

## Dystroglycan Maintains Inner Limiting Membrane Integrity to Coordinate Retinal Development

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1    **Abstract**

2       Proper neural circuit formation requires the precise regulation of neuronal migration,  
3       axon guidance and dendritic arborization. Mutations affecting the function of the  
4       transmembrane glycoprotein dystroglycan cause a form of congenital muscular  
5       dystrophy that is frequently associated with neurodevelopmental abnormalities. Despite  
6       its importance in brain development, the role for dystroglycan in regulating retinal  
7       development remains poorly understood. Using a mouse model of dystroglycanopathy  
8       (*ISPD*<sup>L79\*</sup>) and conditional *dystroglycan* mutants of both sexes, we show that  
9       dystroglycan is critical for the proper migration, axon guidance and dendritic  
10      stratification of neurons in the inner retina. Using genetic approaches, we show that  
11      dystroglycan functions in neuroepithelial cells as an extracellular scaffold to maintain the  
12      integrity of the retinal inner limiting membrane (ILM). Surprisingly, despite the profound  
13      disruptions in inner retinal circuit formation, spontaneous retinal activity is preserved.  
14      These results highlight the importance of dystroglycan in coordinating multiple aspects  
15      of retinal development.

16

17    **Significance Statement**

18       The extracellular environment plays a critical role in coordinating neuronal migration  
19       and neurite outgrowth during neural circuit development. The transmembrane  
20      glycoprotein dystroglycan functions as a receptor for multiple extracellular matrix  
21      proteins, and its dysfunction leads to a form of muscular dystrophy frequently  
22      associated with neurodevelopmental defects. Our results demonstrate that dystroglycan  
23      is required for maintaining the structural integrity of the inner limiting membrane (ILM) in

24 the developing retina. In the absence of functional dystroglycan, ILM degeneration leads  
25 to defective migration, axon guidance and mosaic spacing of neurons, and a loss of  
26 multiple neuron types during retinal development. These results demonstrate that  
27 disorganization of retinal circuit development is a likely contributor to visual dysfunction  
28 in patients with dystroglycanopathy.

29

30 **Introduction**

31 The precise lamination of neurons is critical for establishing proper connectivity in  
32 the developing nervous system. The retina is organized in three cellular layers: the  
33 outer nuclear layer (ONL) comprised of rod and cone photoreceptors; the inner nuclear  
34 layer (INL) containing horizontal cells, bipolar cells and amacrine cells; and the ganglion  
35 cell layer containing RGCs and displaced amacrine cells (Bassett and Wallace, 2012).  
36 Two synaptic lamina form postnatally: the outer plexiform layer (OPL), which contains  
37 synapses between photoreceptors, bipolar cells and horizontal cells; and the inner  
38 plexiform layer (IPL), which contains synapses between bipolar cells, amacrine cells  
39 and RGCs. The molecular cues that direct the laminar positioning of neurons and the  
40 stratification of their processes within the synaptic layers remain poorly understood.

41 Unlike the cerebral cortex, where many neurons migrate along the radial glia  
42 scaffold, retinal migration does not require contact between neurons and neuroepithelial  
43 cells (Reese, 2011). RGCs, bipolar cells, and photoreceptors migrate by nuclear  
44 translocation through a basally-directed process. Basal process contact with the inner  
45 limiting membrane (ILM) is critical for the polarization and migration of RGCs (Randlett  
46 et al., 2011). The ILM is enriched with extracellular matrix (ECM) proteins including

47 laminins, Collagen IV, and perlecan (Taylor et al., 2015; Varshney et al., 2015).  
48 Mutations in specific laminins (Lam $\alpha$ 1, Lam $\beta$ 2 and Lam $\gamma$ 3) or the laminin receptor  $\beta$ 1-  
49 Integrin disrupt formation of the ILM and organization of the ganglion cell layer (GCL)  
50 (Edwards et al., 2010; Pinzon-Duarte et al., 2010; Gnanaguru et al., 2013; Riccomagno  
51 et al., 2014). How laminins and other ECM proteins are initially organized in the ILM and  
52 how the ILM directs the organization of the retina remains unclear.

53 In addition to  $\beta$ 1-Integrin, the transmembrane glycoprotein dystroglycan functions as  
54 a receptor for laminins and other ECM proteins through its extracellular  $\alpha$ -subunit.  
55 Dystroglycan connects to the actin cytoskeleton through the intracellular domain of its  
56 transmembrane  $\beta$ -subunit, which is part of the dystrophin glycoprotein complex (Moore  
57 and Winder, 2010). Mutations disrupting the glycosylation of dystroglycan affect its  
58 binding to Laminin G (LG)-domain containing ECM proteins and lead to a form of  
59 congenital muscular dystrophy termed dystroglycanopathy (Taniguchi-Ikeda et al.,  
60 2016). The most severe forms, Muscle-Eye-Brain disease (MEB) and Walker Warburg  
61 Syndrome (WWS), are accompanied by cortical malformation (type II lissencephaly),  
62 cerebellar abnormalities, and retinal dysplasias (Dobyns et al., 1989).

63 Brain malformations in dystroglycanopathies reflect the critical role that dystroglycan  
64 plays in maintaining the architecture of the neuroepithelial scaffold (Moore et al., 2002;  
65 Myshrrall et al., 2012). Focal regions of retinal dysplasia have also been observed in  
66 mouse models of dystroglycanopathy, with ectopic cells protruding through the ILM  
67 (Takeda et al., 2003; Lee et al., 2005; Satz et al., 2008; Chan et al., 2010; Takahashi et  
68 al., 2011). In *Xenopus*, morpholino depletion of *dystroglycan* results in microphthalmia,  
69 degeneration of the ILM, and abnormal positioning of photoreceptors, bipolar cells, and

70 retinal ganglion cells (Lunardi et al., 2006). However, several important questions  
71 regarding the role of dystroglycan in mammalian retinal development remain  
72 unaddressed. First, what is the underlying cause of retinal dysplasia in  
73 dystroglycanopathy? Second, is dystroglycan required for the proper migration and  
74 lamination of specific subtypes of retinal neurons? Third, how does the loss of  
75 dystroglycan affect axon guidance, dendritic stratification and mosaic spacing in the  
76 retina? Finally, how do retinal dysplasias in models of dystroglycanopathy affect the  
77 function of the retina?

78 Here, using multiple genetic models, we identify a critical role for dystroglycan in  
79 multiple aspects of retinal development. We show that dystroglycan within the  
80 neuroepithelium is critical for maintaining the structural integrity of the ILM. We provide  
81 *in vivo* evidence that dystroglycan's maintenance of the ILM is required for proper  
82 neuronal migration, axon guidance, formation of synaptic lamina, and the survival of  
83 multiple retinal neuron subtypes. Surprisingly, spontaneous retinal activity appears  
84 unperturbed, despite the dramatic disruption in inner retinal development. Together,  
85 these results provide critical insight into how dystroglycan directs the proper functional  
86 assembly of retinal circuits.

87

## 88 **Material and Methods**

## 89 **Experimental Design and Statistical Analysis**

90 Mice were maintained on mixed genetic backgrounds and were used irrespective of  
91 sex. All phenotypic analysis was conducted with  $n \geq 3$  animals obtained from at least two  
92 different litters of mice. Statistical analysis was performed using JMP Pro 13.0 software

93 (SAS Institute). Comparison between two groups was analyzed using a Student's t-test.  
94 Comparison between two or more groups was analyzed using a Two-Way ANOVA and  
95 Tukey post-hoc test. Comparison of retinal wave parameters was analyzed using a  
96 Wilcoxon Rank Sums test. The significance threshold was set at 0.05 for all statistical  
97 tests. \* indicates p<0.05; \*\* indicates p<0.01; \*\*\* indicates p<0.001.

98 **Animals**

99 Animal procedures were approved by OHSU Institutional Animal Care and Use  
100 Committee and conformed to the National Institutes of Health *Guide for the care and*  
101 *use of laboratory animals*. Animals were euthanized by administration of CO<sub>2</sub>. The day  
102 of vaginal plug observation was designated as embryonic day 0 (e0) and the day of birth  
103 in this study was designated as postnatal day 0 (P0). The generation and genotyping  
104 protocols for *ISPD*<sup>L79\*/L79\*</sup> (Wright et al., 2012), *DG*<sup>F/F</sup> (Moore et al., 2002) and *DG*<sup>cyt</sup>  
105 (Satz et al., 2009) mice have been described previously. The presence of the cre allele  
106 in *Sox2*<sup>Cre</sup> (Hayashi et al., 2002), *Six3*<sup>Cre</sup> (Furuta et al., 2000), *Isl1*<sup>Cre</sup> (Yang et al., 2006)  
107 and *Nestin*<sup>Cre</sup> mice (Tronche et al., 1999) was detected by generic cre primers.  
108 *ISPD*<sup>+/L79\*</sup>, *DG*<sup>cyt/+</sup>, and *DG*<sup>F/+</sup>; *Six3*<sup>Cre</sup> or *DG*<sup>F/+</sup> age matched littermates were used as  
109 controls.

110 **Tissue Preparation and Immunohistochemistry**

111 Embryonic retinas were left in the head and fixed overnight at 4°C in 4% PFA and  
112 washed in PBS for 30 minutes. Heads were equilibrated in 15% sucrose overnight and  
113 flash frozen in OCT medium. Postnatal retinas were dissected out of the animal and the  
114 lens was removed from the eyecup. Intact retinas were fixed at room temperature for 30  
115 minutes in 4% PFA. Retinas were washed in PBS for 30 minutes and equilibrated in a

116 sequential gradient of 10%, sucrose, 20% sucrose and 30% sucrose overnight. Tissue  
117 was sectioned on a cryostat at 16-25um. Tissue sections were blocked in a PBS  
118 solution containing 2% Normal Donkey Serum and 0.2% Triton for 30 minutes, and  
119 incubated in primary antibody overnight at 4°C. Sections were washed for 30 minutes  
120 and incubated in secondary antibody in a PBS solution containing 2% Normal Donkey  
121 Serum for 2-4 hours. Sections were incubated in DAPI to stain nuclei for 10 minutes,  
122 washed for 30 minutes, and mounted using Fluoromount medium. The source and  
123 concentration of all antibodies utilized in this study are listed in Table 1.

124 **Wholmount retinal staining**

125 Postnatal retinas were dissected out of the animal and the lens was removed from  
126 the eyecup. Intact retinas were fixed at room temperature for 30 minutes in 4% PFA.  
127 Retinas were incubated in primary antibody diluted in PBS solution containing 2%  
128 Normal Donkey Serum and 0.2% Triton for two days at 4°C. Retinas were washed in  
129 PBS for one day and incubated in secondary antibody diluted in PBS solution containing  
130 2% Normal Donkey Serum for two days at 4°C, washed for one day in PBS and  
131 mounted using Fluoromount medium.

132 **Microscopy**

133 Imaging was performed on a Zeiss Axio Imager M2 upright microscope equipped  
134 with an ApoTome.2. Imaging of synapses was performed on a Zeiss Elyra PS.1 with  
135 LSM 710 laser-scanning confocal Super-Resolution Microscope with AiryScan. Imaging  
136 of retinal waves was performed on a Nikon TiE inverted microscope with full  
137 environmental chamber equipped with a Yokogawa CSU-W1 spinning disk confocal  
138 unit.

139 **Quantification of cell number and mosaic spacing**

140 For each experiment, 3-4 locations per retina at the midpoint of each lobe were  
141 sampled. Cell counts of horizontal cells (Calbindin), apoptotic cells (Cleaved Caspase-3,  
142 P0), and starburst amacrine cells (ChAT) were obtained from 500 x 500  $\mu\text{m}$  images and  
143 quantified in FIJI. Cell counts of apoptotic cells (Cleaved Caspase-3, e16) and ganglion  
144 cells (RPBMS) were obtained from 250 x 250  $\mu\text{m}$  images and quantified in FIJI.  
145 Analysis of retinal mosaics (Calbindin, ChAT) were conducted on 500 x 500  $\mu\text{m}$  images  
146 by measuring the X-Y coordinates for each cell and Voronoi domains were calculated in  
147 FIJI and nearest neighbor measurements calculated with WinDRP. Cell counts of  
148 proliferating cells (PH3 positive, e13, e16) were done by counting the number of positive  
149 cells in mid-retinal 20 $\mu\text{m}$  sections.

150

151 **Live cell imaging and analysis**

152 Retinas from P1  $DG^{F/+}$ ;  $Six3^{cre}$ ; *R26-LSL-GCaMP6f* and  $DG^{F/-}$ ;  $Six3^{cre}$ ; *R26-LSL-*  
153 *GCaMP6f* were dissected into chilled Ames' Medium (Sigma) buffered with sodium  
154 bicarbonate and bubbled with carbogen gas (95% O<sub>2</sub>, 5% CO<sub>2</sub>). The retinas were  
155 dissected out of the eyecup, mounted RGC side up on cellulose membrane filters  
156 (Millipore) and placed in a glass-bottom petri dish containing Ames' Medium. A platinum  
157 harp was used to stabilize the filter paper during imaging. Imaging was performed at  
158 30°C using a 10x0.45 Plan Apo Air objective with a field of 1664 by 1404  $\mu\text{m}$  with a 3Hz  
159 imaging timeframe. The field was illuminated with a 488 nm laser. 3-4 retinal fields were  
160 imaged per retina, and each field of retina was imaged for a two-minute time series  
161 using a 300ms exposure and each field was sampled 3-5 times per imaging session.

