1 Stochastic Model of Neuronal Outgrowth Movement: UNC-5 (UNC5) Regulates the Length

2 and Number of Neuronal Processes in *Caenorhabditis elegans*

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24 Abstract

25 Neurons extend processes that vary in number, length, and direction of outgrowth.

26 Extracellular cues help determine the patterning of outgrowth. In *Caenorhabditis elegans*, neurons respond to the extracellular UNC-6 (netrin) cue via UNC-40 (DCC) and UNC-5 (UNC5) 27 receptors. We have postulated that UNC-40 undergoes stochastically orientated asymmetric 28 localization (SOAL) within neurons. Extracellular cues govern the probability of UNC-40 29 localizing and mediating outgrowth at points along the surface of the neuron. For each instance 30 of time there is a probability that UNC-40-mediated outgrowth will occur in a specific direction 31 and so over time the direction of outgrowth fluctuates. Random walk modeling predicts that 32 the degree of fluctuation affects the extent of outgrowth movement. Therefore, different 33 patterns of outgrowth could be caused by regulating UNC-40 SOAL. Here we present evidence 34 that UNC-5 (UNC5) receptor activity regulates UNC-40 SOAL and affects the length and number 35 of processes that neurons develop. We find that loss of UNC-5 function increases the 36 probability of UNC-40-mediated outgrowth in different directions, thereby increasing the 37 degree of fluctuation. Consistent with the model, in *unc-5* loss-of-function mutants neurons fail 38 to extend processes to full length or fail to develop multiple processes. We further show 39 genetic interactions that suggest the UNC-5 and SAX-3 (Robo) receptors, and the cytoplasmic 40 proteins, UNC-53 (NAV2), MIG-15 (NIK kinase), and MADD-2 (TRIM), function through specific 41 signaling pathways to regulate UNC-40 SOAL in response to the UNC-6 and EGL-20 (wnt) 42 extracellular cues. We propose genes influence the patterning of neuronal outgrowth by 43 regulating the SOAL process. 44

45 Introduction

During development, an intricate network of neuronal connections is established. As processes
extend from the neuronal cell bodies, distinct patterns of outgrowth emerge. Some extensions
remain as a single process, whereas others branch and form multiple processes. If they branch,
the extensions can travel in the same or in different directions. Processes vary in length.
Extracellular cues are known to influence this patterning, but the underlying logic that governs
the formation of patterns remains a mystery.

52

The secreted extracellular UNC-6 (netrin) molecule and its receptors, UNC-5 (UNC5) and UNC-40 53 (DCC) are highly conserved in invertebrates and vertebrates, and are known to play key roles in cell 54 and axon migrations. In *Caenorhabditis elegans*, UNC-6 is produced by ventral cells in the midbody 55 and by glia cells at the nerve ring in the head (WADSWORTH et al. 1996; WADSWORTH AND 56 HEDGECOCK 1996; ASAKURA et al. 2007). It's been observed that neurons that express the receptor 57 UNC-40 (DCC) extend axons ventrally, towards the UNC-6 sources; whereas neurons that express 58 the receptor UNC-5 (UNC5) alone or in combination with UNC-40 extend axons dorsally, away from 59 the UNC-6 sources (HEDGECOCK et al. 1990; LEUNG-HAGESTEIJN et al. 1992; CHAN et al. 1996; 60 WADSWORTH et al. 1996). 61

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It is commonly proposed that axons are guided by attractive and repulsive mechanisms (Tessier-Lavigne and Goodman 1996). According to this model, extracellular cues act as attractants or repellants to direct neuronal outgrowth towards or away from sources of the cues. UNC-5 (UNC5) has been described as a "repulsive" netrin receptor because it mediates guidance away from netrin sources (Leung-Hagesteijn et al. 1992; Hong et al. 1999; Keleman and Dickson 2001; Moore et al. 2007). The attraction and repulsion model is deterministic. That is, given the same

69	conditions, the response of the neuron, attractive or repulsive, will always be the same. As
70	such, the neuronal response to a particular cue is thought to be mediated by attractive or
71	repulsive signaling pathways. In genetic studies, a mutation that disrupts movement towards
72	the cue source denotes gene function within an attractive pathway, whereas mutations that
73	disrupt movement away from a source denotes gene function within a repulsive pathway.
74	Furthermore, the model predicts that the responsiveness of a neuron must switch from
75	attractive to repulsive if the axonal growth cone move towards and then away from the source
76	of a cue.

77

We have proposed an alternative model in which the movement of neuronal outgrowth is 78 established through a stochastic process. This model is based on evidence indicating that the 79 asymmetrical localization of UNC-40 in the neuron is self-organizing and that the surface to 80 which UNC-40 localizes and mediates outgrowth is stochastically determined (Figure 1A) (XU et 81 al. 2009; KULKARNI et al. 2013). Because of this process, which we name "stochastically 82 orientated asymmetric localization" (SOAL), there is a probability that UNC-40-mediated 83 outgrowth will take place at each point along the surface of the neuron. At any instance of time, 84 extracellular cues govern the probability of UNC-40-mediated outgrowth at each point 85 (KULKARNI et al. 2013; TANG AND WADSWORTH 2014; YANG et al. 2014). Outgrowth extension can 86 be envisioned as a series of steps, where at each step extracellular cues control the probability 87 for outgrowth in each direction from the point on the surface. This means that over time, the 88 direction of outgrowth movement fluctuates. Such movement can be mathematically described 89 as a random walk, *i.e.* a succession of randomly directed steps (Figure 1B). Random walk 90 movement is diffusive and one property of diffusive motion is that the mean square 91 displacement grows proportionate to the time traveled. Consequently, the more the direction 92 of movement fluctuates, the shorter the distance of travel is in a given amount of time (Figure 93

1B). In this paper, we propose that UNC-5 helps regulate this fluctuation and, therefore, the extent of outgrowth movement (Figure 1A). In the neuron, this diffusive motion occurs at the micro-scale as innumerable forces act upon the membrane. An increase in diffusive motion at the micro-scale might be observed at the macro-scale as a decrease in the rate of outgrowth.

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In this model, guidance cues promote or inhibit outgrowth but they do not intrinsically cause 99 an attractive or repulsive directional response. This is because the direction of outgrowth is 100 determined by a directional bias that is created over time by the combined effect of 101 extracellular cues. In the example illustrated in Figure 2A, a neuronal protrusion experiences 102 an extracellular guidance cue(s) (orange) that is present in a posterior (right) to anterior (left) 103 increasing concentration gradient. This cue acts together with other cues (purple and blue) 104 that set the probability of outgrowth in the dorsal and ventral directions. As long as the 105 probabilities of dorsal and ventral outgrowth are equal, a bias for anterior movement over time 106 is created. For example, at time 1 (Figure 2A top) the probability of anterior outgrowth is 0.8. 107 whereas the probability of dorsal outgrowth is 0.1 and probability of ventral outgrowth is 0.1. 108 As the protrusion travels in the anterior direction it encounters higher levels of the cue. Even if 109 the cue inhibits outgrowth, an anterior directional bias towards the source of that cue can be 110 maintained. For example, at time 2 (Figure 2B bottom), the probability of anterior outgrowth 111 may have been reduced to 0.4 because of the inhibitory effect of the cue. Assuming that 112 signaling mechanisms within the neuron remain relatively constant as the protrusion 113 transverses this environment, the probabilities of dorsal and ventral outgrowth will increase 114 (the sum of the probabilities must equal one because of SOAL). Therefore, the probability of 115 dorsal outgrowth will be 0.3 and the probability of ventral outgrowth will be 0.3 in this 116 example. While this increases the back and forth fluctuation that occurs perpendicular to the 117

direction of outgrowth, it does not change the directional bias that occurs over time and
movement will still be towards the inhibitory cue.

120

The model makes predictions about patterns of outgrowth in response to extracellular cues. In 121 this example, the neuron's response to the extracellular cues does not have an effect on the 122 directional bias. However, random walk modeling (shown above each diagram and explained 123 in detail later) predicts that a change in the degree to which the direction of outgrowth 124 movement fluctuates will alter the extent of outgrowth. The pattern of outgrowth can be 125 influenced by how great the fluctuation becomes as outgrowth moves towards a source of a 126 cue. For example, if the fluctuation becomes significant as a protrusion moves towards the 127 source, outgrowth will stall before reaching the source. However, if the fluctuation is not great 128 enough at the source of the cue, outgrowth could continue to move forward. This is because the 129 probability of movement in the opposite, inward, direction is low. For instance, a probability of 130 0.33 anterior, 0.33 dorsal, and 0.33 ventral outgrowth still creates an anterior directional bias. 131 Therefore, in contrast to the attraction and repulsion model, this model does not predict that 132 movement across a source of a cue requires the neuron to switch its responsiveness to the cue. 133

134

In the discussion above, a protrusion moves toward the source of an inhibitory cue. However, a
similar prediction can be made for movement towards the source of a cue that promotes
outgrowth. Assuming that receptor levels at each surface and signaling mechanisms remain
relatively constant as the protrusion transverses this environment, the probabilities of dorsal
and ventral outgrowth will increase as more receptors at these surfaces are stimulated due to
an increasing concentration of the cue. Correspondingly, the probability of outgrowth at the

anterior surface will decrease because of SOAL. As with the case of the inhibitory cue, the
result is an increase in the degree to which the direction of outgrowth fluctuates.

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The SOAL model makes predictions about how guidance genes might affect outgrowth 144 patterning. The SOAL process allows the direction of outgrowth activity to fluctuate. In Figure 145 2, the relative degree to which UNC-40-mediated (red) and nonUNC-40-mediated (green) 146 outgrowth activity fluctuates under different circumstances is depicted by the extent to which 147 the colored areas cover the neuron's surface. Extracellular cues can influence the degree to 148 which the direction of outgrowth fluctuates (Figure 2A). Likewise, mutations that disrupt the 149 UNC-40 SOAL process could also change the degree to which the direction of UNC-40-mediated 150 outgrowth fluctuates. By altering the extent of outgrowth movement, these genes could 151 regulate the length of a neuron's extension (Figure 2B). In addition to length, the model 152 predicts that the number of processes may be affected. Since extracellular cues are not 153 uniformly distributed across a neuron's surface, the extent of outgrowth movement could vary 154 along the leading-edge surface of a neuron, leading to multiple outgrowths extending in the 155 same direction. Such phenotypes might not have been associated with guidance genes since 156 most genetic studies have focused on only whether movement is disrupted towards or away 157 from the source of a cue. UNC-5, in particular, has been regarded as the quintessential 158 repulsive guidance receptor and *unc-5* mutations are most often interpreted using this 159 perspective. 160

161

Because we observed that UNC-5 affects UNC-40 SOAL (KULKARNI *et al.* 2013), we hypothesized that *unc-5* might regulate the length and number of neuronal processes. Therefore, we decided to look at neuronal outgrowth patterns in *unc-5* mutants for changes in the length and number

165	of processes. In particular, we focused on outgrowth that was not directed away from UNC-6
166	sources since the SOAL model predicts that these outgrowth patterns are not caused by a
167	repulsion mechanism and that they should occur independently of the direction of outgrowth.
168	We further reasoned that if <i>unc-5</i> regulates this type of patterning then UNC-40 SOAL might be
169	regulated by specific genetic pathways. We therefore asked whether genetic interactions
170	between <i>unc-5</i> and other guidance genes can affect UNC-40 SOAL.
171	
172	In this paper, we present genetic evidence that UNC-5 regulates the length and number of
173	processes that neurons development. We argue that these results can be interpreted using the
174	SOAL model. We also show genetic interactions that suggest the UNC-5 and SAX-3 (Robo)
175	receptors, and the cytoplasmic proteins, UNC-53 (NAV2), MIG-15 (NIK kinase), and MADD-2
176	(TRIM), function through specific signaling pathways to regulate UNC-40 SOAL in response to
177	the UNC-6 and EGL-20 (wnt) extracellular cues. Together these results suggest that the SOAL
	the one o and Edil 20 (while) extracentular edes. Together these results suggest that the some

180 Materials and Methods

181 Strains

- 182 Strains were handled at 20 °C using standard methods (Brenner, 1974) unless stated
- otherwise. A Bristol strain N2 was used as wild type. The following alleles were used: LGI, unc-
- 184 40(e1430), unc-40(ur304), zdIs5[mec-4::GFP]; LGII, unc-53(n152); LGIV, unc-5(e152), unc-
- 185 5(e53), unc-5(ev480), unc-5(ev585),egl-20(n585), kyIs262[unc-86::myr-GFP;odr-1::dsRed]; LGIV,
- 186 madd-2(ky592), madd-2(tr103); LGX, mig-15(rh148), unc-6(ev400), sax-3(ky123), sax-3(ky200).
- 187 Transgenes maintained as extrachromosomal arrays included: *kyEx1212 [unc-86::unc-40-*
- 188 *GFP;odr-1::dsRed].*
- 189

190 Analysis of axon outgrowth and cell body position

HSN neurons were visualized using expression of the transgene *kyls262[unc-86::myr-GFP]*. The
mechanosensory neurons, AVM, ALM, and PLM, were visualized using the expression of the
transgene *zdIs5[Pmec-4::GFP]*. Synchronized worms were obtained by allowing eggs to hatch
overnight in M9 buffer without food. The larval stage was determined by using differential
interference contrast (DIC) microscopy to examine the gonad cell number and the gonad size.
Staged larvae were mounted on a 5% agarose pad with 10 mM levamisole buffer. Images were
taken using epifluorescent microscopy with a Zeiss 63X water immersion objective.

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The number of processes during early L1 larval stage was scored by counting the number of processes that extended for a distance greater than the length of one cell body. We report instances in which there were no such processes, one process or more than one processes. In the L2 larval stage, a single early process was scored if there was only one major extension from the ventral leading edge. The HSN cell body in L2 stage larvae was scored as dorsal if the

204	cell body had failed to migrate ventrally and was not positioned near the PLM axon. In L4 stage
205	larvae, a multiple ventral processes phenotype was scored if more than one major extension
206	protruded from the ventral side of cell body.

