The role of vertical symbiont transmission in altering cooperation and fitness of coral-*Symbiodinium* symbioses

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ABSTRACT

Cooperation between species is regularly observed in nature, but understanding of

what promotes, maintains and strengthens these relationships is limited. We used a

phylogenetically controlled design to investigate one potential driver in reef-building corals:

variation in symbiont transmission mode. Two of three species pairs conformed to theoretical

predictions stating that vertical transmission (VT) should maximize whole-organism fitness.

Montipora aequituburculata (VT) exhibited greater net photosynthetic function, calcification

rate and bleaching tolerance than its horizontally transmitting (HT) sister taxa *Acropora*

millepora. Similar performance was observed in *Porites lobata* (VT) and *Goniopora columna*

(HT), with the VT species showing higher fitness. However, *Galaxea archelia* (VT) was

consistently out-performed by its HT congener *G. astreata*. Cooperation, quantified with

radioisotope tracing of carbon sharing, was more variable across pairs, perhaps reflecting

different optimization strategies necessary to maximize holobiont fitness. Variation in fitness

patterns between VT and HT corals was not explained by differences in *Symbiodinium*

communities among species; however, reproductive mode may play a role as both *M.*

aequituburculata and *P. lobata* transmit symbionts transovarially whereas *G. archelia* broods

larvae. Taken together, transmission mode may be an important driver of symbiosis evolution

in some corals, but additional evolutionary mechanisms should be investigated.

1. INTRODUCTION

Inter-species cooperation has played a fundamental role in the evolution and diversification of life [1-4]. A continuum of relationships can be found in nature, ranging from fleeting facultative interactions, such as cleaner wrasse and their reef-fish clientele [5] to life-long partnerships, as in *Rhizobium*-legume symbioses [6], to fully integrated organelles, like mitochondria and chloroplasts within eukaryotic cells [7]. Yet despite pervasive examples across all taxonomic levels, surprisingly little is known about the factors that promote increased integration of inter-species partnerships and natural experimental systems for studying evolutionary transitions among cooperative states are lacking [8, 9].

Despite the positive connotation of "cooperative", these relationships are better defined as a spectrum that ranges from negative parasitic interactions to mutually beneficial symbioses, both within the context of a focal inter-species interaction and when comparing relationships across taxa [10-13]. One factor predicted to influence the level of cooperation between partners is the mode of symbiont transmission [14, 15]. Two transmission modes predominate: symbionts can be acquired horizontally from the local environment, usually during a defined larval stage, or vertically from parents, typically through the maternal germ line (reviewed in [16]). Virulence theory suggests that horizontal transmission allows symbionts to adopt selfish strategies, potentially harmful to the host [17]. A transition from horizontal to vertical transmission is predicted to align the reproductive interests of partners (via partner-fidelity feedback *sensu* [18]) and optimize resource sharing to maximize holobiont (the combination of host and symbiont) fitness [19-21]. Vertical transmission also results in the loss of an aposymbiotic life-stage for both hosts and symbionts; consequently, its evolution has also been proposed as a mechanism underpinning major transitions in individuality [9].

Experimental manipulations of transmission mode have provided empirical support

for a reduction in pathogen virulence under enforced vertical transmission scenarios [22-25].

Bacteriophages forced into vertical transmission evolved lower virulence and lost the

capacity to transmit horizontally [22]. Similarly, *Symbiodinium microadriaticum* under an

experimentally enforced horizontal transmission regime proliferated faster within their

Cassiopea jellyfish hosts while reducing host reproduction and growth [23]. However,

studies quantifying the fitness consequences of shifts in transmission mode in natural systems

remain rare, with Herre's demonstration of a negative relationship between vertical

transmission and virulence in nematodes that parasitize fig wasps a notable exception [26].

Reef-building corals are a potential system in which to study naturally occurring

transitions in transmission mode in a mutualistic symbiosis. Corals (Cnidaria: Anthozoa:

Scleractinia) are colonial animals that harbour intracellular populations of dinoflagellate

algae in the genus *Symbiodinium*. This symbiosis is considered obligate as the breakdown of

the relationship between host animals and their intracellular *Symbiodinium* algae, commonly

known as coral bleaching, has major fitness consequences for both partners, and can be lethal

(reviewed in [27]). This inter-species partnership is ancient (evolved ~ 250 MYA [28]),

prolific (600+ species worldwide [29]), and constitutes the foundation of one of the most bio-

diverse and productive ecosystems on the planet. The majority of coral species (~85%)

acquire their *Symbiodinium* horizontally from the local environment in each generation [30].

However, vertical transmission has evolved repeatedly across lineages, such that both

transmission strategies can be exhibited by different coral species within the same genus [31-

33].

While corals offer a potential opportunity to further our understanding of the

evolution of symbiont transmission mode, there are also pressing applied reasons to study this

relationship. Climate change and other anthropogenic processes threaten corals because of the

sensitivity of the coral-dinoflagellate symbiosis to environmental stress [34, 35]. However,

there is substantial variation in both intra- and inter-specific bleaching thresholds [36],

suggesting that levels of cooperation between host and symbiont may also vary. Significant

work has gone into investigating coral bleaching over the past three decades, yet fundamental

questions remain unresolved [37]. For example, five different hypotheses exist concerning

the cellular mechanisms causing symbionts to be lost or ejected from host tissues and there is

no consensus with respect to their relative importance during natural bleaching events [38]. A

greater understanding of coral bleaching mechanisms is necessary for predicting and

managing coral responses to climate change. Rather than asking what factors promote the

breakdown of the coral-*Symbiodinium* relationship, here we investigate a factor predicted to

reinforce it: the evolution of vertical symbiont transmission.

