

1 **TITLE:** The evolution of CHROMOMETHYLASES and gene body DNA  
2 methylation in plants

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4 **RUNNING TITLE:** CMT gene family in plants

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16

17 **ABSTRACT**

18

19 **Background.** The evolution of gene body methylation (gbM), its origins and its  
20 functional consequences are poorly understood. By pairing the largest collection  
21 of transcriptomes (>1000) and methylomes (77) across Viridiplantae we provide  
22 novel insights into the evolution of gbM and its relationship to  
23 CHROMOMETHYLASE (CMT) proteins.

24

25 **Results.** CMTs are evolutionary conserved DNA methyltransferases in  
26 Viridiplantae. Duplication events gave rise to what are now referred to as CMT1,  
27 2 and 3. Independent losses of CMT1, 2 and 3 in eudicots, CMT2 and ZMET in  
28 monocots and monocots/commelinids, variation in copy number and non-neutral  
29 evolution suggests overlapping or fluid functional evolution of this gene family.  
30 DNA methylation within genes is widespread and is found in all major taxonomic  
31 groups of Viridiplantae investigated. Genes enriched with methylated CGs (mCG)

32 were also identified in species sister to angiosperms. The proportion of genes  
33 and DNA methylation patterns associated with gbM are restricted to angiosperms  
34 with a functional CMT3 or ortholog. However, mCG-enriched genes in the  
35 gymnosperm *Pinus taeda* shared some similarities with gbM genes in *Amborella*  
36 *trichopoda*. Additionally, gymnosperms and ferns share a CMT homolog closely  
37 related to CMT2 and 3. Hence, the dependency of gbM on a CMT most likely  
38 extends to all angiosperms and possibly gymnosperms and ferns.

39

40 **Conclusions.** The resulting gene family phylogeny of CMT transcripts from the  
41 most diverse sampling of plants to date redefines our understanding of CMT  
42 evolution and its evolutionary consequences on DNA methylation. Future,  
43 functional tests of homologous and paralogous CMTs will uncover novel roles  
44 and consequences to the epigenome.

45

## 46 **BACKGROUND**

47

48 DNA methylation is an important chromatin modification that protects the genome  
49 from selfish genetic elements, is important for proper gene expression, and is  
50 involved in genome stability. In plants, DNA methylation is found at cytosines (C)  
51 in three sequence contexts: CG, CHG, and CHH (H is any nucleotide, but G). A  
52 suite of distinct *de novo* and maintenance DNA methyltransferases establish and  
53 maintain DNA methylation at these three sequence contexts, respectively.  
54 CHROMOMETHYLASES (CMTs) are an important class of plant-specific DNA  
55 methylation enzymes, which are characterized by the presence of a CHROMATIN  
56 ORGANISATION MODIFIER (CHROMO) domain between the cytosine  
57 methyltransferase catalytic motifs I and IV [1]. Identification, expression, and  
58 functional characterization of CMTs have been extensively performed in the  
59 model plant *Arabidopsis thaliana* [2, 3, 4] and in the model grass species *Zea*  
60 *mays* [5, 6, 7].

61 There are three CMT genes encoded in the *A. thaliana* genome: CMT1,  
62 CMT2, and CMT3 [2, 8, 9, 10]. CMT1 is the least studied of the three CMTs as a

63 handful of *A. thaliana* accessions contain an Evelknievel retroelement insertion or  
64 a frameshift mutation truncating the protein, which suggested that CMT1 is  
65 nonessential [8]. The majority of DNA methylation at CHH sites (mCHH) at long  
66 transposable elements in pericentromeric regions of the genome is targeted by a  
67 CMT2-dependent pathway [3, 4]. Allelic variation at CMT2 has been shown to  
68 alter genome-wide levels of CHH DNA methylation (mCHH), and plastic alleles of  
69 CMT2 may play a role in adaptation to temperature [11, 12, 13]. In contrast, DNA  
70 methylation at CHG (mCHG) sites is often maintained by CMT3 through a  
71 reinforcing loop with histone H3 lysine 9 di-methylation (H3K9me2) catalyzed by  
72 the KRYPTONITE (KYP)/SU(VAR)3-9 HOMOLOG 4 (SUVH4), SUVH5 and  
73 SUVH6 lysine methyltransferases [2, 6, 14, 15]. In *Z. mays*, ZMET2 is a  
74 functional homolog of CMT3 and catalyzes the maintenance of mCHG [5, 6, 7]. A  
75 paralog of ZMET2, ZMET5, contributes to the maintenance of mCHG to a lesser  
76 degree in *Z. mays* [5, 7]. Homologous CMTs have been identified in other  
77 flowering plants (angiosperms) [16, 17, 18, 19]: the moss *Physcomitrella patens*,  
78 the lycophyte *Selaginella moellendorffii*, and the green algae *Chlorella sp.*  
79 NC64A and *Volvox carteri* [20]. The function of CMTs in species sister to  
80 angiosperms (flowering plants) is poorly understood. However, in at least *P.*  
81 *patens* a CMT protein contributes to mCHG [21].

82 A large number of genes in angiosperms exclusively contain CG DNA  
83 methylation (mCG) in the transcribed region and a depletion of mCG from both  
84 the transcriptional start and stop sites (referred to as “*gene body DNA*  
85 *methylation*”, or “*gbM*”) [22, 23, 24, 25]. GbM genes are generally constitutively  
86 expressed, evolutionarily conserved, and typically longer than un-methylated  
87 genes [25, 26, 27]. How gbM is established and subsequently maintained is  
88 unclear. However, recently it was discovered that CMT3 has been independently  
89 lost in two angiosperm species belonging to the Brassicaceae family of plants  
90 and this coincides with the loss of gbM [19, 25]. Furthermore, *A. thaliana* and  
91 closely related Brassicaceae species have reduced levels of mCHG on a per  
92 cytosine basis, but still possess CMT3 [19, 25], which indicates changes at the  
93 molecular level may have disrupted function of CMT3. This has led to a

94 hypothesis that the evolution of gbM is linked to incorporation/methylation of  
95 histone H3 lysine-9 di-methylation (H3K9me2) in gene bodies with subsequent  
96 failure of INCREASED IN BONSAI METHYLATION 1 (IBM1) to de-methylate  
97 H3K9me2 [19, 28]. This provides a substrate for CMT3 to bind and methylate  
98 DNA, and through an unknown mechanism leads to mCG. MCG is maintained  
99 over evolutionary timescales by the CMT3-dependent mechanism and during  
100 DNA replication by the maintenance DNA METHYTRANSFERASE 1 (MET1).  
101 Methylated DNA then provides a substrate for binding by KRYPTONITE (KYP)  
102 and related family members through their SRA domains, which increases the rate  
103 at which H3K9 is di-methylated [29]. Finally, mCG spreads throughout the gene  
104 over evolutionary time [19]. A similar model was previously proposed, which links  
105 gene body mCG with transcription, mCHG, and IBM1 activity [28].

106 Previous phylogenetic studies have proposed that CMT1 and CMT3 are  
107 more closely related to each other than to CMT2, and that ZMET2 and ZMET5  
108 proteins are more closely related to CMT3 than to CMT1 or CMT2 [5], and the  
109 placement of non-seed plant CMTs more closely related to CMT3 [21]. However,  
110 these studies were not focused on resolving phylogenetic relationships within the  
111 CMT gene family, but rather relationships of CMTs between a handful of species.  
112 These studies have without question laid the groundwork to understand CMT-  
113 dependent DNA methylation pathways and patterns in plants. However, the  
114 massive increase in transcriptome data from a broad sampling of plant species  
115 together with advancements in sequence alignment and phylogenetic inference  
116 algorithms have made it possible to incorporate thousands of sequences into a  
117 single phylogeny, allowing for a more complete understanding of the CMT gene  
118 family. Understanding the evolutionary relationships of CMT proteins is  
119 foundational for inferring the evolutionary origins, maintenance, and  
120 consequences of genome-wide DNA methylation and gbM.

121 Here we investigate phylogenetic relationships of CMTs at a much larger  
122 evolutionary timescale using data generated from the 1KP Consortium  
123 ([www.onekp.com](http://www.onekp.com)). In the present study we have analyzed 771 mRNA transcripts  
124 and annotated genomes, identified as belonging to the CMT gene family, from an

125 extensive taxonomic sampling of 443 different species including eudicots (basal,  
126 core, rosid, and asterid), basal angiosperms, monocots and  
127 monocots/commelinid, magnoliids, gymnosperms (conifers, Cycadales,  
128 Ginkgoales), monilophytes (ferns and fern allies), lycophytes, bryophytes  
129 (mosses, liverworts and hornworts), and green algae. CMT homologs identified  
130 across Viridiplantae (land plants and green algae) indicate that CMT genes  
131 originated prior to the origin of Embryophyta (land plants) ( $\geq 480$  MYA) [30, 31,  
132 32, 33]. In addition, phylogenetic relationships suggests at least two duplication  
133 events occurred within the angiosperm lineage giving rise to the CMT1, CMT2,  
134 and CMT3 gene clades. In the light of CMT evolution we explored patterns of  
135 genomic and genic DNA methylation levels in 77 species of Viridiplantae,  
136 revealing diversity of the epigenome within and between major taxonomic  
137 groups, and the evolution of gbM in association with the origin of CMT3 and  
138 orthologous sequences.