207

Extension into the nerve ring was scored as defective if the axon did not extend further than

approximately half the width of the nerve ring. Anterior extension was scored as defective if

the axon did not extend further anteriorly than the nerve ring. PLM axons are scored as over-

extending if they extended further anterior than the position of the ALM cell body.

212

213 Analysis of the direction of HSN outgrowth

HSN was visualized using the transgene kyIs262[unc-86::myr-GFP]. L4 stage larvae were
mounted on a 5% agarose pad with 10 mM levamisole buffer. An anterior protrusion was
scored if the axon extended from the anterior side of the cell body for a distance greater than
the length of three cell bodies. A dorsal or posterior protrusion was scored if the axon extended
dorsally or posteriorly for a distance greater than two cell body lengths. HSN was considered
multipolar if more than one process extended a length greater than one cell body. Images were
taken using epifluorescent microscopy with a Zeiss 40X objective.

221

Analysis of the UNC-40::GFP localization in L2 stage animal

223 For analysis of UNC-40::GFP localization, L2 stage larvae with the transgenic marker

kyEx1212[unc-86::unc-40::GFP; odr-1::dsRed] were mounted on a 5% agarose pad with 10 mM

levamisole buffer. Staging was determined by examining the gonad cell number and the gonad

- size under differential interference contrast (DIC) microscopy. Images were taken using
- 227 epifluorescent microscopy with a Zeiss 63X water immersion objective. The UNC-40::GFP

localization was determined by measuring the average intensity under lines drawn along the 228 dorsal and ventral edges of each HSN cell body by using ImageJ software. For analysis of the 229 anterior-posterior orientation of UNC-40::GFP, the dorsal segment was geometrically divided 230 into three equal lengths (dorsal anterior, dorsal central and dorsal posterior segments). The 231 line-scan intensity plots of each of these segments were recorded. ANOVA test was used to 232 determine if there is a significant difference between intensities of the three segments. The 233 dorsal distribution was considered uniform if $p \ge 0.05$ and was considered asymmetrical if 234 $p \le 0.05$. Within an asymmetric population, the highest percent intensity was considered to 235 localize UNC-40::GFP to either anterior, posterior or central domain of the dorsal surface. 236 237

238 **Computations**

A program to simulate a two-dimensional lattice random walk based on the probability of 239 dorsal, ventral, anterior, and posterior outgrowth for a mutant (Table 1) was created using 240 MATLAB. (The directions of the axons from multipolar neurons were not scored. These axons 241 appear to behave in the same manner as the axons from monopolar neurons, but this has not 242 yet been tested.) The probability of dorsal, ventral, anterior, or posterior outgrowth was 243 assigned for the direction of each step of a random walk moving up, down, left or right, 244 respectively. Each variable is considered independent and identically distributed. Simulations 245 of 500 equal size steps (size =1) were plotted for 50 tracks (Figure 1B, 5B and 6B inserts). A 246 Gaussian distribution for the final positions of the tracks was generated using Matlab's random 247 function (Figure 6). 248

249

250 The mean squared displacement (MSD) is used to provide a quantitative characteristic of the

- ²⁵¹ motion that would be created by the outgrowth activity undergoing the random walk. Using
- the random walks generated for a mutant the MSD can be calculated:
- 253 $msd(\tau) = \langle [r(t + \tau) r(t)]^2 \rangle$
- Here, r(t) is the position at time t and τ is the lag time between two positions used to calculate the displacement, $\Delta r(\tau) = r(t+\tau) - r(t)$. The time-average over t and the ensemble-average over
- the 50 trajectories were calculated. This yields the MSD as a function of the lag time. A
- coefficient giving the relative rate of diffusion was derived from a linear fit of the curve. The
- ²⁵⁸ first two lag time points were not considered, as the paths often approximate a straight line at
- 259 short intervals.

260 **Results**

261 UNC-5 regulates the pattern of outgrowth from the HSN neuron

To investigate whether UNC-5 activity can regulate the length or number of processes that a 262 neuron can develop when outgrowth is towards an UNC-6 source, we examined the 263 development of the HSN axon in *unc-5* mutations. The HSN neuron sends a single axon to the 264 ventral nerve cord, which is a source of the UNC-6 cue (WADSWORTH et al. 1996; ADLER et al. 265 2006: ASAKURA et al. 2007). Axon formation is dynamic (ADLER et al. 2006). Shortly after 266 hatching, HSN extends short neurites in different directions. These neurites, which dynamically 267 extend and retract filopodia, become restricted to the ventral side of the neuron where a 268 leading edge forms. Multiple neurites extend from this surface until one develops into a single 269 axon extending to the ventral nerve cord. Measurements of growth cone size, maximal length, 270 and duration of growth cone filopodia indicate that UNC-6, UNC-40, and UNC-5 control the 271 dynamics of protrusion (Norris and Lundquist 2011). 272

273

We observe that in *unc-5* mutants, the patterns of extension are altered. In wild-type animals at the 274 L1 stage of development most HSN neurons extends more than one short neurite, however in unc-275 5(e53) mutants nearly half the neurons do not extend a process (Figures 3A and 3B). During the L2 276 stage in wild-type animals a prominent ventral leading edge forms and the cell body undergoes a 277 short ventral migration that is completed by the L3 stage. By comparison, in *unc-5* mutants the cell 278 body may fail to migrate and instead a single large ventral process may form early during the L2 279 stage (Figures 3A, 3C and 3E). It may be that the ventral migration of the HSN cell body requires the 280 development of a large leading edge with multiple extensions. Together the observations indicate 281 that loss of unc-5 function affects the patterning of outgrowth, *i.e.* the timing, length, and number of 282 extensions that form. Loss of unc-5 function does not prevent movement, in fact, a single large 283

ventral extension can form in the mutant at a time that is even earlier than when a single ventral
extension can be observed in wildtype.

286

287	We tested four different <i>unc-5</i> alleles in these experiments. The <i>unc-5(e53)</i> allele is a putative
288	molecular null allele, <i>unc-5(ev480)</i> is predicted to truncate UNC-5 after the cytoplasmic ZU-5
289	domain and before the Death Domain, <i>unc-5(e152)</i> is predicted to truncate UNC-5 before the
290	ZU-5 domain and Death Domain, and <i>unc-5(ev585)</i> is a missense allele that affects a predicted
291	disulfide bond in the extracellular Ig(C) domain (KILLEEN <i>et al.</i> 2002). Although both the <i>unc</i> -
292	5(ev480) and unc-5(e152) are predicted to cause premature termination of protein translation
293	in the cytodomain, the <i>unc-5(e152)</i> product retains the signaling activity that prevents these
294	phenotypes. Based on other phenotypes, previous studies reported that the <i>unc-5(e152)</i> allele
295	retains UNC-40-dependent signaling functions (MERZ et al. 2001; KILLEEN et al. 2002).

296

UNC-5 is required for the induction of multiple HSN axons by UNC-6ΔC and a *mig-15* mutation

The results above suggest that UNC-5 activity can regulate the number of HSN extensions that form. To further test this hypothesis, we checked whether loss of UNC-5 function can suppress the development of additional processes that can be induced. Previously we reported that expression of the N-terminal fragment of UNC-6, UNC-6ΔC, induces excessive branching of ventral nerve cord motor neurons and that UNC-5 can suppress this branching (LIM *et al.* 1999). We now report that HSN develops an extra process in response to UNC-6ΔC and that UNC-5 suppresses the development of this extra process (Figures 3D and 3F).

307	To investigate whether this UNC-5 activity might involve known effectors of asymmetric neuronal
308	outgrowth, we tested for genetic interactions between <i>unc-5</i> and both <i>mig-10</i> and <i>mig-15</i> . MIG-10
309	(lamellipodin) is a cytoplasmic adaptor protein that can act cell-autonomously to promote UNC-40-
310	mediated asymmetric outgrowth (ADLER et al. 2006; CHANG et al. 2006; QUINN et al. 2006; QUINN
311	et al. 2008; MCSHEA et al. 2013). MIG-15 (NIK kinase) is a cytoplasmic protein and evidence
312	indicates that <i>mig-15</i> functions cell-autonomously to mediate a response to UNC-6 (POINAT et al.
313	2002; TEULIÈRE et al. 2011). It's proposed that mig-15 acts with unc-5 to polarize the growth cone's
314	response and that it controls the asymmetric localization of MIG-10 and UNC-40 (TEULIÈRE et al.
315	2011; YANG et al. 2014). We previously noted that HSN neurons often become bipolar in mig-15
316	mutants and frequently UNC-40::GFP is localized to multiple surfaces in a single neuron, suggesting
317	that loss of MIG-15 enhances the ability of UNC-40::GFP to cluster (YANG et al. 2014). In our
318	experiments we used the mig-10 (ct141) loss-of-function allele (MANSER AND WOOD 1990; MANSER
319	et al. 1997) and the mig-15(rh148) allele, which causes a missense mutation in the ATP-binding
320	pocket of the kinase domain and is a weak allele of <i>mig-15</i> (SHAKIR <i>et al.</i> 2006; CHAPMAN <i>et al.</i>
321	2008).

322

We find that the extra processes induced by UNC-6ΔC expression are suppressed by *mig- 10(ct141)* (Figures 3F). We also find that the *mig-15* mutation causes extra HSN processes and
that the loss of UNC-5 function suppresses these extra HSN processes (Figures 3F and 3G).
These results support the hypothesis that the ability of UNC-5 to regulate the development of
multiple protrusions involves the molecular machinery that controls UNC-40-mediated
asymmetric neuronal outgrowth.

329

330 UNC-5 is required for PLM overextension

331	The SOAL model predicts that the ability of UNC-5 to regulate the length and number of neural
332	protrusions is independent of the direction of outgrowth. HSN sends a single axon ventrally, while
333	PLM sends an axon anteriorly from a posteriorly positioned cell body. The HSN axon travels
334	towards UNC-6 sources, whereas the PLM axon pathway is perpendicular to UNC-6 sources. To
335	investigate whether UNC-5 activity can regulate the length or number of processes that develop
336	perpendicular to UNC-6 sources we examined the development of the PLM axon. We also chose
337	PLM because UNC-5 is already known to affect the length of the PLM axon. It was previously
338	reported that in <i>rpm-1</i> mutants the PLM axon will overextend in the anterior direction and that
339	this phenotype can be suppressed by <i>sax-3</i> and <i>unc-5</i> loss-of-function mutations (LI <i>et al.</i> 2008).
340	In <i>rpm-1</i> loss-of-function mutations there is an increase in the level of UNC-5::GFP expression,
341	suggesting that the level of UNC-5 within PLM is important for controlling overextension.
342	However, the overextension in the <i>rpm-1</i> mutant is not suppressed by a loss-of-function <i>unc-40</i>
343	mutation (LI et al. 2008), suggesting that the overextension does not involve UNC-40 SOAL, but
344	rather another outgrowth activity that is nonUNC-40-mediated.
345	

Given that UNC-5 activity is involved in the overextension of the PLM axon, and that the *mig-15* 346 mutation affects HSN outgrowth in an UNC-40 dependent fashion, we decided to test whether 347 PLM overextension might be induced by the *miq-15* mutation in an UNC-40-dependent fashion. 348 The HSN results suggest that altering *mig-15* function creates a sensitized genetic background. 349 That is, the *unc-5(ev480*) mutation suppresses HSN outgrowth extension in both the wild-type 350 and *mig-15(rh148*) backgrounds, but the *mig-15* mutation creates a stronger patterning 351 phenotype. This idea is supported by the evidence that the *mig-15* mutation enhances the 352 ability of UNC-40 to localize at surfaces (YANG et al. 2014). 353

354

355	We find that in <i>mig-15(rh148)</i> mutants the PLM axon often fails to terminate at its normal
356	position and instead extends beyond the ALM cell body. This overextension is suppressed in
357	<i>unc-5(e53);mig-15(rh148)</i> and <i>unc-40(e1430);mig-15(rh148)</i> mutants (Figures 4A and 4B).
358	The results are consistent with the idea that UNC-5 is required for the UNC-40-mediated
359	outgrowth activitythat causes overextension in <i>mig-15(rh148)</i> mutants.

360

361 UNC-5 is required for ALM and AVM branching and extension

We also investigated the effect of UNC-5 activity on patterning where sources of UNC-6 and 362 other cues are in a more complex arrangement. Specifically, we examined whether UNC-5 plays 363 a role in the outgrowth of AVM and ALM processes at the nerve ring. During larval 364 development, processes from the AVM neuron and the two ALM neurons (one on each side of 365 the animal) migrate anteriorly to the nerve ring at dorsal and ventral positions respectively 366 (Figure 4C). At the nerve ring each axon branches: one branch extends further anteriorly and the 367 other extends into the nerve ring. Evidence suggests that at the midbody of the animal the 368 positioning of these axons along the dorsal-ventral axis requires UNC-6, UNC-40, and UNC-5 369 activity. In *unc-6*, *unc-40*, and *unc-5* null mutants, or when the UNC-6 expression pattern is altered, 370 the longitudinal nerves are mispositioned (REN et al. 1999). Glia cells and neurons at the nerve ring 371 are sources of UNC-6 (WADSWORTH et al. 1996). The guidance of some axons in the nerve ring are 372 disrupted in *unc-6* and *unc-40* mutants (HAO *et al.* 2001; YOSHIMURA *et al.* 2008). The precise 373 spatial and temporal arrangement of the UNC-6 cue in relationship to the position of the 374 migrating growth cones is not fully understood. Nevertheless, the anteriorly migrating growth 375 cones appear to use the UNC-6 cue from the ventral sources to help maintain the correct dorsal-376 ventral position, even while moving towards the nerve ring, which is a new source of UNC-6 377 that is perpendicular to the ventral source. At the nerve ring the axons branch. One process 378

continues anteriorly, moving past the new UNC-6 source, whereas the other projects at a right
 angle and moves parallel to the new source.

