator of translational attenuation is a long-range base-pairing interaction between the 5'  
3 untranslated region (UTR) and intron of *HAC1<sup>u</sup>* mRNA.

4 The key data supporting the stalled elongation model is that the majority of *HAC1<sup>u</sup>*  
5 mRNA sediments in the polysome region of a sucrose gradient (Arava et al., 2003; Chapman  
6 and Walter, 1997; Cox and Walter, 1996; Kuhn et al., 2001; Mori et al., 2010; Park et al., 2011;  
7 Payne et al., 2008; Rüegsegger et al., 2001; Sathe et al., 2015) despite no detectable Hac1<sup>u</sup>p.  
8 Furthermore, the heavy-sedimenting *HAC1<sup>u</sup>* mRNA is distributed in a discontinuous pattern with  
9 peaks and valleys that precisely match the peaks and valleys observed for polysomes  
10 (Rüegsegger et al., 2001). These data provide convincing evidence that heavy-sedimenting  
11 *HAC1<sup>u</sup>* mRNA reflects ribosome association rather than another high-molecular-weight complex  
12 that co-sediments with polysomes, or so-called “pseudo-polysomes” (Thermann and Hentze,  
13 2007). Given this apparent ribosome association of *HAC1<sup>u</sup>* mRNA, an alternative explanation for  
14 the absence of Hac1<sup>u</sup>p is that Hac1<sup>u</sup>p is synthesized but immediately degraded. However,  
15 Hac1<sup>u</sup>p and Hac1<sup>i</sup>p are thought to have similar half lives (Chapman and Walter, 1997;  
16 Kawahara et al., 1997), arguing against differential protein degradation as the primary  
17 mechanism that prevents Hac1<sup>u</sup>p accumulation yet allows Hac1<sup>i</sup>p accumulation.

18 Despite widespread acceptance of the stalled elongation model (Richter and Coller,  
19 2015), the mechanism by which base pairing between untranslated regions causes ribosomes  
20 to stall in the open reading frame (ORF) is unknown. The reduced efficiency of translational  
21 attenuation *in vitro* suggested that additional factors might be involved in the inhibitory  
22 mechanism (Rüegsegger et al., 2001), perhaps acting to transduce the signal from the  
23 untranslated regions to translating ribosomes. However, the sequence of the base-pairing  
24 region can be changed without affecting silencing if base pairing is preserved (Rüegsegger et  
25 al., 2001), making it unlikely that any sequence-specific RNA-binding proteins are involved. In  
26 addition, the more recent discovery of the No-Go Decay (NGD) pathway that recognizes stalled

1 ribosomes and targets the associated mRNA for degradation (Doma and Parker, 2006) raises  
2 the question of how the ribosome-*HAC1<sup>u</sup>* mRNP evades detection and subsequent turnover by  
3 the quality-control machinery. For these reasons, and others described below, we revisited the  
4 stalled elongation model, which led us to instead identify an entirely different mechanism of  
5 post-transcriptional silencing of *HAC1<sup>u</sup>* mRNA that reconciles these issues.

6

### 7 **Very few ribosome footprints on unspliced *HAC1* mRNA**

8 We recently reported improved ribosome-footprint profiles (Ingolia et al., 2009) and mRNA-  
9 abundance measurements from exponentially growing *S. cerevisiae* (Weinberg et al., 2016).  
10 Under these growth conditions *HAC1* mRNA is almost entirely unspliced (Figures S1A and S1B)  
11 and is distributed across a sucrose gradient with ~50% in the non-translating fractions and the  
12 remaining ~50% extending across all of the translating (i.e., 80S and larger) fractions (Figure  
13 1A), similar to previous observations (Arava et al., 2003; Chapman and Walter, 1997; Cox and  
14 Walter, 1996; Kuhn et al., 2001; Mori et al., 2010; Park et al., 2011; Payne et al., 2008;  
15 Rügsegger et al., 2001; Sathe et al., 2015). In contrast, the well-translated actin (*ACT1*) mRNA  
16 is essentially absent from the non-translating fractions and is found mostly in large polysomes.  
17 Based on the sedimentation of *HAC1* mRNPs, we predicted that the mRNA would generate a  
18 large number of ribosome-protected fragments, in a quantity only ~2-fold fewer than similarly  
19 abundant mRNAs (based on the fraction of *HAC1* mRNA in the untranslated fractions).  
20 Strikingly, however, after normalizing for mRNA abundance *HAC1* generates the fewest  
21 ribosome-protected fragments among all expressed yeast genes (Figure 1B)—and ~50-fold  
22 fewer than expected from the polysome profile. Rather than providing evidence for stalled  
23 ribosomes on *HAC1<sup>u</sup>* mRNA, instead these observations suggest that either ribosomes are  
24 stalled on the mRNA in a closely packed configuration that prevents nuclease cleavage  
25 between ribosomes, which would eliminate the ~28-nucleotide fragments that are sequenced in  
26 the ribosome-profiling method (Figure 1C, middle); or that there are not stalled ribosomes on

1 *HAC1<sup>u</sup>* mRNA (Figure 1C, bottom).

2

### 3 **Inhibited translation initiation revealed by polysome analyses**

4 Although the polysome-like sedimentation of *HAC1<sup>u</sup>* mRNA indicates ribosome association, it  
5 does not reveal if the ribosomes associated with the mRNA were ever engaged in its translation.

6 Alternatively, the associated ribosomes might be bound in a conformation that is unrelated to

7 translation of *HAC1<sup>u</sup>* mRNA. We therefore devised an experiment to definitively determine

8 whether the ribosomes bound to *HAC1<sup>u</sup>* mRNA reflect ribosomes that were engaged in its

9 translation. To do so, we took advantage of the observation that *HAC1* mRNA is distributed

10 across all of the translating fractions of a sucrose gradient (Figure 1A). If the deep

11 sedimentation is due to multiple translating ribosomes being stalled on a single mRNA, then

12 reducing the number of translating ribosomes that can fit on the mRNA should shift the

13 sedimentation pattern toward lighter fractions. We designed a series of constructs containing

14 point mutations in the first exon of *HAC1* that created premature termination codons, which

15 reduce the size of the ORF and thereby limit the number of translating ribosomes (Figure 1D,

16 top). To ensure that the mutant alleles were expressed at near wild-type levels, we replaced the

17 endogenous *HAC1* allele without disrupting flanking regulatory regions. Remarkably, each of the

18 mutant mRNAs had a sedimentation pattern that was indistinguishable from the wild-type mRNA

19 (Figure 1D, bottom). In the most extreme case, the mRNA containing a 21-nucleotide ORF that

20 can only accommodate a single translating ribosome still co-sedimented with polysomes

21 containing upwards of 10 ribosomes (fraction 14 of the gradient). These data provide direct

22 evidence that the polysome-like sedimentation of *HAC1<sup>u</sup>* mRNA is not due to stalled ribosomes

23 on the mRNA.

24 We hypothesized that the ribosome association of *HAC1<sup>u</sup>* mRNA was instead due to

25 non-specific interactions between the mRNA and bona fide polysomes formed on other mRNAs.

26 To evaluate the extent of such non-specific interactions, we introduced an exogenous control

1 RNA that should not be translated: an uncapped luciferase-encoding RNA purified from an *in*  
2 *vitro* transcription reaction. We analyzed the sedimentation behavior of this control RNA when it  
3 was added to lysis buffer compared to when it was added to the yeast lysate prior to  
4 centrifugation. Surprisingly, some of the exogenous RNA was found in the translating fractions  
5 of the lysate—a behavior not observed in lysis buffer alone (Figure 1E, middle). Through  
6 extensive optimization, we found that the addition of heparin to the lysis buffer (at a  
7 concentration of 0.2 mg/ml) was sufficient to largely prevent the deep sedimentation of  
8 exogenous RNA. The addition of heparin also had a major effect on the sedimentation of *HAC1*  
9 mRNA, as most (83%) now sedimented in the non-translating fractions of the gradient (Figure  
10 1E, bottom). In contrast, the sedimentation of *ACT1* mRNA (Figure 1E, bottom) and the overall  
11 polysome profile (Figure 1E, top) were largely unchanged, suggesting that heparin competed  
12 away non-specific interactions without disrupting bona fide polysomes.

13         Based on these results, we re-analyzed the *HAC1* mutants with shortened ORFs using  
14 heparin-containing lysis buffer. Under these conditions, the polysome co-sedimentation of each  
15 of the mRNAs was greatly reduced but there was still no difference among the constructs  
16 (Figure 1F), providing further evidence against stalled ribosomes on *HAC1<sup>u</sup>* mRNA. Importantly,  
17 when we treated cells with the reducing agent dithiothreitol (DTT) to induce the UPR and  
18 concomitant splicing of *HAC1* mRNA (Figure S1C) and repeated the experiment, the variant  
19 mRNAs now displayed the expected differential sedimentation based on ORF length (Figure  
20 1G), validating our experimental design. Together, these results demonstrate that at steady  
21 state *HAC1<sup>u</sup>* mRNA is not associated with either actively elongating or stalled ribosomes. This  
22 indicates that the primary block to production of Hac1<sup>u</sup>p is at the stage of translation initiation,  
23 not translation elongation as previously proposed (Richter and Coller, 2015; Rügsegger et al.,  
24 2001).

25

26 **An additional silencing mechanism downstream of translation initiation**

1 The absence of ribosomes on *HAC1<sup>u</sup>* mRNA suggests that translation initiation is inhibited. To  
2 further understand the mechanism of this inhibition, we used a green fluorescent protein (GFP)  
3 reporter system that has been previously shown to recapitulate post-transcriptional silencing by  
4 the *HAC1* intron (Chapman and Walter, 1997; Rügsegger et al., 2001). We replaced the first  
5 exon of *HAC1* with the GFP ORF lacking its own stop codon (Figure 2B), which allowed us to  
6 quantitatively analyze post-transcriptional silencing of GFP using a combination of flow  
7 cytometry (protein abundance), quantitative RT-PCR (mRNA abundance), and sucrose gradient  
8 fractionation (ribosome density). When *GFP* was embedded in an otherwise wild-type *HAC1*  
9 context the mRNA sedimented almost entirely in the non-translating fractions (Figure 2A), and  
10 there was no detectable fluorescence above background (Figure 2C). In contrast, cells  
11 expressing a reporter construct missing the entire intron displayed a strong fluorescence signal  
12 (Figure 2C), and most of the mRNA was found in the translating fractions (Figure 2A). Thus, the  
13 reporter *GFP* mRNA behaves similarly to endogenous *HAC1* mRNA.

14 To determine whether 5'-UTR–intron base pairing is required to prevent ribosome  
15 loading on the reporter mRNA, we designed constructs in which the sequence implicated in  
16 base-pairing interactions in either the 5'-UTR or the intron was mutated to its complement to  
17 disrupt the interaction (Figure 2B, bottom), as was done previously (Rügsegger et al., 2001).  
18 Both mutant mRNAs sedimented mostly in the translating fractions in a manner that was similar  
19 to the intronless construct (Figure 2A). When we combined the 5'-UTR and intron mutations and  
20 thereby restored base pairing, the mRNA was now found almost exclusively in the non-  
21 translating fractions and resembled the original reporter mRNA. These results demonstrate that  
22 base pairing between the 5'-UTR and intron is required to prevent ribosome loading by directly  
23 impeding the binding or progress of the scanning ribosome.

24 Given that the base-pairing mutant mRNAs were loaded with ribosomes similarly to the  
25 intronless mRNA (Figure 2A), we expected to observe GFP expression from the mutant mRNAs  
26 that was similar to that of the intronless construct. Remarkably, however, neither of the strains

1 expressing a base-pairing mutant mRNA had any GFP detectable by either flow cytometry  
2 (Figure 2C) or immunoblotting (Figure S2). The lack of GFP signal despite polysome  
3 sedimentation was not due to low mRNA abundance, as the mutant mRNAs were present at  
4 similar levels as the intronless mRNA (Figure S2A). These results suggest that an additional  
5 silencing mechanism acting downstream of translation initiation prevents GFP accumulation  
6 when base pairing is disrupted.

7

### 8 **Post-translational silencing by the intron-encoded C-terminal tail**

9 In addition to removing the intron portion of the base-pairing interaction, splicing of *HAC1* mRNA  
10 also alters the C-terminal tail of the encoded protein: The ORF in *HAC1<sup>u</sup>* mRNA has a 10-  
11 amino-acid tail encoded by the intron, which in *HAC1<sup>i</sup>* mRNA is replaced by an 18-amino-acid  
12 tail encoded by the second exon (Figure 3A). The fact that the polypeptide tails encoded by the  
13 *HAC1<sup>u</sup>* and *HAC1<sup>i</sup>* mRNAs are different suggests that the 10-amino-acid tail unique to Hac1<sup>u</sup>  
14 may be functionally important. We therefore hypothesized that the intron-encoded C-terminal tail  
15 may be involved in the additional silencing mechanism revealed by our base-pairing mutant  
16 reporters (Figure 2).

17 To investigate this possibility, we designed a reporter construct in which we removed the  
18 entire intron except for the 5' end that codes for the 10-amino-acid tail of Hac1<sup>u</sup>p (Figure 3B,  
19 fourth construct). Remarkably, cells expressing this construct had no detectable GFP (Figure  
20 3C), despite the corresponding mRNA being abundant (Figure S3A) and detected on polysomes  
21 due to the absence of the base-pairing interaction (Figure 3D). Thus, the coding region at the 5'  
22 end of the intron is sufficient for complete silencing of the GFP reporter. Introducing a  
23 termination codon between *GFP* and the intron restored robust fluorescence comparable to that  
24 observed for the intronless construct, which implicated translation of the 5' end of the intron as  
25 required for silencing. Furthermore, making 10 nucleotide changes that maintained the coding  
26 potential of the intron-encoded tail (Figure 3B, bottom) had no effect on silencing (Figure 3C),

1 suggesting that the amino-acid sequence encoded by the 5' end of the intron is more important  
2 than the nucleotide sequence itself.

3 To determine whether the 10-amino-acid element alone was sufficient for silencing in the  
4 absence of any other *HAC1* sequences, we expressed *GFP* from a different locus in the yeast  
5 genome (*TMA7*) either with or without the 10-amino-acid tail. When the 10-amino-acid  
6 sequence was either absent or not translated due to a premature stop codon, we observed  
7 robust GFP signal (Figure 3C). In contrast, there was no fluorescence detected in cells  
8 expressing GFP containing the 10-amino-acid tail. Thus, the C-terminal tail of *Hac1<sup>Up</sup>* is  
9 sufficient for silencing independently of the rest of the *HAC1* intron and any other *HAC1*  
10 sequences.

