

1 Analysis of behaviour in the Active 2 Allothetic Place Avoidance task 3 based on cluster analysis of the rat 4 movement motifs

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11 Abstract

12 The Active Allothetic Place Avoidance test (AAPA) is a useful tool to study spatial memory in
13 a dynamic world. In this task a rat, freely moving on a rotating circular arena, has to avoid a
14 sector where shocks are presented. The standard analysis of memory performance in the
15 AAPA task relies on evaluating individual performance measures. Here we present a new
16 method of analysis for the AAPA test that focuses on the movement paths of the animals
17 and utilizes a clustering algorithm to automatically extract the stereotypical types of
18 behaviour as reflected in the recorded paths. We apply the method to experiments that
19 study the effect of silver nanoparticles (AgNPs) on the reference memory and identify six
20 major classes of movement motifs not previously described in AAPA tests. The method
21 allows us to analyse the data with no prior expectations about the motion to be seen in the
22 experiments.

24 Introduction

25 Navigation in a stable environment is based on allothetic or idiothetic memory, or both. How-
26 ever, these two kinds of memory are brought into a conflict when relevant and irrelevant
27 (misleading) information are presented simultaneously (**Bures et al., 1997; Fenton et al., 1998**).
28 Formation of proper allothetic memory in such conditions requires segregation of informa-
29 tion that involves cognitive coordination processes (**Wesierska et al., 2005; Phillips and Sil-
30 verstein, 2003**).

31 The Active Allothetic Place Avoidance (AAPA) test, also known as the Carousel maze test
32 (**Stuchlik et al., 2013; Dockery and Wesierska, 2010; Cimadevilla et al., 2001; Stuchlik et al.,
33 2014**) is an experimental setup to study formation of allothetic memory in the presence of
34 conflicting information. In this test animals are placed on a dry circular arena where they can
35 freely walk. In such conditions they have to learn to avoid a shock sector, which is not marked
36 physically but is fixed with regards to the distal, relevant cues from the room, in the presence
37 of misleading proximal cues from the arena. The entrance to this sector is signalled by an
38 application of a short lasting mild electric shock to the rat's paws, which is repeated at short
39 time intervals until they leave this sector. Thus, proper navigation in the AAPA task, requires

40 on-going active segregation of the irrelevant local cues (e.g. faeces, urine) from the arena and
41 use of only the distal relevant cues from the room.

42 The AAPA task is a variation of the passive Place Avoidance Task (**Bammer, 1982; Haroutu-**
43 **nian et al., 1985**). In this task animals are placed in a chamber divided into two compartments,
44 dark and light. Here, they also need to avoid shocks, which are presented in the dark com-
45 partment, but contrary to the AAPA, they do so by suppressing their activity and remaining in
46 the light compartment.

47 The performance in the AAPA task has been shown to be strongly hippocampal dependen-
48 t (**Cimadevilla et al., 2000**) and more sensitive to its unilateral blockade (**Cimadevilla**
49 **et al., 2001; Wesierska et al., 2005**), than the performance in the Morris Water Maze (MWM)
50 (**Vorhees and Williams, 2006; Morris et al., 1982**), which is a commonly used navigation task in
51 which animals are placed in a pool of water and have to find a hidden platform to escape the
52 water. The difference may follow from the fact that in the MWM only distal cues are available
53 and useful for animals to orient themselves (**Morris, 1981**). Another advantage of the AAPA
54 task, compared to the MWM, is that swimming is less natural for the rat than freely moving
55 on the stable ground of the arena.

56 Commonly used measures to assess memory in the active place avoidance task (**Stuchlik**
57 **et al., 2007; Stuchlik and Vales, 2008; Wesierska et al., 2009, 2013**) are: the total number
58 of entrances to the shock sector, the number of shocks received, the time to the first shock,
59 and the longest time of shock avoidance (the total path length and linearity of the path are
60 considered here as measures of locomotor activity, not memory). Although very useful, these
61 performance measures of memory do not give a direct indication about how the animals be-
62 have during acquisition of memory and how their behaviour changes during a session. In the
63 case of spatial memory testing in the Morris Water Maze the limitation of single performance
64 measures has been identified long time ago (**Gallagher et al., 1993; Dalm et al., 2000**). The
65 individual measures alone, like time or distance to the platform, simply cannot account for
66 the variety of different behaviours or strategies observed in the experiments. Therefore, other
67 analysis methods based on the classification of the swimming paths of the animals have been
68 proposed over the years (**Wolfer and Lipp, 2000; Graziano et al., 2003**). These methods com-
69 bine a number of different measures of the trajectories to define a set of classes of behaviour.
70 In **Graziano et al. (2003)** an automated classification method for MWM trajectories is also
71 presented. Their method is based on a supervised machine learning algorithm which has to
72 be first trained using manually labelled data, but which can then be used to classify other
73 datasets. However, these classification methods are typically focused on assigning one tra-
74 jectory to a single class of behaviour, which cannot always be reliably done because animals
75 frequently change the patterns of their movement within trials. This makes it difficult to un-
76 ambiguously map one trajectory to a single type of behaviour.

77 In **Gehring et al. (2015)** a more granular method for classifying the MWM trajectories was
78 presented. In that study multiple overlapping segments of the swimming paths, instead of
79 the complete paths, were classified. This made it possible to identify changes of exploration
80 strategy within a single trial and to highlight subtle behavioural differences between groups
81 of tested animals where other methods failed. The classification of the swimming path seg-
82 ments was done in a semi-automated fashion: a partial set of the MWM data was manually
83 classified and used to constrain a clustering algorithm and determine to which classes the
84 clusters belong. The method developed there falls therefore to the class of semi-supervised
85 algorithms.

