1 **Major host transitions are modulated through transcriptome-wide reprograming events in**
2 ***Schistocephalus solidus*, a threespine stickleback parasite**
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ABSTRACT (205 words)

Parasites with complex life cycles have developed numerous phenotypic strategies, closely associated with developmental events, to enable the exploitation of different ecological niches and facilitate transmission between hosts. How these environmental shifts are regulated from a metabolic and physiological standpoint, however, still remain to be fully elucidated. We examined the transcriptomic response of *Schistocephalus solidus*, a trophically-transmitted parasite with a complex life cycle, over the course of its development in an intermediate host, the threespine stickleback, and the final avian host. Results from our differential gene expression analysis show major reprogramming events among developmental stages. The final host stage is characterized by a strong activation of reproductive pathways and redox homeostasis. The attainment of infectivity in the fish intermediate host – which precedes sexual maturation in the final host and is associated with host behaviour changes – is marked by transcription of genes involved in neural pathways and sensory perception. Our results suggest that un-annotated and *S. solidus*-specific genes could play a determinant role in host-parasite molecular interactions required to complete the parasite's life cycle. Our results permit future comparative analyses to help disentangle species-specific patterns of infection from conserved mechanisms, ultimately leading to a better understanding of the molecular control and evolution of complex life cycles.

INTRODUCTION

Parasites with multiple hosts commonly undergo dramatic phenotypic transformations and endure major environmental shifts over the course of their life cycle [1,2], yet very little is known about how these are orchestrated at the molecular and physiological levels, or how conserved they are across species [3]. Among the key insights yet to be gained is a detailed understanding of the metabolic and developmental regulation of parasites associated with infection, survival and development in each host. Characterising patterns of gene expression can inform the study of how physiological functions are modulated, a task otherwise difficult to achieve for organisms such as parasites that need to be cultured and studied inside other animals. Gathering this information for multiple host-parasite systems will allow general comparisons to be drawn between species. These comparisons will ultimately help disentangle species-specific patterns from common mechanisms that have promoted the evolution of complex life cycles.

We dissected the genome-wide transcriptional activity of the cestode *Schistocephalus solidus*, a model parasite with a complex life cycle [4], to uncover how biological functions are regulated in different developmental stages, and how they relate to the completion of the parasite's life cycle (Figure 1a). *S. solidus* successively parasitizes a cyclopoid copepod, a fish – the threespine stickleback, *Gasterosteus aculeatus* – and a piscivorous endotherm, typically a bird [5]. We aimed to determine the functional changes happening when infecting the final host – where reproduction occurs – and identify differences in gene expression between pre-infective and infective forms of the plerocercoid stage within the second intermediate host. The first developmental stages – free swimming coracidia – occur in freshwater and hatch from eggs released with the faeces of the avian host. Each coracidium ingested by cyclopoid copepods then develops further into the procercoid stage. When a threespine stickleback feeds on infected copepods, the parasite is released from the copepod and penetrates the intestinal mucosal wall of the fish after 14-24 hours, before developing into the plerocercoid stage [6]. However, the newly-developed plerocercoid is not initially infective to the final bird host. The status of infectivity is defined as the development stage at which the parasite can successfully mature and reproduce [7]. During the early plerocercoid phase, the host immune system is not activated by the presence of the worm inside its body cavity [8]. *S. solidus* spends the next 50-60 days in an exponential growth phase, gaining up to 20 times its initial mass [9]. When the plerocercoid eventually

reaches infectivity, a phase that could be determined by sufficient glycogen reserves [10], drastic

phenotypic changes occur in the fish host [11]. These changes include an activation of the

immune system [8] and a loss of anti-predator response [9]. Following the ingestion of infected

fish by an avian predator, the parasite experiences a temperature of 40°C in the bird's digestive

tract – compared to a maximum of 15-18°C in the ectothermic intermediate hosts – as well as

chemical attack by digestive enzymes. These conditions trigger the parasite's development to the

sexually mature adult in ca. 36 hours [12]. The adult parasite reproduces during the next 3-4 days

with eggs being released into the water with the avian host's faeces [13]. Adjusting to these host

switches and life history transitions requires many physiological changes that are expected to

recruit the activity of different genes at each phase.