Figure 1. Cladogram of coral species used in this study (redrawn from 39,40) coloured by transmission mode.

We compare physiological components of fitness between horizontal and vertical

transmitters in a phylogenetically controlled design of three pairs of related coral species

exhibiting different strategies: (1) *Galaxea archelia* (vertical transmitter, VT) and *G. astreata*

(horizontal transmitter, HT); (2) *Porites lobata* (VT) *and Goniopora columna* (HT); (3)

Montipora aequituburculata (VT) *and Acropora millepora* (HT, Fig. 1). Species comparisons

were drawn from the same or sister genera and replicate comparisons from more distantly

related clades [39, 40]. Species also represented a diversity of reproductive modes (e.g.

broadcast spawner, brooder), sexual systems (e.g. hermaphroditic, gonochoric), and

morphologies (e.g. massive, branching), and host different subclades of *Symbiodinium* [41],

such that mode of symbiont transmission was the only consistent difference between pairs

[31, 33, 42]. We quantified photosynthetic function and coral calcification (i.e. growth) under

ambient conditions and changes in symbiont densities during thermal stress, to test the

hypothesis that vertical transmission is associated with increased holobiont fitness. To

examine patterns of cooperation we also quantified metabolic exchange between host and

symbiont using radioisotopes. Finally, we conducted amplicon sequencing of the

Symbiodinium ITS-2 locus to investigate whether symbiont community could explain patterns

of fitness and cooperation among horizontal and vertical transmitters at a species level.

2. MATERIAL and METHODS

(a) *Coral Collection and Acclimation*

Fragments from sixty unique coral colonies (~genotypes), ~ 20 cm in diameter, were

collected from reefs on the Central GBR from the 8-22 April 2015 under the Great Barrier

Reef Marine Park Authority permit G12/35236.1 and G14/37318.1 (see Supplementary

Material for additional details). Ten corals of each species, *Galaxea archelia* and *G. astreata*

were collected from Davies Reef (18°49.816', 147°37.888'), ten corals each of *Acropora*

millepora and *Montipora aequituburculata* were collected from Pelorus Island (18°33.358',

146°30.276') and ten corals each of *Goniopora columna* and *Porites lobata* were collected

from Pandora Reef (18°48.778', 146°25.593') from depths of <10m. Corals were transported

to AIMS Sea Simulator facility and placed in shaded holding tanks with 0.2 μM filtered flow-

through seawater (FSW, 27°C, 150 μM PAR). Each individual coral was further cut into 6

genotype replicates using a diamond blade band saw and these fragments were mounted on

aragonite plugs using either super-glue or marine epoxy. On 1 May 2015, fragments were

moved into 12 50-L treatment tanks fitted with 3.5-watt Turbelle nanostream 6015 pumps

(Tunze, Germany) with flow-through filtered seawater (FSW, ~25 liters/hour) at 27°C with a

12:12 light/dark cycle, peaking at 130-160 µM PAR at midday. Tanks were cleaned daily.

Beginning on 7 May, at 20 mins before dark every day, corals were fed *Artemia* naupli at a

density of 1-1.5 naupli/5ml and rotifers at a density of 1-3/ml.

(b) *Experimental Conditions and Physiological Trait Measurements*

Beginning on 28 May 2015, effective quantum yield of *Symbiodinium* photosystem II

(EQY) was measured daily for all experimental fragments using a pulse amplitude modulated

fluorometer (diving-PAM, Waltz) fitted with a plastic fibre optic cable (Fig. S1).

Measurements were made using factory settings with a measuring intensity of 12 and a gain

of 5 and taken at peak light intensity. EQY values were used to set the final sampling time

point, where a decline reflects an impact on the photosynthetic condition of the *Symbiodinium*

[43], indicating onset of the coral bleaching response.

On 31 May 2015, temperatures in the heat treatment tanks were increased at a rate of

1°C per day until temperatures reached 31°C (day 4, Fig S2). Sample time points occurred on

day 2 (29°C, 1 Jun), day 4 (31°C, 3 Jun) and day 17 (31°C, 16 Jun, Fig S2). On each

sampling day, one replicate of each genotype (n=10) and species (n=6) from each

temperature treatment (n=2; n=120 total per sampling day) were used to measure net

photosynthesis and light-enhanced calcification following the protocol described in Strahl et

al. [44].

To quantify net photosynthesis and instant calcification, corals were incubated in

enclosed acrylic chambers at their respective treatment temperatures and light levels for 1.5

h. Four chambers without corals were used as blanks to account for potential changes in

oxygen content and alkalinity due to the metabolic activity of other microorganisms in the

seawater. For net photosynthesis measures, the O_2 concentration of the seawater in each

chamber was measured at the end of the run using a hand-held dissolved oxygen meter

(HQ30d, equipped with LDO101 IntelliCAL oxygen probe, Hach, USA). Values from blank

chambers were subtracted from measures made in coral chambers and the subsequent rate of

net photosynthesis was related to coral surface area, calculated in $\mu g\ O_2/cm^2/min$. To

determine total alkalinity, a 120 ml subsample of seawater from each incubation chamber was

fixed with 0.5 mg mercuric chloride. Light calcification rates were determined from changes

in alkalinity quantified with the alkalinity anomaly technique [45] using a Titrando 855

Robotic Titrosampler (Metrohm AG, Switzerland). Values from blank chambers were

subtracted from measures made in coral chambers and calcification rate was related to coral

surface area and calculated in $\mu M\ CaCO_3/cm^2/min$.