86 Here we show how the classification method developed by (**Gehring et al., 2015**) can be
87 generalised to other experimental setups and how it can be turned into a completely unsu-
88 pervised method, i.e., the classification is done based only on structural similarities of the tra-
89 jectories. Using the original record of the rats moving in the AAPA test as the specific example
90 we develop an analysis method for the AAPA experiments that is complementary to standard

91 performance measures and which can give further insight into how the behaviour of animals
92 changes over time and differs between groups of animals. As a case study and in order to
93 validate the method, a set of the AAPA experiments investigating how silver nanoparticles
94 (AgNPs) affect the spatial memory of rats is analysed here with the proposed method and
95 compared against the standard approach. In these experiments it was found that the rats ad-
96 ministered with silver nanoparticles, unlike the non-treated control rats, presented memory
97 impairment (in preparation).

98 The method developed here, as in the case of the MWM swimming paths, is based on
99 analysing trajectory segments instead of the full trajectories. Trajectories are therefore first
100 split into segments which are grouped into different clusters with the help of a clustering
101 algorithm. However, contrary to the analysis method for the MWM trajectories, the method
102 developed here does not require any labelled data or manual data classification of any sort.
103 Behavioural classes of interest, each one of them mapped to one cluster, do not have to be
104 selected in advance but are instead identified by the clustering algorithm itself. These dif-
105 ferences turn the method into an unsupervised algorithm; they are significant because they
106 allow to analyse the data both faster, since no manual labelling is necessary, and without any
107 previous knowledge about the types of behaviour seen in the experiments. The proposed
108 analysis method is first introduced and then applied to a data set from AAPA experiments in
109 order to demonstrate that it can be successfully used to identify different types of behaviour.
110 The observed differences in behaviour between treated and untreated animals are then com-
111 pared with standard analysis results. It is shown that both give consistent results but that our
112 approach provides complementary information not apparent from the individual measure-
113 ments alone.

114 In what follows our proposed analysis method is first introduced and then applied to a data
115 set in order to demonstrate that it can successfully identify stereotypical types of behaviour
116 in the data. The observed differences in behaviour between treated and untreated animals
117 are then compared with standard analysis results to make sure that the results are consistent.
118 This is followed by a discussion of the results and future work perspectives. Finally, a detailed
119 description of our proposed method is presented.

120 **Results**

121 We propose a new analysis method for the active place avoidance experiments which focuses
122 on identifying stereotypical behavioural patterns of animals. Our method is based on seg-
123 menting the trajectories of the animals and then grouping similar segments by features such
124 as their position in relation to the sector where shocks were applied, geometry, and move-
125 ment speed, among others.

126 As a case study the method is applied to a set of experimental data acquired at the Nencki
127 Institute of Experimental Biology, Warsaw. In the experiments 20 rats were submitted to
128 the AAPA task and their trajectories were recorded. Of those animals 10 were administered
129 orally with silver nanoparticles (AgNPs); the other 10, which received water, were the untreated
130 control group. Each animal was submitted to 5 sessions of 20 minutes each during which
131 they could move freely in a circular rotating arena and had to learn to avoid a shock sector
132 which was fixed with respect to the room. This was followed by one 20 min test trial in which
133 the shock sector was deactivated. Effective performance of place avoidance indicate proper
134 spatial memory functioning.

135 Previous performance analysis methods of the AAPA experiments typically rely on com-
136 parisons of individual performance measures, which can well reflect how successfully each
137 group of animals avoided the shock sector, but offer little insight into the differences in their
138 behaviour. The method we introduce here, on the other hand, is able to identify and high-
139 light the differences in behavioural patterns of the studied animals. This not only leads to a
140 better understanding of how the animals learn to navigate within the arena, but also makes

141 it possible to identify differences in behaviour between the different treated groups as well as
142 during memory acquisition and retrieval, which would otherwise not be possible.

143 **Standard performance measures**

144 Standard performance measures for the AAPA usually compare the number of entrances to
145 the shock sector, number of shocks received by an animal during a session, the time to the
146 first entrance, and the longest avoidance time (see [Wesierska et al. \(2013\)](#) for a detailed de-
147 scription of these and other statistics). Figure 1 shows the above performance measures for
148 rats treated orally with silver nanoparticles and the untreated control group during consec-
149 utive sessions of spatial memory acquisition (Figure 1 a-d). The results show that the treated
150 animals made more entrances with shorter time to the first entrance and shorter maximum
151 avoidance time within a session than the untreated ones. Contrary to differences in memory
152 measures no difference between groups was found in speed and locomotor activity measured
153 with the total path length during the whole session (Figure 1 e-f).

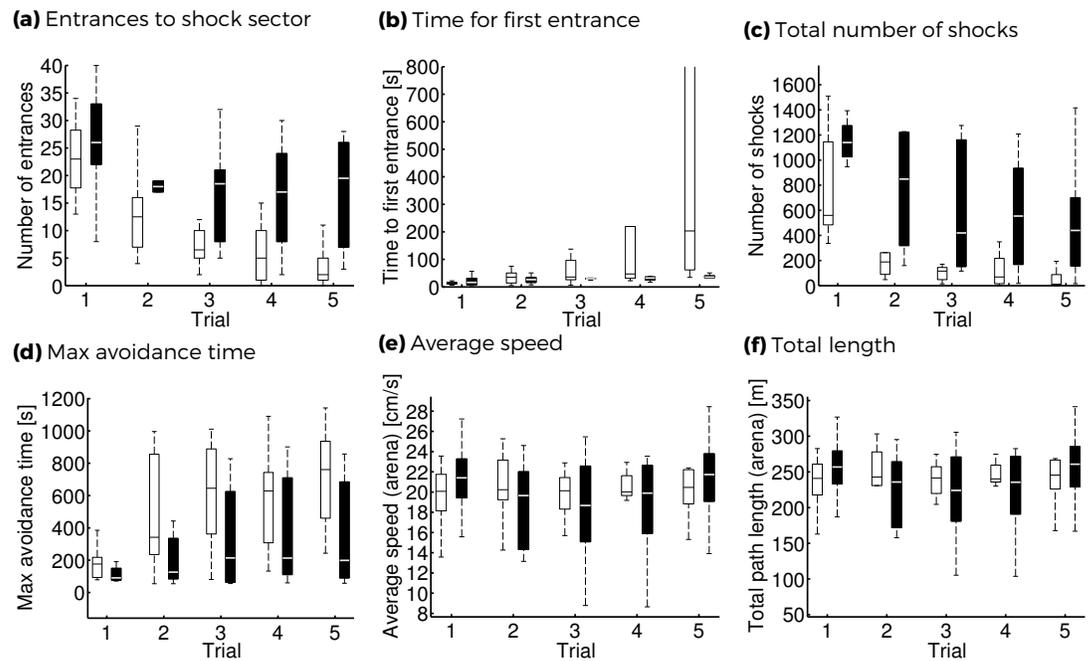


Figure 1. Comparison of performance between untreated control (white) and treated (black) animals over a set of 5 sessions. Boxes represent the first, second (median, shown as a band) and third quartiles; whiskers are the minimum and maximum values. (a-d): Animals in the control group are able to quickly learn how to avoid the shock sector and perform on average much better than treated animals. Average speed (e) and the total length of the trajectories (f) do not show significant differences in locomotor activity between both groups.

