Gene co-expression network connectivity is an important determinant of selective constraint

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Abstract

While several studies have investigated general properties of the genetic architecture of natural variation in gene expression, few of these have considered natural, outbreeding populations. In parallel, systems biology has established that a general feature of biological networks is that they are scale-free, rendering them buffered against random mutations. To date, few studies have attempted

to examine the relationship between the selective processes acting to maintain natural variation of gene expression and the associated co-expression network structure. Here we utilised RNA-Sequencing to assay gene expression in winter buds undergoing bud flush in a natural population of *Populus tremula*, and outbreeding forest tree species. We performed expression Quantitative Trait Locus (eQTL) mapping and identified 164,290 significant eQTLs associating 6,241 unique genes (eGenes) with 147,419 unique SNPs (eSNPs). We found approximately four times as many local as distant eQTLs, with local eQTLs having significantly higher effect sizes. eQTLs were primarily located in regulatory regions of genes (UTRs or flanking regions), regardless of whether they were local or distant. We used the gene expression data to infer a co-expression network and investigated the relationship between network topology, the genetic architecture of gene expression and signatures of selection. Within the co-expression network, eGenes were underrepresented in network module cores (hubs) and overrepresented in the periphery of the network, with a negative correlation between eQTL effect size and network connectivity. We additionally found that module core genes have experienced stronger selective constraint on coding and non-coding sequence, with connectivity associated with signatures of selection. Our integrated genetics and genomics results suggest that purifying selection is the primary mechanism underlying the genetic architecture of natural variation in gene expression assayed in flushing leaf buds of *P. tremula* and that connectivity within the co-expression network is linked to the strength of purifying selection.

Author summary

Numerous studies have shown that many genomic polymorphisms contributing to phenotypic variation are located outside of protein coding regions, suggesting that they act by modulating gene expression. Furthermore, phenotypes are seldom explained by individual genes, but rather emerge from networks of interacting genes. The effect of regulatory variants and the interaction of genes can

be described by co-expression networks, which are known to contain a small number of highly

connected nodes and many more lowly connected nodes, making them robust to random mutation.

While previous studies have examined the genetic architecture of gene expression variation, few were

performed in natural populations with fewer still integrating the co-expression network.

We undertook a study using a natural population of European aspen (*Populus tremula*), showing that

highly connected genes within the co-expression network had lower levels of polymorphism, had

polymorphisms segregating at lower frequencies and with lower than average effect sizes, suggesting

purifying selection acts on central components of the network. Furthermore, the most highly

connected genes within co-expression network hubs were underrepresented for identified expression

quantitative trait loci, suggesting that purifying selection on individual SNPs is driven by stabilising

selecting on gene expression. In contrast, genes in the periphery of the network displayed signatures

of relaxed selective constraint. Highly connected genes are therefore buffered against large

expression modulation, providing a mechanistic link between selective pressures and network

toplogy, which act in cohort to maintain the robustness at the population level of the co-expression

network derived from flushing buds in *P. tremula*.

Introduction

A central aim of biology is to understand how emergent phenotypes are encoded in the genome and

how genetic variation engenders phenotypic variation within populations. While much emphasis was,

and is, placed on studying the genetics of those emergent phenotypes, less attention has been paid to

the genetics of the various steps along the central dogma of molecular biology (in essence, the

progression of genome to RNA to protein) that underlie the emergence of a phenotype of interest.

The availability of massively parallel sequencing technologies affords new possibilities for addressing

biological questions, for example enabling the generation of *de novo* genome assemblies and

population-wide resequencing data that can be used to perform genome-wide association studies

(GWAS), even in species with large genomes that harbour high levels of polymorphism or that

display rapid linkage disequilibrium (LD) decay [1]. The use of genome-wide resequencing data

allows the discovery of, in theory, all genetic polymorphisms within an individual. These genetic

markers, of which single nucleotide polymorphisms (SNPs) are currently the most commonly

considered, can then be used to perform association or linkage mapping to identify the subset of

polymorphisms engendering phenotypic variation among individuals.

Advances in sequencing technologies have concordantly revolutionised transcriptomics studies,

particularly in non-model organisms. Following seminal work [2,3], numerous early studies in a range

of species established that there is a significant heritable component underlying natural variation of

gene expression levels among individuals within populations [4–16] and that this variation underlies a

number of phenotypes [17–24]. Given these findings, it became apparent that gene expression values

could be considered in the same way as any other quantitative phenotype and be subjected to linkage

or association mapping to identify polymorphisms contributing to expression level variation among

individuals [25], as first reported in [19], with the identified loci termed expression Quantitative Trait

Locus (eQTL; [6]) or, less commonly, expression level polymorphisms (ELPs; [26]). eQTLs are

classified as either local or distant acting depending on the physical location of the associated

polymorphism in relation to the gene that the eQTL is mapped for: local eQTLs are usually defined

as being located within a specified physical distance of the gene location on the same chromosome,

while distant eQTLs represent polymorphisms that are located beyond that threshold distance or on

another chromosome. eQTLs can be further classified as acting in *cis* or *trans*: *cis* eQTLs act in an

allele-specific manner and are usually considered to be local, although long-range *cis* interactions can

occur, for example when a polymorphism is located in an enhancer that is physically distant from the

gene of interest; *trans* acting eQTLs affect both alleles of a gene and are most commonly located

distant to that gene. There continues to be strong interest in eQTLs as they can identify mechanistic

links between phenotype and genotype [27,28]. Importantly, the majority of polymorphisms that

have been associated to phenotypes using GWAS in a wide range of species are located outside of

protein coding or transcribed regions [29–32], suggesting that they influence expression rather than

altering protein or transcript function.

A number of previous eQTL studies have been conducted using plant species including *Arabidopsis*

thaliana [33–38], *Zea mays* [39–41] and *Oryza sativa* [42,43], and in forest tree species [7,44–46]. These,

together with studies in other eukaryotic systems, have yielded generalities concerning the genetic

architecture of gene expression variation, including that a greater number of local eQTLs are

typically identified and that these individually explain a larger proportion of gene expression variance

than do distant eQTLs [34,47–50]. Much of the previous work was conducted using controlled, and

for tree species in particular inter-specific, crosses, comparisons of accessions or was performed in

non-natural systems and it is not clear how generally applicable their conclusions are for natural

populations of unrelated individuals. Few studies have considered whether observed, heritable

variation is adaptive [51,52] or whether signatures of population differentiation are observed at the

transcriptome level [52–57], and it is not yet clear the extent to which selection acting on gene

expression underlies adaptive phenotypic trait variation [52].

Systems biology has greatly improved our understanding of the shared regulation of genes, revealing

the topological properties of transcriptional co-expression networks. A salient feature of the

topology of co-expression networks is that they are scale-free, having few highly connected nodes

(genes) and many nodes with few connections. This property imparts an inherent ability to buffer

against single mutations of large negative effect as random mutation of an expression pattern (*i.e.* an

eQTL) or coding sequence will more often affect a network node of low connectivity [58–60].

Although there have been a number of eQTL studies performed, the context of eQTLs within the

co-expression network and how this relates to patterns of selection have not been a focus.

Species in the *Populus* genus have been established as a powerful model system for forest tree

genomics due to their relatively small genome, rapid growth, propensity for clonal propagation and

ease of genetic transformation [61]. *P. tremula* (European aspen) has many features that render it a

particularly useful model for population genetics and speciation studies [62,63], studies of which are

facilitated by availability of a draft *de novo* genome assembly [64] and population resequencing data

[65]. In this study, we aimed to determine the evolutionary forces that maintain the genetic variation

of gene expression within the context of the corresponding co-expression network using a natural

collection of *P. tremula*. Specifically, we wished to test whether co-expressed sets of genes are

enriched for specific biological functions, whether network topology influences gene expression and

sequence evolution of the constituent genes, and how selection interacts with network topology to

affect the patterns of genetic variation within populations. To address these questions we generated

population-wide RNA-Seq data in, assaying gene expression in winter buds at the point of bud break.

We performed eQTL mapping and constructed a co-expression network, which was scale free and

modular, with highly connected genes in the module cores being under-represented for eQTLs and

with eQTL effect size being negatively correlated with gene connectivity. Patterns of polymorphism

and divergence within genes in module cores imply that they are likely experiencing stronger selective

constraint relative to genes in the network periphery. Our results suggest that purifying selection

plays an important role in buffering the transcriptional network against large perturbations and that

natural variation in gene expression is more prevalent in genes of low network connectivity as a

result of relaxed selective constraint.

Results

We utilised the northern common garden (located at 63.9° N, near Umeå, Sweden) of the Swedish Aspen (SwAsp) collection [66], which comprises 116 *P. tremula* genotypes sampled from twelve geographic locations spanning the species distribution range in Sweden (56.2° to 66.4° N, Fig 1A). The SwAsp collection was previously shown to contain abundant genetic variation, with low linkage disequilibrium (LD) [63,67] and minimal population structure [68]. Based on whole genome re-sequencing data aligned to a *de novo* assembly of the *P. tremula* genome (available at PopGenIE.org; [64]), we called 4,509,654 SNPs after stringent filtering, which we utilised to perform eQTL mapping. To examine the genetic architecture of natural variation in gene expression within the SwAsp collection, we generated paired-end RNA-Seq expression data from winter buds at the point of spring bud break/flush for 219 individuals (clonal replicates), representing 86 genotypes.