Tissue was removed from snap frozen coral skeletons using an air gun and

homogenized for 60 s using a Pro250 homogenizer (Perth Scientific Equipment, AUS). A

300-μl aliquot of the tissue homogenate was fixed with 5% formalin in FSW and used to

quantify *Symbiodinium* cell density. The average cell number was obtained from four

replicate haemocytometer counts of a 1-mm^3 area and cell density was related to coral surface

area and expressed as cells/cm^2. The remaining homogenate was centrifuged for 2 min at

3500 rcf to separate host and symbiont fractions. For ^{14}C bicarbonate treated corals, 2 ml of

the host tissue slurry was frozen at -20°C. For ^{14}C-artemia/rotifer treated corals, the host

slurry was discarded and the *Symbiodinium* cell pellet was washed with FSW, resuspended in

2 ml FSW and frozen at -20°C. Coral skeletons were rinsed with 5% bleach then dried at

room temperature. Skeletal surface area was quantified using the single wax dipping method

[46] and skeletal volume was determined by calculating water displacement in a graduated

cylinder.

To measure resource sharing from algal symbionts to host animals, five genotypes of

each species from each treatment (n=30 control, n=30 heat) were placed into 18-L of FSW

with a 5-W aquarium pump for circulation and ^{14}C-bicarbonate (specific activity: 56

mCi/mmol) was added to a final concentration of 0.28 µCi/ml. Corals were incubated for 5

hours in light conditions identical to experimental tanks, rinsed with flow-through FSW for

one hour to remove remaining unfixed ^{14}C then snap frozen in liquid nitrogen. To measure

resource sharing from host animal to algal symbionts, freshly hatched *Artemia* (~600

naupli/ml) and rotifers (~600/ml) were incubated for 30 hours pre-feeding in FSW with 0.63

µCi/ml ^{14}C-glycine at 21°C in closed falcon tubes with gentle rotation (10 rpm), following

the protocols of [47, 48]. This radioactive feed mix was washed for 5 min in FSW and added

to treatment tanks containing the remaining five genotypes per species (n=30 control, n=30

heat) in place of the normal afternoon feed (1-1.5 naupli/5ml and 1-1.5 rotifers/ml).

Aquarium pumps were turned off for one hour and water flow was turned off for two hours to

allow for food capture by corals. Corals were snap frozen in liquid nitrogen the following

morning, 18 hrs post-feeding, following the protocol of [47].

Sample radioactivity was determined using a liquid scintillation counter (Tri-Carb

2810TR v2.12, Perkin Elmer, USA). For host samples, triplicate 300 µl aliquots were mixed

with 3.5 ml Ultima Gold XR liquid scintillation cocktail (Perkin Elmer, USA). Samples were

temperature and light adapted for 1 hour and then counted for 1.5 min using the default

parameters. Counts per minute were converted to disintegrations per minute (DPM) using a

standard curve derived from a ^{14}C Ultima Gold Quench Standards Assay (Perkin Elmer,

USA). For *Symbiodinium* samples, 500 µl aliquots were mixed with 500 µl 45% Sodium

hypochlorite. Triplicate technical replicates were run for day 17 samples, duplicates for day 4

samples and only a single replicate for day 2 samples. Samples were incubated for 2 hrs at

60°C to bleach chlorophyll. Samples were then mixed with 3 ml Ultima Gold XR liquid

scintillation cocktail; temperature and light adapted for 1 hour and counted using the same

conditions as host samples. Technical replicates were averaged and counts were related to

total *Symbiodinium* cell number and coral surface area, calculated in ^{14}C DPM/cell/cm^2 to

control for variation in host size and symbiont cell density.

To identify major *Symbiodinium* clades hosted by focal species, DNA was extracted

from symbiont fractions of the tissue homogenate for each genotype of each species (n=60)

using Wayne's method [49]. A restriction digest of the LSU region of *Symbiodinium* rRNA

[50, 51] across individuals consistently revealed single bands indicating the dominance of a

single *Symbiodinium* clade for four of the six species (*A. millepora*, *M. aequituburculata*, *G.*

columna and *P. lobata*) whereas communities in *G. astreata* and *G. archelia* appeared more

variable (Fig. S3). Therefore, DNA was pooled in equal proportions by species (n=6 samples)

to identify general species-specific communities and additional DNA samples for each of the

G. astreata and *G. archelia* individuals (n=20 samples) were submitted for amplicon

sequencing of the ITS2 region of *Symbiodinium* rRNA at the Genome Sequencing and

Analysis Facility at the University of Texas at Austin.