381

382	We find genetic interactions involving <i>unc-5, unc-40,</i> and <i>mig-15</i> that affect outgrowth
383	patterning of the ALM and AVM extensions at the nerve ring (Figures 4C, 4D, and 4E). In <i>mig-</i>
384	15(rh148);unc-5(e53) mutants, the AVM axon often fails to extend anteriorly from the branch
385	point and only extends into the nerve ring, or it fails to extend into the nerve ring and only
386	extends anteriorly, or it fails to do both and terminates at this point. In unc-40(e1430) mutants,
387	the axon often fails to branch into the nerve ring, although it extends anteriorly. In comparison,
388	in <i>unc-40(e1430);mig-15(rh148)</i> mutants more axons extend into the nerve ring. These results
389	suggest that UNC-5 helps regulate UNC-40-mediated outgrowth to pattern the outgrowth at the
390	nerve ring.

391

Interactions between *unc-5* and other genes affect the probability of HSN extension in each direction

We hypothesize that there are interactions between *unc-5* and other genes that control the 394 degree to which the direction of outgrowth fluctuates. In the SOAL model, the collective effect 395 of all the cues that promote and inhibit outgrowth set a probability of outgrowth at each 396 surface at each instance of time. As the direction of outgrowth fluctuates over time, a bias is 397 created that determines directionality. Since the direction of outgrowth is stochastically 398 determined, gene activity is not inherently associated with movement in a specific direction. 399 This model differs from the attraction and repulsion model where gene activity is assayed 400 based on direction, *i.e.* the direction of movement relative to the source of a cue. The attraction 401 and repulsion model places genes into attractive or repulsive pathways. We hypothesize that 402

unc-5 and other genes might instead be ordered into pathways based on how they affect the
 fluctuation of outgrowth activity.

405

Probability distributions for the direction of outgrowth are used to study how genes affect the 406 fluctuation of outgrowth activity. By comparing the distributions created from wild-type and 407 mutant animals, the relative effect that genes have on the fluctuation can be determined. To 408 accomplish this, the direction that the HSN axon initially projects from the cell body is scored 409 (Figure 5A). We reason that the initial development of an axon is the result of the collective 410 impact of all the individual outgrowth movements that take place during the initial formation of 411 the axon. In wildtype, there is a high probability for outgrowth in the ventral direction at each 412 instance of time. As such, there is little fluctuation over time and a strong bias for ventrally 413 directed outgrowth is created. A mutation can cause a lower probability of outgrowth in the 414 ventral direction and a higher probability for outgrowth in other directions. This creates 415 greater fluctuation over time and a weaker bias of ventrally directed outgrowth. For this assay, 416 all the outgrowth movement during the initial axon extension is treated as a single event, *i.e.* the 417 movement of the membrane occurs in a single step from the cell body to a position that is at a 418 set length from the cell body (see Materials and Methods). This step is in the anterior. 419 posterior, dorsal, or ventral direction. Thus, direction is the random variable which takes a 420 value of anterior, posterior, dorsal, or ventral. We can determine the probability of occurrence 421 for each value by scoring many individual animals for each strain. 422

423

Using this assay, we tested for genetic interactions between *unc-5* and four other genes; *elg-20*, *sax-3, madd-2*, or *unc-6*. We have chosen these particular genes because previous observations
suggest interactions. 1) EGL-20 (Wnt) is a secreted cue expressed from posterior sources (PAN

427	et al. 2006) and it affects to which surface of the HSN neuron the UNC-40 receptor localizes and
428	mediates outgrowth (KULKARNI et al. 2013). Based on a directional phenotype, a synergistic
429	interaction between <i>unc-5</i> and <i>egl-20</i> has been observed. In either <i>unc-5</i> or <i>egl-20</i> mutants the
430	ventral extension of AVM and PVM axons is only slightly impaired, whereas in the double
431	mutants there is much greater penetrance (LEVY-STRUMPF AND CULOTTI 2014). 2) SAX-3(Robo) is
432	a receptor that regulates axon guidance and is required for the asymmetric localization of UNC-
433	40 in HSN (Tang and Wadsworth 2014). Based on a directional phenotype, SAX-3 and UNC-40
434	appear to act in parallel to guide the HSN towards the ventral nerve cord (XU <i>et al.</i> 2015). 3)
435	MADD-2 is a cytoplasmic protein of the tripartite motif (TRIM) family that potentiates UNC-40
436	activity in response to UNC-6 (Alexander et al. 2009; Alexander et al. 2010; Hao et al. 2010;
437	MORIKAWA et al. 2011; Song et al. 2011; WANG et al. 2014). MADD-2::GFP and F-actin colocalize
438	with UNC-40::GFP clusters in the anchor cell (WANG et al. 2014). 4) Of course, UNC-6 is an UNC-
439	5 ligand. DCC (UNC-40) and UNC5 (UNC-5) are thought to act independently or in a complex to
440	mediate responses to netrin (UNC-6) (Colavita and Culotti 1998; Hong et al. 1999; MacNeil et
441	<i>al.</i> 2009; Lai Wing Sun <i>et al.</i> 2011).

442

In a test for interaction with *egl-20*, we find that in comparison to *unc-5(e53)* or *egl-20(n585)*mutants, the *unc-5(e53);egl-20(n585)* double mutant have a lower probability for ventral
outgrowth and higher probability for outgrowth in other directions (Table 1). This suggests
that *unc-5* and *egl-20* may act in parallel to achieve the highest probability for HSN ventral
outgrowth, *i.e.* they act to prevent UNC-40-mediated outgrowth from fluctuating in other
directions.

450	In a test for interaction with <i>sax-3</i> , we find that the probability of outgrowth in each direction in
451	unc-5(e53);sax-3(ky200) mutants is similar to the probabilities in sax-3(ky200) or sax-3(ky123)
452	mutants (Table 1). Given the results with <i>unc-5</i> and <i>egl-20</i> , we further tested the probability of
453	outgrowth in each direction in <i>egl-20(n585);sax-3(ky123)</i> mutants. We find that it is similar to
454	the probabilities in <i>sax-3(ky200)</i> or <i>sax-3(ky123)</i> mutants (Table 1). The <i>sax-3(ky123)</i> allele
455	results in a deletion of the signal sequence and first exon of the gene, whereas sax-3(ky200)
456	contains a missense mutation which is thought to cause protein misfolding and mislocalization
457	at the restrictive temperature (25°C) (ZALLEN et al. 1998; WANG et al. 2013). The egl-
458	20(n585);sax-3(ky123) mutants do not grow well and so it is easier to use the temperature sensitive
459	<i>sax-3</i> allele. Together, the results suggest that <i>sax-3</i> may be required for both the <i>unc-5-</i> and the
460	<i>egl-20</i> -mediated activities that allow the highest probability for HSN ventral outgrowth.
461	
462	In a test for interaction with <i>madd-2</i> , we find that the probability of outgrowth in each direction in

unc-5(e53);madd-2(tr103) mutants is similar to the probabilities in *madd-2(tr103)* mutants (Table 1).
 There is a higher probability for anterior HSN outgrowth, similar to what is observed in *unc-*

465 *40(e1430)* mutants. These results suggest that *madd-2* might be required for the *unc-40*

466 outgrowth activity. The probability of outgrowth in each direction in *madd-2(tr103);sax-*

467 *3(ky123)* mutants is similar to the probabilities in *sax-3(ky200)* or *sax-3(ky123)* mutants (Table

1). The *madd-2(tr103)* allele appears to act as a genetic null (ALEXANDER *et al.* 2010).

469

In a test for interaction with *unc-6*, we find that the probability of outgrowth in each direction in *unc-5(e53);unc-6(ev400)* and *unc-40(e1430);unc-5(e53)* mutants is similar to the probabilities in *unc-6(ev400)* mutants insofar as there is a lower probability for ventral outgrowth and a higher probability for anterior outgrowth (Table 1). However, the probabilities in each

474	direction are closer to those obtained from the <i>unc-40(e1430)</i> mutants because the probability
475	of anterior outgrowth is lower in these mutants than in <i>unc-6</i> mutants. This suggest that UNC-5
476	and UNC-40 might help increase the probability of anterior outgrowth in the absence of UNC-6.
477	
478	Taken together, these results support the hypothesis that there are genetic interactions that
479	regulate the degree to which the direction of outgrowth fluctuates. Rather than defining the
480	function of a gene by its ability to control a deterministic event, <i>i.e.</i> outgrowth in one direction,
481	gene function can be defined by its ability to control a stochastic process, <i>i.e.</i> the probability of
482	outgrowth in different directions.
483	
484	unc-5 is a member of a class of genes that has a similar effect on the spatial extent of movement
485	How does <i>unc-5</i> affect outgrowth movement? The results above show that <i>unc-5</i> and its
486	interactions with other genes affect the degree to which the direction of outgrowth fluctuates.
487	The degree of fluctuation differs depending on the genes involved. The SOAL model predicts
488	that these differences alter the extent of outgrowth; the more the direction of movement
489	fluctuates, the shorter the distance of travel is in a given amount of time.
490	
491	To depict how <i>unc-5</i> and other genes differentially regulate outgrowth movement, we use
491 492	To depict how <i>unc-5</i> and other genes differentially regulate outgrowth movement, we use random walk modeling. Random walks describe movement that occurs as a series of steps in

distribution. By using the probability distribution obtained from a mutant for each step of a
random walk, and by keeping the distance of each step equal, a random walk can be

496 constructed (Figure 5A). In effect, this method applies the probability distribution to discrete

497	particles having idealized random walk movement on a lattice. By plotting random walks
498	derived from wild-type animals and different mutants, the relative effect that mutations have
499	on random walk movement can be visualized. For example, Figure 5B shows 50 tracks of 500
500	steps for wildtype and two mutants (mutant A is <i>unc-5(e53)</i> and mutant B is <i>egl-20(n585);sax-</i>
501	3(ky123)). This reveals the effect that a mutation has on the displacement of movement. After
502	500 steps the displacement from the origin (0,0) is on average less for mutant A than for
503	wildtype, and less for mutant B than for wildtype or mutant A.

504

The random walk models show the relative effect that a mutation has on a property of 505 outgrowth movement. It is worth noting that this is not modeling the actual trajectory of 506 migrating axons. Neuronal outgrowth is essentially a mass transport process in which mass 507 (the molecular species of the membrane) is sustained at the leading edge and moves outward. 508 Our assay compares the effect that different mutations would have on the movement of mass at 509 the leading edge of an extension if the conditions of the system were kept constant. Of course, 510 *in vivo* the conditions are not constant. For one, as an extension moves it will encounter new 511 environments where the cues may be new or at different concentrations, all of which affect the 512 probability distribution. The actual patterns of outgrowth observed are the result of all the 513 probabilities for outgrowth that occur at each instance of time. It has recently been suggested 514 that our description might be more accurately described as neuro-percolation, a superposition 515 of random-walks (AIELLO 2016). 516

517

518 Our random walk analysis compares the effect that different mutations have on the properties 519 of movement. In wild-type animals, there is a high probability for outgrowth in the ventral 520 direction. The analysis shows that conditions in wildtype create nearly straight-line movement,

i.e. if the same random walk is repeatedly done for the same number of steps, starting at the 521 same origin, the final position of the walk along the x axis does not vary a great amount. In 522 comparison, we find that a mutation can create random walk movement in which the final 523 position is more varied. This variation occurs because the mutation increases the probability of 524 outgrowth in other directions. For each mutation, we simulate 50 random walks of 500 steps 525 and derive the mean and standard deviation of the final position along the X-axis. To compare 526 strains, we plot the normal distribution, setting the mean at the same value for each. The 527 difference between the curve for a mutant and wildtype shows the degree to which the 528 mutation caused the direction of outgrowth to fluctuate (Figure 5C). 529

530

The results reveal four different distribution patterns (Figure 6). The first class is the wild-type 531 distribution, which has the distribution curve with the highest peak. The second class 532 comprises *unc-5*, *egl-20*, *unc-53*, and *unc-6* in which the distribution curve is flatter than the 533 wild-type curve. We included *unc-53* because our previous study showed that it has genetic 534 interactions with unc-5 and unc-6 (KULKARNI et al. 2013). The unc-53 gene encodes a 535 cytoskeletal regulator related to the mammalian NAV proteins and *unc-53* mutations cause 536 guidance defects (MAES et al. 2002: STRINGHAM et al. 2002: STRINGHAM AND SCHMIDT 2009). The 537 third class has a distribution curve which is flatter than the second and comprises *sax-3*, *mig-15*, 538 and several double mutation combinations (Figure 6). The fourth class has the flattest 539 distribution curve and comprises *eql-20;sax-3, unc-40;sax-3,* and *unc-53;sax-3;unc-6*. This class 540 indicates the greatest degree of fluctuation. The ability to cause the direction of movement to 541 fluctuate is not associated with a specific direction of HSN movement. For example, *unc-5;sax-3*, 542 unc-53:unc-6. unc-40:eal-20. and madd-2:sax-3 each show a widely dispersed pattern, but the 543 direction is ventral, dorsal, anterior, and posterior, respectively (Figure 6). 544

545

546	The distribution patterns indicate that genes have different effects on the extent that outgrowth
547	movement can travel through the environment. Mean squared displacement (MSD) is a
548	measure of the spatial extent of random motion. The MSD can be calculated from the random
549	walk data. Plotting MSD as a function of the time interval shows how much an object displaces,
550	on average, in a given interval of time, squared (Figure 7A). For normal molecular diffusion, the
551	slope of the MSD curve is directly related to the diffusion coefficient. In cell migration models
552	this value is referred to as the random motility coefficient. Coefficients are experimentally
553	determined; they describe how long it takes a particular substance to move through a
554	particular medium. We determine this value in order to numerically and graphically compare
555	how mutations can alter displacement relative to wildtype (Figure 7B). The four classes of
556	genes are apparent by comparing the height of the bars in Figure 7B.

