1 Microbial regime changes and indicators of eutrophication on the Mississippi
2 River identified via a human-powered 2900 km transect
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Abstract

Draining 31 states and roughly 3 million km^2, the Mississippi River (MSR) and its tributaries constitute an essential resource to millions of people for clean drinking water, transportation, agriculture, and industry. Since the turn of the 20th century, MSR water quality has continually rated poorly due to human activity. Acting as first responders, microorganisms can mitigate, exacerbate, and/or serve as predictors for water quality, yet we know little about their community structure or ecology at the whole river scale for large rivers. We collected 16S and 18S rRNA gene amplicons and physicochemical samples from 38 MSR sites over nearly 3000 km from Minnesota to the Gulf of Mexico. These data represent the first of their kind for a major river and revealed distinct regime changes between upper and lower MSR microbial communities that corresponded to Strahler's river order and nutrient concentrations. Within these assemblages, we identified subgroups of OTUs from the phyla Acidobacteria, Bacteroidetes, and Heterokonts that highly correlated with, and were predictive of, important eutrophication nutrients. This study offers the most comprehensive view of Mississippi River microbiota to date, establishes the groundwork for future temporal and spatial studies of river perturbations, and provides potential microbial indicators of river health.

By connecting terrestrial, lotic, and marine systems, rivers perform vital
roles in both the transport and processing of compounds in all major global
biogeochemical cycles[1–5]. Within the carbon cycle alone, rivers collectively
discharge organic carbon to the oceans at over 0.4 Pg C yr^{-1} [6]. Perhaps more
importantly, rivers are generally net heterotrophic[7], indicating that they not only
transport organic matter but host active metabolic processing of it as well.
Conservative estimates place heterotrophic output of the world's fluvial networks
(streams, rivers, and estuaries) at 0.32 Pg C yr^{-1} [5,8]. Although rivers contain a
small minority of global fresh water at any given moment, the considerable
volumes that pass through these systems make them relevant to models
attempting to quantify global elemental transformations. However, the
fundamental engines of these transformations- microorganisms- have received
comparatively little study in rivers relative to other aquatic systems, despite the
fact that microbial functions likely play a vital role in ecosystem health for both
rivers themselves and their places of discharge.
At 3734 km, the Mississippi River (MSR) is the fourth longest on earth,
draining 31 U.S. states and two Canadian provinces- a watershed consisting of
41% of the continental U.S[9,10] The MSR is a major source of drinking water for
many U.S. cities; a critical thoroughfare for transportation, commerce, industry,
agriculture, and recreation; and conveys the vestiges of human activity to the
Gulf of Mexico (GOM). In New Orleans, the average flow rate is over 600,000
cubic feet s^{-1} (cfs)[11], but can exceed 3 million cfs during flood stages[12], carrying
over 150 x 10^9 kg of suspended sediment into the northern GOM annually[9,13].
This massive discharge includes excess nutrients (nitrogen and phosphorus),
primarily from agricultural runoff [14–18], and fuels one of the largest marine zones
of seasonal hypoxia in the world[19–22]. Understanding microbial relationships to
river eutrophication will inform hypotheses regarding their contributions to either
mitigating or exacerbating nutrient input.
Far from a homogenous jumble of organisms ferried downriver, microbial
community composition changes with distance from the river mouth and/or from
the influence of tributaries[23–25], attributable to changing nutrient concentrations[26–28], dendritic length[4,29], differing dissolved organic matter (DOM) sources[26,30,31],
97
and land use changes[16,27,28,32]. Past studies of the Thames, Danube, Yenisei,
and Columbia Rivers have found that planktonic river microbiota were dominated
by the phyla Actinobacteria, Proteobacteria, and Bacteriodetes, specifically, taxa
such as- hgcI/caI Actinobacteria, *Polynucleobacter* spp., GKS9, and LD28
Betaproteobacteria, CL500-29 Bacteriodetes, LD12 freshwater SAR11
Alphaproteobacteria, and *Novosphingobium* spp.[4,33,34]. More recent 16S rRNA
gene amplicon and metagenomic studies of the Minnesota portion of the MSR
corroborated previous research in other rivers that identified an increased
proportion[4] or richness[29] of freshwater taxa with river distance, and an increased
abundance of "core" river taxa[35] with cumulative residence time[44,22,27,33, 52].

Researchers have suggested that these patterns supported application of
the River Continuum Concept (RCC)[10] to river microbiota. The concept postulates
that as a river increases in size, the influences of riparian and other inputs will
decrease as the river establishes a dominant core community[36], and richness will
increase from headwaters to mid-order before decreasing in higher order rivers[36].
Therefore, as continuous systems with increasing volumes and residence times,
river microbiota should transition from experiencing strong influences of mass
effects from terrestrial and tributary sources to systems where species sorting
plays a more important role[4,37,38]. Complicating matters, particle-associated
communities in rivers (frequently defined as those found on filters of > ~3 μm)
remain distinct from their free-living counterparts[37–39, 53], potentially due to
increased production rates from readily obtainable carbon[38, 53]. Typical taxa
associated with particles include OTUs related to the Bacteroidetes clades
Flavobacteria and *Cytophaga*, Planctomycetes, *Rhizobium* spp., and
Methylophilaceae spp[39,42–44]. However, consistent trends in particle community
composition are murky, with recent evidence suggesting organisms may switch
between free-living and particle-associated lifestyles depending on substrate
availability and chemical queues[42,45]. Thus, rivers constitute complex and highly
dynamic ecosystems from a metacommunity perspective.
However, our knowledge of river microbial assemblages, their ecology,
dispersal, and their relationship to chemical constituents remains in comparative
infancy to that of lakes and oceans. Although microbes play a central role in the
RCC[36], microbiologically-oriented transects at the whole-river or -catchment scale
have only been conducted for a handful of systems[4,28,29,46,47], and until this work,
none had been attempted for any of the largest rivers in the world. Furthermore,
little data exists on microbial eukaryotic communities in rivers. During the fall of
2014, we completed the most extensive microbiological survey of the Mississippi
River to date with a continual rowed transect over two months. Rowers from the
adventure education non-profit OAR Northwest collected samples from
Minneapolis, MN to the Gulf of Mexico (2918 km) (Fig. 1A). They also maintained
active blogging and social media content and visited 21 schools along the river
that incorporated elements of the journey into their curriculum. Our findings
greatly expand the current information available on microbial assemblages in
major lotic ecosystems and help further delineate the relationships between
microbial structure and stream order, nutrients, and volume.
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Results
Using rowboats and simple syringe-based filtration protocol, we measured 12
biological, chemical, and physical parameters (e.g. 16S and 18S rRNA gene
communities, NH_4^+, river speed, etc.) from 38 sites along a 2918 km transect of
the MSR (Fig. 1A). River order increases dramatically at the Missouri confluence
(eighth to tenth Strahler order[48]), which corresponded to overall discharge (Fig.
1A) and beta diversity changes discussed below, and thus we used this juncture
to separate the upper MSR (0 km – 1042 km, Sites A-S) and lower MSR (1075-

