The burden of dengue and chikungunya in Ecuador

1	The burden of dengue fever and chikungunya in southern coastal Ecuador:
2	Epidemiology, clinical presentation, and phylogenetics from the first two
3	years of a prospective study
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5	Anna M. Stewart-Ibarra ^{1,2,*} , Sadie J. Ryan ^{1,3,4,5} , Aileen Kenneson ¹ , Christine A. King ^{1,6} , Mark
6	Abbott ^{1,6} , Arturo Barbachano-Guerrero ⁶ , Efraín Beltrán-Ayala ⁷ , Mercy J. Borbor-Cordova ⁸ ,
7	Washington B. Cárdenas ⁸ , Cinthya Cueva ¹ , Julia L. Finkelstein ⁹ , Christina D. Lupone ¹ , Richard
8	G. Jarman ¹⁰ , Irina Maljkovic Berry ¹⁰ , Saurabh Mehta ⁹ , Mark Polhemus ^{1,2} , Mercy Silva ¹¹ , and
9	Timothy P. Endy ^{1,2,6}
10	
11	¹ Center for Global Health & Translational Sciences, SUNY Upstate Medical University,
12	Syracuse, NY, USA
13	² Department of Medicine, SUNY Upstate Medical University, Syracuse, NY, USA
14	³ Department of Geography, University of Florida, Gainesville, FL, USA
15	⁴ Emerging Pathogens Institute, University of Florida, Gainesville, FL, USA
16	⁵ College of Life Sciences, University of Kwazulu-Natal, Durban, South Africa
17	⁶ Department of Microbiology & Immunology, SUNY Upstate Medical University, Syracuse,
18	NY, USA
19	⁷ Department of Medicine, Universidad Técnica de Machala, Machala, El Oro Province, Ecuador
20	⁸ Faculty of Marine Engineering, Oceanic and Biological Sciences, and Natural Resources,
21	Escuela Superior Politecnica del Litoral (ESPOL), Guayaquil, Ecuador

^{*}Corresponding author: Center for Global Health & Translational Science, State University of New York (SUNY) Upstate Medical University, 505 Irving Ave., Syracuse, NY 13210 USA; Email: <u>stewarta@upstate.edu</u>; Phone: +1 315 464 6489

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- ⁹ Division of Nutritional Sciences, Cornell University, Ithaca, NY, USA
- ¹⁰ Viral Diseases Branch, Walter Reed Army Institute of Research (WRAIR), Silver Springs,
- 24 MD, USA
- ¹¹ Ministry of Health, Machala, El Oro, Ecuador
- 26
- 27 **Running head**: The burden of dengue and chikungunya in Ecuador
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30 Abstract

31	Here we report the findings from the first two years of an arbovirus surveillance study conducted
32	in Machala, Ecuador, a dengue endemic region (2014-2015). Patients with suspected dengue
33	virus (DENV) infections (index cases, n=324) were referred from five Ministry of Health clinical
34	sites. A subset of DENV positive index cases $(n = 44)$ were selected, and individuals from the
35	index household and four neighboring homes within 200-meters were recruited ($n = 400$).
36	Individuals who entered the study, other than index cases, are referred to as associates. In 2014,
37	70.9% of index cases and 35.6% of associates had acute or recent DENV infections. In 2015,
38	28.3% of index cases and 12.8% of associates had acute or recent DENV infections. For every
39	DENV infection captured by passive surveillance, we detected an additional three acute or recent
40	DENV infections in associates. Of associates with acute DENV infections, 68% reported
41	dengue-like symptoms, with the highest prevalence of symptomatic acute infections in children
42	under 10 years of age. The first chikungunya virus (CHIKV) infections were detected on
43	epidemiological week 12 in 2015. 43.1% of index cases and 3.5% of associates had acute
44	CHIKV infections. No Zika virus infections were detected. Phylogenetic analyses of isolates of
45	DENV from 2014 revealed genetic relatedness and shared ancestry of DENV1, DENV2 and
46	DENV4 genomes from Ecuador with those from Venezuela and Colombia, indicating presence
47	of viral flow between Ecuador and surrounding countries. Enhanced surveillance studies, such as
48	this, provide high-resolution data on symptomatic and inapparent infections across the
49	population.

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50 Introduction

51	The region of the Americas is facing an unprecedented public health crisis of co-
52	occurring epidemics of illness due to dengue virus (DENV), chikungunya virus (CHIKV) and
53	Zika virus (ZIKV). These arboviruses cause acute febrile illness and are transmitted to humans
54	by the female Aedes aegypti and Ae. albopictus mosquitoes.
55	Dengue fever is caused by an infection by one of the serotypes of the mosquito-borne
56	dengue virus (DENV 1-4, family Flaviviridae, genus Flavivirus). Clinical manifestations range
57	from mild illness (i.e., fever, rash, joint pain) to severe illness characterized by pathologic
58	vascular permeability leading to hemorrhage, shock, and sometimes death. ¹ Over the last three
59	decades, the distribution, severity, and incidence of DENV has increased in Latin America, from
60	16.4 cases per 100,000 in the 1980's to 71.5 cases per 100,000 from 2000 to 2007. ^{2,3} Current
61	estimates of apparent DENV infection in the Americas range from 1.5 million ⁴ to 13.3 million ⁵
62	infections per year. In 2015, 2.35 million DENV infections were reported in the Americas,
63	leading to 10,200 severe infections and 1,181 deaths. ⁶
64	More recently, CHIKV and ZIKV have emerged and caused major epidemics in the same
65	populations in the Americas. The first CHIKV infections (family Togaviridae, genus alphavirus)
66	were reported in the Americas in 2013, resulting in over 2.5 million suspected and confirmed
67	cases to date. ⁷ The first ZIKV infections (family <i>Flaviviridae</i> , genus <i>flavivirus</i>) were reported in
68	Brazil in 2015. ^{8,9} To date, 805,703 suspected and confirmed cases of ZIKV have been reported
69	from the Americas (as of Nov 30, 2017). ¹⁰
70	In Ecuador, DENV causes the greatest burden of mosquito-borne febrile illness. In 2014
71	and 2015, the years of this study, 16,908 and 44,104 cases per year, respectively, were

reported.¹¹ Historically, DENV was eliminated from Ecuador in the 1950s through the use of

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73	DDT and other measures to control Ae. aegypti, the only known vector in Ecuador. ^{12,13}
74	Following a weakening of the vector control program and the re-invasion of Ae. aegypti in the
75	1970s and 1980s, DENV1 re-emerged in Ecuador in 1988, and caused a major epidemic of
76	classic dengue fever. ¹⁴ From 1993 to 1999 three serotypes circulated: DENV1, DENV2
77	(American strain), and DENV4. In 2000, DENV3 and DENV2 (Asian strain) were identified,
78	and the first cases of severe hemorrhagic dengue were subsequently reported. ¹⁵
79	Today the burden of DENV is greatest in the coastal lowland region of Ecuador, the site
80	of the current study. Prior studies in southern coastal Ecuador indicate that DENV transmission
81	is highly seasonal, with the greatest incidence of disease and density of mosquito vectors from
82	February to May, the hot and rainy season, and lower transmission throughout the rest of the
83	year. ^{16,17} DENV epidemics in the region are associated with El Niño climate events that result in
84	warmer air temperatures. ¹⁶ Local social-ecological risk factors for DENV infections and Ae.
85	aegypti proliferation in this region include adjacent abandoned properties, interruptions in piped
86	water, shaded patios, lack of use of mosquito bed nets, lack of fumigation inside the home, poor
87	housing conditions, inadequate piped water, gaps in knowledge about DENV transmission, and
88	water storage habits. ^{17–20}
89	The first autochthonous CHIKV infections were reported in Ecuador at the end of 2014;

to date 35,891 suspected and confirmed cases have been reported in Ecuador at the end of 2014;
to date 35,891 suspected and confirmed cases have been reported (as of Nov 30, 2017).⁷ The first
autochthonous ZIKV infections were confirmed in Ecuador on January 7, 2016. A total of 6,240
suspected and confirmed cases of ZIKV have been reported (as of Nov 30, 2017), including
seven cases of congenital syndrome associated with ZIKV, which were first reported in May
2017.¹⁰

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95	In Ecuador, suspected and confirmed DENV, CHIKV, and ZIKV cases require
96	mandatory notification to the Ministry of Health (MoH). The MoH in Ecuador follows the 2009
97	World Health Organization (WHO) dengue diagnostic guidelines. ¹ The national surveillance
98	system is based on passive surveillance of cases from MoH clinics and hospitals. A subset of
99	suspected cases are confirmed for DENV using nonstructural protein 1 (NS1) antigen and
100	immunoglobulin (IgM) ELISAs in local diagnostic laboratories operated by the MoH. A subset
101	of cases are confirmed for DENV, CHIKV, and ZIKV using quantitative PCR at the national
102	reference laboratory of the National Institute for Public Health Research (INSPI) of the MoH.
103	Suspected infections trigger focal vector control interventions in the infected home and
104	surrounding homes by the MoH (i.e., fogging, indoor residual spraying, source reduction, and
105	larvicide application).

106 There have been prior enhanced surveillance studies to estimate the burden of dengue fever in $Asia^{21-24}$ and Latin America²⁵⁻³¹, with study designs ranging from pediatric to adult 107 cohorts, tracking of school-based absentees, use of sentinel clinics, and community-based cluster 108 109 investigations. In general, these studies found that enhanced surveillance methods identified a greater number of DENV infections, especially mild and inapparent infections, compared to 110 111 traditional passive surveillance systems. Enhanced surveillance studies generate high-resolution 112 data on the spatio-temporal distribution of symptomatic and inapparent infections across the population. This is especially important in settings and in subgroups with low-health care 113 seeking behavior or limited access to health centers. These data allow the public health sector to 114 more accurately estimate the social and economic burden of the disease, allowing for more 115 116 informed decision-making regarding the allocation of scarce resources. These studies can also

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117 inform the design and implementation of interventions targeted at high-risk groups, such as

118 vaccination campaigns or vaccine trials.