One of the major transitions expected to affect worm physiology is the transition from somatic

growth to reproduction. Histological and physiological studies suggest that gametogenesis only

occurs when the parasite reaches the final (bird) host [10,14]. Despite the advanced ('progenetic';

[45]) development of reproductive organs in infective plerocercoids, only an elevated

temperature of 40°C in semi-anaerobic conditions can trigger meiosis and reproductive

behaviours [14,15]. Previous work on the anaerobic activity of key enzymes involved in the

catabolism of carbohydrates in *S. solidus* also suggests that while carbohydrate breakdown is

very slow in pre-infective and infective plerocercoids, the rate of carbohydrate breakdown

increases several-fold upon maturation [16,17]. Energetic resources used during the adult stage

mainly come from glycogen reserves accumulated during growth of the pre-infective

plerocercoid [16,18]. Based on this knowledge, one expects that plerocercoids cultured at 40°C

will show an up-regulation of glycogen-related pathways.

The adult stage interacts with its environment to time these developmental steps. The anatomical

structure more likely to achieve this task is the tegument, a very active and complex tissue that

behaves like a true epidermis [19]. The adult stage of *S. solidus* exhibits numerous vacuolate

vesicles packed with electron-dense or electron-lucent content. These small structures are evenly

distributed in *S. solidus* syncytial tegument [20]. Their role could be related to both nutrition and

defence, as they allow rapid internalization of environmental nutrients and antigen-antibody

complexes [21,22]. However, the uptake of macromolecules by adult cestodes remains an open

question [23]. If, however, *S. solidus* performs pinocytosis or endocytosis at any developmental

stage, specific transcripts involved in this biological activity are expected to be up-regulated at

these stages. The existence of membrane-bound vesicles also suggests potential

secretory/excretory functions that would allow the parasite to release various types of molecules

in its host.

Pre-infective and infective plerocercoids are discrete developmental stages distinguished by their

divergent growth and effects on the host immune system. Parasites grow rapidly in the first

weeks of the stickleback host infection but growth rates tend to slow down as the parasite

becomes infective to the final host [24]. Concurrently, empirical evidence shows that

secretory/excretory products from pre-infective versus infective plerocercoids have different

modulatory effects on the immune system of the fish host [25]. Small, pre-infective plerocercoids

down-regulate the proliferation of host monocytes, but as soon as they attain infectivity they

activate a strong respiratory burst activity [8]. From a transcriptional perspective, different

functional programs between pre-infective and infective stages that reflect these divergent

activities should be detectable. Distinct and specific gene expression profiles should characterize

each developmental stage according to the biological activities that they need to perform to

ultimately maximize the parasite's success in each host.

MATERIAL AND METHODS

Study design

Worm specimens used spanned three different development states and were extracted from the

body cavities of laboratory-raised and experimentally infected threespine sticklebacks. We

obtained parasite eggs through *in vitro* culture of mature plerocercoids [26] extracted from wild-

caught threespine sticklebacks from Clatworthy Reservoir, England, UK. After a three week

incubation period in tap water, eggs were hatched in response to exposure to daylight, and

emergent coracidia used to infect copepods. Exposed copepods were screened after three to four

weeks and those harboring infective procercoids were fed to healthy lab-bred threespine

sticklebacks [27]. One hundred fish were exposed to infected copepods and maintained in

laboratory aquaria for 16 weeks under controlled temperatures, where they were fed *ad libitum*

and to excess with frozen chironomid larvae and subsequently euthanized by an overdose of 15

mM Benzocaine solution. Sequential extractions of the parasites from the fish body cavity were

scheduled to allow the sampling of plerocercoids that were pre-infective (i.e <50 mg) or infective

(i.e. >50mg). We obtained three adult specimens of *S. solidus* through *in vitro* culture of infective

plerocercoids extracted from wild-caught sticklebacks of the same population as the experimental

infections [28]. Briefly, infective plerocercoids were placed individually into a dialysis

membrane suspended in a medium composed of 50:50 RPMI:horse serum, at a temperature, pH

and oxygen tension to mimic the specific conditions experienced in the bird digestive track [12] –

for detailed protocol see [27]. Recovered adult worms had a body mass of 321-356 mg. Worms

were washed with ultra-pure RNase-free water, diced into small pieces of ~5 mm x 5 mm, placed

into RNALater (Ambion Inc., Austin, TX, USA) and kept at -80°C until later use.