162        Thirty representative control and thirty representative mutant time series were  
163        randomly selected for analysis. Only waves that initiated and terminated within the  
164        imaging field were used for analysis. To measure wave area, movies were manually  
165        viewed using FIJI frame by frame to determine the start and end frame of a wave. A Z-  
166        Projection for maximum intensity was used to create an image with the entire wave, and  
167        the boundary of the wave was manually traced to determine the area. Wave area per  
168        time was calculated by dividing the area of the wave by the duration in seconds of the  
169        wave. Any wave lasting less than 2 seconds was not used in analysis, consistent with  
170        previous studies (Blankenship et al., 2009).

171

172        **Results**

173

174        **Dystroglycan is required for inner limiting membrane integrity**

175        Dystroglycan plays a critical role in the developing cortex, where it anchors radial  
176        neuroepithelial endfeet to the basement membrane along the pial surface. In the  
177        absence of functional dystroglycan, disruptions in the cortical basement membrane and  
178        detachment of neuroepithelial endfeet lead to profound neuronal migration phenotypes  
179        (Moore et al., 2002; Myshrrall et al., 2012). In the adult retina, dystroglycan is present in  
180        blood vessels, in RGCs, at ribbon synapses in the OPL, and at the ILM, which serves as  
181        a basement membrane that separates the neural retina from the vitreous space  
182        (Montanaro et al., 1995; Omori et al., 2012). However, the role of dystroglycan in  
183        regulating neuronal migration, axon guidance or dendritic stratification of specific cell  
184        types during retinal development has not been examined in a comprehensive manner.  
185        To address this open question, we first examined the expression pattern of dystroglycan

186 in the developing retina. Using immunohistochemistry, we observed dystroglycan  
187 expression along radial processes that span the width of the retina, and its selective  
188 enrichment at the ILM from embryonic ages (e13) through birth (P0) (Figure 1A). These  
189 processes are likely a combination of neuroepithelial cells and the basal process of  
190 migrating RGCs. Loss of staining in retinas from an epiblast-specific *dystroglycan*  
191 conditional knockout ( $DG^{F/-}$ ;  $Sox2^{cre}$ ) confirmed the specificity of this expression pattern  
192 (Figure 1B).

193 The first step in the assembly of basement membranes is the recruitment of laminin  
194 polymers to the cell surface by sulfated glycolipids, followed by the stabilization of  
195 laminin polymers by transmembrane receptors (Yurchenco, 2011). To determine  
196 whether dystroglycan is required for the initial formation of the ILM during retinal  
197 development, we utilized two complementary genetic models. *ISPD<sup>L79\*/L79\*</sup>* mutants,  
198 previously identified in a forward genetic screen, lack the mature glycan chains required  
199 for dystroglycan to bind ligands such as laminin and are a model for severe  
200 dystroglycanopathy (Wright et al., 2012).  $DG^{F/-}$ ;  $Sox2^{cre}$  conditional mutants lack  
201 dystroglycan in epiblast-derived tissues, including all retinal tissue, and were utilized to  
202 confirm that phenotypes observed in *ISPD<sup>L79\*/L79\*</sup>* mice are dystroglycan dependent. The  
203 enrichment of laminin at the ILM appeared normal in early retinal development at e13 in  
204 both *ISPD<sup>L79\*/L79\*</sup>* and  $DG^{F/-}$ ;  $Sox2^{cre}$  mutants (Figure 1C-E), indicating that dystroglycan  
205 is not required for the initial formation of the ILM. However, at e16, we observed a loss  
206 of laminin staining and degeneration of the ILM across the entire surface of the retina in  
207 *ISPD<sup>L79\*/L79\*</sup>* and  $DG^{F/-}$ ;  $Sox2^{cre}$  mutants (Figure 1D-F). The loss of ILM integrity in  
208 *ISPD<sup>L79\*/L79\*</sup>* and  $DG^{F/-}$ ;  $Sox2^{cre}$  mutants was accompanied by the inappropriate

209 migration of retinal neurons, resulting in the formation of an ectopic layer of neurons  
210 protruding into the vitreous space.

211 Following the initial polymerization of laminin on cell surfaces, additional ECM  
212 proteins bind and crosslink the nascent basement membrane to increase its stability  
213 and complexity. Examination of *ISPD*<sup>L79\*/L79\*</sup> and *DG*<sup>F/-</sup>; *Sox2*<sup>cre</sup> mutant retinas at P0  
214 revealed a loss of the ECM proteins Collagen IV (red), and Perlecan (green), coinciding  
215 with the disruptions in Laminin (purple) (Figure 2A, B, C). These data suggest that while  
216 dystroglycan is not required for the initial formation of the ILM, it is essential for its  
217 maturation and maintenance. Furthermore, dystroglycan is critical for the ILM to  
218 function as a structural barrier to prevent the ectopic migration of neurons into the  
219 vitreous space.

220

## 221 **Dystroglycan is required for vascular and optic fiber layer development**

222 The hyaloid vasculature in the embryonic retina normally regresses as astrocytes  
223 and the retinal vascular plexus emerge through the optic nerve head beginning around  
224 birth (Fruttiger, 2007). Previous studies have found that defects in ILM integrity disrupt  
225 the emergence and migration of astrocytes and the retinal vasculature (Lee et al., 2005;  
226 Edwards et al., 2010; Takahashi et al., 2011; Tao and Zhang, 2016). In agreement with  
227 these findings, we observe that at embryonic ages in *ISPD*<sup>L79\*/L79\*</sup> mutant retinas, the  
228 hyaloid vasculature becomes embedded within the ectopic retinal neuron layer at e16  
229 (Figure 3A), and fails to regress at P0 (Figure 3B). In addition, the emergence of the  
230 retinal vasculature and astrocytes is stunted in *ISPD*<sup>L79\*/L79\*</sup> mutants (Figure 3B).

231 We have shown previously that the organization of the basement membrane by  
232 dystroglycan provides a permissive growth substrate for axons in the developing spinal  
233 cord (Wright et al., 2012). In addition, contact with laminin in the ILM stabilizes the  
234 leading process of newly generated RGCs to direct the formation of the nascent axon  
235 (Randlett et al., 2011). These axons remain in close proximity to the ILM as they extend  
236 centrally towards the optic nerve head, forming the optic fiber layer (OFL). Therefore,  
237 we examined whether the disruptions in the ILM affected the guidance of RGC axons in  
238 *ISPD*<sup>L79\*/L79\*</sup> and *DG*<sup>F/-</sup>; *Sox2*<sup>cre</sup> mutants. At e13, axons in both control and mutant  
239 retinas formed a dense and continuous network in the basal retina, directly abutting the  
240 ILM (Figure 4A). In contrast, at e16 (Figure 4B) and P0 (Figure 4C), RGC axons in  
241 *ISPD*<sup>L79\*/L79\*</sup> and *DG*<sup>F/-</sup>; *Sox2*<sup>cre</sup> mutants were disorganized, exhibiting both  
242 defasciculation (asterisks) and hyperfasciculation (arrowheads).

243 To gain further insight into the specific defects that occur in RGC axons, we used a  
244 flat mount retina preparation. Regardless of their location in the retina, all RGCs orient  
245 and extend their axons towards the center of the retina, where they exit the retina  
246 through the optic nerve head (Bao, 2008). In control retinas at e16 (Figure 4D, left  
247 panel) and P0 (Figure 4E, left panel) axons traveled towards the optic nerve head in  
248 fasciculated, non-overlapping bundles. In contrast, we frequently observed  
249 defasciculated RGC axons that grew in random directions without respect to their  
250 orientation to the optic nerve head in both *ISPD*<sup>L79\*/L79\*</sup> and *DG*<sup>F/-</sup>; *Sox2*<sup>cre</sup> mutants.  
251 Together, these data show that proper growth and guidance of RGC axons to the optic  
252 nerve head requires dystroglycan to maintain an intact ILM as a growth substrate.

253

254 **Dystroglycan is required for axonal targeting, dendritic lamination, and cell  
255 spacing in the postnatal retina**

256 Our results in *ISPD*<sup>L79\*/L79\*</sup> and *DG*<sup>F/-</sup>; *Sox2*<sup>cre</sup> mutants demonstrate that  
257 dystroglycan is required for ILM integrity and to prevent the ectopic migration of neurons  
258 into the vitreous (Figure 1). However, the specific neuronal subtypes affected in models  
259 of dystroglycanopathy are unknown, and the role of dystroglycan in regulating postnatal  
260 aspects of retinal development has not been examined. The synaptic layers of the retina  
261 develop postnatally, with tripartite synapses between the photoreceptors, bipolar cells  
262 and horizontal cells forming in the OPL, and synapses between bipolar cells, amacrine  
263 cells and retinal ganglion cells forming in the IPL. The development of these synaptic  
264 layers requires the precise stratification of both axons and dendrites that occurs  
265 between P0 and P14. Since *ISPD*<sup>L79\*/L79\*</sup> and *DG*<sup>F/-</sup>; *Sox2*<sup>cre</sup> mutant mice exhibit  
266 perinatal lethality, we deleted *dystroglycan* selectively from the early neural retina using  
267 a *Six3*<sup>cre</sup> driver (Furuta et al., 2000) (Figure 5A).

268 Analysis of *DG*<sup>F/-</sup>; *Six3*<sup>cre</sup> retinas confirmed that dystroglycan protein was lost along  
269 the neuroepithelial processes and at the ILM (Figure 5B). We next confirmed that *DG*<sup>F/-</sup>;  
270 *Six3*<sup>cre</sup> mice recapitulated the retinal phenotypes identified in *ISPD*<sup>L79\*/L79\*</sup> and *DG*<sup>F/-</sup>;  
271 *Sox2*<sup>cre</sup> mice. We observed a degeneration of the ILM (laminin, purple) accompanied by  
272 ectopic migration of neurons into the vitreous (Figure 5C), abnormal fasciculation and  
273 guidance of RGC axons (Figure 5D), and defective emergence and migration of  
274 astrocytes and the vascular plexus (Figure 5E). While fully penetrant, the ILM  
275 degeneration and neuronal migration defects in *DG*<sup>F/-</sup>; *Six3*<sup>cre</sup> mice were milder than in  
276 *DG*<sup>F/-</sup>; *Sox2*<sup>cre</sup> mice, exhibiting a patchiness that was distributed across the retina

277 (Figure 5F). The defects in  $DG^{F/-}$ ;  $Six3^{cre}$  mice contrast the finding that conditional  
278 deletion of dystroglycan with  $Nestin^{cre}$  does not affect the overall structure of the retina  
279 (Satz et al., 2009). We find that this difference is likely due to the onset and pattern of  
280 Cre expression, as recombination of a Cre-dependent reporter (*Rosa26-lox-stop-lox-*  
281 *TdTomato; Ai9*) occurred earlier and more broadly in  $Six3^{Cre}$  mice than in  $Nestin^{cre}$  mice  
282 (Figure 5A, data not shown).

283  $DG^{F/-}$ ;  $Six3^{cre}$  mice are healthy and survive into adulthood, allowing us to examine  
284 the role of dystroglycan in postnatal retinal development. We analyzed  $DG$ ;  $Six3^{cre}$   
285 retinas at P14 when migration is complete and the laminar specificity of axons and  
286 dendrites has been established (Morgan and Wong, 1995). The overall architecture of  
287 the ONL appeared unaffected by the loss of dystroglycan in  $DG^{F/-}$ ;  $Six3^{cre}$  mice, and cell  
288 body positioning of photoreceptors appeared similar to controls (Figure 6A). Within the  
289 INL, the laminar positioning of rod bipolar cell bodies (PKC, Figure 6B), cone bipolar cell  
290 bodies (SCGN, Figure 6C), horizontal cells (arrows, Calbindin, Figure 6E), and Müller  
291 glia cell bodies (Figure 6H) and the targeting of their processes to the OPL appeared  
292 normal in  $DG^{F/-}$ ;  $Six3^{cre}$  mutants. However, bipolar cell axons that are normally confined  
293 to the synaptic layers in the IPL, and Müller glia processes that are normally  
294 concentrated at the ILM both extended aberrant projections into the ectopic clusters  
295 (Figure 6B-C, H).

296 In contrast to the normal laminar architecture of the outer retina, the inner retina  
297 was disorganized in  $DG^{F/-}$ ;  $Six3^{cre}$  mutants. Subsets of amacrine and ganglion cells  
298 labeled by ChAT (starburst amacrine cells, Figure 6D), calbindin (Figure 6E), and  
299 calretinin (Figure 6F) that are normally confined to the INL and GCL were present in the

300 ectopic clusters that protrude into the vitreous space. Glycinergic amacrine cells (GlyT1,  
301 Figure 6G), whose cell bodies are normally found in a single layer within the INL, were  
302 also present within ectopic clusters. Compared to the OPL, which appeared grossly  
303 normal, dendritic stratification within the IPL in  $DG^{F/-}$ ;  $Six3^{cre}$  mutant retinas was  
304 disrupted. The laminated dendritic strata appeared expanded (Figure 6D), fragmented  
305 (Figure 6D-F) and occasionally lacked an entire lamina (Figure 6E). These defects were  
306 restricted to regions of the retina where ectopic neuronal clusters were present,  
307 whereas regions of the  $DG^{F/-}$ ;  $Six3^{cre}$  mutant retina with normal cellular migration and  
308 lamination also had normal dendritic stratification (Figure 5F). These results  
309 demonstrate that the ectopic clusters consisted of multiple subtypes of amacrine cells  
310 and ganglion cells that normally reside in the INL and GCL, and that the disorganization  
311 of the dendritic strata are likely secondary to the cell migration defects.

