1	Title: Distal axotomy enhances retrograde presynaptic excitability onto
2	injured pyramidal neurons via trans-synaptic signaling
3	Authors: Tharkika Nagendran ^{1,3} , Rylan S. Larsen ^{2,3,8} , Rebecca L. Bigler ⁵ , Shawn B.
4	Frost ^{6,7} , Benjamin D. Philpot ^{2,3,4} , Randolph J. Nudo ^{6,7} , Anne Marion Taylor ^{1,3,4} *
5	Affiliations:
6	¹ UNC/NCSU Joint Department of Biomedical Engineering, UNC-Chapel Hill, Chapel
7	Hill, Campus box 7575, NC 27599-7575 USA
8	² Department of Cell Biology and Physiology, UNC-Chapel Hill, Campus box 7545,
9	Chapel Hill, NC 27599-7545 USA
10	³ UNC Neuroscience Center, Campus box 7250, UNC-Chapel Hill, Chapel Hill, NC
11	27599-7250 USA
12	⁴ Carolina Institute for Developmental Disabilities, Campus box 7255, Chapel Hill, NC
13	27599-7255 USA
14	⁵ Curriculum in Genetics and Molecular Biology, UNC-Chapel Hill, Chapel Hill, NC
15	27599 USA
16	⁶ Landon Center On Aging, University of Kansas Medical Center, 3901 Rainbow Blvd.,
17	Kansas City, KS 66160 USA
18	⁷ Department of Rehabilitation Medicine, University of Kansas Medical Center, 3901
19	Rainbow Blvd., Kansas City, KS 66160 USA
20	⁸ Present address: Allen Institute for Brain Science, 615 Westlake Ave. N, Seattle, WA
21	98109, USA
22	*To whom correspondence should be addressed: <u>anne.marion.taylor@gmail.com</u>

23 Abstract

24 Injury of CNS nerve tracts remodels circuitry through dendritic spine loss and hyper-25 excitability, thus influencing recovery. Due to the complexity of the CNS, a mechanistic 26 understanding of injury-induced synaptic remodeling remains unclear. Using microfluidic 27 chambers to separate and injure distal axons, we show that axotomy causes retrograde 28 dendritic spine loss at directly injured pyramidal neurons followed by retrograde 29 presynaptic hyper-excitability. These remodeling events require activity at the site of 30 injury, axon-to-soma signaling, and transcription. Similarly, directly injured corticospinal 31 neurons *in vivo* also exhibit a specific increase in spiking following axon injury. 32 Axotomy-induced hyper-excitability of cultured neurons coincides with elimination of 33 inhibitory inputs onto injured neurons, including those formed onto dendritic spines. 34 *Netrin-1* downregulation occurs following axon injury and exogenous netrin-1 applied 35 after injury normalizes spine density, presynaptic excitability, and inhibitory inputs at 36 injured neurons. Our findings show that intrinsic signaling within damaged neurons 37 regulates synaptic remodeling and involves netrin-1 signaling. 38

39 Introduction

40	Acquired brain injuries, such as occur in stroke and traumatic brain injury, induce
41	significant synaptic reorganization, even in uninjured cortical regions remote from the
42	site of damage ¹⁻³ . This enhanced neural plasticity supports formation of new connections
43	and expansion of cortical territories, well-described in humans using neuroimaging and
44	non-invasive stimulation techniques ^{1, 2, 4, 5} . However, the cellular mechanisms of this
45	injury-induced plasticity remain largely unknown.

46 In healthy brains, long projection neurons with somatodendritic domains housed 47 in cerebral cortex extend axons into numerous distant areas of the CNS, including the 48 spinal cord and the apposing cortical hemisphere. When these remote areas are injured, 49 long projection axons are damaged and injury signals propagate retrogradely to 50 somatodendritic domains. Retrograde injury signal propagation leads to somatic 51 responses such as chromatolysis and new transcription ^{6,7}. For example, after damage to 52 corticospinal axons resulting from spinal cord injury, dendritic spines in motor cortex 53 undergo time-dependent changes in morphology including decreased spine density and 54 alterations in spine length and diameter⁸. Loss of local GABAergic inhibition also occurs 55 at somatodendritic regions following injury, which is thought to unmask preexisting excitatory connections and result in enhanced excitability ^{2, 9, 10}. These findings suggest 56 57 that a cascade of events occurs following distal axonal injury involving retrograde axon-58 to-soma signaling and then trans-synaptic signaling from the injured neuron to uninjured presynaptic neurons causing synaptic changes and enhanced excitability. 59

Due to the heterogeneity and complexity of the CNS, intrinsic neuronal responsesto distal axon injury and their contributions to synaptic remodeling remain unclear.

62	Reduced preparations are necessary for examining neuron-specific responses and provide
63	a more experimentally tractable model system to identify and screen drugs to improve
64	neuronal function following injury. Microfluidic chambers are useful for
65	compartmentalization of many types of neurons, including cortical and hippocampal
66	neurons, and allow axons to be injured and manipulated without physically disturbing the
67	proximal neurons housed within the chamber's somatodendritic compartment ¹¹⁻¹³ .
68	Because brain injury and disease preferentially affect long projection neurons within the
69	CNS ^{14, 15} , we sought to determine the progression of events that occur intrinsically in
70	these neurons following distal axotomy that lead to synaptic remodeling.
71	Here we show that axotomized pyramidal neurons underdo dendritic spine loss
72	followed by a transsynaptic enhancement in presynaptic excitability. We find that directly
73	injured neurons preferentially exhibit enhanced excitability and our evidence suggests
74	that these synaptic remodeling events require retrograde signaling from the site of axon
75	injury to the nucleus to rapidly activate transcription. Loss of inhibitory inputs coincides
76	with enhanced presynaptic excitability. We identified <i>netrin-1</i> as significantly
77	downregulated following axotomy and found that application of exogenous netrin-1
78	protein several hours after axotomy, restored spine density and normalized presynaptic
79	excitability, including the fraction of inhibitory inputs onto injured neurons.
80	

81 **Results**

82 In vitro model to study axon injury of pyramidal neurons

83 To investigate how distal axon injury remodels synapses contacting injured

84 neurons, we used a microfluidic approach to compartmentalize cultured neurons. 85 Microfluidic chambers containing microgroove-embedded barriers approximately 900 86 μ m in length were used to compartmentalize axons independently from dendrites and 87 somata of rat central neurons as demonstrated previously (Supplementary Fig. 1a)^{11, 12,} 88 ¹⁶. Using this approach we subjected neurons to distal axotomy ~ 1 mm away from their physically undisturbed dendrites and somata ^{11, 16}. We used hippocampal neurons 89 90 harvested from embryonic rats to generate a more consistent, enriched population of 91 pyramidal neurons (85-90% pyramidal) compared with similarly harvested cortical 92 neurons. Further, hippocampal neurons exhibit morphology characteristic of maturing 93 pyramidal neurons *in vivo*¹⁷; the remaining hippocampal neurons are mostly inhibitory 94 GABAergic interneurons ¹⁸. To identify neurons with axons projecting into the axonal 95 compartment, we retrogradely labeled neurons by applying a G-deleted rabies virus 96 expressing fluorescent proteins (incompetent for trans-synaptic transfer) to the axonal 97 compartment and characterized the morphology of the labeled neurons. We found that 98 94% (42 of 45) of virally-labeled neurons were pyramidal neurons and the remaining 99 were unclassifiable (Fig. 1a). When these neurons were cultured within the microfluidic 100 chamber and axotomized within the axonal compartment (Fig. 1b), there was no loss in 101 viability post-axotomy (Supplementary Fig. 1), similar to *in vivo* findings¹⁹, and injured 102 axons regrew ^{11, 16}. Supporting the use of this approach, we previously found that 103 axotomy performed within the microfluidic chambers induced rapid expression of the immediate early gene *c*-fos 11 , as reported in vivo 20 . Neurons labeled with retrograde 104 105 tracer, Alexa 568-conjugated cholera toxin, also showed a significant decrease in Nissl staining in the somata reflective of chromatolysis at 24 h post-axotomy²¹ 106

107 (Supplementary Fig. 1). Together, this model recapitulated key features of axotomy *in*108 *vivo*.

109

110 Spine density decreases after distal axon injury

111	Decreased spine density is seen in vivo in models of traumatic brain injury and
112	spinal cord injury ^{22, 23} . To determine whether similar structural changes occur in cultured
113	pyramidal neurons following distal axotomy, we quantified spine density within the
114	somatodendritic compartment of axotomized neurons that were retrogradely labeled
115	using mCherry rabies virus. Spine density significantly declined 24 h and 48 h post-
116	axotomy compared to before axotomy (Fig. 1c,d). In contrast, uninjured control neurons
117	showed increased spine density as expected to occur during normal maturation (Fig.
118	1c,d).

119 We next analyzed specific spine types that were lost. We found a preferential loss 120 in the density of thin and stubby spines at both 24 h and 48 h post-axotomy compared to 121 pre-axotomy (Fig. 2a). The density of mushroom spines remained stable at both 24 h and 122 48h after axotomy, unlike in the uninjured control neurons where spine density of all 123 spine types increased. The reduction in spine density following axotomy suggests that 124 either dendritic spines were being eliminated or, conversely, that there was a reduction in 125 new spine formation following axotomy. Further analysis of our before and after 126 axotomy images revealed that axotomy caused both a significant increase in the 127 percentage of spines eliminated and a significant reduction in the percentage of new 128 spines formed 24 h post-axotomy (Fig. 2b-d). Thus, axotomy affected both elimination 129 and formation of spines to result in lower dendritic spine density.

130

131 Increased synaptic vesicle release rate follows axon injury

132	To further evaluate how synapses are modified following distal axon injury, we
133	next investigated whether presynaptic release properties were altered at synapses onto
134	injured neurons. To address this question, we retrogradely infected neurons using a
135	modified eGFP rabies virus to label injured neurons and then used FM dyes to optically
136	measure synaptic vesicle release onto these directly-injured neurons (Fig. 3a). The use of
137	FM dyes provided us with a non-selective, unbiased method to label a majority of
138	presynaptic terminals within the somatodendritic compartment ²⁴ . FM puncta highly
139	colocalized with synapsin1 immunolabeling (93%), which is present at both inhibitory
140	and excitatory terminals, validating our FM dye loading strategy (Supplementary Fig.
141	2). We examined the synaptic vesicle release rate of FM puncta that colocalized with
142	axotomized eGFP expressing neurons. At 24 h post-axotomy, there was no change in
143	synaptic vesicle release rate compared to eGFP expressing uninjured control samples
144	(Fig. 3b,c). In contrast, 48 h after axotomy synaptic vesicle release rate was significantly
145	enhanced (Fig. 3c). Further, the FM decay time constant, τ , which has been inversely
146	correlated with release probability ²⁵ was significantly reduced at 48 h post-axotomy
147	(control: 124.8 s \pm 5.487 versus axotomy: 78.65 s \pm 3.922; p<0.0001). These results were
148	similar to those obtained by examining the entire image field of FM puncta closest to the
149	barrier region within the somatodendritic compartment where a large percentage of
150	axotomized neurons reside (Supplementary Fig. 3). The difference in presynaptic
151	release rate persisted, though modestly, at 4 d post-axotomy in these cultured neurons
152	(control: 95.14 s \pm 1.282 versus axotomy: 77.19 s \pm 1.165; p<0.0001; Supplementary

153 Fig. 3). Together, these data suggest a delayed and persistent increase in synaptic vesicle154 release rate that occured following dendritic spine loss.

155 Next, we performed two control experiments to determine (1) whether cortical 156 cultures, which have more neuron variability than hippocampal cultures, would behave 157 similarly to hippocampal cultures used in our experimental model, and (2) whether 158 axotomy of axons forming synapses onto postsynaptic targets would yield similar effects 159 as axotomy of untargeted axons. First, we performed the FM unloading experiments with 160 cortical neurons harvested from embryonic rats and found that these cultures showed 161 similar changes in presynaptic release 48 h post-axotomy (**Supplementary Fig. 3**). To 162 address the second question, we added a small number of target neurons to the axonal 163 compartment during cell plating. We previously demonstrated that synapses form between two neuron populations plated into opposing compartments ¹². Axotomy of this 164 165 targeted population of neurons resulted in similar changes in presynaptic release rate as 166 axotomy of untargeted axons (Supplementary Fig. 3).

167 Dendritic spine density is lower following injury, suggesting fewer synapses, 168 thus, we next wondered whether the balance of responsive to unresponsive presynaptic 169 terminals might be altered following axotomy to account for the enhancement in 170 excitability. We measured the proportion of FM puncta that unloaded (responsive) or did 171 not unload (unresponsive) in response to field stimulation using extracellular electrodes ²⁴ 172 (Fig. 3d). At 24 h post-axotomy when spine density was decreased, we observed no 173 change in the fraction of responsive and unresponsive FM puncta compared to uninjured 174 controls (Fig. 3d). However at 48 h post-axotomy, a significantly larger proportion of 175 puncta were responsive compared to puncta within uninjured control chambers (Fig. 3d).

