1 **TITLE**

Posterior inferotemporal cortex cells use multiple visual pathways to complement fine and
 coarse discriminations

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6 ABSTRACT

In the macaque monkey brain, posterior inferior temporal cortex (PIT) cells are responsible for visual object recognition. They receive concurrent inputs from visual areas V4, V3 and V2. We asked how these different anatomical pathways contribute to PIT response properties by deactivating them while monitoring PIT activity. Using cortical cooling of areas V2/V3 or V4 and a hierarchical model of visual recognition, we conclude that these distinct pathways do not transmit different classes of visual features, but serve instead to maintain a balance of local- and global-feature selectivity in IT.

14 INTRODUCTION

15 Posterior IT (PIT) neurons are the penultimate stage of the ventral visual processing 16 stream, comprising cortical areas $V1 \rightarrow V2 \rightarrow V4 \rightarrow PIT \rightarrow anterior IT$ (AIT). In addition to this main 17 pathway, PIT also receives direct feedforward projections from V3 and V2¹, and V4 receives direct inputs from V1. These short projections (V1 \rightarrow V4 \rightarrow PIT and V1 \rightarrow V2 \rightarrow PIT) have been called 18 19 bypass pathways², and they represent a significant fraction of the inputs to PIT: 14% of all neurons 20 in the brain projecting to PIT are located in areas V2|3 (for context, 26% of inputs to PIT arrive 21 from V4: only 1% of inputs to V1 come from the LGN)^{3,4}. The remaining projections arise from AIT 22 and the dorsal pathway. The goal of this study is to define the role of these different input 23 pathways in PIT selectivity.

24 We recorded from an unbiased sample of PIT neurons while deactivating, by cooling, 25 areas V2-V3 (together) and V4 (Fig. 1a). We measured PIT firing rates before and during cooling 26 of V4 or V2|3, and quantified changes in the representational capacity of PIT. Using firing rate 27 statistics and linear classifiers (i.e. support vector machines), we found that while V4-dependent 28 inputs were more important for preserving the representation of the identity of images in PIT, the 29 different concurrent pathways did not transmit different types of visual features (such as different 30 proportions of curvature or spatial frequency). We modeled the contributions of short- and long-31 pathways using the standard model of visual recognition⁵, and observed that short pathways were 32 well-positioned for fine feature discriminations. We confirmed that fine-feature discrimination was 33 relatively better preserved during cooling compared to coarse-feature discrimination. We 34 simulated the effects of cooling on decoding accuracy using various random cooling effects 35 models, and found that while these random models predicted an overall loss of accuracy, they 36 did not predict the preservation of decoding accuracy for fine discriminations. Thus we conclude 37 that short pathways are helpful in fine discrimination, because their receptive field weights match 38 simpler image elements. By introducing units with simpler preferences into PIT, the short 39 pathways create a diversity of feature preferences available for downstream perceptual operations. 40

41 **RESULTS**

42 Cooling affected portions of PIT response fields

43 We implanted floating microelectrode arrays in the PIT of two adult male monkeys (2 44 arrays in monkey R and 3 arrays in monkey G), along with cryoloops on dorsal V2, V3 and V4^{6,7}. 45 The arrays were placed anteriorly to the inferior occipital sulcus, the cryoloops were placed within 46 the lunate sulcus and over the predorsal gyrus (Fig. 1b). We activated the cryoloops 47 intraoperatively, using thermal imaging to plot the extent of cooling and found that the lower thermal region was limited to 1-1.5 mm around and within the cryoloop (Fig. 1c). The flat area of 48 49 cortex directly cooled by the cryoloops was around 13 x 5 mm². The electrode arrays were at least 50 5 mm anterior to the prelunate cryoloop, and anterior to the inferior occipital sulcus. Three weeks 51 after each surgery, we measured the retinotopic response fields of the arrays and the retinotopic 52 size of the cooling scotomas: the animals maintained their gaze on a 0.4°-diameter central black circle, while a 2°-diameter image was flashed randomly within a 16 x 16° radial grid. We collected 53 spike data before, during and after activation of the V2/V3 or V4 cryoloops, counterbalancing the 54 order of the V4 vs. V2|3 deactivations. The mean PIT population response fields were centered 55 56 on the perifoveal upper contralateral hemifield (Fig. 1d). In contrast, the V4 and V2|3 scotomas 57 were centered towards the perifoveal lower hemifield, as predicted by the dorsal retinotopic representations of V2, V3 and V4. In subsequent experiments, stimuli were sized to fit within the 58 59 overlapping region of both scotomas (Fig 1e) (1.4°-wide images for monkey G, 2.0°-wide images 60 for monkey R).

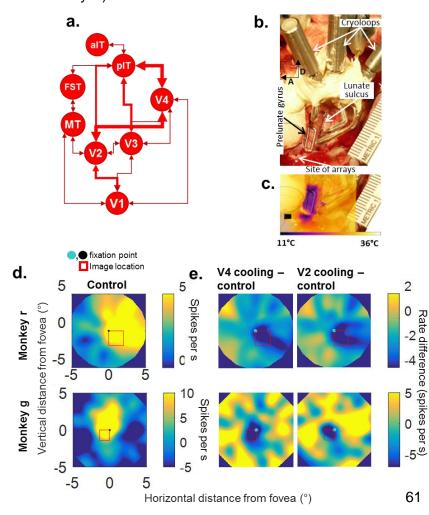


Figure 1. Cooling affected portions of the aggregate PIT response fields.

a. Input network to posterior IT (PIT).

b. Intraoperative picture, monkey R left hemisphere. Posterior craniotomy showing the location of the lunate sulcus, prelunate gyrus and V2/V4 cryoloops. The arrays were later implanted where shown by the label. A: anterior, D: dorsal.

c. Composite image showing the superimposed thermal and visible light images, while the prelunate gyrus loop is active. The black square shows the approximate location of the arrays.

d. Average firing rate for all units, evoked by flashing an image in a 8 x 8° grid, during the control (warm) condition.

e. Difference in activity during cooling of area V4 (left column) or V2|3 (right column) – the control map was subtracted from the cooling map. Dark regions show reductions in firing rate.

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Cooling reduced firing rates in PIT units and decoding accuracy by linear classifiers

64 In all the following experiments, we showed the fixating animals 293 images belonging to 15 different categories (angles, animals, artificial objects, curves, faces, radial and linear gabors, 65 66 joint angles, plants, places, noise textures and tristars; the entire image set is shown in Supplementary Fig. 1). When we cooled either set of coils, PIT multiunits reduced their visually 67 evoked spike rates (Fig 2a). During control conditions, PIT multiunits showed a median visual 68 69 response of 18±1 (monkey R) and 22±2 (Monkey G) spikes/s (range of -3 to 120 spikes per 70 second relative to baseline for monkey R; -12 to 106 spikes per s for monkey G). When the V2/V3 71 loops were cooled, the overall average rate was reduced to 13±1 and 14±1 spikes/s (monkeys R, 72 G). When the V4 cryoloops were cooled, the overall rate was reduced to 12 ± 1 and 15 ± 1 spikes/s 73 (monkeys R, G; probability that all means come from same distribution $P = 6x10^{-4}$ and $1x10^{-4}$, 74 one-way ANOVA, Nsites = 300 and 256, F(2, 897) = 8.1, F(2, 765) = 8.9). In one animal, we cooled 75 both V4 and V2|3 loops concurrently, measuring a similar reduction in firing rate (38%); cooling both sets of loops did not silence PIT activity. Another measure of input strength is response 76 77 latency, and here we similarly observed little difference between V2/V3 cooling and V4 cooling 78 (see Supplementary Section 1).

79 Next we used pattern analysis to quantify the encoding capacity of PIT during V4 or V2|3 80 cooling. We trained statistical classifiers (support vector machines with a linear kernel, or SVMs) 81 using data from each experimental condition (before cooling, during V4 or V2|3 cooling). SVMs 82 were used in an all-vs.-all approach, so chance performance was 50% per comparison. We had few trials per image during each cooling condition (4-6) and so we used leave-one-out cross-83 validation for each paired comparison. To further guard against unreliable values due to the small 84 85 sample number, we also trained SVMs using the same data but shuffling the image labels. Thus, 86 accuracy was defined as the mean of all cross-validation cycles using the correct labels minus 87 the mean of cross-validation cycles using the shuffled labels, so a range of 0-0.5 is equivalent to 88 50-100% accuracy.