Here we present the results of the first two years of an active surveillance study in Ecuador. The aim of this study was to characterize the epidemiology, clinical presentation, and viral phylogenetics of DENV. We also present the epidemiology and clinical characteristics of CHIKV during the first CHIKV outbreak. This study is part of a long-term partnership with the MoH of Ecuador focused on strengthening febrile vector-borne disease surveillance in southern coastal Ecuador, providing high resolution epidemiological information for the region.³²

126 Materials and Methods

127 **Definitions**

Index cases are hospitalized patients and outpatients with a clinical diagnosis of an acute DENV infection who enrolled in the study. *Initiate index cases* are index cases that tested positive for DENV and were randomly selected to initiate a cluster investigation. *Associates* are study subjects who resided in the home of the initiate index case and/or in the four neighboring homes located in the cardinal directions at a maximum distance of 200 meters from the initiate index household. The four associate homes plus the initiate index case home are referred to as a *cluster*.

A study subject was considered to have an *acute DENV infection* if s/he tested positive by NS1 rapid test, NS1 ELISA or RT-PCR. If the person was negative for those three tests, but was positive by IgM ELISA, they were classified as having a *recent DENV infection*. Individuals were classified as *uninfected with DENV* if they were negative for NS1 rapid test, NS1 ELISA, RT-PCR and IgM ELISA. Individuals who tested negative for all of the tests except for the

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140	presence of IgG antibodies were not classified. Individuals who tested positive for CHIKV or
141	ZIKV by RT-PCR were classified as having an acute CHIKV or acute ZIKV infection.
142	We define a symptomatic individual as an associate with one or more dengue-like
143	symptoms. By definition, all index cases are symptomatic. Prior studies that report symptomatic
144	illness, defined symptomatic as febrile, ^{24,33} whereas we use a broader definition of symptomatic
145	to include any dengue-like symptom (e.g., headache, muscle/joint pain, retro-orbital pain,
146	abdominal pain, drowsiness/lethargy, fever, rash), since symptoms other than fever were more
147	frequently reported by associates with acute DENV infections (Supplementary Table 1). An
148	inapparent infection is defined as an infection in an associate who has no dengue-like symptoms.
149	
150	Ethics Statement.
151	This study protocol was reviewed and approval by Institutional Review Boards (IRBs) at
151 152	This study protocol was reviewed and approval by Institutional Review Boards (IRBs) at SUNY Upstate Medical University, Cornell University, the Human Research Protection Office
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152 153	SUNY Upstate Medical University, Cornell University, the Human Research Protection Office (HRPO) of the U.S. Department of Defense, the Luis Vernaza Hospital in Guayaquil, Ecuador,
152 153 154	SUNY Upstate Medical University, Cornell University, the Human Research Protection Office (HRPO) of the U.S. Department of Defense, the Luis Vernaza Hospital in Guayaquil, Ecuador, and the Ecuadorean Ministry of Health. Prior to the start of the study, all participants engaged in
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162 cluster investigation (initiate index cases). Additional study subjects include associate children (>
163 6 months) and adults, who resided in the cluster homes.

164

165 Study Site.

Machala, Ecuador, (population 280,694, capital of El Oro Province) is a port city located 166 along the Pan American Highway, near the Ecuador-Peru border (Fig 1). Machala has among the 167 168 highest incidence rates of DENV in Ecuador and exceptionally high Ae. aegypti densities compared to other countries in Latin America and Asia.^{17,34,35} In 2014 and 2015, 1.196 and 2.791 169 DENV cases, respectively, were reported from Machala (annual incidence of 42.6 cases per 170 10,000 people in 2014, 99.4 cases per 10,000 people in 2015).³⁶ The first local cases of CHIKV 171 were reported by the MoH in May 2015, and the first cases of ZIKV were reported in February 172 2016. Machala is a strategic location to monitor and investigate DENV -- and now CHIKV and 173 174 ZIKV -- transmission dynamics due to its location near an international border and port, and the 175 historically high incidence of mosquito-borne diseases. 176 Sentinel clinical sites operated by the MoH in Machala were selected based on historical reported DENV cases and the resources that they were able to offer for coordinating and 177 178 supporting the methods of this surveillance study. Of the twenty-three MoH clinics in Machala, 179 four were selected. These included the clinics Brisas del Mar, Rayito de Luz, Mabel Estupiñan, 180 and El Paraiso. In addition, the Teófilo Dávila Hospital of the MoH was included, because it is the principal public hospital of the province, where the MoH clinics refer patients with severe 181 **DENV** infections. 182

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185 **Passive and active surveillance study design.**

186 Hospitalized patients and outpatients with a clinical diagnosis of an acute DENV 187 infection (index cases), as determined by MoH physicians, were referred to our study technician 188 or nurse and were invited to participate in the study. Consent was obtained and the following data were collected using a customized database on an Ipad (FileMaker Pro Advanced 13.0v5): 189 patient demographics, home address, primary reason for seeking medical care, date of onset of 190 191 fever, symptoms within the last seven days, medications, and aural temperature. Data were 192 uploaded daily and stored in a secure cloud-based server (GoZync). At the time of clinical 193 evaluation, a 20 ml blood specimen (adjusted for age and weight by the National Institute of Health criteria) was obtained by venipuncture from each participant. Samples were processed at 194 our diagnostic laboratory at the hospital. Serum samples were used to test for acute DENV 195 196 infections using NS1 rapid strip tests (PanBio Dengue Early Rapid Test). NS1 tests were run the 197 same day that the index case was recruited into the study. Additional serum, cells, and plasma 198 were separated via centrifugation and aliquoted in multiple tubes and stored at -80°C. 199 Each week, up to four index cases that were positive for DENV infection were randomly 200 selected to be initiate index cases, and they were invited to participate in the active surveillance 201 component of this study. The study team visited the household of the initiate index case and the 202 nearest neighboring homes in each of the four cardinal directions, at a distance of less than 200 203 meters from the index household, the typical flight range of the Ae. aegypti mosquito. All 204 household members (associates) from this cluster of homes were invited to participate in the 205 study. Investigations in clusters began within two days of the initiate index case entering the 206 study. The diagnostic tests and clinical assessments described above for index cases were

207 repeated for all associates. The location (latitude, longitude) of each home was recorded using

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	The Surden of deligae and emilangunga in Dedudor
208	handheld Garmin GPS units. Passive and active surveillance study designs were optimized in a
209	prior study by the Armed Forces Research Institute of Medical Sciences (AFRIMS) in
210	Kamphaeng Phet Province, Thailand. ²⁴
211	
212	Diagnostic assays.
213	Additional diagnostic testing for DENV was conducted using serum samples and
214	commercial ELISA kits (Panbio) to test for NS1 (Dengue Early ELISA), IgM (Dengue Capture
215	IgM), and IgG (Dengue Capture IgG). We classified participants as having a primary DENV
216	infection if the ratio of IgM to IgG was \geq 1.8, and a secondary DENV infection if the ratio was
217	less than 1.8. ^{24,37,38}
218	Specimens were shipped to SUNY Upstate Medical University for testing by qualitative
219	real-time reverse transcriptase (RT)-PCR assays for DENV1-4, CHIKV, and ZIKV. All samples
220	from 2014 and 2015 were screened for DENV1-4. Samples from index cases in 2014 and index
221	cases and associates in 2015 were screened for CHIKV. Only samples from index cases and
222	associate in 2015 were screened for ZIKV. All analyses were performed on a BioRad DNA
223	Engine Chromo 4 System with MJ Opticon Monitor Analysis Software. For DENV1-4 analysis,
224	total RNA was extracted from 140 μ L of human serum specimens using the QIAamp® Viral
225	RNA Mini Kit (QIAgen, Cat# 52906) according to the manufacturer's suggested protocol and
226	resuspended in 50 μ L of buffer. Ten (10) μ L of RNA (or the equivalent of 28 μ L of serum) was
227	used in a 20 μ L reverse transcriptase reaction, of which 5 μ L of the resulting cDNA was used for

the PCR reaction. All samples and controls were analyzed in duplicate in a multiplex RT-PCR

reaction for 45 cycles using SuperScript III Platinum One-Step qRT-PCR System (Life

230 Technologies Cat# 11732-020) based on the CDC DENV1-4 Real Time RT-PCR Assay (CDC,

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231	Catalog number KK0128) ³⁹ and a published assay. ⁴⁰ Samples were classified as positive
232	according to a suggested C(t) value of \leq 37.0, which coincides with a cutoff based on CDC
233	recommendations for identifying positive DENV samples. ³⁹ For ZIKV and CHIKV analysis,
234	total RNA was extracted from human serum specimens using the QIAamp® Viral RNA Mini Kit
235	(QIAgen, Cat# 52906) according to a modified assay developed at the Walter Reed Army
236	Institute of Research (WRAIR), Viral Diseases Branch. All samples and controls were analyzed
237	in duplicate in a multiplex RT-PCR reaction using TAQMAN Fast Virus 1-Step Mix (Life
238	Technologies Cat# 4444432). The CHIKV primer and probe set (HEX reporter) was adapted
239	from an AFRIMS protocol, Set 3, which was designed specifically for the Asian genotype
240	CHIKV strain currently in the Caribbean and verified using Synthetic CHIKV RNA control
241	(ATCC, Cat# VR-3246SD). The ZIKV primer and probe set (FAM reporter) was based on the
242	AFRIMS protocol that was adapted from a published assay ⁴¹ and verified using RNA extracted
243	from ZIKV culture fluid (ZeptoMetrix Corp., Cat# 0810092CF). Both primer/probe sets were
244	specific for their respective viral target and did not detect other viruses (DENV1-4, YFV, and
245	JEV). Samples were classified as positive based on the same cutoff value used for DENV (C(t)
246	value of \leq 37.0). Primers and probes for DENV, CHIKV, and ZIKV are shown in Supplementary
247	Table 2.

248

249 Statistical analysis.

250 Statistical analyses were conducted using R (version 3.3.3) in RStudio (version 1.0.136), 251 using the 'base' and 'psych' packages for summary statistics. Student's t-test was used to 252 determine differences in continuous variables, and Chi-square or Fisher's exact test were used 253 for proportions.

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254 Sequencing and consensus assembly.