Population level gene expression similarity

We first examined the distribution of broad-sense heritability (H^2) and population differentiation (Q_{ST}) (Fig 1B,C respectively) for all expressed, annotated genes. H^2 ranged from 0.0 to 1.0 with a mean (± s.d) of 0.30 (0.22) and with 5,924 genes (17%) having $H^2 > 0.5$. Permutation testing showed that 21,219 genes had significantly higher heritability than expected by chance ($p < 0.01$). There was a weak positive correlation between H^2 and median expression level (Pearson r = 0.09; df = 32,767; $p < 2.2 \times 10^{-16}$), and a relatively strong positive correlation to expression variance (Pearson r = 0.43; df = 32,767; $p < 2.2 \times 10^{-16}$). Q_{ST} ranged from 0.0 to 1.0 with a mean (± s.d) of 0.06 (0.12) and had a weak negative correlation with expression variance (Pearson r = -0.02; df = 29,670; $p < 4.5 \times 10^{-4}$) and a positive correlation with median expression (Pearson r = 0.18; df = 29,670; $p < 2.2 \times 10^{-16}$). These findings are similar to those reported for a number of species [5–17,53], suggesting that the

expression of a large proportion of genes is under substantial genetic control and that the expression

of highly expressed genes is under generally tighter genetic control than genes with lower expression.

While a number of previous studies have identified evidence for heritability of gene expression

[52,69,70], the relationship between expression variation and population structure has been explored

less. Our previous work has established that there is minimal population structure at the genetic level

in the SwAsp collection [68]. To examine whether population structure was apparent on the basis of

expression variation among genotypes, we performed hierarchical clustering of all individuals (Fig

1D) or genotypes (S1 Fig). While some evidence of clustering among genotypes was apparent

(regions of blue in Fig 1D, S1 Fig), genotypes (or individuals) did not cluster according to population

of origin (as indicated by the y axis color bars), suggesting that the observed clustering does not

result from population structure. We tested whether the clustering could be used to predict the

population of origin for genotypes by cutting the dendrogram to produce 12 clusters that were used

as the response in a multinomial logistic regression. The mean accuracy for a 10-fold cross validation

was extremely low (0.09). We additionally performed permutation tests, which showed that the mean

Q_{ST} for all genes was significantly lower than expected by chance (p < 0.001).

To identify whether genes with the highest H^2 and Q_{ST} were enriched for characteristic biological

functional signatures we selected the 500 genes with the highest H^2 (0.88-1.0, 0.93 ± 0.03) and 500

genes with the highest Q_{ST} (0.54-1.0, 0.71 ± 0.13) and subjected these to GO enrichment analysis

(see S1 File for all results). Genes with high H^2 were enriched for categories including protein

phosphorylation (GO:0006468; p = 3.7×10^{-6}), while high Q_{ST} genes were enriched in terms including

translation (GO:0006412; p = 4.2×10^{-20}) and gene expression (GO:0010467; p = 4.6×10^{-12}). Likewise,

we considered the genes with the lowest values, which revealed enrichment of terms including cell

wall modification (GO:0042545; p = 2.6×10^{-7}) for the 2,289 genes with an H^2 of zero and

enrichment of terms including amino acid activation (GO:0043038; p = 0.0012) among the 11,895

genes with a Q_{ST} of zero.

We performed a regression analysis to ascertain whether a set of geographic (latitude, longitude,

elevation), climatic (temperature, precipitation) or other (time since sample collection) factors

significantly explained the global patterns of gene expression similarity among genotypes (S2 Fig), as

identified by performing a PCA of the expression data. None of the gene expression principal

components (PCs) were significantly explained by these environmental factors, with the only

significant results found between PCs 2, 5 and 7 and the number of hours from collecting branches

from the field until bud samples were collected in the greenhouse, which explained 6.6%, 3.2%, and

total 2.1% expression variance, respectively.

We subsequently filtered expression values to remove unexpressed genes and uninformative

expression profiles with low variance, as these are uninformative for association mapping or for co-

expression analyses. Of 35,154 annotated genes, 20,835 were expressed in all samples, including

biological replicates, and 23,183 were expressed in all genotypes when considering genotype means.

Filtering to remove uninformative expression retained 22,306 genes, with the 12,848 removed genes

representing those that were either not expressed in our bud samples (6,736 genes with median

expression of zero of which 2,385 had no detectable expression at all), or that were weakly expressed

(1,762 genes with variance < 0.05 and median expression < 2), together with genes that had stable

expression among genotypes (4,350 genes with expression variance < 0.05 and median expression

>= 2). The latter potentially represent genes with canalised gene expression. Analysis of this set of

stably expressed genes identified enrichment for GO categories including protein transport

(GO:0015031, p = $6.8{\times}10^{-11}$) and protein localisation (GO:0008104, p = $2.2{\times}10^{-10}$). In contrast, the

500 genes with the highest variance across all samples were enriched for GO categories related to

protein phosphorylation (GO:0006468, $p < 10^{-6}$), chitin metabolic process (GO:0006030, $p < 10^{-4}$),

and cell wall macromolecule catabolic process (GO:0016998, $p < 10^{-4}$). Comparing the variance of

these 500 genes with mean F_{ST} calculated using SNPs within those genes revealed no apparent

relationship, suggesting that these patterns were not the result of population structure.

A recent reanalysis [71] of two existing datasets assaying gene expression among natural accessions

of *A. thaliana* [72,73] observed that thousands of genes displayed clear present/absent expression

among accessions. In contrast, when filtering our data using a similar approach, we did not find any

genes displaying this pattern of expression variation (S3 Fig), an observation that we also confirmed

in an independent *P. tremula* dataset [74], albeit containing substantially fewer genotypes.

eQTL mapping

To explore the genetic architecture of gene expression variation among genotypes, we performed

eQTL mapping, defining an eQTL as a significant association between a SNP (termed an eSNP) and

the expression of a gene (termed an eGene). Furthermore, we classified an eQTL as *local* if the eSNP

was located on the same chromosome and not more than 100 kbp from the associated eGene, and as

distant otherwise. Our threshold distance for local/distant classification was empirically determined

based on the distribution of distances between eSNPs and their associated genes and the assumption

that most detectable eQTLs located within one chromosome were local (S4 Fig). We did not

consider whether eQTLs acted in *cis* or *trans*. In common with other studies [75–77] we removed

hidden confounders in the expression data prior to mapping eQTLs by removing variance

attributable to the first nine PCs of the expression data, removal of which maximised the number of

eQTLs identified (S5 Fig). After removing these hidden confounders, we repeated the gene

expression clustering analysis and observed that the previous sample clustering was no longer

apparent (S6 Fig).

In total we identified 164,290 eQTLs at a 5% empirical FDR: 131,103 local and 33,187 distant. These eQTLs represented pairwise associations between 6,241 unique genes (eGenes; 28% of genes considered) and 147,419 unique SNPs (eSNPs), with a mean of 21.0 local and 5.3 distant eSNPs per eGene, respectively. 4,091 genes had only local eQTLs, 1,050 had only distant eQTLS while 1,100 had both. Local eSNPs explained significantly more of the variance than distant eSNPs (local mean adjusted %VE = 51, distant mean adjusted %VE = 47, Mann-Whitney $p < 2.2 \times 10^{-16}$, Fig 2A) and also had higher statistical significance (Mann-Whitney $p = 6.9 \times 10^{-12}$, Fig 2E). As expected there was a clear tendency for a local eSNP to be located proximal to the transcription start site (TSS) or the stop codon (S10 Fig). eGenes had 229 significantly higher heritability than non eGenes (median heritability difference was 0.16, permutation 230 test $p < 0.0001$) (Fig 2B), with this trend being slightly higher for local than distant eQTLs (S25A Fig). There was also an expected, positive correlation between the maximum %VE of the eSNPs associated with an eGene and gene expression H^2 (Pearson $r = 0.47$, df = 6,232, $p < 2.2 \times 10^{-16}$). These patterns are broadly similar to those reported in a number of previous studies [34,47–50], although the ratio of local-to-distant eQTLs differs among studies and is highly influenced by sample size. Before hidden confounder removal, eGenes had marginally higher mean expression than non-eGenes (mean expression 3.5 and 3.3, respectively; permutation test p-value < 0.0001). There were no significant differences after hidden confounder removal, regardless of whether the eQTL was local or distant. eGenes with at least one local eQTL were enriched for GO categories related to tRNA metabolic process (GO:0006399, $p = 1.5 \times 10^{-5}$), ncRNA metabolic process (GO:0034660, $p = 2.6 \times 10^{-5}$) and organonitrogen compound biosynthetic process (GO:1901566, $p = 2.2 \times 10^{-5}$), among others, while eGenes with at least one distant eQTL were enriched for categories including protein phosphorylation (GO:0006468, $p = 0.0064$; see S1 File for all results).