89 First, this decoding analysis showed that faces elicited the highest classification accuracy in both animals, which was notable because we did not pre-select the array implantation sites by 90 proximity to fMRI-defined face patches. SVMs showed a median accuracy value of 0.25±0.01 and 91 92 0.26±0.01 above baseline (monkeys R, G, standard error of the median). During V4 deactivation, 93 median accuracy dropped to 0.16±0.01 and 0.17±0.01; during V2|3 deactivation, median 94 accuracy dropped to 0.19±0.01 and 0.20±0.01. These median accuracy values were statistically different at the group level (monkeys R, G: $P = 5 \times 10^{-12}$, 3×10^{-23} , one-way Kruskal-Wallis, N_{images} = 95 347, 293, $\chi^2(2,1038)=52$, $\chi^2(2,876)=104$). The differences in median values between V4 and V2|3 96 97 cooling were statistically reliable in both animals (monkeys R and G: $P = 2 \times 10^{-5}$, 4×10^{-3} , two-tailed 98 Wilcoxon sign rank test, N = 347, 293 accuracy values, Z-stats: -4.3, -2.9, Fig. 2b, bottom, and 99 Fig. 2c). We also performed an SVM category classification analysis, grouping responses by 100 category, not as individual images. We also found that deactivating V4-inputs reduced category 101 classification accuracy more than did deactivating V2|3 (Supplementary Section 2, Fig. S2 and 102 S3).

103 In summary, PIT multiunits showed similar mean firing rate reductions during V2|3 or V4 104 deactivation, but in both monkeys, SVM accuracy was reduced more by V4 deactivation. This 105 suggests that V4 direct inputs are more important for image identification and categorization, and 106 that this cannot be explained by a simple reduction in mean spike rate. We further explored the

107 reasons why decoding accuracy shows a quantitatively stronger role for V4 using a 108 multidimensional projection analysis, described in **Supplementary Section 3.**

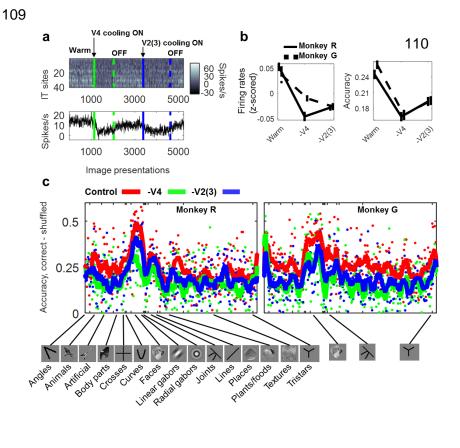


Figure 2. Effects of cooling on firing rate and classification accuracy.

a. (top) Data from one cooling session (monkey R, day 1). The top figure shows the evoked spike rates from 50 PIT sites (rows) recorded concurrently before, during and after cooling of V4 and V2|3. Each column represents one image presentation. Solid lines mark the onset of each cooling condition, broken lines show the onset of the rewarming periods. (bottom) Mean firing rate across each temperature condition.

b. (top) Average firing rate activity (zscored) for all channels during each temperature condition. **(bottom)** Average classification accuracy for all images during each temperature condition.

c. Mean cross-validated accuracy for each image before and during cooling (red = control, green = V4 cooling, blue = V2|3 cooling). The x-axis shows all 293 images listed alphabetically by their category.

111 Cooling effects on median accuracy were simulated by random processes

112 To gain a better understanding of the drop in decoding performance during input cooling, 113 we asked how much of the accuracy impairment could be attributed to non-specific fractional 114 reductions in firing rate. We modeled three mechanisms of cooling rate reductions: 1) each IT 115 multiunit underwent a given fractional reduction across all of its responses (a site-by-site cooling 116 mechanism); 2) all multiunits underwent the same fractional reduction, but the reduction could 117 vary over time (a temporal mechanism); finally, 3) each multiunit underwent a mix of site-by-site 118 and temporally dependent reductions. We first examined the effects of each cooling mechanism 119 using a model. In neural activity space, where images are represented as coordinate positions in 120 a multi-dimensional space (Fig. 3a), these cooling effects would induce different geometric 121 transformations and thus affect decoding accuracy differently. Consider the first mechanism of 122 site-by-site cooling: this has no effect on classification accuracy, because each site's responses 123 are normalized before classification; it makes no difference if the lengths of the population 124 response vectors change, as long as they keep the same direction (Fig. 3b-c, i). This is a 125 plausible compensation because normalization is a common mechanism in cortical computations. 126 The second mechanism, temporally dependent reductions, where cooling imposes a different 127 fractional value on the whole population vector at different times, pulls and stretches the precooling response vector groups towards a minimum (Fig. 3b-c, ii). The third mechanism, where 128 129 individual multi-unit sites undergo different fractional reductions over time, is interesting because

130 it changes the direction of the vectors, directly affecting the image representations in activity 131 space (Fig. 3b-c, iii).

132 We applied each mechanism to our control (warm) data to simulate the cooling drop in 133 decoding performance. First we measured the distribution of fractional cooling changes during 134 cooling. In monkey R, the median fractional reduction during V4 cooling was 0.68 (25th, 75th guantiles: 0.51-0.80), and during V2|3 cooling, 0.73 (25th, 75th guantiles 0.59-0.84). For monkey 135 136 G, the median fractional reduction during V4 cooling was 0.67 (25th, 75th guantiles 0.54-0.82), 137 and during V2|3 cooling 0.62 (25th, 75th quantiles 0.48-0.74). Note that some of these fractional 138 changes included increased firing rates during cooling, but this was expected from weakly firing 139 multiunits. Next, we sampled these fractional distributions (with replacement) and multiplied each 140 sampled fraction times the control (warm) response counts. These multiplications were done 141 using either the site-by-site cooling mechanism, the temporally dependent cooling mechanism, or 142 the mixed mechanism. As a form of cross-validation, the fractional gain distributions came from 143 different days from the control data. We created 20 "cooling" populations, based on V4 and V2|3 144 cooling, per monkey.

145 Before cooling, the mean decoding accuracy for both animals was 26±1% over baseline, 146 16±1% during V4 cooling and 19±1% during V2|3 cooling. We found that the site-by-site spike mechanism did not reduce decoding accuracy (its value remained 26±1%, same as control). The 147 148 temporally dependent mechanism reduced decoding accuracy to 15±1% over baseline. The 149 mixed mechanism lowered accuracy as a function of the number of responses that were randomly 150 affected: if we multiplied 100% of all responses by the random fractions, decoding accuracy was 151 reduced to 16±1%. To match the experimental reductions in accuracy, we had to affect between 152 40-80% of all responses, which resulted in 18-22% decoding accuracy, Fig. 3d). In summary, we 153 could not account for the observed reduction in decoding accuracy by a uniform fractional in 154 spikes within each multiunit site, but a temporally varying reduction or a mix of site-by-site and 155 temporally varying reductions could account for the mean decoding accuracy loss during cooling.

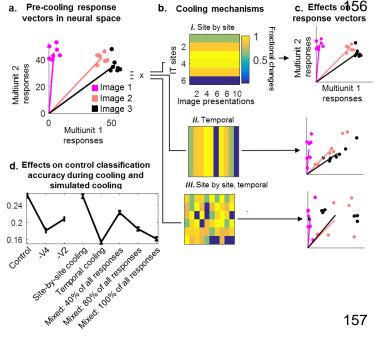


Figure 3. Cooling simulations.

a. Hypothetical responses of two units (axes) to three different images (colors), each presented seven times each (points). b. Different mechanisms of cooling. Cooling may impose a fixed fractional change in a channel-by-channel basis, a temporal basis, or a combination of the two. c. Responses in (A) transformed by each "cooling" reduction rate mechanism. d. Mean accuracy values (baselinesubtracted, ± standard error) measured before cooling ("control," "-V4," "-V2|3", both monkeys combined), and after different cooling simulations ("site-by-site", "temporal", and "mixed"). For the "mixed" simulations, each percentage shows the fraction of responses that were randomly multiplied by the gain values.

158 Cooling reduced selectivity of individual PIT multiunits

159 Cooling inputs to PIT reduced classification accuracy at the population level. To examine 160 accuracy at the level of individual sites, we measured selectivity using an F-test. Let us say that 161 PIT units were selective to specific images if the mean variance of their spike counts to different 162 images was greater than the mean variance of their spike counts to each image (F-statistic); the 163 value 1 suggests no selectivity; the greater the value, the more selective. We called the F-test 164 statistic per channel before cooling F_{control}, during V4 cooling F-_{V4} and during V2|3 cooling, F-_{V2|3}. 165 If the distributions of F_{-V4} and $F_{-V2/3}$ are closer to the non-selectivity value of 1 compared to the 166 $F_{control}$ distribution, this would suggest that PIT sites became less selective during cooling.