275

255 Samples from 2014 that were DENV positive by RT-PCR were sent to WRAIR, Viral 256 Diseases Branch, for full-length sequencing. Samples were extracted using a QIAGEN QIA amp 257 viral mini RNA extraction kit in accordance with manufacturer's protocols. Full genome was 258 amplified on Fluidigm Access Array system using DENV serotype specific primers and the Life Technologies SuperScript TM III One-Step RT-PCR system with Platnimum® Taq High 259 260 Fidelity polymerase, followed by cDNA quality check using Agilent Bioanalyzer DNA7500 kit 261 and RT-PCR product purification. Purified RT-PCR products were quantified using the 262 Invitrogen Quant-iTTM PicoGreen dsDNA Reagent and Kit following the manufacturer's 263 protocols. MiSeq library preparation included: dilution of purified amplicons products to 0.2ng/µL, tagmentation using 5 microliters of each dilution stock as input DNA, neutralization of 264 265 each Nextera® XT Tagmentation reaction using 5µl NT buffer, PCR amplification using index 266 primers from Nextera XT Index kit version 2 set C, PCR clean up using 25 microliters per PCR 267 reaction of Beckman Counter AMPure XP beads, and library normalization using applicable 268 reagents provided in the Nextera XT® DNA Library Preparation kit. After normalization, each 269 library was pooled and sequenced using the Illumina MiSeq reagent kit (version 2, 500 cycles) 270 and Illumina MiSeq next generation sequencer in accordance with Illumina protocols. 271 Construction of consensus genomes was performed using ngs mapper v1.2.4 in-house 272 developed pipeline (available on github, http://github.com/VBDWRAIR/ngs mapper). Briefly,

raw fastq data were stripped of barcodes and adapters and subjected to read filtering using a

quality threshold of Q25. Remaining reads were further end-trimmed using a quality threshold of

O25 using Trimmomatic.⁴² Trimmed reads with quality >Q25 were initially mapped to a set of

276 reference sequences to determine the best reference fit for each of the samples. Following

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277	reference determination, reads from each of the samples were re-mapped to their closest related
278	reference genome, to maximize the number of mapped reads. Reference mapping was performed
279	using the BWA-MEM algorithm. ⁴³ Assemblies were further processed using samtools version
280	0.1^{44} and an in-house developed python program called <i>basecaller.py</i> to produce an adapted
281	VCF for each segment, in parallel, which incorporates genomic ambiguity inherent in RNA
282	viruses into the final consensus genome for that sample based on thresholds set by the
283	investigator. Threshold for consensus genomic reconstruction for ambiguity incorporation was
284	set at 20% for this analysis, meaning if any site contained a different nucleotide call that was
285	present at 20% or greater in the dataset (taking quality of call into account) the site was given an
286	ambiguous base call (according to IUPAC conventions). Consensus sequences for all samples
287	were constructed, in parallel, from the adapted VCF output. All consensus sequences were
288	further manually quality-checked. Statistics and graphics illustrating read depth and quality of
289	mappings for each sample across each segment produced by the pipeline were done using
290	matplotlib. ⁴⁵

291

292 Phylogenetic analyses.

The five sequenced full genome DENV1 samples were aligned to a set of full genome DENV1 reference sequences obtained from GenBank using MEGAv6.⁴⁶ The 131 reference genomes were selected to represent: i) all DENV1 genotype lineages, for accurate genotype determination, ii) wide sampling time periods, with a focus on the most recently sampled genomes (2009-2016), iii) most geographical regions, with a focus on Central and South America. In addition, the top 20 genomes matching the five genomes from Ecuador through Basic Local Alignment Search Tool (Blast)⁴⁷ were added to the reference dataset. A set of 140

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300	full genome DENV2 reference sequences was obtained from GenBank following the same
301	criteria as for DENV1, and aligned to the 27 DENV2 sequenced genomes from Ecuador.
302	Likewise, a set of 100 full genome DENV4 reference sequences was obtained from GenBank
303	following the same criteria as for DENV1, and aligned to the single DENV4 sequenced genome
304	from Ecuador. We were unable to sequence DENV3 due to limited sample volume. Genetic
305	sequences are deposited in GenBank under accession numbers KY474303-KY474335.
306	We determined the best-fit models of evolution for DENV1, DENV2 and DENV4
307	datasets using jModelTest v2.1.7 with Akaike Information Criterion (AIC) and Bayesian
308	Information Criterion (BIC). ⁴⁸ Maximum Likelihood (ML) phylogenetic trees for DENV1,
309	DENV2 and DENV4 datasets were inferred using Phyml v 4.9.1. ^{49,50} The model of evolution
310	used for the full genome tree inferences was $GTR+I+\Gamma$ (general time reversible with empirically
311	estimated proportion of invariant sites and gamma distribution of among-site variation, 4
312	categories), for all three DENV serotypes. The tree space was searched heuristically using the
313	best of NNI (Nearest Neighbor Interchanges) and SPR (Subtree Pruning and Regrafting). Node
314	confidence values were determined by aLRT (approximate Likelihood Ratio Test) using the
315	nonparametric Shimodaira-Hasegawa approach. Node confidence values of >0.75 are considered
316	good support. The resulting trees were rooted by the KR919820 sylvatic reference genome ⁵¹ for
317	DENV1, and by the sylvatic genotype outgroups for DENV2 and DENV4.

318

319 **Results**

From January 1, 2014, through December 31, 2015, we recruited 324 index cases with suspected DENV infections from the five clinical sites in Machala, Ecuador (Figs 1 and 2). A subset of 310 index cases (186 in 2014, 124 in 2015) had valid test results and were included in

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323	this study (Table 1). A total of 72 index cases were positive by NS1 rapid test, and from these we
324	randomly selected 44 initiate index cases, from which 400 associates were recruited into the
325	study. A subset of 384 associates (298 in 2014, 86 in 2015) had valid test results and were
326	included in this study.
327	DENV transmission was highly seasonal in 2014 and 2015, with a peak in May (Fig 3).
328	CHIKV was first identified in our study on epidemiological week 12 in 2015, and transmission
329	followed a similar seasonal curve as DENV (Fig 3). No ZIKV infections were detected (Table
330	1).
331	Table 1 shows the diagnostic results from 2014 and 2015. There were some individuals
332	who did not have enough information to categorize as DENV positive or negative, for example,
333	an individual who was negative for an NS1 rapid test and PCR, but did not have any ELISA or
334	serology test results. To account for these discrepancies, prevalence estimates include people for
335	whom test results were available, as indicated by the denominators in the diagnostic results
336	section of the table.
337	
338	Passive surveillance of index cases
339	In 2014, the majority of all index cases (132/186, 70.9%) were positive for an acute or
340	recent DENV infection (Table 1). All four DENV serotypes were detected, and DENV2 was the
341	predominant serotype (43/51, 84.3% of serotyped index cases) (Table 2). One individual was
342	positive for DENV1 and DENV2. Secondary DENV infections were most prevalent (73/99,
343	73.7% of index cases with serology and acute or recent DENV infections) (Table 3). Index cases
344	with acute DENV infections were on average 20.7 years of age (SD=15.7) and 62.7% were male

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345	(Table 4). The majority reported a fever within the last seven days (97.3%), 21.3% had fever
346	(>38°C) upon entering the study, and 16.0% were hospitalized.
347	In 2015, more index cases were positive for acute CHIKV infections (52/123, 43.1%)
348	than for acute or recent DENV infections (35/124, 28.3%). One index case was positive for both
349	acute DENV and CHIKV infections, and five index cases were positive for recent DENV and
350	acute CHIKV infections, resulting in 11.5% (6/52) of CHIKV infections with acute or recent
351	DENV infections. DENV1 was the predominant serotype (14/23, 60.9% of serotyped index
352	cases) (Table 2). Significantly more primary DENV infections were reported in 2015 than in
353	2014 (21/31, 67.7% of index cases with serology and acute or recent DENV infections, p<0.001,
354	Table 3). Index cases with acute DENV infections were on average 19.3 years of age (SD=12.8),
355	and 54.1% were female (Table 4). All index cases with acute DENV infections reported a fever
356	within the last seven days, 41.7% had fever upon entering the study, and 33.3% were
357	hospitalized. There were no significant differences in the demographics, febrile symptoms, or
358	hospitalization rates for index cases with acute DENV infections between 2014 and 2015 (Table
359	4, p>0.05).
360	We estimated the prevalence of symptomatic acute (SA) infections for DENV and

CHIKV by age class as a proportion of the total number of individuals recruited per age class (Fig 4, see Supplementary Table 3 for prevalence calculations). Index children 10 to 19 years of age had the highest prevalence of SA DENV infections (40/97, 41.2%). SA DENV prevalence generally declined with increasing age, with the exception of individuals 50 to 59 years of age (7/21, 33.3%). Interestingly, the proportion of primary DENV infections decreased from 0 to 49 years, and increased from 50 to 79 years (as determined by index cases with serology and acute or recent DENV infections). In contrast, the prevalence of SA CHIKV infections, as a proportion

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368	of all individuals recruited into the study, was greatest in index cases 60 to 79 years of age (7/9,
369	77.8%), and prevalence increased with increasing age.
370	We compared the demographics and symptoms of index cases with acute DENV versus
371	CHIKV infections. Index cases with acute DENV infections were significantly younger
372	(mean=20.2 years, SD=15.0) and more likely to report anorexia and nausea, vomiting and
373	abdominal pain (p<0.05). Index cases with CHIKV were more likely to be female, were older
374	(mean=35.8 years, SD=19.4), and more likely to report muscle or joint pain (p<0.05). A greater
375	proportion of individuals with CHIKV reported rash (CHIKV: 34.6%; DENV: 16.5%; p=0.05),
376	and a lower proportion had fever (> 38°C) upon entering the study (CHIKV: 11.8%, DENV:
377	26.5%; p=0.06); however, these differences were not statistically significant.
378	We also compared the demographics and symptoms of primary versus secondary DENV
379	infections (Supplementary Table 4), and DENV1 versus DENV2 infections in index cases
380	(Supplementary Table 5). Individuals with secondary DENV infections were significantly older
381	(secondary: mean=23.2 years, SD=13.8; primary: mean=18.0 years, SD=13.1) (p<0.05). Overall,
382	we identified more severe illness in secondary DENV infections; individuals with secondary
383	infections were more likely to report vomiting, and hospitalized individuals were more likely to
384	have secondary DENV infections (p<0.05). However, individuals with primary DENV infections
385	were more likely to report fever (p<0.05). We did not find significant differences in symptoms
386	between DENV1 and DENV 2 (p>0.05), the predominant serotypes detected in this study,
387	although index cases with DENV2 infections were significantly older (DENV1: mean=14.7
388	years, SD=10.5; DENV2: mean=25.2 years, SD=16.2) (p<0.05).
389	