154 Although the performance measures shown in Figure 1 identify a clear difference in per-
155 formance in the spatial memory task between treated and non-treated animals, they provide
156 no indication about the types of behaviour that lead to such differences in the first place. The
157 new analysis method we propose in what follows, on the other hand, takes a closer look at
158 the motion of the animals and gives a better insight about how the treatment that animals
159 were subjected to affects their behaviour.

160 **Classification of trajectory motifs**

161 The recorded trajectories (120 in total) for each animal and session were segmented (Mate-
162 rials and Methods) resulting in 6,237 trajectory segments. A set of 11 features (Table 1) was
163 computed for each segment. The data was then transformed using principal component

164 analysis (PCA) and the first N_{pc} principal components were used as new features, effectively
165 reducing the dimensionality of the data. The data was then clustered using the MCPKmeans
166 algorithm for different numbers of target clusters, N_c . In order to select appropriate values
167 for N_{pc} and N_c the clustering algorithm was run multiple times for different N_{pc} and N_c values
168 and the results were then compared. The criteria for choosing N_{pc} and N_c , summarised below,
169 are detailed in the Materials and Methods section.

- 170 1. The maximum correlation between any two clusters should not be too high (< 90% as a
171 thumb rule). A high correlation is an indication that two or more clusters are very similar
172 so N_c should be reduced;
- 173 2. The minimum number of elements inside a cluster is not too small: every cluster should
174 contain at least 5 to 10% of the total number elements, although this value can be smaller
175 when the target number of clusters is large. This is to prevent empty or close to empty
176 clusters, which would otherwise be usually an indication that the target number of clus-
177 ters or dimensions is too high.

178 For the purposes of our analysis we have assigned each separate cluster to a distinct be-
179 havioural class.

Table 1. Features for the data clustering of trajectory segments. For a detailed description please refer to the Materials & Methods Section.

Feature	Unit	Reference Frame
Angular distance to shock sector	rad	Global
Angular dispersion	rad	Global
Angular dispersion (Arena)	rad	Arena
Median log radius	-	Global/Arena
Variance log radius	-	Global/Arena
Trajectory centrality	%	Global/Arena
Median speed	cm/s	Arena
IQR speed	cm/s	Arena
Median angular speed	rad/s	Arena
IQR angular speed	rad/s	Arena
Speed change frequency	s ⁻¹	Arena

180 Figure 2 shows the maximum correlation between clusters (in %) and minimum cluster
181 size (in % of the total number of elements) for N_{pc} between 5 and 7 and an increasing number
182 of clusters, starting at $N_c = 5$. As we can see, for $N_{pc} = 5$ principal components (left plot) close to
183 empty clusters (dotted lines) and/or highly correlated clusters ($\geq 90\%$ correlation; continuous
184 lines) always appear, which is why the results were discarded. The results for $N_{pc} = 6$ (right
185 plot), on the other hand, show both a more homogeneous distribution of cluster sizes and
186 a smaller maximum correlation between the clusters for $N_c = 5$ or 6. The results for $N_{pc} = 7$
187 show also similar results, albeit only for 5 target number of clusters. Because of this fact and
188 because having a smaller number of dimensions is always desirable to avoid over-fitting, N_{pc}
189 = 6 was adopted here. For the target number of clusters $N_c = 6$ was adopted since there is no
190 appreciable increase in the maximum correlation between clusters between $N_c = 5$ and $N_c =$
191 6, which suggests that $N_c = 5$ contains one cluster that can be separated into two and that N_c
192 = 6 is the more natural choice.

193 Figure 3 shows two example segments of trajectories for each of the resulting 6 clusters.
194 Segments are shown both in the room coordinates (as registered by the camera; left circle)

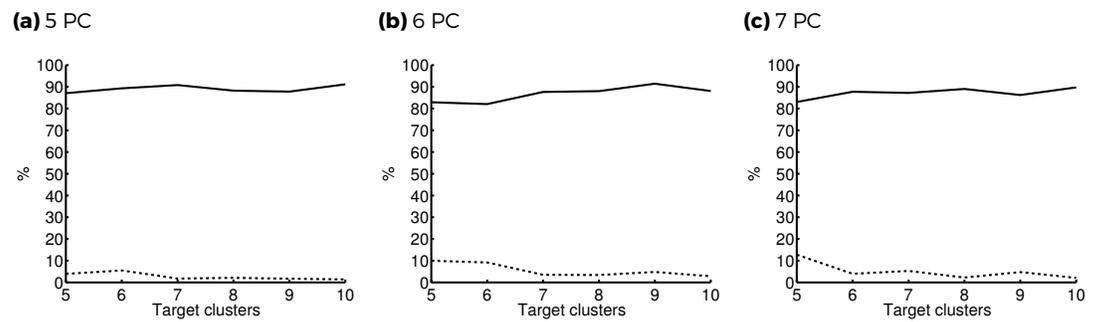


Figure 2. Clustering results for different number of principal components and target number of clusters. Continuous lines: maximum correlation between clusters; Dotted lines: minimum cluster size (in % of the total number of trajectory segments). Plots A-C show the results for 5 to 7 principal components.