167 Each PIT site gave one $F_{control}$, one F_{-V4} and one $F_{-V2/3}$ value. We plotted each control F 168 value against its counterparts and found that cooling F-stats were mostly lower than the warm 169 distribution (Fig. 4c, Monkey R, median F ± standard error, Warm: 1.13±0.03, -V4: 1.10±0.01, -170 V2|3: 1.14±0.02; Monkey G, Warm: 1.21±0.05, -V4: 1.13±0.02, -V2|3: 1.14±0.02). However, there 171 was no statistical difference among these values (P = 0.20, 0.20, Kruskal-Wallis, N = 300, 256 172 sites, $\chi^2(2,897)=3$, $\chi^2(2,765)=3$). The reason there was no statistical difference is that many of the 173 PIT sites were not that selective to start with, having pre-cooling F stats already bottomed out at 174 1. Still, units with higher pre-cooling F values showed greater changes during cooling. To quantify 175 this observation, we asked if the slope describing the relationship between the pre-cooling and 176 cooling F values was statistically different from unity. We used a bootstrap approach. For 1,000 177 iterations, we re-sampled sites with replacement and used their $F_{control}$, F_{-V4} and $F_{-V2/3}$ values to fit 178 linear regression lines between control and -V4 values, and between control and -V2|3 values. 179 This analysis resulted in 1,000 slopes describing the $F_{control}$ and F_{-V4} relationship, and another 180 1,000 slopes describing the $F_{control}$ and $F_{-V2/3}$ relationship. None of these slope values overlapped 181 the line of unity (Monkey R, mean slope ± SEM, control vs. -V4: 0.64±0.03, control vs. -V2|3: 182 0.82±0.04; Monkey G, control vs. -V4: 0.53±0.04, control vs. -V2|3: 0.59±0.03). We also noticed that the mean F_{control} / F_{cooling} slope was shallower during V4 cooling than V2|3 cooling in both 183 184 animals. This implied that PIT multiunits became less selective during V4 cooling than during V2|3 185 cooling. This difference in slope was significant using a randomization test, which shuffled $F_{cooling}$ 186 values from the V4 and V2|3 conditions and asked if this mixed distribution could produce the 187 observed slope (one-tailed randomization test, P = 0.001 and 0.02; see Methods for details).

188 We further asked whether there was any relationship between the retinotopic location of 189 a PIT response field relative to the cooling scotomas, and its subsequent selectivity (F-statistic) 190 change. The images were presented at the intersection of the V4 and V2|3 scotomas. Therefore, 191 some individual multiunit PIT response fields (RFs) would cover more of the stimulus than others. 192 For each PIT site, we measured the fraction of its RF that overlapped the stimulus/scotoma, and 193 correlated this value against the subsequent change in selectivity (F-statistic). The RF overlap 194 measure was computed using data from different recording days. There was a small but 195 statistically reliable correlation of RF overlap with selectivity change (selectivity change was 196 defined as F_{control} - F_{cooling}): during V4 cooling, the Pearson correlation coefficient was 0.19 and 197 0.32 (monkeys R and G: $P = 1.2 \times 10^{-3}$ and 1.3×10^{-7} , Student's t-test, N = 300, 256 sites). During 198 V2|3 cooling, the correlation coefficient was 0.11 and 0.26 (P = 0.06 and 2.3x10⁻⁵). Note that the 199 stimuli were placed in the same overlapping region between both -V4 and -V2|3 scotomas, so 200 the lower correlation values for V2|3 cooling are not due to differences in scotoma overlap; rather 201 it is because the selectivity change is less pronounced for V2|3 cooling (if there was no selectivity 202 change, the correlation would be zero). We conclude that PIT multiunits lost selectivity across 203 images as a function of RF location.

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a. F-ratio per cooling condition

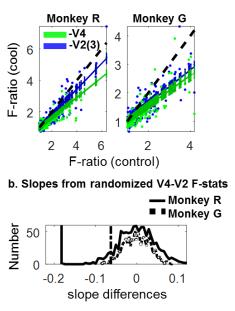


Figure 4. Selectivity of individual PIT sites before and

during cooling. (a) Scatterplots of F statistics (warm vs. -V4: green, warm vs. V2|3, blue). Each point shows the paired F-ratios for a given channel, measured before and during cooling. The solid colored lines show the mean slope describing the control and cooling F-ratio distributions. The error bars around each slope show the standard error. The broken black line shows unity.

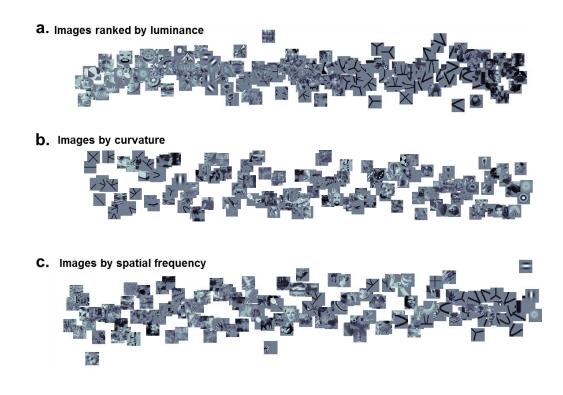
(b) Differences in slopes expected given a mixed temperature distribution (solid curve = distribution from monkey R data, broken line = monkey G). The vertical lines show the experimental difference.

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Cooling did not reveal shape-specific deficits

207 We used regression analyses to find image features especially affected by cooling. These features could be anything encoded by PIT, including luminance, contrast⁸, orientation content, 208 209 curvature content⁹ and categorical membership (e.g. "faces," "body parts," "tristars", Fig. 5). In 210 addition to these features, we used principal component analysis on our images to extract 87 211 different quantitative descriptors for each of our 293 images (see Supplementary Fig. 5). We 212 also used experimental predictors, such as the mean population spike rate per image (before 213 cooling), and the mean decoding accuracy evoked per image (before cooling). We used all 87 214 features in a linear regression analysis that could explain the change in decoding accuracy per 215 image. In both monkeys, the only consistent predictor of V4- or V2|3-cooling accuracy loss was 216 the magnitude of classification accuracy before deactivation: the larger the classification accuracy 217 for each image before deactivation, the larger the subsequent reduction in accuracy. During V4 218 cooling, the percentage of variation explained by each model was 45-55% (monkeys R and G: R² = 0.45 and 0.55, $P = 4x10^{-6}$ and 1.74x10⁻⁷, F(100,246): 2.0, F(100,192): 2.4); during V2 cooling. 219 the R² values were 0.48 and 0.56 ($P = 1 \times 10^{-7}$ and 3×10^{-8} , F(100,246): 2.3, F(100,192): 2.5). The 220 linear model could not account for differences in decoding accuracy between V4 and V2|3 cooling 221 222 (R² = 29%, P = 0.84, 0.91, F(100.246): 0.8, F(100,192): 0.8). In summary, no shape- or category 223 feature showed a statistical relationship with decoding accuracy reductions during cooling, 224 suggesting that a vet-undiscovered image property is differentially represented among the 225 pathways, or that image feature encoding does not differ between them. This oriented us to the 226 possibility that the primary difference between these pathways is not between the bypass 227 pathways, but between the long pathway and the bypass pathways themselves.

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Figure 5. Examples of three image features used to predict changes in accuracy. A. Images sorted by their luminance value. B. Images sorted by their curvature content. C. Images sorted by the first Fourier-transform principal component (high vs. low spatial frequency content).

Cooling parallels in the Standard Model of Visual Recognition

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231 The previous results oriented us to compare PIT units that received inputs from the long 232 $(V1 \rightarrow V2 \rightarrow V4 \rightarrow PIT)$ pathway versus PIT units that received inputs from the short $(V1 \rightarrow V2 \rightarrow PIT)$ 233 or V1 \rightarrow V4 \rightarrow PIT) pathways. This was outside our experimental reach, because both of our cooling 234 interventions affected cells that depended on continuous information flow through the long 235 pathway. Thus we proceeded by exploring simulated versions of these two PIT types, using the Standard Model of Visual Recognition, specifically the HMAX version of Serre, Oliva and Poggio 236 237 (2007). This is a hierarchical, feedforward-only model inspired by the visual system^{10,11}, 238 comprising comprises multiple layers (areas), each with many filters (receptive fields) of different 239 sizes. The mean filter size per layer increases along the hierarchy, with small V1-like RF sizes in 240 the first layer, and large AIT-like RF sizes in the last layer. Each layer performs two serial 241 operations: a convolutional tuning operation followed by a pooling (invariance) operation. Inspired 242 by the simple/complex cells in V1, the convolutional operation provides a measure of similarity 243 between the input pattern and the "synaptic" weights of its filter. The outputs of different 244 convolutional steps are then pooled using a maximum operation; this is a non-linear step that 245 reduces multiple inputs into a single output, like a complex cell responding with its most active 246 simple cell input. This pooling step results in fewer responses feeding into the next layer and more 247 invariance to scale/position changes. At the highest layers of the model, there emerges a sparse 248 population of units, whose activations encode an abstract representation of the original image. 249 This response vector can be used in a final classification step to measure the accuracy of 250 representation of the original image.