RNA extraction, library preparation and sequencing

We used RNA samples from fourteen different worms to produce individual TruSeq Illumina

sequencing libraries (San Diego, CA, USA) according to the manufacturer's protocol. More

specifically, we produced libraries for seven pre-infective (<50mg) plerocercoids, four infective

plerocercoids (>50mg) and three adult (post-culture) worms [28]. cDNA libraries were then

sequenced on the Illumina HiSeq 2000 system at Centre de Recherche du CHU de Québec

(Québec, QC, Canada) with the paired-end technology (2X100 bp). In total, 75.8 Gb of raw data

was generated, which represents 375 million 2 x 100 bp paired-end sequences distributed across

the fourteen samples – deposited into the NCBI Sequence Read Archive (SRA) with accession

number SAMN04296611 associated with BioProject PRJNA304161 [29].

Short-read alignment on reference transcriptome

Raw sequencing reads were cleaned, trimmed and aligned on the reference transcriptome,

allowing the estimation of transcript-specific expression levels for each individual worm [28,30].

In summary, we aligned short reads from the 14 individual HiSeq libraries on the reference with

Bowtie 2 v.2.1.0 [31], allowing multi-mapping of each read. Transcripts showing similar

sequence, length and expression levels were then regrouped into clusters of unigenes by using

Corset v1.00 [32]. We obtained read counts for each unigene in each individual worm using the

mapping information contained in the SAM files [30]. We adjusted the algorithm parameters in

Corset so that isoforms, pseudogenes, alternative transcripts and paralogs would not be merged –

i.e. contig ratio test parameter switched on – thus eliminating a common bias in RNA-seq

experiments in which read counts of sequences of varying lengths are merged [33].

Differential expression analysis and clustering

We conducted downstream analyses using the R packages 'limma-voom' [34] and edgeR [35].

We imported the read count matrix into R v.3.3.2 (R Development Core Team, 2008) for initial

filtering of lowly expressed transcripts. We kept sequences with more than 15 Counts Per Million

(CPM) in at least three samples in any of the life stage. The filtering threshold values were

chosen based on a comparative analysis of multiple datasets produced with different

combinations of thresholds (Figure S1). This specific threshold allowed to filter out many low-

coverage transcripts and potentially several false positives, without losing too much information

in terms of the number of differentially expressed transcripts. Normalization was performed

using the method of Trimmed Mean of M-values (TMM) as implemented using edgeR default

parameters. The voom transformation was performed on the resulting normalized read counts.

Raw read counts were converted into their respective CPM value and then log2-transformed.

Next, each transcript was fitted to an independent linear model using the $\log_2$(CPM) values as the

response variable and the group – pre-infective plerocercoid (<50mg), infective plerocercoid

(>50mg) and adult – as the explanatory variable. No intercept was used and all possible

comparisons between the three developmental stages were defined as our desired contrasts. Each

linear model was then analyzed through limma's Bayes pipeline. This last step allowed the

discovery of differentially expressed transcripts based on a False Discovery Rate (FDR) < 0.001

[34,36].

We performed hierarchical clustering among transcripts and samples using the limma-voom

transformed CPM values through the 'heatmap.2' function in the 'gplots' package v.2.17.0 [37]

in R v.3.2.2. For each life-stage transition, samples were first clustered based on Euclidean

distance among transcript abundance values and then plotted on a heatmap by re-ordering the

values by transcripts (rows) and by samples (columns). We evaluated the robustness of each

cluster of transcripts identified through this method using the R package 'fpc' v.2.1.10 (Flexible

Procedures for Clustering, Hennig 2015), which implements a bootstrapping algorithm on values

of the Jaccard index to return a cluster stability index [36]. We only considered clusters with a

stability index greater than 0.50 with 1,000 bootstraps for downstream Gene Ontology (GO)

enrichment analyses. In total, 12 out of 16 clusters distributed across the two heatmaps were kept

for GO enrichment analysis. We considered each cluster satisfying the stability index threshold as

a module of co-expressed genes potentially bearing a broad functional status in accordance with

the results from the GO enrichment analysis.

GO enrichment

We identified functional categories over-represented in each co-expression module in order to

characterize the biological functions that are associated with each life-stage transition. We used

the Python package 'goatools' [38] to perform Fisher's exact tests on GO annotation terms found

in clusters of significantly differentially expressed gene. Annotation of GO terms for each gene

was based on the published transcriptome of *Schistocephalus solidus* [28]. GO terms over-

represented in a given module, as compared to the reference transcriptome (FDR $\leq$ 0.05), were

labelled as putative 'transition-specific' biological functions.

Ecological annotation

We assigned an ecological annotation to transcripts exhibiting significant abundance changes

between life stages or showing stage-specific expression patterns [39]. Two different types of

ecological annotation were added to the dataset. First, we labelled un-annotated transcripts

according to their significant variation in abundance across life stages. Information on GO terms

over-represented in the cluster in which these transcripts could be found was also added. Second,

we labelled transcripts showing "on-off patterns of expression" among stages and hosts as "stage-

specific" or "host-specific". A pre-defined specificity threshold was chosen as the $\log_2(CPM)$

value representing the 5th percentile of the distribution of the $\log_2(CPM)$ across all transcripts.

