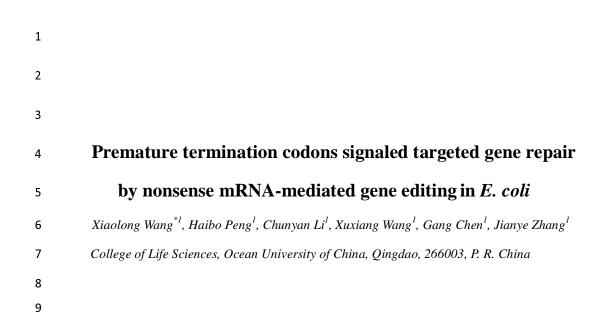
Premature termination codons signaled frameshift repair



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Abbreviations used in this article: *E. coli: Escherichia coli*; BLA: β-lactamase; *bla*: BLA gene; HSC: hidden stop codon; PTC: premature termination codon; OE-PCR: overlapping extension polymerase chain reaction; SDS-PAGE: sodium dodecyl sulfate polyacrylamide gel electrophoresis; TCB: tetracycline-containing broth; TCP: tetracycline-containing plate; ACP: ampicillin-containing plate; ACB: ampicillin-containing broth; KCP: kanamycin-containing plate; KCB: kanamycin-containing broth; 2nd NGS: the second-generation high-throughput sequencing; DEG: differentially expressed gene; GO: Gene Ontology; KEGG: Kyoto Encyclopedia of Genes and Genomes; KOBAS: KEGG Orthology Based Annotation System; FPKM: expected number of Fragments PerKilobase of transcript sequence per Millions base pairs sequenced; SNP: Single Nucleotide Polymorphism; InDel: Insertions/Deletion; SV: Structure Variation. DSB: double strand break;

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| т | |

Abstract

2 Frameshift mutations yield truncated proteins, leading to loss-of-function, genetic 3 disorders or even death. Reverse mutations, which restore the wild-type phenotype of 4 a mutant, was assumed to be far rarer than forward mutations. However, in this study, 5 screening tests showed that the revertants of a frameshift mutation were detected more 6 frequently than expected in E. coli. Sanger sequencing revealed that reverse mutations 7 were caused not by random mutagenesis but by active targeted gene repair. Molecular 8 studies suggested that it was the premature termination codons (PTCs) in nonsense 9 mRNAs that signaled the repair of the frameshift mutation. Genome survey indicated 10 that the genome sequence of a revertant is not more variable than that of a wild-type 11 strain. Transcriptome profiling identified differentially expressed genes and pathways 12 that were upregulated in frameshift or revertant which possibly involved in frameshift 13 repair, include DNA replication, RNA surveillance, RNA editing, mismatch repair and 14 homologous recombination. Introducing synthetic DNA or RNA oligonucleotides into 15 the mutant increased the recovery rates as they promoted the frameshift repair. Based 16 on these data, we hypothesized a molecular model for frameshift repair referred to as 17 nonsense mRNA-mediated gene editing (NMGE): nonsense mRNAs were recognized 18 by mRNA surveillance by PTCs signaling, edited by RNA editing and used to direct 19 the repair of their defective coding gene through mismatch repair and homologous 20 recombination. In addition, this mechanism also serve as a driving force for molecular 21 evolution and the widespread presence of frameshift homologs within and across 22 species is considered as evolutionary evidences preserved in nature.

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1 **1. Introduction**

DNA replication and DNA repair happens daily in cell division and multiplication. DNA damages, point mutations and InDels can be induced by chemical and physical mutagens, such as radiation, pollutants or toxins. In addition, because of the imperfect nature of DNA replication, mutations and InDels also occur spontaneously by replication errors or slipped strand mispairing.

If the length of an InDel is not a multiple-of-three, it causes a frameshift mutation in a protein-coding gene, results in a substantial change of its encoded amino acids in the downstream; in addition, it also often produces a number of nonsense codons and yields truncated and non-functional proteins [1], which may lead to a genetic disorder or even death [2-5]. The transcripts from a frameshifted coding gene are recognized as nonsense mRNAs by mRNA surveillance [6-12], and the nonsense codons emerged in a frameshifted gene or mRNA are called HSCs [1], also known as PTCs [13, 14].

14 The molecular mechanisms/pathways involved in the repair of DNA damages and 15 point mutations have been intensively studied and well-understood [15], including 16 Mismatch Repair, Base Excision Repair, Nucleotide Excision Repair, Homologous 17 Recombination and Non-Homologous End Joining. The maintenance of the reading 18 frames of coding genes is fundamentally important for cells. However, the molecular 19 mechanism for the repair of frameshift mutation (*frameshift repair*) remains unknown. 20 The reverse mutation phenomenon was discovered as early in the 1960s [16]. Forward 21 and reverse mutation have been both explained by random mutagenesis, and it has 22 been assumed that reverse mutations occur at a far lesser rate than forward mutations. 23 However, here we report that reverse mutations occur more frequently than expected in E. coli, and it is not a random mutagenesis but an active targeted gene repair. 24

25 **2. Materials and Methods**

26 2.1 Frameshift mutagenesis and back mutation screening

A plasmid containing a frameshifted β -lactamase gene (*bla*), pBR322-(bla-), was constructed by site-directed mutagenesis (Fig 1): in plasmid pBR322, a G:C base pair located in the upstream (+136) of the wild-type *bla* gene (*bla*+) was deleted by

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OE-PCR. Competent cells of *Escherichia coli* strain DH5 α was transformed with 1 2 pBR322-(bla-), propagated in TCB, and the transformed bacteria were plated on a TCP to count the total number of bacteria and plated on ACPs to screen for revertants. 3 4 The screened revertants were picked and propagated in ACB at 37°C with 200 rpm 5 shaking and with 1 mL of overnight seed culture in 10 mL ACB, their plasmids DNA 6 were extracted and their *bla* genes were Sanger sequenced. The recovery rate was 7 calculated by the number of revertants divided by the total number of bacteria. The growth rates were evaluated by the duration to reach the later log phase. 8

9 2.2 Construction and expression of a PTC-free frameshifted bla

10 A PTC-free frame-shifted bla (denoted as bla#) was constructed by an in vitro 11 *readthrough* technique: (1) the *bla*[#] sequence was derived from *bla*- by replacing each 12 nonsense codon with a sense codon according to the *in vivo readthrough rules* (Table 13 1), and adding a stop codon (TAA) in the 3'-end; (2) the *bla*[#] gene was chemically synthesized by Sangon Biotech, Co. Ltd (Shanghai), inserted into an expression vector, 14 15 pET28a, and transformed into competent cells of E. coli strain BL21. The transformed 16 bacteria were plated on a KCP, the grown transformants were picked and propagated 17 in KCB, plated on ACPs to screen for revertants. The revertants were subcultured in ACB to test their growth rates and their *bla* sequences were Sanger sequenced; (3) 18 19 The expression of the frameshifted BLA was induced by IPTG. Total protein samples were extracted, frameshifted BLA was purified by nickel column chromatography and 20 analyzed by SDS-PAGE. The activity of BLA was measured by iodometry. 21

22 2.3 Introduction of DNA or RNA oligonucleotides into the frameshift mutant

23 Competent cells of the frameshift mutant, which contains the defective plasmid 24 pBR322-(bla-), were transformed with a synthetic DNA or RNA oligonucleotides 25 with a 39-nt wild-type sequence restoring the base pair which was deleted in OE-PCR. 26 The transformed cells were cultured in TCB at 37°C for 6 hours with 200 rpm shaking, 27 and then plated on TCPs to count the total number of bacteria, and on ACPs to screen 28 for the induced revertants, whose *bla* gene were repaired. The induced revertants were 29 subcultured in ACB to test their growth rates, and their *bla* were Sanger sequenced. The recovery rate was calculated by the number of revertants divided by the total 30

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1 number of bacteria. The growth rates were evaluated by the duration to reach the later

2 log phase by culturing at 37°C with 200 rpm shaking and with 1 mL of overnight seed

3 culture in 10 mL ACB.

4 2.4 Genomes resequencing and variation analysis of the E. coli strains

5 Genomic DNA samples were extracted from wild-type (bla+) and revertant (bla^*) strains. Library preparation and genome sequencing were conducted by a commercial 6 service provided by Novogene Co. Ltd. The library was sequenced on an Illumina 7 8 HiSeq 250PE platform and paired-end reads were obtained. Raw reads in fastq format were processed by removing the adapter sequence and low quality reads. The clean 9 reads with high quality were mapped onto the reference genome of E. coli K12 10 11 MG1655 (NC_000913.3) to identify SNPs, InDels and SVs of each sample. GATK2 12 software was used to perform SNP calling. Samtools v0.1.18 was used to sort the 13 reads and reorder the bam alignments. Circos was used to display the coverage of the 14 reads and the distribution of SNPs and InDels on the ring diagram. BreakDancer was 15 used to detect structure variations.