557

The results of this modeling suggest that the activities of certain genes, and combinations of genes, have distinct effects on the rate of outgrowth movement. In theory, these differences could be an important means by which genes cause different outgrowth patterns.

561

unc-5 and other genes regulate UNC-40 receptor clustering, which is a consequence of the
 SOAL process

How does *unc-5* affect the localization of the UNC-40 receptor and how does this localization
affect UNC-40-mediated outgrowth activity and the pattern of outgrowth? Beginning in the
early L2 stage, UNC-40::GFP becomes localized to the ventral side of HSN in wildtype (ADLER *et al.* 2006; KULKARNI *et al.* 2013). Reflecting the dynamic morphological changes that occur as the
HSN axon forms, the site of asymmetric UNC-40::GFP localization alternates in the neurites and

along the ventral surface of the neuron (KULKARNI et al. 2013). Dynamic UNC-40::GFP 569 localization patterns have also been reported for the anchor cell, in which UNC-40 and UNC-6 570 are also key regulators of extension (ZIEL et al. 2009; HAGEDORN et al. 2013). Live imaging of the 571 anchor cell reveals that UNC-40::GFP "clusters" form, disassemble, and reform along the 572 membrane (WANG et al. 2014). However, live imaging can't directly ascertain whether the 573 position of a cluster is randomly determined since a movement event cannot be repeatedly 574 observed to determine a probability distribution. Mathematical modeling of cluster movement 575 as a stochastic process has not been done. Nevertheless, the results are consistent with the fact 576 that a probability distribution for the direction of UNC-40-mediated HSN outgrowth movement 577 can be determined and, therefore, the UNC-40 localization that gives rise to the outgrowth 578 activity can be defined as a stochastic process. 579

580

The UNC-40::GFP clustering phenomena raises questions about the relationship between 581 robust UNC-40 clustering (*i.e.*, sites of distinct UNC-40 localization observable by UNC-40::GFP) 582 and UNC-40-mediated outgrowth activity. Two models are presented in Figure 8. In the first 583 model, the SOAL mechanism causes UNC-40 clustering, which is required for UNC-40-mediated 584 outgrowth activity (Figure 8A). In the second model, SOAL and outgrowth activities are 585 independent and can happen concurrently (Figure 8B). In the first model, the output of the 586 SOAL process is receptor clustering. This is next followed by outgrowth activity from the 587 locations at the membrane where the clusters become stable. This model renders UNC-40-588 mediated outgrowth a deterministic event occurring at the macro-scale. In the second model, 589 the SOAL process and outgrowth activity are concurrent activities which can occur 590 stochastically along the membrane at the micro-scale. UNC-40 clustering in this model might be 591 an observable consequence of the micro-scale stochastic process that arises over time. 592

593

594	The models make specific predictions that can be tested. In the first model, UNC-40-mediated
595	outgrowth will not happen if UNC-40 does not cluster. In the second model, the loss of UNC-40
596	clustering does not lead to a loss of UNC-40-mediated outgrowth. We favor the second model.
597	In the <i>sax-3</i> mutant there is a large fluctuation in the direction of outgrowth; it is in the third
598	class of mutants (Figures 6 and 7). We previously reported that <i>sax-3</i> is required for robust
599	UNC-40::GFP asymmetric localization; in <i>sax-3</i> mutants UNC-40::GFP remains uniformly
600	dispersed around the periphery of HSN ((TANG AND WADSWORTH 2014) and Figure 9). Whereas
601	in the <i>sax-3</i> mutant there is a ventral bias for outgrowth, in the <i>unc-40;sax-3</i> mutant there is not
602	(Figure 6). This suggests that in the <i>sax-3</i> mutant there is UNC-40-mediated outgrowth activity
603	that helps create a ventral bias. This is consistent with the second model because UNC-40-
604	mediated outgrowth activity is occurring even when robust UNC-40::GFP is not observed.

605

We hypothesize that a consequence of the micro-scale SOAL process over time is macro-scale 606 UNC-40 clustering. If so, then unc-5 activity should affect UNC-40::GFP clustering because it 607 affects the degree to which the direction of UNC-40 receptor localization fluctuates. However, 608 even though there is a higher probability that localization occurs at surfaces other than at the 609 ventral surface, we observe robust asymmetrically localized UNC-40::GFP clustering in unc-610 5(e53) mutants (KULKARNI et al. 2013). We speculate that unc-5(e53), as well as other gene 611 mutations, do not cause the direction of UNC-40 localization to fluctuate enough to prevent 612 observable UNC-40::GFP clustering. We decided to examine UNC-40::GFP clustering in double 613 mutants to see whether there is an additive effect. In double mutants will the direction of UNC-614 40 localization fluctuate enough so that UNC-40::GFP clustering cannot be observed in our 615 assay? 616

617

618	We made double mutant combinations between <i>unc-5</i> , and <i>egl-20</i> or <i>unc-53</i> . In <i>egl-20</i> and <i>unc-</i>
619	53 single mutants there is fluctuation in the direction of outgrowth (Figures 6 and 7) and
620	robust asymmetrical UNC-40::GFP localization (Figure 9, unc-53 results were previously
621	reported (KULKARNI <i>et al.</i> 2013)). In comparison to the single mutants, the double mutants all
622	show an increase in the degree to which the direction of outgrowth fluctuates (Figures 6 and 7).
623	Further, in contrast to the single mutants, UNC-40::GFP remains uniformly dispersed around
624	the periphery of HSN in the double mutants (Figure 9). The results suggest a correlation
625	between the degree to which UNC-40-mediated outgrowth activity fluctuates and the ability to
626	detect UNC-40::GFP clustering. This is consistent with the second model (Figure 8). We also
627	observe that in <i>madd-2(tr103)</i> mutants the direction of outgrowth fluctuates (Table 1), but
628	unlike <i>egl-20</i> and <i>unc-53</i> single mutants, there is not robust asymmetrical UNC-40::GFP
629	localization and UNC-40::GFP remains uniformly dispersed (Figure 9). The double mutants,
630	unc-5;madd-2, are similar to the single madd-2 mutant. Similar results are observed with sax-3
631	and <i>unc-5;sax-3</i> mutants (Figure 9). We hypothesize that in the <i>madd-2</i> and <i>sax-3</i> mutations the
632	degree to which the direction of UNC-40 localization fluctuates is so great that the <i>unc-5</i>
633	mutation makes no difference on the UNC-40::GFP clustering phenotype.

634

We suggest these results support our model in which UNC-40-mediated outgrowth is an
independent process that is coupled to the SOAL process in the HSN neuron. Previously we
hypothesized that UNC-40 SOAL is a general cellular process that polarizes and orients cellular
activities to the surrounding environment (YANG *et al.* 2014). In neurons during the
development of axons, UNC-40 SOAL is coupled to the outgrowth machinery. However, UNC-40
SOAL may also become coupled to other cellular activities. For example, UNC-6, UNC-5, and

UNC-40 are also known to play a role in localizing synapses in neurons (COLON-RAMOS *et al.*

642 2007; POON *et al.* 2008; KILLEEN 2009).

643

644 Discussion

In this paper, we show that UNC-5 regulates the number and length of neuronal processes that 645 a neuron can develop. We observe that *unc-5* loss-of-function mutations inhibit the 646 development of multiple neurites during early outgrowth from HSN. They also suppress the 647 development of extra HSN processes that are induced by a *miq-15* mutation or by expression of 648 the N-terminal fragment of UNC-6. We also observe that unc-5 mutations suppress the anterior 649 overextension of the PLM axon that occurs in the *mig-15* mutant. Finally, in combination with 650 the *miq-15* mutation, *unc-5* loss-of-function mutations affect the branching and extension of 651 ALM and AVM axons at the nerve ring. In each of these cases, the pattern of outgrowth is 652 altered by loss of UNC-5 function. We further show that UNC-5 acts together with UNC-6, EGL-653 20, SAX-3, UNC-53, MIG-15, and MADD-2 to regulate UNC-40 asymmetric localization at a 654 surface of HSN. Here we discuss a model to explain how UNC-5 could control patterns of 655 outgrowth by regulating UNC-40 stochastically orientated asymmetric localization (SOAL). 656

657

658 UNC-40 SOAL; a model to explain unc-5 phenotypes

We hypothesize that UNC-40 undergoes stochastically orientated asymmetric localization (SOAL) within neurons. Because this is a stochastic process, there is a probability of UNC-40 localizing and mediating outgrowth at each surface of the neuron. The probability of outgrowth at each point along a surface may vary. This means that at one instance of time there is a probability that UNC-40-mediated outgrowth will occur in a specific direction from a point along the surface of the neuron. At the next instance of time there is a probability that

outgrowth will not occur at this point, but will instead occur at another point and in a different 665 direction. When considered over time, the direction of outgrowth fluctuates. This type of 666 movement can be modeled as a random walk, and random walks predict that the displacement 667 of the membrane will depend on the degree to which the direction of outgrowth movement 668 fluctuates. Extracellular cues, and the neuron's response to these cues, set the probability of 669 outgrowth happening at each point along the surface and therefore regulate the amount of 670 fluctuation. The process occurs at the micro-scale and it controls the direction and rate of 671 outgrowth movement. Below we describe how this model can explain the patterns of 672 outgrowth extension seen in the *unc-5* mutants. 673

674

Figure 2 depicts the essential concepts underlying the explanations. The same depiction is used 675 to explain the mutant phenotypes in Figures 10-15. Four important concepts are presented by 676 these figures. (1) There are numerous extracellular cues acting on the neuron to set the 677 probability of outgrowth in each direction. These cues include secreted molecules, such as 678 UNC-6 and EGL-20, as well as many other cues, such as extracellular matrix components (YANG 679 et al. 2014). For movement in the anterior and posterior directions, the neuron's response to 680 the cues sets an equal probability for movement in the dorsal and ventral direction. For 681 movement in the dorsal and ventral directions, the neuron's response to the cues sets an equal 682 probability for movement in the anterior and posterior direction. These cues are depicted in 683 the figures as purple, blue, orange, and red color gradients. (2) Besides UNC-40-mediated 684 outgrowth activity, cues also set the probability of nonUNC-40-mediated outgrowth activity at 685 each surface of the neuron. For example, there is a high probability for anteriorly directed 686 outgrowth in *unc-40* mutants, indicating that the cues set a high probability for anterior 687 nonUNC-40-mediated activity in the mutants. In the figures, a high relative probability for 688 UNC-40-mediated or nonUNC-40-mediated outgrowth activity at a surface is depicted as red 689

and green areas, respectively. The colored area is concentrated when there is a high 690 probability for outgrowth in one direction, and the area is more diffuse when the probability 691 for outgrowth in directions perpendicular to the directional bias are greater. (3) As the 692 693 probability of outgrowth decreases in the direction of outgrowth, and the probability of outgrowth in directions perpendicular to the directional bias become greater, the extent of 694 movement forward decreases. This idea is based on random walk modeling. Representative 695 modeling is shown above or to the side of each diagram. 50 random walks from a point on a 696 line were calculated based on the given probabilities. As the probability for movement in 697 directions perpendicular to the directional bias become greater, the extent of movement from 698 the origin decreases. Similarly, we hypothesize that the forward displacement of the 699 membrane decreases as the probability for perpendicular outgrowth movement become 700 701 greater at the surface of the neuronal membrane. (4) Loss of UNC-5 function causes a higher probability of UNC-40 mediating outgrowth in directions perpendicular to the directional bias. 702 Loss of MIG-15 reduces the probability of UNC-40-mediated outgrowth in directions 703 perpendicular to the directional bias. These ideas are based on our observations of the effects 704 that *unc-5* and *mig-15* mutations have on HSN localization of UNC-40::GFP and the direction of 705 HSN outgrowth. We further speculate that loss of MIG-15 function increases the probability of 706 nonUNC-40-mediated outgrowth in directions perpendicular to the directional bias. This is 707 based on our observation that HSN tends to be bipolar in the *mig-15* mutant (YANG et al. 2014), 708 suggesting that nonUNC-40-mediated outgrowth activity, which drives outgrowth anteriorly in 709 other mutants, becomes ineffectual. 710

711

PLM extension phenotype: We hypothesize that there is high probability for anteriorly directed outgrowth from the PLM cell body because of the strong effect of a cue(s) which inhibits outgrowth activity and is present in high concentration posterior to the cell body

(Figure 10A, position 1). Dorsal and ventral cues create an equal probability for dorsal and 715 ventral outgrowth, allowing the UNC-40 SOAL process to cause a high probability for anterior 716 outgrowth activity (Figure 10B, position 1). At a position that is further anterior (Figure 10A, 717 position 2), the inhibitory effect of another cue reduces the probability for anterior outgrowth 718 activity, while increasing the probability of outgrowth in the dorsal and ventral directions. This 719 does not affect the anterior bias for outgrowth, although it may affect the rate of outgrowth 720 (Figure 10B, position 2). Cues at an anterior position (Figure 10A, position 3), strongly inhibit 721 outgrowth and cause a greater probability of outgrowth in the dorsal and ventral directions 722 (Figure 10B, position 3). This arrests forward outgrowth. However, in some mutants the 723 inhibitory effect of these cues is not as great, allowing a stronger anterior bias at position 3. As 724 the outgrowth passes this position (Figure 10A, position 4), a situation similar to position 1 is 725 726 reestablished (Figure 10B, position 4).