We identified stage-specific transcripts based on an average $\log_2(CPM)$ above our pre-defined

specificity threshold ('ON') across at least two-thirds of the worms in only one of the three life-

stages – i.e. transcript is 'ON' in a given stage and 'OFF' in the two other stages. Similarly, we

considered transcripts as host-specific if they showed an average $\log_2(CPM)$ above the same pre-

defined specificity threshold across two-thirds of the worms in any of the two hosts.

RESULTS AND DISCUSSION

<u>Host transition as the main driver of genome reprogramming</u>

A total of 2894 genes (28% of transcriptome) are significantly differentially regulated (FDR <

0.001) over the course of the infection of the fish and bird hosts (Tables S1 & S2). A

multidimensional scaling analysis (MDS) performed on the top 1000 most differentiated genes in

the dataset further suggests that the main factor that drives the divergence among individual

worms is host type (fish vs. bird; Figure 1b). The first dimension of the MDS plot shows two

distinct clusters: one with adult worms and another regrouping pre-infective and infective worms.

This analysis also shows the grouping of pre-infective and infective worms into two different

clusters on the second dimension. The distance on the first dimension between host types is at

least twice as large as the distance separating pre-infective and infective worms, suggesting that

host type is the main driving factor. This may largely be explained by physiological

acclimatisation of the parasite to highly divergent thermal environments offered by the two hosts,

or to other differences such as oxygen tension, pH or osmotic pressure [12,40,41]. The switch

between these two hosts also correlates with rapid sexual maturation, reproduction and changes

in energy metabolism [5]. Altogether, these factors contribute to a major reprogramming of the

worm transcription profile between hosts.

Biological activities focused towards reproductive functions

The development of the adult stage in the avian host required the parasite to shift most of its

biological activities from growth and immune evasion [13,42] to reproduction and possibly

starvation [10,43]. In accordance with these life-history changes, sexual maturation pathways and

reproductive behaviours were dominant functions in the transcriptional signature of the final

host-switch, as supported by GO terms significantly enriched in co-expression modules (Figure

2a, Table S1). The largest co-expression module identified in the transition from infective

plerocercoid to adult contains a total of 769 genes significantly over-expressed in adult worms

(Figure 2a, cluster 4). This module is enriched (FDR < 0.05) in biological processes related to

reproductive functions such as spermatid nucleus differentiation (GO:0007289), sperm motility

(GO:0030317), luteinizing hormone secretion (GO:0032275) and positive regulation of

testosterone secretion (GO:2000845) (Table S1). Early studies on the life cycle of *S. solidus*

suggested that once the worm reaches the final bird host, its energy is canalized into maturation

and reproduction, including egg-laying [5,13]. Adult worms sampled in this study were collected

after five days of *in vitro* culture at 40°C, 3-4 days after the onset of gamete production, as

observed in previous studies [26,43]. The transcriptional signature consequently confirms this at

the molecular level, as we have detected the induction of many genes involved in sperm motility

and cilium movement (Table S1).

Re-organisation of the energy budget

The transition from infective plerocercoid to adult is characterized by a significant shift in energy

metabolism [44]. Empirical data suggests that during the first hours of maturation and

reproduction, worms utilize glycogen reserves accumulated in the fish host [10]. Adult worms

cultured *in vitro* are also capable of absorbing glucose after more than 40 hours at 40°C [18],

suggesting they can stop using their glycogen reserves and instead use host-derived nutrients. Our

results suggest a complex and subtle pattern of regulation in terms of carbohydrate metabolism.

In total, eleven steps of the glycolysis pathway were differentially-regulated between the

infective plerocercoid and adult stages (Figure 3). The first step in glycogen breakdown consists

in converting glycogen to glucose-1-phosphate, a reaction catalysed by the enzyme glycogen

phosphorylase [45]. This enzyme is strongly up-regulated in adult worms (logFC = 5.9, FDR <

0.0001), suggesting an active use of glycogen reserves at this stage. The first three major

biochemical transformations leading to glucose breakdown into more simple sugars are strongly

down-regulated (Figure 3). Intriguingly, genes coding for the enzyme that produce

glyceraldehyde-3-phosphate (GADP) are consistently up-regulated in adult worms. All three

homologous genes identified as fructose-bisphosphate aldolase in our dataset, the enzyme

responsible for the production of GADP, were labeled as being switched 'ON' in adults (see

