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8 Some plants don't play games: An ideal free distribution explains the root production of plants
9 that do not engage in a tragedy of the commons game.

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21 **ABSTRACT:**

- 22 1. Game theoretic models that seek to predict the most competitive strategy plants use for
23 competition in soil are clear; they generally predict that over-proliferation of roots is the
24 only evolutionarily stable strategy. However, empirical studies are equally clear that not
25 all plants employ this strategy of over-proliferation of roots. Here, our goal was to
26 develop and test an alternative non-game theoretic model that can be used to develop
27 alternative hypotheses for plants that do not appear to play games.
- 28 2. The model is similar to previous models, but does not use a game theoretic optimization
29 criterion. Instead, plants use only nutrient availability to select a root allocation strategy,
30 ignoring neighbours. To test the model we compare root allocation and seed yield of
31 plants grown either alone or with neighbours.
- 32 3. The model predicted plants that do not sense neighbours (or ignore neighbours) should
33 allocate roots relative to resource availability following an ideal free distribution. This
34 means that if a soil volume of quality R contains x roots, then a soil volume of quality R/n
35 will contain x/n roots. The experimental data were consistent with this prediction. That is,
36 plants grown with 1.2g of slow release fertilizer resources produced 0.043 g of roots,
37 while plants grown with neighbours, or plants grown with half as much fertilizer
38 produced half as much root mass (0.026g, and 0.24g respectively). Seed yield followed a
39 similar pattern.
- 40 4. This model presents an alternative predictive framework for those plant species that do
41 not seem to play a tragedy of the commons game for belowground competition.
- 42 5. *Synthesis:* It remains unclear why some plants do not engage in belowground games for
43 competition. Models suggest over-proliferation is an unbeatable evolutionary stable

44 strategy, yet plants that do not play the game apparently coexist with plants that do. We
45 suggest that a greater understanding of trade-offs among traits that are important for other
46 biotic interactions (above-ground competition, enemy defence, mutualisms) will lead to a
47 greater understanding of why some species over-proliferate roots when in competition
48 but other species do not.

49

50 **KEYWORDS:** tragedy of the commons, over-proliferation, ideal free distribution, competition,
51 Evolutionary game theory, self/non-self recognition, Evolutionary stable strategies

52 **INTRODUCTION:**

53 Belowground competition among plants can be both important and intense (Casper and
54 Jackson 1997; Schenk 2006). In many terrestrial systems, plants are strongly limited by soil
55 nitrogen availability (Robinson 1994; Vitousek and Howarth 1991) and competition
56 belowground can reduce plant growth and performance by several orders of magnitude (Lamb
57 and Cahill 2008; Wilson 1988). As a result competitive interactions among plants in soil can
58 have important consequences for the ecology (Cahill and McNickle 2011; Casper and Jackson
59 1997) and evolution (Gersani et al. 2001; Thorpe et al. 2011) of plants. Thus, it is logical to ask:
60 what rooting strategies lead to the greatest competitive ability and highest returns on fitness?
61 Cahill and McNickle 2011; Herben and Novoplansky 2010; Litav and Harper 1967Parrish and
62 Bazzaz 1976; Schenk et al. 1999Litav and Harper 1967Craine et al. 2005; Gersani et al.
63 2001Campbell and Grime 1989; Parrish and Bazzaz 1976Parrish and Bazzaz 1976; Schenk et al.
64 1999Hess and de Kroon 2007; Litav and Harper 1967McNickle et al. 2008; Mommer et al. 2011;
65 Schenk et al. 1999
66 Casper and Jackson 1997; Goldberg et al. 1999; Schenk 2006Casper and Jackson 1997;
67 Schenk 2006; Tilman 1982Wilson 1988McNickle et al. 2008; Mommer et al. 2011; Schenk
68 2006Jackson et al. 1996; Jackson et al. 1997Jackson et al. 1997Jackson et al. 1997Tilman 1982;
69 Tilman et al. 1997Dudley and File 2007; Falik et al. 2003; Gruntman and Novoplansky 2004;
70 Mommer et al. 2010; Padilla et al. 2013Cahill and McNickle 2011; Cahill et al. 2010Jackson et
71 al. 1996; Jackson et al. 1997Cahill and McNickle 2011; Cahill et al. 2010; Mommer et al. 2010;
72 Parrish and Bazzaz 1976; Schenk et al. 1999; von Felten and Schmid 2008Parrish and Bazzaz
73 1976Plant ecologists seem to be divided on this question. In one group, game theoretic models of
74 root competition suggest that all else equal, plants should exhibit plasticity and over-proliferate

75 roots in the presence of a neighbour relative to when grown alone (Craine 2006; Craine et al.
76 2005; Dybzinski et al. 2011; Gersani et al. 2001; McNickle and Brown 2012; McNickle and
77 Dybzinski 2013; O'Brien and Brown 2008; O'Brien et al. 2007). McNickle and Brown 2012 The
78 prediction of over-proliferation seems to be an extremely robust prediction even with a wide
79 variety of different model formulations, as long as a game theoretic optimization criterion is
80 used. In these game theoretic models plants must possess two abilities: (i) the ability to sense and
81 respond to nutrient availability (e.g. Forde and Walch-Liu 2009; Ho et al. 2009; Zhang and Forde
82 1998; Zhang et al. 1999) and (ii) the ability to sense and respond neighbour strategies (e.g. Falik
83 et al. 2003; Falik et al. 2005; Gersani et al. 1998; Gruntman and Novoplansky 2004). There is
84 good evidence that at least some plants possess both abilities Dybzinski et al. 2011. Thus, when
85 plants can sense both neighbours and nutrients their best strategy is to produce more roots than
86 are necessary to harvest the available nutrients in an attempt to pre-empt the resource supply of
87 neighbours. Theory is clear that this is an evolutionarily stable strategy because it cannot be
88 invaded by a less proliferative strategy.