Further, at 48 h post-axotomy we found an overall decrease in the number of loaded FM
puncta (Fig. 3e). Together, our data suggest that distal axon injury leads to an overall
decrease in synapses, including the number of presynaptic terminals, but that the smaller
number of presynaptic terminals is more responsive to stimulation.

180

181 Enhanced glutamate release at synapses onto injured neurons

182 Our results support that distal axotomy triggers a retrograde and trans-synaptic 183 cascade of events leading to enhanced neurotransmitter release rate. To confirm this, we 184 performed electrophysiological recordings of AMPAR-mediated miniature excitatory 185 postsynaptic currents (mEPSCs) from axotomized neurons 48 h post-axotomy and their 186 age-matched uninjured controls. Biocytin was used to fill neurons following each 187 recording to determine whether neurons extended axons into the axonal compartment and 188 were axotomized. Axotomized neurons had a significant increase in mEPSC frequency, 189 confirming our FM data and supporting an increased rate of presynaptic glutamate release 190 (Fig. 3f,g). Membrane properties were equivalent between axotomized and uninjured 191 control neurons, demonstrating that the health of these axotomized neurons was not 192 substantially compromised (Supplementary Table 1). We observed a trend towards an 193 increase in mEPSC amplitude following axotomy, however this effect was not significant 194 (Fig. 3g).

We next wondered if the increased spontaneous release rate of glutamate was specific to directly injured neurons or more globally affected neighboring, uninjured neurons. To address this, we quantified mEPSC frequency between uncut and cut neurons within the same axotomized chamber. In recordings from directly injured neurons,

199	axotomy specifically increased mEPSC frequency. However, neighboring uninjured
200	neurons that did not extend axons into the axonal compartment, did not have an increased
201	mEPSC frequency (Fig. 3h). To further examine the effects of direct injury to
202	axotomized neurons, we quantified FM release rate at nearby uninjured neurons that were
203	not infected with the retrograde eGFP rabies virus. We found that the release rate was
204	significantly decreased at these locations compared with synapses on directly axotomized
205	neurons and not significantly different than at control uninjured neurons labeled with
206	eGFP rabies virus (Fig. 3i). These observations confirmed that axotomy altered
207	glutamatergic synaptic input onto injured neurons. Further, directly injured neurons trans-
208	synaptically influenced presynaptic glutamate release without affecting nearby synapses
209	at uninjured neurons.

210

211 SCI induces persistent and enhanced firing in layer Vb

212 To evaluate the *in vivo* relevance of our findings, we sought to determine whether 213 distal injury of long projection neurons in vivo would preferentially induce enhanced 214 excitability in these injured neurons. To do this, we wanted to use an *in vivo* model in 215 which axonal damage occurs far from somata to minimize other effects of injury (e.g., 216 inflammation, metabolic changes). We used a rat SCI model described previously ²⁶ in 217 which animals were subjected to a spinal cord contusion injury at thoracic level T9-10, 218 and recording electrodes were implanted into the neurophysiologically-identified 219 hindlimb motor cortex in ketamine-anesthetized animals. Electrode sites on single-shank 220 microelectrode arrays (Neuronexus, Ann Arbor, MI) extended through cortical layers V 221 and VI, allowing simultaneous recording throughout these cortical layers. Effective injury

222	to the corticospinal neurons innervating hindlimb motor neuron pools in the spinal cord
223	was confirmed by stimulating electrode sites and confirming loss of evoked hindlimb
224	movement. At each cortical location, 5 minutes of neural data was collected for offline
225	analysis. At the end of the procedure, neural spikes were discriminated using principle
226	component analysis. We examined firing rates ²⁷ within layers Va, Vb, and VI between 4
227	weeks and 18 weeks post-SCI and compared the data to sham control animals. We found
228	that the firing rate within layer Vb was significantly increased after SCI compared to
229	sham controls (Fig. 4). Layer Vb contains the highest density of corticospinal somata,
230	with estimates of nearly 80% of large pyramidal cells ²⁸ . Also, after spinal cord injury,
231	chromatolytic changes occur preferentially in layer Vb ²⁹ . In layers Va and VI, which
232	have few (layer Va) or no (layer VI) corticospinal neurons, we found that firing rates
233	were not statistically different between SCI animals and sham controls. Together, these
234	data confirm a persistent increase in spontaneous firing rates in remotely injured
235	corticospinal neurons, and support the relevance of our in vitro model system.
236	

237 Axotomy eliminates GABAergic terminals onto injured neurons

Loss of inhibition following distal injury contributes to enhance excitability *in vivo*, thus we wanted to test whether axotomy in our culture system results in a similar
loss of inhibitory terminals. We performed retrospective immunostaining to determine
the fraction of vGLUT1 or GAD67-positive FM puncta at 48 h post-axotomy (Fig. 5a,b).
We found that axotomy did not alter the fraction of glutamatergic terminals, but
significantly diminished the fraction of GAD67-positive puncta within the
somatodendritic compartment. Further, we examined the fraction of vGLUT1 or vGAT

puncta colocalized with axotomized neurons labeled with an eGFP rabies virus (Fig. 5c).
These results confirmed the preferential absence of inhibitory terminals following
axotomy while the fraction of vGLUT1 puncta remained equivalent to uninjured control
neurons.

249 To determine whether inhibitory synapses were functionally altered following 250 axotomy, we recorded miniature inhibitory postsynaptic currents (mIPSCs) from 251 axotomized and uninjured chambers 48h post-axotomy (Fig. 5d-f). We found that 252 mIPSCs were more frequent in axotomized cultures compared with uninjured neurons, 253 suggesting that while there are fewer inhibitory terminals, the remaining terminals have 254 an increased rate of spontaneous GABA release. We next asked whether this change in 255 inhibitory synapse function was restricted to directly injured neurons. Within the 256 axotomized cultures, we compared both cut and uncut neurons and found that the mIPSC 257 frequency was increased in both groups, but was not different between the directly 258 axotomized neurons and their uncut neighbors. This suggests that the alteration of 259 inhibitory synaptic transmission following axotomy affects both directly injured and 260 neighboring, uninjured neurons.

Although the majority of GABAergic synapses are found on dendritic shafts or cell bodies, a minor population is also found on dendritic spines ^{30, 31} (**Supplementary Fig. 4**). Inhibitory synapses formed on dendritic spines allow for compartmentalization of dendritic calcium levels involved in regulation of neuronal activity ^{32, 33}. To investigate whether dendritic spines receiving inhibitory inputs (i.e., inhibited spines) are lost following axotomy, we quantified the number of inhibitory and excitatory presynaptic terminals onto spines of cultured pyramidal neurons using retrospective immunostaining

268	for inhibitory (vGAT) and excitatory (vGLUT1) synapse markers. We found a significant
269	decrease in the fraction of vGAT-positive spines at 48h post-axotomy compared to
270	uninjured control (Fig. 5g-i) with no significant influence on glutamatergic spines.
271	Together, our data suggest that axotomy caused a preferential loss of inhibitory terminals
272	onto axotomized neurons, including inhibitory terminals formed onto dendritic spines,
273	and that increased spontaneous GABAergic transmission might compensate to some
274	degree for these lost terminals.
275	

276 Local activity and transcription regulate remodeling

277 Efficient axon regeneration requires signaling from the site of injury to the nucleus in multiple model systems ⁶, yet the signaling events required for synaptic 278 279 remodeling following distal axotomy remain unclear. Breach of the axonal membrane 280 following axon injury causes an influx of calcium and sodium ions into the intra-axonal 281 space, potentially influencing signaling to the nucleus and gene expression. To determine 282 whether local influx of sodium and calcium ions at the time of injury is required for 283 axotomy-induced spine loss, we performed axotomy within the axonal compartment in which axons were treated with a local activity blockade during axotomy. This local 284 activity blockade solution (ABS) included low-Ca²⁺, high-Mg²⁺, and TTX (0.5 mM 285 286 CaCl₂, 10 mM MgCl₂, 1 µM TTX) to prevent influx of sodium and reduce calcium 287 influx. This local activity blockade was applied solely to the axonal compartment for 1 h 288 during axotomy. We labeled neurons extending axons into the axonal compartment using 289 a retrograde eGFP rabies virus and quantified spine density before and 24 h following 290 axotomy and compared these measurements to cultures with vehicle applied to axons

during axotomy (Fig. 6a,b). Strikingly, we found that local activity blockade at the injury
site prevented axotomy-induced spine loss. These data suggest that local activity instructs
retrograde signaling and spine loss.

294 To determine whether injury-induced transcription is required for these trans-295 synaptic changes, we treated the somatodendritic compartment with the reversible 296 transcriptional blocker DRB 15 min prior to axon injury and removed the drug 45 297 minutes later. We found that blocking transcription during this brief time was sufficient 298 to prevent axotomy-induced spine loss 24 h post-axotomy compared with similarly 299 treated uninjured control chambers (**Fig. 6c**). Further, DRB treatment at the time of injury 300 prevented significant changes in the proportion of responsive FM puncta (Fig. 6d) and in 301 synaptic vesicle release rate 48 h post-axotomy (Fig. 6e). However, action potential 302 blockade with TTX in the somatodendritic compartment for ~1 h at the time of injury did 303 not affect injury-induced changes in presynaptic release or the proportion of responsive 304 puncta 48 h after axotomy (**Fig. 6f,g**). Further, application of HBS or DMSO as 305 respective vehicle controls to TTX or DRB treatments did not alter injury-induced 306 increase in presynaptic release. We conclude that both local activity at the site of injury 307 and a transcriptional response were critical mediators of the delayed trans-synaptic 308 changes in presynaptic release properties following distal axon injury.

309

310 Differential gene expression at 24 h post-axotomy

311 Our data show that a transcriptional response was required immediately after 312 axotomy to induce retrograde changes in synaptic vesicle release onto injured neurons. 313 To identify genes that might mediate this process within a longer therapeutically-relevant

314	time window, we performed a gene expression study to identify differentially expressed
315	transcripts within the somatodendritic compartment at 24 h post-axotomy compared to
316	uninjured controls (Supplementary Fig. 5). We found 615 transcripts that were
317	significantly changed following injury (one-way between-subject ANOVA, $p < 0.05$)
318	(Fig. 7a; Supplementary Table 2). Confirming that the transcription response <i>in vitro</i>
319	recapitulated in vivo findings, we found Jun upregulated 1.41 fold in our microfluidic
320	cultures 24 h post-axotomy ¹⁹ .

321

322 Netrin-1 mRNA down-regulated post-axotomy

323 Next we sought to identify potential trans-synaptic mediators that may influence 324 synaptic vesicle release at synapses onto injured neurons. We focused on differentially 325 expressed transcripts that are known to localize to cell-cell contacts, such as synapses 326 (Supplementary Table 3). We identified netrin-1 (*Ntn1*) as significantly downregulated 327 24 h following axotomy, consistent with published findings that netrin family proteins are downregulated following injury in adult rats ³⁴ (**Fig. 7b**). Netrin-1 is a secreted axon 328 329 guidance and synaptogenic cue that is enriched at mature dendritic spines ³⁵ where it 330 induces clustering of its receptor, DCC, and enhances synapse maturation ³⁶. To confirm 331 that *Ntn1* expression is downregulated following nerve injury *in vivo*, we analyzed 332 microarray data from a previously published study which examined cortical gene 333 expression from retrograde labeled layer V cortex of young adult rats (2 months) 334 subjected to either sham injury or spinal cord hemisection at thoracic level 8 37 (Fig. 7c). 335 Our analysis of this raw data confirmed that spinal cord injury significantly reduced netrin-1 expression in cortical layer V by 7 days, consistent with our in vitro findings. 336

Together, the significant decrease in *Ntn1* expression both *in vitro* and *in vivo* suggests a
reliable response induced by distal axonal damage.

339

340 Exogenous netrin-1 normalizes injury-induced changes

341 The downregulation of netrin-1 following injury led us to ask whether adding 342 exogenous netrin-1 might rescue, to some degree, the axotomy-induced synaptic changes. 343 To test this we applied exogenous netrin-1 to the somatodendritic compartment 40 h after 344 axotomy and evaluated the resulting changes in spine density, synaptic vesicle 345 responsiveness, and disinhibition. We performed live imaging of somatodendritic 346 domains before and after axotomy to measure spine density changes and found that 347 netrin-1 treatment for 8 h was sufficient to normalize spine density to pre-axotomy levels 348 (Fig. 8a,b). We then used FM dyes to compare presynaptic release properties between 349 axotomized and uninjured controls. Exogenous netrin-1 increased the total number of FM 350 puncta at 48 h post-injury to levels found in uninjured controls and reduced the 351 percentage of responsive puncta to levels found in uninjured controls (Fig. 8c,d). We 352 next tested whether netrin-1 might rescue axotomy-induced disinhibition. Netrin-1 353 treatment following axotomy normalized the density of inhibitory terminals (vGAT 354 labeled) at axotomized neuron without significantly altering the density of glutamatergic 355 terminals (vGLUT1 labeled) (Fig. 8e,f). 356 Because DCC protein levels parallel netrin-1 expression changes ^{38, 39}, we next

357 confirmed that DCC levels were downregulated at synapses formed onto the

- 358 somatodendritic domain of axotomized neurons (Fig. 8g,h). Local synaptic DCC
- immunofluorescence at spines of axotomized neurons were decreased at 48 h post-injury.