2914 km, Sites T-Al). Within the upper MSR, NO_3^-, PO_4^{3-}, and NO_2^- were variable
but generally increased downriver until peak concentrations near the confluences
of the Illinois and Missouri Rivers. This gave way to lower and more consistent
concentrations along the lower MSR (Fig. 1B). Ammonium showed much greater
variability along the transect. Turbidity (inversely related to secchi disk visibility)
increased steadily downriver to a maximum near the Illinois and Missouri River
confluences (1042 km, Site S) (Fig. 1B), then trended downwards for the rest of
the transect. Planktonic (< 2.7 μm) cell counts varied between 1 and 3×10^6
cells/mL in the upper MSR, and decreased to high 10^5 cells/mL in the lower MSR
(Fig. 1B). Water temperature ranged from 19°C (133km, Site E) to 11.7°C (2552
km, Site Ag), and river speed, excluding three sites sampled from shore, was
between 5.5 mph at Site Y and 0.4 mph (597 km, Site L) (Table S1). Spearman
rank correlations of the measured environmental parameters showed strong
positive correlations between nitrate, phosphate, distance, and increased
turbidity; while nitrate and phosphate both strongly correlated negatively to water
temperature and river speed (Table S1).
168
Bacterial and archaeal communities
We observed a clear distinction between the 0.2-2.7 μm and > 2.7 μm 16S rRNA
gene communities (ANOSIM R = 0.65, P = 0.001) (Fig. S1A). Both size fractions
had comparable species richness and evenness values that trended upwards
downriver (Figs. S3A-B), although an earlier peak occurred for both at sites O-Q
(761-999 km) below the Des Moines River and above the Illinois River (Figs.
S3A-B). Both size fractions (stress = 0.14 for each) also showed a significant
separation between sites above and below the Missouri River confluence
(ANOSIM, > 2.7 μm: R = 0.44, P=0.001; 0.2-2.7 μm: R = 0.48, P = 0.001) (Figs.
2A and B), that the Eukaryotic fractions mirrored (below). The environmental
variables phosphate and turbidity had the highest correlation with the separation
between upper and lower > 2.7 μm communities (r = 0.57, r= 0.54, respectively),
with water temperature and distance (r > 0.40) also contributing (Fig. 2A). At an
OTU level, taxa related to the hgcI/cal clade (Actinobacteria) and unclassified
Bacillaceae (r > 0.77, P =0.001) contributed most to the separation between the
upper and lower > 2.7 communities, with OTUs related to the *Bacillales,*
Gemmatimonadaceae, Peptococcaceae, and *Micromonosporaceae* clades also
a factor (r > 0.70, P = 0.001) (Figure 2A, Table S1). For the 0.2-2.7 μm fraction,
distance and nitrate most strongly correlated with the distinction between upper
and lower communities among environmental factors (r = 0.59 and r = 0.47,
respectively), although phosphate, turbidity, and water temperature (r > 0.40 for
each) also contributed (Fig. 2B). OTUs related to *Flavobacterium* and a
unclassified Bacterium (closest NCBI BLAST hit *Acidovorax* sp., KM047473),
most strongly contributed to the separation between the 0.2-2.7 μm communities
(r > 0.52, P = 0.001). Other important OTUs belonged to the clades
Bacteroidetes, *Microbacteriaceae, Clostridiales*, and *Holophagaceae* (r > 0.49, P
= 0.001) (Figure 2B, Table S1).

At the phylum level, Proteobacteria, Actinobacteria, and Bacteroidetes
dominated bacterial communities in both fractions (Figs. 3A and B) (Table S1).
Proteobacteria in the > 2.7 *µm* fraction showed wide fluctuations in abundance
(Fig. 3A), whereas their 0.2-2.7 *µm* counterparts generally increased in relative
abundance downriver (Fig. 3B). 0.2-2.7 *µm* Bacteroidetes and Actinobacteria
generally decreased in the upper river and stabilized in the lower river, but
showed considerable variation in abundance in the larger fraction. Cyanobacteria
in the > 2.7 *µm* fraction strongly and negatively correlated with increased turbidity
(Spearman rank = 0.67). Both > 2.7 *µm* and 0.2-2.7 *µm* Acidobacteria had a
strong positive correlation with river distance (Wilcoxon single ranked test, P = <
0.01) (Fig. 3A and B). Within the 0.2-2.7 *µm* fraction, the five most abundant
OTUs were classified as LD12 (OTU11), two hgcI clade OTUs (OTU4, OTU7),
Limnohabitans sp. (OTU2), and LD28 (OTU8) (Table S1). Comparatively, an
unclassified *Methylophilaceae* (OTU1), *Planktothrix* sp. (OTU12), NS11-12
marine group (OTU21), *Aquirestis* sp. (OTU17), and unclassified
Sphingobacteriales (OTU25) were the most abundant OTUs in the > 2.7 *µm*
(Table S1). Archaea occurred at much lower relative abundances: we found only
eight OTUs belonging to the Euryarchaeota and Thaumarchaeota. 0.2-2.7 *µm*
Thaumarchaetoa increased in abundance along the transect (Fig. 3B), but at less
so than those in the > 2.7 *µm* fraction (Fig. 3A). In both fractions, we only
detected Euryarcheota at very low abundances.
We defined the core microbiome as those OTUs detectable after
normalization in greater than 90% of the samples. The > 2.7 *µm* and 0.2-2.7 *µm*
core microbiomes consisted of 95 and 106 OTUs, respectively, classified into
eight different phyla- Proteobacteria, Actinobacteria, Bacteroidetes,
Cyanobacteria, Verrucomicrobia, Chloroflexi, Chlorobi, Gemmatimonadetes- and
some remained unclassified (Table S1). Core microbome relative abundance in
both fractions decreased along the upper river but stabilized in the lower river
(Fig. 4A). We confirmed this effect by analyzing the upper and lower core
microbiomes separately. Although the total OTU numbers changed (81 and 116
OTUs in the upper MSR and 160 and 144 OTUs in the lower MSR for the > 2.7
µm and 0.2-2.7 *µm* fractions, respectively), the trends remained the same (Fig.
S4).
229
16S rRNA gene environmental ontology
We successfully classified 313 of the 945 OTUs with EnvO terminology (Table
S1). Of those, freshwater organisms dominated, although their relative
abundance in both the > 2.7 *µm* and 0.2-2.7 *µm* fractions decreased with river
distance before stabilizing in the lower MSR (Fig. S5A-B). However LD12, the
most abundant OTU in our dataset and a well-established freshwater organism,
did not receive an EnvO classification at all, indicating the limitations of this
technique with current database annotations. Terrestrial organisms from the >
2.7 *µm* fraction decreased along the transect (Fig. S5A), while the 0.2-2.7 *µm*
fraction remained stable (Fig. S5B). Although representing a minor fraction of