89 A second group of plant ecologists are skeptical of these game theoretic models of root
90 production (de Kroon et al. 2012; Herben and Novoplansky 2010; Hess and de Kroon 2007;
91 Laird and Aarssen 2005; Schenk 2006; Semchenko et al. 2007). This skepticism seems to be well
92 founded, and there is evidence that many plants do not engage in these plastic pre-emptive games
93 (Cahill et al. 2010; Semchenko et al. 2007; Semchenko et al. 2010). In general the criticisms
94 seem to amount to questioning whether plants possess the ability to sense neighbours (Hess and
95 de Kroon 2007). If plants cannot sense neighbours then it follows that game theoretic models of
96 plant plasticity in root production do not apply because it is impossible for such plants to respond
97 to neighbours and play these behavioural games. This skepticism might be well founded, as

98 currently the mechanisms that might allow plants to sense neighbours remain a mystery
99 (McNickle and Brown 2012; Novoplansky 2009). Given these two alternative theoretical
100 expectations, what patterns do we observe in data?

101 Empirical tests of these game theoretic models are clear: plant species are mixed in their
102 responses to nutrients and neighbours. There is good evidence that some plants play root games
103 that lead to over-proliferation of roots (de Kroon et al. 2012; Gersani et al. 2001; Gruntman and
104 Novoplansky 2004; Lang'at et al. 2013; Maina et al. 2002; Mommer et al. 2010; O'Brien et al.
105 2005; Padilla et al. 2013, note that some authors have begun using the term over-yielding instead
106 of over-proliferation), and there is equally good evidence that some plants do not play these
107 games (Cahill and McNickle 2011; Cahill et al. 2010; Litav and Harper 1967; Schenk et al. 1999;
108 Semchenko et al. 2007; Semchenko et al. 2010). In the “game-on” camp, a variety of game
109 theoretic models have been proposed and analyzed (Craine 2006; Craine et al. 2005; Dybzinski
110 et al. 2011; Fariior et al. 2013; Gersani et al. 2001; McNickle and Brown 2012; O'Brien and
111 Brown 2008; O'Brien et al. 2007). However, despite the large amount of criticism of these game
112 theoretic models (de Kroon et al. 2012; Hess and de Kroon 2007; Laird and Aarssen 2005;
113 Schenk 2006; Semchenko et al. 2007), the “game-off” camp has not produced many alternative
114 models to predict the root production strategy of plants that do not engage in a tragedy of the
115 commons game. Given that many plants do not seem to engage in these root games, there would
116 be value in asking: what is the best root allocation strategy for a plant that cannot sense
117 neighbours and as a result cannot play pre-emptive games?

118 In this paper, we propose an alternative model for plants that do not engage in a tragedy
119 of the commons game. We test this with fast cycling *Brassica rapa* (L., var Wisconsin Fast
120 Plants®, Carolina Biological Supply Company, Burlington, NC, USA). We asked; (1) what is

121 the best strategy for root production of a plant that can sense nutrient availability but cannot
122 sense neighbours and faces competition? To address this question, we develop a simple model
123 that is similar to previous game theoretic models (McNickle and Brown 2012; O'Brien et al.
124 2007), but uses a non-game theoretic optimization criterion. (2) How does *B. rapa* allocate to
125 roots when grown alone or when grown with neighbours and how do these root allocation
126 strategies influence reproductive output? Based on our experience with this plant (GGM Personal
127 Observation), we did not expect this plant to be capable of sensing neighbours and therefore it
128 should not be capable of engaging in a tragedy of the commons game. (3) How does the set of
129 best responses of *B. rapa* compare to either a “game-on” prediction or a “game-off” prediction of
130 focal plant root production strategies relative to neighbour root production strategies. Given that
131 the world appears to contain a mixture of game on and game off plants, we discuss some
132 implications of this at the end of the manuscript.

133

134 **METHODS:**

135 *Model:*

136 Here we develop a model of root allocation for plants that respond to nutrients only.
137 These plants can still experience competitive effects due to depletion of the resource
138 environment by neighbours, but in this model plants cannot sense neighbours and so they do not
139 take into account the competitive strategies of their neighbours when allocating to root biomass.
140 That is, they employ a resource matching strategy and do not play a game. Instead, as neighbours
141 depress the nutrient environment these “game off” plants simply perceive the environment as
142 becoming worse, and produce fewer roots in the presence of a neighbour compared to alone.
143 This model is similar to our previously published game theoretic models (Gersani et al. 2001;

144 McNickle and Brown 2012; O'Brien and Brown 2008; O'Brien et al. 2007), but the model
145 presented here is not game theoretic.