390

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391 Active surveillance of associates

392	In each cluster of homes, approximately nine associates were recruited into this study per
393	initiate index case (Fig 2). The distance between the households of associates and the respective
394	initiate index households ranged from 2.2 to 164 meters, with an average of 39 meters (SD=29
395	m). Most associate households (95.4%) were within 100 meters of the initiate index household.
396	In 2014, approximately one third of all associates (106/298, 35.6%) had evidence of acute
397	or recent DENV infections (Table 1). As with index cases, DENV2 was the dominant serotype
398	(Table 2). A similar proportion of primary (46.9%) and secondary infections (53.0%) were
399	detected (as determined by associates with serology and acute or recent DENV infections) (Table
400	3). In 2015, as with index cases, the prevalence of DENV infections decreased as a proportion of
401	all associates recruited (11/86, 12.9%), and primary DENV infections were more common (4/6,
402	66.7% of associates with serology and acute or recent DENV infections, Table 3). Only one
403	associate was serotyped as DENV2 (Table 2). The serology of associates in 2014 versus 2015
404	was not significantly different due, in part, to the small sample size (p>0.05). In 2015 we
405	detected acute CHIKV infections in three associates (3/86, 3.5%), including one associate with
406	both acute CHIKV and recent DENV infections.
407	Approximately two thirds of associates with acute DENV infections (34/50, 68%)
408	reported one or more dengue-like symptoms within the last seven days, resulting in a ratio of
409	symptomatic:inapparent infections (S:I) of 1:0.47 (2.13) (Supplementary Table 1). The most
410	commonly reported symptoms were headache (32%), drowsiness/lethargy (24%), fever (22%),
411	muscle/joint pain (22%), and retro-orbital pain (22%). Only two associates with symptomatic

412 acute DENV infections had sought medical care within the last seven days (2/34, 5.9%), and no

413 associates were hospitalized due to a DENV infection (Table 4). There were no significant

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414	differences in the demographics or febrile symptoms of associates with acute DENV infections
415	in 2014 versus 2015 (p>0.05, Table 4).
416	In associates, we determined the prevalence of SA DENV infections by age class as a
417	proportion of the total number of associates recruited per age class (Fig 4, Supplementary Table
418	3). Children 0 to 9 years of age had the highest prevalence of SA DENV infections (5/22,
419	22.7%), and prevalence declined with increasing age. The proportion of primary DENV
420	infections similarly decreased with increasing age. We calculated the prevalence of symptomatic
421	infections in associates with positive primary and secondary DENV infections, and found that
422	individuals with secondary infections had a higher prevalence of symptomatic disease; however,
423	the differences were not statistically significant (symptomatic primary: 24/42, 57.1%;
424	symptomatic secondary 35/45, 77.8%; p=0.07). No associates had SA CHIKV infections.
425	At the cluster level, prevalence rates varied by the DENV serotype of the initiate index
426	case. In 10 of 44 clusters, the initiate index case had a DENV1 infection. In these clusters, 20%
427	of all associates had acute or recent DENV infections (12/60; 95% CI: 11.8-31.8%), with a range
428	of 0% to 57.1%. The initiate index case had a DENV2 infection in 17 of 44 clusters. Among
429	these clusters, a significantly greater proportion of all associates (36.6%; 59/161; 95% CI: 29.6-
430	44.3%) (p=0.02) had an acute or recent DENV infections, with a range of 12.5% to 87.5%.
431	We calculated the average number of acute and recent (AR) DENV infections and
432	symptomatic acute and recent (SAR) infections per cluster (see raw data in Supplementary Table
433	6). By definition, each cluster included an initiate index case, which was a SAR infection. In
434	2014, there were 32 clusters, with an average of 10.3 (SD=2.7) individuals enrolled per cluster.
435	We detected an average of 4.3 (SD=2.3) AR infections, of which 3.3 (SD=1.7) were SAR
436	infections per cluster. In 2015, there were 12 clusters, with an average of 8.2 (SD=2.2)

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437	individuals enrolled per cluster. We detected an average of 1.9 (SD=0.7) AR infections, of which
438	1.4 (SD=0.7) were SAR infections. All measures were significantly greater in 2014 than in 2015
439	(p<0.05). Over both years, we detected an average of 3.7 (SD=2.3) AR infections and 2.8
440	(SD=1.7) SAR infections per cluster.
441	
442	Phylogenetic analysis of DENV
443	The best-fit models for the evolution of DENV1, DENV2, and DENV4, as determined by

444 AIC versus BIC, agreed in all instances. ML phylogenetic tree demonstrated a clear distinction

of DENV1 genotypes *I*, *II*, *IV* and *V*, and the sylvatic genotypes *III* and *VI* (Fig 5). The five

genomes from Ecuador, all sampled in 2014, belonged to genotype V of DENV1 and were found

in the sub-lineage containing mainly Central and South American genomes (*i.e.*, Colombia,

448 Venezuela, Argentina, Brazil and Puerto Rico). More importantly, sequences from Ecuador fell

449 into two distinct clades within this sub-lineage; two Ecuadorian genomes were more closely

450 related to genomes sampled in Argentina and Venezuela (Clade A), and three Ecuadorian

451 genomes were more closely related to a genome from Colombia (Clade B).

452 The ML phylogenetic tree of DENV2 showed a clear distinction of DENV2 genotypes, 453 including sylvatic, American, Cosmopolitan, Asian I, Asian II and Asian/American (Fig 6). The 454 samples from Ecuador were found within the Asian/American genotype, making up a 455 monophyletic cluster (Clade A) separated from the rest of the South American taxa with high 456 support (aLRT = 1). Genomes clustering closest to the clade A from Ecuador were sampled in 457 Colombia and Venezuela. Sequences from other neighboring countries, such as Peru and Brazil, 458 were found further down in the Asian/American lineage and were separated from the clade A, 459 and from sequences from Colombia and Venezuela, with high support (aLRT = 0.99).

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460	The ML phylogenetic tree of DENV4 demonstrated a clear distinction of genotypes <i>I</i> ,
461	IIA, IIB, III and sylvatic (Fig 7). However, two taxa from India/1961-1962 clustered with
462	genotype I with low support (aLRT=0.04), indicating that their position in the tree was uncertain
463	and they might belong to a different genotype. The single Ecuador sequence was located within
464	the genotype IIB lineage (magenta in the tree). It was surrounded by sequences collected from
465	Venezuela, Colombia and Brazil, indicating their common ancestry. However, the aLRT support
466	for the Ecuador node was low (0.4), suggesting that its correct placement was uncertain.
467	

468

469 **Discussion**

470 In this study, we characterized the epidemiology and clinical characteristics of DENV 471 and CHIKV infections, and the phylogenetics of DENV, through an enhanced surveillance study 472 design in an endemic region. We found that burden of symptomatic acute DENV in associates 473 was greatest in children under 10 years of age. In 2014, for every symptomatic acute DENV infection detected by passive surveillance (initiate index cases), we detected an additional three 474 acute or recent infections in associates by active surveillance. Two thirds of associates with acute 475 DENV infections presented with dengue-like symptoms. The prevalence of DENV decreased 476 from 2014 to 2015 with the emergence of CHIKV. Genetic analyses indicate that there is 477 478 movement of the DENV between Ecuador and neighboring countries, highlighting the 479 importance of sentinel surveillance sites, such as Machala, in border regions. The rapid 480 surveillance methods developed in this study could be applied to estimate the burden of other 481 underreported febrile diseases, allowing the public health sector to more effectively and 482 equitably conduct disease control interventions.

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483

484 Burden of DENV infection.

485	Over the two years of the study, one third of associates had acute or recent DENV
486	infections, a higher prevalence than findings from similar studies in Asia. In Vietnam, studies
487	found 18% DENV prevalence in 100 meter clusters around initiate index cases, using PCR, NS1
488	ELISA, or serology. ²¹ In Thailand, cluster DENV prevalence ranged from 10.1% to 14.3% using
489	PCR or serology. ^{22,23} One of possible explanations for the higher cluster prevalence in this study
490	is the use of the NS1 rapid test. Prior studies that evaluated the Panbio Dengue Early Rapid test
491	(used in this study) found that using antigen (NS1) and antibody (IgM, IgG) tests together
492	increased the sensitivity of DENV diagnostics (93% sensitivity), and expanded the window of
493	detection of infection. ⁵² We found that the prevalence of DENV infections in clusters varied by
494	DENV serotype (DENV1: 20.0%; DENV2: 36.6%). The higher cluster prevalence for DENV2 is
495	consistent with prior studies that found greater infection rates for DENV2 compared to
496	DENV1. ⁵³ The cause of the difference in infection rates between the two serotypes is not
497	understood. Potential factors that could be involved include the local epidemiology, serotype
498	subtype, weather, and previous exposure history of the population. ^{54–56}
499	Using this active cluster surveillance protocol, we were able to effectively detect
500	additional DENV infections in the community, particularly in 2014, when there was a higher
501	burden of disease. For every initiate index case captured by passive surveillance, we captured
502	approximately three associates with acute or recent (AR) DENV infections, of which two
503	associates had symptomatic acute or recent (SAR) DENV infections. Interestingly, we found that
504	the number of DENV infections per cluster was higher in 2014 than 2015, suggesting a higher
505	force of DENV infection in 2014, when all four DENV serotypes were circulating, prior to the

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emergence of CHIKV. We temper this with caution, however, as our cluster sample size was
smaller in 2015 (n=12) than 2014 (n=32).

