The Detection of Covariation of mRNA Levels of Large Sets of Genes across Multiple Human Populations

Covariation of Human mRNA Levels

Yu Quan[1], Chao Xie[1,2], Rohan B. H. Williams[2], Peter Little[1,2,3]*

[1] Life Sciences Institute, National University of Singapore, Centre for Life Sciences, 28 Medical Drive, Singapore 117456
[2] Singapore Centre for Environmental Life Sciences Engineering, National University of Singapore, 28 Medical Drive, Singapore 117456
[3] Department of Biochemistry, Yong Loo Lin School of Medicine, National University of Singapore, 8 Medical Drive, Singapore 117596

* Corresponding author

E-mail: peter.little@nus.edu.sg (PL)

Abstract

In this study we report that, measured in human lymphoblastoid cell lines (LCLs), different individuals exhibit correlated changes in the mRNA levels of multiple groups of genes; the largest group of genes such variation contains 2168 members and over 52% of all genes expressed in these cell lines are members of one or more groups. We show that these gene groups are replicable across different human populations and across different methods of analysis, supporting the view that the covariation is caused by biological and not experimental batch effects. This view is also supported by enrichment in the covarying genes of single and combinations of transcription factors (TFs) binding to cognate promoter regions, enrichment of genes shown to be sensitive to the knockdown of individual TFs and by enrichment of functional pathways and finally of protein-protein interactions. The properties of the groups of genes are therefore most readily explained by the influence of cumulative variations in the effectors of gene expression that act in *trans* on cognate genes. We suggest that covariation has functional outcomes by showing that covariation of 83 genes involved in the spliceosome pathway accounts for 21–43% of the variation in the alternative splicing patterns of genes expressed in human LCLs.

Author Summary

Enormous effort has gone into understanding the changes in gene expressions that underpin human disease and welfare and the research has been largely focused on the local genetic variations near and within genes that influence when and how much mRNA is made by the adjacent gene. In our study we focus on how variations in the many different proteins (and other molecules) that are involved in controlling genes might result in changes in a gene's mRNA output. Because controlling proteins control multiple genes, we predict we should be able to observe the influence by looking for groups of, rather than single, genes that co-ordinately exhibit changes in amount of mRNA. Using a novel approach to detect co-ordinated changes of mRNA levels we identify large groups of genes that are apparently affected by the shared effect of changes in control. Some groups can contain thousands of genes and importantly many of these genes encode proteins that operate together to perform specific functions in cells. The simultaneous change of control of many genes involved in a given cell function is likely to have a more dramatic effect than changes of just a single gene. We report evidence that supports this view and defines for the first time sets of genes whose simultaneous change in control might explain some major differences between humans, including their health.

Introduction

Genetic variation that influences gene expression in humans is thought to be a major mechanism contributing to human phenotypes [1]. The variation in mRNA levels in normal human beings is very substantial. The mRNA levels of the around 14,000 genes expressed and measured by mRNA-Seq in lymphoblastoid cell lines (LCLs) derived from 88 Yoruban individuals (data from Lappalainen et al. [2]) has a median of 4.3 fold change comparing the minimum to maximum expression levels. Presently, the overall inter-individual genetically derived variation in the expression level of a gene is best explained by a mix of *cis*- and *trans*-acting eQTLs (expression quantitative trait loci) defined as associated genetic variations local (*cis*) or distant (*trans*) to the affected gene and Huan et al. [3] reported that *cis*-acting eQTLs account for 33–53% of mRNA variability of a single gene whereas individual *trans*-eQTLs explain only 2–7%. This information derives from detecting the influence of a single eQTL, be it in *cis* or in *trans*, on a single gene, *trans*-eQTLs being defined simply by virtue of remote location from the cognate gene. However, it is also clear that the proteins and RNA elements involved in *trans*-acting controls on gene expression invariably have multiple gene or mRNA targets and so a common feature of variation in *trans* influences should be that they will cause simultaneous changes to the mRNA levels of multiple genes. The impact of variation in these pleiotropic regulators can be substantial; Lovén et al. [4] showed that engineered overexpression of the *MYC* gene results

in increases in expression of 90% of all genes expressed in the cells and Cusanovich et al. [5] used
individual RNAi knockdowns of 59 transcription factors (TFs) to show that between 39 and 3,892
genes were differentially expressed as a consequence of knockdown of individual TFs. The
knockdown of each TF mRNA level ranged 52–92% of normal in the target lymphoblastoid cell line
and analysis of mRNA encoding TFs measured in LCLs of multiple normal human beings reveals very
similar levels of variation (see S1 Fig). This observation suggests that variation in TF mRNA levels in
normal humans might result in *trans* influences upon multiple genes. Moreover, genetic variations
on coding sequences of TFs can affect their DNA-binding activity [6]. Such simultaneous variation
could be of substantial importance to phenotypic variation in humans, simply because many *trans*
controls, particularly TFs, have been implicated in control of genes organised within pathways of
function [5,7–9] suggesting that *trans* variation might have pathway specific influences.

Detecting *trans* genetic influences presents two substantial challenges to conventional eQTL
strategies: firstly, very large sample sizes are required to overcome the large multiple testing implicit
in analysing each mRNA trait against all genomic SNPs, and secondly the influence of a single *trans*-
eQTL upon a target gene is low [3].

An alternative to the gene/eQTL centric approach is to directly detect the outcome of *trans*
influence by detecting the correlated variation of the mRNA levels of groups of target genes in
multiple individuals [8,10]. Covariation of mRNA levels in such an analysis will necessarily be due to
cumulative effects of *trans*-acting variations, be they caused by TFs or any other *trans* factors, and
the detection does not lead to the identity of the underlying *trans* eQTLs but instead identifies the
cumulative influence of all *trans* influences on mRNA levels within the individuals under study.
Importantly, detecting covariation of mRNA level in a set of genes as an analytic approach is
statistically tractable in extant sample sets because it is not confounded by the *trans*-eQTL multiple
testing problem, nor is it necessarily limited to identifying the small effect associated with single
trans-eQTLs.

However the major confounding difficulty in detecting covariation due to *trans* influences is
to distinguish this from the covariation in mRNA levels that is induced by a wealth of technical
artefacts, collectively referred to as batch effects, which are inherent to the analytic methods used
in mRNA level estimation. Such effects include systematic differences in mRNA isolation and yield,
purity, cDNA library or target mRNA construction and ascertainment of yield by both mRNA-Seq and
by microarrays [11]. Many methods have been developed [12] to control for such batch effects but
in most cases these are explicitly designed to detect and eliminate large-scale covariation in mRNA
levels since the methods are intended to provide accurate mRNA measure on a gene by gene basis.
If *trans* influences are indeed present on human gene expression then the likely outcome would be
covariation of mRNA levels of multiple genes that, based upon the data of Cusanovich et al. [5],
could number in the thousands. Goldinger et al. [13] used eQTL methodology to identify *trans*
effects in human mRNA samples analyzed by microarrays and were able to show that principal
component analysis (PCA), a statistical procedure commonly used to control batch effects, could also
remove *trans* genetic influences. Formally, batch effects or biological causes may have similar effect
upon levels of mRNA and cause correlated changes in expressions of many genes; methods that
might identify batch effects may therefore also identify shared, *trans*, influences.

In this paper we report on an analysis based upon detection of large scale covariation of
mRNA level using PCA to detect simultaneous variation of large sets of genes – *trans* target genes
(TTGs) – by analysis of covariation of mRNA levels across multiple normal individuals.

Our observations lead us to suggest that covariation of mRNA levels in sets of human genes
is common and could contribute directly to human phenotypic variability at both the individual and
population level. Further, we show the covariation of a set of 83 genes that are involved in mRNA
splicing has a significant influence upon splicing patterns of human genes in LCLs.

Experimental design

Our experimental strategy is based upon using PCA to detect groups of genes whose mRNA
levels covarying. We use three published mRNA level data sets of human LCLs derived by mRNA-Seq,
the first from Lappalainen et al. [2] containing 462 individuals from 5 populations: 91 Caucasian
(CEU), 89 Yoruba (YRI), 95 Finns (FIN), 94 British (GBR) and 93 Toscani (TSI); the second from Pickrell
et al. [14] containing 69 Yoruban individuals; and from Montgomery et al. [15] containing 60
Caucasian. We also analyse a microarray data set from Stranger et al. [16] containing 109 Caucasian.
Full details are in Materials and Methods.

Our approach is based upon the view that *trans* genetic influences should affect multiple
genes and that we do not know the numbers of genes, nor the scale of variation, that are likely to be
affected. The mRNA level of any gene is conceptually controlled by multiple *cis*- and *trans*-acting
elements and so the mRNA level in any given individual will be set by the particular combinations of
cis and *trans* variables that that individual contains. Each *trans* variable, such as the mRNA level of a
TF, will act in concert with the mRNA level of many other TFs in the individual, contributing to the
final level of mRNA in the individual. Given each TF affects multiple genes what we expect across
multiple individuals is different patterns of shared variation affecting overlapping (or even unique)
sets of genes and these will be defined by the interaction of multiple TFs whose activity is varying
independently in the different individuals.

Identifying *trans* target genes
Based upon this view, we would expect that such expression data assayed from multiple
individuals in a population should demonstrate covariation associated with these shared, *trans*,
genetic regulatory influences, along with contributions from both other biological regulatory
influences and batch and related technical artefacts. Such shared variation is readily captured by
PCA, and building on the findings of Goldinger et al. [13] and related works [17,18], we would predict
that different principal components will contain differing proportion of contribution from each of
these multiple sources of variation. Under this assumption, we can build our analytical approach as
follows. We can apply PCA on the mRNA level data and the first principal component (PC) will
capture some shared variance, which in principle could be caused by a shared *trans* effect. Similarly,
the second PC will capture variance that might be due to a second shared *trans* influence and this
process can be repeated sequentially. Given we have argued that *trans* influences are associated
with correlated mRNA level variation in multiple genes, we use correlation statistics to interpret the
effect of removal of variance by PCA. The process we have developed is detailed in Fig 1. We first set
an absolute correlation level above which we deem the correlation to be significant using a
permutation approach (see below and S2 Fig for justification of correlation thresholds). To illustrate
the process, let us assume we have mRNA level data on multiple genes derived from LCLs of multiple
individuals. In the data prior to PCA analysis (mRNA level matrix X_1), we calculate all pairwise mRNA
level correlations (correlation matrix C_1) and identify the genes whose mRNA levels are correlated
better than the threshold (Fig 1A, Fig 1B). Following the removal of PC1 (yielding matrix X_2) we
recalculate the correlation (matrix C_2) and again find genes correlated above the threshold. In Fig 1C,
the Venn diagram is composed of two sets of genes: those genes that are correlated above the
threshold in the source data (C_1) and genes that are correlated above the threshold in the PC1
removed data (C_2). For the genes displayed in the sector marked PC1* in Fig 1C, we suggest that PC1
has removed variance from these genes that corresponds to shared, and possibly *trans*, influences
and so reducing the correlation below threshold. We then remove PC2 (X_3) and again recalculate the
correlation of all pairs of genes (C_3) and identify the genes that fall into the Venn diagram sector
marked PC2* in Fig 1C. Again, we suggest PC2 has removed shared, possibly *trans*, variance
influencing this set of genes. Thus in each PC there are a set of genes that are better correlated than
the threshold but that in the next PC no longer meet the threshold. Each set of genes we define as
"*trans* target genes (TTGs)" whose covariation is removed by PC1 or PC2 (Fig 1C). We use a

nomenclature that is based upon the covariation detectable in one set of data (PC_n) and removed by
the next PC (PC_{n+1}), and we call these genes the PC_n *trans* target genes or *trans* target genes of PC_n.

