Title: Detecting the impact of temperature on transmission of Zika, dengue and

chikungunya using mechanistic models

Short title: Temperature predicts Zika, dengue, and chikungunya transmission

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Abstract

Recent epidemics of Zika, dengue, and chikungunya have heightened the need to understand the

seasonal and geographic range of transmission by *Aedes aegypti* and *Ae. albopictus* mosquitoes.

We use mechanistic transmission models to derive predictions for how the probability and

magnitude of transmission for Zika, chikungunya, and dengue change with mean temperature,

and we show that these predictions are well matched by human case data. Across all three

viruses, models and human case data both show that transmission occurs between 18-34°C with

maximal transmission occurring in a range from 26-29°C. Controlling for population size and

two socioeconomic factors, temperature-dependent transmission based on our mechanistic model

is an important predictor of human transmission occurrence and incidence. Risk maps indicate

that tropical and subtropical regions are suitable for extended seasonal or year-round

transmission, but transmission in temperate areas is limited to at most three months per year even

if vectors are present. Such brief transmission windows limit the likelihood of major epidemics

following disease introduction in temperate zones.

Main Text

Epidemics of dengue, chikungunya, and Zika are sweeping through the Americas, and are part of a global public health crisis that places an estimated 3.9 billion people in 120 countries at risk (1). Dengue virus (DENV) distribution and intensity in the Americas has increased over the last three decades, infecting an estimated 390 million people (96 million clinical) per year (2). Chikungunya virus (CHIKV) emerged in the Americas in 2013, causing 1.8 million suspected cases from 44 countries and territories (www.paho.org). In the last year, Zika virus (ZIKV) has spread throughout the Americas, causing 688,040 suspected and confirmed cases, with many more unreported (http://ais.paho.org/phip/viz/ed_zika_cases.asp, as of November 17, 2016). The growing burden of these diseases (including links between Zika infection and both microcephaly and Guillain-Barré syndrome (3)) and potential for spread into new areas creates an urgent need for predictive models that can inform risk assessment and guide interventions such as mosquito control, community outreach, and education.

Predicting transmission of DENV, CHIKV, and ZIKV requires understanding the ecology of the vector species. For these viruses the main vector is *Aedes aegypti*, a mosquito that prefers and is closely affiliated with humans, while *Ae. albopictus*, a peri-urban mosquito, is an important secondary vector (4,5). We expect one of the main drivers of the vector ecology to be the climate, particularly temperature. Along these lines, mathematical and geostatistical models that incorporate climate information have been valuable for predicting and responding to *Aedes* spp. spread and DENV, CHIKV, and ZIKV outbreaks (5–9).

The effects of temperature in ectotherms are largely predictable from fundamental metabolic and ecological processes. Survival, feeding, development, and reproductive rates predictably respond to temperature across a variety of ectotherms, including mosquitoes (10,11).

Because these traits help to determine transmission rates, the effects of temperature on

transmission should also be broadly predictable from mechanistic models that incorporate

temperature-dependent traits. Here, we introduce a model based on this framework that

overcomes several major gaps that currently limit our understanding of climate suitability for

transmission. Specifically, we develop models of temperature-dependent transmission for *Ae.*

aegypti and *Ae. albopictus* that are (a) mechanistic, facilitating extrapolation beyond the current

disease distribution, (b) parameterized with biologically accurate unimodal thermal responses for

all mosquito and virus traits that drive transmission, and (c) validated against human dengue,

chikungunya, and Zika case data across the Americas.

We synthesize available data to characterize the temperature-dependent traits of the

mosquitoes and viruses that determine transmission intensity. With these thermal responses, we

develop mechanistic temperature-dependent virus transmission models for *Ae. aegypti* and *Ae.*

albopictus. We then ask whether the predicted effect of temperature on transmission is consistent

with patterns of actual human cases over space and time. To do this, we validate the models with

DENV, CHIKV, and ZIKV human incidence data at the country scale from the Americas from

2014-2016. To isolate temperature dependence, we also statistically controlled for population

size and two socioeconomic factors that may influence transmission. If temperature

fundamentally limits transmission potential, transmission should only occur at actual

environmental temperatures that are predicted to be suitable, and conversely, areas with low

predicted suitability should have low or zero transmission (i.e., false negative rates should be

low). By contrast, low transmission may occur even when temperature suitability is high because

other factors like vector control can limit transmission (i.e., the false positive rate should be

higher than the false negative rate). Finally, if the simple mechanistic model accurately predicts

climate suitability for transmission, then we can use it to map climate-based transmission risk of

DENV, CHIKV, ZIKV, and other emerging pathogens transmitted by *Ae. aegypti* and *Ae.*

albopictus seasonally and geographically.