251 Our version of this model had three alternative pathways that could provide input to a 252 given simulated PIT unit: the long pathway had four layers (V1 \rightarrow V2 \rightarrow V4 \rightarrow PIT); the second 253 pathway skipped the second layer ($V1 \rightarrow V4 \rightarrow PIT$), and the third pathway skipped the third layer 254 $(V1 \rightarrow V2|3 \rightarrow PIT, Fig. 6a)$. Filter widths doubled at each layer, but for the bypass pathways, RF 255 size guadrupled at the bypass stage. This insured that all filters in a given area had the same range of sizes, irrespective of their inputs. The key difference in filter shapes between the long-256 257 and short-pathway inputs was the level of detail present in the filters: for example, V4 units shaped from V2 inputs were more abstract than V4 units shaped from V1 inputs, because the latter set of 258 259 filters sampled visual activity which had undergone one fewer round of max pooling. At the end 260 of the network, we decoded responses using SVMs in an all vs. all approach, with leave-one-out 261 cross-validation and shuffled-label control.

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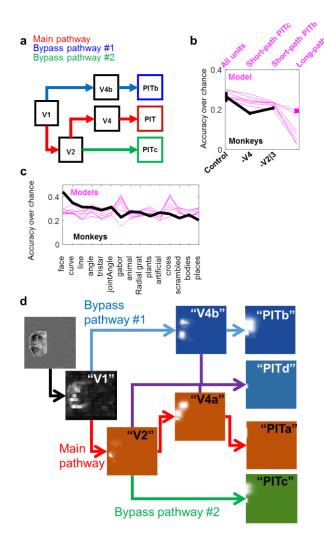


Figure 6. a. Modified standard model of visual recognition (HMAX architecture).

b. Mean classification accuracy over chance (± SEM), for both animals, during the warm, V4 and V2|3 deactivation (black) and for all simulated PIT populations in seven models (pink lines). The label "All units" refers to mixed short- and long-pathway PIT cells. The square under the "Long-path only" label shows the accuracy achieved when units were pooled from seven networks, in order to match cell count.

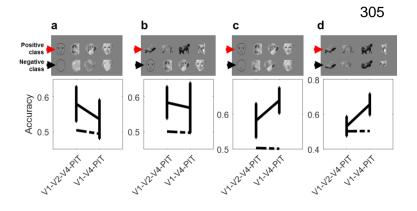
c. Accuracy per category for all seven models (pink). The black line shows the mean accuracy per category from the monkey data.

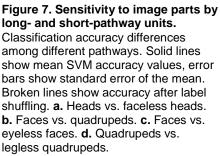
d. Example of activation of units at different layers in the hierarchy.

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264 There were 58 units total at the final layer: 4 long-pathway units, 25 V1 \rightarrow V4 \rightarrow PIT units, 265 25 V2→PIT units, and 4 units that received mixed inputs from long- and short-pathways. SVMs performed best at classifying each image when using a mix of long- and short-pathway units (N 266 = 58), scoring 28±1% after baseline subtraction, mean of seven models \pm SEM). For comparison, 267 268 SVMs trained on the control monkey data showed an average of 26±2% after baseline subtraction. When SVMs were trained using data from only one population of simulated PIT cells, 269 270 they performed worse than when using the mixed population (PITc: 24±1%, PITb: 24±1%, PIT: 271 9±3%; Fig. 6b). Within each model, the number of simulated PIT units at each short-pathway was the same (N = 25 units per final bypass layer), but the number of long-pathway units was lower because of the additional pooling stage (N = 4); this partially explains why SVMs performed so low when using long-pathway values only. To correct for this, we also trained SVMs using all the long-pathway units from seven different networks: pooled together, these 28 units still performed worse (19±1% above baseline) than SVMs trained on a mixed population. These reductions in simulated PIT cell diversity were similar to the accuracy from cooling data (mean -V4 deactivation performance was 16% and -V2|3 deactivation performance 19%, averaging both monkeys).

279 Unlike the monkey PIT units, which led to the highest classification accuracy for faces, the 280 entire population of simulated PIT model units showed highest accuracy values for line shapes. 281 bodies and artificial objects (24-34% over chance for all categories, Fig 6c). Visual examination 282 of the activation patterns within each layer highlighted interesting differences (Fig. 6d). Units in 283 the early layers (V2, V4) of the long pathway lit up local features, like eyes, but in subsequent 284 layers (PIT) of the long pathway, these features were pooled into more complex feature selectivities. These long-pathway units looked like they would be good at discriminating complex 285 286 images, but at the expense of representing more primitive geometric features. In contrast, PIT 287 units receiving inputs from the bypass pathways seemed to preserve the explicit representation of the more primitive sub features. We tested this observation as follows. We compared the image 288 289 selectivity to local and global features of two classes of simulated PIT cells - those at the terminus 290 of the long pathway or the short $V1 \rightarrow V4 \rightarrow PIT$ pathway. We used images of 10 faces and 10 291 guadrupeds from our 293-image set. We used SVMs to interrogate the long- and short-pathway 292 units on four tasks, where success in each task depended on discriminating local vs. global 293 features. The tasks were to classify 1) faces vs. guadrupeds, 2) heads vs. faceless heads, 3) faces vs eveless faces, and 4) guadrupeds vs, legless guadrupeds (Fig. 7). The first two 294 295 comparisons involved many local features (a global comparison), the second two comparisons 296 involved a local feature. The pathways performed differently: for the global task, the long-pathway 297 units showed better performance than the short-pathway units (accuracy classifying faces vs. 298 heads, long-pathway: 0.58±0.05, short-pathway: 0.54±0.06; faces vs quadrupeds: long-pathway: 299 0.58±0.05, short-pathway: 0.57±0.07). For the local-feature tasks, the short-pathway units 300 allowed better performance than the long-pathway units (accuracy detecting missing eyes, long 301 pathway: 0.58±0.05, short pathway: 0.64±0.04; accuracy detecting missing legs: long pathway: 302 0.53±0.06, short pathway: 0.66±0.06). This suggested that in the macaque brain, short pathways 303 could be helpful for fine, local-feature discriminations, while the long pathways could implement 304 Gestalt-like, global discriminations.





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307 Cooling affected fine discrimination less than coarse discrimination

The cooling interventions always disrupted the long pathway but preserved at least one short pathway. If the short pathways are more important for local (fine) discriminations and the long pathway more important for global discriminations, then during cooling, SVMs should perform better with local discriminations because of these remaining short pathways. We wanted to test this hypothesis, so first we had to define "local" vs. "global" discriminations in the context of our data.

314 The decoding accuracy analysis (Fig. 2) showed that monkey PIT populations 315 discriminated some images more accurately than others. The image pairs that were hard to 316 discriminate must have had similar locations in the neural activity space: the closer the images, 317 the more likely that individual trials were on the wrong side of the dividing linear hyperplane. We 318 ranked the decoding accuracy for each image as a function of the Euclidean distance to its 319 neighbors (in the original 100+ dimensional activity space). This plot showed that decoding 320 accuracy for two images increased with their distance in activity space. It also showed that very 321 close neighbors were systematically misclassified (Fig. 8a, bottom). Thus we can interpret 322 distance as a continuous metric for local-feature vs. global-feature discriminations: close image 323 neighbors activate similar feature detectors in PIT relative to distant image pairs.

324 We then asked whether the cooling reduction in accuracy varied with neighbor distance. 325 and if so, whether that change could be predicted by a random cooling mechanism like those of 326 Figure 3. These random cooling mechanisms were blind to the identity of each image and to their 327 distances in activity space. This created two hypotheses: the first hypothesis was that the change 328 in decoding accuracy as a function of distance will be either a simple multiplicative or subtractive 329 change, captured by random cooling mechanisms. The second hypothesis is that the change in 330 decoding accuracy as a function of distance will not be explained by a simple gain change, but in 331 fact will be better preserved within short distances, as predicted by the convolutional model of 332 visual recognition.