total OTUs, sediment-associated microorganisms remained steady along the
river in both fractions.. Taxa associated with anthropogenic sources in both
fractions increased along the river(Fig. S5A-B).
243
Microbial eukaryotic communities
Eukaryotic communities, observed via the 18S rRNA gene, also showed a
significant separation between > 2.7 *µm* and 0.2-2.7 *µm* fractions (R = 0.689, P =
0.001) (Fig. S1B). As expected due to generally larger cell sizes in microbial
eukaryotes compared to prokaryotes, species richness remained higher in the >
2.7 *µm* vs. 0.2-2.7 *µm* fractions (Fig. S3C-D). Richness in the > 2.7 *µm* fraction
gradually increased downriver, similarly to prokaryotic communities, but remained
relatively stable among the 0.2-2.7 *µm* fraction. Both the > 2.7 *µm* (stress = 0.113
and 0.2-2.7 *µm* (stress = 0.146) fractions also showed a significant separation
between the lower and upper MSR (ANOSIM, >2.7 *µm*: R = 0.696, P=0.001; 0.2-
2.7 *µm*: R = 0.576, P = 0.001) (Fig. 2C, D). Distance and phosphate constituted
the top two environmental factors influencing this distinction (r =0.75, r =0.48; r =
0.70, r =0.54, respectively) (Fig. 2C, D; Table S1). No other factors had
correlations > 0.40 (Table S1). At the OTU level, taxa related to an unclassified
Ochrophyta (OTU63) and unclassified Eukaryote (OTU1) separated the MSR
communities in the 0.2-2.7 *µm* (r > 0.63, P= 0.001), while the same unclassified
Eukaryote OTU (OTU1) and a second unclassified Eukaryote (OTU222)
contributed most to separating the >2.7 *µm* communities (r > 0.80, P = 0.001)
(Figure 2C and D, Table S1).
Stramenopiles (or Heterokonts), encompassing diatoms and many other
forms of algae, and OTUs that could not be classified at the phylum level,
dominated both the > 2.7 *µm* and 0.2-2.7 *µm* communities (Fig. 5).
Stramenopiles accounted for over 25% of both communities, with higher
abundances in the upper vs. lower river. We observed a similar trend of disparate
abundances between the upper and lower river for > 2.7 *µm* Cryptomonadales
and 0.2-2.7 *µm* Nucletmycea, which include fungi (Fig. 5A; Table S1). Within the
0.2-2.7 *µm* fraction, we classified the five most abundant OTUs as three
unclassified *Bacillariophytina* (OTU7, OTU14, OTU9), a *Pythium* sp. (OTU170),
and a unclassified *Cryptomonas* (OTU11) (Table S1). Comparatively, two
unclassified *Eukaryotes* (OTU2 and OTU1), a unclassified *Stramenopiles*
(OTU3), a unclassified *Perkinsidae* (OTU13), and a unclassified *Chrysophyceae*
(OTU6) had the highest abundance in the > 2.7 *µm* (Table S1).
Eighty OTUs comprised the > 2.7 *µm* core microbiome, averaging 28% of
aggregate community relative abundance (Fig. 4B). We classified these as
Alveolata, *Cryptophceae*, *Nucletmycea*, *Stramenopiles*, or unclassified
Eurkaryota (Table S1). Again, consistent with larger organism sizes, and thus
fewer OTUs overall, the 0.2-2.7 *µm* Eukaryotic core microbiome comprised only
21 OTUs that, in aggregate, averaged 20% of the community relative abundance
across all samples (Fig. 4B). These OTUs consisted of *Alveolata*, *Nucletmycea*,
Stramenopiles, or unclassified Eurkaryota (Table S1). While the 0.2-2.7 *µm* core

