

23 **ABSTRACT (N=305 WORDS)**

24

25 Conceptual models suggest certain microorganisms (e.g., the red complex) are indicative of a specific
26 disease state (e.g., periodontitis); however, recent studies have questioned the validity of these models.
27 Here, the abundances of 500+ microbial species were determined in 16 patients with clinical signs of
28 one of the following oral conditions: periodontitis, established caries, edentulism, and oral health. Our
29 goal was to determine if the abundances of certain microorganisms reflect dysbiosis or a specific clinical
30 condition that could be used as a signature for dental research. Microbial abundances were determined
31 by the analysis of 138,718 calibrated probes using Gene Meter methodology. Each 16S rRNA gene was
32 targeted by an average of 194 unique probes ($n=25$ nt). The calibration involved diluting pooled gene
33 target samples, hybridizing each dilution to a DNA microarray, and fitting the probe intensities to
34 adsorption models. The fit of the model to the experimental data was used to assess individual and
35 aggregate probe behavior; good fits ($R^2>0.90$) were retained for back-calculating microbial abundances
36 from patient samples. The abundance of a gene was determined from the median of all calibrated
37 individual probes or from the calibrated abundance of all aggregated probes. With the exception of
38 genes with low abundances (< 2 arbitrary units), the abundances determined by the different calibrations
39 were highly correlated ($r \sim 1.0$). Seventeen genera were classified as signatures of dysbiosis because
40 they had significantly higher abundances in patients with periodontitis and edentulism when contrasted
41 with health. Similarly, 13 genera were classified as signatures of periodontitis, and 14 genera were
42 classified as signatures of edentulism. The signatures could be used, individually or in combination, to
43 assess the clinical status of a patient (e.g., evaluating treatments such as antibiotic therapies).
44 Comparisons of the same patient samples revealed high false negatives (45%) for next-generation-
45 sequencing results and low false positives (7%) for Gene Meter results.

46

47 **KEYWORDS**

48 Gene Meter; calibrated DNA microarrays; periodontitis, edentulism, caries, 454 sequencing, next-
49 generation sequencing, microbial abundance signatures.

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51

52 INTRODUCTION

53 Periodontitis is an inflammatory disease associated with the tissues that support the teeth. The disease
54 causes the progressive loss of bone that could result in tooth loss (1). A small assemblage of bacterial
55 species was once thought to be the cause of periodontal disease. This assemblage consisted of
56 *Porphyromonas gingivalis*, *Tannerella forsythia*, and *Treponema denticola*, and was ominously dubbed
57 the “red complex” (2,3,4). The prevailing idea at the time was that the red complex changed the
58 signaling pathways of the host. This change made the host vulnerable and led to a change in the relative
59 abundance of microorganisms thus causing the disease (5,6). However, members of the red complex
60 have been discovered in people who do not have periodontal disease (8,7,9). Therefore, members of the
61 red complex could be classified as pathogens or harmless commensals. This fact, along with the finding
62 that the oral microbiome of humans is much more diverse than previously thought (10,11), has prompted
63 a paradigm shift in the understanding of the origins of periodontal disease. This new paradigm states
64 that periodontal disease is not caused by the presence of specific bacteria, but by changes in the
65 population levels of species in the oral microbiome (12). What begins as a symbiosis between host and
66 microbes becomes a dysbiosis as the microorganisms transcend beyond the host-imposed boundaries.
67 The premise of our study is that a change in microbial populations can be determined by the relative
68 abundances of individual species in patients with different clinical conditions.

69 Oligonucleotide microarray technology has been used to profile microbial communities for quite some
70 time (13,14,15,16,17). A new version of the methodology, the Gene Meter, was recently introduced
71 (although not explicitly named “Gene Meter” in refs. 18,19). Unlike conventional DNA microarrays, a
72 calibration procedure is conducted prior to applying samples onto the microarrays (20,21). The
73 calibration procedure establishes the microarray probe responses to increasing target concentrations (i.e.,
74 a dilution series). Individual probes are calibrated or, alternatively, groups of probes collectively
75 specific to a target (i.e., aggregates) are calibrated. Both approaches of calibration were compared in
76 this study.

77 Next generation sequencing (NGS) has also been used to profile oral microbial communities (7,9,22,23,
78 24,25,26,27). This approach involves the massive sequencing of thousands to millions of DNA strands
79 in a single run (28). While microbial abundances may be quantified by NGS, it remains to be
80 determined if the quantifications truly represent the quantities of the genes in a biological sample. Only
81 a limited number of studies have rigorously challenged their quantitative capabilities. In one study, a
82 complex microbial mixture was spiked with 5 fungal targets including *L. proxima* and *M. noctilunum*
83 (29). It was shown that depending on the target, the coefficient of determination for the relationship
84 between actual quantities of the target and its sequencing counts was very low. In another recent study,
85 NGS is shown to be a semi-quantitative approach for examining fungal populations (30). The results of
86 the study showed that 454 pyrosequencing counts are, at best, moderately related with spore
87 concentration measurements by qPCR ($R^2 \sim 0.5$). An RNA-seq spike-in study has also been conducted
88 (31). Ninety-six RNA sequences of concentrations varying 6 orders of magnitude were mixed and
89 sequenced and the concentrations were correlated to the number of reads. Although the study design did
90 not investigate the response of an individual target to concentration, the results suggest that the RNA-seq
91 sensitivity can vary up to 10-fold depending on the gene target (31). The uncertainty associated with
92 quantification by sequencing raises questions about the validity of such quantifications and warrants a
93 well-controlled calibration study, which was done here.

94 The objectives of the study are three-fold: (i) to demonstrate the utility of the Gene Meter approach to
95 precisely determine 16S rRNA gene abundances using two calibration approaches, (ii) to determine if

96 certain microorganisms have an abundance signature that can be employed to identify specific or general
97 oral health conditions, and (iii) to compare and contrast two different technologies (Gene Meter and
98 DNA sequencing) using the same 16 patient samples.

99 **MATERIALS AND METHODS**

100 The DNA sequencing, the study design, and sample collection methods have been previously published
101 (32). The same amplified DNA used for DNA sequencing was also analyzed by the Gene Meter
102 approach. Splitting the samples in this way enabled direct comparison of results from two distinctly
103 different molecular methods. To familiarize readers with the previous study, we have provided a brief
104 overview of patient recruitment, enrollment, and exclusion criteria, sample collection, DNA extraction
105 and amplification, and DNA sequencing analyses, below.

106 **Patient recruitment, enrollment, and exclusion criteria.** The adult patients were recruited at the
107 University of Washington, Seattle, USA, and the University of Düsseldorf, Germany and enrolled if they
108 had one of the following clinical conditions: severe periodontitis, caries, edentulism, and oral health. A
109 periodontitis case was defined as having at least two interproximal sites at different teeth with clinical
110 attachment loss (CAL) of 6 mm or greater and at least one interproximal site with probing depth (PD) of
111 5 mm or greater (33) and a minimum of 20 permanent teeth, not including third molars. Patients were
112 excluded from the periodontitis group if they had any established caries lesion or wore a removable
113 partial denture. A caries case was defined as having the following number of teeth with established
114 caries lesions: 6 or more teeth in subjects 20 to 34 years of age; 4 or more teeth in subjects 35 to 49
115 years of age; and 3 or more teeth in subjects 50 years of age and older. Established caries was defined as
116 a class 4 lesion according to the International Caries Detection and Assessment System. The number of
117 teeth with caries lesion in caries cases was greater than one standard deviation above the mean of caries
118 extent in respective age group the U.S.A (34). Exclusion criteria for a caries case were interproximal
119 sites with CAL of 4 mm or greater or PD of 5 mm or greater (33). An edentulous case had to be
120 completely edentulous in both jaws and their teeth had to be extracted more than one year before the
121 enrollment in the study. A healthy case was defined as having 28 teeth, not counting third molars, or 24
122 or more teeth, not counting third molars if premolars had been extracted for orthodontic reasons or were
123 congenitally missing with no signs of oral disease. Exclusion criteria for a healthy case included:
124 smoking, loss of permanent teeth due to caries or periodontal disease, any interproximal sites with CAL
125 of four or greater or PD of 5 mm or greater, or any established caries lesions. Exclusion criteria for all
126 groups included: oral mucosal lesions, systemic diseases, and use of antibiotics or local antiseptics
127 within 3 months prior to the study.

128 **Sample collection, DNA extraction and PCR amplification.** For all but the edentulous patients, supra-
129 and subgingival plaque was collected from sites with the deepest probing depth in each sextant. One
130 sterile paper point per site was inserted into the deepest aspect of the periodontal pocket or gingival
131 sulcus. Biofilm from oral mucosae was collected by swiping a sterile cotton swab over the epithelial
132 surfaces of the lip, left and right buccal mucosae, palate, and dorsum of the tongue (35). Samples were
133 stored at -80°C.

134 Microbial DNA was isolated from cells by physical and chemical disruption using zirconia/silica beads
135 and phenol-chloroform extraction in a FastPrep-24 bead beater (36). Prokaryotic 16S rRNA genes were
136 amplified using universal primers (27F and 1392R) using the GemTaq kit from MGQuest (Cat# EP012).
137 The PCR program involved a pre-amplification step of 10 cycles with an annealing temperature of 56°C
138 followed by 20 amplification cycles with annealing temperature 58°C. In each cycle, elongation time
139 was 1 min 10s, at 72°C. PCR was finalized by extended elongation for 5 min. PCR products were

140 purified with DNA Clean & Concentrator columns (Zymo Research, USA) and quantified using the
141 NanoDrop (Agilent, USA). Equal quantities of PCR product derived from swab and paper point samples
142 were pooled together for each patient. For edentulous patients, there were no paper point samples. Each
143 purified PCR product was sequenced on a Roche 454 Jr. instrument as previously described (32).

144 **DNA sequencing analysis.** The obtained sequences were uploaded to the MG-RAST web server (37).
145 The MG-RAST pipeline assessed the quality of sequences, removed short sequences (multiplication of
146 standard deviation of length cutoff of 2.0) and removed sequences with ambiguous bp (non-ACGT;
147 maximum allowed number of ambiguous base pair was set to 5). The pipeline annotated the sequences
148 and allowed the integration of the data with previous metagenomic and genomic samples. The RDP
149 database was used as an annotation source, with minimum sequence identity of 97%, maximum e-value
150 cutoff of 10^{-5} , and minimum sequence length of 100 nt.

151 **Microarray probe design.** Microarray probes were obtained by tiling along 16S rRNA sequences of
152 597 oral bacteria (Table S1) using a program written for this purpose. Redundant probes were removed.
153 Each probe was 25 nt in length. The 16S rRNA gene sequences used to design the probes ranged from
154 424 to 13,214 nt in length with an average of 1,492 nt. Three of the 16S rRNA sequences also included
155 5S and 23S rRNA genes. The microarrays were created by NimbleGen (now Roche Inc.).

156 **Sample labeling.** PCR products (above) were purified using a “DNA Clean & Concentrator” kit (Zymo
157 Research, USA), dried under a flow of dry nitrogen and labeled using ULYSIS direct chemical labeling
158 kit. The attached dye was Alexa Fluor 546 (i.e., spectral analog of Cy3).

159 **DNA microarray calibration.** Probes on the microarrays were calibrated using a dilution series of
160 labeled pooled PCR products of all samples. The dilution series for the NimbleGen array was created
161 using the following quantities of labeled PCR amplicons: 11.99, 7.72, 3.09, 1.54, 0.77, and 0.39 μg in
162 12.5 μl . Signal intensities were collected and stored in a database. Two independent calibration
163 procedures were conducted i.e., calibration of individual probes and calibration of probe aggregates. An
164 automatic fitting procedure determined the best fit curve – the calibration curve – (e.g., Langmuir,
165 Linear or Freundlich) as well as the curve parameters from the dilutions and signal intensities. For the
166 individual probes calibration, signal intensity was that of the individual probe. For the probe aggregate
167 calibration, signal intensity was a sum of signal intensities of the probes specific to the corresponding
168 targets. To calculate the abundances of the targets, the calibration curve equations were inverted such
169 that from the signal intensity a dilution factor could be obtained. The dilution factor is proportional to
170 the concentration of the target; hence, it was defined as the abundance of the target.

171 Details of the calibration protocols to calculate gene abundance are provided in our recent papers
172 (18,19). The quantities of samples loaded onto the microarray of processing of the individual patients
173 were $3.2 \pm 0.25 \mu\text{g}$.

174 **Bioinformatic analyses.** Matching the probes to the targets (*in silico*) and determining the gene
175 abundances using the unique calibrated probes was conducted using custom-designed programs written
176 in C++. T-tests were analyzed and histograms were made using SAS JMP 7 and MS Excel.

177 **RESULTS**

178 **Microarray probe coverage and selection.** In total, 276,234 probes (25 nt) were synthesized on
179 NimbleGen microarrays. *In silico* matches of the probes to all 597 oral bacterial sequences (used to
180 design the probes) revealed 175,206 probes were unique to a single 16S rRNA gene target. To
181 determine if the microarray design had sufficient coverage, we downloaded the curated core oral

182 microbiome 16S rRNA gene sequence dataset from <http://microbiome.osu.edu> and matched the unique
183 probes to the 1,045 microbial sequences (38). We found that 66,716 probes were unique to the 1,021
184 microorganisms in the core oral database. In other words, the unique probes on our microarray had
185 97.7% coverage of the sequences in the curated core oral microbiome.

186 We further refined the “concept of unique probes” by removing 3 nucleotides on either end (5’- and 3’-)
187 of the probe (*in silico*) and matching the shorter probes to the 597 16S rRNA bacterial sequences. The
188 reason for removing these nucleotides was that nucleotides at either end could potentially cross-
189 hybridize to non-specific targets in the microarray experiments (39). In this experiment, probes were
190 considered unique if the 19 nt core matched one 16S rRNA gene target in the dataset (i.e., 597 oral
191 bacterial sequences). Using the 19 nt core, we found 36,488 of the original 175,206 probes could be
192 susceptible to cross-hybridization, which left 138,718 unique probes that were used in all subsequent
193 analyses to determine gene abundance. Therefore, the entire sequence (25 nt) of the 138,718 unique
194 probes were used in all subsequent experiments.

