Title: Multi-Connection Pattern Analysis: Decoding the Representational Content of Neural Communication

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33   **Abstract**
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The lack of multivariate methods for decoding the representational content of

interregional neural communication has left it difficult to know what information is

represented in distributed brain circuit interactions. Here we present Multi-Connection

Pattern Analysis (MCPA), which works by learning mappings between the activity

patterns of the populations as a factor of the information being processed. These maps are

used to predict the activity from one neural population based on the activity from the

other population. Successful MCPA-based decoding indicates the involvement of

distributed computational processing and provides a framework for probing the

representational structure of the interaction. Simulations demonstrate the efficacy of

MCPA in realistic circumstances. Applying MCPA to fMRI data shows that interactions

between visual cortex regions are sensitive to information that distinguishes individual

natural images, suggesting that image individuation occurs through interactive

computation across the visual processing network. MCPA-based representational

similarity analyses (RSA) results support models of error coding in interactions among

regions of the network. Further RSA analyses relate the non-linear information

transformation operations between layers of a computational model (HMAX) of visual

processing to the information transformation between regions of the visual processing

network. Additionally, applying MCPA to human intracranial electrophysiological data

demonstrates that the interaction between occipital face area and fusiform face area

contains information about individual faces. Thus, MCPA can be used to assess the

information represented in the coupled activity of interacting neural circuits and probe the

underlying principles of information transformation between regions.

Keywords: functional connectivity, multivariate statistical analysis, decoding,
representation similarity analysis, functional magnetic resonance imaging (fMRI),
intracranial electroencephalography (iEEG)
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Introduction

Since at least the seminal studies of Hubel and Wiesel (Hubel and Wiesel, 1959)

the computational role that neurons and neural populations play in processing has

defined, and has been defined by, how they are tuned to represent information. The

classical approach to address this question has been to determine how the activity

recorded from different neurons or neural populations varies in response to parametric

changes of the information being processed. Single unit studies have revealed tuning

curves for neurons from different areas in the visual system responsive to features

ranging from the orientation of a line, shapes, and even high level properties such as

properties of the face (Desimone et al., 1984; Hubel and Wiesel, 1959; Tsao et al., 2006).

Multivariate methods, especially pattern classification methods from modern statistics

and machine learning, such as multivariate pattern analysis (MVPA), have gained

popularity in recent years and have been used to study neural population tuning and the

information represented via population coding in neuroimaging and multiunit activity

(Cox and Savoy, 2003; Ghuman et al., 2014; Haxby et al., 2001; Haynes and Rees, 2006;

Hirshorn et al., 2016; Kamitani and Tong, 2005; Poldrack, 2011; Polyn et al., 2005).

These methods allow one to go beyond examining involvement in a particular neural

process by probing the nature of the representational space contained in the pattern of

population activity (Edelman et al., 1998; Haxby et al., 2014; Kriegeskorte and Kievit,

2013).

Neural populations do not act in isolation, rather the brain is highly

interconnected and cognitive processes occur through the interaction of multiple

populations. Indeed, many models of neural processing suggest that information is not

represented solely in the activity of local neural populations, but rather at the level of

recurrent interactions between regions (Grossberg, 1980; Kveraga et al., 2007; Lee and

Mumford, 2003). However previous studies only focused on the information

representation within a specific population (Freiwald et al., 2009; Ghuman et al., 2014;

Haxby et al., 2001; Hirshorn et al., 2016; Nestor et al., 2011; Tsao et al., 2006), as no

current multivariate methods allow one to directly assess what information is represented

in the pattern of functional connections between distinct and interacting neural

populations with practical amounts of data. Such a method would allow one to assess the

content and organization of the information represented in the neural interaction. Thus, it

remains unknown whether functional connections passively transfer information between

encapsulated modules (Fodor, 1983) or whether these interactions play an adaptive

computational role in processing. Note that in this context non-adaptive information

transfer is equivalent to a static linear projection where no computational "work" is done

in the interaction between the regions and therefore no information is added. Adaptive

information transfer is one in which computational work related to the behavioral state or

condition is performed and therefore state or condition specific information is added

through the interaction between regions; this is equivalent to a non-linear function.

Univariate methods that go beyond assessing the degree of coupling between

populations to assess changes in the relationship between the activity as a factor of

condition also examine adaptive communication between regions. For example the

psychophysiological interactions (PPI; (Friston et al., 1997)) and dynamic causal

modeling methods (Friston et al., 2003) are sensitive to adaptive interregional

communication. However, when compared with univariate methods, it has been noted

that multivariate methods allow for "more sensitive detection of cognitive states,"

"relating brain activity to behavior on a trial-by-trial basis," and "characterizing the

structure of the neural code" (Norman et al., 2006). Thus, a multivariate pattern analysis

method for functional connectivity analysis is critical for decoding the representational

structure of interregional interactions.

In this paper, we introduce a multivariate analysis algorithm combining functional

connectivity and pattern recognition analyses that we term Multi-Connection Pattern

Analysis (MCPA). MCPA works by learning the discriminant information represented in

the shared activity between distinct neural populations by combining multivariate

correlational methods with pattern classification techniques from machine learning in a

novel way. Much the way that MVPA goes beyond a t-test or ANOVA by building a

multivariate model of local activity that is then used for single-trial prediction and

classification, MCPA goes beyond PPI by building a multivariate connectivity model that

is then used for single-trial prediction and classification. This single-trial prediction and

classification makes MCPA distinct from previous connectivity approaches that only

statistically test the absolute or relative functional connectivity between two populations

(Cribben et al., 2012; Finn et al., 2015; Richiardi et al., 2011; Shirer et al., 2012; Wang et

al., 2015) and allows for a detailed probe of the representational structure of the

interaction.

The MCPA method consists of an integrated process of learning connectivity

maps based on the pattern of coupled activity between two populations A and B

conditioned on the stimulus information and using these maps to classify the information

representation in shared activity between A and B in test data. The rationale for MCPA is

that if the activity in one area can be predicted based on the activity in the other area and

the mapping that allows for this prediction is sensitive to the information being processed,

then this suggests that the areas are communicating with one another and the

communication pattern is sensitive to the information being processed. Thus, MCPA

simultaneously asks two questions: 1) Are the multivariate patterns of activity from two

neural populations correlated? (i.e. is there functional connectivity?) and 2) Does the

connectivity pattern change based on the information being processed? This is

operationalized by learning a connectivity map that maximizes the multivariate

correlation between the activities of the two populations in each condition. This map can

be thought of like the regression weights that transform the activity pattern in area A to

the activity pattern in area B (properly termed "canonical coefficients" because a

canonical correlation analysis [CCA] is used to learn the map). These maps are then used

to generate the predictions as part of the classification algorithm. Specifically, a

prediction of the activity pattern in one region is generated for each condition based on

the activity pattern in the other region projected through each mapping. Single trial

classification is achieved by comparing these predicted activity patterns with the true

activity pattern (see Figure 1 for illustration). With MCPA single trial classification based

on multivariate functional connectivity patterns is achieved allowing the nature of the

representational space of the interaction to be probed.

We present a number of simulations to validate MCPA for a realistic range of

signal-to-noise ratios (SNR) and to show that MCPA is insensitive to local information

processing. We apply MCPA to examine the inter-regional representation for natural

visual stimuli in visual cortex using functional magnetic resonance imaging (fMRI) data.

Specifically, we show that the interactions between regions of the visual stream (V1, V2,

V3, V4, and lateral occipital cortex [LO]) are sensitive to information about individual

natural images. We combine MCPA with representational similarity analysis to

demonstrate that MCPA can be used to evaluate computational models and make

inferences regarding the underlying neural mechanism of information transferring. To

demonstrate MCPA's applicability to electrophysiological signals and multivariate

oscillatory synchrony, we use MCPA to examine the circuit-level representation for faces

using intracranial electroencephalography (iEEG) data. Specifically, we show that the

interaction between the occipital face area (OFA) and the fusiform face area (FFA)

represents information about individual faces. These results demonstrate that MCPA can

be used to probe the nature of representational space resulting from processing distributed

across neural regions.

Figure 1 **Illustration of the connectivity map and classifier of MCPA.** The MCPA framework
is demonstrated as a two-phase process: learning and testing. **Top left:** An illustration of the
learned functional information mapping between two populations under condition 1. The
representational state spaces of the two populations are shown as two planes and each pair of blue
and red dots correspond to an observed data point from the populations. The functional
information mapping is demonstrated as the colored pipes that project points from one space onto
another (in this case, a 90 degree clockwise rotation). **Bottom left:** An illustration of the learned
functional information mapping between two populations under condition 2 (in this case, a 90
degree counterclockwise rotation). **Top right:** An illustration of the predicted signal by mapping
the observed neural activity from one population onto another using the mapping patterns learned
from condition 1. The real signal in the second population is shown by the red dot. **Bottom right:**
An illustration of the predicted signal by mapping the observed neural activity from one
population onto another using the mapping patterns learned from condition 2. In this case, MCPA
would classify the activity as arising from condition 1 because of the better match between the
predicted and real signal.