Table 2. Clustering statistics showing relative number of elements (in % of the total number of elements) and percentage of elements beginning or ending at the shock sector. Segments beginning at the shock sector are associated with types of behaviour after the animal received one or more shocks. Segments ending in the shock sector are related to behaviour just before the animal (most likely) receives a shock.

Cluster	Elements	Entering shock	Leaving shock
1	16.8%	0.2%	3.5%
2	16.5%	85.1%	37.6%
3	10.9%	3.8%	36.6%
4	29.2%	0.1%	0.1%
5	12.2%	64.0 %	31.9%
6	14.4%	1.7%	5.8%

195 and the rotating arena reference frame (real path swept by the animals; right circle); the move-
 196 ment speed in the arena reference frame is also shown for each one of the segments. A de-
 197 scription of the observed behavioural traits of each cluster is given below. Table 2 gives also
 198 some statistics for each cluster, such as the relative size and percentage of segments that start
 199 or end up within the shock sector.

200 **Classes of behaviour**

201 Here we describe briefly the behaviour associated with each of the resulting clusters. These
 202 classes of behaviour were not predefined but rather identified automatically by the clustering
 203 algorithm. The descriptions for each class are based solely on the observed traits of each of
 204 the computed clusters.

205 *Class 1: movement inwards opposite to the shock sector*

206 Animals move to more central points in the arena and try to stay on the opposite side of the
 207 shock sector.

208 *Class 2: passive until shock sector*

209 Animals move very little around the arena and sit mostly at one position, ending frequently in
 210 the shock sector (Table 2).

211 *Class 3: movement opposite to the shock sector*

212 Paths focused on the extreme opposite of the shock sector.

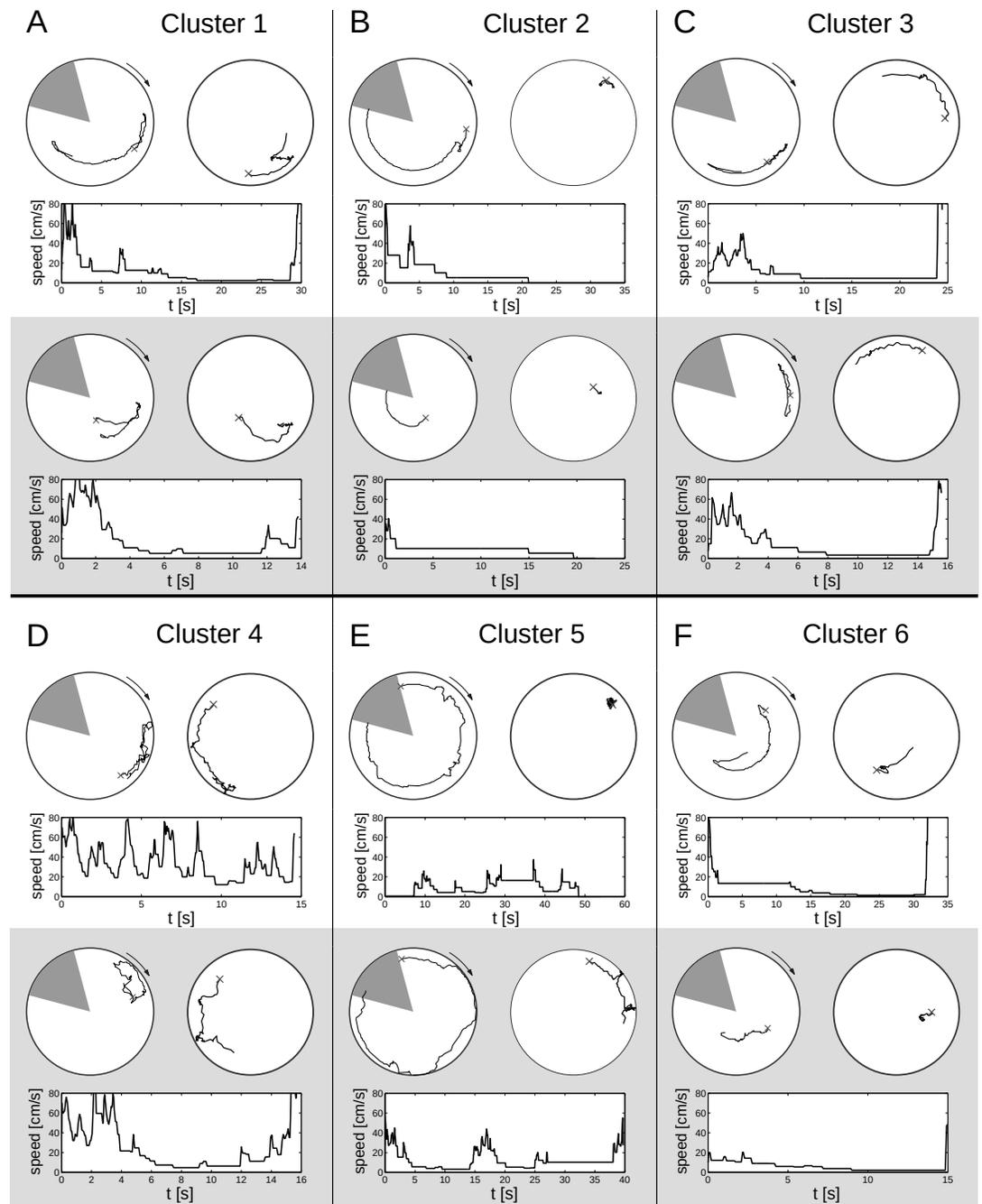


Figure 3. Example trajectory segments for the six resulting clusters. Two examples are shown for each cluster (white and shaded regions). Top left figures show the trajectories in the room reference frame. Top right plots show the trajectories compensating for the rotation of the arena (arena reference frame). Lower plots show the speed of the rats in the arena reference frame. The shaded triangular region marks the shock sector and crosses – the starting positions of the animals.

213 *Class 4: random movement opposite to the shock sector*

214 Relatively chaotic paths concentrated on the right/upper half of the arena, on the right side
 215 and immediate vicinity of the shock sector.

216 *Class 5: passive until shock sector, longer paths*
217 Similar to class 2 but producing longer paths. Animals sit mostly in one position, frequently
218 completing a full revolution that starts and ends at the shock area (Table 2).