139

## 140 **RESULTS**

141

142 **The origins of CHROMOMETHYLASES.** CMTs are found in most major  
143 taxonomic groups of land plants and some algae: eudicots, basal angiosperms,  
144 monocots and commelinids, magnoliids, gymnosperms, ferns, lycophytes,  
145 mosses, liverworts, hornworts, and green algae (Fig. 1a and Table S1). CMTs  
146 were not identified in transcriptome data sets for species sister to Viridiplantae  
147 including those belonging to Glaucophyta, red algae, Dinophyceae, Chromista,  
148 and Euglenozoa. CMTs were identified in a few green algae species: *Picocystis*  
149 *salinarum*, *Cylindrocystis* sp., and *Cylindrocystis brebissonii*. Additionally,  
150 functional CMTs – based on presence/absence of characterized protein domains  
151 – were not identified from three species within the gymnosperm order Gnetales.  
152 A transcript with a CHROMO and C-5 cytosine-specific DNA methylase domain  
153 was identified in *Welwitschia mirabilis* (Gnetales), but this transcript did not  
154 include a Bromo Adjacent Homology (BAH) domain. The BAH domain is an  
155 interaction surface that is required to capture H3K9me2, and mutations that

156 abolish this interaction causes a failure of a CMT protein (i.e., ZMET2) binding to  
157 nucleosomes and a complete loss of activity *in vivo* [6]. Therefore, although a  
158 partial sequence is present, it might represent a nonfunctional allele of a CMT.  
159 Alternatively, it might represent an incomplete transcript generated during  
160 sequencing and assembling of the transcriptome. Overall, the presence of CMT  
161 homologs across Viridiplantae and their absence from sister taxonomic groups  
162 suggest CMT evolved following the divergence of green algae [35, 35].

163 The relationships among CMTs suggest that CMT2 and the clade  
164 containing CMT1, CMT3 and ZMET arose from a duplication event at the base of  
165 all angiosperms (Fig. 1b). This duplication event might have coincided with event  
166  $\epsilon$ , the ancestral angiosperm whole genome duplication (WGD) event [36].  
167 Relationships among clades sister to angiosperm CMTs largely recapitulate  
168 species relationships (Fig. 1a) [34, 37]. However, CMTs in gymnosperms and  
169 ferns are paraphyletic (Fig. 1a). Similarly, these homologous sequences might  
170 have been derived from a WGD (i.e.,  $\zeta$ , the ancestral seed plant WGD), with one  
171 paralog being the ancestor to CMT1, CMT2 and CMT3, and ZMET [36].  
172 Previously identified CMTs in *S. moellendorffii* [20] and *P. patens* [20, 38] were  
173 identified, which are sister to clades containing CMT1, CMT2, and CMT3 and  
174 ZMET (Fig. 1a). CMTs previously identified in the green algae *Chlamydomonas*  
175 *reinhardtii*, *Chlorella* sp. NC64A and *Volvox carteri* were excluded from  
176 phylogenetic analysis because they lacked the CHROMO and other domains  
177 typically associated with CMT proteins (Figure S1). Furthermore, based on  
178 percent amino acid identity *C. reinhardtii* and *V. carteri* CMT sequences are  
179 homologous to MET1 (Table S2). Similar to *S. moellendorffii* and *P. patens* CMT  
180 sequences, green algae CMT sequences are sister to clades containing CMT1,  
181 CMT2, and CMT3 and ZMET (Figure S2). The increase taxonomic sampling re-  
182 defines relationships of CMTs in early-diverged land plants and in Viridiplantae in  
183 general [18, 20, 21, 38, 39].

184 Further diversification of CMT proteins occurred in eudicots. CMT1 and  
185 CMT3 clades contain only sequences from eudicots (Fig. 1a and b). This  
186 relationship supports the hypothesis that CMT1 and CMT3 arose from a



187 duplication event shared by all eudicots. Thus, CMT1 and CMT3 might be the  
188 result of the  $\gamma$  WGD event at the base of eudicots [36]. Synteny between CMT1  
189 and CMT3, despite ~125 million years of divergence, further supports this  
190 hypothesis (Figure S3a). Not all eudicots possess CMT1, CMT2, and CMT3, but  
191 rather exhibit CMT gene content ranging from zero to three (Figure S4a). Also,  
192 many species possess multiple copies of CMT1, CMT2, or CMT3. The  
193 presence/absence of CMTs might represent differences in transcriptome  
194 sequencing coverage or spatial and temporal divergence of expression.  
195 However, CMT2, CMT3, and homologous proteins have functions in methylating  
196 a significant number of non-CG sites throughout the entire genome and thus are  
197 broadly expressed in *A. thaliana*, *Z. mays* and other species [8, 6, 18, 25].  
198 Additionally, eudicot species with sequenced and assembled genomes show  
199 variation in the presence/absence and copy number of CMTs. Hence the type of  
200 tissue(s) used in transcriptome sequencing ([www.onekp.com](http://www.onekp.com)) would have limited  
201 biases against CMTs, suggesting that the variation reflects presence/absence at  
202 a genetic level.

203 The *Z. mays* in-paralogs ZMET2 and ZMET5, and closely related CMTs in  
204 other monocots, commelinids, and magnoliids form a well-supported  
205 monophyletic clade (Fig. 1a and Figure S3b and c). In addition to *Z. mays*, in-  
206 paralogs were identified in *Sorghum bicolor* and *Brachypodium distachyon* (Fig.  
207 1a and Figure S3b). Relationships of *S. bicolor* and *Z. mays* ZMETs differed  
208 between gene and amino acid derived phylogenies (Fig. 1a and Figure S3b).  
209 However, synteny between paralogs of both species supports two independent  
210 duplications (Figure S3c). Also, paralogous ZMETs are shared across species  
211 (Figure S3b). These shared paralogs might have originated from a Poaceae-  
212 specific duplication event, which was followed by losses in some species. The  
213 contribution of each paralog to DNA methylation and other chromatin  
214 modifications remains unknown at this time.

215 Akin to eudicots, monocots and monocots/comelinids possess  
216 combinations of ZMET and CMT2 (Figure S4b). For example, the model grass  
217 species *Z. mays* has lost CMT2, whereas the closely related species *S. bicolor*

218 possess both ZMET and CMT2 (Table S1). ZMET is not strictly homologous to  
219 CMT3, and represents a unique monophyletic group that is sister to both CMT1  
220 and CMT3. However, ZMET2 is functionally homologous to CMT3 and maintains  
221 DNA methylation at CHG sites [6, 7]. Unlike CMT3, ZMET2 is associated with  
222 DNA methylation at CHH sites within some loci [7]. Given the inclusion of  
223 monocot and magnoliid species in the monophyletic ZMET clade, this dual-  
224 function is expected to be present in other monocot species, and in magnoliid  
225 species.

226 Overall, these redefined CMT clades, and monophyletic clades of broad  
227 taxonomic groups, are well supported (Fig. 1a). Thus, the identification of novel  
228 CMTs in magnoliids, gymnosperms, lycophytes, hornworts, liverworts,  
229 bryophytes, and green algae pushes the timing of evolution of CMT, and  
230 potentially certain mechanisms maintaining mCHG and mCHH, prior to the origin  
231 of Embryophyta ( $\geq 480$  million years ago [MYA]) [30, 31, 32, 33].

232

### 233 **Reduced selective constraint of CMT3 in the Brassicaceae affects gbM.**

234 Recent work has described the DNA methylomes of 34 angiosperms, revealing  
235 extensive variation across this group of plants [25]. This variation was  
236 characterized in terms of levels of DNA methylation, and number of DNA  
237 methylated genes. DNA methylation levels describe variation within a population  
238 of cells. Although ascribing genes as DNA methylated relies on levels of DNA  
239 methylation, this metric provides insights into the predominant DNA methylation  
240 pathway and expected relationship to genic characteristics [25, 26, 27]. The  
241 genetic underpinnings of this variation are not well understood, but some light  
242 has been shed through investigating DNA methylation within the Brassicaceae  
243 [19]. The Brassicaceae have reduced levels of genomic and genic levels of  
244 mCG, genome-wide per-site levels of mCHG, and numbers of gbM genes [19,  
245 25]. In at least *E. salsugineum* and *C. planisiliqua* this reduction in levels of DNA  
246 methylation and numbers of gbM genes has been attributed to the loss of the  
247 CMT3 [19]. However, closely related species with CMT3 – *Brassica oleracea*,  
248 *Brassica rapa* and *Schrenkiella parvula* – have reduced levels of gbM and



