

1 **Epidemic network analysis for mitigation of invasive**
2 **pathogens in seed systems: Potato in Ecuador**

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23 **ABSTRACT**

24 Seed system structure defines pathways for the spread of pathogens involved in seed
25 degeneration and influences their ability to supply high quality seed to farmers. We evaluated
26 seed system networks defined by a regional potato farmer consortium (CONPAPA) in
27 Tungurahua, Ecuador. The structure of networks of farmer seed and potato transactions, and the
28 linked network of information about pest and disease management, were estimated based on
29 surveys. We performed a scenario analysis of disease spread in this multilayer network to
30 identify key nodes for sampling and mitigation. The centrality of CONPAPA's leadership group
31 in the network means that disease management interventions, such as training, monitoring and
32 variety dissemination, should target CONPAPA staff and facilities. A market in the largest
33 nearby town, Ambato, was the next most important node. Farmers reported receiving advice
34 about disease and pest management through trusted CONPAPA technical staff. Advice from
35 agrochemical stores was common but viewed as significantly less reliable. Farmer access to
36 information (number and quality of sources) was similar for both genders. Women had a smaller
37 amount of the market share, however. Understanding seed system networks provides a window
38 into options for system improvement that include environmental and societal concerns.
39 *Additional keywords:* complex systems, multilayer networks, pests and diseases, seed
40 degeneration, seed networks

41 Networks of crop seed distribution are an important factor in determining the ecology and
42 spatial distribution of crop plant genotypes (cultivars or landraces), and disease resistance genes,
43 as well as determining the potential for the spread of seedborne disease. Seed systems are human
44 mediated seed distribution networks that encompass biophysical elements as well as all the
45 stakeholders and activities that support the system, including interacting scientific (e.g.,
46 breeding, extension), management (e.g., agricultural practices, integrated pest management) and
47 regulatory aspects (e.g., legally certified seed standards; Almekinders et al. 2007; Devaux et al.
48 2014; Jaffee et al. 1992; Kromann et al. 2017; Thiele 1999; Thiele et al. 2011). Thus, seed
49 systems are best understood as a network of interacting biophysical and socioeconomic elements
50 (Leeuwis and Aarts 2011). Only the state regulated aspects of a system would be considered a
51 “formal” system (Sperling et al. 2013). Seed systems share many traits with other managed
52 ecological systems in which there are larger-scale human institutions driving some system
53 components and individual land managers who make choices about smaller units in the
54 landscape (e.g., farmers or conservation managers). Institutional interventions –or lack thereof–
55 can affect systems indirectly and directly via policy, training, funding or direct management. The
56 relationship between institutional interventions and on the ground management may be more or
57 less predictable, depending on adoption by land managers.

58 Ideally seed systems provide disease free, disease resistant, high quality seed to farmers,
59 through improved seed processing, multiplication, storage and distribution. Scientists also
60 contribute to seed systems by developing more disease resistant varieties with other positive
61 traits for dissemination through the system. Understanding seed systems can help scientists make
62 meaningful links among epidemiological patterns and socioeconomic factors across a range of
63 scales. While seed transaction networks have sometimes been studied and characterized, there is

64 great potential for developing new approaches to predict the spread of seedborne diseases and
65 help target disease detection efforts, training, treatments and other interventions (Andersen et al.
66 2017; Hernandez Nopsa et al. 2015; Pautasso et al. 2013; Tadesse et al. 2016). Here we use
67 epidemiological network analysis (Shaw and Pautasso 2014) of a seed potato network to
68 understand and predict disease risk, developing a new type of scenario analysis for interpreting
69 epidemic risk in seed systems.

70 Efforts to create new seed systems may be only partially successful, especially in
71 developing countries (Devaux et al. 2014; Devaux et al. 2010; Hirpa et al. 2010; Jaffee et al.
72 1992; Kromann et al. 2017; Panchi et al. 2012; Thiele et al. 2011; Thomas-Sharma et al.
73 2016). Understanding the structure and function of formal, informal, and mixed seed systems can
74 support the development of more sustainable seed systems. Aspects that determine the degree of
75 seed system utility, sustainability, and resilience include access to and availability of seed, seed
76 quality, cultivar quality (e.g., adapted, disease resistance, and matching user preferences),
77 affordability, and profitability (Sperling et al. 2013). There are tradeoffs in connectivity for
78 farmers, where high connectivity is good for getting access to new varieties and training, but can
79 increase the risk of being exposed to disease. Managing connectivity can help to increase system
80 resilience (Biggs et al. 2012).

81 Seed system resilience is tested when there are significant stressors or crises, be they
82 environmental (Violon et al. 2016), biotic (e.g. pathogen or pest outbreaks) or socioeconomic
83 (McGuire and Sperling 2013). Though broad categories of threats are predictable, some events
84 may be viewed as crises because they are spatially varied, temporally unpredictable, and may
85 have multiple distinct drivers (e.g. pathogen, drought, conflict and economic crises). Formal seed
86 systems can be “static and bureaucratic” (Lybbert and Sumner 2012) while farmers and markets

87 may be quite adaptable. Single optimal solutions are unlikely. Many development agencies orient
88 their interventions toward the development of demand-driven systems that support a for-profit
89 model of seed supply, believing them more sustainable and resilient (McGuire and Sperling
90 2013; Sperling et al. 2013), but governments and aid agencies continue to play important roles
91 in subsidized seed systems. A common belief is that diversity improves resilience, in terms of
92 crops and cultivars, or supply channels (McGuire and Sperling 2013). A frontier for plant
93 epidemiology is to better incorporate human decision making about disease management
94 (McRoberts et al. 2011). Seed system development efforts often attempt to foster equitable
95 access by stakeholders to services (Ricciardi 2015), although more needs to be understood about
96 gender effects on access. Epidemiological network analyses can help to identify systemic
97 vulnerabilities related to gender access to quality planting materials, integrated pest management
98 information, and the market for products (Tadesse et al. 2016). Clearly short- and long-term
99 planning could be required to meet challenges, and stakeholders need to be flexible to strike a
100 good balance between sustaining and transforming systems. Trade-offs are likely, with
101 interventions under one scenario or set of stressors potentially being counter indicative in another
102 scenario, or for some stakeholders.

103 There is always a risk that pathogens can move through a seed system network. Detection of
104 pathogens in the network in a timely manner could allow for mitigation measures to be
105 implemented. Important hubs in the network are obvious points of risk for disease spread, but
106 peripheral nodes could be the entry point for an invasion (Xing et al. 2017). Strategies for
107 dissemination of resistant varieties may need to change depending on network properties. In
108 addition, the spread of endemic pathogens like *Rhizoctonia* spp., or the potential arrival of
109 emerging diseases from distant locations (e.g., *Dickeya* spp.; Czajkowski et al. 2015;

110 Czajkowski et al. 2011; van der Wolf et al. 2014) can be modeled and mitigation strategies
111 tested using a multilayer network analysis (Garrett 2012, 2017). Our objectives in the analysis
112 presented here are as follows: (a) characterize cultivar dispersal through a potato seed system in
113 Ecuador defined by the potato consortium CONPAPA; (b) determine whether gender is
114 associated with different types of network transactions or access to information; (c) develop a
115 risk assessment for disease vulnerability of individual network nodes and examine their utility as
116 control points for pathogen mitigation measures; and (d) explore a scenario where the
117 CONPAPA leadership group no longer plays an organizing role in the seed system, and existing
118 seed multipliers must compensate for its absence.