146 Let R be the available resources in the soil, let u_f be the root production of the focal plant,
147 u_n be the root production of the neighbour plant and let r be the sum total amount of roots of all
148 competing plants ($r = u_f + u_n$). Further, let, c be the per-unit cost of root production for all plants.
149 We assume diminishing returns of nutrient harvest with increasing root production. We also
150 assume that root production costs and each plant's share of the nutrient harvest increase
151 monotonically with total root production. Finally, we assume that there is no shoot competition
152 and that plants are not light limited. Thus, the net harvest (π_f) of an annual plant at senescence
153 can be represented as, their share of the nutrient harvest minus the costs of root production, or,

$$154 \quad \pi_f = (u_f/r)R(1-e^{-r}) - c u_f \quad (1a)$$

155 We write this for the focal plant only, but an identical equation exists for the neighbour
156 plant with the subscripts reversed. Plants that can sense neighbours and play a plastic tragedy of
157 the commons game (McNickle and Brown 2012) will choose a root production strategy, u_f^* , that
158 satisfies,

$$159 \quad \partial \pi_f / \partial u_f = (1/r - u_f/r^2)[R(1-e^{-r})] + (u_f/r)[R(e^{-r})] - c_f = 0 \quad (1b)$$

160 We present the game theoretic version of this model here purely as a point of comparison
161 with the non-game theoretic version. However, we do not analyze it in detail; it is described and
162 analyzed in detail in McNickle and Brown (2012). For plants that cannot sense neighbours and
163 do not play a plastic tragedy of the commons game we need an alternative optimization criterion
164 which is not game theoretic (Anten and During 2011). We hypothesise that these “game-off”
165 plants should be blind to the strategy of neighbours (or at least ignore it) and as a result these
166 plants should not base their root production strategy on their expected share of the nutrients. As a

167 result, we propose that such game off plants should ignore the term u_f/r in equation 1a, and focus
168 only on the resource environment, any depletion trajectories (caused by self or non-self) and
169 their own costs associated with root production. Thus, we define an expected payoff, P_f , equation
170 based on eqn 1a that omits this term,

$$171 \quad P_f = R(1 - e^{-r}) - c_f u_f \quad (2a)$$

172 This is not the plant's actual payoff, but it is the payoff that a plant who is blind to the
173 presence of neighbours will expect since it can only detect the resource environment but not the
174 presence of neighbours. Note that these "game-off" plants can still sense any resource depletion
175 that is caused by neighbours, however they are incapable of distinguishing between resource
176 depletion caused by their own roots or the roots of neighbours. Thus, the optimal root production
177 strategy, u_f^* , of a plant that cannot detect neighbours should satisfy,

$$178 \quad \partial P_f / \partial u_f = -R e^{-r} - c_f = 0 \quad (2b)$$

179 Solving equation (2b) for u_f^* gives the optimal root production strategy of plant f , and a
180 version of equation (2b) must be simultaneously solved for plant n . However, though these
181 game-off plants expect the payoff given in equation (2a), and even though they don't sense the
182 neighbour, they still must compete with neighbours and their actual payoff is still given by
183 equation (1a) and not by equation (2a).

184

185 *Experiment: What is the proper control?*

186 To test the model we grew *B. rapa* plants either alone or with neighbours. Plants were
187 grown in three treatments based on model expectations (Equations 1-2), past empirical tests of
188 game theoretic models (e.g. Gersani et al. 2001; Maina et al. 2002; O'Brien et al. 2005) and
189 criticisms of those experiments (Hess and de Kroon 2007; Laird and Aarssen 2005; Schenk

190 2006; Semchenko et al. 2007). Early experiments testing for a tragedy of the commons game
191 were interested in root allocation based upon resource availability. Thus, these experiments
192 attempted to control resource supply per-plant (R) but they did so by manipulating pot volume
193 (V). For example, plants with neighbours were grown in pots of volume V containing R
194 resources, and plants alone in pots of volume $V/2$ containing $R/2$ resources (e.g. Gersani et al.
195 2001; O'Brien et al. 2005). This design was based on the hypothesis that plants which do not
196 respond to neighbours should respond only to nutrient availability (e.g. equation 1b vs 2b) and
197 thus it was critical to control nutrient availability per-plant to test whether plants respond only to
198 nutrient availability or to both nutrient availability and neighbours (Gersani et al. 2001;
199 McNickle and Brown 2012). However, though this design controls resource availability at the
200 per-plant level, it does not control pot volume.

201 In pot experiments, volume can affect plant growth if plants become pot-bound during
202 the course of the experiment. Thus, many authors have been critical of this method for
203 controlling nutrient availability and argue that it is more important to control pot volume than
204 nutrient availability (Hess and de Kroon 2007; Schenk 2006). However, controlling only pot
205 volume produces a design where plants grown alone have access to pots of volume V and R
206 nutrient resources, while plants grown with neighbours have access to pots of volume V but only
207 $R/2$ resources. In this design plants grown alone are almost always significantly larger than
208 plants grown with neighbours because they have been given access to twice as many nutrients
209 making it difficult to compare plants grown alone to plants grown with neighbours. The
210 appropriate control for these types of competition experiments comes down to whether one
211 expects pot volume to be a more important determinant of plant growth and competition, or

212 whether one expects nutrient availability to be a more important determinant of plant growth and
213 competition. In reality, the best approach is probably to employ both controls.