Materials and methods

Overview

The MCPA method consists of a learning phase and a test phase (as in machine

learning, where a model is first learned, then tested). In the learning phase, the

connectivity maps for each condition that characterize the pattern of shared activity

between two populations is learned. In the test phase, these maps are used to generate

predictions of the activity in one population based on the activity in the other population

as a factor of condition and these predictions are tested against the true activity in the two

populations. Similar to linear regression where one can generate a prediction for the

single variable A given the single variable B based on the line that correlates A and B,

MCPA employs a canonical correlation model (a generalization of multivariate linear

regression) and produces a mapping model for each condition as a hyperplane that

correlates multidimensional spaces A and B. Thus one can generate a prediction of the

observation in multivariate space A given the observation in multivariate space B on a

single trials basis. In this sense, MCPA is more analogous to a machine learning classifier

combined with a multivariate extension of PPI (Friston et al., 1997) rather than being

analogous to correlation-based functional connectivity measures.

The general framework of MCPA is to learn the connectivity map between the

populations for each task or stimulus condition separately based on training data.

Specifically, given two neural populations (referred to as A and B), the neural activity of

the two populations can be represented by feature vectors in multi-dimensional spaces

(Haxby et al., 2014). The actual physical meaning of the vectors would vary depending

on modality, for example spike counts for a population of single unit recordings; time

point features for event-related potentials (ERP) or event-related fields; time-frequency

features for electroencephalography, electrocorticography (ECoG) or

magnetoencephalography; or single voxel blood-oxygen-level dependent (BOLD)

responses for functional magnetic resonance imaging. A mapping between A and B is

calculated based on any shared information between them for each condition on the

training subset of the data. This mapping can be any kind of linear transformation, such

as any combination of projections, scalings, rotations, reflections, shears, or squeezes.

These mappings are then tested as to their sensitivity to the differential

information being processed between cognitive conditions by determining if the neural

activity can be classified based on the mappings. Specifically, for each new test data trial,

the maps are used to predict the neural activity in one area based on the activity in the

other area and these predictions are compared to the true condition of the data. The

trained information-mapping model that fits the data better is selected and the trial is

classified into the corresponding condition. This allows one to test whether the mappings

were sensitive to the differential information being represented in the neural interaction in

the two conditions.

The flow of the MCPA framework is demonstrated in Figure 1 and Algorithm 1.

Algorithm 1: Multi-Connection Pattern Analysis (MCPA)
Input:
training data: matrices $X_A^{(1)}$ for ROI-A under condition 1, $X_A^{(2)}$ for ROI-A under condition 2, $X_B^{(1)}$ for ROI-B under condition 1, $X_B^{(2)}$ for ROI-B under condition 2
testing data: x_A for observation in ROI-A, and x_B for observation in ROI-B
Output:
Prediction of condition for observation (x_A, x_B).

Learning phase:
   1   Apply CCA on $X_A^{(1)}$ and $X_B^{(1)}$ to get linear mapping function $R^{(1)}$.
   2   Apply CCA on $X_A^{(2)}$ and $X_B^{(2)}$ to get linear mapping function $R^{(2)}$.
Testing phase:
   3   Use x_A and $R^{(1)}$ to reconstruct activity in ROI-B under condition 1, which yields reconstructed data matrix $y_B^{(1)}$.
   4   Use x_A and $R^{(2)}$ to reconstruct activity in ROI-B under condition 2, which yields reconstructed data matrix $y_B^{(2)}$.
   5   Compare the correlations between the reconstructions $(y_B^{(1)}, y_B^{(2)})$ under different conditions and the real observation (x_B).
   6   Reverse the direction (project B to A), repeat steps 3 and 4, and compare the correlations between the reconstructions under different conditions and the real observation.
   7   Assign the condition that gives maximum average correlation coefficient to the test case (x_A, x_B).

Connectivity Map

The first phase of MCPA is to build the connectivity map between populations.

The neural signal in each population can be decomposed into two parts: the part that

encodes shared information, and the part that encodes non-shared local information

(including any measurement noise). We assume that the parts of the neural activities that

represent the shared information in the two populations are linearly correlated (though,

this can easily be extended by the introduction of a non-linear kernel). The model can be

described as follows

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$$C \sim \mathcal{N}(0, I_d), \min\{m_A, m_B\} \geq d \geq 1$$

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$$A|C = W_A C + D, D \sim \mathcal{N}(\mu_A, \Psi_A), W_A \in \mathbb{R}^{m_A \times d}, \Psi_A \succcurlyeq 0$$

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$$B|C = W_B C + E, E \sim \mathcal{N}(\mu_B, \Psi_B), W_B \in \mathbb{R}^{m_B \times d}, \Psi_B \succcurlyeq 0$$

where C is the common activity, D and E are local activities, m_A, m_B are the

dimensionalities of activity vector in population A and B respectively. Without loss of

generality, $\mu_A = \mu_B = 0$ can be assumed. The activity in population A can be

decomposed into shared activity $W_A C$ and local activity D, while activity in B can be

decomposed into shared activity $W_B C$ and local activity E. The shared discriminant

information only lies in the mapping matrix W_A and W_B since C always follows the

standard multivariate normal distribution (though correlation measures that do not

assume normally distributed data can also be applied with minor modifications to the

calculation).

In statistics, canonical correlation analysis (CCA) is optimally designed for such a

model and estimate the linear mappings (Bach and Jordan, 2005; Hardoon et al., 2004).

In brief, let S be the covariance matrix

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$$S = \begin{bmatrix} S_{AA} & S_{AB} \\ S_{BA} & S_{BB} \end{bmatrix} = \mathbb{E}\left[\begin{pmatrix} A \\ B \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix}^T \right]$$

255 Therefore W_A and W_B can be estimated by solving the following eigen problem

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$$\begin{cases} S_{AA}^{-1} S_{AB} S_{BB}^{-1} S_{BA} U_A = \rho^2 U_A \\ S_{BB}^{-1} S_{BA} S_{AA}^{-1} S_{AB} U_B = \rho^2 U_B \end{cases}$$

257 and we have

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$$\begin{cases} W_A = S_{AA} U_{Ad} M_1 \\ W_B = S_{BB} U_{Bd} M_2 \end{cases}$$

where U_{Ad} and U_{Bd} are the first d columns of canonical directions U_A and U_B, and M_1,

$M_2 \in \mathbb{R}^{d \times d}$ are arbitrary matrices such that $M_1 M_2^T = P_d$, P_d is the diagonal matrix with

the first d elements of $P = U_B^T S_{BA} U_A$. Therefore, M_1 and M_2 are just matrices used to

normalize the projection of A and B onto the latent space. So M_1 and M_2 can take

arbitrary value as long as $M_1 M_2^T = P_d$, where P_d is the diagonal matrix representing the

variance along each of the d latent dimensions. Therefore, we can just take $M_1 = M_2 =$

$P_d^{1/2}$.

With W_A and W_B, the shared information C can be estimated using its posterior

mean $\mathbb{E}(C|A)$ and $\mathbb{E}(C|B)$, where $\mathbb{E}(C|A) = M_1^T U_A^T A$ and $\mathbb{E}(C|B) = M_2^T U_B^T B$. Let $M_1 =$

M_2 and equate $\mathbb{E}(C|A)$ and $\mathbb{E}(C|B)$, this shared information can be used as a relay to

build the bidirectional mapping between A and B. Specifically, $\widehat{B} = (M_2^T U_B^T)^\dagger M_1^T U_A^T A =$

$U_B^{T\dagger} U_A^T A = RA$ and $\widehat{A} = (M_1^T U_A^T)^\dagger M_2^T U_B^T B = U_A^{T\dagger} U_B^T B = R^\dagger B$, where $R = U_B^{T\dagger} U_A^T A$.

In the first step, the connectivity map is estimated for each condition separately. If

we have n_1 trials in condition 1 and n_2 trials in condition 2 in the training set, the training

data for the two conditions are represented in matrices as $\left[X_A^{(1)}, X_B^{(1)}\right]^T$ and $\left[X_A^{(2)}, X_B^{(2)}\right]^T$

respectively, where $X_A^{(1)} \in \mathbb{R}^{m_A \times n_1}$, $X_B^{(1)} \in \mathbb{R}^{m_B \times n_1}$ are the population activity for A and

B under condition 1 respectively, and $X_A^{(2)} \in \mathbb{R}^{m_A \times n_2}$, $X_B^{(2)} \in \mathbb{R}^{m_B \times n_2}$ are the population

activity for A and B under condition 2 respectively. The testing data vector is then

represented as $[x_A, x_B]^T$, where $x_A \in \mathbb{R}^{m_A}$ and $x_B \in \mathbb{R}^{m_B}$ are population activities in A

and B respectively. Using CCA, the estimations of the mapping matrices with respect to

different conditions are $R^{(1)}$ and $R^{(2)}$.

To sum up, by building the connectivity map, a linear mapping function R is

estimated from the data for each condition so that the activity of the two populations can

be directly linked through bidirectional functional connectivity that captures only the

shared information.

Classification

The second phase of MCPA is a pattern classifier that takes in the activity from

one population and predicts the activity in a second population based on the learned

connectivity maps conditioned upon the stimulus condition or cognitive state. The testing

data is classified into the condition to which the corresponding model most accurately

predicts the true activity in the second population.