Any given gene may have multiple pairwise correlations above or below the threshold so we
define the gene pairs that meet these criteria as *trans* target gene pairs (TTGPs). Alternatively, we
can classify as *trans* target genes only those genes that have one or more above threshold
correlation(s) in the PC_n but no above threshold correlations in PC_{n+1} and this is a more extreme
selection of correlated behaviour. Using this approach, we can define multiple sets of *trans* target
gene pairs and *trans* target genes from a full set of sequential PCA analyses.

Fig 1. Schematic of experimental design to identify *trans* target genes. (A) PCA is applied to a set of
appropriate, pre-processed mRNA level measurements (matrix X_1) derived from multiple human
LCLs. PCA is used sequentially and after each principal component has been removed we generate a
new mRNA level matrices X_n to create 20 matrices X_1–X_{20} (X_1–X_3 are shown here). (B) Pair-wise mRNA
level correlations are calculated for each mRNA level matrix to yield a matrix of correlations of all
possible gene pairs (matrices C_1–C_{20}, C_1–C_3 are shown here). Gene pairs with correlations above the
threshold are highlighted in orange. (C) *Trans* target genes (TTGs) of PC1 are defined as genes
included in gene pairs with correlations above the threshold in correlation matrix C_1, but not
contained in any gene pairs with correlations above the threshold in correlation matrix C_2. Similarly
TTGs of PC2 are defined as genes included in correlated gene pairs from C_2 but not in correlated
gene pairs from C_3.

At this stage we cannot determine if the origin of covariation that defines our TTGs is either
due to a batch effect, shared variation of biological origin, including *trans* influences, or some
combination of the two. To determine if batch effects can, or cannot, best explain the behaviour of
the TTGs we carry out multiple replication studies to show that it is implausible that the effects we
observe are due to experimental artefacts. We also employ multiple analyses of different biological
properties of the TTGs to show that the distribution of these properties is also incompatible with
experimental batch effects.

Replication analyses

Replication analyses are carried out using the same procedure on two sets of data and
comparing the overlap of *trans* target genes identified by each. We use 3 classes of analysis

1. Within and between population replication.
2. Technical replication by analysis of the same mRNA samples with library generation and
sequencing in 2 independent labs.
3. Cross platform replication using mRNA samples of the same individuals analysed using different
analysis techniques.

Our reasoning is that technical batch effects affecting *trans* target genes are less likely to be
replicable between laboratories and across different platforms but that *trans* target genes should
replicate within and between different populations.

Biological properties

We then ask if the *trans* target genes have biological properties that are not expected to be
found in sets of genes that are simply influenced by batch effects. We use four approaches: (1) we
test for overabundance of TFs bound to the census promoter region of *trans* target genes, as binding

might be expected to be enriched in the case of *trans* influence compared to groups of covarying
genes generated by batch effects; (2) we test for overabundance of genes that are sensitive to the
knockdown of individual TFs, which are expected to be enriched in TTGs due to *trans* influence of
TFs; (3) we test for overabundance of functional descriptors (KEGG pathways [19]) in the *trans* target
genes: as gene groupings artefactually formed by batch effects are expected to be independent of
any annotated biological function; and finally (4) we look at the protein-protein interactions (PPIs) of
the proteins encoded by *trans* target genes and ask if they interact more than random expectation:
again, batch effects are not expected similarly to influence genes that encode proteins with more
interactions than random expectation. Related approaches have been used previously [5,8,9] to
support a biological rather than batch origin of changes in gene expression.

Finally we show that inter-individual variation in expression of a set of genes encoding
proteins involved in mRNA splicing and contained in a *trans* target gene set is significantly correlated
with variation in splice patterns within the same individuals, suggesting that the shared variation of
mRNA level in *trans* target genes may have phenotypic consequences.

These multiple lines of evidence lead to the conclusion that mRNA levels of substantial
numbers of genes are influenced collectively in *trans* and that the covariation has phenotypic effects
upon at least one property of human cells.

Results

Defining correlation thresholds

We set a correlation threshold for our analyses by systematically permuting the gene names
of the expression values in each expression matrix created by sequential removal of PCs. We reason
that permutation reveals background noise, and therefore any correlations observed in unpermuted
data are likely to derive from either biological or background noise. We applied 1000 permutations
and recorded the maximum absolute correlation in each matrix. In S2 Fig we graph for each PC
removal step the correlation values corresponding to $P=0.001$, $P=0.01$ and $P=0.05$ based on 1000
permutations (see Materials and Methods). We do this for all sets of mRNA level matrices used in
our analyses (S1 Table); for the Lappalainen et al. [2] data sets this is an absolute correlation more
than 0.64. We examined the number of gene pairs with correlation above the threshold in mRNA
level data with sequential removal of PCs (S3A Fig), and observe that the numbers decrease until
about PC20, plateau until about PC40 then steadily increase. We also observe (S3B Fig) that in
randomly permuted mRNA level data there are no gene pairs correlating above the threshold in
PC1–20, followed by a steady increase of numbers of correlating gene pairs with subsequent
removal of PCs. We therefore limit our analyses to the first 20 PCs.
249

Defining *trans* target genes

To identify *trans* target genes we used the RNA-Seq data for 88 individuals each drawn from
the Caucasian, Yoruban and combined Finns, British and Toscani population from Lappalainen et al.
[2] and carried out the process shown in Fig 1. In Fig 2 we show the number of genes within *trans*
target gene sets as consecutive PCs are removed (54–2137, 75–2168 and 75–2155 genes for
Caucasian, Yoruban and combined Finns, British and Toscani population, respectively). The pairwise
mRNA level correlations in *trans* target genes are complex, and genes may have very different
numbers of pairwise correlations above the threshold and not all genes in the TTGs are equally
correlated to each other. To illustrate this, the gene-pair correlations are conveniently treated as a
network with each gene as a node connected by edges representing correlations above the
threshold. Summary statistics of these networks from all three populations are in S2 Table and as an
example of the complexity of the correlations the gene *PPIAP29* identified in PC1 TTGs in the
Lappalainen et al. [2] combined Finns, British and Toscani populations has 997 pairwise absolute
correlations better than 0.64 — the highest number of connections in our data. An example (PC7

TTGs from Caucasian individuals Lappalainen et al. [2]) of the correlation network is shown in S4 Fig
which is a network defined by 1578 genes and 6268 gene pairs with absolute correlation ≥ 0.64. This
network contains around 0.5% of the 1,244,253 total possible gene pairs definable between member
genes and 56% of all correlations are poor observed to lie between -0.2 and 0.2 (S4A Fig). The
network is non-homogeneous and has obvious multiple clusters (S4B Fig). Generally the correlations
that define TTGs correspond to 0.3–1.4% of all of the gene pairs in the sample (S2 Table).

Fig 2. Numbers of genes in *trans* target genes defined by principal components. The x-axis is
sequential PCs removed from mRNA level data to identify *trans* target genes and the y-axis is
numbers of genes identified as *trans* target genes defined by the relevant PC. Left panel, CEU:
Caucasian individuals; centre panel, YRI: Yoruba individuals; right panel, FIN+GBR+TSI: Finns, British
and Toscani mixed individuals. mRNA level data is from Lappalainen et al. [2].
276

This complexity notwithstanding, we would expect *trans* target gene identity to have some
consistency across each of these analyses and so we identified for each population the union of
genes that are identified as being a member of a group of TTGs defined by any PC up to 20 PCs; this
list is the "combined *trans* target genes" of the population, which cover 52.7%, 55.8% and 61.5% of
all expressed genes in LCLs from Yoruba, Caucasian and combined Finns, British and Toscani
individuals, respectively (S3 Table). We then tested for the identity of overlapped genes between the
combined TTGs of each population and in Table 1 we show the overlap in identity of genes within
the TTGs detected within a population, in this case the 88 Caucasian and 88 Finns, British and
Toscani (Lappalainen et al. [2]) is 84% and 75% overlap with an odds ratio of 13.6 (Table 1, Row 1),
between 2 populations, in this case Caucasian and Yoruban is 74% and 79% overlap and has an odds
ratio of 11.5 (Table 1, Row 2). In Fig 3A we show replication analyses for the TTGs defined by PC1–10
and note that there is significant replication of TTGs but the best overlaps are not always of TTGs
defined by the same PC in the two analyses. This is to be expected for two reasons: firstly because
batch effects will be different in the two independent analyses and thus there is no necessary
equivalence between PCs, and secondly because *trans* effects might only be partially shared
between and within populations of different individuals. In parallel we performed identical analyses
for *trans* target gene pairs and observed significant overlaps of gene pairs within and between
populations (Fig 3B). Nevertheless, there is a substantial replication of TTGs across populations but
at this stage we do not believe these analyses eliminate the possibility that we are observing batch
effects since these samples derive from a single, albeit multicentre, analysis.

Table 1. Overlap of *trans* target genes from different populations and different analyses.