16 2.5 Transcriptome analysis of the E. coli strains

17 Total RNA samples were extracted from the wild type (bla+), the frameshift (bla-)and the revertants (bla^{*}) E. coli strains. Library preparation and RNA sequencing 18 19 were conducted by a commercial service provided by Novogene Co. Ltd. After library preparation and cluster generation, the library was sequenced on an Illumina HiSeq 20 platform. The paired-end raw reads were processed by removing the adapter sequence 21 22 and low quality reads. The clean reads with high quality were mapped onto the 23 annotated reference genome, E. coli K12 MG1655 (NC_000913.3), and the expression levels of each gene were calculated for each sample and compared to each 24 other to identify DEGs, enriched GO terms and KEGG pathways. 25

26 (1) Quantification of gene expression level

Bowtie (v2-2.2.3) was used for index building and aligning the clean reads to the reference genome. HTSeq (v0.6.1) was used to count the numbers of reads mapped to each gene. And then FPKM of each gene was calculated based on their lengths and reads counts.

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1 (2) *Differential expression analysis*

Prior to differential expression gene analysis, for each strain the read counts were adjusted by the edgeR program through one scaling normalized factor. Differential expression analysis of two conditions was performed using the DEGSeq R package (1.20.0). The P values were adjusted by the Benjamini & Hochberg method. Corrected P-value of 0.005 and log2 (Fold change) of 1 were set as the threshold for significantly differential expression.

8 (3) GO and KEGG enrichment analysis of differentially expressed genes

GO enrichment analysis was implemented by the GOseq R package, in which
gene length bias was corrected. GO terms with corrected P-value less than 0.05 were
considered significantly enriched by DEGs. KOBAS 2.0 was used to test the
statistical enrichment of DEGs in KEGG pathways (http://www.genome.jp/kegg/).

13 **3. Results and Analysis**

14 3.1 The growth of the frameshift mutant and the revertants

15 When a plasmid pBR322 containing a wild-type bla gene was transformed into E. 16 *coli*, including strain DH5 α and BL21. The resulting wild-type (*bla*+) bacterial cells 17 grew well on both ACPs and TCPs (Fig 2A, left). One single G:C base pair in the 18 upstream of bla was deleted by OE-PCR. In the frameshifted bla (bla-), seventeen 19 nonsense codons appeared; the active sites of BLA, including its substrate binding site, 20 locate all in the downstream of the deletion. Therefore, *bla*- was expected to be a 21 loss-of-function and the transformed bacteria could not grow in ampicillin-containing 22 media. However, repeatedly a few ampicillin-resistant colonies (Fig 2A, middle) were 23 observed. The possibility of carryover or cross-contamination was ruled out by means of a negative or blank control (Fig 2A, right). So, they were revertants (bla^*) whose 24 25 *bla*- was repaired by a reverse mutation.

In addition, although most of the revertants can grow in ACB, the growth rates of different revertants varied greatly and are often much slower when compared to the wild-type strain: by culturing at 37°C with 200 rpm shaking, it took the wild type 12

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1 to 24 hrs. to reach the late log phase, while it took the revertants up to 36 to 48 hrs. to

2 grow, and many of the revertants failed to grow in ACB.

Hitherto, it seems that there is nothing unusual, since reverse mutations are very common phenomenon. Both forward and reverse mutation have been explained by random mutagenesis (Fig 3A): in DNA replication, natural or induced mutations occur randomly, forward mutations cause defective mutants or a loss-of-function, and reverse mutations restore the wild-type phenotype. In the above tests, the revertants survived only because they were 'lucky': their *bla-* gene coincidently restored by a reverse mutation, while most of the other bacteria died without a back mutation.

10 3.2 A reverse mutation is not a random mutagenesis but a targeted gene repair

11 The model for reverse mutation based on random mutagenesis sounds faultless. 12 However, in a 'thought experiment', we noticed that the model is indeed inconsistent: 13 (1) in the model, a bacterium survived if its defective *bla* gene was restored through 14 random mutagenesis. However, a reverse mutation must occur in a process of DNA 15 replication or repair in a living cell. Since the frameshift mutant itself could not live in 16 ampicillin, a reverse mutation must have already occurred in a cell before the adding of ampicillin. (2) In *E. coli*, forward mutations occur at a very low rate ($\sim 10^{-6} \sim 10^{-8}$ per 17 18 locus per generation). It was assumed that reverse mutations occur at a far lesser rate 19 than forward mutations. The reverse mutation rate for a certain frameshift mutation 20 should be orders of magnitudes lower than the forward mutation rate if it depends on random mutagenesis. (3) The bacterial genome consists of millions of base pairs, and 21 22 thousands of genes. If the cells did not 'know' which gene was defective, there would 23 be little chance to repair it through random mutagenesis. Therefore, reverse mutation 24 should be far lesser than a forward mutation. However, in fact the revertants can 25 always be detected by a routine screening test, so reversions occur much more frequently than expected. The average recovery rate measured in DH5 α is 2.61×10⁻⁸, 26 which is comparable to the baseline forward mutation rate; in BL21, however, it 27 reaches up to 1.19×10^{-7} , which is ~10-fold higher than the baseline. 28

Therefore, it is rather suspectable that biology relies on random mutagenesis, a simple but uncertain strategy, to address this important issue. It is more likely that a 7/28

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specific mechanism has been developed for frameshift repair in the history of life. In
 summary, the reversion of a frameshift mutation is not like a random mutagenesis but
 more like an active, and targeted, gene repair. However, how is a frameshifted gene
 identified and repaired at the molecular level? So far, it is still unknown.

5 3.3 Sanger sequencing of the bla genes of the revertants

To further investigate how a frameshift gene was repaired, the revertants were picked and propagated in ACB. Their plasmids DNA were extracted and sequenced by the Sanger method. Unexpectedly, in the initial revertants, the *bla* sequence read automatically from their Sanger sequencing diagrams are often neither a wild type nor a reverse mutation, but a frameshift (Fig 4A, top). These revertants were subcultured in ACB, some survived and some not. The initial revertants usually grow very slowly, but the survived subcultures grow faster and faster.

In the later subcultures, the *bla* sequences read automatically from their Sanger sequencing diagrams are often still a frameshift, but their sequencing diagrams often contain two (or three, or more) sets of superposed peaks (Fig 4A, middle), indicating that they consist of two (or three or more) types of *bla* sequences, one is the original frameshifted and the other ones are the repaired. Finally, in some of the fast-growing subcultures, most of their *bla* copies were repaired (Fig 4A, bottom).

19 The repaired *bla* sequences of the revertants (bla^*) were read from the secondary peaks in the Sanger diagrams artificially. By aligning the bla^* sequences against the 20 *bla*+ and *bla*- sequence, it was indicated that they contain a variety of InDels and 21 22 substitutions, especially G:C \rightarrow A:T transitions (Fig 4B, top), and their encoded amino acid sequences are different from the sequence of the wild-type BLA (Fig 4B, bottom). 23 24 Moreover, they often still contain a certain number of stop codons. In other words, the 25 frameshifted gene (bla-) was not restored completely, but partially and progressively, 26 resulting in a variety of frameshifted variants called *frameshift homologs*.

The tetracycline-resistant gene (*tcr*) in the same plasmid was also sequenced by Sanger method, while no obvious overlapping peak was observed in their sequencing diagrams. Therefore, the high-level variants observed in *bla* is not a result of random mutagenesis, but more like a gene repair targeting specifically to the *bla* gene.

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1 3.4 The genome of the revertant is not more variable than the wild-type

2 A mutator strain, such as *E. coli* strain mutD5, has an extraordinary high rate of spontaneous mutagenesis over their whole genome due to its defective proofreading 3 4 and mismatch repair during DNA replication [17]. To clarify further whether the bla 5 variants are the results of whole-genome random mutagenesis, the genome sequences of a wild type (bla+) and a revertant (bla*) were sequenced by 2^{nd} NGS sequencing. 6 As shown in Fig 4C-4D, Table 2-3, the SNP/InDel levels of the tested revertant is not 7 8 higher but even lower than that of the wild-type, suggesting that the genome of the 9 revertant was not more variable than that of the wild-type strain, suggesting that the 10 proofreading/mismatch repair system of the revertant was not defective and it adopted 11 a stringent strategy in DNA replication. Therefore, the high level of variants observed 12 in the *bla* genes of the revertants is not a result of whole-genome random mutagenesis, but an active gene repair targeting specifically to the *bla* gene. 13

14 In addition, genome structures analysis shows that there are quite some structure variations (SVs) in both two genomes tested (Fig 4E). However, most of the SVs size 15 in 100~200 bp, which equals approximately to the length of the reads, therefore, they 16 17 were considered as falsely-mapped reads rather than true structure variations. As well known, the 2nd NGS platforms can have several limitations, such as short read-length 18 19 and amplification bias, posing serious problems in genome assembly, mapping and structures analysis [18]. However, thanks to the extremely high depth of sequencing 20 of the 2nd NGS, the SNP/InDel data is considered valid and reliable. 21

22 3.5 PTCs signaled the repair of the frameshift mutation

23 We speculated that it was the PTCs presented in nonsense mRNAs that signaled the repair of the frameshift mutation. To validate this idea, a PTC-free bla- (denoted 24 25 as *bla*[#]) was derived from *bla*- by replacing each nonsense codon with an appropriate 26 sense codon in accordance with the *readthrough rules* (Table 1). The *bla*[#] gene was 27 synthesized chemically, cloned in the plasmid vector pET-28a and expressed in E. coli 28 strain BL21. A ~34-kDa band was detected by SDS-PAGE (Fig 5A), representing the 29 product expressed from the frameshifted *bla*[#]. No lactamase activity was detected by iodimetry test of the product (Fig 5B). 30

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1 The *bla#* gene of the transformants were Sanger sequenced, while few variations 2 were detected. The *bla#* transformants were plated on ACPs, but no revertant was 3 observed. In summary, the *bla#* gene was kept unchanged and a dysfunctional product 4 was expressed in its wrong reading frame. The only difference between *bla-* and *bla#* 5 is: the former gene consists of a certain number of nonsense codons, but the latter one 6 does not; therefore, it must be the PTCs appeared in the transcripts (nonsense mRNAs) 7 that signaled the repair of the frameshift mutation in *bla-*.