195 **Calibration of microarray probes.**

196 All probes were calibrated using a dilution series as outlined in our previous studies (18,19,20,21). The
197 Langmuir and Freundlich isotherm equations were fitted to the data and the one with the best fit (R^2)
198 was retained. Examples of two calibrated probes are shown in [Figure 1](#). Briefly, the fitting algorithm
199 transforms the data to obtain a straight line; two parameters, a and b , are calculated. Depending on the
200 type of the curve corresponding to the best fit, parameters a and b are utilized according to the formula
201 of the curve. The parameters of the retained equations and fits are shown in [Figure 1](#). Apparently, the
202 signal intensities of the dilution data for Probe 62 were best explained by Langmuir and the dilution data
203 for Probe 66 were best explained by Freundlich. For the Freundlich equation,

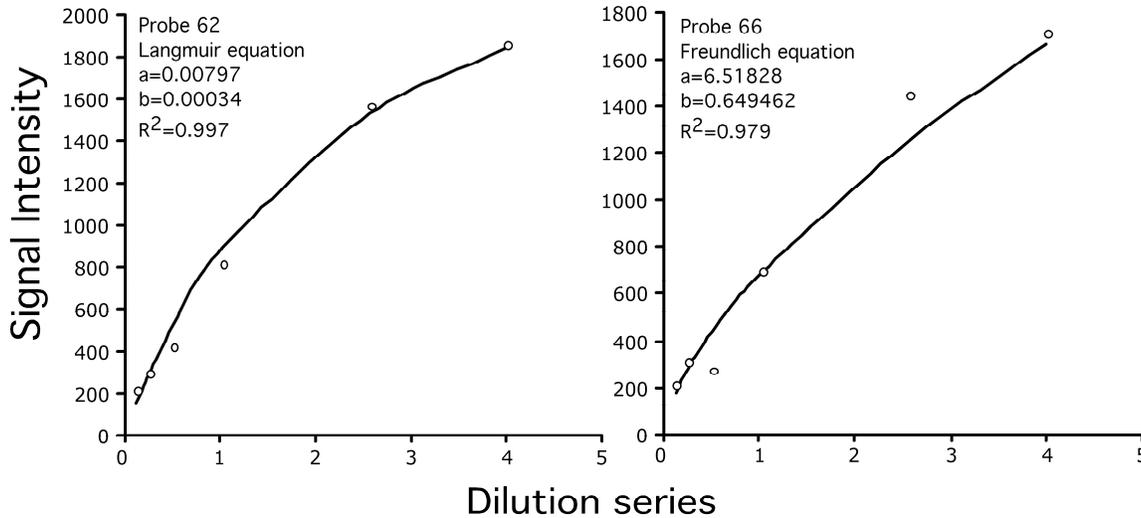
$$204 \quad y = e^a x^b,$$

205 for the Langmuir equation,

$$206 \quad y = \frac{b^{-1}(b/a)x}{1+(b/a)x},$$

207 where y is the signal intensity, and x is the dilution.

208



209

Figure 1. Calibration curves of two probes. Probe 62 was best calibrated using the Langmuir equation while probe 66 was best calibrated by the Freundlich equation. The equations can be used to back-calculate target concentration (dilution series) from signal intensity.

210

211 These equations were used to back-calculate the gene target concentration from signal intensity values.
212 In the case of Probe 62 at PMT=600, $a=0.00797$, $b=0.00034$, x =dilution factor in the calibration series,
213 the signal intensity (SI) responds as follows: $SI=2906.757*0.431679*x/(1+0.431679*x)$. Inverting
214 this equation allows one to calculate the abundance of a gene targeted by this probe. For example, for
215 one of the healthy patients, signal intensity was 1793.89 RFU. This yields a gene abundance of 1793.89
216 $/((2906.757-1793.89)*0.431679)=3.7$ a.u.

217 In the case of Probe 66 at PMT=600 where $a=6.51828$, $b=0.649462$, x =dilution factor in the calibration
218 series, the SI responds according to Freundlich equation as $SI=\exp(6.51828)*x^{0.649462}$. Inverting this
219 equation allows one to calculate the abundance of a gene targeted by this probe. For example, for one of
220 the healthy patients, $SI=1268.67$ RFU. This yields a gene abundance of $(1268.67 /$
221 $\exp(6.51828))^{1/0.649462}=2.6$ a.u.

222 Probe aggregates were calibrated same way as individual probes. For the probe aggregates, only linear
223 (i.e., $y=ax+b$) and Freundlich curves were evaluated.

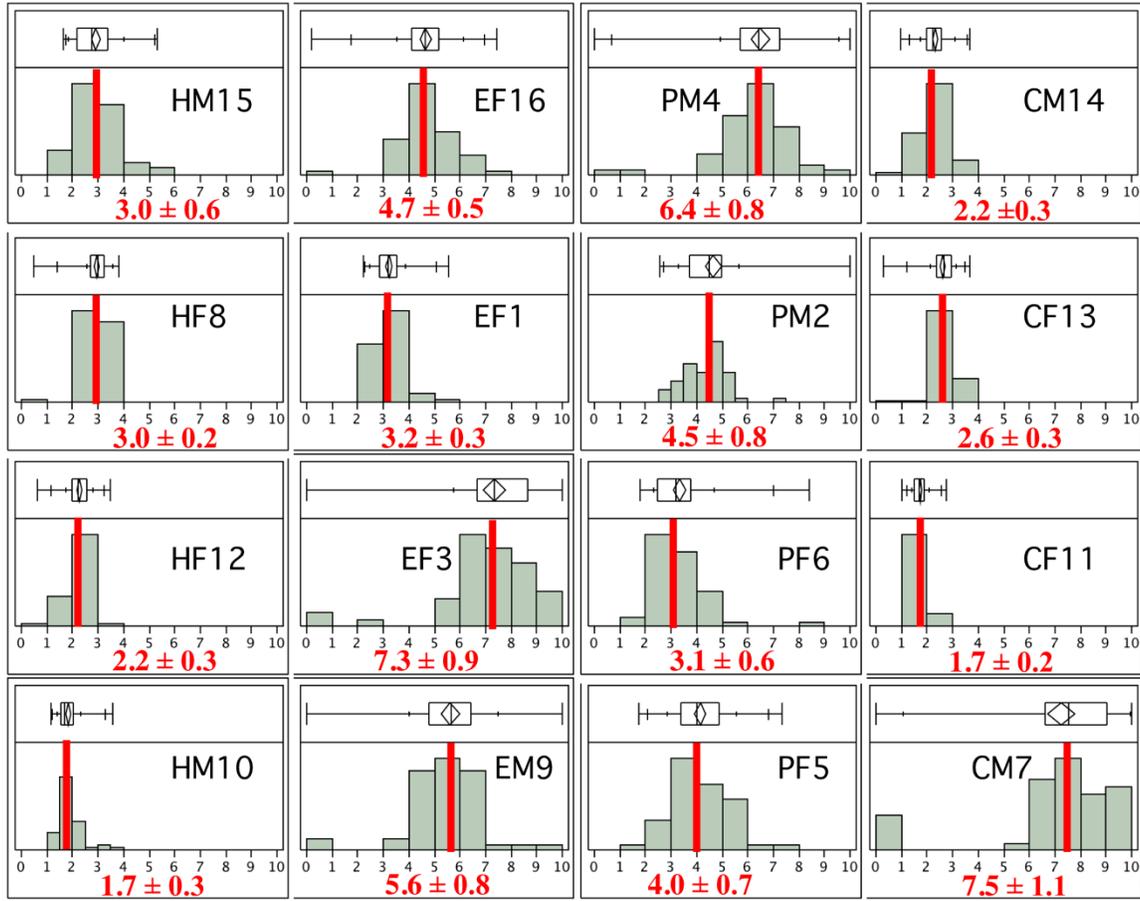
224 Individually calibrated probes

225 Three PMT settings (i.e., 500, 600, 700) were used to assess the signal intensities of the hybridized
226 duplexes because we did not know *a priori* which settings were optimal (i.e., the setting that yielded the
227 minimum signal saturation with maximum dynamic range). The probes at each setting were
228 independently calibrated. In total, 246,232, 246,233, and 207,006 probes were calibrated for the
229 microarrays with the 500, 600, and 700 PMT settings, respectively. In terms of percent of the total
230 probes, about 89% of the probes were calibrated at the 500 and 600 PMT settings and about 75% of the
231 probes at the 700 setting. The lower percent of calibrated probes at the 700 PMT setting was due to
232 saturation of the probes.

233 Median abundances \pm median absolute deviation (MAD) for the 576 rRNA genes and 16 patient
234 samples was assembled into a dataset (Table S2). Note that 21 of the original 597 gene targets were not
235 targetted by the unique probes. The average number of calibrated probes per 16S rRNA gene was $194 \pm$

236 214 (avg. \pm s.d.). The unique probes not yielding abundances for any of the 16 patients were excluded.
237 Any gene abundance that was greater than 10 a.u. was set to 10 a.u. because we did not expect the
238 calibrations to forecast accurately beyond the dilution series used to create it. Moreover, 10.0 a.u.
239 represents 10 times the amount of DNA hybridized to a microarray based on manufacturer's
240 recommendation. The average gene abundance for the 500, 600, and 700 datasets was 3.5 ± 2.0 , $4.1 \pm$
241 2.1 , and 3.5 ± 2.0 arbitrary units (a.u.), respectively. The lowest and highest gene abundances for all
242 datasets were 0.3 and 10.0 a.u., respectively. The significance of these findings is that gene abundances
243 could vary by as much as 30-fold and the averaged gene abundances were not significantly affected by
244 PMT settings. Based on these results, all subsequent analyses of the individualized probes were
245 performed using the 600 PMT data. The range of abundances for these data was 0.3 to 10 a.u.

246 **Examples: Selected microbial abundances.** Statistical parameters (averages, standard deviations (s.d.),
247 quartiles, and median) for all 576 rRNA genes in 16 patients were calculated and two examples are
248 provided below. The histograms and whisker plots of the *Tannerella forsythia* 16S rRNA gene show the
249 binned distribution of gene abundances in 16 patients based on 100 unique probes (Figure 2). The grey
250 bars represent the frequencies of the binned abundances and the red bars represent the median
251 abundances. The whisker plots show the mean, standard deviations, median, and quartiles of the
252 abundances. The median abundance (\pm MAD) in the 4 patients with health was 3.0 ± 0.6 a.u., 3.0 ± 0.2
253 a.u., 2.2 ± 0.3 a.u., and 1.7 ± 0.3 a.u. (samples HM15, HF8, HF12 and HM10, respectively). The
254 average gene abundance (average \pm s.d.) for patients with health was 2.4 ± 0.6 a.u. The abundance of
255 this gene in the 4 patients with edentulism, periodontitis, or caries was: 5.2 ± 1.7 a.u., 4.5 ± 1.4 a.u., and
256 3.5 ± 2.7 a.u., respectively. Two-tailed T-tests revealed significant differences in the average
257 abundances for the 4 patients with edentulous and 4 patients with health ($P < 0.05$), and the 4 patients
258 with periodontitis and 4 patients with health ($P < 0.05$). None of the other paired conditions for this gene
259 were significantly different from one another (Table 1).



260

Figure 2. Distribution of 16S rRNA gene abundances for *Tannerella forsythia* (GI 289382) in 16 patients based on 50 calibrated probes. Each patient has an identifier. The first letter indicates the condition: H, health; E, edentulism; P, periodontitis; C, caries. The second letter indicates patient sex: M, male; F, female. The third number indicates patient number. The red bar indicates median value. Corresponding median absolute deviation (MAD) values are shown. Two-tailed T-tests showed that *T. forsythia* was at significantly higher abundance in patients with periodontitis (Average \pm s.d.; 4.5 ± 1.4 a.u) than those in health (2.4 ± 0.6 a.u) ($P < 0.05$).

261

Table 1. Bacterial species having significantly different abundances for patients with health versus those with edentulism based on individual probes. *n_probes*, number of probes used to determine the species abundance; Two-sided T-tests were based on four patients with health and four patients with edentulism and $\alpha = 0.05$.

Phylum/Class	Genus/Species/GI number	n_probes	Abundance ($\bar{X} \pm$ s.d.) by:	
			Health	Edentulism
Actinobacteria/Actinobacteria	<i>Actinomyces meyeri</i> (1838945)	74	2.4 \pm 0.5	5.1 \pm 1.7
	<i>Actinomyces odontolyticus</i> (853707)	36	2.2 \pm 0.5	4.4 \pm 1.4
	<i>Actinomyces odontolyticus</i> strain 20536T (6997974)	31	2.4 \pm 0.4	5.5 \pm 1.7

	<i>Actinomyces sp.</i> oral strain Hal-1065 (14537929)	168	2.3 ± 0.4	4.7 ± 1.1
Actinobacteria/Coriobacteria	<i>Atopobium rimae</i> (10719609)	22	2.7 ± 0.6	6.1 ± 2.1
Bacteroidetes/Bacteroidetes	<i>Bacteroides ureolyticus</i> (173919)	387	2.7 ± 0.7	5.6 ± 2.0
	<i>Porphyromonas endodontalis</i> ATCC 35406 (294287)	89	2.7 ± 0.8	5.6 ± 1.9
	<i>Prevotella nigrescens</i> ATCC 33563 (294425)	57	2.4 ± 0.6	5.1 ± 1.8
	<i>Prevotella sp.</i> oral clone AH125 (9988924)	78	2.4 ± 0.6	5.0 ± 1.7
	<i>Prevotella sp.</i> oral clone AO096 (9988919)	98	2.6 ± 0.6	5.4 ± 1.7
	<i>Prevotella sp.</i> oral clone AU069 (9988926)	148	2.6 ± 0.6	5.3 ± 1.8
	<i>Prevotella veroralis</i> ATCC 33779 (294427)	41	2.8 ± 0.8	5.6 ± 1.9
	<i>Tannerella forsythia</i> (289382)	50	2.4 ± 0.6	5.2 ± 1.7
Bacteroidetes/Flavobacteria	<i>Capnocytophaga sp.</i> oral clone BU084 (14537978)	65	2.6 ± 0.6	5.5 ± 1.9
Bacteroidetes/Flavobacteria	<i>Capnocytophaga sp.</i> oral clone X066 (9988942)	44	2.6 ± 0.7	5.7 ± 1.9
Firmicutes/ Bacilli	<i>Streptococcus anginosus</i> strain ATCC33397 (4406240)	53	2.5 ± 0.6	5.3 ± 1.7
	<i>Streptococcus salivarius</i> (176047)	28	2.1 ± 0.4	4.5 ± 1.1
	<i>Streptococcus sp.</i> oral clone FO042 (16612189)	6	2.4 ± 0.6	5.5 ± 1.9
	<i>Streptococcus sp.</i> oral clone FP015 (16612190)	61	2.2 ± 0.5	4.9 ± 1.7
	<i>Streptococcus thoraltensis</i> (2578813)	275	2.6 ± 0.7	5.5 ± 1.9
	<i>Lactobacillus paracasei subsp. paracaseie</i> (1808583)	79	2.5 ± 0.7	5.2 ± 1.7
	<i>Mogibacterium vescum</i> strain:ATCC 700697 (4140242)	83	2.6 ± 0.7	5.6 ± 1.9
Firmicutes/Clostridia	<i>Catonella morbi</i> (1067117)	118	2.5 ± 0.6	5.1 ± 1.7
	<i>Eubacterium sp.</i> oral clone BS091 (14537971)	80	2.7 ± 0.8	5.4 ± 1.8
	<i>Eubacterium sp.</i> oral clone EH006 (14537979)	106	2.7 ± 0.7	5.9 ± 2.1
	<i>Eubacterium sp.</i> oral strain A35MT (9837454)	41	2.5 ± 0.7	5.5 ± 1.8
	<i>Peptostreptococcus sp.</i> oral clone BS044 (14537968)	79	2.6 ± 0.6	5.5 ± 1.7
	<i>Selenomonas sp.</i> oral clone EW076 (14537908)	42	2.4 ± 0.6	4.9 ± 1.6
	<i>Selenomonas sp.</i> oral clone EW084 (14537910)	91	2.7 ± 0.7	5.7 ± 2.0