508 In Latin America, enhanced surveillance studies that have reported DENV infection rates relative to passive surveillance infection rates include pediatric and adult cohorts, door-to-door 509 510 community based surveillance studies, use of sentinel clinics, and enhanced laboratory 511 diagnostic studies. To our knowledge, most cluster-based DENV surveillance studies with a 512 similar design (e.g., spatially restricted around the index home) have been conducted in Asian 513 countries. Estimates of the burden of disease from active surveillance studies in Latin America 514 vary widely depending on the study design, the effectiveness of passive surveillance, and the traits of the local population (e.g., past exposure to DENV serotypes). In a pediatric cohort in 515 Nicaragua, investigators detected 21.3 times more DENV infections than were reported to the 516 national surveillance system.⁵⁷ A study in Peru compared passive surveillance of DENV to a 517 cohort study and sentinel clinic surveillance, and found five times more DENV infections in the 518 cohort and 19 times more DENV infections through sentinel clinic surveillance.²⁵ They found 519 520 that both sentinel and cohort surveillance methods detected an increase in DENV infections more 521 rapidly than passive surveillance methods. In Puerto Rico, laboratory enhanced surveillance resulted in three times more DENV infections registered than passive surveillance methods.²⁷ 522 One of the limitations of this study was that we surveyed the nearest neighbors of the 523 524 initiate index case, which are not necessarily representative of the total population residing 525 within 200 meters. We did not collect information on those who were not willing to participate in the study. Also, people may have been more willing to participate in the study if they or someone 526 in their household was ill. This could potentially result in a higher estimate of the number of 527 additional DENV infections in clusters compared to the general population. Future studies could 528

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529	survey a greater number of households located randomly within the 200-meter radius for a more
530	accurate measure of disease prevalence and could assess DENV negative clusters as controls.
531	Additionally, this study was limited to five clinical sites operated by the MoH that were willing
532	and able to support the study. Testing for CHIKV and ZIKV was limited to PCR, and did not
533	include serological testing.
534	
535	Burden of CHIKV and other febrile illness:
536	In 2015, we found that 43.1% of clinically diagnosed (suspected) DENV infections were
537	actually positive for CHIKV, higher than the proportion of laboratory-confirmed DENV
538	infections. We identified six index cases and one associate with evidence of both acute CHIKV
539	and acute or recent DENV infections in 2015 (11.5% of CHIKV infections). There were also 96
540	individuals with undiagnosed febrile illness (non-DENV, non-CHIKV, non-ZIKV). The burden
541	of CHIKV is likely higher than reported here, since we only tested for acute infections. This
542	highlights the difficulties of differential diagnosis in areas where DENV, CHIKV, ZIKV, and
543	other febrile illnesses are co-circulating. These data also suggest that the large increase in DENV
544	cases in 2015 in Ecuador (44,104 cases in 2015 versus 14,312 cases on average from 2010 to
545	2014) ¹¹ could be the result of CHIKV and other circulating febrile pathogens.
546	We did not detect ZIKV during the study period, consistent with MoH reports, which
547	indicated that ZIKV circulated for the first time in Machala in February 2016. Although
548	surveillance efforts were not focused specifically on clinical ZIKV infections, we suspect that the
549	study would have detected some ZIKV infections if they were present in Machala due to the
550	overlapping clinical presentations of DENV and ZIKV infections. However, recent studies

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indicate that urine and whole blood may be better suited to detect ZIKV, limiting our ability to

552 detect ZIKV in serum samples by RT-PCR.^{58,59}

553

554 Clinical characteristics of DENV and CHIKV infections.

In general, the symptoms that were observed with acute DENV infections in this study 555 are consistent with other reports.^{60–66} As in other studies, we found that secondary DENV 556 557 infections were more severe; nine out of ten hospitalized individuals with DENV infections had secondary infections (Supplementary Table 4).^{24,65,67} From 2014 to 2015, we observed a shift 558 from DENV2 to DENV1, and a shift from secondary to primary DENV infections. As expected, 559 associates with acute DENV infections in 2015 were younger (mean=19.6 years of age) than in 560 2014 (mean=25.2 years of age), although the differences were not significantly different (Table 561 562 4). The clinical characteristics associated with DENV infections can vary over time and space due to both differences in the dominant serotypes in circulation^{68,69} and the ratio of primary to 563 secondary infections.^{24,65,67} 564

565 People infected with CHIKV versus DENV were older on average, consistent with the 566 disease being newly introduced into the population. MoH reports indicated that the highest 567 burden of CHIKV in Machala was among adults aged 20 to 49. We found that muscle and joint 568 pain and rash were more commonly reported by people with CHIKV infections than those with 569 DENV, which supports findings from prior studies.^{62,66}

The ratio of symptomatic:inapparent (S:I) DENV infections in associates was 1:0.47 (2.13), which is within the upper range of prior estimates from DENV endemic regions. By defining symptomatic as any dengue-like symptom, rather than only fever, we captured a broad spectrum of DENV illness. Prior studies suggest that the S:I ratio for DENV infections can vary

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574	widely, possibly depending on the immune response to prior exposure to DENV serotypes, the
575	serotypes (and subtypes) in circulation, and genetic factors. ^{23,24,31,33,69,70} A one-year contact
576	cluster study from Peru reported an S:I ratio of 1:4.56 (0.22). ³¹ A four-year pediatric cohort study
577	from Nicaragua reported S:I ratios ranging from 1:18.4 (0.05) to 1:3.0 (0.33). ⁶⁹ S:I ratios from a
578	five-year school cohort study in Thailand ranged from greater than 4 to 0, depending on the year
579	and school. ^{33,70} A two-year school cohort and cluster study from Thailand reported an overall S:I
580	ratio of 1:1 (1.0), ²³ and a one-year cluster surveillance study from Thailand reported 1:0.2 (5.0)
581	for primary infections and 1:0.4 (2.5) for secondary infections. ²³ Differences may also be due to
582	the profile of the study population (e.g., adult versus pediatric) and how investigators defined
583	symptomatic.
584	Despite the high proportion of associates with symptomatic acute DENV infections, few
585	(5.9%) had sought medical care. In prior studies in Machala, community members and healthcare
586	professionals indicated that there was low health care seeking behavior in certain populations,
587	such as working men in the urban periphery, and self-medicating was common practice. ^{18,71}
588	Another explanation is that our definition of symptomatic DENV infections included mildly

symptomatic infections that did not require medical attention. These findings highlight the
importance of active surveillance protocols that capture inapparent infections and infections in
demographic groups who are less likely to seek health care or who have limited access to health

592 care.

593

594 **Phylogenetic analysis**

595 Phylogenetic analyses of DENV1 showed Ecuadorian samples falling into two distinct596 clusters, sharing a common ancestor with viruses from Colombia in one cluster and a common

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597	ancestor with viruses from Venezuela in the other cluster. These well-separated clusters indicate
598	at least two distinct introductions of DENV1 into Ecuador. Given the early sampling of
599	Venezuelan and Colombian genomes (between 2004 and 2008), and given that recent DENV1
600	full genome samples from Peru are not available, we cannot exclude with certainty the role that
601	Peru may have played in the DENV1 introductions into Ecuador. However, the results suggest a
602	close genetic relationship of viruses circulating in Venezuela and Colombia and support the
603	notion of commonly occurring DENV1 flow between the countries. Similar to DENV1, DENV2
604	genomes from Ecuador were most closely related to genomes from Venezuela and Colombia.
605	However, unlike DENV1, DENV2 genomes from Ecuador made up a single monophyletic clade
606	separated from the rest of the South American taxa with high support. This indicates a single
607	introduction and subsequent spread of this virus in Ecuador without further DENV2
608	introductions and mixing from other regions. Even though older sequences from Peru clustered
609	further away from genomes sampled in Ecuador, Venezuela, and Colombia, suggesting they did
610	not play a role in the current DENV2 epidemic in Ecuador, the lack of recent full genomes from
611	Peru prevent us from determining the involvement of Peru in the observed DENV2 spread in
612	Ecuador. The unavailability of recent full genomes from countries surrounding Ecuador was
613	most evident in DENV4, where the exact placement of the only Ecuadorian genome in the tree
614	could not be determined due to low node support. Nevertheless, the results suggested a close
615	relationship between DENV4 in Ecuador, Venezuela, Colombia and Brazil. It is important to
616	note that samples from Peru were missing here as well, and that there is a possibility this country
617	was also involved in the circulation of DENV4 in this region. Thus, our results suggest frequent
618	flow of DENV between Ecuador and surrounding countries, including introduction and re-
619	introduction of different serotypes and different lineages of the same serotype. In addition, our

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results show the importance of continuous surveillance, including genetic sequencing efforts. If available, virus full genomes from these countries would allow for more accurate analysis of the

- 622 patterns of DENV movement and spread in this region.
- 623

624 **Public health implications**

This study provides one of the most thorough descriptions of DENV and CHIKV 625 626 infections in this region, and contributes to a long-term collaboration with the MoH and other governmental and academic partners to strengthen infectious disease surveillance in southern 627 628 coastal Ecuador, a strategic area to monitor endemic and emerging pathogens. The collaboration 629 has been successful due to a shared vision for integrated active surveillance that includes the virus-vector-host, climate and other social-ecological drivers;^{20,32} ongoing training of physicians, 630 631 researchers and students; and improvement of local diagnostic and research infrastructure. Enhanced surveillance studies, such as this, provide high-resolution spatiotemporal data 632 on the distribution of symptomatic and inapparent infections across the population. This is 633 634 especially important in places and in subgroups with low healthcare seeking behavior, which result in underreporting and continued disease transmission.^{18,71} Enhanced surveillance systems 635 have been shown to detect an increase in infections earlier than passive surveillance systems,²⁵ 636 providing a warning of an escalating outbreak. These data are currently being used to 637 parameterize and calibrate local epidemic forecast models.^{72,73} These data also allow the public 638 health sector to more accurately estimate the social and economic cost of the disease, allowing 639 640 for informed decision making regarding the allocation of scarce resources for current and future interventions, such as vector control, community mobilization, and vaccines.^{74,75} The age-641

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stratified prevalence data generated through this study design provides important information forthe design of future vaccine trials and vaccination campaigns.