119 MATERIALS AND METHODS

120 **Study system: the CONPAPA potato seed system in Tungurahua, Ecuador.** There
121 are approximately 50,000 ha of potato production in Ecuador, with 97% of this area located in
122 the Andes, and 87.5% of farms being less than 10 ha in size (Devaux et al. 2010). It is possible to
123 produce tubers all year, which has created a market that expects fresh potatoes for consumption
124 year round (Devaux et al. 2010). Seed is typically reused, i.e., tubers from the previous season
125 are planted in the next. This makes potato subject to seed degeneration and associated yield loss
126 (Thomas-Sharma et al. 2016). Seed degeneration refers to the reduction in yield or quality caused
127 by an accumulation of pathogens and pests in planting material over successive cycles of
128 vegetative propagation. The national agricultural research institute, INIAP (*Instituto Nacional de*
129 *Investigaciones Agropecuarias*) is the only agency in Ecuador registered to produce formal basic
130 seed potato. However, according to a 2012 estimate, less than 3% of the seed potato used in
131 Ecuador is from the formal system (Thomas-Sharma et al. 2016). Two preferred cultivars for
132 farmers in the Ecuadorian Andes are INIAP-*Fripapa* and *Superchola*. However, farmers also

133 grow many other cultivars, such as INIAP-*Gabriela*, INIAP-*Catalina*, and *Diacol-Capiro*. Seed
134 is produced by INIAP from pre-basic seed, which are mini-tubers produced from *in-vitro* plants.
135 Basic seed, the next generation, is multiplied in the field by INIAP or associated farmers. The
136 next three generations of seed include the following three seed categories; registered seed
137 (*semilla calidad I*), certified seed (*semilla calidad II*), and selected seed (*semilla calidad III*), and
138 are produced in the field by seed producers. Trained seed producers form a part of the
139 Consortium of Potato Producers (CONPAPA) and produce seed for member farmers (Fig. 1).
140 The yield increase associated with each of these three categories has been reported to be 17%,
141 11% and 6%, respectively, compared to the seed produced by the farmers in the informal system
142 (Devaux et al. 2010), although these estimates are low compared to the potential (30%) yield
143 increases reported globally from the use of quality seed potato (Thomas-Sharma et al. 2016).

144 Viruses such as *Potato virus Y* (PVY), *Potato virus X* (PVX) and *Potato leafroll virus*
145 (PLRV), are major causes of seed degeneration in many parts of the world (Frost et al. 2013;
146 Salazar 1996). Additionally, depending on the geographic region, fungi, bacteria, nematodes,
147 phytoplasmas, and insects can also play important roles in potato seed degeneration (Thomas-
148 Sharma et al. 2016). In high-elevation potato production regions of Ecuador, *Rhizoctonia solani*
149 is a major cause of seed degeneration (Fankhauser 2000), while in many other tropical and
150 subtropical countries *Ralstonia solanacearum* is a major concern (Mwangi et al. 2008). Adding
151 to this complex etiology, the rate of degeneration is also highly variable across geographical
152 regions. Factors such as host physiology, vector dynamics, environmental variability, and the
153 choice and success of management strategies can affect the rate of degeneration (Thomas-
154 Sharma et al. 2017; Thomas-Sharma et al. 2016). In high elevation regions, for example, lower
155 temperatures can limit vector activity and pathogen multiplication while also influencing host

156 physiology that limits pathogen transmission into daughter tubers (Bertschinger 1992; Navarrete
157 et al. 2017). In at least one case the presence of *Potato yellow vein virus* (PYVV) was associated
158 with small yield *improvements*, possibly via some sort of competitive interaction with other
159 viruses (Navarrete et al. 2017). In the Andes, evidence suggests virus transmission to daughter
160 tubers is usually incomplete with between 30 and 75% of tubers being infected (Bertschinger et
161 al. 2017). Similarly, the application of management strategies such as resistant cultivars, certified
162 seed material and other on-farm management strategies, individually and/or collectively, can
163 affect the spread of disease epidemics in a region (Thomas-Sharma et al. 2017). A better
164 understanding of these inter-related factors could contribute to the design of an integrated seed
165 health strategy for a geographic region (Thomas-Sharma et al. 2016).

166 Established in 2006, CONPAPA has a membership of ca. 300 farmers in central Ecuador
167 (principally in Tungurahua, Chimborazo and Bolivar Provinces). This organization is the current
168 realization of various aid and governmental efforts to improve livelihoods for small-scale potato
169 farmers (Kromann et al. 2017). It aims to support small-scale farmer associations that produce
170 seed potato and potato for consumption, through training, provision of quality assessed seed, and
171 by processing and marketing produce. It cleans and processes produce (e.g., for chips and fresh
172 potato) in regional processing facilities. It also sells potato on behalf of members. Annual mean,
173 production yield of table potato in CONPAPA (Tungurahua) ranges between 15 and 20 metric
174 tons per hectare, with production levels being influenced by management, variety, time of year,
175 and the number of generations since the seed was sourced from basic seed. Average production
176 reported by CONPAPA is higher than the 9.5 metric tons per hectare that has been reported for
177 Ecuador as a whole (Devaux et al. 2010). CONPAPA in Tungurahua reported
178 (www.conpapa.org) that it supplies more than 25 tons of potato for consumption per week to

179 meet market demand. Importantly, CONPAPA has trained seed multipliers who provide seed for
180 redistribution to member farmers.

181 **Survey methods.** This study focuses on 48 farmers who are members of CONPAPA in
182 the Tungurahua province. This is 66% of the 72 heads of households registered as members in
183 this region (Montesdeoca, pers. comm.). However, the 48 farmers in this study represent a
184 census of all the active farmers at the time of this study. Farmer network sizes and farmer
185 activity can change as farmers opportunistically pursue a variety of alternative livelihoods from
186 year to year, e.g., construction or service jobs, in response to changing conditions (in good and
187 bad years; Violon et al. 2016). A questionnaire was completed by scientists via on-farm
188 voluntary interviews of 48 farmers in the CONPAPA district of Tungurahua over three weeks in
189 November and December, 2015. In addition to demographic information, the questionnaire
190 documented the seed sources, cultivars planted, volume bought, and price paid for the last three
191 planting periods, as reported by farmers. Information was also collected about the sale or use of
192 potato for food, including destination, cultivar, volume, and price received. Information was
193 recorded about the principal pests and diseases that the farmers reported. Farmers were also
194 asked to describe their sources of advice regarding integrated pest management, and the
195 confidence they had in that advice. In some cases, there was missing data related to volume or
196 price information.

197 **Data analysis and modeling.** Networks of seed and potato transactions between the
198 farmers and other stakeholders were analyzed using igraph (Csárdi and Nepusz 2006) in the R
199 programming environment (R Core Team 2016). For cases where farmers reported a transaction
200 but did not give volume information, links were depicted in the network as dotted lines and given
201 a minimum visible width. Missing price and volume data were not treated as zeroes, but were

202 omitted from the calculation of means and percentages. Missing volume and price data are
203 reported in the results. An adjacency matrix based on reported sales was constructed, as well as
204 an adjacency matrix based on reported information flow. Transaction counts, volumes and prices
205 were compared with respect to potato cultivar and farmer gender, based on percentages, means,
206 and one-sided Wilcoxon tests (using the `wilcox.test` function in R). The frequency with which
207 common pests and diseases were reported by farmers, including diseases responsible for seed
208 degeneration, is reported overall and by gender (where gender differences were tested using chi-
209 squared tests).

210 **Rating the importance of nodes for sampling efforts.** An important question for
211 optimizing management of potential invasive pathogens in a seed system, is where the most
212 important geographic nodes are for sampling to detect disease (both in the field and in the
213 harvested tubers). Sampling some nodes will result in rapid detection of the pathogen, while
214 sampling other nodes will only detect the pathogen when it has already spread widely in the
215 network. In a scenario analysis, disease spread was simulated across the seed and table potato
216 distribution network, where the network was based on reports aggregated across the last three
217 plantings (and actual or anticipated harvest dates ranged from May 2014 to May 2016). In the
218 simplest version of the analysis, each node was considered equally likely to be the point of initial
219 introduction of a pathogen into the seed system network. Another version of the analysis drew
220 on the structure of the communication network. In this case, the probability that a pathogen
221 would be introduced into the network by a given farmer was weighted by a farmer's access to
222 information about pest and disease management (IPM), as a proxy for farmer ability to respond
223 effectively. During the survey interviews, farmers described their sources of information for pest
224 and disease management, and the trust that they placed in these. The probability that infection

225 would be introduced into the network by a given farmer was weighted by a function of the
226 number and quality of information sources about IPM. The idea is that a well-informed farmer
227 (with high node in-degree in communication networks, or with highly trusted sources) will be
228 less likely to be a point of disease establishment (with the probability of disease entering the
229 network at a node set to 0.8 to the power of the number of sources for that node). “In-degree” is
230 the number of directed links that point toward a node. In this case, it indicates the number of
231 sources of information that a farmer reports. This simulation generates an estimate of the number
232 of nodes infected before the disease will be detected at each potential sampling node, given that
233 each potential starting node has a weighted probability of being the initial source. The output
234 allows us to estimate relative risk in terms of the number of nodes that would be infected if only
235 the node in question were monitored.

