

The burden of dengue and chikungunya in Ecuador

1 **The burden of dengue fever and chikungunya in southern coastal Ecuador:**
2 **Epidemiology, clinical presentation, and phylogenetics from the first two**
3 **years of a prospective study**

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5 Anna M. Stewart-Ibarra^{1,2,*}, Sadie J. Ryan^{1,3,4,5}, Aileen Kenneson¹, Christine A. King^{1,6}, Mark
6 Abbott^{1,6}, Arturo Barbachano-Guerrero⁶, Efraín Beltrán-Ayala⁷, Mercy J. Borbor-Cordova⁸,
7 Washington B. Cárdenas⁸, Cinthya Cueva¹, Julia L. Finkelstein⁹, Christina D. Lupone¹, Richard
8 G. Jarman¹⁰, Irina Maljkovic Berry¹⁰, Saurabh Mehta⁹, Mark Polhemus^{1,2}, Mercy Silva¹¹, and
9 Timothy P. Endy^{1,2,6}

10

11 ¹ Center for Global Health & Translational Sciences, SUNY Upstate Medical University,
12 Syracuse, NY, USA

13 ² Department of Medicine, SUNY Upstate Medical University, Syracuse, NY, USA

14 ³ Department of Geography, University of Florida, Gainesville, FL, USA

15 ⁴ Emerging Pathogens Institute, University of Florida, Gainesville, FL, USA

16 ⁵ College of Life Sciences, University of Kwazulu-Natal, Durban, South Africa

17 ⁶ Department of Microbiology & Immunology, SUNY Upstate Medical University, Syracuse,
18 NY, USA

19 ⁷ Department of Medicine, Universidad Técnica de Machala, Machala, El Oro Province, Ecuador

20 ⁸ Faculty of Marine Engineering, Oceanic and Biological Sciences, and Natural Resources,
21 Escuela Superior Politecnica del Litoral (ESPOL), Guayaquil, Ecuador

* Corresponding author: Center for Global Health & Translational Science, State University of New York (SUNY) Upstate Medical University, 505 Irving Ave., Syracuse, NY 13210 USA; Email: stewartia@upstate.edu; Phone: +1 315 464 6489

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22 ⁹ Division of Nutritional Sciences, Cornell University, Ithaca, NY, USA

23 ¹⁰ Viral Diseases Branch, Walter Reed Army Institute of Research (WRAIR), Silver Springs,
24 MD, USA

25 ¹¹ Ministry of Health, Machala, El Oro, Ecuador

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27 **Running head:** The burden of dengue and chikungunya in Ecuador

28 **Key words:** dengue fever, chikungunya, arbovirus, Ecuador, active surveillance

29 **Word count:** Abstract: 250; Text: 7763; Figures: 7; Tables: 5; Supplementary Tables: 6

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30 **Abstract**

31 Here we report the findings from the first two years of an arbovirus surveillance study conducted
32 in Machala, Ecuador, a dengue endemic region (2014-2015). Patients with suspected dengue
33 virus (DENV) infections (index cases, n=324) were referred from five Ministry of Health clinical
34 sites. A subset of DENV positive index cases (n = 44) were selected, and individuals from the
35 index household and four neighboring homes within 200-meters were recruited (n = 400).
36 Individuals who entered the study, other than index cases, are referred to as associates. In 2014,
37 70.9% of index cases and 35.6% of associates had acute or recent DENV infections. In 2015,
38 28.3% of index cases and 12.8% of associates had acute or recent DENV infections. For every
39 DENV infection captured by passive surveillance, we detected an additional three acute or recent
40 DENV infections in associates. Of associates with acute DENV infections, 68% reported
41 dengue-like symptoms, with the highest prevalence of symptomatic acute infections in children
42 under 10 years of age. The first chikungunya virus (CHIKV) infections were detected on
43 epidemiological week 12 in 2015. 43.1% of index cases and 3.5% of associates had acute
44 CHIKV infections. No Zika virus infections were detected. Phylogenetic analyses of isolates of
45 DENV from 2014 revealed genetic relatedness and shared ancestry of DENV1, DENV2 and
46 DENV4 genomes from Ecuador with those from Venezuela and Colombia, indicating presence
47 of viral flow between Ecuador and surrounding countries. Enhanced surveillance studies, such as
48 this, provide high-resolution data on symptomatic and inapparent infections across the
49 population.

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50 **Introduction**

51 The region of the Americas is facing an unprecedented public health crisis of co-
52 occurring epidemics of illness due to dengue virus (DENV), chikungunya virus (CHIKV) and
53 Zika virus (ZIKV). These arboviruses cause acute febrile illness and are transmitted to humans
54 by the female *Aedes aegypti* and *Ae. albopictus* mosquitoes.

55 Dengue fever is caused by an infection by one of the serotypes of the mosquito-borne
56 dengue virus (DENV 1-4, family *Flaviviridae*, genus *Flavivirus*). Clinical manifestations range
57 from mild illness (*i.e.*, fever, rash, joint pain) to severe illness characterized by pathologic
58 vascular permeability leading to hemorrhage, shock, and sometimes death.¹ Over the last three
59 decades, the distribution, severity, and incidence of DENV has increased in Latin America, from
60 16.4 cases per 100,000 in the 1980's to 71.5 cases per 100,000 from 2000 to 2007.^{2,3} Current
61 estimates of apparent DENV infection in the Americas range from 1.5 million⁴ to 13.3 million⁵
62 infections per year. In 2015, 2.35 million DENV infections were reported in the Americas,
63 leading to 10,200 severe infections and 1,181 deaths.⁶

64 More recently, CHIKV and ZIKV have emerged and caused major epidemics in the same
65 populations in the Americas. The first CHIKV infections (family *Togaviridae*, genus *alphavirus*)
66 were reported in the Americas in 2013, resulting in over 2.5 million suspected and confirmed
67 cases to date.⁷ The first ZIKV infections (family *Flaviviridae*, genus *flavivirus*) were reported in
68 Brazil in 2015.^{8,9} To date, 805,703 suspected and confirmed cases of ZIKV have been reported
69 from the Americas (as of Nov 30, 2017).¹⁰

70 In Ecuador, DENV causes the greatest burden of mosquito-borne febrile illness. In 2014
71 and 2015, the years of this study, 16,908 and 44,104 cases per year, respectively, were
72 reported.¹¹ Historically, DENV was eliminated from Ecuador in the 1950s through the use of

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73 DDT and other measures to control *Ae. aegypti*, the only known vector in Ecuador.^{12,13}
74 Following a weakening of the vector control program and the re-invasion of *Ae. aegypti* in the
75 1970s and 1980s, DENV1 re-emerged in Ecuador in 1988, and caused a major epidemic of
76 classic dengue fever.¹⁴ From 1993 to 1999 three serotypes circulated: DENV1, DENV2
77 (American strain), and DENV4. In 2000, DENV3 and DENV2 (Asian strain) were identified,
78 and the first cases of severe hemorrhagic dengue were subsequently reported.¹⁵

79 Today the burden of DENV is greatest in the coastal lowland region of Ecuador, the site
80 of the current study. Prior studies in southern coastal Ecuador indicate that DENV transmission
81 is highly seasonal, with the greatest incidence of disease and density of mosquito vectors from
82 February to May, the hot and rainy season, and lower transmission throughout the rest of the
83 year.^{16,17} DENV epidemics in the region are associated with El Niño climate events that result in
84 warmer air temperatures.¹⁶ Local social-ecological risk factors for DENV infections and *Ae.*
85 *aegypti* proliferation in this region include adjacent abandoned properties, interruptions in piped
86 water, shaded patios, lack of use of mosquito bed nets, lack of fumigation inside the home, poor
87 housing conditions, inadequate piped water, gaps in knowledge about DENV transmission, and
88 water storage habits.¹⁷⁻²⁰

89 The first autochthonous CHIKV infections were reported in Ecuador at the end of 2014;
90 to date 35,891 suspected and confirmed cases have been reported (as of Nov 30, 2017).⁷ The first
91 autochthonous ZIKV infections were confirmed in Ecuador on January 7, 2016. A total of 6,240
92 suspected and confirmed cases of ZIKV have been reported (as of Nov 30, 2017), including
93 seven cases of congenital syndrome associated with ZIKV, which were first reported in May
94 2017.¹⁰

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95 In Ecuador, suspected and confirmed DENV, CHIKV, and ZIKV cases require
96 mandatory notification to the Ministry of Health (MoH). The MoH in Ecuador follows the 2009
97 World Health Organization (WHO) dengue diagnostic guidelines.¹ The national surveillance
98 system is based on passive surveillance of cases from MoH clinics and hospitals. A subset of
99 suspected cases are confirmed for DENV using nonstructural protein 1 (NS1) antigen and
100 immunoglobulin (IgM) ELISAs in local diagnostic laboratories operated by the MoH. A subset
101 of cases are confirmed for DENV, CHIKV, and ZIKV using quantitative PCR at the national
102 reference laboratory of the National Institute for Public Health Research (INSPI) of the MoH.
103 Suspected infections trigger focal vector control interventions in the infected home and
104 surrounding homes by the MoH (i.e., fogging, indoor residual spraying, source reduction, and
105 larvicide application).

106 There have been prior enhanced surveillance studies to estimate the burden of dengue
107 fever in Asia^{21–24} and Latin America^{25–31}, with study designs ranging from pediatric to adult
108 cohorts, tracking of school-based absentees, use of sentinel clinics, and community-based cluster
109 investigations. In general, these studies found that enhanced surveillance methods identified a
110 greater number of DENV infections, especially mild and inapparent infections, compared to
111 traditional passive surveillance systems. Enhanced surveillance studies generate high-resolution
112 data on the spatio-temporal distribution of symptomatic and inapparent infections across the
113 population. This is especially important in settings and in subgroups with low-health care
114 seeking behavior or limited access to health centers. These data allow the public health sector to
115 more accurately estimate the social and economic burden of the disease, allowing for more
116 informed decision-making regarding the allocation of scarce resources. These studies can also

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117 inform the design and implementation of interventions targeted at high-risk groups, such as
118 vaccination campaigns or vaccine trials.

119 Here we present the results of the first two years of an active surveillance study in
120 Ecuador. The aim of this study was to characterize the epidemiology, clinical presentation, and
121 viral phylogenetics of DENV. We also present the epidemiology and clinical characteristics of
122 CHIKV during the first CHIKV outbreak. This study is part of a long-term partnership with the
123 MoH of Ecuador focused on strengthening febrile vector-borne disease surveillance in southern
124 coastal Ecuador, providing high resolution epidemiological information for the region.³²

125

126 **Materials and Methods**

127 **Definitions**

128 *Index cases* are hospitalized patients and outpatients with a clinical diagnosis of an acute
129 DENV infection who enrolled in the study. *Initiate index cases* are index cases that tested
130 positive for DENV and were randomly selected to initiate a cluster investigation. *Associates* are
131 study subjects who resided in the home of the initiate index case and/or in the four neighboring
132 homes located in the cardinal directions at a maximum distance of 200 meters from the initiate
133 index household. The four associate homes plus the initiate index case home are referred to as a
134 *cluster*.

135 A study subject was considered to have an *acute DENV infection* if s/he tested positive by
136 NS1 rapid test, NS1 ELISA or RT-PCR. If the person was negative for those three tests, but was
137 positive by IgM ELISA, they were classified as having a *recent DENV infection*. Individuals
138 were classified as *uninfected with DENV* if they were negative for NS1 rapid test, NS1 ELISA,
139 RT-PCR and IgM ELISA. Individuals who tested negative for all of the tests except for the

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140 presence of IgG antibodies were not classified. Individuals who tested positive for CHIKV or
141 ZIKV by RT-PCR were classified as having an *acute CHIKV* or *acute ZIKV infection*.