8 3.6 Identifying genes/proteins involved in frameshift repair

We speculated that frameshift repair requires the upregulation of relevant genes, 9 proteins and pathways. By transcriptomes sequencing and profiling, 292 or 247 genes 10 11 displayed upregulated transcriptions (Supplementary dataset 1-2), 65 or 58 pathways 12 were identified as upregulated in the pathway enrichment analysis (Supplementary dataset 3-4), respectively in the frameshift (FS) or revertant (RE) when compared to 13 the wild-type (W). As shown in Fig 6B-6C and Table 4-5, we manually selected 14 15 upregulated pathways that are probably involved in frameshift repair, including DNA replication (Fig 6D), RNA surveillance/processing (Fig 6E), mismatch repair and 16 17 homologous recombination (Fig 6F).

In addition, by carefully inspecting the list of upregulated genes, we noticed that 18 19 the cytosine or isoguanine deaminase gene (codA) was significantly upregulated in the frameshift. CodA catalyzes the hydrolytic deamination of cytosine to uracil. CodA 20 also catalyzes deamination of isoguanine, a mutagenic oxidation product of adenine in 21 22 DNA, and of isocytosine. As mentioned above, Sanger sequencing suggested that the repaired *bla* contains many G:C→A:T substitutions, suggested that they were derived 23 24 from deamination of DNA bases. It has been reported that deamination of DNA bases 25 generates deoxyinosine from deoxyadenosine and links mismatch repair and RNA 26 editing [19]. Here we found that the deaminase and the mismatch repair pathway were 27 both upregulated in the frameshift, suggesting that RNA editing and mismatch repair 28 were probably both involved in the frameshift repair. However, further experimental

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1 investigations are needed to validate the regulation and the function of these genes in

2 the repair of frameshift mutations.

3 3.7 A new model for frameshift repair: nonsense mRNA-mediated gene editing

At present, the mechanism for the recognition and repair of frameshift mutation is unknown at the molecular level. However, we postulated that the transcribed nonsense mRNAs must be involved, because PTCs cannot function in a double helix DNA but can only in a translating nonsense mRNA.

8 We conducted an in-depth survey of previous studies that covered a wide range of 9 prokaryotic and eukaryotic organisms. We put these fragmentary evidences together 10 by presuming that the underlying mechanism of frameshift repair is highly conserved 11 among prokaryotic and eukaryotic species. Based on our and others studies, here we 12 hypothesized a molecular model for frameshift repair, *nonsense mRNA-mediated gene* 13 *editing* (NMGE), consisting four main steps (Fig 3B):

(1). Nonsense mRNA recognition/processing: When a nonsense mRNA is translated, 14 the ribosome is blocked when it encounters a PTC [20]. In both prokaryotes and 15 eukaryotes, nonsense-mediated mRNA decay (NMD) is the best-studied nonsense 16 17 mRNA processing pathways [21-23]. NMD targeting mRNAs harboring PTCs for degradation enhances their decay rate and reduces the abundance of the mRNA 18 19 transcribed from that gene. It has been reported that, in eukaryotes, NMD uses the presence of the exon junction complex (EJC) in the downstream of a nonsense 20 codon as a second signal to distinguish a PTC from a true termination codon [24]. 21 22 In bacterial cells, mRNA quality is also tightly controlled but it is not yet clear how the nonsense mRNAs are processed [25]. Factors that regulate NMD include 23 three interacting proteins, UPF1, UPF2 (also known as NMD2) and UPF3, which 24 were encoded by highly conserved genes originally identified in yeast [26, 27]. In 25 26 addition to NMD, nonsense mRNAs are also subject to other mRNA processing 27 pathways, including nonsense-mediated alternative splicing, nonsense-mediated translational repression or nonsense-mediated transcriptional silencing. 28

(2). *RNA editing*: as described in the above, nonsense mRNAs must be involved in
 the recognition and repair of the frameshift mutation. Since a nonsense mRNA by 11/28

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itself is defective and it cannot template the gene repair directly, we assumed that 1 2 the nonsense mRNAs were functionalized by editing prior to templating the gene repair. RNA editing has been studied extensively and intensively [28-32], and the 3 4 mechanisms of RNA editing, such as insertion/deletion of uridine [33], has been 5 reported in kinetoplastid, trypanosome [34] and human [35], suggesting that the molecular mechanisms for RNA editing are widely exists and highly conserved. 6 7 In particular, the *apoB* mRNA editing complex suppresses NMD [36], suggesting that sometimes nonsense mRNAs are subjected to RNA editing instead of NMD. 8 As described above, in the revertants, different types of bases were substituted, 9 inserted or deleted in the *bla* gene. These editing events are located mostly near to, 10 11 or in the downstream, but not in the distant upstream of the base pair deleted in 12 the mutagenesis (Fig 4A-4B). This phenomenon can be explained by the PTCs in the nonsense mRNAs: the PTCs presented in the downstream of the deletion not 13 only signal the recognition of nonsense mRNA but serve as flags for RNA editing. 14 Therefore, the frameshifted gene might be repaired through editing the nonsense 15 mRNAs rather than repair the coding sequence directly. A cell can recognize the 16 nonsense mRNAs by PTC signaling, but it might be impossible to locate the site 17 of the frameshift-causing InDel exactly, so it is not always possible to restore the 18 19 original coding sequence. Therefore, the cell must insert, delete and/or substitute nucleotides randomly within or nearby the PTCs, resulting in a variety of variants 20 (frameshift homologs). In a word, by PTC-signaling, mRNA editing is restricted 21 near to or in the downstream of the InDel in the nonsense mRNAs, so that DNA 22 editing will also be restricted in the right regions in the target coding sequence. 23 (3). Nonsense mRNA translation: After RNA editing, the translation of the nonsense 24

mRNA restarted. If existed, the remaining PTCs were readthrough by translating each of them into an appropriate amino acid [37-41]. If the target gene is essential, a cell survives if and only if it obtained an mRNA encodes a functional protein. By transcription, each copy of the target gene produces many copies of nonsense mRNAs, and thus, the probability of producing a functional protein by mRNA editing is significantly better than that of random mutagenesis in its coding DNA. 12/28

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| 1 | It was reported that frameshifted or nonsense protein-coding genes are sometimes |
|----|--|
| 2 | still functional by expressing through certain mechanisms, such as translational |
| 3 | readthrough [42-45] and ribosomal frameshifting [46-54]. Mutations in upf genes |
| 4 | caused nonsense suppression and subsequent translational readthrough in yeast |
| 5 | and 5~20 fold decreases in the rates of NMD [55], simultaneously, suggested a |
| 6 | direct link between NMD and nonsense suppression. The three Upf proteins all |
| 7 | interact with Sup35, and Upf1 interact with Sup45 (eRF1), a second release factor, |
| 8 | and binding of a SMG-1-Upf1-eRF1-eRF3 (SURF) complex to EJC triggers Upf1 |
| 9 | phosphorylation and NMD [56]. In addition, the nonsense suppression caused by |
| 10 | upf mutations is additive to those by sup35 and sup45 mutations [57], suggesting |
| 11 | that nonsense suppression is a nonsense mRNA processing pathway alternative to |
| 12 | NMD. Therefore, when NMD is inhibited, nonsense mRNAs can be translated by |
| 13 | nonsense suppression and translational readthrough. |

(4). **RNA-directed gene editing**: A cell survived when it obtained a functional protein 14 by editing and translation of the nonsense mRNAs. The edited mRNAs were then 15 transported back into the nucleus (or plasmid) to localize and direct the repair of 16 17 its own coding gene. Although this molecular process is unknown in details, the mismatch repair and the homologous recombination pathways must be involved, 18 19 as they were both upregulated in the frameshift and the revertants (Fig 6F).