Genetic and phylogenetic analyses provided additional information about virus 644 645 movement and introductions into Ecuador. Determining sources of viral origin and most 646 common pathways of spread provides important information about the dynamics of the epidemic 647 that can aid in development of coordinated regional public health surveillance and control efforts, especially across Andean countries. Prior studies from the Ecuador-Peru border region 648 highlight the importance of binational public health sector collaborations to effectively control 649 mosquito-borne diseases.⁷⁶ In addition, frequent movement of dengue between Ecuador and 650 neighboring countries highlighted the importance of sentinel surveillance sites, such as Machala, 651 652 in border regions.

653

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688 Current addresses of co-authors:

- 689 Sadie J. Ryan (sjryan@ufl.edu): Department of Geography, University of Florida, Gainesville,
- 690 FL, USA
- Aileen Kenneson (aileen.kenneson@yahoo.com): U.S. Centers for Disease Control, Atlanta, GA,
- 692 USA
- Timothy P. Endy (endyt@upstate.edu), Christine A. King (kingch@upstate.edu), and Arturo
- Barbachano-Guerrero (barbacha@upstate.edu): Department of Microbiology & Immunology,
- 695 SUNY Upstate Medical University, Syracuse, NY, USA
- 696 Mark Polhemus (polhemum@upstate.edu), Cinthya Cueva (cinthya.cueva10@gmail.com),
- 697 Christina D. Lupone (luponec@upstate.edu) and Mark Abbott (abbottm@upstate.edu): Center
- 698 for Global Health & Translational Sciences, SUNY Upstate Medical University, Syracuse, NY,
- 699 USA
- 700 Efraín Beltrán-Ayala (felixbeltran57@hotmail.com): Department of Medicine, Universidad
- 701 Técnica de Machala, Machala, El Oro Province, Ecuador
- 702 Mercy J. Borbor-Cordova (meborbor@espol.edu.ec) and Washington B. Cárdenas
- 703 (wbcarden@espol.edu.ec): Department of Marine Engineering, oceanic and biological sciences,
- and natural resources. Escuela Superior Politecnica del Litoral (ESPOL), Guayaquil, Ecuador
- 705 Richard G. Jarman (richard.g.jarman.mil@mail.mil) and Irina Maljkovic Berry
- 706 (irina.maljkovicberry.ctr@mail.mil): Viral Diseases Branch, Walter Reed Army Institute of
- 707 Research (WRAIR), Silver Springs, MD, USA
- 708 Saurabh Mehta (smehta@cornell.edu) and Julia L. Finkelstein (jfinkelstein@cornell.edu):
- 709 Division of Nutritional Sciences, Cornell University, Ithaca, NY, USA
- 710 Mercy Silva (mercysilvab@hotmail.com): Ministry of Health, Machala, El Oro, Ecuador

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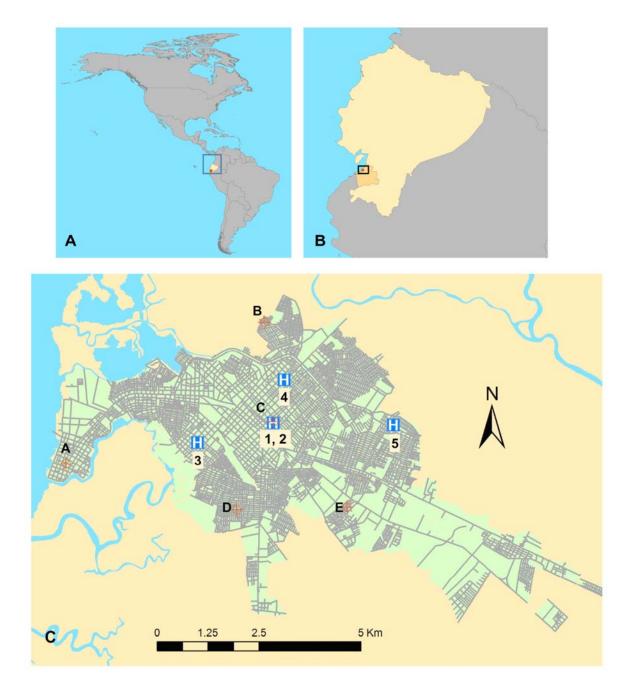
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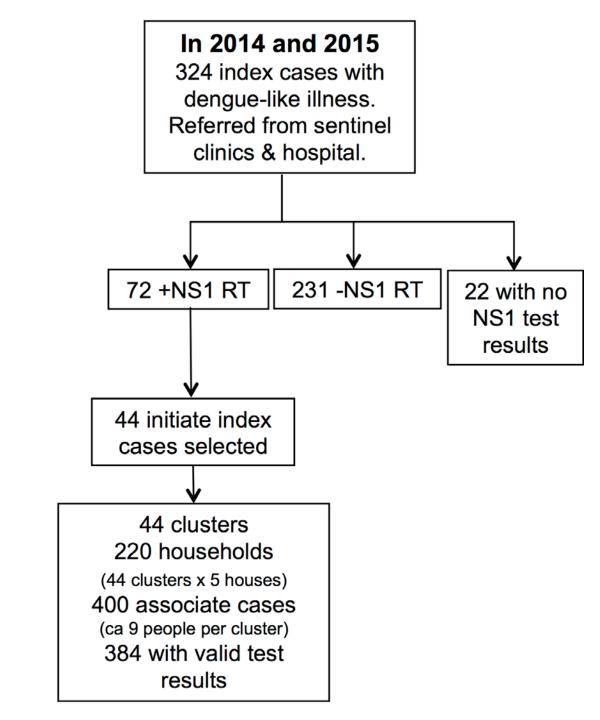


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Fig 1: Map of the study site: A. Location of Ecuador in the Americas. B. Location of El Oro
Province in Ecuador, the city of Machala indicated as a red dot. C. The city of Machala, showing
the five Ministry of Health clinical sites/hospital: 1. Mabel Estupiñan Clinic, 2. Teofilo Davila
Hospital, 3. Brisas del Mar Clinic, 4. El Paraiso Clinic, 5. Rayito de Luz Clinic. The location of
meteorological stations are indicated by A-E as follows: A. Puerto Bolivar, B. Los Esteros, C.

972 Mabel Estupiñan; D. Florida; E. Crucitas.

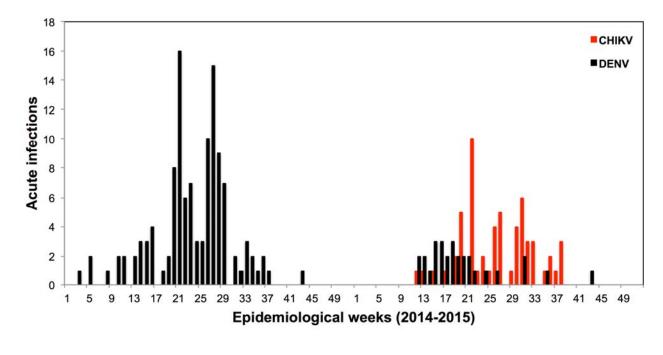
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973 974

975 Fig 2. Study design. DENV surveillance study design in Machala, Ecuador.

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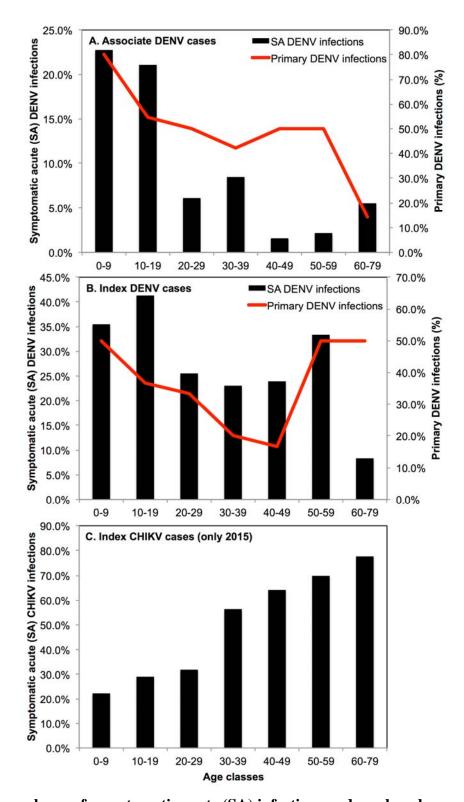


976 977

978 Fig 3. Weekly laboratory confirmed acute DENV and CHIKV infections in 2014 and 2015

detected by passive and active surveillance. Note: no surveillance was conducted in week 30
 of 2014.

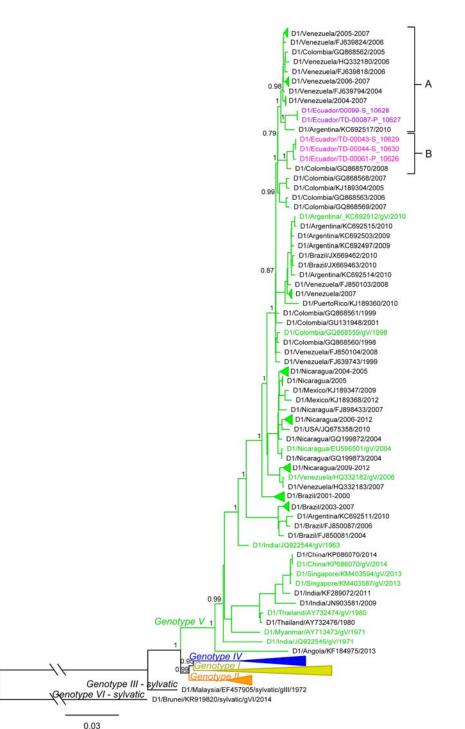
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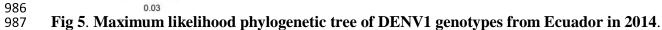


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Fig 4. The prevalence of symptomatic acute (SA) infections and serology by age class. The prevalence of SA DENV infections and the proportion of primary DENV infection in 2014 and

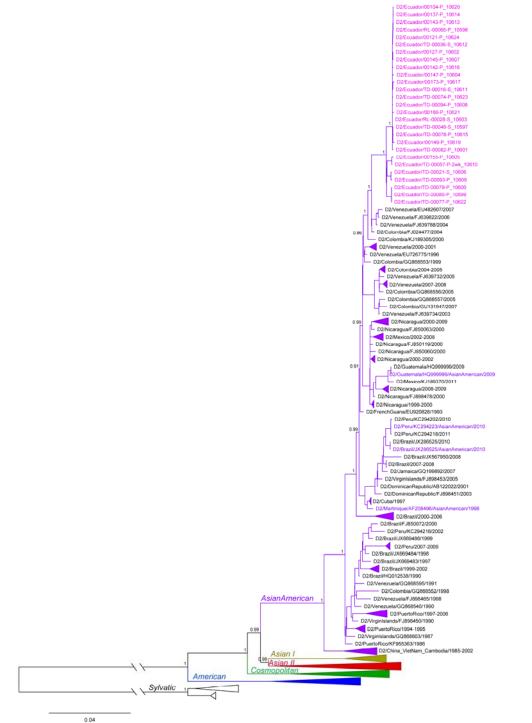
2015 for (A) associates and (B) index cases, and (C) the prevalence of SA CHIKV infections in index cases in 2015. See Supplementary Table 3 for raw data and calculation details.