142 We define a *symptomatic* individual as an associate with one or more dengue-like
143 symptoms. By definition, all index cases are symptomatic. Prior studies that report symptomatic
144 illness, defined symptomatic as febrile,^{24,33} whereas we use a broader definition of symptomatic
145 to include any dengue-like symptom (*e.g.*, headache, muscle/joint pain, retro-orbital pain,
146 abdominal pain, drowsiness/lethargy, fever, rash), since symptoms other than fever were more
147 frequently reported by associates with acute DENV infections (Supplementary Table 1). An
148 *inapparent* infection is defined as an infection in an associate who has no dengue-like symptoms.
149

150 **Ethics Statement.**

151 This study protocol was reviewed and approval by Institutional Review Boards (IRBs) at
152 SUNY Upstate Medical University, Cornell University, the Human Research Protection Office
153 (HRPO) of the U.S. Department of Defense, the Luis Vernaza Hospital in Guayaquil, Ecuador,
154 and the Ecuadorean Ministry of Health. Prior to the start of the study, all participants engaged in
155 a written informed consent or assent process, as applicable. If the participant was unable to
156 participate in the consent or assent process, an adult representative documented their consent.
157 Children aged 7 to 17 signed an assent statement and parents signed an informed consent.
158 Parents signed an informed consent on behalf of children under 7 years to > 6 months. The study
159 included children (> 6 months) to adults (index cases) who were evaluated in sentinel clinics or
160 the hospital with a clinical diagnosis of acute DENV infection. Before signing the informed
161 consent, index cases were informed that they might be randomly selected to participate in a

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162 cluster investigation (initiate index cases). Additional study subjects include associate children (>
163 6 months) and adults, who resided in the cluster homes.

164

165 **Study Site.**

166 Machala, Ecuador, (population 280,694, capital of El Oro Province) is a port city located
167 along the Pan American Highway, near the Ecuador-Peru border (Fig 1). Machala has among the
168 highest incidence rates of DENV in Ecuador and exceptionally high *Ae. aegypti* densities
169 compared to other countries in Latin America and Asia.^{17,34,35} In 2014 and 2015, 1,196 and 2,791
170 DENV cases, respectively, were reported from Machala (annual incidence of 42.6 cases per
171 10,000 people in 2014, 99.4 cases per 10,000 people in 2015).³⁶ The first local cases of CHIKV
172 were reported by the MoH in May 2015, and the first cases of ZIKV were reported in February
173 2016. Machala is a strategic location to monitor and investigate DENV -- and now CHIKV and
174 ZIKV -- transmission dynamics due to its location near an international border and port, and the
175 historically high incidence of mosquito-borne diseases.

176 Sentinel clinical sites operated by the MoH in Machala were selected based on historical
177 reported DENV cases and the resources that they were able to offer for coordinating and
178 supporting the methods of this surveillance study. Of the twenty-three MoH clinics in Machala,
179 four were selected. These included the clinics Brisas del Mar, Rayito de Luz, Mabel Estupiñan,
180 and El Paraiso. In addition, the Teófilo Dávila Hospital of the MoH was included, because it is
181 the principal public hospital of the province, where the MoH clinics refer patients with severe
182 DENV infections.

183

184

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185 **Passive and active surveillance study design.**

186 Hospitalized patients and outpatients with a clinical diagnosis of an acute DENV
187 infection (index cases), as determined by MoH physicians, were referred to our study technician
188 or nurse and were invited to participate in the study. Consent was obtained and the following
189 data were collected using a customized database on an Ipad (FileMaker Pro Advanced 13.0v5):
190 patient demographics, home address, primary reason for seeking medical care, date of onset of
191 fever, symptoms within the last seven days, medications, and aural temperature. Data were
192 uploaded daily and stored in a secure cloud-based server (GoZync). At the time of clinical
193 evaluation, a 20 ml blood specimen (adjusted for age and weight by the National Institute of
194 Health criteria) was obtained by venipuncture from each participant. Samples were processed at
195 our diagnostic laboratory at the hospital. Serum samples were used to test for acute DENV
196 infections using NS1 rapid strip tests (PanBio Dengue Early Rapid Test). NS1 tests were run the
197 same day that the index case was recruited into the study. Additional serum, cells, and plasma
198 were separated via centrifugation and aliquoted in multiple tubes and stored at -80°C.

199 Each week, up to four index cases that were positive for DENV infection were randomly
200 selected to be initiate index cases, and they were invited to participate in the active surveillance
201 component of this study. The study team visited the household of the initiate index case and the
202 nearest neighboring homes in each of the four cardinal directions, at a distance of less than 200
203 meters from the index household, the typical flight range of the *Ae. aegypti* mosquito. All
204 household members (associates) from this cluster of homes were invited to participate in the
205 study. Investigations in clusters began within two days of the initiate index case entering the
206 study. The diagnostic tests and clinical assessments described above for index cases were
207 repeated for all associates. The location (latitude, longitude) of each home was recorded using

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208 handheld Garmin GPS units. Passive and active surveillance study designs were optimized in a
209 prior study by the Armed Forces Research Institute of Medical Sciences (AFRIMS) in
210 Kamphaeng Phet Province, Thailand.²⁴

211

212 **Diagnostic assays.**

213 Additional diagnostic testing for DENV was conducted using serum samples and
214 commercial ELISA kits (Panbio) to test for NS1 (Dengue Early ELISA), IgM (Dengue Capture
215 IgM), and IgG (Dengue Capture IgG). We classified participants as having a primary DENV
216 infection if the ratio of IgM to IgG was ≥ 1.8 , and a secondary DENV infection if the ratio was
217 less than 1.8.^{24,37,38}

218 Specimens were shipped to SUNY Upstate Medical University for testing by qualitative
219 real-time reverse transcriptase (RT)-PCR assays for DENV1-4, CHIKV, and ZIKV. All samples
220 from 2014 and 2015 were screened for DENV1-4. Samples from index cases in 2014 and index
221 cases and associates in 2015 were screened for CHIKV. Only samples from index cases and
222 associate in 2015 were screened for ZIKV. All analyses were performed on a BioRad DNA
223 Engine Chromo 4 System with MJ Opticon Monitor Analysis Software. For DENV1-4 analysis,
224 total RNA was extracted from 140 μ L of human serum specimens using the QIAamp® Viral
225 RNA Mini Kit (QIAGEN, Cat# 52906) according to the manufacturer's suggested protocol and
226 resuspended in 50 μ L of buffer. Ten (10) μ L of RNA (or the equivalent of 28 μ L of serum) was
227 used in a 20 μ L reverse transcriptase reaction, of which 5 μ L of the resulting cDNA was used for
228 the PCR reaction. All samples and controls were analyzed in duplicate in a multiplex RT-PCR
229 reaction for 45 cycles using SuperScript III Platinum One-Step qRT-PCR System (Life
230 Technologies Cat# 11732-020) based on the CDC DENV1-4 Real Time RT-PCR Assay (CDC,

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231 Catalog number KK0128)³⁹ and a published assay.⁴⁰ Samples were classified as positive
232 according to a suggested C(t) value of ≤ 37.0 , which coincides with a cutoff based on CDC
233 recommendations for identifying positive DENV samples.³⁹ For ZIKV and CHIKV analysis,
234 total RNA was extracted from human serum specimens using the QIAamp® Viral RNA Mini Kit
235 (QIAGEN, Cat# 52906) according to a modified assay developed at the Walter Reed Army
236 Institute of Research (WRAIR), Viral Diseases Branch. All samples and controls were analyzed
237 in duplicate in a multiplex RT-PCR reaction using TAQMAN Fast Virus 1-Step Mix (Life
238 Technologies Cat# 4444432). The CHIKV primer and probe set (HEX reporter) was adapted
239 from an AFRIMS protocol, Set 3, which was designed specifically for the Asian genotype
240 CHIKV strain currently in the Caribbean and verified using Synthetic CHIKV RNA control
241 (ATCC, Cat# VR-3246SD). The ZIKV primer and probe set (FAM reporter) was based on the
242 AFRIMS protocol that was adapted from a published assay⁴¹ and verified using RNA extracted
243 from ZIKV culture fluid (ZeptoMetrix Corp., Cat# 0810092CF). Both primer/probe sets were
244 specific for their respective viral target and did not detect other viruses (DENV1-4, YFV, and
245 JEV). Samples were classified as positive based on the same cutoff value used for DENV (C(t)
246 value of ≤ 37.0). Primers and probes for DENV, CHIKV, and ZIKV are shown in Supplementary
247 Table 2.

248

249 **Statistical analysis.**

250 Statistical analyses were conducted using R (version 3.3.3) in RStudio (version 1.0.136),
251 using the 'base' and 'psych' packages for summary statistics. Student's t-test was used to
252 determine differences in continuous variables, and Chi-square or Fisher's exact test were used
253 for proportions.

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254 **Sequencing and consensus assembly.**

255 Samples from 2014 that were DENV positive by RT-PCR were sent to WRAIR, Viral
256 Diseases Branch, for full-length sequencing. Samples were extracted using a QIAGEN QIAamp
257 viral mini RNA extraction kit in accordance with manufacturer's protocols. Full genome was
258 amplified on Fluidigm Access Array system using DENV serotype specific primers and the Life
259 Technologies SuperScript™ III One-Step RT-PCR system with Platinum® Taq High
260 Fidelity polymerase, followed by cDNA quality check using Agilent Bioanalyzer DNA7500 kit
261 and RT-PCR product purification. Purified RT-PCR products were quantified using the
262 Invitrogen Quant-iT™ PicoGreen dsDNA Reagent and Kit following the manufacturer's
263 protocols. MiSeq library preparation included: dilution of purified amplicons products to
264 0.2ng/μL, tagmentation using 5 microliters of each dilution stock as input DNA, neutralization of
265 each Nextera® XT Tagmentation reaction using 5μl NT buffer, PCR amplification using index
266 primers from Nextera XT Index kit version 2 set C, PCR clean up using 25 microliters per PCR
267 reaction of Beckman Counter AMPure XP beads, and library normalization using applicable
268 reagents provided in the Nextera XT® DNA Library Preparation kit. After normalization, each
269 library was pooled and sequenced using the Illumina MiSeq reagent kit (version 2, 500 cycles)
270 and Illumina MiSeq next generation sequencer in accordance with Illumina protocols.

271 Construction of consensus genomes was performed using ngs_mapper v1.2.4 in-house
272 developed pipeline (available on github, http://github.com/VBDWRAIR/ngs_mapper). Briefly,
273 raw fastq data were stripped of barcodes and adapters and subjected to read filtering using a
274 quality threshold of Q25. Remaining reads were further end-trimmed using a quality threshold of
275 Q25 using Trimmomatic.⁴² Trimmed reads with quality >Q25 were initially mapped to a set of
276 reference sequences to determine the best reference fit for each of the samples. Following

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277 reference determination, reads from each of the samples were re-mapped to their closest related
278 reference genome, to maximize the number of mapped reads. Reference mapping was performed
279 using the BWA-MEM algorithm.⁴³ Assemblies were further processed using samtools version
280 0.1⁴⁴ and an in-house developed python program called *basecaller.py* to produce an adapted
281 VCF for each segment, in parallel, which incorporates genomic ambiguity inherent in RNA
282 viruses into the final consensus genome for that sample based on thresholds set by the
283 investigator. Threshold for consensus genomic reconstruction for ambiguity incorporation was
284 set at 20% for this analysis, meaning if any site contained a different nucleotide call that was
285 present at 20% or greater in the dataset (taking quality of call into account) the site was given an
286 ambiguous base call (according to IUPAC conventions). Consensus sequences for all samples
287 were constructed, in parallel, from the adapted VCF output. All consensus sequences were
288 further manually quality-checked. Statistics and graphics illustrating read depth and quality of
289 mappings for each sample across each segment produced by the pipeline were done using
290 matplotlib.⁴⁵

291

292 **Phylogenetic analyses.**

293 The five sequenced full genome DENV1 samples were aligned to a set of full genome
294 DENV1 reference sequences obtained from GenBank using MEGA v6.⁴⁶ The 131 reference
295 genomes were selected to represent: i) all DENV1 genotype lineages, for accurate genotype
296 determination, ii) wide sampling time periods, with a focus on the most recently sampled
297 genomes (2009-2016), iii) most geographical regions, with a focus on Central and South
298 America. In addition, the top 20 genomes matching the five genomes from Ecuador through
299 Basic Local Alignment Search Tool (Blast)⁴⁷ were added to the reference dataset. A set of 140

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300 full genome DENV2 reference sequences was obtained from GenBank following the same
301 criteria as for DENV1, and aligned to the 27 DENV2 sequenced genomes from Ecuador.
302 Likewise, a set of 100 full genome DENV4 reference sequences was obtained from GenBank
303 following the same criteria as for DENV1, and aligned to the single DENV4 sequenced genome
304 from Ecuador. We were unable to sequence DENV3 due to limited sample volume. Genetic
305 sequences are deposited in GenBank under accession numbers KY474303-KY474335.