Materials and Methods for details). Most of the downstream genes leading to the production of

pyruvate are down-regulated, with the exception of enolase, the enzyme responsible for the

penultimate step of glycolysis, i.e. the conversion of glycerate-2-phosphate into phosphoenol-

pyruvate (Figure 3, Table S1). Consistent with the semi-anaerobic conditions experienced by

adult worms, we found a significant up-regulation of the gene coding for L-lactate dehydrogenase

(logFC = 5.8, FDR < 0.001), the enzyme responsible for the conversion of pyruvate to lactate

when oxygen supplies are low [45].

Interestingly, we found a testis-specific gene, coding for glyceraldehyde-3-phosphate

dehydrogenase, among the few up-regulated genes of the glycolysis pathway. The gene was

significantly over-expressed in adult worms, with a fold-change of 97 (logFC = 6.6) and an FDR

< 0.0001. A homologous gene − with the same annotation, but this time not testis-specific − is

conversely down-regulated in adults (logFC = -1.6, FDR = 0.0003). These results suggest that

late stages of adult *S. solidus* may still be very active in terms of sperm production, even after

several days in the avian host. This opposite pattern is suggestive of a differential activity in

terms of energy metabolism between different tissues in adults. At this late stage, the adult

parasite may direct all of its energetic activities towards sperm production, in order to maximize

rates of egg fertilization.

Potential role for endocytosis in balancing energetic reserves

How glucose is produced or acquired by adult *S. solidus* is unclear, but empirical evidence

suggests that this activity could be performed by molecular mechanisms such as endocytosis or

pinocytosis [21]. This hypothesis led to the prediction that expression of genes specific to this

pathway should be induced. Results from the GO enrichment analysis show a significant over-

representation of biological processes related to endocytosis in adult worms. In total, 21 genes

annotated with functional terms such as clathrin coat assembly (GO:0048268), clathrin-mediated

endocytosis (GO:0072583), and regulation of endocytosis (GO:0006898) are co-regulated within

the same cluster as reproduction-specific genes (Figure 2a, cluster 4). All 21 genes are

significantly over-expressed in adult worms, as compared to the previous infective stage (Table

S1). Even though we detect an over-expression of certain genes in adult worms that are involved

in general mechanisms of endocytosis, we cannot determine where exactly these genes are

expressed in the worm, since our experiment was performed on whole worms. They could be

over-expressed in cells from the integumentary system, but also in other organs that are not

involved in interaction with the external environment of the worm.

Regulation of redox pathways through novel species-specific genes

Adult stages of cestodes like *S. solidus* are exposed not only to the reactive oxygen species

(ROS) produced by their own metabolism, but also to the ones generated by their host [46].

Considering the extensive muscular activity required during reproductive behaviours [5,15] and

the potential internalisation of host molecules by adult worms – which could include ROS
produced by the host – maintenance of redox homeostasis should be a central activity performed
at this stage. This scenario is reflected in the smallest co-expression module characterising the
passage to the final host (Figure 2a, cluster 6), which harbours genes predominantly up-regulated
in adults. This module does not exhibit significant enrichment for a particular biological activity,
but it is nonetheless associated with oxidative stress and antioxidant metabolism, such as
glutathione metabolic process (GO:0006749), glutathione biosynthetic process (GO:0006750)
and glutathione dehydrogenase (ascorbate) activity (GO:0045174). Interestingly, of the 242 genes
contained in this module, 174 (72%) are turned 'ON' in adults and 'OFF' in pre-infective and
infective plerocercoids. All of the genes turned 'ON' in adult worms are found exclusively in this
cluster (Table S1). Furthermore, 108 (62%) of these 174 'ON' genes find no homology to any
known sequence database, nucleotides or amino acids, while they are among the top
differentiated genes in the final developmental transition (Figure 2b). This module is thus mainly
composed of unknown genes that are co-expressed, with oxidative stress genes being specifically
up-regulated at the adult stage, while being turned off during the development phase in the fish.
Experimental evidence on the metabolism of adult worms shows a significant increase in lactate
concentration at this stage [17], which is confirmed in our data by the increased expression of
lactate dehydrogenase (Figure 3). Higher intracellular lactate content was then considered as
evidence for a more oxidised cytoplasm in mature worms [17]. The redox module identified in
our data supports this hypothesis of increased oxidative stress in adult worms, suggesting the
importance of preventing the damage caused by ROS in late stages of infection.
343
Detecting distinct developmental stages within the same host
Early plerocercoids associated with growth and regulatory programs
Evidence from physiological and morphological studies suggests that growth and organ
development are the major biological programs that differentiate pre-infective from infective
plerocercoids [5]. *In vitro* experiments showed that in the first 48 hours following infection, the
number of proglottids – i.e. body segments – is definitive. Unlike most of the cyclophyllidean
tapeworms, *S. solidus* plerocercoids increase their bulk several hundredfold by adding layers of
muscle tissue rather than adding proglottids [5,13]. This suggests that organ development and
tissue differentiation are switched off at this point, while muscle synthesis and growth are