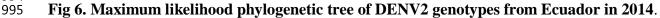


- Samples from Ecuador are colored magenta (dark and light). The two clades containing the
- genomes from Ecuador are marked in the tree (A and B). aLRT confidence values are shown
- 990 next to the respective node. The tree is rooted on the sylvatic genotype VI sample. Some clades
- 991 were collapsed in the tree to increase clarity. All collapsed clades were supported with high
- 992 (>0.75) aLRT values and contained only genomes from a single country, indicated in the name
- 993 of the clade. Colored taxa represent known genotype references.

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996 Samples from Ecuador are colored magenta in a monophyletic clade A. aLRT confidence values

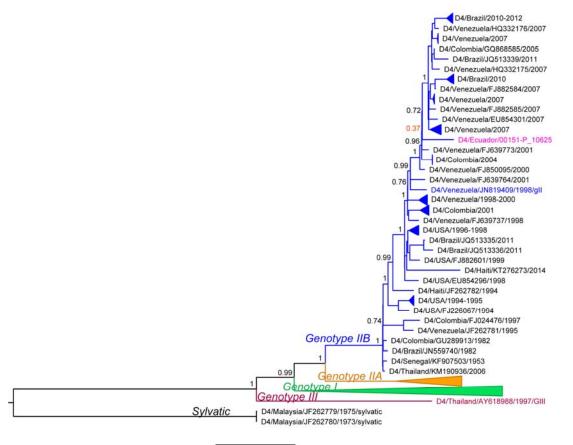
are shown next to the respective node. The tree is rooted on the sylvatic genotype outgroup.

998 Some clades were collapsed in the tree to increase clarity. All collapsed clades were supported

999 with high (>0.75) aLRT values and contained only genomes from a single country, indicated in

1000 the name of the clade. Colored taxa represent known genotype references.

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1001

0.03

1002 Fig 7. Maximum likelihood phylogenetic tree of DENV4 genotypes from Ecuador in 2014.

1003 Sample from Ecuador is colored in magenta. aLRT confidence values are shown next to the

respective node. Low aLRT values are highlighted in red. The tree is rooted on the sylvatic

1005 genotype outgroup. Some clades were collapsed in the tree to increase clarity. All collapsed

1006 clades were supported with high (>0.75) aLRT values and contained only genomes from a single

1007 country, indicated in the name of the clade. Colored taxa represent known genotype references.

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Table 1. Demographic data and infection status of index cases and associates. The 1008

characteristics of index cases and associates in 2014 and 2015: mean age (standard deviation = 1009 SD) and gender, febrile status, hospitalization status, and arbovirus infection status (DENV acute 1010 1011 infection: NS1 RT, NS1 ELISA or RT-PCR positive; DENV recent infection: IgM positive and