Datasets[a]		Number of Genes			Percentage			
Dataset1	Dataset2	TTG1[b]	TTG2[c]	Overlap[d]	TTG1[e]	TTG2[f]	P value[g]	Odds
CEU	FIN+GBR+TSI	8386	9363	7029	83.8%	75.1%	<1e-300	13.6
CEU	YRI	8386	7863	6207	74.0%	78.9%	<1e-300	11.5
UNIGE	CNAG_CRG	3844	4893	2844	74.0%	58.1%	<1e-300	13.5
YRI Yale	YRI Argonne	8710	8590	7763	89.1%	90.4%	<1e-300	38.7
Illumina HiSeq 2000	Illumina GAII	2747	4538	1905	69.3%	42.0%	6e-134	3.2
RNA-Seq	Microarray	8062	8341	4286	53.2%	51.4%	6e-46	2.2

[a] Dataset1 and dataset2 are two set of combined *trans* target genes that are compared to establish
the extent to which the sets overlap. CEU, Caucasian individuals; YRI, Yoruba individuals;
FIN+GBR+TSI, Finns, British and Toscani individuals all from Lappalainen et al. [2]; UNIGE, RNA-Seq
data from 52 individuals carried out by University of Geneva, and CNAG_CRG, RNA-Seq data from
the same 52 individuals performed by the Centro Nacional de Análisis Genómico and Centre for
Genomic Regulation (Lappalainen et al. [2]). YRI Yale, RNA-Seq data from 68 Yoruba individuals from

Yale sequencing center and YRI Argonne, RNA-Seq data from the same 68 Yoruba individuals from
Argonne sequencing center (Pickrell et al. [14]). Illumina HiSeq 2000, RNA-Seq data from 47
Caucasian individuals using the Illumina HiSeq 2000 platform (Lappalainen et al. [2]); Illumina GAII,
RNA-Seq data from the same 47 Caucasian individuals using the Illumina GAII platform (Pickrell et al.
[14]); RNA-Seq, RNA-Seq data from 80 Caucasian individuals (Lappalainen et al. [2]); Microarray,
microarray estimates on mRNA levels from the same 80 Caucasian individuals (Stranger et al. [16]).
[b] Numbers of unique genes in combined *trans* target genes identified from dataset1.
[c] Numbers of unique genes in combined *trans* target genes identified from dataset2.
[d] Numbers of genes observed in common between TTG1 and TTG2.
[e] The percentage of common genes in TTG1.
[f] The percentage of common genes in TTG2.
[g] *P* value for Fisher's exact test for the observed numbers of genes in common.
317

Fig 3. Overlap of genes identified in *trans* target genes and *trans* target gene pairs defined in
different populations. (A) Upper left panel, comparison of numbers of genes identified by first 10
PCs as *trans* target genes from both Caucasian (CEU) and Yoruba (YRI) individuals. Upper right panel,
similar analysis of Finns, British and Toscani (FIN+GBR+TSI) and CEU individuals. Axes are
sequentially numbered PCs; first row or column (label N) is the total number of genes in the TTG and
the intersection (labels 1-10) is the number of genes in common. Lower 2 panels, corresponding *P*
values from Fisher's exact test for the overlap of *trans* target genes in the 2 populations in the upper
2 panels. The most significant overlaps in a column are highlighted in orange in all panels. (B)
Identical analysis as (A) but measuring the overlap of specific gene pairs rather than genes in the
relevant populations.
328

Technical replication of *trans* target genes

To start to distinguish the confounding influence of batch effects upon *trans* target genes we
carried out a series of replication studies. We reason that the same analyses carried out by separate
laboratories will likely contain batch effects but these are not expected to be identical between the
two laboratories. These results based upon the analysis of combined TTGs summarised in Table 1
and full details for the relevant information derived from PC1–10 are in S5A Fig. We tested for
technical replication by using samples from Lappalainen et al. [2] who report the mRNA-Seq analysis
of the same 52 mRNA samples with library generation and sequencing in 2 independent
laboratories, and we observe replication with 74% and 58% overlap and an odds ratio of 13.5 (Table
1, Row 3). Similarly 68 Yoruban mRNA samples were sequenced by 2 separate laboratories reported
by Pickrell et al. [14], and we observe replication with 89% and 90% overlap and an odds ratio of
38.7 (Table 1, Row 4). In parallel we carried out identical analyses based upon *trans* target gene pairs
(S5B Fig). In all cases we observe substantial and significant replication of genes in the different TTGs
and TTGPs. These data suggest that the origin of the shared behaviour of *trans* target genes is not
readily explained by simple batch effects unless these are associated with particular types of
sequencing machines and so shared between laboratories.

To eliminate batch effects due to machine type, we compared *trans* target genes of the
same individuals analysed in different labs using different analysis machines or techniques. We
compare TTGs from Illumina GAII and HiSeq2000 analysis of the same 47 individuals analysed by
Pickrell et al. [14] and Lappalainen et al. [2], respectively (Table 1 Row 5 and S6 Fig) and we
compared the TTGs from the same 80 individual's mRNA analysed on microarrays and Illumina
HiSeq2000 by Stranger et al. [16] and Lappalainen et al. [2] respectively (Table 1, Row 6, S6 Fig).
Based upon the Fisher's exact test the genes that are contained in *trans* target gene sets defined by
any PC in these data sets replicate across the analyses with 69% and 42% overlap and an odds ratio
for enrichment (3.2). We even observe replication between mRNA-Seq and microarray analyses with
51% and 53% overlap and an odds ratio of 2.2, which is for data derived from two very different

methodologies. In parallel we conducted identical analyses of *trans* target gene pairs (S5 Fig) and were again able to observe significant replication of the pairwise correlations of mRNA level levels. The list of TTGs for each dataset mentioned above are provided in S3 Table and the IDs of the individuals analysed in S4 Table.

Batch effects

The fact we see replication of *trans* target genes across multiple analyses, populations and platforms makes it difficult to suggest that experimental batch effects alone are the source of the shared behaviour. Later we will show that the genes contained within the *trans* target gene sets indeed have a number of biological properties that cannot be accounted for by experimental variations but we accept that batch effects must have an influence upon overall correlation of mRNA levels. We therefore repeated our analyses but first used two methods to remove technical artefacts. PEER (probabilistic estimation of expression residuals) from Stegle et al. [20] is a software that is widely used for removing batch effects from mRNA-Seq data. PEER removes 61.7%, 63.0% and 64% of the variance of the mRNA levels of LCLs from Yoruban, Caucasian, and the Finns, British and Toscani population samples from Lappalainen et al. [2], and we identify 651, 693 and 644 combined *trans* target genes, compared with 7863, 8386 and 9363 combined *trans* target genes identified without PEER, with an overlap of 6.1%, 6.2% and 5.4% of genes, respectively. PEER is very effective at removing variance and so it is unsurprising that this leads to a very substantial drop in *trans* target gene numbers but this analysis does not identify the sources of the shared variance and so is likely to be removing both technical and biological sources of correlation.

In the second case we used an approach that was based upon variation that was due to GC base composition biases in mRNA-Seq. This method was developed by Pickrell et al. [10] to identify sample to sample deviation from expectation of mRNA-Seq read counts mapped to exons separated by GC base composition and to correct for such deviation. For the Yoruban mRNA level data [2] this approach removes 6% of the variance and after GC bias correction we replicate 95% of combined *trans* target genes suggesting that variable GC bias is not an explanation for correlated behaviors of genes.

In both cases these methods are designed to leave an expression level of an individual gene as unaffected as possible by shared influences; in reality we believe it is most probable that removal of shared variations or correction of deviation from random or other expectation is likely to be removing both technical artefacts and biological signal from some genes and, inevitably, as greater variance is removed, correlation in mRNA levels will necessarily decrease. As a consequence, we rely on our PCA approach to remove variance systematically, recognizing that the sources of this variance cannot be established from identification of correlations alone.

Collectively our analyses support the view that the behaviour of *trans* target genes is unlikely to be best explained by batch effects as we see replication across both platforms and laboratories, individuals and populations. We therefore set out to examine if *trans* target genes have biological properties that are not associated with the technology of mRNA-Seq at a frequency greater than random expectation: we argue that association of such biological properties with *trans* target genes is supportive evidence for a biological explanation for correlations in mRNA levels.

Some TFs show over abundant binding to census promoter regions of *trans* target genes

Allocco et al. [21] have shown that the expression level of genes that share TFs bound to their cognate promoter are better correlated than those that share fewer. We therefore analysed the TF binding in the 1000 bp up- and down-stream of the transcription start site of sets of the *trans* targets genes reasoning that if the behaviour of *trans* target genes is indeed of biological origin we

might expect particular TFs to be more associated with *trans* target genes than random expectation. We use the binding site data from the 50 TFs (listed in S5 Table) whose binding has been established in a lymphoblastoid cell line by the ENCODE project [22] and use hypergeometric test, with appropriate correction for multiple tests, to identify enrichment for binding of any of the TFs to the cognate promoter regions of the combined *trans* target genes using RNA-Seq data of 3 populations containing 88 Yoruban, 88 Caucasian and 88 individuals from the British, Finns, Toscani populations (data of Lappalainen et al. [2]). These results are displayed in Table 2. 38 of the 50 TFs have significantly enriched binding to the promoter regions of genes in the combined TTGs defined from one or more populations and in S6 Table we show the enrichment within the TTGs on a PC by PC basis. In S7 Fig we show that there is substantial similarity in overabundance of TF binding to the combined TTGs of 3 populations.

Table 2. Enrichment of individual transcription factors bound to promoter regions of *trans* target genes.