In contrast to a number of previous studies [19,34,36,47,50], we did not find evidence for any

distantly acting hotspots (Fig 2C, S7 Fig), which represent loci where large numbers of *trans*-acting

variants are co-located. Although the removal of hidden confounders has been shown to improve

the signal:noise ratio for eQTL mapping [75–77], it is possible that the process may remove the

signature of large effect distantly-acting hotspots. We performed eQTL mapping before hidden

confounder removal and observed one hotspot representing 12 eSNPs assocaiated with the

expression of 278 genes that was not present after removing hidden confounders (S8 Fig). The 12

eSNPs are located in close physical proximity and are therefore likely linked. In our data, the vast

majority of eSNPs were associated with a single eGene (132,258 eSNPs) with a maximum of six

eGenes associated with a single eSNP (S9A Fig). In contrast, only 1,248 of the 6,241 eGenes were

associated with a single eSNP, with eGenes associated with up to 1,547 eSNPs (S9B Fig). In cases

where eSNPs associated with the expression variation of a single eGene are physically close together,

these eSNPs may be identified due to linkage rather than all being causative. To account for this we

fitted linear models between the expression of each eGene and all the significant eSNPs for that

gene, both local and distant. The use of a linear model masks eSNPs that contain

identical/redundant information and thus effectively identifies haplotype blocks present in all

individuals (which we refer to as 'unique eSNPs'), while also producing a measure of how well the

combination of eSNPs explains the expression of the corresponding eGene (in terms of percentage

variance explained, %VE). Of the 4,993 eGenes associated with more than one eSNP, 4,703 were

also associated to more than one unique eSNP, of which 4,210 genes were associated with at least

one local eSNP and 1,203 were associated with at least one distant eSNP. The adjusted %VE for the

combination of eQTLs was, in general, higher (mean %VE 51.1) than for single eSNPs (mean %VE

44.3).

We next considered the genomic context of eSNPs, which was determined by intersecting eSNP

positions with gene annotations. After normalising for feature length, the majority of local eSNPs

were located within untranslated regions (UTRs) and up- or down-stream (regulatory) regions of

genes, with distinctly lower representation within exons than introns (Fig 2D). The genomic context

distribution of local and distant eSNPs was largely similar, although there were distinctly more

eSNPs located within intergenic regions for distant eQTLs. These distributions patterns are

consistent with previous findings in natural populations of humans [76,78], *Drosophila* [79] and

Capsella grandiflora [27]. A local eSNP/eQTL can be located within the region of the associated eGene

(5'/3' 2 kbp flanking, 5'/3' UTR, exon, intron), within the region of a gene other than the associated

eGene or within an intergenic region. We found that approximately half of the local eSNPs were

located within the region of the eGene itself and half within another gene, with relatively few local

eSNPs located in intergenic regions. When the eSNP was located within the gene region of the

associated eGene there was a clear tendency for that eSNP to be located proximal to the

transcription start site (TSS) or the stop codon (S10A Fig). This patterns was not present in the cases

where the eSNP was located in the adjacent or another gene (S10B,D Fig), even after accounting for

strand (S10C,D Fig). In these cases there was also a lower tendency for the eSNP to be located

within the gene body, with a generally higher presence of eSNPs in the flanking gene regions. Given

this pattern, we therefore examined the expression correlation of the eGene to the gene in which the

eSNPs was located, contrasting this to pairs of non-eGenes and pairs where the eSNP and located

within the eGene and the adjacent gene (S11 Fig). For those cases where the eSNP was not located

within the eGene, we observed a higher expression correlation between the eGene and the gene in

which the eSNP was located, potentially indicating that the eSNP induces a local and more general

influence on expression. As UTRs are currently not well annotated in *P. tremula* it should be noted

that many SNPs currently classified as being located in flanking regions may actually reside within

UTRs. The global distribution of genomic contexts for all investigated SNPs (regardless of whether

they were eSNPs or not) was similar to that of both local and distant eSNPs, suggesting no notable

ascertainment bias for eSNPs.

Co-expression network

Systems biology studies typically consider datasets assaying gene expression throughout

development, among tissue types or in response to abiotic, biotic or genetic perturbations. A

characteristic and salient feature of the resultant co-expression networks is that they are scale-free

[80]. To determine whether the co-expression network representing expression variation among

individuals within our natural population displayed the same properties, we used genotype mean gene

expression values, after removal of hidden confounders, to calculate a co-expression network. In

common with other biological networks, the network was scale-free ($R^2 = 0.97$), suggesting that the

genetic polymorphisms underlying the observed expression variance induce similar co-expression

structures to those observed in previous systems biology studies. We compared the correlation and

variance properties of our dataset to that of the *P. tremula* expression atlas (exAtlas; [64]), which

represents different tissues collected from a single genotype. The correlation distribution for the

exAtlas samples was much wider (mean correlation 0.01 ± s.d. 0.36) than that of our population

expression data (mean correlation 0.00 ± s.d. 0.12; Kolmogorov-Smirnov D = 0.14, p < 2.2×10^{-16}).

The expression variance for the SwAsp expression data was also significantly lower than in the

exAtlas data (Wilcoxon signed rank test, V = 18274602, p < 2.2×10^{-16}; Fig 3A).

Clustering analysis of the co-expression network identified 38 co-expression modules (S12 Fig)

containing a total of 20,686 genes (min=86 genes, max=1591 genes). These were enriched for a

number of Gene Ontology (GO) categories including translation (modules 9, 10, and 14),

photosynthesis (module 22) and oxidation-reduction process (module 29; for all results see S1 File).

Despite the narrow distribution of correlation values, the modules were reasonably well defined, as

indicated by the normalised connectivity difference (k_{diff}), *i.e.* the difference between intra- and inter-

modular connectivity. All modules exhibited a positive mean k_{diff}, with only 157 genes (0.7%) having a

negative k_{diff}. This was in stark contrast to genes assigned to the 'junk' module (*i.e.* all genes not

assigned to any well-defined module), where there were 480 genes with negative k_{diff} (29%).

eGenes are under-represented in network module cores

We examined the relationship of eGenes and eQTLs to network connectivity, determining

significance of these results using permutations tests shuffling gene assignments while maintaining

the network structure (*i.e.* node gene IDs were shuffled while edges remained constant). In general,

eGenes had lower connectivity and betweenness centrality than non-eGenes, but the effect was

minimal (mean difference of 0.79 and 8.9×10^{-5}, respectively; permutation test, $p < 0.0001$ for both,

Fig 3B). Moreover, genes with a positive k_{diff} were significantly under-represented for eGenes

(permutation test, $p < 0.0001$). We defined the core of each module as the 10% of genes in a module

with the highest normalised k_{diff} while also having an intra-modular connectivity >1. Using this

definition, all 38 modules contained at least one core gene, with the percentage of core genes ranging

from 2-10% (S2 File, S12 Fig). Before removal of hidden confounders, core genes had both higher

mean expression and variance than non-core genes (difference of 1.1 and 0.16, respectively;

permutation test, $p < 0.0001$). However, the co-expression network was inferred from the expression

data after the removal of hidden confounds, which removed these difference (no difference in mean

expression: permutation test $p = 0.39$, and marginal difference in variance: mean variance of 0.12 and

0.11, permutation test $p = 0.0184$, S13 Fig). Additionally, there was a weak negative relationship

between network connectivity and gene expression variance in the network as a whole (Pearson r = -

0.08; df = 22,304; p < 2.2×10^{-16}; S14 Fig) and eGenes of the highest connectivity had lower effect

size (based on the maximum effect size across all eQTLs associated to each eGene, Pearson r = -

0.15; df = 6,239; p < 2.2×10^{-16}, S15). Among the module cores, 28 contained at least one eGene, with

25 module cores being significantly under-represented for eGenes (permutation test, p < 0.05; Fig

3D). This further emphasised that, in general, eGenes were not central in the network. There was at

least one eSNP located within the region of a core gene (5'/3' flanking, 5'/3' UTR, exon, intron) for

32 of the 38 modules, with module cores also being under-represented for eSNPs (permutation test,

p < 0.0001). For a number of metrics, module 23 had notable differences to the general pattern: It

contained few core genes, all of which were eGenes; the core genes had high variance compared to

non-core genes; the module in general was enriched for eGenes.

We tested whether the periphery of the network was over-represented for eGenes. Sixty-four of 145

peripheral genes were eGenes, representing a significant enrichment (permutation test, p < 0.0001).