214 Here, we used both a volume and a nutrient availability control. Plants were grown with
215 neighbours in pots of volume V ($V=1\text{L}$, $10\times 10\times 10\text{cm}$), and plants grown alone were either grown
216 in pots of volume V or $V/2$ (Figure 1). This let us compare the ‘with neighbours’ treatment to
217 both controls for either volume or nutrient availability per plant.

218

219 *Growing conditions*

220 Soil was a 1:3 mixture of potting soil (Miracle-Gro® Moisture Control® Potting Mix,
221 The Scotts Company LLC, Marysville, OH, USA) to washed sand (Quickrete, Atlanta, GA,
222 USA) which were each pre-seived through a 2mm screen to facilitate root extraction at harvest.
223 Soils were amended with 19-6-12 (N-P-K) slow release fertilizer pellets on the surface of the soil
224 (Osmocote, The Scotts Company LLC, Marysville, OH, USA). Fertilizer was supplied based on
225 an equal supply per volume of soil, such that full sized pots (alone full pot, and with neighbours
226 treatments) each were given 1.2 grams of fertilizer while half sized pots were given 0.6 grams.
227 Plants were grown in a controlled growth chamber with continuous fluorescent lighting. Plants
228 were spaced at least 15 cm apart on the bench, and opaque screens were erected between
229 competing plant so they did not interact aboveground in any treatments. This forced all
230 competitive interactions to occur belowground, in order to satisfy the assumption of the model
231 that there was no shoot competition. Additionally, plants in each treatment were grown in pairs
232 (with neighbours or alone) where each plant was *a priori* designated as either focal or neighbour
233 which produced pairs of plants that experienced the same conditions on the bench. Plants were
234 watered daily using an automatic drip irrigation system that supplied ~126 mL of water per day

235 to pots of volume V , and ~63mL of water per day to pots of volume $V/2$. Because we were trying
236 to generate best response curves of each plants (see below), each alone treatment included 25
237 pairs of plants, and the ‘with neighbours’ treatment included 50 pairs of plants (total of 100 focal
238 plants, and 100 neighbour plants).

239 On day zero, multiple seeds were sewn per planting location, and these were thinned to
240 one plant per location within 1 day of germination. Plants were grown for 40 days under
241 continuous lighting conditions which is recommended for fast cycling *B. rapa* (Carolina
242 Biological Supply Company, Burlington, NC, USA). Location on the bench was re-randomized
243 every 5 days to minimize bench effects. *B. rapa* is self-incompatible, and fruit takes
244 approximately 20 days to mature. All flowers produced up to day 20 were hand pollinated using
245 cotton swabs. After 20 days pollination was discontinued and fruit were permitted to mature for
246 20 more days. Mates were haphazardly chosen each day, so each plant had a new mating partner
247 on each day of pollination. After 40 days of growth plants had begun senescence and fruits,
248 leaves and stems were harvested. Roots were washed on a 2mm sieve, and the root systems of
249 neighbouring plants were carefully separated by floating them in water and gently shaking them
250 to separate. We had no difficulty carefully separating the roots of each plant grown in
251 competition by marking the stem of each plant, and gently shaking the root system in water until
252 they separated. Subsequently, biomass was dried at 60°C to constant mass, and weighed. Fruits
253 were dried at room temperature, counted and weighed. Fruits were then opened and seeds were
254 counted and weighed. All statistical models were run in the R statistical environment (R-
255 Development-Core-Team 2009).

256

257 **RESULTS**

258 *Model results, and best response curves*

259 McNickle and Brown (2012) introduced the concept of a best response curve that plots
260 one plant's root production strategy, against the root production strategy of its neighbour and we
261 use this as one diagnostic criterion to test whether plants are playing a game. From our model
262 (Equation 1, 2) this would plot the points u_f^* vs u_n for the focal plant's best response to any
263 possible strategy of the neighbour, and u_f vs u_n^* where u_n and u_f are continuous vectors of all
264 possible strategies either plant might choose. This set of best responses can be thought of as a
265 competitive "play book" for each plant: if the neighbour produces y roots, the best response of
266 the focal plant is to produce x roots and *vice versa*. Comparing the game theoretic, and non-game
267 theoretic version of this nutrient competition model, the best response curves from each model
268 are quite different and this can be a useful diagnostic tool for experimentally comparing game on
269 or game off plants (Figure 2).