Results

Temperature-dependent transmission

Data gathered from the literature (9,12–14,14–20,20–29) revealed that all mosquito traits

relevant to transmission—biting rate, egg-to-adult survival and development rate, adult lifespan,

and fecundity—respond strongly to temperature and peak between 23°C and 34°C for the two

mosquito species (Figs. 1, S1). Humidity linearly increases survival at all mosquito life stages,

but does not interact with temperature (Fig. S2). DENV extrinsic incubation and vector

competence peak at 35°C (30–36) and 31-32°C (30,31,33,37), respectively, in both

mosquitoes—temperatures at which mosquito survival is low, limiting transmission potential

(Figs. 1, S1). Appropriate thermal response data were not available for CHIKV and ZIKV

extrinsic incubation and vector competence.

Fig. 1. Thermal responses of *Ae. aegypti* and DENV traits that drive transmission (data sources

listed in Table S2). Informative priors based on data from additional *Aedes* spp. and flavivirus

studies helped to constrain uncertainty in the model fits (see Materials and Methods; Table S3).

Points and error bars indicate the data means and standard errors (for display only; models were

fit from the raw data). Black solid lines are the mean model fits; red dashed lines are the 95%

credible intervals.

We estimated the posterior distribution of $R_0(T)$ and used it to calculate the mean and

95% credible intervals (95% CI) on the critical thermal minimum, maximum, and optimum

temperature for transmission by the two mosquito species. At constant temperature, *Ae. aegypti*

transmission peaked at 29.1°C (95% CI: 28.4 – 29.8°C), and declined to zero below 17.8°C

(95% CI: 14.6 – 21.2°C) and above 34.6°C (95% CI: 34.1 – 35.6°C) (Fig. 2). *Ae. albopictus*

transmission peaked at 26.4°C (95% CI: 25.2 – 27.4°C) and declined to zero below 16.2°C (95%

CI: 13.2 – 19.9°C) and above 31.6°C (95% CI: 29.4 – 33.7°C) (Fig. 2). Overall, the thermal

response curve for *Ae. albopictus* is shifted towards lower temperatures than *Ae. aegypti,* so *Ae.*

albopictus transmission is better suited to colder environments. For a more realistic scenario in

which daily temperature ranged over 8°C, the transmission peak, minimum, and maximum were

slightly lower for both *Ae. aegypti* (28.5°C, 13.5°C, 34.2°C, respectively) and *Ae. albopictus*

(26.1°C, 11.9°C, and 28.3°C, respectively). The lower thermal maximum under fluctuating

temperatures occurs because we incorporated empirically supported irreversible lethal effects of

temperatures that exceed thermal maxima for survival (see Materials and Methods).

Fig. 2. Relative R_0 across constant temperatures (°C; top) for *Ae. albopictus* (light blue lines and

shading) and *Ae. aegypti* (dark blue lines and shading), and histograms of the posterior

distributions of the critical thermal minimum (bottom left), temperature at peak transmission

(bottom middle), and critical thermal maximum (bottom right; all in °C). Solid lines: mean

posterior estimates; dashed lines: 95% credible intervals. R_0 curves normalized to a 0-1 scale for

ease of comparison and visualization.

The posterior distribution of $R_0(T)$ allows us to evaluate uncertainty in key model

outcomes, including critical thermal minimum, maximum, and optimum. Uncertainty was higher

for the critical thermal minimum for transmission than for the maximum or optimum, and the

two mosquito species overlapped most for this outcome (Fig. 2, bottom panels). This occurred

because several trait thermal responses increase gradually from low to mid temperatures but

decline more steeply at high temperatures (Fig. 1), so uncertainty is greatest at low temperatures.

Ae. aegypti has a substantially higher optimum and maximum temperature than *Ae. albopictus*

(Fig. 2) due to its greater rates of adult survival at high temperatures (see Supplementary

Materials for sensitivity analyses).