312 Over the course of retinal development, multiple cell types, including horizontal  
313 cells and amacrine cells, develop mosaic spacing patterns that ensure cells maintain  
314 complete and non-random coverage over the surface of the retina (Wassle and  
315 Riemann, 1978). This final mosaic pattern is established by both the removal of excess  
316 cells through apoptosis and the lateral dispersion of “like-subtype” cells via homotypic  
317 avoidance mechanisms (Kay et al., 2012; Li et al., 2015). To determine whether the  
318 defects in establishing proper laminar positioning of retinal subtypes in  $DG^{F/-}$ ;  $Six3^{cre}$   
319 mutants extends to mosaic spacing, we performed nearest neighbor analysis. For  
320 horizontal cells, which exhibit normal lamination in  $DG^{F/-}$ ;  $Six3^{cre}$  mutant retinas, we  
321 observed a small, but significant reduction in the number of cells (Figure 7A-B, Two-  
322 Way ANOVA,  $p<0.0001$ , Tukey HSD post-hoc test \*\*\* $p<0.0001$ ). Despite the reduction

323 in horizontal cell number, nearest neighbor curves between controls and mutants are  
324 the same shape, indicating that horizontal cell mosaics are maintained in dystroglycan  
325 mutants (Figure 7A-B).

326 ChAT positive starburst amacrine cells are present in two distinct lamina that form  
327 mosaic spacing patterns independent from one another. Consistent with this, ChAT  
328 labeled cells in the INL showed normal mosaic cell spacing (Figure 7C, top, Figure 7D,  
329 top, Two-Way ANOVA, Tukey HSD post-hoc test \* $p=0.0494$ ). In contrast, the GCL  
330 contained prominent ChAT positive clusters that corresponded to the ectopic  
331 protrusions that extend into the vitreous, resulting in a decrease in cell spacing as  
332 determined by nearest neighbor analysis (Figure 7C, bottom, Figure 7D, bottom, Two-  
333 Way ANOVA,  $p<0.0001$ , Tukey HSD post-hoc test \*\*\* $p<0.0001$ ). These results  
334 demonstrate that laminar migration defects in  $DG^{fl/fl}$ ;  $Six3^{cre}$  mutants degrade the mosaic  
335 spacing of cells in the GCL, and that contact with an intact ILM is likely required for the  
336 proper lateral dispersion of these cells.

337

338 **Deletion of dystroglycan leads to a loss of photoreceptors, horizontal cells and**  
339 **ganglion cells**

340 During development, normal physiological apoptotic cell death during the first two  
341 postnatal weeks plays an important role in retinal maturation (Young, 1984). This  
342 process is critical for establishing the proper numbers and spacing of some subtypes of  
343 cells across the mature retina, as well as removing cells that fail to connect to  
344 appropriate synaptic targets (Braunger et al., 2014). Degeneration of the ILM during  
345 development can lead to a reduction in the number of ganglion cells, and previous

346 analysis of dystroglycanopathy mutants has noted thinning of the retina (Halfter et al.,  
347 2005; Lee et al., 2005; Satz et al., 2008; Chan et al., 2010; Takahashi et al., 2011). In  
348 agreement with these results, we observed that the retinas of  $DG^{F/-}$ ;  $Six3^{cre}$  mutants are  
349 thinner (Figure 6, 8). However, the specific cell types affected by the loss of  
350 dystroglycan in the retina are unknown.

351 To investigate the mechanism by which loss of dystroglycan contributes to retinal  
352 thinning, we began by measuring the distance between the edges of the inner and outer  
353 retina in control and  $DG^{F/-}$ ;  $Six3^{cre}$  mutants by DAPI staining and found that there was a  
354 significant reduction in overall retinal thickness by approximately 20% in mutants  
355 (Figure 8A, blue, Figure 8B, t test,  $p=0.0039$ ). We next investigated which specific cell  
356 types contribute to retinal thinning. In the outer retina, the thickness of the photoreceptor  
357 layer (recoverin, Figure 8A, green, Figure 8C, t-test,  $p=0.0163$ ) was reduced by  
358 approximately 20% and the density of horizontal cells had a small, yet significant  
359 reduction in  $DG^{F/-}$ ;  $Six3^{cre}$  mutants (calbindin, Figure 8G, t-test,  $p=0.0112$ ). In contrast,  
360 the thickness of the bipolar cell layer (Chx10, Figure 8A, purple, Figure 8D, t-test,  
361  $p>0.05$ ) was normal. In the inner retina, there was a 50% reduction in the density of  
362 ganglion cells (Figure 8H, I, t-test,  $p<0.0001$ ), while the density of ChAT+ starburst  
363 amacrine cells in both the INL and GCL was normal in  $DG^{F/-}$ ;  $Six3^{cre}$  mutants (Figure 8E,  
364 F, t-test,  $p>0.05$ ). Thus, a reduction in the number of photoreceptors, horizontal cells  
365 and RGCs contribute to the overall thinning of  $DG^{F/-}$ ;  $Six3^{cre}$  retinas.

366 To determine whether the reduction in photoreceptors, horizontal cells and RGCs in  
367 the absence of dystroglycan was due to defects in proliferation of retinal progenitors, we  
368 examined phospho-Histone H3 (PH3) staining at embryonic ages. PH3 positive mitotic

369 progenitors were localized along the apical surface of the retina, and were present at  
370 the normal number in *ISPD*<sup>L79\*/L79\*</sup> mutants at e13 and e16 and (Figure 9 A, B, t-test,  
371 p>0.05). To determine whether the reduced number of neurons in mutant retinas was  
372 due to increased apoptosis, we quantified the number of caspase-3 positive cells. At  
373 e13, we observed no difference between in the number of caspase-3 positive cells in  
374 *ISPD*<sup>L79\*/L79\*</sup> mutants and controls (data not shown). In contrast, there was a significant  
375 increase in caspase-3 positive cells in *ISPD*<sup>L79\*/L79\*</sup> mutants at e16 (Figure 9D, t-test,  
376 p<0.0001) and P0 (Figure 9C, D, t-test, p<0.0001) that was restricted to the ganglion  
377 cell layer. Similarly, we observed an increased number of cleaved caspase-3 positive  
378 cells in the ganglion cell layer in *DG*<sup>F/-</sup>; *Six3*<sup>cre</sup> mutants at P0 (Figure 9C, D, t-test,  
379 p=0.0279). These results led us to conclude that the loss of RGCs in *DG*<sup>F/-</sup>; *Six3*<sup>cre</sup>  
380 mutants is due to increased apoptotic cell death.

381

382 **Dystroglycan functions non-cell autonomously as an extracellular scaffold in the**  
383 **developing retina**

384 We next sought to provide mechanistic insight into how dystroglycan regulates  
385 retinal development *in vivo*. In the cerebral cortex, the loss of dystroglycan results in  
386 breaches of the pial basement membrane and detachment of neuroepithelial endfeet  
387 from the pial surface, depriving neurons of a migratory scaffold. In addition, the cortical  
388 basement membrane defects cause the mis-positioning of Cajal-Retzius cells, which are  
389 the source of Reelin that regulates somal translocation of neurons as they detach from  
390 the neuroepithelial scaffold (Nakagawa et al., 2015). Deletion of *dystroglycan*  
391 specifically from postmitotic cortical neurons does not result in a migration phenotype

392 (Satz et al., 2010), supporting a model in which the cortical migration phenotypes arise  
393 due to disrupted interactions between the basement membrane and neuroepithelial  
394 scaffold. In contrast to the cerebral cortex, the basal migration of RGCs does not involve  
395 contact with the neuroepithelial scaffold. Instead, newly born RGCs migrate via somal  
396 translocation using an ILM-attached basal process that eventually becomes the nascent  
397 axon (Randlett et al., 2011; Ichai et al., 2016). Dystroglycan's expression in ILM-  
398 attached basal processes (Figure 1A) and RGCs (Montanaro et al., 1995) and the  
399 restriction of neuronal migration and axon guidance defects to the GCL raise the  
400 possibility that dystroglycan could be functioning cell-autonomously in the basal  
401 processes of newly born RGCs. To test this possibility, we generated  $DG^{F/-}$ ;  $Isl1^{cre}$   
402 conditional knockouts. *Isl1* is expressed in the majority of ganglion cells as they  
403 differentiate from the retinal progenitor pool (Pan et al., 2008b). Analysis of  $Isl1^{cre}$  mice  
404 at e13 confirmed recombination occurs in the majority of newly born ganglion cells, but  
405 not in neuroepithelial progenitors (Figure 10A). Interestingly, dystroglycan protein  
406 expression in  $DG^{F/-}$ ;  $Isl1^{cre}$  mutants was not altered compared to controls, suggesting  
407 that RGCs do not provide a significant source of dystroglycan at the ILM (Figure 10B).  
408 Examination of  $DG^{F/-}$ ;  $Isl1^{cre}$  mutants indicated that deletion of *dystroglycan* selectively  
409 from RGCs did not affect ILM integrity (Figure 10C). Neuronal migration (Figure 10C, E  
410 and F), axon guidance (Figure 10C and D) and the stratification of dendrites in the IPL  
411 (Figures 10 E and F) all appeared normal in  $DG^{F/-}$ ;  $Isl1^{cre}$  mutants. These results  
412 demonstrate that dystroglycan is not required within RGCs themselves during retinal  
413 development.

414 Dystroglycan consists of two subunits that can play distinct roles in the overall  
415 function of the protein. The extracellular  $\alpha$ -subunit is heavily glycosylated and functions  
416 as an extracellular scaffold by binding to extracellular matrix components such as  
417 laminin. The  $\beta$ -subunit contains a transmembrane and intracellular domain, and can  
418 bind directly to the intracellular scaffolding protein dystrophin and other modifiers of the  
419 actin cytoskeleton, as well as initiate intracellular signaling cascades (Moore and  
420 Winder, 2010). The intracellular domain of dystroglycan is required for the localization of  
421 dystrophin to the ILM, and mice lacking the intracellular domain of dystroglycan ( $DG^{-\beta}_{cyt}$ )  
422 (Satz et al., 2009) or the predominant retinal isoform of dystrophin ( $Mdx^{3Cv}$ ) (Blank et al.,  
423 1999) have abnormal scotopic electroretinograms, suggesting a defect in retinal  
424 function. While these mice do not have any disruptions in the ILM or gross  
425 malformations in the retina, whether dystroglycan signaling through dystrophin is  
426 required for neuronal migration, axon guidance or dendritic stratification has not been  
427 examined. Consistent with the original report, examination of the ILM and overall  
428 architecture of the retina is normal in  $DG^{-\beta}_{cyt}$  mice (Figure 11A). In addition, we find that  
429 neuronal migration, axon guidance and stratification of dendritic lamina are unaffected  
430 in  $DG^{-\beta}_{cyt}$  mice (Figure 11A-D). Therefore, intracellular signaling, including through  
431 dystrophin, is not required for these aspects of retinal development. Taken together with  
432 our results in  $DG^{F/-}; Isl1^{cre}$  mutants, these findings indicate that dystroglycan primarily  
433 functions within neuroepithelial cells as an extracellular scaffold to regulate the  
434 structural integrity of the ILM. The progressive degeneration of the ILM then leads to  
435 secondary defects including aberrant migration, axon guidance and dendritic  
436 stratification that primarily affect the inner retina.

437

438 **Dystroglycan is dispensable for the generation of spontaneous retinal waves**

439 One of the critical functions for laminar targeting in neural circuit development is to  
440 ensure that the axons and dendrites of appropriate cell types are in physical proximity to  
441 one another during synaptogenesis. In addition to regulating the laminar positioning of  
442 neurons in the cortex and retina, dystroglycan is required for the development of a  
443 subset of inhibitory synapses in the brain (Fruh et al., 2016). Therefore, we investigated  
444 the possibility that the loss of dystroglycan disrupts synapse formation in the retina.  
445 Previous studies have shown that ribbon synapses in the OPL are dysfunctional in the  
446 absence of dystroglycan or its ligand pikachurin (Sato et al., 2008). These synapses are  
447 normal at the resolution of light microscopy, but electron microscopy reveals that  
448 dystroglycan and pikachurin are required for the insertion of bipolar cell dendrite tips  
449 into ribbon synapse invaginations (Omori et al., 2012). Consistent with these results, we  
450 found that pre- and post-synaptic markers for ribbon synapses are present in  $DG^{F/-}$ ;  
451  $Six3^{cre}$  mutants (Figure 12A). In the inner retina, markers for excitatory synapses  
452 (VGLUT1, Figure 12B) and inhibitory synapses (VGAT, Figure 12C) were present in the  
453 IPL, and were also present in the mis-localized ectopic cell clusters that protrude into  
454 the vitreous (asterisks). This finding is similar to a recent study in which mice lacking the  
455 Cas family of intracellular adaptor proteins express synaptic markers localized to  
456 aberrant neuronal ectopia that protrude into the vitreous (Riccomagno et al., 2014).  
457 These results suggest that mis-laminated neurons in the retina are still able to recruit  
458 synaptic partners, despite their abnormal location.

459        The presence of synaptic markers in ectopic neuronal clusters in the inner retina  
460      does not guarantee normal function of these neurons. Recording synaptic activity in  
461      RGCs in response to light stimuli in  $DG^{F/-}$ ;  $Six3^{cre}$  mutants is not feasible due to the  
462      requirement for dystroglycan at photoreceptor ribbon synapses. We instead analyzed  
463      retinal waves, which are spontaneous bursts of activity that propagate across the retina  
464      prior to eye opening and are independent of light stimulation. During early postnatal  
465      development, these waves are initiated by acetylcholine (ACh) release from starburst  
466      amacrine cells and propagate along the starburst amacrine cell network prior to  
467      transmission to RGCs (Xu et al., 2016). In  $DG^{F/-}$ ;  $Six3^{cre}$  mutants, ChAT positive  
468      starburst amacrine cells are present in normal numbers, and while they are normally  
469      localized and mosaically spaced in the INL, they are disorganized in the GCL.  
470      Therefore, we expected that these defects might affect the propagation of retinal waves  
471      through the starburst amacrine cell and RGC network. As disruptions in the ILM in  $DG^{F/-}$   
472      ;  $Six3^{cre}$  mutants would lead to unequal bulk loading of cell permeable calcium  
473      indicators, we utilized the genetically-encoded calcium indicator GCaMP6f crossed onto  
474      the  $DG$ ;  $Six3^{cre}$  line to visualize retinal waves.