306 We determined the best-fit models of evolution for DENV1, DENV2 and DENV4
307 datasets using jModelTest v2.1.7 with Akaike Information Criterion (AIC) and Bayesian
308 Information Criterion (BIC).⁴⁸ Maximum Likelihood (ML) phylogenetic trees for DENV1,
309 DENV2 and DENV4 datasets were inferred using Phyml v 4.9.1.^{49,50} The model of evolution
310 used for the full genome tree inferences was GTR+I+ Γ (general time reversible with empirically
311 estimated proportion of invariant sites and gamma distribution of among-site variation, 4
312 categories), for all three DENV serotypes. The tree space was searched heuristically using the
313 best of NNI (Nearest Neighbor Interchanges) and SPR (Subtree Pruning and Regrafting). Node
314 confidence values were determined by aLRT (approximate Likelihood Ratio Test) using the
315 nonparametric Shimodaira-Hasegawa approach. Node confidence values of >0.75 are considered
316 good support. The resulting trees were rooted by the KR919820 sylvatic reference genome⁵¹ for
317 DENV1, and by the sylvatic genotype outgroups for DENV2 and DENV4.

318

319 **Results**

320 From January 1, 2014, through December 31, 2015, we recruited 324 index cases with
321 suspected DENV infections from the five clinical sites in Machala, Ecuador (Figs 1 and 2). A
322 subset of 310 index cases (186 in 2014, 124 in 2015) had valid test results and were included in

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323 this study (Table 1). A total of 72 index cases were positive by NS1 rapid test, and from these we
324 randomly selected 44 initiate index cases, from which 400 associates were recruited into the
325 study. A subset of 384 associates (298 in 2014, 86 in 2015) had valid test results and were
326 included in this study.

327 DENV transmission was highly seasonal in 2014 and 2015, with a peak in May (Fig 3).
328 CHIKV was first identified in our study on epidemiological week 12 in 2015, and transmission
329 followed a similar seasonal curve as DENV (Fig 3). No ZIKV infections were detected (Table
330 1).

331 Table 1 shows the diagnostic results from 2014 and 2015. There were some individuals
332 who did not have enough information to categorize as DENV positive or negative, for example,
333 an individual who was negative for an NS1 rapid test and PCR, but did not have any ELISA or
334 serology test results. To account for these discrepancies, prevalence estimates include people for
335 whom test results were available, as indicated by the denominators in the diagnostic results
336 section of the table.

337

338 **Passive surveillance of index cases**

339 In 2014, the majority of all index cases (132/186, 70.9%) were positive for an acute or
340 recent DENV infection (Table 1). All four DENV serotypes were detected, and DENV2 was the
341 predominant serotype (43/51, 84.3% of serotyped index cases) (Table 2). One individual was
342 positive for DENV1 and DENV2. Secondary DENV infections were most prevalent (73/99,
343 73.7% of index cases with serology and acute or recent DENV infections) (Table 3). Index cases
344 with acute DENV infections were on average 20.7 years of age (SD=15.7) and 62.7% were male

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345 (Table 4). The majority reported a fever within the last seven days (97.3%), 21.3% had fever
346 ($>38^{\circ}\text{C}$) upon entering the study, and 16.0% were hospitalized.

347 In 2015, more index cases were positive for acute CHIKV infections (52/123, 43.1%)
348 than for acute or recent DENV infections (35/124, 28.3%). One index case was positive for both
349 acute DENV and CHIKV infections, and five index cases were positive for recent DENV and
350 acute CHIKV infections, resulting in 11.5% (6/52) of CHIKV infections with acute or recent
351 DENV infections. DENV1 was the predominant serotype (14/23, 60.9% of serotyped index
352 cases) (Table 2). Significantly more primary DENV infections were reported in 2015 than in
353 2014 (21/31, 67.7% of index cases with serology and acute or recent DENV infections, $p<0.001$,
354 Table 3). Index cases with acute DENV infections were on average 19.3 years of age ($SD=12.8$),
355 and 54.1% were female (Table 4). All index cases with acute DENV infections reported a fever
356 within the last seven days, 41.7% had fever upon entering the study, and 33.3% were
357 hospitalized. There were no significant differences in the demographics, febrile symptoms, or
358 hospitalization rates for index cases with acute DENV infections between 2014 and 2015 (Table
359 4, $p>0.05$).

360 We estimated the prevalence of symptomatic acute (SA) infections for DENV and
361 CHIKV by age class as a proportion of the total number of individuals recruited per age class
362 (Fig 4, see Supplementary Table 3 for prevalence calculations). Index children 10 to 19 years of
363 age had the highest prevalence of SA DENV infections (40/97, 41.2%). SA DENV prevalence
364 generally declined with increasing age, with the exception of individuals 50 to 59 years of age
365 (7/21, 33.3%). Interestingly, the proportion of primary DENV infections decreased from 0 to 49
366 years, and increased from 50 to 79 years (as determined by index cases with serology and acute
367 or recent DENV infections). In contrast, the prevalence of SA CHIKV infections, as a proportion

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368 of all individuals recruited into the study, was greatest in index cases 60 to 79 years of age (7/9,
369 77.8%), and prevalence increased with increasing age.

370 We compared the demographics and symptoms of index cases with acute DENV versus
371 CHIKV infections. Index cases with acute DENV infections were significantly younger
372 (mean=20.2 years, SD=15.0) and more likely to report anorexia and nausea, vomiting and
373 abdominal pain ($p<0.05$). Index cases with CHIKV were more likely to be female, were older
374 (mean=35.8 years, SD=19.4), and more likely to report muscle or joint pain ($p<0.05$). A greater
375 proportion of individuals with CHIKV reported rash (CHIKV: 34.6%; DENV: 16.5%; $p=0.05$),
376 and a lower proportion had fever ($> 38^{\circ}\text{C}$) upon entering the study (CHIKV: 11.8%, DENV:
377 26.5%; $p=0.06$); however, these differences were not statistically significant.

378 We also compared the demographics and symptoms of primary versus secondary DENV
379 infections (Supplementary Table 4), and DENV1 versus DENV2 infections in index cases
380 (Supplementary Table 5). Individuals with secondary DENV infections were significantly older
381 (secondary: mean=23.2 years, SD=13.8; primary: mean=18.0 years, SD=13.1) ($p<0.05$). Overall,
382 we identified more severe illness in secondary DENV infections; individuals with secondary
383 infections were more likely to report vomiting, and hospitalized individuals were more likely to
384 have secondary DENV infections ($p<0.05$). However, individuals with primary DENV infections
385 were more likely to report fever ($p<0.05$). We did not find significant differences in symptoms
386 between DENV1 and DENV 2 ($p>0.05$), the predominant serotypes detected in this study,
387 although index cases with DENV2 infections were significantly older (DENV1: mean=14.7
388 years, SD=10.5; DENV2: mean=25.2 years, SD=16.2) ($p<0.05$).

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391 **Active surveillance of associates**

392 In each cluster of homes, approximately nine associates were recruited into this study per
393 initiate index case (Fig 2). The distance between the households of associates and the respective
394 initiate index households ranged from 2.2 to 164 meters, with an average of 39 meters (SD=29
395 m). Most associate households (95.4%) were within 100 meters of the initiate index household.

396 In 2014, approximately one third of all associates (106/298, 35.6%) had evidence of acute
397 or recent DENV infections (Table 1). As with index cases, DENV2 was the dominant serotype
398 (Table 2). A similar proportion of primary (46.9%) and secondary infections (53.0%) were
399 detected (as determined by associates with serology and acute or recent DENV infections) (Table
400 3). In 2015, as with index cases, the prevalence of DENV infections decreased as a proportion of
401 all associates recruited (11/86, 12.9%), and primary DENV infections were more common (4/6,
402 66.7% of associates with serology and acute or recent DENV infections, Table 3). Only one
403 associate was serotyped as DENV2 (Table 2). The serology of associates in 2014 versus 2015
404 was not significantly different due, in part, to the small sample size ($p>0.05$). In 2015 we
405 detected acute CHIKV infections in three associates (3/86, 3.5%), including one associate with
406 both acute CHIKV and recent DENV infections.

407 Approximately two thirds of associates with acute DENV infections (34/50, 68%)
408 reported one or more dengue-like symptoms within the last seven days, resulting in a ratio of
409 symptomatic:inapparent infections (S:I) of 1:0.47 (2.13) (Supplementary Table 1). The most
410 commonly reported symptoms were headache (32%), drowsiness/lethargy (24%), fever (22%),
411 muscle/joint pain (22%), and retro-orbital pain (22%). Only two associates with symptomatic
412 acute DENV infections had sought medical care within the last seven days (2/34, 5.9%), and no
413 associates were hospitalized due to a DENV infection (Table 4). There were no significant

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414 differences in the demographics or febrile symptoms of associates with acute DENV infections
415 in 2014 versus 2015 ($p>0.05$, Table 4).

416 In associates, we determined the prevalence of SA DENV infections by age class as a
417 proportion of the total number of associates recruited per age class (Fig 4, Supplementary Table
418 3). Children 0 to 9 years of age had the highest prevalence of SA DENV infections (5/22,
419 22.7%), and prevalence declined with increasing age. The proportion of primary DENV
420 infections similarly decreased with increasing age. We calculated the prevalence of symptomatic
421 infections in associates with positive primary and secondary DENV infections, and found that
422 individuals with secondary infections had a higher prevalence of symptomatic disease; however,
423 the differences were not statistically significant (symptomatic primary: 24/42, 57.1%;
424 symptomatic secondary 35/45, 77.8%; $p=0.07$). No associates had SA CHIKV infections.

425 At the cluster level, prevalence rates varied by the DENV serotype of the initiate index
426 case. In 10 of 44 clusters, the initiate index case had a DENV1 infection. In these clusters, 20%
427 of all associates had acute or recent DENV infections (12/60; 95% CI: 11.8-31.8%), with a range
428 of 0% to 57.1%. The initiate index case had a DENV2 infection in 17 of 44 clusters. Among
429 these clusters, a significantly greater proportion of all associates (36.6%; 59/161; 95% CI: 29.6-
430 44.3%) ($p=0.02$) had an acute or recent DENV infections, with a range of 12.5% to 87.5%.

431 We calculated the average number of acute and recent (AR) DENV infections and
432 symptomatic acute and recent (SAR) infections per cluster (see raw data in Supplementary Table
433 6). By definition, each cluster included an initiate index case, which was a SAR infection. In
434 2014, there were 32 clusters, with an average of 10.3 (SD=2.7) individuals enrolled per cluster.
435 We detected an average of 4.3 (SD=2.3) AR infections, of which 3.3 (SD=1.7) were SAR
436 infections per cluster. In 2015, there were 12 clusters, with an average of 8.2 (SD=2.2)

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437 individuals enrolled per cluster. We detected an average of 1.9 (SD=0.7) AR infections, of which
438 1.4 (SD=0.7) were SAR infections. All measures were significantly greater in 2014 than in 2015
439 ($p<0.05$). Over both years, we detected an average of 3.7 (SD=2.3) AR infections and 2.8
440 (SD=1.7) SAR infections per cluster.

441

442 **Phylogenetic analysis of DENV**

443 The best-fit models for the evolution of DENV1, DENV2, and DENV4, as determined by
444 AIC versus BIC, agreed in all instances. ML phylogenetic tree demonstrated a clear distinction
445 of DENV1 genotypes *I*, *II*, *IV* and *V*, and the sylvatic genotypes *III* and *VI* (Fig 5). The five
446 genomes from Ecuador, all sampled in 2014, belonged to genotype *V* of DENV1 and were found
447 in the sub-lineage containing mainly Central and South American genomes (*i.e.*, Colombia,
448 Venezuela, Argentina, Brazil and Puerto Rico). More importantly, sequences from Ecuador fell
449 into two distinct clades within this sub-lineage; two Ecuadorian genomes were more closely
450 related to genomes sampled in Argentina and Venezuela (Clade A), and three Ecuadorian
451 genomes were more closely related to a genome from Colombia (Clade B).