Further, application of exogenous netrin-1 normalized synaptic DCC levels to that similar
to uninjured controls (Fig. 8g,h).

362	If downregulation of netrin-1 signaling regulates axotomy-induced synaptic
363	remodeling, we would expect that blocking netrin-1 signaling in uninjured neurons would
364	be sufficient to cause both reductions in spine density and the density of inhibitory
365	terminals. Spine density in uninjured cultures treated with a DCC function blocking
366	antibody for 24 h was significantly reduced after treatment compared to control chambers
367	treated with an IgG antibody (Fig. 8i). Further, we found that blocking DCC was
368	sufficient to cause a reduction in the density of vGAT puncta, but not vGLUT1 puncta,
369	per eGFP-filled neuron area (Fig. 8j). Together, our data suggest that netrin-1 signaling
370	may play a critical role in regulating synaptic remodeling following axonal damage,
371	including in modulating inhibition following injury.

372

373 Discussion

374 While axon regeneration following injury is extensively studied, much less is 375 known about how proximal neurons within the mammalian brain are affected following axonal damage ⁴⁰ and more specifically how synapses onto injured neurons are 376 377 remodeled. We used a model system to enable the study the cellular mechanisms of 378 synaptic remodeling following axon injury; this model recapitulated several hallmarks of 379 neurons subjected to axonal injury *in vivo*, including chromatolysis ^{6, 21}, retrograde spine loss ^{4, 22, 23}, retrograde hyper-excitability ¹⁻³, and disinhibition ^{2, 9, 10}. Axotomy-induced 380 transcriptional changes in this *in vitro* model are also consistent with *in vivo* findings^{7, 20}. 381 382 Because of the ability to separate neuronal compartments, this tool facilitates the

investigation of axotomy-induced retrograde signaling intrinsic to neurons and theresulting effects to interneuronal communication.

385 Our results suggest that retrograde remodeling requires local signaling at the site 386 of injury mediated by sodium and/or calcium influx to activate a rapid transcriptional 387 response. Both post-synaptic dendritic spine loss and trans-synaptic changes in 388 presynaptic inputs required immediate transcription. Our data is consistent with axonal 389 injury signaling in other non-CNS model systems ⁶. Localized reversal of a sodium 390 calcium exchanger (NCX) at the site of injury may amplify calcium influx and contribute 391 to long range signaling ⁴¹. In peripheral neurons calcium waves can locally propagate to the nucleus to induce a transcriptional response ⁴². The localized influx of calcium may 392 393 be a priming effect for retrograde transport of signaling complexes required to initiate 394 transcription ⁶.

395 Our data showed that axotomy-induced spine loss was followed by a specific loss 396 of inhibitory inputs. Interestingly, specific loss of inhibitory, and not excitatory, terminals 397 suggests that preserved excitatory inputs may remain available for some period of time 398 following injury. Because of the spine loss, these excitatory inputs could form shaft 399 synapses or some may become orphan presynaptic sites following injury. Large headed 400 dendritic spines could also receive multiple excitatory inputs, stabilizing them, and 401 allowing them to find new partners over time. The increased spontaneous release of 402 glutamate at injured neurons 48 h following axotomy, without an increase in the number 403 of excitatory terminals, suggests that the maintained excitatory inputs may contribute to 404 the hyper-excitability post-injury.

405	The sequential post- and then pre- synaptic changes following axotomy suggest a
406	trans-synaptic mechanism. These post- and then pre- synaptic changes are consistent with
407	the involvement of synaptic homeostasis where retrograde molecules are released post-
408	synaptically to influence presynaptic release. Further support for the involvement of
409	trans-synaptic mechanisms comes from our observation of an increase in spontanenous
410	neurotransmitter release localized at excitatory synapses onto axotomized neurons, but
411	not at neighboring excitatory synapses onto uninjured neurons. The significantly
412	enhanced firing rate following SCI in cortical layer Vb, but not layers Va and VI,
413	provides additional support for this specificity. Interestingly, we also found an increase in
414	spontaneous release at inhibitory terminals, although fewer inhibitory terminals remain
415	following axotomy. The increase in GABA release rate may serve as compensation
416	mechanism for the axotomy-induced hyper-excitability.
417	Dendritic release of secreted proteins (e.g., BDNF, NT-3 and NT-4) and diffusible
418	molecules, such as nitric oxide, can trans-synaptically regulate neurotransmitter release
419	⁴³⁻⁴⁵ . Injury of motoneuron projections to myocytes caused synaptic remodeling of inputs
420	to motoneurons which was influenced by nitric oxide synthesis ⁴⁶ . While these previously
421	reported trans-synaptic signaling pathways were not detectably altered in our microarray
422	analysis, we did identify the secreted protein, netrin-1, as significantly downregulated in
423	our axotomized cultures 24 h post- axotomy. Downregulation of netrin-1 gene expression
424	was further confirmed in vivo through an analysis of independently acquired microarray
425	data. Netrin-1 is secreted locally from target cells and signals DCC receptors that are
426	present along axons ³⁶ to influence presynaptic release and maturation ^{47, 48} . While
427	netrin-1 signaling is historically thought of in a developmental context, there is increasing

evidence of the importance of netrin-1 signaling in the adult CNS. Consistent with our *in vitro* findings, netrin family members are downregulated *in vivo* following spinal cord
injury in adult rats ^{34, 49} and DCC remains persistently low after 7 months post-injury in
adult rats ³⁴. Netrin-1 has also recently been tested as a potential therapeutic agent
following injury and has been shown to improve recovery outcomes ⁵⁰⁻⁵².

433 We found that adding exogenous netrin-1 one and a half days after axotomy 434 dramatically increased spine density and the density of inhibitory terminals to levels 435 found in uninjured controls. The restoration of inhibitory terminals in axotomized 436 samples treated with netrin-1 is a novel and exciting finding. Evidence from C.elegans confirms a link between netrin-1 signaling and stabilization of GABA_A receptors ⁵³. Yet, 437 438 it remains unclear how netrin-1 signaling modulates inhibitory input and will be an 439 important topic for future studies. In contrast to previous reports, we found that there was no reduction in the number of vGLUT1 positive terminals when blocking DCC ³⁶, which 440 441 could be explained by our shorter treatment times with DCC function blocking antibody. 442 Axonal damage within the CNS occurs in numerous disorders and diseases, but 443 little is known about the overall impact on cortical circuit function. Importantly, our cell-444 based findings have broader applicability beyond spinal cord injury to numerous 445 conditions where axonal damage is prevalent, such as other forms of traumatic brain 446 injury, Alzheimer's disease, and multiple sclerosis. Further, remodeling is enhanced in 447 embryonic or neonatal neurons, making the use of an *in vitro* approach using these 448 neurons, together with *in vivo* models, advantageous for identifying pathways instrumental for neurological recovery ⁵⁴. 449

450

451 Materials and Methods

452	Hippocampal cultures. Animal procedures were carried out in accordance with
453	the University of North Carolina at Chapel hill Institutional Animal Care and Use
454	Committee (IACUC). Dissociated hippocampal cultures were prepared from Sprague
455	Dawley rat embryos (E18-E19) as previously described ^{11, 24} with the following
456	modifications. Hippocampal tissue was dissected in dissociation media (DM) containing
457	82 mM Na ₂ SO ₄ , 30 mM K ₂ SO ₄ , 5.8 mM MgCl ₂ , 0.25 mM CaCl ₂ , 1 mM HEPES, 20 mM
458	Glucose and 0.001% Phenol red. For enzymatic digestion, equal volumes of TrypLE
459	Express (Invitrogen) and DM were added to the tissue and incubated at 37°C for 8 min.
460	Tissue was then rinsed and gently triturated in neuronal culture media consisting of
461	Neurobasal media (Invitrogen) supplemented with 1x B27 (Invitrogen), 1x Antibiotic-
462	antimycotic (Invitrogen), 1x Glutamax (Invitrogen). Dissociated cells were resuspended
463	in neuronal culture media to yield 12×10^6 cells per ml.
463 464	in neuronal culture media to yield 12×10^6 cells per ml.
	in neuronal culture media to yield 12x10 ⁶ cells per ml. <i>Microfluidic chambers</i> . Poly(dimethylsiloxane) (PDMS) microfluidic chambers
464	
464 465	Microfluidic chambers. Poly(dimethylsiloxane) (PDMS) microfluidic chambers
464 465 466	<i>Microfluidic chambers</i> . Poly(dimethylsiloxane) (PDMS) microfluidic chambers were replica molded from microfabricated master molds as described previously ¹¹ . All
464 465 466 467	<i>Microfluidic chambers</i> . Poly(dimethylsiloxane) (PDMS) microfluidic chambers were replica molded from microfabricated master molds as described previously ¹¹ . All experiments used chambers with 900 μ m long microgrooves to separate the
464 465 466 467 468	<i>Microfluidic chambers</i> . Poly(dimethylsiloxane) (PDMS) microfluidic chambers were replica molded from microfabricated master molds as described previously ¹¹ . All experiments used chambers with 900 μ m long microgrooves to separate the somatodendritic and axonal compartments as described previously ^{11, 16, 24} . Microfluidic
464 465 466 467 468 469	<i>Microfluidic chambers</i> . Poly(dimethylsiloxane) (PDMS) microfluidic chambers were replica molded from microfabricated master molds as described previously ¹¹ . All experiments used chambers with 900 μ m long microgrooves to separate the somatodendritic and axonal compartments as described previously ^{11, 16, 24} . Microfluidic chambers were placed onto glass coverslips coated with 500-550 kDa Poly-D-Lysine
464 465 466 467 468 469 470	<i>Microfluidic chambers</i> . Poly(dimethylsiloxane) (PDMS) microfluidic chambers were replica molded from microfabricated master molds as described previously ¹¹ . All experiments used chambers with 900 µm long microgrooves to separate the somatodendritic and axonal compartments as described previously ^{11, 16, 24} . Microfluidic chambers were placed onto glass coverslips coated with 500-550 kDa Poly-D-Lysine (BD Biosciences). Approximately ~90,000 cells were plated into the somatodendritic

474 compartment and stored for future use. The axonal compartment was then aspirated until
475 completely devoid of fluid. The stored culture media was then returned immediately to
476 the axonal compartment for the duration of the culture time. Microfluidic devices with
477 equivalent viable cell populations were randomly chosen for either axotomy or uninjured
478 control groups.

479

480 *Retrograde labeling.* Retrograde labeling was performed using either modified 481 cholera toxin or rabies virus. Cholera Toxin Subunit B Alexa Fluor 488 or 568 (Life 482 technologies, Molecular Probes; 1 μ g in 200 μ l of neuronal culture media) was added to 483 the axonal compartment of the microfluidic chamber and incubated for ~ 15 h at 37°C. 484 After 15 h of incubation, the axonal compartment media was removed, rinsed and 485 replaced using fresh neuronal culture media before performing axotomy or imaging. G-deleted Rabies-mCherry or eGFP virus ⁵⁵ (Salk Institute; 1x10⁵ viral units) in 486 487 50 µl- conditioned media was added to the axonal compartment of each chamber and 488 incubated for 2h at 37°C. Conditioned media was added back to the axonal compartments 489 following two washes with fresh NBE media. Chambers were maintained in 37°C 490 incubator for ~48 h until fluorescence expression was visible.

491

492 *Cell viability assay.* Dead cells were labeled using SYTOX Green (Invitrogen) at
493 a final concentration of 1 µM and all cell nuclei were labeled with NucBlue Hoechst
494 Stain (Invitrogen). Cells were incubated with SYTOX/Hoechst solution simultaneously in
495 1x PBS for 5 min at 37°C, washed with PBS, and fixed with 4% paraformaldehyde (PFA)
496 in PBS containing 40 mg/ml sucrose, 1 µM MgCl₂ and 0.1 µM CaCl₂ for 15 min at room

497	temperature (RT). Coverslips were then rinsed three times with PBS and mounted onto
498	the glass slide using Fluoromount G (Southern Biotech). SYTOX positive (Sytox ⁺) cells
499	were manually counted in ImageJ using sum projected z-stack confocal images. Percent
500	cell viability is calculated using [(Hoechst - Sytox ⁺) / Hoechst] * 100.
501	
502	Nissl Staining. Neuronal cultures retrogradely labeled with Cholera Toxin were
503	either axotomized or left uninjured. PDMS chambers were carefully lifted off from PDL
504	coated coverslips 24 h post-axotomy. Cultures on the coverslips were quickly rinsed
505	twice with PBS, fixed with 4% PFA for 30 min at RT, washed twice in PBS, and
506	incubated in 0.1% Triton X-100/PBS for 10 min at RT. Cultures were incubated for 20
507	min in NeuroTrace 500/525 Green Fluorescent Nissl Stain (1:100; Invitrogen) and
508	washed for 10 min in 0.1% Triton X-100/PBS. Cell nuclei were stained with DAPI
509	(Sigma-Aldrich), rinsed three times in PBS, and then the coverslip was mounted onto a
510	microscope slide using Fluoromount G.
511	
512	Immunocytochemistry. PFA fixed neuronal cultures were permeabilized in 0.25%
513	Triton X-100 and blocked in 10% normal goat serum for 15 min each. Coverslips were
514	incubated with anti-MAP2 (1:1000; Millipore # AB5622), anti-beta tubulin III
515	(1:2000;Aves # TUJ), anti-GAD67 (1:2000; Aves labs # GAD), anti-vGLUT1 (1:100;
516	NeuroMab, clone N28/9, cat. # 75-066), anti-vGAT (1:1000; Synaptic Systems # 131
517	003), anti-DCC (1:100; Calbiochem # OP45), or anti-synapsin1 (1:500; Calbiochem #
518	574778) primary antibodies in 1% blocking solution for overnight at 4°C. Coverslips
519	were then incubated with goat anti-rabbit or goat anti-mouse or anti-chicken secondary

520 antibodies conjugated to Alexa-fluorophores (1:1000; Invitrogen) for 1h at RT.