microbiome remained relatively stable along the river, the > 2.7 μm core
decreased along the upper MSR before stabilizing in the lower river, similarly to
that of the prokaryotes (Fig. 4B).
287
Network analyses identify indicator taxa associated with eutrophication
Since increased nitrogen and phosphorous is of interest for stakeholders both
along the river and in the GOM, we evaluated relationships between individual
OTUs and these nutrients to identify taxa indicative of eutrophication. Among 0.2-
2.7 μm prokaryotes, a co-correlation network (submodule) strongly associated
with nitrate (r = 0.6, P = 7e-08) (Fig. S6A) comprised 77 OTUs, mostly from the
Proteobacteria. OTU relative abundances explained 42% of the variance in
nitrate (LOOCV, R^2=0.65; corr = 0.65, P =1.e-09), and the top four OTUs by VIP
score belonged to the Proteobacteria and Bacteroidetes (Fig. 6B; FigS6A; Table
S1). Of these, three were highly correlated to nitrate (r > 0.50) (Table S1):
unclassified *Comamonadaceae, Mucilaginibacter,* and *Pseudospirillum* spp..
OTUs that had more than 8 node connections, indicating taxa co-correlating with
numerous others, included a *Mucilaginibacter* sp., a *Novosphingobium* sp., and a
Caulobacter sp. (Fig. 6B, Table S1).
The submodule best correlated with phosphate (r = 0.53, P = 2e-06) (Fig.
S6) comprised 151 OTUs that explained 80% of the variance (LOOCV, R^2=0.80;
corr = 0.89, P = < 2.3e-16) (Fig. S6D). OTUs with VIP scores > 1 belonged to
seven different phyla (Table S1), with the top four identified as an unclassified
Holophagaceae, an unclassified *Gemmatimonadaceae*, an unclassified
Burkholderiaceae, and a *Pseudosprillum* sp. (Table S1). Three of these four
OTUs had Pearson correlations with phosphate greater than 0.62 (Fig. 6B). The
most highly interconnected OTU within the submodule was an unclassified
Acidobacteria (Table S1).
In the > 2.7 μm fraction, the prokaryotic submodule with the highest
correlation to nitrate (r = 0.56, P = 3e-07) (Fig. S7A) had 133 OTUs and
explained 69% of the variation in nitrate (LOOVC, R^2 = 0.69; corr = 0.83, P= <
2.2e-16). The four highest VIP scoring OTUs, an *Anabaena* sp., a
Flavobacterium sp., an unclassified bacterium, and a member of the
Sphingobacteriales NS11-12 marine group, anticorrelated with nitrate (Fig. 7A,
Table S1). A NCBI BLAST of the unclassified bacterium returned no significant
hits > 90% identity to named organisms. OTUs with the highest node centrality
(> 20) belonged to two clades of bacteria, *Sphingomonadales*
(*Alphaproteobacteria*) and *Sphingobacteriales* (Bacteroidetes), and all correlated
positively to nitrate (r > 0.48, Table S1).
When considering phosphate, the most significant submodule showed a
modest correlation (r = 0.53, P = 1e-06, Fig. S7A), but OTU abundances could
only explain 48% of the variation of measured phosphate (LOOVC, R^2= 0.48;
corr= 0.77, P = 4.88e-15). Proteobacteria constituted the majority of the 80 OTUs
from the submodule, and the top four scoring VIP OTUs were an unclassified
Gammaproteobacteria, an *Arcicella* sp., an unclassified *Cytophagaceae*, and a

Nitrospira sp. (Fig. 7B, Table S1); the latter two had moderate correlations to
phosphate (r = > 0.55). The OTUs with the highest number of node connections
were a *Woodsholea* sp. from the *Caulobacterales* family and the same *Nitrospira*
OTU (Table S1).
332   Among 0.2-2.7 *μ*m Eukaryotic size fraction, a submodule strongly
associated with nitrate (r = 0.39, P = 6e-04) (Fig. S8A) comprised 39 OTUs,
mostly from the phylum Stramenopiles. OTU relative abundances explained 38%
of the variance in nitrate (LOOCV, R^2=0.38; corr = 0.62, P =2.97e-9), and the top
four OTUs by VIP score classified as an unclassified *Chrysophyceae*, an
unclassified *Ochrophyta*, and an unclassified *Chromulinales* (Fig. 8B; FigS8A;
Table S1). Of these, two highly correlated to nitrate (r > 0.49) (Table S1): the
Chrysophyceae, and *Chromulinales* OTUs.
340   The submodule best correlated with phosphate (r = 0.56, P = 2e-07) (Fig.
S8A) comprised 56 OTUs that explained 80% of the variance (LOOCV, R^2=0.80;
corr = 0.89, P = < 2e-16). OTUs with VIP scores > 1 belonged to four different
phyla (Table S1), with the top four identified as an unclassified
Peronosporomycetes, an unclassified *Ochrophyta*, an unclassified Eukaryote,
and an unclassified Stramenopiles. (Table S1). All four OTUs had Pearson
correlations with phosphate greater than 0.60, two were negative (Fig. 8B). The
most highly interconnected OTU within the submodule was an unclassified
Eukaryote (Table S1).
349   Among the > 2.7 *μ*m Eukaryote taxa, submodules with strongest
correlations to nitrate and phosphate (NO_3^-: r = 0.52, P = 2e-06; PO_4^{3-}: r = 0.60, P
= 2e-08, Fig. S9A) had smaller membership than those for prokaryotes,
comprising 59 and 39 OTUs, respectively. The OTUs in the submodule most
strongly correlated with nitrate could predict 57% of observed variation in nitrate
(LOOVC, R^2=0.572; corr = 0.759, P= 6.7e-15). OTUs with top VIP scores were
two unclassified Chrysophyceae, an unclassified Ochrophyta, and an
unclassified Diatom (Fig. 8B; Table S1). When considering phosphate,
submodule OTU abundances predicted 62% of measured concentrations
(LOOVC, R^2 = 0.618; corr = 0.799, P = < 2e-16). An unclassified Eukaryote and
an unclassified Peronosporomycetes occupied top two phosphate-associated
positions according to VIP score (Fig. 9B; Table S1).
361
362   **Discussion**
363   Our 2914 km transect of the MSR has supplied the longest
microbiologically oriented transect of a top ten river based on volume, length, or
drainage. Water samples illustrated a river continually inundated with nutrients
and sediment in its upper portion that gave way to more consistent levels in the
lower river (Fig. 1B). These distinct scenarios mirrored the different microbial
regimes separated by the Missouri river confluence (Fig. 2), which differed from
the historical distinction of the upper and lower MSR at the Ohio River confluence
in Cairo, IL, but matched the separation based on changes in Strahler order from
eight to ten[48]. In general, both prokaryotic fractions and the > 2.7 *μ*m fraction of