11 Having established the effects of the 10-amino-acid tail in isolation, we next examined  
12 the effects of the tail when translation initiation was inhibited by the base-pairing interaction. In  
13 an otherwise wild-type *HAC1* context, preventing translation of the 10-amino-acid tail either by  
14 deleting the entire sequence or by introducing a stop codon caused GFP to accumulate to low  
15 but detectable levels (Figure 3C) without greatly affecting the sedimentation of the  
16 corresponding mRNAs (Figure 3D). Thus, even when base pairing is intact there is some low-  
17 level accumulation of GFP that is normally suppressed by the 10-amino-acid silencing element.

18 In the context of reporter constructs lacking the intron-encoded C-terminal tail, mutations  
19 in either the 5'-UTR or intron that disrupted base pairing greatly increased the amount of GFP,  
20 while the compensatory double-mutant construct with restored base pairing had only low levels  
21 of GFP (Figures 3C and S3B). These results are in agreement with previous GFP reporter  
22 experiments, which used constructs that contained a stop codon between the *GFP* and intron  
23 sequences and therefore had inadvertently eliminated the effects of the 10-amino-acid tail  
24 (Chapman and Walter, 1997; Rügsegger et al., 2001).

25 Together, our GFP reporter experiments indicate that the *HAC1* intron mediates post-  
26 transcriptional silencing through a pair of independent but partially redundant mechanisms:

1 base-pairing interactions with the 5'-UTR that inhibit translation initiation, and a novel silencing  
2 mechanism mediated by the intron-encoded C-terminal tail of Hac1<sup>up</sup>. Robust expression  
3 requires that both silencing mechanisms be inactivated, as would happen simultaneously when  
4 the intron is removed by Ire1p-dependent splicing.

5         What is the mechanism by which the intron-encoded tail of Hac1<sup>up</sup> silences gene  
6 expression? Because disrupting translation of the tail elevated protein levels (Figure 3C) without  
7 affecting polysome formation (Figure 3D), we inferred that the tail was exerting its effect  
8 downstream of translation initiation. We therefore reasoned that the 10-amino-acid sequence  
9 was acting either by halting translation across the entire mRNA (after polysome formation); or  
10 by promoting protein degradation. Our inability to detect GFP containing the 10-amino-acid tail  
11 (Figure 3C) prevented us from directly comparing the half lives of GFP with and without the tail.  
12 Instead, to distinguish between stalled elongation and protein degradation, we designed a  
13 reporter construct that generates two separate polypeptides from a single round of translation  
14 through co-translational “cleavage” mediated by a viral 2A peptide (Sharma et al., 2012). After  
15 screening for a 2A peptide sequence that functions efficiently in *S. cerevisiae* (Figure S3C), we  
16 designed a construct containing HA-tagged mRuby and GFP sequences separated by the P2A  
17 peptide (derived from porcine teschovirus-1). The GFP sequence downstream of P2A was  
18 appended with the 10-amino-acid tail (or was not, as a control), which should lead to the  
19 absence of detectable GFP regardless of the mechanism of action. In contrast, the upstream  
20 HA-tagged mRuby should only be detected if translation itself is not affected by the 10-amino-  
21 acid sequence, since it reports on the number of rounds of translation but is not covalently  
22 linked to the inhibitory tail. Assaying for GFP by immunoblotting revealed that accumulation of  
23 the protein was suppressed by the 10-amino-acid tail, as expected (Figure 3E). In contrast, HA-  
24 mRuby was detected at similar levels whether or not the tail was included in the construct.  
25 These results indicate that the tail functions downstream of translation, likely by acting as a  
26 “degron” (Varshavsky, 1991) that targets the protein for immediate degradation after synthesis.

1

## 2 **Identification of *DUH1* through a genetic selection**

3 If the C-terminal tail of Hac1<sup>U</sup>p functions as a degron, additional proteins may be involved in  
4 recognizing the degron and targeting the covalently linked protein for degradation. To identify  
5 such *trans*-acting factors, we used a genetic approach that took advantage of the strong  
6 silencing phenotype imparted by the 10-amino-acid tail alone (Figure 3C). We constructed  
7 strains in which the first exon of *HAC1* was replaced by *HIS3*, which we reasoned might behave  
8 like the analogous *GFP* reporter genes and be completely silenced by the 10-amino-acid  
9 sequence. Similarly to *GFP*, replacing the first exon of *HAC1* with *HIS3* but keeping the *HAC1*  
10 locus otherwise intact prevented expression of His3p as evidenced by histidine auxotrophy  
11 (Figure 4A). Removing the entire intron restored His3p expression and growth of the  
12 corresponding strain on medium lacking histidine, suggesting that silencing was mediated by  
13 the *HAC1* intron. Strains containing a stop codon between *HIS3* and the intron (to prevent  
14 translation of the 10-amino-acid degron) had a low but detectable level of growth on histidine-  
15 lacking medium (Figure 4A), consistent with the weak fluorescence signal observed from the  
16 corresponding *GFP* reporter construct (Figure 3C). On the other hand, strains containing His3p  
17 appended with the C-terminal tail of Hac1<sup>U</sup>p but no other elements of the *HAC1* intron could not  
18 grow on medium lacking histidine. These results indicate that the degron is sufficient for  
19 functional silencing of *HIS3* expression, providing a useful genetic tool to identify additional  
20 genes involved in the degron-dependent silencing mechanism.

21 Although we had initially intended to use chemical mutagenesis to generate silencing-  
22 defective mutants, upon streaking out the selection strain on histidine-lacking medium we  
23 noticed that a small number of slow-growing colonies appeared even without mutagen  
24 treatment. We reasoned that such spontaneous suppressor strains would have very few  
25 mutations, making it possible to identify suppressor mutations by whole-genome sequencing  
26 without requiring backcrossing or forming complementation groups. Thus, to isolate mutants

1 with defective degron-dependent silencing (“*dds* mutants”) we simply plated the selection strain  
2 expressing HA-tagged His3p with the 10-amino-acid tail on histidine-lacking medium and  
3 isolated the rare single colonies that grew for further analysis (Figure 4B). From five  
4 independent platings we isolated a total of 123 mutant strains that, when re-streaked, could  
5 grow on medium lacking histidine. Sanger sequencing of the C-terminal region of the reporter  
6 gene in each mutant identified 15 strains harboring a *cis* mutation that either altered the  
7 sequence of the degron, introduced a premature stop codon before or within the degron, or  
8 removed the stop codon of the degron resulting in a six-amino-acid C-terminal extension (Figure  
9 S4A)—all of which provided confirmation that the genetic selection worked as desired.

10 From the strains that displayed histidine prototrophy, we picked 35 (including four *cis*  
11 mutants) to analyze by immunoblotting and found that all had detectable HA-His3p (Figure  
12 S4B). We selected 20 of these strains with unknown mutations for whole-genome sequencing  
13 (as well as the parental selection strain as a reference, and three strains with known mutations  
14 in the degron as positive controls for our variant-calling procedure), taking care to select strains  
15 that varied widely in HA-His3p abundance or growth rate to minimize our chances of  
16 sequencing the same mutation in multiple strains. We sequenced the 24 genomes together in a  
17 single lane of a HiSeq sequencer using 50-nucleotide single-end reads, which provided 38–74X  
18 coverage (9.3–18.0 million reads) of each yeast genome. We then used standard mapping and  
19 variant-calling tools (BWA and FreeBayes, respectively) to identify variants that were absent  
20 from the parental genome, which successfully recovered the positive-control *cis* mutants.  
21 Remarkably, 17 out of the 20 strains that we sequenced contained a mutation in the same gene  
22 *YJL149W* (Figures 4C and S4C), and in each case we confirmed the mutation by Sanger  
23 sequencing (Figure S4D). Because no other ORF was found mutated in more than one of the  
24 20 mutant strains (Figure S4C), we focused our follow-up efforts on *YJL149W*.

25 *YJL149W* had previously been named *DAS1* for “Dst1-delta 6-Azauracil Sensitivity 1”  
26 when it was identified in a genetic screen unrelated to the UPR (Gómez-Herreros et al., 2012).

1 Based on the protein's domain structure (containing an F-box domain and leucine-rich repeats)  
2 and physical interactions with the SCF core components Cdc53p and Skp1p (Willems et al.,  
3 1999), *YJL149W* was annotated as a "putative SCF ubiquitin ligase F-box protein" (Cherry et al.,  
4 2012). F-box proteins act as adapters to target substrates for ubiquitination and subsequent  
5 degradation by the proteasome (Skaar et al., 2013). We therefore propose to rename this gene  
6 *DUH1* for "Degrader of Unspliced H*A*C1 gene product 1" to reflect its role in the degradation of  
7 Hac1<sup>up</sup>, as we demonstrate later.

8         The *DUH1* variants that we identified in our 17 strains comprised 16 different mutations,  
9 of which 8 were nonsense, 7 were missense, and 1 was a frameshift (Figure 4C, right). The  
10 nonsense mutations tended to cluster in the first half of the ORF, suggesting that they likely  
11 functioned as null alleles. In addition, three of the 17 strains containing mutations in *DUH1* did  
12 not contain any other mutations, implicating the *DUH1* mutations as causative for the  
13 phenotype. We therefore tested whether knocking-out *DUH1* (which is non-essential) in the  
14 original selection strain recapitulated the de-silencing phenotype. Disrupting *DUH1* led to a  
15 dramatic increase in the steady-state abundance of HA-His3p containing the 10-amino-acid tail,  
16 while having no effect on HA-His3p lacking the tail or constructs repressed by long-range base  
17 pairing (Figure 4D). Analogously, deleting *DUH1* in the GFP reporter strains completely  
18 eliminated the silencing effect of the Hac1<sup>up</sup> tail but had no effect on base-pairing-mediated  
19 silencing (Figures 4E and S4E). Notably, in the absence of *DUH1* the GFP reporter in a wild-  
20 type *HAC1* context was now expressed at the same leaky level as previously seen for the  
21 reporter in which a stop codon was positioned between *GFP* and the intron, indicating that  
22 degron-dependent silencing is required to suppress leaky GFP expression. Together, these  
23 results provide genetic evidence that *DUH1* is the adapter protein that recognizes the Hac1<sup>up</sup>  
24 tail and targets the covalently attached protein for degradation.

25

26 **Effects of *DUH1* on Hac1p abundance, synthesis, and turnover**

1 Having established that *DUH1* is required for degron-dependent silencing of two different  
2 reporter genes, we returned to *HAC1* itself. To detect Hac1p we introduced a 3xHA tag at the  
3 extreme N terminus of the endogenous *HAC1* allele (Figure 5A), which did not interfere with its  
4 post-transcriptional silencing in the absence of the UPR (Figures 5B and 5C). To determine  
5 which Hac1p isoforms are regulated by *DUH1*, we constructed a set of HA-tagged strains that  
6 constitutively produced either Hac1<sup>i</sup>p containing the 18-amino-acid exon 2–encoded tail  
7 (construct 3), Hac1<sup>u</sup>p containing the 10-amino-acid intron-encoded tail (construct 5), or  
8 Hac1<sup>Δtail</sup>p containing no tail at all (constructs 4 and 6). All of the mRNAs encoding HA-tagged  
9 Hac1p variants were expressed at similar levels as endogenous *HAC1* mRNA whether or not  
10 *DUH1* was intact (Figure 5B). Strikingly, at the protein level only the abundance of Hac1<sup>u</sup>p was  
11 affected by disruption of *DUH1*, increasing by ~5 fold in the knock-out strain (Figure 5C). The  
12 increased abundance of Hac1<sup>u</sup>p in the absence of *DUH1* was not due to increased translation,  
13 as evidenced by deletion of *DUH1* having no impact on ribosome density on any of the HA-  
14 tagged reporter mRNAs (Figure 5D). Instead, our results suggest that Duh1p specifically affects  
15 the turnover of Hac1<sup>u</sup>p due to its 10-amino-acid tail, as was suggested by the results of our  
16 reporter experiments.

17 Because we were able to detect Hac1<sup>u</sup>p by immunoblotting (using a high-sensitivity  
18 antibody) even when *DUH1* was intact (unlike the corresponding GFP reporter), we could use  
19 cycloheximide (CHX) shut-off experiments to directly assay the impact of *DUH1* on the turnover  
20 of Hac1<sup>u</sup>p. In both the presence and absence of *DUH1*, Hac1<sup>u</sup>p was degraded so rapidly that  
21 we could not accurately measure its half life even using a rapid harvesting procedure, due to the  
22 ~2 minutes required for CHX to accumulate in cells and halt translation (Gerashchenko and  
23 Gladyshev, 2014). However, the protein-degradation kinetics allowed us to calculate an upper  
24 bound for the half life of Hac1<sup>u</sup>p, which was 50 seconds when *DUH1* was present (Figure 5E).  
25 Deletion of *DUH1* stabilized Hac1<sup>u</sup>p and increased its half-life upper bound to 2 minutes. These  
26 results demonstrate that *DUH1* is required for the extremely short half life of Hac1<sup>u</sup>p that

1 normally limits its accumulation. The true half-life difference upon *DUH1* deletion is likely to be  
2 greater than the two-fold difference in upper bounds that we measured, based on the ~5-fold  
3 difference in steady-state protein levels that could not be accounted for by differences in either  
4 mRNA abundance or ribosome density (Figures 5B and 5D).

5

### 6 **Synergy between long-range base pairing and Duh1p-dependent degradation**

7 It was previously observed that disrupting the base-pairing interaction between the 5'-UTR and  
8 intron of *HAC1* mRNA was sufficient to allow accumulation of Hac1<sup>up</sup>, which led to a model in  
9 which base-pairing alone was responsible for the post-transcriptional silencing phenomenon  
10 (Rüegsegger et al., 2001). Our results using constructs in which the base-pairing region was  
11 deleted (Figure 5) suggested that the previously observed accumulation of Hac1<sup>up</sup> was  
12 unknowingly being buffered by Duh1p-dependent degradation. To directly address this  
13 possibility, we generated HA-tagged constructs in which the base-pairing region was disrupted  
14 by mutations in either the 5'-UTR or intron or was reconstituted by the compensatory mutations  
15 (Figure 6A) and determined the effect of *DUH1* deletion on steady-state protein levels. Because  
16 the 5' and 3' splice sites remained intact in these constructs, we introduced them into an *ire1Δ*  
17 background to eliminate any potential confounding effects of background splicing (Figure S1B).  
18 As previously observed, mutating the base-pairing region in the presence of *DUH1* resulted in  
19 detectable levels of Hac1<sup>up</sup> (Figure 6C). However, the accumulation of Hac1<sup>up</sup> was greatly  
20 stimulated by deletion of *DUH1*, which was not explained by a corresponding increase in mRNA  
21 abundance (Figures 6B and 6C). Thus, although disrupting the base pairing produces  
22 detectable amounts of Hac1<sup>up</sup> as previously reported (Rüegsegger et al., 2001), Duh1p-  
23 dependent degradation restricts the steady-state level of the protein.