521 Following PBS washes coverslips were mounted onto the glass slide.

522

523	RNA isolation. Total RNA from each of 3 axotomized chambers and 3 sham
524	manipulated chambers (6 total samples) was isolated from the somatodendritic
525	compartment of 14 DIV cultures, 24 h after manipulation. RNA was collected from the
526	entire somatodendritic compartment for our gene expression analysis; thus, a fraction of
527	neurons in the axotomized chambers were axotomized and the remaining fraction
528	uninjured or "uncut". RNA was isolated using an RNAqueous-Micro Kit (Ambion)
529	according to the manufactures instructions including DNase treatment, with
530	modifications specific to accessing the microfluidic compartment $^{16}\!$. Briefly, 50 μl lysis
531	solution was added to one somatodendritic well and collected from the other
532	somatodendritic well after solution flowed through the somatodendritic compartment to
533	this adjacent well. Lysate was added to 50 μ l of fresh lysis solution and mixed well by
534	careful pipetting. Further RNA purification steps were performed according to
535	the manufacturer's guidelines. Samples were maintained at -80°C until prepared for
536	microarray gene expression.
537	

Microarray analysis. Quantification of RNA integrity and concentration was
confirmed with an Agilent TapeStation 2200 at the UNC Lineberger Comprehensive
Cancer Center Genomics Core. Microarrays were processed at the UNC School of
Medicine Functional Genomics Core using the Affymetrix GeneChip WT Plus Reagent
Kit for cRNA amplification, cDNA synthesis, fragmenting and labeling. Samples were

543	hybridized to Rat Gene 2.0 ST Arrays (Affymetrix). Data analysis was performed with
544	Affymetrix Expression Console software and Affymetrix Transcriptome Analysis
545	Console v2.0 software to compare axotomized cultures to uninjured control samples
546	using one-way between-subject ANOVA of RMA normalized intensities. Quality control
547	data is presented in Supplementary Fig. 5 . Because a fraction of the harvested cells were
548	uninjured in our axotomized samples, we used modest fold change values for defining
549	our list of significantly changed transcripts (fold change absolute value ≥ 1.1 and
550	ANOVA p-value <0.05). To identify cell-cell adhesion transcripts we searched for the
551	biological process gene ontology category 'cell-cell adhesion'. Fold change shown in
552	Fig. 7 was calculated by dividing the mean log_2 intensity value of the uninjured control
553	by the mean log ₂ intensity value of the axotomized culture samples.
554	Raw microarray data of cortical layers V/VI of female Wistar rats subjected to
555	either spinal cord transections at thoracic layer 8 or sham injury 1 day and 7 days
556	following injury was downloaded from EMBL-EBI Array Express (E-MTAB-794) ³⁷ .
557	Four animals were used for each condition and samples were hybridized to Rat Gene 1.0
558	ST Arrays (Affymerix). The microarray data was previously validated using qPCR. Data
559	analysis was performed with Affymetrix Expression Console software and Affymetrix
560	Transcriptome Analysis Console v2.0 software to compare cortical layers V/VI from
561	lesioned to sham operated animals.
562	
563	Image acquisition and dendritic spine analysis. High-resolution z-stack montages

Image acquisition and dendritic spine analysis. High-resolution z-stack montages
564 of mCherry or eGFP labeled live neurons were captured using either a Zeiss LSM 780
565 (63x 1.4 NA or 40x 1.4 NA oil immersion objective) or an Olympus IX81 microscope

566	(60x 1.3 NA silicon oil immersion objective). For live imaging, we captured "0 h" or
567	"before axotomy" confocal z-stack images to create montages of neurons extending
568	axons into the axonal compartment. Axotomy was performed on the same day after
569	acquiring these images. Images were acquired from the same neuron 24 h post-axotomy.
570	In some cases, images were also acquired from the same neurons at 48 h post-axotomy
571	(Fig. 1, 2 and 8). Calibrated z-stack montages were analyzed for all dendrite and spine
572	parameters. Primary dendrites were traced using the semiautomatic neurite tracing tool,
573	Neuron J ^{56, 57} . The number of spines on all primary dendrites of each neuron were
574	manually labeled and categorized as thin, stubby or mushroom shaped using Neuron
575	Studio 58 . Spine density was calculated for 10 μm length of dendrite as [(# of spines /
576	dendrite length)*10]. Blinded data analysis was perfomed.
577	

578

579 FM dye experiments and analysis. Cultures in microfluidic chambers at 24 h (14 580 DIV), 48 h (15 DIV), and 4d (17 DIV) post-axotomy were loaded with lipophilic dye FM 5-95 (Invitrogen) using KCl mediated depolarization as described previously ²⁴. Briefly, 581 582 cultures were first incubated for 30 min with pre-warmed HEPES-buffered solution 583 (HBS; 119 mM NaCl, 5 mM KCl, 2 mM CaCl₂, 2 mM MgCl₂, 30 mM glucose, 10 584 mM HEPES). Media was then replaced with FM dye loading solution containing 585 10 µM FM 5-95, 20 µM AMPAR antagonist 6-cyano-7-nitroquinoxaline-2,3-dione 586 disodium (CNQX; Tocris), 50 µM NMDAR antagonist D-(-)-2-amino-5phosphonopentanoic acid (D-AP5; Tocris) in 90 mM KCl HBS for 1 min. The loading 587 588 solution was replaced with HBS containing 10 µM FM 5-95 for 1 min and later rinsed

589	three times with a high-Mg ²⁺ , low-Ca ²⁺ solution (106 mM NaCl, 5 mM KCl, 0.5
590	mM CaCl ₂ , 10 mM MgCl ₂ , 30 mM glucose, 10 mM HEPES) containing 1 mM Advasep-
591	7 (Biotium) to remove extracellular membrane-bound FM. Finally, cultures were washed
592	in HBS containing 20 μ M CNQX and 50 μ M D-AP5 for at least three times, 1 min each.
593	Next, we stimulated the microfluidic chambers using extracellular electrodes by placing a
594	positive and negative electrode in each well of the somatodendritic compartment.
595	Electrical stimulation was provided by an AD Instrument 2 Channel Stimulus
596	Generator (STG4002) in current mode with an asymmetric waveform (-480 μ A for 1 ms
597	and +1600 μ A for 0.3 ms) for ~ 1 min at 20 hz for 600 pulses. The FM 5-95 imaging was
598	performed as described previously using a spinning disk confocal imaging system ²⁴ . Z-
599	stacks (31 slices) were captured every 15 s during the baseline (1 min), stimulation (1
600	min), and after stimulation (2 min) periods. This stimulation pattern was optimized for
601	efficient FM unloading within these microfluidic chambers and the frequency is greater
602	than typically used in open well dishes. At least 3 baseline images were acquired before
603	electrical stimulation.
604	Blinded data analysis was perfomed. Sum projected confocal z-stack were
605	converted to 8-bit images and registered using TurboReg, an Image J plugin. We

background subtracted the image stack using the image 3 min after stimulation began.

607 Image stacks were thresholded to a pixel value of 15. FM puncta between 0.4 to $10 \,\mu m^2$

608 were analyzed. We measured the intensity of each punctum in the whole field or

609 specifically on eGFP labeled neurons (**Fig. 3a-c**) throughout all time-series. To analyze

610 the unloading kinetics of FM puncta on eGFP labeled neurons, we first thresholded the

611 eGFP image and then created an outline enclosing all the eGFP labeled regions including

612	spines. The outlined ROI was superimposed on the FM labeled image and the intensity of
613	each punctum in the selected ROI (eGFP outline) was measured throughout all time
614	series. We normalized fluorescence intensity of each puncta to the frame before
615	stimulation. Puncta with >5% unloading after 1 min were used in the analysis as
616	unloaded puncta. Time constants were estimated by curve fitting unloading kinetics to a
617	single exponential decay function ²⁴ . Curve fitting was done in MATLAB and FM puncta
618	with time constants longer than 3 min were excluded from the analysis and assumed to be
619	non-releasing. Number of FM puncta that unload >5% after 60s were classified as
620	responsive using image stacks that were not background subtracted; puncta that did not
621	meet this criteria were classified as unresponsive.
622	In activity and transcription blocking experiments, the FM 5-95 unloading
623	experiment was performed as mentioned above at 48 h post-axotomy. The intensity
624	measurements of each punctum in the whole field and subsequent analysis of FM
625	unloading kinetics was performed as mentioned above.
626	To determine the fraction of vGLUT1 or GAD67-positive FM puncta, the somal
627	compartment of 15DIV cultures were loaded with FM 4-64FX (10 μ M; Invitrogen),
628	fixable analog of FM 4-64 membrane stain, using KCl mediated depolarization as
629	described above. Following subsequent strip and wash steps, cells were fixed with 4%
630	PFA in PBS and immunostained with anti-GAD67 and anti-vGLUT1 antibodies. Total
631	number of vGLUT1, GAD67, and FM puncta were acquired by processing confocal Z-
632	stack images using 3D foci picker, an ImageJ plugin ⁵⁹ .
633	

635	Drug treatments. Local activity blockade solution (ABS), which includes low-
636	Ca^{2+}, high-Mg^{2+}, and TTX (0.5 mM CaCl ₂ , 10 mM MgCl ₂ , 1 μ M TTX) was applied soley
637	to the axonal compartment for 1 h during axotomy (15 min prior and 45 min after
638	axotomy). 5,6-dichloro-1- β -D-ribofuranosyl-1H-benzimidazole (DRB; Sigma-Aldrich #
639	D1916) was suspended in DMSO and applied to the somatodendritic compartment at a
640	final concentration of 80 μ M for 1 h during axotomy (beginning 15 min prior to
641	axotomy). Tetrodotoxin citrate (TTX; Tocris Bioscience # 1078) was suspended in HBS
642	and applied to the somatodendritic compartment at a final concentration of 1 μ M for 1 h
643	during axotomy (beginning 15 min prior to axotomy). Media stored from the axonal
644	compartment prior to treatment was added back to the axonal compartment after
645	treatment. Exogenous netrin-1 was applied to the somatodendritic compartment 1 $^{1}/_{2}$ days
646	after axotomy, when spine changes were observed, at a final concentration of 625 ng/ml.
647	A similar netrin-1 concentration has been used for cortical neurons over a treatment time
648	of 1-2 days to examine netrin-specific responses ⁶⁰ . Netrin-1 was applied for 8-10 h to
649	observe stable synaptic changes. For DCC function blocking experiments, anti-DCC
650	(mDCC; Calbiochem # OP45) and isotype control (mIgG; BD pharmingen #554121) was
651	applied to the somatodendritic compartment of uninjured chambers at a final
652	concentration of 1 µg/ml for 24 h.
653	
654	Microscopy. FM and fixed imaging was performed using CSU-X1 (Yokogawa)

spinning disk confocal imaging unit configured for an Olympus IX81 microscope (Andor
Revolution XD). Live imaging of neurons for spine analysis was captured using a Zeiss
LSM 780 confocal microscope with a Plan-Apochromat 40x objective (NA 1.4) at the

658	UNC Neuroscience microscopy core facility. Excitation for the spinning disk confocal
659	imaging system was provided by 405 nm, 488 nm, 561 nm, and/or 640 nm lasers. The
660	following bandpass emission filters (BrightLine, Semrock) were used: 447/60 nm
661	(TRF447-060), 525/30 nm (TRF525-030), 607/36 nm (TR-F607-036), 685/40 nm (TR-
662	F685-040). For FM imaging, the spinning disk confocal imaging system was used with
663	excitation at 561 nm and the 685/40 nm emission filter. We used 2x2 binning to reduce
664	the laser intensity and acquisition time for each frame; each z-stack was obtained in \sim 5 s.
665	For the Zeiss LSM 780, signal was acquired from eGFP (493 nm - 558 nm), Alexa 568
666	(569 nm - 630 nm), and Alexa 647 (640 nm - 746 nm).
667	
668	Whole-Cell Electrophysiology. For whole-cell recordings, neurons were visually
669	identified with infrared differential interference contrast optics. Cells were recorded in
670	voltage-clamp configuration with a patch clamp amplifier (Multiclamp 700A), and data
671	were acquired and analyzed using pCLAMP 10 software (Molecular Devices). Patch
672	pipettes were pulled from thick-walled borosilicate glass with open tip resistances of 2-7
673	$M\Omega$. Series and input resistances were monitored throughout the experiments by
674	measuring the response to a -5 -mV step at the beginning of each sweep. Series
675	resistance was calculated using the capacitive transient at the onset of the step and input
676	resistance was calculated from the steady-state current during the step. Recordings were
677	sampled at 10 kHz and bessel filtered at 2 kHz. No series resistance compensation was
678	applied.