switched on. We examined if this developmental turning point is detectable in regulatory patterns of gene expression when comparing pre-infective versus infective plerocercoids.

Overall, three out of the four co-expression modules up-regulated in pre-infective plerocercoids showed a transcriptional signature strongly associated with growth, cell division and regulatory functions. The first module contained 478 genes predominantly up-regulated in pre-infective plerocercoids (Figure 4a, cluster 6) and significantly enriched in GO terms related to DNA/RNA metabolism – e.g. replication, transcription and translation. The second cluster contained 388 genes, also up-regulated in pre-infective plerocercoids (Figure 4a, cluster 4), and significantly enriched in biological activities involved in the regulation of cell cycle and cell division. More specifically, our results show that among the 416 genes (87%) annotated with GO terms in cluster 6, 203 genes (49% of annotated genes) have at least one enriched GO term related to DNA/RNA metabolism (Table S1). The second co-expression module contains 322 annotated genes, of which 118 (37%) exhibit significant enrichment for GO terms involved in cell cycle transitions (cluster 4 in Figure 4a, Table S1). These genes harbour 59 (76%) of the 78 enriched GO terms in the module. Among genes assigned these GO terms, those exhibiting the largest expression difference between pre-infective and infective plerocercoids (i.e. logFC > 1.5, FDR < 0.001) code for mRNA splicing factors, DNA polymerase and key proteins involved in the regulation of mitosis (Table S1).

Developmental trajectories involving mitotic replications, cellular growth and tissue differentiation are often associated with specific regulatory processes that coordinate the timing of these events [47,48]. Our results show that a third co-expression module significantly enriched in regulatory activities may perform this task. The module of 240 genes significantly up-regulated in pre-infective plerocercoids (Figure 4a, cluster 2) contains 144 (60%) genes annotated with GO terms. Among these, 17 genes (12% of annotated genes) have enriched GO terms related to regulatory processes involved in cellular functions such as apoptosis, mitosis, phosphorylation and cell division (Table S1). The genes with the largest difference in expression level are the transcription factors Sox-19b and GATA-3, with logFC values of 3.0 and 2.6 respectively (FDR < 0.001). Other regulatory genes include WNT4 and WNTG, with logFC values of 1.7 and 1.6 respectively (FDR < 0.001). Interestingly, these 17 regulatory genes are co-

regulated, within this module, with other genes associated to cell cycle and DNA/RNA

metabolism. In total, 41 genes (28% of annotated genes in the cluster) have enriched GO terms

related to biological activities such as DNA replication, cell cycle, and DNA biosynthetic

processes. These results suggest that small pre-infective plerocercoids activate a series of

regulatory pathways in their intermediate fish host. We propose that these regulatory changes

could result in the rapid increase in overall body mass through tissue differentiation, muscle fibre

synthesis, organ formation and increased organ size [49].

Specific transcriptional signature of infectivity dominated by environment sensing and un-

annotated genes

One of the proxies used to infer infectivity in *S. solidus* plerocercoids is its significant influence

on the immune system and behaviour of its fish host (reviewed in [50]), which implies

communication between the two species [51]. Consistent with this, we find that environmental

sensing is the dominant function represented in the transcriptional signature of infectivity. The

most compelling evidence comes from a large module of co-expressed genes significantly up-

regulated in infective plerocercoids (Figure 4a, cluster 1). This module contains 407 genes

significantly enriched in biological activities related to the cellular response of the organism to

various molecules from the external and internal environment. More specifically, 59 (84%) of the

70 enriched GO terms in the module are involved in cellular responses to drugs and

neuromodulators, and secretion and transport of various molecules through the cell membrane

(Table S1). Of the 157 genes with a GO annotation in this module, 28 (18%) have GO terms

involved in environmental sensing and interactions. Among these, those that exhibit the largest

expression differences between pre-infective and infective plerocercoids code for proteins

including monocarboxylate transporter 7 (logFC = 4.9, FDR = 2.2e-06), solute carrier family 22

member 21 (logFC = 4.6, FDR = 1.2e-05), multidrug resistance protein 1A (logFC = 4.4, FDR <

0.001), multidrug and toxin intrusion protein 1 (logFC = 4.4, FDR = 0.0013) and neuropeptide FF

receptor 2 (logFC = 2.4, FDR = 3.6e-05).