24 Remarkably, even a single nucleotide change in the center of the base-pairing region  
25 (Sathe et al., 2015) was sufficient for some accumulation of Hac1<sup>up</sup>, which again was enhanced  
26 by deletion of *DUH1* (Figure 6D). This result suggests that the 5'-UTR–intron base-pairing

1 interaction is only marginally stable, which may be required for efficient dissociation of the intron  
2 after splicing (see Discussion).

3

#### 4 **Functional consequences of incomplete silencing**

5 Collectively, we have shown that a pair of silencing mechanisms, one translational and the other  
6 post-translational, prevents spurious production of Hac1<sup>up</sup> in the absence of the UPR. The  
7 existence of such a fail-safe silencing mechanism implies that ectopic production of Hac1<sup>up</sup> has  
8 physiological consequences that negatively impact cellular fitness. However, a previous study  
9 suggested that Hac1<sup>up</sup> is itself not an active transcription factor because it lacks the activating  
10 18-amino-acid tail found in Hac1<sup>ip</sup> (Mori et al., 2000), raising the question as to why Hac1<sup>up</sup>  
11 accumulation would need to be tightly regulated. We hypothesized that Hac1<sup>up</sup> was in fact an  
12 active transcription factor but that in the previous study its accumulation had been prevented  
13 due to the experiments being performed in a *DUH1* background. To test this hypothesis, we  
14 evaluated the ability of strains that constitutively produced either Hac1<sup>ip</sup>, Hac1<sup>up</sup>, or Hac1<sup>Δtail</sup> to  
15 grow under conditions of chronic ER stress induced by the drug tunicamycin. Strains expressing  
16 Hac1<sup>ip</sup> or Hac1<sup>Δtail</sup> grew on tunicamycin-containing medium regardless of whether *DUH1* (or  
17 *IRE1*) was present (Figure 7A), consistent with both proteins being active transcription factors  
18 that are not targeted by Duh1p. In contrast, strains expressing Hac1<sup>up</sup> only grew robustly on  
19 tunicamycin-containing medium when *DUH1* was knocked out (but independently of *IRE1*).  
20 These results confirm our hypothesis that Hac1<sup>up</sup> is indeed a functional UPR transcription factor  
21 but that Duh1p-dependent degradation masks its activity. Our findings also explain how *HAC1*  
22 was able to be initially identified as a high-copy activator of the UPR in an *Δire1* strain, since the  
23 UPR activity detected in this strain had to have resulted from Hac1<sup>up</sup> produced from unspliced  
24 *HAC1* mRNA (Chapman and Walter, 1997; Cox and Walter, 1996).

25 Because Hac1<sup>up</sup> has UPR-inducing activity (Figure 7A), we reasoned that the fail-safe  
26 mechanism we discovered is required to prevent leaky production of Hac1<sup>up</sup> that would

1 otherwise cause Ire1p-independent activation of the UPR. However, we initially failed to detect  
2 Hac1<sup>up</sup> produced from unspliced *HAC1* mRNA even when *DUH1* was disrupted (Figure 5C). On  
3 the other hand, our results from the analogous *GFP* and *HIS3* reporter gene studies  
4 demonstrated that translational repression mediated by 5'-UTR–intron base pairing was  
5 incomplete and allowed a low level of protein synthesis that was normally “cleaned up” by  
6 Duh1p-dependent degradation (Figures 4D and 4E). This led us to hypothesize that Hac1<sup>up</sup>  
7 itself was also being occasionally produced from *HAC1<sup>u</sup>* mRNA and rapidly degraded in a  
8 Duh1p-dependent manner, but that the short half life of Hac1<sup>up</sup> (Figure 5E)—relative to both  
9 GFP (Natarajan et al., 1998) and His3p (Belle et al., 2006)—further reduced the steady-state  
10 abundance of Hac1<sup>up</sup> to an extremely low level that was initially undetectable.

11 To enhance detection of HA-tagged Hac1<sup>up</sup>, we made two modifications: We used a  
12 more sensitive anti-HA antibody for immunoblotting; and we knocked out *IRE1* in our strains,  
13 which eliminates the background Ire1p-dependent splicing of *HAC1* mRNA (Figure S1B) and  
14 concomitant production of Hac1<sup>ip</sup> that can otherwise dominate the signal on immunoblots. With  
15 these modifications we could now detect Hac1<sup>up</sup> being produced from unspliced *HAC1* mRNA,  
16 but only when the protein was stabilized by deletion of *DUH1* (Figure 7B) and at levels far below  
17 even those of Hac1<sup>up</sup> being constitutively produced in the presence of *DUH1* (Figure S7).  
18 Despite the relatively low level of leaky translation product we detected, these results provide  
19 molecular evidence that Hac1<sup>up</sup> is being continuously produced from *HAC1<sup>u</sup>* mRNA but rapidly  
20 degraded due to its C-terminal degron.

21 Does the leaky production of Hac1<sup>up</sup> unmasked by deletion of *DUH1* have any functional  
22 consequences? The ability of constitutively produced Hac1<sup>up</sup> to promote survival under UPR-  
23 inducing conditions (Figure 7A) suggested that small amounts of Hac1<sup>up</sup> might also induce the  
24 UPR to some extent. To test this possibility, we examined how strains expressing HA-tagged  
25 but otherwise unmodified *HAC1* mRNA grew on media containing different concentrations of  
26 tunicamycin. At the highest tunicamycin concentration only strains expressing *IRE1* could grow

1 regardless of whether *DUH1* was also present (Figure 7C), suggesting that growth was  
2 dependent on the abundant Hac1<sup>i</sup>p produced from *HAC1<sup>i</sup>* mRNA. In contrast, at lower  
3 concentrations of tunicamycin the *IRE1* deletion strain showed some growth, but this was  
4 reproducibly enhanced by simultaneous deletion of *DUH1*. These results indicate that the low  
5 level of Hac1<sup>u</sup>p detectable in strains lacking *DUH1* (Figure 7B) is sufficient to activate the UPR  
6 enough to facilitate cell survival under stress, even in the absence of Ire1p. Thus, degra-  
7 dependent degradation of Hac1<sup>u</sup>p mediated by Duh1p is normally required to prevent ectopic  
8 Ire1p-independent activation of the UPR.

9

## 10 **DISCUSSION**

11 Altogether, we have shown that the ability of unspliced *HAC1* mRNA to be stored in the  
12 cytoplasm without giving rise to detectable protein depends on two layers of post-transcriptional  
13 silencing, which together comprise a fail-safe mechanism (Figure 8). The initial silencing  
14 mechanism that acts on *HAC1<sup>u</sup>* mRNA is a block to translation initiation, which is caused by  
15 secondary structure in the 5'-UTR that inhibits binding or progression of the scanning ribosome.  
16 However, this silencing mechanism does not entirely prevent translation, allowing the production  
17 of small amounts of Hac1<sup>u</sup>p. Because Hac1<sup>u</sup>p is an active transcription factor, even small  
18 amounts of this protein produced by leaky translation could activate the UPR and affect cellular  
19 physiology. To prevent this, a second silencing mechanism exists to rapidly degrade any  
20 Hac1<sup>u</sup>p that is produced by leaky translation. By relying on recognition of the unique C-terminal  
21 tail of Hac1<sup>u</sup>p by the F-box protein Duh1p, the protein-degradation mechanism selectively  
22 targets Hac1<sup>u</sup>p for ubiquitination and subsequent degradation by the proteasome. Because both  
23 translational repression and protein degradation rely on sequences found in the intron of *HAC1*,  
24 removal of the intron by Ire1p-dependent splicing simultaneously results in the inactivation of  
25 both silencing mechanisms. In this way, splicing allows the rapid accumulation of Hac1<sup>i</sup>p from  
26 the existing pool of *HAC1* mRNA.

1           One surprising finding of our studies is that the polysome-like sedimentation of *HAC1<sup>u</sup>*  
2 mRNA is not due to translation of the mRNA. Instead, our results using mRNAs containing  
3 premature stop codons and using a modified lysis buffer (Figure 1) indicate that translation  
4 initiation on *HAC1<sup>u</sup>* mRNA almost never occurs due to the 5'-UTR–intron base-pairing  
5 interaction. The lack of appreciable translation of *HAC1<sup>u</sup>* mRNA suggests that the substrate for  
6 Ire1p-dependent splicing is untranslated mRNA, rather than polysomal mRNA containing stalled  
7 ribosomes as originally proposed (Rüegsegger et al., 2001). A corollary of this is that the  
8 synthesis of Hac1<sup>i</sup>p requires *de novo* translation initiation on *HAC1<sup>i</sup>* mRNA, rather than just the  
9 resumption of translation by stalled ribosomes that initiated on *HAC1<sup>u</sup>* mRNA. The initial hint  
10 that *HAC1<sup>u</sup>* mRNA is translationally repressed at the initiation rather than elongation stage came  
11 from ribosome-profiling data, which revealed a lack of ribosome-protected fragments derived  
12 from *HAC1<sup>u</sup>* mRNA (Weinberg et al., 2016). At least in this case, our findings suggest that  
13 ribosome profiling can provide a more accurate measurement of translation than traditional  
14 polysome profiling.

15           What is the molecular basis for the polysome-like sedimentation of *HAC1<sup>u</sup>* mRNA? The  
16 disruption of the pseudo-polysomes by addition of heparin, which is more negatively charged  
17 than RNA itself, suggests that electrostatic interactions are responsible. Moreover, the  
18 discontinuous distribution of *HAC1<sup>u</sup>* mRNA that matches the peaks and valleys observed for  
19 polysomes (Rüegsegger et al., 2001) indicates that *HAC1<sup>u</sup>* mRNA is associated with integral  
20 numbers of actual 80S ribosomes. On the basis of these data, we propose that electrostatic  
21 interactions between *HAC1<sup>u</sup>* mRNA and either non-translating 80S ribosomes or, more likely,  
22 bona fide polysomes (containing both ribosomes and mRNA) are responsible for its polysome-  
23 like sedimentation. *HAC1<sup>u</sup>* mRNA may be especially prone to such non-specific interactions  
24 because of the strong initiation block, though other untranslated mRNA molecules (including the  
25 small fraction of ribosome-free mRNA molecules observed even for well-translated genes) may  
26 also behave similarly. In this regard, the analysis method (i.e., use of constructs containing

1 premature stop codons) and experimental tools (i.e., exogenous RNA controls combined with  
2 heparin-containing lysis buffer) that we utilized here to definitively establish the translation state  
3 of *HAC1<sup>u</sup>* mRNA will be generally useful in polysome-profiling studies in the future.

4         After the unexpected discovery that eliminating the 5'-UTR–intron base pairing alone did  
5 not result in detectable protein expression, we uncovered the effect of protein degradation  
6 caused by an intron-encoded C-terminal degron. The genetic selection that we performed to  
7 identify components of the protein-degradation pathway yielded just a single gene: *DUH1*. Our  
8 failure to isolate mutations in other components of the ubiquitin–proteasome machinery (i.e., the  
9 E2 ubiquitin-conjugating enzyme that presents the ubiquitin to the Duh1p-containing SCF  
10 complex for transfer onto Hac1<sup>u</sup>p; and subunits of the proteasome itself) may reflect the  
11 pleiotropic nature of such mutations, which would hinder growth despite the *HIS3* reporter gene  
12 being de-silenced; an extreme case is the essential gene encoding the sole E1 ubiquitin-  
13 activating enzyme in yeast, *UBA1*. In addition, our reliance on spontaneous mutagenesis made  
14 it unlikely that we would have isolated multiple mutations in redundant sets of genes, which may  
15 also explain our failure to isolate E2 mutants given their sometimes overlapping functions (Chen  
16 et al., 1993). Nonetheless, the fact that we isolated 16 different mutations in *DUH1* in 17 of our  
17 20 sequenced strains illustrates the power of our genetic selection system. A noteworthy feature  
18 of our selection was that by combining spontaneous mutagenesis with whole-genome  
19 sequencing, we were able to rapidly go directly from phenotypic mutants to genotypic mutations  
20 without any crosses and relying on only simple computational tools for analysis.

21         Compared to an alternative silencing mechanism involving only protein degradation with  
22 no translational repression, the fail-safe mechanism we discovered has the advantage of not  
23 wasting resources on the production of Hac1<sup>u</sup>p that will anyway be rapidly degraded. But why  
24 does budding yeast rely on a pair of silencing mechanisms to prevent accumulation of Hac1<sup>u</sup>p,  
25 rather than just completely blocking translation in the first place? An intriguing possibility is that  
26 the marginal stability of the 5'-UTR–intron base-pairing interaction (Figure 6D) is the cause of

1 leaky translation but is also required to make the translational repression reversible  
2 (Rüegsegger et al., 2001). If the base-pairing interaction were more stable and prevented  
3 translation altogether, splicing might not be sufficient for the intron to dissociate from the 5'-UTR  
4 despite their being covalently unlinked. Another possibility is that the act of translating the  
5 mRNA has a function. For example, a pioneer round of translation on *HAC1<sup>u</sup>* mRNA might be  
6 required to form the 5'-UTR–intron interaction that inhibits subsequent rounds of translation.  
7 Such a requirement might be due to the ribosome physically bringing the distant sequences  
8 together through a tethering mechanism, or due to the ribosome unwinding alternative  
9 competing structures that initially form with the ORF. A third possibility is that the small amount  
10 of Hac1<sup>u</sup>p being constantly produced and degraded has a function. In particular, the ability to  
11 regulate accumulation of Hac1<sup>u</sup>p through Duh1p without relying on Ire1p activation would  
12 provide a means of producing Hac1<sup>u</sup>p in the absence of ER protein-folding stress. A  
13 constitutively produced yet transient pool of Hac1<sup>u</sup>p might also contribute to the switch-like  
14 behavior of the UPR, based on the predicted ability of Hac1<sup>u</sup>p to heterodimerize with Hac1<sup>i</sup>p and  
15 thereby facilitate proteasomal degradation of any Hac1<sup>i</sup>p produced from background splicing  
16 (Figure S1).