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1012
       NS1 RT/NS1 ELISA/RT-PCR negative; CHIKV and ZIKV confirmed by RT-PCR).
```

	201	14	201	5
	Index cases	Associates	Index cases	Associates
	N = 186	N = 298	N = 124	N = 86
Age in years, mean (SD)	20.6 (15.5)	35.3 (19.1)	28.0 (18.6)	38.8 (20.0)
Gender, % female	90/186 (48.4%)	195/295 (66.1%)	68/124 (54.8%)	58/86 (67.4%)
Temperature $> 38^{\circ}C$	30/185 (16.2%)	2/290 (0.7%)	23/124 (18.5%)	0/86 (0%)
Fever in the prior 7 days	179/185 (96.8%)	33/285 (11.6%)	119/124 (96.0%)	3/83 (3.6%)
DENV infection				
Acute infection	75/186 (40.3%)	45/298 (15.1%)	24/124 (19.4%)	5/86 (5.8%)
Recent infection	57/186 (30.6%)	61/298 (20.5%)	11/124 (8.9%)	6/86 (7.0%)
Hospitalized	34/186 (18.3%)	Not applicable	21/124 (16.9%)	Not applicable
Other acute infections				
Chikungunya virus	0/152 (0%)	Not applicable	53/123 (43.1%)	3/86 (3.5%)
Zika virus	Not applicable	Not applicable	0/123 (0%)	0/86 (0%)

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1015	Table 2. DENV serotypes. Results from the analysis of samples from 69 individuals in 2014
1016	and 24 individuals in 2015 that were serotyped for DENV by RT-PCR. In 2014, all four DENV
1017	serotypes were detected, with DENV2 as the predominant serotype. One index case in 2014 was
1018	positive for DENV1 and DENV2. In 2015, DENV1 and DENV2 co-circulated, and DENV1 was
1019	the predominant serotype.

	20	14	2015		
DENV	Index cases	Associates	Index cases	Associates	
serotypes	N = 51	N = 18	N = 23	N = 1	
1	4/51 (7.8%)	3/18 (16.7%)	14/23 (60.9%)	0/1 (0%)	
1 & 2	1/51 (2.0%)	0/18 (0%)	0/23 (0%)	0/1 (0%)	
2	43/51 (84.3%)	10/18 (55.6%)	9/23 (39.1%)	1/1 (100%)	
3	2/51 (3.9%)	5/18 (27.8%)	0/23 (0%)	0/1 (0%)	
4	1/51 (2.0%)	0/18 (0%)	0/23 (0%)	0/1 (0%)	

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1021 **Table 3. DENV serology results for index cases and associates.** The prevalence of primary

- 1022 and secondary DENV infections as a proportion of individuals who had an acute or recent
- 1023 DENV infection and had valid serology results (217/284 individuals with acute or recent DENV
- 1024 infections, as reported in Table 1). Secondary DENV infections were more prevalent in 2014,
- 1025 whereas primary DENV infections were more prevalent in 2015. The serology of index cases in
- 1026 2014 versus 2015 was significantly different (p<0.001). The serology of associates in 2014
- 1027 versus 2015 was not significantly different (p>0.05).

201	4	2015		
Index cases	Associates	Index cases	Associates	
N = 99	N = 81	N = 31	N = 6	
26 (26.3%)	38 (46.9%)	21 (67.7%)*	4 (66.7%)*	
73 (73.7%)	43 (53.0%)	10 (32.2%)**	2 (33.3%)	
	Index cases N = 99 26 (26.3%)	N = 99 $N = 81$ 26 (26.3%) 38 (46.9%)	Index cases Associates Index cases N = 99 N = 81 N = 31 26 (26.3%) 38 (46.9%) 21 (67.7%)*	

1028 *Includes 4 index cases and 1 associate with acute CHIKV infections

1029 **Includes 1 index cases with acute CHIKV infections

The burden of dengue and chikungunya in Ecuador

1030 Table 4. Characteristics of acute DENV infections. Index cases and associates with acute

- 1031 DENV infections in 2014 and 2015: mean age (standard deviation = SD) and gender, febrile
- status, and the proportion who were hospitalized. There were no significant differences between
- 1033 years (p>0.05).

	201	.4	2015		
Characteristics	Index cases	Associates	Index cases	Associates	
	N = 75	N = 45	N = 24	N = 5	
Age in years, mean (SD)	20.7 (15.7)	25.2 (18.6)	19.3 (12.8)	19.6 (14.6)	
Gender, % female	28/75 (37.3%)	29/45 (64.4%)	13/24 (54.1%)	2/4 (50.0%)	
Temperature > 38°C	16/75 (21.3%)	2/43 (4.7%)	10/24 (41.7%)	0/5 (0%)	
Fever in the last 7 days	73/75 (97.3%)	10/41 (24.4%)	24/24 (100%)	1/5 (20.0%)	
Hospitalized	12/75 (16.0%)	Not applicable	8/24 (33.3%)	Not applicable	

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1035 Table 5. Demographics and symptoms associated with acute DENV infections versus acute

- 1036 CHIKV infections in index cases. Index cases with acute DENV infections were significantly
- 1037 younger and more likely to report anorexia and nausea, vomiting, and abdominal pain (p<0.05).
- 1038 Index cases with CHIKV were more likely to be female, were older, and more likely to report
- 1039 muscle/joint pain (p<0.05). One individual with a DENV and CHIKV co-infection was excluded.

Characteristics	Acute DENV	Acute CHIKV	p-value
	N = 98	N = 52	
Age in years, mean (SD)	20.2 (15.0)	35.8 (19.4)	<0.0001
Gender, % female	41/98 (41.8%)	35/52 (67.3%)	0.005
Temperature > 38°C	26/98 (26.5%)	6/51 (11.8%)	0.06
Hospitalized	20/98 (20.4%)	5/52 (9.6%)	0.14
Symptoms in prior 7 days			
Fever	97/98 (99.0%)	50/52 (96.2%)	0.57
Headache	80/97 (82.5%)	37/51 (72.5%)	0.23
Anorexia and nausea	64/98 (65.3%)	19/52 (36.5%)	0.001
Muscle/joint pain	75/97 (77.3%)	50/52 (96.2)	0.006
Rash	16/97 (16.5%)	18/52 (34.6%)	0.05
Bleeding	8/98 (8.2%)	2/52 (3.8%)	0.51
Vomiting	46/98 (46.9%)	12/52 (23.1%)	0.007
Drowsiness/lethargy	82/98 (93.9%)	46/52 (88.5%)	0.58
Abdominal pain	62/97 (63.9%)	19/52 (36.5%)	0.002
Diarrhea	27/98 (27.6%)	16/52 (30.8%)	0.82
Retro-orbital pain	67/98 (68.4%)	35/51 (68.6%)	1

1040

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1042 Supplementary Table 1. The prevalence of dengue-like symptoms in associates with acute

- 1043 **DENV infections.** Dengue-like symptoms include all symptoms listed below. Symptoms are
- 1044 presented from most to least prevalent.

Symptoms	N=50	Prevalence
Any dengue-like symptom	34	68%
Temperature > 38°C	2	4%
Symptoms in prior 7 days		
Headache	16	32%
Drowsiness/lethargy	12	24%
Fever	11	22%
Muscle/joint pain	11	22%
Retro-orbital pain	11	22%
Abdominal pain	9	18%
Rash	9	18%
Anorexia and nausea	5	10%
Diarrhea	3	6%
Vomiting	2	4%
Bleeding	1	2%

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1048 Supplementary Table 2. (A) Primers and (b) probes used for RT-PCR diagnostics of DENV,

1049 CHIKV, and ZIKV.

A. Primers		
Viral Target	Primer Name	Primer Sequence 5' to 3'
DENV1	D1F	CAAAAGGAAGTCGYGCAATA
DENV1	D1R	CTGAGTGAATTCTCTCTGCTRAAC
DENV2	D2F	CAGGCTATGGCACYGTCACGAT
DENV2	D2R	CCATYTGCAGCARCACCATCTC
DENV3	D3F	GGACTRGACACACGCACCCA
DENV3	D3R	CATGTCTCTACCTTCTCGACTTGYCT
DENV4	D4F	TTGTCCTAATGATGCTRGTCG
DENV4	D4R	TCCACCYGAGACTCCTTCCA
CHIKV	CHIKF_856	ACCATCGGTGTTCCATCTAAAG
CHIKV	CHIKR_962c	GCCTGGGCTCATCGTTATT
ZIKA	ZIKAF_1086	CCGCTGCCCAACACAAG
ZIKA	ZIKAR_1162c	CCACTAACGTTC TTTTGCAGACAT

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B. Probes				
Viral				
Target	Probe Name	Probe Sequence 5' to 3'	5' Label	3' Quench
DENV1	D1P	CATGTGGYTGGGAGCRCGC	FAM	BHQ1
DENV2	D2P	CTCYCCRAGAACGGGCCTCGACTTCAA	HEX	BHQ1
DENV3	D3P	ACCTGGATGTCGGCTGAAGGAGCTTG	TexRed	BHQ2
DENV4	D4P	TYCCTACYCCTACGCATCGCATTCCG	Cy5	BHQ3
CHIKV	CHIKP_908	ACAGTGGTT/ZEN/TCGTGTGAGGGCTAC	HEX	IBFQ
		AGCCTACCT/ZEN/TGACAAGCAGTCAGACACT	TAM	IDEO
ZIKA	ZIKAP_1107	CAA	FAM	IBFQ

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1054 Supplementary Table 3. The prevalence of symptomatic acute (SA) infections and serology

- 1055 by age class. Data were used to generate Figure 4. (A) Index cases and associates with
- symptomatic acute (SA) DENV or CHIKV infections, as a proportion of all individuals from the
- 1057 age class who were recruited into the study (N). For DENV, data are combined for 2014 and
- 1058 2015. For CHIKV, data are shown only for 2015. There were no associates with SA CHIKV
- 1059 infections. (B) The proportion of primary and secondary DENV infections per age class for
- 1060 index cases and associates with valid serology and acute or recent DENV infections in 2014 and

1061 2015 combined.

A. Prevalence of SA infections by age class

	In	dex ca	ses DENV	1	Associate	es DENV	In	dex cas	ses CHIKV
		(2014	, 2015)		(2014,	2015)		(2	015)
Age class	SA	N	Prevalence	SA	N	Prevalence	SA	N	Prevalence
0-9	23	65	35.4%	5	22	22.7%	4	18	22.2%
10-19	40	97	41.2%	15	71	21.1%	9	31	29.0%
20-29	13	51	25.5%	4	66	6.1%	8	25	32.0%
30-39	9	39	23.1%	5	59	8.5%	9	16	56.3%
40-49	6	25	24.0%	1	62	1.6%	9	14	64.3%
50-59	7	21	33.3%	1	47	2.1%	7	10	70.0%
60-79	1	12	8.3%	3	54	5.6%	7	9	77.8%
Total	99	310	31.9%	34	381*	8.9%	53	123	43.1%

1062 *3 associates were missing age information.

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B. Primary and secondary DENV infections by age class.

	Index cases	s(N = 130)	Associates	$\mathbf{s} (\mathbf{N} = 87)$
Age class	Primary	Secondary	Primary	Secondary
0-9	13/26 (50.0%)	13/26 (50.0%)	4/5 (80.0%)	1/5 (20.0%)
10-19	15/41 (36.6%)	26/41 (63.4%)	12/22 (54.5%)	10/22 (45.5%)
20-29	9/27 (33.3%)	18/27 (66.7%)	7/14 (50.0%)	7/14 (50.0%)
30-39	4/20 (20.0%)	16/20 (80.0%)	8/19 (42.1%)	11/19 (57.9%)
40-49	1/6 (16.7%)	5/6 (83.3%)	6/12 (50.0%)	6/12 (50.0%)
50-59	4/8 (50.0%)	4/8 (50.0%)	4/8 (50.0%)	4/8 (50.0%)
60-79	1/2 (50.0%)	1/2 (50.0%)	1/7 (14.3%)	6/7 (85.7%)
Total	47/130 (36.2%)	83/130 (63.8%)	42/87 (48.3%)	45/87 (51.7%)

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The burden of dengue and chikungunya in Ecuador

1067 Supplementary Table 4. Demographics and symptoms associated with primary versus

1068 secondary DENV infections in index cases that had acute or recent DENV infections. Index

- 1069 cases with secondary DENV infections were significantly older, were less likely to have a fever,
- and were more likely to report vomiting (p < 0.05). Hospitalized cases were more likely to have
- 1071 secondary infections. Index cases with DENV and CHIKV co-infections were excluded (4
- 1072 primary infections, 1 secondary infection).

	Primary infections	Secondary infections	p-value
	N = 43	N = 82	
Age in years, mean (SD)	18.0 (13.1)	23.2 (13.8)	0.046
Gender, % female	19/43 (44.2%)	41/82 (50.0%)	0.53
Temperature > 38°C	10/43 (23.3%)	7/81 (8.6%)	0.048
Hospitalized	4/43 (9.3%)	31/82 (37.8%)	0.002
Symptoms in prior 7 days			
Fever	42/43 (97.7%)	77/81 (95.1%)	0.66
Headache	37/43 (86.0%)	62/82 (75.6%)	0.17
Anorexia and nausea	27/143 (62.8%)	53/82 (64.6%)	0.84
Muscle/joint pain	33/43 (76.7%)	62/82 (75.6%)	0.89
Rash	9/42 (21.4%)	16/82 (19.5%)	0.80
Bleeding	3/42 (7.4%)	12/82 (14.6%)	0.26
Vomiting	15/43 (34.9%)	45/82 (54.9%)	0.03
Drowsiness/lethargy	36/43 (83.7%)	74/82 (90.2%)	0.29
Abdominal pain	25/42 (59.5%)	53/82 (64.6%)	0.58
Diarrhea	10/43 (23.3%)	25/82 (30.5%)	0.39
Retro-orbital pain	32/43 (74.4%)	48/81 (59.3%)	0.09

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1075 Supplementary Table 5. Demographics and symptoms associated with DENV1 versus

- 1076 **DENV2 infections in index cases.** Index cases with DENV1 infections were significantly
- 1077 younger than those with DENV2 infections (p<0.05). For all other measures, there were no
- 1078 significant differences (p>0.05). One index case with a DENV and CHIKV co-infection was
- 1079 excluded.

	DENV1	DENV2	p-value
	N = 18	N = 51	
Age in years, mean (SD)	14.7 (10.5)	25.2 (16.2)	0.01
Gender, % female	9/18 (50.0%)	21/51 (41.2%)	0.71
Temperature > 38°C	8/18 (44.4%)	15/51 (29.4%)	0.38
Hospitalized	5/18 (27.8%)	7/51 (13.7%)	0.32
Symptoms in prior 7 days			
Fever	18/18 (100%)	49/51 (96.1%)	0.97
Headache	17/18 (94.4%)	43/51 (84.3%)	0.49
Anorexia and nausea	14/18 (77.8%)	32/51 (62.8%)	0.38
Muscle/joint pain	12/18 (66.7%)	43/51 (84.3%)	0.21
Rash	2/17 (11.8%)	8/51 (15.7%)	1.00
Bleeding	3/18 (16.7%)	2/51 (3.92%)	0.21
Vomiting	9/18 (50.0%)	26/51 (51.0%)	1.00
Drowsiness/lethargy	16/18 (88.9%)	44/51 (86.3%)	1.00
Abdominal pain	13/18 (72.2%)	31/51 (60.8%)	0.56
Diarrhea	4/18 (22.2%)	12/51 (23.5%)	1.00
Retro-orbital pain	13/18 (72.2%)	36/51 (70.6%)	1.00

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Supplementary Table 6. DENV infections per cluster. The numbers of symptomatic acute and recent (SAR) DENV infections, and acute and recent (AR) DENV infections per cluster, and the total number of people per cluster. Each cluster includes one initiate index case, which by definition was a SAR infection. Means and standard deviations (SD) for clusters are shown for each year and for both years combined. All measures were significantly greater in 2014 than in 2015 (p<0.05).</p>

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	29	2	4	9
	30	1	1	7
	31	2	3	8
	32	1	1	9
	Mean (SD)	3.3 (1.7)	4.3 (2.3)	10.3 (2.7)
2015	1	1	2	10
	2	1	2	10
	3	1	2	8
	4	1	1	5
	5	1	1	8
	6	3	3	8
	7	1	2	13
	8	1	1	6
	9	2	3	8
	10	2	2	6
	11	2	2	9
	12	1	2	7
	Mean (SD)	1.4 (0.7)	1.9 (0.7)	8.2 (2.2)
Overall 2014 & 2015	Mean (SD)	2.8 (1.7)	3.7 (2.3)	9.7 (2.7)

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