3.8 The NMGE model better explains the growth of the revertants 20

As mentioned above, the frameshift repair is not a sudden event but a progressive 21 22 process, the growth rate of a revertant changes and those of different revertants vary. 23 Interestingly, the sizes of the wild-type colonies are uniform (Fig 2), showing that 24 their growth rates are uniform; while the sizes of the revertant colonies varied greatly 25 (Fig 7A), indicating that their growth rates differed due to the activities of their BLA 26 were diversified. Comparing with the random mutagenesis model, NMGE can better 27 interpret the diversified growth rates of the revertants and the high-level variations 28 observed in their *bla* genes, but not in the other genes in their genome or the plasmid: (1). In the initial revertants, their bla genes were still frameshifted, they survive 29 can be explained in three possible ways: (a) the frameshifted *bla* is translated 30 13 / 28

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| 1 | by ribosomal frameshifting, produced a wild-type BLA; (b) the frameshifted |
|---|---|
| 2 | bla is translated by translational readthrough, produced a frameshifted but |
| 3 | functional BLA; or (c) the initial slowly-growing revertants may also contain a |
| 4 | few copies of repaired <i>bla</i> , but the proportion of the repaired <i>bla</i> was too low |
| 5 | to be detected by Sanger sequencing. As pBR322 is a multi-copy plasmid, it |
| 6 | might be sufficient to support the survival of the host cell in ACM even when |
| 7 | only a small proportion of its copies were repaired. Because these mechanisms |
| 8 | are very time- and resource-consuming, so that the host bacteria grow very |
| 9 | slowly, and many of them died if their bla was not repaired soon. |

10 (2). By NMGE, their *bla* were repaired progressively, not only before but also after
11 the adding of ampicillin. Ampicillin is the substrate of BLA, it is neither the
12 cause nor the terminator of the gene repair, but a selection pressure to screen
13 and display the revertants (the result of repair).

- 14 (3). NMGE is signaled by the PTCs presented in the *bla* nonsense mRNAs, and the
 15 aim of NMGE is to eliminate the PTCs. In the frameshifts, there were many
 16 PTCs in the *bla* mRNAs, the probability of producing a functional product by
 17 mRNA editing is very low, so that their growth rate is very slow and their
 18 survival rate is very low, as most of them died before their *bla* were repaired.
- (4). In the latter revertants, their *bla* were repaired by NMGE, with more and more
 nonsense codons were replaced by sense codons, fewer and fewer stop codons
 remained, and thus, gene repairing become easier and easier and their growth
 rates become faster and faster.

(5). Finally, gene repair completed when all nonsense codons were eliminated. The
 bla coding sequence was recovered, not necessarily the same as the wild-type,
 but consist of a variety of variants.

Previously, we reported that frameshift homologs are widespread within and across species, as the genetic code was optimized to tolerate frameshifting [58]. This study further demonstrated that it is the PTCs that signaled the frameshift repair. Frameshift mutations occurred in a coding gene are repaired through NMGE, resulting in a variety of frameshift homologs. Therefore, the widespread presence of 14/28

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1 frameshift homologs is considered as evolutionary evidences for this model preserved

2 in nature. In short, NMGE is not only a mechanism for frameshift repair but also a

3 driving force for molecular evolution.

4 3.9 Both DNA and RNA efficiently direct the repair of frameshift mutation

5 Synthetic DNA oligonucleotides or DNA/RNA complexes have been widely used 6 for targeted gene repair [59-61]. And it has also been reported that synthetic RNA or 7 endogenous transcripts can direct the repair of double-strand breaks of DNA [62-67]. We postulated that a synthetic wild-type RNA could also direct the frameshift repair if 8 the above NMGE model is correct. To validate this, competent cells of the frameshift 9 mutants (*E. coli* strain DH5 α or BL21) were transformed by heat-shock at 42 °C with 10 11 a 39-nt synthetic sense (ss-), antisense (as-) or double-stranded (ds-), DNA or RNA 12 oligonucleotides with a wild-type (WT) or mutated (MT) sequence to recover the base 13 which was deleted in the OE-PCR. The recovery rate (f_r) was very low and unstable in 14 DH5 α , but much higher and more stable in BL21. We performed statistics analysis on 15 the data of BL21. As shown in Fig 7A-7B, in the control or heat-shock groups, f_r was $1.19 \sim 1.30 \times 10^{-7}$. When an ss-, as- or ds-DNA oligo was added, f_r increased compared 16 17 with the control groups. f_r increased 1~2 fold in ss-DNA group, 3~6 fold in as-DNA 18 group and 2~4 fold in ds-DNA group. These data are consistent with previous studies 19 reported that both as- and ss-DNA can induce DNA-directed targeted gene repair [68]. Wild-type (WT) ss-RNA caused 0.5-fold decrease of f_r . Surprisingly, however, the 20 mutated (MT) ss-RNA promoted the gene repair and caused 2~5-fold increase of f_r in 21 22 BL21, which is consistent with our expectation. No or few revertants were observed 23 in asRNA group, probably due to asRNA can silence the transcripts and result in the 24 death of the host;

For each group, more than one hundred repaired *bla* genes were sequenced. The Sanger diagram showed that a designed base was inserted into the repaired *bla* gene in both the ssDNA (Fig 7C) and the ssRNA group (Fig 7D). In the ssDNA or ssRNA group, the type of the insertion base is mostly consistent with the designed type, and the insertion was located exactly at the site of deletion; in the control group, however,

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- 1 the type and location of the inserted base are both unpredictable, suggesting that, like
- 2 DNA, RNA by itself can also direct targeted gene repair.

Homologous recombination must play an important role in frameshift repair, as 3 4 the average recovery rate measured in BL21 (recA+) is significantly higher than that 5 of DH5α (recA-). However, the DNA- or RNA-induced recovery rates were increased 6 both in BL21 and DH5 α , suggesting that homologous recombination is not the only 7 pathway responsible for frameshift repair. In all DNA, RNA and control groups, G:C \rightarrow A:T substitutions were often observed in the repaired *bla* (Fig 7B); in addition, 8 in the ssRNA group, not only G:C \rightarrow A:T substitutions were often observed, but also a 9 ssRNA designed with an $G \rightarrow A$ substitution efficiently introduced the substitution into 10 11 the repaired *bla* (Fig 7C), resulted in an increased recovery rate, which is even higher 12 than that of the wild-type ssRNA (Fig 7B), suggesting that mismatch repair and/or RNA editing might be involved in the repair. 13

14 **4.** Discussion

15 4.1 A mechanism for the repair of frameshift mutations

The molecular mechanisms for the repair of DNA damage and point mutations have been well studied [15], however, so far no mechanism specifically designed for the repair of frameshift mutations has been discovered. This NMGE mechanism not only well explains our observations but is supported by many previous studies.

20 When a frameshift mutation occurs in a protein-coding gene in a cell, by NMGE, 21 the cell does not edit the defective gene directly, but edit the transcripts first and then 22 use an edited mRNA to direct the gene repair. This is very surprising, but in fact it is 23 more reasonable than direct gene editing: to repair a frameshifted coding gene, it must be transcribed and recognized by RNA surveillance, because in principle a frameshift 24 25 mutation cannot be recognized at the genomic-DNA level; therefore, the transcripts 26 (nonsense mRNAs) must be functionalized through RNA editing prior to directing the 27 repair of their own coding gene.

To repair a frameshifted gene by NMGE, as shown in, there exist two possible strategies (Fig 3B): (1) *direct NMGE*: use an original nonsense mRNA to identify the

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frameshifted coding gene, and then edit the defective gene by DNA-level mutagenesis; however, the edited coding gene must be transcribed and translated for a second round to check whether it is repaired or not; (2) *indirect NMGE*: the nonsense mRNAs are first edited, an edited mRNA is translated by translational readthrough, transported to identify the coding gene, and then used to template gene repair. Obviously, compared to the direct strategy, the indirect NMGE is more reasonable and more efficient, as the gene repair happens only when a functional mRNA has been obtained.

8 4.2 Evidences for the connection of DNA repair and RNA editing

9 All types of RNAs are subject to either degradation or processing and a variety of 10 molecular/cellular mechanisms are involved in RNA processing [69]. In the last few 11 decades, studies have established a link between DNA repair, RNA surveillance and 12 processing. For example, several proteins that respond to DNA damage, such as base 13 excision repair enzymes, SMUG1, APE1 and PARP1, have been shown to participate 14 in RNA surveillance and processing [70].

15 Deamination is the removal of an amine group from a molecule. Enzymes that catalyze this reaction are called deaminases. Deamination of DNA bases generates 16 17 deoxyinosine from deoxyadenosine, create missense mutations predisposing humans to cancer and interfere with other basic molecular genetic processes. Cytidine 18 19 deamination was discovered as a mechanism of editing mRNA transcripts first in eukaryotes [71, 72]. The recent identification of tRNA-specific adenosine deaminases 20 (ADATs) has led to the suggestion that these enzymes, as well as the cytidine and 21 22 adenosine deaminases acting on pre-mRNAs (CDARs and ADARs), belong to a 23 superfamily of RNA-dependent deaminases [73]. The original and best-characterized 24 example of $C \rightarrow U$ editing is the apolipoprotein B (apoB) mRNA, in which a single, 25 site-specific cytidine deamination introduces a stop codon (UAA) into the reading 26 frame of the edited transcript, leading to production of the shortened isoform, apoB48. 27 This occurs exclusively in the human small intestine, where apoB48 is required for 28 the absorption of dietary lipid [74]. At present, it has been well known that RNA

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- 1 editing by base deamination plays many important roles and aberrant or dysregulated
- 2 RNA editing might contribute to genomic instability in cancer [75].