The activity from one population is projected to another using the learned CCA

model, i.e. $\boldsymbol{x}_B^{(i)} = \boldsymbol{U}_B^{(i)\dagger} \boldsymbol{U}_A^{(i)} \boldsymbol{x}_A$. The predicted projections $\boldsymbol{x}_B^{(i)}$ are compared to the real

observation $\boldsymbol{x}_B$, and then the testing trial is labeled to the condition where the predicted

and real data match most closely. Cosine similarity (correlation) is used as the

measurement of the goodness of prediction. The mapping is bidirectional, so A can be

projected to B and vice versa. In practice, the similarities from the two directions are

averaged in order to find the condition that gives maximum average correlation

coefficient.

Simulated experiment

To test the performance of MCPA, we used BOLD signal recorded from areas V1

and V2 to simulate shared and local activity in two populations and tested the

performance of MCPA on synthetic data as a factor of the number of dimensions in each

population and signal-to-noise ratio (SNR; Figure 2a). We further evaluated three control

experiments to demonstrate that MCPA is insensitive to the presence or change in the

local information.

For the first simulation (Figure 2a), we sampled from the empirical distribution of

BOLD signal recorded from area V1 in the visual cortex and used it as the shared activity,

and independently sampled signal from the empirical distributions of activity in V1 and

V2 as the local unshared activity. (see fMRI method described below for experiment

details). The shared activity for both conditions in population A was drawn from the

empirical distribution of the first d principal components of V1 activity to mimic a d-

dimensional normal distribution $Y_A^{(i)} \sim \mathcal{N}(0, \mathbf{\Sigma}_d)$, for $i = 1,2$, where $\mathbf{\Sigma}_d$ is a diagonal

matrix with the jth element in the diagonal as σ_j^2. The shared activity in population B

under two different conditions were generated by rotating $\boldsymbol{Y}_A$ with different rotation

matrices separately, $Y_B^{(i)} = \mathbf{R}^{(i)} Y_A^{(i)}$, where $\mathbf{R}^{(1)}$ and $\mathbf{R}^{(2)}$ were two d-by-d random

rotation matrices corresponding to the information mapping functions under condition 1

and 2 respectively, and for simplicity, $\mathbf{R}^{(i)}$ is orthogonal with $\mathbf{R}^{(i)^T}\mathbf{R}^{(i)} = \mathbf{I}_d$. In addition

to the shared activity, local activity in A and B was randomly drawn from the empirical

distributions of the first d principal components of V1 and V2 activity respectively and

multiplied by a factor of σ to simulate white noise $\boldsymbol{E}^{(i)} \sim \mathcal{N}(0, \sigma^2 \boldsymbol{\Sigma}_d)$.

The two important parameters here are the dimensionality d and the variance σ^2.

SNR was used to characterize the ratio between the variance of shared activity and

variance of local activity, and the logarithmic decibel scale $\text{SNR}_{dB} = -10 \log_{10}(\sigma^2)$ was

used. To cover the wide range of possible data recorded from different brain regions and

different measurement modalities, we tested the performance of MCPA with d ranging

from 2 to 25 and SNR ranging from -20 dB to 20 dB (σ^2 ranged from 0.01 to 100). Note

that each of the d dimensions contain independent information about the conditions and

have the same SNR. Thus the overall SNR does not change, but the amount of pooled

information does change with d. For each particular setup of parameters, the rotation

matrices $\mathbf{R}^{(i)}$ were randomly generated first, then 200 trials were randomly sampled for

each condition and evenly split into training set and testing set. MCPA was trained using

the training set and tested on the testing set to estimate the corresponding true positive

rate (TPR) and false positive rate (FPR) for the binary classification. The sensitivity

index d' was then calculated as $d' = Z(TPR) - Z(FPR)$, where $Z(x)$ is the inverse

function of the cdf of standard normal distribution. This process was repeated 100 times

and the mean and standard errors across these 100 simulations were calculated. Note that

the only discriminant information about the two conditions is the pattern of interactions

between the two populations, and neither of the two populations contains local

discriminant information about the two conditions in its own activity. We further tested

and confirmed this by trying to classify the local activity in populations A and B (see

below). To avoid an infinity d' value, with 100 testing trials, the maximum and minimum

for TPR or FRP were set to be 0.99 and 0.01, which made the maximum possible d' to be

4.65.

The MCPA method captures the pattern of correlation between neural activities

from populations and is invariant to the discriminant information encoded in local

covariance. To see this, we first take the simulation data described above and apply

MVPA (naïve Bayes) to each of the two populations separately. Note that in each of the

two populations, we set the two conditions to have the same mean and covariance. As a

result, there should be no local discriminant information within any of the two

populations alone.

Robustness of MCPA to non-informative dimensions

354   In addition to the existing simulations that evaluate the influence of SNR and

informative dimensionality on the performance of MCPA, we evaluated the influence of

having non-informative dimensionality on the performance of MCPA. Specifically, we

simulate 10 informative dimensions and simulate P additional dimensions that are not

informative for discrimination and apply MCPA to this simulated data without PCA.

With a fixed number of 100 training samples per condition, we evaluate the performance

of MCPA as a factor of the ratio between number of dimensions and the number of

training samples per condition. The intuition is that, with a fixed amount of informative

dimensions and fixed number of training samples, when the number of dimensions

grows, the model would suffer from overfitting and the performance would decay.

Control simulations

366   For the first control simulation (Figure 2c), we fixed the dimensionality at $d = 10$

and SNR at 0 dB ($\sigma^2 = 1$). For condition 1, $X_A^{(1)}, X_B^{(1)}$ were drawn independently from

the empirical distributions of the first d principal components of area V1 and area V2

using the corresponding empirical distributions; for condition 2, $X_A^{(2)}, X_B^{(2)}$ were drawn

independently from the same distribution in the empirical distributions of the first d

principal components of area V1 and area V2. Then we changed the local variance in one

of the conditions. For the features in population A and B under condition 1, we used

$X_A^{(1)'} = kX_A^{(1)}$ and $X_B^{(1)'} = kX_B^{(1)}$, where k ranged from 1 to 9. Thus, in both populations,

the variance of condition 1 was different from the variance of condition 2, and such

difference would increase as k became larger. Therefore, there was no information shared

between the two populations under either condition, but each of the population had

discriminant information about the conditions encoded in the variance for any $k \neq 1$.

For the second control simulation (Figure 2d), we fixed the dimensionality at 10

and SNR at 0 dB ($\sigma^2 = 1$) and kept the rotation matrices of different conditions different

from each other. As a result, the amount of shared discriminant information represented

in the patterns of interactions stayed constant. Then we changed the local variance in one

of the conditions. For the features in population A under condition 1, we used $X_A^{(1)'} =$

$kX_A^{(1)}$, where k ranged from 1 to 9. Thus, population A, the variance of condition 1 was

different from the variance of condition 2, and such difference would increase as k

became larger. According to our construction of MCPA, it should only pick up the

discriminant information contained in the interactions and should be insensitive to the

changes in local discriminant information from any of the two populations.

For the third control simulation (Figure 2e), we introduced local discriminant

information into the two populations to demonstrate that MCPA is insensitive to the

presence of constantly correlated local information (Figure 2e). We fixed the

dimensionality at 10 and SNR at 0 dB ($\sigma^2 = 1$) and kept the rotation matrices constant

for different conditions. As a result, the amount of shared discriminant information

represented in the patterns of interactions was 0. Then we changed the local variance in

one of the conditions. For the features in population A and B under condition 1, we used

$X_A^{(1)'} = kX_A^{(1)}$ and $X_B^{(1)'} = kX_B^{(1)}$, where k ranged from 1 to 9. Thus, in both populations,

the variance of condition 1 was different from the variance of condition 2, and such

difference would increase as k became larger. Notably, such local information was

actually correlated through interactions between the populations. However, since the

pattern of interaction did not vary as the condition changed, there was no discriminant

information about the conditions represented in the interactions. According to our

construction of MCPA, it should not pick up any discriminant information in this control

case.

Examining visual cortex coding for natural images using MCPA

fMRI methods

The fMRI dataset was taken from CRCNS.org (Kay et al., 2011). See (Kay et al.,

2008; Naselaris et al., 2009) for details regarding subjects, stimuli, MRI parameters, data

collection, and data preprocessing. In the experiment, two subjects performed passive

natural image viewing tasks while BOLD signals were recorded from the brain. The

experiment contains two stages: a training stage and a validation stage. In the training

stage, two separate trials were recorded in each subject. In each trial, a total of 1750

images were presented to the subject, which yields a total of 3500 presentations of

images (3500 = 1750 images * 2 repeats). In the validation stage, another 120 images

were presented to the subject in 13 repeated trials, which yields a total of 1560

presentations (1560 = 120 images * 13 repeats). The single-trial response for each voxel

was estimated using deconvolution method and used for the following analysis. The

voxels were assigned to 5 visual areas (V1, V2, V3, V4, and lateral occipital [LO]) based

on retinotopic mapping data from separate scans (Kay et al., 2008; Naselaris et al., 2009).

Categorical image classification

To control for repetition of each individual image and increase the image number

being used, we used the data from the training stage for the categorical image

classification. The 1750 images were manually sorted into 8 categories (animals,

buildings, humans, natural scenes, textures, food, indoor scenes, and manmade objects).

In order to maintain enough statistical power, only categories with more than 100 images

were used in the analysis. As a result, 3 categories (food, indoor scenes, and manmade

objects) were excluded.