Model validation

We used generalized linear models (GLM) to ask whether the predicted relationship

between temperature and transmission, $R_0(T)$, was consistent with observed human cases of

DENV, CHIKV, and ZIKV. Specifically, we assessed whether $R_0(T)$ was an important predictor

of the probability of autochthonous transmission occurring and of the incidence given that

transmission occurred. We also controlled for human population size, virus species, and two

socioeconomic factors. (Note that we focused on testing the $R_0(T)$ model, rather than on

constructing the best possible statistical model of human case data.) To do this, we used the

version of the *Ae. aegypti* $R_0(T)$ model that includes 8°C daily temperature range, along with

country-scale weekly case reports of DENV, CHIKV, and ZIKV in the Americas and the

Caribbean between 2014-2016. We first addressed the fact that countries with larger populations

have greater opportunities for (large) epidemics by creating two predictors that incorporate

scaled $R_0(T)$ and population size. In the models of the probability of autochthonous transmission

occurring we used the product of the posterior probability that $R_0(T) > 0$ (which we notate as

GR_0) and the log of population size (p) to give $log(p)*GR_0$. In the models of incidence given that

transmission does occur we used the log of the product of simple $R_0(T)$ and population size

$log(p*R_0(T))$. To control for several socioeconomic factors that might obscure the impact of

temperature, we also included log of gross domestic product (GDP) and log percent of GDP in

tourism (using logs to improve normality). These are potential indicators of investment in and/or

success of vector control and infrastructure improvements that prevent transmission. By

comparing models that included the $R_0(T)$ metric alone, socioeconomic factors alone, or both, we

tested whether $R_0(T)$ was an important predictor of observed transmission occurrence and

incidence (see Table S4). We used both in-sample and out-of-sample analyses to assess the fit of

each model, focusing on whether or not the $R_0(T)$ metric was included in each of the best models.

For the probability of autochthonous transmission occurring, the model that included both

the $R_0(T)$ predictor and socioeconomic predictors had overwhelming support based on Bayesian

Information Criterion (BIC; model PA5 relative probability = 1, Table S4). Based on deviance

explained, the models that included $R_0(T)$, with or without the socioeconomic predictors out-

performed the model that did not include $R_0(T)$ (Table S4; Figs. 3A, S3). In analyses of out-of-

sample accuracy, models that included the $R_0(T)$ metric (with or without the socioeconomic

factors) were surprisingly accurate. They predicted the probability of transmission with 86-91%

out-of-sample accuracy for DENV (Table S4). For CHIKV and ZIKV, models that included the

$R_0(T)$ metric or population alone had 66-69% out-of-sample accuracy (Table S4). There were no

significant differences in out-of-sample accuracy between the top four models but for both

DENV and CHIKV/ZIKV the best model was significantly better than the worst model (see

Supplementary Code for full results). The lower out-of-sample accuracy for CHIKV and ZIKV

likely reflects the much lower frequency of positive values and the lower total sample size of this

dataset. All results were similar for a set of models that separated GR_0 from population size, so

for simplicity we show the model predictors that combines GR_0 and population size here (see

Table S4 and Supplementary Code for results of other models). Together, these analyses suggest

that $R_0(T)$ is an important predictor of transmission occurrence, but that CHIKV and ZIKV need

further data to better explain the probability of transmission occurrence (Figs. 3A, S3).

Fig. 3. *Ae. aegypti* $R_0(T)$ and population size predict the probability and magnitude of

transmission of DENV, CHIKV, and ZIKV across the Americas. A, $log(p)*GR_0$ (the posterior

probability that $R_0(T) > 0$ times the log of population size) versus the probability of local

transmission in the data. B, $log(p*R_0(T))$ (log of $R_0(T)$ times the population size) versus the log

of incidence, given that it exceeds the threshold for local transmission. Tick-marks and points:

human transmission occurrence and incidence data, respectively, by country-week in the

Americas and Caribbean. Lines and shaded areas: mean and 95% CI from GLM fits for DENV

(blue) and CHIKV and ZIKV (red). For simplicity, we show the models that only include the

covariates $log(p)*GR_0$ or $log(p*R_0(T))$, respectively, and do not include the socioeconomic

covariates (models PA6 and IM4 in Table S4). For each case report data point, $log(p)*GR_0$ and

$log(p*R_0(T))$ were calculated at the mean temperature 10 weeks prior to the reporting week (38).

$R_0(T)$ was also an important predictor of incidence, given that autochthonous

transmission did occur. Within-sample, incidence was best predicted by the model that included

both $R_0(T)$ and the socioeconomic predictors (model IM5 in Table S4) based on BIC (relative

probability = 1). The models that included $R_0(T)$ out-performed those that did not based on

deviance explained (Table S4). In out-of-sample validation, the models that included $R_0(T)$

explained the magnitude of incidence based on mean absolute percentage error (85-86%

accuracy versus 83% accuracy for models that did not include $R_0(T)$; Table S4), but this

difference was not statistically significant. For illustration, we show the simpler model that only

contains the $R_0(T)$ predictor in the main text (Fig. 3B; model IM1 in Table S4). Notably, the

models that contained $R_0(T)$ predicted incidence well for all three viruses, despite the lower

incidence of CHIKV and ZIKV.