270 Based on the model, the root production strategy of the non-game theoretic model
271 presented here follows an ideal free distribution (Fretwell and Lucas 1969, Gersani et al. 1998).
272 This means that if a soil volume of quality R contains x roots, then a soil volume of quality R/n
273 will contain x/n roots. Similarly, if a plant grown alone in a soil volume of quality R produces x
274 roots, then a plant grown with N neighbours in a soil volume of quality R should produce x/N
275 roots. The best response curve of intraspecific competition among game-off annual plants is
276 shown in Fig 1b. It is a straight line connecting the root production strategy of each plant when
277 grown alone. Applied to our experimental treatments, the model predicts that each plant should
278 produce exactly half as many roots when grown with neighbours in pots of volume V as when
279 grown alone in pots of volume V . Additionally, plants grown with neighbours in pots of volume

280 V should each produce exactly the same amount of roots as plants grown alone in pots of volume
281 $V/2$.

282 Alternatively, game-on plants choose a root production strategy based on both the
283 resource environment, and the strategy used by neighbours (equation 1b). This causes game-on
284 plants to over-proliferate roots in an attempt to pre-empt the resource supply of neighbours, and
285 several versions of these game theoretic models have been described and analyzed in detail
286 elsewhere (Craine et al. 2005; Dybzinski et al. 2011; Gersani et al. 2001; O'Brien and Brown
287 2008; O'Brien et al. 2007) including an analysis and discussion of best response curves
288 (McNickle and Brown 2012). An example best response curve of intraspecific competition
289 among game-on annual plants is shown in Fig 1c (McNickle and Brown 2012). Here, curved
290 lines bow-out from the origin because of the strategy of over-proliferation causes plants to
291 produce significantly more roots in the presence of neighbours compared to when they grow
292 alone (McNickle and Brown 2012). In the context of our experiment, a game theoretic model
293 would predict that, plants grown with one neighbour in pots of volume V should produce
294 significantly more than half the roots of a plant grown alone in a pot of volume V , and
295 significantly more roots than plants grown alone in pots of volume $V/2$.

296

297 *Experimental results*

298 In this study, *B. rapa* did not engage in a tragedy of the commons game (Figure 3). One
299 way ANOVA revealed that plants grown with neighbours had exactly the same seed yield and
300 root biomass as plants grown in half volume pots, and exactly half of the seed yield
301 ($F_{2,147}=29.69$, $p<0.0001$) and root biomass ($F_{2,147}=49.01$, $p<0.0001$) as plants grown in full
302 volume pots (Figure 3a, b). Patterns of leaf, stem and fruit production followed the exact same

303 pattern as Figure 3a and Figure 3b, and are not shown. When plotted as best responses, the
304 observed root production strategies of *B. rapa* fall on the ideal free distribution line which reject
305 the game theoretic model and support the simpler game-off model for this species (Figure 3c,d).

306

307 **DISCUSSION:**

308 Simple game theoretic models that include only root growth and hold all else equal
309 predict that a plants best response is to always over-proliferate roots in the presence of a
310 neighbour (Gersani et al. 2001; McNickle and Brown 2012). In these models over-proliferation
311 of roots is an evolutionary stabile strategy in that it should not be invasible by plants that use a
312 less proliferative strategy. The empirical evidence show that some plant species clearly do play a
313 tragedy of the commons game when choosing a root production strategy (Cahill and McNickle
314 2011; Falik et al. 2003; Mommer et al. 2010; Padilla et al. 2013; Semchenko et al. 2010).
315 However, evidence also shows that other species clearly do not play such games (Figure 3,
316 Cahill et al. 2010; Schenk et al. 1999; Semchenko et al. 2010). The invasability criterion of an
317 ESS would imply that these two strategies should not be capable of coexisting in natural systems.
318 Yet again, the available data seem to suggest that these plants may coexist within the same
319 system (Cahill and McNickle 2011; de Kroon et al. 2012; Mommer et al. 2010; Semchenko et al.
320 2010). This suggests that there is a gap in our theoretical understanding of plant root production
321 strategies.

322 One way of thinking of this gap is that some species (game off plants) may simply not
323 possess the ability to sense neighbours, while other species (game-on plants) do possess this
324 ability. This is the proximate cause of the lack of any game theoretic response, that is, plants
325 simply lack the proximate mechanisms to permit them to play such games. However, this leaves

326 the ultimate evolutionary cause of this lack of game theoretic responses unanswered: why has
327 evolution apparently furnished some species with the ability to sense neighbours, but failed to
328 furnish other species with this ability. This is an especially important question to ask since a
329 wide variety of models differing greatly in their parameterization, complexity and formulation
330 have all consistently shown that the game-theoretic response of over-proliferation of roots is the
331 only evolutionarily stable strategy for root competition (Craine 2006; Craine et al. 2005;
332 Dybzinski et al. 2011; Gersani et al. 2001; McNickle and Brown 2012; McNickle and Dybzinski
333 2013; O'Brien and Brown 2008; O'Brien et al. 2007).