	<i>Selenomonas</i> -like sp. oral strain GAA14 (9837482)	129	2.5 ± 0.6	5.4 ± 1.9
Firmicutes/Cocci	<i>Staphylococcus saccharolyticus</i> (576608)	46	2.4 ± 0.6	5.1 ± 1.8
Firmicutes/Erysipelotrichia	<i>Solobacterium</i> sp. oral clone K010 (9988916)	113	2.7 ± 0.7	5.5 ± 1.9
Firmicutes/Mollicutes	<i>Mycoplasma faucium</i> strain DC333(T) (4883891)	176	2.6 ± 0.7	5.5 ± 1.8
Firmicutes/Negativicutes	<i>Dialister</i> sp. oral clone BS095 (9837480)	99	2.6 ± 0.7	5.3 ± 1.7
	<i>Dialister</i> sp. oral strain GBA27 (9837481)	63	2.7 ± 0.7	5.4 ± 1.8
	<i>Megasphaera</i> sp. oral clone BU057 (14537975)	305	2.5 ± 0.7	5.3 ± 1.8
	<i>Veillonella dispar</i> (793883)	40	2.4 ± 0.6	5.1 ± 1.7
Fusobacteria/Fusobacteria	<i>Leptotrichia</i> sp. oral clone DR011 (14537926)	215	2.6 ± 0.6	5.2 ± 1.7
	<i>Leptotrichia</i> sp. oral clone FB074 (14537951)	114	2.5 ± 0.6	5.5 ± 1.9
Proteobacteria/Betaproteobacteria	<i>Comamonas</i> sp. isolate 158 (3046563)	33	2.6 ± 0.7	5.3 ± 1.7
	<i>Kingella denitrificans</i> (174983)	63	2.6 ± 0.7	5.3 ± 1.7
	<i>Kingella oralis</i> (174982)	184	2.5 ± 0.6	5.3 ± 1.8
	<i>Neisseria</i> sp. oral strain B33KA (10048304)	74	2.7 ± 0.7	5.8 ± 2.0
	<i>Neisseria subflava</i> strain U37 (5327189)	12	2.8 ± 0.9	6.5 ± 1.8
Proteobacteria/Gammaproteobacteria	<i>Klebsiella oxytoca</i> (14549203)	65	2.5 ± 0.5	5.5 ± 1.9
	<i>Klebsiella pneumoniae</i> strain ATCC13884T (3282032)	8	2.3 ± 0.6	4.8 ± 1.5
	<i>Pseudomonas aeruginosa</i> strain:ATCC 27853 (6863010)	30	2.7 ± 0.7	5.6 ± 1.9
Spirochaetes/Spirochaetes	<i>Treponema pectinovorum</i> 8:A:33768 (3757506)	72	2.6 ± 0.6	5.6 ± 2.0
	<i>Treponema pectinovorum</i> OMZ831 (10764812)	108	2.7 ± 0.8	5.5 ± 1.9
	<i>Treponema socranskii</i> (2653628)	77	2.3 ± 0.5	4.9 ± 1.6
	<i>Treponema</i> sp. I:B:C7 (2586374)	16	2.8 ± 0.8	5.8 ± 1.9
	<i>Treponema</i> sp. I:E:U17A (2586380)	37	2.6 ± 0.7	5.4 ± 1.8
	<i>Treponema</i> sp. I:F:D13 (2586376)	70	2.5 ± 0.6	5.1 ± 1.7
	<i>Treponema</i> sp. I:K:T3 (2586382)	35	2.6 ± 0.6	5.5 ± 1.8
	<i>Treponema</i> sp. I:N:D47 (2586384)	113	2.7 ± 0.7	5.7 ± 1.9
	<i>Treponema</i> sp. I:O:AF16 (3046569)	43	2.5 ± 0.6	5.4 ± 1.9
	<i>Treponema</i> sp. I:S:AT39 (3132613)	65	2.6 ± 0.6	5.4 ± 1.8

	<i>Treponema sp.</i> I:T:AT24 (3132614)	105	2.6 ± 0.7	5.3 ± 1.8
	<i>Treponema sp.</i> II:10:D12 (2586353)	69	2.5 ± 0.7	5.3 ± 1.8
	<i>Treponema sp.</i> II:D:G93 (2586355)	89	2.5 ± 0.5	5.1 ± 1.7
	<i>Treponema sp.</i> IV:17B:C21 (2586361)	68	2.4 ± 0.7	5.1 ± 1.6
	<i>Treponema sp.</i> IV:17B:C21 (2586361)	57	2.7 ± 0.7	5.7 ± 1.9
	<i>Treponema vincentii</i> (2764819)	291	2.5 ± 0.5	5.1 ± 1.7
Unidentified	Uncultured human oral bacterium A35 (6671223)	104	2.7 ± 0.7	5.7 ± 1.9

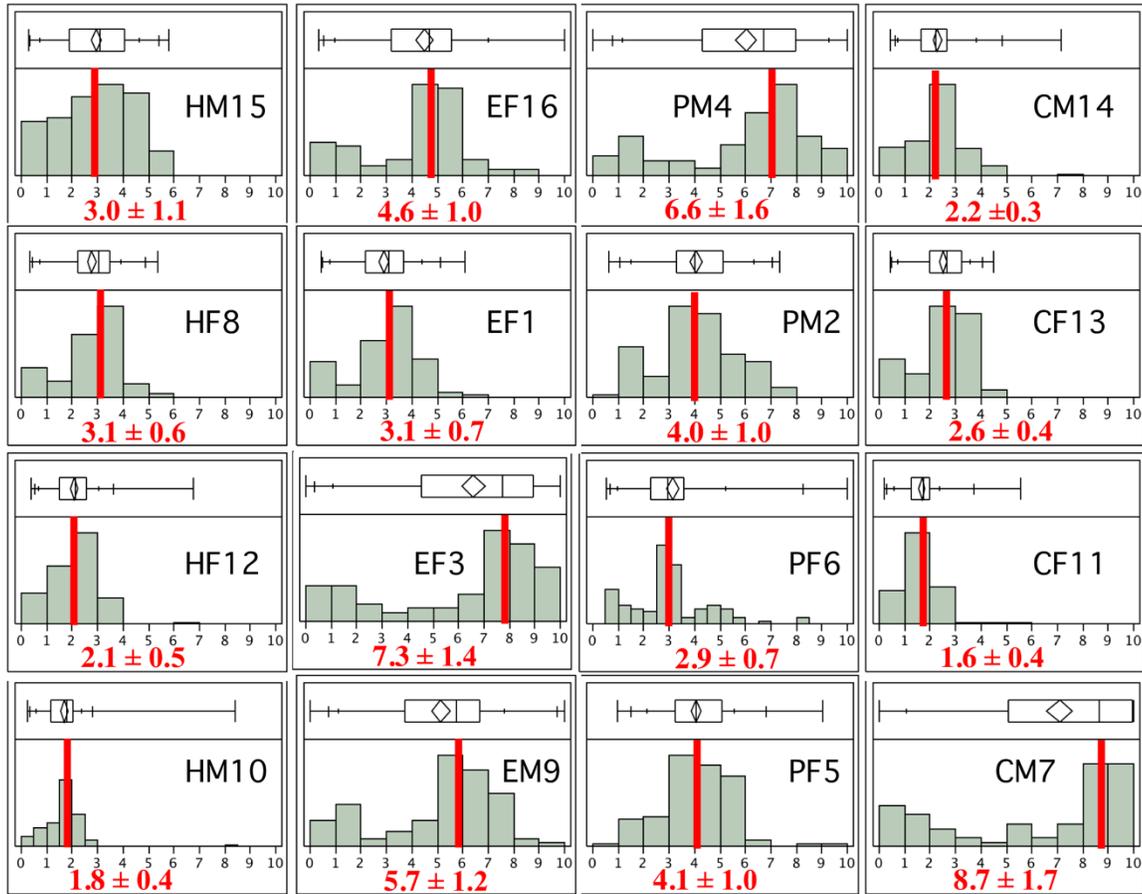
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265 The histograms and whisker plots of the *Treponema denticola* 16S rRNA gene show the distribution of
266 abundances in the 16 patients using 94 unique probes (Figure 3). The average abundances of patients
267 with health, edentulism, periodontitis, and caries were: 2.5 ± 0.6 a.u., 5.3 ± 2.0 a.u., 4.4 ± 1.6 a.u., and
268 3.8 ± 3.3 a.u., respectively. A two-tailed t-test revealed a difference in average abundances for the 4
269 patients with health and 4 patients with edentulous but only approached significance ($P \leq 0.06$) (Table
270 2). None of the other paired conditions (i.e., caries versus edentulism, caries versus periodontitis, caries
271 versus health, edentulism versus periodontitis, or health versus periodontitis) for this gene yielded
272 differences from one another.

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Figure 3. Distribution of 16S rRNA gene abundances for *Treponema denticola* ATCC 35405 (GI 4580728) in 16 patients based on 94 calibrated probes. Red bar indicates median value. Corresponding MAD values are shown. There is a tendency for *T. denticola* to be more abundant in patients with periodontitis (Average \pm s.d.; 4.4 ± 1.6 a.u.) than those with health (2.5 ± 0.6 a.u.) ($P < 0.09$).

Table 2. Bacterial species having significantly different abundances for patients with health versus those with periodontitis based on calibrated individual probes. n_probes , number of probes used to determine the species abundance; Two-sided T-tests were based on four patients with health and four patients with periodontitis and $\alpha = 0.05$.

Phylum/Class	Genus/Species/GI number	n_probes	Abundance ($X \pm$ s.d.) (a.u.) by:	
			Health	Periodontitis
Actinobacteria/Actinobacteria	<i>Actinomyces odontolyticus</i> (853707)	36	2.2 \pm 0.5	4.1 \pm 1.1
	<i>Propionibacterium avidum</i> DSM 4901 (2644976)	53	2.7 \pm 0.7	4.7 \pm 1.3
Bacteroidetes/Bacteroidetes	<i>Prevotella nigrescens</i> ATCC 33563 (294425)	57	2.4 \pm 0.6	4.4 \pm 1.3
	<i>Tannerella forsythia</i> (289382)	50	2.4 \pm 0.6	4.5 \pm 1.4

Bacteroidetes/Flavobacteria	<i>Capnocytophaga sp.</i> oral clone X089 (9988944)	37	2.6 ± 0.6	4.9 ± 1.4
Firmicutes/Bacilli	<i>Streptococcus gordonii</i> (2183315)	14	2.7 ± 0.9	4.7 ± 0.9
	<i>Streptococcus sp.</i> oral clone BW009 (9988906)	11	2.7 ± 0.6	5.1 ± 1.3
	<i>Streptococcus sp.</i> oral strain T1-E5 (14537933)	19	2.6 ± 0.6	4.3 ± 1.1
	<i>Streptococcus sp.</i> oral strain T4-E3 (14537934)	46	2.3 ± 0.5	4.3 ± 1.3
Firmicutes/Clostridia	<i>Selenomonas sp.</i> oral clone AA024 (9837490)	96	2.6 ± 0.7	4.7 ± 1.3
Firmicutes/Cocci	<i>Staphylococcus capitis</i> (576605)	18	2.5 ± 0.5	4.3 ± 1.1
Fusobacteria	<i>Fusobacterium periodonticum</i>	39	2.6 ± 0.7	4.7 ± 1.3
Proteobacteria/Betaproteobacteria	<i>Neisseria flava</i> strain U40 (5327199)	1	2.7 ± 0.8	4.8 ± 0.8
	<i>Neisseria sp.</i> oral clone AP015 (10048301)	15	2.7 ± 0.7	5.2 ± 1.4
	<i>Neisseria sp.</i> oral strain B33KA (10048304)	74	2.7 ± 0.7	5.1 ± 1.6
	<i>Neisseria subflava</i> (5327189)	12	2.8 ± 0.9	5.0 ± 1.3
Proteobacteria/Deltaproteobacteria	<i>Desulfobulbus sp.</i> oral clone CH031 (10048312)	113	2.6 ± 0.6	4.7 ± 1.4
Proteobacteria/Gammaproteobacteria	<i>Klebsiella pneumoniae</i> strain ATCC13884T (3282032)	8	2.3 ± 0.6	4.3 ± 0.9
	<i>Pseudomonas aeruginosa</i> strain LMG 1242T (1907091)	27	2.9 ± 0.8	5.6 ± 1.8
Spirochaetes/Spirochaetes	<i>Treponema pectinovorum</i> 8:A:33768 (3757506)	72	2.6 ± 0.6	4.9 ± 1.5
	<i>Treponema pectinovorum</i> OMZ831 (10764812)	108	2.7 ± 0.8	4.9 ± 1.5
	<i>Treponema socranskii</i> (2653630)	35	2.4 ± 0.5	4.3 ± 1.1
	<i>Treponema sp.</i> II:C:T1 (2586354)	57	2.7 ± 0.7	5.1 ± 1.4
Unidentified	Human oral bacterium C20 (6671248)	2	2.3 ± 0.5	5.5 ± 1.5

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Microbial abundance signatures. To identify microbial abundance signatures (i.e., 16S rRNA genes) that are unique to a particular condition, we compared the 576 rRNA sequences by condition (Caries, Edentulism, Periodontitis and Health) using two-tailed T-tests with unequal variance at alpha=0.05. No significant differences were found for the Caries versus Edentulism, Caries versus Health, Caries versus

281 Periodontitis, or Edentulism versus Periodontitis conditions. However, specific microbial abundance
282 signatures were found in Health versus Edentulism and Health versus Periodontitis conditions (Tables 1
283 and 2), which are described below.