679 Prior to recording, microfluidic chambers and PDMS molds were removed and680 the glass coverslips containing cells were mounted onto a submersion chamber,

681	maintained at 32° C. Cultures were perfused at 2 mL/min with artificial cerebrospinal
682	fluid (ACSF) containing 124 mM NaCl, 3 mM KCl, 1.25 mM Na2PO4, 26 mM NaHCO3,
683	1 mM MgCl ₂ , 2 mM CaCl ₂ and 20 mM d-(+)-glucose, saturated with 95% O ₂ , 5% CO ₂ .
684	To determine if recorded neurons' axons entered the microfluidic chamber, 0.035 mM
685	Alexa-594 was included in all internal solutions to allow for post-hoc visualization of
686	neuronal morphology.
687	Events with a rapid rise time and exponential decay were identified as mEPSCs or
688	mIPSCs respectively using an automatic detection template in pCLAMP 10, based on
689	previously published methods ⁶¹ . mEPSC events were post-hoc filtered to only include
690	events with a peak amplitude \geq 5 pA and a \leq 3 ms 10-90% rise time. Mean mEPSC
691	parameters were quantified from a 10 min recording period and mIPSC parameters were
692	sampled from a 5 min recording period. Neurons were excluded from analysis if R_{series}
693	was >25 M Ω anytime during the recording.
694	
695	mEPSCs Recordings. mEPSC recordings were performed similar to previously
696	described ⁶² . AMPAR-mediated mEPSCs were isolated by voltage-clamping neurons at -
697	70 mV and by supplementing the ACSF with TTX citrate (1 μ M, Abcam), the GABA (A)
698	receptor antagonist picrotoxin (50 µM, Sigma-aldrich), and the NMDA receptor
699	antagonist D, L-2-amino-5 phosphonopentanoic acid (100 μ M, AP5, Abcam). The
700	internal solution contained: 100 mM CsCH ₃ SO ₃ , 15 mM CsCl, 2.5 mM MgCl ₂ , 5 mM
701	QX-314-Cl, 5 mM tetra-Cs-BAPTA, 10 mM HEPES, 4 mM Mg-ATP, 0.3 mM Na-GTP,
702	and 0.5% (w/v) neurobiotin with pH adjusted to 7.25 with 1 M CsOH and osmolarity

703 adjusted to ~295 mOsm with sucrose.

705	mIPSC recordings. mIPSCs were isolated by supplementing the ACSF with TTX
706	citrate (1 μ M), the NMDA receptor antagonist AP5 (100 μ M), and the AMPA/Kainate
707	receptor antagonist 6,7-dinitroquinoxaline-2,3-dione (20 µM, DNQX, dissolved in
708	DMSO for a final concentration of 1% v/v DMSO in ACSF, Abcam). For mIPSC
709	recordings, the pipette solution contained a relatively lower chloride concentration,
710	similar to intracellular chloride concentrations that are present in more mature neurons ⁶³ .
711	This pipette solution contained, 110 mM CsCH ₃ SO ₃ , 2.5 mM MgCl ₂ , 5 mM QX-314-Cl,
712	5 mM tetra-Cs-BAPTA, 10 mM HEPES, 4 mM Mg-ATP, 0.3 mM Na-GTP, and 0.5%
713	(w/v) neurobiotin with pH adjusted to 7.28 with 1 M CsOH and a 300 mOsm osmolarity.
714	Following break-in, neurons were first voltage-clamped at -70 mV for at least three
715	minutes to allow dialysis with pipette solution, after which the voltage was gradually
716	changed to 0 mV, where it was maintained for duration of the recording.
717	
718	SCI injury and in vivo electrophysiology. Nineteen adult male, Fischer-344 inbred
719	rats (Harlan Laboratories, Indianapolis, IN) were selected for this study. A total of 14 rats
720	received a contusion injury in the thoracic cord at level T9–T10, whereas 5 rats were
721	randomly selected as uninjured controls. After a minimum of 4 weeks following SCI,
722	intracortical microstimulation (ICMS) and single-unit recording techniques were used in
723	the hindlimb motor area (HLA) to determine movements evoked by ICMS and spike
724	rates. The protocol was approved by the University of Kansas Medical Center
725	Institutional Animal Care and Use Committee.

726	Spinal cord surgeries were performed under ketamine hydrochloride (80
727	mg/kg)/xylazine (7 mg/kg) anesthesia and aseptic conditions. Each of the SCI rats
728	underwent a T9–T10 laminectomy and contusion injury using an Infinite Horizon spinal
729	cord impactor (Precision Systems and Instrumentation, LLC, Fairfax Station, VA) with a
730	200 Kdyn impact. At the conclusion of surgery, 0.25% bupivacaine hydrochloride was
731	applied locally to the incision site. Buprenex (0.01mg/kg, SC) was injected immediately
732	after surgery and 1 day later. On the first week after surgery, the rats received daily
733	injections of 30,000U penicillin in 5mL saline. Bladders were expressed twice daily until
734	the animals recovered urinary reflexes.
735	Post-SCI surgical and neurophysiological procedures were conducted under
736	aseptic conditions 4 to 18 weeks post-SCI. At the time of these procedures, ages ranged
737	from 4.5 to 7.5 months. After an initial, stable anesthetic state was reached using
738	isoflurane anesthesia, isoflurane was withdrawn and the first dose of ketamine
739	hydrochloride (100 mg/kg)/xylazine (5 mg/kg) was administered. The rats were placed in
740	a Kopf small-animal stereotaxic instrument and a craniectomy was performed over the
741	motor cortex. The dura was incised and the opening filled with warm, medical grade,
742	sterile silicone oil. Core temperature was maintained within normal physiological limits
743	using a feedback-controlled heating pad during the entire procedure. A stable anesthetic
744	level was assessed by monitoring the respiratory and heart rate, and reflexes to noxious
745	stimuli.
746	In each rat, neuronal recordings were begun at ~3 h after initiation of the
747	procedure. Neuronal action potentials (single-units or spikes) were recorded with a
748	single-shank, 16-channel Michigan-style linear microelectrode probe (Neuronexus, Ann

749	Arbor, MI). A total of 15 channels were active in each procedure. The tip of the probe
750	was lowered to a depth of 1720 μ m below the cortical surface, allowing accurate
751	determination of depth for each recording site. Because no hindlimb responses were
752	evoked using ICMS in SCI rats, the location of HLA in SCI rats was determined by the
753	stereotaxic coordinates derived in normal rats in a previous study (centered at 2 mm
754	posterior and 2.64 mm lateral to bregma). At each cortical location, electrical activity was
755	collected and digitized for 5 min from each of the 15 active sites using
756	neurophysiological recording and analysis equipment (Tucker Davis Technologies,
757	Alachua, FL). Neural spikes were discriminated using principle component analysis.
758	Sample waveforms (1.3 msec in duration) were collected that passed 5.5 X SD below
759	root mean square (RMS). After each experiment, the probe was cleaned with Opti-Free
760	solution (Alcon Laboratories, Fort Worth, TX), followed by ethanol and then rinsed
761	thoroughly in distilled water. The electrode impedance of each site remained at $0.9 M\Omega$
762	for each experiment. At the end of the recording session, rats were humanely euthanized
763	with an overdose of sodium pentobarbital. Rats were randomized to SCI and control
764	groups. It was not possible to blind the surgeon performing the craniectomy and
765	neurophysiological data collection to group assignment, but these data were collected
766	using an automated system. The data analyst performing the post-hoc spike
767	discrimination procedures for each animal's neurophysiological data was blind to group
768	assignment.
769	

Statistics. Statistics were analyzed using Graphpad Prism 6. For spine density
measurements, we used paired two-tailed t-tests. Sample sizes were determined by power

772	analysis, setting a desired Cohen's d statistical power to 0.8 and using a 5% error rate
773	(type I). For the paired spine density analyses (Fig. 1d, 6b-c, 8b, 8i), we calculated a
774	minimum sample size of 10 primary dendritic processes per condition using means and
775	standard deviations from Fig. 1d. For FM unloading analyses (Fig. 3c, 3i, 6e, 6g, suppl.
776	3b-f), we used a minimum sample size of 50 FM puncta per condition based on
777	previously published data ²⁴ and using means and standard deviations from Fig. 3c. For
778	unresponsive/responsive FM puncta analyses (Fig. 3d-e, 6d, 6f, 8c-d), we calculated a
779	minimum sample size of 6 frames per condition using means and standard deviations of
780	unresponsive puncta in uninjured control versus 48h post-axotomy (Fig. 3e). For
781	vGAT/vGLUT1 puncta per area analyses (Fig. 5c, 8e-f, 8j), we calculated a minimum
782	sample size of 8 neuron fields per condition using the means and standard deviations of
783	vGAT puncta per area in control and axotomized samples (Fig. 5c). For the fraction of
784	GAD67 or vGLUT1 puncta to FM puncta analyses (Fig. 5a,b), we calculated a minimum
785	sample size of 18 frames per condition using the means and standard deviations found in
786	Fig. 5b. For DCC immunofluorescence per spine analyses (Fig. 8h), we calculated a
787	minimum of 75 samples per condition using the means and standard deviations of
788	controls and axotomized samples. We estimated requisite samples size for recordings of
789	spontaneous postsynaptic currents by assuming a doubling or halving of mEPSC or
790	mIPSC parameters following axotomy in correlation with the magnitude of changes
791	observed in our analysis of spine numbers, presynaptic release data, and based on similar
792	effect sizes being reported following synaptic activity blockade ^{64, 65} . Standard deviation
793	values for the power analysis were estimated based on previously published values from
794	dissociated hippocampal neuron electrophysiological recordings (Henry et al, 2012 and

795	Hartman et al, 2006). This analysis suggested we required 13 samples per group for
796	mEPSCs and 9 samples per group for mIPSCs. All recording, (Figures 3g-h, 5d-f) meet
797	or exceed the sample size required by our power analysis. For in vivo experiments,
798	hypotheses regarding spike firing rates were tested independently in each cortical layer
799	(Va, Vb and VI) using a two-tailed t-test, (alpha = 0.05). Samples were not excluded
800	from our data sets and sample size was determined based on our experience and previous
801	publications ²⁴ . As confirmation of sufficient sample sizes, we used the means and
802	standard deviations for layer Vb control and SCI conditions and calculated a minimum of
803	112 samples for control and 282 samples for SCI conditions. For all experiments, sample
804	sizes were equal or greater than the calculated minimum sample sizes.
805	
806	Data availability. The microarray data has been submitted to GEO (accession
807	number GSE89407). All relevant data will be available from authors upon request.
808	

809 **REFERENCES**:

- 810 1. Nudo, R.J. Front Hum Neurosci 7, 887 (2013).
- 811 2. Takechi, U., et al. Clin Neurophysiol **125**, 2055-2069 (2014).
- 812 3. Nudo, R.J. & Milliken, G.W. J Neurophysiol **75**, 2144-2149 (1996).
- 813 4. Oudega, M. & Perez, M.A. J Physiol **590**, 3647-3663 (2012).
- 814 5. Frost, S.B., *et al. J Neurophysiol* **89**, 3205-3214 (2003).
- 815 6. Rishal, I. & Fainzilber, M. Nat Rev Neurosci 15, 32-42 (2014).
- 816 7. Urban, E.T., 3rd, *et al. Molecular and cellular biochemistry* **369**, 267-286 (2012).
- 817 8. Kim, B.G., et al. Exp Neurol 198, 401-415 (2006).
- 818 9. Jacobs, K.M. & Donoghue, J.P. Science 251, 944-947 (1991).
- 819 10. Ding, M.C., et al. J Neurosci **31**, 14085-14094 (2011).
- 820 11. Taylor, A.M., et al. Nat Methods 2, 599-605 (2005).
- 821 12. Taylor, A.M., et al. Neuron 66, 57-68 (2010).
- 822 13. Neto, E., et al. The Journal of Neuroscience **36**, 11573-11584 (2016).
- 823 14. Nakatomi, H., et al. Cell 110, 429-441 (2002).
- 824 15. Will, B., et al. Progress in Neurobiology 72, 167-182 (2004).
- 825 16. Taylor, A.M., et al. J Neurosci 29, 4697-4707 (2009).