TF symbol	CEU		YRI		FIN+GBR+TSI	
	N	P	N	P	N	P
ATF3	826	1.8e-05*	782	1.0e-07*	893	2.0e-05*
BCLAF1	1044	3.7e-05*	974	1.7e-05*	1131	2.0e-05*
BRCA1	222	1.7e-03	216	1.3e-05*	236	1.4e-02
CHD2	1691	1.5e-10*	1615	1.0e-17*	1827	3.6e-12*
EBF1	2164	3.3e-04*	2067	5.5e-07*	2428	2.5e-09*
EGR1	3425	1.4e-08*	3187	1.7e-10*	3776	9.2e-16*
ELF1	5114	5.3e-16*	4833	5.0e-29*	5595	8.9e-23*
ETS1	1344	1.4e-08*	1271	9.3e-12*	1472	1.5e-11*
FOS	866	4.3e-03	833	3.2e-05*	916	1.5e-02
GABPA	2468	2.7e-09*	2343	8.7e-16*	2683	3.7e-12*
IRF4	938	3.3e-05*	888	4.0e-07*	1025	6.3e-06*
MAX	501	1.3e-01	493	8.0e-04*	548	1.5e-01
MEF2A	1060	1.0e-04*	1017	5.2e-08*	1163	2.9e-05*
MEF2C	456	2.1e-03	432	4.7e-04*	497	3.5e-03
MYC	1176	4.8e-13*	1111	1.8e-15*	1260	6.5e-12*
NR2C2	345	4.2e-04*	328	7.8e-05*	355	2.1e-02
NRF1	2394	3.0e-07*	2233	1.2e-09*	2604	1.4e-09*
PAX5	2680	8.8e-08*	2508	2.2e-09*	2923	2.0e-09*
PBX3	1324	1.2e-06*	1249	8.0e-08*	1401	5.9e-04*
POU2F2	2684	7.0e-20*	2539	3.6e-27*	2884	1.3e-17*
RELA	750	1.9e-06*	702	1.2e-07*	799	8.9e-05*
RFX5	1038	3.7e-03	997	3.3e-07*	1146	5.4e-06*
RXRA	632	2.0e-06*	599	1.9e-07*	677	1.4e-05*
SIN3A	4057	1.4e-18*	3811	7.0e-26*	4370	4.6e-19*
SIX5	2137	5.3e-14*	2056	6.2e-23*	2301	2.1e-13*
SP1	3426	7.4e-14*	3277	1.9e-25*	3716	6.8e-16*
SPI1	1976	1.8e-05*	1873	2.8e-09*	2201	5.9e-09*
SRF	1775	7.7e-10*	1658	6.4e-12*	1896	6.0e-08*
TAF1	2635	7.5e-31*	2446	8.5e-31*	2797	2.0e-25*
TBP	2886	2.7e-26*	2692	1.2e-31*	3101	7.8e-26*
TCF12	2083	1.2e-10*	1946	2.5e-12*	2261	1.7e-11*
USF1	1604	4.9e-04*	1533	2.3e-07*	1765	1.9e-06*
USF2	1454	1.2e-02	1393	9.9e-06*	1586	1.6e-03
WRNIP1	344	3.0e-07*	327	1.7e-08*	351	2.1e-04*
YY1	4273	1.9e-19*	4008	8.8e-25*	4617	6.6e-20*

	CEU		YRI		FIN+GBR+TSI	
TF symbol	N	P	N	P	N	P
ZBTB33	795	4.2e-04*	756	1.6e-05*	852	1.6e-03
ZEB1	1922	7.7e-03	1821	2.9e-05*	2136	2.5e-05*
ZNF143	2506	2.4e-06*	2421	3.4e-14*	2768	7.4e-11*

The table lists the TFs that are found to be significantly associated to combined *trans* target genes in
at least one population. CEU, Caucasian individuals YRI, Yoruba individuals; FIN+GBR+TSI, Finns,
British and Toscani individuals all from Lappalainen et al. [2]. N: The number of *trans* target genes
with individual transcription factors bound to promoter region defined as ±1000 base pairs of
transcription start site; P: *P* value for hypergeometric test for enrichment of binding.
* $P < 1.0 \times 10^{-3}$ (Bonferroni corrected significance threshold).

In summary, multiple TFs compared to random expectation appear to be over abundantly
associated with census promoter regions of *trans* target genes, which have been identified from
multiple populations. We recognise of course that classification of genes as having a bound TF is
necessarily an inference based upon the ENCODE [22] analysis of a single LCL; Although there is
inter-individual variation in TF binding (*e.g.* 7.5% of binding regions of *NFKB* were found different
among individuals by Kasowski et al. [23]), the scale of the overlaps suggests that such effects would
have rather limited influence upon our analysis. At the very least, we would not expect enrichment
of inferred TF binding to cognate promoters to be associated with batch effects, adding further
support to the view that there is a biological basis for *trans* target genes behaviours.

Genes known to be sensitive to single TF knockdown are enriched in *trans* target genes

Our results suggest the possibility that the variation in the amount or activity of the
appropriate TFs may be involved in generating the correlated behaviour of *trans* target genes, but
numerous lines of experimental evidence show that for most genes binding of a single TF to a
cognate promoter region is neither necessary nor sufficient for controlling mRNA level [24–26].
Consequently, our detection of overabundance of TF binding to some *trans* target gene sets does
not necessarily imply a causal relationship between variation in those overabundant TF bindings and
variation in their target genes' mRNA. Cusanovich et al. [5] tested the relationship directly by
studying the effects of knockdown of expression of 59 TFs and chromatin modifiers (TFs used in this
study are listed in S7 Table). They were able to identify sets of genes whose expression levels were
directly influenced by knockdown of single TFs. If the relationship of *trans* target genes with TF is
causal of shared behaviour, we might expect the genes shown by Cusanovich et al. [5] to be enriched
in relevant *trans* target genes. In Table 3 we show that there is a statistically significant overlap of
genes identified as combined *trans* target genes with genes defined as being sensitive to knockdown
of 29 different TFs (see S8 Table for enrichment in PC by PC *trans* target genes). Of the 29 TFs, 9 are
also analysed for binding in the ENCODE data: of these 9 TFs, 8 (*IRF4*, *RELA*, *POU2F2*, *PAX5*, *SP1*,
TCF12, *USF1*, *YY1*) demonstrate both overabundance of binding sites, and enrichment for targets of
TF knockdowns.

Table 3. Enrichment of differentially expressed genes from transcription factor knockdown in *trans*
target genes.
454

	CEU		YRI		FIN+GBR+TSI	
TF Knockdown	N	P	N	P	N	P
ARNTL2	443	2.0e-04*	447	2.1e-09*	501	3.1e-08*
CEBPG	269	2.0e-02	275	2.0e-06*	286	2.2e-02
CEBPZ	263	1.4e-03	238	6.4e-03	287	7.3e-04*
CREBBP	1516	2.7e-08*	1416	1.6e-09*	1624	6.7e-07*

DIP2B	508	7.5e-04*	470	3.8e-04*	548	3.7e-04*
E2F1	270	8.2e-04*	244	4.1e-03	285	3.4e-03
ESRRA	375	1.5e-06*	333	2.5e-04*	373	3.8e-02
HOXB7	506	6.9e-04*	466	1.2e-03	531	8.6e-03
IRF4	2203	4.7e-12*	2062	1.3e-14*	2371	1.4e-10*
IRF5	546	7.2e-05*	517	6.9e-06*	566	1.3e-02
NFE2L1	525	2.5e-07*	473	1.9e-05*	528	1.1e-02
NFKB2	663	2.1e-04*	606	2.4e-03	703	4.7e-03
NR1D2	362	7.5e-04*	328	6.0e-03	376	9.9e-03
NR2F6	694	4.8e-06*	624	1.8e-03	762	4.5e-08*
NR3C1	281	9.1e-04*	272	3.6e-06*	303	8.0e-04*
PAX5	1380	2.2e-06*	1292	1.1e-07*	1469	1.2e-04*
POU2F1	411	8.4e-06*	385	9.2e-07*	443	2.4e-06*
POU2F2	478	1.1e-02	453	3.6e-04*	536	6.3e-05*
RAD21	1539	1.4e-05*	1430	1.8e-05*	1667	7.7e-05*
RELA	240	5.0e-02	236	5.4e-04*	250	1.5e-01
SP1	2023	2.0e-05*	1952	1.7e-13*	2212	4.2e-07*
STAT2	52	3.3e-04*	40	1.2e-01	50	6.1e-03
TCF12	537	5.0e-04*	494	2.3e-03	577	1.3e-03
TFDP1	694	5.4e-07*	647	1.1e-06*	729	8.3e-05*
TFDP2	468	6.0e-06*	442	7.3e-08*	488	5.8e-04*
TFE3	427	1.7e-03	401	2.4e-04*	462	9.1e-04*
USF1	310	5.1e-03	297	9.2e-04*	327	2.8e-02
YY1	1025	2.2e-02	957	6.0e-03	1135	8.9e-05*
ZHX2	298	4.4e-04*	269	5.7e-03	311	1.8e-03

The table presents genes sensitive to knockdown of individual TFs from Cusanovich et al. [5] that are significantly enriched in combined *trans* target genes from at least one population. CEU, Caucasian individuals; YRI, Yoruba individuals; FIN+GBR+TSI, Finns, British and Toscani individuals all from Lappalainen et al. [2]. N: The number of *trans* target genes that overlap with genes exhibiting differential expression following TF knockdown by Cusanovich et al. [5]. P: *P* value of hypergeometric test.

* $P < 9.6 \times 10^{-4}$ (Bonferroni corrected significance threshold).

Combinations of TFs are overabundant in promoter regions of *trans* target genes

Despite these analyses, it is unlikely that single TF binding is the only likely biological contribution to the variable expression of the *trans* target genes. As discussed earlier, multiple TFs generally bind to the census promoter region of genes [22,27] and it would therefore be useful to test for combinations of TFs binding to the census promoter. A systematic analysis of all possible combinations of the 50 TFs in the ENCODE data set is statistically impossible due to the huge numbers of combinatorial possibilities. To overcome this we used two approaches. Wang et al. [27] show that 20 pairs of TFs co-bind in the genome of a human LCL: we can ask if these pairs are more commonly found in promoter regions of *trans* target genes than would be expected at random. In the second approach we remove PCs sequentially until we have reached a minimum number of pairwise correlated genes and then search for shared binding of TFs in promoter regions of this minimal gene set; we reason that a correlated expression in just a pair of genes is the most minimal evidence of *trans* activity and therefore the shared TFs might be important in mediating *trans* influences. In both cases we use the hypergeometric test to detect overabundance of binding of the combinations of TFs, ignoring their relative location, to the cognate promoter region in both the combined and the PC defined *trans* target genes of the Yoruban, the Caucasian and the British, Finns, Toscani populations from Lappalainen et al. [2].

In the first approach, of the 20 TF pairs identified in Wang et al. [27], 14 (see Table 4) are
enriched in the combined *trans* target gene set in one or more populations of which 8 pairs are
enriched in all three populations S9 Table shows the enrichment of the PC defined *trans* target gene
sets.

Table 4. Enrichment of pairs of transcription factors bound to promoter regions of *trans* target
genes.