284 **Health versus Edentulism.** Patients with edentulism had higher abundances of 26 genera and 35
285 bacterial species than patients associated with dental health. The bacterial species included those in the
286 phyla Actinobacteria, Bacteroidetes, Firmicutes, Fusobacterium, Proteobacteria, and Spirochaetes
287 (Table 1). The number of unique probes used to determine gene abundances of these microorganisms
288 ranged from 6 to 387. Similar to the above, highly related strains (e.g., *Actinomyces odontolyticus* GI
289 853707 and *A. odontolyticus* GI 69977974) yielded similar abundances even though they were based on
290 different probes (i.e., 36 versus 31 unique probes, respectively). This phenomenon was observed in
291 different strains and species of the genera *Actinomyces*, *Prevotella*, *Capnocytophaga*, *Streptococcus*,
292 *Eubacterium*, *Selenomonas*, *Dialister*, *Leptotrichia*, *Kingella*, *Neisseria*, *Klebsiella*, and *Treponema*.

293 **Health versus periodontitis.** Patients with periodontitis had higher abundances of 14 genera and 21
294 bacterial species than patients with health. The bacterial genera included those found in the phyla
295 Actinobacteria, Bacteroidetes, Firmicutes, Fusobacterium, Proteobacteria, and Spirochaetes (Table 2).
296 Note that the number of unique probes used to determine gene abundances varied from one to 113. We
297 emphasize this point because additional probes targeting a particular 16S rRNA gene presumably
298 improved the precision. Interestingly, strains of highly related species (e.g., *Streptococcus sp.*; GI
299 14537933 and GI 14537934) yielded similar abundances even though they were based on different
300 probes (i.e., 19 versus 46 unique probes, respectively). This phenomenon was also observed in different
301 strains and species of the genera *Streptococcus*, *Neisseria* and *Treponema*. This finding provides support
302 for the precision of Gene Meters in terms of gene abundances.

303 **Calibrated probe aggregates**

304 The PMT settings affected the number of total genes ($n=597$) that could be calibrated. Specifically, 564,
305 567, and 572 genes were calibrated for the PMT settings of 500, 600 and 700, respectively. The
306 abundances for these genes in the 16 patient samples were assembled into a dataset by PMT (Table S3).
307 As before, gene abundances that were greater than 10 a.u. were set to 10 a.u. because we did not expect
308 calibration to forecast accurately beyond the dilution series used to create it. The average gene
309 abundance for the 500, 600, and 700 datasets was 2.9 ± 1.8 , 3.4 ± 1.9 , and 4.0 ± 2.1 arbitrary units (a.u.),
310 respectively. The lowest and highest gene abundances for all datasets was 0.03 and 10.0 a.u.,
311 respectively. Therefore, gene abundances could vary by as much as 300-fold and the averaged gene
312 abundances were affected by PMT settings, with higher abundances at higher PMT settings. Based on
313 these results, all subsequent analyses of the aggregated calibrated probes were performed using the 600
314 PMT setting data.

315 **Microbial abundance signatures.** Microbial abundance signatures (i.e., 16S rRNA genes) were
316 determined by comparing the 567 rRNA sequences by condition (Caries, Edentulism, Periodontitis and
317 Health) using two-tailed T-tests with unequal variance at $\alpha=0.05$. Although significant differences
318 were not found for the Caries versus Health comparison, specific microbial abundance signatures were
319 found for the Caries versus Edentulism, Caries versus Periodontitis, Edentulism versus Health,
320 Edentulism versus Periodontitis, and Health versus Periodontitis comparisons, which are described
321 below.

322 **Caries versus Edentulism.** Patients with edentulism had significantly higher abundances of
323 *Lactobacillus sp.* (2.8 ± 1.21 a.u.) than patients with caries (0.6 ± 0.42 a.u.) ($P<0.03$). Hence,
324 *Lactobacillus sp.* was a putative abundance signature for patients with edentulism.

325 **Caries versus Periodontitis.** Patients with periodontitis had significantly higher abundances of
 326 *Tannerella forsythia* (1.3 ± 0.58 a.u.) than patients with caries (0.4 ± 0.28 a.u.) ($P < 0.04$). Similarly,
 327 patients with periodontitis had significantly higher abundances of *Fusobacterium nucleatum* (1.0 ± 0.28
 328 a.u.) than patients with caries (0.4 ± 0.22 a.u.) ($P < 0.01$). The high abundances of these microorganisms
 329 indicates a putative signature for periodontitis as these microorganisms were significantly more
 330 abundant in patients with periodontitis versus patients with health (see below).

331 **Edentulism versus Health.** Patients with edentulism had significantly higher abundances of 32 genera
 332 (and two unidentified species) representing 72 different species/strains than patients associated with
 333 health. The microorganisms included the phyla Actinobacteria, Ascomycota, Bacteroidetes,
 334 Deinococcus-Thermus, Firmicutes, Fusobacterium, Proteobacteria, Spirochaetes, and Tenericutes
 335 (Table 3). The phylum Firmicutes contained the most microorganisms ($n=29$ species) with the
 336 following classes: Bacilli, Clostridia, Erysipelotrichia, and Negativicutes. The class Bacilli consisted of
 337 *Bacillus clausii*, and several *Lactobacillus* and *Streptococcus* species. The phylum Actinobacteria
 338 contained the second most microorganisms ($n=15$ species) and included the classes Actinobacteria and
 339 Coriobacterila. Within the class Actinobacteria were the following genera: *Actinomyces*,
 340 *Bifidobacterium*, *Propionibacterium*, and *Stomatococcus*.

Table 3. Microbial species having significantly different abundances for patients with health versus those with edentulism using calibrated aggregated probes. n_probes , number of probes used to determine the species abundance; Two-sided T-tests were based on four patients with health and four patients with edentulism and $\alpha=0.05$.

Phylum/Class	Genus/Species/GI number	n_probes	Abundance ($X \pm s.d.$) (a.u.) by:	
			Health	Edentulism
Actinobacteria/Actinobacteria	<i>Actinomyces gerencseriae</i> (1838939)	193	1.9 ± 0.36	3.1 ± 0.80
	<i>Actinomyces israelii</i> (1838944)	314	1.6 ± 0.26	2.7 ± 0.67
	<i>Actinomyces meyeri</i> (1838945)	74	2.1 ± 0.41	4.4 ± 1.42
	<i>Actinomyces odontolyticus</i> (6997974)	31	2.5 ± 0.48	5.4 ± 1.73
	<i>Actinomyces odontolyticus</i> (853707)	36	1.6 ± 0.32	2.7 ± 0.54
	<i>Actinomyces sp.</i> (14537925)	310	1.7 ± 0.31	3.0 ± 0.86
	<i>Actinomyces sp.</i> (14537930)	56	2.4 ± 0.52	5.0 ± 1.64
	<i>Actinomyces sp.</i> (14537912)	82	2.0 ± 0.42	4.0 ± 1.26
	<i>Actinomyces sp.</i> (14537929)	168	1.8 ± 0.30	3.5 ± 0.32
	<i>Actinomyces sp.</i> (2073386)	131	1.6 ± 0.21	2.6 ± 0.62
	<i>Actinomyces viscosus</i> (1838951)	98	2.2 ± 0.39	3.7 ± 0.93

	<i>Bifidobacterium sp. (9837452)</i>	205	2.0 ± 0.33	3.4 ± 0.89
	<i>Propionibacterium avidum (2644976)</i>	53	2.5 ± 0.54	5.4 ± 1.82
	<i>Stomatococcus mucilaginosus (2764819)</i>	291	1.8 ± 0.17	2.8 ± 0.34
Actinobacteria/Coriobacteriia	<i>Atopobium rimae (10719609)</i>	22	2.7 ± 0.62	7.6 ± 2.13
Ascomycota/Saccharomycetes	<i>Candida albicans (2507)</i>	1442	2.2 ± 0.46	4.7 ± 1.56
Bacteroidetes/Bacteroidetes	<i>Porphyromonas-like sp. (9988935)</i>	631	1.9 ± 0.31	3.1 ± 0.82
	<i>Prevotella sp. (9988921)</i>	87	2.6 ± 0.56	5.6 ± 1.94
	<i>Prevotella sp. (14537919)</i>	88	2.6 ± 0.60	5.7 ± 2.02
	<i>Prevotella sp. (9988918)</i>	127	1.9 ± 0.33	3.2 ± 0.85
	<i>Prevotella sp. (9988919)</i>	98	2.2 ± 0.37	3.7 ± 0.97
Bacteroidetes/Flavobacteria	<i>Capnocytophaga sp. (9988943)</i>	101	2.7 ± 0.62	5.9 ± 2.08
Bacteroidetes/Sphingobacteria	<i>Pedobacter sp. (14537940)</i>	418	2.2 ± 0.44	4.4 ± 1.39
Deinococcus-Thermus/Deinococci	<i>Deinococcus sp. (14537950)</i>	790	2.1 ± 0.43	4.2 ± 1.39
Firmicutes/Bacilli	<i>Bacillus clausii (9715774)</i>	27	2.2 ± 0.43	4.7 ± 1.57
	<i>Lactobacillus gasseri (175028)</i>	309	2.1 ± 0.45	4.5 ± 1.54
	<i>Lactobacillus paracasei (1808583)</i>	79	1.7 ± 0.32	2.7 ± 0.71
	<i>Lactobacillus sp. (9988912)</i>	73	0.5 ± 0.13	2.8 ± 1.21
	<i>Paenibacillus sp. (15004607)</i>	149	2.0 ± 0.35	3.6 ± 1.04
	<i>Streptococcus gordonii (2183315)</i>	14	1.8 ± 0.33	2.8 ± 0.65
	<i>Streptococcus mitis (9988909)</i>	6	2.7 ± 0.80	5.7 ± 1.95
	<i>Streptococcus pneumoniae (2183314)</i>	29	1.9 ± 0.40	4.1 ± 1.33
	<i>Streptococcus salivarius (176047)</i>	28	1.9 ± 0.40	4.1 ± 1.10
	<i>Streptococcus sp. (9988907)</i>	91	2.5 ± 0.60	5.4 ± 1.87
	<i>Streptococcus sp. (16612185)</i>	83	2.1 ± 0.45	4.3 ± 1.38
	<i>Streptococcus thoraltensis (2578813)</i>	275	2.3 ± 0.49	4.9 ± 1.60
Firmicutes/Clostridia	<i>Butyrivibrio sp. (9837461)</i>	569	1.8 ± 0.35	3.5 ± 1.10
	<i>Eubacterium limosum (174517)</i>	437	2.1 ± 0.58	4.5 ± 1.58

	<i>Eubacterium minutum</i> (4001750)	60	1.2 ± 0.21	2.0 ± 0.51
	<i>Eubacterium saphenum</i> (1513214)	447	1.7 ± 0.30	3.0 ± 0.77
	<i>Eubacterium sp.</i> (14537976)	196	2.1 ± 0.37	3.6 ± 0.96
	<i>Eubacterium sp.</i> (14537971)	80	1.5 ± 0.43	2.9 ± 0.87
	<i>Eubacterium sp.</i> (14537961)	219	1.9 ± 0.32	3.4 ± 0.88
	<i>Peptostreptococcus anaerobius</i> (175621)	132	1.7 ± 0.28	2.9 ± 0.78
	<i>Peptostreptococcus asaccharolyticus</i> (454180)	439	1.9 ± 0.42	3.5 ± 0.98
	<i>Eubacterium sp.</i> (3861469)	515	2.0 ± 0.35	3.3 ± 0.87
Firmicutes/Erysipelotrichia	<i>Erysipelothrix tonsillarum</i> (7678893)	478	1.8 ± 0.34	3.4 ± 1.03
	<i>Solobacterium sp.</i> (9988916)	113	1.9 ± 0.39	3.5 ± 1.08
Firmicutes/Negativicutes	<i>Selenomonas flueggei</i> (9837496)	95	1.7 ± 0.35	3.3 ± 1.05
	<i>Selenomonas flueggei</i> (9837497)	50	2.2 ± 0.53	4.8 ± 1.50
	Uncultured <i>Selenomonas</i> (14161358)	84	2.7 ± 0.70	5.8 ± 2.00
	Uncultured <i>Veillonella</i> (14161350)	47	1.7 ± 0.44	2.9 ± 0.80
	<i>Veillonella dispar</i> (793883)	40	1.8 ± 0.34	3.4 ± 0.81
Fusobacteria/Fusobacteria	<i>Leptotrichia sp.</i> (16612191)	400	2.4 ± 0.57	5.0 ± 1.69
	<i>Leptotrichia sp.</i> (14537926)	215	2.4 ± 0.50	4.7 ± 1.48
Proteobacteria/Betaproteobacteria	<i>Burkholderia sp.</i> (9988903)	264	2.0 ± 0.35	3.3 ± 0.85
	<i>Kingella denitrificans</i> (174983)	63	2.2 ± 0.36	3.6 ± 0.91
	<i>Neisseria sp.</i> (10048304)	74	2.7 ± 0.57	5.9 ± 2.05
Proteobacteria/Epsilonproteobacteria	<i>Campylobacter sp.</i> (10048314)	239	1.7 ± 0.33	3.0 ± 0.84
Proteobacteria/Gammaproteobacteria	<i>Ewingella americana</i> (903619)	272	2.0 ± 0.39	4.0 ± 1.30
	<i>Klebsiella pneumoniae</i> (3282032)	8	2.2 ± 0.53	4.8 ± 1.61
Spirochaetes/Spirochaetes	<i>Treponema denticola</i> (4580728)	94	1.0 ± 0.16	1.7 ± 0.41

	<i>Treponema pectinovorum</i> (10764812)	108	2.6 ± 0.64	5.6 ± 1.95
	<i>Treponema socranskii</i> (2653628)	77	2.3 ± 0.56	4.7 ± 1.52
	<i>Treponema sp.</i> (3132614)	105	2.7 ± 0.51	4.5 ± 1.50
	<i>Treponema sp.</i> (2586354)	57	2.6 ± 0.65	5.7 ± 1.98
	<i>Treponema sp.</i> (14537906)	128	09 ± 0.16	1.5 ± 0.43
	<i>Treponema sp.</i> (6049684)	85	2.7 ± 0.71	6.0 ± 2.04
	<i>Treponema sp.</i> (2586355)	89	1.4 ± 0.21	2.3 ± 0.56
Tenericutes/Mollicutes	<i>Mycoplasma buccale</i> (4883887)	191	2.6 ± 0.61	5.8 ± 1.95
Unknown	Human oral (6671248)	2	2.7 ± 0.60	4.9 ± 1.29
	Uncultured human (6671223)	104	2.6 ± 0.64	5.9 ± 1.91

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344 **Edentulism and Periodontitis.** Patients with periodontitis had significantly higher abundances of
 345 seven microbial species/strains than patients with edentulism (Table 4). The phyla for these bacteria
 346 included Bacteroidetes, Firmicutes, and Fusobacteria. Notable genera included: *Prevotella*, *Tannerella*,
 347 and *Fusobacterium*, which are thought to play roles in periodontitis.