475        Retinal waves in control  $DG^{F/+}$ ;  $Six3^{cre}$ ; R26-LSL-GCaMP6f retinas at P1-P2 were  
476      robust and had similar spatiotemporal features (area, rate of propagation, refractory  
477      period) to waves measured using cell-permeable calcium indicators (Arroyo and Feller,  
478      2016). Consistent with previous reports, there is a broad distribution of wave area in  
479      control retinas (Figures 13A, C, Movie 1). Neighboring waves do not overlap with one  
480      another, but rather tile the retinal surface during the two-minute imaging period. To our  
481      surprise, retinal waves were present and appeared grossly normal in  $DG^{F/-}$ ;  $Six3^{cre}$ ;

482 *R26-LSL-GCaMP6f* mutants (Figure 13B, Movie 2). Waves in control and mutant retinas  
483 exhibited a similar distribution in wave area (Figure 13C), and the average wave area  
484 showed no statistical difference. The rate of wave propagation showed a similar  
485 distribution between controls and mutants (Figure 13D). The average rate of wave  
486 propagation showed a small, but statistically significant, decrease (Wilcoxon Rank Sum  
487 test, p=0.0493). Thus, despite the dramatic disorganization of ChAT positive starburst  
488 amacrine cells in the GCL of *DG*<sup>F/F</sup>; *Six3*<sup>cre</sup> mutants, the generation and propagation of  
489 retinal waves persisted.

490

491 **Discussion**

492  
493 While defects in retinal structure and function are observed in both human patients  
494 and mouse models of dystroglycanopathy, the mechanism of dystroglycan function in  
495 the mammalian retina and the consequence of its loss on specific cell types are poorly  
496 understood (Takeda et al., 2003; Lee et al., 2005; Satz et al., 2008; Satz et al., 2009;  
497 Chan et al., 2010; Takahashi et al., 2011). Our study establishes a critical role for  
498 dystroglycan in maintaining the integrity of the ILM, which is required for intra-retinal  
499 axon guidance and establishing laminar architecture and mosaic spacing in the inner  
500 retina. Mechanistically, we show that dystroglycan functions non-cell autonomously as  
501 an extracellular scaffold, as mice with selective deletion of *dystroglycan* from postmitotic  
502 RGCs (*DG*; *Isl1*<sup>cre</sup>) and mice lacking the intracellular domain of dystroglycan (*DG*<sup>-/-<sub>β</sub>cyt)  
503 appear phenotypically normal. Despite the dramatic disruptions in cellular lamination in  
504 the GCL and dendritic stratification in the IPL in *DG*; *Six3*<sup>cre</sup> mutants, dystroglycan</sup>

505 appears dispensable for the formation of synapses and the generation of spontaneous,  
506 light-independent activity in the retina.

507

508 **Requirement for dystroglycan at the ILM during retinal development.**

509 Using two genetic models for the complete loss of functional dystroglycan (*ISPD*<sup>L79\*</sup>  
510 and *DG*; *Sox2*<sup>Cre</sup>), we demonstrated that after the ILM forms, it rapidly degenerates in  
511 the absence of dystroglycan. These results suggest that dystroglycan is not required for  
512 the formation of the nascent ILM, but rather plays a critical role in maintaining ILM  
513 structure as it expands to accommodate the growing retina. Recruitment of laminin is a  
514 critical early step in the assembly of the ILM, and several laminin mutants have similar  
515 disruptions in ILM integrity (Edwards et al., 2010; Pinzon-Duarte et al., 2010;  
516 Gnanaguru et al., 2013). In contrast to *Xenopus*, where depletion of *dystroglycan* results  
517 in degeneration of both the ILM in the inner retina and Bruch's membrane in the outer  
518 retina, Bruch's membrane is unaffected by the loss of *dystroglycan* or isoforms of  
519 *Laminin* (Lunardi et al., 2006; Pinzon-Duarte et al., 2010). Mice lacking  $\beta$ -1 *Integrin* in  
520 the retina exhibit a similar defect to *dystroglycan* mutants, raising the possibility that  
521 these laminin receptors may be functionally redundant (Riccomagno et al., 2014).  
522 Surprisingly however, mice in which both *dystroglycan* and  $\beta$ -1 *Integrin* are deleted from  
523 the retina (*DG*; *ItgB1*; *Six3*<sup>Cre</sup>) still formed an ILM at e13, and the subsequent  
524 degeneration of the ILM was indistinguishable from *DG*; *Six3*<sup>Cre</sup> mutants (unpublished  
525 observations). Therefore, sulfated glycolipids alone are likely sufficient for the  
526 recruitment of laminin during the initial formation of the ILM.

527

528 **The ILM is required for neuronal migration and axon outgrowth in the retina**

529 In the developing cortex, the loss of functional dystroglycan leads to degeneration  
530 of the radial glia processes and ectopic clustering of reelin-secreting Cajal-Retzius  
531 neurons, which are thought to be the principal drivers of structural brain defects in  
532 dystroglycanopathies (Myshrrall et al., 2012; Nakagawa et al., 2015; Booler et al., 2016).  
533 Retinal neurons do not migrate along the neuroepithelial scaffold, and there is no cue  
534 analogous to reelin to signal termination of migration, suggesting that while dystroglycan  
535 functions primarily in neuroepithelial cells in the retina, the functional implications are  
536 distinct from its role in cortical neuroepithelial cells. In the cortex of *dystroglycan*  
537 deficient mice, the organization of neurons across all lamina is affected, whereas within  
538 the retina, migration defects are restricted to amacrine cells and RGCs in the inner  
539 retina. In contrast, migration defects in dystroglycan-deficient *Xenopus* retinas are more  
540 widespread and also affect outer retinal neurons, likely reflecting the requirement for  
541 dystroglycan at both the ILM and Bruch's membrane (Lunardi et al., 2006). What is the  
542 driving force behind the selective localization of amacrine cells and RGCs to the ectopic  
543 clusters that protrude into the vitreous in the mammalian retina? While the elimination of  
544 RGCs does not affect the lamination of other neurons, other neurons will organize  
545 themselves around mislocalized RGCs, resulting in an overall disorganization of retinal  
546 lamination (Wang et al., 2001; Kay et al., 2004; Ichai et al., 2016). In *dystroglycan*  
547 mutants, RGCs that encounter the degenerating ILM and inappropriately migrate into  
548 the vitreous may then actively recruit later born neurons such as amacrine cells to  
549 inappropriate locations.

550        The establishment of retinal mosaics requires tangential migration that is regulated  
551        by short range interactions between immature neurites of neighboring cells (Galli-Resta  
552        et al., 1997; Reese et al., 1999; Galli-Resta et al., 2002; Huckfeldt et al., 2009). A key  
553        feature of this process is that it requires homotypic cells to be localized within the same  
554        lamina, and cells in which mosaic spacing is disrupted are no longer restricted to a two-  
555        dimensional plane (Fuerst et al., 2008; Kay et al., 2012). In *dystroglycan* mutants, the  
556        laminar organization and mosaic spacing is normal in horizontal cells and INL starburst  
557        amacrine cells, but disrupted in starburst amacrine cells in the GCL. This defect is not  
558        due to dystroglycan functioning within starburst amacrine cells, as mosaic spacing was  
559        normal in *DG*; *Isl1*<sup>Cre</sup> mutant retinas (data not shown). Rather, the selective defects in  
560        mosaic spacing of GCL starburst amacrine cells suggests that this is likely a  
561        consequence of disrupting the two-dimensional organization of the GCL. Alternatively,  
562        mosaic spacing of cells within the GCL may require cues present in the ILM for their  
563        tangential dispersion.

564        Basement membranes are highly dynamic structures that contain pro-axon growth  
565        ECM molecules such as laminins and collagens, and also regulate the distribution of  
566        secreted axon guidance cues (Halfter et al., 1987; Chai and Morris, 1999; Xiao et al.,  
567        2011; Wright et al., 2012). A number of secreted cues direct intraretinal axon guidance  
568        of RGCs. Deletion of Netrin (Deiner et al., 1997) specifically affects exit of RGC axons  
569        through the optic nerve head, and deletion of Slits (Thompson et al., 2006) or Sfrps  
570        (Marcos et al., 2015) leads to the invasion of RGC axons into the outer retina. In  
571        contrast, the randomized growth and defasciculation of axons we observed in *ISPD*<sup>L79\*</sup>  
572        and *DG*; *Six3*<sup>Cre</sup> mutant retinas is more consistent with defects observed upon deletion

573 of adhesion receptors (Bastmeyer et al., 1995; Brittis et al., 1995; Ott et al., 1998).  
574 These results suggest that dystroglycan primarily functions to organize the ILM as a  
575 substrate for axonal adhesion.

576

577 **Loss of retinal neurons in the absence of dystroglycan**

578 While previous studies of mouse models of dystroglycanopathy have consistently  
579 noted retinal thinning, it was unclear which retinal cell types were affected. Our  
580 comprehensive analysis found that lack of dystroglycan led to reductions in  
581 photoreceptor layer thickness, horizontal cell number, and RGC number (Figure 8).  
582 Analysis of e13, e16 and P0 retinas from *ISPD*<sup>L79\*</sup> and *DG*; *Six3*<sup>cre</sup> mutants indicated  
583 that the loss of RGCs was not due to altered proliferation of retinal progenitors, but was  
584 primarily due to increased apoptosis of cells in the GCL that preceded and extended  
585 into the normal window of developmental apoptotic cell death. This increase in  
586 apoptotic cell death did not persist in adult (P56) *DG*; *Six3*<sup>cre</sup> mutants (data not shown),  
587 suggesting it was likely restricted to the developing retina. Why are RGCs lost at such a  
588 high rate in *DG*; *Six3*<sup>cre</sup> mutants, while displaced amacrine cell number in the GCL  
589 remains normal? One possibility is that RGCs are selectively affected since they are the  
590 only cell type to project out of the retina. Indeed, we observed profound guidance  
591 defects of RGCs at the optic chiasm in *ISPD*<sup>L79\*</sup> and *DG*; *Six3*<sup>cre</sup> mutants (unpublished  
592 observations). This result is similar to *Is1/1* and *Brn3b* deficient mice, in which axon  
593 growth defects at the optic chiasm precede an increase in RGC apoptosis (Gan et al.,  
594 1999; Pan et al., 2008a). The death of RGCs whose axons fail to reach retinorecipient

595 regions of the brain is consistent with the need for target-derived factors to support their  
596 survival, although the identity of these factors remains elusive.

597 While we did not observe increased caspase-3 reactivity in photoreceptors or  
598 horizontal cells at P0, it is possible that the loss of cells occurred gradually during the  
599 first two postnatal weeks. Dystroglycan is required for the proper formation of ribbon  
600 synapses between photoreceptors, horizontal cells and bipolar cells in the OPL (Sato et  
601 al., 2008; Omori et al., 2012). Therefore, the loss of appropriate synaptic contact in the  
602 absence of dystroglycan may lead to the elimination of a proportion of photoreceptors  
603 and horizontal cells.

604

#### 605 **Persistence of retinal waves in the absence of dystroglycan**

606 The defects in lamination and dendritic stratification of starburst amacrine cells in  
607 *DG; Six3<sup>cre</sup>* mutants led us to hypothesize that this would affect their ability to generate  
608 retinal waves. These waves are initiated by the spontaneous activity of starburst  
609 amacrine cells, independent of light stimuli, allowing us to circumvent the requirement  
610 for dystroglycan in proper transmission at ribbon synapses. Contrary to our  
611 expectations, retinal waves were present and propagated normally in *DG; Six3<sup>cre</sup>*  
612 mutants (Figure 13). The persistence of retinal waves even in the context of disrupted  
613 cellular organization supports the model that these waves are the product of volume  
614 release of ACh from starburst amacrine cells that can trigger extra-synaptic responses  
615 in cells that are not physically connected (Ford et al., 2012). Therefore, the relatively  
616 normal organization of INL starburst amacrine cells may be sufficient to overcome the  
617 disorganization of GCL starburst amacrine cells.

618

619 **Conclusion**

620 Retinal dysplasia and optic nerve hypoplasia are frequently observed in patients  
621 with severe forms of dystroglycanopathy (Manzini et al., 2008). Using multiple mouse  
622 models, we demonstrate that dystroglycan is required for multiple aspects of retinal  
623 development. We show that dystroglycan functions within neuroepithelial cells in the  
624 retina to regulate the structural integrity of a basement membrane (the ILM), which is  
625 required for the coordination of neuronal migration, axon guidance and dendritic  
626 stratification in the inner retina. In addition, we find that there is a significant loss of  
627 photoreceptors, horizontal cells and almost 50% of RGCs due to increased apoptotic  
628 cell death. Our data suggest that the disorganization of the inner retina resulting from  
629 degeneration of the ILM is a key contributor to visual impairment in  
630 dystroglycanopathies.

631

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## Figure Legends

**Figure 1: The inner limiting membrane undergoes progressive degeneration in the absence of functional dystroglycan.** (A) Dystroglycan ( $\beta$ -DG) is expressed throughout the developing retina, with an enrichment at the inner limiting membrane (ILM). (B) Dystroglycan expression is lost in  $DG^{F/-}$ ;  $Sox2^{Cre}$  mice. (C-E) The initial assembly of the ILM (laminin, green) occurs normally in the absence of functional dystroglycan in (D)  $ISPD^{L79*/L79*}$  and (E)  $DG^{F/-}$ ;  $Sox2^{cre}$  retinas. The ILM in  $ISPD^{L79*/L79*}$  and  $DG^{F/-}$ ;  $Sox2^{cre}$  retinas undergoes progressive degeneration at e16 (middle) and P0 (right), and retinal neurons migrate into the vitreous. (F) The ILM in  $ISPD^{L79*/L79*}$  retinas undergoes degeneration (right panel) that is present across the entire span of the retina at e16. Arrowheads indicate ILM, asterisks indicate non-specific staining of blood vessels. Scale bar, 50 $\mu$ m A-D, 200  $\mu$ m E.