For each pair of ROIs, namely V1-V2, V2-V3, V3-V4, and V4-LO, MCPA was

applied to classify the functional connectivity patterns for each possible pair of image

categories (total of 10 pairs). For each specific pair of categories, BOLD signal from all

the voxels in the ROIs were used as features in MCPA. Principal Component Analysis

(PCA) was used to reduce the dimensionality to P, where P corresponds to the number of

PCs that capture 90% of variation in the data, which yielded between 100-200 PCs.

Leave-one-trial-out cross-validation was used in order to estimate the classification

accuracy. This procedure was repeated for all 10 pairs. Classification accuracy and the

corresponding sensitivity index d' were used to quantify the performance of MCPA.

Single image classification using MCPA

For single image classification the 13 repetitions of each individual image from

the validation stage data was used.

For each pair of ROIs, namely V1-V2, V2-V3, V3-V4, and V4-LO, MCPA was

applied to classify the functional connectivity patterns for each possible pair of images

(total of 7140 pairs). For each specific pair of categories, BOLD signal from all the

voxels in the ROIs were used as features in MCPA. Considering the limited number of

trials in each condition, PCA was first used with the data from the training stage to

reduce the representation dimensionality to 10. Because the top PCs that explain most

variations may contain variance not related to the stimuli, the 10 PCs were selected from

the top 50 PCs, based on maximizing the between-trial correlations for single images. As

a result, we reduced the dimensionality of the validation data from more than 1000 to 10

based on the training dataset, which was completely independent from all the validation

data that was used in the learning and testing stages of MCPA. Leave-one-trial-out cross-

validation was then used in order to estimate the classification accuracy. This procedure

was repeated for all 7140 pairs. Classification accuracy and the corresponding sensitivity

index d' were used to quantify the performance of MCPA.

MVPA analysis

MVPA was applied to classify the neural activity within each ROI (V1, V2, V3,

V4, and LO) for each possible pair of categories (total of 10 pairs). The same features

extracted from all the voxels within the ROI, as described above, were used in MVPA

analysis. Naïve Bayes classifier was used as the linear classifier and leave-one-trial-out

cross-validation was used in order to estimate the classification accuracy. This procedure

was repeated for all 10 pairs. Classification accuracy and the corresponding sensitivity

index d' were used to quantify the performance of MVPA.

Permutation test

Permutation testing was used to determine the significance of the classification

accuracy d'. For each permutation, the condition labels of all the trials were randomly

permuted and the same procedure as described above was used to calculate the

classification accuracy (d') for each permutation. The permutation was repeated for a

total of 1000 times. The classification accuracy (d') of each permutation was used as the

test statistic and the null distribution of the test statistic was estimated using the

histogram of the permutation test.

Representational similarity analysis

Based on the classification results, for each classification analysis, the

representational dissimilarity matrix (RDM) **M** was constructed such that the *j*th element

in the *i*th row, m_{ij} , equals the dissimilarity (classification accuracy) between the

condition *i* and condition *j* in the corresponding representational space defined by the

analysis. Spearman's rank correlation was used to compare representational dissimilarity

matrices in order to account for outliers and non-normality in the data.

Psychophysiological interactions

PPI (Friston et al., 1997) was used to analyze the pattern of interactions between

V1 and V4 for each pair of image categories (total of 10). The response in each ROI was

extracted by taking the first principal component across all voxels. The PPI model can be

written as $y = \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \epsilon$, where *y* is the response in V4, x_1 is the response

in V1, x_2 is the categorical condition (1 or -1), and x_3 is the psychophysiological

interaction ($x_3 = x_1 \cdot x_2$).

HMAX model and connectivity patterns

The implementation of HMAX model by Serre et al. (Serre et al., 2007) was used.

Each image was fed into the network and the activations in the four layers (S1, C1, S2,

and C2) were recorded. At each patch size level, for image k (k = 1, 2, …, 120), the

activation pattern in simple layer i (i = 1, 2) is recorded as $\mathbf{S}_i^k$, which is a square matrix

with retinotopic mapping to the image space. On the other hand, the activation pattern in

complex layer i (i = 1, 2) is represented as vector C_i^k with each element representing the

activation of one single unit (for C1, this is achieved by concatenating all the units in the

layer into one vector). The activation of each unit in the complex layer was calculated by

taking a maximum over its corresponding pool of units in the previous simple layer. For

each complex unit, we recorded the location of the corresponding maximum activation

simple unit. As a result, we got a N_i-by-2 connectivity matrix $\mathbf{V}_i^k$ for complex layer Ci for

image k, where N_i is the total number of units in Ci and each row is the 2-D coordinate of

the corresponding maximum activation simple unit. Thus, the connectivity pattern

between simple layer Si and complex layer Ci for image k was described by such

connectivity matrix $\mathbf{V}_i^k$. Considering all pairs of images, the RDM of the connectivity

pattern $\mathbf{M}_i$ is calculated by taking the Frobenius norm of the difference between each pair

of connectivity matrix, i.e. $\mathbf{M}_i(j, k) = \left\| \mathbf{V}_i^j - \mathbf{V}_i^k \right\|_2$.

The representation space for each single layer was then extracted by

concatenating all units in the layer into one vector. The RDM of each single layer was

calculated using the Euclidian distance between the corresponding activation vectors of

the images.

Representational similarity analysis and permutation test

Permutation test was used to determine the statistical significance of the

correlation between the RDM from MCPA and the RDM from HMAX. Specifically, for

each pair of ROIs (i.e. V1-V2, V2-V3, V3-V4, and V4-LO), we calculated the

corresponding 120-by-120 RDM for all the images from MCPA and averaged across the

two subjects, noted as $\mathbf{M}^{ROI1-ROI2}$, where *ROI*1-*ROI*2 = V1-V2, V2-V3, V3-V4, or V4-

LO. Then we used the RDMs of HMAX ($\mathbf{M}_i$, $i = 1, 2$) described in the previous part and

calculate the Spearman's rank correlation between $\mathbf{M}^{ROI1-ROI2}$ and $\mathbf{M}_i$. As a result, we

have $\rho_i^{ROI1-ROI2} = corr(\mathbf{M}^{ROI1-ROI2}, \mathbf{M}_i)$. Then to compare the correlation from

different layers in HMAX to MCPA, we use $\Delta\rho^{ROI1-ROI2} = \rho_1^{ROI1-ROI2} - \rho_2^{ROI1-ROI2}$ as

the test statistic. For each permutation, the labels of the 120 images were randomly

permuted and the above procedure was repeated. With a total of 1000 permutations, we

got the empirical distribution of the test statistic for the null hypothesis that there is no

difference between the two correlations. A p-value for the real test statistic can then be

estimated.

Examining OFA-FFA coding for individual faces using MCPA

Subject

A human subject underwent surgical placement of iEEG depth electrodes

(stereotactic electroencephalography) as standard of care for surgical epilepsy

localization. The subject was male, age 56. There was no evidence of epileptic activity

shown on the electrodes used in this study.

The experimental protocols were approved by the Institutional Review Board of

the University of Pittsburgh. Written informed consent was obtained from the participant.

See supplemental methods for analysis details.

Results

Simulations

We used simulations to test and verify the performance and properties of MCPA

on synthetic data. Specifically, synthetic data generated based on real fMRI data

representing neural activity of two distinct populations and the information represented in

the interaction between those populations was manipulated to construct different testing

conditions.

In the first simulation, we evaluated the ability of MCPA to detect information

represented in the functional connectivity pattern when it was present as a factor of the

SNR and the number of dimensions of the data. The mean and standard error of the

sensitivity index (d') from 100 simulation runs for each particular setup (dimensionality

and SNR) are shown in Figure 2a. The performance of the MCPA classifier increased

when SNR or effective dimensionality increased. Classification accuracy saturated to the

maximum when SNR and number of dimensions were high enough (SNR > 5 dB,

dimensionality > 10). The performance of MCPA was significantly higher than chance (p

< 0.01, permutation test) for SNRs above -5 dB for all cases where the dimensionality

was higher than 2, when the pattern of the multivariate mapping between the activity was

changed between conditions.

In addition, we examined how robust MCPA is to adding uninformative

dimensions, which also changes the global SNR though non-uniformly. This simulation

assesses performance of MCPA as the dimensionality of the data approaches the number

of trials. In the evaluation with a fixed number of 10 informative dimension and 100 trials

per condition, MCPA was shown to be highly robust to uninformative dimensions and

gave significant classification accuracy until the number of dimensions approached ~70%

of the number of trials (Figure 2b), at which point more than 85% of the total features are

completely uninformative noise.

The first control simulation was designed to confirm that when two unconnected

populations both carry local discriminant information, MCPA would not be sensitive to

that piece of information. As shown in Figure 2c, MCPA did not show any significant

classification accuracy above chance ($d' = 0$) as k changed. On the other hand, the

MVPA classifier that only took the data from local activity showed significant

classification accuracy above chance level and the performance increased as local

discriminant information increased.

The second control simulation was designed to test if MCPA would be insensitive

to changes in local discriminant information when there was constant information coded

in neural communication. Local discriminant information was injected into the

populations by varying the ratio of the standard deviation (k) between the two conditions.