17         Alternatively, the leaky translation of *HAC1<sup>u</sup>* mRNA that we discovered may reflect a  
18 more fundamental property of translational repression: that it is inherently incomplete. The two  
19 predominant mechanisms known to inhibit cap-dependent translation are upstream ORFs  
20 (uORFs) and 5'-UTR secondary structure (Gebauer and Hentze, 2004). Inhibition by uORFs has  
21 been shown to be only partial, due to both leaky scanning enabling bypass of the uORF and  
22 reinitiation facilitating translation of the downstream ORF (Meijer and Thomas, 2002). Our  
23 findings suggest that inhibition by 5'-UTR secondary structure may also be incomplete. This  
24 may be due to the action of RNA helicases that are constantly unwinding RNA structures *in vivo*  
25 (Rouskin et al., 2014); or due to a pioneer round of translation acting on mRNA molecules that  
26 have not yet formed the base-pairing interaction, either because translation itself is required to

1 form the interaction or—specifically in the case of *HAC1<sup>u</sup>* mRNA—if translation initiates on  
2 mRNAs during nuclear export before the intron has even reached the cytoplasm (Rüegsegger et  
3 al., 2001). Future work may shed light on both the causes and consequences of the incomplete  
4 translational repression of unspliced *HAC1* mRNA.

5

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15

## 16 **AUTHOR CONTRIBUTIONS**

17 R.D.S. and D.E.W. conceived the project, designed experiments, analyzed and interpreted data,  
18 and wrote the manuscript. Polysome analyses and splicing RT-PCR were performed by S.A. All  
19 other experiments were performed by R.D.S.

20

## 21 **COMPETING INTERESTS**

22 The authors declare that they have no competing interests.

23

## 24 **ACCESSION NUMBERS**

25 Genome-sequencing data from the genetic selection will be deposited in the Gene Expression  
26 Omnibus.

1

## 2 **MATERIALS AND METHODS**

### 3 **Yeast growth**

4 *Saccharomyces cerevisiae* strains used in this study were derived from BY4741 (*MATa his3Δ1*  
5 *leu2Δ0 met15Δ0 ura3Δ0*) and are listed in Table S1. Strains were cultured at 30°C with shaking  
6 in rich yeast-peptone-dextrose (YPD) medium, synthetic complete (SC) medium, or the  
7 appropriate drop out medium (e.g., SC–His) as indicated. Cultures were grown to mid-log phase  
8 ( $OD_{600} \sim 0.5$ ) before harvesting by either vacuum filtration (polysome analysis), methanol  
9 quenching (protein half-life), or brief centrifugation at 4°C (all other experiments) and then snap  
10 frozen in liquid nitrogen. For growth tests under UPR stress (Figure 7), 10-fold serial dilutions of  
11 cells were spotted onto YPD plates containing either the indicated concentration of tunicamycin  
12 (Sigma) dissolved in DMSO (+Tm) or DMSO alone (–Tm).

13

### 14 **Yeast strain construction**

15 To facilitate generation of *HAC1* variant and reporter strains, the entire transcribed region of the  
16 *HAC1* gene—as well as additional flanking sequence—was amplified from genomic DNA  
17 (extracted from BY4741) and cloned into the pCR4-TOPO vector backbone (Invitrogen) using  
18 Gibson Assembly Master Mix (New England Biolabs) according to the Gibson assembly method  
19 (Gibson et al., 2009). *GFP* and *HIS3* reporter constructs were generated from this plasmid by  
20 replacing the *HAC1* ORF with the reporter gene using the Gibson assembly method. Variants of  
21 these constructs were generated using inverse PCR with 5'-phosphorylated primers (designed  
22 to introduce point mutations or deletions) and Phusion High-Fidelity DNA Polymerase (New  
23 England Biolabs) followed by ligation with Quick Ligase (New England Biolabs); or using the  
24 Gibson assembly method with either PCR products or synthetic gBlocks (Integrated DNA  
25 Technologies). All plasmid inserts were verified by Sanger sequencing before PCR amplification  
26 with Phusion DNA polymerase for integration into the yeast genome.

1 Yeast transformations were performed using the PEG–lithium acetate method (Gietz and  
2 Woods, 2002). *HAC1* was deleted from the genome using the *URA3* cassette of pRS416 to  
3 replace the entire transcribed region of *HAC1* (from 366 nucleotides upstream of the start  
4 codon, to 750 nucleotides downstream of the stop codon in exon 2). *IRE1* and/or *DUH1* were  
5 deleted using the *HIS3MX* or *URA3* cassettes of pFA6a-3HA-HIS3MX6 or pRS416,  
6 respectively, as indicated in Table S1. *HAC1* variant and reporter strains were generated from  
7 *hac1Δ::URA3* strains using 5-FOA counterselection. Transformants were screened by PCR to  
8 identify integrants, which were subsequently verified by PCR and Sanger sequencing of the  
9 entire integrated cassette.

10

### 11 **Sucrose gradient analysis**

12 Yeast strains were grown to mid-log phase ( $OD_{600} \sim 0.5$ ) in YPD and harvested by vacuum  
13 filtration as previously described (Weinberg et al., 2016). The frozen cell pellet was transferred  
14 into a pre-chilled mortar that was surrounded by and filled with liquid nitrogen. The pellet was  
15 ground to a fine powder by hand using a pre-chilled pestle, transferred into a 50-ml conical tube  
16 filled with liquid nitrogen, and after boiling off the liquid stored at  $-80^{\circ}\text{C}$ . Crude lysate was  
17 prepared by briefly thawing the cell powder on ice for 1 min and then resuspending in 1 ml  
18 polysome lysis buffer (20 mM HEPES-KOH [pH 7.4], 5 mM  $\text{MgCl}_2$ , 100 mM KCl, 1% Triton X-  
19 100, 2 mM DTT, 100  $\mu\text{g}/\text{mL}$  cycloheximide, 20 U/mL Superase-IN, 1X cComplete EDTA-free  
20 Protease Inhibitor Cocktail [Roche]). Where indicated, the lysis buffer also contained 200  $\mu\text{g}/\text{mL}$   
21 heparin (Sigma). The lysate was centrifuged at  $1300 \times g$  for 10 min, and the supernatant was  
22 transferred to a new tube taking care to avoid the thick top lipid layer. This step was repeated,  
23 and the resulting clarified lysate was diluted to a concentration of 25  $OD_{260}$  units/ml, flash frozen  
24 in liquid nitrogen in single-use aliquots, and stored at  $-80^{\circ}\text{C}$ .

25 A 10–50% continuous sucrose gradient was prepared in polysome gradient buffer (20  
26 mM HEPES-KOH [pH 7.4], 5 mM  $\text{MgCl}_2$ , 100 mM KCl, 2 mM DTT, 100  $\mu\text{g}/\text{mL}$  cycloheximide, 20

1 U/mL Superase-IN) and allowed to cool to 4°C while lysate was thawed gently on ice. Where  
2 indicated, 100 ng luciferase RNA (Promega) was then added to 100 µl of thawed cell lysate.  
3 100 µl of cell lysate (at 25 OD<sub>260</sub> units/ml) was loaded on top of the gradient, and gradients were  
4 spun in an SW41-Ti rotor at 36000 rpm for 2.5 hr at 4°C. Gradients were fractionated into 15  
5 fractions using a Biocomp Piston Gradient Fractionator, and fractions were flash frozen and  
6 stored at -80°C.

7 RT-qPCR was performed directly on gradient fractions using the TaqMan Gene  
8 Expression Cells-to-CT Kit (Life Technologies). Briefly, 10 µl Lysis Buffer containing DNase was  
9 combined with 0.1 µl synthetic XenoRNA control (provided with the TaqMan Cells-to-CT Control  
10 Kit) and 1 µl of the fraction. Reactions were incubated at room temperature for 5 min, and then  
11 quenched on ice by addition of 1 µl STOP Solution followed by incubation at room temperature  
12 for an additional 2 min. 4.5 µl of this reaction was added to 5.5 µl RT Master Mix, and reverse  
13 transcription was carried out at 37°C for 1 hr followed by a 5 min incubation at 95°C before  
14 cooling to 4°C. RT reactions were diluted 6-fold in nuclease-free water prior to qPCR.

15 qPCR was carried out in duplicate for each fraction using the TaqMan Gene Expression  
16 Master Mix (Life Technologies) according to the manufacturer's instructions, using 10 µl  
17 reactions containing 4.5 µl of 6-fold diluted RT reaction per qPCR reaction. XenoRNA was  
18 analyzed using the primer-probe assay provided with the TaqMan Cells-to-CT Control Kit. *ACT1*  
19 and luciferase RNAs were analyzed using predesigned TaqMan Gene Expression Assays from  
20 Life Technologies (Sc04120488\_s1 and Mr03987587\_mr, respectively). *HAC1* and *GFP* RNAs  
21 were analyzed using the following primer-probe qPCR assays from Integrated DNA  
22 Technologies (containing 6-FAM/ZEN/IBFQ quenchers with a primer-to-probe ratio of 1-to-2):

Gene	Primer 1	Primer 2	Probe
<i>HAC1</i>	TCAAGAGCTATGTTTCAGTGTCCG	GGTTTCTACTGTTCTGTCTCCG	56-FAM/CGCGCCCTC/ZEN/CTACAATTATTTGTGG/3IABkFQ
<i>GFP</i>	GTTTGCCATAAGTTGCGTCC	TGGTAGAATTGGATGGCGAC	56-FAM/CTGTGAGTG/ZEN/GTGAGGGTGAAGGG/3IABkFQ

23  
24 Relative mRNA abundances in each fraction (i.e., Cq values) were first normalized to  
25 XenoRNA to account for differences in qRT-PCR efficiency among fractions, and then

1 calculated as a percentage of total mRNA detected across the gradient. All strains were  
2 analyzed in duplicate, beginning with separate cultures of the same strain. *ACT1* mRNA was  
3 analyzed in every gradient and confirmed to co-sediment with polysomes in all cases.

4

#### 5 **Flow cytometry analysis**

6 Yeast strains were grown to mid-log phase ( $OD_{600} \sim 0.5$ ) in SC medium, then diluted 10 fold in  
7 fresh medium before quantifying GFP fluorescence using a LSR II flow cytometer (Becton  
8 Dickinson) and 530/30 filter. Raw data from 10000 cells per sample was analyzed using FlowJo  
9 and gated to remove debris before being exported to Excel. Fluorescence in each cell was  
10 normalized to cell size using the side scatter measurement before calculating the median and  
11 quartiles of the population. All strains were analyzed at least twice, beginning with separate  
12 cultures of the same strain. The equivalent of 5  $OD_{600}$  units was harvested for both protein and  
13 RNA analyses from the same cultures used for flow cytometry.

14

#### 15 **Protein analysis**

16 Protein samples were prepared using the NaOH/TCA method (Riezman et al., 1983), separated  
17 by SDS-PAGE using 12% or 4–12% Bolt Bis-Tris gels (Life Technologies), and transferred in 1X  
18 CAPS Buffer onto 0.22 micron PVDF membrane (Bio-Rad). Blots were probed with the following  
19 antibodies diluted in 1 x TBS-T containing 5% nonfat dry milk: mouse anti-GFP (Roche  
20 #11814460001, 1:1000), mouse anti-HA (Santa Cruz sc-7392, 1:3000), rat anti-HA high  
21 sensitivity (Roche #11867423001, 1:5000), mouse anti-beta actin (AbCam ab8224, 1:10000),  
22 HRP-conjugated goat anti-mouse IgG (Santa Cruz sc-2005, 1:10000), and HRP-conjugated  
23 goat anti-rat IgG (Santa Cruz sc-2032, 1:10000). Blots were developed using Clarity ECL  
24 Western Blotting Substrate (Bio-Rad), and chemiluminescence was detected on a ChemiDoc  
25 Imaging System (Bio-Rad).

26

1 **RNA analysis**

2 Total RNA was isolated using the hot acid phenol method (Ares, 2012) and resuspended in  
3 nuclease-free water. For each sample, 2.5 µg of RNA was treated with RQ1 DNase (Promega)  
4 according to the manufacturer's instructions. cDNA synthesis and qPCR were performed using  
5 the Cells-to-CT kit (Life Technologies) as described above.

6 For RT-PCR analysis of *HAC1* splicing, cDNA generated from input samples (as  
7 described in "Sucrose gradient analysis") was used as a template for PCR amplification using  
8 the following intron-flanking primers: forward, ACGACGCTTTTGTTGCTTCT; reverse,  
9 TCTTCGGTTGAAGTAGCACAC. PCR products were analyzed by agarose gel electrophoresis.

10

11 **Protein half-life determination**

12 Yeast strains YRDS221 and YRDS241 (Table S1) were grown to mid-log phase ( $OD_{600} \sim 0.5$ ) in  
13 SC medium. Cycloheximide (Sigma) was added to a final concentration of 100 µg/ml and  
14 samples collected at the indicated time points. To rapidly harvest samples, 5 ml of culture was  
15 directly added to a prechilled 50-ml tube (in a dry ice–ethanol bath) containing 25 ml Quench  
16 Solution (60% methanol, 10 mM HEPES-KOH pH 7.4) and mixed well. Cells were collected by  
17 centrifugation at 4°C, snap frozen, and processed for immunoblotting as above. Quantification  
18 of immunoblot signal was performed by densitometry with ImageJ. An upper bound on protein  
19 half-life was calculated from 3 independent experiments as the time point at which ~50% of the  
20 protein remained.

21

22 **Genetic selection and whole-genome sequencing**

23 Yeast strain YRDS57 (Table S1) was grown overnight in liquid YPD, plated on SC–His solid  
24 medium, and allowed to grow for 5–7 days at 30°C. Spontaneous mutant colonies were isolated  
25 from five independent platings and verified for growth on SC–His by restreaking and for  
26 expression of the HA-His3p by immunoblotting. Clones were then examined for *cis* mutations by

1 Sanger sequencing the 3' end of the *HIS3* reporter gene. Of the remaining clones that had a  
2 'trans' mutation, 20 were chosen for analysis by genomic DNA sequencing along with 4 control  
3 strains (the parent strain YRDS57 and 3 strains with *cis* mutations).

4 Genomic DNA was isolated from individual saturated overnight cultures using the  
5 MasterPure Yeast DNA Purification Kit (Epicentre) according to the manufacturer's instructions.  
6 Purified genomic DNA was treated with RNase A/T1 Cocktail (Ambion), phenol extracted,  
7 precipitated with ethanol, and quantified with the Qubit dsDNA HS Assay Kit (Invitrogen). For  
8 each strain, 1 ng of genomic DNA was used as input into the Nextera XT DNA Library  
9 Preparation Kit (Illumina) and genomic DNA libraries were prepared according to the  
10 manufacturer's instructions using the Nextera XT Index Kit for barcoding during PCR. Genomic  
11 DNA libraries from each strain were quantified with the Qubit dsDNA HS Assay Kit (Invitrogen),  
12 and pooled into a single tube in equal proportions. Pooled DNA was purified using the DNA  
13 Clean & Concentrator-5 Kit (Zymo Research), and 200–350-bp fragments were isolated using a  
14 BluePippin Gel Cassette (2% agarose dye-free with internal standards). The size-selected  
15 library was sequenced on a single lane of a HiSeq sequencer using 50-nucleotide single-end  
16 reads.