249 numbers of gbM genes compared to the sister clade of *A. thaliana*, *Arabidopsis*  
250 *lyrata*, and *Capsella rubella* (Fig. 2a and b) and overall to other eudicots [19, 25].  
251 Although CMT3 is present, changes at the sequence level, including the  
252 evolution of deleterious or functionally null alleles, could disrupt function to  
253 varying degrees. At the sequence level, CMT3 has evolved at a higher rate of  
254 molecular evolution – measured as  $dN/dS$  ( $\omega$ ) – in the Brassicaceae ( $\omega=0.175$ )  
255 compared to 162 eudicots ( $\omega=0.097$ ), with further increases in the clade  
256 containing *B. oleracea*, *B. rapa* and *S. parvula* ( $\omega=0.241$ ) compared to the clade  
257 containing *A. thaliana*, *A. lyrata* and *C. rubella* ( $\omega=0.164$ ) (Fig. 2). A low  
258 background rate of molecular evolution suggests purifying selection acting to  
259 maintain low allelic variation across eudicots. Conversely, increased rates of  
260 molecular evolution can be a consequence of positive selection. However, a  
261 hypothesis of positive selection was not preferred to contribute to the increased  
262 rates of  $\omega$  in either Brassicaceae clade (Table S3). Alternatively, relaxed  
263 selective constraint possibly resulted in an increased  $\omega$ , which might have  
264 introduced null alleles ultimately affecting function of CMT3, and in turn, affecting  
265 levels of DNA methylation and numbers of gbM genes. The consequence of the  
266 higher rates of molecular evolution in the clade containing *Brassica spp.* and *S.*  
267 *parvula* relative to all eudicots and other Brassicaceae are correlated with an  
268 exacerbated reduction in the numbers of gbM loci and their methylation levels,  
269 which suggests unique substitutions between clades or a quantitative affect to an  
270 increase in the number of substitutions. However, at least some substitutions  
271 affecting function are shared between Brassicaceae clades because both have  
272 reductions in per-site levels of mCHG [19].

273

274 **Divergence of DNA methylation patterns within gene bodies during**  
275 **Viridiplantae evolution.** Levels and distributions of DNA methylation within gene  
276 bodies are variable across Viridiplantae. Levels of mCG range from ~2% in *S.*  
277 *moellendorffi* to ~86% in *Chlorella sp.* NC64A (Fig. 3a). Other plant species fall  
278 between these two extremes (Fig. 4a) [25]. *Beta vulgaris* remains distinct among  
279 angiosperms and Viridiplantae with respect to levels of DNA methylation at all

280 sequence contexts (Fig. 3a). Similarly, *Z. mays* is distinct among monocots and  
281 monocots/commelinids (Fig. 3a). Gymnosperms and ferns possess similar levels  
282 of mCG to mCHG within gene bodies and levels of mCHG qualitatively parallel  
283 those of mCG similar to observations in recently published study (Fig. 3a and  
284 Figure S5) [40]. A similar pattern is observed in *Z. mays*. However, this pattern is  
285 not shared by other monocots/commelinids [25]. High levels of mCHG is  
286 common across the gymnosperms and ferns investigated in this study, and tends  
287 to be higher than levels observed in angiosperms (Fig. 3a and Figure S5) [25].  
288 DNA methylation at CG, CHG and CHH sites within gene bodies was detected in  
289 the liverwort *Marchantia polymorpha* (Fig. 3a). Furthermore, DNA methylation at  
290 CG sites was not detected in the *P. patens* when all genes are considered (Fig.  
291 3a). Overall, increased taxonomic sampling has revealed natural variation  
292 between and within groups of Viridiplantae.

293 Despite the presence of mCG within the gene bodies of angiosperms,  
294 gymnosperms, ferns, lycophytes, liverworts, and green algae; the distributions  
295 across gene bodies differ (Fig. 3b). In angiosperms (eudicots, commelinids,  
296 monocots and basal angiosperms) CG DNA methylation is depleted at the  
297 transcriptional start and termination sites (TSS and TTS, respectively), and  
298 gradually increases towards the center of the gene body (Fig. 3b). In the basal  
299 angiosperm *Amborella trichopoda*, levels of mCG decline sharply prior to the TTS  
300 (Fig. 3b). Similar to angiosperms, mCG is reduced at the TSS relative to the  
301 gene body in the gymnosperm *Pinus taeda* (Fig. 4b). However, mCG is not  
302 reduced at the TTS (Fig. 3b). Additionally, DNA methylation at non-CG (mCHG  
303 and mCHH) sites is not reduced at the TSS and TTS. Little difference in mCG,  
304 mCHG, and mCHH within gene bodies, and upstream and downstream regions  
305 are observed in *S. moellendorffii* (Fig. 3b). Additionally, mCG, mCHG, and  
306 mCHH are not excluded from the TSS and TTS (Fig. 3b). As opposed to  
307 angiosperms and gymnosperms (*P. taeda*), mCG in *M. polymorpha* decreases  
308 towards the center of the gene body (Fig. 3b). This distribution also occurs for  
309 methylation at non-CG sites in *M. polymorpha* (Fig. 3b). Additionally, *M.*  
310 *polymorpha* has distinctive high levels of mCG, mCHG, and mCHH surrounding

311 the TSS and TTS (Fig. 3b). Finally, in *Chlorella* sp. NC64A, mCG is enriched at  
312 near 100% across the entire gene body (Fig. 3b).

313 The presence of mCG within gene bodies indicates that a gene could  
314 possess gbM. However, other types of DNA methylated genes contain high  
315 levels of mCG [25], thus enrichment tests were performed to identify genes that  
316 are significantly enriched for mCG and depleted of non-CG methylation (i.e., gbM  
317 genes). Genes matching this DNA methylation enrichment profile were identified  
318 in species sister to angiosperms: gymnosperms, lycophytes, liverworts, mosses  
319 and green algae (Fig. 3b). The proportion of genes within each genome or subset  
320 of the genome (*P. taeda*) was small compared to angiosperms with gbM (Fig.  
321 3b). Furthermore, the number of gbM genes was comparable to angiosperms  
322 without gbM, which suggests these identified genes are the result of statistical  
323 noise (Figure S6a). This is most likely the case for lycophytes, liverworts, mosses  
324 and green algae, since the levels of mCG within genes bodies is highly skewed  
325 (Figure S7). Additionally, the distribution of mCG and non-CG methylation across  
326 the gene body is unlike the distribution of gbM genes (Fig. 3b) [25]. However, the  
327 gymnosperm *P. taeda* shares some similarities to gbM genes of the basal  
328 angiosperm *A. trichopoda* (Fig. 3b). Hence, mCG-enriched genes identified in  
329 gymnosperms, lycophytes, liverworts, mosses and green algae are most likely  
330 not gbM.

331

332 **Correlated evolution of CMT3 and the histone de-methylase IBM1 in**  
333 **angiosperms.** The exact mechanisms by which genes are targeted to become  
334 gbM and the establishment of DNA methylation at CG sites is currently unknown.  
335 One proposed possibility is the failure of IBM1 to remove H3K9me2 within genes.  
336 This would provide the necessary substrate for CMT3 to associate with  
337 nucleosomes in genes. Due to the tight association between CMT3 and IBM1  
338 (and SUVH4/5/6) these proteins might have evolved together. Resolution of  
339 phylogenetic relationships supports monophyly of IBM1 and orthologous  
340 sequences that is unique to angiosperms (Fig. 4 and Figure S8). Furthermore,  
341 high levels of mCHG and/or similar levels of mCHG to mCG in gymnosperms,

342 ferns, *S. moellendorffii* (lycophyte), *M. polymorpha* (liverwort) and *P. patens*  
343 (moss) compared to angiosperms suggests a functionally homologous histone  
344 de-methylase is not present in these taxonomic groups and species. The  
345 absence of IBM1 in the basal-most angiosperm *A. trichopoda* and similarities of  
346 DNA methylation distribution between gbM genes and *P. taeda* mCG-enriched  
347 genes further supports a role of CMT3 and IBM1 in maintenance of mCG within  
348 gene bodies. Unlike CMT3 and IBM1, histone methylases SUVH4 and SUVH5/6  
349 are common to all taxonomic groups investigated, which suggests common  
350 ancestry and shared functions of transposon silencing (Fig. 4 and Figures S8 and  
351 S9) [22, 41, 42, 43]. However, a Brassicaceae-specific duplication event gave  
352 rise to SUVH5 and SUVH6, and other Viridiplantae possess a homologous  
353 SUVH5/6 (hSUVH5/6) (Figure S9b and c). Additionally, a duplication event  
354 shared by all monocots and monocots/commelinids generated paralogous  
355 hSUVH5/6, and additionally duplication event occurred in the Poaceae (Figure  
356 S9d). The duplication event that gave rise to ZMET paralogs in the Poaceae  
357 might have also generated the paralogous hSUVH5/6. The diversity in levels and  
358 patterns of DNA methylation within gene bodies suggests corresponding  
359 changes in function of DNA methyltransferases and/or histone de-methylases  
360 during Viridiplantae divergence. Furthermore, monophyletic, angiosperm-specific  
361 clades of a gbM-dependent CMT and IBM1 suggest co-evolution of proteins  
362 involved in the gbM pathway.