452 The ML phylogenetic tree of DENV2 showed a clear distinction of DENV2 genotypes,
453 including sylvatic, American, Cosmopolitan, Asian I, Asian II and Asian/American (Fig 6). The
454 samples from Ecuador were found within the Asian/American genotype, making up a
455 monophyletic cluster (Clade A) separated from the rest of the South American taxa with high
456 support (aLRT = 1). Genomes clustering closest to the clade A from Ecuador were sampled in
457 Colombia and Venezuela. Sequences from other neighboring countries, such as Peru and Brazil,
458 were found further down in the Asian/American lineage and were separated from the clade A,
459 and from sequences from Colombia and Venezuela, with high support (aLRT = 0.99).

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460 The ML phylogenetic tree of DENV4 demonstrated a clear distinction of genotypes *I*,
461 *IIA*, *IIB*, *III* and sylvatic (Fig 7). However, two taxa from India/1961-1962 clustered with
462 genotype *I* with low support (aLRT=0.04), indicating that their position in the tree was uncertain
463 and they might belong to a different genotype. The single Ecuador sequence was located within
464 the genotype *IIB* lineage (magenta in the tree). It was surrounded by sequences collected from
465 Venezuela, Colombia and Brazil, indicating their common ancestry. However, the aLRT support
466 for the Ecuador node was low (0.4), suggesting that its correct placement was uncertain.

467

468

469 **Discussion**

470 In this study, we characterized the epidemiology and clinical characteristics of DENV
471 and CHIKV infections, and the phylogenetics of DENV, through an enhanced surveillance study
472 design in an endemic region. We found that burden of symptomatic acute DENV in associates
473 was greatest in children under 10 years of age. In 2014, for every symptomatic acute DENV
474 infection detected by passive surveillance (initiate index cases), we detected an additional three
475 acute or recent infections in associates by active surveillance. Two thirds of associates with acute
476 DENV infections presented with dengue-like symptoms. The prevalence of DENV decreased
477 from 2014 to 2015 with the emergence of CHIKV. Genetic analyses indicate that there is
478 movement of the DENV between Ecuador and neighboring countries, highlighting the
479 importance of sentinel surveillance sites, such as Machala, in border regions. The rapid
480 surveillance methods developed in this study could be applied to estimate the burden of other
481 underreported febrile diseases, allowing the public health sector to more effectively and
482 equitably conduct disease control interventions.

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483

484 **Burden of DENV infection.**

485 Over the two years of the study, one third of associates had acute or recent DENV
486 infections, a higher prevalence than findings from similar studies in Asia. In Vietnam, studies
487 found 18% DENV prevalence in 100 meter clusters around initiate index cases, using PCR, NS1
488 ELISA, or serology.²¹ In Thailand, cluster DENV prevalence ranged from 10.1% to 14.3% using
489 PCR or serology.^{22,23} One of possible explanations for the higher cluster prevalence in this study
490 is the use of the NS1 rapid test. Prior studies that evaluated the Panbio Dengue Early Rapid test
491 (used in this study) found that using antigen (NS1) and antibody (IgM, IgG) tests together
492 increased the sensitivity of DENV diagnostics (93% sensitivity), and expanded the window of
493 detection of infection.⁵² We found that the prevalence of DENV infections in clusters varied by
494 DENV serotype (DENV1: 20.0%; DENV2: 36.6%). The higher cluster prevalence for DENV2 is
495 consistent with prior studies that found greater infection rates for DENV2 compared to
496 DENV1.⁵³ The cause of the difference in infection rates between the two serotypes is not
497 understood. Potential factors that could be involved include the local epidemiology, serotype
498 subtype, weather, and previous exposure history of the population.⁵⁴⁻⁵⁶

499 Using this active cluster surveillance protocol, we were able to effectively detect
500 additional DENV infections in the community, particularly in 2014, when there was a higher
501 burden of disease. For every initiate index case captured by passive surveillance, we captured
502 approximately three associates with acute or recent (AR) DENV infections, of which two
503 associates had symptomatic acute or recent (SAR) DENV infections. Interestingly, we found that
504 the number of DENV infections per cluster was higher in 2014 than 2015, suggesting a higher
505 force of DENV infection in 2014, when all four DENV serotypes were circulating, prior to the

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506 emergence of CHIKV. We temper this with caution, however, as our cluster sample size was
507 smaller in 2015 (n=12) than 2014 (n=32).

508 In Latin America, enhanced surveillance studies that have reported DENV infection rates
509 relative to passive surveillance infection rates include pediatric and adult cohorts, door-to-door
510 community based surveillance studies, use of sentinel clinics, and enhanced laboratory
511 diagnostic studies. To our knowledge, most cluster-based DENV surveillance studies with a
512 similar design (*e.g.*, spatially restricted around the index home) have been conducted in Asian
513 countries. Estimates of the burden of disease from active surveillance studies in Latin America
514 vary widely depending on the study design, the effectiveness of passive surveillance, and the
515 traits of the local population (*e.g.*, past exposure to DENV serotypes). In a pediatric cohort in
516 Nicaragua, investigators detected 21.3 times more DENV infections than were reported to the
517 national surveillance system.⁵⁷ A study in Peru compared passive surveillance of DENV to a
518 cohort study and sentinel clinic surveillance, and found five times more DENV infections in the
519 cohort and 19 times more DENV infections through sentinel clinic surveillance.²⁵ They found
520 that both sentinel and cohort surveillance methods detected an increase in DENV infections more
521 rapidly than passive surveillance methods. In Puerto Rico, laboratory enhanced surveillance
522 resulted in three times more DENV infections registered than passive surveillance methods.²⁷

523 One of the limitations of this study was that we surveyed the nearest neighbors of the
524 initiate index case, which are not necessarily representative of the total population residing
525 within 200 meters. We did not collect information on those who were not willing to participate in
526 the study. Also, people may have been more willing to participate in the study if they or someone
527 in their household was ill. This could potentially result in a higher estimate of the number of
528 additional DENV infections in clusters compared to the general population. Future studies could

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529 survey a greater number of households located randomly within the 200-meter radius for a more
530 accurate measure of disease prevalence and could assess DENV negative clusters as controls.
531 Additionally, this study was limited to five clinical sites operated by the MoH that were willing
532 and able to support the study. Testing for CHIKV and ZIKV was limited to PCR, and did not
533 include serological testing.

534

535 **Burden of CHIKV and other febrile illness:**

536 In 2015, we found that 43.1% of clinically diagnosed (suspected) DENV infections were
537 actually positive for CHIKV, higher than the proportion of laboratory-confirmed DENV
538 infections. We identified six index cases and one associate with evidence of both acute CHIKV
539 and acute or recent DENV infections in 2015 (11.5% of CHIKV infections). There were also 96
540 individuals with undiagnosed febrile illness (non-DENV, non-CHIKV, non-ZIKV). The burden
541 of CHIKV is likely higher than reported here, since we only tested for acute infections. This
542 highlights the difficulties of differential diagnosis in areas where DENV, CHIKV, ZIKV, and
543 other febrile illnesses are co-circulating. These data also suggest that the large increase in DENV
544 cases in 2015 in Ecuador (44,104 cases in 2015 versus 14,312 cases on average from 2010 to
545 2014)¹¹ could be the result of CHIKV and other circulating febrile pathogens.

546 We did not detect ZIKV during the study period, consistent with MoH reports, which
547 indicated that ZIKV circulated for the first time in Machala in February 2016. Although
548 surveillance efforts were not focused specifically on clinical ZIKV infections, we suspect that the
549 study would have detected some ZIKV infections if they were present in Machala due to the
550 overlapping clinical presentations of DENV and ZIKV infections. However, recent studies

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551 indicate that urine and whole blood may be better suited to detect ZIKV, limiting our ability to
552 detect ZIKV in serum samples by RT-PCR.^{58,59}

553

554 **Clinical characteristics of DENV and CHIKV infections.**

555 In general, the symptoms that were observed with acute DENV infections in this study
556 are consistent with other reports.^{60–66} As in other studies, we found that secondary DENV
557 infections were more severe; nine out of ten hospitalized individuals with DENV infections had
558 secondary infections (Supplementary Table 4).^{24,65,67} From 2014 to 2015, we observed a shift
559 from DENV2 to DENV1, and a shift from secondary to primary DENV infections. As expected,
560 associates with acute DENV infections in 2015 were younger (mean=19.6 years of age) than in
561 2014 (mean=25.2 years of age), although the differences were not significantly different (Table
562 4). The clinical characteristics associated with DENV infections can vary over time and space
563 due to both differences in the dominant serotypes in circulation^{68,69} and the ratio of primary to
564 secondary infections.^{24,65,67}

565 People infected with CHIKV versus DENV were older on average, consistent with the
566 disease being newly introduced into the population. MoH reports indicated that the highest
567 burden of CHIKV in Machala was among adults aged 20 to 49. We found that muscle and joint
568 pain and rash were more commonly reported by people with CHIKV infections than those with
569 DENV, which supports findings from prior studies.^{62,66}

570 The ratio of symptomatic:inapparent (S:I) DENV infections in associates was 1:0.47
571 (2.13), which is within the upper range of prior estimates from DENV endemic regions. By
572 defining symptomatic as any dengue-like symptom, rather than only fever, we captured a broad
573 spectrum of DENV illness. Prior studies suggest that the S:I ratio for DENV infections can vary

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574 widely, possibly depending on the immune response to prior exposure to DENV serotypes, the
575 serotypes (and subtypes) in circulation, and genetic factors.^{23,24,31,33,69,70} A one-year contact
576 cluster study from Peru reported an S:I ratio of 1:4.56 (0.22).³¹ A four-year pediatric cohort study
577 from Nicaragua reported S:I ratios ranging from 1:18.4 (0.05) to 1:3.0 (0.33).⁶⁹ S:I ratios from a
578 five-year school cohort study in Thailand ranged from greater than 4 to 0, depending on the year
579 and school.^{33,70} A two-year school cohort and cluster study from Thailand reported an overall S:I
580 ratio of 1:1 (1.0),²³ and a one-year cluster surveillance study from Thailand reported 1:0.2 (5.0)
581 for primary infections and 1:0.4 (2.5) for secondary infections.²³ Differences may also be due to
582 the profile of the study population (*e.g.*, adult versus pediatric) and how investigators defined
583 symptomatic.

584 Despite the high proportion of associates with symptomatic acute DENV infections, few
585 (5.9%) had sought medical care. In prior studies in Machala, community members and healthcare
586 professionals indicated that there was low health care seeking behavior in certain populations,
587 such as working men in the urban periphery, and self-medicating was common practice.^{18,71}
588 Another explanation is that our definition of symptomatic DENV infections included mildly
589 symptomatic infections that did not require medical attention. These findings highlight the
590 importance of active surveillance protocols that capture inapparent infections and infections in
591 demographic groups who are less likely to seek health care or who have limited access to health
592 care.