236 **Scenario analysis where the CONPAPA leadership group does not supply seed.** The
237 CONPAPA leadership group is clearly central to this seed system, a key “cutpoint”, or node
238 whose removal creates multiple disconnected components in the network. We explore how
239 resilient the seed system might be if the CONPAPA leadership group were removed. How
240 would other nodes need to compensate for its absence? We compared the scenario where the
241 CONPAPA leadership group provides seed to farmers and multipliers with a scenario where it
242 does not have a role in seed provision. For this alternative scenario we evaluated the reported
243 volumes for seed transactions over three plantings. Then where the CONPAPA leadership group
244 provided basic seed to multipliers we replaced these transactions with INIAP, the government
245 agency that provides basic seed to CONPAPA (GovtAgency1 in the Figures). Finally, where
246 farmers sourced their seed from the CONPAPA leadership group, they instead sourced their seed
247 from the geographically nearest multiplier (Farmers 7, 27, 34 and 46). The alternative scenario

248 thus maintains the same transaction volumes that were reported but removes the CONPAPA
249 leadership group as the go-between replacing these with the most plausible alternative. We
250 evaluate the structure of this new network.

251 RESULTS

252 **Seed system: overview.** The seed system in this study is centered around the CONPAPA
253 leadership group in Tungurahua, which provides and receives seed and table potato from
254 member farmers (Fig. 2). A total of 1157 quintals (100 lb bags), or 52 *t* (metric tons), of seed
255 was reported as used by farmers in the most recent planting, where CONPAPA provided 47%,
256 and 36% was self-supplied or reused seed, while the remaining 16% came from other sources.
257 CONPAPA was reported as receiving only 7 *t* of seed from trained (male) seed multipliers. Only
258 two women (F7 and F46) reported providing seed (Puca, Fripapa and Superchola) to CONPAPA
259 during this interval, although farmers 7, 8, 10, 19, 36, 40, 46, and 47 are women trained to be
260 seed multipliers. Of the 48 farmers that reported buying or selling potato or seed, 16 (33%) were
261 women. Farmers reported a total of 503.9 *t* potato being sold, with CONPAPA buying 414.7 *t*
262 (82%) of potato (where 28% of this was from women). Farmers reported selling 85.3 *t* directly to
263 local markets, and one farmer reported selling 3.2 *t* directly to a restaurant. It is important to note
264 that 262 transactions were reported in the most recent season but interviewees did not provide
265 volume for 71 transactions or price information for 58 transactions (including self-supplied seed
266 transactions). On a per transaction basis there was a difference between the volume of potato
267 product sold by women (mean=97 quintals) and men (mean=165 quintals; Wilcoxon test (one sided
268 alternative=less), $W=1159$ p-value = 0.03). There was also evidence for a difference in per
269 transaction volume for seed between women and men, with means of 5.6 and 16.2 quintals
270 respectively (Wilcoxon test, one sided alternative=less, $W = 127.5$, p-value = 0.003). There was not

271 evidence for a difference in per transaction prices for table potato for women and men, with
272 means of \$13.5 and \$12.5 USD, respectively (Wilcoxon test (one sided alternative=greater),
273 $W=1456$ p-value = 0.16). Prices were infrequently reported. These analyses are based on the
274 most recent season. Unreported here is the movement of pre-basic seed to CONPAPA from
275 INIAP. CONPAPA in Tungurahua may also receive seed from CONPAPA multipliers outside of
276 the region. Farmers reported replacing seed every 3-4 seasons. The evidence here is that
277 improved or healthy seed is bought but grown alongside seed saved from previous plantings.

278 **Seed system: analysis by variety.** Overall, while farmers planted on average two
279 cultivars, the median use was just one. In other words, about half of the farmers planted a single
280 cultivar, while the other half planted 2 to 5 different cultivars. Ranking the use of cultivars by the
281 numbers of farmers using them matches almost exactly the ranking by number of transactions
282 per cultivar (Table 1), which suggests that the high number of transactions for the main cultivars
283 is driven by their overall popularity. The 3 most commonly planted cultivars, according to these
284 criteria, are Superchola (33% of farmers planted it, its product transactions represent 36% of all
285 transactions, and its seed transactions 32%), Fripapa (17%, 20%, 22%) and Puca (13%, 10%,
286 10%), in respective order of ranking.

287 A second comparison of the total volume of transactions by cultivar, shows that the three
288 most frequently exchanged cultivars are also the ones with most transacted volume (Table 2).
289 Indeed, Superchola's transacted volume represents 40% of all volume transacted in terms of
290 product and 35% in terms of seed. Fripapa's seed volume transacted is higher than the product
291 volume transacted 26% vs. 21%. Finally, Puca variety volume represents 9% in terms of product
292 and 7% in seed. Interestingly, two varieties that are not used by a majority of farmers —Carrizo
293 and Victoria—represent 8 and 7% in terms of volume transacted, almost as much as Puca. This

294 related to a few farmers providing large volumes of product to a few non-CONPAPA buyers.
295 Finally, the percentage of volume transacted of Unica's seed is larger than Puca's seed volume
296 (9%) and Natividad is as large as Puca's (7%).

297 **IPM information.** Farmers largely reported obtaining information about integrated pest
298 and disease management (IPM) from the CONPAPA leadership group (mean in-degree for
299 information received by farmers was 3.5 overall; Fig. 3). There was not evidence for a difference
300 (t-test, p-value=0.39) between male (3.7) and female (3.2) in-degree with respect to number of
301 information sources reported. Importantly, farmers frequently reported receiving information
302 from agrichemical stores (green squares in Fig. 3). Family members also provided important
303 sources of information about IPM (Fig. 3). A quarter of the women reported their husband as a
304 source of information for IPM, but no men reported that their wife was a source of IPM
305 information. Farmer assessed trust levels could range between zero and five. There was some
306 evidence for a difference in mean trust levels reported by men (3.4) and women (3.8) (t-test, p-
307 value=0.08). The main sources of information were CONPAPA and agrochemical stores, where
308 the mean trust level farmers reported for all stores was 3.01 compared to 4.4 for CONPAPA (t-
309 test, p-value=1.873e-07). Only one farmer reported the internet as an important source of
310 information about management.

311 The most frequently reported diseases and pests were potato late blight, Andean potato
312 weevil, and potato black leg. Despite prompting, viruses were reported by only one percent of
313 farmers (Table 3). Slugs and leaf miners were more frequently reported as a problem by women
314 than men, though rates were low (Table 3).

315 **Disease risk in the system.** Under the scenarios we evaluated (Fig. 4A-C), the
316 CONPAPA leadership group is obviously the most effective place to monitor in order to detect a

317 disease before it has spread far. This reflects its central role in the network. Similarly, several
318 stakeholders and farmers at the periphery of the seed and potato network tend to be poor
319 locations for detecting potential disease in every simulation. This is because they only provided
320 seed rather than receiving seed or product (yellow) in this network, or had low in-degree (orange
321 or light orange; Fig. 4A-C). Weighting risk of establishment based on the quality of the
322 information sources about IPM causes some nodes at the periphery to become more important
323 for monitoring (colors are yellow in the equal weight scenario (Fig. 4A) versus darker orange
324 (Fig. 4B-C). In the scenario where farmer ability to prevent establishment was weighted by the
325 number of sources of information (Fig. 4C), we find that sampling “Market1” will lead to
326 relatively rapid detection of an incipient disease. This is the market in Ambato, the largest town
327 in the region, which has the highest reported in-degree of any of the five markets.

328 **Scenario analysis where the CONPAPA leadership group does not supply seed.** We
329 compared the scenario where the CONPAPA leadership group provides seed to farmers and
330 multipliers, and multipliers sell their seed to CONPAPA (Fig. 5A), with a scenario where the
331 CONPAPA leadership group does not have a role in seed provision (Fig. 5B). In this analysis,
332 based on a role of geographic proximity to multipliers, we see that multipliers do not have equal
333 access to all the seed buying farmers in the market (Fig. 5B). CONPAPA’s role as distributor
334 and organizer of seed distribution (Fig. 5A) may result in all farmers having access to seed from
335 any of the multipliers.