334 Most current game theoretic models employ the simplifying assumption that competition
335 belowground is the only important process for plant fitness and this assumption is only met in the
336 most controlled of glasshouse experiments (E.g. Figure 1). Yet, the evolutionary history of plants
337 requires trade-offs between traits associated with root and shoot competition, investment into
338 mutualisms, defence against enemy attack and a myriad of biophysical and environmental
339 pressures. All of these biotic interactions become a tragedy of the commons when modeled using
340 evolutionary game theory (McNickle and Dybzinski 2013), yet most models focus only on one
341 process, holding all others equal, and predict a single ESS solution. At present we lack a good
342 understanding of how plants make trade-offs between investments in each biotic interaction, and
343 how investment in one biotic interaction shifts investment into other biotic interactions. For
344 example, when should a plant invest in enemy defence instead of belowground competitive
345 ability? When should a plant invest in mutualisms instead of enemy defence? We currently lack
346 good answers to these questions (McNickle and Dybzinski 2013). However, one hypothesis is
347 that those plants which do not engage in a tragedy of the commons game for root competition,
348 might be adapted to focus more strongly on other interactions which are important in plant

349 ecology. This could include aboveground competitive ability, defence from enemy attack or
350 investment into mutualistic associations (Archetti et al. 2011; Falster and Westoby 2003;
351 McNickle and Dybzinski 2013; Oksanen 1990). We suggest that understanding why some plant
352 species are adapted to be capable of sensing neighbour roots and engaging in a tragedy of the
353 commons game while other plant species clearly cannot sense neighbour roots and cannot
354 engage in such games, will be an important question to move this debate on what strategies
355 maximize plant competitive ability belowground forward.

356 The idea that root growth of plants might follow an ideal free distribution, is not new
357 (Gersani et al. 1998). Gersani et al. (1998) presented a graphical model based on Fretwell's
358 (1972) fitness density model that used nutrient uptake per unit root to estimate root allocation of
359 plants via density dependent. They tested this graphical model using *Pisum sativum*, L. and
360 showed that plants with roots evenly split between one pot containing five competitors and a
361 second neighbour-free pot would preferentially allocate roots into the empty pot away from
362 neighbours. Moreover, these peas followed an ideal free distribution in terms of their root
363 allocation (i.e. x roots in the alone pot, and $x/2$, $x/3$, or $x/5$ roots in pots with 2, 3 or 5 neighbours
364 respectively). Interestingly, *P. sativum* seems to be among those plants which are capable of
365 sensing neighbours and engages in a strategy of over-proliferation of roots in the presence of
366 neighbour plants (Falik et al. 2003). This suggests that not only is there a mixture of "game-on"
367 and "game-off" strategies among plant species, but that also within a species that is capable of
368 detecting neighbours, plants may sometimes use a root allocation strategy of over-proliferation
369 leading to a tragedy of the commons game and sometimes use a more restrained strategy leading
370 to an ideal free distribution of roots. Why and how plants might switch strategies remains

371 unknown, and will be an interesting question for plant ecologists interested in strategies for root
372 competition.

373 The hypothesis of an ideal free distribution also appears to be a common null hypothesis,
374 though it is not typically named as such. For example, Padilla et al (2013) grew *Festuca rubra*
375 and *Plantago lanceolata* either in monocultures or in mixtures. Their null hypothesis was that the
376 root production of each plant when grown in mixture would be exactly $\frac{1}{2}$ of the observed root
377 production of each monoculture. This amounts to an expectation that roots follow an ideal free
378 distribution (Figure 2, Gersani et al. 1998). Padilla et al (2013) rejected this null hypothesis and
379 found that plants in mixture produced significantly more roots than were expected. They called
380 this over-yielding, but in the context of previous game theoretic ideas it could be called over-
381 proliferation of roots (Gersani et al. 2001). Mommer et al (2010) performed a similar experiment
382 using monocultures and mixtures using the same null hypothesis, and also found over-
383 proliferation of roots in mixture compared to the null expectation of an ideal free distribution
384 (they did not call it an ideal free distribution, and also used the term “over-yielding” for reasons
385 that are unclear to us).

386 If these ideas are already implicit in the plant competition literature, what do we gain by
387 explicitly recognizing that root growth of “game-off” plants might follow an ideal free
388 distribution? We suggest that explicitly modeling root allocation of plants that do not respond to
389 neighbours as an ideal free distribution adds formalism and predictive power to theories of
390 competition. The ideal free distribution has well understood properties (Fretwell and Lucas
391 1969), and many ideas in ecology are built around this distribution (Křivan et al. 2008;
392 Sutherland 1983) which might shed light on plant strategies for competition. The model
393 presented here provides a clear alternative model to the tragedy of the commons models of root

394 production (e.g. Gersani et al. 2001) which have been so sharply criticised by so many authors
395 (E.g. Hess and de Kroon 2007; Schenk 2006). An alternative model can focus thinking and
396 experiment in a way that the ongoing discussions have not. Though for those plant ecologists
397 that have argued against game theoretic applications to problems in plant ecology, it is worth
398 recognizing that behaviours which generate an ideal free distribution of organisms among
399 habitats can, themselves, be an evolutionarily stable strategy for dispersal derived from a game
400 theoretic model (Křivan et al. 2008).