(c) *Statistical Analyses*

All statistical analyses were performed in R, version 2.15.3 [52]. A series of linear

mixed models were used to determine the effect of transmission mode on physiological

components of fitness and cooperation. First, we determined the effect of transmission mode

under ambient conditions. For corals from control tanks, we modelled the fixed effects of

transmission mode (levels: vertical, horizontal), sampling day (levels: day 2, day 4, day 17)

and their interaction on *Symbiodinium* cell density, net photosynthesis, light calcification and

host-symbiont resource sharing using the *lme* command of the *nlme* package [53]. Symbiont

densities and DPM values were log-transformed prior to statistical analyses to satisfy

assumptions of linearity and homoscedasticity of model residuals. Patterns were evaluated

within each focal species pair (*G. archelia* and *G. astreata; A. millepora* and *M.*

aequituburculata; *G. columna* and *P. lobata*), including a random effect of coral genotype

within species.

Next, we explored changes in each of these traits for each of the focal species pairs at

the end of a 17-day time-course of exposure to temperature stress. We modelled changes in

Symbiodinium cell density, net photosynthesis, light calcification and host-symbiont resource

sharing as a function of the fixed effects of transmission mode, temperature treatment, and

their interaction, again including a random effect of genotype within species. Symbiont

densities, and DPM values were log-transformed prior to statistical analyses.

All models were assessed for normality of residuals and homoscedasticity. To assess

goodness-of-fit, we used the function *rsquared.glmm* to calculate the conditional R^2 value for

each of our mixed models [54]. Significance of fixed factors within models was evaluated

using Wald tests and Tukey's post-hoc tests were used to evaluate significance among levels

within factors and interactions when warranted.

Amplicon sequencing data were analysed following methods described in [55]. A

total of 858,110 paired-end reads were generated and the program *cd-hit* [56] was used to

trim, quality filter and assemble raw reads into 100% identical groups, which resulted in 38

operational taxonomic units (OTUs). This stringent cut-off was used in order to distinguish

closely-related sub-groups within Clade C since C1 and C15, for example, are not resolved

with lesser thresholds. For pooled species samples, 59% of reads were mapped to these 38

OTUs, with total numbers ranging from 6213 to 20403 reads per sample pool (mean =

14479). For individual *Galaxea* spp. samples, 62% of reads were mapped, with 277 to 57728

reads per individual (mean = 23231). The *MCMC.OTU* package (ref) was used to analyse

pooled samples and individual *Galaxea* spp. sample sets independently, discarding both

outlier samples (those with total counts below z-score cutoff of -2.5) and OTUs (those

comprising less than 0.1% of the total sum of counts as per [57]). We then compared

normalized proportions of remaining OTUs represented across remaining samples and used a

blastn search against NCBI's *nr* database [58] to identify *Symbiodinium* types represented by

dominant OTUs. To determine the impact of *Symbiodinium* communities on fitness responses

within and among species pairs, OTU data were fit to a model incorporating a fixed effect of

performance (categorized as 'high' or 'low' fitness within each pair, e.g. Fig. 2).

3. RESULTS

(a) *The effect of transmission mode on physiological components of fitness*

(i) *Acropora millepora* and *Montipora aequituberculata*

Net photosynthesis was elevated in the vertical transmitting (VT) coral *M.*

aequituberculata compared to *A. millepora*, its horizontally transmitting (HT) relative

(R^2_{GLMM}=0.51, P<0.001, Fig 2A). *M. aequituberculata* also exhibited significantly elevated

rates of calcification (R^2_{GLMM}=0.47, P<0.0001, Fig 2B) and showed greater resilience to 17

days of elevated temperature treatment, retaining higher *Symbiodinium* densities than *A.*

millepora (Tukey's HSD<0.05, Fig. 2C).

(ii) *Goniopora columna* and *Porites lobata*

Trait patterns in *G. columna* and *P. lobata* were highly similar to the *M.*

aequituberculata - *A. millepora* pair. *P. lobata* (VT) exhibited greater net photosynthesis than

its HT counterpart, *G. columna* (R^2_{GLMM}=0.68, P_{Trans}<0.0001, Fig 2D), though an interaction

with sampling day was also observed, as the effect size was slightly less pronounced on the

final day of sampling (0.11 μg/cm^2/min, Tukey's HSD<0.01) when compared to the earlier

days (day 1: 0.15 μg/cm^2/min, Tukey's HSD<0.001; day 3: 0.21 μg/cm^2/min, Tukey's

HSD<0.001, Fig S4). Coral host calcification also tended to be elevated in *P. lobata*, but the

difference was not significant and the effect varied among sampling days (R^2_{GLMM}=0.42,

P=0.06, Fig 2E, Fig. S5). Thermal tolerance, however, was significantly greater in *P. lobata*

when compared to *G. columna* (Tukey's HSD<0.05, Fig. 2F).

Figure 2. Effect of transmission mode on fitness-related traits across species pairs. (A,D,G) Mean net
photosynthesis (±SEM, n=30 per species), (B,E,H) mean calcification (±SEM, n=15 per species) under ambient
conditions (27°C) and (C,F,I) mean bleaching (±SEM, n=30 per species), quantified as the loss of *Symbiodinium*
in heat-treated corals (31°C) relative to their paired controls in (A-C) *Acropora millepora* and *Montipora*
aequituberculata , (D-F) *Goniopora columna* and *Porites lobata*, and (G-H) *Galaxea astreata* and *G. archelia*.
In bleaching panels, 'heat<ctrl' indicates a significant effect of temperature treatment (P<0.05), independent of
transmission mode. Stars indicate significant difference between species pairs (*<0.05, **<0.01, ***<0.001).