336 **DISCUSSION**

337 In this analysis, we demonstrate an approach for identifying priorities for monitoring plant health
338 in seed systems. In this relatively small and centralized seed system, disease monitoring at
339 CONPAPA processing facilities is obviously a high priority for detection of incipient disease,

340 because it receives high quantities of table potato (it has high in-degree), and is the source of
341 most of the improved seed (it has high out-degree). Monitoring at the market in Ambato could
342 also be relatively effective. Similarly, mitigation measures during a disease outbreak – such as
343 dissemination of new resistant cultivars, training, or treatment of fields – would best focus on
344 these nodes in the network. Secondly, the analysis identifies other nodes in the network that
345 can play a role in sampling and mitigation, offering a method to prioritize among these nodes for
346 sampling in the field and postharvest. Network models provide a window into the epidemiology
347 of plant diseases and strategies for efficient sampling for plant epidemic surveillance (Chadès et
348 al. 2011; Harwood et al. 2009; Hernandez Nopsa et al. 2015; Sanatkar et al. 2015; Sutrave et
349 al. 2012). We find that the CONPAPA leadership group and the Ambato market (Market1 in the
350 Figs. 2, 4 and 5) would be effective points for monitoring. By this we mean that if an invasive
351 disease entered the network from any node, it would tend to spread less through the network
352 before it was detected if sampling was at these key nodes (Fig. 4). Secondary nodes identified as
353 having some sampling value could also be ranked and prioritized to supplement sampling of the
354 two key nodes. An undetected disease at the CONPAPA leadership group or the Ambato market
355 would spread relatively quickly through the network.

356 Information about the dispersal of particular cultivars through the seed network can
357 provide insights into the likelihood of disease transmission, if cultivars have resistance to a
358 particular disease or if seed of a new cultivar is inadvertently a source of an introduced pathogen.
359 Good information is available about cultivar susceptibility to *Phytophthora infestans* (e.g.,
360 Forbes 2012; Kromann et al. 2009), but studies of viral infection rates for cultivars used in
361 Ecuador rarely consider more than a few varieties. Seed born viral incidence, especially PYVV,
362 PVS, and PVX were reported in one study for some of the cultivars used by CONPAPA farmers,

363 (from lowest to highest incidence: Fripapa, Gabriela, Yana, Unica, Dolores and Chaucha), but
364 per plant yield effects were negligible (Navarrete et al. 2017). High levels of PVY infection have
365 been reported occasionally in Ecuador for Superchola and Fripapa, but viral incidence seems to
366 depend on complex interactions between ecological conditions, on-farm management practices,
367 vector biology, seed sources and cultivar (Navarrete et al. 2017). Yana was reported as extremely
368 resistant to PLRV and PVY, while Unica was resistant to PVY but susceptible to PLRV
369 (Acquisition and Distribution Unit 2009).

370 In Ecuador seed degeneration, mostly attributable to viruses, can have important effects
371 on yield (7-17% loss, or even *gains* in the case of PYVV), but virus incidence is often low at
372 high altitude, even if levels vary widely from site to site (Peter Kromann unpublished; Devaux et
373 al. 2010; Navarrete et al. 2017; Panchi et al. 2012). It appears that the problem is still
374 understudied, under-appreciated or rarely recognized. For example, only one farmer reported
375 viruses as concern in this study. Yield losses of $\pm 30\%$ are common elsewhere in the world
376 (Thomas-Sharma et al. 2017). A large share of farmers report that they draw on advice from
377 agrochemical stores. Importantly, and perhaps with good reason, farmers do not report trusting
378 them highly as a source of information compared to technical staff working for CONPAPA.
379 Clearly training these store owners about disease and pest management has the potential to be an
380 effective measure to improve management outcomes for farmers inside and outside of the
381 consortium. However, it is unclear if training store owners would result in improved advice and
382 the sale of appropriate pesticides, or if potential economic conflicts of interest would influence
383 the quality of their advice. We modelled disease spread as a function of farmer information
384 quality and sources with respect to IPM. This usefully joins the network for the spread of

385 information about management with the biophysical network (seed network and disease
386 epidemiological models).

387 Women made up a third of the farmers and reported selling smaller volumes of potato
388 product on average. Clearly, they are making less money from potato farming than their male
389 counterparts. There were limited differences in gender access in terms of the number of
390 information sources, or the trust they placed in their information sources. It would be interesting
391 to determine if this is typical, or if less formal seed system networks in the region reveal larger
392 gender effects.

393 Modeling disease spread in seed and potato transaction networks can indicate the
394 structural effects of seed degeneration. In the case of viruses, most are transmitted to daughter
395 tubers and will be hitchhikers for each transaction of seed or potato. It is clear that some spread
396 can always occur via the seed system. Network dynamics change from year to year, so scenarios
397 should consider temporal dynamics (e.g., the different effects of wet and dry years; Violon et al.
398 2016). A more nuanced approach would also take into account different suites of viruses, and the
399 way their transmission rates from infected mother plants to daughter tubers vary depending on
400 varietal and environmental conditions (Bertschinger et al. 2017). Thus node (farmer)
401 vulnerability to infection could also be modeled in terms of specific diseases and scenarios, and
402 could account for varietal differences in resistance.

403 A key point to consider for potato seed systems is transmission mechanisms. As a case in
404 point, *Potato virus X* (PVX) and *Andean potato mottle comovirus* (APMoV) are transmitted by
405 contact while others such as *Potato virus Y* (PVY) and *Potato leafroll virus* (PLRV), *Potato*
406 *yellow vein virus* (PYVV) are vectored by aphids (Fankhauser 2000). Networks could include
407 both spread through seed transactions, and spread based on the spatial proximity of farm pairs (as

408 a proxy for the probability of vector movement between a pair). In this study, farms were widely
409 dispersed with both potato and other crops being grown in the intervening areas. Inoculum
410 sources could come from non-CONPAPA potato farmers, or non-potato host species. To
411 realistically model seed infection by vectors would require detailed disease specific data sets that
412 support accurate estimation of dispersal kernels, including the effects of infected volunteers and
413 tuber waste from potato harvesting.

414 Implementation of fully formal seed systems in many developing countries is beyond the
415 available resources of the agencies and farmers involved. Reaching the quality levels enshrined
416 in statutes may not be feasible. This means that most potato farmers in developing countries
417 operate wholly within informal seed systems. The CONPAPA seed system has been described as
418 a mixed formal and informal system (Kromann et al. 2017). CONPAPA defines seed quality
419 explicitly in three levels with real quality control measures in place. This means farmers can buy
420 improved seed of known quality with achievable quality levels for the stakeholders involved.
421 The adoption of this alternative seed quality assessment scheme has been incorporated into
422 formal Ecuadorian seed regulation (Kromann et al. 2017), thus formalizing the standards
423 CONPAPA developed. This has been described as “providing flexibility” (FAO 2006) and is
424 recommended as a means of achieving greater confidence by stakeholder and adoption of
425 improved seed. Therefore, the CONPAPA seed system could be characterized as predominantly
426 formal with the quality declared seed sources accounting for 47% of the seed in this study. In
427 practice, the mean time between seed replenishment was reported to be approximately 3-4
428 seasons, though we also found that improved seed are often planted together with reused seed in
429 any given year. This is a much higher rate of improved seed use than the 2-3% formal seed
430 sources reported for Ecuador and Bolivia (Almekinders et al. 2007; Devaux et al. 2010).

431 CONPAPA's cooperative model, combined with the seed quality assessment system could help
432 to overcome issues of access and household economic insecurity that determined participation in
433 formal seed systems elsewhere (Okello et al. 2016). This could have important consequences
434 since potato is becoming increasingly important as a staple crop in areas where informal seed
435 systems prevail (Devaux et al. 2014).