219 *Class 6: movement inwards and to the centre of the arena*
220 Animals move inwards and explore the more central parts of the arena.

221 Classes 1 and 3 are clearly the most efficient since animals actively try to stay away from
222 the shock area. Conversely, classes 2 and 5 are the most inefficient because animals mostly
223 stay still and never try to avoid running into the shock sector.

224 **Comparison of treated and control groups**

225 Figure 4 shows the distributions of classes of trajectory segments for treated and non-treated
226 animals. For every animal in a given group the trajectory was segmented (Materials and Meth-
227 ods) and the segments were attributed to one of the six different classes of motion by the clus-
228 tering process. This gave an estimate of the percentage of the time that the animal adopted
229 each of the classes of behaviour for the session. These values were then averaged for all 10 ani-
230 mals in each group. The differences between the groups were then checked for significance
231 using the Friedman test. Resulting p-values for the Friedman test are shown in the plots for
232 each cluster.

233 For 3 of the 6 clusters significant differences ($p \leq 0.05$) were found between the control and
234 silver-nanoparticles treated groups. Animals in the treated group, contrary to control, prefer to
235 stand still on the arena and in many cases their trajectories ended in the shock sector (classes
236 5 and 2).

237 Animals in the untreated control group, significantly more often than treated rats demon-
238 strated a behavioural pattern in which animals sit still for a while but then move away from
239 the shock sector as they approach it (classes 1 and 3). This suggests that these animals are
240 aware of the location of the shock sector and adopt a strategy in which they have to move
241 around as little as possible.

242 The properties of the behavioural patterns obtained with cluster analysis show that treated
243 animals significantly more often ended their motion in the shock sector than the control un-
244 treated rats. This is due to them adopting the less efficient strategy of class 5 more frequently,
245 and exhibiting the efficient strategies of classes 1 and 3 less. It confirms the results obtained
246 with standard measures for AAPA that treated, contrary to untreated animals presented spa-
247 tial memory impairment.

248 **Discussion**

249 We have presented a new analysis method for the AAPA test experiments. The method relies
250 on splitting the recorded trajectories of the animals in the arena, computing a set of features
251 for each resulting trajectory segment, and then using a clustering algorithm to identify simi-
252 lar behavioural patterns in the data. This is a generalisation of the method devised previously
253 **Gehring et al. (2015)** for the analysis of the Morris Water Maze experiments. There, however,
254 the classes of behaviour were predefined and a partial set of pre-labelled data was used to
255 classify the trajectories. This effectively led to a semi-automated or semi-supervised classifica-
256 tion method. The method presented here, on the other hand, offers a completely unsuper-
257 vised approach to the classification; behavioural classes of interest do not have to be defined
258 beforehand and no manual labelling of data is necessary.

259 As a case study the new method presented here was applied to a data set consisting of
260 trajectories of 20 animals recorded in the active place avoidance test on allothetic spatial
261 memory function. Half of the animals were treated with silver nanoparticles, the other half
262 was the untreated control group. Although standard memory measures described earlier

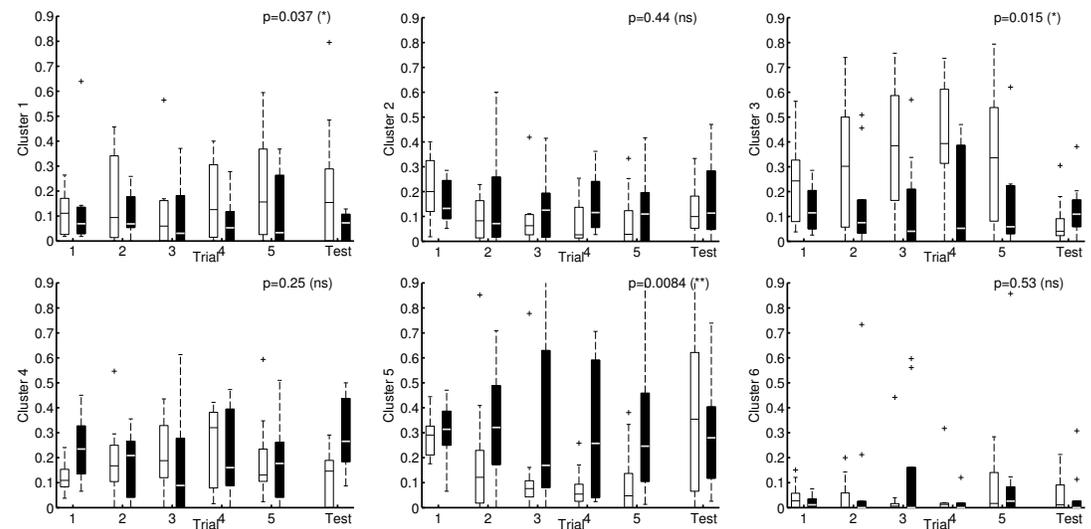


Figure 4. Distribution of segments from each cluster for control (white boxes) and treated (black boxes) animals for 5 days of spatial memory acquisition (with active shocks) and 1 test session on memory retrieval (without shocks) in the AAPA task. Boxes represent the first and third quartiles of the data, lines the median, crosses the outliers, and whiskers the minimum and maximum values. The Friedman test (Materials and Methods) was used to compare both groups of animals over all sessions; p-values are shown on the top right.

263 show an impairment of spatial memory in treated animals, the difference in performance
264 between the two groups becomes much more evident when their behavioural patterns are
265 compared. Impairment of avoidance in treated animals shows as poor recognition of the
266 position of the shock sector in the room frame coordinates and diminished ability of learning
267 efficient strategies to avoid it. Treated animals show instead a higher tendency for sitting
268 in one position in the arena until entering the shock sector. The new method identified six
269 types of distinct behaviours which, to the best of our knowledge, were never described in the
270 literature before.