333 We plotted the decoding accuracy as a function of distance for the random cooling 334 mechanisms and found that they showed a series of multiplicative changes to the control (warm) 335 accuracy-distance plot (Fig. 8b); these curves showed small changes in accuracy for near-336 neighbors, and larger accuracy changes for far neighbors. In contrast, when we plotted the cooling 337 data's decoding accuracy as a function of distance, we found that these curves differed from the 338 simulated-cooling curves within the range of short distances (Fig. 8c-d). The random cooling 339 mechanism curves predicted small decrements in misclassification, but the cooling data showed 340 a considerable improvement in classification. The cooling data and the simulated cooling data 341 curves were otherwise well-matched at the mid- to far range of neighbor distance. To compute 342 the statistical reliability of this observation, we derived the probability that the cooling median 343 accuracy at each distance could be emitted by the simulation (we ran a Wilcoxon rank-sum test 344 at the *i*th neighbor position, asking if the median accuracy measured in the cooling distribution 345 was higher than the median accuracy derived from the simulation). We found that the nearest 28 346 neighbors were reliably better classified than predicted by the simulation (P values ranged from $4x10^{-35}$ to 0.03 within the nearest 28 positions, one-tailed paired Wilcoxon signed rank test, N = 347 348 640 images, Z-values = 1.9-12.3). This resilience in decoding accuracy for near neighbors is 349 consistent with the interpretation that short pathways are most helpful with fine discrimination.

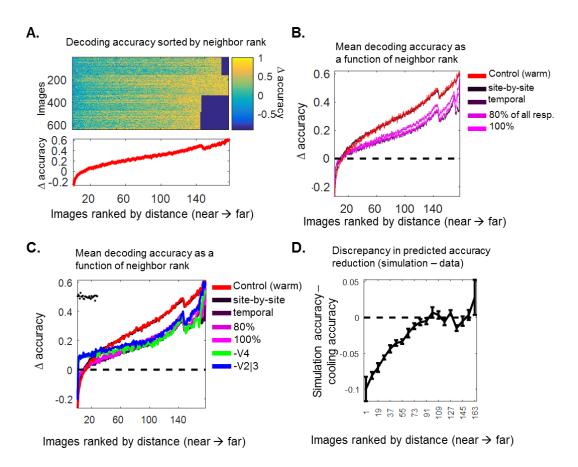


Figure 8. Differences in decoding accuracy for near- vs. far neighbors.

a. Linear decoding accuracy for every image (rows) when compared to its neighbors, as sorted by rank distance (columns). Top figure shows the decoding accuracy for all images, across days and monkeys. Bottom figure shows the mean decoding accuracy for each rank position (±SEM). Colors show the decoding accuracy minus the shuffled-label accuracy.

b. Mean decoding accuracy (minus shuffled baseline, \pm SEM) measured before cooling (red). The additional curves, colored in magenta, show the values for the different cooling simulations (see Figure 3 for a description of each mechanism).

c. Same as (c), but with data from V4 cooling (green) and V2|3 cooling (blue). Black dots show which image ranks show statistically differences between the cooling data and trial-by-trial model.

d. Discrepancy in predicted accuracy reduction between the simulation (trial-by-trial) and V4 cooling data. Each point shows the average for 10 neighbors (±SEM), from nearest to furthest.

350

351 DISCUSSION

352 We investigated how posterior inferotemporal cortex cells combine information from areas 353 V2, V3 and V4. We implanted microelectrode arrays in PIT while cooling areas V2/V3 or area V4. 354 PIT multiunits showed a reduction in firing rate that was similar across both types of interventions. Support vector machines showed that classification accuracy was reduced more during V4 355 356 deactivation compared to V2|3 deactivation. Changes in classification accuracy could not be 357 predicted by any class of visual features, such as contrast or spatial frequency content. This 358 finding is consistent with previous iterations of the Standard Model of Visual Recognition, which 359 implemented a hierarchical convolutional network where different bypass projections contain the

360 same types of visual features. This previous model also modeled bypass pathways and predicted a role for fine discrimination, and here we explore that idea further². We confirmed that these 361 362 pathways provide an advantage to simulated PIT units in fine discriminations. According to the model, the key contrast was between long-pathway PIT cells ($V1 \rightarrow V2 \rightarrow V4 \rightarrow PIT$) vs. short-363 364 pathway PIT cells (V1 \rightarrow V4 \rightarrow PIT), and these populations could not be isolated using our cooling 365 technique. However, we found that images that are represented similarly in PIT activity space 366 were better discriminated during cooling; this advantage was not predicted by non-specific cooling 367 simulations.

368 Our principal conclusion is that input pathways of different lengths create a diversity of functional preferences in a given cortical region. We argue that multiple pathways allow some 369 370 units to respond to complex features, and some units to simpler features. This raises several 371 questions. First, in the context of a fine discrimination task, why would units with complex image 372 preferences be less helpful than units with simpler image preferences? Cells with complex image 373 preferences can be less responsive to variations of their preferred image: Kobatake and Tanaka 374 have shown that IT cells have a minimum number of "critical features," a minimal combination of 375 image parts required to elicit a response¹². If any of those features are missing, the cell may not 376 respond at all. Thus in the context of detecting the presence of simple parts within a complex 377 image, there may be an advantage of having cells tuned for the complex image along with cells 378 tuned for its simple parts. This type of simpler preference is more likely to arise earlier in the visual 379 hierarchy and become preserved by short bypass pathways. Did we miss any classes of 2-D, 380 luminance shape features that might be differentially represented between the V2|3 and V4 381 paths? While possible, there are no strong theoretical candidates for such features. Hegde and 382 Van Essen (2007) compared the relative shape selectivities in neurons from V1, V2 and V4, and 383 showed that these cells responded similarly to the same set of simplex and complex images, 384 offering little qualitative diversity¹³.

385 The V1-V2|3-V4 input pathway is the most important source of excitatory activity to PIT. 386 and one might expect that its disruption would extinguish nearly all activity within the PIT scotoma. 387 However, PIT units within the scotoma continued to respond, albeit weakly. This was because we 388 did not cool all of V2, V3 or V4 – the scotomas covered only a few degrees of central vision, and 389 near-foveal representations receive a disproportionate amount of cortical real estate in the brain. 390 Indeed, cortical areas deep in the visual hierarchy receive too many inputs and may be practically 391 impossible to silence without bilateral V1 resection, silencing of lateral connections and feedback: as an extreme example, anterior IT cells show no statistical change in overall firing rate after 392 surgical resection of areas V4 and PIT¹⁴. Ultimately, we will get more answers to the problem of 393 394 multiple pathways in macague by recording from single units with known anatomical input profiles. 395 a goal that may be met with the use of chemo- or optogenetics.

396 METHODS

All procedures were approved by the Harvard Medical School Institutional Animal Care
 and Use Committee, following the Guide for the Care and Use of Laboratory Animals (8th edition,
 National Academies Press). This paper conforms to the ARRIVE Guidelines checklist.

Behavior. Two adult male macaques (10 -17 kg) from the New England Primate Center were trained to perform a fixation task. The task required them to stare at a 0.5° -wide red or black square in the middle of the screen, keeping their gaze within ±1.3° from the fixation spot. We used an ISCAN eye monitoring system to keep track of eye movements (www.iscanin.com). The trial timeline was as follows: at the start of each trial, the fixation target appeared and the animal had up to eight seconds to direct its gaze to the fixation target. Once fixation was acquired, a small reward could be delivered to encourage the animal. Within a random period between 17- 117 ms
after fixation onset, an image appeared perifoveally for 200 ms, then disappeared for 200 ms until
a new image appeared. This on-off cycle could be repeated with 3-5 different images per trial. If
the animal held fixation until the end of the final on-off cycle, a reward was dispensed. The reward
size increased by 25% of the initial reward size every 100 trials.

411 **Animal care.** The animals were housed in a vivarium with a 12-h light/dark cycle, under 412 social pairing. They worked during the daytime. The monkeys had no previous major surgical 413 history.

414 stimuli. We MonkeyLogic to control Visual used experimental workflow 415 (http://www.brown.edu/Research/monkeylogic/). We used 293 high-resolution images, including photographs and simple shapes. Photographs came from Google Images, and our choice of 416 pictures were guided by categories used in previous IT studies^{15,16} including animals, artificial 417 418 gadgets, body parts, faces, places, plants/fruits(20-21 examples per category; faces and body 419 parts were evenly distributed between monkey and human). Most of these images were used to 420 create scrambled counterparts via the Portilla and Simoncelli visual texture model¹⁷, which 421 transforms white noise into textures that share pairwise joint statistical constraints as the original 422 intact images. These textures convincingly replicate small shape primitives present in the original 423 images and scatters them throughout the image (118 textures). We also used 54 simpler line 424 shapes such as lines, curves, tristars, radial and linear gabors, and simple combinations of lines 425 and curves (joint angles). These line shapes were generated using the Cogent Matlab toolbox 426 (developed by John Romaya at the LON at the Wellcome Department of Imaging Neuroscience, 427 http://www.vislab.ucl.ac.uk/cogent_graphics.php). Images were 1.4° in width (for monkey G) or 428 2.0° (for monkey R) at their longest axis. The images were not normalized for luminance, contrast 429 or other visual properties.