**Figure 2: Dystroglycan is required to localize ECM proteins at the ILM.** (A) Multiple extracellular matrix proteins, including laminin (purple), collagen IV (red), and perlecan (green), localize to the ILM in controls. (B-C) Localization of extracellular matrix proteins is disrupted in the ILM in the absence of functional dystroglycan in  $ISPD^{L79*/L79*}$  (B) and  $DG^{F/-}$ ;  $Sox2^{cre}$  (C) retinas at P0. Arrowheads indicate ILM, asterisks indicate blood vessels. Scale bar, 50 $\mu$ m.

**Figure 3: Dystroglycan regulates normal retinal vasculature development.** (A) IB4 labeled hyaloid vasculature is present in the vitreous adjacent to the GCL in control retinas (left) but is embedded within ectopic cell clusters  $ISPD^{L79*/L79*}$  (right) retinas at e16. (B) Flat mount retinas at P0 show the emergence of the primary vascular plexus (IB4, green) and astrocytes (GFAP, purple) in controls (left). In  $ISPD^{L79*/L79*}$  retinas (right), the emergence of astrocytes and the primary vascular plexus into the retina is delayed (arrow) and there is a persistence of hyaloid vasculature. Scale bar 50  $\mu$ m A, 100  $\mu$ m B.

**Figure 4: Dystroglycan is required for intraretinal axon guidance.** (A) L1 positive axons in the optic fiber layer (OFL) initially appear normal in  $ISPD^{L79*/L79*}$  (middle), and  $DG^{F/-}$ ;  $Sox2^{cre}$  retinas (right) at e13. (B, C) As the ILM degenerates in  $ISPD^{L79*/L79*}$  and  $DG^{F/-}$ ;  $Sox2^{cre}$  retinas at e16 (B) and P0 (C), axons hyperfasciculate (arrowhead) and exhibit defasciculation (asterisk) within the OFL. (D, E) Flat mount preparations from  $ISPD^{L79*/L79*}$  and  $DG^{F/-}$ ;  $Sox2^{cre}$  retinas at e16 (D) and P0 (E) show progressive disruption of axon tracts (Neurofilament, 2H3). Scale bar, 50  $\mu$ m.

**Figure 5: Conditional deletion of dystroglycan in the developing retina results in migration and axon guidance defects.** (A) Recombination pattern of *Rosa26-lox-stop-lox-TdTOMATO; Ai9* reporter (green) by  $Six3^{cre}$  shows expression throughout the retina and in axons at e13. (B) Dystroglycan protein expression is lost in  $DG^{F/-}$ ;  $Six3^{cre}$  mice. (C, D)  $DG^{F/-}$ ;  $Six3^{cre}$  (right) mice exhibit inner limiting membrane degeneration (top, purple, laminin) and abnormal axonal fasciculation and guidance (top, green, bottom, 2H3). (E) Primary vascular plexus (IB4, green) and astrocytes (GFAP, purple) migrate from the optic nerve head (dashed circle) to the edge of the retina (dashed line) in

control retinas at P14 (right). Vascular and astrocyte migration is stunted in  $DG^{F/-}; Six3^{cre}$  retinas. (F) Focal migration defects (arrowheads) in P7  $DG^{F/-}; Six3^{cre}$  retinas are present across the entire span of the retina. Green arrowhead indicates high magnification image in F (right). Scale bar 100  $\mu$ m A left, 500  $\mu$ m F left, E, 50  $\mu$ m A, D right, B-D.

**Figure 6: Disrupted postnatal circuit formation in the inner retina of dystroglycan mutants.** (A) Photoreceptors (recoverin) have normal lamination in P14  $DG^{F/-}; Six3^{cre}$  retinas (right). (B-C) The cell bodies of bipolar cells (PKC, B, SCGN, C) exhibit normal lamination patterns, while their axons extend into ectopic cellular clusters in the ganglion cell layer. (D-G) Abnormal cellular lamination and disruptions in dendritic stratification of multiple amacrine and retinal ganglion cell types is observed in  $DG^{F/-}; Six3^{cre}$  retinas. (D) ChAT labels starburst amacrine cells, (E) calbindin and (F) calretinin label amacrine and ganglion cells, and (G) GlyT1 labels glycinergic amacrine cells. (H) Müller glia (glutamine synthetase) cell bodies are normally positioned while their inner retinal processes extend into ectopic cellular clusters. Arrowheads indicate axons or cell bodies in ectopic clusters, arrows indicate horizontal cell layer. Scale bar, 50  $\mu$ m.

**Figure 7: Dystroglycan is required for mosaic cell spacing in the ganglion cell layer.** (A, B). Horizontal cells (2H3) in flat mount P14 adult retinas have reduced cellular density, but normal mosaic cell spacing curves (Nearest neighbor analysis,  $p<0.0001$ , Two-Way ANOVA, Tukey HSD post-hoc test \*\*\* $p<0.0001$ ,  $n=20$  samples from 5 control retinas, 18 samples from 5 mutant retinas). (C, D) Mosaic cell spacing of starburst amacrine cells (ChAT) in the inner nuclear layer is normal (Nearest neighbor analysis, Two-Way ANOVA, Tukey HSD post-hoc test \* $p=0.0494$ ,  $n=10$  samples from 3 control retinas, 10 samples from 3 mutant retinas), while the ectopic clustering of starburst amacrine cells in the ganglion cell layer results in a significant disruption of mosaic spacing (Nearest neighbor analysis,  $p<0.0001$ , Two-Way ANOVA, Tukey HSD post-hoc test \*\*\* $p<0.0001$ ,  $n=10$  samples from 3 control retinas, 10 samples from 3 mutant retinas). Scale bar 100  $\mu$ m.

**Figure 8: Retinal thinning in dystroglycan mutants.** (A-D) P14  $DG^{F/-}; Six3^{cre}$  retinas show decreased retinal thickness (DAPI,  $p=0.0039$ , t test,  $n=7$  control, 7 mutant), a decreased thickness of the photoreceptor layer (C, Recoverin, green,  $p=0.0163$ , t-test,  $n=7$  control, 7 mutant) and no change in thickness of the bipolar cell layer (D, Chx10, purple,  $p>0.05$ , t-test,  $n=7$  control, 7 mutant). Starburst amacrine cell density is normal in both the INL (E,  $p>0.05$ , t-test,  $n=10$  samples from 3 control retinas, 10 samples from 3 mutant retinas) and GCL (F,  $p>0.05$ , t-test,  $n=10$  samples from 3 control retinas, 10 samples from 3 mutant retinas), while horizontal cells show a slight reduction in cell density (G) in P14  $DG^{F/-}; Six3^{cre}$  retinas ( $p=0.0112$ , t test,  $n=20$  samples from 5 control retinas, 18 samples from 5 mutant retinas). (H, I) Ganglion cell density (RBPMs) is reduced by approximately 50% in P14  $DG^{F/-}; Six3^{cre}$  retinas ( $p<0.0001$ , t-test,  $n=12$  samples from 3 control retinas, 12 samples from 3 mutant retinas). Scale bar 50  $\mu$ m.

**Figure 9: Loss of dystroglycan results in increased developmental cell death.** (A) Immunohistochemistry for mitotic cells (PH3) at e13 (top) and e16 (bottom) shows

normally positioned mitotic retinal progenitor cells adjacent to the RPE. (B) Quantification of mitotic cells shows no difference between control and  $ISPD^{L79^*/L79^*}$  mutants ( $p>0.05$ , t test,  $n=4$  control and 4 mutant retinas at e13,  $p>0.05$ , t test,  $n=4$  control and 4 mutant retinas at e16). (C-D) Immunohistochemistry for cleaved caspase-3 in a flat mount preparation of P0  $DG^{F/-}; Six3^{cre}$  retinas shows an increase in apoptotic cells. ( $p=0.0279$ , t test,  $n=18$  samples from 6 control retinas, 17 samples from 6 mutant retinas). (D) Quantification of cleaved caspase-3 positive cells shows an increase in apoptotic cells at e16 ( $p<0.0001$ , t test,  $n=18$  samples from 6 control retinas, 18 samples from 8 mutant retinas) and P0 (C, D  $p<0.0001$ , t test,  $n=18$  samples from 6 control retinas, 15 samples from 5 mutant retinas) between control (left) and  $ISPD^{L79^*/L79^*}$  (middle) retinas. Scale bar 50  $\mu$ m.

**Figure 10: Dystroglycan is not required within RGCs for their migration and axon outgrowth.** (A) Recombination pattern of *Rosa26-lox-stop-lox-TdTomato; Ai9* reporter (green) by *Isl1<sup>cre</sup>* shows expression the majority of differentiated ganglion cells at e12.5 (left) and P0 (right). (B) Dystroglycan expression at the ILM is unchanged between control and  $DG^{F/-}; Isl1^{cre}$  retinas. (C) The ILM (laminin, purple) and axons in the OFL (2H3, green) in  $DG^{F/-}; Isl1^{cre}$  retinas appear similar to control. (D) Flat mount preparations show normal axon fasciculation (2H3) in  $DG^{F/-}; Isl1^{cre}$  retinas. (E-F)  $DG^{F/-}; Isl1^{cre}$  (right panel) retinas (P14) have normal cellular lamination and dendritic stratification. Dashed line indicates ILM. Asterisk notes a differentiated ganglion cell body that is still migrating toward the ILM. Scale bar 20  $\mu$ m A left panel, scale bar 50  $\mu$ m A right panel, B-F.

**Figure 11: The intracellular signaling domain of dystroglycan is not required for proper retinal development.** (A) ILM integrity (laminin, purple), neuronal migration (DAPI, blue) and axon outgrowth (2H3, green) all appear normal in mice lacking the intracellular domain of dystroglycan ( $DG^{-,cyt}$ ) at P0. (B) Flat mount preparations show normal axon fasciculation (2H3) in  $DG^{-,cyt}$  retinas. (C-D)  $DG^{-,cyt}$  retinas (P28) have normal cellular lamination and dendritic stratification. Scale bar, 50  $\mu$ m.

**Figure 12: Synaptic markers are present in the retina of dystroglycan mutants.** (A) Markers for outer retinal ribbon synapses (Ribeye, presynaptic and mGluR6, postsynaptic) appear structurally normal in the absence of dystroglycan. The density of (B) excitatory (VGLUT1) and (C) inhibitory (VGAT) presynaptic markers appear similar to control in the inner retinas of  $DG^{F/-}; Six3^{cre}$  mutants. Synapses are also present within ectopic clusters (asterisks). Scale bar, 50  $\mu$ m wide view, scale bar, 10  $\mu$ m enlarged view.

**Figure 13: Dystroglycan is dispensable for the generation and propagation of retinal waves.** (A-B) At P1, waves propagate normally across the retina in  $DG^{F/+}; Six3^{cre}; R26-LSL-GCaMP6f$  (A) and  $DG^{F/-}; Six3^{cre}; R26-LSL-GCaMP6f$  mice (B). (C) Distributions of wave areas show no difference between controls and mutants (Wilcoxon rank sum test,  $p>0.05$ ). (D) Wave propagation rate is slightly slower in mutant retinas (Wilcoxon rank sum test,  $p=0.0493$ ). Wave parameters were calculated from 142 control waves obtained from 6 retinas from 3 control mice and 173 mutant waves obtained from

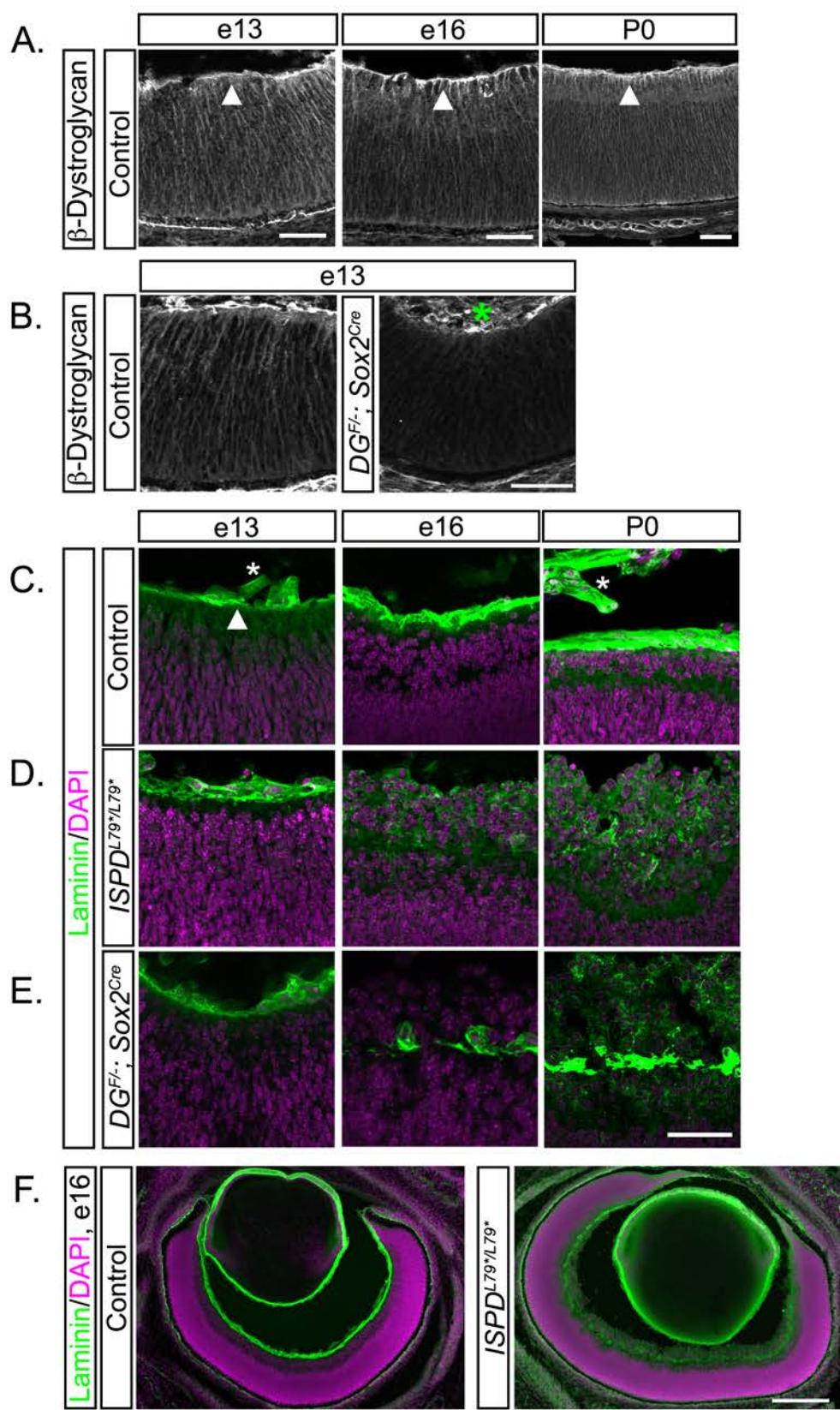
8 retinas from 5 mutant mice. Arrowheads indicate the initiation site of a retinal wave. Scale bar 500  $\mu\text{m}$ . Time displayed in milliseconds.