When MVPA was applied to the local activity, increasing classification accuracy was

seen as k became larger (Figure 2d). This result confirmed that discriminant information

was indeed encoded in the local activity in the simulation. On the other hand, the

performance of MCPA did not change with the level of local discriminant information (d'

stayed around 1.65 for all cases, corresponding to accuracy = 79%), demonstrating that

MCPA is only sensitive to changes in information contained in neural interactions.

The final control simulation tested whether MCPA is simply sensitive to the

presence of functional connectivity between two populations *per se* or is only sensitive to

whether the functional connectivity contains discriminant information. Specifically, are

local discriminant information in two populations, and a correlation between their activity,

sufficient for MCPA decoding? It should not be, considering that MCPA requires that the

pattern of the mapping between the populations to change as a factor of the information

being processed (see Figure 1). The final control simulation was designed to assess

whether MCPA is sensitive to the case where two populations communicate, but in a way

that would not imply distributed computational processing. Specifically, neural activity in

areas A and B were simulated such that local discrimination was possible in each

population and the activity of the two populations was correlated, but the interaction

between them was invariant to the information being processed. Figure 2e shows that in

this case MCPA did not classify the activity above chance, despite significant correlation

between the regions and significant local classification (MVPA). Thus, functional

connectivity between the populations is a necessary, but not sufficient, condition for

MCPA decoding. Therefore, MCPA is only sensitive to the case where the mapping itself

changes with respect to the information being processed, which is a test of the presence

of distributed neural computation.

Figure 2 **Synthetic data and control simulation experiments.** The mean and standard error for
100 simulation runs are plotted. The horizontal gray line corresponds to chance level (d' = 0).
The dashed line (d' = 0.42, corresponding accuracy 58.5%) corresponds to the chance threshold,
p = 0.01, based on a permutation test. The maximum possible d' = 4.65 (equivalent to 99%
accuracy because the d' for 100% accuracy is infinity). **(a)** The sensitivity of MCPA for
connectivity between two populations as a factor of SNR and the number of effective dimensions
in each population. MCPA was applied to synthetic data, where two conditions had different
patterns of functional connectivity (measured by SNR and dimensionality). Performance of
MCPA was significantly higher than chance level when SNR > -5 dB and the number of
dimensions > 2. Performance of MCPA saturated to maximum when SNR > 5 dB and the number
of dimensions > 10. **(b)** The robustness of MCPA to non-informative dimensions. The signal was
generated in a lower dimensional manifold (# dim = 10), and *P* non-informative dimensions were
added to the space. # of (training) samples per condition is fixed at 100. **(c)** The insensitivity of

MCPA when there is variable local discriminant information, but no circuit-level information
(control case 1). MCPA and MVPA were applied to control case 1. The SNR was fixed at 0 dB
and the number of dimensions is fixed at 10 for panels b, c, and d. k corresponds to the ratio of
the standard deviations of the two conditions in panels b, c, and d. **(d)** The insensitivity of MCPA
to changes in local discriminant information with fixed circuit-level information when there is
both local and circuit-level information (control case 2). **(e)** The insensitivity of MCPA to
variable local discriminant information when the circuit-level activity is correlated, but does not
contain circuit-level information about what is being processed (control case 3).

Single image classification of visual cortex interactions using MCPA

To assess its performance on real neural data, MCPA was applied to Blood-

oxygen-level-dependent (BOLD) fMRI measurements of human occipital visual areas, in

two subjects (Subject 1 and Subject 2) during passive viewing of 13 repetitions of 120

natural images (Kay et al., 2011; Kay et al., 2008; Naselaris et al., 2009). MCPA was

used for single-trial classification of these images for the interactions between V1-V2,

V2-V3, V3-V4, and V4-lateral occipital (LO) cortex (e.g. 4 total region pairs * 2 subjects;

see Figure 5 of Naselaris et al. (Naselaris et al., 2009) for depictions of these regions in

these subjects). Across the 8 pairs of regions the mean sensitivity index (d') of the single

trial classification was 0.405 (SD = 0.094), with all of the pairs showing significant

classification at $p < 0.01$ corrected for multiple comparisons (permutation test). In both

subjects, MCPA classification accuracy declined going up the classic visual hierarchy.

For Subject 1 and 2, the classification accuracies are shown in Table 1.

643   **Table 1** Mean d' and classification accuracy of MCPA for Subject 1 and Subject 2 (chance level: d' = 0, accuracy =
644   50%)

Subject 1				
ROI1-ROI2	V1-V2	V2-V3	V3-V4	V4-LO
d'	0.477	0.443	0.408	0.319
accuracy	58.5%	57.9%	57.3%	55.7%
Subject 2				
ROI1-ROI2	V1-V2	V2-V3	V3-V4	V4-LO
d'	0.589	0.470	0.330	0.271
accuracy	60.3%	58.5%	55.9%	54.9%

Using MCPA-based RSA to test models of between-area information transformation

One important application of MCPA is to evaluate models and test theoretical

hypotheses regarding the computational operation underlying how representations are

transformed from one region to another. MCPA-based representational similarity

analysis (RSA) can be used to compare the representational space derived from the

interaction between brain regions to representational spaces derived from the

transformation of representations in computational models. To illustrate this we compare

the representational space for natural images in the same fMRI dataset described above to

the representational space derived from the transformation between layers of the HMAX

model of the visual processing stream (Riesenhuber and Poggio, 1999; Serre et al., 2007).

HMAX has four layers going from S1 to C1 to S2 to C2 along the hierarchy. The

transformation of the representation between S1 and C1 (S1-C1 transformation) occurs

through a local, non-linear max-pooling operation and the transformation between S2 and

C2 (S2-C2 transformation) occurs through a more global non-linear max-pooling

operation. We compared the representational dissimilarity matrices (RDMs) derived from

these HMAX transformations to the RDMs derived from MCPA between V1-V2, V2-V3,

V3-V4, and V4-LO. The transformation between C1 and S2 occurs through a passive

filtering that does not give rise to an RDM because the transformation is effectively the

same across all C1 representations.

As shown in Figure 3, we found that the RDM derived from the S1-C1

transformation in HMAX correlates with the V2-V3 RDM based upon MCPA of the

fMRI data (mean Spearman's rho = 0.053, $p < 0.05$, permutation test). Furthermore, the

S1-C1 correlation to V2-V3 was significantly greater ($p < 0.05$, permutation test) than the

S2-C2 correlation to V2-V3. The RDM derived from the S2-C2 transformation in HMAX

correlates with the V4-LO RDM based upon MCPA of the fMRI data (mean Spearman's

rho = 0.112, $p = 0.002$, permutation test). Furthermore, the S2-C2 correlation to V4-LO

was significantly greater ($p < 0.01$, permutation test) than the S1-C1 correlation to V4-

LO. Additionally, none of the individual layers in HMAX showed a consistent significant

correlation with the connectivity-based RDM from MCPA. Taken together, these results

suggest that the interaction between the lower layers of the neural visual hierarchy

reflects an operation more like the operation between the lower layers of the model of the

visual hierarchy than between higher layers of the model. Furthermore, the interaction

between higher layers of the neural visual hierarchy reflects an operation more like the

operation between higher layers of the model than between lower layers of the model.

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Figure 3 **Correlating MCPA and HMAX.** Correlation coefficients between the between-layer
connectivity patterns in HMAX (S1-C1, and S2-C2) and the between-area connectivity patterns
in fMRI data extracted by MCPA (V1-V2, V2-V3, V3-V4, and V4-LO) were plotted. The
correlation was evaluated by Spearman's rank correlation coefficients. For S1-C1, correlation
peaked at V2-V3, mean Spearman's rho = 0.053 (* p = 0.036, permutation test within each
subject, and p-values were combined using Fisher's method). For S2-C2, correlation peaked at
V4-LO, mean Spearman's rho = 0.112 (** p = 0.001, permutation test within each subject, and p-
values were combined using Fisher's method).

Comparing the between region representation to the local representation

693   To assess whether the information represented in the between region interactions

reflected a distinct computational process or merely reflected the representation in either

of the individual areas, RSA was performed. To increase our power, we performed this

RSA at the category level (animals, buildings, humans, natural scenes, and textures)

based on classification accuracy rather than the single image level because the dataset

contained many more repetitions per category than per image (Figure 4). This yielded a

total of 16 correlations (8 MCPA-based matrices correlated with each of the two regions

that contribute to each MCPA). 13 out of the 16 correlations were negative, many

showing large negative correlation coefficients (see Table 2 for details, mean Spearman's

rho = -0.415, SD = 0.364). In other words, categories that were relatively easy to decode

based on the activity within regions using MVPA were relatively more difficult to decode

based on the shared activity between that region and the other regions in the visual stream

using MCPA and vice versa (Figure 4). This negative correlation suggests that the

communication between regions represents information that has not been explained

aspects by local computational processes.

Table 2 Spearman's rank correlation coefficients between MCPA of ROI1-ROI2 and MVPA of
ROI1 or ROI2 in Subjects 1 and 2.