593

594 **Phylogenetic analysis**

595 Phylogenetic analyses of DENV1 showed Ecuadorian samples falling into two distinct
596 clusters, sharing a common ancestor with viruses from Colombia in one cluster and a common

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597 ancestor with viruses from Venezuela in the other cluster. These well-separated clusters indicate
598 at least two distinct introductions of DENV1 into Ecuador. Given the early sampling of
599 Venezuelan and Colombian genomes (between 2004 and 2008), and given that recent DENV1
600 full genome samples from Peru are not available, we cannot exclude with certainty the role that
601 Peru may have played in the DENV1 introductions into Ecuador. However, the results suggest a
602 close genetic relationship of viruses circulating in Venezuela and Colombia and support the
603 notion of commonly occurring DENV1 flow between the countries. Similar to DENV1, DENV2
604 genomes from Ecuador were most closely related to genomes from Venezuela and Colombia.
605 However, unlike DENV1, DENV2 genomes from Ecuador made up a single monophyletic clade
606 separated from the rest of the South American taxa with high support. This indicates a single
607 introduction and subsequent spread of this virus in Ecuador without further DENV2
608 introductions and mixing from other regions. Even though older sequences from Peru clustered
609 further away from genomes sampled in Ecuador, Venezuela, and Colombia, suggesting they did
610 not play a role in the current DENV2 epidemic in Ecuador, the lack of recent full genomes from
611 Peru prevent us from determining the involvement of Peru in the observed DENV2 spread in
612 Ecuador. The unavailability of recent full genomes from countries surrounding Ecuador was
613 most evident in DENV4, where the exact placement of the only Ecuadorian genome in the tree
614 could not be determined due to low node support. Nevertheless, the results suggested a close
615 relationship between DENV4 in Ecuador, Venezuela, Colombia and Brazil. It is important to
616 note that samples from Peru were missing here as well, and that there is a possibility this country
617 was also involved in the circulation of DENV4 in this region. Thus, our results suggest frequent
618 flow of DENV between Ecuador and surrounding countries, including introduction and re-
619 introduction of different serotypes and different lineages of the same serotype. In addition, our

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620 results show the importance of continuous surveillance, including genetic sequencing efforts. If
621 available, virus full genomes from these countries would allow for more accurate analysis of the
622 patterns of DENV movement and spread in this region.

623

624 **Public health implications**

625 This study provides one of the most thorough descriptions of DENV and CHIKV
626 infections in this region, and contributes to a long-term collaboration with the MoH and other
627 governmental and academic partners to strengthen infectious disease surveillance in southern
628 coastal Ecuador, a strategic area to monitor endemic and emerging pathogens. The collaboration
629 has been successful due to a shared vision for integrated active surveillance that includes the
630 virus-vector-host, climate and other social-ecological drivers;^{20,32} ongoing training of physicians,
631 researchers and students; and improvement of local diagnostic and research infrastructure.

632 Enhanced surveillance studies, such as this, provide high-resolution spatiotemporal data
633 on the distribution of symptomatic and inapparent infections across the population. This is
634 especially important in places and in subgroups with low healthcare seeking behavior, which
635 result in underreporting and continued disease transmission.^{18,71} Enhanced surveillance systems
636 have been shown to detect an increase in infections earlier than passive surveillance systems,²⁵
637 providing a warning of an escalating outbreak. These data are currently being used to
638 parameterize and calibrate local epidemic forecast models.^{72,73} These data also allow the public
639 health sector to more accurately estimate the social and economic cost of the disease, allowing
640 for informed decision making regarding the allocation of scarce resources for current and future
641 interventions, such as vector control, community mobilization, and vaccines.^{74,75} The age-

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642 stratified prevalence data generated through this study design provides important information for
643 the design of future vaccine trials and vaccination campaigns.

644 Genetic and phylogenetic analyses provided additional information about virus
645 movement and introductions into Ecuador. Determining sources of viral origin and most
646 common pathways of spread provides important information about the dynamics of the epidemic
647 that can aid in development of coordinated regional public health surveillance and control
648 efforts, especially across Andean countries. Prior studies from the Ecuador-Peru border region
649 highlight the importance of binational public health sector collaborations to effectively control
650 mosquito-borne diseases.⁷⁶ In addition, frequent movement of dengue between Ecuador and
651 neighboring countries highlighted the importance of sentinel surveillance sites, such as Machala,
652 in border regions.

653

654 **Acknowledgements.** This project was possible thanks to support from colleagues from the
655 Ministry of Health, the National Institute of Meteorology and Hydrology, the National Secretary
656 of Higher Education, Science, Technology, and Innovation (SENESCYT) of Ecuador and
657 community members from Machala, Ecuador. We thank our local field team and coordinators for
658 their dedication and perseverance: Jefferson Adrian, Victor Arteaga, Jose Cueva, Reagan
659 Deming, Carlos Enriquez, Prissila Fernandez, Froilan Heras, Naveed Heydari, Jesse Krisher,
660 Lyndsay Krisher, Elizabeth McMahon, Eunice Ordoñez, and Tania Ordoñez. Many thanks to
661 Rosemary Rochford, Lisa Ware, Holly Chanatry, David Amberg and Marti Benedict for
662 supporting the development of the research platform with partners in Ecuador. We also thank
663 Danielle Safaty and Laura Sorenson in the Center for Global Health and Translational Science at
664 SUNY Upstate Medical University for technical support in sample preparation, RT-PCR

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665 analysis, and data compilation. We thank Dr. Renato Leon for supporting the development of the
666 entomology protocol, and Ing. Raul Mejia and Dr. Angel Muñoz for supporting climate
667 surveillance. Thank you to Dr. Butsay Thaisomboonsuk PhD and Dr. Louis Macareo MD, JD
668 from AFRIMS for sharing surveillance and diagnostic protocols. Thank you to Clinical Research
669 Management (CRM) for supporting surveillance activities in 2016 and 2017.

670

671 **Disclaimer.** Material has been reviewed by the Walter Reed Army Institute of Research. There is
672 no objection to its presentation and/or publication. The opinions or assertions contained herein
673 are the private views of the author, and are not to be construed as official, or as reflecting the
674 views of the Department of the Army, or the Department of Defense.

675

676 **Disclosures.** The authors declare no competing interests, financial or non-financial.

677

678 **Financial support.** This study was supported in part by the Department of Defense Global
679 Emerging Infection Surveillance (GEIS) grant (P0220_13_OT) and the Department of Medicine
680 of SUNY Upstate Medical University. AMSI and SJR were additionally supported by NSF DEB
681 EEID 1518681 and NSF DEB RAPID 1641145. Additional support was provided to AMSI
682 through the Prometeo program of the National Secretary of Higher Education, Science,
683 Technology, and Innovation (SENESCYT) of Ecuador.

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688 **Current addresses of co-authors:**

689 Sadie J. Ryan (sjryan@ufl.edu): Department of Geography, University of Florida, Gainesville,

690 FL, USA

691 Aileen Kenneson (aileen.kenneson@yahoo.com): U.S. Centers for Disease Control, Atlanta, GA,

692 USA

693 Timothy P. Endy (endyt@upstate.edu), Christine A. King (kingch@upstate.edu), and Arturo

694 Barbachano-Guerrero (barbacha@upstate.edu): Department of Microbiology & Immunology,

695 SUNY Upstate Medical University, Syracuse, NY, USA

696 Mark Polhemus (polhemum@upstate.edu), Cinthya Cueva (cinthya.cueva10@gmail.com),

697 Christina D. Lupone (luponec@upstate.edu) and Mark Abbott (abbottm@upstate.edu): Center

698 for Global Health & Translational Sciences, SUNY Upstate Medical University, Syracuse, NY,

699 USA

700 Efraín Beltrán-Ayala (felixbeltran57@hotmail.com): Department of Medicine, Universidad

701 Técnica de Machala, Machala, El Oro Province, Ecuador

702 Mercy J. Borbor-Cordova (meborbor@espol.edu.ec) and Washington B. Cárdenas

703 (wbcarden@espol.edu.ec): Department of Marine Engineering, oceanic and biological sciences,

704 and natural resources. Escuela Superior Politecnica del Litoral (ESPOL), Guayaquil, Ecuador

705 Richard G. Jarman (richard.g.jarman.mil@mail.mil) and Irina Maljkovic Berry

706 (irina.maljkovicberry.ctr@mail.mil): Viral Diseases Branch, Walter Reed Army Institute of

707 Research (WRAIR), Silver Springs, MD, USA

708 Saurabh Mehta (smehta@cornell.edu) and Julia L. Finkelstein (jfinkelstein@cornell.edu):

709 Division of Nutritional Sciences, Cornell University, Ithaca, NY, USA

710 Mercy Silva (mercysilvab@hotmail.com): Ministry of Health, Machala, El Oro, Ecuador

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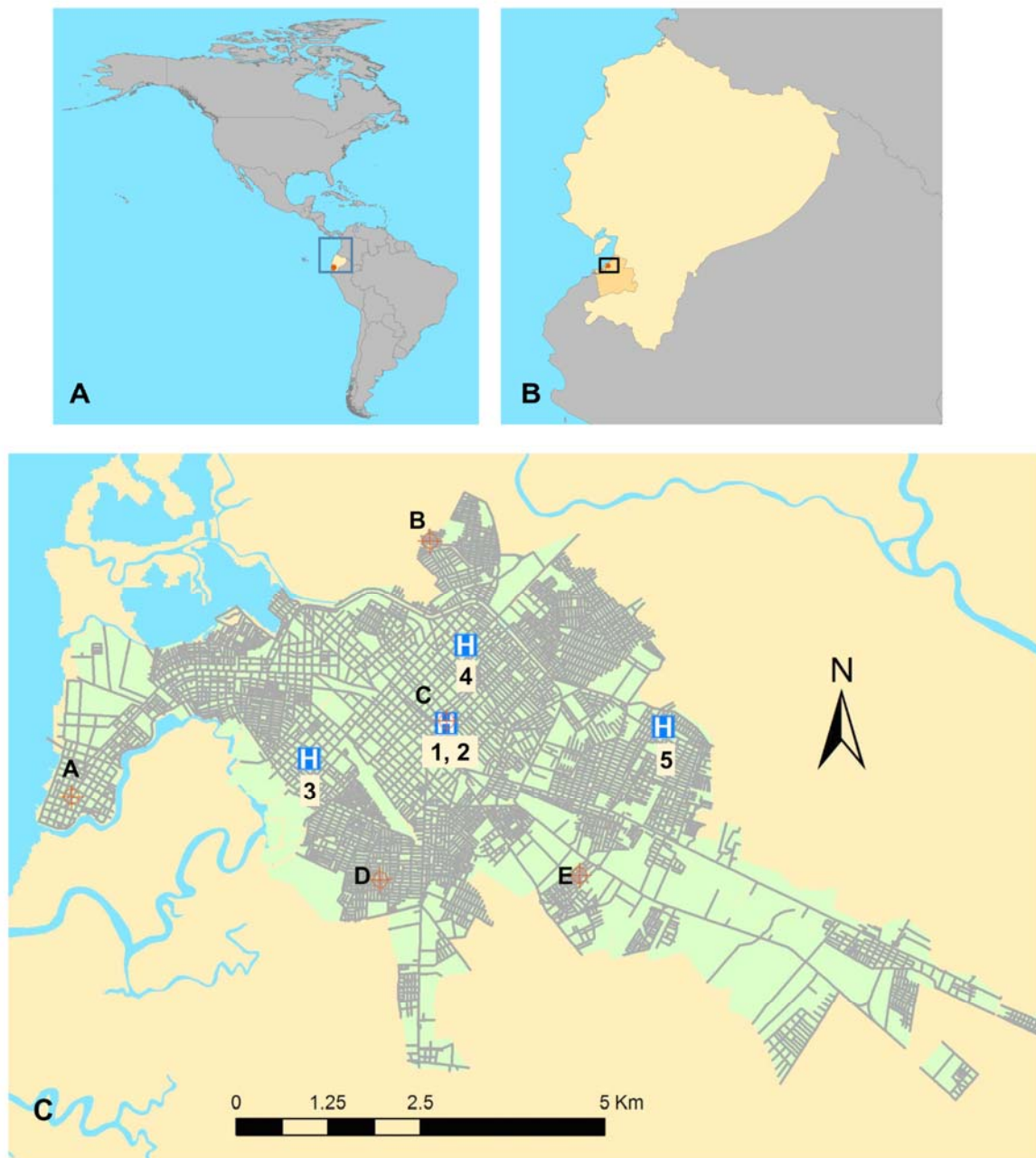
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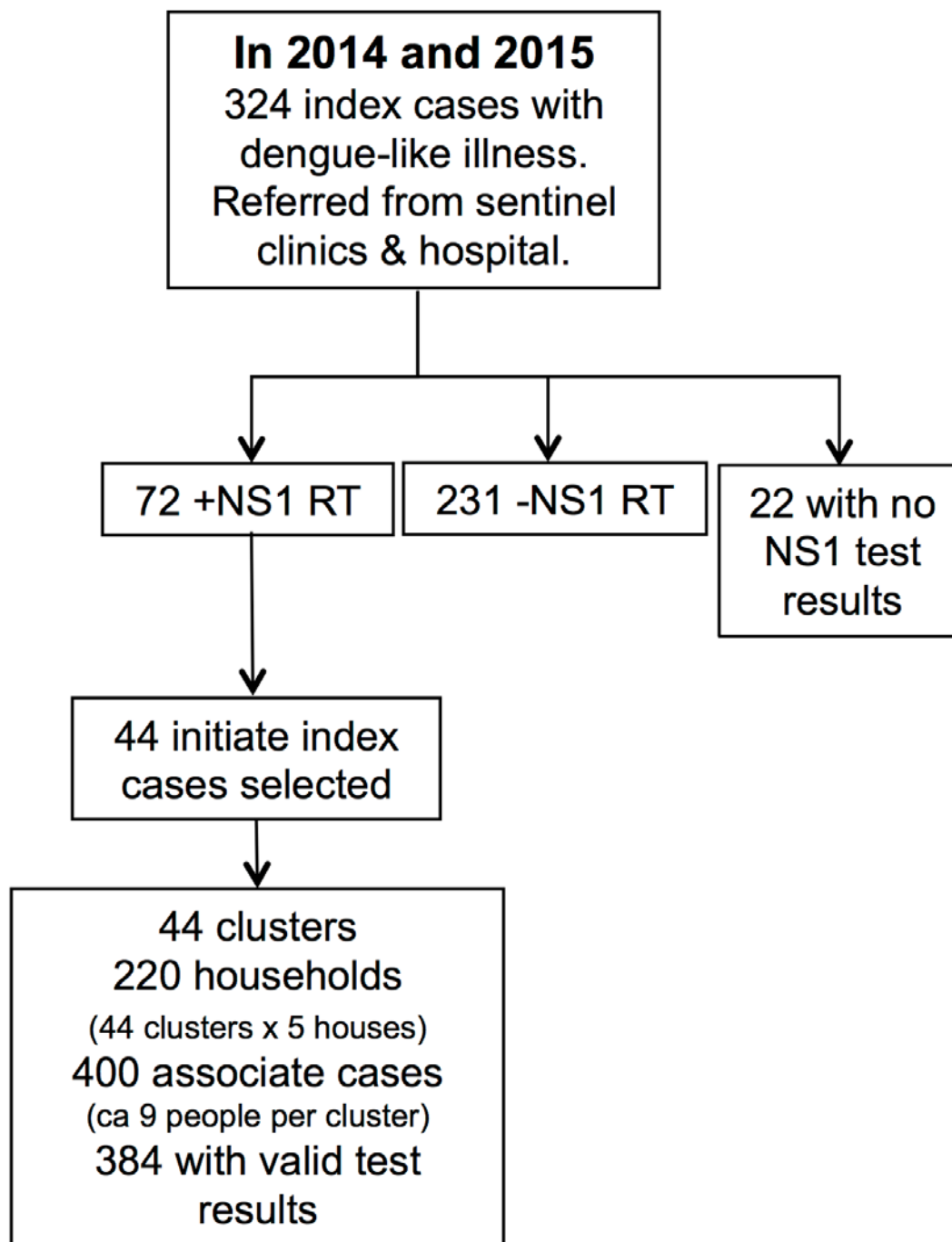
The burden of dengue and chikungunya in Ecuador