**Table 1: List of Antibodies.** Antibodies utilized throughout the study, including target, host species, dilution, manufacturer, catalog number and RRID.

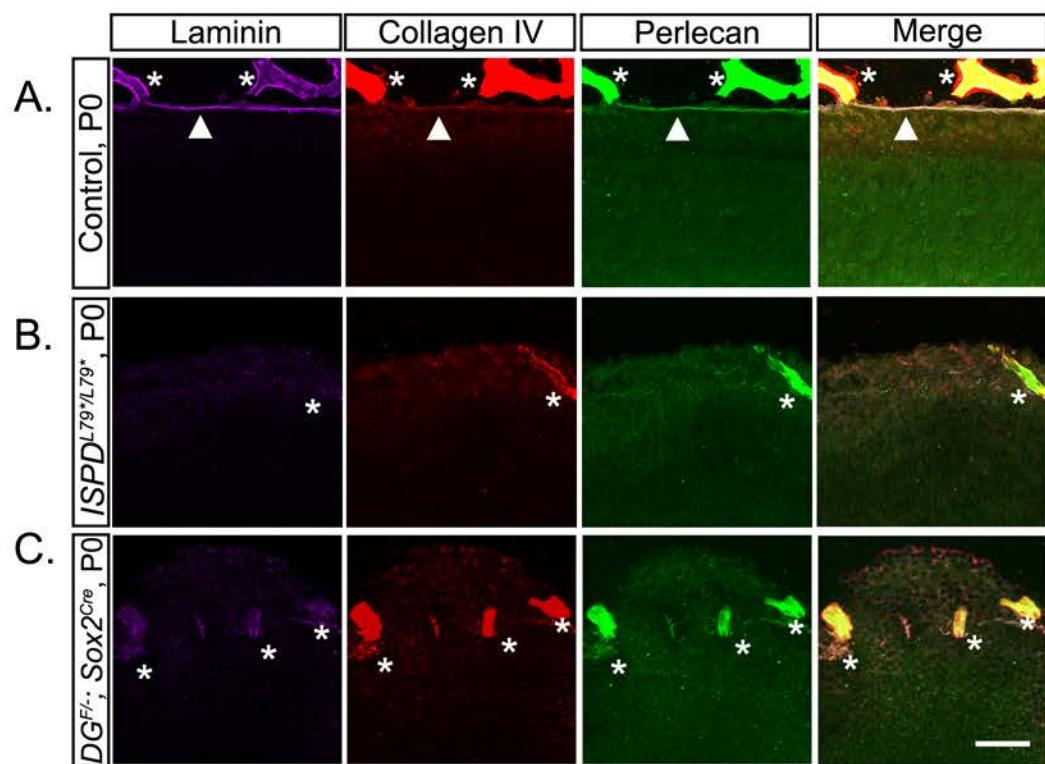
Movie 1: Retinal waves in  $DG^{F/+}$ ;  $Six3^{cre}$ ;  $R26$ -LSL-GCaMP6f mice. 15frames/sec, total of 21 frames

Movie 2: Retinal waves in  $DG^{F/-}$ ;  $Six3^{cre}$ ;  $R26$ -LSL-GCaMP6f mice. 15frames/sec, total of 21 frames

# Figure 1



## Figure 2



## Figure 3

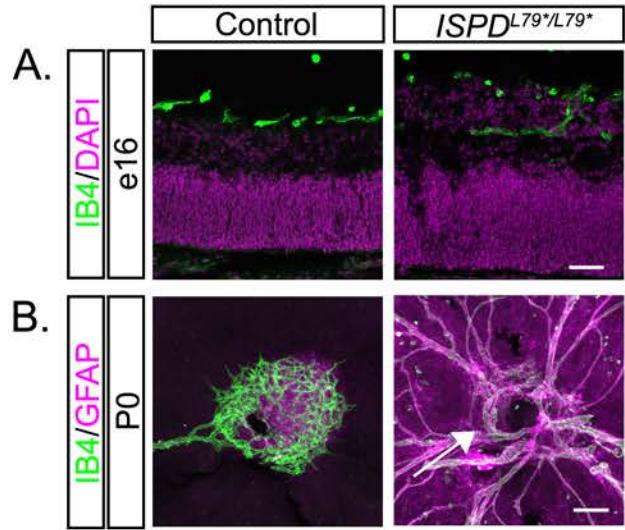
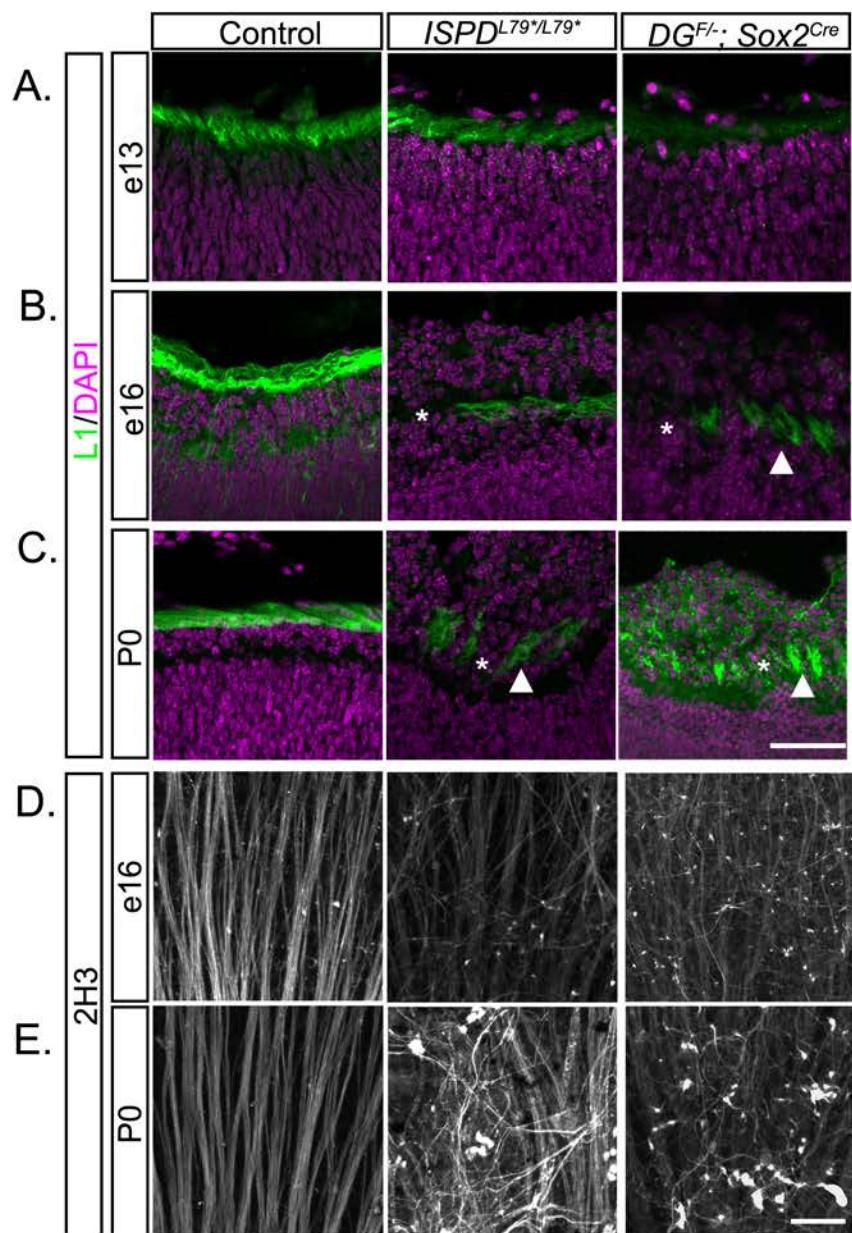