727

We propose that PLM termination and overextension is influenced by the degree to which UNC-728 40-mediated outgrowth fluctuates at position 3 (Figure 11). In wildtype, the cues cause the 729 direction of both UNC-40-mediated and nonUNC-40-mediated outgrowth to fluctuate, resulting 730 in outgrowth stalling. Loss of UNC-40 function will not alter this. Loss of MIG-15 function 731 increases the probability of anterior UNC-40-mediated outgrowth by decreasing the degree to 732 which the direction of UNC-40-mediated outgrowth fluctuates. This allows a stronger anterior 733 directional bias, which results in the overextension phenotype. Loss of UNC-5 suppresses the 734 overextension caused by loss of MIG-15 function by increasing the degree to which the 735 direction of UNC-40-mediated outgrowth fluctuates, reverting the state back towards 736 wildtype. 737

738

ALM and AVM nerve ring branching and extension phenotype: We hypothesize that at the 739 nerve ring there are cues, including UNC-6, that are arranged along the dorsal/ventral axis. 740 These cues can promote ALM and AVM axon outgrowth. As an extension nears the nerve ring, 741 these cues help create a high probability for anterior outgrowth (Figure 12A and 12B, position 742 1). At the nerve ring, the cues create an equal probability for anterior outgrowth and 743 outgrowth into the ring (Figure 12A and 12B, position 2). Outgrowth extends into the nerve 744 ring because the cues of the nerve ring promote outgrowth and because the cues anterior and 745 posterior to the ring set an equal probability of outgrowth in those directions (Figure 12A and 746 12B, position 3). Outgrowth can also extend anteriorly from the nerve ring if cues anterior to 747 position 2 also promote outgrowth and cues dorsal and ventral create an equal probability of 748 outgrowth in those directions (Figure 12A and 12B, position 4). 749

750

We propose that ALM and AVM nerve ring branching and extension at the nerve ring is 751 influenced by the degree to which UNC-40-mediated outgrowth fluctuates at position 2 (Figure 752 13). In wildtype, the nerve ring cues create a higher probability for UNC-40-mediated 753 outgrowth into the nerve ring. In UNC-40 mutants, the axons often fail to branch into the nerve 754 ring but they still project further anterior, suggesting that the probability for anterior nonUNC-755 40-mediated outgrowth is high in the *unc-40* mutant. Loss of MIG-15 function does not affect 756 the branching and extension because the higher probability for UNC-40-mediated outgrowth 757 activity remains. In *unc-40;mig-15* mutants, there is extension in both directions because loss 758 of MIG-15 function increases the probability of nonUNC-40-mediated outgrowth in other 759 directions and the nonUNC-40-mediated outgrowth allows outgrowth into the nerve ring. In 760 unc-5:mig-15 mutants, the direction of both UNC-40-mediated and nonUNC-40-mediated 761 outgrowth fluctuate, causing outgrowth stalling in each direction. In these mutants, there is 762 often an absence of extension both anteriorly and into the nerve ring. 763

764

HSN extension phenotypes: We hypothesize that there is high probability for ventrally 765 766 directed outgrowth from the HSN cell body because of the strong outgrowth-promoting effect of the UNC-6 cue, which is in a higher concentration ventral of the cell body (Figure 14A, 767 position 1). Anterior and posterior cues create an equal probability for anterior and posterior 768 outgrowth, and there is a high probability for ventral outgrowth activity. As an extension 769 moves ventrally it encounters higher levels of the UNC-6 cue. The probability of outgrowth 770 towards the UNC-6 source increases, while the probabilities of outgrowth in the anterior and 771 posterior decrease (Figure 14B, positions 2). However, as the level of UNC-6 saturates the 772 receptors the probability for outgrowth in different directions increases, causing outward 773 movement to stall (Figure 14B, positions 3). The distance from the source of the cue at which 774 this happens is a function of the concentration of the cues, and the cell's ability to interact and 775 respond to the cues. If the cell's response to the UNC-6 cue causes a lower probability of 776 ventral outgrowth than in wildtype, the probabilities of anterior and posterior outgrowth will 777 778 be greater (Figure 14B, positions 1, right column). The greater fluctuation will slow the rate of outgrowth as extension proceeds (Figure 14B, positions 2, right column). Again, at the source 779 of the cue the response may become saturated and outward movement will stall (Figure 14B. 780 positions 3, right column). In this scenario, the rate of outgrowth decreases, while the direction 781 of outgrowth is the same. 782

783

We propose that HSN extension is influenced by the degree to which UNC-40-mediated
outgrowth fluctuates. In wildtype, UNC-40-mediated outgrowth creates a high probability for
the initial ventral outgrowth (Figure 15A, top). Loss of UNC-5 function causes a higher
probability of UNC-40 mediating outgrowth in the anterior and posterior directions, and

consequently a decrease in the rate of outgrowth (Figure 15A, second from top). We suggest
this underlies the observation that extensions fail to form in the L1 stage in *unc-5* mutants, but
do form over time, and in the same direction, as in wild-type animals.

791

We also propose that controlling the degree to which the direction of UNC-40-mediated 792 outgrowth fluctuates at points along the surface can regulate the number of processes that 793 form. We suggest that UNC-40 (DCC), UNC-5 (UNC5), and UNC-6 (netrin) are part of an activator-794 inhibitor system (GIERER AND MEINHARDT 1972; MEINHARDT AND GIERER 2000). In an activator-795 inhibitor system two substances act on each other. The activator stimulates its own production as 796 well as produces an inhibitor that can repress the production of the activator. The inhibitor is able to 797 diffuse more rapidly than the activator, which causes patterns of varying concentrations of activator 798 and inhibitor. Pattern formation through local symmetry breaking, signal amplification, and long-799 range inhibition may involve chemical, mechanical, or coupled mechanochemical processes 800 (GOEHRING AND GRILL 2013). 801

802

We suggest an activator-inhibitor system in which UNC-40 and UNC-5 are the two substances 803 that interact with each other (Figure 15B). This is consistent with evidence indicating that 804 Netrin-1 binds simultaneously to two DCC (UNC-40) molecules or an UNC5/DCC complex (FINCI 805 et al. 2014). In the context of an activator-inhibitor system, UNC-5 signaling acts as an activator 806 and UNC-40 signaling acts as an inhibitor. The activator and inhibitor activities "diffuse" at 807 different rates because recruitment of UNC-40 and UNC-5 to the plasma membrane occurs at 808 different rates. This idea is consistent with imaging experiments of cells in culture which 809 suggest that netrin-1 (UNC-6) regulates the distribution of DCC (UNC-40) and UNC5B (UNC-5) 810 at the plasma membrane (GOPAL et al. 2016). In these studies, netrin-1 (UNC-6) was shown to 811 stimulate translocation of DCC (UNC-40) and UNC5B (UNC-5) receptors from intracellular 812

vesicles to the plasma membrane and, further, the transported receptors were shown to

localize at the plasma membrane (GOPAL *et al.* 2016).

815

816 We propose that signaling by UNC-6-ligated UNC-40 causes outgrowth activity and stimulates translocation of UNC-40 to the site (Figure 15B, step 1). If the outgrowth reaches a critical 817 level of UNC-6 (Figure 15B, step 2), changes to the UNC-6/UNC-40/UNC-5 receptor complexes 818 increase UNC-5 signaling. UNC-5 signaling inhibits UNC-40 translocation to the site and 819 increases the relative levels of UNC-5 (short-range autocatalytic). Locally, UNC-40-mediated 820 outgrowth activity becomes more dispersed, resulting in a slower rate of outgrowth. As UNC-821 40 translocation to the leading edge is inhibited, the relative levels of UNC-40 at flanking 822 surfaces increases (Figure 15B, step 3). These UNC-40 levels inhibit UNC-5 signaling (long-823 824 range inhibition) and prevent the dispersion of UNC-40-mediated outgrowth activity, resulting in a faster rate of outgrowth at the flanking surfaces. In the context of an activator-inhibitor 825 system, UNC-5 signaling functions as an activator because it stimulates its own activity by 826 decreasing the ratio of UNC-40 to UNC-5 at the site of UNC-5 signaling. It also stimulates 827 production of UNC-40 UNC-5-inhibitory activity by increasing the ratio of UNC-40 to UNC-5 at 828 the flanking regions. As the flanking regions move further outward due to the UNC-40-829 mediated outgrowth activity, UNC-40 translocation to the surface is further stimulated by 830 increasing levels of the extracellular UNC-6 cue. 831

832

In this type of model, the reaction and diffusion rates of the substances influence the pattern that emerges. In the above example, whether the flanking areas branch further depends on whether the critical level of receptors and extracellular ligands is realized at the flanking region. The *mig-15(rh148)* mutation and the expression of UNC-6 Δ C could alter the rate by which components of the UNC-6/UNC-40/UNC-5 receptor complexes interact or could alter the

838	rate of translocation of the components to the membrane, or both. In fact, cell culture
839	experiments suggest Netrin VI-V (UNC-6 Δ C) induces DCC and UNC5B colocalization, but not
840	DCC recruitment (GOPAL et al. 2016). Moreover, mutations that suppress ectopic branching
841	induced by UNC-6 Δ C expression affect members of second messenger systems which could
842	influence the rates of UNC-40 and UNC-5 interactions and trafficking (WANG AND WADSWORTH
843	2002).

844

845 A genetic pathway for UNC-40 asymmetric localization

We present a genetic pathway for the asymmetrical localization of UNC-40 based on the phenotype 846 of robust UNC-40::GFP clustering in HSN. It is worth noting that the SOAL model of axon 847 outgrowth does not depend on knowledge of the molecular mechanisms of outgrowth. Rather, 848 the SOAL model uses a statistical approach to understand how a gene influences the collective 849 impact of all the underlying molecular mechanisms that drives outgrowth. It can be envisioned 850 that the movement of an extension occurs through innumerable forces acting at the molecular 851 level. The effect that each molecular event has on movement is not easily observed or 852 measured. In fact, it may be that patterns of outgrowth can't be fully understood by only 853 knowing the molecular mechanisms of outgrowth. Instead, the effects that molecular events 854 collectively have on movement must be understood through a statistical model. 855

856

Nevertheless, a full understanding of the molecular mechanisms underlying the SOAL process is
an important long-term goal. Since we believe that UNC-40::GFP clustering is a readout of that
process, constructing genetic pathways for the clustering of UNC-40::GFP is a step toward this
goal. We wish to know how UNC-5 mediates signaling within HSN that controls the UNC-40
asymmetric localization process. However, a role for UNC-5 in HSN is paradoxical given the

widespread idea that UNC-5 mediates a repulsive response to UNC-6 and that HSN outgrowth is 862 towards the source of UNC-6. All the same, we suggest a cell-autonomous role for UNC-5 in 863 HSN is the most parsimonious model. First, UNC-5 is an UNC-6 receptor that can mediate 864 neuronal responses when in complex with UNC-40 (Hong et al. 1999; GEISBRECHT et al. 2003; 865 KRUGER et al. 2004; FINCI et al. 2014). We previously showed that UNC-40 conformational 866 changes regulate HSN asymmetric localization in HSN (XU et al. 2009) and we now show that 867 UNC-5 regulates UNC-40 asymmetric localization in HSN. It is therefore plausible that UNC-5 868 affects UNC-40 conformational changes that regulate UNC-40 asymmetric localization. Second, 869 UNC-5 can alter the number to HSN outgrowths in response to UNC-6 and to the UNC-6 Δ C 870 ligand. Directional guidance by UNC-6 and UNC-6 Δ C is generally normal in an *unc-5* mutant, 871 suggesting that the ability of UNC-5 to regulate the number of outgrowth is not due to an 872 873 alteration in the extracellular distribution of its UNC-6 ligand. Further, the UNC-6 Δ C ligand and the *miq-15* mutation create the same outgrowth phenotype, which can be suppressed by loss of 874 UNC-5 function, and we have shown that MIG-15 acts cell autonomously in HSN to regulate 875 UNC-40 asymmetric localization (YANG et al. 2014). Further, we have shown that the UNC-5-876 mediated response that regulates UNC-40 asymmetric localization also depends on UNC-53 877 (NAV2) (KULKARNI *et al.* 2013), a cytoplasmic protein that functions cell-autonomously for cell 878 migration and axon guidance (STRINGHAM *et al.* 2002). Together, these observations strongly 879 suggest that UNC-5 directly regulates signaling within HSN. Third, a role for UNC-5 in the 880 guidance of AVM and PVM axons towards UNC-6 sources has also been suggested. A synergistic 881 interaction between *unc-5* and *eql-20* is observed; in either *unc-5* or *eql-20* mutants the ventral 882 extension of AVM and PVM axons is only slightly impaired, whereas in the double mutants 883 there is a much greater penetrance (LEVY-STRUMPF AND CULOTTI 2014). The expression of an unc-884 5 transgene in AVM and PVM can rescue the AVM and PVM axon guidance defects of the *unc*-885 5;egl-20 double mutant (LEVY-STRUMPF AND CULOTTI 2014). We note that for HSN, transgenic 886

887	rescue using <i>unc-5</i> constructs have not been successful and in wild-type animals UNC-5
888	expression in HSN has not been reported. As well, expression has not been reported in AVM,
889	PVM, and PLM wild-type neurons. We suspect there may be technical difficulties or that UNC-5
890	expression might be low in these cells. UNC-5 is detected in PLM in <i>rpm-1</i> mutants, which is
891	consistent with evidence that UNC-5 activity is required for PLM overextension in these
892	mutants (Li <i>et al.</i> 2008).