Table 4. Microbial species having significantly different abundances for patients with edentulism versus those with periodontitis based on aggregated probes. n_probes, number of probes used to determine the species abundance; Two-sided T-tests were based on four patients with edentulism and four patients with periodontitis and alpha=0.05.

Phylum/Class	Genus/Species/GI number	n_probes	Abundance (X ± s.d.) (a.u.) by:	
			Edentulism	Periodontitis
Bacteroidetes/Bacteroidetes	<i>Prevotella denticola</i> (294420)	49	1.5 ± 0.36	2.1 ± 0.31
	<i>Prevotella nigrescens</i> (294425)	57	1.0 ± 0.30	1.7 ± 0.39
	<i>Prevotella sp.</i> (9988923)	53	0.8 ± 0.21	1.7 ± 0.45
	<i>Prevotella veroralis</i> (294427)	41	1.2 ± 0.29	2.0 ± 0.42
Firmicutes/Clostridia	<i>Tannerella forsythia</i> (6539450)	94	0.4 ± 0.13	1.3 ± 0.58
	<i>Mogibacterium vescum</i> (4140242)	83	0.7 ± 0.55	2.5 ± 1.23
Fusobacteria	<i>Fusobacterium nucleatum</i> (4490387)	40	0.5 ± 0.14	1.0 ± 0.28

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350 **Health and Periodontitis.** Patients with periodontitis had higher abundances of 30 genera (five not
 351 taxonomically identified) and 62 species than patients with health (Table 5). These bacteria were found
 352 in the phyla Actinobacteria, Bacteroidetes, Chloroflexi, Deferribacteres, Firmicutes, Fusobacteria,
 353 Proteobacteria, and Spirochaetes. Note that there was a higher abundance of members of the “red
 354 complex” (specifically, *Porphyromonas gingivalis*, *Tannerella forsythia*, *Treponema denticola*) in
 355 patients with periodontitis than patients with health.

Table 5. Microbial species having significantly different abundances for patients with health versus those with periodontitis based on aggregated probes. n_probes, number of probes used to determine the species abundance; Two-sided T-tests were based on four patients with health and four patients with periodontitis and alpha=0.05.

Phylum/Class	Genus/Species/GI number	n_probes	Abundance (X ± s.d.) (a.u.) by:	
			Health	Periodontitis (n=4)
Actinobacteria/Actinobacteria	<i>Actinomyces sp.</i> (9837444)	31	2.4 ± 0.52	4.6 ± 1.45
	<i>Actinomyces sp.</i> (10946538)	116	1.7 ± 0.28	2.5 ± 0.52

	<i>Actinomyces odontolyticus (853707)</i>	36	1.6 ± 0.32	2.5 ± 0.48
	<i>Bifidobacterium sp. (9837452)</i>	205	2.0 ± 0.33	3.1 ± 0.71
Bacteroidetes/Bacterioidetes	<i>Bacteroides-like sp. (9988930)</i>	688	2.0 ± 0.40	3.6 ± 1.01
	<i>Bacteroides-like sp. (9988936)</i>	620	1.8 ± 0.52	3.0 ± 0.58
	<i>Porphyromonas endodontalis (294287)</i>	89	2.2 ± 0.50	4.0 ± 1.12
	<i>Porphyromonas gingivalis (509140)</i>	89	1.1 ± 0.29	1.9 ± 0.40
	<i>Porphyromonas-like sp. (9988934)</i>	647	1.8 ± 0.36	3.1 ± 0.71
	<i>Porphyromonas-like sp. (9988935)</i>	631	1.9 ± 0.31	3.0 ± 0.53
	<i>Prevotella bivia (294429)</i>	282	2.0 ± 0.41	3.4 ± 0.93
	<i>Prevotella buccae (294432)</i>	352	1.7 ± 0.35	3.0 ± 0.74
	<i>Prevotella buccalis (294430)</i>	307	1.6 ± 0.43	3.1 ± 0.93
	<i>Prevotella dentalis (1565276)</i>	371	1.9 ± 0.35	3.2 ± 0.80
	<i>Prevotella denticola (294420)</i>	49	1.0 ± 0.19	2.1 ± 0.31
	<i>Prevotella enoeca (3114912)</i>	399	1.9 ± 0.36	3.3 ± 0.90
	<i>Prevotella intermedia (294422)</i>	227	1.3 ± 0.21	2.1 ± 0.39
	<i>Prevotella nigrescens (294425)</i>	57	0.8 ± 0.29	1.7 ± 0.39
	<i>Prevotella nigrescens (509071)</i>	154	1.1 ± 0.20	2.3 ± 0.42
	<i>Prevotella oralis (294434)</i>	245	1.6 ± 0.32	2.8 ± 0.62
	<i>Prevotella oris (294428)</i>	65	1.1 ± 0.17	1.9 ± 0.29
	<i>Prevotella pallens (2108322)</i>	89	1.0 ± 0.21	2.0 ± 0.33
	<i>Prevotella sp. (9988918)</i>	127	1.9 ± 0.33	3.0 ± 0.65
	<i>Prevotella sp. (14537917)</i>	400	2.0 ± 0.44	3.6 ± 0.95
	<i>Prevotella sp. (29165644)</i>	317	1.9 ± 0.36	3.2 ± 0.77
	<i>Prevotella sp. (9988917)</i>	89	1.4 ± 0.24	2.2 ± 0.46
	<i>Prevotella sp. (9988923)</i>	53	0.8 ± 0.44	1.7 ± 0.45
	<i>Prevotella sp.</i>	456	2.0 ± 0.40	3.5 ± 0.83

	(14537973)			
	<i>Prevotella sp.</i> (14537923)	229	1.5 ± 0.25	2.3 ± 0.39
	<i>Prevotella sp.</i> (14537920)	268	1.2 ± 0.32	1.9 ± 0.13
	<i>Prevotella sp.</i> (9988929)	433	1.5 ± 0.35	2.4 ± 0.37
	<i>Prevotella sp.</i> (14537960)	112	1.2 ± 0.28	2.1 ± 0.33
	<i>Prevotella sp.</i> (14537927)	68	0.8 ± 0.16	1.3 ± 0.10
	<i>Prevotella sp.</i> (9988920)	85	1.2 ± 0.19	2.1 ± 0.15
	<i>Prevotella tannerae</i> (10039600)	167	1.6 ± 0.28	2.3 ± 0.45
	<i>Prevotella veroralis</i> (294427)	41	0.8 ± 0.23	2.0 ± 0.42
	<i>Prevotella</i> <i>zooglyphiformans</i> (289379)	276	1.8 ± 0.32	3.0 ± 0.76
	<i>Tannerella forsythia</i> (10946530)	393	1.3 ± 0.43	2.2 ± 0.32
	<i>Tannerella forsythia</i> (289382)	50	2.3 ± 0.16	3.7 ± 0.47
Bacteroidetes/Flavobacteria	<i>Capnocytophaga</i> <i>haemolytica</i> (1199611)	383	2.0 ± 0.39	3.4 ± 0.90
	<i>Capnocytophaga sp.</i> (9988944)	37	2.5 ± 0.56	4.5 ± 1.26
	<i>Capnocytophaga</i> <i>sputigena</i> (289579)	172	2.0 ± 0.35	3.0 ± 0.62
Chloroflexi	TM7 phylum (14537928)	174	1.8 ± 0.47	3.0 ± 0.46
Deferribacteres/Deferribacteres	<i>Flexistipes-like sp.</i> (9858891)	137	2.0 ± 0.40	3.6 ± 0.99
Firmicutes/Bacilli	<i>Abiotrophia elegans</i> (2460062)	125	2.0 ± 0.40	3.6 ± 0.76
	<i>Caryophanon sp.</i> (14537944)	40	2.3 ± 0.51	4.1 ± 1.14
	<i>Granulicatella elegans</i> (3123332)	26	2.5 ± 0.57	4.5 ± 1.23
	<i>Lactobacillus sp.</i> (9988912)	73	0.5 ± 0.13	1.0 ± 0.25
	<i>Staphylococcus</i> <i>epidermidis</i> (1199945)	26	2.6 ± 0.67	4.8 ± 1.45
	<i>Staphylococcus xylosus</i> (1199956)	54	1.5 ± 0.25	2.4 ± 0.48
	<i>Streptococcus gordonii</i> (2183315)	14	1.8 ± 0.33	2.6 ± 0.51
	<i>Streptococcus sobrinus</i> (5578902)	258	2.0 ± 0.32	3.0 ± 0.65

	<i>Streptococcus sp.</i> (9988906)	11	2.7 ± 0.65	4.8 ± 1.40
	<i>Streptococcus sp.</i> (16612184)	45	1.9 ± 0.33	3.5 ± 0.32
Firmicutes/Clostridia	<i>Butyrivibrio sp.</i> (9837461)	569	1.8 ± 0.35	3.1 ± 0.74
	<i>Clostridium tetanomorphum</i> (535115)	525	1.8 ± 0.50	3.1 ± 0.81
	<i>Eubacterium infirmum</i> (3299817)	85	1.7 ± 0.36	2.7 ± 0.66
	<i>Eubacterium saphenum</i> (1513214)	447	1.7 ± 0.30	2.8 ± 0.62
	<i>Eubacterium sp.</i> (9837459)	637	2.0 ± 0.42	3.4 ± 0.94
	<i>Eubacterium sp.</i> (14537976)	196	2.1 ± 0.37	3.3 ± 0.70
	<i>Eubacterium sp.</i> (14537903)	39	1.4 ± 0.25	2.0 ± 0.34
	<i>Eubacterium sp.</i> (14537961)	219	1.9 ± 0.32	3.3 ± 0.73
	<i>Eubacterium sp.</i> (14537982)	367	1.3 ± 0.42	2.5 ± 0.50
	<i>Eubacterium sp.</i> (14537971)	80	1.5 ± 0.43	3.1 ± 0.48
	<i>Mogibacterium diversum</i> (6942242)	114	1.6 ± 0.30	2.8 ± 0.72
	<i>Peptostreptococcus anaerobius</i> (175621)	132	1.7 ± 0.28	2.7 ± 0.59
	<i>Peptostreptococcus asaccharolyticus</i> (454180)	439	1.9 ± 0.42	3.1 ± 0.76
	<i>Peptostreptococcus magnus</i> (454441)	401	1.4 ± 0.38	2.1 ± 0.37
	<i>Peptostreptococcus sp.</i> (9837456)	294	1.8 ± 0.36	3.1 ± 0.83
	<i>Eubacterium sp.</i> (3861469)	515	2.0 ± 0.35	3.0 ± 0.68
Firmicutes/Negativicutes	<i>Dialister sp.</i> (9837481)	63	2.3 ± 0.56	4.0 ± 1.05
	<i>Dialister sp.</i> (9837479)	330	1.3 ± 0.35	2.0 ± 0.32
	<i>Firmicutes sp.</i> (9837472)	204	1.6 ± 0.34	2.4 ± 0.52
	<i>Megasphaera sp.</i> (14537975)	305	2.2 ± 0.49	4.0 ± 1.14
	<i>Megasphaera sp.</i> (9837476)	276	1.8 ± 0.39	3.0 ± 0.75
	<i>Selenomonas sp.</i> (9837490)	96	2.5 ± 0.62	4.7 ± 1.42

	<i>Selenomonas sp.</i> (9837488)	540	2.2 ± 0.47	3.8 ± 1.07
	<i>Veillonella dispar</i> (793883)	40	1.8 ± 0.34	2.7 ± 0.53
Fusobacteria	<i>Filifactor alocis</i> (4127826)	538	1.4 ± 0.28	2.8 ± 0.62
	<i>Fusobacterium nucleatum</i> (43402)	15	2.4 ± 0.61	4.2 ± 1.16
Fusobacteria/Fusobacteria	<i>Leptotrichia sp.</i> (9837509)	240	2.2 ± 0.52	4.0 ± 1.15
	<i>Leptotrichia sp.</i> (9837506)	172	2.2 ± 0.41	3.8 ± 1.05
	<i>Leptotrichia sp.</i> (9837507)	286	2.2 ± 0.45	3.9 ± 1.02
	<i>Leptotrichia sp.</i> (14537981)	326	1.9 ± 0.42	3.1 ± 0.73
Proteobacteria/Betaproteobacteria	<i>Neisseria flava</i> (5327199)	1	2.7 ± 0.76	4.8 ± 0.80
	<i>Neisseria pharyngis</i> (5327180)	12	2.7 ± 0.74	5.0 ± 1.37
	<i>Neisseria sp.</i> (10048301)	15	2.6 ± 0.60	5.1 ± 1.37
Proteobacteria/Epsilonproteobacteria	<i>Campylobacter sp.</i> (10048314)	239	1.7 ± 0.33	2.7 ± 0.61
Proteobacteria/Gammaproteobacteria	<i>Haemophilus segnis</i> (174775)	124	2.5 ± 0.53	4.5 ± 1.29
	<i>Klebsiella pneumoniae</i> (3282032)	8	2.2 ± 0.53	4.2 ± 1.22
Spirochaetes/Spirochaetes	<i>ç</i> (4580728)	94	1.0 ± 0.16	2.2 ± 0.61
	<i>Treponema socranskii</i> (2653630)	35	2.2 ± 0.45	4.0 ± 1.17
	<i>Treponema socranskii</i> (2653627)	47	2.6 ± 0.67	4.8 ± 1.39
	<i>Treponema sp.</i> (2586367)	154	2.5 ± 0.61	4.6 ± 1.35
	<i>Treponema sp.</i> (3132614)	105	2.2 ± 0.51	4.0 ± 1.15
	<i>Treponema sp.</i> (2586364)	77	2.5 ± 0.58	4.6 ± 1.28
	<i>Treponema sp.</i> (2586354)	57	2.6 ± 0.65	4.9 ± 1.45
	<i>Treponema sp.</i> (2586361)	68	1.9 ± 0.40	3.3 ± 0.84
	<i>Treponema sp.</i> (14537906)	128	0.9 ± 0.16	2.3 ± 0.68
	<i>Treponema sp.</i> (2586355)	89	1.4 ± 0.21	2.5 ± 0.44

Unknown	Human oral (6671248)	2	2.7 ± 0.60	4.5 ± 0.96
	Uncultured bacterium (9858892)	252	2.1 ± 0.38	3.1 ± 0.61
	Uncultured bacterium (4530523)	98	1.8 ± 0.30	2.8 ± 0.55
	Uncultured bacterium (4680614)	719	1.4 ± 0.42	2.6 ± 0.45
	Uncultured human (6671255)	14	2.8 ± 0.78	5.0 ± 1.45