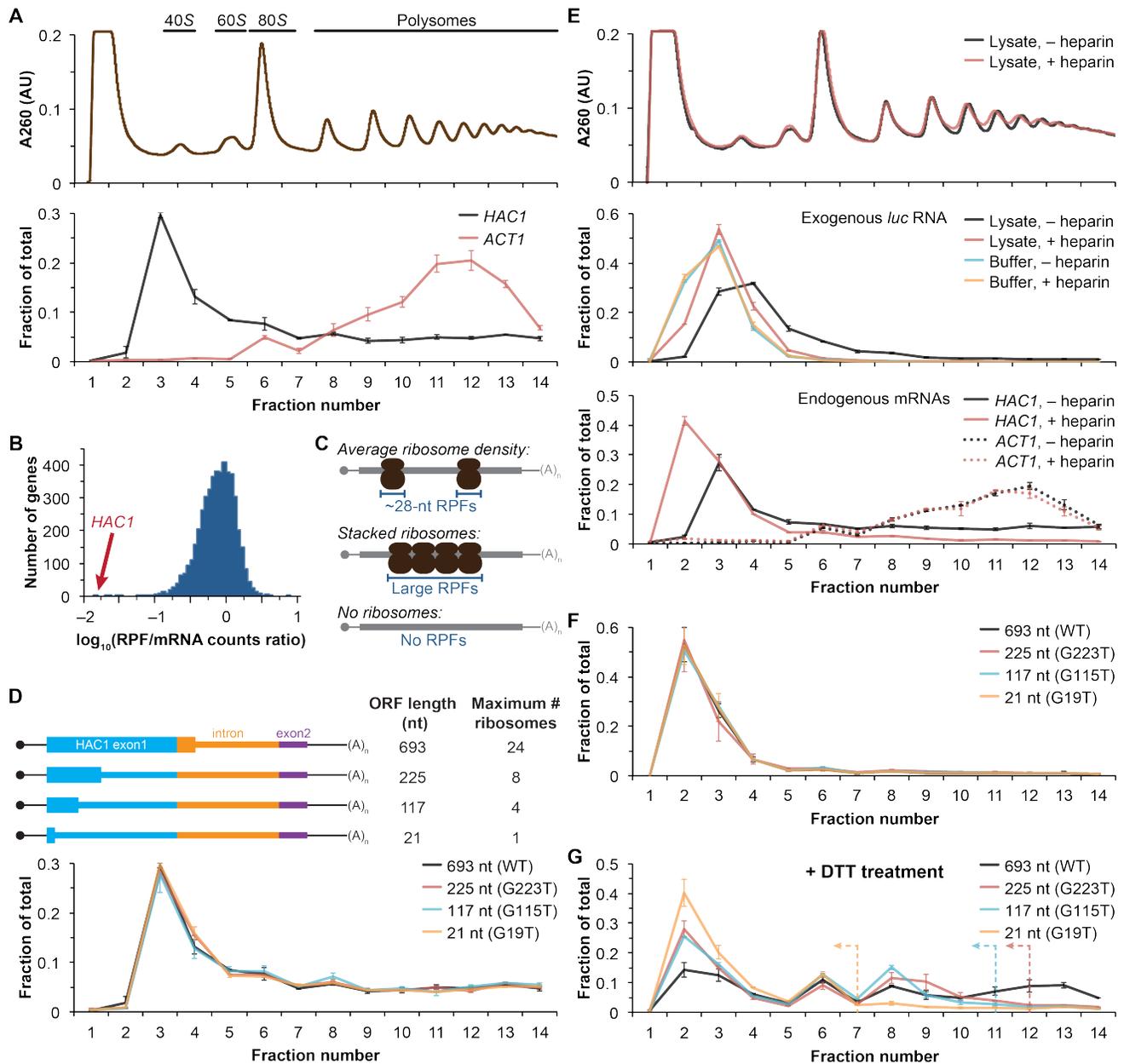
17 Data analysis was performed using the Galaxy web server (Afgan et al., 2016). Reads in  
18 FASTQ format were aligned to the *sacCer3* reference genome using BWA (version 0.1) with  
19 default "Commonly Used" settings. Sequence differences between the reference genome and  
20 aligned reads were identified using FreeBayes (version 0.4) to generate a variant call format  
21 (VCF) file for each strain. The VCF-VCFintersect tool (with options "-i --invert") was then used to  
22 compare VCF files for mutants against the VCF file for the parental selection strain, in order to  
23 identify variants that were unique to the mutants. Finally, variants were manually annotated  
24 using the UCSC Genome Browser. For proof-of-principle analysis of *cis* mutants, the same  
25 mapping and variant-calling procedures were used with a custom reference genome containing  
26 only the reporter gene.

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**Figure 1. Ribosome density on unspliced *HAC1* mRNA.**

(A) Polysome analysis of *HAC1* and *ACT1* mRNAs. Extracts prepared from exponentially growing yeast cells were fractionated on 10–50% sucrose gradients, with absorbance at 260 nm monitored (top). The relative distributions of *HAC1* and *ACT1* mRNAs across fractions were determined by qRT-PCR (bottom). Shown are the mean  $\pm$  SEM with  $n=2$  (i.e., the range), expressed as a fraction of the total mRNA detected.

(B) Histogram of ribosome densities measured by ribosome profiling and RNA-seq. The ratio of the number of ribosome-protected fragments (RPFs) to the number of RNA-seq reads (mRNA counts) was calculated for each of 4838 expressed yeast genes (data from Weinberg et al., 2016). Shown is the distribution of log-transformed ratios in bins of 0.05, with the position of *HAC1* indicated.

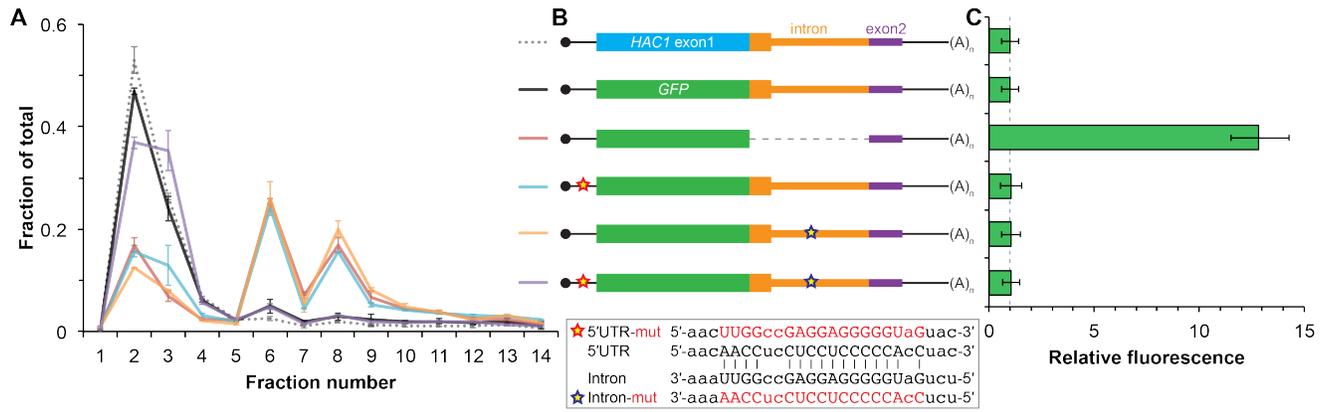
(C) Possible scenarios to explain a lack of RPFs. While an mRNA with average ribosome density will generate many ~28-nucleotide (nt) RPFs (top), the close packing of stacked ribosomes could inhibit the RNase digestion between ribosomes required to generate ~28-nt RPFs (middle). Alternatively, an mRNA that does not contain translating ribosomes would not generate RPFs (bottom).

(D) Polysome analysis of *HAC1* mRNA variants with shortened ORFs. G-to-T point mutations were introduced into the first exon of *HAC1* to generate premature stop codons, with the resulting ORFs shown as thick colored boxes (5'- and 3'-UTRs are shown as thin black lines, while other untranslated regions are depicted as thin colored boxes). The maximum number of ribosomes that could be accommodated was calculated based on each ribosome occupying 28 nt. Polysome analysis was performed as in (A), with data for wild-type *HAC1* from (A) duplicated for comparison.

(E) Effects of heparin on polysome analysis. Purified uncapped *luciferase* (*luc*) RNA was added to either lysate or lysis buffer in the absence (-) or presence (+) of 0.2 mg/ml heparin. Polysome analysis was performed as in (A) with absorbance at 260 nm monitored (top), and the relative distributions of exogenous *luc* RNA (middle) and endogenous *HAC1* and *ACT1* mRNAs (bottom; in lysate only) were determined.

(F) Refined polysome analysis of *HAC1* mRNAs. Extracts were prepared in heparin-containing lysis buffer from strains shown in (D). Polysome analysis was performed as in (A).

(G) Polysome analysis of *HAC1* mRNAs during the UPR. Strains shown in (D) were grown to mid-log phase and treated with 8 mM DTT for 20 min before harvesting. Extracts were prepared in heparin-containing lysis buffer, and polysome analysis was performed as in (A). Dotted lines indicate the fractions after which the corresponding color-coded mutant mRNAs would not be expected to sediment based on ORF length.

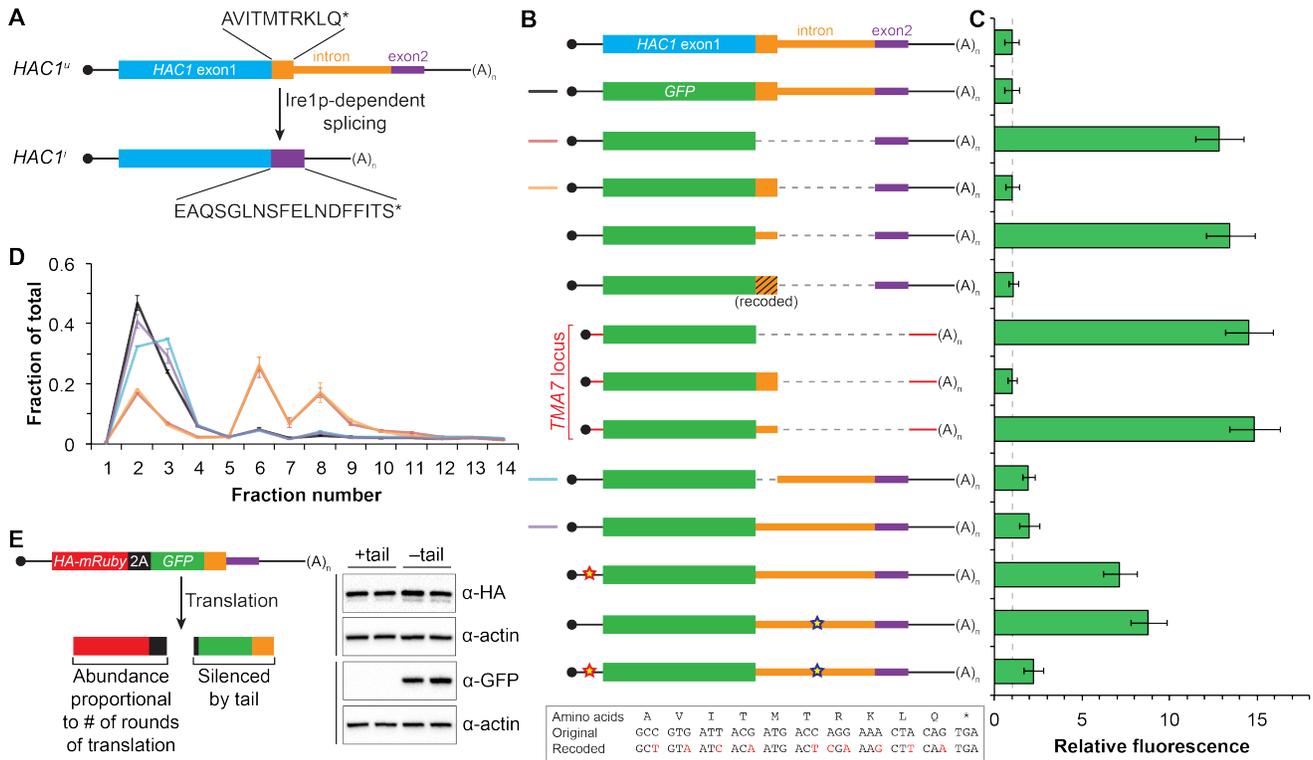


**Figure 2. Contribution of long-range base pairing to intron-dependent silencing.**

(A) Polysome analysis of reporter mRNAs. Extracts were prepared in heparin-containing lysis buffer from strains expressing the *GFP* reporter mRNAs depicted in (B). Polysome analysis was performed as in Figure 1A, with data for wild-type *HAC1* from Figure 1F duplicated for comparison.

(B) Design of reporter mRNAs. Constructs are depicted as in Figure 1D, with the dotted line indicating a deleted region. Colored stars indicate mutations to the base-pairing region, with specific nucleotide changes shown below in red.

(C) Flow cytometry analysis of reporter strains. Strains expressing the *GFP* reporter mRNAs depicted in (B) were grown to mid-log phase and analyzed by flow cytometry. Plotted is the median GFP intensity (normalized to cell size) of the cell population relative to background fluorescence in the wild-type (no GFP) strain with error bars indicating quartiles of the cell population, all averaged across replicates ( $n=2-7$ ).