	CEU		YRI		FIN+GBR+TSI	
TF Pair	**N**	**P**	**N**	**P**	**N**	**P**
ATF3, NRF1	402	2.0e-03*	383	4.0e-05*	454	5.5e-06*
ELF1, YY1	3860	1.1e-19*	3636	3.5e-27*	4176	3.4e-21*
GABPA, YY1	2010	2.2e-10*	1903	9.6e-15*	2178	7.3e-12*
IRF4, JUND	20	2.8e-01	16	4.7e-01	22	4.2e-01
IRF4, MAX	130	1.1e-01	118	2.1e-01	136	4.5e-01
IRF4, MEF2A	441	3.5e-03	421	1.7e-04*	478	1.6e-02
IRF4, MEF2C	231	5.3e-02	215	3.4e-02	246	1.7e-01
IRF4, RELA	271	3.9e-03	254	4.6e-04*	293	6.9e-03
IRF4, TCF12	510	6.0e-04*	463	3.1e-03	546	3.5e-03
JUND, MEF2C	9	5.9e-01	10	2.1e-01	9	8.9e-01
JUND, SPI1	11	4.2e-01	11	8.8e-02	13	3.6e-01
MAX, SPI1	193	2.1e-01	187	5.6e-02	211	2.1e-01
MAX, USF1	354	1.3e-01	352	7.2e-04*	387	9.7e-02
MAX, USF2	361	2.0e-01	358	1.6e-03*	396	1.3e-01
MEF2A, SPI1	466	2.8e-04*	439	2.1e-05*	513	2.5e-05*
MEF2C, SPI1	186	9.9e-03	181	2.9e-04*	204	5.4e-03
PBX3, SP1	1072	1.4e-05*	1018	1.2e-07*	1129	1.5e-03*
RELA, SPI1	394	2.8e-05*	357	1.1e-04*	416	2.5e-03*
SPI1, TCF12	793	1.5e-05*	730	2.8e-05*	885	7.7e-09*
USF1, YY1	1186	1.1e-04*	1140	3.9e-09*	1301	6.1e-07*

The table lists the pairs of TFs taken from Wang et al. [27] that are found to be significantly
associated to combined *trans* target genes in at least one population. CEU, Caucasian individuals YRI,
Yoruba individuals; FIN+GBR+TSI: Finns, British and Toscani individuals all from Lappalainen et al. [2].
N: The number of *trans* target genes with individual pairs of transcription factors bound to promoter
region defined as ±1000 base pairs of transcription start site; P: *P* value for hypergeometric test for
enrichment of bindings.
* $P < 2.5 \times 10^{-3}$ (Bonferroni corrected significance threshold).

In the second approach we sequentially removed PCs from the Lappalainen et al. [2]
Yoruban, Caucasian and British, Finns, Toscani data sets. Respectively removal of 30, 31 and 31 PCs
yielded a minimum of 2142, 1892 and 1719 gene pairs with absolute correlation better than 0.64 of
which 303, 178 and 258 had between 2 to 25 TF binding sites in common. 51 combinations were
common to all 3 populations and so we then tested these for significance of the overabundance of
these combinations of bound TFs in the promoter regions of combined and PC defined *trans* target
genes of the 3 populations. In S10 Table we identify significant enrichments of combinations of TFs
within both the combined and PC defined *trans* target genes. In the combined *trans* target genes 23
of the 51 combinations of TFs are enriched, 17 in all 3 populations, 1 in Yoruban and British, Finns
and Toscani and 5 in just the Yoruban. These data suggest the hypothesis that combinations of TFs
might be contributing to the correlated variation in expression of *trans* target genes and also again
reinforce the view that these biological properties are not those expected of technical batch effects.

Trans target genes encode proteins with related functions

508
Our data collectively suggest groups of genes are covarying in humans under complex *trans*
control. We wish to understand if these genes might be associated with biological functions firstly to
further prove the view that covariation has a biological origin and secondly to start to understand
what might be, if any, the phenotypic consequences of *trans* variation. We wish to ask two questions
as to the biological properties of proteins encoded in both combined and individual groups of *trans*
target genes. Firstly, do *trans* target genes encode proteins that physically interact more commonly
than random expectation, and secondly does this indicate a possible functional interaction that can
be detected by enrichment of functional annotation within the KEGG pathway database?

We tested for physical interaction of encoded proteins within the combined *trans* target
genes identified from Yoruban, the Caucasian and the British, Finns and Toscani populations from
Lappalainen et al. [2] using protein-protein interactions from Lage et al. [28] and using a
permutation test for significance. We compared the number of PPIs seen in the various *trans* target
gene sets with expectation created by 1000 random samples of genes (see Materials and Methods).
These analyses show that the combined *trans* target gene sets from all 3 populations encode
proteins that interact more commonly than expected; the Youruban set contains 4241 combined
trans target genes with 36,927 protein-protein interactions ($P < 0.001$); the Caucasian set of 4554
proteins with 41,714 PPIs ($P < 0.001$) and the Finns, British, Toscani with 4969 proteins with 45,376
PPIs ($P < 0.001$)

In S11 Table we show similar PC by PC analysis of *trans* target genes indicating that for all
three populations there are multiple significant enrichments for PPIs within multiple PC defined
trans target gene sets. The finding that the proteins encoded by *trans* target genes are more likely to
interact with each other than expectation again suggests that the variation in mRNA levels is unlikely
to be due to batch effects within sample analyses. More interestingly in many cases proteins that
physically interact have been shown to be contained within pathways of biological activity [29] and
suggests therefore that *trans* target genes might be associated with specific pathways of biological
activity.

We therefore tested for this by asking if pathways defined within the KEGG database are
enriched in *trans* target genes. In Table 5 we show the results of enrichment analysis of KEGG
function terms in the combined *trans* target genes using hypergeometric test (see Materials and
Methods) and in S12 Table we present the results of a similar analysis on a PC by PC analysis of *trans*
target genes. We see enrichment for 10 functions in the combined *trans* target gene sets: oxidative
phosphorylation, pyrimidine metabolism, ribosome, spliceosome, proteasome, cell cycle, protein
processing in endoplasmic reticulum, Alzheimer's disease (AD), Parkinson's disease (PD) and
Huntington's disease (HD). In these pathways 31–109 genes are shared in one population (Table 5)
and 30–86 genes are shared within all 3 populations (S8 Fig). These results suggest that in humans
there are significant numbers of genes that are functionally related and covarying and opens the
possibility that *trans* variation in genes involved in the same pathway might have phenotypic
outcomes.

547
548 **Table 5. Enrichment of KEGG pathways in *trans* target genes.**

	CEU		YRI		FIN+GBR+TSI	
KEGG pathway	**N**	**P**	**N**	**P**	**N**	**P**
Oxidative phosphorylation	79	2.2e-03	79	5.2e-05*	87	1.9e-04*
Pyrimidine metabolism	55	3.4e-02	50	4.9e-02	64	7.0e-05*
Ribosome	71	4.3e-11*	67	4.2e-11*	69	1.1e-07*
Spliceosome	83	1.5e-05*	83	8.5e-08*	81	7.8e-04
Proteasome	31	3.1e-04	33	2.4e-06*	33	1.9e-04*
Cell cycle	82	1.8e-04*	69	1.2e-02	81	2.0e-03

	CEU		YRI		FIN+GBR+TSI	
KEGG pathway	N	P	N	P	N	P
Protein processing in endoplasmic reticulum	90	6.2e-02	90	3.6e-03	108	9.4e-05*
Alzheimer's disease	91	6.5e-05*	89	6.0e-06*	98	5.6e-06*
Parkinson's disease	82	2.0e-05*	80	5.6e-07*	87	1.5e-05*
Huntington's disease	104	2.1e-04*	97	6.9e-05*	109	2.0e-04*

549   KEGG pathways that are enriched in the combined *trans* target genes in at least one population.
550   CEU, Caucasian individuals; YRI, Yoruba individuals; FIN+GBR+TSI: Finns, British and Toscani
551   individuals all from Lappalainen et al. [2]. N: The number of *trans* target genes annotated with a
552   KEGG pathway; P: *P* value of hypergeometric test.
553   * $P < 2.8 \times 10^{-4}$ (Bonferroni corrected significance threshold).

Expressions of spliceosome genes correlate with changes in splice patterns

Testing of phenotypic outcomes of variation in the *trans* target genes enriched in particular
pathways in most cases would require further case control or biochemical analyses but the
hypothesis that expression variation within the spliceosome set of genes results in changes to splice
patterns can readily be tested by analysis of the alternative splicing in the same mRNA samples that
we have used in our analyses. Variation in mRNA levels will result in some variation in protein levels
and Battle et al. [30] showed the median Spearman's correlation between LCL mRNA levels and
protein levels across 62 Yoruban individuals for 4322 genes is 0.14. Even though this correlation is
relatively weak the mean variation for the 83 spliceosome genes in the combined *trans* target genes
is 3.2 fold for mRNA levels and 3.0 fold for protein levels (see S13 Table). Given this variability we
sought to ask if covariation in the mRNA levels of the spliceosome genes might contribute to
changes in splicing. We focused upon the RNA-Seq data of 88 individuals from the Yoruban and
Caucasian sample sets from Lappalainen et al. [2] and we used the software MISO [31] which uses
annotations of alternative splicing events of human genes (see Materials and Methods). We
calculated the "percent spliced in" (PSI, or Ψ) for each alternative splicing event in the LCL. PSI values
were calculated for two classes of alternative splicing: retained introns (RI), where an intron is
retained in the mRNA transcript relative to other transcripts from the same gene where the intron is
removed, and skipped exons (SE) where an exon is removed by splicing in some but not all
transcripts of the same gene. In the Yoruban samples MISO detects 1792 RI events in 1196 genes
and 11641 SE events in 4922 genes and for the 88 Caucasian samples there were 1609 RI events in
1109 genes and 10661 SE events in 4663 genes. For each of the Yoruban and Caucasian individuals
(in brackets) we calculated a per individual average of all 1792 (1609) RI PSI values and for SE we
used the alternative splicing events corresponding to the largest 1792, top 15.4%, (1609, top 15.1%)
standard deviations of PSI values and calculated a per individual average PSI of this set of SE events.
These average measurements are a course measure of variability in splicing and we then ask how
variability of the expressions of spliceosome genes might be correlated with the variability of RI and
SE PSI values. In total in the 88 Yoruban data set there are 83 (out of 107 with detectable levels)
spliceosome genes in the combined *trans* target genes and for the Caucasian individuals there are 83
out of 110 detectable genes: 73 genes are found in the combined *trans* target genes of both
populations. There are both positive and negative correlations of mRNA levels of the 83 genes and
so to capture the variability we used the PC1 eigenvector as a convenient summary and in Fig 4 we
plot for each individual the average PSI value for the individual against the value for that individual in
the PC1 eigenvector. We observe significant negative correlation in both Yoruban and Caucasian
samples (data in brackets) of the PC1 eigenvector with average PSI of both RI and SE events: for RI
the Pearson's correlation coefficient is -0.49 (-0.66) and for SE -0.45 (-0.48), and for RI the R^2 is 0.24
(0.43) and for SE 0.21 (0.23). In contrast an identical comparison based upon the 24 (Yoruban) and
27 (Caucasian) spliceosome genes that are not contained in *trans* target genes sets yields (Caucasian
value again in brackets) a correlation of -0.29 (-0.37) and R^2 of 0.085 (0.14) for RI, and a correlation

of -0.31 (-0.17) and R^2 of 0.094 (0.03) for SE events. These data suggest that for both populations
variation of *trans* target genes from the spliceosome pathway has a significant impact upon
differential splicing of genes even when the average PSI for multiple genes is used as a summary
measure of differential splicing; the *trans* target genes variation accounts for 43% of the variation in
the Caucasian RI events and given the variation is an average PSI for 1609 events this is a substantial
influence.