On the other hand, network module cores were enriched for both transcription factors (permutation

test, p < 0.0001), which had higher connectivity than non-transcription factors (permutation test, p <

0.0001), and phylogenetically conserved genes (permutation test, p < 0.0001), defined as genes with

orthologs in *P. tremuloides*, *P. trichocarpa* and *A. thaliana* (20,318 genes; [81]). Furthermore, *P. tremula*-

specific genes, *i.e.* genes without orthologs in *P. tremuloides*, *P. trichocarpa* or *A. thaliana* (1,614 genes),

were slightly under-represented in network module cores (permutation test, p = 0.009) while being

slightly over-represented among eGenes (permutation test, p = 0.0076).

In addition to eGenes having generally lower connectivity, there was also a negative relationship

between eQTL effect size and co-expression connectivity for both local (Pearson r = -0.15; df =

5,189; p < 2.2×10^{-16}) and distant eQTL (Pearson r = -0.12; df = 2,148; p < 6.2×10^{-8}). The H^2 of

eGenes within the core was lower than for eGenes outside the core (mean difference of 0.10,

permutation test p < 0.0001, Fig 3C) and H^2 correlated negatively with connectivity in the network

as a whole (Pearson r = -0.30; df = 22,304; p < 2.2×10^{-16}).

We examined the distribution of the mode of action (Fig 3D) and genomic context (Fig 3E) of

eSNPs within the network. There were distinctly more distantly acting eSNPs within the core than

the non-core (Fig 3D) and, of these, there were more distantly acting eSNPs located within exons of

core eGenes compared to core non-eGenes, which had higher representation of distantly acting

eSNPs located in UTRs (Fig 3E). The genomic context distribution of local acting eSNPs was similar

in all cases (core/non-core and eGene/non-eGene; Fig 3E), however it is clearly apparent that non-

core eGenes contained by far the greatest density of eSNPs.

Paralogs with diverged expression are more likely to be eGenes

The Salicaceae lineage underwent a relatively recent (58 million years ago) whole-genome duplication

(WGD) shared by all member species and that remains represented by a large number of paralogous

gene pairs in the genomes of *Populus* species [82]. If many of these duplicated genes are functionally

redundant or in the process of diverging, one would expect them to be overrepresented for eGenes

as sub- or neo-functionalisation requires derived SNPs to drive expression or coding divergence. To

test for evidence of this we considered paralogous pairs of genes derived from the WGD event. In *P.*

tremula 3,910 paralog pairs were detected [81], with 2,140 of these (4,185 unique genes) passing our

gene expression and variance filtering criteria. These paralogs were significantly under-represented

for eGenes, with 1,078 of the 4,185 genes having at least one associated eSNP (hypergeometric test,

p = 0.0004). Comparing the expression correlation of paralog pairs to that of random gene pairs

showed that paralogs exhibited conserved regulation (permutation test, p < 0.001). We compared the

expression correlation distributions of paralog pairs containing 0, 1, and 2 eGenes (Fig 4) and found

that a higher number of eGenes in a pair was associated with lower expression correlation (linear

model $\hat{\beta} = -0.06$, p $< 2.2{\times}10^{-16}$). Excluding paralogs did not alter the fact that eGenes had

significantly lower network connectivity than non-eGenes.

The rate of sequence evolution is associated with network topology

To assess whether genes with and without eQTLs (eGenes vs. non-eGenes) are experiencing

different levels of selective constraint, we assessed nucleotide diversity (θ_{π}) and the site frequency

spectrum of segregating mutations using Tajima's D in different genomic contexts. We found that,

irrespective of whether eQTLs were local or distant, eGenes exhibited significantly higher genetic

variation than non-eGenes (Fig 5A, S1 Table). Furthermore, although Tajima's D values are overall

negative in *P. tremula,* likely reflecting a historical range expansion [63], eGenes exhibited significantly

higher Tajima's D values compared to non-eGenes (Fig 5C, S1 Table), again regardless of whether

the associated eQTL was local or distant. As such, non-eGenes appear to be experiencing stronger

selective constraint than eGenes. These patterns were consistent for the different genetic contexts

considered (S16 Fig), likely reflecting the effects of linked selection [63]. To test this hypothesis we

looked for differences in selection efficacy on protein sequence evolution between eGenes and non-

eGenes by examining the ratios of intraspecific nonsynonymous to synonymous polymorphisms ($\theta_{0\text{-}}$

$_{\text{fold}}/\theta_{4\text{-fold}}$) and interspecific nonsynonymous to synonymous substitutions (d_N/d_S) across these genes

(using *P. trichocarpa* as an outgroup). Both $\theta_{0\text{-fold}}/\theta_{4\text{-fold}}$ and d_N/d_S estimates were significantly lower in

non-eGenes compared to eGenes (Fig 5E,G, S1 Table), likely reflecting stronger purifying selection

acting on non-eGenes. As has been reported previously for *Capsella grandiflora* [27] and a wild

population of baboons [83], we observed a negative correlation between minor allele frequency and

eQTL effect size (S17 Fig). We examined this relationship in permuted data, which revealed an

excess of low MAF SNPs in the permuted data compared to the original data (S18 Fig). This is in

contrast to the results in *Capsella* [27], where the reverse was observed. However, in both [27] and in

our results there was a consistent negative relationship between MAF and effect size. The

comparative differences in MAF distributions for real and permuted data between the two studies

may largely result from statistical differences in how the test statistic and p-values were calculated,

but may also reflect differences in the population genetics of the two systems. One concern is that

this relationship may result from a higher false positive rate at lower MAF due to the concomitant

decrease in sample size. To address this concern, we performed a subsampling analysis, similarly to

[27], to remove the effect of MAF and examined the correlation of effect sizes estimated in the

original and sub-sampled datasets. The high correlation observed (S19 Fig) suggests that the

observed negative relationship between effect size and MAF is not artefactual.

To further examine the relationship between network topology and sequence evolution, we

contrasted genes located in module cores and those not (core vs. non-core). Independent of whether

a gene was an eGene or not, core genes had significantly lower levels of genetic diversity and

Tajima's D values compared to non-core genes (Fig 5B,D). In addition, core-genes also had

significantly reduced ratios of non-synonymous to synonymous polymorphisms ($\theta_{0\text{-fold}}/\theta_{4\text{-fold}}$) and

substitutions (d_N/d_S) (Fig 5F,H). Again, these patterns were consistent across different genomic

contexts (S16 Fig). Taken together, these results suggest that genes in network module cores

experience reduced rates of molecular evolution due to stronger purifying selection, i.e. selective

removal of deleterious mutations, and are therefore evolving under stronger selective constraint

compared to non-core genes. Stronger purifying selection on mutations within core genes is likely

driven by stronger stabilising selection of gene expression noise or modulation acting to maintain the

optimal level of expression in core, compared to peripheral, genes [84].

As the sequence evolution of a given gene is known to correlate with different factors [85], such as

gene expression level or variance, the evolutionary age of a gene [86,87] and, as we show, the

presence or absence of an eQTL and the topology of the co-expression network (S20 Fig), we

performed analyses to ascertain their relative roles in determining patterns of sequence evolution.

Owing to the collinearity of various characteristics of expression (S20 Fig), we performed principal

component analysis (PCA) on representative gene expression measures (before hidden confounder

removal) to examine the extent to which these measures were interdependent. This analysis revealed

that PC1, which explained 37.03% of the variation in these five measures, was mainly dominated by

the connectivity of genes in the co-expression network and whether they are located within network

module cores or not (Fig 6A). Gene expression variance and eGene status showed a strong influence

on PC2 (Fig 6A) while expression level contributed largely to PC3 (Fig 6A).

In order to test whether the correlations between sequence evolution and various characteristics of

expression are independent of one another, we calculated correlations (Spearman's rank) between

sequence evolution rates and PCs. The rates of sequence evolution over both short (represented by

within-species diversity: θ_π, Tajima's D and $\theta_{0\text{-fold}}/\theta_{4\text{-fold}}$) and long (represented by between-species

divergence, d_N/d_S) timescales showed significantly negative correlations with the connectivity and

core status of genes in the co-expression network (PC1) (Fig 6B-E). This indicates that the

connectivity of genes within the network, both globally and within the local context of expression

modules (core status), are key factors associated with the rates of sequence evolution. PC2, which

largely reflected gene expression variance showed significant positive correlations with patterns of

genetic diversity within species (θ_π and Tajima's D), and had significant, although relatively weak,

negative correlation with the rate of protein sequence evolution (Fig 6B-E). In addition, in

accordance with other studies [88], gene expression level (largely represented by PC3) showed

significantly negative correlations with the rate of protein sequence evolution (Fig 6B-E). We then

performed partial Spearman correlation, aiming to estimate the relationship between two variables

while controlling for other variables, between sequence evolution measures and the five measures of

gene expression used in the above PCA (Table 1). We found the correlation between sequence

evolution and connectivity of genes within the co-expression network persists, although slightly

lower, after accounting for the effects of gene expression level and other factors (Table 1).

Table 1. Summary of the correlation coefficients (Spearman's rank correlation coefficient) between

measures of sequence evolution and gene expression-related measures.