893

To construct genetic pathways, we use the readout of whether UNC-40::GFP is clearly and 894 consistently localized to any side of the HSN neuron in different mutants (Figure 9). A 895 summary of the results is presented (Figure 16A). UNC-6 is required for robust asymmetric 896 UNC-40 localization; in the absence of UNC-6 function UNC-40 remains uniformly distributed 897 along the surface of the plasma membrane. The loss of both UNC-53 and UNC-5 function also 898 results in a uniform distribution, however loss of either one alone does not. This suggests that 899 UNC-53 and UNC-5 pathways act redundantly downstream of UNC-6 (Figure 16B). Moreover, 900 we observe there is robust asymmetric UNC-40 localization when there is a loss of UNC-6 901 activity in addition to the loss of UNC-53 and UNC-5. This suggests a third pathway that is 902 suppressed by UNC-6 when UNC-53 and UNC-5 activity are missing. Loss of both UNC-5 and 903 UNC-6 does not allow UNC-40 localization, whereas loss of both UNC-53 and UNC-6 does, 904 905 therefore UNC-53, rather than UNC-5, acts with UNC-6 to suppress the third pathway.

906

UNC-40 becomes localized when EGL-20 activity is lost. As well, UNC-40 becomes localized
when both EGL-20 and UNC-53 activities are lost. This is consistent with UNC-6 promoting
UNC-40 localization via the UNC-5 pathway. Loss of EGL-20 and UNC-5 prevents UNC-40
localization. In these animals, the UNC-5 pathway is absent and UNC-6 is present to blocks the

third pathway, therefore the UNC-53 pathway that leads to UNC-40 localization must require
EGL-20, as well as UNC-6.

913

914	Loss of UNC-6 activity or loss of both UNC-6 and EGL-20 activity prevents localization, whereas
915	loss of only EGL-20 does not. To explain this, we propose that when UNC-6 is lost, the third
916	pathway, which would otherwise be activated by the loss of UNC-6, remains suppressed
917	because EGL-20 activity promotes suppression via UNC-53 activity. This suppression also
918	explains why loss of UNC-6 and UNC-5 activity does not cause localization.

919

Importantly, this genetic analysis indicates that netrin (UNC-6) and wnt (EGL-20) signaling are 920 integrated to regulate self-organizing UNC-40 asymmetric localization. An implication of this 921 result is that the extracellular concentrations of UNC-6 and EGL-20 could control the activation 922 or inhibition of UNC-40-mediated outgrowth. This could be important for generating patterns 923 of outgrowth when neurons move to new locations within the animal. The picture is 924 complicated by the evidence that both UNC-6 and EGL-20 affect the SOAL of both UNC-40-925 mediated and nonUNC-40-mediated outgrowth activity. It is possible that overlapping sets of 926 extracellular cues and their receptors are involved in setting the probability of outgrowth for 927 each activity. SAX-3 and MADD-2 are required for UNC-40::GFP localization, but also affect 928 nonUNC-40-mediated outgrowth. The eql-20;sax-3 and unc-40;sax-3 double mutations have the 929 greatest effect on restricting the extent of outgrowth movement in any direction (Figures 6 and 930 7). Moreover, the number of HSN neurites is reduced in *unc-5* mutants, whereas the number in 931 *unc-5;sax-3* double mutants appears normal (Figure 3B). Understanding the interdependence 932 of these outgrowth activities will be necessary for better understand how extracellular cues 933 affect the patterns of outgrowth in vivo. 934

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944 Table

945

946

Table 1. Direction of Axon Formation from the HSN Cell Body

		direction of axon protrusion					
	dorsal	ventral	anterior	posterior	multipolar		
	%	%	%	%	%	n	reference
wildtype	0	96 <u>+</u> 2	3 <u>+</u> 2	0	1 <u>+</u> 1	221	(KULKARNI <i>et al.</i> 2013)
unc-6(ev400)	2 <u>+</u> 2	3 <u>+</u> 2	81 <u>+</u> 2	8 <u>+</u> 2	6 <u>+</u> 1	218	(KULKARNI <i>et al.</i> 2013)
unc-40(e1430)	2 <u>+</u> 1	6 <u>+</u> 2	67 <u>+</u> 2	19 <u>+</u> 1	6 <u>+</u> 1	183	(KULKARNI <i>et al.</i> 2013)
unc-5(e53)	0	75 <u>+</u> 3	19 <u>+</u> 2	1 <u>+</u> 1	5 <u>+</u> 1	245	(YANG et al. 2014)
unc-53(n152)	0	67 <u>+</u> 3	22 <u>+</u> 2	5 <u>+</u> 1	6 <u>+</u> 1	238	(KULKARNI et al. 2013)
sax-3(ky123)	2 <u>+</u> 1	31 <u>+</u> 1	21 <u>+</u> 1	37 <u>+</u> 2	9 <u>+</u> 2	232	(TANG AND WADSWORTH 2014)
sax-3(ky200)*	2±1	32±1	19±2	42±3	5±2	198	(TANG AND WADSWORTH 2014)
unc-5(e53);sax-3(ky200)	2±1	40 <u>+</u> 3	24±2	28±2	6±1	120	
unc-5(e53);unc-6(ev400)	4±2	5±3	59±4	22±4	9±1	201	
unc-5(e53);egl-20(n585)	3±1	28±4	22±4	35±5	11±2	114	
unc-53(n152);unc-5(e53)	0	19 <u>+</u> 1	62 <u>+</u> 2	17 <u>+</u> 1	3 <u>+</u> 1	224	(KULKARNI et al. 2013)
unc-53(n152);unc-6(ev400)	24 <u>+</u> 2	0	19 <u>+</u> 2	22 <u>+</u> 2	34 <u>+</u> 3	144	(KULKARNI et al. 2013)
unc-53(n152);sax-3(ky123)	1±1	47±3	24±2	23±5	6±3	207	(TANG AND WADSWORTH 2014
unc-40(e1430);unc-5(e53)	5 <u>+</u> 1	6 <u>+</u> 1	55 <u>+</u> 2	19 <u>+</u> 2	14 <u>+</u> 1	196	(KULKARNI et al. 2013)
unc-40(e1430);sax-3(ky200)*	14±3	2±1	40±2	35±3	9±4	191	(TANG AND WADSWORTH 2014
sax-3(ky200)*; unc-6(ev400)	8±1	8±2	49±3	20±5	14±2	211	(TANG AND WADSWORTH 2014
unc-53(n152);unc-5(e53);unc-6(ev400)	23 <u>+</u> 2	0	34 <u>+</u> 2	15 <u>+</u> 2	28 <u>+</u> 2	148	(KULKARNI <i>et al.</i> 2013)
unc-53(n152);sax-3(ky200)*;unc-6(ev400)	11±2	2±1	33±4	30±3	25±5	189	
egl-20(n585)	0	64±2	21±2	7±1	8±1	304	(TANG AND WADSWORTH 2014
egl-20(n585); unc-6(ev400)	18±2	0	43±2	15±2	24±2	205	(TANG AND WADSWORTH 2014
unc-40(e1430); egl-20(n585)	6±2	17±2	45±5	15±2	16±2	173	(TANG AND WADSWORTH 2014
egl-20(n585);sax-3(ky123)	1±1	12±2	39±2	39±1	8±3	177	(TANG AND WADSWORTH 2014
madd-2(tr103)	0	19±2	55±5	17±4	8±2	179	
madd-2(ky592)	0	52±2	43±2	5±1	0	95	
unc-5(e53);madd-2(tr103)	3±1	15±2	52±4	17±4	13±1	197	
madd-2(tr103);sax-3(ky123)	2	24±3	19±4	47±1	7±2	171	
unc-53(n152);madd-2(tr103)	1±1	15±2	43±2	17±1	24±4	148	
mig-15(rh326)	2±1	15±1	24±3	11±3	48±8	131	(YANG et al. 2014)

Numbers represent percentage value <u>+</u> SEM.

*Animals grown at the sax-3(ky200) restrictive temperature (25°C).

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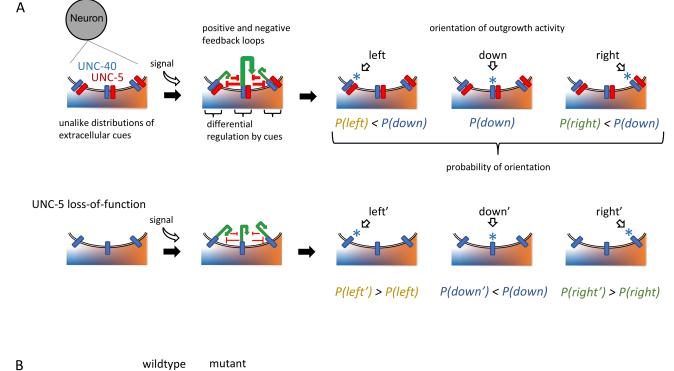
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1110 **Figure 1. Model for UNC-40 self-organizing polarization and random walk movement.**

(A) Along the plasma membrane, complexes comprising UNC-40 and UNC-5 interact with 1111 extracellular cues. A self-organizing process is triggered. In wild-type animals (top) there is a 1112 high probability that complexes associated with specific levels of the extracellular cues will 1113 mediate outgrowth activity. Compared to wildtype, in animals with loss of UNC-5 function 1114 (bottom) the probability of these same complexes mediating outgrowth activity is lower and 1115 the probability that the other complexes instead will mediate outgrowth is higher. Direction is 1116 labeled left, down, and right, in reference to the orientation of the figure and to emphasize that 1117 the model is not referring to any specific cues or their sources in the animal. (B) Two sets of 1118 tracks are shown to compare random walk models derived from representative wildtype and 1119 mutant experimental results. Compared to wildtype, the mutant has a lower probability of 1120 1121 outgrowth in one specific direction (down) and a higher probability of outgrowth in other directions (left and right). Using the probability distributions shown below the tracks, 50 1122 simulated random walks of 500 steps were plotted from an origin. This comparison 1123 emphasizes that even though the direction of movement is the same, there is a difference in the 1124 average displacement. We propose this property of movement is manifested during neuronal 1125 extension in wildtype and mutant animals as a difference in the rate of outgrowth. In statistical 1126 physics, net movement through the action of random motion is diffusion. Here, each track 1127 models the trajectory that mass of the plasma membrane could move during extension. The 1128 probability density function of the position of the mass as a function of space and time is 1129 1130 described by an advection-diffusion equation. In summary, we suggest that the advectiondiffusion model can be used to describe the process by which mass at the leading edge of an 1131 extension is transported and that our results describe how genes regulate this transport. 1132

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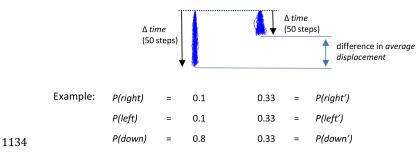
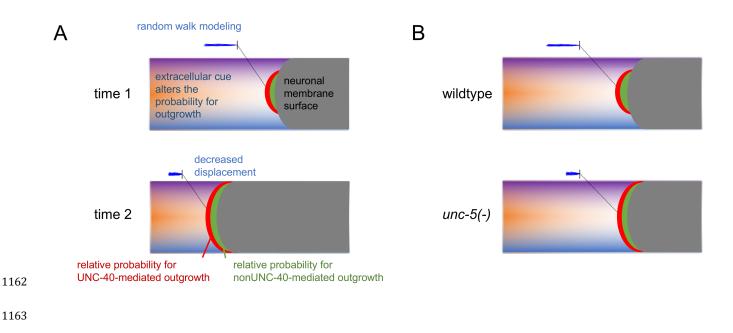


Figure 2. Model for outgrowth movement based on the SOAL model. Schematic diagrams 1136 of the outgrowth of a neuron (gray) through an environment of multiple extracellular cues. 1137 These cues may be molecules presented at the surfaces of surrounding cells and extracellular 1138 matrix. The extracellular cues are represented here as color gradients of purple, blue, and 1139 orange. Outgrowth activity is mediated at the surface of the neuron through receptor activity. 1140 UNC-40-mediated activity is depicted in red, and nonUNC-40-mediated in green. The relative 1141 probability of activity along the surface is represented by the extent to which the colored areas 1142 cover the neuron's surface. Increasing the degree to which the direction of activity fluctuates 1143 over time decreases the extent of outward movement. Random walk models depicting this 1144 property are shown above each diagram. See text for details. (A) Depicted is the movement of 1145 a neuronal extension towards a source of a cue(s) (orange). At time 1 (top) the probability of 1146 outgrowth towards the source is high, however at time 2 (bottom) the probability of outgrowth 1147 in other directions increases as more receptors away from the leading edge are stimulated due 1148 to the higher concentration of the cue. This increases the degree to which the direction of 1149 activity fluctuates over time and decreases the extent of outward movement. **(B)** Depicted is 1150 the movement of a neuronal extension in wildtype (top) and an unc-5 mutant (bottom). Loss of 1151 UNC-5 affects the UNC-40 SOAL process and causes more receptor activity away from the 1152 leading edge, thus decreasing the probability of outgrowth towards the source and increasing 1153 the probability of outgrowth in other directions. This increases the degree to which the 1154 direction of activity fluctuates over time and decreases the extent of outward movement. 1155 Under the same conditions, the rate of outward movement is reduced in *unc-5* mutants as 1156 compared to wildtype. 1157 1158

- 1159



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Figure 3. UNC-5 regulates the patterning of outgrowth extensions from HSN. (A) Photomicrographs of HSN at the L1, L2, and adult stages in wildtype and *unc-5(e53)* mutants. In L1 and L2 animals neurite extensions (arrows) are often observed in wild-type animals but are more rare in *unc-5* mutants. The short ventral migration of the cell body that occurs in wild-type animal sometimes fails in *unc-5* mutants, leaving the cell body farther from the PLM

axon (arrowhead) with a single longer ventral extension. The position of the cell body remains

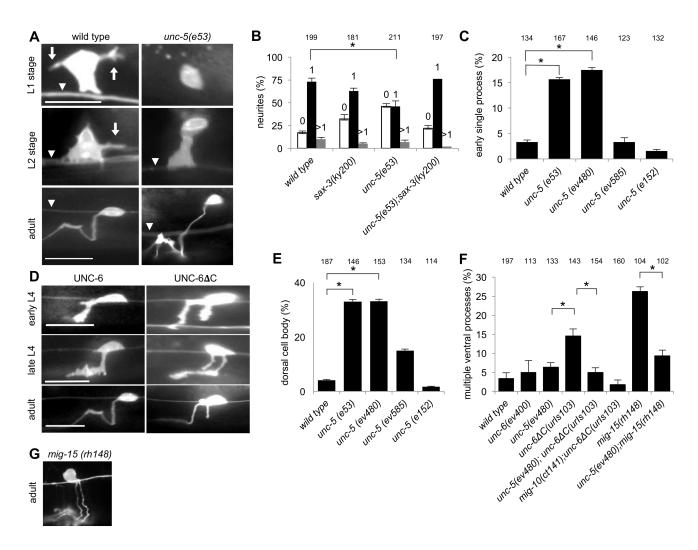
dorsal. Scale bar: 10 μm. (**B**) The percentage of HSN neuron with 0, 1, or more than 1 neurite

extension at the L1 stage. In *unc-5* mutants nearly half of the neurons do not extend a process.