363

## 364 **DISCUSSION**

365

366 CMTs are conserved DNA methyltransferases across Viridiplantae. Evolutionary  
367 phenomenon and forces have shaped the relationships of CMTs, which have  
368 most likely contributed to functional divergence among and within taxonomic  
369 groups of Viridiplantae. Duplication events have contributed to the unique  
370 relationships of CMTs, and have given rise to clade-, family- and species-specific  
371 CMTs. This includes the eudicot-specific CMT1 and CMT3, paralogous CMTs  
372 within monocots/commelinids (ZMETs), and the *Z. mays*-specific ZMET2 and

373 ZMET5. The paralogous CMT1 and CMT3, and orthologous CMTs in monocots,  
374 monocots/commelinids, magnoliids and basal angiosperms form a superclade  
375 that is sister to CMT2. Homologous CMTs in gymnosperms and ferns are  
376 paraphyletic, and clades are sister to all CMTs – including CMT1, CMT2, CMT3  
377 and ZMET – in angiosperms. CMTs have been shown to maintain methylation at  
378 CHG sites (CMT3 and ZMET5, and hCMT $\beta$  in *P. patens*) and methylate CHH  
379 sites within deep heterochromatin (CMT2) [2, 4, 6, 11, 14, 15, 20, 21], whereas  
380 CMT1 is nonfunctional in at least *A. thaliana* accessions [8]. However, recent  
381 work has provided evidence for the role of CMT3 in the evolution of mCG within  
382 gene bodies, and specifically gbM, within angiosperms [19]. Additionally, non-  
383 neutral evolution of CMT3 can affect levels of genome-wide mCHG and within  
384 gene body mCG, and the number of gbM genes. Hence, functional divergence  
385 following duplication might be more widespread [44], and the exact fate of  
386 paralogous CMTs and interplay between paralogs in shaping the epigenome  
387 remain unknown at this time.

388 DNA methylation within genes is common in Viridiplantae. However,  
389 certain classes of DNA methylated genes might be specific to certain taxonomic  
390 groups within the Viridiplantae. GbM is a functionally enigmatic class of DNA  
391 methylated genes, which is characterized by an enrichment of mCG and  
392 depletion of non-mCG within transcribed regions and depletion of DNA  
393 methylation from all sequence contexts at the TSS and TTS. These genes are  
394 typically constitutively expressed, evolutionary conserved, housekeeping genes,  
395 which compose a distinct proportion of protein coding genes [19, 25, 26, 27, 45].  
396 GbM genes have been mostly studied in angiosperms and evidence for the  
397 existence of this class of DNA methylated gene outside of angiosperms is limited  
398 [40]. However, in the present study, genes matching the DNA methylation profile  
399 of gbM genes – enrichment of mCG and depletion of non-mCG – were identified  
400 in taxonomic groups sister to angiosperms: gymnosperms, lycophytes, liverworts,  
401 mosses and green algae. It is unclear if these genes are gbM genes in light of  
402 findings in angiosperms [19, 25, 26, 27]. For example, the low proportion of  
403 mCG-enriched genes supports the absence of gbM in gymnosperms, lycophytes,

404 liverworts, mosses and green algae. Additionally, the distribution of mCG among  
405 all genes and across the gene body of mCG-enriched genes supports the  
406 absence of gbM in lycophytes, liverworts, mosses and green algae. However,  
407 similar distributions of mCG between gbM genes in the basal angiosperm *A.*  
408 *trichopoda* and mCG-enriched genes in the gymnosperm *P. taeda* are observed,  
409 which support the presence of gbM in this species and possibly other  
410 gymnosperms. Also, a small proportion of mCG-enriched genes in gymnosperms  
411 are homologous to gbM genes in *A. thaliana* (Figure S6b). With that being said,  
412 sequence conservation is not the most robust indicator of gbM [25]. GbM genes  
413 compose a unique class of genes with predictable characteristics [19, 25, 26, 27].  
414 Through comparison of mCG-enriched genes identified in early diverging  
415 Viridiplantae to angiosperms with and without gbM, there is stronger support that  
416 this epigenetic feature is unique to angiosperms. However, future work including  
417 deeper WGBS and RNA-seq, and additional and improved genome assemblies –  
418 especially for gymnosperms and ferns – will undoubtedly contribute to our  
419 understanding of the evolution of gbM.

420 GbM is dependent on the CHG maintenance methyltransferase CMT3 or  
421 an orthologous CMT in angiosperms. Support for the dependency of gbM on  
422 CMT3 comes from the naturally occurring  $\Delta cmt3$  mutants *E. salsugineum* and *C.*  
423 *planisilqua*, which is correlated with the lack of gbM genes [19, 25]. The  
424 independent loss of CMT3 has also affected mCHG with low overall and per-site  
425 levels recorded for these species [25]. Both species belong to the Brassicaceae  
426 family, and other species within this family show reduced numbers of gbM genes  
427 compared to other eudicot and angiosperm species [25]. Although CMT3 is  
428 present in these species, relaxed selective constraint might have introduced  
429 alleles which functionally compromise CMT3 resulting in decreased per-site  
430 levels of mCHG and the number of gbM genes [25]. The functional compromises  
431 of CMT3 non-neutral evolution are shared and have diverged between clades of  
432 Brassicaceae, respectively, which might reflect shared ancestry between clades  
433 and the unique evolutionary history of each clade. Furthermore, more relaxed  
434 selective constraint – as in the *Brassica spp.* and *S. parvula* – is correlated with a



435 more severe phenotype relative to the other Brassicaceae clade. The  
436 dependency of gbM on a CMT protein might extend into other taxonomic groups  
437 of plants. Phylogenetic relationships of CMTs found in Viridiplantae and the  
438 location of *A. thaliana* CMTs support a eudicot-specific, monophyletic CMT3  
439 clade. The CMT3 clade is part of a superclade, which includes a monophyletic  
440 clade of monocot (ZMET) and magnoliid CMTs, and a CMT from the basal  
441 angiosperm *A. trichopoda*. Thus, the CMT-dependent gbM pathway might be  
442 specific to angiosperms. However, a homologous, closely related CMT in  
443 gymnosperms and ferns (i.e., hCMT $\alpha$ ) might have a similar function. It is  
444 conceivable that other proteins and chromatin modifications that interact with  
445 CMTs and non-CG methylation are important for the evolution of gbM, and thus  
446 have evolved together. Specifically, IBM1 that de-methylates H3K9me2 and  
447 SUVH4/5/6 that binds to H3K9me2 and methylates CHG sites both act upstream  
448 of CMT3. One proposed model for the evolution of gbM requires failure of IBM1  
449 and rare mis-incorporation of H3K9me2, which initiates mCHG by SUVH4/5/6  
450 and maintenance by CMT3 [19, 28]. IBM1 shares similar patterns and taxonomic  
451 diversity as CMT3 and orthologous CMTs involved in gbM. Also, unlike most  
452 angiosperms investigated to date – with *A. trichopoda* as the exception –  
453 gymnosperms and ferns do not possess an IBM1 ortholog, hence IBM1 might be  
454 important for the distribution of mCG within gene bodies. Furthermore, the lack of  
455 IBM1 in *A. trichopoda* and *P. taeda* might explain some similarities shared  
456 between gbM genes and mCG-enriched genes with respect to the deposition of  
457 mCG, respectively. However, the exact relationship between gbM and IBM1 is  
458 unknown and similarities in underlying nucleotide composition of genes might  
459 affect distribution of mCG. Overall, the patterns of DNA methylation within gene  
460 bodies and the phylogenetic relationships of CMTs support a CMT3 and  
461 orthologous CMT-dependent mechanism for the maintenance of gbM in  
462 angiosperms, which is stochastically initiated by IBM1.

463

464 **CONCLUSIONS**

465

466 In summary, we present the most comprehensive CMT gene-family phylogeny to  
467 date. CMTs are ancient proteins that evolved prior to the diversification of  
468 Embryophyta. A shared function of CMTs is the maintenance of DNA methylation  
469 at non-CG sites, which has been essential for DNA methylation at long  
470 transposable elements in the pericentromeric regions of the genome [6, 14, 15].  
471 However, CMTs in some species of eudicots have been shown to be important  
472 for mCG within gbM genes [19]. Refined relationships between CMT1, CMT2,  
473 CMT3, ZMET, and other homologous CMT clades have shed light on current  
474 models for the evolution of gbM, and provided a framework for further research  
475 on the role of CMTs in establishment and maintenance of DNA methylation and  
476 histone modifications. Patterns of DNA methylation within gene bodies have  
477 diverged between Viridiplantae. Other taxonomic groups do not share the pattern  
478 of mCG associated with gbM genes in the majority of angiosperms, which further  
479 supports specificity of gbM in angiosperms. However, genic DNA methylation  
480 commonalities between angiosperms and other taxonomic groups were  
481 identified. DNA methylation within gene bodies and its consequences of or  
482 relationship to expression and other genic features has been extensively studied  
483 in angiosperms [25] and shifting focus to other taxonomic groups of plants for  
484 deep methylome analyses will aid in understanding the shared consequences of  
485 genic DNA methylation. Understanding the evolution of additional chromatin  
486 modifiers will undoubtedly unravel the epigenome and reveal unique  
487 undiscovered mechanisms.