The ability of the model to explain the probability and magnitude of transmission is

notable given the coarse scale of the human incidence versus mean temperature data (i.e.,

country-scale means), the lack of CHIKV- and ZIKV-specific trait thermal response data to

inform the model, the nonlinear relationship between transmission and incidence, and all the

well-documented factors other than temperature that influence transmission. Together, these

analyses show simple mechanistic models parameterized with laboratory data on the two

mosquito species and dengue virus are consistent with observed temperature suitability for

transmission. Moreover, the similar responses of human incidence of ZIKV, CHIKV, and DENV

to temperature suggest that the thermal ecology of their shared mosquito vectors is a key

determinant of outbreak location, timing, and intensity.

Mapping climate suitability for transmission

The validated model can be used to predict where transmission is not excluded (posterior

probability that $R_0(T) > 0$, a conservative estimate of transmission risk). Considering the number

of months per year at which mean temperatures do not prevent transmission, large areas of

tropical and subtropical regions, including Puerto Rico and parts of Florida and Texas, are

currently suitable year-round or seasonally (Fig. 4). These regions are fundamentally at risk for

DENV, CHIKV, ZIKV, and other *Aedes* arbovirus transmission during a substantial part of the

year (Fig. 4). Indeed, DENV, CHIKV, and/or ZIKV local transmission has occurred in Texas,

Florida, Hawaii, and Puerto Rico (www.cdc.gov). On the other hand, many temperate regions

experience temperatures suitable for transmission three months or less per year (Fig. 4), and the

virus incubation periods in humans and mosquitoes restrict the transmission window even

further. Temperature thus limits the potential for the viruses to generate extensive epidemics in

temperate areas even where the vectors are present. Moreover, many temperate regions with

seasonally suitable temperatures currently lack *Ae. aegypti* and *Ae. albopictus* mosquitoes,

making vector transmission impossible (Fig. 4, black line). The posterior distribution of $R_0(T)$

also allows us to map months of risk with different degrees of uncertainty (e.g., 97.5%, 50%, and

2.5% posterior probability that that $R_0 > 0$), ranging from the most to least conservative (Fig.

S5).

Fig. 4. Map of predicted temperature suitability for virus transmission by *Ae. albopictus* and *Ae.*

aegypti. Color indicates the consecutive months in which temperature is permissive for

transmission (predicted $R_0 > 0$) for *Aedes* spp. transmission based on the minimum likely range

(> 97.5% posterior probability that $R_0 > 0$). Black line indicates the CDC estimated range for the

two *Aedes* spp. in the United States. Model suitability predictions combine temperature mean

and 8°C daily variation and are informed by laboratory data (Figs. 1, S1) and validated against

field data (Fig. 3).

Discussion

Temperature is an important driver of—and limitation on—vector transmission, so

accurately describing the temperature range and optimum for transmission of DENV, CHIKV,

and ZIKV is critical for predicting their geographic and seasonal patterns of spread. We directly

estimated the temperature – transmission relationship using mechanistic transmission models for

each mosquito species (Fig. 2). These models are built using empirical estimates of the

(unimodal) effects of temperature on mosquito and pathogen traits that drive transmission,

including survival, development, reproduction, and biting rates (Figs. 1, S1). Because these trait

thermal responses are unimodal across the majority of ectotherm taxa and traits, and because the

traits combine nonlinearly to drive transmission, the emergent relationship between temperature

and transmission is difficult to infer directly from field data or from individual trait responses.

Here, we present a model of temperature-dependent DENV, CHIKV, and ZIKV transmission

that advances on previous models because it is mechanistic, fitted from experimental trait data,

and validated against human case data at a broad geographic scale (Fig. 3). This mechanistic

understanding is valuable for extrapolating beyond the current spatial and temporal range of

transmission (Fig. 4). Of the four previous mechanistic temperature-dependent *Ae. aegypti*

DENV or CHIKV transmission models we were able to reproduce, our model has a similar

optimum but higher critical thermal maximum than two of the models (39,40), and declines

much more steeply at high temperatures than two other models (7,9) (Fig. S6).

Even though the thermal response data are imperfect—for example, CHIKV and ZIKV

thermal response data are missing—and the human case data are reported at a coarse spatial

scale, the validation analyses suggest that $R_0(T)$ is an important predictor of both the probability

of transmission occurring and the magnitude of incidence for DENV, CHIKV, and ZIKV. This

has several key implications. First, temperature-dependent transmission is pervasive enough to

be detected at a coarse spatial scale. Second, dynamics of the mosquito predict transmission for a

suite of *Ae. aegypti*-transmitted viruses, without additional virus-specific information. Third,

climate and socio-economic factors combine to shape variation in incidence across countries.