356

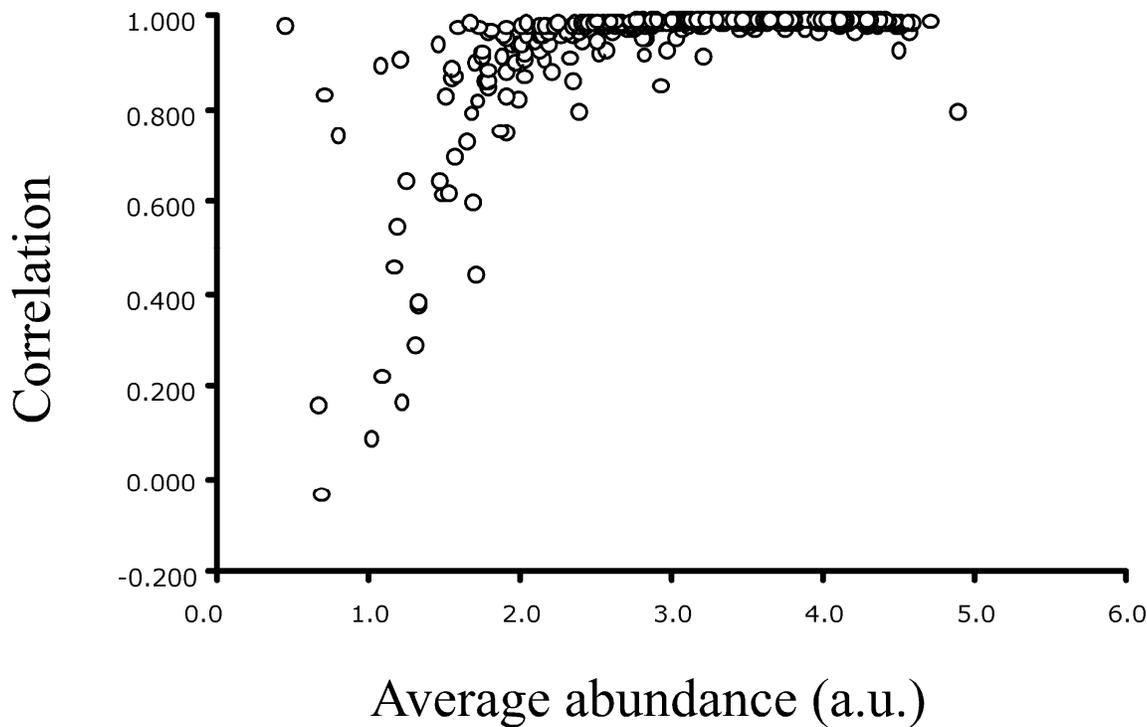
357

358

359 **Comparison of calibration methods**

360 Correlation analysis was used to compare calibration methods. While in theory the abundances should
361 be similar by calibration method and the correlations close to one, the abundances determined by
362 aggregated probes were anticipated to be more robust than individual probes when the gene target
363 abundances approached zero. The reason for this is that the sum of all signal intensities obtained from
364 multiple probes targeting the same gene will always be greater than the signal intensities of individual
365 probes. At low gene target abundances, the signal intensities of individually calibrated probes approach
366 the resolution of the scanner, which means the potential for noise in the signal would be minimized in
367 the aggregated probe results.

368 A plot of the relationship between the correlations of the calibration methods against the average target
369 abundances is shown in Figure 4. While only 65 out of the possible 567 (~11.5%) microorganisms
370 (GIs) had correlations of less than 0.95, most microorganisms ($n=502$) (i.e., GIs) had similar abundances
371 (~88.5% had correlation ≥ 0.95). Figure 4 shows that when the average abundance was less than 2 a.u.,
372 the correlations were less than 0.95 (Figure 4). The significance of this finding is that it provides
373 support that the aggregate probe calibration is more precise than the individual probe calibration.



375

376 *Figure 4. Correlation of abundances of GIs for two calibration approaches (individual probe calibration*
377 *and aggregate probes targeting same gene) versus average abundance of the GIs determined using the*
378 *aggregate calibration approach. Results show the average abundances for GIs are low (< 2.0 a.u.). The*
379 *correlations are also low, presumably because the signal intensities of the individual probes approach*
the resolution of the scanner while the sum of the signal intensities of aggregated probes do not.

375

376 **Gene Meter versus DNA sequencing results**

377 In theory, one would expect the same microorganisms to be identified by both approaches (Gene Meters
378 and DNA sequencing) since the source of DNA was the same PCR amplification products from the
379 same 16 patient samples.

380 For the Gene Meter approach, we detected 576 microbial targets (564 non-redundant targets) (Figure 5).
381 Thirty-five of the 564 non-redundant targets could not be taxonomically resolved: 13 were classified as
382 “unculturable” and 22 could not be identified to the genus level. Subtracting these 35 ambiguous targets
383 from the 564 non-redundant gene targets left 107 microbial genera.

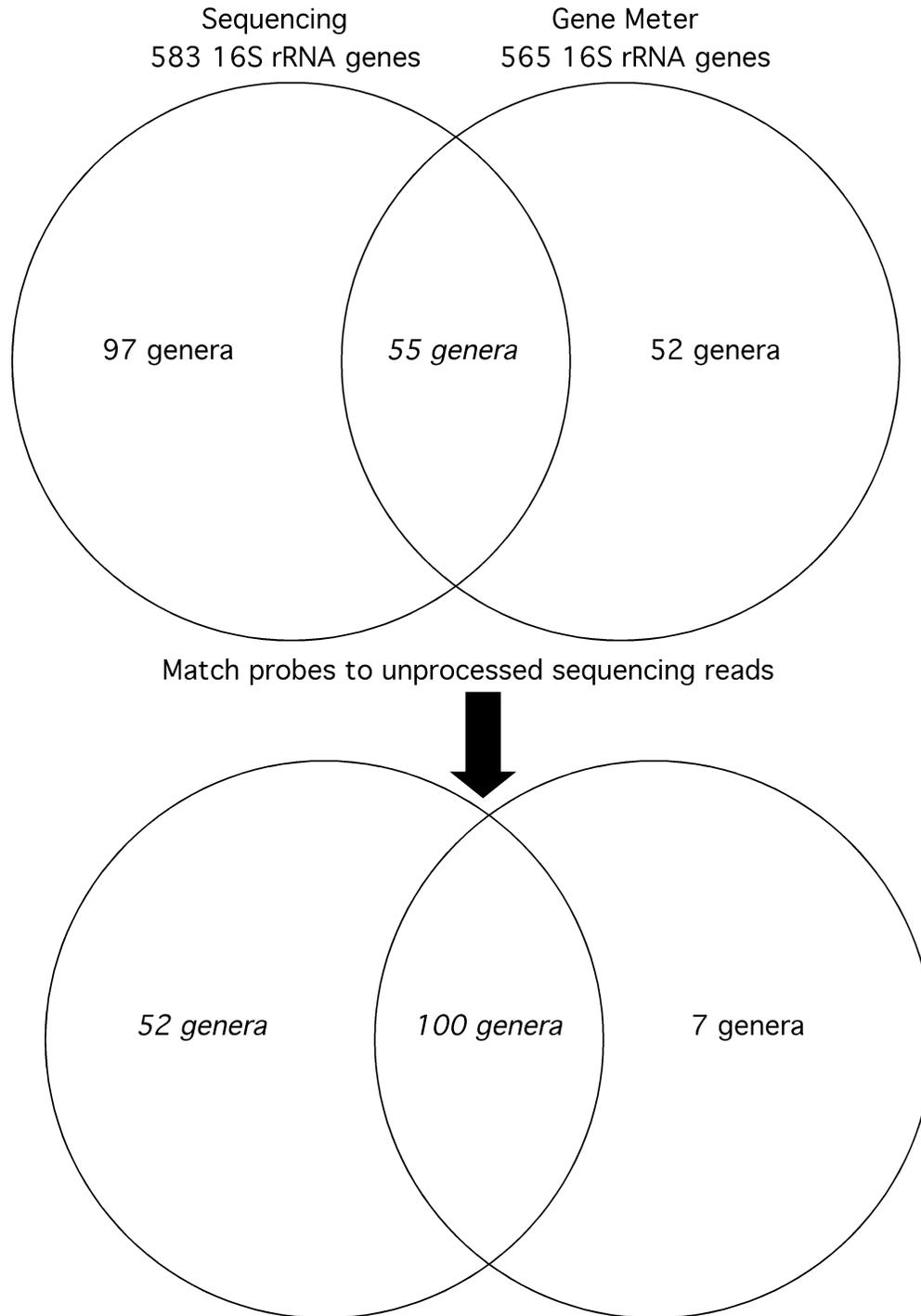


Figure 5. Shared genera by DNA sequencing and Gene Meter for 16 patients. Top shows taxonomic classification based on processed DNA sequencing reads. Bottom shows taxonomic classification based on probe matches of unprocessed DNA sequencing reads and processed DNA sequencing reads.

384

385 For the DNA sequencing approach, we detected 654 targets (583 non-redundant targets) (Figure 5).
386 Nine of the 583 non-redundant targets yielded ambiguous taxonomy (e.g., “anaerobic bacterium”,

387 “rumen bacterium”) and 17 were plants (e.g., *Nicotiana sylvestris*, *N. tabacum*, *N. tomentosiformism*,
388 “Onion yellows”, *Oryza nivara*, *O. sativa*, and *Phalaenopsis aphrodite*, *Citrus sinensis*, *Cucumis*
389 *sativus*, *Dioscorea elephantipes*, *Draba nemorosa*, *Drimys granadensis*, *Heliathus annuus*, *Calycanthus*
390 *floridus*, *Agrostis stolonifera*, *Amborella trichopoda*, *Calycanthus floridus*, *Gossypium barbadense*, and
391 *Gossypium barbadense*). Subtracting these 26 ambiguous targets from the 583 non-redundant gene
392 targets left 152 microbial genera.

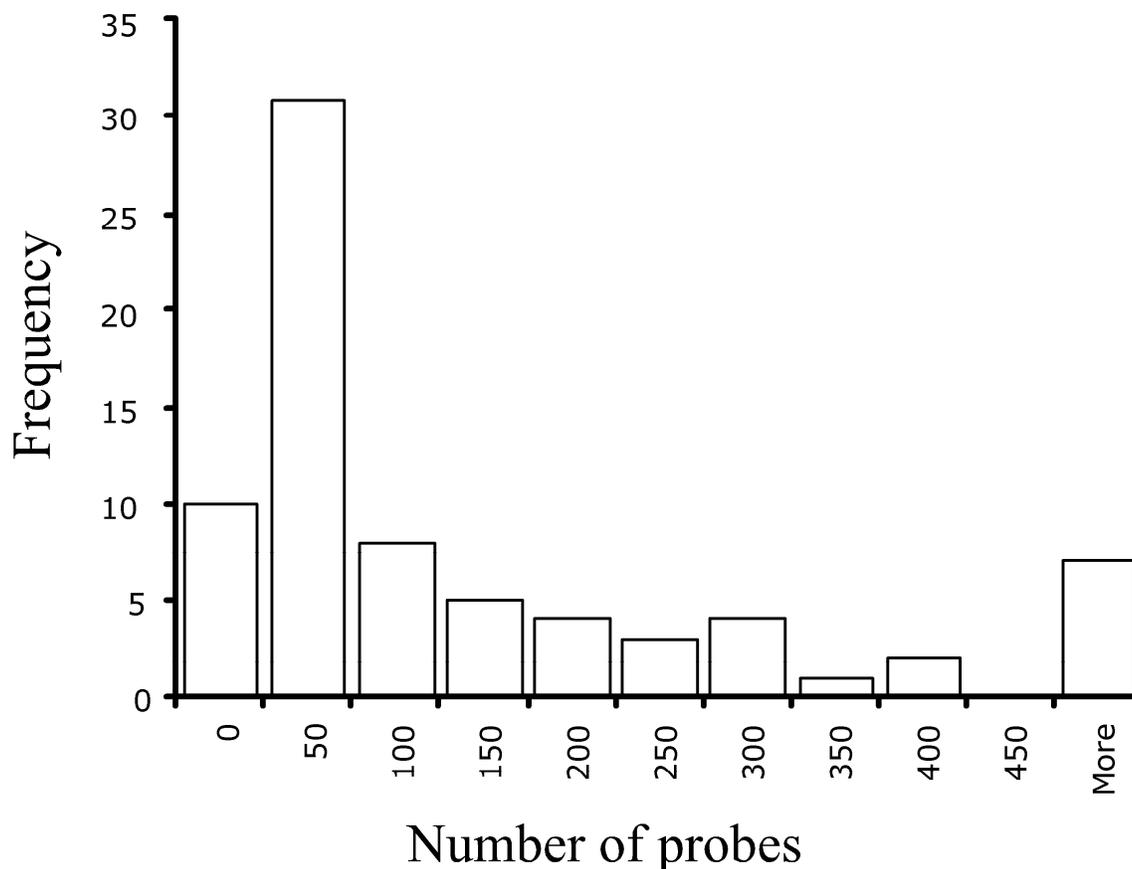
393 The union of the two approaches yielded 55 common microbial genera: *Abiotrophia*, *Actinobacillus*,
394 *Actinomyces*, *Aggregatibacter*, *Atopobium*, *Bacillus*, *Bacteroides*, *Bergeyella*, *Bifidobacterium*,
395 *Bradyrhizobium*, *Burkholderia*, *Butyrivibrio*, *Campylobacter*, *Capnocytophaga*, *Clostridium*,
396 *Corynebacterium*, *Cryptobacterium*, *Desulfovibrio*, *Dialister*, *Eikenella*, *Enterococcus*, *Erysipelothrix*,
397 *Eubacterium*, *Fusobacterium*, *Gemella*, *Granulicatella*, *Haemophilus*, *Kingella*, *Lactobacillus*,
398 *Leptotrichia*, *Megasphaera*, *Microbacterium*, *Micrococcus*, *Mitsuokella*, *Moraxella*, *Mycobacterium*,
399 *Mycoplasma*, *Neisseria*, *Paenibacillus*, *Peptococcus*, *Peptostreptococcus*, *Porphyromonas*, *Prevotella*,
400 *Propionibacterium*, *Pseudomonas*, *Rothia*, *Selenomonas*, *Slackia*, *Staphylococcus*, *Streptococcus*,
401 *Tannerella*, *Treponema*, *Variovorax*, *Veillonella*, and *Xanthomonas*.