- 826 17. Banker, G.A. & Cowan, W.M. Brain Res 126, 397-342 (1977).
- 827 18. Benson, D.L., et al. J Neurocytol 23, 279-295 (1994).
- 828 19. Greer, J.E., et al. J Neurosci **32**, 6682-6687 (2012).
- 829 20. Ikeda, S. & Nakagawa, S. Brain Res 792, 164-167 (1998).
- 830 21. McIlwain, D.L. & Hoke, V.B. *BMC Neurosci* 6, 19 (2005).
- 831 22. Ghosh, A., et al. Cereb Cortex 22, 1309-1317 (2012).
- 832 23. Gao, X., et al. PLoS One 6, e24566 (2011).
- 833 24. Taylor, A.M., et al. J Neurosci 33, 5584-5589 (2013).
- 834 25. Zakharenko, S.S., et al. Nat Neurosci 4, 711-717 (2001).
- 835 26. Frost, S.B., et al. J Neurotrauma 32, 1666-1673 (2015).
- 836 27. Lewicki, M.S. Network 9, R53-78 (1998).
- 837 28. Nudo, R.J., et al. The Journal of Comparative Neurology 358, 181-205 (1995).
- 838 29. Barron, K.D., et al. J Neuropathol Exp Neurol 47, 62-74 (1988).
- 839 30. Chen, J.L., et al. Neuron 74, 361-373 (2012).
- 840 31. Markram, H., et al. Nat Rev Neurosci 5, 793-807 (2004).
- 841 32. Chiu, C.Q., et al. Science **340**, 759-762 (2013).
- 842 33. Higley, M.J. Nat Rev Neurosci 15, 567-572 (2014).

- 843 34. Manitt, C., et al. J Neurosci Res 84, 1808-1820 (2006).
- 844 35. Horn, K.E., *et al. Cell Rep* **3**, 173-185 (2013).
- 845 36. Goldman, J.S., et al. J Neurosci 33, 17278-17289 (2013).
- 846 37. Jaerve, A., et al. PLoS One 7, e49812 (2012).
- 847 38. Xu, K., et al. Science **344**, 1275-1279 (2014).
- 848 39. Manitt, C., et al. J Neurosci 29, 11065-11077 (2009).
- 849 40. Canty, A.J., et al. J Neurosci 33, 10374-10383 (2013).
- 850 41. Persson, A.K., et al. J Neurosci 33, 19250-19261 (2013).
- 851 42. Cho, Y., et al. Cell 155, 894-908 (2013).
- 43. Wong, Y.C. & Holzbaur, E.L. J Neurosci 34, 1293-1305 (2014).
- 853 44. Branco, T., et al. Neuron **59**, 475-485 (2008).
- 45. Gonzalez-Forero, D. & Moreno-Lopez, B. Neuroscience 283, 138-165 (2014).
- 855 46. Sunico, C.R., et al. J Neurosci 25, 1448-1458 (2005).
- 47. Stavoe, A.K. & Colon-Ramos, D.A. The Journal of Cell Biology 197, 75-88
- 857 (2012).
- 858 48. Colon-Ramos, D.A., et al. Science **318**, 103-106 (2007).
- 859 49. Ahn, K.J., et al. Neurosci Lett 419, 43-48 (2007).

- 860 50. Lu, H., et al. Front Med 5, 86-93 (2011).
- 861 51. Han, X., et al. Mol Neurobiol (2016).
- 862 52. Sun, H., et al. Neurobiol Dis 44, 73-83 (2011).
- 863 53. Tu, H., et al. Neuron **86**, 1407-1419 (2015).
- 864 54. McKinley, P.A. & Smith, J.L. J Neurosci 10, 1429-1443 (1990).
- 865 55. Wickersham, I.R., et al. Neuron 53, 639-647 (2007).
- 866 56. Fu, M.M. & Holzbaur, E.L. Autophagy 10, 2079-2081 (2014).
- 867 57. Nagendran, T. & Hardy, L.R. *Neuroscience* **199**, 548-562 (2011).
- 868 58. Rodriguez, A., et al. PLoS One 3, e1997 (2008).
- 869 59. Du, G., et al. Radiat Res 176, 706-715 (2011).
- 870 60. Menon, S., et al. Dev Cell **35**, 698-712 (2015).
- 61. Clements, J.D. & Bekkers, J.M. *Biophys J* 73, 220-229 (1997).
- 872 62. Larsen, R.S., et al. Neuron 83, 879-893 (2014).
- 873 63. Yamada, J., et al. J Physiol 557, 829-841 (2004).
- 874 64. Hartman, K.N., et al. Nat Neurosci 9, 642-649 (2006).
- 875 65. Henry, F.E., et al. J Neurosci **32**, 17128-17142 (2012).

878	Acknowledgements: We thank Stephanie Gupton for netrin-1, Kelly Carstens for
879	preliminary gene expression work, Cassie Meeker for technical support, and Fabio
880	Urbina for assistance in statistical analysis. We thank Richard Segal (MUSC), Julius
881	Dewald (RIC) and Taylor lab members for their advice and discussions. Funding:
882	A.M.T. acknowledges support from the Eunice Kennedy Shriver NICHD (K12
883	HD073945), NIMH (R42 MH097377), and an Alfred P. Sloan Research Fellowship.
884	Imaging was supported by the Confocal and Multiphoton Imaging Core Facility of
885	NINDS Center Grant P30 NS045892 and NICHD Center Grant (U54 HD079124). R.S.L
886	was supported by NRSA predoctoral fellowship F31 MH091817 and the UNC
887	Department of Cell Biology and Physiology's Dr. Susan Fellner fellowship. R.L.B. was
888	supported in part by a grant from the National Institute of General Medical Sciences
889	under award 5T32 GM007092. Author contributions: T.N. designed and performed
890	experiments and wrote the manuscript. R.S.L. designed and performed electrophysiology
891	experiments. R.L.B. designed and performed experiments S.B.F. performed
892	experiments. B.D.P. designed experiments. R.J.N. designed and performed experiments.
893	A.M.T. designed experiments and wrote the manuscript. Competing financial interests:
894	Yes there is potential competing interest. A.M.T. is an inventor of the microfluidic
895	chambers (US 7419822 B2) and has financial interest in Xona Microfluidics, LLC. T.N.,
896	R.L.B., R.S.L., R.J.N. and B.D.P. declare no competing financial interests.
007	

898	Fig. 1: Distal axotomy of pyramidal neurons within microfluidic chambers induced
899	dendritic spine loss on axotomized neurons. (a) 14 DIV rat hippocampal neurons
900	cultured within a microfluidic chamber. Pyramidal neurons were retrogradely labeled
901	using a G-deleted mCherry rabies virus added exclusively to the axonal compartment. (b)
902	Cartoon illustration of in vitro axotomy (Axot.) within microfluidic chambers to
903	selectively axotomize a subset of labeled neurons (red) that extend axons through the
904	microgroove region. Axons of uninjured neurons (grey) extend axons within the
905	somatodendritic compartment. (c) Representative images of mCherry-labeled neurons
906	and dendritic segments (inverted fluorescence) from repeated live imaging of uninjured
907	control (Uninj. cntl) and axotomized neurons. Axotomized neurons were imaged before
908	axotomy on DIV 13 (before) and uninjured controls were also imaged on DIV 13 (0 h);
909	both conditions were imaged at 14 DIV (24 h after). Image and inset scale bars, 50 and 5
910	µm, respectively. (d) Quantification of spine density illustrated in (c). Uninj. cntl: n=20
911	dendrites; 5 neurons; 3 chambers over 3 experiments; #spines/TDL: 315/2698µm (0h),
912	388/2737µm (24h after). Axot.: n=26 dendrites; 5 neurons; #spines/TDL: 405/3569µm
913	(before), 274/2998µm (24h after), 229/2821µm (48h after). 3 chambers over 3
914	experiments. Paired two-tailed t-test. **p<0.01, ****p<0.0001. Error bars, s.e.m.
915	
916	Fig. 2: Axotomy causes dendritic spine loss and reduces new spine formation. (a)
917	Quantification of stubby, mushroom, and thin spine densities before axotomy, 24h post-

918 axotomy, 48h post-axotomy, and in uninjured controls. Uninjured controls and

axotomized samples were imaged beginning at 13 DIV (labeled "0 h" or "Before",

920 respectively). *Uninj. cntl*: n=20 dendrites; 5 neurons; 3 chambers over 3 experiments;

921	#spines/TDL: 315/2698µm (0h), 388/2737µm (24h after). Axot.: n=26 dendrites; 5
922	neurons; #spines/TDL: 405/3569µm (before), 274/2998µm (24h after), 229/2821µm (48h
923	after). 3 chambers over 3 experiments. Repeated-measure two-way ANOVA, Bonferroni
924	post-hoc test. (b) Representative images of dendritic segments from uninjured control and
925	axotomized neurons at 0 h or before axotomy, respectively, and 24 h after. Astericks
926	indicate spines eliminated; arrows indicate formation of new spines. Scale bars, 5 μ m.
927	(c-d) Bar graphs represent percentage of spines eliminated (c) and newly formed (d) after
928	24h in controls and 24h post-axotomy. Uninj. cntl: n=28 dendrites; 6 neurons; #spines
929	eliminated: 92; #spines formed: 177; TDL(0h, 24h after): 3147, 3148µm. Axot: n=35
930	dendrites; 6 neurons; #spines eliminated: 208; #spines formed: 75; TDL (before, 24h
931	after): 4290, 3865µm. 3 chambers over 3 experiments. Unpaired two-tailed t-test.
932	**p<0.01, ****p<0.0001. Error bars, s.e.m.
933	

934 Fig. 3: Distal axotomy induces a delayed trans-synaptic increase in presynaptic-

935 excitability onto axotomized neurons. (a) A representative neuron retrogradely labeled

with a modified eGFP rabies virus via the axonal compartment. Enlarged region shows

937 FM puncta colocalized with eGFP dendrites and spines (arrows). ImageJ 'fire' color

938 look-up-table shown in (b). Scale bar, 20 μm. (b) Representative images show FM puncta

colocalized with eGFP dendrites (outlined in white dashed lines) before and after field

stimulation in uninjured control, and 24h and 48h post-axotomy. Arrows highlight

941 destaining at spines. Scale bars, 10 µm. (c) FM unloading of colocalized puncta 24h post-

axotomy (control, n=185 puncta; axotomy, n=256 puncta) and 48h post-axotomy

943 (control, n=232 puncta; axotomy, n=322 puncta). Two-way ANOVA, Bonferroni post-

944	hoc test. Inset shows FM decay time constant (τ) for puncta with τ <360sec (24h control,
945	n=151; 24h axotomy, n=201; 48h control, n=211; 48h axotomy, n=304). (b-c) Unpaired
946	two-tailed t-test. Each condition includes 5-6 chambers/neurons over 3 experiments.
947	(d) Percent responsive and unresponsive FM puncta per neuron field (n=8
948	fields/chambers; 4 experiments). Unpaired two-tailed t-test, axotomy versus control for
949	each timepoint. (e) Number of responsive and unresponsive FM puncta per frame at 48h
950	post-axotomy (n=11 chambers; 5 experiments). Unpaired two-tailed t-test, unresponsive
951	puncta. (f) Representative mEPSC traces 48h post-axotomy. (g) mEPSC frequency and
952	amplitude at 48h post-axotomy (control, n=17 neurons; axotomy, n=20 neurons; 4
953	experiments). Inset: Cartoon depicts recordings from either uninjured control neurons
954	(black) or directly injured neurons (red). (h) Analysis of mEPSC frequency and
955	amplitude of cut neurons [cut (red), n=10 neurons] compared to neighboring uncut
956	neurons within axotomized chambers [uncut (grey), n=10 neurons]. (g-h) Unpaired two-
957	tailed t-test, Welch's correction. (i) FM unloading of neighboring uncut neurons
958	identified by lack of eGFP (uncut neighbors, n=816 puncta), uninjured control neurons
959	(uncut-labeled, n=232), and axotomized labeled neurons (cut-labeled, n=322). Two-way
960	ANOVA, Bonferroni post-hoc test; Each condition, 5 chambers and 3 experiments.
961	Decay time constant (τ) of FM puncta at 48h post-axotomy (uncut-labeled, n=211; cut-
962	labeled, n=304; uncut neighbors unlabeled, n=703). One-way ANOVA, Bonferroni post-
963	hoc test. * p<0.05, *** p <0.001. Error bars, s.e.m.
964	

Fig. 4: Spinal cord injury increases spontaneous single-unit firing rate in layer Vb of
hindlimb cortex 4-18 weeks following injury.