Figure 4



## Figure 5

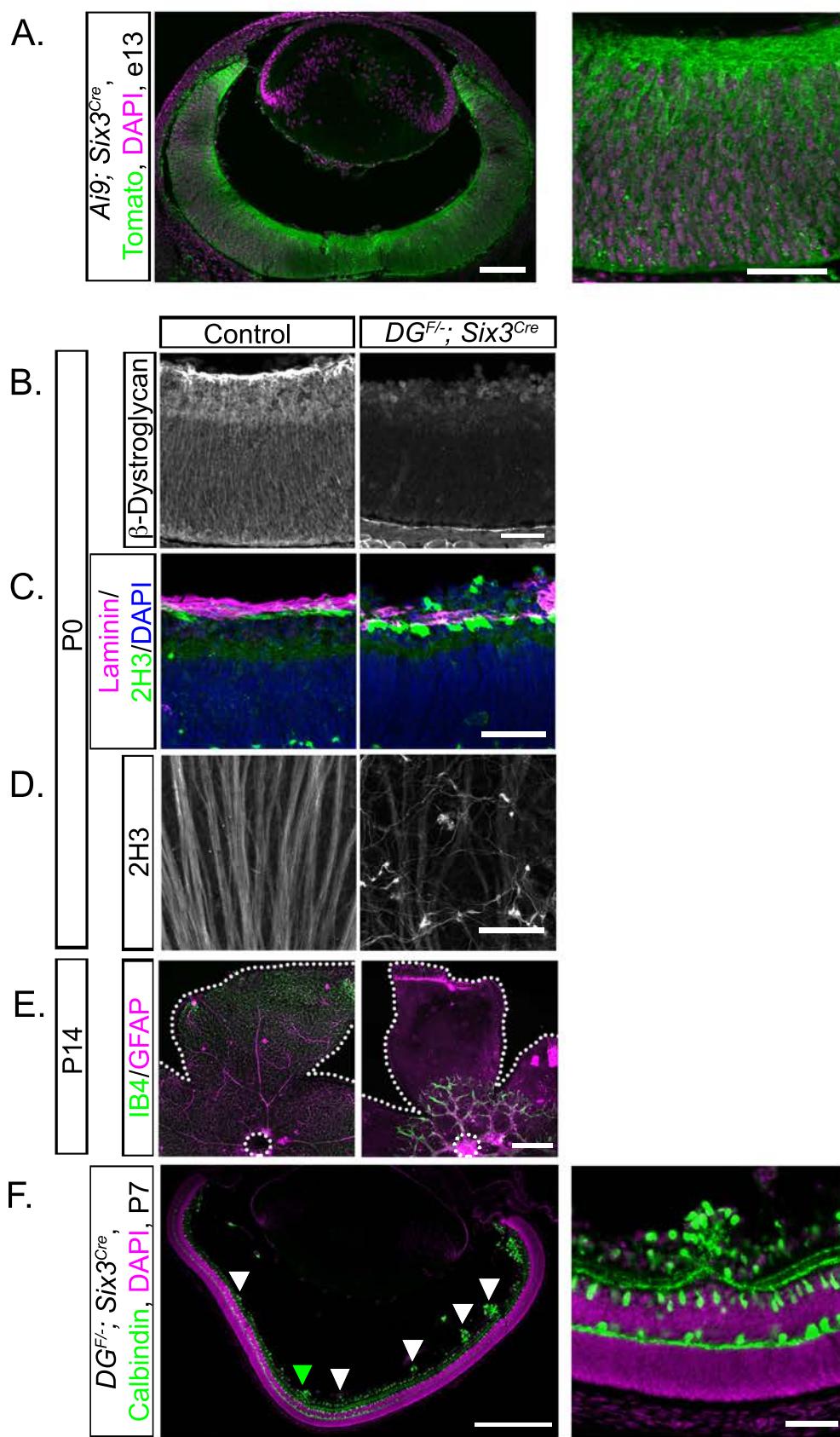


Figure 6

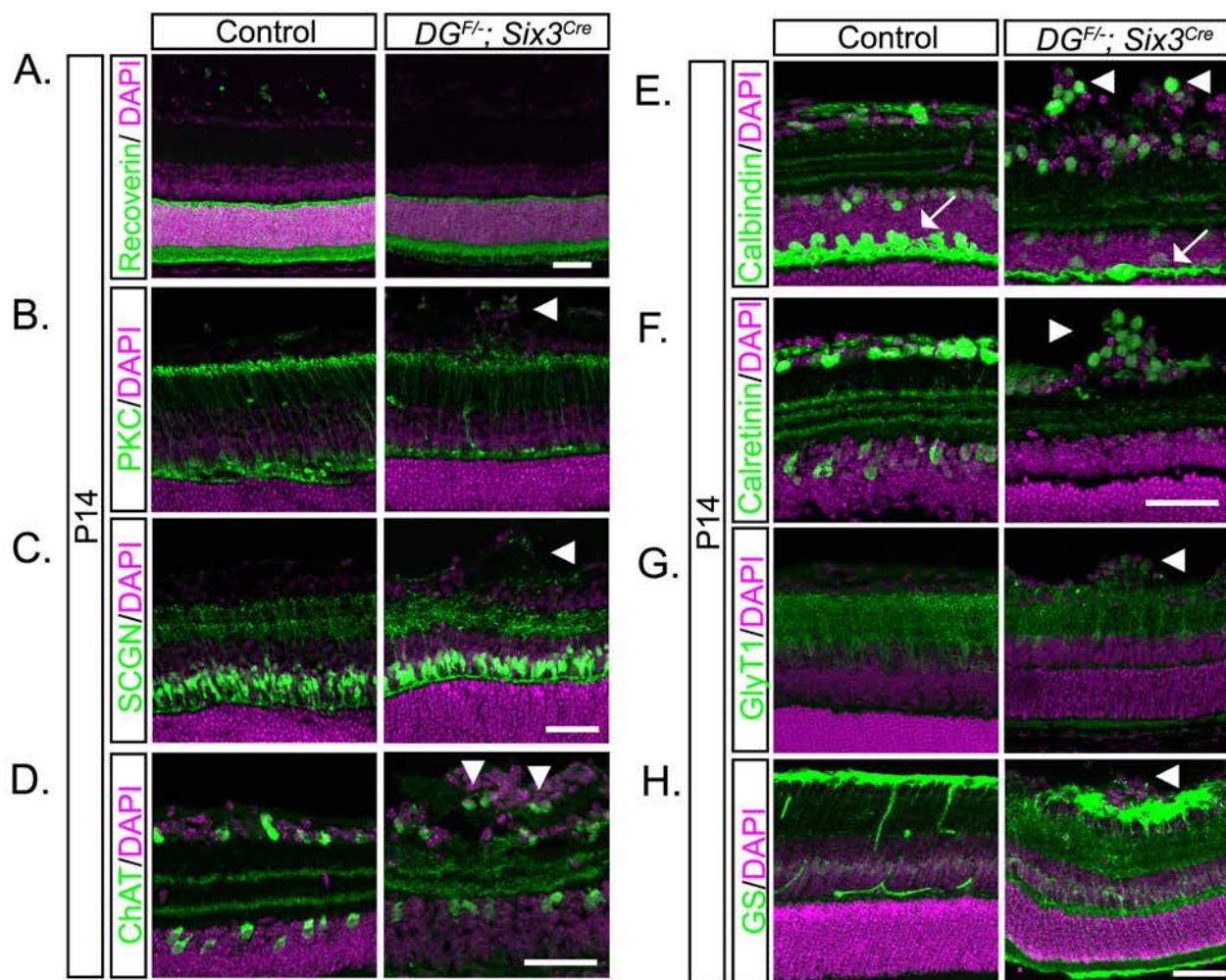


Figure 7

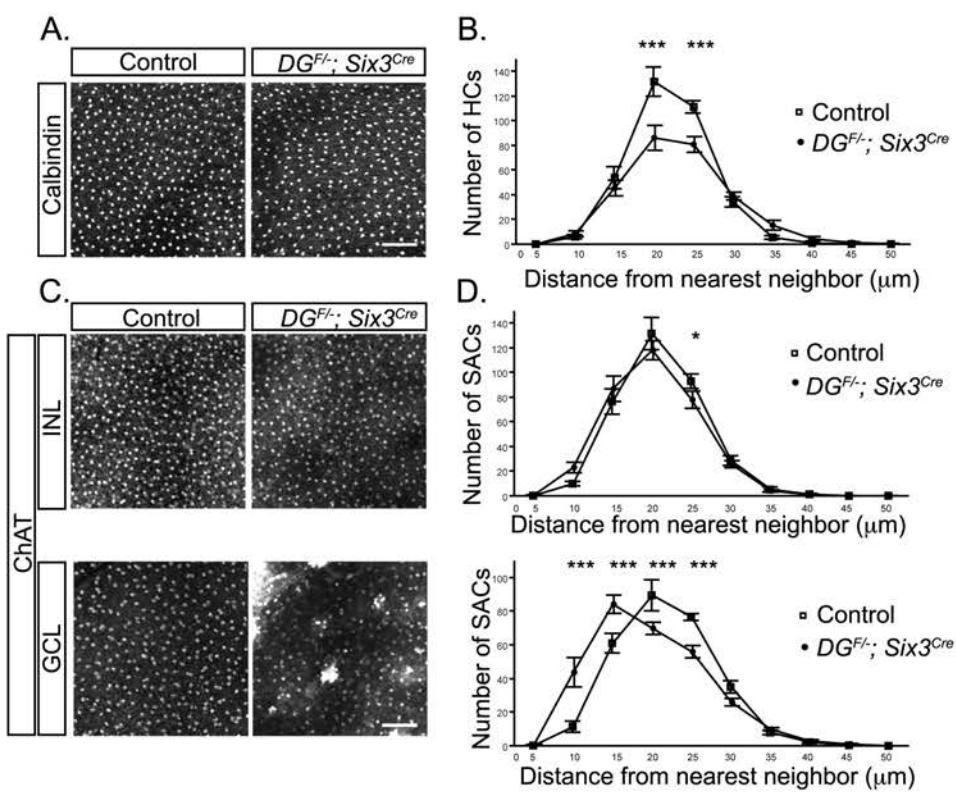
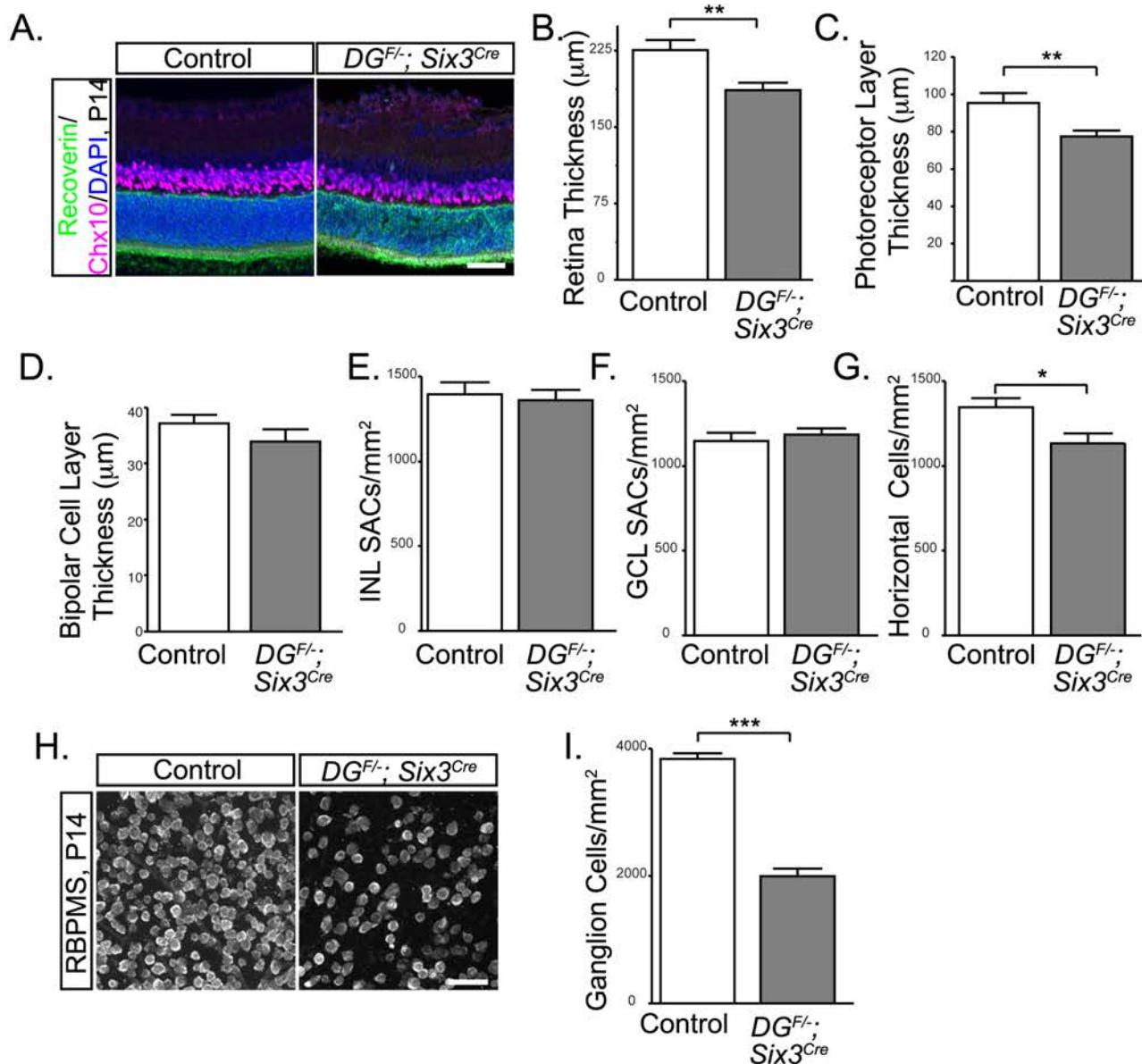