eukaryotic communities increased in richness downriver while the percent of core
community taxa decreased in the upper MSR before settling in the lower river
(Figs. 4, S3), concomitant with increased stability of environmental factors (Fig.
1B). Co-occurrence network analyses identified important potential indicator taxa
for the eutrophication nutrients nitrate and phosphate that may help future efforts
to detect and quantify imminent changes in river water quality.
In general, the most abundant OTUs throughout the MSR corresponded to
typical freshwater taxa observed in other important riverine/aquatic
studies[4,25,29,35,49,50], such as LD12 (*Alphaproteobacteria*), hcgl-cal clade
(Actinobacteria), *Polynucelobacter* (*Betaproteobacteria*), LD28
(*Betaproteobacteria*), and *Limnohabitans* (*Betaproteobacteria*) (Table S1).
Though our nutrient measurements mirrored conditions found in previous studies
of the Danube, Thames, and upper Mississippi rivers[4,27,34], the relative
abundances of important phyla differed. Specifically, in our study Proteobacteria
remained the most abundant phylum, while Bacteroidetes and Actinobacteria
decreased (Fig. 3), whereas in the Minnesota portion of the MSR[35], Thames[29],
Danube[4], and Columbia Rivers[40], Bacteroidetes dominated headwaters while
Actinobacteria dominated further downriver. General increases in MSR species
richness with distance and decreases in the percent core community along the
upper river contrasted predictions by the RCC[36] and observations in previous
studies[4,27] where these trends were reversed. Importantly, we did not sample the
true headwaters of the MSR (Lake Itasca to above St. Cloud), and therefore at
the point of first sampling, the MSR already constituted an eighth order river.
Ultimately, some of these variant observations may result from different sampling
methodologies, but also from biological signal related to unique environmental
conditions and human impacts, changes in the level of river engineering with
distance, and the magnitude of the MSR (in terms of volume and catchment
complexity) and its tributaries relative to previously sampled systems. However,
perhaps some differences lie in the proportion and scale at which the varied
influences of dispersal and environmental filtering occur.
Many of our results suggest similar underlying ecological mechanisms with
other river systems, even though the specific microbial community patterns differ.
Multiple studies have shown evidence for the importance of mass effects in
headwaters, while species sorting dominates with increased residence time as
rivers grow in size[44,52]. Our data suggests that mass effects indeed play a role in
the upper MSR, although instead of only in the headwaters, this process
continues for almost a third of the length of the river. Increased turbidity
correlated with decreases in freshwater bacteria (Spearman rank correlation, >
2.7 μm R = 0.55; 0.2-2.7 μm R = 0.51) and the core microbiome (Spearman rank
correlation, >2.7 μm R = 0.53; 0.2-2.7 μm R = 0.63) during the first ~1000 km- the
upper MSR- whereas these variables and some nutrient concentrations (Fig. 1)
stabilized in the lower MSR. These patterns are consistent with communities
under the influence of mass effects from tributaries in the upper MSR. Once the
MSR grew to a tenth order river, the large volume and size potentially buffered it

from allochthonous influences, allowing species sorting effects to dominate. The
lower river represents a more stable environment (e.g. nutrient concentrations)
with its increased size and volume, contrasting the more variant upper MSR.
Though the river speed increases, the effective residence time also increases
since taxa no longer experience rapidly changing environmental variables.
That we still observed variation between microbial communities along the
lower MSR concurs with environmental filtering attributable to unmeasured
bottom-up factors, such as the quality and quantity of DOM, or top-down
influences such as predation or viral lysis. An overall community shift from a
mixture of allochthonous members to a "native" population requires growth rates
that allow taxa to overcome mass effects over a given distance[53]. The lower river
also provides ample opportunities for microbial community differentiation based
on average prokaryotic growth rates[4], especially among particle-associated (>
2.7 μm) taxa[40]. Thus, while the aggregate patterns in particular phyla and
taxonomic richness may differ from other systems, similar ecological processes
may still occur, but the relative proportion of distance whereby mass effects vs.
species sorting dominate fosters unique community dynamics.
Within microbial communities lie taxa with unique roles as potential
mitigators of human impact. MSR water quality has continually been rated low[54],
suffers from significant eutrophication, and causes one of the largest worldwide
zones of seasonal hypoxia in the northern GOM[21]. Identification of
microorganisms with relationships to nitrogen and phosphorous will inform efforts
to model nutrient remediation and provide important targets for future study.
However, such microbial indicators of biological integrity (IBIs- metrics to quantify
the health of an aquatic system[55]), require better development[55–57]. Phosphate
and nitrate increased along the upper MSR and generally stabilized in the lower
river (Fig. 1). Using co-correlation networks and partial least squares modeling
(PLS), we identified submodules with containing taxa with significant predictive
power for phosphate and nitrate concentrations (Figs. 6-9). Individual OTUs
within these submodules with strong correlations to nitrate or phosphate, and VIP
scores > 1 within the PLS models, represent potential indicator taxa for these
nutrients.
Taxa in a 0.2-2.7 μm fraction submoule could predict 80% of the variance
in phosphate concentrations, with much weaker correlations to nitrate. A
Holophagaceae OTU (OTU33) was the top 0.2-2.7 μm taxon for predicting
phosphate concentrations based on VIP scores (Fig. 6B). It also occupied an
important role in separating communities at a beta-diversity level (Fig. 2B), and
resided in the core microbiome. A 2014 study on the tributaries of the MSR found
that the Ohio River had much higher abundance of Acidobacteria relative to other
tributaries[39], which corroborates our finding of increasing Acidobacteria
downriver. Although the *Holophagaceae* comprises diverse and abundant taxa[58],
many environmentally relevant taxa remain elusive to cultivation efforts[59]. One of
the few cultivated representatives from the *Holophagaceae* family, *Holophaga*
foetida, has the genomic capacity to accumulate phosphorous and synthesize