967	Mean spontaneous firing rates of isolated single-units in layers Va, Vb and VI of the
968	hindlimb motor cortex in control rats (n=5) and rats with a spinal cord contusion at T9-10
969	(n=14). Laminar estimates are based on the depths of electrode sites on a single-shank
970	multi-electrode array relative to the cortical surface ²⁶ . In each rat, single-unit (spike)
971	activity was sampled from 4 to 6 locations within neurophysiologically-identified
972	hindlimb motor cortex. Data represent the mean firing rates of 1,744 isolated units in
973	Layers Va (control, n=124; SCI, n=312), Vb (control, n=155; SCI, n=390), and VI
974	(control, n=217; SCI, n=546). Two-tailed t-test, t=3.99, **** p<0.0001.
975	
976	Fig. 5: Distal axotomy induces culture-wide loss of inhibitory terminals and
977	increased frequency of spontaneous release events at GABAergic terminals.
978	(a) Representative images of fixable FM4-64FX puncta (red) and vGLUT1 (green) co-
979	immunolabeling in uninjured chambers and 48h following axotomy. White circles
980	highlight vGLUT1 expression at FM-labeled terminals. Scale bars, 10 μ m. Fraction of
981	vGLUT1+ FM puncta per neuron field at 48h post-axotomy normalized to uninjured
982	controls. n=18 neuron fields; 5 chambers over 3 experiments. (b) Fixable FM4-64FX
983	puncta (red) and GAD67 (green) co-immunolabeling. Quantification of GAD67-positive
984	FM puncta at 48h post-axotomy normalized to control. n=21 neuron fields; 5 chambers
985	over 3 experiments. (c) Number of vGLUT1 and vGAT puncta per neuron area
986	(axotomized or control) 48 h post-axotomy. n=8-9 neurons; 3 chambers per condition
987	over 3 experiments. (a-c) Unpaired two-tailed t-test. (d) Representative traces of mIPSC
988	recordings 48 h post-axotomy. (e) Quantification of mIPSC frequency and amplitude 48h
989	

990	unpaired two-tailed t-test with Welch's correction, $p = 0.05$; mIPSC amplitude: unpaired
991	two-tailed t-test, $p = 0.62$). (f) Analysis of mIPSC frequency and amplitude from
992	axotomized devices previously shown in (e) comparing neurons with axons that extended
993	into the axonal compartment and were cut (cut, n=8 neurons), to neurons that did not
994	extend axons into the compartment and were not cut (uncut, n=9 neurons). (mIPSC
995	frequency: unpaired two-tailed t-test, p = 0.94; mIPSC amplitude: unpaired two-tailed t-
996	test, $p = 0.51$). (<i>d-f</i>) Data shown were combined from 3 chambers. (g) Representative
997	dendritic segments (retrogradely labeled with eGFP rabies virus) showing spines that are
998	labeled with vGLUT1 (red) or vGAT (magenta) antibodies. White open circles highlight
999	dendritic spines with vGLUT1 and/or vGAT synapses. (h) Fraction of vGLUT1- and
1000	vGAT-positive spines at 24h post-axotomy. n=12-14 neuron fields; 7 neurons per
1001	condition; #spines: 458 (uninj. cntl), 394 (axot.); 3 chambers over 3 experiments. (i)
1002	Fraction of vGLUT1- and vGAT- positive spines at 48 h post-axotomy. n=11-15 neuron
1003	fields; 8-9 neurons per condition; #spines: 460 (uninj. cntl), 413 (axot.); 3 chambers over
1004	3 experiments. Scale bars, 5 μ m. Unpaired two-tailed t-test. **p < 0.01, ***p < 0.001.
1005	Error bars, s.e.m.
1006	

1006

Fig. 6: Injury-induced synaptic remodeling is triggered by retrograde propogation of injury signaling from axon-to-soma and gene transcription.

1009 (a) Representative images of neurons within microfluidic chambers retrograde labeled

1010 with eGFP rabies virus (inverted grayscale) before and 24h post-axotomy with vehicle or

1011 local activity blockade solution (ABS) applied to axons for 1h during axotomy. Inset

1012 shows zoomed in dendritic regions. Image and inset scale bars, 50 and 5 μ m,

- 1013 respectively. (b) Quantification of before and after spine density data described in (a).
- 1014 *Axotomy (vehicle)*: n=29 dendrites; 5 neurons; 3 chambers over 3 experiments;
- 1015 #spines/TDL: 437/4185µm (before), 274/3868µm (after). Axot. (ABS): n=33 dendrites; 5
- 1016 neurons; 3 chambers over 3 experiments; #spines/TDL: 426/3889µm (before),
- 1017 446/3700µm (after). (c) Quantification of spine density changes following application of
- 1018 transcription blocker (DRB) to the somatodendritic compartment for 1h during axotomy
- 1019 within microfluidic chambers. DRB (uninj.): n=27 dendrites; 6 neurons; 3 chambers over
- 1020 3 experiments; #spines/TDL: 362/4186µm (0h), 262/3934µm (24h after). DRB (axot.):
- 1021 n=28; 6 neurons; 3 chambers over 3 experiments; #spines/TDL: 343/3414µm (before)
- 1022 283/3248µm (after). (b,c) Unpaired two-tailed t-test, 24h post-axotomy (d) Percentage of
- 1023 responsive and unresponsive FM puncta at 48h post-axotomy. n=6 neuron
- 1024 fields/chambers per condition over 3 experiments. Unpaired two-tailed t-test, %
- 1025 responsive. (e) FM 5-95 unloading following 1h application of DRB during
- 1026 axotomy/control. Uninj. DRB: n=1580 puncta; 6 chambers per condition over 3
- 1027 experiments. Axot. DRB: 2213 puncta; 6 chambers per condition over 3 experiments.(f)
- 1028 Percent of responsive and unresponsive puncta at 48h post-axotomy following
- application of TTX to the somatodendritic compartment for 1 h during injury. n=6 neuron
- 1030 fields/chambers per condition over 3 experiments. Unpaired two-tailed t-test, %
- 1031 responsive. (g) FM unloading curves following application of TTX. Uninj. TTX: n=1360
- 1032 puncta; 6 chambers per condition over 3 experiments. Axot. TTX: n=1648 puncta; 6
- 1033 chambers per condition over 3 experiments. Two-way ANOVA, Bonferroni post-hoc test.
- 1034 *p<0.05, **p<0.01, ***p<0.001, **** p<0.0001. Error bars, s.e.m.
- 1035

1000		1	•	•	1.66 1.11	1 4 1	• • • • • • •
11146	Hig //• Notrin	$-1 \alpha \alpha n \alpha$	AVNPAGGIAN	10	dittorontiolly	7 roanlotod	within the
1036	Fig. 7: Netrin	-1 2010	こ にんしけ にももけしけ	15	UIII CI CIIII AII V	/ + 52014150	

1037 somatodendritic compartment following axotomy in microfluidic cultures and in

- 1038 vivo following spinal cord hemi-transection. Microarray analysis was performed on
- 1039 somatodendritic samples of uninjured controls and 24h post-axotomy cultures. Quality
- 1040 control data is presented in **Supplementary Fig. 5**. (a) Volcano plot showing
- 1041 differentially expressed RNAs that are significantly changed at 24h post-axotomy (One-
- 1042 way between-subject ANOVA; n=3 individual chambers each condition; Supplementary
- 1043 **Table 2**). (b) Microarray expression levels for *Ntn-1* within microfluidic chambers (left)
- 1044 and for housekeeping genes Odc1 and GAPDH (right). One-way between-subject
- 1045 ANOVA. (c) Microarray expression levels for *Ntn-1* (left) and *Odc1* and *GAPDH* (right)
- 1046 in cortical layers V/VI following hemi-transection at thoracic level 8. n=4 animals per
- 1047 group. *Ntn-1* levels are significantly reduced by 7 days following injury. Two-way
- 1048 ANOVA, Sidak's multiple comparisons test. *p<0.05. Error bars, s.e.m.
- 1049

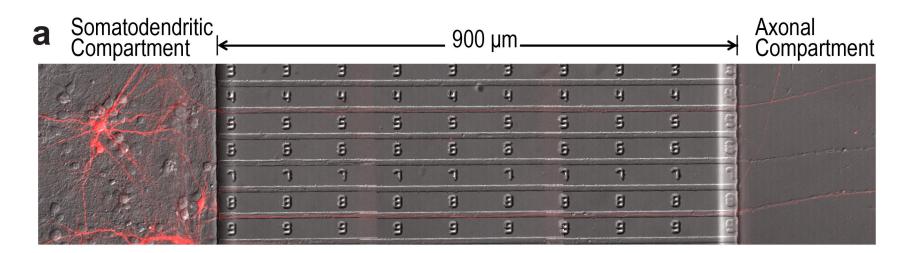
1050 Fig. 8: Exogenous netrin-1 normalizes synaptic changes following distal axotomy. (a)

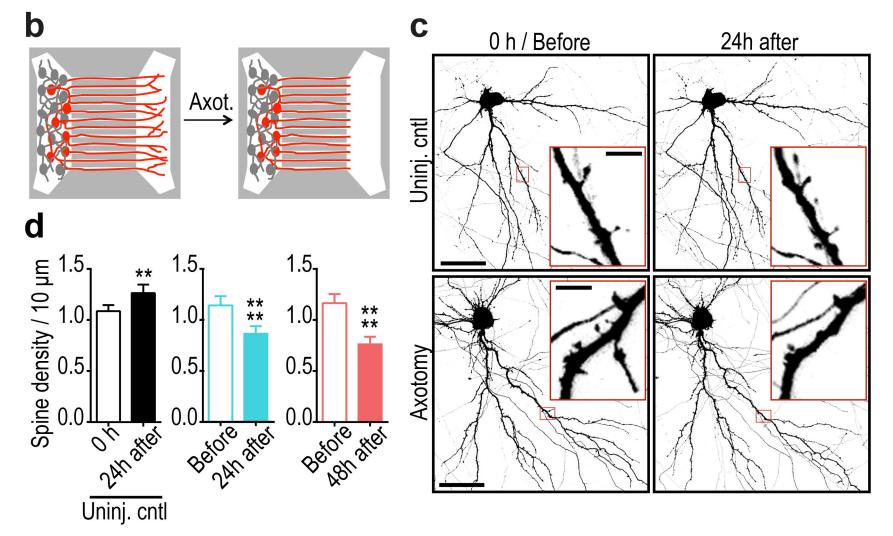
- 1051 Representative dendrites before and 48h post-axotomy treated with vehicle (HBS) or
- 1052 netrin-1 (Ntn1) beginning at 40h post-axotomy (inverted fluorescence). Arrows: new
- spines; red astericks: eliminated spines. Scale bars, 10 µm. (b) Quantification of spine
- 1054 density illustrated in (a). Axotomy: n=33 dendrites; 7 neurons; #spines/TDL: 392/3696µm
- 1055 (before), 263/3447µm (after). Axotomy+netrin-1: n=29 dendrites, 6 neurons;
- 1056 #spines/TDL: 293/3281µm (before), 363/3417µm (after). (c) Percent responsive FM
- 1057 puncta per neuron field at 48h post-axotomy with HBS or netrin-1. n=8-11
- 1058 fields/chambers per condition over 5 experiments. (d) Number of responsive and

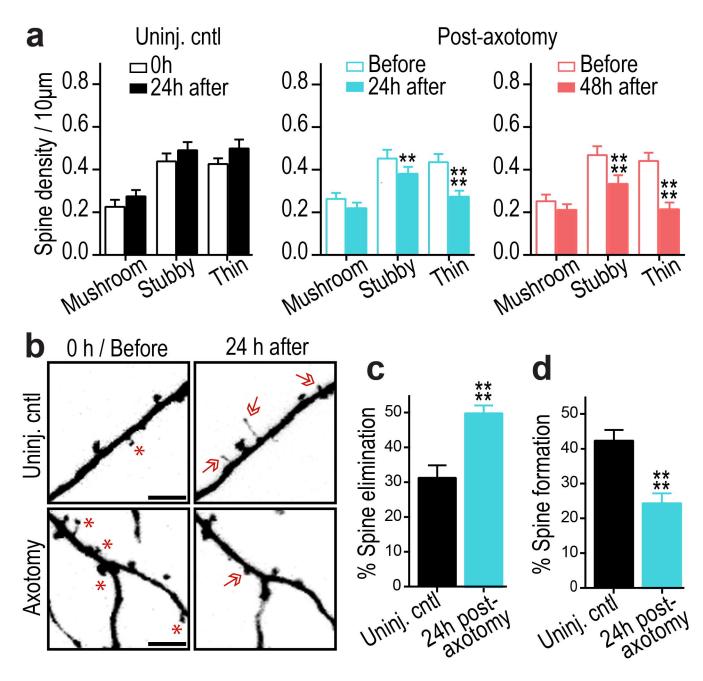
1059	unresponsive FM puncta from (c). Significantly fewer unresponsive puncta followed
1060	axotomy compared to uninjured control (HBS). (e-f) Number of vGLUT1 and vGAT
1061	puncta per neuron area (uninjured control, axotomized+HBS, or axotomized+netrin-1) at
1062	14 DIV. n=8-9 neurons; 3 chambers per condition over 3 experiments. (g) Representative
1063	DCC immunostaining (turquoise) in uninjured control, post-axotomy, and post-
1064	axotomy+netrin-1 in cultures with similar spine densities. Neurons were retrogradely
1065	labeled with fluorescent protein (FP, magenta) using an mCherry modified rabies virus.
1066	Scale bar, 10 μ m. (h) Quantification of DCC immunofluorescence per spine region-of-
1067	interest (ROI). ROI: 2µm diameter circular region surrounding each spine. Control,
1068	n=295 ROIs; axotomy, n=293 ROIs; axotomy+Ntn1, n=210 ROIs. 8 neuron fields/3
1069	chambers per condition; 3 experiments. (i) Quantification of spine density following 24h
1070	of control antibody (IgG ab.) or DCC function blocking antibody (DCC ab.). <i>IgG</i> : n=33
1071	dendrites; 8 neurons; #spines/TDL: 464/4864µm (before), 419/4712µm (after). DCC ab:
1072	n=34 dendrites; 7 neurons; #spines/TDL: 404/4433µm (before), 222/3647µm (after). (j)
1073	Representative FP-labeled dendritic segments immunostained for vGAT (inverted) and
1074	vGLUT1 (inverted) following 24h application of IgG or DCC antibodies (outlined
1075	dendrites, solid magenta line). Neurons were fixed at 15-16 DIV, older than the cultures
1076	in (<i>e</i> , <i>f</i>). Scale bar, 10 μ m. Quantification shown on the right. n=23 neuron fields per
1077	condition; 3 chambers per condition over 3 experiments. (b,i) Repeated-measure two-way
1078	ANOVA, Bonferroni post-hoc test; analyses included 1 chamber per condition for 3
1079	experiments. (c,j) Unpaired two-tailed t-test. (d-f,h) One-way ANOVA, Bonferroni post-
1080	hoc test. Error bars, s.e.m. *p<0.05, ****p<0.0001
1081	