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967 **Fig 1: Map of the study site:** A. Location of Ecuador in the Americas. B. Location of El Oro
968 Province in Ecuador, the city of Machala indicated as a red dot. C. The city of Machala, showing
969 the five Ministry of Health clinical sites/hospital: 1. Mabel Estupiñan Clinic, 2. Teofilo Davila
970 Hospital, 3. Brisas del Mar Clinic, 4. El Paraiso Clinic, 5. Rayito de Luz Clinic. The location of
971 meteorological stations are indicated by A-E as follows: A. Puerto Bolivar, B. Los Esteros, C.
972 Mabel Estupiñan; D. Florida; E. Crucitas.

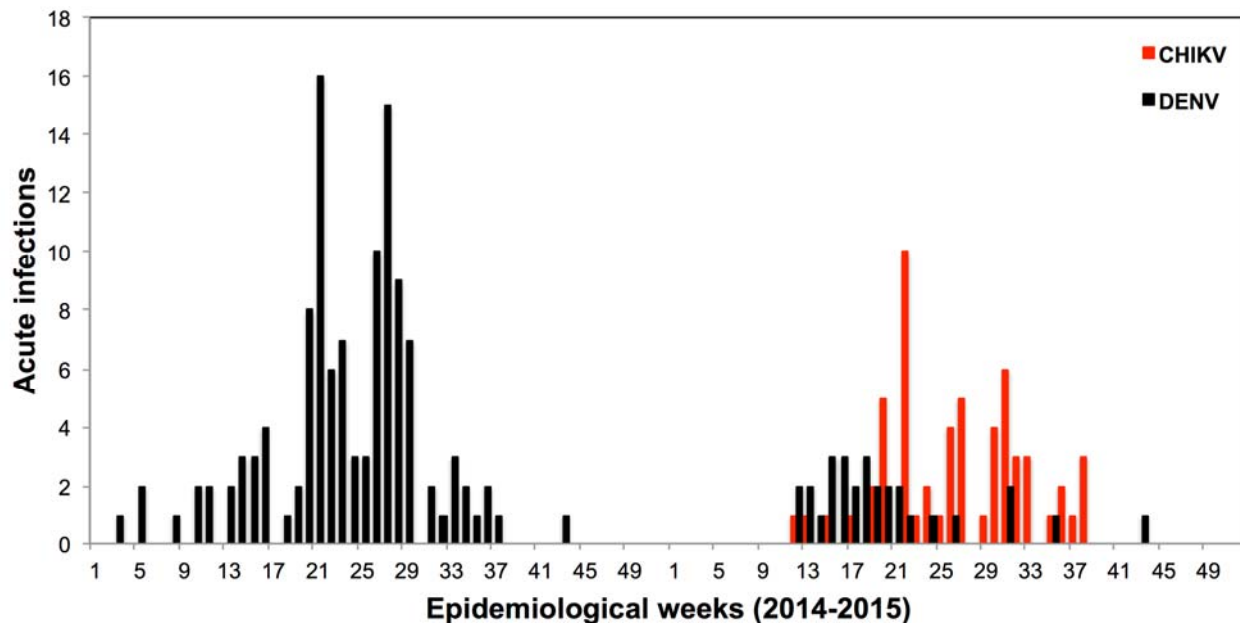
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Fig 2. Study design. DENV surveillance study design in Machala, Ecuador.

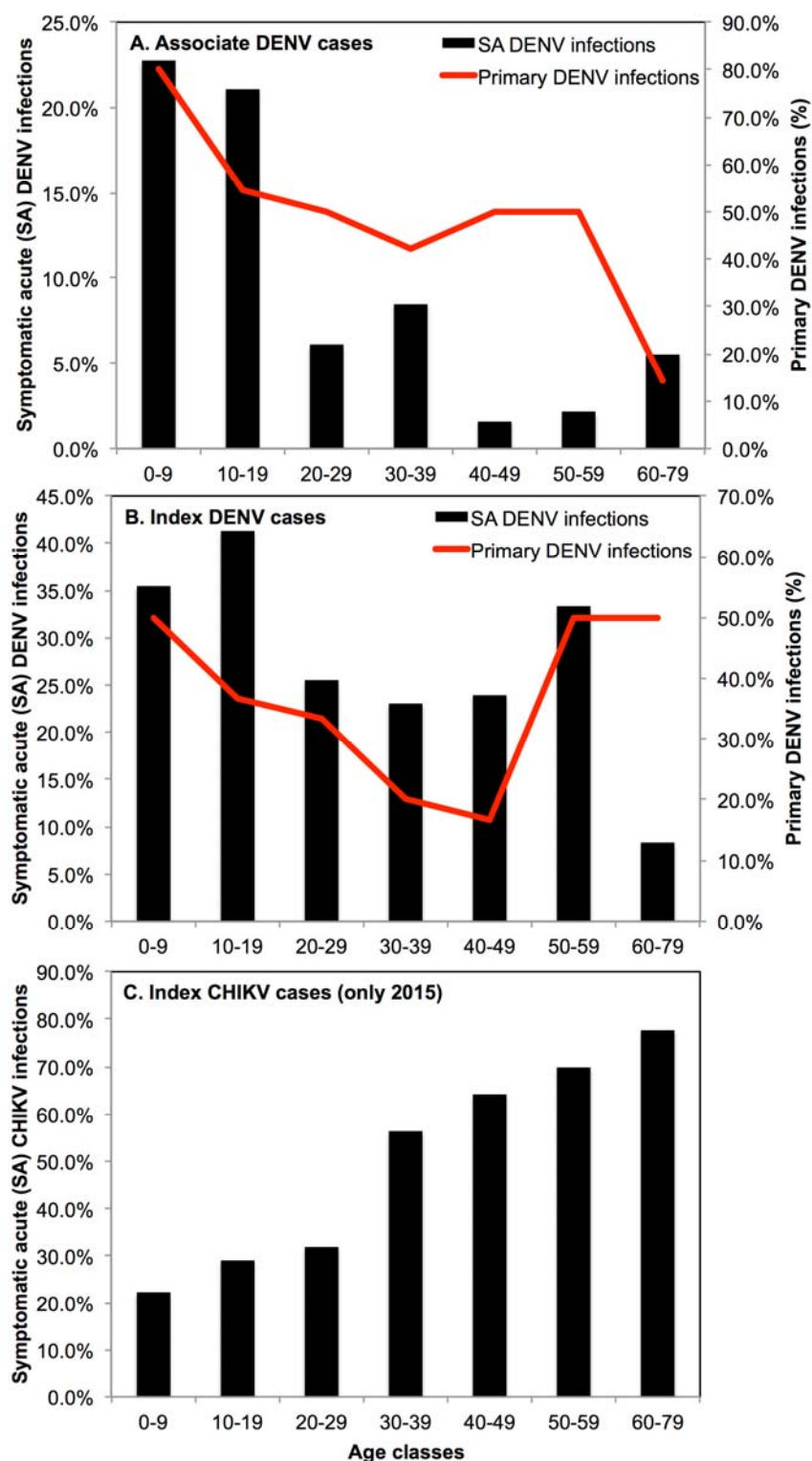
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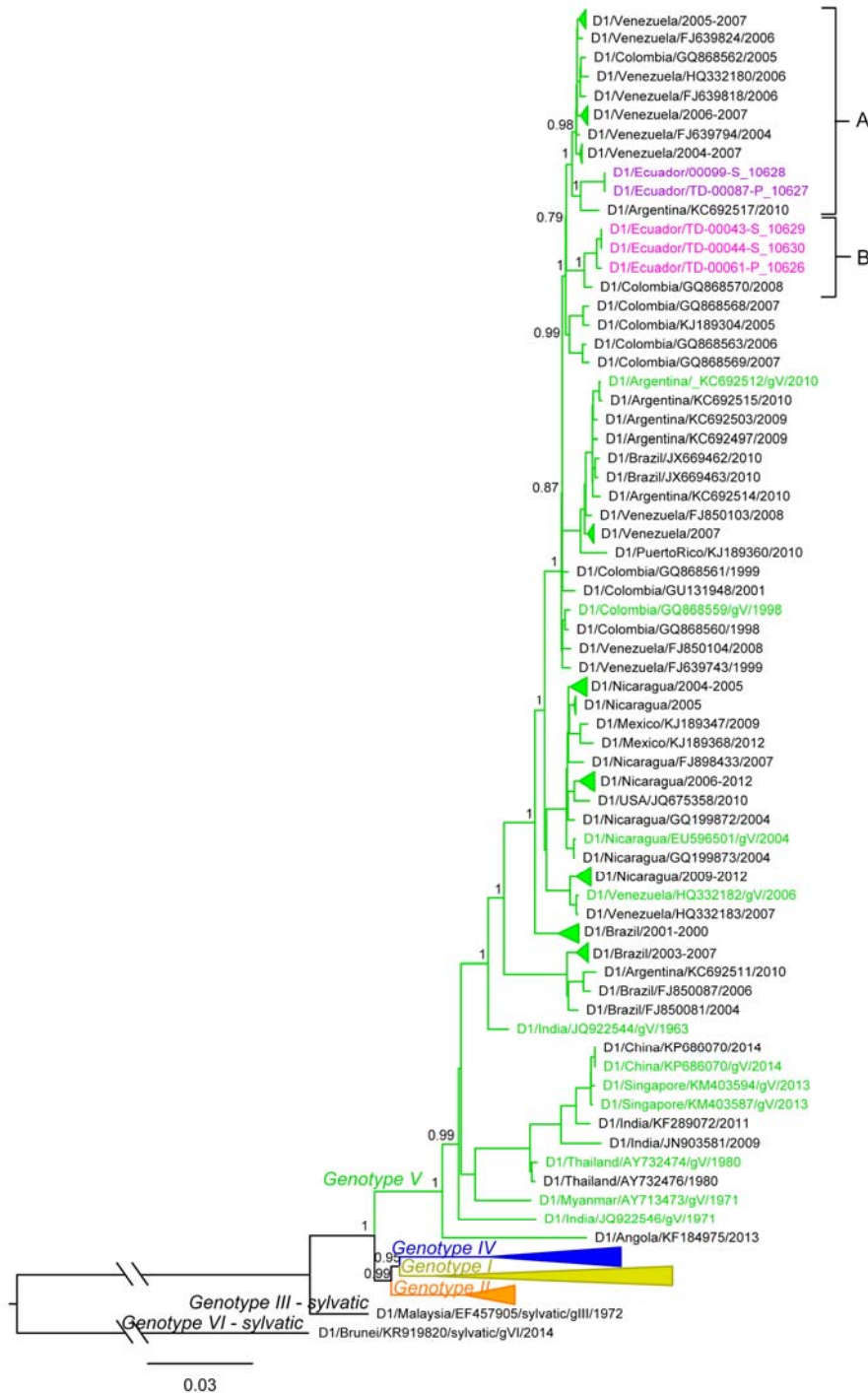
Fig 3. Weekly laboratory confirmed acute DENV and CHIKV infections in 2014 and 2015 detected by passive and active surveillance. Note: no surveillance was conducted in week 30 of 2014.