Finally, these simple predictors explain a substantial proportion of the variance in both the

probability and intensity of transmission.

Predicting arbovirus transmission at a higher spatial resolution and precision will require

more detailed information on factors like the exposure and susceptibility of human populations,

environmental variation (e.g., oviposition habitat availability, seasonal and daily temperature

variation), and socioeconomic factors. However, as a first step our mechanistic model provides

valuable insight because it makes broad predictions about suitable environmental conditions for

transmission, it is mechanistic and grounded in experimental trait data, it is validated against

human case data, and its predictions are applicable across three different viruses. Using these

thermal response models as a scaffold, additional drivers could be incorporated to obtain more

precise and specific predictions about transmission dynamics, which could in turn be used for

public health and vector control applications. For this purpose, all code and data used in the

models are available as Supplementary Files.

The socio-ecological conditions that enabled CHIKV, ZIKV, and DENV to become the

three most important emerging vector-borne diseases in the Americas make the emergence of

additional *Aedes*-transmitted viruses likely (potentially including Rift Valley fever, yellow fever,

Uganda S, or Ross River viruses). Efforts to extrapolate and to map temperature suitability (Fig.

4) will be critical for improving management of both ongoing and future emerging epidemics.

Mechanistic models like the one presented here are useful for extrapolating the potential

geographic range of transmission beyond the current envelope of environmental conditions in

which transmission occurs (e.g., under climate change and for newly invading pathogens).

Accurately estimating temperature-driven transmission risk in both highly suitable and marginal

regions is critical for predicting and responding to future outbreaks of these and other *Aedes*-

transmitted viruses.

Materials and Methods

<u>Temperature-sensitive R_0 models</u>

We constructed temperature-dependent models of transmission using a previously

developed R_0 framework. We modeled transmission rate as the basic reproduction rate, R_0—the

number of secondary infections that would originate from a single infected individual introduced

to a fully susceptible population. In previous work on malaria, we adapted a commonly used

expression for R_0 for vector transmission to include the temperature-sensitive traits that drive

mosquito population density (11):

$$R_0(T) = \left(\frac{a(T)^2 \, b(T) \, c(T) \, e^{-\mu(T)/PDR(T)} \, EFD(T) \, p_{EA}(T) \, MDR(T)}{N \, r \, \mu(T)^3} \right)^{1/2} \qquad (1)$$

Here, (T) indicates that the trait is a function of temperature, T; a is the per-mosquito biting rate,

b is the proportion of infectious bites that infect susceptible humans, c is the proportion of bites

on infected humans that infect previously uninfected mosquitoes (i.e., $b*c$ = vector competence),

μ is the adult mosquito mortality rate (lifespan, $lf = 1/\mu$), PDR is the parasite development rate

(i.e., the inverse of the extrinsic incubation period, the time required between a mosquito biting

an infected host and becoming infectious), EFD is the number of eggs produced per female

mosquito per day, p_{EA} is the mosquito egg-to-adult survival probability, MDR is the mosquito

immature development rate (i.e., the inverse of the egg-to-adult development time), N is the

density of humans, and r is the human recovery rate. For each temperature-sensitive trait in each

mosquito species, we fit either symmetric (Quadratic, $-c(T - T_0)(T - T_m)$) or asymmetric (Brière,

$cT(T - T_0)(T_m - T)^{1/2}$) unimodal thermal response models to the available empirical data (41). In

both functions, T_0 and T_m are respectively the minimum and maximum temperature for

transmission, and c is a positive rate constant.

We consider a relativized version of the R_0 equation because absolute values of R_0

depend on additional factors not captured in our model. Therefore, $R_0 > 0$ is an absolute

threshold for whether or not transmission is possible, but the model does not predict when

transmission is stable (i.e., absolute $R_0 > 1$). While absolute estimates of R_0 are difficult to obtain

and different model formulations can produce different results, our use of relative R_0 adequately

describes the nonlinear relationship between mosquito and virus traits and transmission.

Different expressions for R_0, including the square of equation (1), map monotonically onto our

function, so they produce identical estimates for the points at which transmission declines to zero

and peaks.