In addition, several studies have provided evidences that deamination is related to 3 4 both DNA repair and RNA editing. For example, endonuclease V, which is highly 5 conserved from E. coli to human [19], is also involved in excision repair that removes 6 deoxyinosine from DNA. It is reported that human endonuclease V, localized to the 7 cytoplasm, is also a ribonuclease specific for inosine-containing RNA, hydrolyses the second phosphodiester bond located in the 3' to the inosine in unpaired regions in the 8 9 double-stranded RNA, and controls the fate of inosine-containing RNA in humans 10 [19]. In addition, editing of the pre-mRNA for DNA repair enzyme NEIL1 causes a 11 lysine to arginine change in the lesion recognition loop of the NEIL1 protein, and the 12 recoding site is a preferred site for the RNA editing adenosine deaminase ADAR1 13 [76]. Moreover, it has been reported that engineered fusions of CRISPR/Cas9 and a cytidine deaminase enzyme mediated the conversion of cytidine to uridine, effecting a 14 15 $C \rightarrow T$ or $G \rightarrow A$ substitution [77]. These studies all suggested a direct link between the 16 regulation of mismatch repair and RNA editing. In the above, we demonstrated that the repaired *bla* in the revertants often contains many $C \rightarrow T$ (or $G \rightarrow A$) substitutions, 17 18 and the cytidine deaminase and the mismatch repair genes were both upregulated in 19 the frameshift mutant and revertant tested. We therefore speculate that both mismatch 20 repair and RNA editing are involved in frameshift repair.

21 4.3 NMGE is not equivalent to RNA-directed DNA Repair

22 As is well known, RNA is transcribed in the nucleus and then transported to the 23 cytoplasm [78]. Recently, there are growing evidences suggesting that RNAs can be 24 transported back to the nucleus to repair DNA double strand breaks (DSBs), a process 25 known as RNA-directed DNA Repair. Not only a synthetic DNA/RNA hybrid, but also 26 a RNA-only oligonucleotide can serve as a template for DNA synthesis for the repair 27 of a DSB in a homologous DNA. It was reported that short RNA patches can direct 28 modifying DNA in *E. coli* [79, 80], yeast [66, 67] and human embryonic kidney (HEK-293) cells [80]. To our knowledge, however, this is the first report that, RNA by 29

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1 itself can direct precise and targeted repair of a frameshift mutation. At present, we

2 are further investigating on how RNA guides the targeted gene repair.

NMGE depends on, but is not equivalent to, RNA-directed DNA Repair, since it is signaled by PTCs and the nonsense mRNAs are edited prior to directing the repair of the target coding DNA sequence. As mentioned in the above, there are many evidences suggest a direct or close link between RNA editing and DNA repair, which can support this NMGE model. However, further systematic investigations are needed to elucidate this process in details and validate it in other species.

9 4.4 The possibility of RNA-only gene or genome editing

CRISPR/Cas9 for RNA-guided genome editing [81-83] has been widely used for 10 11 the introduction or correction of specific mutations [84, 85], which can potentially be 12 used in gene therapy to repair a disease-causing frameshift/point mutation. However, RNA-guided genome editing requires the transfection of foreign genes in the host cell. 13 On the other hand, deoxyoligonucleotides-based targeted gene repair (ODN-TGR) [59, 14 15 60] could be more favorable in human and animal due to its non-transgenic properties. 16 Unfortunately, however, it suffered from inefficiency and uncertainty because it relies 17 on the induction of the endogenous DNA repair system while it is suppressed by the mismatch repair mechanisms [61]. 18

19 In addition, synthetic DNA oligonucleotides or DNA/RNA complexes have been widely used for targeted gene repair [59-61]. And it has also been reported that 20 synthetic RNA or endogenous transcripts can direct the repair of double-strand breaks 21 22 of DNA [62-67], but it has never been reported that endogenous mRNAs can be edited and used to direct targeted gene repair, either spontaneously or induced by a 23 24 synthetic RNA. Since the essential components of this NMGE model, the endogenous pathways, including mismatch repair, homologous recombination and RNA editing, 25 26 are probably highly conserved from bacteria to human, so it is potentially useful for 27 targeted gene and genome editing using RNA-only molecules without introducing any 28 exogenous gene or protein.

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1 Author Contributions

Xiaolong Wang conceived the study, designed the experiments, analyzed the data and
wrote the paper; Chunyan Li, Haibo Peng, Xuxiang Wang and Yalei Wang performed the
experiments; Quanjiang Dong, Gang Chen and Jianye Zhang provided materials, equipment,
give suggestions and discussed on the paper.

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9 Figure legends

10 Fig 1. The introduction of a frameshift mutation in the upstream of *bla* in the plasmid

11 **pBR322.** Sanger sequencing diagram of: (A) the wild type (*bla*+); (B) the frameshift mutant (*bla*-).

12 (C) Alignment of the nucleotide sequence of t *bla*+ and *bla*-.

Fig 2. Growth of *E. coli* on ACPs and TCPs: *bla*+: Wild-type; *bla*-: Frameshift; *bla**: revertants;
 blank: Blank control

15 Fig 3. Two different models for the repair of frameshift mutations:

(A) The traditional "*random mutagenesis*" model: Left: when a frameshift mutation occurred in
a coding gene, a certain number of HSCs/PTCs (*red bars*) emerged in the CDS, as well as in the
nonsense mRNAs, caused *translational termination*, resulting in truncated products; Right: when
the coding sequence is repaired by a *reverse mutation* due to random mutagenesis, the reading
frame is restored, the stop codons are hiding (*green bars*), and the translation proceed;

(B) This nonsense-mediated gene editing (NMGE) model: Left: Signaled by the PTCs (*yellow bars*), nonsense mRNAs were identified by *mRNA surveillance*, and processed by *translational termination* and *mRNA decaying*; Middle: Some nonsense mRNAs can also be repaired by *RNA editing*; Top: original/edited mRNAs were transported to identify and repair the defective coding gene, stop codons (*green bars*) are hiding, the reading frame restored partially, and the translation proceed, the remaining stop codons are readthrough; Right: the coding gene is repaired partially, producing functional mRNAs and proteins, and so the host recovered gradually.

28 Fig 4. Sanger Sequencing and sequence analysis of the *bla* gene of the revertants:

(A) The Sanger diagram of *bla*: Top: in the initial revertants, most *bla* was still frameshifted;
Middle: in the later subcultures, sequencing diagram contains two sets of overlapped peaks, one
is frameshifted *bla*, the other is repaired; Bottom: in the final subcultures, most of their *bla* were
repaired;

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- 1 (B) Alignment of the wild-type, the frameshifted and the repaired *bla* coding sequence (top) and
- 2 their translated proteins sequence (bottom): *bla* coding sequence were read artificially from the
- 3 secondary peaks of the Sanger diagram of the revertants and their subcultures. *bla*: wild-type;
- 4 *bla-*: frameshift; *A-E*: different revertants; *red box*: the base deleted in the OE-PCR; *blue boxes*:
- 5 bases inserted or deleted in the revertants;
- 6 (C) Circos view of the genome sequences of the revertant and the frameshift;
- 7 (D) The distribution of SNPs/InDels on the genome of wild-type and revertants;
- 8 (E) The reported "structure variations (SVs)" in the genome of wild-type and revertants;

9 Fig 5. Expression of the PTC-free frameshifted *bla* (*bla*#) in pET28a-*bla*# in *E. coli* BL21:

- (A) SDS-PAGE detection of the frameshifted BLA; Lane 1: uninduced; lane 2: induced by 1M
 IPTG; lane 3: uninduced superficial ultrasound crushing; lane 4: induced by ultrasonic breakdown
 of the supernatant; lane 5: the ultrasonic crushing of the uninduced precipitate; lane 6: the
 ultrasonic crushing of the precipitate induced;
- (B) Detection of β-lactamase activity by iodimetry: (1) *E. coli* BL21/pET28a-bla- caused fading,
 suggesting that their *bla* was repaired, produced active β-lactamase, transformed ampicillin into
 penicillium thiazole acid, which compete with starch for iodine; (2) *E. coli* BL21/pET28a-bla#
 expressed a frameshifted product (BLA#), but did not cause fading, suggesting that the product is
 not active, and that *bla*# was not repaired; (3) The negative control (*E. coli* BL21), no β-lactamase,
 no fading.
- Fig 6. The transcriptome analysis of different *E. coli* strains: W_1 : wildtype; *FS_2*: frameshift; *R_3*: initial revertant; *RE_4*: subculture of a revertant; (A) The number of differential expression genes (DEGs) and the cluster analysis of DEGs; (B) the most enriched GO terms by comparing the frameshift (FS_2) with the wild type (W_1); (C) the most enriched GO terms by comparing the revertant (R_3) with the frameshift (FS_2); (D) Genes upregulated in the RNA degradation/processing pathway; (E) Genes upregulated in the mismatch repair and homologous recombination pathway.