271 This work shows how machine learning algorithms can be applied to behavioural data
272 to find patterns and create a method for identifying stereotypical navigation strategies. The
273 semi-supervised method developed previously for the MWM was extended to a fully unsuper-
274 vised context and generalised to work with another completely different experimental setup.
275 This shows that the method is general enough and that it can be extended to further types
276 of experiments. Although in the AAPA test, unlike the MWM test, the trajectory has usually
277 been considered a measure of free locomotor activity, not memory, here we show that one
278 can derive useful measures of memory from the trajectory. The software tools implementing
279 the present analysis, which are based on the tools developed previously for the MWM, are
280 freely available and can be used as a basis for creating more sophisticated tools or to gener-
281 alise the method to other behavioural setups. We note that the circular shape of the arena
282 is incidental to the analysis. One could apply the approach presented here to regions of arbi-
283 trary shapes, indeed, the segmentation of trajectories into pieces of equal length makes this
284 method amenable even to open field studies or fields of classical square shapes.

285 One possible point of criticism of the method presented here is that the classification
286 method depends on relatively fine tuned features which have to be defined for each type
287 of experiment. In order to apply the method to other experimental setups, appropriate fea-
288 tures that measure relevant geometrical aspects of the trajectories or their relative position
289 relative to an objective (such as the escape platform in the MWM or a special area, e.g. a sector
290 to be avoided in the place avoidance task) have to be defined. This problem is minimised to

291 some degree here by using a larger pool of features and a feature extraction method in the
292 form of PCA. This is done to reduce the dimensionality of the data set and to find a reduced
293 set of most relevant features that can account for most of the data variability. Nevertheless,
294 the problem of first having to design appropriate features for a given experiment remains. In
295 order to fully overcome this limitation the possibility of defining abstract measures that can
296 be more universally applied remains to be investigated. One promising approach for achiev-
297 ing this is presented in **Korz (2006)**, which introduces a method in which the coordinates of
298 the paths of animals in the MWM are used to define a new set of features. In his work PCA is
299 also used to reduce the resulting high dimensional feature space and it is shown that the first
300 few principal components are enough to account for most of the variability in the data. The
301 same approach was not adopted here since trajectories were classified not only by their ge-
302 ometrical aspect but also by other factors such as their relative position in the maze and the
303 movement speed of the animals. However, a more general approach that, for example, com-
304 bines automatically defined features from geometrical aspects with hand tuned positional
305 measures could be investigated in the future.

306 **Materials and methods**

307 **Experimental setup**

308 The experiments using the Active Place Avoidance Test were conducted at the Nencki In-
309 stitute of Experimental Biology, Warsaw, Poland. The same basic experimental setup as de-
310 scribed in **Wesierska et al. (2009)** was used. The setup consisted of an aluminium circular
311 arena 80 cm in diameter and a 2 cm rim which rotated with one revolution per minute. The
312 arena was positioned 80 cm over the floor and placed in the centre of a 3x4 meter lightly lit
313 room which contained many stable external visual cues. Infrared light-emitting diodes (LED)
314 for tracking the position of the animals and a 25G (0.50 mm) hypodermic needle electrode
315 were attached to the backs of the rats. A second LED was attached to the periphery of the
316 arena. It allowed monitoring the position of the rat by the infrared TV camera which was
317 connected to a computer system. The experimental setup is shown schematically in Figure
318 5.

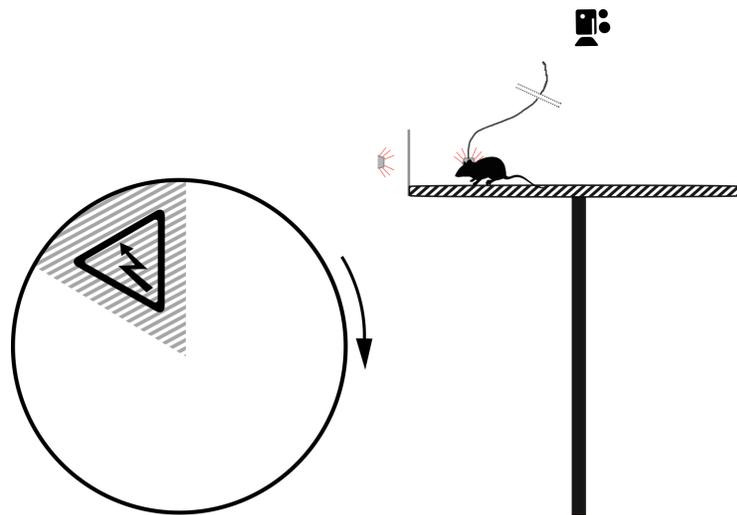


Figure 5. The Active Place Avoidance setup. Animals are placed on top of an elevated arena which is slowly rotating (1 revolution per minute). They can move freely around the arena but need to learn to avoid the shocks, which are delivered on sector, which is fixed according to the distal room cues. If they enter sector to be avoided, a short lasting low current pulse is delivered to their paws and repeated with a delay until they leave this sector. The position of the animals is tracked with LEDs 1 and 2, and a top-mounted camera.

319 Five recording sessions of 20 min each with a fixed shock sector (in the room coordinates)
320 were performed over a set of five consecutive days. This was followed by a test trial five days
321 later where the shock sector was not active. For the trials with an active shock sector animals
322 received a short (0.5 s) constant current pulse whenever they entered a predefined 60° shock
323 sector, which remained fixed across the trials. The amplitude of the shock pulses varied be-
324 tween 0.2 and 0.5 mA and was determined individually for each animal so that shocks did
325 not make the animal freeze or induce attempts to escape the arena. Shocks were repeated
326 every 1.5 s until the animal left the shock sector. The position of the animal and the current
327 state of the electrode (shock active or not) was recorded at 25 Hz using commercial software
328 (Bio-Signal Group, New York).

329 **Animals and treatment**

330 Twenty naïve adult (2.5 month-old) male Wistar rats, weighing 270–310g, were obtained from
331 the breeding colony of The Center of Experimental Medicine of the Medical University of Bi-
332 alystok, Poland. They were accommodated in transparent plastic home cages, four animals
333 per cage, under standard conditions (a constant temperature of 22°C, 12:12 light/dark cycle,
334 humidity at 50%). Water and food were available in the cages ad-libitum. 28 days before the
335 experiments ten of the animals were treated orally with silver nanoparticles (experimental
336 group) and ten with water (untreated control group).