We fit the trait thermal responses in equation (1) based on an exhaustive search of

published laboratory studies that fulfilled the criterion of measuring a trait at three or more

constant temperatures, ideally capturing both the rise and the fall of each unimodal curve (Tables

S1-S2). Constant-temperature laboratory conditions are required to isolate the direct effect of

temperature from confounding factors in the field and to provide a baseline for estimating the

effects of temperature variation through rate summation (42). We attempted to obtain raw data

from each study, but if they were not available we collected data by hand from tables or digitized

data from figures using WebPlotDigitizer (43). We obtained raw data from Delatte (18) and Alto

(20) for the *Ae. albopictus* egg-to-adult survival probability (*pEA*), mosquito development rate

(MDR), gonotrophic cycle duration (GCD, which we assumed was equal to the inverse of the

biting rate) and total fecundity (TFD) (Table S2). Data did not meet the inclusion criterion for

CHIKV or ZIKV vector competence (*b, c*) or extrinsic incubation period (*EIP*) in either *Ae.*

albopictus or *Ae. aegypti*. Instead, we used DENV EIP and vector competence data, combined

with sensitivity analyses.

Following Johnson *et al.* (44), we fit a thermal response for each trait using Bayesian

models. We first fit Bayesian models for each trait thermal response using uninformative priors

($T_0 \sim$ Uniform (0, 24), $T_m \sim$ Uniform (25, 45), $c \sim$ Gamma (1, 10) for Brière and $c \sim$ Gamma (1,

1) for Quadratic fits) chosen to restrict each parameter to its biologically realistic range (i.e., $T_0 <$

T_m and we assumed that temperatures below 0°C and above 45°C were lethal). Any negative

values for all thermal response functions were truncated at zero, and thermal responses for

probabilities ($p_{EA}, b,$ and c) were also truncated at one. We modeled the observed data as arising

from a normal distribution with the mean predicted by the thermal response function calculated

at the observed temperature, and the precision τ, ($\tau = 1/\sigma$), distributed as $\tau \sim$ Gamma (0.0001,

00001). We fit the models using Markov Chain Monte Carlo (MCMC) sampling in JAGS, using

the R (45) package *rjags* (46). For each thermal response, we ran five MCMC chains with a

5000-step burn-in and saved the subsequent 5000 steps. We thinned the posterior samples by

saving every fifth sample and used the samples to calculate R_0 from 15-40°C, producing a

posterior distribution of R_0 versus temperature. We summarized the relationship between

temperature and each trait or overall R_0 by calculating the mean and 95% highest posterior

density interval (HPD interval; a type of credible interval that includes the smallest continuous

range containing 95% of the probability, as implemented in the *coda* package (47)) for each

curve across temperatures.

We fit a second set of models for each mosquito species that used informative priors to

reduce uncertainty in R_0 versus temperature and in the trait thermal responses. In these models,

we used Gamma-distributed priors for each parameter T_0, T_m, c, and τ fit from an additional

'prior' dataset of *Aedes* spp. trait data that did not meet the inclusion criteria for the primary

dataset (Table S3). We found that these initial informative priors could have an overly strong

influence on the posteriors, in some cases drawing the posterior distributions well away from the

primary dataset, which was better controlled and met the inclusion criteria. We accounted for our

lower confidence in this data set by increasing the variance in the informative priors, by

multiplying all hyperparameters (i.e., the parameters of the Gamma distributions of priors for T_0,

T_m, and c) by a constant k to produce a distribution with the same mean but $1/k$ times larger

variance. We chose the value of k based on our relative confidence in the prior versus main data.

Thus we chose $k = 0.5$ for b, c, and *PDR* and $k = 0.01$ for *lf*. This is the main model presented in

the text (Fig. 2). It is comparable to some but not all previous mechanistic models for *Ae. aegypti*

and *Ae. albopictus* transmission (Fig. S6). Results of our main model, fit with informative priors,

did not vary substantially from the model fit with uninformative priors (Figs. S7-S8).

Effects of humidity on dengue R_0

Like temperature, humidity is expected to affect vector transmission via its effects on

mosquito populations. Specifically, we expected humidity to affect egg-to-adult survival and

adult survival. Using the methods described above, we extracted experimental laboratory data on

these traits measured at a range of constant humidities in the laboratory. We searched for *Aedes*

spp. survival probability data across temperature and humidity. We obtained data on *Aedes*

aegypti egg hatching probability (48) and *Anopheles gambiae* lifespan (49), which we used in

absence of *Aedes* spp. because they have a similar (tropical, anthrophilic) life history. We used

linear regression to estimate the relationship between these traits and relative humidity (%),

plugged them into the $R_0(T)$ equation (assuming the probability of egg hatching and egg-to-adult

survival have the same relationship with humidity), and plotted R_0 versus temperature across a

range of relative humidity values (Fig. S2). For the dataset that included variation in temperature

and humidity independently, there was no interaction between the unimodal temperature

response and the linear humidity response (i.e., humidity did not affect the relationship between

lifespan and temperature) (49). The resulting relationship between R_0 and relative humidity was

exponential. Humidity is not included in the main models presented in the text.