Fig 4. Association between variation in expression of spliceosome genes and alternative splicing.
Left panels: analysis of Yoruba (YRI) and right side Caucasian (CEU) individuals. Upper panel is
analysis of retained introns and lower panel of skipped exons in the relevant population. The y-axis is
the per individual average percent spliced in (Ψ) plotted against the x-axis, PC1 eigenvector of the
expressions of 83 spliceosome genes contained in TTGs identified in that population. For each panel,
a regression line is shown, indicating negative association. Pearson's correlation coefficient r, R^2 and
P value for t-test with a null of zero correlation, are listed at the top of each panel.
607

Discussion

In this paper we have used multiple lines of evidence to show that correlation in mRNA
levels has a biological rather than purely technical origin. In summary we show this by using PCA of
multiple sets of mRNA-Seq data to identify genes with correlated mRNA levels. We then use four
lines of evidence to show covariation is unlikely to be due to batch effects: 1) they can be replicated
within and between populations and across different analytic platforms including mRNA-Seq and
microarrays. 2) they contain an overabundance of cognate TFs bound to their census promoter
regions and they are enriched for genes known to be sensitive to knockdown of single TFs. 3) the
proteins they encode are more likely to physically interact with each other than expected. 4) they
comprise groups of genes encoding shared functions more frequently than expected. These multiple
lines of evidence lead us to believe that the *trans* target genes are indeed covarying under the
influence of variation in their *trans*-acting controllers and that the cumulative *trans* effects can
account for a significant proportion of the individual genes' expression variation.

The properties of *trans* target genes align with what is known about control of mRNA levels

The control of mRNA levels can occur at any point from initiation of transcription, to splicing,
mRNA stability and degradation and these process all involve multiple protein and/or RNA
interactions in *trans* [32]. If we focus upon transcriptional control by TFs then any given gene can be
controlled by multiple TFs and the action of any given TF can be activating or repressive conditional
on proximity to promoter and/or interacting with or co-binding to other TFs. Variation of a TF can
therefore be expected to influence sets of genes that based upon Cusanovich et al. [5] can number
from a few dozen to over 3000. However the simultaneous variation of multiple TFs will necessarily
have complicated outcomes conditional upon the overlap of influence; presently we have no
understanding of the extent of these outcomes but it is likely that the mRNA of subsets of genes will
be increased, decreased or remain unaltered in any one individual under the simultaneous variation
of two TFs. Ultimately the combinatorial nature of the control of gene expression by TFs means that
trans influences could influence anywhere from several thousand down to a single gene and that
these effects could be significantly different both between individuals and between populations; this
behaviour is indeed what we observe in our replication studies where we observe significant
overlap, but not identity, of *trans* target genes.

How much variation is associated with combined *trans* influences?

That combined *trans* target genes are less variable than non-*trans* target genes (defined as all expressed genes other than combined *trans* target genes) with median coefficient of variation 0.33 compared to 0.41 ($P = 3.7 \times 10^{-85}$, Wilcoxon test) but comparison of the variance sequentially removed by PCA for the two sets of genes reveals that *trans* target genes lose variance faster than non-*trans* target genes until PC9 when the reverse becomes true for the RNA-Seq data of Yoruban, the Caucasian and the British, Finns and Toscani populations from Lappalainen et al. [2]. The total variance of expressions of *trans* target genes accounted by the first 9 PCs is 63.8%, 62.2% and 64.3%, respectively and the total variance of expression of non-*trans* target genes accounted for by the first 9 PCs are 37.8%, 37.8% and 37.5%, respectively. Therefore, the difference in average variance lost between TTGs and non-TTGs is 26.0%, 24.4% and 26.8% for the 3 populations, respectively. It is reasonable that the difference reflects the effects of the shared variation which, by virtue of its scale, is recognized earlier in the PCA sequential analysis and suggests that at least 24% of mRNA level variation appears to be due to combined *trans* effects.

Comparison to other studies

Goldinger et al. [13] systematically studied the influence of PCA on mRNA levels and eQTLs using microarray data and tests of SNP association and heritability of expression. They concluded *"Most importantly, we show that the first 50 PCs, which have been removed in previously published articles to correct for batch effects (references omitted), contain ...... a considerable proportion of genetic variation influencing gene expression......"*. We note that microarray and mRNA-Seq have quite different distributions of variance explained per PC: for example PC1 explains a much greater proportion of variance from microarray data than it does from mRNA-Seq data. This means our findings cannot be directly related to those of Goldinger et al. [13] both because the variance structures are very different and also because we can have no insight into the causation of shared influences—a necessary feature of our approach. However, our findings similarly suggest batch effects and biological signal can be confounded and techniques to de-noise mRNA-Seq data need to be applied very carefully. As we discuss above, the PEER software of Stegle et al. [20] can effectively removed most of the covariation that underpins *trans* target genes' behavior.

The approach we have developed has advantages and disadvantages over present more gene centric eQTL approaches. The advantages are, firstly, the overall level of an mRNA is determined by many influences and it is the actual level that ultimately may be associated with biological outcome. In contrast, eQTL based approaches dissect the causative variations influencing mRNA levels and given these are individually small effects and subject to epistatic interactions this can be a challenging approach to detailing the final mRNA level variation. Secondly our approach does not generate the multiple testing problem of *trans* eQTL analyses and so can be applied to relatively small data sets. Thirdly, the correlated mRNA changes within functionally related genes may well prove to have a greater predictive effect upon phenotypic outcomes than the single *cis*- or *trans*-eQTL associations. The disadvantages to our approach are, firstly that we require mRNA level analysis of phenotypically relevant tissues (a problem which is increasingly shared by tissue specific eQTL analyses) and secondly that we do not gain mechanistic understanding at the level of association between sequence variation and effector regulators or targets. We also recognize that the LCL resources we have used here are tissue culture cell lines and therefore subject to culture induced changes (see for example Yuan et al. [33] and Choy et al. [34]) which may confound analysis.

Spliceosome mRNA variation and alternative splicing

The finding that collective variation in the mRNA level of the spliceosome genes may account for 21–43% of the variation in alternative splicing of multiple genes enables us to ask if collective behavior of TTGs might have a stronger effect than variation in expression of individual

genes. This is not the case; we compare the 21–43% collective influence to the influence of single
spliceosome genes using a similar analysis framework. We calculated the correlation of variation in
the mRNA levels of individual genes to the average PSI values of RI events across the same
individuals and we observe correlations in both the Caucasian and the Yoruban data (see S14 Table)
that range from -0.698 to 0.652 and R^2 of up to 0.487 with about 1/3 of the single gene correlations
being positive; these figures replicate with a correlation between the two populations of 0.72. The
very complex structure of *trans* target gene covariation combined with the complex biochemistry of
splicing events where changing levels of proteins could stimulate or decrease splicing activities,
suggests that for any set of genes in a pathway the overall effect of expression variation is as likely to
reduce the impact of variation in individual genes as it is to enhance. This highlights the interesting
possibility that the control of gene expression in *trans* should be additionally viewed as a pathway
homeostatic mechanism.

Alzheimer's, Parkinson's and Huntington's disease and oxidative phosphorylation
The enrichment in the combined *trans* target genes for the KEGG functions Alzheimer's
disease (62%, 62% and 68% of 158 KEGG annotated genes, Caucasian, Yoruban and British, Finns,
Toscani populations respectively), Parkinson's diseases (65%, 63% and 69% of 127 genes) and
Huntington's disease (59%, 55%, and 62% of 177 genes) is particularly striking (see S15 Table for
details). In the Yoruban samples of Battle et al. [30] the mRNA levels vary a median of 3.0, 2.9 and
2.9 fold change and protein levels a median of 4.2, 4.4 and 3.9 fold change for AD, PD and HD genes,
respectively. The enrichment is driven in good measure by 58 genes functionally classified as
oxidative phosphorylation which is itself an enriched function (62%, 62% and 68% of 128 genes).
These 58 genes are detected as enriched in the combined *trans* target genes of all 3 populations and
a further 12 oxidative phosphorylation genes are found in TTGs from one or two populations only. In
total an additional 17 genes involved in oxidative phosphorylation are found in one or more of the 3
disease functions in TTGs from one or more populations yielding a total of 88 genes involved in
oxidative phosphorylation out of 265 genes in the combined list of genes involved in one or more
disease categories. The involvement of the mitochondrion in the etiology of all three diseases has
been extensively documented (see Wang et al. [35], Franco-Iborra et al. [36], Turner and Schapira
[37] for reviews of mitochondrial dysfunction and AD, PD and HD respectively and Biffi et al. [38] for
genetic evidence for involvement in AD) and our detection of correlated changes raises the question
of whether this variation results in significant change to mitochondrial function and therefore an
increased susceptibility to, or severity of, disease outcome. It has also been widely discussed (see
Sun et al. [39] for a recent review) that aging processes are associated with changes to mitochondrial
function and properties. This suggests that identifying an effect of the natural covariation of genes
involved in the oxidative phosphorylation pathway could be a significant contribution to
understanding the natural variation of the human aging process.