401 Finally, when thinking about a tragedy of the commons evolutionary game, one should
402 also consider the distinction between a fixed allocation to roots and plastic allocation to roots.
403 The game presented here is one of plasticity, it can be thought of as a behavioural game where
404 plants who can sense neighbours (Falik et al. 2003; Gruntman and Novoplansky 2004) should
405 respond differently to neighbours compared to plants that cannot sense neighbours (Gersani et al.
406 2001; McNickle and Brown 2012). However, whether plants play a behavioural game via
407 plasticity in allocation during the lifetime of a single plant, is a different question from whether
408 they play a fixed allocation game in evolutionary time (McNickle and Dybzinski 2013).
409 Evolutionary time leads to an evolutionary arms race for allocation to resource harvesting organs
410 and organs associated with competitive ability. For example, such an arms race has produced
411 extremely tall woody plants that have a high fixed allocation to wood over evolutionary time due
412 to tragedy of the commons games for light competition (Falster and Westoby 2003; Givnish
413 1982; Givnish 1995; King 1990; Oksanen 1990). Though less well recognized, a similar
414 evolutionary arms race has likely influenced fixed allocation to roots by plants in evolutionary
415 time (Dybzinski et al. 2011; McNickle and Dybzinski 2013). That is, modern plants (including
416 *B. rapa*) may have a fixed allocation to root biomass that is higher than their ancestors due to an

417 evolutionary arms race, even while they cannot sense neighbours and do not engage in plastic
418 behavioural games during the course of their lifetime. This fixed allocation game would produce
419 “game-off” best response curves exactly as shown in Figure 1b over the course of one plant’s
420 life. However, over evolutionary time the expectation would be that plants would gradually
421 increase total root allocation, causing the linear best response curve to move further and further
422 from the origin, even while maintaining an ideal free distribution within each generation.
423 Unfortunately, testing this fixed allocation arms race game will be significantly more challenging
424 and can likely only be achieved with labour intensive artificial selection experiments.

425

426 **Conclusions**

427 Evolutionary game theory has injected some controversy into our understanding of the
428 best strategies plants may use for competition underground. Currently, the data are quite mixed
429 and suggest that some plants play behavioural games with their roots, and others do not. Even
430 within a plant species some contexts seem to lead to behavioural games while others do not.
431 Here we presented and tested an alternative non-game theoretic model of root allocation
432 strategies for plants that compete for nutrients but cannot sense neighbours (or at least ignore
433 neighbours). The model predicts that in this case, root production should follow an ideal free
434 distribution. The model plant *B. rapa* which does not appear to sense neighbours responded as
435 predicted by this “game-off” model, reducing root production relative to resource availability
436 regardless of whether this was caused by pot size, or depletion by neighbours. That root
437 allocation might follow an ideal free distribution is not a new idea, but our model adds formalism
438 to some existing ideas in the competition literature. Further, our model provides a clear

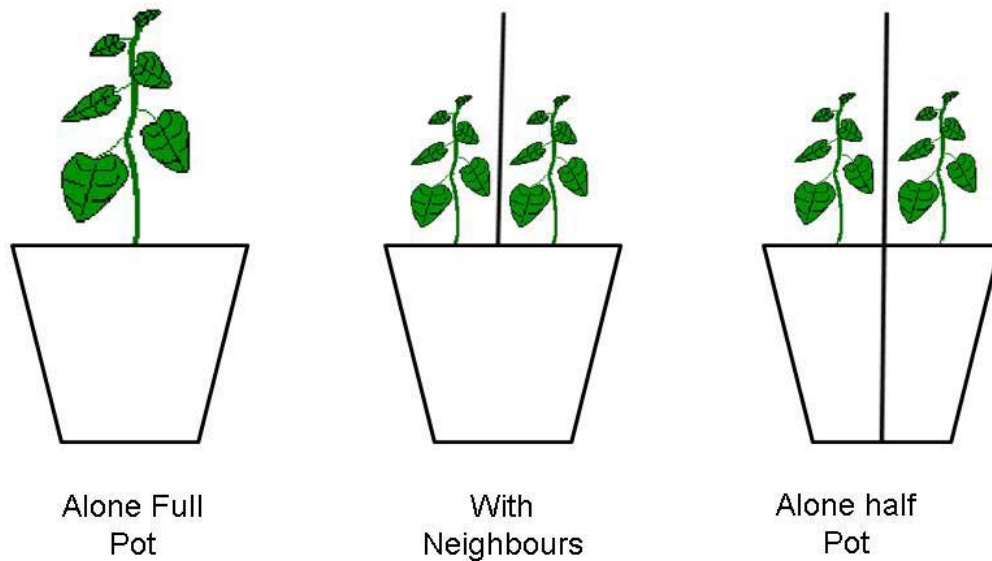
439 alternative model for those species which do not appear to engage in a tragedy of the commons
440 game.

441

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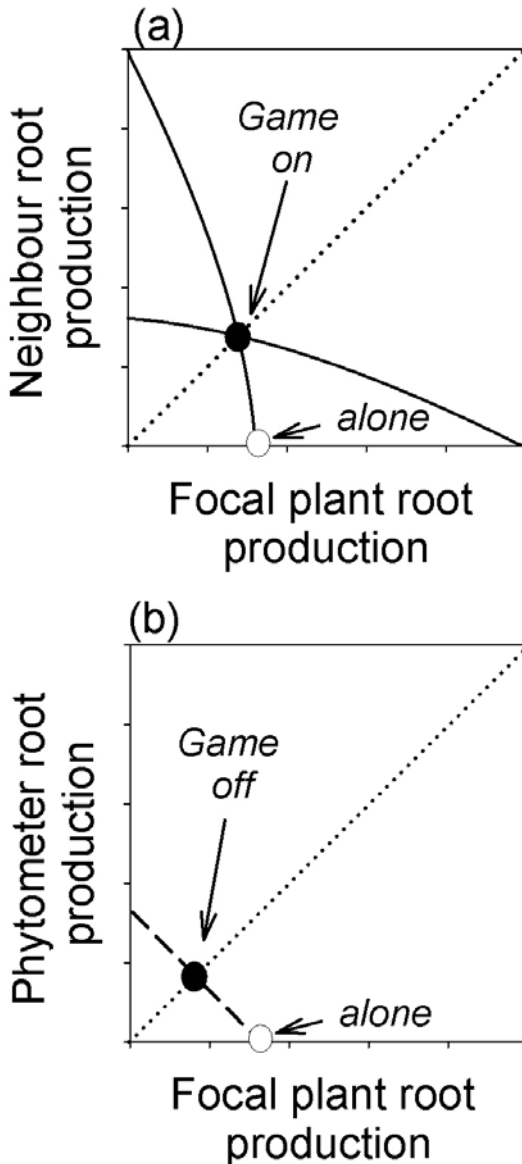