<u>Incorporating daily temperature variation in transmission models</u>

Because organisms do not typically experience constant temperature environments in

nature, we incorporated the effects of temperature variation on transmission by calculating a

daily average R_0 assuming a daily temperature range of 8°C, across a range of mean

temperatures. This range is consistent with daily temperature variation in tropical and subtropical

environments but lower than in most temperate environments. At each mean temperature, we

used a Parton-Logan model to generate hourly temperatures and calculate each temperature-

sensitive trait on an hourly basis (50). We assumed an irreversible high-temperature threshold

above which mosquitoes die and transmission is impossible (51,52). We set this threshold based

on hourly temperatures exceeding the critical thermal maximum (T_m in Tables S1-S2) for egg-to-

adult survival or adult longevity by any amount for five hours or by 3°C for one hour. We

averaged each trait over 24 hours to obtain a daily average trait value, which we used to calculate

relative R_0 across a range of mean temperatures. We used this model in the validation against

human cases (Fig. 3) and the risk map (Fig. 4).

<u>Model validation with DENV, CHIKV, and ZIKV incidence data</u>

To validate the model, we used data on human cases of DENV, CHIKV, and ZIKV at the

country scale and mean temperature during the transmission window. Using statistical models

(as described below), we estimated the effects of predicted $R_0(T)$ on the probability of local

transmission and the magnitude of incidence, controlling for population size and several

socioeconomic factors. We downloaded and manually entered Pan American Health

Organization (PAHO) weekly case reports for DENV and CHIKV for all countries in the

Americas (North, Central, and South America and the Caribbean Islands) from week 1 of 2014

to week 8 of 2015 for CHIKV and from week 52 of 2013 to week 47 of 2015 for DENV

(www.paho.org). ZIKV weekly case reports for reporting districts (e.g., provinces) within

Colombia, Mexico, El Salvador, and the US Virgin Islands were available from the CDC

Epidemic Prediction Initiative (https://github.com/cdcepi/) from November 28, 2015 to April 2,

2016. We aggregated the ZIKV data into country-level weekly case reports to match the spatial

resolution of the DENV, CHIKV, and covariate data.

Temperature data collection

We matched the DENV, CHIKV, and ZIKV incidence data with temperature using daily

temperature data from METAR stations in each country, averaged at the country level by

epidemic week. A previous study found a six-week lagged relationship between temperature and

oviposition for *Aedes aegypti* in Ecuador (38). Assuming that the subsequent transmission,

disease development, medical care-seeking, and case reporting in humans takes an additional

four weeks, we assumed *a priori* a ten-week lag between temperature and incidence (i.e., mean

temperature for the week that is ten weeks prior to each case report). METAR stations are

internationally standardized weather reporting stations that report hourly temperature and

precipitation measures. Outlier weather stations were excluded if they reported a daily maximum

temperature below 5°C or a daily minimum temperature above 40°C during the study period,

extremes that would certainly eliminate the potential for transmission in a local area. Because

case data are reported at the country level, we needed a collection of weather stations in each

country that accurately represent weather conditions in the areas where transmission occurs,

excluding extreme areas where transmission is unlikely. For the study period of October 1, 2013

through April 30, 2016, we downloaded daily temperature data for each station from Weather

Underground using the *weatherData* package in R (53). We removed all data from Chile because

it spans so much latitude and the terrain is so diverse that its country-level mean is unlikely to be

very representative of the temperature where an outbreak occurred.

Socioeconomic covariate data

We accessed available data on projected 2016 gross domestic product (GDP) for

countries of interest via the International Monetary Fund's World Economic Outlook Database

(http://www.imf.org/external/ns/cs.aspx?id=28). The direct and total contributions of tourism to

GDP in 2016 were compiled from World Travel and Tourism Council economic impact reports

(http://www.wttc.org/research/economic-research/economic-impact-analysis/country-

reports/#undefined). We retrieved population size data for 2013-2015 from the United Nations

Population Division (https://esa.un.org/unpd/wpp/Download/Standard/Population/) and averaged

them across the three years for each country.