436 We evaluated the CONPAPA structure as a first step to support improved sampling, IPM,
437 risk assessment for pathogen and pest movement, and farmer decision-making. Identification of
438 key control points that influence the success of seed systems (e.g., farmers, farms, information
439 sources) supports enhancement of the system (e.g., maximizing the distribution of new seed
440 varieties using fewer distribution channels, managing disease outbreaks, and targeting
441 improvement of communication and infrastructure). Resources can be invested in particular
442 nodes to improve practices to control pest and disease outbreaks, leading to improvements in the
443 seed system. We present results for the CONPAPA system as part of an ongoing project to
444 develop general recommendations for improving seed system structure. While we illustrate here
445 how a seed system could potentially be resilient to removal of a key node (Fig. 5), the temporal
446 and structural dynamics of seed systems such as CONPAPA need to be better understood to
447 anticipate how they will react to important stressors, and to develop strategies for reducing
448 disease risk while increasing availability of improved varieties.

449 Seed system and network analyses provide one window into global change phenomena
450 encompassing environmental and societal concerns. The adoption of formal seed systems is
451 inherently a risk avoidance measure that aims to increase productivity and improve economic
452 outcomes for farmers, but the implications are wide reaching. Global change in land use, land
453 cover and biodiversity is often mediated through agricultural practices, development and trade.

454 Links are easily made between seed systems and land use change, agrochemical use,
455 biodiversity, climate change, and invasive species more broadly, in addition to disease impacts.
456 Local seed systems such as the one in this study are linked internationally via plant breeding
457 networks, through which resistance genes may be distributed, with the associated need to
458 manage connectivity for movement of pathogens (Garrett et al. 2017). Network analysis and a
459 systems approach can be used to expand our understanding of interacting biophysical,
460 socioeconomic and informational elements, and to put management interventions into their
461 proper context at local and regional scales.

462 **ACKNOWLEDGEMENTS**

463 This work received institutional review board approval through the University of Florida
464 IRB-02 #IRB201700024. We confirm farmer participation was voluntary and personal and
465 demographic information was protected. This research was undertaken as part of, and funded by,
466 the CGIAR Research Program on Roots, Tubers and Bananas (RTB) and supported by CGIAR
467 Fund Donors [http://www.cgiar.org/about-us/governing-2010-june-2016/cgiar-fund/fund-donors-](http://www.cgiar.org/about-us/governing-2010-june-2016/cgiar-fund/fund-donors-2/)
468 [2/](http://www.cgiar.org/about-us/governing-2010-june-2016/cgiar-fund/fund-donors-2/) and the University of Florida. JHN designed the survey, collected data, wrote R scripts,
469 performed preliminary analyses, and wrote the paper; CEB wrote R scripts, performed analyses,
470 produced the figures, and wrote the paper; KFA contributed to R scripts and analyses; PK, GAF,
471 and JAP designed the study, and helped collect data; STS contributed to survey design and wrote
472 the paper; PU contributed to survey design and provided an economic analysis; and KAG
473 designed the study, wrote R scripts, mentored authors, and wrote the paper. We appreciate the
474 help of J. L. Brisbane and S. L. Lei in data management, and the help of I. Navarrete in testing
475 preliminary survey drafts. The authors confirm they have no conflicts of interest.

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626

627 **Tables**

628

629 TABLE 1. The number of transactions and number of farmers using each cultivar for the current season, as reported
630 in November 2015 (with percentages).

631

Cultivar	Total transactions	Seed potato	Table potato	No. Farmers using the cultivar
Superchola	90	40 32%	50 36%	31 33%
Fripapa	56	28 22%	28 20%	16 17%
Puca-shungo	27	13 10%	14 10%	12 13%
Yana-shungo	17	9 7%	8 6%	8 8%
Unica	16	7 6%	9 7%	6 6%
Carolina	13	6 5%	7 5%	4 4%
Victoria	10	4 3%	6 4%	4 4%
Gabriela	8	3 2%	5 4%	3 3%
Chaucha	7	4 3%	3 2%	3 3%
Carrizo	6	3 2%	3 2%	2 2%
Suprema	4	2 2%	2 1%	2 2%
Americana	2	1 1%	1 1%	1 1%
Natividad	2	2 2%	0 0%	1 1%
Norteña	2	2 2%	0 0%	1 1%
Tulca	2	1 1%	1 1%	1 1%

633

634 TABLE 2. Volume of seed (quintals) and product exchanged (with percentages).

Variety	Total volume		Volume per transaction ⁶³⁵			
	product	Seed	Product	Seed	Product	Seed
Superchola	4580	40%	425	35%	143	11
Fripapa	2405	21%	323	26%	172	15
Puca-shungo	999	9%	80	7%	111	7
Carrizo	960	8%	66	5%	480	22
Victoria	760	7%	48	4%	190	12
Unica	600	5%	116	9%	200	19
Carolina	470	4%	79	6%	118	13
Yana-shungo	350	3%	43	3%	58	5
Gabriela	90	1%	6	0%	45	3
Chaucha	80	1%	16	1%	27	5
Suprema	15	0%	21	2%	15	11
Americana	0	0%	1	0%	.	1
Tulca	0	0%	0	0%	.	.
Natividad		0%	90	7%		45
Norteña		0%	0	0%		.

636

637 TABLE 3. Pests and diseases reported by farmers in Tungurahua, Ecuador, in order by the frequency of reports.
 638 Pests and diseases known to cause seed degeneration are indicated.
 639

640

Pathogen/disease or pest	Causing degeneration	Women reporting	Men reporting	% farmers
<i>Phytophthora infestans</i> (Late blight)	Yes	15	30	94
<i>Premnotrypes</i> spp. (Andean potato weevil)	Yes	10	26	75
<i>Rhizoctonia solani</i> (Potato black leg)	Yes	7	16	48
<i>Puccinia pittieriana</i> (Common rust)	No	6	12	38
<i>Epitrix</i> spp. (Potato flea beetles)	Yes	3	9	25
<i>Phthorimaea operculella</i> , <i>Symmetrichema tangolias</i> , <i>Tecia solanivora</i> (Potato moths)	Yes	4	4	17
<i>Fusarium</i> spp. (Fusarium rot)	Yes	1	6	15
<i>Liriomyza</i> spp.* (Leaf miner)	Yes	5	2	15
Slugs*	No	4	0	8
<i>Frankliniella tuberosi</i> (Thrips)	Yes	2	1	6
Nematodes	Yes	1	1	4
<i>Spongospora subterranean</i> (Powdery scab)	Yes	1	1	4
<i>Septoria lycopersici</i> (Annular leaf spot)	No	0	1	2
Viruses	Yes	1	0	2
White fly	Yes	1	0	2

641 Gender differences (*) are significant in a Chi square test ($\alpha=0.05$, $df=1$)

642

643 **Figures**

644 **Fig. 1.** Potato production by farmers in the CONPAPA seed system in Tungurahua Province,
645 Ecuador (photos: J. F. Hernandez Nopsa).

646 **Fig. 2.** A seed system transaction network in which nodes represent 48 farmers associated with
647 CONPAPA in Tungurahua, Ecuador, along with other institutions and individuals linked with
648 them. Links indicate potato movement, and are weighted by the volume (proportional to line
649 thickness) of seed potato and table potato bought, sold, used or traded by farmers. Data are from
650 the most recent season reported in November 2015. Black lines indicate seed, and gray lines
651 represent potato for food consumption. Self-loops represent seed produced on-farm. Dotted lines
652 represent transactions where volumes were not reported.

653 **Fig. 3.** A network depicting farmer-reported information sources for integrated pest and disease
654 management (IPM). Link thickness is proportional to the reported level of trust that the farmer
655 has in that source of information.

656 **Fig. 4.** Disease invasion is simulated with initial infection starting at a random node and
657 proceeding through the network defined by farmer transactions for seed (black) lines and table
658 potato (grey). Link widths are scaled to volume of transaction. This network represents the last
659 two seasons as well as the current season reported in November 2015. The risk at each node is
660 evaluated in terms of the number of nodes that would become infected before the disease was
661 detected at that node, if it were the node being used for monitoring. Monitoring a low risk node
662 (blue) would mean that only a small number of nodes become infected before disease is detected
663 at that node. Three scenarios were evaluated, where the probability/risk of the disease starting at
664 a given farmer node is weighted differently for **A**, all farmers are equally likely to be an initial
665 source of spread; for **B**, risk of being an initial source decreases as the maximum quality of

666 information increases (per the IPM information network in Fig. 3); and for **C**, risk of being an
667 initial source decreases based on the number of information sources (node in-degree, not
668 including self-loops) as depicted in the IPM information network in Fig. 3.