337 All the manipulations were done according to the European Community directive for the
338 ethical use of experimental animals and the Polish Communities Council for the care and use
339 of laboratory animals.

340 **Data analysis**

341 The recorded trajectories of the animals were exported from the data acquisition system as
342 text files and further processed by custom data analysis software written in Matlab. The data
343 analysis method employed here is an extension and generalization of the method described
344 in *Gehring et al. (2015)*. The classification used in that work was based on a semi-supervised
345 clustering algorithm which made use of a partial set of labelled data to constrain the cluster-
346 ing algorithm and to map clusters to one of the predefined classes of behaviour.

347 In the present work, data analysis was also based on a classification of trajectory segments
348 into different stereotypical types of behaviour, however, classes of behaviour were not prede-
349 fined. Also, no labelling of data of any kind was performed, that is, the classification performed
350 here was completely unsupervised.

351 The analysis done here consisted of the following steps:

- 352 1. splitting the trajectories of the animals into shorter segments;
- 353 2. computing a set of features for each segment and reducing the dimensionality of the
354 data;
- 355 3. clustering the data;
- 356 4. analysing the distribution of the resulting clusters for both groups of animals;

357 **Segmentation of trajectories**

358 The main focus of our analysis was to understand how the strategies of animals for avoid-
359 ing the shock sector evolve over time (between sessions) and differ between treated and un-
360 treated animals. Therefore, in the first step the recorded trajectories were split into fragments
361 delimited by entrances/exits from the shock sector. That is, only the parts of the trajectories
362 not falling in the shock sector were considered. Since the length of the trajectories between
363 shocks varied widely, from the order of a few seconds up to the duration of the trial (20 min),
364 and since the animals during this long time usually display multiple types of behaviour, these
365 fragments were split further.

366 The objective of the second segmentation step was to isolate the different behaviours
 367 found in a trajectory and to generate a more uniform distribution of segment lengths, to facil-
 368 itate classification. The second segmentation used changes in the angular speed as criteria
 369 for splitting the trajectory segments. This is because in the Active Allothetic Place Avoidance
 370 Test animals have to move in the angular direction in order to evade the shock sector. There-
 371 fore, changes in the sign and magnitude of the angular speed were taken as the delimiting
 372 points of the segments. More formally, in the second segmentation step trajectory points
 373 were processed sequentially and added to a sub-segment until the difference between the
 374 local and median angular speed of the sub-segment (recomputed for each new added point)
 375 exceeded 0.6 rad/s. Segments shorter than 5 seconds were discarded in further analysis.
 376 The two segmentation steps are shown schematically in Figure 6. From the original 120
 377 trajectories, 1,741 segments were generated after the first segmentation step and 6,237 after
 378 the second. Other statistics of the two segmentation steps can be seen in Table 3.

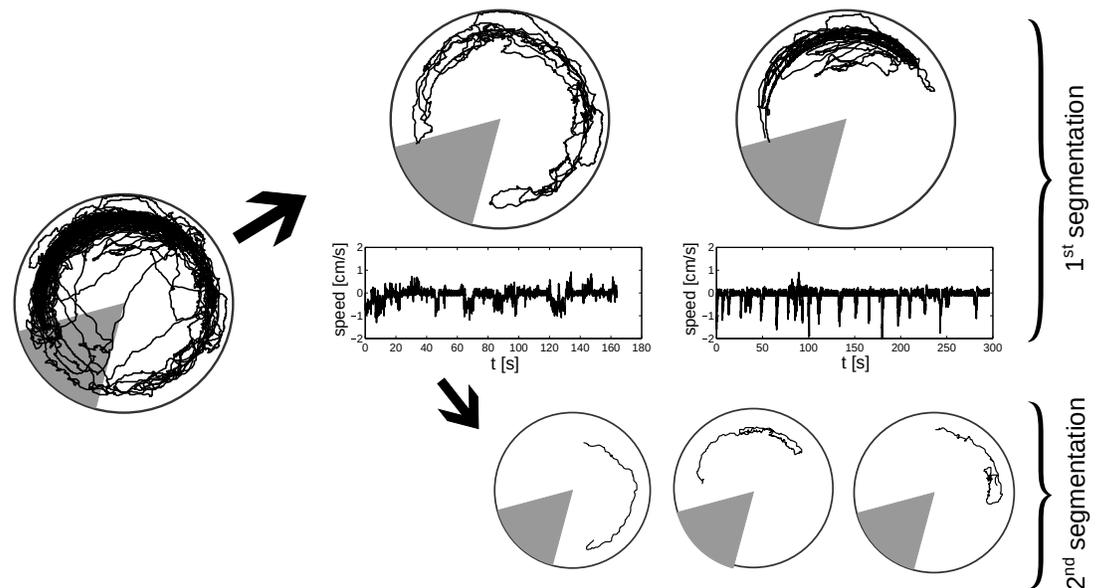


Figure 6. Two-step segmentation of the trajectories. In the first step trajectories are split into segments containing only the parts of the paths not falling inside the shock sector. In the second step, sudden changes in the angular speed (middle plots) are taken as the delimiting points of the segments.

Table 3. Segmentation of trajectories statistics. All lengths are measured in the arena (rotating) reference frame. The last column shows the total length of the resulting segments compared to the input, i.e., without the short segments that were discarded.

Segmentation	Segments	Avg. length	Min. length	Max. length	Rel. length
(Full paths)	120	23,670 cm	6,095 cm	34,138 cm	100%
1st	1,741	1,430 cm	51 cm	24,370 cm	88.2%
2nd	6,237	349 cm	2 cm	1,957 cm	87.5%

379 Computation of features

380 For each trajectory segment a set of 11 features was computed. The resulting data space was
 381 then reduced to a smaller dimensional space using Principal Component Analysis (PCA). The
 382 resulting data was then fed to a clustering algorithm.