Figure 8



## Figure 9

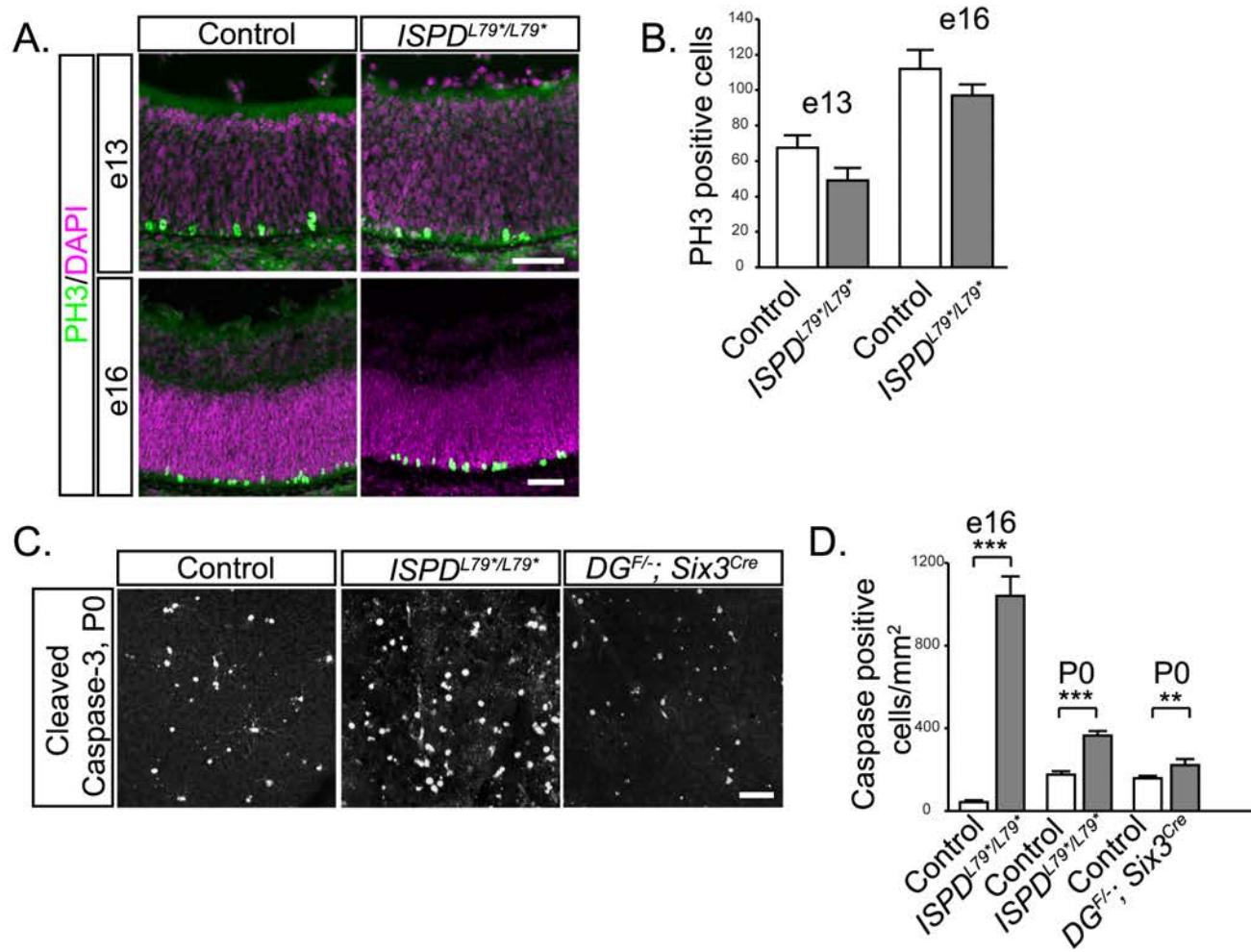


Figure 10

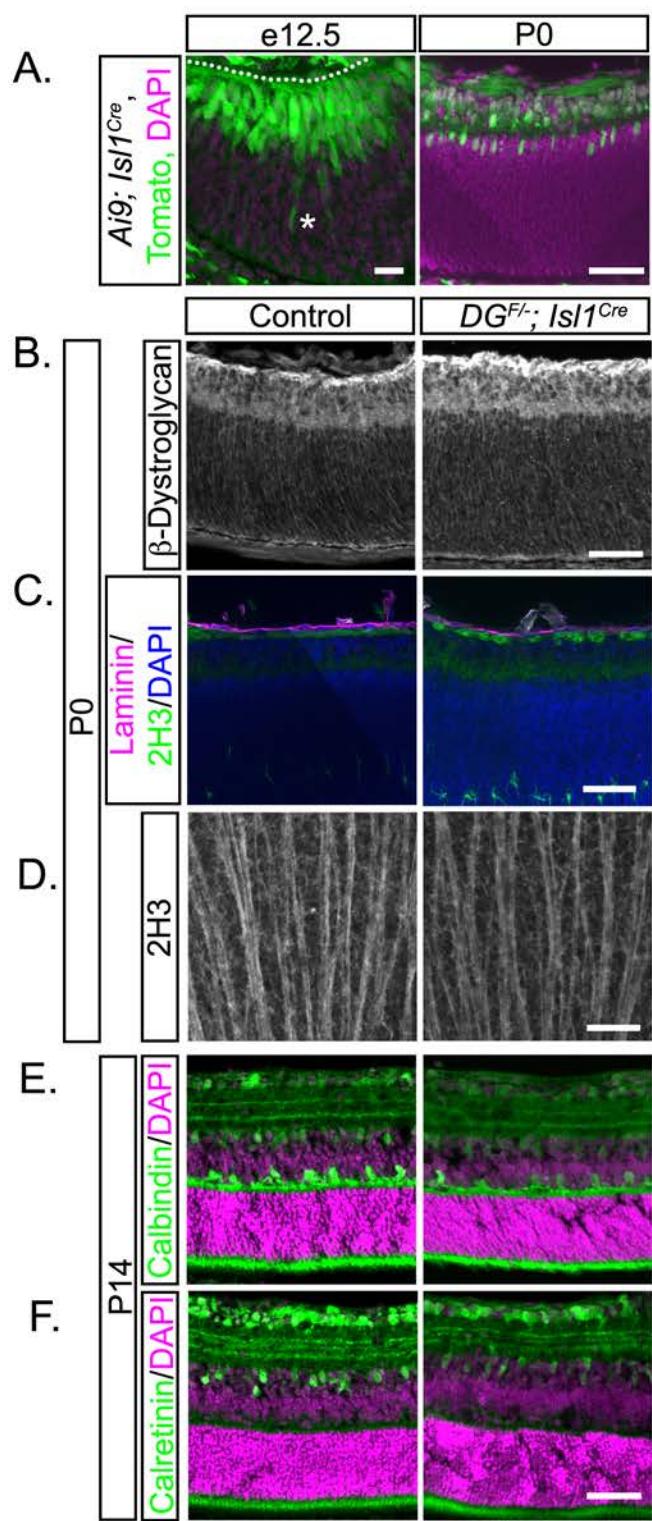


Figure 11

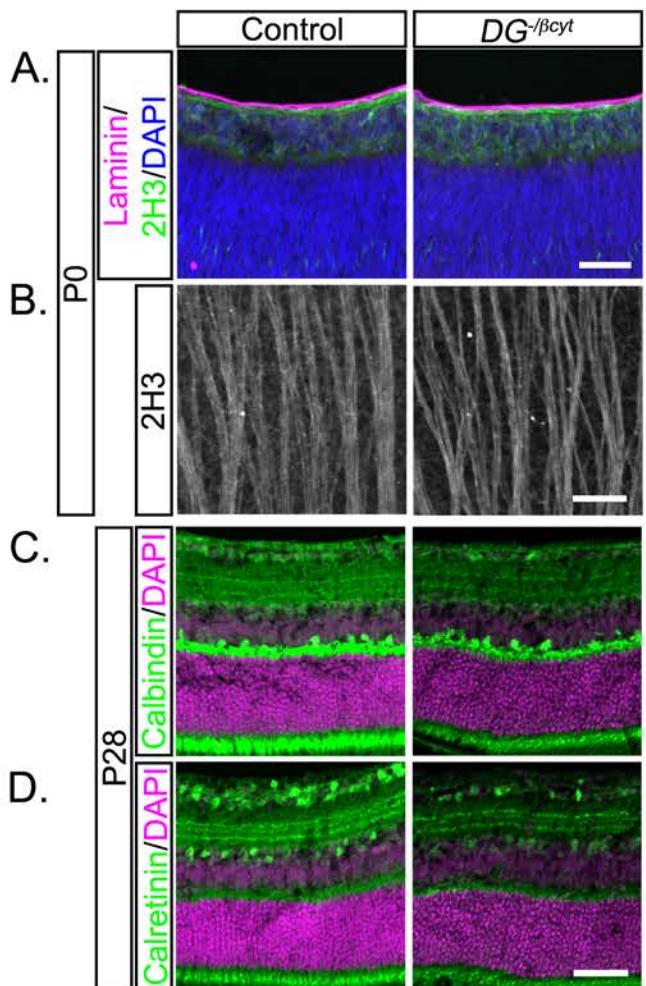
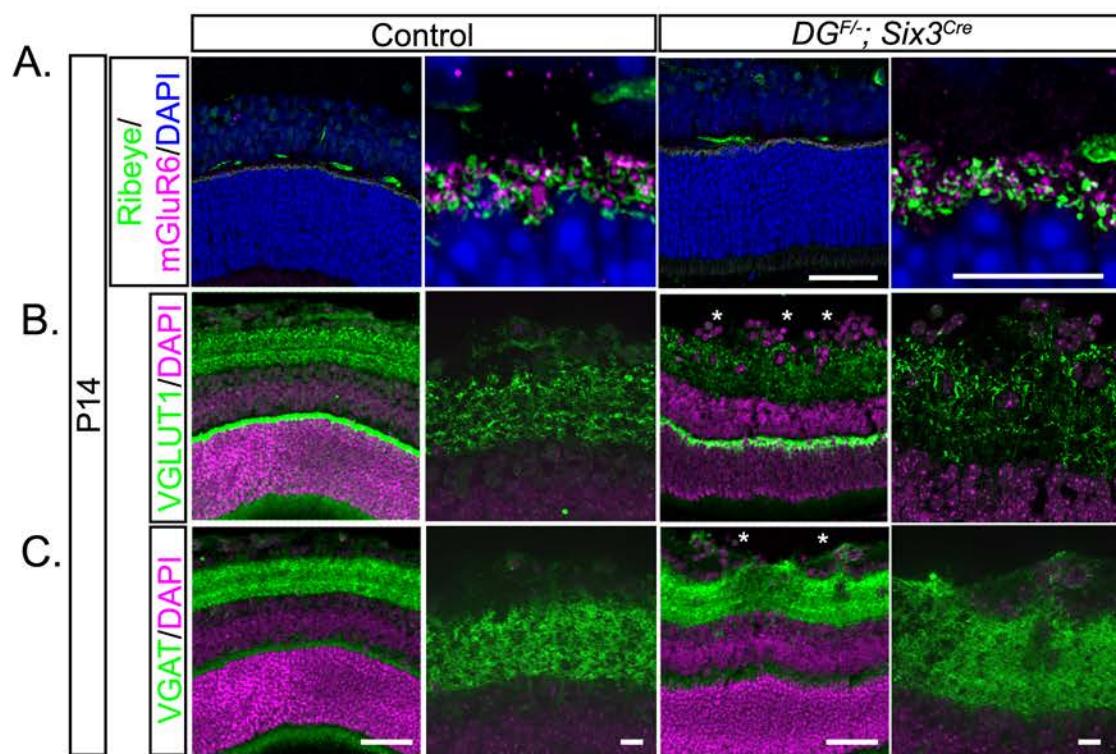


Figure 12



## Figure 13

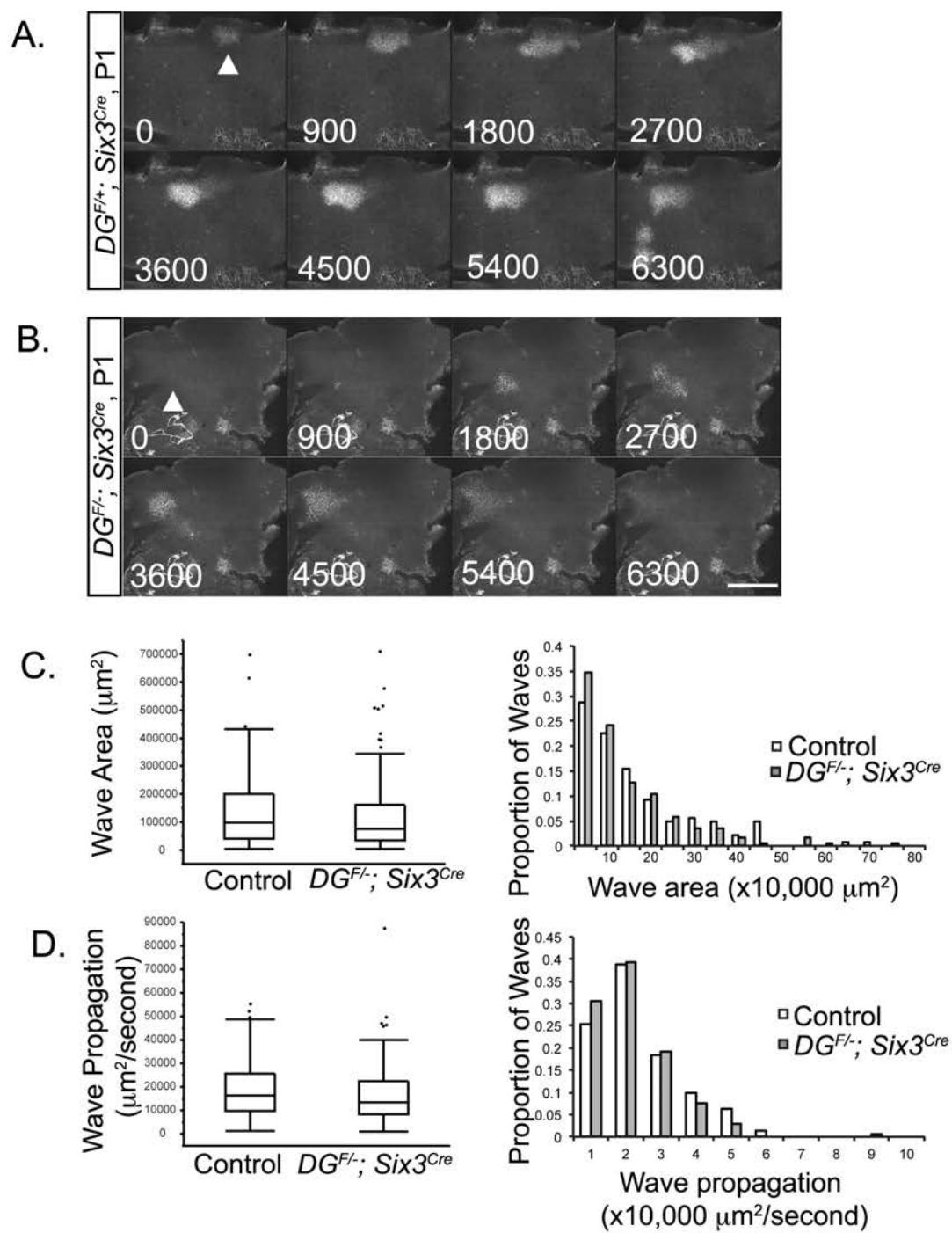


Table 1: Antibodies

Target	Host species	Dilution	Company/origin	Catalog #	RRID
2H3	mouse	1:1000	DSHB	2h3	AB_531793
β-Dystroglycan	mouse	1:500	DSHB	MANDAG2(7D11)	AB_2618140
β-Dystroglycan	rabbit	1:100	Santa Cruz Biotech	sc-28535	AB_782259
Calbindin	rabbit	1:10,000	Swant	CB 38	AB_10000340
Calretinin	rabbit	1:10,000	Swant	CG 1	AB_2619710
ChAT	goat	1:500	Millipore	AB144P-200UL	AB_11214092
Chx10	goat	1:500	Santa Cruz Biotech	sc-21690	AB_2216006
Cleaved Caspase-3	rabbit	1:500	Cell Signaling	9661S	AB_2341188
Collagen IV	goat	1:250	Southern Biotech	1340-01	AB_2082646
Glutamine Synthetase	mouse	1:1000	BD Biosciences	610517	AB_397879
GlyT1	rabbit	1:800	gift from Dr. David Pow		
IB4		1:250	Life Technologies	I21411	AB_2314662
L1	rat	1:500	Millipore	MAB5272	AB_2133200
Laminin	rabbit	1:1000	Sigma	L9393	AB_477163

MGluR6	sheep	1:100	gift from Dr. Catherine Morgans		
Perlecan	rat	1:500	Millipore	MAB1948P	AB_10615958
PKC	mouse	1:500	Sigma	P5704	AB_477375
RBPMS	guinea pig	1:500	PhosphoSolutions	1832-RBPMS	AB_2492226
Recoverin	rabbit	1:200	Millipore	AB5585	AB_2253622
Ribeye/Ctbp2	mouse	1:1000	BD Biosciences	612044	AB_399431
Secretagogin	rabbit	1:4000	Biovendor	rd181120100	AB_2034060
VGAT	rabbit	1:500	Synaptic Systems	131-003	AB_887869
VGLUT1	guinea pig	1:500	Millipore	AB5905	AB_2301751