**Figure 3. Post-translational silencing mediated by the intron-encoded C-terminal tail.**

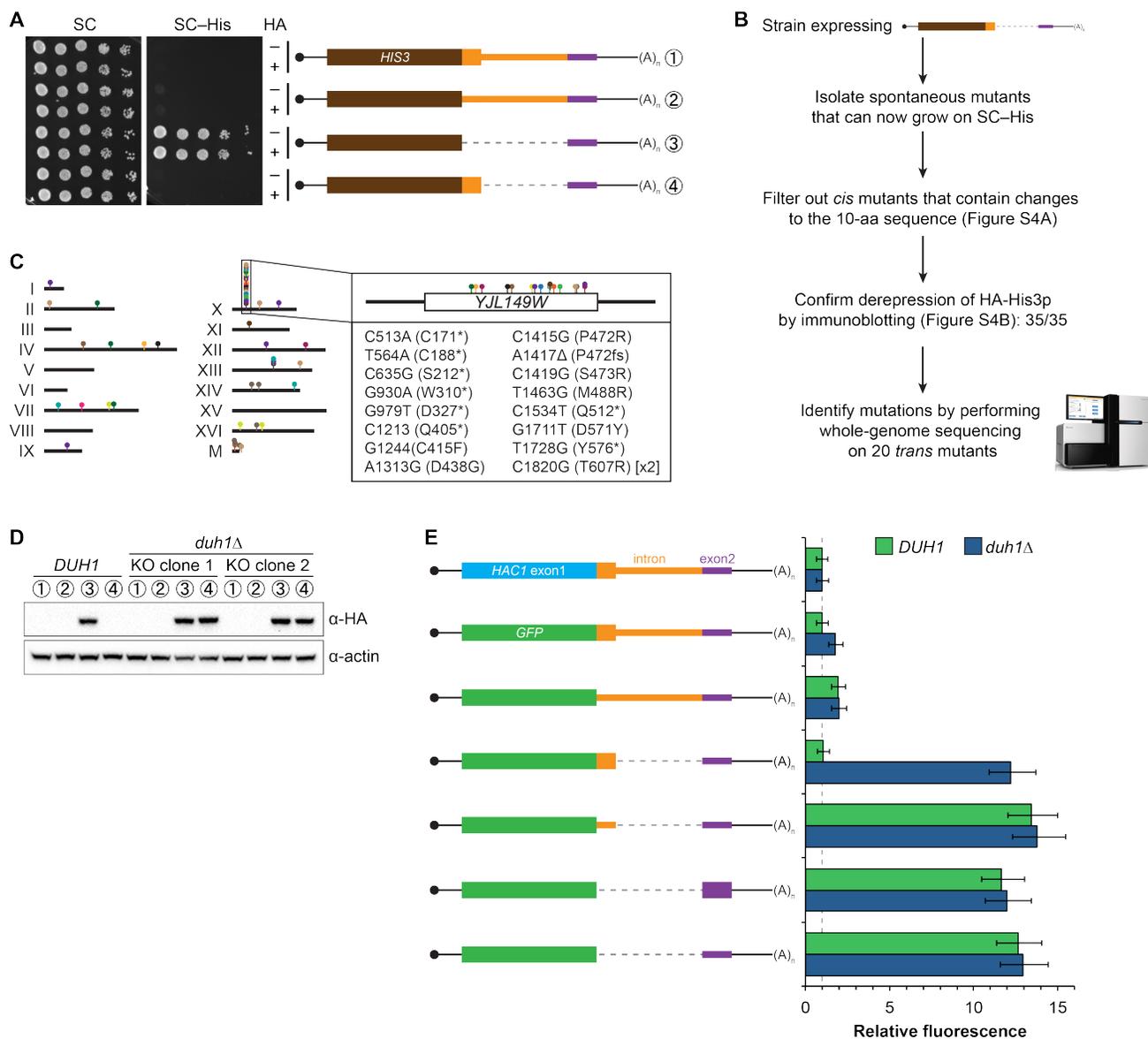
(A) Schematic of *HAC1* mRNA splicing. The proteins encoded by *HAC1<sup>u</sup>* and *HAC1<sup>l</sup>* mRNAs differ in their C-terminal tails, with the amino acid sequences indicated.

(B) Design of reporter mRNAs. Black shading indicates recoding, with the original and recoded sequences depicted below (mutations in red). Untranslated regions colored red correspond to those of the *TMA7* mRNA, with the reporter gene integrated at the *TMA7* rather than *HAC1* locus. Otherwise constructs are depicted as in Figure 2B.

(C) Flow cytometry analysis of reporter strains. Strains expressing the *GFP* reporter mRNAs depicted in (B) were analyzed as in Figure 2C, with data for the first three strains duplicated from Figure 2B for comparison.

(D) Polysome analysis of reporter mRNAs. Extracts were prepared in heparin-containing lysis buffer from strains expressing the *GFP* reporter mRNAs indicated in (B). Polysome analysis was performed as in Figure 1A, with data for the wild-type and intronless *GFP* reporters from Figure 2A duplicated for comparison.

(E) Differentiating between co-translational and post-translational silencing mechanisms. Left: Schematic of reporter construct that generates two separate polypeptides from each round of translation. Right: Extracts were prepared from strains expressing reporter mRNAs that either encoded the 10-amino-acid C-terminal tail of *Hac1<sup>u</sup>* (+tail) or contained a stop codon just before the tail (-tail). Immunoblotting was used to detect HA-tagged mRuby (top) and GFP (bottom), with actin as a loading control. Two biological replicates are shown for each genotype.



**Figure 4. Identification of *DUH1* through a genetic selection.**

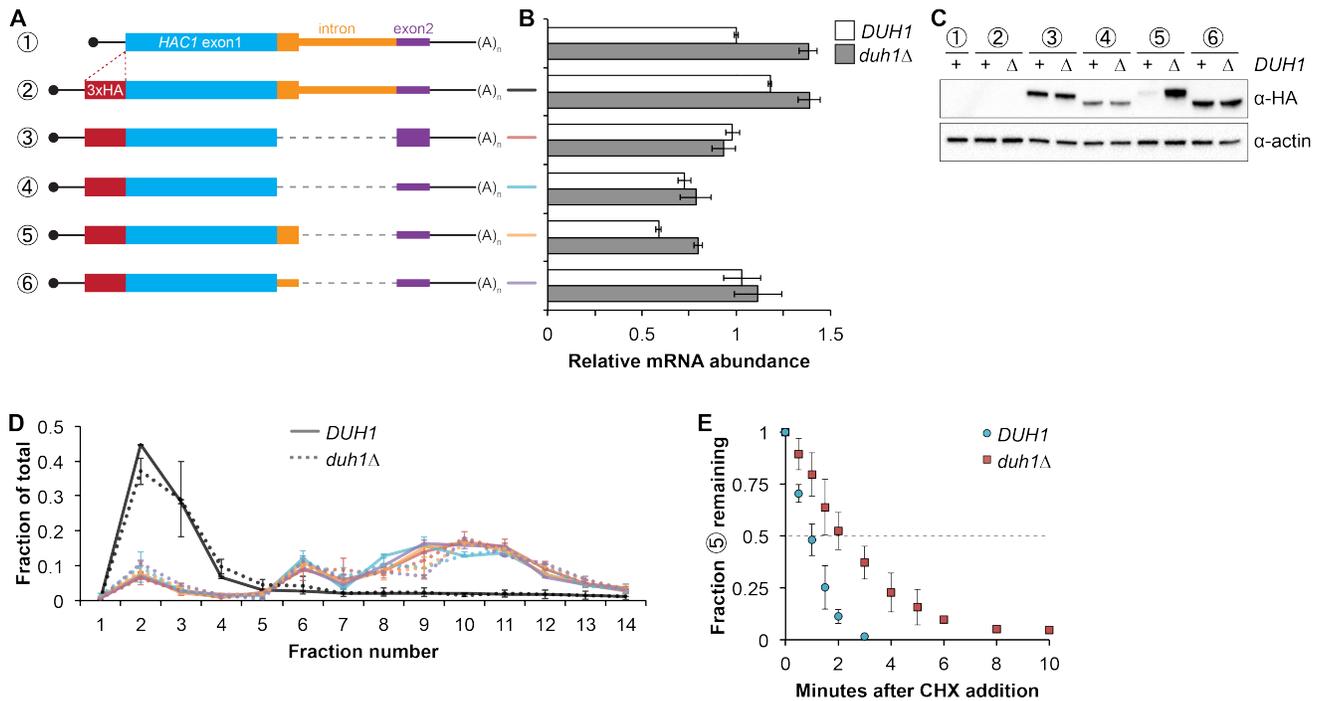
(A) Evaluating a genetic reporter for intron-dependent silencing. Strains expressing the indicated *HAC1*-based *HIS3* reporter mRNAs (depicted as in Figure 2B) either without (–) or with (+) an N-terminal HA tag were grown to saturation, and 10-fold dilution series were plated on either SC or SC–His media.

(B) Flowchart of genetic selection for *dds* mutants. After selecting for spontaneous mutants that could grow on medium lacking histidine, restreaked clones were filtered out for *cis* mutants, verified to be expressing HA–His3p, and a subset analyzed by whole-genome sequencing.

(C) Chromosome map of mutations identified by whole-genome sequencing. Each color corresponds to a different *dds* mutant strain. Shown on the right is the *DUH1* locus (*YLR149W*), with locations of nucleotide changes (with respect to *DUH1* start codon) and corresponding amino changes listed below.

(D) Effect of *DUH1* disruption on expression of the genetic reporter. Strains expressing the indicated reporter mRNAs depicted in (A) in either a *DUH1* or *duh1Δ* (two independent clones) background were grown to mid-log phase. Extracts were prepared and immunoblotted for HA–His3p and actin loading control.

(E) Flow cytometry analysis of reporter strains. Strains expressing the indicated *GFP* reporter mRNAs in either a *DUH1* or *duh1Δ* background were analyzed as in Figure 2C. Data is plotted as in Figure 2C ( $n=2$  for all strains).



**Figure 5. Effects of *DUH1* on expression and stability of Hac1p.**

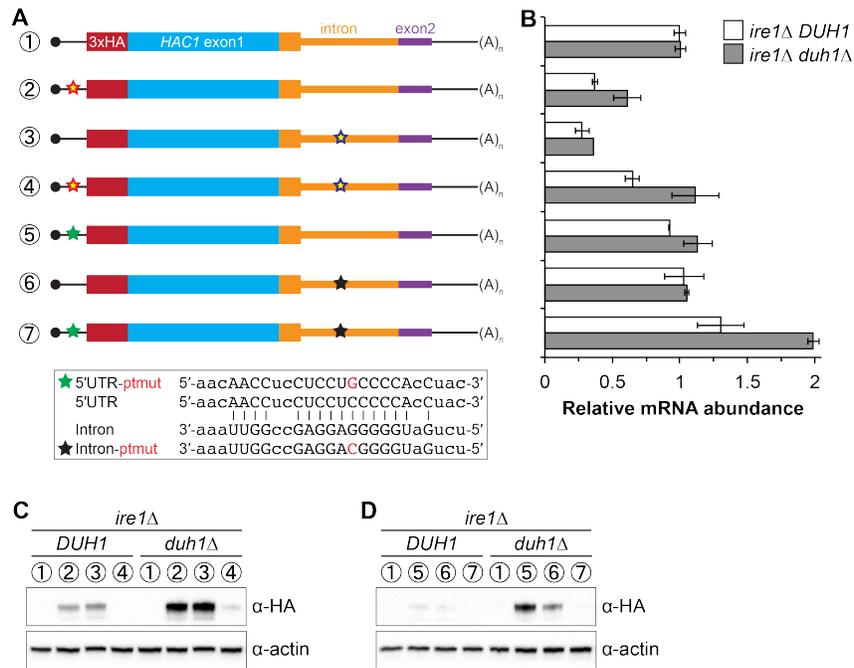
(A) Design of 3xHA-tagged *HAC1* mRNA variants. Constructs are depicted as in Figure 2B, with the location of the N-terminal 3xHA tag indicated.

(B) RNA abundance measurements for *HAC1* mRNA variants. Total RNA was extracted from strains expressing the indicated mRNAs in either a *DUH1* or *duh1Δ* background. qRT-PCR was used to measure the abundances of *HAC1* variants relative to *ACT1* mRNA, with all data normalized to the abundance of construct 1 in strain BY4741. Shown are the mean  $\pm$  SD ( $n=2$ ).

(C) Effect of *DUH1* disruption on protein abundances. Strains expressing the indicated mRNAs depicted in (A) in either a *DUH1* or *duh1Δ* background were grown to mid-log phase. Extracts were prepared and immunoblotted for 3xHA-Hac1p and actin loading control.

(D) Polysome analysis of 3xHA-tagged *HAC1* mRNA variants. Extracts were prepared in heparin-containing lysis buffer from strains expressing the mRNAs indicated in (A) in either a *DUH1* or *duh1Δ* background. Polysome analysis was performed as in Figure 1A.

(E) Analysis of protein degradation kinetics. Strains expressing construct 5 (depicted in A) in either a *DUH1* or *duh1Δ* background were grown to mid-log phase before being treated with cycloheximide (CHX) to halt translation. At the indicated time points, aliquots of cells were quenched in dry-ice-cold methanol and harvested by centrifugation. Protein extraction and immunoblotting were performed as in (C), except that a high-sensitivity antibody was used to detect 3xHA-Hac1<sup>up</sup>. Shown are the mean  $\pm$  SD ( $n=3$ ), expressed as a fraction of protein detected at  $t=0$ .