	θ_π		Tajima's D		$\theta_{0\text{-fold}}/\theta_{4\text{-fold}}$		d_N/d_S	
	Pairwise	Partial[a]	Pairwise	Partial[a]	Pairwise	Partial[a]	Pairwise	Partial[a]
Connectivity	-0.2197***	-0.1440***	-0.1929***	-0.1164***	-0.1352***	-0.0417***	-0.1529***	-0.0520***
Core vs. Non-core	-0.0848***	-0.0045ns	-0.0938***	-0.0153*	-0.0652***	-0.0146*	-0.0588***	0.0009ns
Expression level	-0.1312***	-0.0729***	-0.0817***	-0.0370***	-0.2213***	-0.1914***	-0.2792***	-0.2441***
Expression variance	0.1238***	0.1263***	0.0580***	0.0587***	0.0356***	0.0320**	0.0302**	0.0241**
eGene vs. Non-eGene	0.1677***	0.1159***	0.1869***	0.1419***	0.0540***	0.0457***	0.0309**	0.0265**

[a] Partial correlations between two specific variables after controlling for all other gene-expression

related measures.

ns=not significant (P>0.05)

*P<0.05

**P<0.001

***P<1e-5

Discussion

Our primary aim was to determine the evolutionary forces maintaining genetic variance associated

with gene expression variation and to determine their relationship to the associated gene co-

expression network topology within a wild-collected, outbreeding population of the forest tree

Populus tremula. We first established that, in common to results in other species [4–16,52], there is

prevalent heritability in gene expression levels, with 17% of the genes (5,924) having $H^2 > 0.5$. To

examine the genetic architecture of heritable gene expression variation within the population, we

performed eQTL mapping, from which we identified more local than distant eQTLs, with local

eQTLs explaining significantly more of the variance in gene expression than distant eQTLs (Fig 2A),

which is similarly in agreement with previous studies across a range of species [34,47–50]. Although

each eSNP was typically associated with only a single gene, many genes were associated with more

than one unique eSNP, indicating that numerous loci influence the expression of genes with an

associated eQTL. For a large proportion of eGenes, the identified set of eSNPs explained a relatively

high proportion of the heritable expression variation (median 1.0 and s.d. 2.3; S21 Fig). While it

appeared that a single eSNP often accounted for a large proportion of the explained heritable

variance, due to linkage it is not possible to determine the relative contribution of each eSNP within

a haplotype block, with the apparent contribution of individual eSNPs largely resulting from the

order in which they are entered into the statistical model. While eGenes had higher H^2 than non-

eGenes, as is expected, there were, nonetheless, 2,780 non-eGenes with $H^2 > 0.5$, potentially

reflecting that the expression variance of these genes is controlled by many eSNPs of small effect

size that we lacked the power to detect.

To gain insight into how eQTLs may be influencing expression of the associated eGene, we

examined their genomic context. Local eQTLs were most frequently located in regulatory regions

(Fig 2D), with UTRs having the highest density of local eSNPs (~1.5 eSNP per kbp) followed by

flanking regions (2 kbp up- and downstream, ~1 per kbp) and introns (~0.75 per kbp), which is

consistent with previous findings in natural populations of humans [76,78], *Drosophila* [79] and

Capsella grandiflora [27], likely indicates that these loci cause regulatory changes in the transcriptional

dynamics of the gene.

To address our primary question, we used the gene expression values to construct a co-expression

network. Compared to networks more typical of systems biology studies, for example the *P. tremula*

exAtlas network [64], where samples originated from different tissues of a single genotype, the

pairwise expression correlations underlying our co-expression network were low. Despite this, the

network displayed typical characteristics, being scale-free with hubs and distinct modules [80]. To

determine whether network topology is related to the evolutionary history of its component genes,

we examined correlations between network connectivity and rates of sequence evolution. Scale-free

gene co-expression networks are defined as robust, or buffered, to the effects of random mutations.

As there are few highly connected genes (which are important determinants of the observed co-

expression structure), a random mutation would be unlikely to affect such a gene. In comparison, as

the majority of genes have low connectivity, a random mutation is more likely to affect such a low

connectivity gene, which have few connections to other genes in the network and the effect of this

mutation will therefore be minimal (i.e. the overall network is buffered from, or robust to, the

mutational effect) [89]. In network modules, where many genes share the same expression pattern, a

single eSNP modulating the expression of a central regulator would be sufficient to induce similar

expression variation (i.e. co-expression) in the set of connected genes within that network module.

The buffered characteristic of the network would therefore hold true even if all genes within the

network are exposed to the same evolutionary history – i.e. that all are equally likely to accumulate

mutations. However, natural selection may interact in combination with network topology, for

example to prevent the accumulation of mutations within specific genes [90]. If the distribution of

mutations is not random across the network, such an interaction can be inferred. In our data, genes

in module cores (the set of the most highly connected genes within a module) were under-represented for eGenes and, respectively, those in the network periphery were enriched for eGenes (Fig 3B), suggesting that polymorphisms associated with natural variation in expression are more likely to affect genes of low connectivity. More generally, eGene connectivity was negatively correlated with variance explained by the associated eSNPs (S15 Fig). It has similarly been reported that hubs in human protein-protein interaction networks are less likely to be associated with a detectable eQTL and that the effect size of eQTLs is negatively correlated with connectivity in the protein-protein interaction network [91]. Furthermore, connectivity and core status contributed primarily to PCs that were independent to expression level (Fig 6A), with connectivity being correlated negatively with the rates of sequence evolution at both short (Fig 6B-C) and long (Fig 6D-E) timescales. In comparison, expression level exhibited low correlation with sequence evolution at short timescales, but higher negative correlations over long timescales (Fig 6B-E). Genes with high co-expression connectivity, mostly those within network module cores, have significantly reduced genetic diversity and exhibit reduced rates of protein evolution compared to more peripheral genes (Fig 5). This suggests that core genes, which represent genes of high potential effect, have evolved under stronger evolutionary constraint than genes in the periphery of the network. As genes in module cores generally have higher levels of pleiotropy and lower levels of dispensability [58], they are consequently more constrained against changes in both gene expression and protein sequence compared to genes in the periphery of the network [92]. Furthermore, we show that eGenes are overrepresented among non-core genes of the network and that they have experienced lower levels of purifying selection relative to non-eGenes, corroborating a relaxation of both expression and coding constraints for these genes. Relaxed selection of peripheral eGenes is thus expected to result in an accumulation of weakly deleterious mutations that will segregate as intra-specific polymorphisms. Consistent with these observations, we found that conserved genes (*i.e.* old genes)

were significantly over-represented in network cores while *P. tremula* specific genes (*i.e.* young genes)

were under-represented. This is in agreement with previous studies that have shown that

evolutionarily ancient genes tend to be more central in regulatory networks, with increased

constraints on expression and fewer associated eQTLs [87,86]. Taken together, these results indicate

how the co-expression network can be buffered against large perturbations via constraint of core

genes while enabling flexibility and adaptation by tolerating an accumulation of mutations within the

network periphery.

The Salicaceae lineage underwent a relatively recent (58 million years ago) whole-genome duplication

shared by all member species and that remains represented by a large number of paralogous gene

pairs in the genomes of *Populus* species [82]. If many of these duplicated genes are functionally

redundant or in the process of diverging, one would expect them to be overrepresented for eGenes

as sub- or neo-functionalisation requires derived SNPs to drive expression or coding divergence.

However, we saw an under-representation of eGenes in paralog pairs, suggesting that the SNPs that

initially induced expression divergence have reached fixation. Interestingly, we found progressively

lower expression correlation between paralog pairs containing zero, one or two eGenes (Fig 4),

indicating that a subset of paralogs are still undergoing sub- or neo-functionalisation, with their

associated eSNPs driving expression divergence.

Conclusion

We identified substantial heritability of gene expression within a natural, out-breeding population, of

P. tremula. Polymorphisms associated with expression variance were most frequently located within

regulatory regions, suggesting that they act by inducing expression variance. The gene co-expression

network displayed typical characteristics, being scale-free i.e. containing a small number of highly

connected genes. The most highly connected genes within module cores were underrepresented by

eQTLs, with a negative correlation between eQTL effect size and network connectivity. In contrast,

the network periphery was enriched for eQTLs, suggesting higher selective constraint on expression

variance within the network core (stabilising selection) and relaxed constraint within the periphery.

Integration of the eQTL and population genetics analyses with characteristics of the associated gene

co-expression network highlight that the context of a gene within the co-expression network appears

to be an important determinant of the evolutionary dynamics of transcribed loci. Our results point

towards stronger selection acting on network core genes compared to genes in the periphery of the

co-expression network, with a negative correlation between rates of sequence evolution and gene

connectivity. Taken together, this suggests that highly connected genes within the core of the co-

expression network derived from flushing buds of *P. tremula* have experienced stronger purifying

selection than those in the network periphery, the action of which is associated with higher

stabilising selection of gene expression variance for highly connected genes.