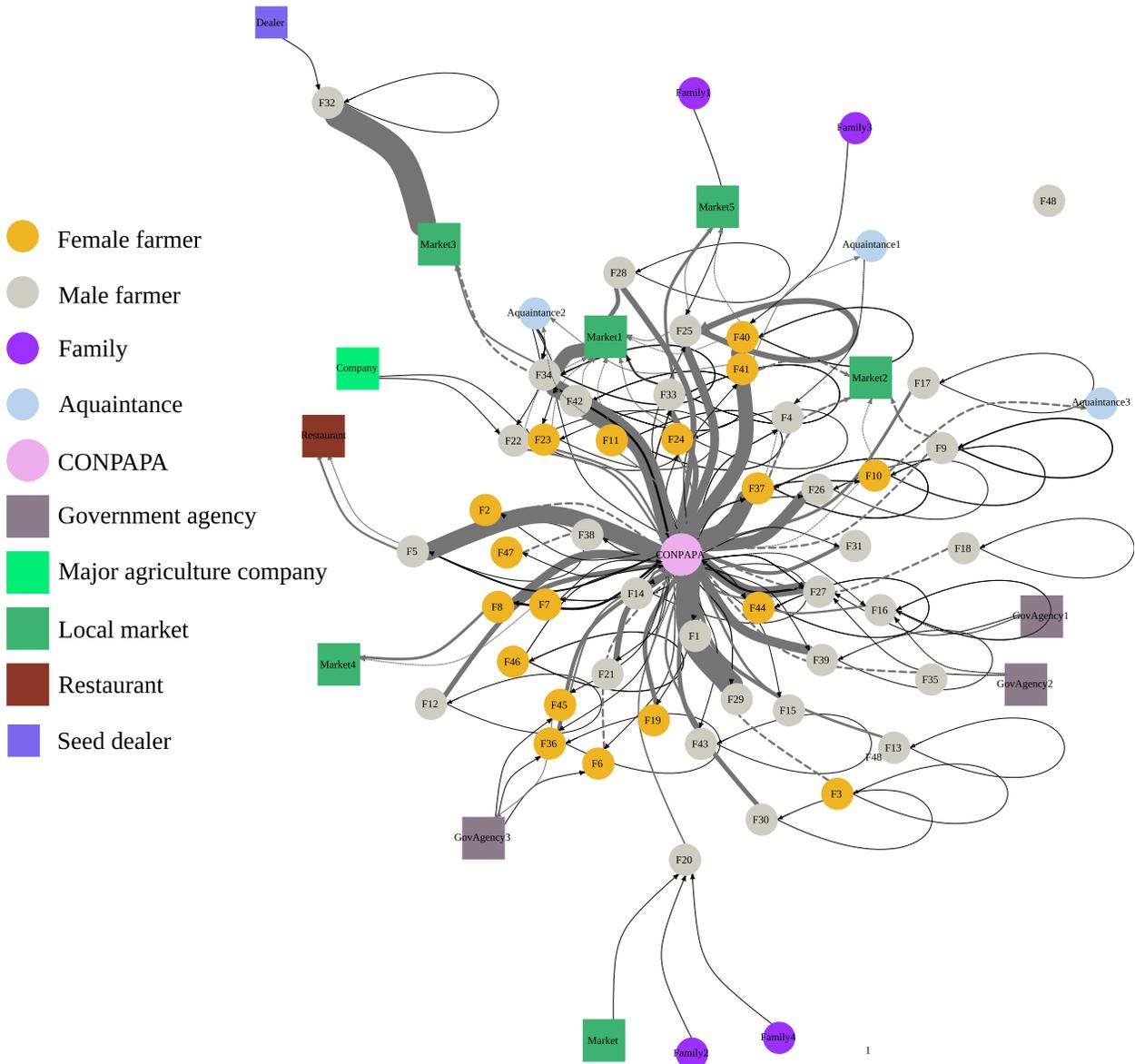
669 **Fig. 5.** A scenario analysis evaluating potential compensation in the system if the CONPAPA
670 leadership group no longer played its central role. The figure compares the current scenario,
671 where it provides the majority of seed (**A**), versus a hypothetical scenario where farmers get their
672 seed from the nearest seed multiplier (**B**), and CONPAPA no longer plays a role. **A**, Seed
673 transactions weighted by the volume based on reports from the last three plantings, including
674 CONPAPA. **B**, Seed transactions weighted by volume in a scenario where seed normally going
675 from CONPAPA to multipliers was replaced with seed from the government agency (INIAP).
676 Seed that went from the CONPAPA leadership group to farmers is now provided by the nearest
677 multiplier. Active multipliers are farmers 7, 27, 34 and 46.