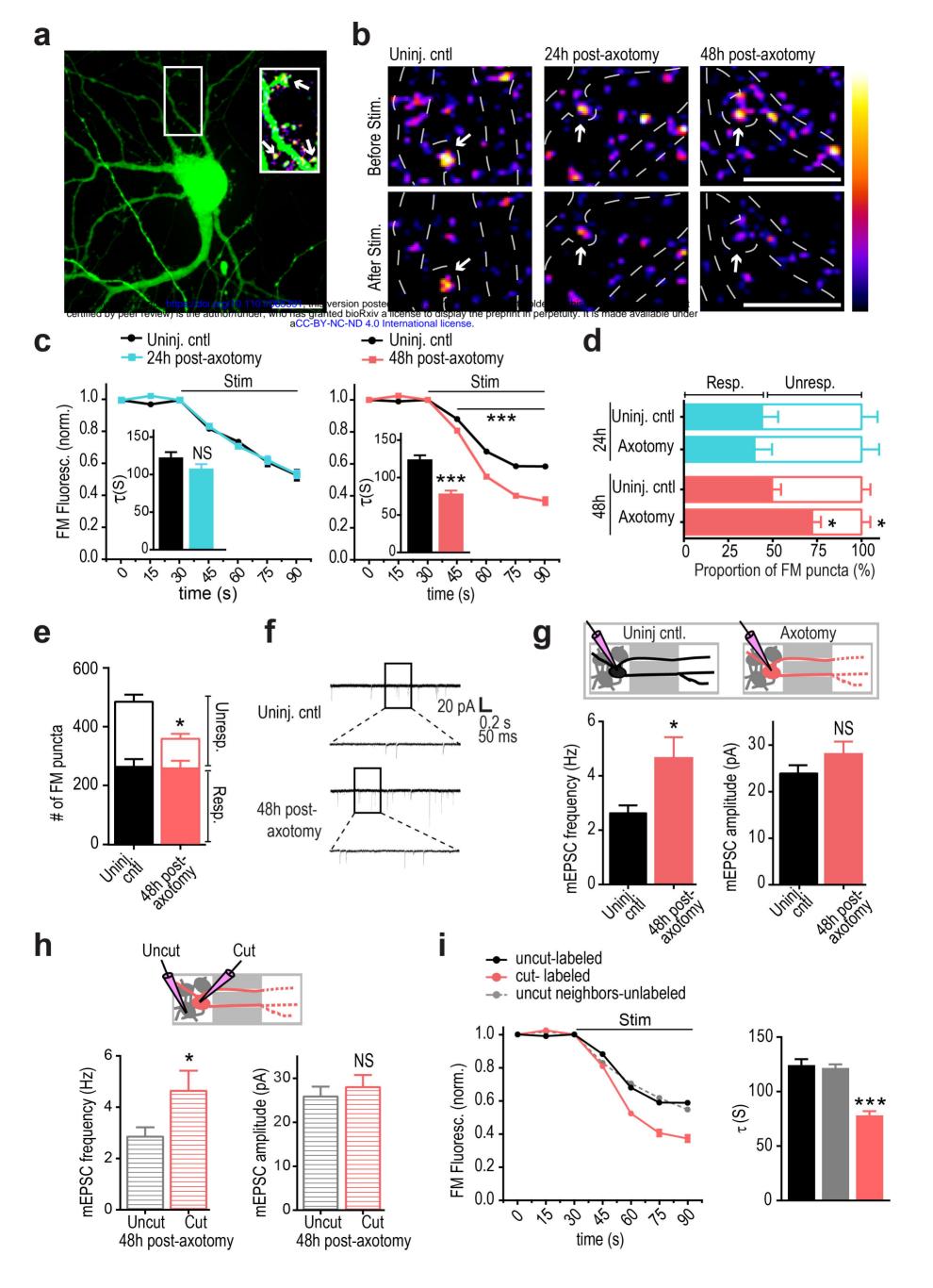
1082 Supplementary Figures and Tables:

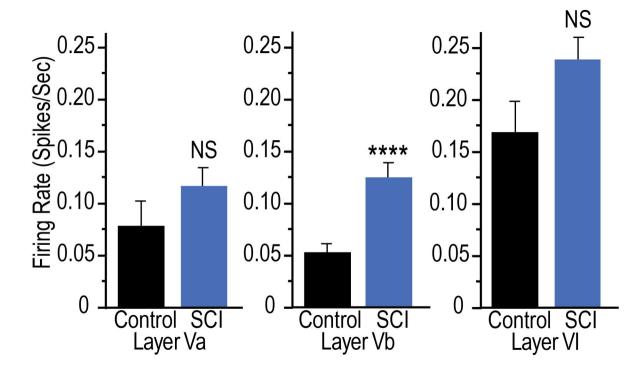
- 1083 Supplementary Fig. 1: Distal axotomy of pyramidal neurons induces dissolution of
- 1084 Nissl substance without affecting cell viability.
- 1085 **Supplementary Fig. 2:** FM puncta colocalize with synapsin1 immunolabeling.
- 1086 Supplementary Fig. 3: FM unloading curves for (1) somatodendritic compartments, near
- 1087 the microgrooves of microfluidic chambers without retrograde labeling, and (2) cortical
- 1088 and targeted neuron populations subjected to axotomy.
- 1089 Supplementary Fig. 4: Fluorescence micrograph of a dually innervated dendritic spine
- 1090 receiving both excitatory and inhibitory inputs.
- 1091 Supplementary Fig. 5: RNA quality assessment and verification of microarray quality
- 1092 controls.
- 1093 Supplementary Table 1: Membrane properties of uninjured controls vs. axotomized
- 1094 neurons 48 h post-axotomy
- 1095 **Supplementary Table 2:** List of transcripts that were significantly changed 24 h after
- 1096 injury.
- 1097 Supplementary Table 3: Cell-cell adhesion transcripts changed 24 h after injury (p <
- 1098 0.1)
- 1099

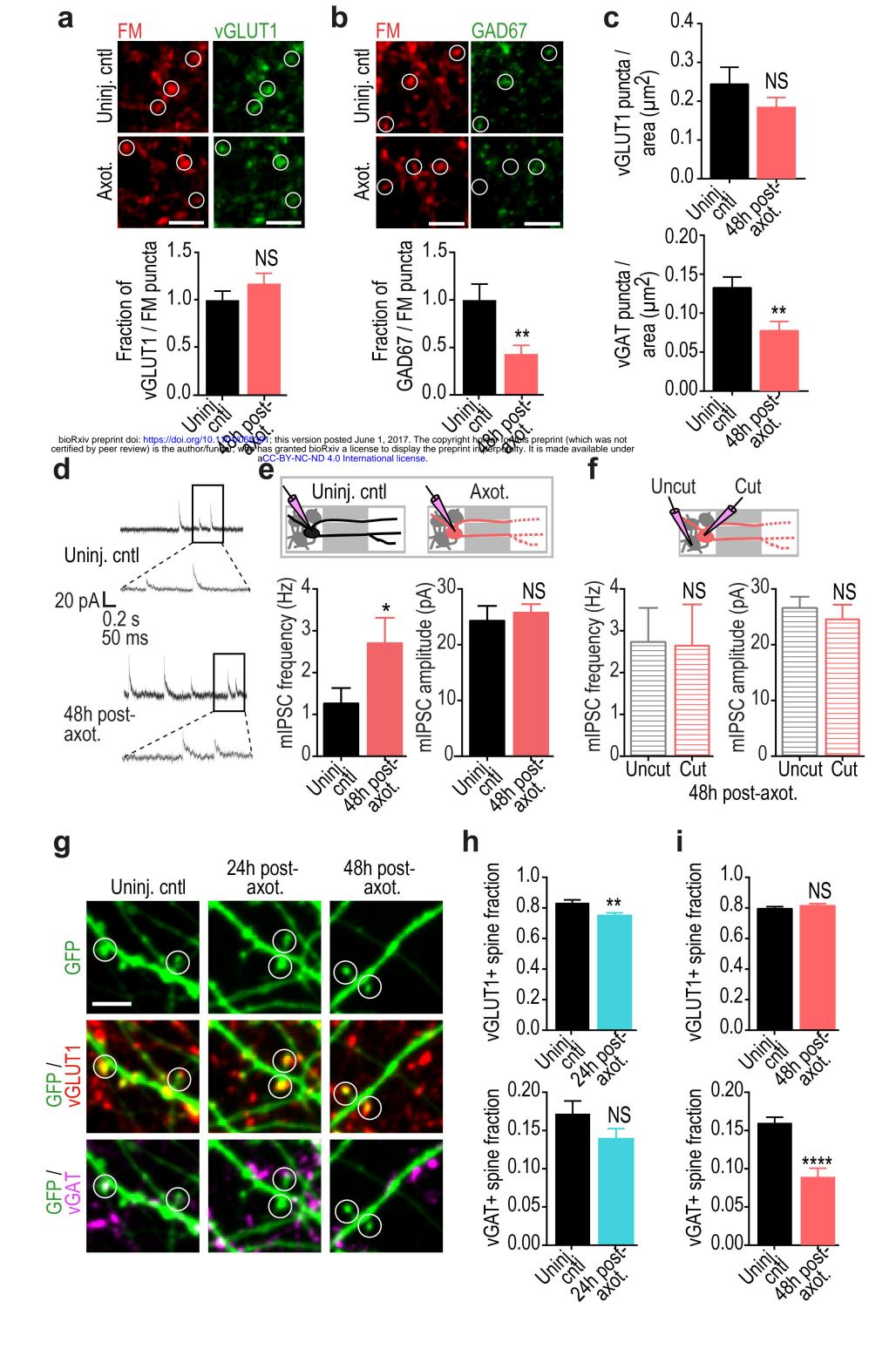


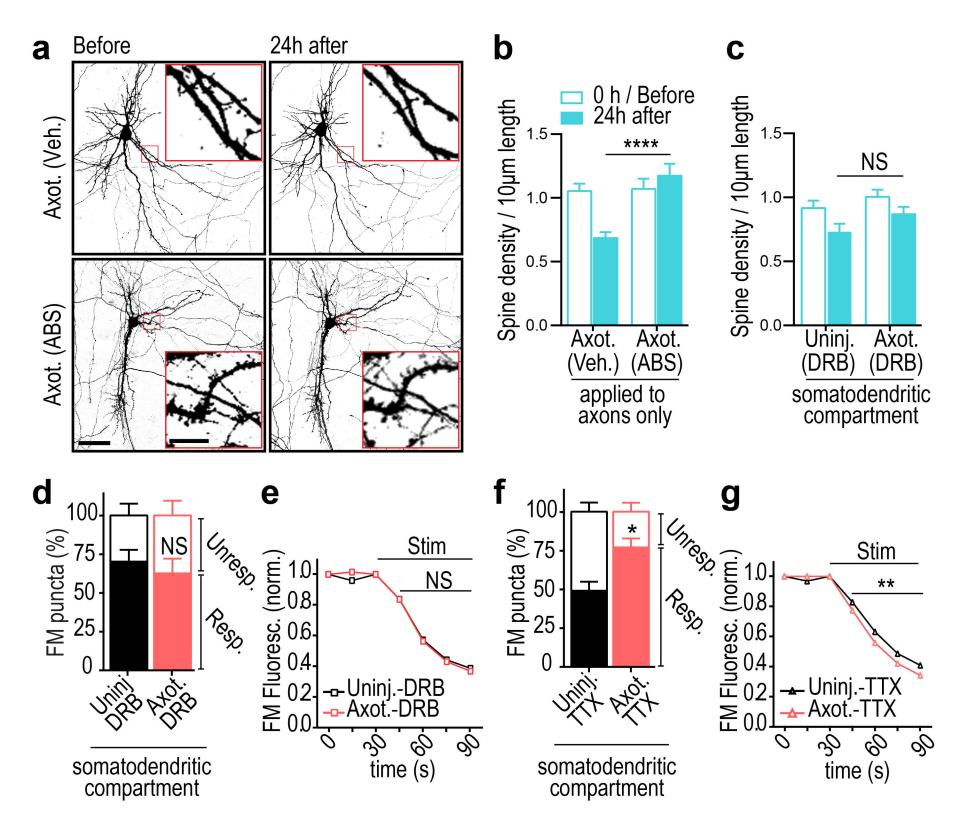












certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is aCC-BY-NC-ND 4.0 International license.