Materials and Methods

Samples

We collected branches form the SwAsp collection common garden in north of Sweden on 27th May

2012, before natural bud break but as close to the point of natural spring bud break as possible.

Branches were placed in the greenhouse facility at the Umeå Plant Science Centre under conditions

selected to induce rapid bud break (24 h light, temperature of 20 °C and humidity 50-70 %). At a

defined point of emergence (S22 Fig) buds were harvested, flash frozen in liquid nitrogen and stored

at -80 ° C until used for RNA isolation. Only terminal buds were sampled (i.e. no lateral buds were

included). The time from the day branches were placed in the greenhouse until bud flush sampling

ranged from one day to eight days (S23A Fig) and there was a high, positive correlation to bud flush

date recorded in the field for the same year (S23B Fig ; r=0.776, p < 2.2×10^{-16}). As has previously

been reported [93], there was very low Q_{ST} for bud flush, either in the field or for the greenhouse

material (Q_{ST} 0.13 and 0.07 respectively), however H^2 was high ($H^2 = 0.82$ and 0.71 respectively).

RNA isolation

One to two buds per clonal replicate were ground using one 3 mm stainless steel bead (Qiagen,

Redwood city, USA) in Corning® 96 well PP 1.2 ml cluster tubes (Sigma-Aldrich, St. Louis, USA)

using a Mixer Mill MM400 (Retsch, Haan, Germany) at 20 Hz for 2 x 15 sec. Total RNA was

extracted from all samples according to [94] with the omission of the L spermidine. Buffer volumes

were adjusted according to starting material (70 - 130 mg). RNA isolation was performed using one

extraction with CTAB buffer followed by one chloroform : isoamyl alcohol IAA (24:1) extraction.

All other steps were performed as in [94]. DNA contamination was removed using DNA-free^{TM}

DNA removal Kit (Life Technologies, Carlsbad, USA). RNA purity was measured using a

NanoDrop 2000 (Thermo Scientific, Wilmington, USA) and RNA integrity was assessed using the

Plant RNA Nano Kit for the Bioanalyzer (Agilent Technologies, Santa Clara, USA).

RNA-Sequencing and analysis

RNA-Sequencing was performed as in [74]. Briefly, paired-end (2 × 100 bp) RNA-Seq data were

generated using standard Illumina protocols and kits (TruSeq SBS KIT-HS v3, FC-401-3001; TruSeq

PE Cluster Kit v3, PE-401-3001) and all sequencing was performed using the Illumina HiSeq 2000

platform at the Science for Life Laboratory, Stockholm, Sweden. Raw data is available at the

European Nucleotide Archive (ENA, [95]) with accession number ERP014886, and the normalised

and filtered gene expression data matrix is available at the PlantGenIE FTP resource

(ftp://plantgenie.org/Publications/Maehler2016/).

RNA-Seq FASTQ-files were pre-processed and aligned to v1.0 of the *P. tremula* reference genome

[81] as in [96]. In short, reads were quality and adapter trimmed using Trimmomatic v0.32 [97],

rRNA matching reads were filtered using SortMeRNA v1.9 [98], reads were aligned to the v1.0 *P.*

tremula reference genome using STAR 2.4.0f1 [99] and read counts were obtained using htseq-count

from HTSeq [100]. FastQC [101] was used to track read quality throughout the process. Normalised

gene expression values were obtained by applying a variance stabilising transformation (VST) to the

raw counts from HTSeq, as implemented in the DESeq2 R package [102].

Gene expression H^2 and Q_{ST}

We calculated repeatability as an assumed upper bound estimate of broad sense heritability of gene

expression (see [103] for discussion) from the variance estimates in our data according to the

equation

$$H^2 = \frac{V_G}{V_P}$$

where V_G is the genetic component of the variance calculated as the expression variance between

genotypes for a particular gene (*i.e.* variance among individual means) and V_P is the total phenotypic

variance calculated as the sum of V_G and V_E, where V_E is the environment component of the

variance calculated as the expression variance within genotypes for a particular gene (i.e. the mean

variance among clonal replicates). Point estimates of H^2 were obtained using the repeatability

function from the heritability v1.1 R package [104]. The significance of the broad sense heritability

was estimated by using an empirical null model where heritabilities were based on random genotype

assignments. For each gene, 1,000 permutations were performed and empirical p-values were

calculated using the empPvals function in the qvalue 2.6.0 R package.

Population differentiation (Q_{ST}; [105]) was calculated as

630
$$Q_{ST} = \frac{V_{between}}{V_{between} + 2V_{within}}$$

where $V_{between}$ is the variance among populations and V_{within} is the residual genetic variance among

genotypes within populations as computed using the lmer function from the lme4 v1.1.12 R package

[106] using the formula

`expression ~ 1 + (1|population) + (1|clone)`

where *expression* is the expression of a gene, *population* is a factor representing the population of each

sample, and *clone* is a factor representing genotype replicates. As we use repeatability as an upper

bound estimate of H^2, our Q_{ST} estimates are conservative [107]. Similar to the broad sense heritability,

the significance of the Q_{ST} was estimated using permutation testing where genotype labels were

shuffled among the populations 1,000 times. Due to the characteristics of the data and the method,

many of the permutations resulted in undefined QST estimates (an average of 511 missing values per

gene). Thus, the empirical p-values for the genes were based on different number of null values.

Hidden confounder removal

Genotype mean gene expression data was adjusted for hidden confounders before mapping eQTLs

and constructing the co-expression network. Hidden confounders in the gene expression data was

accounted for by regressing out the 9 first principal components (PCs) of the gene expression data

[75–77]. The number of components to remove was determined by running the eQTL mapping with

0 to 20 PCs removed and selecting the number of components that yielded the largest number of

significant eQTLs (Benjamini-Hochberg $p < 0.05$) (S5 Fig). This approach was based on the

assumption that the number of identified eQTLs would increase if the removed PCs were removing

unwanted, systematic variation (i.e. noise) rather than informative biological variation [75–

77,108,109].

eQTL mapping

eQTL mapping was performed by associating genotype mean gene expression levels with biallelic

SNPs using the R package Matrix eQTL v2.1.1 [110]. The corresponding raw resequencing data for

all SwAsp genotypes is available at the NCBI SRA resource as BioProject PRJNA297202 (SRA:

SRP065057) and the resultant Variant Call Format (VCF) file used for association mapping is

available from [65]. Before performing association mapping, genes were filtered on variance so that

only genes with a gene expression variance above 0.05 were included. SNPs were also filtered on

minor allele frequency (MAF) and major genotype frequency (MGF); any SNPs with MAF < 0.1 or

MGF > 0.9 were excluded to avoid spurious associations. A motivating example for the MGF

filtering can be seen in S24 Fig. We also generated an LD-trimmed SNP set by removing one SNP

from each pair of SNPs with a between SNP correlation coefficient (r^2) >0.2 in blocks of 50 SNPs

using PLINK v1.9 [111], yielding 217,489 independent SNPs that were retained for analyses of

population structure. The first genotype principal component based on the set of independent SNPs

was used as a covariate in the linear model used by Matrix eQTL to account for the weak signature

of population structure. Permutation testing was used to determine eQTL significance whereby

genotype sample labels were permuted 1,000 times and the maximum absolute t-statistic from Matrix

eQTL was recorded for each expressed gene across all SNPs for each permutation, resulting in 1,000

random t-statistics being collected for each gene. Empirical p-values were calculated for each eQTL

in the observed data using the permuted t-statistics for the observed eGene with the empPvals

function in the qvalue v2.4.2 R package [112], and q-values (empirical FDR) were calculated with the

qvalue function in the same package.

When determining the genomic context of eSNPs, there were some cases where introns overlapped

exons as a result of overlapping gene model being present on the same strand. These 41 eSNPs were

discarded from the counting. Another type of overlap that was discarded were cases where an eSNP

overlapped a gene feature, but no sub-feature inside that gene (e.g. UTR, exon or intron). These

1961 eSNPs were excluded from the counting. Since many of the features overlap (e.g. exon and

untranslated regions), the priority for counting was untranslated region, exon/intron,

upstream/downstream and intergenic.

Permutation tests involving eGenes and non-eGenes were performed by shuffling eGene

assignments among the 22,306 genes that were considered for eQTL mapping. This was repeated

10,000 times and empirical p-values were calculated using the empPvals function in the qvalue v2.6.0

R package. The minor allele frequency (MAF) was compared between eSNPs (both local and distant)

and all SNPs throughout the genome. To test for the signature of selection on eQTLs, we also

estimated the correlation between minor allele frequency and eQTL effect size.

Permutation analysis of the minor allele frequency distribution was performed by generating 20 sets

of 150,000 random SNPs from the set of MAF and MGF-filtered SNPs (sampled with replacement).

eQTL mapping was performed for each of these sets, and in addition, the sample labels of the

genotype data was shuffled 50 times for each SNP-set and consequently used for eQTL mapping,

resulting in a total of 1,000 permuted eQTL sets.