Error bars indicated the standard error mean; n values are indicated above each column.
Significant differences (two-tailed t-test), *P<0.001. (C) The percentage of HSN neurons with a

1175 single long extension at the L2 stage. Several *unc-5* alleles were tested as described in the text. In mutants with loss-of-function there is more often a single extension from the cell body and 1176 the cell body is dorsally mispositioned. (D) Photomicrographs of HSN at the early L4, late L4, 1177 and adult stages in wildtype and in animals expressing UNC-6 Δ C. The expression of UNC-6 Δ C 1178 induces multiple processes, most often two major extensions, that are guided ventrally. (E) The 1179 percentage of HSN neurons with a cell body mispositioned dorsally at the L2 stage. In loss-of-1180 function mutants the cell body often fails to undertake a short ventral migration during the L2 1181 stage. The migration is not delayed, but rather it remains dorsal. (F) The percentage of HSN 1182 1183 neurons with multiple ventral extensions at the L4 stage. The additional processes induced by 1184 UNC-6 Δ C can be suppressed by *unc-5* and *mig-10* mutations. Additional processes induced by *mig-15(rh148)* can also be suppressed by the *unc-5* mutation. (**G**) Photomicrographs of HSN at 1185

adult stages in a *mig-15* mutant. Similar to UNC-6 Δ C expression, *mig-15* mutations can also cause additional processes that are guided ventrally (YANG *et al.* 2014).



1191 Figure 4 UNC-5 regulates the patterning of extension from ALM, AVM, and PLM. (A)

Photomicrographs of the ALM, AVM, and PLM neurons at the L4 stage in wild-type animals and 1192 *miq-15* mutants. In wildtype (top) a single PLM axon travels anteriorly from the posterior cell 1193 body (not shown). Near the vulva (arrow) the axon branches; one branch extends to the 1194 ventral nerve chord and another extends anteriorly. The anterior extension terminates before 1195 reaching the area of the ALM cell body. In *mig-15* mutants the PLM can extend anteriorly past 1196 the ALM cell body (bottom). (**B**) The percentage of PLM neurons where the PLM neuron 1197 extend anteriorly past the ALM cell body. The anterior extension often over-extends in *miq-15* 1198 mutants. Loss of *unc-5* or *unc-40* function can suppress this phenotype. (C) Photomicrographs 1199 of the ALM and AVM neurons at the L4 stage in wild-type animals and mutants showing 1200 different patterns of outgrowth extension. In wildtype (top) a single axon travels anteriorly to 1201 1202 the nerve ring (arrowheads). At the nerve ring the axon branches; one branch extends further anteriorly and the other extends into the nerve ring. In mutants, one or both axons may only 1203 extend anteriorly and will not extend into the nerve ring (second from top). Or one or both 1204 axons will only extend into the nerve ring and will not extend anteriorly (third from top). Or 1205 one or both axons will fail to extend into either the nerve ring or anteriorly (bottom). Scale bar: 1206 20 um. (**D**) The percentage of AVM neurons where the AVM neuron failed to extend into the 1207 nerve ring. The neuron often fails to extend in the *unc-40* and *mig-15;unc-5* mutants, whereas it 1208 does extend in the *mig-15*, *unc-5*, and *mig-15*; *unc-40* mutants. Error bars indicated the standard 1209 error mean; n values are indicated above each column. Significant differences (two-tailed t-1210 test). *P < 0.001. (E) The percentage of AVM neurons where the AVM neuron failed to extend 1211 1212 anteriorly, past the nerve ring. The neuron often fails to extend anteriorly in the *mig-15;unc-5* mutants, whereas it does extend in the *mig-15*, *unc-5*, *unc-40*, and *unc-40*;*mig-15* mutants. There 1213 is a significant difference between the *unc-40* and *unc-40;mig-15* mutants. 1214

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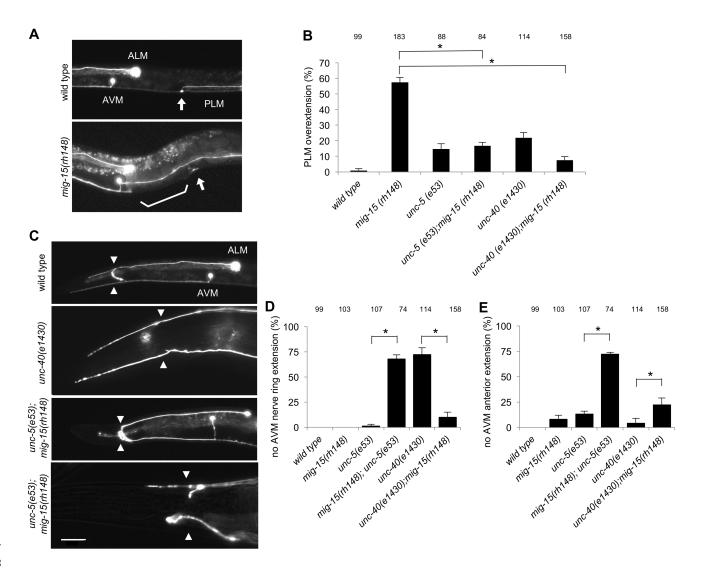
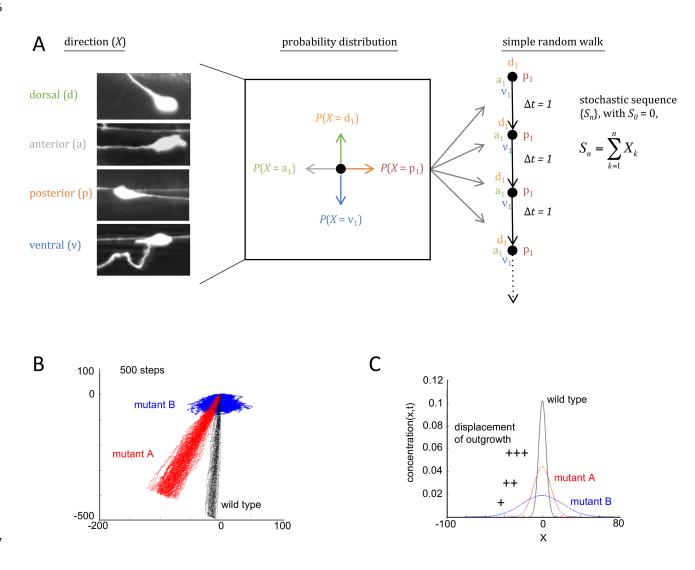


Figure 5 Assay to measure the effects a mutation has on movement. (A) The direction of 1219 outgrowth extension from the HSN cell body can vary and whether the axon developed in the 1220 dorsal, anterior, posterior, or ventral direction in L4 stage animals is scored (left panel). This 1221 creates a probability distribution in which the direction (*X*) is a random variable (center panel). 1222 A simple random walk is generated by using the same probability distribution for a succession 1223 of steps with an equal time interval (right panel). (**B**) For wildtype and two mutants, 50 1224 simulated random walks of 500 steps were plotted from an origin (0.0). The results graphically 1225 indicate the directional bias for movement. For random walk movement created in mutant A 1226 (red, results from *unc-5(e53*)), the directional bias is shifted anteriorly (left) relative to 1227 wildtype. The results also graphically show the displacement of movement. For random walk 1228 movement created in mutant B (blue, results from *egl-20(n585);sax-3(ky123)*), the average of 1229 1230 the final position (displacement) from the origin is a much shorter distance than wildtype. (C) Plots of the normal distribution of the final position along the x axis of the random walk tracks 1231 shown in B. The mean position for each is set at 0. The plots graphically illustrate how random 1232 walks constructed from the probability distribution for the direction of outgrowth extensions 1233 can reveal a diffusion process. 1234



1238 **Figure 6. Mutations have different effects on movement.** Examples of random walk

- analyses using the direction of axon development from the HSN neuron in different mutants
- 1240 (Table 1). The graphs were created as described in the figure legend of Figure 3. For each
- 1241 panel, plots are shown for the normal distribution of the final position along the x axis for the
- random walk tracks plotted in the inserts. The inserts depict the random walk movement that
- would be produced by the probability distribution for the direction of outgrowth in the mutant.
- 1244 Plots derived from the same data are colored alike. Each panel depicts the analyses of four
- different mutants and wildtype. Three different distribution patterns are observed: (1) the
- wild-type distribution, which has the distribution curve with the highest peak; (2) the *unc-5*,
- *egl-20, unc-53,* and *unc-6* (not shown) distribution, which is flatter than the wild-type curve; (3)
- 1248 the *madd-2, sax-3, mig-15,* and double combinations, which have the flattest distribution curve.

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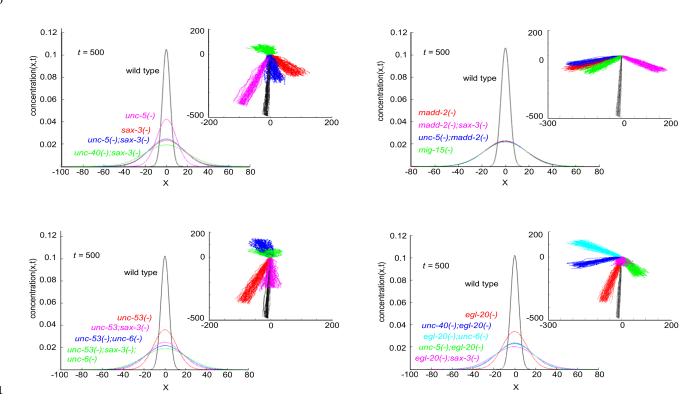
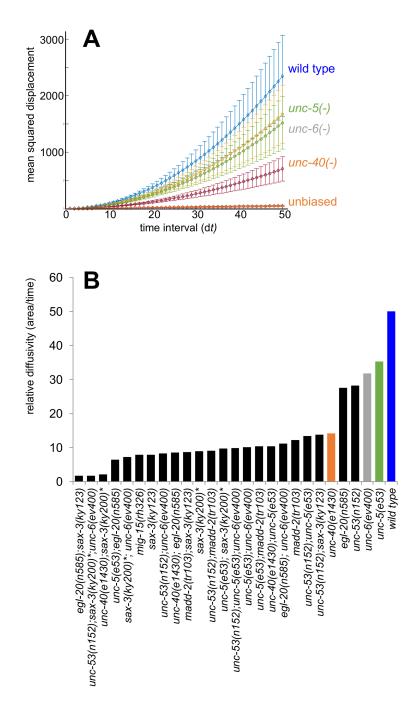
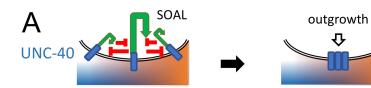


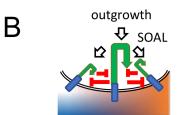
Figure 7. Mutations alter the spatial extent of movement. (A) Plotted are the mean 1252 squared displacement (MSD) curves as a function of time interval (dt). The values are in 1253 arbitrary units, since the time scale was arbitrarily set at 1. The curves show the extent that 1254 different mutations can alter the MSD relative to wildtype and the MSD caused by an unbiased 1255 random walk. For each time interval, mean and s.e.m. are plotted. (B) From the slope of MSD 1256 curves a coefficient can be derived that gives the relative rate of diffusion. Colored bars 1257 correspond to the like-colored curves given in panel A. The coefficients for *unc-5*, *egl-20*, *unc-*1258 *53*, and *unc-6* form a class that is distinct from that derived from wildtype and from the double 1259 mutants. 1260



1265 Figure 8. Models for the relationship between UNC-40-mediated outgrowth activity and

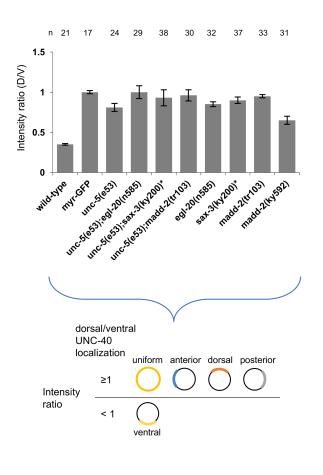
UNC-40 receptor clustering. (A) In this model the self-organizing UNC-40 SOAL process 1266 causes observable UNC-40 receptor clustering. Receptor clusters become stable and exit the 1267 SOAL process, at which point they recruit the outgrowth machinery. Whereas the direction of 1268 asymmetric receptor localization is determined stochastically, the direction of outgrowth is 1269 determined by the site of stabilization. **(B)** In this model the self-organizing UNC-40 SOAL 1270 process is coupled to the outgrowth machinery. The direction of both asymmetric receptor 1271 localization and outgrowth activity are stochastically determined. Observable receptor 1272 clustering may arise over time and these sites may become paramount for outgrowth 1273 movement, but cluster formation is not a prerequisite for outgrowth activity. Conceptually, in 1274 both models the site of outgrowth activity is determined by where UNC-40 receptor complexes 1275 1276 recruit the outgrowth machinery, however the second model postulates situations where there are innumerable fluctuating sites that create outgrowth movement in various directions. 1277 Because the effect at each instance of time of every outgrowth event is immeasurable, 1278 outgrowth in this model is considered as a continuous stochastic process that evolves over 1279 time. 1280 1281 1282 1283 1284