Validation analyses with human incidence versus temperature datasets

To validate the $R_0(T)$ model while controlling for population and socio-economic factors,

we used generalized linear regression on the weekly case count data. Importantly, we focused on

testing whether the case counts were consistent with the transmission – temperature relationship

predicted from our model, rather than on maximizing the variation explained in the statistical

model. We are more specifically interested in understanding autochthonous transmission (i.e.,

locally acquired, not just imported cases). We set country-level thresholds for the number of

cases defining autochthonous transmission for our three diseases separately, based on current

transmission understanding: seven cases of CHIKV, 70 cases of DENV, and three cases of

ZIKV. The resulting data consisted of zeros for no transmission and positive case counts when

transmission is presumed to be occurring. To model these data, we used a hurdle model that first

uses logistic regression on the presence/absence of local transmission data to understand the

factors correlated with local transmission occurring or not (PA analysis). Then we modeled the

log of incidence (number of new cases per reporting week) for positive values with a gamma

generalized linear models (GLM; i.e., incidence analysis).

We were interested in understanding whether $R_0(T)$ was an important predictor of human

transmission occurrence and incidence, after controlling for potentially confounding factors like

population size and socioeconomic conditions. To do this, we fit a series of models with different

subsets of predictors that included $R_0(T)$, the socioeconomic variables with population, or both

(see Table S4 for full models). To control for human population size, we created new metrics

based on $R_0(T)$ and population size to use for validation against the PAHO incidence data. We

define GR_0, which is the posterior probability that $R_0(T) > 0$. We use $log(p)*GR_0$, where p is the

population size, as the relevant R_0-based predictor for the PA analysis. For the incidence

analysis, we instead use $log(p*R_0(T))$ as the predictor. In all cases log refers to the natural

logarithm. For simplicity, we refer to these as the $R_0(T)$ metrics hereafter and in the Results.

In both the PA and incidence analyses, we first used the full data sets to examine which

of the candidate models best described the data. Randomized quantile residuals indicated that the

logistic and gamma GLM models were performing adequately. We compared the approximate

model probabilities, calculated from the BIC scores, as well as the proportion of deviance

explained (D^2) from each model. Next we examined the performance of the models in predicting

out of sample, for both PA and incidence analyses. To do this we created 1000 random

partitions, where 90% of the data were used to train the model and 10% were used for testing. In

the PA analyses we classified each partition based on presence/absence, with separate

classification thresholds for DENV versus CHIKV/ZIKV as these grouping had much different

probabilities of occurrence. We assessed the performance of the model for the PA analysis based

on the mean misclassification rate. In the incidence analyses we assessed the model performance

based on the predictive mean absolute percentage error (MAPE). Since differences in prediction

success between the models in both the PA and incidence analyses were not statistically

significant, we present the simpler models that only include the $R_0(T)$ metrics in the main text

(Fig. 3) and the models that additionally include socioeconomic covariates in the Supplementary

Information (Figs. S3-S4). We plotted the model predictions as a function of the $R_0(T)$ metrics

together with the observed data for the PA and incidence analyses using the R package *visreg*

(54).

<u>Mapping temperature suitability for transmission</u>

Using our validated model, we were interested in where the temperature was suitable for

Ae. aegypti and/or *Ae. albopictus* transmission for some or all of the year to predict the potential

geographic range of outbreaks in the Americas. We visualized the minimum, median, and

maximum extent of transmission based on probability of occurrence thresholds from the R_0

models for both mosquitoes. We calculated the number of consecutive months in which the

posterior probability of $R_0 > 0$ exceeds a threshold of 0.025, 0.5, or 0.975 for both mosquito

species, representing the maximum, median, and minimum likely ranges, respectively. The

minimum range is shown in Fig. 4 and all three ranges are overlaid in Fig. S5. This analysis

indicates the predicted seasonality of temperature suitability for transmission geographically, but

does not indicate its magnitude. To generate the maps, we cropped monthly mean temperature

rasters from 1950-2000 for all twelve months (Worldclim; www.worldclim.org/) to the Americas

(*R*, *raster* package, *crop* function) and assigned cells values of 1 or 0 depending on whether the

probability that $R_0 > 0$ exceeded the threshold at the temperatures in those cells (Table S3). We

then synthesized the monthly grids into a single raster that reflected the maximum number of

consecutive months where cell values equaled 1. The resulting rasters were plotted in ArcGIS

10.3, overlaying the three cutoffs (Figure 3). We repeated this process for both mosquito species.

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Author contributions:

EAM, MBT, VS, SJR, LRJ, ASI, and JRR designed the research. JMC, MVE, PG, CAL, KM,

CCM, MSS, DPW, and EAM conducted the research. EAM wrote the first draft of the paper and

all authors contributed to revisions.

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Figure 1

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Figure 2

672 **Figure 3**

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675   **Figure 4**

A. *Aedes albopictus*   ## B. *Aedes aegypti*

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