27 Fig 7. Targeted repair of the frameshift mutation induced by DNA or RNA oligonucleotides.

- (A) Both ssDNA and ssRNA oligonucleotides can induce the repair of the frameshift mutation; (B)
 The numbers of revertants increase with the concentration of ssDNA and ssRNA oligonucleotides;
 (C) Sanger sequencing diagram of the DNA-induced revertants; (D) Sanger sequencing diagram
 of the RNA-induced revertants;
- 32

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Table 1. The natural suppressor tRNA for nonsense mutations (the *readthrough rules*).

| Site | tRNA | Wil | d type | Corr | rection |
|------|---------|-------------------|-----------|-------------------|-----------|
| Sile | (AA) | Code | Anti-code | Code | Anti-code |
| supD | Ser (S) | \rightarrow UCG | CGA← | \rightarrow UAG | CUA← |
| supE | Gln (Q) | \rightarrow CAG | CUG← | \rightarrow UAG | CUA← |
| supF | Tyr (Y) | \rightarrow UAC | GUA← | \rightarrow UAG | CUA← |
| supG | Lys (K) | \rightarrow AAA | UUU← | \rightarrow UAA | UUA← |
| supU | Trp (W) | \rightarrow UGG | CCA← | \rightarrow UGA | UCA← |

Table 2. The summary of InDel in the *bla*+/- genome

| Sample | Insertion | Deletion | Het | Hom | HetRate(%) | Total |
|--------|-----------|----------|-----|-----|------------|-------|
| bla+ | 2 | 4 | 0 | 6 | 0 | 6 |
| bla- | 2 | 3 | 0 | 5 | 0 | 5 |

Table 3. The summary of SNP in the bla+/ - genome

| Sample | ts | tv | ts/tv | Het | Hom | HetRate(%) | Total | Density(SNP/Kb) |
|--------|----|----|-------|-----|-----|------------|-------|-----------------|
| bla+ | 43 | 27 | 1.59 | 4 | 66 | 0 | 70 | 0.02 |
| bla- | 42 | 27 | 1.56 | 2 | 67 | 0 | 69 | 0.01 |

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| Pathway Term | Database | ID | Input number | Background number | P-Value | Corrected P-Value |
|--------------------------|----------|----------|-----------------|----------------------|---------|----------------------|
| ABC transporters | KEGG | eco02010 | 32 | 171 | 0.0001 | 0.0090 |
| RNA degradation | KEGG | eco03018 | 1 | 15 | 0.7419 | 0.9762 |
| DNA replication | KEGG | eco03030 | 1 | 17 | 0.7822 | 0.9762 |
| Mismatch repair | KEGG | eco03430 | 1 | 22 | 0.8575 | 0.9796 |
| Homologous recombination | KEGG | eco03440 | 1 | 27 | 0.9069 | 0.9796 |

Table 4. Possible NMGE-relevant genes and pathways that were upregulated in the frameshift

2

1

3 4

Table 5. Possible NMGE-relevant genes and pathways that were upregulated in the revertant

| Pathway Term | Database | ID | Input number | Background number | P-Value | Corrected P-Value |
|--------------------------|----------|----------|-----------------|----------------------|----------|----------------------|
| ABC transporters | KEGG | eco02010 | 32 | 171 | 3.53E-05 | 0.0018 |
| Homologous recombination | KEGG | eco03440 | 2 | 27 | 0.6539 | 0.9519 |
| RNA degradation | KEGG | eco03018 | 3 | 15 | 0.1017 | 0.5470 |
| DNA replication | KEGG | eco03030 | 1 | 17 | 0.7564 | 0.9519 |
| Mismatch repair | KEGG | eco03430 | 1 | 22 | 0.8357 | 0.9519 |

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Fig 1. The frameshift mutagenesis of the *bla* gene in the plasmid pBR322

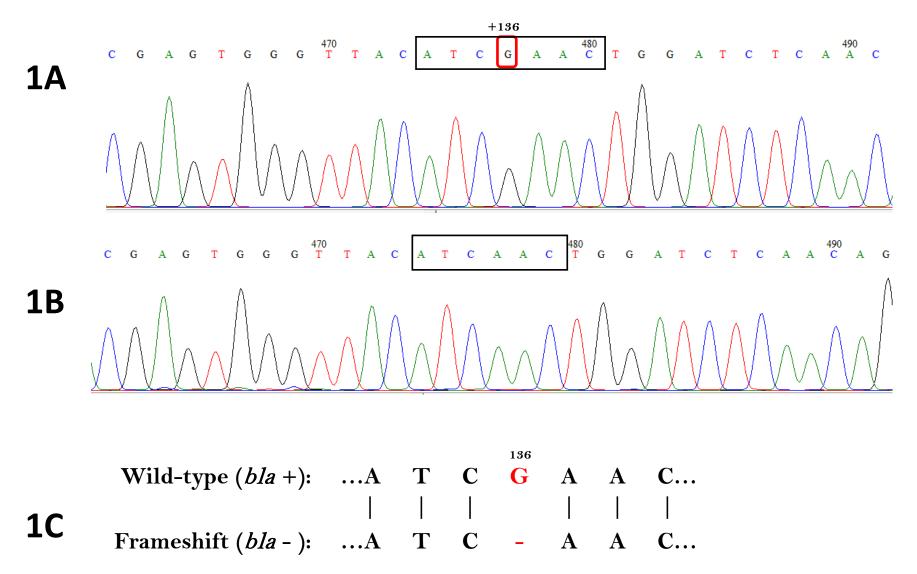
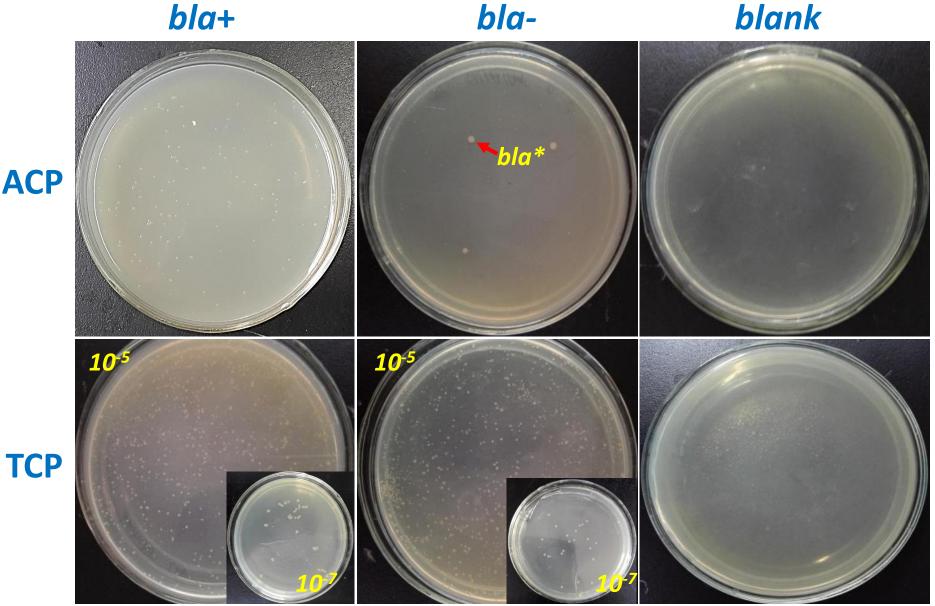


Fig 2. Growth of different *E.coli* strains on ACPs and TCPs



ACP

Fig 3A Random mutagenesis

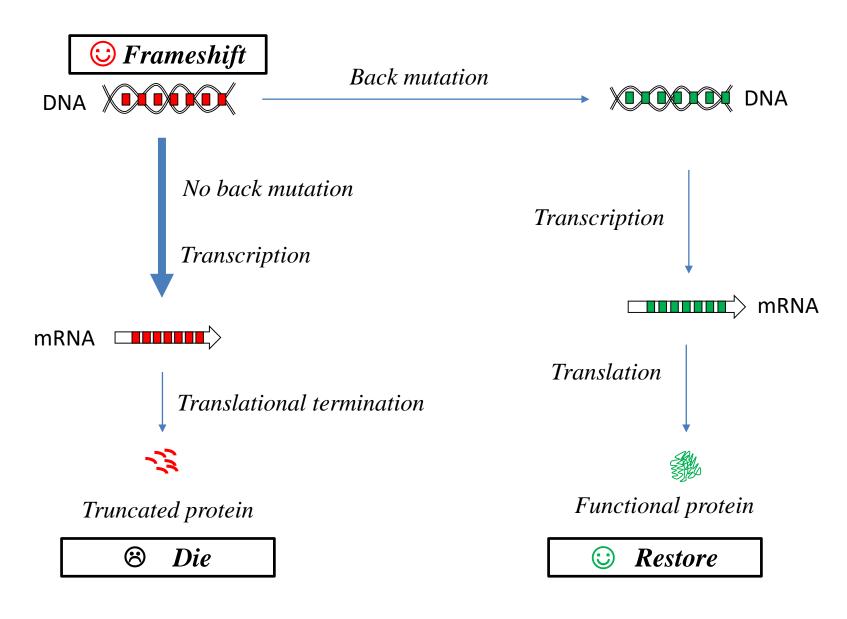


Fig 3B Active repair (NMGE)