430 Implanted devices. The cryoloops were manufactured in the laboratory of Stephen 431 Lomber and are described in Lomber, Payne and Horel (1999)¹⁸. Cryoloops were composed of 432 23-gauge hypodermic stainless steel tubing, shaped to fit the individual curvature of each animal's 433 occipitotemporal shapes as determined by structural magnetic resonance images. The cryoloops were 3.5 mm wide and between 4-11 mm long. A microthermocouple sensor was attached to the 434 435 stem of the cryoloop to monitor its temperature. The bodies of the cryoloops were wrapped in 436 Teflon tubing except at the loop. The loops contained protected inlet/outlet ports that permitted 437 the daily connection of Teflon tubes carrying chilled methanol, as driven by FMI "Q" Pumps (Model 438 QG150, fluidmetering.com). The methanol was contained within the tubing system and could not 439 cause any chemical harm to the tissue. The custom floating microelectrode arrays were 440 manufactured by MicroProbes for Life Sciences (Gaithersburg, MD); each had 32 platinum/iridium 441 electrodes per ceramic base, electrode lengths of 4-16 mm, impedances between 0.7- 1.0 M Ω , 442 all connected to a 36-channel Omnetics connector (allowing for two additional grounds and two 443 reference electrodes).

444 Surgical procedures. Both animals were implanted with custom-made titanium 445 headposts before fixation training. After several weeks of post-surgical recovery and fixation 446 training, the animals underwent a second surgery for the implantation of cryoloops and floating 447 microelectrode arrays. Animals were anesthetized using ketamine/xylazine (I.M.) and isoflurane; 448 buprenorphine/non-steroidal anti-inflammatories were used for pain control. In each animal, we 449 performed a craniotomy centered at the lunate sulcus and extending antero-laterally. Monkey R 450 received three cryoloops, two placed within the left lunate sulcus and one over the prelunate 451 gyrus. The medial lunate sulcus loop was located 20 mm from the midline, traveled 7 mm deep 452 into the sulcus and was 3 mm wide; the lateral lunate sulcus loop traveled 4.5 mm into the sulcus 453 and was 3.5 mm wide); the prelunate gyrus loop was placed anteriorly to the lunate sulcus loops, 454 was 11 mm long and 3 mm wide. Monkey G received two cryoloops, one over the prelunate gyrus 455 and one within the lunate sulcus. The lunate sulcus loop was placed 2.1 cm from the midline, was 456 11 mm long with this axis running in the mediolateral axis within the lunate sulcus, 3 mm wide, 457 and its most dorsal edge was 1.5 mm deep. The prelunate gyrus loop was also placed 2.1 cm 458 from the midline, anteriorly to the lunate sulcus loop, ran 10.5 mm long and was 3 mm wide. We 459 collected thermal images to map the spread of cooling from the tubing, and confirmed that it was limited to 1-3 mm radially, as first shown in previous publications¹⁹. Two to three floating 460 461 microelectrode arrays were implanted within the same intraoperative session, after placement of 462 the cryoloops. Their insertion sites were determined using three guidelines: they had to be anterior 463 to the inferior occipital sulcus, many millimeters away from the prelunate gyrus cryoloop, and avoid large vasculature. All arrays were implanted caudal to the posterior middle temporal sulcus. 464 465 We implanted two 32-channel arrays in monkey R, and one 32-channel plus two 16-channel 466 arrays in monkey G.

467 Experimental session workflow. All data reported was collected within a couple of 468 months after implantation. Each day, the animal would be head-fixed and its implants connected 469 to the experimental rig: first the cryoloops were connected to the chilled-methanol-bath tubing and 470 temperature sensors, then the microelectrode arrays were attached to their headstages. The first 471 step each day was to calibrate our measurements of the animal's gaze using the built-in 472 MonkeyLogic routine. We used the Plexon Multichannel Acquisition Processor (MAP) Data 473 Acquisition System to collect electrophysiological information, including high-frequency ("spike") 474 events, local field potentials and other experimental variables, such as eye position, reward rate 475 and photodiode outputs tracking monitor frame display timing. Each channel was auto-configured 476 daily for the optimal gain and threshold; we collected all electrical events that crossed a threshold 477 of 2.5 standard deviations from the mean peak height of the distribution of electrical signal 478 amplitudes per channel. These signals included typical single-unit waveforms, multi-unit 479 waveform bursts, and visually-active hash.

480 The animal began its fixation task while we collected responses from the arrays with the cryoloops at body temperature (36-37°C; this is what we call "control" or the "warm" condition). 481 482 After ~20 minutes of data collection to permit ~4-6 repetitions of each image, we activated either 483 the V2|3 or V4 cryoloops, bringing the temperature of the cryoloops to ~9°C, which lowered the temperature of the adjacent cortex to 16-18°C. We waited for another 5 repetitions of each image 484 485 to pass, and then turned off the cryoloop pumps and collected 1-2 more repetitions under this first 486 re-warming session. We then paused the fixation task for 10 minutes to allow the tissue 487 temperature to return to normal and preserve the animal's motivation for a second round of 488 cooling. After 10 minutes, the temperature reported by the cryoloops was around 34°C, and we 489 re-started our experiment. We repeated each image presentation 3-4 times and then activated 490 the second set of cryoloop(s) (~8°C), waited for 5 repetitions and turned off the cryoloops. We 491 then collected data until the animal was satiated. We balanced the order of the V2I3 vs. V4 cryoloop activations: if on the first day we activated the V4 cryoloop first and V2|3 cryoloops 492 493 second, the next day we activated the V2|3 cryoloops first and V4 cryoloop second. There was 494 an even number of days for each cooling order.

495 **Electrophysiology data preparation.** The raw data files comprised event ("spike") times 496 per channel for the entire experimental session (the number of channels available per day were 497 64, but not all provided reliable signal-to-noise qualities). We divided each daily data set into 498 thousands of raster plots defined by the onset of each image presentation and labeled each raster 499 plot with its corresponding channel, image name and temperature condition. We defined three 500 windows of analysis: the baseline period lasted from 0-50 ms after image onset, the early period 501 from 51-150 ms after image onset, the late period from 151-250 ms after image onset, a full image 502 presentation window was 51-400 ms after image onset. We found that multiunit responses could 503 last almost 400 ms, although their peak responses always occurred within the early window. Here 504 we report responses within the full window minus the activity within the baseline window (we call 505 these evoked responses). For all multivariate analyses, we normalized the activity of each site by 506 transforming its evoked responses to z-scores: all evoked responses emitted by a single site 507 during an experimental daily session were averaged, this mean response was subtracted from all 508 individual evoked rates, and each value was then divided by the standard deviation of all evoked 509 responses.

510 Although our full dataset contained 293 images, we did not have enough time to present 511 all images every day and still get the minimum of 15 presentations across the control and cooling 512 conditions. Thus we presented over half of the total image set each day (10 images from each 513 complex category, such as faces and places, along with half of the scrambled textures per day, 514 with most of the simple line shapes, rounding to about ~170-177 unique images per day). The 515 responses of a given channel were correlated across days, but were also statistically different by 516 multivariate descriptors such as multi-dimensional scaling. Because of these differences, we did 517 not combine channel information across days and instead created a multi-day pseudo-population. 518 where sets of concurrently recorded channels (N = 50-64) from different days were treated as if 519 recorded at the same time (see Ch. 19 of reference 20)²⁰. Thus the final activity space is defined 520 by firing rates collected across "site-days," where some dimensions represent responses from the 521 same channel to the same image collected on different days. Because the whole image set was 522 presented on different days, we had two pseudopopulations per animal, each containing different 523 site-day responses to each half of the image set. Each of our pseudopopulations had between 524 100-300 multi-units.