678 Fig. 1.



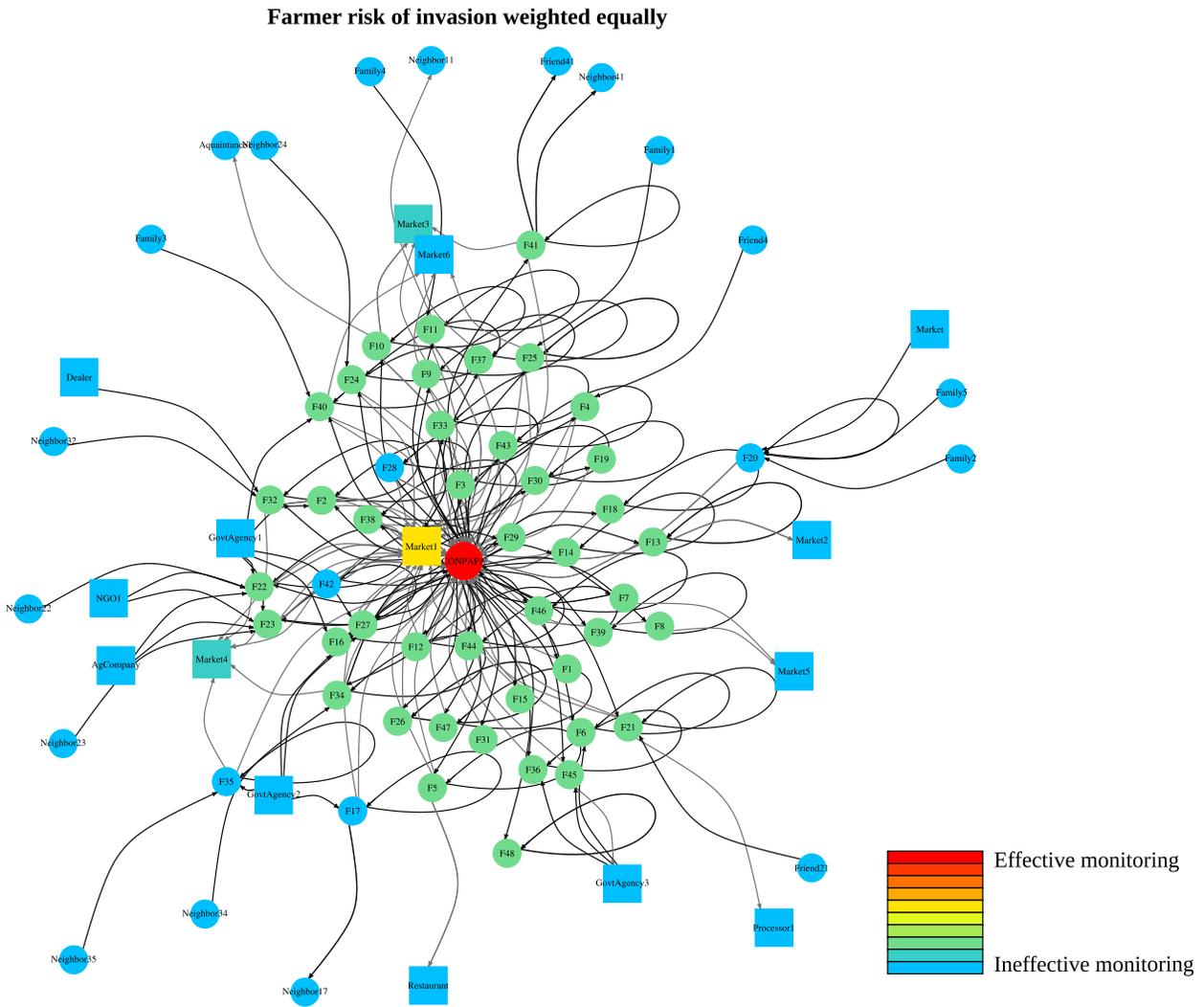
679

680 Fig. 2.



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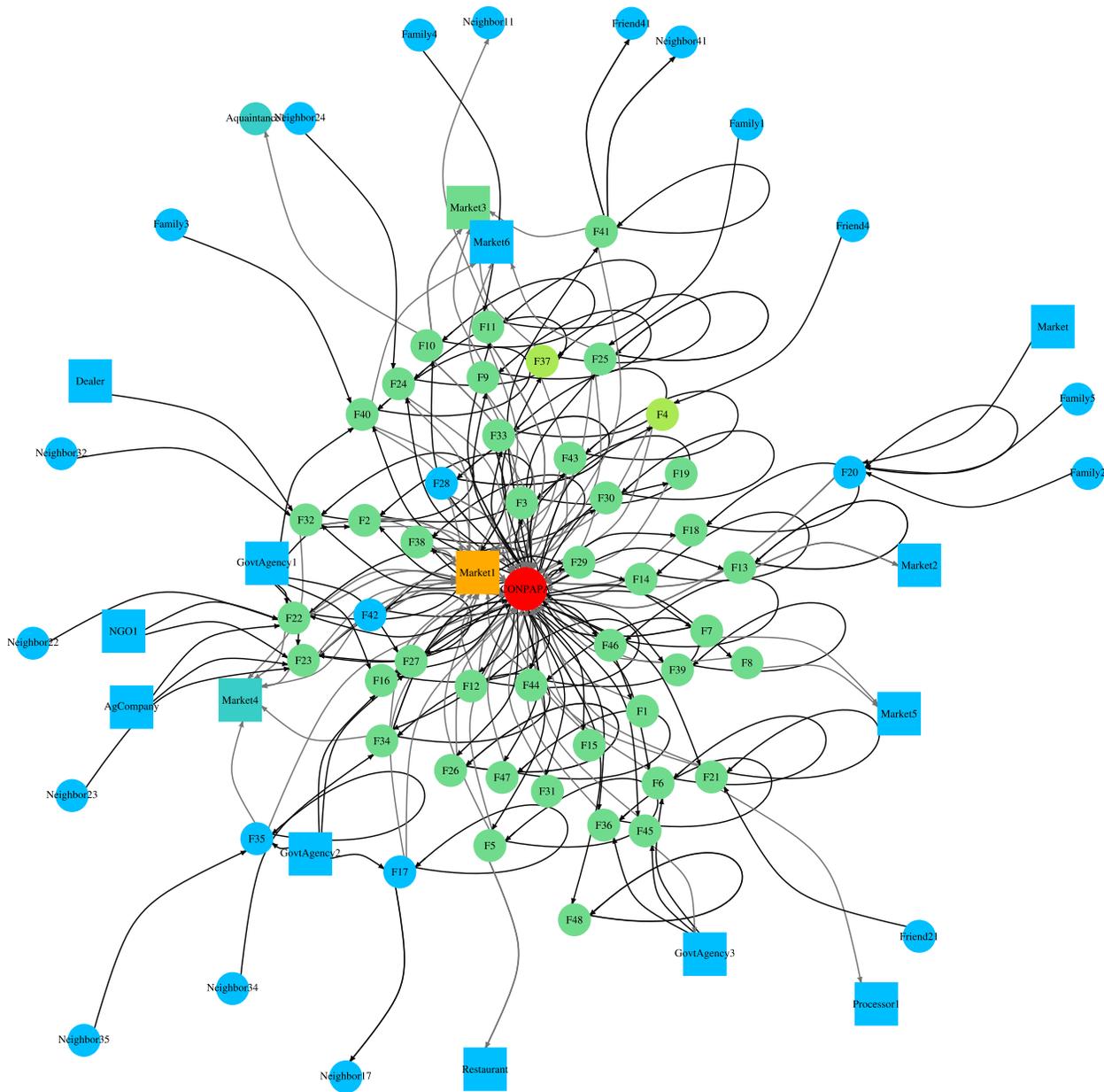
684 Fig 4. (A)



685

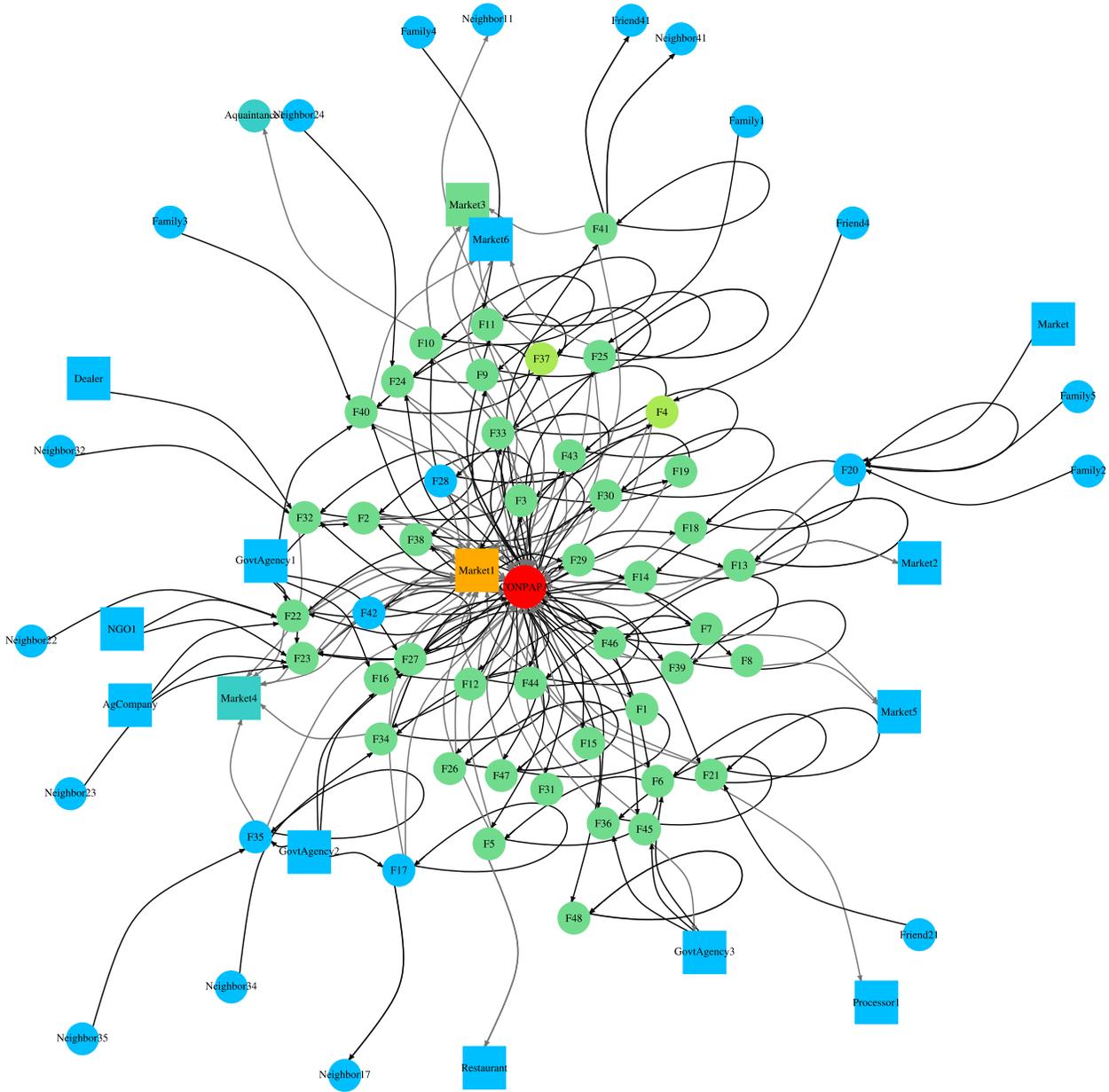
686 Fig 4. (B)