polyphosphate via a polyphosphate kinase[60]. While this organism has an
obligately anaerobic lifestyle* that makes it an unlikely match for the OTU, it
indicates genomic potential that may span the clade. An unclassified
Gemmatimonadaceae (OTU60) from the 0.2-2.7 μm fraction correlated strongly
with phosphate and also played a strong role in driving beta-diversity changes
between the upper and lower river (Fig. 2)
Contrasting the 0.2-2.7 μm taxa, a submodule in the > 2.7 μm fraction
could predict 69% of nitrate concentration variance. Within the prokaryotic > 2.7
μm taxa, an *Anabaena* sp. (OTU40) had the top VIP score among submodule
taxa predicting nitrate, correlated negatively (Fig. 7A), and had membership in
the core microbiome. The nitrogen-fixing *Anabaena*[61] typically bloom in low
dissolved inorganic nitrogen (DIN) conditions, making the absence of these
consistent with high DIN. Organisms in the *Sphingomonadaceae* (e.g.,
Novosphingobium spp.) also contributed strongly to the PLS models predicting
nitrate with both > 2.7 μm (Fig. 7A) and 0.2-2.7 μm (Fig. 6A) taxa (Table S1).
Novosphingobium isolates have previously been associated with eutrophic
environments[34,64–66] and some can reduce nitrate[64,65], making these OTUs good
candidate IBIs within the MSR basin.
Notably, a *Nitrospira* sp. (OTU96) significantly correlated with phosphate
(R^2=0.60, P=<0.001) and and was the second most important taxa for predicting
phosphate concentrations in the > 2.7 μm fraction (VIP = 1.8). *Nitrospira* occupy
a key role as nitrite oxidizers, and have been found in various environments
including the "Dead Zone" in the GoM[22] and in correlation with wastewater
treatment effluent[67]. This OTU makes an intriguing IBI candidate in a watershed
impacted by wastewater[68] and that directly influences the Dead Zone.
Additionally, as an organism that creates nitrate, this might represent a taxon that
exacerbates, rather than mitigates, the effects of eutrophication.
Within Eukaryotes, multiple algae and diatoms strongly correlated with
nitrate and phosphate (Fig. 8 and 9; Table S1), and specifically *Chrysophyceae*
taxa from both fractions correlated strongly with nitrate (Fig. 8A). *Chrysophyceae*
(golden algae) commonly occupy river systems[69] including the MSR[68], can be
autotrophic and mixotrophic[70], and may serve as predators of prokaryotes[71].
While we also identified many other eukaryotes as important predictors of
nutrients, poor taxonomic resolution hindered our ability to discuss them further.
Improved cultivation and systematics of key microbial eukaryotes will be vital to
understanding river nutrient dynamics.
While the most geographically comprehensive to date, this study only
encompasses a snapshot in time for the MSR. Seasonal changes that have been
observed in the Minnesota portion of the upper MSR[52] and the Columbia
River[52,72,73] undoubtedly influence this dynamic system. Future studies should
incorporate microbial responses, at a full river scale, to seasonal pulse events
(e.g. rain, snow melt) and how river size and volume may buffer local microbial
communities from allochthonous inputs. Our current research highlights the
uniqueness and complexities of the MSR ecosystem. The observed association

between changes in Strahler's river order, nutrient dynamics, and community
composition indicates the importance of hydrology[46,47] on the spatial dynamics
structuring microbial communities and provides baseline information for future
MSR studies that incorporate greater temporal and spatial resolution. With water
quality and river health of growing local and global importance[54,74], the
determination of candidate microbial IBIs also provides impetus for targeted
research on their functions and further investigation of these organisms as
sentinels of river health.
512
513
514 **Materials and Methods**
515
Sampling and Cell Counts
We used rowboats and a simple filtration protocol (Supplementary Information) to
collect water from 39 sites along a continually rowed transect of the MSR,
starting in Lake Itasca and ending in the GOM, over 70 days from September 18th
to November 26th, 2014. Sites were chosen to be near major cities and above
and below large tributaries. After some samples were removed due to insufficient
sequence data, contamination, or incomplete metadata (see below), the final
usable set of samples included 38 sites starting at Minneapolis (Fig. 1A, Table
S1). Most sampling occurred within the body of the river, although due to safety
issues, three samples were collected from shore (Table S1). We collected
duplicate samples at each site, but because separate rowboat teams frequently
collected these sometimes several dozen meters apart, they cannot be
considered true biological replicates and we have treated them as independent
samples. At each site, we filtered 120 mL of water sequentially through a 2.7 μm
GF/D filter (Whatman GE, New Jersey, USA) housed in a 25mm polycarbonate
holder (TISCH, Ohio, USA) followed by a 0.2 μm Sterivex filter (EMD Millipore,
Darmstadt, Germany) with a sterile 60 mL syringe (BD, New Jersey, USA). We
refer to fractions collected on the 2.7 μm and 0.22 μm filters as > 2.7 μm and 0.2-
2.7 μm, respectively. Flow-through water from the first 60 mL was collected in
autoclaved acid-washed 60 mL polycarbonate bottles. Both filters were wrapped
in parafilm, and together with the filtrate, placed on ice in Yeti Roadie 20 coolers
(Yeti, Austin, TX) until shipment to LSU. Further, 9 mL of whole water for cell
counts was added to sterile 15 mL Falcon tubes containing 1 mL of formaldehyde
and placed into the cooler. We monitored cooler temperature with HOBO loggers
(Onset, Bourne, MA) to ensure samples stayed at ≤ 4°C. The final cooler
containing samples from sites P-Al had substantial ice-melt. Though our filters
were wrapped in parafilm, we processed melted cooler water alongside our other
samples to control for potential contamination in these filters. Given that some of
our samples were expected to contain low biomass, we also included duplicate
process controls for kit contamination[75,76] with unused sterile filters. Flow-through
0.2 μm filtered water from each collection was analyzed for SiO_4, PO_4^{3-}, NH_4^+,
NO_3^-, and NO_2^- (μg/L) at the University of Washington Marine Chemistry