(iii) *Galaxea astreata* and *Galaxea archelia*

Contrary to predictions, the HT congener, *G. astreata*, exhibited greater net

photosynthesis (R^2_{GLMM}=0.21, P<0.01, Fig 2G) and calcification (R^2_{GLMM}=0.30, P<0.001, Fig

2H) than *G. archelia* (VT). Thermal tolerance was not significantly different between these

species (Fig. 2I).

(b) *The effect of transmission mode on host-symbiont cooperation*

(i) *Acropora millepora* and *Montipora aequituberculata*

Symbiodinium densities did not differ between these species (R^2_{GLMM}=0.51, Fig 3A).

A. millepora (HT) received more photosynthetically fixed carbon from its symbionts than *M.*

aequituberculata (VT, R^2_{GLMM}=0.51, P<0.01, Fig 3B) and hosts exhibited a non-significant

trend of sharing more heterotrophically acquired carbon with each of their symbionts

(R^2_{GLMM}=0.29, P=0.17, Fig 3C).

Figure 3. Effect of transmission mode on cooperation across species pairs in ambient conditions (27°C).
(A,D,G) Mean *Symbiodinium* cell density (±SEM, n=30 per species), (B,E,H) mean carbon transfer from
symbiont to host (±SEM, n=15 per species) and (C,F,I) mean carbon transfer from host to symbiont (±SEM,
n=15 per species) in (A-C) *Acropora millepora* and *Montipora aequituberculata* , (D-F) *Goniopora columna*
and *Porites lobata*, and (G-H) *Galaxea astreata* and *G. archelia*. Stars indicate significant difference between
species pairs (*<0.05, **<0.01, ***<0.001).

(ii) *Goniopora columna* and *Porites lobata*

P. lobata (VT) exhibited reduced *Symbiodinium* densities in comparison to *G.*

columna (HT, R^2_{GLMM}=0.89, P<0.0001, Fig 3D). However, each *P. lobata* symbiont

transferred more photosynthetically fixed carbon to its host (R^2_{GLMM}=0.94, P<0.0001, Fig 3E)

and hosts shared more heterotrophically acquired carbon with each of their symbionts

(R^2_{GLMM}=0.97, P<0.0001, Fig. 3F) than *G. columna*, although the absolute amount shared

varied among sampling days (P=0.02, Fig. S6).

(iii) *Galaxea astreata* and *Galaxea archelia*

Symbiodinium densities did not differ between *G. archelia* (VT) and *G. astreata* (HT,

R^2_{GLMM}=0.46, Fig 3G). *G. archelia* symbionts tended to share more carbon with their hosts,

but this trend was not significant (R^2_{GLMM}=0.49, P=0.1, Fig 3H) whereas *G. astreata*

exhibited greater host to symbiont carbon transfer, although this pattern varied among

sampling days (R^2_{GLMM}=0.66, P<0.05, Fig 3I, Fig. S6).

(c) *Does Symbiodinium community composition explain fitness differences?*

For species pools, 24 operational taxonomic units (OTUs) of sufficient representation

(>0.1% abundance, *sensu* [57]) were identified and consisted of clade C1 and D1-type

Symbiodinium (Table S1). *Symbiodinium* communities varied among species (Fig. 4A) and a

model comparing high performing (*M. aequituberculata, P. lobata, G. astreata*) and low

performing species (*A. millepora, G. columna, G. archelia*, Fig. 2) found no relationship

between observed fitness differences and changes in abundance of these OTUs.

In *A. millepora*, 86% of reads were accounted for by three major OTUs all matching

to clade C-type *Symbiodinium*, identified as variants of C1 (Fig. 4A). *M. aequituberculata*

reads nearly all mapped to one of these same variants (C_BH34, 95%, Fig. 4A). Reads were

similarly uniform in *G. columna*, with 94% mapping to the most common C1-type OTU

across samples (Fig. 4A). In *P. lobata*, read diversity was more variable. 36% of reads

mapped to a C15-type OTU (Fig. 4A), a common associate of *Porites* spp. in the Indo-Pacific

[59]. An additional 47% of *P. lobata* reads also mapped to two other C1-type symbiont

OTUs, while 10% were identified as the most abundant clade D-type OTU, D1 (Fig. 4A).

Reads from both *Galaxea* spp. predominantly mapped to the major C1-type OTU identified

across species (63% of *G. astreata* reads and 43% of *G. archelia* reads, Fig. 4A) but these

species also contained major fractions of an OTU identified as a D1-type *Symbiodinium* (*G.

astreata*: 20%, *G. archelia*: 33%, Fig. 4).

Figure 4. *Symbiodinium* community composition. (A) Normalized proportion of reads mapping to each
Symbiodinium OTU (>0.1% abundance) from pooled samples of all individuals within each focal species. Log_{10}
proportion of (B) D-type and (C) C-type OTUs showing significant differences in abundance between individual
samples of *G. astreata* and *G. achrelia* by species (FDR<0.05).