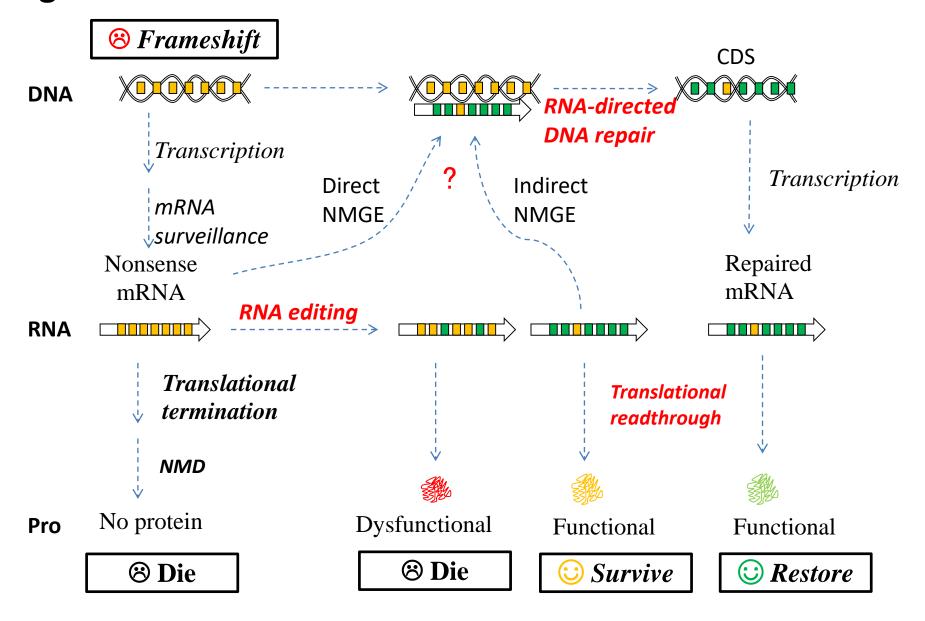


Fig 4A. Sanger diagram of the spontaneous revertants and subcultures

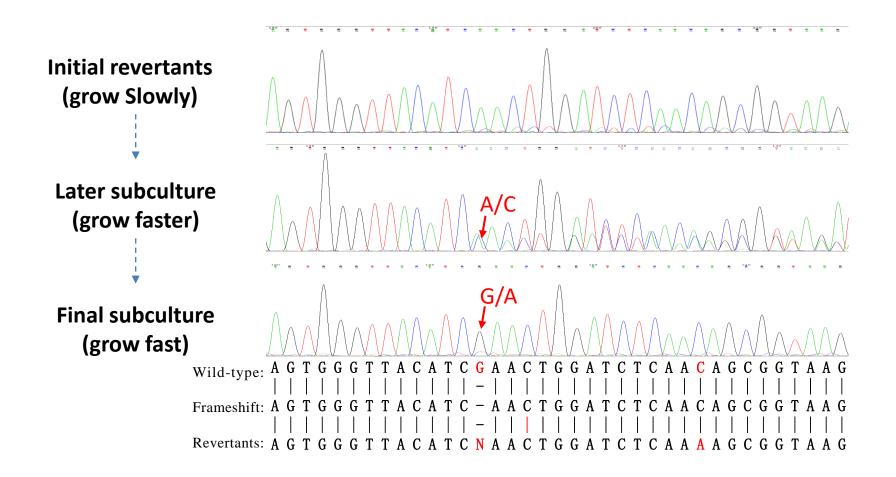


Fig 4B. The bla sequences of the wild-type and revertants

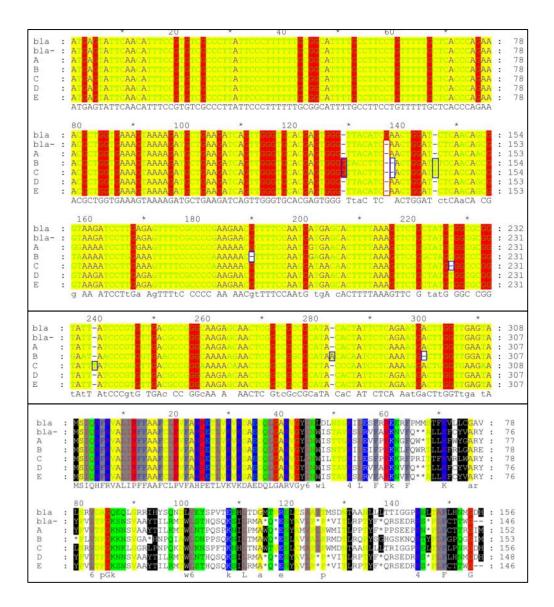


Fig 4C. The SNP/InDel in the genome of the wild-type and revertants

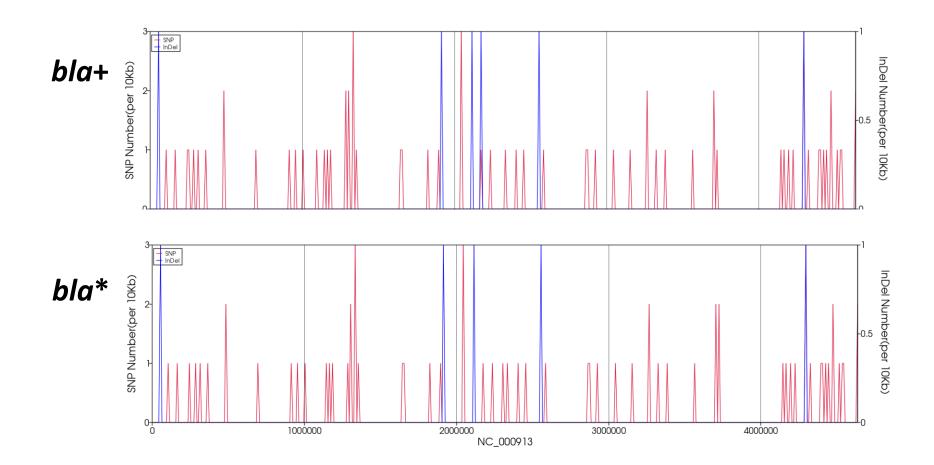


Fig 4D. The SNP/InDel in the genome of the wild-type and revertants

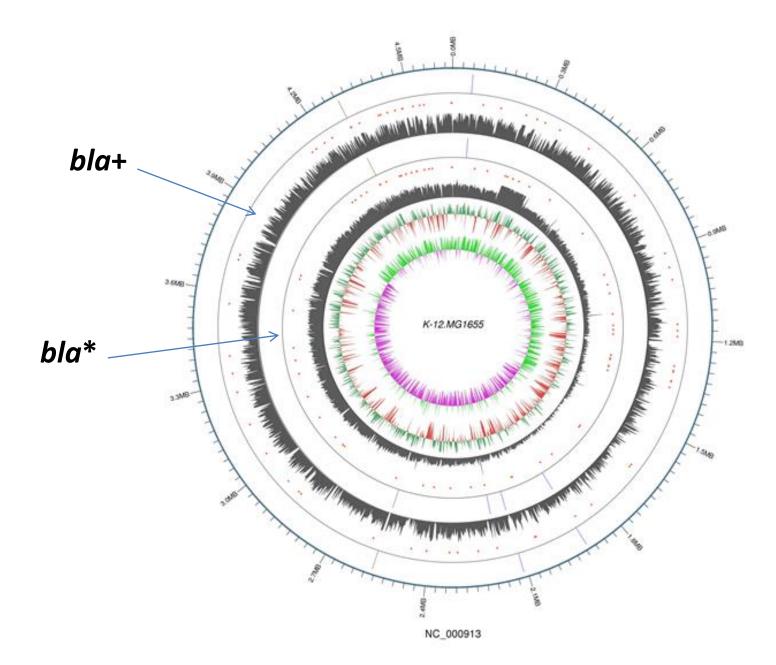
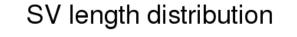


Fig 4E. The structure variation (SV) in the genome of wild-type and revertants



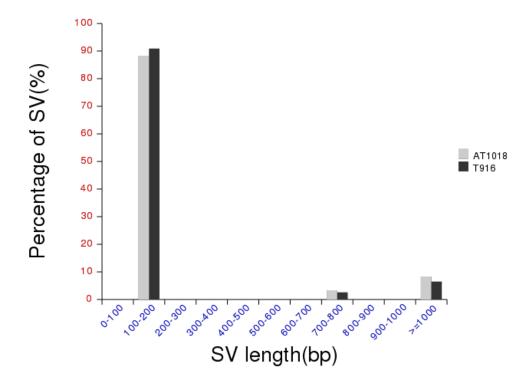


Fig 5. The expression of the PTC-free frameshifted bla (bla#)

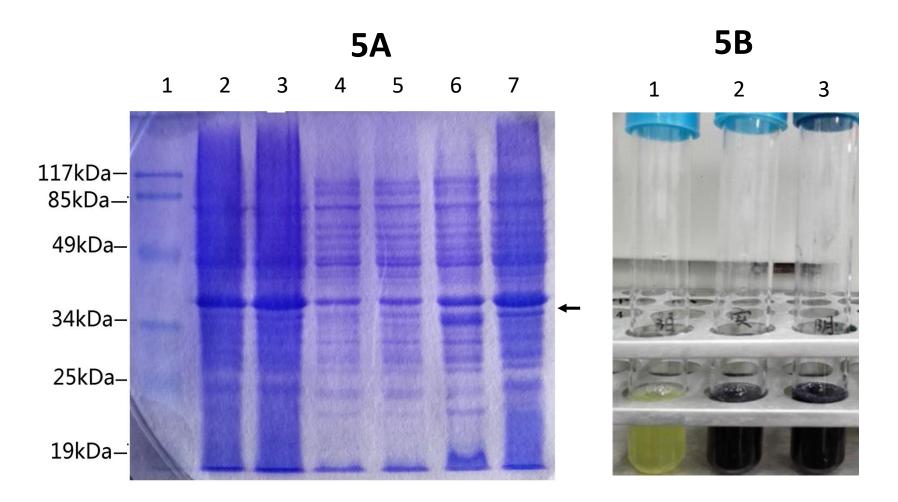
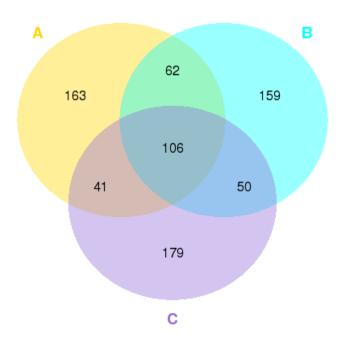
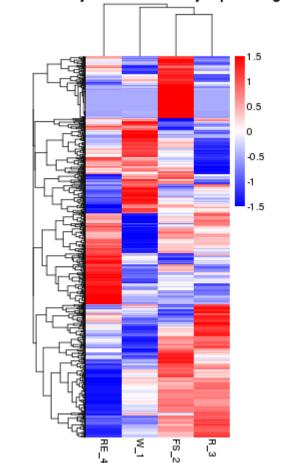