Subject 1				
ROI1-ROI2	V1-V2	V2-V3	V3-V4	V4-LO
ROI1	0.333	-0.055	-0.721	-0.442
ROI2	0.176	-0.370	-0.491	-0.442
Subject 2				
ROI1-ROI2	V1-V2	V2-V3	V3-V4	V4-LO
ROI1	-0.539	-0.758	-0.782	-0.539
ROI2	-0.855	-0.794	-0.418	0.055

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712

Figure 4 **MCPA and MVPA results for fMRI categorical data.** RSA results based on MCPA and MVPA for V1, V2, V3, V4, and LO from Subjects 1 and 2. Categories: A-animals, B-buildings, H-humans, S-natural scenes, T-textures. **Row 1:** RSA based on MCPA for V1-V2, V2-V3, V3-V4, and V4-LO of Subject 1, each entry represents the classification accuracy between the corresponding categories; **Row 2:** RSA based on MVPA for V1, V2, V3, V4, and LO of Subject 1, each entry represents the classification accuracy between the corresponding categories; **Row 3:** RSA based on MCPA for V1-V2, V2-V3, V3-V4, and V4-LO of Subject 2, each entry represents the classification accuracy between the corresponding categories. **Row 4:** RSA based on MVPA for V1, V2, V3, V4, and LO of Subject 2, each entry represents the classification accuracy between the corresponding categories. (chance level: accuracy = 50%).

Comparing MCPA to PPI

To demonstrate the dominance of MCPA over classical univariate methods, we

applied PPI to the same data to analyze categorical effective analysis between

neighboring areas. As a comparison, 80 different pairs of categories (10 pairs of

categories * 4 pairs of regions * 2 subjects) were analyzed using both PPI and MCPA.

4/80 PPI results were significant with $p < 0.05$ (uncorrected), while 13/80 MCPA results

were significant with $p < 0.05$ (uncorrected). As a result, the number of significant

MCPA results is significantly larger than the number of significant PPI results ($p < 0.01$,

permutation test). Note that it is not clear how many of these 80 different pairs of

categories are expected to be classifiable given that the regions examined are not

category sensitive, other than LO. Thus, it is not clear if 13/80 is close to the number of

category pairs that would be classifiable with perfect data or if this is a low percentage of

that number, but the key point in the context of validating MCPA is that MCPA is more

sensitive than univariate (PPI) methods.

Single face identity classification of OFA-FFA interactions using MCPA

To further assess its performance on electrophysiological data, MCPA was

applied on intracranial electroencephalography (iEEG) data recorded from OFA and FFA

in one human epileptic patient during a visual perception task (see Figure 5a for the

electrode locations). MCPA was applied in the classification between each possible pair

of faces. Previous studies on the timecourse of face individuation (Ghuman et al., 2014)

have demonstrated that the 250-450 ms time window is critical for the processing of face

individuation information. For MCPA, as shown in Figure 5b, with a chance level of $d' =$

0 and corresponding accuracy = 50%, the classification accuracy was significantly above

chance level across that time window (averaged $d' = 0.14$, mean classification accuracy

52.7%, $p < 0.01$, permutation test). The CCA weights for the FFA and OFA are plotted in

Figure 5c, showing that 15-30 Hz in FFA and 25-40 Hz in OFA contributed most

strongly to their interaction in response to individual faces, suggesting that there may be a

degree of cross-frequency coupling involved in the OFA-FFA coding for faces. Using

MVPA, classification accuracy was significantly above chance level across that time

window in FFA (averaged $d' = 0.42$, mean accuracy 58%, $p < 0.01$, permutation test),

replicating previous reports (Ghuman et al., 2014), classification accuracy was also above

chance level across that time window in OFA (averaged $d' = 0.13$, mean accuracy 52.6%,

$p < 0.05$, permutation test). In the early time window (50 – 250 ms), MCPA did not show

significant classification accuracy (averaged $d' = 0.116$, mean accuracy 51.6%, $p > 0.1$,

permutation test).

As a control analysis, we took a contact outside of the fusiform gyrus that did not

show face sensitivity and performed the same analysis between the control contact and

the OFA and FFA contacts. As shown in Figure 5b, the averaged d' of MCPA between

the control contact and both the OFA and FFA contacts was not significant above chance

level ($d' = 0.074$ for control & FFA, accuracy = 51.2%, d' = 0.012 for control & OFA,

accuracy = 50.3%, both $p > 0.1$, permutation test).

With the caveat that the effect size is small, the results support the hypothesis

individual level face information is represented in the OFA-FFA interaction pattern.

Figure 4 **iEEG experiments and MCPA results.** **(a)** Location of the electrodes of interest. The blue dot corresponds to the location of the FFA contact while the red dot corresponds to the location of the OFA contacts. **(b)** MCPA applied between (1) the OFA and FFA channels, (2) the FFA channel and the control channel, (3) the OFA channel and the control channel. The mean d' of pairwise face classification over all 2415 pair of faces across the 200-500 ms timewindow after stimulus onset is plotted. * p < 0.01, permutation test. **(c)** Averaged absolute loading weights in the functional connectivity model of MCPA for OFA and FFA across the frequency spectrum during the time window of 250-450 ms after stimulus onset. (chance level: d' = 0, accuracy = 50%)

Discussion

This paper presents a novel method to assess the information represented in the

patterns of interactions between two neural populations. MCPA works by learning the

mapping between the activity patterns from the populations from a training data set, and

then classifying the neural communication pattern using these maps in a test data set.

Simulated data demonstrated that MCPA was sensitive to information represented in

neural interaction for realistic SNR ranges. Furthermore, MCPA is only sensitive to the

discriminant information represented through different patterns of interactions

irrespective of the information encoded in the local populations. Applying this method to

fMRI data demonstrated that the multivariate connectivity patterns between areas along

the visual stream represent information about individual natural images. MCPA-based

RSA showed that, at the category level, the representational structure of the interaction

between regions is negatively correlated to the structure within each region. Furthermore,

MCPA was used to test hypotheses from the HMAX model regarding the computational

operation that transforms the representation between regions along the visual processing

pathway. Finally, as an example with electrophysiological data, applying MCPA to iEEG

data showed that the multivariate connectivity pattern between OFA and FFA represents

information at the level of individual faces.

MCPA as assessing adaptive processing

Significant discrimination within each population and significant functional

connectivity between them is not sufficient to produce MCPA and indeed local

classification within each population is not even necessary (Figures 2a and 2e

respectively). MCPA requires the pattern of connectivity (linear correlations) between the

two populations to vary across the different conditions. In other words, MCPA is

sensitive to both the degree of functional connectivity in the conditions and how distinct

the mappings are across conditions. As an example, if the two populations interact, but

the interaction behaves like a passive linear filter, mapping the activity between the

populations in a similar way in all conditions, MCPA would not be sensitive to the

interaction because the mapping does not change (Figure 2e). Instead, MCPA is more

akin to testing for non-constant filtering or distributed, interactive computation that

behaves as a non-linear process where the nature of the interaction adapts as a factor of

the information that is being processed. Recent studies demonstrate that neural

populations in perceptual areas alter their response properties based on context, task

demands, etc. (Gilbert and Li, 2013). These modulations of response properties suggest

that lateral and long-distance interactions are adaptive and dynamic processes responsive

to the type of information being processed. MCPA provides a platform for examining the

role of interregional connectivity patterns in this adaptive process. Indeed, MCPA can be

interpreted as testing whether distributed computational "work" is being done in the

interaction between the two populations (Friston et al., 1997) and the interaction does not

just reflect a passive relay of information between two encapsulated modules (Fodor,

1983).

829   Passive linear filters do not allow for information to be added to the

representation through computational work being done in the interaction between

regions. Sensitivity to this type of computation is a central appeal of fully non-linear

models of neural representation and neural interactions, such as deep neural network

approaches. However, these approaches often require tens of thousands or even millions

of trials before they achieve good performance (Goodfellow et al., 2017), which is

impractical for most neuroscientific applications. MCPA is not sensitive to multivariate

non-linear interactions within conditions, but is sensitive to multivariate non-linear

relationships between the interregional interaction pattern and the conditions. This is

effectively a piecewise linear approximation of the underlying nonlinear function relating

the condition space to the interaction pattern between regions. This restriction relative to

deep neural network and other non-linear function approximation approaches allows

MCPA to perform well with reasonable numbers of trials (10s of trials in our examples),

which is critical for being practically useful in neuroscience. Thus, one strength of

MCPA is the ability to capture some key aspects of non-linear neural computations

without requiring an impractical amount of data.

MCPA and representation space

In addition to allowing one to infer whether distributed computational work is

being done in service of information processing, MCPA provides a platform for assessing

its representational structure (Haxby et al., 2014). Much as MVPA has been used in

representational similarity analyses to measure the structure of the representational space

at the level of local neural populations (Edelman et al., 1998; Kriegeskorte, 2011;

Kriegeskorte and Kievit, 2013), MCPA can be used to measure the structure of the

representational space at the level of network interactions. Specifically, the

representational geometry of the interaction can be mapped in terms of the similarity

among the multivariate functional connectivity patterns corresponding to the brain states

associated with varying input information. The representational structure can be

compared to behavioral measures of the structure to make brain-behavior inferences and

assess what aspects of behavior a neural interaction contributes to. It can also be

compared to models of the structure to test theoretical hypotheses regarding the

computational role of the neural interaction (Kriegeskorte, 2011; Kriegeskorte et al.,

2008). By comparing the representational space in models to the neural representation,

one can assess how well these models approximate the neural representation in both

absolute and relative terms. Much the way MVPA-based RSA analyses have been used to

examine these models at the level of individual brain regions (Kriegeskorte et al., 2008),

RSA analyses can be used to assess how well the representation inferred by these models'

transfer functions fit the representation measured in the brain using MCPA.