525 Scotoma mapping experiments. The goal of these experiments was to identify the parts 526 of the retinotopic field that were captured by our arrays, and the relative location of the response 527 impairment caused by cooling. To achieve this goal, we had the animals fixate while we presented 528 a single image (black-and-gray cartoon face, 2.0°-wide) within all positions in a radial grid (angular 529 coverage of 0-315°, 45° steps; radial coverage of 0-8° from the center of the screen, in 0.5° steps). 530 Three to five positions were randomly chosen per trial. After data collection, we defined evoked 531 responses per position as follows: first we quantified the firing rate per site during the early window 532 of activity (51-151 ms after stimulus onset) and then subtracted the firing rate per site during the 533 baseline window of activity (0-50 ms after stimulus onset). We averaged these evoked responses 534 per position within each site and used the griddata.m Matlab function to interpolate the scattered 535 data into a continuous map. This map was smoothed using a 1°-diameter disk filter. This map 536 represented the aggregate receptive field of each multiunit site in our arrays. To identify the overall 537 scotoma, we averaged the response fields of all sites during the control condition and subtracted 538 the average response fields of all sites during V2|3 or V4 deactivation. We measured the size of 539 each scotoma by hand, using the calcArea.m function (http://www.mathworks.com/matlabcentral). 540

Firing rate and latency. The goal of these analyses was to measure changes in the overall firing rate (excitatory drive) of PIT multiunits during input deactivation. These changes included the amplitude of peristimulus rate histograms (PSTHs) and the latency of response. To quantify the changes in evoked response magnitude, we computed the evoked responses per site as described in *Ephys Data Preparation* and averaged these responses across all channels within each temperature condition. We did the same operation using z-scores. We calculated the 547 probability that the median responses emitted during each temperature condition (control, V4 and 548 V2|3 cooling) were sampled from the same distribution using a Kruskal-Wallis one-way analysis 549 of variance. To determine if there was a statistical difference between the V4 and V2|3 cooling 550 condition responses, we used the Wilcoxon signed rank test for zero median. For the latency 551 analyses, we obtained the mean PSTH in response to each image, per site and temperature, and 552 then stacked all image-specific PSTHs in a matrix measuring N_{images} x 400 (ms after stimulus 553 onset). We identified the time when each PSTH exceeded two standard deviations over baseline 554 and called this response latency, with the only acceptance criteria that a plausible response 555 latency would only occur between 30-200 ms after image onset. We also computed the earliest 556 time point when all PSTHs demonstrated the greatest variance in amplitude, as an indicator of 557 the *tuning* latency.

558 How we identified channels with reliable visually driven activity. Many electrodes in 559 the arrays reported electrical activity that was not visually driven, possibly because the electrodes 560 were on the pial surface. We repeated this analysis only using channels that showed a statistical 561 difference in mean activity between the baseline and evoked time periods. Using a cross-562 validation approach, we used 5% of all trials to perform a Wilcoxon signed rank test for the median rate difference during each interval. This told us which channels showed a statistical difference in 563 564 rate during visual stimulation. We then used the remaining 95% of trials to compute the firing rates 565 during baseline and evoked windows for the selected channels. Monkey R's arrays showed 38 566 out of 64 visually responsive sites; monkey G, 30 out of 64 (P < 0.05, two-tailed Wilcoxon signed 567 ranked test for zero median).

568 Encoding accuracy analyses. We trained support vector machines with a linear kernel 569 using the Matlab function fitcsvm.m. We used an all-vs.-all approach, with SVMs trained to 570 discriminate between pairs of images, using leave-one-out cross-validation. There were 4-5 571 response vectors per class within each comparison (the data used for classification were Z-score 572 vectors; see Spike Data Preparation). To estimate the chance accuracy for each paired 573 comparison, we concurrently trained SVMs using the same set of data vectors but with shuffled 574 labels. The number of vectors for each two-class comparison was small, and thus we found that 575 chance accuracy values could vary between 0-1 across all comparisons; the median shuffled-576 label misclassification rates were 0.60-0.63 for monkeys R and G. We subtracted the chance, 577 shuffled-label accuracy classification rate from the correct-label accuracy classification rates to 578 account for this bias. As an insight to explain this deviation from the expected chance accuracy 579 of 0.5, we trained SVMs to distinguish between stimulus categories (listed in the Visual Stimuli 580 section). Each category pair comparison involved 10-20 times as many response vectors as the 581 individual image-vs-image SVM analyses, and the dataset was otherwise identical. Here we found 582 a more reassuring shuffled-label statistical baseline of 0.50 in both animals. Both the category 583 and image-per-image SVM accuracy analyses led to the same conclusions presented in the 584 Results section.

585 **Projection analysis.** The goal of this analysis was to reconcile the findings that cooling V2|3 and V4 led to equivalent reductions in PIT population firing rates, but different reductions in 586 587 classification accuracy. We measured the cooling trajectory traveled by each image during V2[3 588 or V4 cooling and to project it onto the direction of a minimum response vector, where this 589 direction represented a non-specific reduction in firing rate across all sites. We conducted the 590 analysis as follows; first, for every pseudo-population, we defined a minimum response vector 591 $\mathbf{v}_{\min} = \{\min \arg(\mathbf{x}_i)\} = \{\min \arg(x_1), \min \arg(x_2), \dots, \min \arg(x_n)\}, \text{ where } \mathbf{x}_i \text{ is a variable representing } \}$ 592 all the mean responses from the *i*th array site and *n* is the number of sites, thus the vector length 593 is *n*. Next, we computed three mean vectors per image: \mathbf{v}_{warm} = mean response vector for the given image during the control condition, also of length *n*; v_{-v4} = mean response vector for the given image during V4 cooling and v_{-v2} = mean response vector for the given image during V2|3 cooling. We computed the cooling trajectory vector of each image as $v_{warm-v4} = v_{warm} - v_{-v4}$ and $v_{warm-v2} = v_{warm} - v_{-v2}$. Finally, each individual trajectory was projected onto the vector $v_{warm} - v_{min}$; the projected (parallel) component was subtracted from the cooling trajectory vector to compute the perpendicular component.

600 Cooling simulations using the control data. We simulated the effects of cooling on the 601 control decoding accuracy, by applying fractional reductions to the control spike rates. The 602 approach was to first identify the fractional reductions in firing rate for all channels during cooling 603 in a given pseudo-population and then to use this fractional distribution to simulate cooling 604 changes with data from a different pseudo-population. Fractional reductions were defined as f_i = 605 $r_{i, cooling} / r_{i, control}$, where r_i is the mean firing rate for a given channel i in a pseudo-population i = 1-606 300. We sampled values from each fractional distribution with replacement and multiplied warm 607 firing rates in three different ways. In all cases, we can envision the control data set as a matrix 608 of dimensions r x c, where rows are multiunit sites (channels) and columns are individual image 609 presentations. In the first mechanism, site-by-site cooling, we sampled r fractional changes and 610 multiplied each sample times all the responses in one channel. In the second mechanism, 611 temporal cooling, we sampled c fractional changes and multiplied each fractional change times 612 all elements in the column. Finally, in the third mechanism, we randomly selected a given 613 percentage of responses in the matrix, and multiplied them by an equal number of sampled 614 fractional values (the mixed site-by-site and temporal cooling). We used each transformed control 615 matrix to train and test support vector machines as described above.

616 Selectivity analyses (F-statistics). In this analysis, the F-statistic was used as a 617 measure of selectivity for each multiunit. The F-statistic is a ratio of mean squares, specifically 618 the mean square error estimate for the variance of responses among images, divided by the mean 619 square error estimate for the variance within each image. We computed each F-statistic in a 620 channel by channel basis using the responses to all images within each temperature condition. For each channel, one F-statistic was computed using the warm data (F_{control}), another using the 621 622 V4 cooling data (F_{-V4}) and another using the V2|3 cooling data ($F_{-V2|3}$). We plotted each $F_{control}$ 623 against its paired F_{-V4} and $F_{-V2/3}$ values. To determine if the slope in each given scatterplot was 624 different from unity, we used a bootstrap approach, where we computed 1,000 different slopes by 625 sampling each channel with replacement (note that we kept each F-ratio trio together; we did not 626 mix warm and cooling F-statistics from different channels). We then asked if the slope distribution 627 from this bootstrap included 1.

628 We used randomization to measure any differences between the mean slopes computed 629 during the V4 and V2|3 cooling conditions (that is, whether there was a difference between the 630 mean F-v4 / Fcontrol slope vs. the mean F-v2/3 / Fcontrol slope). The null hypothesis is that the mean 631 V4 and V2|3 slopes came from the same distribution. Therefore, we had to create this null 632 distribution. In each of 1,000 passes, we randomly mixed the labels between the V4 and V2|3 F-633 statistics for each channel and computed a $F_{cooling} / F_{control}$ slope. We did that twice per pass, and 634 then subtracted the two slopes. After 1,000 passes, we had 1,000 slope differences that we then 635 compared to the experimental slope difference. We found that these null difference distributions were defined by 5th and 95th percentile values of -0.07 to 0.07 (monkey R) and -0.05 to 0.05 636 637 (monkey G). The observed differences in mean cooling slopes were -0.18 and -0.06 (monkeys R 638 and G). The probability that the experimental differences in V4 and V2|3 slopes came from such mixed distributions were 0.001 and 0.02. 639

640

How we defined response field overlap with the scotoma, for the *F*-statistic analysis.

For each channel, its RF overlap was defined as the average number of spikes emitted in response to stimuli presented in the stimulus/scotoma region, divided by the total number of spikes emitted in the central 8x8°. The mean RF overlap value was 0.12±0.01 and 0.15±0.01 (monkeys R, G).