488

## 489 **METHODS**

490

491 **1KP sequencing, transcriptome assembling and orthogrouping.** The One  
492 Thousand Plants (1KP) Consortium includes assembled transcriptomes and  
493 predicted protein coding sequences from a total of 1329 species of plants (Table  
494 S1). Additionally, gene annotations from 24 additional species – *Arabidopsis*  
495 *lyrata*, *Brachypodium distachyon*, *Brassica oleracea*, *Brassica rapa*, *Citrus*  
496 *clementina*, *Capsella rubella*, *Cannabis sativa*, *Cucumis sativus*, *Eutrema*

497 *salsugineum*, *Fragaria vesca*, *Glycine max*, *Gossypium raimondii*, *Lotus*  
498 *japonicus*, *Malus domestica*, *Marchantia polymorpha*, *Medicago truncatula*,  
499 *Panicum hallii*, *Panicum virgatum*, *Pinus taeda*, *Physcomitrella patens*, *Ricinus*  
500 *communis*, *Setaria viridis*, *Selaginella moellendorffii*, and *Zea mays* – were  
501 included (<https://phytozome.jgi.doe.gov/pz/portal.html> and  
502 <http://pinegenome.org/pinerefseq/>). The CMT gene family was extracted from the  
503 previously compiled 1KP orthogroupings using the *A. thaliana* gene identifier for  
504 CMT1, CMT2 and CMT3. A single orthogroup determined by the 1KP  
505 Consortium included all three *A. thaliana* CMT proteins, and a total of 5383  
506 sequences. Sequences from species downloaded from Phytozome, that were not  
507 included in sequences generated by 1KP, were included to the gene family  
508 through reciprocal best BLAST with *A. thaliana* CMT1, CMT2 and CMT3. In total  
509 the CMT gene family included 5449 sequences from 1043 species. We used the  
510 protein structure of *A. thaliana* as a reference to filter the sequences found within  
511 the CMT gene family. Sequences were retained if they included the same base  
512 PFAM domains as *A. thaliana* – CHROMO, BAH, and C-5 cytosine-specific DNA  
513 methylase domains – as identified by Interproscan [46]. These filtered sequences  
514 represent a set of high-confident, functional, ideal CMT proteins, which included  
515 771 sequences from 432 species, and were used for phylogenetic analyses.

516

517 **Phylogeny construction.** To estimate the gene tree for the CMT sequences, a  
518 series of alignment and phylogenetic estimation steps were conducted. An initial  
519 protein alignment was carried out using Pasta with the default settings [47]. The  
520 resulting alignment was back-translated using the coding sequence (CDS) into  
521 an in-frame codon alignment. A phylogeny was estimated by RAxML [48] (-m  
522 GTRGAMMA) with 1000 rapid bootstrap replicates using the in-frame alignment,  
523 and with only the first and second codon positions. Long branches can effect  
524 parameter estimation for the substitution model, which can in turn degrade  
525 phylogenetic signal. Therefore, phylogenies were constructed with and without  
526 green algae species, and were rooted to the green algae clade or liverworts,  
527 respectively. The species *Balanophora fungosa* has been reported to have a high

528 substitution rate, which can also produce long branches, and was removed prior  
529 to phylogenetic analyses. Identical workflows were used for jumonji (jnjC)  
530 domain-containing (i.e., IBM1), SUVH4, and SUVH5/6 gene families.

531

532 **Codon analysis.** Similar methodology as described above was used to construct  
533 phylogenetic trees for testing hypotheses on the rates of evolution in a  
534 phylogenetic context. However, the program Gblocks [49] was used to identify  
535 conserved codons. The parameters for Gblocks were kept at the default settings,  
536 except allowing for 50% gapped positions. The program Phylogenetic Analysis  
537 by Maximum Likelihood (PAML) [50] was used to test branches (branch test) and  
538 sites along branches (branch-site test) for deviations from the background rate of  
539 molecular evolution ( $\omega$ ) and for deviations from the neutral expectation,  
540 respectively. Branches tested and a summary of each test can be found in Table  
541 S3.

542

543 **MethylC-seq.** MethylC-seq libraries were prepared according to the following  
544 protocol [51]. For *A. thaliana*, *A. trichopoda*, *Chlorella* sp. NC64A, *M.*  
545 *polymorpha*, *P. patens*, *P. taeda*, *S. moellendorffii*, and *Z. mays* reads were  
546 mapped to the respective genome assemblies. *P. taeda* has a large genome  
547 assembly of ~23 Gbp divided among ~14k scaffolds  
548 ([http://dendrome.ucdavis.edu/ftp/Genome\\_Data/genome/pinerefseq/Pita/v1.01/R](http://dendrome.ucdavis.edu/ftp/Genome_Data/genome/pinerefseq/Pita/v1.01/R_EADME.txt)  
549 [EADME.txt](http://dendrome.ucdavis.edu/ftp/Genome_Data/genome/pinerefseq/Pita/v1.01/R_EADME.txt)). Due to computational limitations imposed by the large genome size  
550 only 4 Gbp of the *P. taeda* genome assembly was used for mapping, which  
551 includes 2411 (27%) of the high quality gene models. Prior to mapping for  
552 species with only transcriptomes each transcript was searched for the longest  
553 open reading frame from all six possible frames, and only transcripts beginning  
554 with a start codon and ending with one of the three stop codons were kept. All  
555 sequencing data for each species was aligned to their respective transcriptome  
556 or species within the same genus using the methylpy pipeline [52]. All MethylC-  
557 seq data used in this study can be found in Tables S4 and S5. Weighted  
558 methylation was calculated for each sequence context (CG, CHG and CHH) by

559 dividing the total number of aligned methylated reads by the total number of  
560 methylated plus un-methylated reads. Since, per site sequencing coverage was  
561 low – on average  $\sim 1\times$  – subsequent binomial tests could not be performed for the  
562 majority of species to bin genes as gbM [25]. To investigate the affect of low  
563 coverage we compared levels of DNA methylation of  $1\times$  randomly sampled  
564 MethylC-seq reads to actual levels for 32 angiosperm species, *S. moellendorffii*  
565 (lycophyte), *M. polymorpha* (liverwort) and *Chlorella* sp. NC64A (green algae)  
566 [19, 20, 25, 40]. Specifically, a linear model was constructed between deep ( $x$ )  
567 and  $1\times$  ( $y$ ) sequencing coverage, which was then used to extrapolate levels of  
568 DNA methylation and 95% confidence intervals (CI) from low sequence coverage  
569 species (Figure S10 and Tables S5).

570

571 **Genic DNA methylation analyses and metaplots.** DNA methylation was  
572 estimated as weighted DNA methylation, which is the total number of aligned  
573 DNA methylated reads divide by the total number of methylated plus un-  
574 methylated reads. This metric of DNA methylation was estimated for each  
575 sequence context within coding regions. For *P. taeda* only high quality gene  
576 models were used, since low quality models cannot distinguish between  
577 pseudogenes and true protein coding genes. For genic metaplots, the gene body  
578 – start to stop codon – was divided into 20 windows. Additionally, for species with  
579 assembled and annotated genomes regions 1000 or 4000 bp upstream and  
580 downstream were divided into 20 windows. Weighted DNA methylation was  
581 calculated for each window. The mean weighted methylation for each window  
582 was then calculated for all genes and plotted in R v3.2.4 ([https://www.r-](https://www.r-project.org/)  
583 [project.org/](https://www.r-project.org/)).

584

585 **mCG-enrichment test.** Sequence context enrichment for each gene was  
586 determined through a binomial test followed by Benjamini–Hochberg FDR [25,  
587 26]. A context-specific background level of DNA methylation determined from the  
588 coding sequence was used as a threshold in determining significance. Genes  
589 were classified as mCG-enriched/gbM if they had reads mapping to at least 10

590 CG sites and a q-value  $\leq 0.05$  for mCG, and a q-value  $\geq 0.05$  for mCHG and  
591 mCHH.

592

## 593 **DECLARATIONS**

594

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606

607 **Availability of data and materials.** Genome browsers for all methylation data  
608 used in this paper are located at Plant Methylation DB  
609 (<http://schmitzlab.genetics.uga.edu/plantmethyomes>). Sequence data for  
610 MethylC-seq are located at the Gene Expression Omnibus, accession  
611 GSE81702.

612

613 **Authors' contributions.** Conceptualization: AJB, and RJS; Performed  
614 experiments: AJB, CEN, LJ, and NAR; Data Analysis: AJB, CEN, and LJ; Writing  
615 – Original Draft: AJB; Writing – Review and Editing: AJB, JL-M, and RJS;  
616 Resources: JL-M, and RJS. All authors read and approved the final manuscript.

617

618 **Competing interests.** The authors declare that they have no competing  
619 interests.

620



621 **Ethics approval.** Ethics approval was not needed for this study.