The MCPA-based RSA analysis presented here relating the representational space

derived from the interaction between regions of the visual processing stream to the

transformation operations in HMAX is a concrete example of how MCPA can be used to

test models of how representations are transformed between regions. This example also

helps illustrate the underlying hypothesis being tested by MCPA: that there is a non-

constant linear function that relates how the transformation of the activity between

regions changes with respect to the experimental condition. A non-constant linear

function is analogous to a local linear approximation of a non-linear function, as we have

seen in the example of HMAX. The existence of this non-constant linear function is what

allows for information to be added to the representation through distributed

computational work. By comparing the MCPA-based representational space to models of

this function, we can gain insight into what this transformation function might be. For

example, in the case of the S1-C1 transformation HMAX, this function is a local, non-

linear max-pooling operation and in the case of the S2-C2 operation it is a more global,

non-linear max-pooling operation (Riesenhuber and Poggio, 1999). Furthermore, this is

why MCPA could not be compared to the transformation between the C1 and S2 layers

of the HMAX model because the transformation between those layers is a passive filter

operation, e.g. a trivial, constant linear function relating the between layer transformation

to the stimulus condition. This example suggests one mechanism by which a network

with fixed structural connectivity can give rise to adaptive communication, namely

through a non-linear transformation operation that are adaptive in a linear sense. In

addition to testing specific hypothesis-driven transformation operations, such as the ones

in HMAX, more data-driven models of the transformation operations, such as ones in

deep neural network models (Yamins et al., 2014), could also be tested using the MCPA-

based RSA approach.

Relationship between MCPA and other functional connectivity/multivariate methods

894   These two properties of MCPA, 1) being able to assess distributed computational

processing rather than just whether or not areas are communicating and 2) being able to

determine the representational structure of the information being processed, set MCPA

apart from previously proposed functional connectivity methods. In these previous

methods the functional connectivity calculation is performed separately from the

classification calculation. Specifically, either functional connectivity is first calculated

using standard methods, then a model is built on the population of connectivity values

and this model is tested using classification approaches (Finn et al., 2015; Richiardi et al.,

2011; Rosenberg et al., 2016; Shirer et al., 2012; Wang et al., 2015) or the model is first

built on the activity in each region and tested using classification approaches and the

classification performance is correlated (Coutanche and Thompson-Schill, 2013;

Kriegeskorte and Kievit, 2013). These methods are very useful for assessing how

differences in large-scale patterns of connectivity relate to individual subject

characteristics (e.g. connectome fingerprinting) in the first case and comparing the

representational structure between regions in the second case. In contrast, in MCPA the

model is the connectivity map and classification is done to directly test the information

contained in these maps. The separation of the connectivity and classification calculations

in other approaches precludes being able to assess distributed computational processes

because these methods are sensitive to passive information exchange between

encapsulated modules, as described above, and thus conflate passive and adaptive

communication. Critically, they do not specifically probe how connectivity patterns

change as a factor of condition or state, as is required to efficiently perform the

representational similarity analysis in a practical manner and decode how the information

processed in the interaction is encoded and organized. As a concrete example, these

previous methods would not be able to compare the representational structure of the

neural interaction between regions to the structure from a computational model, as was

done here with fMRI.

MCPA can be roughly considered a multivariate extension of PPI with the

addition of a prediction and classification framework. Compared to PPI, which is

univariate, MCPA allows one to exploit the multivariate space of interaction patterns. As

a result, MCPA is sensitive to aspects of information coded in interregional interactions

that PPI may not be able to detect (Norman et al., 2006), for example in event-related

fMRI designs where PPI is known to lack statistical power (O'Reilly et al., 2012). Indeed,

in the fMRI data presented here, PPI was no better than chance in detecting interregional

interactions at the visual category level, whereas MCPA was significantly better than

chance. Much the way MVPA allows one to go beyond ANOVAs/t-tests in a single

area/population (e.g. single trial classification, RSA, complex model testing), MCPA

allows one to go beyond PPI and do these types of analyses at the level of the shared

activity between regions.

The specific instantiation of MCPA presented here treats connectivity as a bi-

directional linear mapping between two populations. However, the MCPA framework

could be easily generalized into more complicated cases. For example, instead of using

correlation-based methods like CCA, other directed functional connectivity algorithms,

such as Granger causality based on an autoregressive framework, potentially using partial

CCA for the time-lagged autoregressive step, could be used to examine directional

interactions. This would allow one to examine time-lagged multivariate connectivity

patterns to infer directionality. Additionally, kernel methods, such as kernel

CCA(Hardoon et al., 2004), or deep learning methods, such as deep CCA(Andrew et al.,

2013), could be applied to account for non-linear interactions. Another possible and more

general framework would be to use non-parametric functional regression method to build

a functional mapping between the two multidimensional spaces in the two populations.

MCPA can also be expanded to look at network-level representation by implementing the

multiset canonical correlation analysis, wherein the cross-correlation among multiple sets

of activity patterns from different brain areas is calculated (Kettenri.Jr, 1971). MCPA

could be used with a dual searchlight approach to examine whole brain communication

(Kriegeskorte et al., 2006). Also, MCPA could be adapted by optimizing the CCA to find

the connectivity maps that uniquely describe, or at least best separate, the conditions of

interest. Furthermore, both with and without these modification, the framework of MCPA

may have a number of applications outside of assessing the representational content of

functional interactions in the brain, such as detecting the presence of distributed

processing on a computer network, or examining genetic or proteomic interactions.

MCPA is used here with fMRI BOLD signals and iEEG signal, but it can be applied to

nearly any neural recording modality, including scalp electroencephalography,

magnetoencephalography, multiunit firing patterns, single unit firing patterns, spike-field

coherence patterns, to assess the information processed by cross-frequency coupling, etc.

Implication from MCPA results

One caveat with the MCPA results with real data presented here is that many of

the effect sizes are small. One likely reason for this is that for the decoding of individual

images in fMRI and faces in iEEG the number of trials per image was very small (13 for

individual images in fMRI and 15 for individual faces in iEEG). Despite the small

number of trial, the classification accuracy is roughly on a par with previous exemplar-

level individuation classification results using fMRI and iEEG (Ghuman et al., 2014;

Nestor et al., 2011; Said et al., 2010; Skerry and Saxe, 2014). Furthermore, the HMAX-

MCPA correlation is roughly on par with previously reported correlations between

HMAX and single unit activity from non-human primates (Khaligh-Razavi and

Kriegeskorte, 2014; Yamins et al., 2013). Given a larger number of trials, MCPA

classification performance should improve. The classification performance seen here can

be considered a "worst case scenario" to some extent given the low number of trials and

yet performance still was not far below what has been previously reported using

multivariate classification on these types of data. Nonetheless, the low effect size and

small number of subjects reported here is a strong caveat to the potential neuroscientific

interpretation of the fMRI and iEEG data.

The MCPA results from visual cortex show that the representational space

derived from MCPA was negatively correlated to the representational space derived from

MVPA from either of the local populations. This inverse relationship is consistent with

the idea that the communication between regions represents information that has not been

explained by local computational processes. This is supportive of models that propose

coding for error propagation across the visual processing network (Rumelhart et al., 1986)

or Bayesian models that suggest that visual processing occurs through iterative

prediction-verification processing (Lee and Mumford, 2003). Indeed, some

implementations of this class of models, interactions between regions are thought to code

for prediction errors (Friston, 2010), which would predict the negative correlation seen

here. More generally, these results suggest another mechanism through which a network

with fixed structural connectivity can give rise to adaptive communication, namely

through local or interregional recurrent interactions. With the strong caveat that these

results require replication in more subjects and assessment with paradigms designed to

directly test these hypotheses, this negative correlation is consistent with the hypothesis

that neural interactions code for information not resolved in local computational

processes.

Additional RSA analysis suggests that the transformation between lower layers of

HMAX correlates with the transformation between lower layers of the ventral visual

stream and the transformation between higher layers of HMAX correlates with the actual

transformation between higher layers of the ventral visual stream. One question is how

the representation between regions of the visual processing stream can correspond to both

prediction error and the HMAX max pooling operation, as found in the two RSA

analyses. One possibility is that these two operations occur at different times during

visual processing, which are mixed together due to the low temporal resolution of fMRI.

Indeed, HMAX is designed to model the initial feedforward sweep of visual information

and the error coding is thought to occur through later recurrent and feedback processing.

The current prevalent view is that face perception is mediated by a distributed

network with multiple brain areas including the OFA and FFA. Structural and functional

connectivity analysis for the core network has shown that FFA is strongly connected to

OFA (Gschwind et al., 2012; Ishai, 2008; Pyles et al., 2013). While these results suggest

the hypothesis that face individuation may involve the interaction between these

populations (and likely other face processing regions), direct evidence for this hypothesis

has been lacking. Our results here support the hypothesis that individual-level facial

information is not only encoded by the activity within certain brain populations, but also

represented through recurrent interactions between multiple populations at a network

level. This interaction was biased towards frequencies in the Beta and low Gamma bands

and exhibited a degree of cross-frequency coupling. This analysis indicates that assessing

cross-frequency interactions between regions is another potential application of MCPA.