Fig 6. Transcriptome Analysis **6A** Numbers of differential expression genes (DGE)

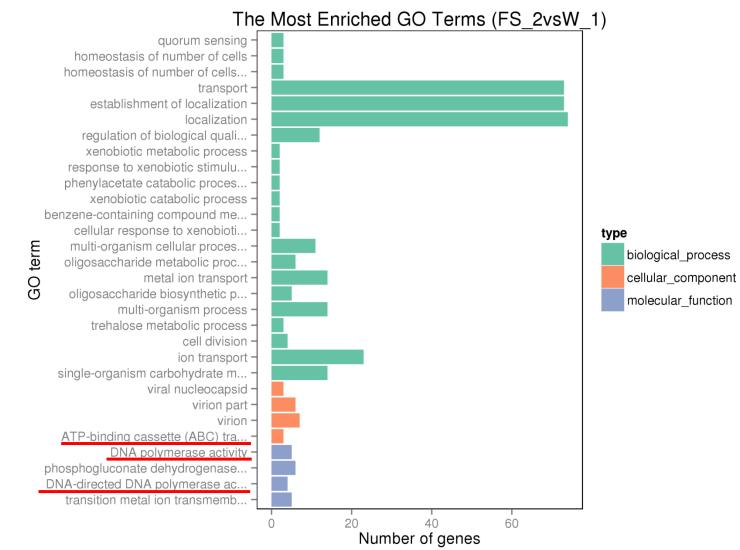


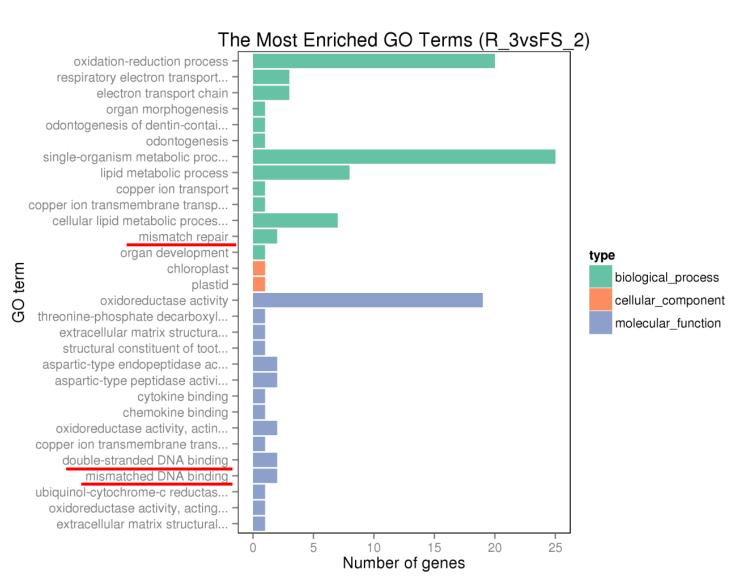


Cluster analysis of differentially expressed genes

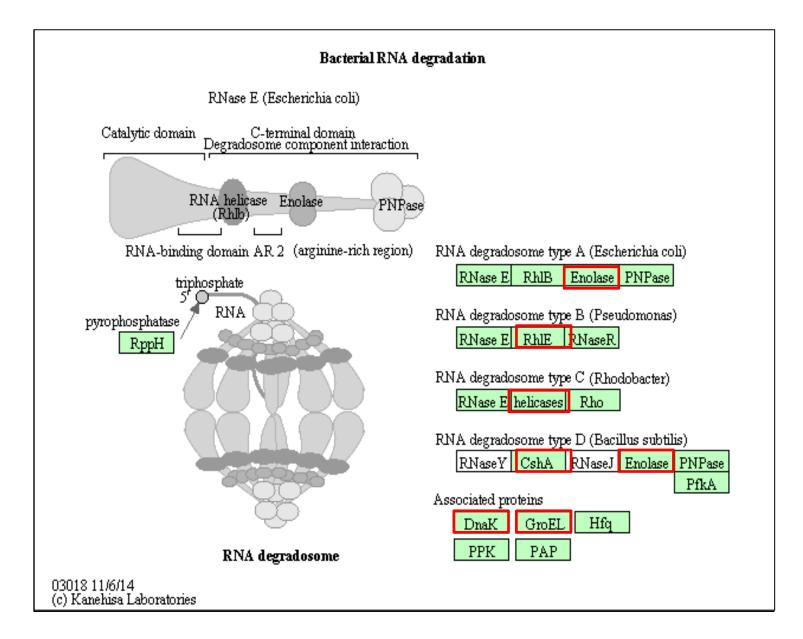
A: FS_2vsW_1 B: R_3vsW_1 C: RE_4vsW_1

6B





6D genes upregulated in RNA degradation/processing



6E genes upregulated in mismatch repair/homologous recombination

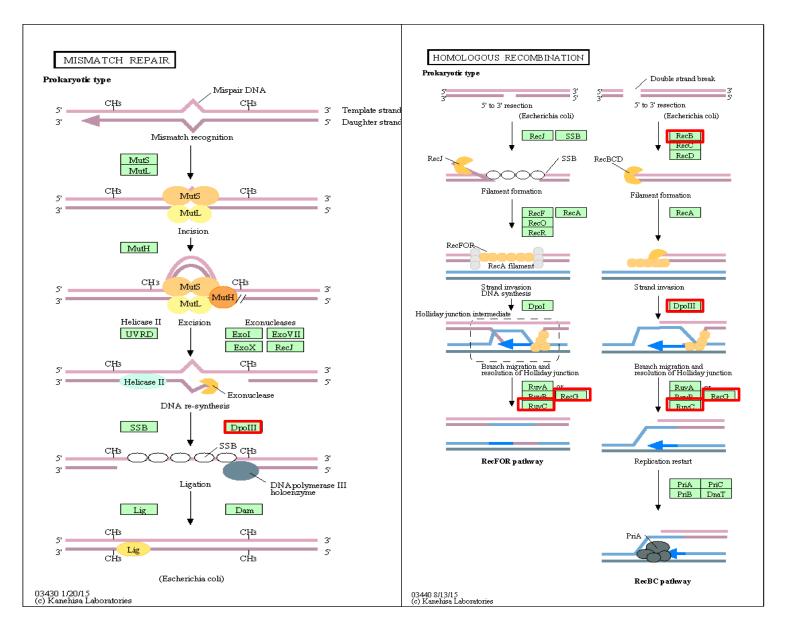


Fig 7A. Frameshift repair induced by DNA or RNA oligos (10 nM)

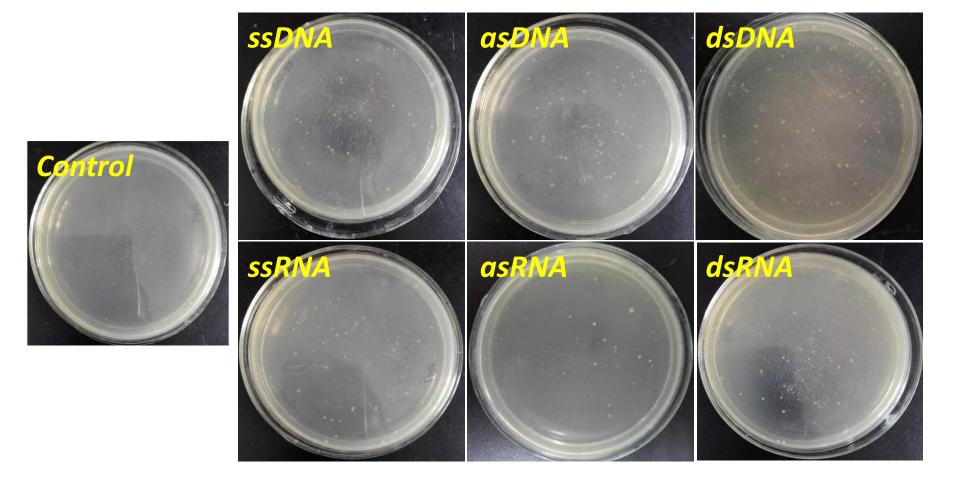


Fig 7B. Sanger sequencing diagram of the ssDNA-induced revertants