For the subsampling analysis, the significant eSNPs from the original eQTL mapping were

subsampled in order to fix the minor allele frequency. It was decided to fix the minor allele frequency

at 25% and use 40 samples as this retained 136,942 of the original 147,419 eSNPs (93%). The actual

subsampling was done by dropping samples recursively until the minor allele frequency fell within ±2

percentage points of the desired frequency. This was done using our custom program vcfsubsample

(https://github.com/maehler/vcfsubsample).

Co-expression

The R package WGCNA v1.51 [113] was used for constructing a co-expression network. The input

gene expression values were per-gene genotype means corrected for hidden confounders (the same

data used for eQTL mapping). We chose to use the unsigned network type for this study with the

motivation that we did not want to discard negative relationships. By looking at this from an eQTL

perspective, an eSNP can be positively associated with one gene while negatively associated with

another. The relationship between these two genes would be missed if we used the signed or the

signed-hybrid approaches. Using the unsigned approach, we assure that genes with strong negative

correlation end up in the same network modules. A soft thresholding power of 5 was used to

calculate adjacencies. The topological overlap matrix (TOM) was generated using the TOMsimilarity

function with the signed approach in order to take negative edges into account (see [113] for details).

In order to identify network modules, hierarchical clustering was applied to the TOM dissimilarity

matrix $(1 - TOM)$ and the resulting dendrogram was divided into modules using the cutreedynamic

function. The connectivity of the network was then defined as the adjacency sum for each node, i.e.

the weights of the edges that are connected to this node. This concept was applied to modules as

well to obtain measures of intra- and inter-modular connectivity, i.e. the connectivity based on edges

connecting the gene with other genes inside the same module, and connectivity based on edges

connecting the gene with genes outside of the module.

To define the periphery of the network we applied a hard edge-threshold to the network where only

gene-pairs with an absolute Pearson correlation > 0.4 were linked, which corresponded to the top

0.1% most correlated gene pairs. Genes were then classed as peripheral if they linked to only one

other gene.

Conserved genes and *P. tremula*-specific genes were inferred from gene family analysis based on

protein sequence similarity available on the PopGenIE FTP server [114]. In short, two rounds of

TribeMCL were run using BLASTP with an E-value threshold of 10^{-5} where the first round was all-

vs-all while the second was all-vs-all inside each gene family.

Transcription factors were identified by BLASTing the the *P. tremula* protein sequences against the

protein sequences of transcription factors in *P. trichocarpa* with an E-value threshold of 10^{-10} and

taking the best hit for each *P. trichocarapa* transcription factor. The *P. trichocarpa* transcription factor

annotations were taken from Plant Transcription Factor Database v3.0 [115].

All permutation tests based on the network were performed by computing the measure of interest on

the original network, and then performing the same computation on 10,000 networks were the gene

labels were shuffled. Empirical p-values were calculated using the empPvals function in the qvalue

v2.6.0 R package [112].

Functional enrichment was tested using the R package topGO 2.24.0. As background the 22,306

genes included in the network construction were used.

To estimate nucleotide diversity (θ_π) and Tajima's D [116] among genes, we only used the reads with

mapping quality above 30 and the bases with quality score higher than 20 for the estimation of θ_π

and Tajima's D by ANGSD [117]. Genes with less than 50 covered sites left from previous quality

filtering steps (Wang et al. In prep) were excluded. In addition, θ_π and Tajima's D were also

calculated for seven site categories of each gene: 1kbp upstream of genes, 1kbp downstream of

genes, 3' UTR, 5' UTR, intron, 0-fold non-synonymous and 4-fold synonymous sites within genes.

We further compared the estimates of $\theta_{0\text{-fold}}/\theta_{4\text{-fold}}$ between different categories of genes. We used the

transcript with the highest content of protein-coding sites to categorize the seven genomic features

within each gene. Finally, we estimated the ratio of non-synonymous substitution and synonymous

substitution rate (d_N/d_S) using gKaKs pipeline [118] with codeml method [119] for a total of 33,039

orthologous genes that were determined by best BLASTp sequence homology searches between *P.*

tremula and *P. trichocarpa* [81,114]. Significance for the above statistical measurements between each

pair of gene categories was evaluated using Mann-Whitney tests.

To test for the main effects of various gene expression factors (including connectivity within co-

expression network, core vs. noncore, presence or absence of an eQTL, gene expression level and

variance) on the rate of sequence evolution, we first performed principal component analysis (PCA)

of the five gene expression-related variables to examine the extent to which they were

interdependent. To further handle the problem of collinearity between them, the PCs were

calculated. We then examined the Spearman's rank correlation coefficient of each PC with the

parameters of sequence evolution. The data were scaled before doing PCA, and the computation of

PCs was implemented in the "prcomp" function of the statistical software package R 3.2.0.

Finally, to account for the autocorrelation between gene expression measurements, we estimated

partial Spearman correlations between sequence evolution parameters and each of gene expression

related variables while controlling for other variables. The partial correlation were performed with R

package ppcor.

Analysis script availability

Relevant processed data and R transcripts are available on the PlantGenIE FTP resource

(ftp://plantgenie.org/Publications/Maehler2016/).

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Figures

Fig 1. Population location and gene expression overview. (A) Map of the original locations of the SwAsp populations. The red arrow points to the location of the common garden used in this study. (B) Distribution of gene expression heritability for all genes and for the subset of genes after filtering to remove uninformative expression. (C) Distribution of gene expression Q_{ST} for all genes and for the subset of genes after filtering to remove uninformative expression. (D) Sample clustering based on all samples, including biological replicates. The heatmap represents the sample correlation matrix based on the 500 genes with the highest expression variance using gene expression data prior to hidden confounder removal. Darker colour indicates higher correlation. The coloured bar represents the populations the samples belong to. The small clusters on the diagonal correspond to biological replicates of each genotype.

Fig 2. eQTL overview. (A) Expression variance explained (R^2) for local and distant eQTLs. Box plots show the maximum variance explained by a single eQTL for each gene and the total variance explained by all eQTLs for each gene. The widths of the boxes are proportional to the number of genes represented. The pairwise significance of a Mann-Whitney test is indicated by asterisks: ***p < 0.001, **p < 0.01. (B) Broad sense heritability distributions for eGenes and non-eGenes. (C) Scatter plot showing the positions of all significant eQTLs in this study. No evidence of eQTL hotspots can be observed. Numbers indicate chromosome. (D) Genomic context of local and distant eSNPs. Context categories are normalized for feature length. When an eSNP overlapped with features on both strands, both of them were counted. For both local and distant eQTLs the features are based on the gene that is closest to the eSNP, and furthermore, for local eQTLs, the features are divided into whether the eSNP is located in or near the same gene that it is associated to ("associated gene") or not ("other gene"). Flanking regions represent 2 kbp upstream and downstream from the gene.

(E) Manhattan plots for local eQTLs (upper) and distant eQTLs (lower). Each point represents an eQTL.

Fig 3. Co-expression characteristics of eGenes and eSNPs. (A) Gene expression variance distribution for all genes in the SwAsp data (before removal of hidden confounders) and the exAtlas data. (B) Distribution of co-expression connectivity for eGenes and non-eGenes. (C) Distributions of the proportion of total variance explained and heritability for eGenes and non-eGenes divided into core and non-core genes. (D) Genes having distantly, locally, or both distantly and locally acting eSNPs located within 2 kbp (or inside) the gene divided into core and non-core genes. (E) Genomic context of distantly, locally, or both distantly and locally acting eSNPs located within 2 kbp of an eGene divided into core and non-core eGenes. The eSNP counts are normalised for total feature length.

Fig 4. Correlation within paralog pairs as a function of the number of eGenes in the paralog pair. The widths of the boxes are proportional to the number of genes in each set. The mean correlations for paralog pairs with 0, 1, or 2 eGenes were 0.17, 0.10, and 0.06, respectively. The pairwise significance of a Mann-Whitney test is indicated by asterisks: ***$p < 0.001$, **$p < 0.01$.

Fig 5. Measures of sequence diversity and divergence. Nucleotide diversity (A,B), Tajima's D (C, D), $\theta_{0\text{-fold}}/\theta_{4\text{-fold}}$ (E,F) and d_N/d_S (G,H) are compared between eGenes (with local eQTLs or with only distant eQTLs) and non-eGenes, as well as core and non-core genes from the gene expression network. Significance between each pair of gene categories was evaluated using Mann-Whitney tests and significance is indicated by asterisks above the boxplot: ***$p < 0.001$, **$p < 0.01$, *$p < 0.05$, n.s. $p > 0.05$.