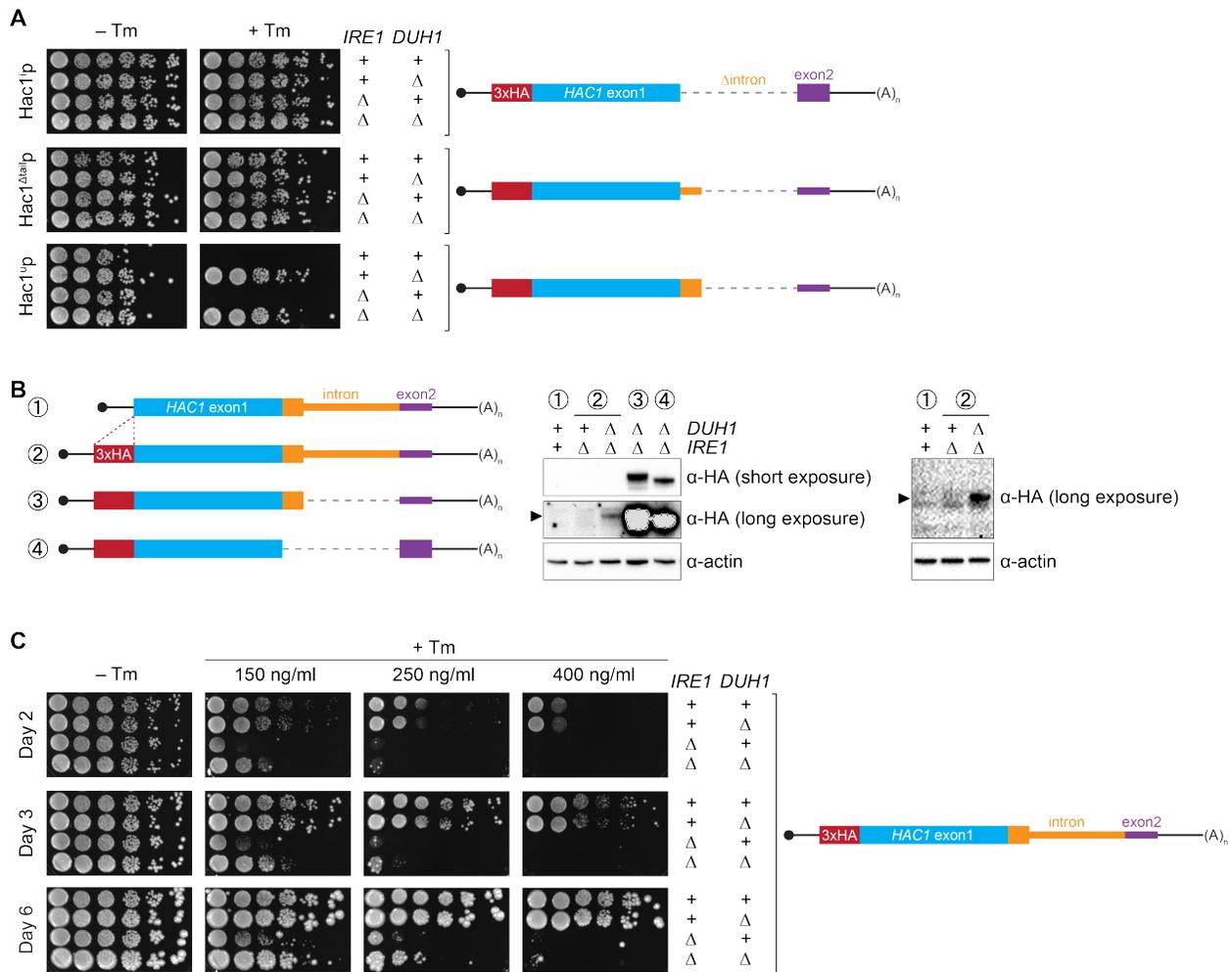


**Figure 6. Relationship between base pairing- and degron-dependent repression.**

(A) Design of 3xHA-tagged *HAC1* mRNA variants. Constructs are depicted as in Figure 2B. Colored stars indicate mutations to the base-pairing region, with specific nucleotide changes shown in Figure 2B (red and blue stars) or below (green and black stars) in red.

(B) RNA abundance measurements for *HAC1* mRNA variants in the indicated strain backgrounds, analyzed as in Figure 5B.

(C–D) Effect of *DUH1* disruption on protein abundances. *ire1Δ* strains expressing the indicated mRNAs depicted in (A) in either a *DUH1* or *duh1Δ* background were analyzed as in Figure 5C, except that a high-sensitivity antibody was used to detect 3xHA-Hac1<sup>u</sup>p.

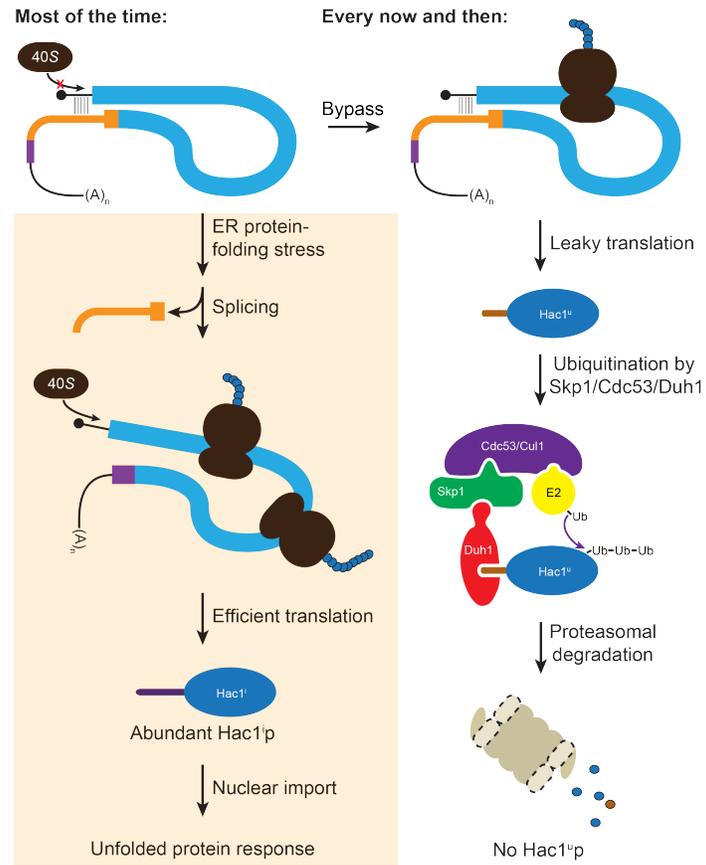


**Figure 7. Requirement for *DUH1* to suppress *Ire1p*-independent activation of the UPR.**

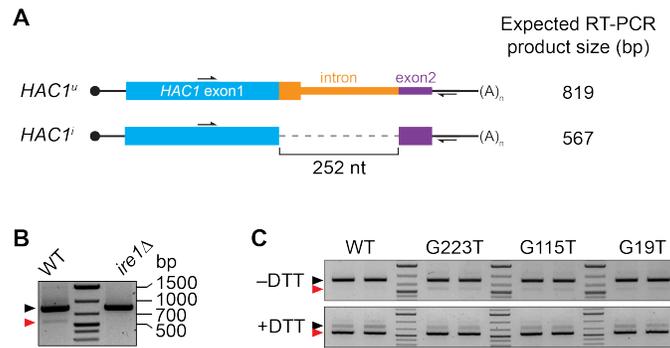
(A) Analysis of Hac1p activity in the UPR. Strains expressing the indicated *HAC1* mRNA variants, with (+) or without (Δ) *IRE1* and/or *DUH1* present, were grown to saturation. 10-fold dilution series were plated on YPD without (–Tm) or with (+Tm) 400 ng/ml tunicamycin to induce ER stress.

(B) Impact of *DUH1* on detection of Hac1<sup>up</sup>. Strains expressing the indicated mRNAs, with (+) or without (Δ) *IRE1* and/or *DUH1* present, were analyzed as in Figure 6C. Black arrow indicates the position of Hac1<sup>up</sup>, which migrates more slowly than Hac1<sup>1p</sup>. Construct 1, which lacks a 3xHA tag, was used as a negative control for anti-HA immunoblotting.

(C) Effect of Duh1p-dependent degradation on the UPR. Strains expressing wild-type *HAC1* with an N-terminal 3xHA tag, with (+) or without (Δ) *IRE1* and/or *DUH1* present, were grown to saturation. 10-fold dilution series were plated on YPD without tunicamycin (–Tm) or containing the indicated concentration of tunicamycin (+Tm). Plates were imaged at days 2 (top), 3 (middle), and 6 (bottom).



**Figure 8. Fail-safe post-transcriptional silencing of unspliced *HAC1* mRNA.**  
See the main text for a description.

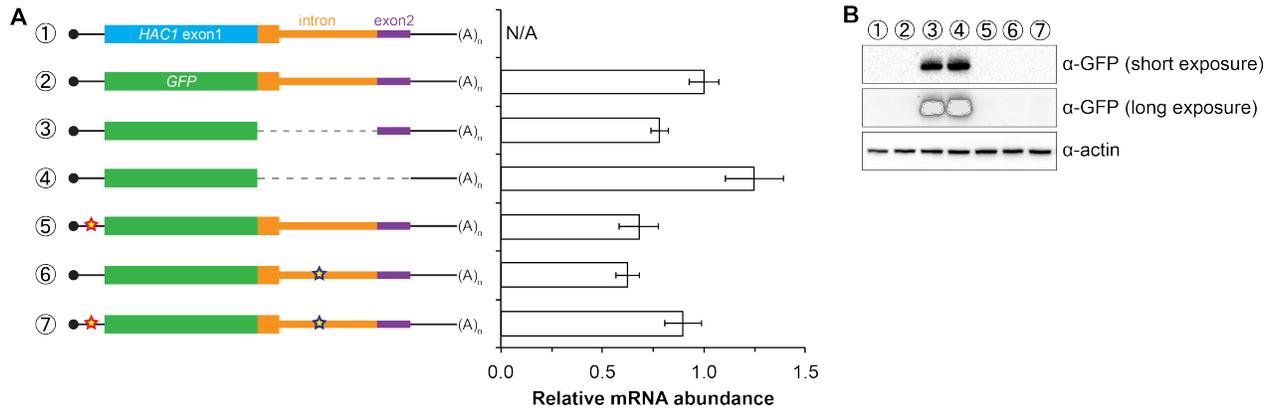


**Figure S1. Splicing of *HAC1* mRNA.**

(A) Design of RT-PCR assay for *HAC1* splicing. To differentiate between the *HAC1<sup>u</sup>* and *HAC1<sup>l</sup>* mRNAs, reverse-transcription products are PCR amplified using a pair of primers that flank the intron. The resulting PCR products are separated by agarose gel electrophoresis, with a size difference of 252 base pairs (bp) corresponding to the size of the intron.

(B) Splicing status of *HAC1* mRNA. Total RNA was extracted from exponentially growing yeast cells of the indicated genotypes. RT-PCR across the intron was used to differentiate between unspliced (black arrow) and spliced (red arrow) *HAC1* mRNA. The small percentage of spliced *HAC1* mRNA observed in wild-type cells is *IRE1* dependent, as expected.

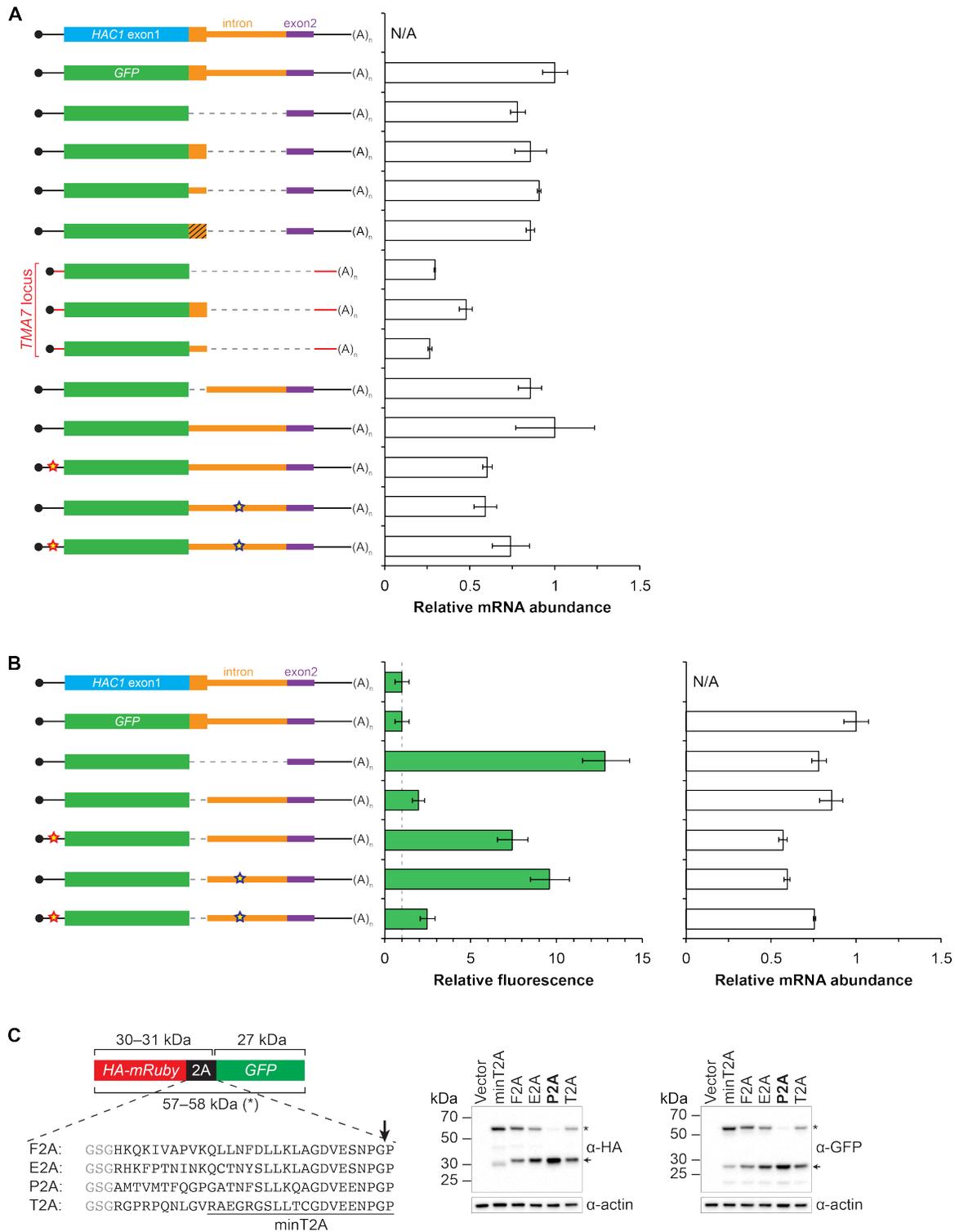
(C) Splicing of *HAC1* mRNA variants upon DTT treatment. Strains expressing the indicated *HAC1* variants were grown to mid-log phase and either left untreated (-DTT) or treated with 8 mM DTT for 20 minutes (+DTT) before harvesting. Total RNA was extracted and used for RT-PCR analysis as in (A). The same cultures (two of each strain) were used for polysome analysis in Figures 1F and 1G.



**Figure S2. Characterization of GFP reporter strains.**

(A) RNA abundance measurements for GFP reporter mRNAs. Total RNA was extracted from strains expressing the indicated mRNAs, using the same cultures as for flow cytometry analyses in Figure 2C. qRT-PCR was used to measure the abundances of GFP reporter mRNAs relative to ACT1 mRNA, with all data normalized to the abundance of the “wild-type” GFP reporter mRNA (construct 2). Shown are the mean  $\pm$  SD ( $n=2-7$ ).

(B) Immunoblotting analysis of reporter strains. Proteins were extracted from mid-log-phase cultures of strains expressing the indicated reporter mRNAs depicted in (A). Immunoblots for the GFP reporter and actin loading control are shown. No signal for GFP is observed in the base-pairing mutants (constructs 5 and 6) even after overexposing the blot, consistent with the corresponding flow cytometry results (Figure 2C).