402 Fifty-two of the 107 genera (~49%) identified by the Gene Meter approach were not identified by the
403 DNA sequencing approach. The 52 microbial genera included: *Achromobacter*, *Actinobaculum*, *Afipia*,
404 *Agrobacterium*, *Bartonella*, *Bdellovibrio*, *Brevundimonas*, *Bulleidia*, *Candida*, *Cardiobacterium*,
405 *Caryophanon*, *Catonella*, *Centipeda*, *Chlamydia*, *Comamonas*, *Deinococcus*, *Delftia*, *Dermabacter*,
406 *Desulfohalobium*, *Desulfomicrobium*, *Erythromicrobium*, *Eggerthella*, *Enterobacter*, *Escherichia*,
407 *Ewingella*, *Flavobacterium*, *Flexistipes*, *Helicobacter*, *Holophaga*, *Janthinobacterium*, *Johnsonella*,
408 *Klebsiella*, *Lautropia*, *Leptothrix*, *Leuconostoc*, *Methanobrevibacter*, *Mogibacterium*, *Ochrobactrum*,
409 *Olsenella*, *Oribaculum*, *Pedobacter*, *Porphyromonas-like*, *Proteus*, *Rhizobium*, *Simonsiella*,
410 *Solobacterium*, *Sphingomonas*, *Stenotrophomonas*, *Stomatococcus*, *Suttonella*, and *Tropheryma*.

411 It is important to note that the same genus (and species) could have different GI numbers. In the work
412 below, the GI number was used (rather than the genus name) because there were instances when a genus
413 with a certain GI was detected by both approaches but the same genus with a different GI number was
414 not detected (details below). Altogether, the 52 genera were represented by 76 GI numbers. Since the
415 average gene abundance maxima for these GIs was 8.6 a.u. (close to the maximum of 10 a.u.) in at least
416 one patient, the microorganisms should have been identified in the DNA sequencing results. Putative
417 reasons why they were not include: (i) the processing of the DNA sequencing reads, (ii) inadequate read
418 depth, and (iii) false positive results, which are discussed below.

419 **Hidden jewels in the unprocessed sequencing reads.** To identify microbial species, DNA sequencing
420 reads are subjected to the various filtering procedures (e.g., singleton removal, minimum read lengths,
421 similarities to existing rRNA databases, e.g., 97% similarity, 100 bp min alignment). The filtering can
422 potentially remove 16S rRNA genes of microbial species that are actually present in the sample but not
423 reported in the final taxonomic assignment. To demonstrate this phenomenon, one could match the
424 unique probe sequences of each microbial species (not observed in the final taxonomic assignment)
425 against all unfiltered DNA sequence reads (i.e., before taxonomic assignments are made). If any of the
426 unique probes happen to match the unprocessed DNA sequences for a particular microbial species, one
427 could infer that filtering procedures were responsible for not identifying the microorganism in the final
428 DNA sequencing results. In other words, the rRNA genes of the microbial species were actually present
429 in the unfiltered DNA sequence reads and indeed detected by the Gene Meter approach. However, they
430 are not present in the final filtered DNA sequencing results.

431 Using custom-designed C++ programs and *Actinomyces* sp. (GI 2073386) as a positive control (since the
432 16S rRNA gene of this microorganism was found in all patient samples), we matched the unique probes
433 against the DNA sequencing reads of each patient sample. We found that 67 of the 76 GIs (~88%) were
434 present in the DNA sequencing reads. The 45 genera included: *Achromobacter*, *Actinobaculum*,
435 *Agrobacterium*, *Bartonella*, *Bdellovibrio*, *Bulleidia*, *Candida*, *Cardiobacterium*, *Caryophanon*,
436 *Catonella*, *Centipeda*, *Chlamydia*, *Deinococcus*, *Delftia*, *Dermabacter*, *Desulfobulbus*,
437 *Desulfomicrobium*, *Eggerthella*, *Enterobacter*, *Erythromicrobium*, *Ewingella*, *Flavobacterium*,
438 *Flexistipes*, *Helicobacter*, *Holophaga*, *Janthinobacterium*, *Johnsonella*, *Klebsiella*, *Lautropia*,
439 *Leptothrix*, *Leuconostoc*, *Methanobrevibacter*, *Mogibacterium*, *Ochrobactrum*, *Olsenella*, *Oribaculum*,
440 *Pedobacter*, *Porphyromonas-like*, *Proteus*, *Simonsiella*, *Solobacterium*, *Sphingomonas*, *Stomatococcus*,
441 *Suttonella*, and *Tropheryma*. A histogram of the frequencies of the unique probes for each of the 76 GIs
442 revealed that most organisms had 50 or more unique probes matching to the DNA sequencing reads,
443 with some having up to 400 matching probes (Figure 6).



444 *Figure 6. Number of probes of 76 microorganisms (GIs) belonging to the 52 genera (Figure 4)*
445 *matching unprocessed DNA sequencing reads. Most microorganisms had 50 or more probe matches to*
446 *sequencing reads, indicating they were in fact present in the patient samples (even though they were not*
447 *detected by MG-RAST presumably due to filtering.*

448 The significance of this result is that many microbial species -- not taxonomically identified in DNA
449 sequencing results -- were actually present in the patient samples. Moreover, the inclusion of these
450 results into the union of the two approaches increased the number of common microbial genera from 55
451 to 100 (Figure 4). In other words, 100 of the 107 genera (~93%) identified by the Gene Meter approach

452 were found in the processed and unprocessed DNA sequencing reads. These results indicate false
453 negatives in the taxonomic assignment of the DNA sequencing results due to filtering and false positives
454 in the Gene Meter results (since 7 were not identified in the sequencing reads).

455 **Microorganisms identified by the Gene Meter approach but not found in the unfiltered**
456 **sequencing reads.** Nine of the 76 GIs (~12%) not detected in any of the unfiltered DNA sequencing
457 reads included: *Afipia sp.* ($n=191$ probes), *Brevundimonas diminuta* ($n=224$ probes), *Caryophanon sp.*
458 ($n=53$ probes), *Comamonas sp.* ($n=19$ probes), *Delftia sp.* ($n=4$ probes), *Escherichia coli* ($n=17$ probes),
459 *Rhizobium loti* ($n=335$ probes), *Simonsiella muelleri* ($n=31$ probes), and *Stenotrophomonas maltophilia*
460 ($n=68$ probes). The number of unique probes is shown because a microbial species with low number
461 might not be detected in the unfiltered reads simply because of the low probability of finding a match. It
462 should be noted that one species of *Leptothrix* (GI 14537943) with $n=38$ probes did not match any
463 unfiltered sequence reads – however, another species of *Leptothrix* (GI 14537937) with $n=361$ probes
464 did match 96 sequencing reads. These findings indicate that the number of unique probes did influence
465 whether or not a particular species was found in the unfiltered sequencing reads.

466 In our study, microorganisms with a low number of unique probes ($n=38$ or less) included: *Comamonas*
467 *sp.*, *Delftia sp.*, *Simonsiella muelleri*, and *Escherichia coli*. We concluded that these microorganisms
468 were probably not detected in the unfiltered sequencing reads because they had too few probes.

469 The remaining 5 species, detected by the Gene Meter approach but not detected in the unfiltered
470 sequencing reads, had a high number of unique probes (i.e., *Afipia sp.*, *Brevundimonas diminuta*,
471 *Caryophanon sp.*, *Rhizobium loti*, and *Stenotrophomonas maltophilia*). In theory, these microorganisms
472 should have been detected in the unfiltered sequencing reads – but they were not. There are two
473 possible reasons for this phenomenon. First, there might not have been sufficient read depth of the
474 unfiltered sequencing data. According to our previous paper (Table S1 in Ref. 32), the read depth varied
475 by patient sample with the lowest being 2,064 reads for a healthy patient and the highest being 23,904
476 reads for a caries patient. *Afipia sp.* for example, had high abundance in one of the caries patients (8.4
477 a.u.) and one of the patients with edentulism (7.6 a.u.) (determined by the Gene Meter approach) -- yet,
478 the read counts for these samples were 11,532 and 18,515, respectively, indicating this microorganism
479 should have been identified in the unfiltered DNA sequencing reads. Hence, there is weak support for
480 the argument since the highest abundances (by the Gene Meter approach) occurred in samples that also
481 had moderate to high read counts.

482 Second, these microorganisms might not have been found in the unfiltered sequencing reads because
483 they are false positives of the Gene Meter approach due to non-specific hybridization to other gene
484 targets. We tested for the potential of non-specific hybridizations by removing the first three nucleotides
485 on the 5'- and 3'-ends from the unique probes (because they might hybridize to non-specific DNA
486 targets) and matching the short probes (19 nt) against the unprocessed sequencing reads. We found that
487 several probes of *Caryophanon sp.*, and *Rhizobium loti* and *Stenotrophomonas maltophilia* matched the
488 unfiltered sequencing reads. Specifically, two unique probes (1015327 and 1015321) for *Caryophanon*
489 *sp.* matched unfiltered sequence reads in a patient with caries and another patient with health. These
490 probes have the potential to non-specifically bind to 16S rRNA sequences of other species in the patient
491 samples and therefore they are false positives.

492 Ninety-six of the 151 genera (~64%) identified by DNA sequencing were not detected by the Gene
493 Meter approach included: *Acetitomaculum*, *Acetivibrio*, *Acetobacterium*, *Acidithiobacillus*, *Acidovorax*,
494 *Actinoplanes*, *Aerococcus*, *Agrococcus*, *Alistipes*, *Aminobacterium*, *Amycolatopsis*, *Anaerostipes*,
495 *Aquitalea*, *Arcanobacterium*, *Arthrobacter*, *Bavariicoccus*, *Bergeriella*, *Blautia*, *Brachymonas*,

496 *Brenneria*, *Brevibacterium*, *Butyricimonas*, *Carnobacterium*, *Cellulophaga*, *Cellulosimicrobium*,
497 *Chelonobacter*, *Chitinophaga*, *Chryseobacterium*, *Collinsella*, *Cryobacterium*, *Cytophaga*,
498 *Dechloromonas*, *Desulfonisa*, *Desulfosporosinus*, *Desulfotomaculum*, *Enterorhabdus*,
499 *Exiguobacterium*, *Finegoldia*, *Gallibacterium*, *Gardnerella*, *Geobacillus*, *Globicatella*, *Gordonibacter*,
500 *Hespellia*, *Jonesia*, *Kocuria*, *Kytococcus*, *Lactococcus*, *Mannheimia*, *Megamonas*, *Melissococcus*,
501 *Mobiluncus*, *Myroides*, *Odoribacter*, *Pantoea*, *Parabacteroides*, *Paraprevotella*, *Pasteurella*,
502 *Pectinatus*, *Phascolarctobacterium*, *Promicromonospora*, *Pseudobutyrvibrio*, *Pseudonocardia*,
503 *Pyramidobacter*, *Ralstonia*, *Renibacterium*, *Rhodococcus*, *Riemerella*, *Rikenella*, *Robinsoniella*,
504 *Roseburia*, *Ruminococcus*, *Saccharopolyspora*, *Sanguibacter*, *Sebaldella*, *Serratia*, *Spirochaeta*,
505 *Sporomusa*, *Streptobacillus*, *Streptomyces*, *Syntrophococcus*, *Tenacibaculum*, *Terrabacter*,
506 *Tetragenococcus*, *Thermoanaerobacter*, *Thermomonospora*, *Thermus*, *Thiobacillus*, *Tissierella*,
507 *Trichococcus*, *Vagococcus*, *Weeksella*, *Weissella*, and *Xylanimicrobium*. These microorganisms were
508 not detected because the microarray probes did not target their 16S rRNA genes.

509 **An attempt to calibrate DNA sequencing data.** We investigated the feasibility of calibrating the 454
510 sequencing reads by diluting pooled patient samples with DNA from salmon sperm. We chose salmon
511 sperm because the sequences are dissimilar to microbial 16S rRNA genes and can easily be
512 distinguished. In theory, DNA sequencing reads should yield similar linear equations for the dilution
513 series but, in reality, amplification and sequencing biases vary for different targets and one would expect
514 the slopes of the dilution series to be dissimilar (Figure 7). Our results show that the slope of *Neisseria*
515 sp. was 69.0, while that of *Porphyromonas gingivalis* was 2818.2. Similarly, the slope of *Eubacterium*
516 *brachy* was 102.7, while that of *Veillonella* sp. was 397.3. The importance of these findings are two-
517 fold. First, it provides proof of the extreme biases of sequencing reads generated using NGS. Second,
518 the results suggest that it might be possible to obtain precise abundances of 16S rRNA genes using NGS
519 by calibrating 16S rRNA gene reads, before determining gene abundances, similar to what was done
520 with the DNA microarray output using the Gene Meter approach. To our knowledge, this is the first
521 study to provide preliminary evidence that gene abundances might be precisely determined by next-
522 generation sequencing data.

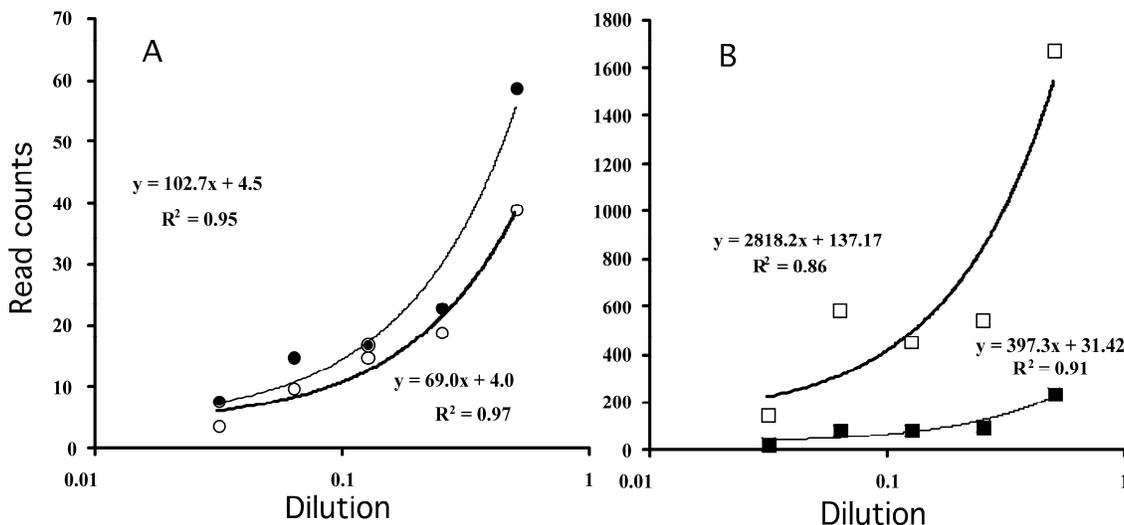


Figure 7. Calibration of 454 reads using the Gene Meter approach. Panel A: *Neisseria* sp., open circles; *Eubacterium brachy*, closed circles. Panel B: *Porphyromonas gingivalis*, open squares; *Veillonella* sp., closed squares.