Laboratory
(http://www.ocean.washington.edu/story/Marine+Chemistry+Laboratory). Aboard-
rowboat measurements were taken for temperature and turbidity. We determined
turbidity by deploying a secchi disk (Wildco, Yulee, FL), while drifting with the
current so the line hung vertically. It was lowered until no longer visible, then
raised until just visible, and measured for its distance below the waterline. We
then calculated secchi depth from the average of two measurements.
Temperature was measured with probes from US Water Systems (Indianapolis,
IN), rinsed with distilled water between samples. Samples for cell counts were
filtered through a 2.7 μm GF/D filter, stained with 1x Sybr Green (Lonza), and
enumerated using the Guava EasyCyte (Millipore) flow cytometer as previously
described[77].
560
DNA extraction and Sequencing
DNA was extracted from both filter fractions and controls using a MoBio
PowerWater DNA kit (MoBio Laboratories, Carlsbad, CA) following the
manufacturer's protocol with one minor modification: in a biosafety cabinet (The
Baker Company, Stanford, ME), sterivex filter housings were cracked open using
sterilized pliers and filters were then removed by cutting along the edge of the
plastic holder with a sterile razor blade before being placed into bead-beating
tubes. DNA was eluted with sterile MilliQ water, quantified using the Qubit2.0
Fluorometer (Life Technologies, Carlsbad, CA), and stored at -20° C. Bacterial
and archaeal sequences were amplified at the V4 region of the 16S rRNA gene
using the 515f and 806r primer set[78], and eukaryotic sequences from the V9
region of the 18S rRNA gene using the 1391r and EukBR primer set[79]. Amplicons
were sequenced on an Illumina MiSeq as paired-end 250 bp reads at Argonne
National Laboratory. Sequencing of the 16S and 18S rRNA gene amplicons
resulted in 13253140 and 13240531 sequences, respectively.
576
Sequence Analysis
We analyzed amplicon data with Mothur v.1.33.3[80] using the Silva v.119
database[81,82]. Briefly, 16S and 18S rRNA gene sequences were assembled into
contigs and discarded if the contig had any ambiguous base pairs, possessed
repeats greater than 8 bp, or were greater than 253 or 184 bp in length,
respectively. Contigs were aligned using the Silva rRNA v.119 database,
checked for chimeras using UCHIME[83], and classified also using the Silva rRNA
v.119 database. Contigs classified as chloroplast, eukaryotes, mitochondria, or
"unknown;" or as chloroplast, bacteria, archaea, mitochondria, or "unknown;"
were removed from 16S or 18S rRNA gene data, respectively. The remaining
contigs were clustered into operational taxonomic units (OTUs) using a 0.03
dissimilarity threshold (OTU$_{0.03}$). After these steps, 146725 and 131352 OTUs
remained for the 16S and 18S rRNA gene communities, respectively.
590
Sample quality control

To evaluate the potential for contamination from extraction kits, cooler water in
the last set of samples, or leaking/bursting of pre-filters, all samples were
evaluated with hierarchical clustering and NMDS analysis. Hierarchical clustering
was preformed in R using the *hclust* function with methods set to "average", from
the vegan package[84]. Samples were removed from our analysis if they were
observed to be outliers in both the NMDS and hierarchical clustering such that
they grouped with our process controls. The process and cooler water controls
were extreme outliers in both, as was sample L2 (Fig. S1, S2). Sterivex and
prefilter samples generally showed strong separation with the exception of three
16S rRNA gene samples- STER X2, W2, S2 (Fig. S1, S2). The only other
samples that were removed were due to missing chemical data (Lake Itasca1-2,
A1-2) or failed sequencing (16S STER Af1; 16S PRE S2, X2; 18S PRE O1). Not
including process or cooler water controls, 152 samples were sequenced each
for prokaryotic and eukaryotic communities. After these QC measures, 144 and
149 samples remained in the analyses from the 16S and 18S rRNA gene
amplicons, respectively. Further, to control for potential contaminants, any OTU
with greater 20 reads in the process or cooler controls was removed from the
data set. 146725 and 131327 OTUs remained after these steps for 16S and 18S
rRNA gene communities, respectively.
611
Alpha and Beta Diversity
$OTU_{0.03}$ analyses were completed with the R statistical environment v.3.2.1[85].
Using the package *PhyloSeq*[86], alpha-diversity was first calculated on the
unfiltered OTUs using the "estimate richness" command within PhyloSeq, which
calculates Chao1[86]. After estimating chao1, potentially erroneous rare OTUs,
defined here as those without at least two sequences in 20% of the data, were
discarded. After this filter, the dataset contained 950 and 724 16S and 18S rRNA
gene OTUs, respectively. For site-specific community comparisons, OTU counts
were normalized using the package DESeq2[87] with a variance stabilizing
transformation[88]. Beta-diversity between samples was examined using Bray-
Curtis distances via ordination with non-metric multidimensional scaling (NMDS).
Analysis of similarity (ANOSIM) was used to test for significant differences
between groups of samples of the NMDS analyses using the *anosim* function in
the vegan package[84]. The influence of environmental parameters on beta-
diversity was calculated in R with the *envfit* function.
627
Network analyses and modeling
To identify specific OTUs with strong relationships to environmental parameters
(e.g. turbidity, NO_3^-), we employed weighted gene co-expression network
analysis (WGCNA)[89] as previously described[90] for OTU relative abundances.
First, a similarity matrix of nodes (OTUs) was created based on pairwise Pearson
correlations across samples. This was transformed into an adjacency matrix by
raising the similarity matrix to a soft threshold power (p; p = 6 for 16S and 18S >
2.7 μm, p = 4 for 16S 0.2-2.7 μm) that ensured scale-free topology. Submodules

of highly co-correlating OTUs were defined with a topological overlap matrix and
hierarchical clustering. Each submodule, represented by an eigenvalue, was
pairwise Pearson correlated to individual environmental parameters (Figs. S6-8
A). To explore the relationship of submodule structure to these parameters,
submodule OTUs were plotted using their individual correlation to said parameter
(here nitrate or phosphate) and their submodule membership, defined as the
number of connections within the module (Figs. S6-8 B, D). Strong correlations
between submodule structure and an environmental parameter facilitate
identification of OTUs that are highly correlated to that parameter. To evaluate
the predictive relationship between a submodule and a parameter, we employed
partial least square regression (PLS) regression analysis. PLS maximizes the
covariance between two parameters (e.g. OTU abundance and nitrate
concentration) to define the degree to which the measured value (OTU
abundance) can predict the response variable (nutrient concentration). The PLS
model was permutated a 1000 times and Pearson correlations were calculated
between the response variable and leave-one-out cross-validation (LOOCV)
predicted values. Modeled values were then compared with measured values to
determine the explanatory power of the relationships (Figs. S6-8 C, E). Relative
contributions of individual OTUs to the PLS regression were calculated using
value of importance in the projection (VIP)[91] determination. PLS was run using
the R package *pls*[92], while VIP was run using an additional code found here:
http://mevik.net/work/software/VIP.R.
658
Environmental Ontology
Environmental ontology of individual 16S rRNA gene OTUs was determined
using the SEQenv (v1.2.4) pipeline (https://github.com/xapple/seqenv) as
previously described[4]. Briefly, representative sequences of our OTUs were
searched against the NCBI nt database (updated on 07/01/2016) using BLAST
and filtered for hits with a minimum of 99% identity. From each hit, a text query of
the metadata was performed to identify terms representing the sequence's
environmental source. The text was mined for EnvO terms
(http://environmentontology.org/) and the frequency in which the terms appeared
for each OTU was recorded. Using the seq_to_names output provided by
SEQenv, EnvO terms were formed into eight groups: Freshwater, Aquatic
Undetermined, Salt Water, Anthropogenic, Other, Terrestrial, Sediment, and
Unclassified (Table S1). To be assigned a group, an OTU had to have the
majority (> 50%) of its hits classified to that term, while equal distribution between
two or more groups were classified as Unclassified. OTUs that returned no
significant hits to an EnvO term were assigned to a ninth category, NA. OTUs
and their corresponding relative abundances were merged based on the
assigned group and plotted.
677
678 *Accession numbers*

Community 16S and 18S rRNA gene community sequence fastq files are available at the NCBI Sequence Read Archive under the accession numbers: SRR3485674- SRR3485971 and SRR3488881- SRR3489315.