645 Linear regression model.

646 The goal of this analysis was to determine whether the change in classification accuracy 647 during V4 cooling or during V2|3 cooling could be predicted using different image features. The 648 regression matrix had dimensions of 293 x 87 (images x visual features). The features were 649 luminance (defined as the mean pixel value transformed by the monitor's gamma function), 650 contrast (variance of the pixel values transformed by the monitor's gamma function), horizontal 651 vs. vertical power (obtained via a wavelet decomposition analysis using the Matlab function 652 wavedec2.m), curvature (defined by the variance of each image's discrete Fourier transform 653 spectral power around all orientations), 50-pixel-based principal components as defined by the 654 pca.m function), 30 spatial frequency principal components (pca.m applied to the discrete Fourier transformed images), categorical membership (defined a priori as angles, animal, artificial, 655 656 bodies, cross, curve, face, gabors, radial gabors, joint angles, line, places, plants, scrambled, 657 tristar), the mean population control firing rate per image and control classification accuracy per image. Values within each feature group were z-scored before fitting. The dependent variables 658 659 were either 1) accuracy loss during V4 cooling (control accuracy per image minus –V4 accuracy), 660 2) accuracy loss during V2|3 cooling (control accuracy per image minus –V2|3 accuracy) or 3) 661 the difference in accuracy loss during V4 minus V2|3 cooling ([control accuracy per image minus 662 -V4 accuracy] - [control accuracy per image minus -V2|3 accuracy]). The probability that the 663 linear model differed from the constant model was obtained two ways: first, we used the t-statistic 664 provided by the "fitglm.m" function; second, we used a randomization test where the dependent 665 variable was fit with a regression table made up of random numbers, sampled from a flat 666 distribution. The table had the same dimensions as the true data matrix table. The R² values of a 667 thousand randomization tests were compared to the R² from the regular regression table. To 668 identify the most interesting predictors, we looked at all 87 regression weights and their t-statistics. 669 There was one clear outlier: the control classification accuracy (t-statistics 8.6-8.7). We also fitted 670 a regression analysis that penalized the number of regression weights (the Lasso). To insure that 671 this was not simple regression to the mean, we also divided our control trials such that the control 672 classification tuning curve used for regression was not the same as the control classification 673 tuning curve used to calculate the cooling difference in classification accuracy (the estimated 674 correlation between these cross-validated data sets were 0.33-0.45 for monkeys R and G, P <675 10⁻⁸, two-tailed Student's T-test).

676

Standard model of visual recognition

677 Our computational model was based on an implementation by Serre, Oliva and Poggio 678 (2007)⁵, available at <u>http://cbcl.mit.edu/software-datasets/standardmodel/index.html</u>. This model 679 belongs to the family of hierarchical feedforward models (HMAX) by Riesenhuber and Poggio 680 (1999)²¹ and developed over subsequent publications^{2,22,23}. The model represents the visual 681 object recognition system as a series of convolutional and pooling operations, which transform an 682 image from pixels into neuronal responses. These responses can be used in a statistical classifier 683 to decode their abstracted representational content.

The <u>architecture</u> of our network was three to four layers deep and contained three pathways: one main pathway and two bypass pathways. The main pathway had four layers: layer 1 (representing V1), layer 2 (V2|3), layer 3 (V4), and layer 4 (PIT units receiving inputs from the 687 main pathway). The second pathway had three layers: layer V1, layer V4b (representing units in 688 V4 receiving direct input from V1) and layer PITb (PIT units receiving input solely from the V1 \rightarrow V4 689 inputs). The third pathway also had three layers: layer V1, layer V2|3 and layer PITc (units in PIT 690 receiving input directly from V2|3). These three types of "PIT" neurons showed different kinds of 691 activation patterns, which we could decode using support vector machines.

692 Layers. Each layer represented a stereotypical set of operations: a convolution/tuning operation and a pair of max operations. The tuning operation is equivalent to a simple cell, which 693 convolves the input with a filter bank via the tuning function $r = \exp(-\frac{1}{2\sigma^2}\sum_{j=1}^{Ncomb}(w_j - x_j)^2)$, 694 695 where σ = sharpness parameter, N_{comb} is the number of filters to combine, w = filter weight and x 696 = input image or activity. This simple cell operation describes the Euclidean distance between the 697 RF shape and the incoming input. Different simple cells are characterized by different shapes and 698 sizes of their RF patches. There are more than one receptive field sizes at each layer, and each 699 filter-size convolution is performed in parallel. Several outputs of this tuning operation are then 700 combined in a complex-cell-like operation. Complex cells perform a pooling operation: they 701 receive inputs from N_s simple cells with different RF sizes and compute the maximum response 702 emitted by the set. Thus the output of a complex cell layer is sparser than the output of a simple 703 cell layer, because maximum values are repeated across limited areas of response space. These 704 complex layer responses are finally subsampled, imitating the decreasing number of cells that 705 can cover visual space as one moves down the visual pathway.

Building the model required two major implementation stages: first we had to create receptive field (RF) patches for each layer and second, use these RF patches to compute responses to our experimental images. As in the 2007 publication, the patches were imprinted using experience-dependent activity.

710 Filters. Each layer contained a set of up to 200 unique filters: the V1 layer filters were 711 Gabors at four orientations and eight sizes (3-10 pixels wide, or 0.1-0.4° wide given our monitor 712 distance). Subsequent layer filters were imprinted using random samples of activity from the 713 preceding layer. To train these filters, we randomly selected images from the Caltech-256 714 database and passed them through the V1 layer: the resulting activity patterns were sampled 715 randomly to imprint filter shapes for the V2 layer²². Another set of images was passed through the 716 $V1 \rightarrow V2$ layers, and that set of activity patterns was used to imprint filter shapes for the V4 layer. 717 We did this for every layer in the long and short pathways. After repeating this process hundreds 718 of times, this resulted in a model with different filter shapes at each layer. Filter sizes doubled at 719 every hierarchical step, with the exception of the bypass pathways, where filter sizes quadrupled 720 in width at the one skip level (e.g. V4 filters in the V1 \rightarrow V4 pathway were four times the size of V1 721 filters; PIT filters in the $V1 \rightarrow V2|3$ pathway were four times the size of V2|3 filters). To make sure 722 that the RF shapes would match the statistics of natural images presented close to and far from 723 the fovea, we also imprinted using differently sized variants of the same images (1°-, 2°- and 4°-724 wide versions of the same image).

725 Experimental image testing. We began with 293 different images for our experimental 726 dataset. In the electrophysiology experiments, we presented each image multiple times and 727 obtained a distribution of correlated but non-identical response vectors for each individual image. 728 To mimic this trial-by-trial variability in the model, we created six variations of all 293 images, 729 adding pixel-by-pixel noise and random changes in position, simulating differences in fixational 730 eye movements. We then transformed our 293x6 experimental images into simulated PIT 731 responses using the fully assembled network, and used SVMs to measure the classification 732 accuracy from the model PIT units, SVMs were used in an all-vs.-all approach, with leave-oneout cross-validation and shuffled-label randomization control. The key theoretical contrasts were the relative performances between PIT units receiving inputs from different pathways: the $V1 \rightarrow V2|3 \rightarrow V4 \rightarrow PIT$ pathway, the $V1 \rightarrow V4 \rightarrow PIT$ pathway and the $V1 \rightarrow V2|3 \rightarrow PIT$ pathway. We considered the long-pathway PIT units to represent our control temperature population, the latter the deactivated state units.

738 Local vs. global feature analysis. The purpose of this analysis was to determine whether 739 closely represented images suffered the same proportional reduction in discriminability as 740 distantly represented images. Distance was determined using a Euclidean approach, measured 741 in activity space; coordinates within this activity space were defined by the activity of multiunits 742 within each pseudo-population. We used the pdist.m function in Matlab to obtain the distance 743 between each pair of images (in z-score-normalized activity space), using control (warm) data. 744 We then cycled through each image and rank-ordered all the other images by their distance to it: 745 the images with the smallest Euclidean distance were ranked first, those with the longest distance 746 last. We then used this ranking to sort the cooling classification accuracy values for that same 747 pair of images. Note that the accuracy values were computed using different data from the data 748 used to compute the distance ranking values. After cycling through all images, this resulted in a 749 matrix of decoding accuracy values, where each row corresponded to an image, and each column 750 corresponded to the accuracy value for its near to far neighbors. We then averaged this matrix to 751 obtain the accuracy-distance curve. We repeated the same process using the simulation data: 752 instead of using the cooling decoding accuracy values, we used the simulated cooling values.

753 Relevant data and code is available from the authors upon discussion.

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825 AUTHOR CONTRIBUTIONS

826 CRP and MSL designed the experiments. CRP, SGL and MSL performed the surgical

implantations and intraoperative cooling experiments. CRP conducted all following experiments
 and analyzed the data. CRP wrote the initial manuscript which was then edited by MSL and also
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830 COMPETING FINANCIAL INTERESTS

831 The authors have no competing financial interests.

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