The cluster described above is particularly interesting because of the high proportion of genes

coding for unknown proteins differentially regulated between pre-infective and infective

plerocercoids. One of the key features of this module is that GO annotations could be assigned to

only 39% of the genes; hence it is the least annotated of all the modules characterizing the transition of plerocercoids from pre-infective to infective. Results from our transcriptomic analysis nonetheless open the possibility to assign ecological annotations to these genes with particular life-history transitions and co-expression information. The top 15 most differentiated genes between pre-infective and infective plerocercoids – with logFCs of 8-11, and FDRs < 0.00001 – are all completely unknown (Figure 4b), and are all *S. solidus*-specific sequences, i.e. we find no homology match to any known database except the *S. solidus* genome. These sequence also exhibit valid open reading frames and are all highly expressed only in infective worms – i.e. they are turned 'OFF' in pre-infective plerocercoids and adult worms. The only information that can be used to try to assign a preliminary function to these genes is the ecological annotation that stems from our transcriptomic analysis (see Materials and Methods for details). These sequences were thus labelled as infective-specific and co-expressed with genes involved in environmental sensing and interaction. They might in fact hold important, yet hidden, functional aspects that would allow a complete understanding of the interaction between infective plerocercoids and their fish host [52].

Regulation of neural pathways could be essential for successful transmission
Our findings regarding the strong signal detected for neural pathways, such as environmental sensing, are further supported by another co-expression module. This strengthens the idea that nervous system functions in infective plerocercoid carry an important role in the successful completion of the life cycle. This module contains a total of 335 genes significantly up-regulated in infective worms (Figure 4a, cluster 5), among which 235 (70%) have GO annotations. Our results indicate that 41 (17%) of annotated genes in the module have GO annotations enriched in activities performed by the nervous system, while 124 (53%) of them have GO annotations related to transmembrane structure and activity. Biological processes associated with these genes include signal transduction, synaptic transmission, sensory receptor activities and synaptic exocytosis (Table S1). An interesting candidate emerges as one of the top differentiated genes in the module, with an expression fold change of 3.3 (FDR = 0.0003) between pre-infective and infective plerocercoids. This candidate is 5-hydroxytryptamine A1-alpha receptor, a serotonin receptor. Serotonin is an important regulator of carbohydrate metabolism, host-parasite communication and rhythmical movements – in conjunction with other related bioamines – in

several cestodes [53]. Our results show a systematic up-regulation of serotonin receptors,

adenylate cyclase and sodium-dependent serotonin transporters (SC6A4) specifically in infective

worms when compared to pre-infective and adult worms (Figure 5). Functional studies showed

that the signalling cascade of serotonin stimulates muscle contraction and glycogen breakdown in

Fasciota hepatica and *Schistosoma mansoni* [53]. The ultimate downstream effect of serotonin

signalling would be a cellular response to catabolize glycogen, the main source of energy in

cestodes, and more specifically in *S. solidus*. In *S. mansoni*, it has been suggested that the main

source of 5-HT is the host, even though some of the enzymes involved in the process and

recycling of 5-HT have been detected in this species [53]. This is also the case with our dataset,

in which we find at least one enzyme that is capable of breaking down one of the metabolites

required for serotonin biosynthesis, i.e. tryptophan – indoleamine 2,3-dioxygenase 2, up

regulated in infective worms with logFC = -3.1 and FDR = 0.01. According to the current

transcriptome annotation [28], there is no sequence in the transcriptome of the pre-infective,

infective and adult stages that is annotated as part of the biosynthetic process of serotonin. If

serotonin metabolism plays such a central role in the success of *S. solidus* in its fish host without

being synthesized by the worm itself, we could consider the possibility that it progressively uses

the host's supplies as it grows.

Successful completion of a complex life cycle involves intricate interactions between the

parasite's developmental program and physiological parameters experienced in each host.