Farmer risk weighted by quality of information



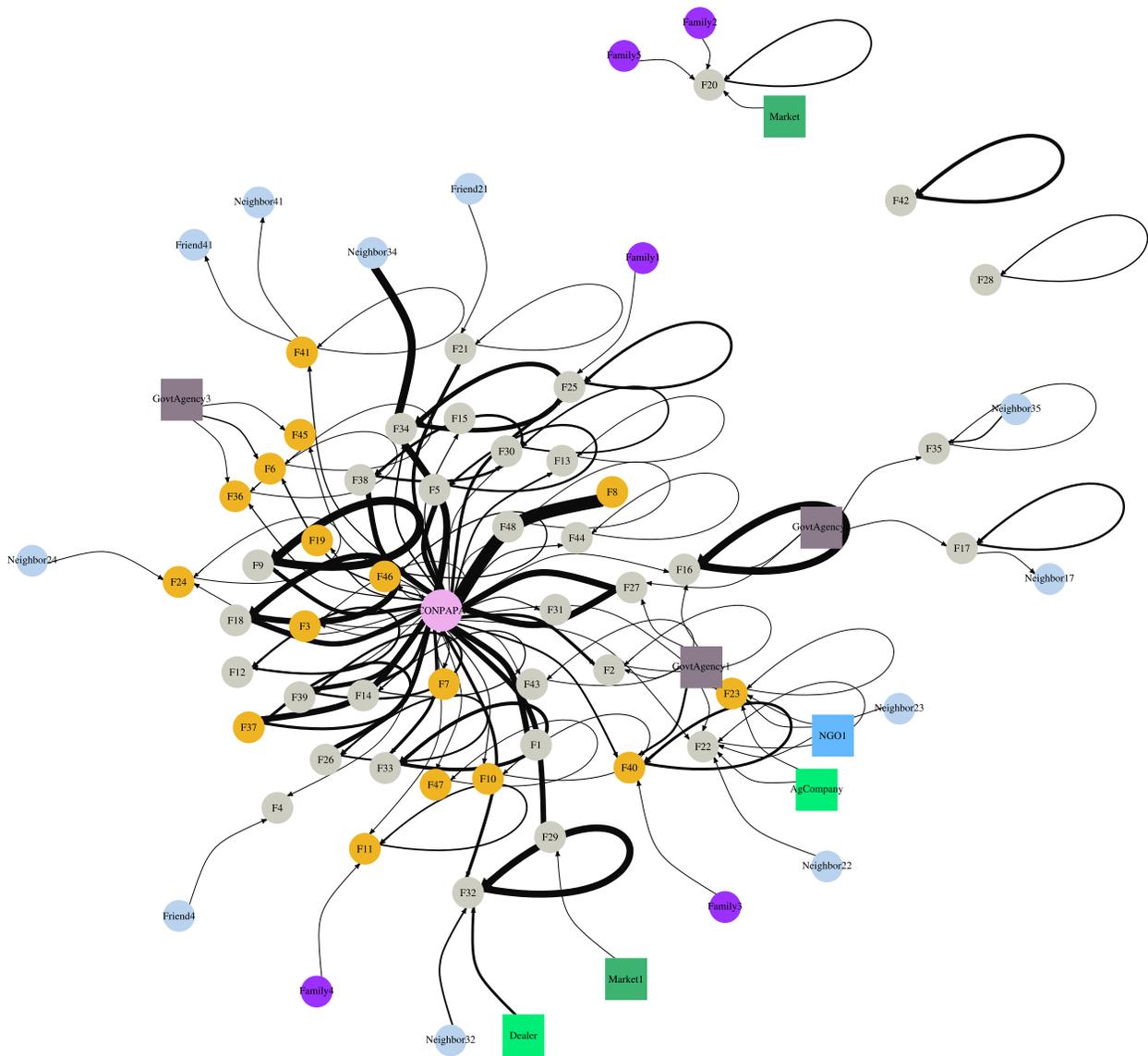
688 Fig. 4. (C)

Farmer risk weighted by number of information sources



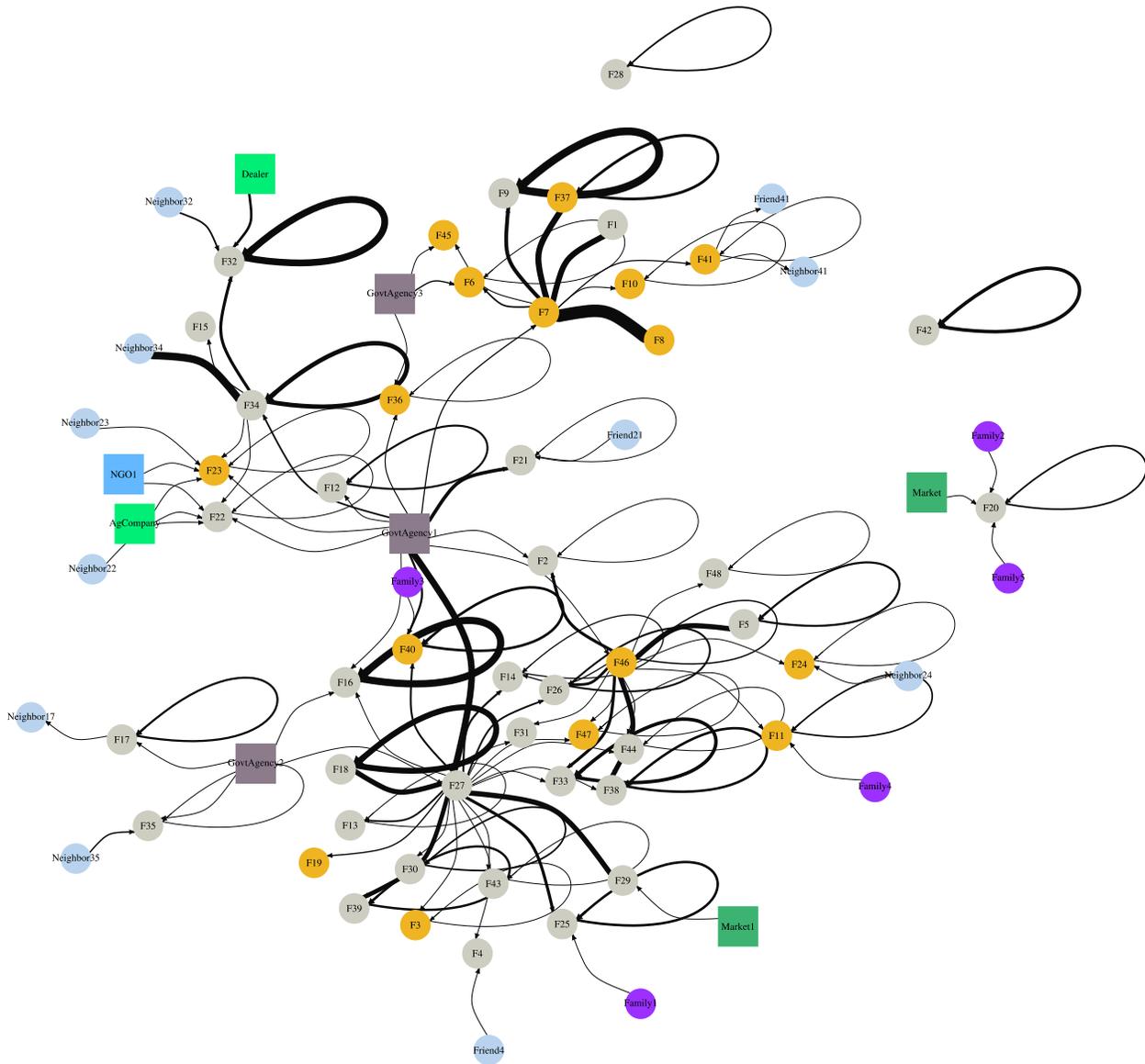
689

690 Fig. 5. (A)



691

692 Fig. 5. (B)



693