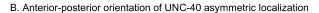


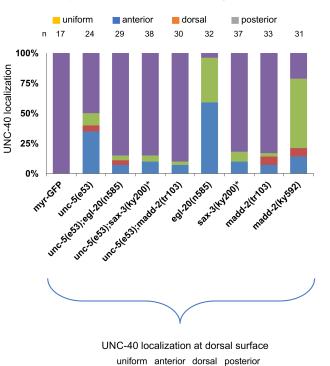


1288	Figure 9. Mutations affect asymmetric intracellular UNC-40::GFP localization. (A) Graph
1289	indicating the dorsal-ventral localization of UNC-40::GFP in HSN. The graph shows the average
1290	ratio of dorsal-to-ventral intensity from linescan intensity plots of the UNC-40::GFP signal
1291	around the periphery of the HSN cell. UNC-40::GFP is ventrally localized in wildtype, but the
1292	ratio is different in the mutants. Error bars represent standard error of mean. Below is a
1293	graphic representation of the possible UNC-40 localization patterns when the intensity ratio is
1294	\geq 1 or is <1. (B) Graph indicating the anterior-posterior localization of UNC-40::GFP. To
1295	determine orientation, line-scan intensity plots of the UNC-40::GFP signal across the dorsal
1296	periphery of the HSN cell were taken, the dorsal surface was geometrically divided into three
1297	equal segments, and the total intensity of each was recorded. The percent intensity was
1298	calculated for each segment and ANOVA was used to determine if there is a significant
1299	difference between the three segments (see Material and Methods). Whereas in the <i>unc-5</i> and
1300	egl-20 mutants there is a bias for anterior or posterior localization, there is a uniform
1301	distribution in <i>unc-5;egl-20</i> double mutants. Uniform distribution is also observed in strong
1302	loss-of-function <i>sax-3</i> and <i>madd-2</i> mutants. (*) Animals grown at the <i>sax-3(ky200)</i> restrictive
1303	temperature (25°C). Below is a graphic representation of the possible UNC-40 localization
1304	patterns.

A. Dorsal-ventral orientation of UNC-40 asymmetric localization









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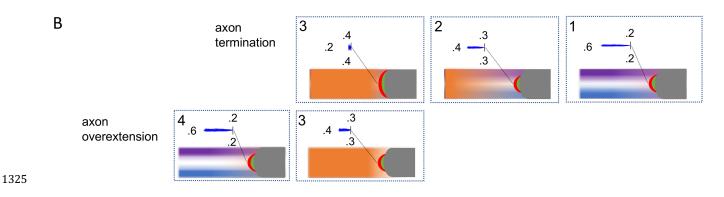
1308 **Figure 10. Model for the outgrowth movement of PLM.** Schematic diagrams of the

anteriorly directed outgrowth of PLM. The elements of the schematics are described in Figure 1309 2. (A) At each of the four positions an extension encounters different levels of extracellular 1310 cues. At position 3, a cue(s) is present that inhibits outgrowth activity. PLM extension 1311 terminates in this area in wildtype, but in some mutants there is an overextension to position 4. 1312 (B) Depicted are the effects that extracellular cues have on the relative probabilities of UNC-40-1313 mediated and nonUNC-40-mediated outgrowth activity at the different positions. At position 3 1314 in wildtype the degree to which the direction of outgrowth activity fluctuates increases and the 1315 extent of outgrowth movement decreases, stalling outgrowth. Because the SOAL process is 1316 perturbed in the mutants, at position 3 the probability of outgrowth activity perpendicular to 1317 the direction bias does not increase as much as in wildtype and the probability of anterior 1318 1319 outgrowth activity remains greater. This allows a stronger directional bias to persist, allowing forward extension. To illustrate the concept, random walk models are shown which were 1320 constructed using the indicated values for outgrowth in the anterior, dorsal, and ventral 1321 directions. See text for details. 1322

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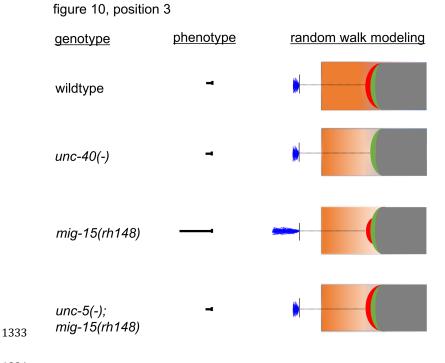






1327 Figure 11. Model for the effects that mutations have on the outgrowth movement of PLM.

- 1328 Schematic diagrams of PLM outgrowth at position 3 of Figure 10 in wildtype and mutants. The
- elements of the schematics are described in Figure 2. PLM outgrowth terminates in wildtype,
- *unc-40*, and *unc-5;mig-15* mutants, but overextends in *mig-15* mutants. See text for details.
- 1331
- 1332



1335 **Figure 12. Model for the outgrowth movement of AVM at the nerve ring.** Schematic

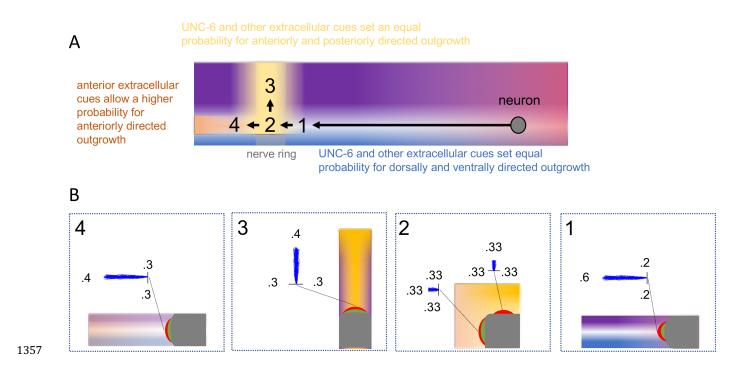
diagrams of the outgrowth of AVM at the nerve ring. The elements of the schematics are 1336 described in Figure 2. (A) At each of the four positions an extension encounters different 1337 levels of extracellular cues. At position 2, a cue(s) at the nerve ring promotes UNC-40-mediated 1338 outgrowth activity. From this position in wildtype, AVM extends further anteriorly and also 1339 dorsally along the nerve ring. However, in some mutants either the anterior or dorsal 1340 extension is absent. In other mutants, extension in both directions is absent. **(B)** Depicted are 1341 the effects that extracellular cues have on the relative probabilities of UNC-40-mediated and 1342 nonUNC-40-mediated outgrowth activity at the different positions. At position 2 in wildtype 1343 the extracellular cues create a weak bias for outgrowth in both the anterior and dorsal 1344 directions. Further dorsally at position 3, cues create an equal probability for outgrowth in the 1345 1346 anterior and posterior directions, allowing the nerve ring cue that promotes UNC-40-mediated outgrowth to maintain a dorsal directional bias. Further anteriorly at position 4, cues create an 1347 equal probability for outgrowth in the dorsal and ventral directions, allowing anterior cues that 1348 promote outgrowth to create an anterior directional bias. To illustrate the concept, random 1349 walk models are shown which were constructed using the indicated values for outgrowth in the 1350 indicated directions. See text for details. 1351

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1359	Figure 13. Model for the effects that mutations have on the outgrowth movement of AVM
1360	at the nerve ring. Schematic diagrams of AVM outgrowth at position 2 of Figure 12 in
1361	wildtype and mutants. The elements of the schematics are described in Figure 2. AVM
1362	outgrowth extends both anteriorly and dorsally from this position in wildtype, <i>mig-15</i> , and <i>unc-</i>
1363	40;mig-15 mutants. Dorsal extensions are often absent in unc-40 mutants, whereas both
1364	anterior and dorsal extensions are absent in <i>unc-5;mig-15</i> mutants. See text for details.
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figure 12, position 2

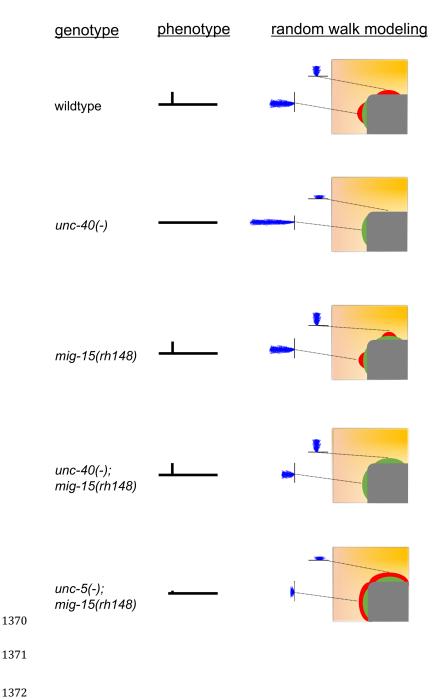


Figure 14. Model for the outgrowth movement of HSN. Schematic diagrams of the ventrally 1373 directed outgrowth of HSN. The elements of the schematics are described in Figure 2. (A) At 1374 each of the three positions an extension encounters different levels of extracellular cues. At 1375 position 3, a cue(s) is present that promotes outgrowth activity. At the time when extension is 1376 first observed in wildtype, extension is absent in some mutants. Nevertheless, ventral 1377 extension will be complete in the mutants at about the same time as in wildtype. **(B)** Depicted 1378 are the effects that extracellular cues have on the relative probabilities of UNC-40-mediated and 1379 nonUNC-40-mediated outgrowth activity at the different positions. Compared to wildtype, at 1380 position 1 in mutants with delayed protrusion the degree to which the direction of outgrowth 1381 activity fluctuates is greater and the extent of outgrowth movement is less, resulting in a 1382 reduced rate of outgrowth. A weak ventral directional bias develops in the mutant that allows 1383 1384 initial ventral extension and by position 2 this ventral bias is stronger. At position 3 in both wildtype and mutant, the degree to which the direction of outgrowth activity fluctuates 1385 increases and the extent of outgrowth movement decreases. To illustrate the concept, random 1386 walk models are shown which were constructed using the indicated values for outgrowth in the 1387 anterior, posterior, and ventral directions. See text for details. 1388

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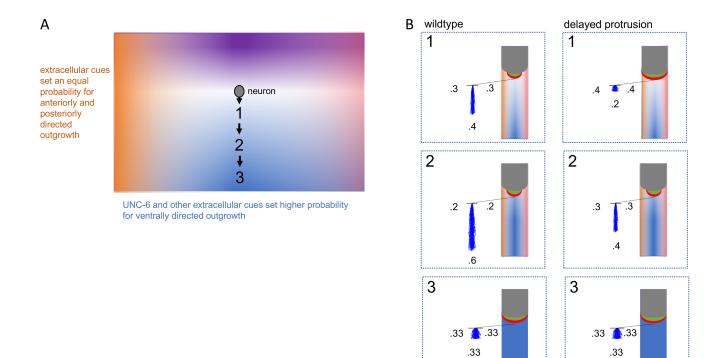
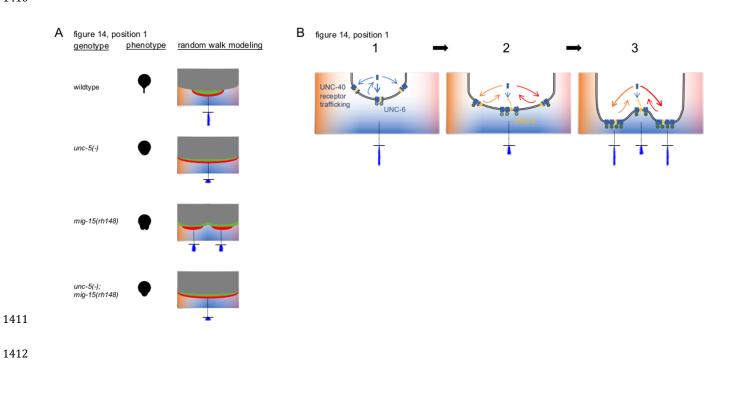


Figure 15. Model for the effects that mutations have on the outgrowth movement of HSN.

- (A) Schematic diagrams of HSN outgrowth at position 1 of Figure 14 in wildtype and mutants.
- 1395 The elements of the schematics are described in Figure 2. HSN outgrowth is delayed in *unc-5*
- 1396 mutants and can develop multiple processes in *mig-15* mutants. The multiple process
- phenotype is suppressed in *unc-5;mig-15* mutants. See text for details. **(B)** Schematic diagrams
- 1398 of the development of multiple process that maintain the same directional bias. The process is
- shown in three steps. At the first step, UNC-40 receptors (blue rectangles) are trafficked to the
- 1400 membrane as part of the UNC-40 SOAL process (blue arrows). At the second step, UNC-5
- signaling alters the trafficking, increasing the rates anterior (orange arrows) and posterior (red
- arrows). By the third step, the rate of outgrowth at the flanking regions is greater. We propose
- that UNC-40, UNC-5, and UNC-6 can be considered as components of an activator-inhibitor
- system which allows outgrowth patterns to self-organize. See text for details.
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1413 Figure 16. Genetic pathways for self-organizing UNC-40 asymmetric localization. (A)

- 1414 Table summarizing the results of experiments previously reported and described in Figure 9 of
- this paper. **(B)** The genetic data support a model whereby the UNC-6 and EGL-20 extracellular
- cues regulate at least three pathways leading to robust asymmetric UNC-40 localization.
- 1417 Robust asymmetric UNC-40 localization refers to the ability to observe UNC-40::GFP clustering
- 1418 at the surface of the neuron. Arrows represent activation; bars represent repression. See text
- 1419 for the logic used to construct the pathways.