In addition, MCPA showed significant face individuation in approximately the 200 – 500

ms time window after stimulus onset, but did not show any significant face individuation

in the early time window (50 – 200 ms after stimulus onset), which is consistent with a

previous MVPA study based on iEEG recording from FFA only (Ghuman et al., 2014).

This suggests that the face individuation process involves temporally synchronized,

recurrent interactions between OFA and FFA and likely other nodes in the face-

processing network. More broadly, the fMRI and intracranial EEG MCPA results suggest

that the computational work done in service of visual processing occurs not only on the

local level, but also at the level of distributed brain circuits.

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Conclusion

Previously, multivariate pattern analysis methods have been used to analyze the

sensitivity to information within a certain area and functional connectivity methods have

been used to assess whether or not brain networks participate in a particular process.

With MCPA, the two perspectives are merged into one algorithm, which extends

multivariate pattern analysis to enable the detailed examination of information sensitivity

at the network level. Thus, the introduction of MCPA provides a platform for examining

how computation is carried out through the interactions between different brain areas,

allowing us to directly test hypotheses regarding circuit-level information processing.

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Supplemental Materials

IEEG data

Stimuli

In the localizer experiment, 180 images of faces (50% male), bodies (50% male),

words, hammers, houses, and phase scrambled faces were used as a functional localizer.

Each category contained 30 images. Phase scrambled faces were created in Matlab by

taking the 2-dimensional spatial Fourier spectrum of each of the face images, extracting

the phase, adding random phases, recombining the phase and amplitude, and taking the

inverse 2-dimensional spatial Fourier spectrum. Each image was presented in

pseudorandom order and repeated once in each session.

Faces in the individuation experiment were taken from the Karolinska Directed

Emotional Faces stimulus set (Lundqvist, 1998). Frontal views and 5 different facial

expressions (happy, sad, angry, fearful, and neutral) from all 70 faces (50% male) in the

database were used, which yielded a total of 350 face images, each presented once in

random order during a session. The patient participated in a total of 3 sessions.

All stimuli were presented on an LCD computer screen placed approximately 2

meters from participants' heads.

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Experimental paradigms

In the localizer experiment, each image was presented for 900 ms with 900 ms

inter-trial interval during which a fixation cross was presented at the center of the screen

($\sim 10°$ x $10°$ of visual angle). At random, 25% of the time an image would be repeated.

Participants were instructed to press a button on a button box when an image was

repeated (1-back). Only the first presentations of repeated images were used in the

analysis.

In the individuation experiment, each face was presented for 1500 ms with 500

ms inter-trial interval during which a fixation cross was presented at the center of the

screen. Faces subtended approximately 5 degrees of visual angle in width. Subjects were

instructed to report whether the face was male or female via button press on a button box.

Paradigms were programmed in Matlab^{TM} using Psychtoolbox and custom written

code.

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Data preprocessing

The electrophysiological activity in OFA and FFA were recorded simultaneously

using iEEG electrodes at 1000 Hz. They were subsequently bandpass filtered offline from

1-170 Hz using a fifth order Butterworth filter to remove slow and linear drift, the 180 Hz

harmonic of the line noise, and high frequency noise. The 60 Hz line noise and the 120

Hz harmonic noise were removed using DFT filter. To reduce potential artifacts in the

data, trials with maximum amplitude 5 standard deviations above the mean across the rest

of the trials were eliminated. In addition, trials with a change of more than 25 μV

between consecutive sampling points were eliminated. These criteria resulted in the

elimination of less than 1% of trials.

As the last step of the data preprocessing, we extracted wavelet features using

Morlet wavelets. The number of cycles of the wavelet was set to be 7. The entire epoch

length of the data was 1500ms (-500 ~ 1000 ms relative to stimulus onset). To avoid

numerical issues in MATLAB, the lowest frequency was set at 7 Hz. The wavelet

features were estimated using FieldTrip^{TM} toolbox. Finally, we took all the wavelet

features at 7 - 100 Hz, with 1 Hz steps, at every 10 ms as features, which yielded a 94-

dimensional feature vector at every time point. All the wavelets were normalized to the

baseline by subtracting the mean value and divided by the standard deviation of the data

from 350ms to 50ms before stimulus onset.

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Electrode selection

Face sensitive electrodes were selected based on anatomical and functional

considerations. Electrodes of interest were restricted to those that were located in or near

the fusiform gyrus or inferior occipital cortex. In addition, MVPA was used to

functionally select the electrodes that showed sensitivity to faces, comparing to other

conditions in the localizer experiment. Specifically, electrodes were selected such that

their peak 6-way classification *d'* score (see below for how this was calculated) exceeded

1 ($p < 0.001$ based on a permutation test, as described below) and the event related

potential (ERP) for faces was larger than the ERP for the other non-face object

categories.

There were 12 contacts on a depth electrode on the ventral temporal lobe

extending along the anterior-posterior axis. Among all the contacts, only three (the 1st, 6th

and 7th contacts, see figure 3a for the location of these contacts) satisfied the criterion

described above (see Figure S1 for *d'* timecourses from all contacts on the depth

electrode). The first contact was near the mid-fusiform gyrus while the other two were

near posterior end of the fusiform gyrus/anterior end of the inferior occipital cortex.

Hence we used the data from the first electrode as FFA signal and the averaged data

across the 6th and 7th electrodes as the OFA signal (see Figure S2 for averaged ERP data

in the two areas). The post-operative structural MRI scan did not allow us to carefully

distinguish the precise localization of the "OFA" electrodes and it may be that these

electrodes are in fact in the posterior fusiform and properly labeled "FFA-1" according to

the recent nomenclature introduced by Weiner et al. (Weiner et al., 2010). However,

considering OFA and FFA-1 are contiguous with one another and it has not been

determined what, if any, functional distinction there is between the two, we use "OFA"

for the label of the electrodes out of convenience.

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Examining OFA-FFA coding for individual faces using MCPA

MCPA Analysis

MCPA was applied to classify the OFA-FFA connectivity for each possible pair

of faces (total of 2415 pairs). For each specific pair of faces, averaged wavelet features

within a 50 ms time window were used as features in MCPA. Principal Component

Analysis (PCA) was used to reduce the dimensionality from 94 to P, where P

corresponds to the number of PCs that capture 95% of variation in the data, the typical

value of P is around 7~8. Leave-one-trial-out cross-validation was used in order to

estimate the classification accuracy. This procedure was repeated for all 2415 pairs and

all time windows slid with 10 ms step between 0 and 600ms after stimulus onset. Similar

to previous simulations, d' was used to quantify the performance of MCPA.

Permutation test was used to determine the significance of the d' timecourse of

MCPA (Maris and Oostenveld, 2007). During each permutation, the condition labels of

all the trials were randomly permuted and the same procedure as described above was

used to calculate the timecourse of *d'* for each permutation. The permutation was

repeated for a total of 1000 times. The mean *d'* during 200-500 ms of each permutation

was used as the test statistic and the null distribution of the test statistic was estimated

using the histogram of the permutation test. The time window 200-500 ms was chosen

based on the fact that the sensitivity of facial identity was only presented in OFA and

FFA roughly 200 -500 ms after stimulus onset. (Ghuman et al., 2014)

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Testing significance of CCA models

The significance of MCPA relies on two factors: the presence of functional

connectivity and the discriminant information in the connectivity patterns. Both are

necessary conditions for the significance of MCPA. Here we would like to evaluate the

significance of the CCA models in order to further support the MCPA results.

For categorical fMRI data, we have enough repetitions to perform parametric test.

We directly performe significance test with Wilk's lambda, and the interactions between

all 4 pairs of regions for all 5 categories are significant in both subjects ($p < 1e-5$ for all

cases).

For the single image case in fMRI, since for each condition we have only 13

repetitions, it is not reliable to directly use Wilk's lambda and the Chi-square

approximation. Therefore, we use permutation test and compute the averaged canonical

correlation across all conditions as the test statistic. In all pair of ROIs in both subjects,

we have $p < 0.01$ with 1000 permutations.

Similarly, for face individuation in iEEG data, we also use permutation test and

compute the averaged canonical correlation across all conditions as the test statistic. As a

result, the canonical correlation between FFA and OFA electrodes are significant with p

< 0.01 based on 1000 permutations.

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1309   **Reference**:
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Figure S1: **Single electrode face sensitivity.** Time course of face categorical sensitivity

in each single electrode measured by sensitivity index *d'* (mean *d'* plotted against the

beginning of the 100 ms sliding window). The classifier uses time-windowed ERP signal

from a single electrode (window length = 100 ms) as input features (See Methods for

details). Horizontal grey line indicates chance level (*d'* = 0). The channels are labeled 1-

12 from anterior to posterior. Electrodes were chosen based on the criteria that peak d' be

above 1 (p<0.001, channels 1, 6, and 7). Channel number 1 was used as the FFA

electrode and channels 6 and 7 were used for the OFA electrodes based on their

anatomical locations.

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1341 **Figure S2: Face selectivity in FFA and OFA.** Averaged ERP response recorded from
1342 FFA and OFA contacts for each category during the localizer task. The colored area
1343 corresponds to the standard error.
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