622

## 623 **FIGURE LEGENDS**

624

625 **Fig. 1. Phylogenetic relationships of CMTs across Embryophyta.** **a**, CMTs  
626 are separated into four monophyletic clades based on bootstrap support and the  
627 relationship of *A. thaliana* CMTs: (i) the gbM-dependent CMT superclade with  
628 subclades CMT1, CMT3, ZMET and *A. trichopoda*; (ii) CMT2 and; (iii)  
629 homologous (hCMT)  $\alpha$  and  $\beta$ . CMT1 and CMT3 clades only contain eudicot  
630 species of plants suggesting a eudicot-specific duplication event that occurred  
631 after the divergence of eudicots from monocots and monocots/commelinids.  
632 Sister to CMT1 and CMT3 is the monophyletic group ZMET, which contains  
633 monocots, monocots/commelinids, and magnoliids. CMT2 is sister to CMT1 and  
634 CMT3. Lastly, the polyphyletic hCMT clades are sister to all previously  
635 mentioned clades. HCMT $\alpha$  is sister to CMT2 and the CMT superclade and  
636 contains gymnosperm and ferns. HCMT $\beta$  contains gymnosperms, ferns and  
637 other early diverging land plants. **b**, A collapsed CMT gene family tree showing  
638 the seven clades described in **a**. Pie charts represent species diversity within  
639 each clade, and are scaled to the number of species. Two duplication events  
640 shared by all angiosperms ( $\epsilon$ ) and eudicots ( $\square$ ) gave rise to what is now referred  
641 to as CMT1, CMT2 and CMT3. These duplication events correspond to what was  
642 reported by Jiao et al. (2011). Values at nodes in **a** and **b** represent bootstrap  
643 support from 1000 replicates, and **a** was rooted to the clade containing all  
644 liverwort species.

645

646 **Fig. 2. Non-neutral evolution of CMT3 in the Brassicaceae is correlated with**  
647 **reduced levels of genic mCG and numbers of gbM loci.** **a**, Distribution of  
648 mCG upstream, downstream and within gene bodies of Brassicaceae species  
649 and outgroup species *Prunus persica*. MCG levels within gene bodies of  
650 Brassicaceae species are within the bottom 38% of 34 angiosperms. Data used  
651 represents a subset of that previously published by [19] and [25]. TSS:

652 transcriptional start site; and TTS: transcriptional termination site. **b**, Similarly the  
653 number of gbM genes within the genome of Brassicaceae species are within the  
654 bottom 15% of 34 angiosperms. The size of the circle corresponds to the number  
655 of gbM genes within each genome. Data used represents a subset of that  
656 previously published by [19] and [25]. **c**, Changes at the amino acid level of  
657 CMT3 is correlated to reduced genic levels of DNA methylation and number of  
658 gbM genes in the Brassicaceae. An overall higher rate of molecular evolution  
659 measured as the number of non-synonymous substitutions per non-synonymous  
660 site divided by the number of synonymous substitutions per synonymous site ( $\omega$ )  
661 was detected in the Brassicaceae. Also, a higher rate ratio of  $\omega$  was detected in  
662 the Brassicaceae clade containing *B. rapa* and closely related species compared  
663 to the clade containing *A. thaliana* and closely related species. The higher rate  
664 ratio in the Brassicaceae, compared the background branches, was not attributed  
665 to positive selection.

666

667 **Fig. 3. Variation in levels of DNA methylation within gene bodies across**  
668 **Viridiplantae.** **a**, DNA methylation at CG, CHG, and CHH sites within gene  
669 bodies can be found at the majority of species investigated. Variation of DNA  
670 methylation levels within gene bodies at all sequence contexts is high across all  
671 land plants, and within major taxonomic groups. mCG levels are typically higher  
672 than mCHG, followed by mCHH. However, levels of mCG and mCHG within  
673 genes are similar in gymnosperms and ferns. Error bars represent 95%  
674 confidence intervals for species with low sequencing coverage. Cladogram was  
675 generated from Open Tree of Life [53]. **b**, The distribution of DNA methylation  
676 within genes (all [dashed lines] and mCG-enriched/gbM [solid lines]) has  
677 diverged among taxonomic groups of Viridiplantae represented by specific  
678 species. Based on the distribution of DNA methylation, and number of mCG-  
679 enriched genes, gbM is specific to angiosperms. However, mCG-enriched genes  
680 in *P. taeda* share some DNA methylation characteristics to *A. trichopoda*.  
681 However, other characteristics associated with gbM genes remains unknown at  
682 this time for mCG-enriched genes in gymnosperms and other early diverging

683 Viridiplantae. The yellow-highlighted line represents the average from 100  
684 random sampling of 100 gbM genes in angiosperms and was used to assess  
685 biases in numbers of mCG-enriched genes identified. NCR: non-conversion rate;  
686 TSS: transcriptional start site; and TTS: transcriptional termination site.

687

688 **Fig. 4. Presence/absence (+/-) of genes likely involved in the evolution of**  
689 **gbM and heterochromatin formation for various taxonomic groups of**  
690 **Viridiplantae.** Families (orthogroups) of gbM- and heterochromatin-related  
691 genes are taxonomically diverse. However, after phylogenetic resolution, clades  
692 containing proteins of known function in *A. thaliana* are less diverse. Specifically,  
693 the CMT3 and orthologous genes (ZMET2 and ZMET5, and *A. trichopoda*  
694 CMT3), and IBM1 are angiosperm-specific. Other clades – SUVH4 and  
695 homologous SUVH5/6 (hSUVH5/6) – are more taxonomically diverse, which  
696 might relate to universal functions in heterochromatin formation.

697

#### 698 SUPPLEMENTAL INFORMATION

699

700 **Figure S1. CMT proteins in green algae (*C. reinhardtii*, *Chlorella* NC64A,**  
701 **and *V. carteri*) might represent misidentified homologs. a,** A midpoint rooted  
702 gene tree constructed from a subset of species and green algae using protein  
703 sequences. Previously identified CMT homologs in *C. reinhardtii*, *Chlorella*  
704 NC64A, and *V. carteri* (JGI accession ids 190580, 52630, and 94056,  
705 respectively) have low amino acid sequence similarity to *A. thaliana* CMT  
706 compared to other green algae species (Table S1), which is reflected in long  
707 branches, especially for *C. reinhardtii* and *V. carteri*. Values on branches are raw  
708 branch lengths represented as amino acid substitutions per amino acid site. **b,**  
709 Protein structure of previously identified CMT homologs in *C. reinhardtii*,  
710 *Chlorella* NC64A, and *V. carteri* and those identified in green algae from the 1KP  
711 dataset. Reported CMTs in *C. reinhardtii* and *Chlorella* NC64A do not contain  
712 CHROMO domains, and the homolog in *V. carteri* does not contain any

713 recognizable PFAM domains, however BAH, CHROMO and a DNA methylase  
714 domain can all be identified in green algae CMT homologs from the 1KP dataset.

715

716 **Figure S2. Phylogenetic relationships among CMTs in Viridiplantae.** CMTs  
717 are separated into four monophyletic clades based on bootstrap support and the  
718 relationship of *A. thaliana* CMTs: (i) the gbM-dependent CMT superclade with  
719 subclades CMT1, CMT3, ZMET and *A. trichopoda*; (ii) CMT2 and; (iii)  
720 homologous (hCMT)  $\alpha$  and  $\beta$ . Values at nodes in represent bootstrap support  
721 from 1000 replicates, and the tree was rooted to the clade containing all green  
722 algae species.

723

724 **Figure S3. Syntenic relationships support a Whole Genome Duplication**  
725 **(WGD) event giving rise to CMT1 and CMT3 in eudicots and ZMET paralogs.**

726 **a**, Synteny was determined using CoGe's GEvo program, and is indicated by  
727 connected blocks. Synteny is more pronounced in some eudicots over others,  
728 which suggests sequence divergence following the shared WGD placed at the  
729 base of all eudicots [36]. **b**, Phylogenetic relationships of ZMETs in the Poaceae  
730 suggest WGD events are shared by several species and are species-specific as  
731 is the case for ZMET2 and ZMET5 in *Z. mays*. Colors following the tip labels  
732 indicate clades of paralogous ZMETs. **c**, Similarly to eudicots, WGD is supported  
733 by synteny upstream and downstream of ZMET paralogs.

734

735 **Figure S4. Presence and absence of CMTs and ZMETs in eudicots, and**  
736 **monocots and monocots/commelinids, respectively.** **a**, Eudicot (basal, core,

737 rosid, and asterid) species of plants possess different combinations of CMT1,  
738 CMT2, and CMT3. CMT3 was potentially loss from 46/262 (18%), and CMT1 is  
739 found in 106/262 (40%) of eudicot species sequenced by the 1KP Consortium.  
740 Species without CMT3 are predicted to have significantly reduced levels of gbM  
741 loci compared to eudicot species with CMT3. The presence of CMT1 in  
742 numerous species suggests a yet to be determined functional role of CMT1 in  
743 DNA methylation and/or chromatin modification. **b**, Similarly to eudicots,

744 monocots and monocots/commelinids have different combinations of CMT2 and  
745 ZMET, which may reflect differences in genome structure, and DNA methylation  
746 and chromatin modification patterns.