Fig 6. Associations between metrics of gene expression and sequence evolution in *Populus*

tremula. (A) Percentage variance explained by five principal components comprising co-expression

network connectivity, genes in network cores or not (Core vs. non-core genes), gene expression

levels, gene expression variance and genes with eQTLs or not (eGenes vs. non-eGenes). Colour

shadings depict the proportion contribution of each gene expression measure to each principal

component. (B-E) Spearman's rank correlations between PCs and four metrics of sequence

evolution: nucleotide diversity (θ_π), Tajima's D, $\theta_{0\text{-fold}}/\theta_{4\text{-fold}}$ and d_N/d_S. Stacking of the barplots

shows the relative contribution of each gene expression measure to each PC. Plus or minus indicates

the direction of correlation for individual variable on the corresponding PCs. Asterisks indicate

significant correlations, ***P < 1e-5, **P < 0.001,*P<0.05, ns=not significant (*P* >0.05).

Supporting information

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S1 File. GO enrichment analysis results. Contains GO enrichment results for local and distant

eGenes, network module cores, and subsets of genes with extreme H^2 and Q_{ST} values.

S2 File. Various gene statistics. Contains statistics for all genes, as well as all genes included in the

generation of the co-expression network and the eQTL mapping.

S1 Table. Statistic summary (median and central 95% range) for four measures of sequence

evolution: θ_π, Tajima's D, $\theta_{0\text{-fold}}/\theta_{4\text{-fold}}$ and dN/dS in groups corresponding to Fig 5, and the

summary of Wilcoxon rank sum test (location shift and 95% confidence interval) between two

groups of estimates shown in Fig 5.

S1 Fig. Clustering of genotypes. (Left) Heatmap of the sample correlation matrix based on the 500

most variably expressed genes. Darker colour indicates higher correlation. The coloured bar

represents the populations the genotypes belong to. (Right) The two first principal components from

a principal component analysis (PCA) based on all genes. Again, colours represent the genotype

population. The percentages in the axis labels indicate the amount of variance explained by each

component.

S2 Fig. Correlations between gene expression principal components (PCs) and

environmental variables. The values in each tile represent the Pearson correlation between the

gene expression PC (x-axis) and environmental variable (y-axis). Small asterisks represent a nominal

p-value < 0.05 while large asterisks represent Benjamini-Hochberg (BH) adjusted p-values < 0.05.

The only factor with significant correlations to expression PCs was "Hours to harvest", which is the

number of hours into the sampling period that the buds were harvested. It was significantly

associated with PC4 (BH-adjusted p = 4.6×10^{-6}), PC7 (BH-adjusted p = 0.030) and PC2 (BH-

adjusted p = 0.033).

S3 Fig. Relationship between gene expression ranks and the number of genotypes with

detectable expression of the genes. The number of genotypes that a gene was expressed in was

determined by counting the number of genotypes with non-zero expression for each gene. The gene

expression ranks were calculated by ranking the mean gene expression values where the mean was

calculated only considering samples with non-zero expression.

S4 Fig. Physical distance between eSNPs and their associated genes for each of the 19

chromosomes.

S5 Fig. The number of eQTLs detected for different levels of hidden confounder removal.

The y-axis shows the number of eQTLs detected at 5% FDR (prior to the empirical FDR

calculation). The x-axis shows the number of principal components regressed out of the gene

expression data. Distant eQTLs are defined as eQTLs where the gene and the SNP are located on

different scaffolds.

S6 Fig. Heatmap representing the sample clustering based on gene expression values after

hidden confounder removal. The 500 most variable genes in the original expression data were used

for calculating the sample correlations (i.e. the same genes as in Fig 1D). The colour bar represents

the population of the samples with the same colour scheme as in Fig 1D. The small clusters on the

diagonal represent genotype replicates.

S7 Fig. Sliding window approach to detect eQTL hotspots. The upper panel shows the number

of eSNPs in genomic windows of 100 kb for each of the 19 chromosomes. The lower panel shows

the number of unique genes that are associated to each genomic window (nominal eQTL p-value <

1×10^{-6}).

S8 Fig. eQTL scatter plot using gene expression data before hidden confounder removal. A

clear hotspot can be seen at the end of chromosome 4.

S9 Fig. eSNPs per eGene and eGenes per eSNP. (A) Bar plot of the number of associated

eGenes per eSNP. (B) Histogram of the number of associated eSNPs per eGene. (C) The mean co-

expression for genes associated with the same eSNP. The numbers on the x-axis represents the

number of eGenes that a single eSNP is associated to, and the numbers above the boxes shows the

total number of eSNPs that are represented, and in parentheses are the number of unique genes that

they are associated with.

S10 Fig. Local eSNP distribution. Local eSNPs were counted in cases where the eSNP was

located in the gene it was associated with (A) as well as when it was located in a direct neighbour of

the eGene (B, C) or in a gene further away from the eGene (D, E). For cases when the eSNP was

located in neighbouring genes (B-E), this was plotted both in the direction of the gene (B, D) and relative to the eGene (C, E). Up and downstream regions were divided into 1 kbp bins while the intergenic region was normalised to 20 bins. In other words, up and downstream counts are not directly comparable to intragenic counts.

S11 Fig. Gene expression correlation distributions for neighbouring genes. Neighbouring genes in the genome were divided into five different categories: non-eGene – non-eGene, eGene – gene, eGene with eSNP proximal to it – gene, eGene – first neighbour harbouring the eSNP, and eGene – neighbour further away harbouring the eSNP. The numbers in parenthesis in the legend represent the number of pairs in each category.

S12 Fig. The number of genes and the number of core genes in each network module. Each bar is divided into eGene and non-eGene assignments. Module 0 contains genes not assigned to any module.

S13 Fig. Gene expression variance in module cores and non-cores for each of the 38 modules.

S14 Fig. Gene expression variance plotted against co-expression network connectivity. eGenes are indicated by red points.

S15 Fig. Relationship between total variance explained by eQTL and co-expression connectivity. The variance explained is the adjusted variance explained based on all eQTL associated with each gene.

S16 Fig. Comparisons of nucleotide diversity and Tajima's D for different genomic features. Upstream and downstream are 1 kbp away from the gene start and end, respectively. 0-fold and 4-fold refers to 0-fold and 4-fold degenerate sites, respectively.

S17 Fig. Minor allele frequency of eSNPs. (A) Comparison of minor allele frequency between all

SNPs, distant eSNPs and local eSNPs. (B) The relationship between minor allele frequency and

effect size (absolute value of beta) of eQTLs.

S18 Fig. Relationship between MAF and effect size in permuted eQTLs. eQTL permutations

were done by selecting 20 random subsets of 150,000 SNPs each. Each of these sets were associated

with gene expression levels, and in addition, 50 permutations were performed for each set by

shuffling the genotype labels of the SNP data, resulting in a total of 1,000 permuted eQTL sets. (A)

The number of SNPs for the nominal p-value threshold 10^{-6} (the same initial threshold that was

used for the original associations). (B) The Spearman correlation between minor allele frequency and

absolute effect size for the 20 sets and their corresponding permutations. (C) Allele frequency

spectra for the 20 subsets and their permutations.

S19 Fig. Comparing the effect sizes between the original and the subsampled data. The

Pearson correlation is 0.98 (df = 157,020).

S20 Fig. Association between metrics of gene expression and sequence evolution. Scatter

plots (lower off-diagnal, the red-to-yellow-to-blue gradient indicates decreased density of observed

events at a give location in the graph) and correlations with probability values (upper off-diagonal,

spearman's rank correlations) for measures of gene expression and sequence evolution: kTotal, the

total (global) connectivity in the network; is_core_gene, gene is part of module core or not;

orig_expr_mean, mean expression before hidden confounder removal; orig_expr_var, expression

variance before hidden confounder removal; is_egene, gene with eQTLs or not; tP, pairwise

nucleotide diversity; tajD, Tajima's D; tP_0_4, the ratio of pairwise nucleotide diversity at zero-fold

non-synonymous and four-fold synonymous sites; kaks, dN/dS.

S21 Fig. Variance explained by eSNPs and heritability. This figure assumes that eSNPs only

account for heritable variation, explaining why some of the eSNPs explain more than 100% of the

heritability. The x-axis has been truncated at 300%.

S22 Fig. Representative photo of the sampled bud flush stage.

S23 Fig. Sampling time and day of bud flush. (A) Box plot distributions of the Julian day of

sampling for the SwAsp sub-populations. (B) The relationship between Julian day of bud flush for

the greenhouse sampled buds and Julian day of bud flush in the field for the same year (2012).

S24 Fig. Motivating example for major genotype filtering. In this case the SNP is heterozygous

in all samples but one, resulting in a minor allele frequency close to 0.5, but a major genotype

frequency close to one. The resulting association turns out very significant, but is only supported by

a single sample.

S25 Fig. Heritability distributions for four non-overlapping sets of genes: genes with both local

and distant eQTLs, genes with only distant eQTLs, genes with only local eQTLs, and genes with no

significant eQTLs. The box widths are proportional to the number of genes in each set.

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A Local eSNP in eGene

B Local eSNP in first neighbour

C Local eSNP in first neighbour

D Local eSNP in other neighbours

E Local eSNP in other neighbours

A

Population Latitude

B

rho = 0.766, P < 0.001

Day of year (Greenhouse 2012)

Day of year (Field 2012)