523 s

524 **DISCUSSION**

525

526 **DNA microarrays - too early to abandon**

527 A common perception among researchers is that high-throughput DNA sequencing is the best approach
528 to characterize microbial communities because other approaches, such as DNA microarrays are noisy.
529 Indeed, conventional DNA microarrays are noisy (41) because the signal is not calibrated and the output
530 has to be normalized for sample comparison. The Gene Meter approach solves the noise problem by
531 calibrating all probes on a microarray before testing biological samples. The calibration identifies
532 probes with noisy behavior because they will not fit the adsorption models. Noisy probes are not used in
533 subsequent analyses. The Gene Meter approach also does not require any normalization of the output
534 because the same amount of DNA is loaded onto every microarray. In other words, the abundances of
535 different samples can be directly compared without introducing biases associated with other methods
536 such as DNA sequencing and conventional DNA microarrays. It is for these reasons that we believe that
537 the Gene Meter approach is superior to existing approaches – particularly when one desires to determine
538 the abundance of a gene in a pool of genes.

539

540 It should be noted that DNA sequencing approaches (such as the one used in our previous study; 32)
541 have problems too, that limit their ability to determine gene abundance. For example, an extra PCR
542 amplification step is required in DNA sequencing (e.g., emulsion PCR) and that adds biases to the
543 interpretation of the output. The Gene Meter approach does not need any extra amplification step – the
544 labeled DNA is added directly to the microarray. Another problem in DNA sequencing (as shown in
545 this study) is the post-processing of the reads sometimes filter out 16S rRNA genes that are actually
546 present in biological samples (specifically, 40 out of 107 genera in this study). The fact that many of the
547 unique probes of the 40 unidentified genera matched the unprocessed sequencing reads suggest that the
548 taxonomic assignments in other sequencing studies might have grossly underestimated the actual
549 diversity of microorganisms in biological samples.

550

551 We recognize that quantitative PCR (qPCR) could also have been used to determine the abundance of
552 16S rRNA genes in our study. However, like DNA sequencing, this approach is subject to PCR
553 amplification biases and normalization steps. In addition, qPCR can only investigate a limited number
554 of gene targets at one time (i.e., it is not high throughput), while the Gene Meter approach is high
555 throughput but limited to the number of probes on microarray surface.

556

557 Similar to all approaches, the Gene Meter approach is not without its problems. For instance, seven
558 genera identified by the Gene Meter approach were not detected in either the processed or unprocessed
559 DNA sequencing reads. Three of the 7 genera were classified as false positives as they had the potential
560 to cross-hybridize to the 16S rRNA genes of other species. Although there are several additional
561 explanations for these phenomena (e.g., insufficient DNA sequencing depth; need for better probe
562 design), the Gene Meter approach still provides precise target abundances for many genera and is
563 superior to other approaches because normalization is not required.

564

565 A simple solution to prevent normalization biases and improve upon abundance determinations for DNA
566 sequencing is to calibrate the instrument before analyzing samples. This study was the first to
567 demonstrate that a DNA sequencing instrument can be calibrated using a dilution series (Figure 7).

568 Future studies are now warranted to demonstrate the utility of calibration for DNA sequencing
569 instruments using biological samples.

570

571 **Calibration by aggregate probes works optimally**

572 In early Gene Meter studies (18,19), the dilution series were calibrated using the averaged signal
573 intensities of all replicated probes specific to a gene target. The averaging of the signal reduced noise by
574 minimizing the effects of outliers. Since we did not use identically replicated probes in the present
575 study, we calibrated the DNA microarray two ways using individual probes or aggregated probes. We
576 sought to determine which of the two calibrations was optimal.

577 Calibration of the individual probes involved modeling the signal intensities of an individual probe in a
578 dilution series. The abundance of the gene in a biological sample was determined by back-calculating
579 the abundances of all probes which target that specific gene using the calibration and then averaging (or
580 determining the median) the gene abundances. For example, consider three calibrated probes targeting
581 the same gene. The abundance of a specific gene by calibrated probe A was 1 a.u., probe B was 2 a.u.
582 and probe C was 3 a.u. Therefore, the final abundance of the gene was the average or median, 2 a.u.

583 Calibration of the aggregated probes involved summing the signal intensities of all probes in a dilution
584 series that target a specific gene and determining the model that best fits the experimental data. For
585 example, if the signal intensities of probes A, B, and C for a specific gene were 300, 500, and 700
586 relative fluorescence units (RFU) at one dilution, then the signal intensity used to calibrate the gene
587 would be 1500 RFU. The abundance of a gene in a biological sample was determined by summing the
588 signal intensities of all probes that target the gene and back-calculating the relative abundance from the
589 calibration.

590 What distinguishes the two calibration approaches is that the aggregate probe approach uses the sum of
591 the signal intensities of all probes as input to the calibration model while the individual probe approach
592 uses only the signal intensity of an individual probe as input to the calibration model. Also, in the
593 aggregate probe approach, the abundance is directly determined from the model, while in the individual
594 probe approach, the final gene abundance is determined by the averaged (or median) abundance of all
595 probes targeting that gene.

596 We showed that calibrations of aggregated probes were better than individual probes when the gene
597 target abundances were low. The reason for this phenomenon is that the low abundance genes are more
598 affected by signal noise because some readings were close to the level of resolution of the microarray
599 scanner. The sum of the signal intensities of all probes targeting a specific gene minimizes this problem
600 because the noise is not averaged. Our results showed that if the gene target abundance was greater than
601 2 a.u. (Figure 4), there were no significant differences in the gene abundances by the calibration
602 approach.

603 Interestingly, we found more differences in gene abundances by patient condition using aggregated
604 probes (Tables 3, 4 and 5) than individual probes (Table 1 and 2). This finding suggests that several
605 microorganisms do have differences in abundances by condition -- but they occur at low abundances (<2
606 a.u.). In other words, the differences by condition could only be detected using the aggregated probe
607 approach. Therefore, the aggregated probes was used for examining microbial species differences by
608 condition (below).

609 **Abundance signatures for dysbiosis**

610 The following 17 genera had significantly higher abundances in patients with periodontitis and patients
611 with edentulism when contrasted with patients with health: *Actinomyces*, *Bifidobacterium*, *Butyrivibrio*,
612 *Campylobacter*, *Capnocytophaga*, *Eubacterium*, *Klebsiella*, *Lactobacillus*, *Leptotrichia*, *Neisseria*,
613 *Peptostreptococcus*, *Porphyromonas*, *Prevotella*, *Selenomonas*, *Streptococcus*, *Treponema*, and
614 *Veillonella*. At the species level this included: *Actinomyces odontolyticus*, *Klebsiella pneumoniae*,
615 *Peptostreptococcus anaerobius*, *Streptococcus gordonii*, *Treponema socranskii*, and *Veillonella dispar*.
616 We classified these microorganisms as ‘signatures of dysbiosis’ in the human oral microbiome because
617 they occurred in more than one condition. They were excluded from consideration to a single condition
618 below (e.g., a signature for periodontitis).

619 These microorganisms have previously been reported to be involved in dysbiosis in human oral studies.
620 For example, *Actinomyces* sp. are found in edentulous patients (42). *Bifidobacterium* are found in the
621 mouths of edentulous patient wearing dentures (43) and associated with root decay and periodontal
622 disease (44). *Butyrivibrio*, *Campylobacter*, *Eubacterium*, *Prevotella*, *Selenomonas*, *Streptococcus*,
623 *Leptotrichia*, and *Treponema* are associated with peri-implant communities (45). *Eubacterium*,
624 *Prevotella* and *Lactobacillus* are major genera found in edentulous patients (46). *Prevotella*,
625 *Fusobacterium*, *Leptotrichia*, *Streptococcus*, *Neisseria*, and *Veillonella* were found in edentulous infants
626 (24,47,48). *Peptostreptococcus* has been associated with periodontal pockets of partially edentate
627 patients (49) and is associated with soft tissue inflammation in edentate patients (42). *Prevotella* and
628 *Campylobacter* have been observed in edentate patients (42). *Treponema* *Campylobacter*,
629 *Peptostreptococcus*, and *Porphyromonas* are associated with periodontitis (50, 51). *Veillonella*,
630 *Streptococcus* and *Candida* are often found in the saliva of edentulous patients (52).

631 At the species level, *Actinomyces odontolyticus* has been found in failed dental implants (53). *Klebsiella*
632 *pneumoniae* has been frequently observed in patients with diseased implants (54) and patients with
633 periodontitis (55,56). *Peptostreptococcus anaerobius* has been found inside root canals (50).
634 *Streptococcus gordonii* has been shown to be an important player in the development of periodontitis as
635 it is involved in co-adhesion and metabolic interactions with other pathogens (53,57). *Treponema*
636 *socranskii* has been associated with peri-implant diseases (58,59), lesions of teeth with apical
637 periodontitis (60), infected root canals and abscesses (61). *Veillonella dispar* is an earlier biofilm
638 colonizer (62) and involved in periodontal disease (63).

639

640 **Abundance signatures for periodontitis**

641 The following 13 genera had significantly higher abundances in patients with periodontitis than those
642 with health: *Abiotrophia*, *Bacteroides-like*, *Caryophanon*, *Clostridium*, *Dialister*, *Filifactor*,
643 *Fusobacterium*, *Granulicatella*, *Haemophilus*, *Megasphaera*, *Mogibacterium*, *Staphylococcus*, and
644 *Tannerella*. At the species level this included: *A. elegans*, *C. tetanomorphum*, *F. alocis*, *F. nucleatum*,
645 *G. elegans*, *H. segnis*, *M. diversum*, *S. epidermidis*, *S. xylosum*, and *T. forsythia*. Note that some genera
646 could not be resolved to the species level. These microorganisms were classified as microbial signatures
647 of periodontitis in the oral microbiome.

648 The following microorganisms have been previously shown to be associated with periodontal disease:
649 *Abiotrophia elegans* (64,65), *Bacteroides-like* (66), *Dialister* (67,68,69), *Filifactor alocis*
650 (70,71,72,73,74), *Fusobacterium nucleatum* (15,42,75,76,77,78), *Granulicatella elegans* (79),
651 *Haemophilus* (70,80,81), *Haemophilus segnis* (82,83) *Megasphaera* (4,84), *Mogibacterium* (85,86),
652 *Staphylococcus epidermidis* (87), *Tannerella forsythia* (71,77,89,90). These findings support our
653 findings that these microorganisms are signatures of periodontitis in the human oral microbiome.

654 Although the genera *Clostridium Mogibacterium* and *Staphylococcus* have been associated with
655 periodontal disease (10,91), there is no evidence in the literature suggesting that *Clostridium*
656 *tetanomorphum*, *Mogibacterium diversum*, *Staphylococcus xylosus* or the genus *Caryophanon* has been
657 associated with periodontitis. Of note, *Mogibacterium diversum* has been associated with caries (88)
658 and the genera *Caryophanon* has been found in the gingival flora of dogs (92). Recall that our earlier
659 results suggest that *Caryophanon* might be a false positive because it was not found in the sequencing
660 reads and some probes were shown to have the potential to hybridize with other species.

661 A probable reason these microorganisms were not recognized in the literature is because they occurred
662 at low abundances and might be overlooked using alternative technologies (e.g., NGS). For example, of
663 the 525 *Clostridium tetanomorphum* probes, the abundance in patients with health was 1.8 ± 0.50 a.u.
664 versus 3.1 ± 0.81 a.u. in patients with periodontitis. Similarly, of the 114 *Mogibacterium diversum*
665 probes, the abundance in patients with health was 1.6 ± 0.30 a.u. versus 2.8 ± 0.72 a.u. in patients with
666 periodontitis, and of the 54 *Staphylococcus xylosus* probes, the abundance in patients with health was
667 1.5 ± 0.25 a.u. versus 2.4 ± 0.48 a.u. in patients with periodontitis (Table 5). All comparisons are
668 statistically significant and all species have been previously found in human oral cavities.

669

670 **Abundance signatures for edentulism**

671 The following 14 genera had significantly higher abundances in patients with edentulism than patients
672 with health: *Atopobium*, *Bacillus*, *Burkholderia*, *Candida*, *Deinococcus*, *Erysipelothrix*, *Ewingella*,
673 *Kingella*, *Mycoplasma*, *Paenibacillus*, *Pedobacter*, *Propionibacterium*, *Solobacterium*, and
674 *Stomatococcus*. At the species level, this included: *Atopobium rimae*, *Bacillus clausii*, *Candida albicans*,
675 *Erysipelothrix tonsillarum*, *Ewingella americana*, *Kingella denitrificans*, *Mycoplasma buccale*,
676 *Propionibacterium avidum*, and *Stomatococcus mucilaginosus*. While high abundances of *Atopobium*
677 species, *Candida albicans* and *Bacillus sp.* have been previously reported in edentulous patients (93), we
678 could not find any evidence for the high abundance of the other genera and species in patients with
679 edentulism in the literature. Hence, this is the first study to show higher abundance of these
680 microorganisms in patients with edentulism.

681

682 **Technology rules our understanding of the oral microbiome.**

683 Much of what is known about the oral microbiome is based on the technology available at the time of
684 publication. For example, the idea behind the ‘red complex’ originated from the checkerboard DNA–
685 DNA hybridization technique, which was used to examine the microbial composition of plaque in
686 patients in health and periodontitis (94,95), the salivary microbiota levels in relation to periodontal status
687 (96), the relationship of cigarette smoking to the composition of the subgingival microbiota (97,98), the
688 differences between the subgingival microbiota in patients from different geographic locations (99), the
689 relationship of ethnic/racial group, occupational and periodontal disease status (100), and effects of
690 different periodontal therapies (101,102). While the technique is rapid, sensitive, and relatively
691 inexpensive, its major shortcoming was cross-hybridization (103). The implementation of new
692 technologies such as DNA microarrays and next-generation-sequencing improved upon our
693 understanding of the human oral microbiome (28) – but these technologies are not really quantitative.
694 Gene Meter methodology allows researcher to precisely determine microbial abundances, which allows
695 for statistical comparisons of different oral clinical conditions. We used these comparisons to find
696 abundance signatures for dysbiosis, periodontitis and edentulism. These signatures could be used,

697 individually or in combination, to assess the clinical status of a patient (e.g., evaluating treatments such
698 as antibiotic therapies, and oral microbial transplantations, e.g., 105).

699

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701

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703

704 Experimental design: PAN and AP.

705 Laboratory Work: MCH, PAN, and AP.

706 Bioinformatic Work: PAN and AP.

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1025 **Microbial Signatures of Oral Dysbiosis, Periodontitis and Edentulism**
1026 **Revealed by Gene Meter Methodology**

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1028 M. Colby Hunter, Alex E. Pozhitkov, and Peter A. Noble

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1030 **Supplementary Materials**

1031
1032 Table S1. GI numbers for 16S rRNA gene sequences of 597 oral bacteria.

1033 Table S2. Median abundances for the 576 rRNA genes and 16 patient samples based on individual probe
1034 calibration.

1035 Table S3. Abundances for the 567 rRNA genes and 16 patient samples based on aggregate probe
1036 calibration.

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