Significant differences in OTU abundance were detected between *G. astreata* and *G.

archelia* when individual coral samples were analysed (Fig. 4B,C). For this sample set, 27

OTUs passed the abundance threshold, consisting of the same major OTUs identified in the

species pools and a few additional low abundance variants (<1%, Table S1). Of these, 23

showed significant differences in abundance between the two species (FDR<0.05). All of the

significant D-type OTUs showed increased abundance in *G. archelia* relative to *G. astreata*

(11/11, Fig. 4B) whereas the majority of C-type OTUs showed decreases (8/12, Fig. 4C). The

most notable exception to the increased D/decreased C pattern was the second most abundant

C-type OTU across samples, C_BH34, which was elevated in *G. archelia* (Fig. 4C, Table

S1).

4. DISCUSSION

Understanding variation in the degree of cooperation between corals and their

Symbiodinium will be critical for assessing survival potential among species and populations

in the face of increasing environmental change [12]. As in other mutualisms [19-21], vertical

transmission has been proposed as an evolutionary mechanism for enhancing holobiont

fitness in the Cnidarian-algal symbiosis [60]. This study is the first to empirically test these

predictions by quantifying photosynthesis, calcification, thermal tolerance and carbon sharing

using a phylogenetically controlled design that pits vertically transmitting corals against their

closest horizontally transmitting relatives (Fig. 1).

On average, trait patterns support theoretical predictions for the evolution of vertical

transmission (Fig. S7), but individual species pairs differ in their degree of conformity. Both

P. lobata and *M. aequituburculata* (VT) exhibited elevated net photosynthesis, calcification

and thermal tolerance, or greater holobiont fitness, than their HT counterparts (Fig. 2A-F),

consistent with predictions. However, the VT *G. archelia* provides no support as it was

consistently out-performed by its HT relative, *G. astreata* (Fig. 2G-I). Possible explanations

for these results include variation in *Symbiodinium* community or in life-history traits among

species.

Symbiodinium community composition does not explain differential fitness and cooperation

among taxa

Previous work has shown that variation in *Symbiodinium* community composition can

impact holobiont fitness and cooperation. For example, conspecific corals hosting clade D

Symbiodinium exhibit greater thermal tolerance than those hosting C1 or C2-types [61, 62],

however they generally grow more slowly under non-stressful conditions [63, 64] and receive

less photosynthetically fixed carbon from their symbionts [65]. We investigated

Symbiodinium community composition in our six focal species (Fig. 4) but did not detect any

consistent differences that could explain differential performance in high vs. low fitness

species (Fig. 2). The low-performing *A. millepora* and *G. archelia* did host more D-type

Symbiodinium than their paired counterparts, but so did *P. lobata*, the only species to exhibit

both elevated fitness and cooperation (Fig. 2,3,4). The overall diversity of symbionts hosted

was also unrelated to fitness, as both the high performing *M. aequituburculata* and low

performing *G. columna* hosted highly homogenous communities, with a single OTU

comprising 94-95% of reads in each (Fig. 4A). Taken together, these results support prior

observations that *Symbiodinium* community composition alone is not sufficient to explain

variation in coral fitness and that interactions with the host must also be considered [66-68].

Does reproductive mode influence the mode of symbiont transmission in corals?

Among the selected vertically transmitted species used in this study, *Porites lobata*

and *Montipora aequituburculata* exhibit a different reproductive life-history strategy than

Galaxea archelia, which may provide an alternate explanation for the lack of conformity in

the *Galaxea* spp. pairing relative to the other two. Two reproductive modes predominate in

reef-building corals: broadcast spawning and internal brooding. Broadcast spawning, the

majority strategy, is characterized by an annual release of gametes that undergo fertilization

at the ocean surface to produce planktonic larvae [33]. Brooders release only sperm,

fertilization is internal and larvae develop within parental tissue [69]. *P. lobata* and *M.*

aequituburculata are broadcast spawning corals that transmit *Symbiodinium* in oocytes. *G.*

columna, *A. millepora* and *G. astreata* are also broadcast spawning corals, but do not exhibit

vertical transmission of *Symbiodinium*. Whereas *G. archelia* is a brooding coral that releases

planulae larvae infected with the maternal symbiont type.

Predictions regarding the cooperative and fitness benefits of evolving vertical

transmission are based on the assumption that the host-symbiont relationship becomes

exclusive: symbiont populations are substantially reduced, resulting in genetic uniformity,

more rapid co-evolution of partner traits and reduction in intra-symbiont community

competition [4, 20]. Different mechanisms of symbiont infection are likely utilized in

brooders and broadcasters that could impact the strictness of vertical transmission. In

Montipora corals, *Symbiodinium* have been observed entering the oocytes between 8 and 32

hours prior to spawning [70] suggesting a specific mechanism with a defined window of

infectivity in broadcasting vertical transmitters. In horizontally transmitting corals,

phagocytosis is considered the predominant mode of symbiont uptake whereby larvae or

juvenile coral polyps consume *Symbiodinium* via active feeding [71] though developmentally

earlier acquisitions have also been observed [72]. The time-window for uptake and

establishment of symbiosis in these HT broadcast-spawned juveniles spans days to weeks and

the initial symbiotic relationships they establish can be highly promiscuous, suggesting that

the early establishment process may be passive on the part of the host [73, 74]. It is possible

that vertical transmission in brooding corals, such as *G. archelia,* occurs by a similar passive

larval uptake mechanism, save that the first infection occurs in the maternal environment.