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451 **FIGURE 1:** Schematic of experimental design. Plants were either grown in three treatments; (i)
452 alone in full sized pots of volume $V=1L$, (ii) with neighbours in pots of volume V , or alone in
453 pots of volume $V/2$. Opaque screens were erected between paired plants so that they did not
454 compete or interact aboveground.

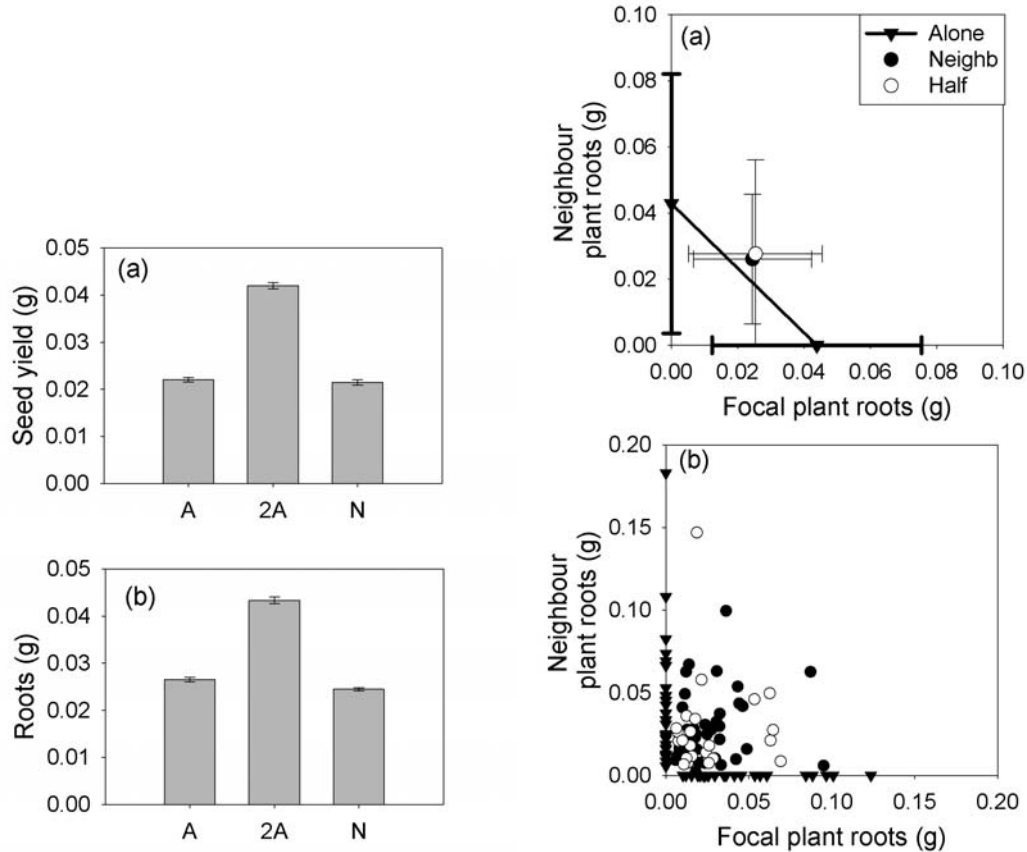
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456

457 **Figure 2:** Example best response curves for game on plants (a) or game off plants (b). For plants
458 that engage in a tragedy of the commons game, they over-proliferate roots in response to
459 neighbours causing the best response curve to bow up and out from the origin (panel a). Game on
460 plants will produce more roots in the presence of neighbours compared to alone (potentially
461 much more than we've shown). For plants that do not engage in a tragedy of the commons game
462 the model predicts a linear best response curve that obeys an ideal free distribution of roots
463 relative to soil nutrient concentrations (b). Game off plants will never produce more roots when
464 neighbours are present compared to alone.

465



466

467 **Figure 3: Figure 3:** Mean seed yield (a) and root mass (b) of focal plants grown either alone in
468 pots of volume V/2 (A), alone in pots of volume V/2 (A/2) or with neighbours (N). These data
469 are also plotted as best response curves (c-d). Mean responses of plants grown with neighbours
470 or alone in half pots are compared to the expected best response curve (a). Raw data are shown
471 in panel (b). Error bars are ± 1 SD.

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