747

748 **Figure S5. Metagene plots of DNA methylation across gene bodies.** DNA  
749 methylation levels within all full-length coding sequences or transcripts for  
750 additional species used in this study.

751

752 **Figure S6. MCG-enriched genes in species sister to angiosperms are rare  
753 and not strongly conserved.** **a**, The proportion of mCG-enriched genes are  
754 variable across Embryophyta. However, lowest levels are seen in species null for  
755 CMT3 and that possess a non-orthologous CMT3 (white circles). Additionally,  
756 species that possess a CMT3 that has experienced elevated rates of evolution  
757 ( $\omega$ ) have a lower proportion of mCG-enriched genes (gray circles). **b**, The  
758 majority of mCG-enriched genes are orthologous to non-mCG-enriched genes in  
759 *A. thaliana* or have no hits to an *A. thaliana* gene based on an e-value of  $\leq 1E-06$ .  
760 However, *P. taeda* is an exception, which suggests some of the mCG-enriched  
761 genes are conserved to gbM genes in *A. thaliana*.

762

763 **Figure S7. MCG in genes of species sister to angiosperms are biased  
764 towards extreme low or high levels.** Distributions of mCG across all genes with  
765 sufficient coverage for species with sequenced genomes (see Methods).

766

767 **Figure S8. Jumonji (jmjC) domain-containing gene family phylogeny.** The  
768 jmjC domain-containing family contains five monophyletic clades based on the  
769 location of *A. thaliana* genes. Only angiosperm sequences can be found within  
770 the clade containing *A. thaliana* IBM1. Scale bar represents nucleotide  
771 substitutions per site.

772

773 **Figure S9. SUVH4 and SUVH5/6 gene family phylogenies.** **a**, SUVH4 gene  
774 family approximately recapitulate species relationships, and angiosperm-specific

775 monophyletic clades are not observed based on bootstrap support and the  
776 placement of *A. thaliana* SUVH4. **b**, However, Brassicaceae-specific  
777 monophyletic clades delineate SUVH5 and SUVH6, hence a homologous  
778 SUVH5/6 (hSUVH5/6) sequence is found in other Embryophyta. However, some  
779 nodes – especially those delineating monocot and monocot/commelinid  
780 hSUVH5/6 sequences – are weakly supported. **c**, Phylogenetic relationships  
781 support a Brassicaceae-specific duplication event, which gave rise to SUVH5 and  
782 SUVH6. **d**, Reanalyzing monocot and monocot/commelinid hSUVH5/6  
783 sequences increases bootstrap support delineating two monophyletic clades.  
784 This relationship is analogous to SUVH5 and SUVH6 in Brassicaceae, but  
785 encompasses all monocots and monocot/commelinids. Furthermore, Poaceae-  
786 specific monophyletic clades are observed within each of the monocot- and  
787 monocot/commelinid-specific monophyletic clades. Phylogenetic relationships  
788 support multiple duplication events in the monocots and monocot/commelinids.  
789 Values at nodes represent bootstrap support and scale bar represents nucleotide  
790 substitutions per site.

791

792 **Figure S10. A linear model to determine DNA methylation levels from low**  
793 **sequence coverage WGBS.** A strong linear correlation is observed between  
794 DNA methylation levels at CG, CHG and CHH sites determined from low,  
795 subsampled and full WGBS coverage. A linear model was generated for each  
796 sequence context, which was used to extrapolate levels of DNA methylation from  
797 species with low WGBS coverage. Each data point represents a single plant  
798 species from [19, 20, 25, 40].

799

800 **Table S1. Taxonomic, sequence, and phylogenetic summary of sequences**  
801 **used in Fig. 1 and Supplementary Fig. 2.**

802

803 **Table S2. Best BLASTp hits of published green algae CMTs suggest mis-**  
804 **annotation compared to green algae CMTs identified in the current study.**

805



806 **Table S3. A summary of branch and branch-site tests implemented in**  
807 **PAML.**

808

809 **Table S4. Reduced (1x) and deep sequencing coverage estimates of DNA**  
810 **methylation levels from 34 Viridiplantae species.**

811

812 **Table S5. DNA methylation levels of species sequenced in this study and**  
813 **the levels predicted by a context-specific linear model.**

814

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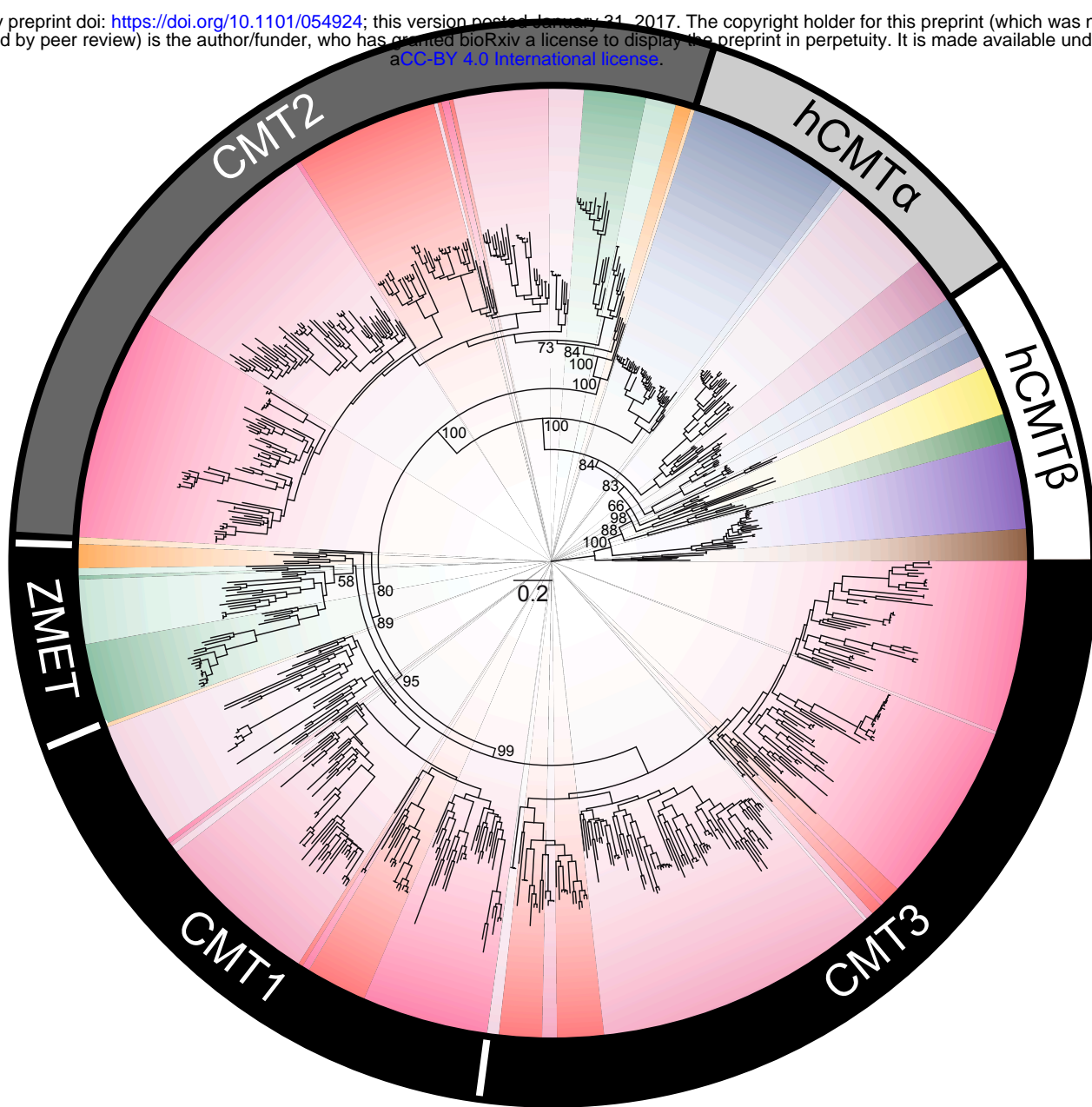
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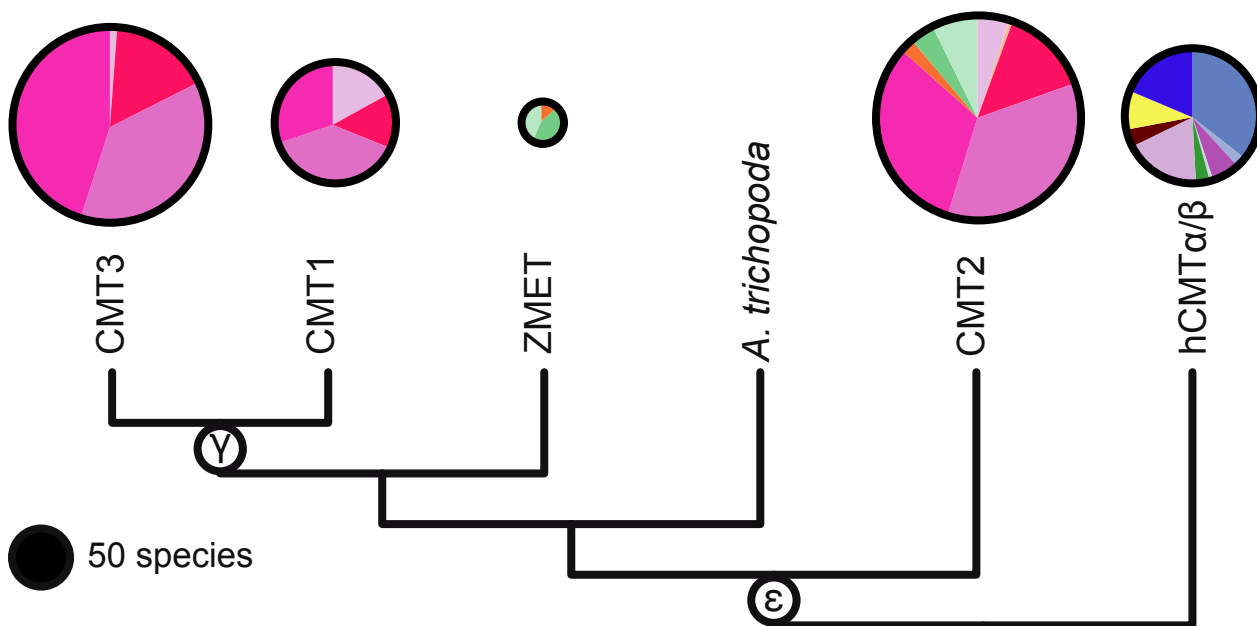
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**a**