Investigating the transcriptomic signature of each developmental stage has led to the discovery of

multiple novel yet un-annotated transcripts. These transcripts hold significant co-regulatory

relationships with environmental interaction genes. Future functional characterization of these

parasite-specific sequences promise to reveal crucial insights on how developmental and

infection mechanisms evolved in different parasitic taxa.

ETHICS

Fish were captured under UK Environment Agency permit and with the permission of the

landowner. All experiments were undertaken under a UK Home Office license (PPL80/2327)

held by IB, in accordance with local and national regulations and with ABS/ASAB guidelines for

the ethical treatment of animals in behavioral research (available online at
http://asab.nottingham.ac.uk/ethics/guidelines.php).
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DATA, CODE AND MATERIALS

Raw datasets supporting the results of this article were deposited in a GigaDB repository made publicly available [29]. All the sequencing data are available and associated with the NCBI BioProject PRJNA304161. *In vitro*/*in vivo* culturing techniques and protocols are also available via protocols.io [27]. Python and R codes used for data analysis are available through github [30,36].

COMPETING INTERESTS

The authors declare that they have no competing interests.

AUTHORS' CONTRIBUTIONS

FOH, IB, CRL and NAH conceived the study. FOH and SG did the laboratory infections. FOH, SG and IB undertook fish dissections and parasite culture. FOH extracted RNA, prepared the sequencing libraries, performed bioinformatic analyses with supervision from NAH and CRL. FOH, CRL and NAH drafted the manuscript with input from IB. All authors read and approved the final manuscript.

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FIGURES

Figure 1. Developmental stages of *S. solidus* are characterised by different genome-wide expression profiles. A) Life cycle of *Schistocephalus solidus*. B) Multidimensional scaling analysis (MDS) confirming the presence of three distinct phenotypes among samples (n=17). The distance between two given points on the graph corresponds to the typical log2-fold-change between the two samples for the top 1000 genes with the largest Euclidian distance.

Figure 2. Differential patterns of gene expression reveal a strong stage-specific functional signature dominated by reproduction-associated activities in adult worms. A) Hierarchical cluster analysis showing co-expression relationships between genes labeled as significantly differentially expressed between infective plerocercoids and adult worms (FDR < 0.001). Biological processes significantly enriched (FDR < 0.05) in each module appear in white on the heatmap. B) Volcano plot showing genes differentially expressed at three levels of FDR significance. Positive values of log2FoldChange correspond to up regulated genes in adult worms. Data points circled on the graph represent 18 of the top 30 most differentiated genes between infective plerocercoids and adult worms, to which no annotation could be assigned. The un-annotated genes are turned 'ON' only in adult worms and they are part of the redox homeostasis functional module (heatmap cluster 6).

Figure 3. Partial glycolysis KEGG pathway highlighting the biochemical steps for which differential expression was detected between infective plerocercoids and adult worms. Boxes with a solid black line and white filling represent genes for which expression was detected with no significant difference between developmental stages. Red boxes represent up regulated genes in adult worms. Figure based on the complete KEGG pathway for glycolysis/gluconeogenesis (http://kegg.jp).

Figure 4. Differential patterns of gene expression suggest a significant role for neuromodulatory pathways in the development of infectivity towards the final host. A) Hierarchical cluster analysis showing co-expression relationships between genes labeled as significantly differentially expressed between pre-infective and infective plerocercoids (FDR < 0.001). Biological processes significantly enriched (FDR < 0.05) in each module appear in white

on the heatmap. B) Volcano plot showing genes differentially expressed at three levels of FDR

significance. Positive values of log2FoldChange correspond to up regulated genes in infective

plerocercoids. Data points circled on the graph represent the top 15 most differentiated genes

between pre-infective and infective plerocercoids, of which 100% are un-annotated. These

uncharacterised sequences are all species-specific and systematically turned 'ON' only in

infective worms.

Figure 5. Gene expression data shows a systematic activation of serotonin-related genes in

the specific transcriptional signature of infectivity. Each data point on the graph corresponds

to the average gene expression level (log2CPM) at a given developmental stage. Vertical bars

represent the 95% confidence interval of the geometric mean. Genes coding for serotonin

receptors (5-HT1A), adenylate cyclase (AC) and sodium-dependent serotonin transporters

(SC6A4) are up-regulated specifically in infective plerocercoids and down-regulated in pre-

infective plerocercoids and adult worms. Un-annotated genes co-expressed in the same modules

as serotonin-related genes and enriched in biological processes related to synaptic transmission

and neural pathways show very similar patterns of expression (open circles with dashed lines).

These un-annotated genes were labeled as 'secreted' based on the presence of a signal peptide in

their sequence.