Two recent studies suggest that acquisition of additional *Symbiodinium* types can occur after

the initial maternal infection [75, 76], supporting this conjecture. A meta-analysis by Fabina

et al. [77] also observed more diverse symbiotic interactions in brooding vertical transmitters

than in broadcasting vertical transmitters, further suggesting that the strictness of vertical

transmission may be dependent on the mode of infection across life-histories.

Competition among maternally-derived and environmentally-acquired *Symbiodinium*

would negate the fitness benefits associated with strict vertical transmission in brooding

corals, providing a possible explanation for the observed difference between *G. archelia* and

the two transovarial transmitters, *A. millepora* and *P. lobata*. However, as only one brooding

coral was used in the present study, it is difficult to draw any firm conclusions. Additional

comparative data on fitness in brooding corals and the mechanism of uptake and

establishment of symbiosis in general [78] are needed to test this hypothesis.

The disconnect between cooperation and fitness

The role of cooperation, defined here as resource sharing between hosts and

symbionts, in determining fitness outcomes of horizontal and vertical transmitters also

requires additional study as patterns of host-symbiont cooperation did not necessarily match

fitness patterns across species pairs. Cooperation and fitness were aligned in *P. lobata*:

Symbiodinium densities were naturally lower (Fig. 3D), suggesting reduced symbiont

virulence (*sensu* [23]), while carbon sharing between partners was greater (Fig. 3E,F),

suggesting enhanced cooperation. However, resource sharing in the other high-fitness vertical

transmitter, *M. aequituburculata*, was characterized by reduced symbiont to host carbon

transfer (Fig. 3B) and a tendency towards reduced host to symbiont transfer (Fig. 3C), though

Symbiodinium densities were not significantly different between species in this focal pair

(Fig. 3A). For *Galaxea* spp., *Symbiodinium* densities were also similar between species, but

symbiont to host carbon transfer tended to be greater in *G. archelia*, while host to symbiont

transfer was greater in *G. astreata* (Fig. 3G-I).

Quantifying cooperation between symbiotic partners in terms of biologically realistic

costs and benefits remains an outstanding question for many symbioses [20]. The transfer of

photosynthetically fixed carbon has long been known as a major cooperative benefit to the

coral host as up to 95% of a coral's energy requirements can be met through this mechanism

[79]; however, reciprocal products shared by hosts with their symbionts remain largely

unknown [80]. We chose to enrich our heterotrophic treatment (*Artemia*+Rotifers) with ^{14}C-

glycine because it is one of the few products known to be translocated from invertebrate hosts

to *Symbiodinium* [81, 82], but this metabolite may not be the most critical for *Symbiodinium*

fitness. The recently sequenced *Symbiodinium kawagutii* genome lacks biosynthesis

pathways for lysine and histidine [83], which may be better candidate host-derived amino

acids to investigate. Similarly, heterotrophic feeding can offset the need for symbiont-derived

carbon in some species and in these cases other symbiont-derived metabolites may be more

critical for host fitness. *Montipora capitata* showed a greater capacity for heterotrophic

supplementing of metabolism than two *Porites* spp. during lab-induced bleaching [84],

providing a possible explanation for the differences in symbiont to host carbon sharing

observed between *Montipora* and *Porites* in the present study. Ultimately, a greater

understanding of the metabolic interactions between coral hosts and symbionts is needed in

order to test their roles in fluctuating cost/benefit scenarios.

5. CONCLUSIONS

The major transitions approach, defined by Maynard Smith and Szathmáry [4], has

recently been proposed as a unifying conceptual framework for investigating how fully

integrated symbioses evolve from simple partnerships [3, 9]. We suggest that reef-building

corals meet the criteria for a major transition between species: the partnership is obligate,

vertical transmission has evolved repeatedly, and in some cases, this evolution has aligned

partner interests, resulting in increased holobiont fitness. Reef-building corals have the

potential to fill an empirical gap in the study of major transitions between species and new

investigations into the coral-algal symbiosis using this broader evolutionary framework will

increase understanding of one of the most ecologically important and critically endangered

symbioses on the planet. For example, changes in transmission mode are not the only means

of increasing cooperation between species [18]. Partner sanctions, in which hosts selectively

weed out underperforming symbionts or reward the most productive individuals, are known

to be important in other endo-symbioses [85, 86]. Corals are known to regularly expel viable

symbionts (reviewed in [78]); however, this expulsion has yet to be examined in the context

of cooperation. It is possible that this process is not random, but rather targets removal of

underperforming symbionts. An improved molecular tool-kit is needed in order to

manipulate *Symbiodinium* performance and track subsequent expulsion to test this

hypothesis. Emerging model systems, such as *Aiptasia* [87], will likely facilitate future work

in this area.

DATA ARCHIVING

All scripts and input files will be available on DRYAD upon manuscript acceptance.

COMPETING INTERESTS

We have no competing interests.

AUTHOR CONTRIBUTIONS

CDK designed research; CDK and LKB performed research; CDK analysed data; CDK wrote

the first draft of the manuscript and both authors contributed to revisions and gave final

approval for publication.

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504 Table S1. Best BLASTn matches to the NCBI *nr* database [58] for the most abundant
505 operational taxonomic units (OTUs) detected across samples (>0.1% abundance). Value in %
506 column indicates mean percent abundance across all samples in which OTU was identified.
507 Origin column indicates which sample set OTU was identified in, species pools (P) or
508 individual *Galaxea* spp. (I).