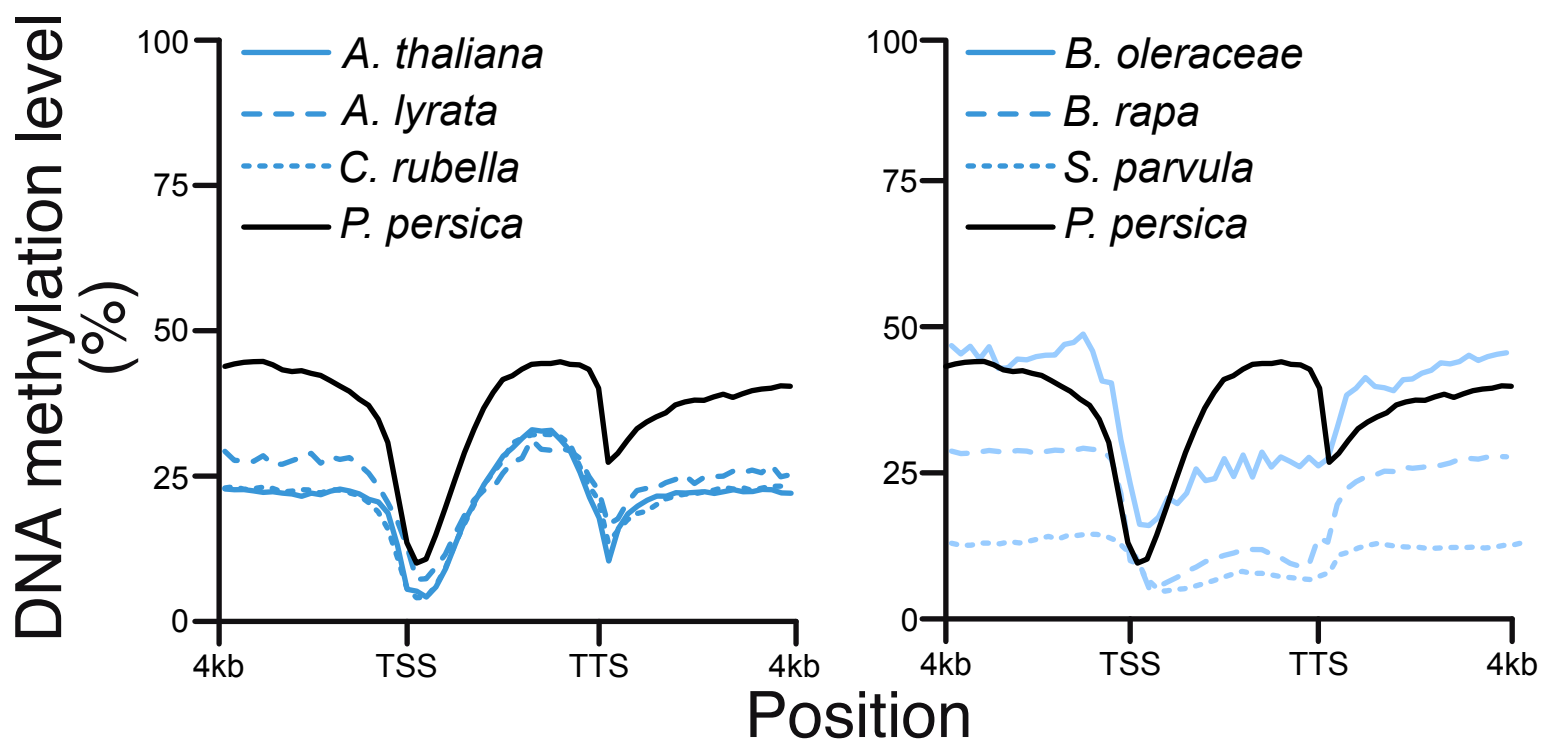
Embryophytes																
Tracheophytes											Bryophytes					
Angiosperms					Gymnosperms					Ferns	Lyc.	Hor.	Mosses	Liv.		
Eudicots			Monocots		Mag.	Bas.-mos.	Conifers	Cyc.	Gin.	Eus.	Lep.	Lyc.	Hor.	Mosses	Liv.	
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Core	Rosids	Asterids	Basal	Com.	Monocots	Mag.	Bas.-mos.	Conifers	Cyc.	Gin.	Eus.	Lep.	Lyc.	Hor.	Mosses	Liv.

**b**

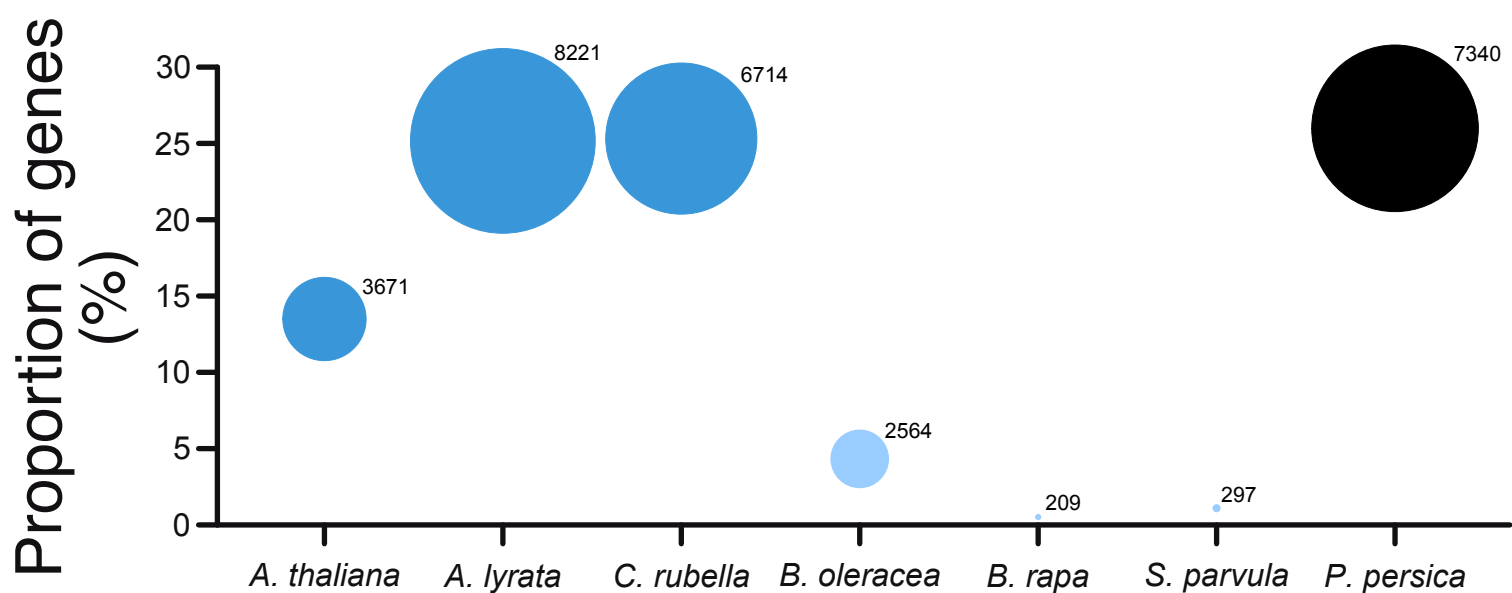




**a**



**b**



**c**