For the remaining functional categories, pyrimidine metabolism, ribosome, spliceosome,
proteasome, cell cycle, protein processing in endoplasmic reticulum there is relatively little overlap
with the exception of four proteins involved in ubiquitin conjugating and a ubiquitin ligase shared
between protein processing in endoplasmic reticulum and Parkinson's disease (see Ross et al. [40]
for a review of the role of ubiquitin and mitochondrial damage in PD and AD) and 10 RNA
polymerase II subunits shared between pyrimidine metabolism and Huntington's disease.

In each case testing of the relationship of variation in mRNA levels to ultimate biochemical
phenotype will be challenging but we believe that we have defined some potential targets that could
contribute to natural human variation that in turn might have significant impact upon our health.

Materials and Methods

Datasets of mRNA level in human LCLs

1. Lappalainen et al. [2] mRNA-Seq data from LCLs using the Illumina HiSeq2000 platform. Reads per kilobase per million mapped reads (RPKM) values of genes expressed in LCLs from Caucasian (CEU), Yoruba (YRI) and Finns, British and Toscani (FIN+GBR+TSI) individuals were obtained from ArrayExpress [41] under accession number E-GEUV-1. We selected expressed genes defined as non-zero RPKM values across all LCL samples yielding 15,016 genes from CEU, 14,918 genes from YRI and 15,231 genes from FIN+GBR+TSI. Where available, RPKM values of duplicate samples from the same LCL were averaged for each gene. We inspected log10 transformed RPKM distributions for all samples and excluded 1 YRI, 2 FIN and 1 GBR samples with outlier distributions. We included 88 individuals from YRI, 91 individuals from CEU and 279 individuals from FIN+GBR+TSI. 88 individuals were then randomly selected from CEU and FIN+GBR+TSI dataset to make the same sample size for 3 datasets. To correct for confounding effect of gender on mRNA level measurements, linear regression of each mRNA level profile was then applied using R function *lm*.

2. Pickrell et al. [14] mRNA-Seq data from LCLs from 69 Yoruba individuals sequenced at Yale (YRI Yale) and Argonne (YRI Argonne) sequencing center using the Illumina GAII platform, and Montgomery et al. [15] mRNA-Seq data of LCLs from 60 Caucasians (CEU) using the Illumina GAII platform. To quantify mRNA level of genes, for both RNA-Seq data from Pickrell et al. [14] and Montgomery et al. [15] reads were mapped to the human reference genome (GRCh37) using BWA [42] v0.5.9 and Samtools [43] v0.1.12a, and counted using *Rsamtools* [44] *and GenomicRanges* [45] based on human gene annotations from Ensembl Genes 69 [46]. RPKM values were then calculated for each gene. We detected expressed genes including 12,171 genes from YRI Yale, 12,385 genes from YRI Argonne and 9,418 genes from CEU dataset. RPKM values of duplicate samples from the same LCL were averaged for each gene. We inspected log10 transformed RPKM distributions for all samples and excluded 1 YRI Yale, 1 YRI Argonne and 6 CEU samples with outlier distributions. RPKM values with the remaining 68 individuals from YRI Yale, the same 68 individuals from YRI Argonne and 54 individuals from CEU dataset were included in the following analysis. Linear regression of each mRNA level profile in Pickrell et al. [14] was carried out against sample RNA concentration and gender and for Montgomery et al. [15] against gender, in both cases using R function *lm*.

3. Stranger et al. [16] microarray data from LCLs from 109 Caucasian individuals using Illumina's Human-6 Expression BeadChip version 2. Normalized mRNA level values were obtained from ArrayExpress [41] under accession number E-MTAB-198. We detected 15,211 expressed genes from the microarray mRNA level dataset. Linear regression of each mRNA level profile on gender was performed using R function *lm*.

Principal component analysis

To calculate mRNA level matrices X_n with sequentially removed principal components, we performed principal component analysis on mRNA level data for each dataset using R function *svd*.

mRNA correlation analysis

We calculated correlations of all gene pairs from all mRNA level matrices as appropriate using absolute Spearman's correlation coefficient $|\rho|$ and R function *cor*. Gene correlations were considered as significant as described in the paper and listed in S2 Fig and S1 Table. Gene correlation networks were built by connecting gene pairs with correlation above the threshold by edges using *igraph* [47].

Identification of *trans* target genes

We defined *trans* target genes (TTGs) as any gene that can be observed in one or more gene pairs correlating above the threshold from mRNA level data X_n, but not in any gene pairs correlating above the threshold from mRNA level data X_{n+1}. *Trans* target gene pairs (TTGPs) were defined as any gene pair with correlation above the threshold from mRNA level data X_n, but the same gene pair correlating below the threshold from mRNA level data X_{n+1}.

Replication analyses

We used Fisher's exact test using R function *fisher.test* to test whether there is significant overlap of genes or gene pairs between *trans* target genes identified from different data sets, Bonferroni corrected for the number of comparisons.

PEER and GC-content bias correction

PEER was used in processing mRNA level data with 22 unobserved factors (25% of sample size) as recommended in Stegle et al. [20]. To investigate the effect of GC-content bias on the covariation of mRNA level we applied the GC-content bias correction procedure as described in Pickrell et al. [14]

Identification of binding sites of transcription factors

TF binding data for human LCL GM12878 was from the ENCODE (Encyclopedia of DNA Elements) project [22]; hg19 coordinates of TF binding regions were obtained from the "Txn Factor ChIP" track (the wgEncodeRegTfbsClusteredV2.bed.gz file). We used binding regions for 50 of the TFs (see S5 Table) that are consistently expressed in all 3 RNA-Seq datasets. We defined a census promoter region as the 1000 bp upstream to 1000 bp downstream of gene TSS. Overlaps between TF binding regions and gene promoter regions were detected using *GenomicRanges* [45] and gene annotations from Ensembl Genes 69 [46].

Enrichment analysis for TF binding, TF knock down and KEGG pathways

Enrichment tests were performed by upper-tailed hypergeometric test using R function *phyper,* Bonferroni corrected for the number of tests, to determine whether binding of individual, pairs and combinations of TFs are enriched in promoter regions of TTGs, or whether KEGG pathways or genes that are differentially expressed from the TF knockdowns of Cusanovich et al. [5], are significantly enriched in *trans* target genes. We retrieved Ensembl gene IDs for 229 KEGG pathways [48] using *org.Hs.eg.db* [49]. To identify TTGs with mitochondrial localization, the list of 1158 mitochondrial human genes were obtained from MitoCarta2.0 [50].

Analysis of protein-protein interactions

We identified protein-protein interactions from Lage et al. [28]. To test for significance we sampled without replacement the same number of genes as the group of TTGs under test and counted the number of PPIs among them and performed 1000 iterations to estimate a null distribution of numbers of PPIs among the relevant number of proteins. A P value was assigned to the observed number of PPIs in TTGs according to its ranking in the null distribution, Bonferroni corrected for the numbers of groups of genes tested.

Analysis of alternative splicing

RNA-Seq reads for LCLs from 88 Yoruba and 88 Caucasian individuals [2] were mapped to genomic regions of retained intron (RI) or skipped exon (SE) events using TopHat2 [51]. Annotations of RI and SE events were obtained from MISO [31] (specifically the *miso_annotations_hg19_v2* file). Only uniquely mapped reads were considered in the following analysis. To quantify the level of alternative splicing, MISO was used to detect alternative splicing events and calculate the percent spliced in (ψ) values. To test the association between expressions of spliceosome genes in the combined TTGs of the YRI and CEU RNA-Seq data [2] we calculated using R function *svd* the PC1 eigenvector of expression profiles of the spliceosome genes to represent their shared expression

pattern across multiple individuals. Separately we calculated for each sample the median ψ value of
all detected RI or SE events. Associations between the sample median ψ of RI or SE events and the
PC1 eigenvector of expression profiles of spliceosome genes were calculated using Pearson's
correlation coefficient r, which was tested using R function *cor.test* at the significant threshold $P <$
1.25×10^{-2} (Bonferroni corrected for 4 tests).

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983 Supporting Information

S1 Fig. Variability of mRNA level of transcription factors in human LCLs. Variability of mRNA levels
of 777 TFs in LCLs from 88 Caucasian individuals [2]. TF genes were from Vaquerizas et al. [52] and
selected with RPKM > 0.1 in all LCLs. Maximum percent change of individual TFs were calculated by
comparing its minimum and maximum mRNA levels in all LCLs.

S2 Fig. Determination of correlation threshold for gene pairs. (A) To assign P values to gene-pair
correlations we constructed a null distribution of gene-pair correlations using 1000 permutations of
appropriate mRNA level data. For each permutation we recorded the maximum absolute correlation
value $|\rho|$. $P < 0.01$ can be assigned to $|\rho| > 0.64$ (dashed red line) indicating that 1% of correlation
values from the null distribution are larger than 0.64. (B–D) We plot on a PC by PC basis $|\rho|$
corresponding to $P < 0.001$ (red), $P < 0.01$ (green) and $P < 0.05$ (blue) using mRNA level data of LCLs
[2] from Yoruba (B) from Caucasian (C) and from Finns, British and Toscani (D). The assignment of $P <$

0.01 to |ρ|>0.64 is consistent across mRNA level data with sequential removal of top 20 PCs for all 3
datasets.
998

S3 Fig. Numbers of correlating gene pairs in mRNA level data following sequential removal of
principal components. (A) The numbers of gene pairs with |ρ| > 0.64 are plotted against sequential
removal of 79 PCs (x-axis 1–80). (B) We permuted mRNA level data and counted gene pairs with |ρ|
> 0.64 with sequential removal of 79 PCs (x-axis 1–80). We interpret these data to mean there is
substantial correlation of mRNA level in unpermuted data which is based upon covariation
recognised and removed in PC1–20. In contrast, in permuted data there are no gene pairs
correlating above the threshold in PC1–20 and then a steady increase of numbers of gene pairs in
PC20-80.
1007

S4 Fig. Correlation of mRNA levels of PC7 TTGs. (A) Histogram of Spearman's correlation coefficient
for 1,244,253 gene pairs from 1578 genes contained in 6268 *trans* target gene pairs (TTGPs) from
PC7 mRNA level data of lymphoblastoid cell lines from Caucasian individuals [2]. Correlations that
define *trans* target gene pairs are highlighted in red. Correlations of most gene pairs are poor (56%
of all correlations are between -0.2 and 0.2). (B) mRNA correlation network of PC7 TTGPs. Only the
largest connected component containing 1195 genes and 6003 TTGPs (95.8% of all TTGPs) is shown
and we do not display 265 TTGPs contained in 144 connected components containing 2 to 38 genes
(S2 Table). We note a complex clustering of pairwise correlations.
1016