OTU (name)	%	Origin	Description	E-value	Accession
OTU1 (C1)	49	P, I	Symbiodinium sp. C1	0.0	JN558041.1
OTU2 (D1)	31	P, I	Symbiodinium sp. D1	3e-174	JN558075.1
OTU3 (C_BH34)	13	P, I	Symbiodinium sp. C	4e-168	JN711493.1
OTU4 (D1a)	7	P, I	Symbiodinium sp. D1a	2e-170	JN558080.1
OTU5 (D1a-2)	6	P, I	Symbiodinium sp. D1a	2e-170	JN558080.1
OTU6 (D1a-3)	3	P, I	Symbiodinium sp. D1a	2e-168	JN558080.1
OTU7 (C_BH39)	1	P, I	Symbiodinium sp. C	5e-172	JN711498.1
OTU8 (C15)	2	P, I	Symbiodinium sp. C15	1e-173	JN558044.1
OTU9 (D1a-4)	0.7	P, I	Symbiodinium sp. D1a	1e-168	JN558080.1
OTU10 (C1_1178)	0.7	P, I	Symbiodinium sp. C1	4e-168	EU074889.1
OTU11 (D1-2)	1	P, I	Symbiodinium sp. D1	2e-155	AB778759.1
OTU12 (C1-2)	0.4	P, I	Symbiodinium sp.	4e-167	EU786053.1
OTU13 (C1-3)	0.4	P	Symbiodinium sp.	4e-167	EU118163.1
OTU14 (C1-4)	0.2	P, I	Symbiodinium sp.	4e-167	EU786015.1
OTU15 (C1-5)	0.2	P, I	Symbiodinium sp.	3e-164	KP134434.1
OTU16 (C_BH39-2)	0.2	P, I	Symbiodinium sp. C	2e-165	JN711498.1
OTU17 (C_BH39-3)	0.5	P, I	Symbiodinium sp. C	2e-165	JN711498.1
OTU18 (D1-3)	0.2	I	Symbiodinium sp. D1	1e-163	JN558075.1
OTU19 (C1-6)	0.2	P, I	Symbiodinium sp. C1	1e-163	JN558041.1
OTU20 (C_BH34-2)	0.2	P, I	Symbiodinium sp. C	4e-158	JN711493.1
OTU21 (D1a-5)	0.3	I	Symbiodinium sp. D1a	5e-162	JN558080.1
OTU22 (C_BH34-3)	0.3	I	Symbiodinium sp. C	8e-160	JN711493.1
OTU23 (C1-7)	0.1	I	Symbiodinium sp.	1e-163	KP134433.1
OTU24 (D1-4)	0.1	I	Symbiodinium sp. D1	3e-159	JN558075.1
OTU25 (C_BH34-4)	0.3	P	Symbiodinium sp. C	2e-146	JN711493.1
OTU26 (C_BH39-4)	0.1	I	Symbiodinium sp. C	2e-165	JN711498.1
OTU27 (D1a-6)	0.1	I	Symbiodinium sp. D1a	3e-159	JN558080.1
OTU28 (C1_1142)	0.1	I	Symbiodinium sp. C1	2e-165	EU074884.1
OTU30 (C_BH39-5)	0.7	P	Symbiodinium sp. C	1e-163	JN711498.1
OTU31 (C1-9)	0.1	P	Symbiodinium sp. C1	1e-163	JN558041.1
OTU34 (C1v8)	0.1	P	Symbiodinium sp. C1	1e-147	HG942434.1
OTU35 (C1-10)	0.1	P	Symbiodinium sp. C1	3e-154	JN558041.1
OTU38 (D1-5)	0.1	I	Symbiodinium sp. D1	1e-163	JN558075.1

Figure S1. Daily measures of effective quantum yield (EQY±SEM, note that range of error
bars is extremely small, in most cases barely exceeding points) of *Symbiodinium* photosystem
II by temperature treatment. Bars show the difference in mean EQY between treatments
through time (n=720 per bar).

Figure S2. Temperature profile of experimental treatment tanks (±SD) by date. Bars indicate
time of sampling.

Figure S3. Taq1 digest of *Symbiodinium* LSU rRNA. Samples are grouped by species pairs.
Standard shows expected banding pattern for *Symbiodinium* genotypes in clades C and D.

534　Figure S4. Mean net photosynthesis (±SEM, n=10 per bar) in ambient conditions (27°C) by
535　sampling day and species.

549   Figure S5. Mean calcification (±SEM, n=10 per bar) in ambient conditions (27°C) by
550   sampling day and species.

564   Figure S6. Mean carbon transfer from host to symbiont (±SEM, n=5 per bar) in ambient
565   conditions (27°C) by sampling day and species.

567   Species

Figure S7. Average effect of transmission mode in ambient conditions (27°C) on mean
Symbiodinium cell density (±SEM, n=90 per mode), mean net photosynthesis (±SEM, n=90
per mode), mean carbon transfer from symbiont to host (±SEM, n=45 per mode), mean
carbon transfer from host to symbiont (±SEM, n=45 per mode) and mean calcification
(±SEM, n=45 per mode).

H   V   H   V   H   V   H   V   H   V

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