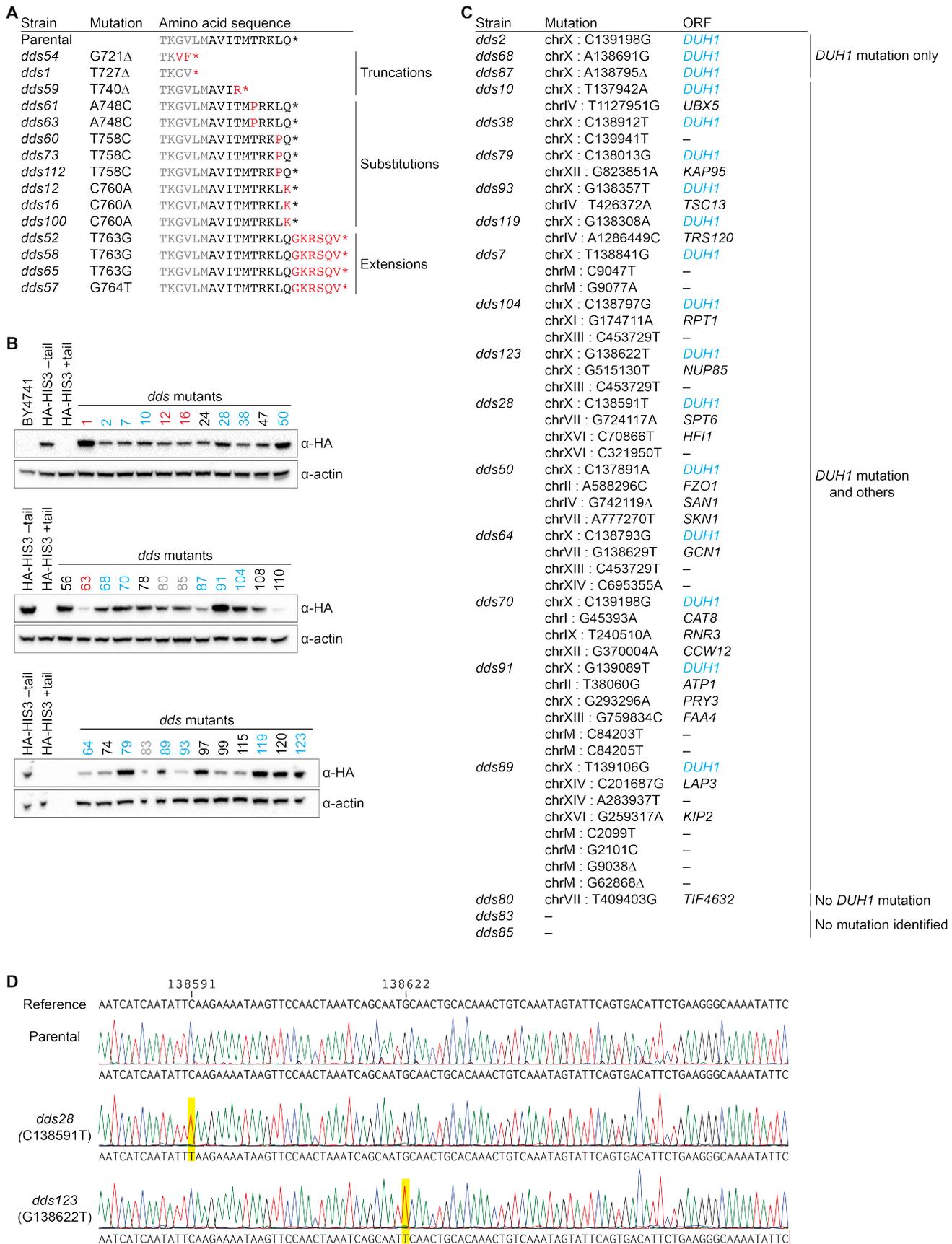


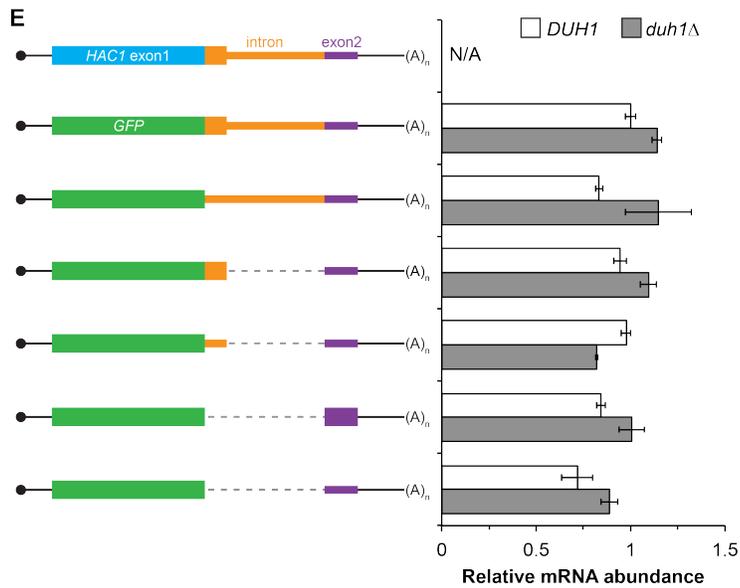
**Figure S3. Analysis and development of GFP reporter constructs.**

(A) RNA abundance measurements for GFP reporter mRNAs, analyzed as in Figure S2A using the same cultures as for flow cytometry analyses in Figure 3C.

(B) Flow cytometry and RNA analyses of additional reporter strains. Strains expressing the indicated GFP reporter mRNAs (left) were analyzed by flow cytometry as in Figure 2C (middle) or qRT-PCR as in Figure S2A (right), with data for the first four strains duplicated from Figures 3C and S3A for comparison.

(C) Identifying a 2A peptide sequence that is active in *S. cerevisiae*. *Left*: Design of the 2A reporter construct. In the absence of 2A activity, a 57–58-kDa polypeptide (\*) is produced that contains both an HA tag and GFP. When the 2A sequence is active, translation of the reporter generates a 30–31-kDa HA-tagged protein and a 27-kDa GFP. Shown are the 30-amino-acid sequences of the 2A peptides that were tested, with each preceded by a GlySerGly linker (gray) and “cleaved” at the site marked with an arrow. The region corresponding to a minimal T2A peptide (“minT2A”) is indicated. *Right*: Analysis of 2A activity by immunoblotting. BY4741 was transformed with a centromeric plasmid containing the indicated reporter construct under control of the *GPD* promoter. Proteins were extracted from mid-log-phase cultures and analyzed for HA- (left) and GFP- (right) tagged proteins by immunoblotting. Only the P2A peptide causes efficient separation of the upstream and downstream proteins (indicated by arrows). The nucleotide sequence encoding the 30-amino-acid P2A peptide is: GCTATGACTGTGATGACATTCCAGGGACCGTGCACAAACTTCTCCCTCTTGAACAAGCAGGAGATGTTGAGGAAAATCCCGGCCCT.





**Figure S4. Results and validation of the genetic selection.**

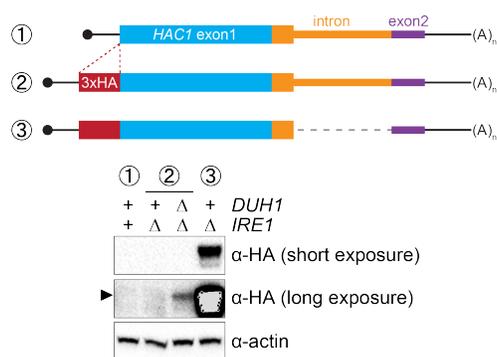
(A) Table of *cis*-acting mutations identified by Sanger sequencing. In the parental strain, the Hisp3-coding sequence is shown in gray while the 10-amino-acid degren is shown in black. Amino-acid changes caused by nucleotide mutations are shown in red.

(B) Analysis of HA-His3p abundance in *dds* mutant strains. Protein extraction and immunoblotting were performed as in Figure 4D, using untagged BY4741 as a negative specificity control for the HA antibody. Strains indicated in red contain mutations in the HA-His3p +tail reporter gene. Strains that were subjected to whole-genome sequencing are indicated in blue and gray, with blue indicating those that contain a mutation in *DUH1* and gray indicating those that do not.

(C) Table of *trans*-acting mutations identified by whole-genome sequencing. Strains containing *DUH1* mutations are listed in order of increasing total number of mutations.

(D) Representative example of Sanger sequencing confirmation of *DUH1* mutations. Shown are sequencing traces across a region of *DUH1* for the parental selection strain and two *dds* mutants. Each *dds* mutant contains a nucleotide substitution (highlighted in yellow), compared to both the reference sequence and parental selection strain, corresponding to the mutation identified by whole-genome sequencing.

(E) RNA abundance measurements for *GFP* reporter mRNAs in the indicated strain backgrounds, analyzed as in Figure S2A using the same cultures as for flow cytometry analyses in Figure 4E.



**Figure S7. Ire1p-independent accumulation of Hac1p.** Strains expressing the indicated mRNAs, with (+) or without (Δ) *IRE1* and/or *DUH1* present, were analyzed and presented as in Figure 7B.

**Table S1. Yeast strains used in this study. See key below.**

Strain name	HAC1 locus	Other genome modifications	Parental strain	Notes
BY4741			–	
YRDS1		<i>ire1Δ::HIS3MX6</i>	BY4741	
YRDS170		<i>duh1Δ::URA3</i>	BY4741	
YRDS65		<i>tma7Δ::URA3</i>	BY4741	
YRDS80		<i>ire1Δ::HIS3MX6</i>	YRDS65	GFP reporters at TMA7 locus
YRDS83		<i>duh1Δ::URA3</i>	YRDS65	
YRDS84		<i>ire1Δ::HIS3MX6</i>	YRDS65	
YRDS5	<i>hac1Δ::URA3</i>		BY4741	
YRDS13			YRDS5	G223T mutation in <i>HAC1</i> exon1
YRDS11			YRDS5	G115T mutation in <i>HAC1</i> exon1
YRDS9			YRDS5	G19T mutation in <i>HAC1</i> exon1
YRDS15			YRDS5	
YRDS154		<i>duh1Δ::URA3</i>	YRDS15	
YRDS20			YRDS5	
YRDS36			YRDS5	Complete mutations of base-pairing regions
YRDS46			YRDS5	
YRDS159		<i>duh1Δ::URA3</i>	YRDS26	
YRDS26			YRDS5	
YRDS28			YRDS5	
YRDS87			YRDS5	Complete mutations of base-pairing regions
YRDS39			YRDS5	
YRDS66			YRDS5	
YRDS67			YRDS5	
YRDS68			YRDS5	Complete mutations of base-pairing regions
YRDS69			YRDS5	
YRDS40			YRDS5	
YRDS160		<i>duh1Δ::URA3</i>	YRDS40	
YRDS118		<i>ire1Δ::HIS3MX6</i>	YRDS40	
YRDS70			YRDS5	
YRDS77			YRDS5	
YRDS162		<i>duh1Δ::URA3</i>	YRDS77	
YRDS71			YRDS5	Recoded 10-amino-acids
YRDS107			YRDS5	
YRDS163		<i>duh1Δ::URA3</i>	YRDS107	
YRDS30			YRDS5	
YRDS31			YRDS5	
YRDS156		<i>duh1Δ::URA3</i>	YRDS30	
YRDS32			YRDS5	
YRDS142			YRDS5	HA-mRuby-P2A-GFP(+tail)
YRDS143			YRDS5	
YRDS144			YRDS5	HA-mRuby-P2A-GFP(-tail)
YRDS145			YRDS5	
YRDS61			YRDS5	-HA tag
YRDS86			YRDS5	+HA tag
YRDS166		<i>duh1Δ::URA3</i>	YRDS86	
YRDS49			YRDS5	-HA tag
YRDS54			YRDS5	+HA tag
YRDS167		<i>duh1Δ::URA3</i>	YRDS54	
YRDS51			YRDS5	-HA tag
YRDS55			YRDS5	+HA tag
YRDS178		<i>duh1Δ::URA3</i>	YRDS55	
YRDS53			YRDS5	-HA tag
YRDS57			YRDS5	+HA tag
YRDS164		<i>duh1Δ::URA3</i>	YRDS57	
YRDS219			YRDS5	
YRDS237		<i>duh1Δ::URA3</i>	YRDS219	
YRDS255		<i>ire1Δ::HIS3MX6</i>	YRDS219	
YRDS259		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS237	
YRDS221			YRDS5	
YRDS241		<i>duh1Δ::URA3</i>	YRDS221	
YRDS257		<i>ire1Δ::HIS3MX6</i>	YRDS221	
YRDS261		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS241	
YRDS222			YRDS5	
YRDS243		<i>duh1Δ::URA3</i>	YRDS222	
YRDS258		<i>ire1Δ::HIS3MX6</i>	YRDS222	
YRDS262		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS243	
YRDS213			YRDS5	
YRDS235		<i>duh1Δ::URA3</i>	YRDS213	
YRDS256		<i>ire1Δ::HIS3MX6</i>	YRDS213	
YRDS260		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS235	
YRDS220			YRDS5	
YRDS239		<i>duh1Δ::URA3</i>	YRDS220	
YRDS274			YRDS5	
YRDS350		<i>duh1Δ::URA3</i>	YRDS274	Complete mutations of base-pairing regions
YRDS362		<i>ire1Δ::HIS3MX6</i>	YRDS274	
YRDS374		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS350	
YRDS275			YRDS5	
YRDS352		<i>duh1Δ::URA3</i>	YRDS275	
YRDS364		<i>ire1Δ::HIS3MX6</i>	YRDS275	
YRDS376		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS352	
YRDS276			YRDS5	
YRDS354		<i>duh1Δ::URA3</i>	YRDS276	
YRDS366		<i>ire1Δ::HIS3MX6</i>	YRDS276	
YRDS378		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS354	
YRDS277			YRDS5	Point mutations of base-pairing regions
YRDS356		<i>duh1Δ::URA3</i>	YRDS277	
YRDS368		<i>ire1Δ::HIS3MX6</i>	YRDS277	
YRDS380		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS356	
YRDS278			YRDS5	
YRDS358		<i>duh1Δ::URA3</i>	YRDS278	
YRDS370		<i>ire1Δ::HIS3MX6</i>	YRDS278	
YRDS382		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS358	
YRDS279			YRDS5	
YRDS360		<i>duh1Δ::URA3</i>	YRDS279	
YRDS372		<i>ire1Δ::HIS3MX6</i>	YRDS279	
YRDS384		<i>ire1Δ::HIS3MX6 duh1Δ::URA3</i>	YRDS360	