383 This section describes the 11 features, that measure different geometrical and positional
384 aspects of the segments, used in the classification (Table 1). Some features are computed
385 using the room reference frame, that is, the coordinates including the rotation of the arena;
386 other features are computed in the rotating reference frame, i.e., using the real paths swept
387 by the animals on the arena. A detailed description of each feature is given in what follows.

388 *Angular distance to shock sector*

389 This value measures the angular distance from the centre of the shock sector in the room
390 coordinate frame to the angular centre of the segment. The latter is computed by adding the
391 position vectors of each sample in the trajectory (i.e. the vector to the centre of the arena) and
392 then taking the angle of the resulting vector relative to the middle shock sector angle. If the
393 resulting angle is negative, 2π is added to it so that the resulting values are in the $[0, 2\pi)$ range.

394 *Angular dispersion*

395 The angular dispersion measures the angular spread of the trajectories in the room coordinate
396 frame. It is here defined as the differences between the maximum and minimum angles of
397 the position vectors of each data sample in the trajectory.

398 *Median/IQR of the log-radius*

399 These values are calculated from the trajectory by computing the distance to the centre of
400 the arena for each data sample, taking the logarithm and then computing the median (in-
401 terquartile range) of the values. The median and IQR were chosen over the mean and stan-
402 dard deviation because they are less susceptible to outliers.

403 *Trajectory centrality*

404 Measures the relative amount of time that the animal spends at the more central regions of
405 the arena. The value is computed by computing the length of the trajectory falling within a
406 concentric circle with a radius of 75 % of the radius of the arena and dividing this value by the
407 total length of the trajectory.

408 *Median/IQR speed*

409 The speed at each trajectory point is computed and the median/interquartile-range of the
410 resulting values is then calculated.

411 *Median/IQR angular speed*

412 The angular speed (relative to the centre of the arena) at each trajectory point is computed
413 and the median/interquartile-range of the resulting values is then calculated.

414 *Speed change frequency*

415 Measures the number of times that the speed changes abruptly within the segment. Calcu-
416 lated by counting the number of times that the (absolute) speed crosses 25 % of the median
417 speed of the segment.

418 The 11 features computed for each trajectory segment were not used directly for clustering
419 the data. This is because in a high dimensional vector space the distance between elements
420 tends to be very similar, making it difficult to find meaningful clusters (**Aggarwal et al., 2001**).
421 In order to overcome this problem without having to explicitly select a small subset of features,
422 Principal Component Analysis (PCA) (**Wold et al., 1987**) was used. PCA transforms N possibly
423 correlated sets of features into N linearly uncorrelated variables, or principal components. The
424 principal components are defined so that each successive component points to the direction
425 that maximizes the variance of the data. That is, the first principal component accounts for
426 most of the variability of the data, followed by the second, and so on. Therefore, keeping
427 the first few principal components and projecting the old feature values onto them one can
428 reduce dimensionality of the data.

429 Here the data were clustered multiple times using different numbers of principal compo-
430 nents. The criteria used to select the appropriate number of components, or the dimension
431 of the resulting feature space, are described below.

432 **Clustering**

433 We used Metric Pairwise Constrained K-Means (MPCKMeans) clustering algorithm (*Bilenko*
434 *et al., 2004*). It is based on the classic K-Means algorithm (*MacQueen, 1967; Hartigan and*
435 *Wong, 1979*) but supports features such as metric-learning and constrained clustering, which
436 technically makes it a semi-supervised algorithm. The latter feature was not used here, but
437 constraints can be very useful if, for example, a set of predefined classes of behaviour and pre-
438 labelled data is available (see for example *Gehring et al. (2015)* where this feature was used).
439 Since no labelled data was used here our method is in practice completely unsupervised.

440 Although it would have been possible to use a standard K-Means algorithm for the analysis
441 here, the MPCK-means was chosen instead in order to maintain consistency with the previous
442 work on the MWM trajectories (*Gehring et al., 2015*) and because of its support for some ad-
443 ditional features, such as clusters of different shapes, made possible by a cluster-dependent
444 metric function updated at each cluster iteration. This is in contrast to the standard K-means
445 algorithm which uses a single metric function which usually leads to a more homogeneous
446 distribution of cluster sizes and shapes. Another important property of the MPCK-means algo-
447 rithm which makes results easier to compare and recall is its deterministic nature. In classic
448 K-means results are usually non-deterministic because of the use of random initial conditions.

449 **Number of principal components and target number of clusters**

450 One of the difficulties in using a clustering algorithm is choosing the appropriate target num-
451 ber of clusters. To choose appropriate target number of clusters and the number of principal
452 components (or features) for clustering the data the following criteria were used:

- 453 1. The maximum correlation between any two clusters should not be too large ($\leq 90\%$ as a
454 thumb rule). A large correlation between clusters means that two or more clusters are
455 too similar and therefore redundant;
- 456 2. The minimum number of elements inside a cluster is not too small ($\geq 5 - 10\%$ of the total
457 elements, although the value depends on the number of target clusters, or classes). This
458 is to avoid having clusters that are empty or close to empty;

459 The correlation between two clusters was computed by averaging the correlation between
460 N elements closest to the centroids of each cluster, where N is the size of the smallest clus-
461 ter. The data was clustered using different number of principal components and number
462 of clusters. The results that fulfilled both of the above conditions with the minimum num-
463 ber of principal components (or dimensions) and maximum number of clusters (or types of
464 behaviour) were then adopted.

465 **Statistics**

466 Multi-factor testing of variance was done using a Friedman test (*Siegel, 1956*), a nonparamet-
467 ric test that is well suited for data that is not normally distributed. The Friedman test is also a
468 matched test, and can control for experimental variability among subjects. In our case the
469 same animals were analysed over multiple sessions, which show a gradual change in be-
470 haviour over time. The variability between sessions, that affects all animals, was not taken
471 into account. The p-values shown in our analyses answer the question: if the effect of differ-
472 ent treatments (untreated control vs. silver-nanoparticles treatment) is identical, what is the
473 chance that a random sampling would result in the distribution of values as far apart as ob-
474 served? Small p-values (< 0.05 in our analyses) lead us to discard the null hypotheses that the
475 results are identical and differences are only due to random sampling.

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