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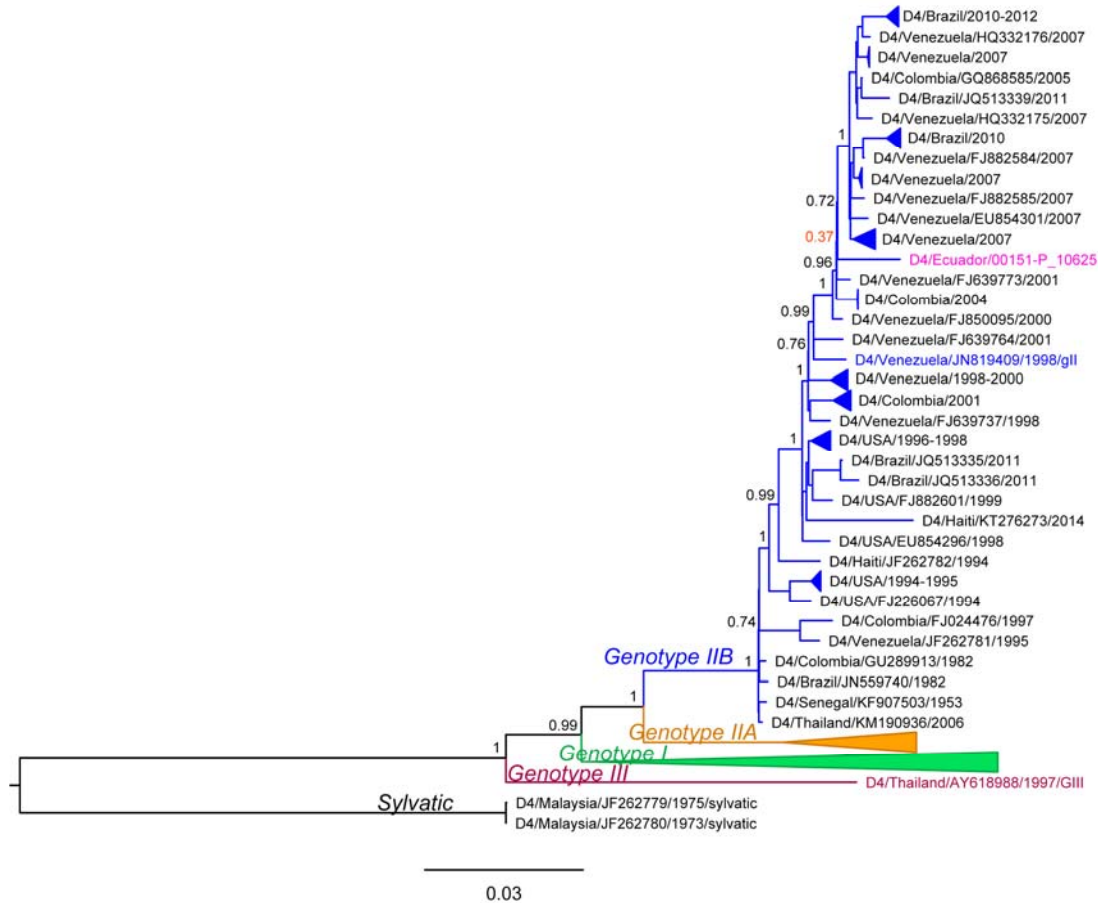
981
 982 **Fig 4. The prevalence of symptomatic acute (SA) infections and serology by age class.** The
 983 prevalence of SA DENV infections and the proportion of primary DENV infection in 2014 and
 984 2015 for (A) associates and (B) index cases, and (C) the prevalence of SA CHIKV infections in
 985 index cases in 2015. See Supplementary Table 3 for raw data and calculation details.

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986
 987 **Fig 5. Maximum likelihood phylogenetic tree of DENV1 genotypes from Ecuador in 2014.**
 988 Samples from Ecuador are colored magenta (dark and light). The two clades containing the
 989 genomes from Ecuador are marked in the tree (A and B). aLRT confidence values are shown
 990 next to the respective node. The tree is rooted on the sylvatic genotype VI sample. Some clades
 991 were collapsed in the tree to increase clarity. All collapsed clades were supported with high
 992 (>0.75) aLRT values and contained only genomes from a single country, indicated in the name
 993 of the clade. Colored taxa represent known genotype references.

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1001
 1002 **Fig 7. Maximum likelihood phylogenetic tree of DENV4 genotypes from Ecuador in 2014.**
 1003 Sample from Ecuador is colored in magenta. aLRT confidence values are shown next to the
 1004 respective node. Low aLRT values are highlighted in red. The tree is rooted on the sylvatic
 1005 genotype outgroup. Some clades were collapsed in the tree to increase clarity. All collapsed
 1006 clades were supported with high (>0.75) aLRT values and contained only genomes from a single
 1007 country, indicated in the name of the clade. Colored taxa represent known genotype references.

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1008 **Table 1. Demographic data and infection status of index cases and associates.** The
 1009 characteristics of index cases and associates in 2014 and 2015: mean age (standard deviation =
 1010 SD) and gender, febrile status, hospitalization status, and arbovirus infection status (DENV acute
 1011 infection: NS1 RT, NS1 ELISA or RT-PCR positive; DENV recent infection: IgM positive and
 1012 NS1 RT/NS1 ELISA/RT-PCR negative; CHIKV and ZIKV confirmed by RT-PCR).

	2014		2015	
	Index cases N = 186	Associates N = 298	Index cases N = 124	Associates N = 86
Age in years, mean (SD)	20.6 (15.5)	35.3 (19.1)	28.0 (18.6)	38.8 (20.0)
Gender, % female	90/186 (48.4%)	195/295 (66.1%)	68/124 (54.8%)	58/86 (67.4%)
Temperature > 38°C	30/185 (16.2%)	2/290 (0.7%)	23/124 (18.5%)	0/86 (0%)
Fever in the prior 7 days	179/185 (96.8%)	33/285 (11.6%)	119/124 (96.0%)	3/83 (3.6%)
DENV infection				
Acute infection	75/186 (40.3%)	45/298 (15.1%)	24/124 (19.4%)	5/86 (5.8%)
Recent infection	57/186 (30.6%)	61/298 (20.5%)	11/124 (8.9%)	6/86 (7.0%)
Hospitalized	34/186 (18.3%)	Not applicable	21/124 (16.9%)	Not applicable
Other acute infections				
Chikungunya virus	0/152 (0%)	Not applicable	53/123 (43.1%)	3/86 (3.5%)
Zika virus	Not applicable	Not applicable	0/123 (0%)	0/86 (0%)

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1015 **Table 2. DENV serotypes.** Results from the analysis of samples from 69 individuals in 2014
1016 and 24 individuals in 2015 that were serotyped for DENV by RT-PCR. In 2014, all four DENV
1017 serotypes were detected, with DENV2 as the predominant serotype. One index case in 2014 was
1018 positive for DENV1 and DENV2. In 2015, DENV1 and DENV2 co-circulated, and DENV1 was
1019 the predominant serotype.

DENV serotypes	2014		2015	
	Index cases N = 51	Associates N = 18	Index cases N = 23	Associates N = 1
1	4/51 (7.8%)	3/18 (16.7%)	14/23 (60.9%)	0/1 (0%)
1 & 2	1/51 (2.0%)	0/18 (0%)	0/23 (0%)	0/1 (0%)
2	43/51 (84.3%)	10/18 (55.6%)	9/23 (39.1%)	1/1 (100%)
3	2/51 (3.9%)	5/18 (27.8%)	0/23 (0%)	0/1 (0%)
4	1/51 (2.0%)	0/18 (0%)	0/23 (0%)	0/1 (0%)

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1021 **Table 3. DENV serology results for index cases and associates.** The prevalence of primary
1022 and secondary DENV infections as a proportion of individuals who had an acute or recent
1023 DENV infection and had valid serology results (217/284 individuals with acute or recent DENV
1024 infections, as reported in Table 1). Secondary DENV infections were more prevalent in 2014,
1025 whereas primary DENV infections were more prevalent in 2015. The serology of index cases in
1026 2014 versus 2015 was significantly different ($p < 0.001$). The serology of associates in 2014
1027 versus 2015 was not significantly different ($p > 0.05$).

Serology	2014		2015	
	Index cases N = 99	Associates N = 81	Index cases N = 31	Associates N = 6
Primary DENV infection	26 (26.3%)	38 (46.9%)	21 (67.7%)*	4 (66.7%)*
Secondary DENV infection	73 (73.7%)	43 (53.0%)	10 (32.2%)**	2 (33.3%)

1028 *Includes 4 index cases and 1 associate with acute CHIKV infections

1029 **Includes 1 index cases with acute CHIKV infections

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1030 **Table 4. Characteristics of acute DENV infections.** Index cases and associates with acute
1031 DENV infections in 2014 and 2015: mean age (standard deviation = SD) and gender, febrile
1032 status, and the proportion who were hospitalized. There were no significant differences between
1033 years ($p>0.05$).

Characteristics	2014		2015	
	Index cases N = 75	Associates N = 45	Index cases N = 24	Associates N = 5
Age in years, mean (SD)	20.7 (15.7)	25.2 (18.6)	19.3 (12.8)	19.6 (14.6)
Gender, % female	28/75 (37.3%)	29/45 (64.4%)	13/24 (54.1%)	2/4 (50.0%)
Temperature > 38°C	16/75 (21.3%)	2/43 (4.7%)	10/24 (41.7%)	0/5 (0%)
Fever in the last 7 days	73/75 (97.3%)	10/41 (24.4%)	24/24 (100%)	1/5 (20.0%)
Hospitalized	12/75 (16.0%)	Not applicable	8/24 (33.3%)	Not applicable

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1035 **Table 5. Demographics and symptoms associated with acute DENV infections versus acute**
 1036 **CHIKV infections in index cases.** Index cases with acute DENV infections were significantly
 1037 younger and more likely to report anorexia and nausea, vomiting, and abdominal pain (p<0.05).
 1038 Index cases with CHIKV were more likely to be female, were older, and more likely to report
 1039 muscle/joint pain (p<0.05). One individual with a DENV and CHIKV co-infection was excluded.