Code Availability
All code used for Mothur, SeqENV, PhyloSeq, WGCNA, and PLS regression analyses can be found on the Thrash lab website (http://thethrashlab.com/publications) with the reference to this manuscript linked to "Supplementary Data".

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Author Contributions
M.W.H., J.H., F.S., and J.C.T. designed the study, J.H., G.S., P.F., and M.P. collected the data, M.W.H. processed the samples, M.W.H. and J.C.T. analyzed the data, M.W.H. and J.C.T. wrote the manuscript, and all authors contributed to the editing of the manuscript.

Conflict of Interest
The authors declare no competing financial interests.

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974 **Figure Legends**

976 **Figure 1.** Sampling map (A) with graph inserts that represent the measured
977   discharge rate (cubic feet second^{-1} [CFS]) as recorded on the USGS
978   gauges, and six environmental parameters measured along the transect,
979   according to concentration or visible depth of secchi disk by distance (B).
980   Throughout the figure and text, blue and red dots represent sampling
981   locations above and below the Missouri River confluence, respectively, and
982   are designated throughout as "Upper" and "Lower." Cell Counts only
983   represent the < 2.7 μm fraction. C) An OAR Northwest rowboat dwarfed by
984   a paddleboat.

Figure 2. Non-metric multidimensional scaling (NMDS) results for whole
community correlations with environmental parameters (phosphate, nitrate,
nitrite, ammonia, distance (km), water temperature, turbidity (cm), river
speed (mph)) and the top ten OTUs based on significance (P) and strength
of correlation (r). The four plots represent $> 2.7 \ \mu m$ (A, C) and 0.2-2.7 μm
(B, D) fractions for the 16S (A, B) and 18S (C, D) rRNA gene communities.
Vector length is proportional to the strength of the correlation.
Figure 3. Relative abundance, by phylum, according to transect distance, for
phyla accounting for $> 0.1\%$ of the total reads for the 16S rRNA gene > 2.7
μm (A) and 0.2-2.7 μm (B) communities. Non-linear regressions with 95%
CI (gray shading) are provided for reference.
Figure 4. Core microbiome aggregate abundance for the 16S (A) and 18S (B)
rRNA gene. In each, triangles and circles points represent 0.2-2.7 μm and
$> 2.7 \ \mu m$ fractions, respectively. Non-linear regressions with 95%
confidence intervals (CI) (gray shading) are provided for reference.
Figure 5. Relative abundance, by phylum, according to transect distance, for
phyla accounting for $> 0.1\%$ of the total reads for the 18S rRNA gene > 2.7
μm (A) and 0.2-2.7 μm (B) communities. Non-linear regressions with 95%
CI (gray shading) are provided for reference.
Figure 6. PLS results for the 0.2-2.7 μm 16S rRNA gene community for selected
submodules with nitrate and phosphate and a VIP score > 1. Correlation of
submodule OTUs to nitrate (A) and phosphate (B), according to the
number of co-correlations (node centrality). Circle size is proportional to
VIP scores, with top 10 VIP scoring and top node centrality OTUs labeled
with their highest-resolution taxonomic classification and OTU number.
Colors represent the taxonomic classification the phylum level.
Figure 7. PLS results for the $> 2.7 \ \mu m$ 16S rRNA gene community for selected
submodules with nitrate and phosphate and a VIP score of > 1. Correlation
of submodule OTUs to nitrate (A) and phosphate (B), according to the
number of co-correlations (node centrality). Circle size is proportional to
VIP scores, with top 10 VIP scoring and top node centrality OTUs labeled
with their highest-resolution taxonomic classification and OTU number.
Colors represent the taxonomic classification the phylum level.
Figure 8. PLS results for the 0.2-2.7 μm 16S rRNA gene community for selected
submodules with nitrate and phosphate and a VIP score of > 1. Correlation
of submodule OTUs to nitrate (A) and phosphate (B), according to the
number of co-correlations (node centrality). Circle size is proportional to
VIP scores, with top 10 VIP scoring and top node centrality OTUs labeled
with their highest-resolution taxonomic classification and OTU number.
Colors represent the taxonomic classification the phylum level.
Figure 9. PLS results for the $> 2.7 \ \mu m$ 18S rRNA gene community for selected
submodules with nitrate and phosphate and a VIP score of > 1. Correlation
of submodule OTUs to nitrate (A) and phosphate (B), according to the
number of co-correlations (node centrality). Circle size is proportional to

VIP scores, with top 10 VIP scoring and top node centrality OTUs labeled
with their highest-resolution taxonomic classification and OTU number.
Colors represent the taxonomic classification the phylum level.

A

CFS
400,000
300,000
200,000
100,000
0
Royalton, MN

= Lower MSR
= Upper MSR

CFS
400,000
300,000
200,000
100,000
0
Clinton, IA

CFS
400,000
300,000
200,000
100,000
0
Missouri River

Missouri River

Ohio River

CFS
400,000
300,000
200,000
100,000
0
St. Louis, MO

CFS
400,000
300,000
200,000
100,000
0
Ohio River

Arkansas River

Tenn. River

CFS
400,000
300,000
200,000
100,000
0
Baton Rouge, LA

CFS
400,000
300,000
200,000
100,000
0
Belle Chase, LA

B

PO4

ug/L
150
100
50
0

NO3

ug/L
3000
2000
1000

NO2

ug/L

NH4

ug/L
30
20
10
0

Secchi Disk

cm
120
90
60
30

Cell Counts

3e+06
2e+06
1e+06

Distance (km)
0   1000   2000   3000

C