S5 Fig. Overlap of *trans* target genes and *trans* target gene pairs from technical replicates. (A) Top
left panel, *trans* target genes numbers identified in first 10 PCs and the overlap gene numbers
between TTGs from the same 52 individuals analysed by University of Geneva (UNIGE) and by the
Centro Nacional de Análisis Genómico and Centre for Genomic Regulation (CNAG_CRG) taken from
Lappalainen et al. [2]. Lower panel, their corresponding *P* values from Fisher's exact test for the
overlap. Top right panel, *trans* target genes numbers identified and overlap gene numbers between
TTGs from the same 68 Yoruba individuals analysed by the Yale (YRI Yale) and the Argonne (YRI
Argonne) sequencing center [14]. Lower panel, the corresponding *P* values from Fisher's exact test
for the overlap. Overlaps with the best *P* value in a column are highlighted. (B) Overlap of *trans*
target gene pairs identified from the same datasets as shown in (A). The overlaps are extensive and
significant and represent replication between laboratories of *trans* target gene pairs.
1028

S6 Fig. Overlap of *trans* target genes and *trans* target gene pairs from analysis on different
platforms. (A) Top left panel, *trans* target genes numbers identified in first 10 PCs and the overlap
gene numbers between TTGs from the same 47 Caucasian individuals analysed on the Illumina
HiSeq2000 platform [2] and the Illumina GAII platform (data from Pickrell et al. [14]). Lower panel
displays the corresponding *P* values from Fisher's exact test for the overlap. Overlaps with the lowest
P value in a column are highlighted in orange. Top right panel, *trans* target genes numbers identified
in first 10 PCs and the overlap gene numbers between TTGs from the same 80 Caucasian individuals
analysed by RNA-Seq (data from Lappalainen et al. [2]) and microarray (data from Stranger et al.
[16]). Lower panel displays the corresponding *P* values from Fisher's exact test for the overlap;
overlaps with the lowest *P* value in a column are highlighted in orange. (B) Identical 4 panels
displaying the analysis of *trans* target gene pairs (TTGPs). In all cases we see replication of the
identity of both TTGs and TTGPs across the technical replicates with significant changes to the PCs
that define sets of *trans* target genes and *trans* target gene pairs. This is expected since the technical
artefacts associated with each platform are different.
1043

S7 Fig. Overlap of genes, in *trans* target gene sets identified in different populations, whose census promoter regions contain bound TFs. Numbers of combined *trans* target genes are shown that contain a bound TF in their promoter regions, given in capital letters (gene symbols) below each Venn diagram. Combined *trans* target genes are identified using RNA-Seq data of LCLs from Yoruba individuals (blue circle), Caucasian individuals (red circle) and Finns, British and Toscani mixed individuals (green circle) from Lappalainen et al. [2].

S8 Fig. Overlap of genes identified in *trans* target genes from different populations that are enriched in different KEGG pathways. 10 KEGG pathways that are enriched in combined *trans* target genes are shown in Venn diagrams; numbers are the number of genes annotated in the relevant KEGG term. Combined *trans* target genes are identified using RNA-Seq data of LCLs from Yoruba individuals (blue circle), Caucasian individuals (red circle) and Finns, British and Toscani mixed individuals (green circle) from Lappalainen et al. [2].

S1 Table. Summary of correlation thresholds for different datasets. Correlation thresholds for different datasets were calculated using 1000 permutations as described in the main text and Methods. We note that the smaller the sample size the larger the correlation threshold value. CEU: RNA-Seq data of LCLs from Caucasian individuals [2]; YRI: RNA-Seq data of LCLs from Yoruba individuals [2]; FIN+GBR+TSI: RNA-Seq data of LCLs from Finns, British and Toscani mixed individuals [2]. UNIGE, RNA-Seq data of LCLs from 52 individuals from University of Geneva [2]. CNAG_CRG, RNA-Seq data of LCLs from the same 52 individuals from Centro Nacional de Análisis Genómico and Centre for Genomic Regulation [2]. YRI Yale, RNA-Seq data of LCLs from 68 Yoruba individuals from Yale sequencing center [14]. YRI Argonne, RNA-Seq data of LCLs from the same 68 Yoruba individuals from Argonne sequencing center [14]. Illumina HiSeq 2000, RNA-Seq data of LCLs from 47 Caucasian individuals using Illumina HiSeq 2000 platform [2]. Illumina GAII, RNA-Seq data of LCLs from the same 47 Caucasian individuals using Illumina GAII platform [15]. RNA-Seq, RNA-Seq data for LCLs from 80 Caucasian individuals [2]. Microarray, microarray data of LCLs from the same 80 Caucasian individuals [16].

S2 Table. Properties of gene correlation network formed by *trans* target gene pairs. Properties of correlation networks of mRNA levels of TTGs of PC1–20 identified using RNA-Seq data of LCLs from Caucasian (CEU), Yoruba (YRI) and combined Finns, British and Toscani (FIN+GBR+TSI) from Lappalainen et al. [2].

S3 Table. Ensembl gene IDs of TTGs identified from all mRNA level datasets.

S4 Table. Human individual IDs from all mRNA level datasets.

S5 Table. 50 transcription factors and their corresponding antibodies used in ChIP-Seq. ChIP-Seq data from Gerstein et al. [22] is used to classify genes in the analyses presented here.

S6 Table. Enrichment of individual transcription factors bound to cognate promoter regions of *trans* target genes. The table displays the enrichment of individual TFs bound to cognate promoter regions of *trans* target genes defined by a given PC. Census promoter region of a gene is defined as 1000 bp upstream to 1000 bp downstream region of that gene TSS. TF binding locations were taken from ChIP-Seq data of Gerstein et al. [22]. TTGs of PC1–20 were identified using RNA-Seq data of

1090 LCLs from Yoruba (YRI), Caucasian (CEU), and combined Finns, British and Toscani (FIN+GBR+TSI)
1091 from Lappalainen et al. [2].
1092

1093 **S7 Table. 52 transcription factors taken from Cusanovich et al. [5] and used to identify**
1094 **differentially expressed genes following knockdown of individual TF genes.**
1095

1096 **S8 Table. Enrichment of genes identified as differentially expressed following TF knockdown in**
1097 ***trans* target genes.** Differentially expressed (DE) genes following TF knockdown were taken from
1098 Cusanovich et al. [5]. TTGs of PC1–20 were identified using RNA-Seq data of LCLs from Caucasian
1099 (CEU), Yoruba (YRI), and Finns, British and Toscani (FIN+GBR+TSI) from Lappalainen et al [2].
1100

1101 **S9 Table. Enrichment of co-binding transcription factors bound to promoter regions of *trans* target**
1102 **genes.** 20 co-binding TF pairs identified in human LCL were taken from Wang et al. [27]. TTGs of
1103 PC1–20 were identified using RNA-Seq data of LCLs from Caucasian (CEU), Yoruba (YRI), and Finns,
1104 British and Toscani (FIN+GBR+TSI) from Lappalainen et al. [2]. Census promoter region of a gene is
1105 defined as 1000 bp upstream to 1000 bp downstream region of that gene TSS.
1106

S10 Table. Enrichment of combinations of transcription factors bound to promoter regions of *trans*
target genes. (A) Enrichment of combinations of TFs bound to promoter regions of combined TTGs.
Combinations of TFs were identified as in the main text. Combined TTGs were identified using RNA-
Seq data of LCLs from Caucasian (CEU), Yoruba (YRI), and Finns, British and Toscani (FIN+GBR+TSI)
from Lappalainen et al. [2]. The hypergeometric test was used to test the enrichment and only those
with a significant enrichment at $P < 1.3 \times 10^{-3}$ (Bonferroni corrected threshold) in at least one dataset
are shown. N: The number of overlapped genes; P: P value of hypergeometric test. (B) Enrichment of
combinations of TFs bound to promoter regions of TTGs of PC1–20, which were identified using
RNA-Seq data of LCLs from Caucasian (CEU), Yoruba (YRI), and Finns, British and Toscani
(FIN+GBR+TSI) from Lappalainen et al. [2]. Only significant enrichments at $P < 9.8 \times 10^{-5}$ (Bonferroni
corrected threshold) are shown.
1118

1119 **S11 Table. Proteins encoded by *trans* target genes have more protein-protein interactions than**
1120 **expected.** We test whether proteins encoded by TTGs of PC1–20 have more PPIs than chance
1121 expectation using a permutation test. PPIs were taken from Lage et al. [28]. TTGs of PC1–20 were
1122 identified using RNA-Seq data of LCLs from Caucasian (CEU), Yoruba (YRI), and Finns, British and
1123 Toscani (FIN+GBR+TSI) from Lappalainen et al. [2].
1124

1125 **S12 Table. Enrichment of KEGG pathways in *trans* target genes.** Gene annotations for KEGG
1126 pathways were obtained from Kanehisa et al. [48]. TTGs of PC1–20 were identified using RNA-Seq
1127 data of LCLs from Caucasian (CEU), Yoruba (YRI), and Finns, British and Toscani (FIN+GBR+TSI) from
1128 Lappalainen et al [2].
1129

1130 **S13 Table. Expressions of spliceosome genes in LCLs from Yoruba individuals.** 83 spliceosome genes
1131 from combined TTGs, their minimum RPKM, maximum RPKM, fold change between the minimum
1132 (min) and the maximum (max) RPKM is taken from mRNA-Seq of 88 Yoruba individuals (Lappalainen
1133 et al. [2]), and their minimum and maximum protein yield and fold change is taken from analysis of
1134 62 Yoruba individuals in Battle et al. [30].
1135

S14 Table. Association between individual spliceosome genes and alternative splicing of retained
intron events. Both average Ψ (percent spliced in and estimate of isoform expression, see main text)
of retained intron events and mRNA levels of individual spliceosome genes from TTGs were
calculated using RNA-Seq data of LCLs from two populations (CEU: Caucasian; YRI: Yoruba) from
Lappalainen et al. [2]. The genes are those annotated in the spliceosome pathway and present in the
combined TTGs derived from 20 PCs. r, Pearson's correlation coefficient between mRNA levels of a
spliceosome gene and average Ψ of retained intron events calculated for each individual. R^2,
proportion of the variance of average Ψ that can be explained by mRNA levels of a spliceosome
gene.
1145
1146 **S15 Table. Overlap of genes among 10 enriched KEGG pathways, mitochondria localized genes and**
1147 **TTGs.**