Characteristics	Acute DENV	Acute CHIKV	p-value
	N = 98	N = 52	
Age in years, mean (SD)	20.2 (15.0)	35.8 (19.4)	<0.0001
Gender, % female	41/98 (41.8%)	35/52 (67.3%)	0.005
Temperature > 38°C	26/98 (26.5%)	6/51 (11.8%)	0.06
Hospitalized	20/98 (20.4%)	5/52 (9.6%)	0.14
Symptoms in prior 7 days			
Fever	97/98 (99.0%)	50/52 (96.2%)	0.57
Headache	80/97 (82.5%)	37/51 (72.5%)	0.23
Anorexia and nausea	64/98 (65.3%)	19/52 (36.5%)	0.001
Muscle/joint pain	75/97 (77.3%)	50/52 (96.2%)	0.006
Rash	16/97 (16.5%)	18/52 (34.6%)	0.05
Bleeding	8/98 (8.2%)	2/52 (3.8%)	0.51
Vomiting	46/98 (46.9%)	12/52 (23.1%)	0.007
Drowsiness/lethargy	82/98 (93.9%)	46/52 (88.5%)	0.58
Abdominal pain	62/97 (63.9%)	19/52 (36.5%)	0.002
Diarrhea	27/98 (27.6%)	16/52 (30.8%)	0.82
Retro-orbital pain	67/98 (68.4%)	35/51 (68.6%)	1

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1042 **Supplementary Table 1. The prevalence of dengue-like symptoms in associates with acute**

1043 **DENV infections.** Dengue-like symptoms include all symptoms listed below. Symptoms are

1044 presented from most to least prevalent.

Symptoms	N=50	Prevalence
Any dengue-like symptom	34	68%
Temperature > 38°C	2	4%
Symptoms in prior 7 days		
Headache	16	32%
Drowsiness/lethargy	12	24%
Fever	11	22%
Muscle/joint pain	11	22%
Retro-orbital pain	11	22%
Abdominal pain	9	18%
Rash	9	18%
Anorexia and nausea	5	10%
Diarrhea	3	6%
Vomiting	2	4%
Bleeding	1	2%

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1048 **Supplementary Table 2.** (A) Primers and (b) probes used for RT-PCR diagnostics of DENV,
 1049 CHIKV, and ZIKV.

A. Primers		
Viral Target	Primer Name	Primer Sequence 5' to 3'
DENV1	D1F	CAAAAGGAAGTCGYGCAATA
DENV1	D1R	CTGAGTGAATTCTCTCTGCTRAAC
DENV2	D2F	CAGGCTATGGCACYGTCACGAT
DENV2	D2R	CCATYTGACAGCACCACCATCTC
DENV3	D3F	GGACTRGACACACGCACCCA
DENV3	D3R	CATGTCTCTACCTTCTCGACTTGYCT
DENV4	D4F	TTGTCCTAATGATGCTRGTCG
DENV4	D4R	TCCACCYGAGACTCCTTCCA
CHIKV	CHIKF_856	ACCATCGGTGTTCCATCTAAAG
CHIKV	CHIKR_962c	GCCTGGGCTCATCGTTATT
ZIKA	ZIKAF_1086	CCGCTGCCCAACACAAG
ZIKA	ZIKAR_1162c	CCACTAACGTTC TTTTGCAGACAT

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B. Probes				
Viral				
Target	Probe Name	Probe Sequence 5' to 3'	5' Label	3' Quench
DENV1	D1P	CATGTGGYTGGGAGCRCGC	FAM	BHQ1
DENV2	D2P	CTCYCCRAGAACGGGCTCGACTTCAA	HEX	BHQ1
DENV3	D3P	ACCTGGATGTGGGCTGAAGGAGCTTG	TexRed	BHQ2
DENV4	D4P	TYCCTACYCCTACGCATCGCATTCGG	Cy5	BHQ3
CHIKV	CHIKP_908	ACAGTGGTT/ZEN/TCGTGTGAGGGCTAC	HEX	IBFQ
		AGCCTACCT/ZEN/TGACAAGCAGTCAGACACT	FAM	IBFQ
ZIKA	ZIKAP_1107	CAA		

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1054 **Supplementary Table 3. The prevalence of symptomatic acute (SA) infections and serology**
 1055 **by age class.** Data were used to generate Figure 4. (A) Index cases and associates with
 1056 symptomatic acute (SA) DENV or CHIKV infections, as a proportion of all individuals from the
 1057 age class who were recruited into the study (N). For DENV, data are combined for 2014 and
 1058 2015. For CHIKV, data are shown only for 2015. There were no associates with SA CHIKV
 1059 infections. (B) The proportion of primary and secondary DENV infections per age class for
 1060 index cases and associates with valid serology and acute or recent DENV infections in 2014 and
 1061 2015 combined.

A. Prevalence of SA infections by age class

Age class	Index cases DENV			Associates DENV			Index cases CHIKV		
	(2014, 2015)			(2014, 2015)			(2015)		
	SA	N	Prevalence	SA	N	Prevalence	SA	N	Prevalence
0-9	23	65	35.4%	5	22	22.7%	4	18	22.2%
10-19	40	97	41.2%	15	71	21.1%	9	31	29.0%
20-29	13	51	25.5%	4	66	6.1%	8	25	32.0%
30-39	9	39	23.1%	5	59	8.5%	9	16	56.3%
40-49	6	25	24.0%	1	62	1.6%	9	14	64.3%
50-59	7	21	33.3%	1	47	2.1%	7	10	70.0%
60-79	1	12	8.3%	3	54	5.6%	7	9	77.8%
Total	99	310	31.9%	34	381*	8.9%	53	123	43.1%

1062 *3 associates were missing age information.

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B. Primary and secondary DENV infections by age class.				
	Index cases (N = 130)		Associates (N = 87)	
Age class	Primary	Secondary	Primary	Secondary
0-9	13/26 (50.0%)	13/26 (50.0%)	4/5 (80.0%)	1/5 (20.0%)
10-19	15/41 (36.6%)	26/41 (63.4%)	12/22 (54.5%)	10/22 (45.5%)
20-29	9/27 (33.3%)	18/27 (66.7%)	7/14 (50.0%)	7/14 (50.0%)
30-39	4/20 (20.0%)	16/20 (80.0%)	8/19 (42.1%)	11/19 (57.9%)
40-49	1/6 (16.7%)	5/6 (83.3%)	6/12 (50.0%)	6/12 (50.0%)
50-59	4/8 (50.0%)	4/8 (50.0%)	4/8 (50.0%)	4/8 (50.0%)
60-79	1/2 (50.0%)	1/2 (50.0%)	1/7 (14.3%)	6/7 (85.7%)
Total	47/130 (36.2%)	83/130 (63.8%)	42/87 (48.3%)	45/87 (51.7%)

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1067 **Supplementary Table 4. Demographics and symptoms associated with primary versus**
 1068 **secondary DENV infections in index cases that had acute or recent DENV infections.** Index
 1069 cases with secondary DENV infections were significantly older, were less likely to have a fever,
 1070 and were more likely to report vomiting ($p < 0.05$). Hospitalized cases were more likely to have
 1071 secondary infections. Index cases with DENV and CHIKV co-infections were excluded (4
 1072 primary infections, 1 secondary infection).

	Primary infections	Secondary infections	p-value
	N = 43	N = 82	
Age in years, mean (SD)	18.0 (13.1)	23.2 (13.8)	0.046
Gender, % female	19/43 (44.2%)	41/82 (50.0%)	0.53
Temperature > 38°C	10/43 (23.3%)	7/81 (8.6%)	0.048
Hospitalized	4/43 (9.3%)	31/82 (37.8%)	0.002
Symptoms in prior 7 days			
Fever	42/43 (97.7%)	77/81 (95.1%)	0.66
Headache	37/43 (86.0%)	62/82 (75.6%)	0.17
Anorexia and nausea	27/43 (62.8%)	53/82 (64.6%)	0.84
Muscle/joint pain	33/43 (76.7%)	62/82 (75.6%)	0.89
Rash	9/42 (21.4%)	16/82 (19.5%)	0.80
Bleeding	3/42 (7.4%)	12/82 (14.6%)	0.26
Vomiting	15/43 (34.9%)	45/82 (54.9%)	0.03
Drowsiness/lethargy	36/43 (83.7%)	74/82 (90.2%)	0.29
Abdominal pain	25/42 (59.5%)	53/82 (64.6%)	0.58
Diarrhea	10/43 (23.3%)	25/82 (30.5%)	0.39
Retro-orbital pain	32/43 (74.4%)	48/81 (59.3%)	0.09

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1075 **Supplementary Table 5. Demographics and symptoms associated with DENV1 versus**
1076 **DENV2 infections in index cases.** Index cases with DENV1 infections were significantly
1077 younger than those with DENV2 infections ($p < 0.05$). For all other measures, there were no
1078 significant differences ($p > 0.05$). One index case with a DENV and CHIKV co-infection was
1079 excluded.

	DENV1	DENV2	p-value
	N = 18	N = 51	
Age in years, mean (SD)	14.7 (10.5)	25.2 (16.2)	0.01
Gender, % female	9/18 (50.0%)	21/51 (41.2%)	0.71
Temperature > 38°C	8/18 (44.4%)	15/51 (29.4%)	0.38
Hospitalized	5/18 (27.8%)	7/51 (13.7%)	0.32
Symptoms in prior 7 days			
Fever	18/18 (100%)	49/51 (96.1%)	0.97
Headache	17/18 (94.4%)	43/51 (84.3%)	0.49
Anorexia and nausea	14/18 (77.8%)	32/51 (62.8%)	0.38
Muscle/joint pain	12/18 (66.7%)	43/51 (84.3%)	0.21
Rash	2/17 (11.8%)	8/51 (15.7%)	1.00
Bleeding	3/18 (16.7%)	2/51 (3.92%)	0.21
Vomiting	9/18 (50.0%)	26/51 (51.0%)	1.00
Drowsiness/lethargy	16/18 (88.9%)	44/51 (86.3%)	1.00
Abdominal pain	13/18 (72.2%)	31/51 (60.8%)	0.56
Diarrhea	4/18 (22.2%)	12/51 (23.5%)	1.00
Retro-orbital pain	13/18 (72.2%)	36/51 (70.6%)	1.00

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1082 **Supplementary Table 6. DENV infections per cluster.** The numbers of symptomatic acute and
 1083 recent (SAR) DENV infections, and acute and recent (AR) DENV infections per cluster, and the
 1084 total number of people per cluster. Each cluster includes one initiate index case, which by
 1085 definition was a SAR infection. Means and standard deviations (SD) for clusters are shown for
 1086 each year and for both years combined. All measures were significantly greater in 2014 than in
 1087 2015 ($p < 0.05$).

Year	Cluster	SAR	AR	N (initiate index + associates)
2014	1	2	3	8
	2	1	1	7
	3	3	4	12
	4	2	2	15
	5	1	2	8
	6	4	5	10
	7	3	6	12
	8	7	7	13
	9	5	5	10
	10	2	2	7
	11	3	3	11
	12	3	3	8
	13	1	5	9
	14	4	4	11
	15	4	5	9
	16	6	6	11
	17	5	8	15
	18	5	6	10
	19	5	5	9
	20	3	6	12
	21	5	10	18
	22	7	8	9
	23	5	8	13
	24	3	4	12
	25	2	2	8
	26	4	4	13
	27	2	3	6
	28	2	2	11

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	29	2	4	9
	30	1	1	7
	31	2	3	8
	32	1	1	9
	Mean (SD)	3.3 (1.7)	4.3 (2.3)	10.3 (2.7)
2015	1	1	2	10
	2	1	2	10
	3	1	2	8
	4	1	1	5
	5	1	1	8
	6	3	3	8
	7	1	2	13
	8	1	1	6
	9	2	3	8
	10	2	2	6
	11	2	2	9
	12	1	2	7
	Mean (SD)	1.4 (0.7)	1.9 (0.7)	8.2 (2.2)
Overall 2014 & 2015	Mean (SD)	2.8 (1.7)	3.7 (2.3)	9.7 (2.7)

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