1 Redundancy in synaptic connections enables neurons to learn optimally

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13 Abstract

14Recent experimental studies suggest that, in cortical microcircuits of the mammalian brain, 15the majority of neuron-to-neuron connections are realized by multiple synapses. However, 16 it is not known whether such redundant synaptic connections provide any functional benefit. 17Here, we show that redundant synaptic connections enable near-optimal learning in 18 cooperation with synaptic rewiring. By constructing a simple dendritic neuron model, we 19 demonstrate that with multisynaptic connections, synaptic plasticity approximates a 20sample-based Bayesian filtering algorithm known as particle filtering, and wiring plasticity 21implements its resampling process. Applying the proposed framework to a detailed single 22neuron model, we show that the model accounts for many experimental observations, 23including the dendritic position dependence of spike-timing-dependent plasticity, and the 24functional synaptic organization on the dendritic tree based on the stimulus selectivity of presynaptic neurons. Our study provides a novel conceptual framework for synaptic 2526plasticity and rewiring.

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31 Introduction

32Synaptic connection between neurons is the fundamental substrate for learning and 33 computation in neural circuits. Previous morphological studies suggest that in cortical 34microcircuits, often several synaptic connections are found between the presynaptic axons 35and the postsynaptic dendrites of two connected neurons (Deuchars et al., 1994; Markram 36 et al., 1997; Feldmeyer et al., 1999). Recent connectomics studies confirmed these 37 observations in somatosensory (Kasthuri et al., 2015), visual (Lee et al., 2016), and 38entorhinal (Schmidt et al., 2017) cortex, and also in hippocampus (Bartol et al., 2015). In 39 particular, in barrel cortex, the average number of synapses per connection is estimated to 40 be around 10 (Gal et al., 2017). However, the functional importance of multisynaptic 41 connections remains unknown. Especially, from a computational perspective, such 42redundancy in connection structure is potentially harmful for learning due to degeneracy 43(Watanabe, 2001; Amari et al., 2006). In this work, we study how neurons perform learning 44 with multisynaptic connections and whether redundancy provides any benefit, from a 45Bayesian perspective.

46 Bayesian framework has been established as a candidate principle of information 47processing in the brain (Knill and Pouget, 2004; Körding and Wolpert, 2006). Many results 48 further suggest that not only computation, but learning process is also near optimal in 49terms of Bayesian for given stream of information (Behrens et al., 2007; Lake et al., 2015; 50Madarasz et al., 2016), yet its underlying plasticity mechanism remains largely elusive. 51Previous theoretical studies revealed that Hebbian-type plasticity rules eventually enable 52neural circuits to perform optimal computation under appropriate normalization (Soltani 53and Wang, 2010; Nessler et al., 2013). However, these rules are not optimal in terms of 54learning, so that the learning rates are typically too slow to perform learning from a limited 55number of observations. Recently, some learning rules are proposed for rapid learning (Aitchison and Latham, 2014; Gütig, 2016), yet their biological plausibility are still 5657debatable. Here, we propose a novel framework of non-parametric near-optimal learning 58using multisynaptic connections. We show that neurons can exploit the variability among 59synapses in a multisynaptic connection to accurately estimate the causal relationship 60 between pre- and postsynaptic activity. The learning rule is first derived for a simple neuron

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61 model, and then implemented in a detailed single neuron model. The derived rule is 62 consistent with many known properties of dendritic plasticity and synaptic organization, 63 including a recent finding on the dendritic retinotopy in Layer 2/3 (L2/3) pyramidal neurons 64 of rodent visual cortex (lacaruso et al., 2017). Furthermore, the model reveals potential 65 functional roles of anti-Hebbian synaptic plasticity observed in distal dendrites (Letzkus et 66 al., 2006; Sjöström and Häusser, 2006).

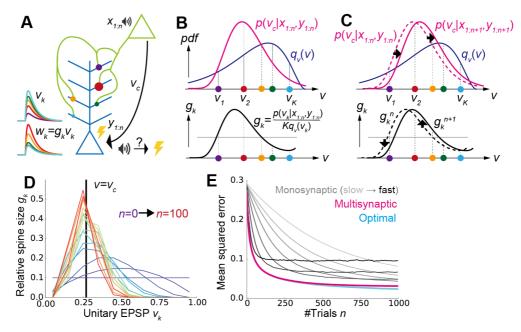
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68 **Results**

69 A conceptual model of learning with multisynaptic connections

Let us first consider a model of two neurons connected with *K* numbers of synapses (Fig. 1A) to illustrate the concept of the proposed framework. In the model, synaptic connections from the presynaptic neuron are distributed on the dendritic tree of the postsynaptic neuron as observed in experiments (Markram et al., 1997; Feldmeyer et al., 1999). Although a cortical neuron receives synaptic inputs from several thousands of presynaptic neurons in reality, here we consider the simplified model to illustrate the conceptual novelty of the proposed framework. More realistic models will be studied in following sections.

77The synapses generate different amplitudes of excitatory postsynaptic potentials 78at the soma mainly through two mechanisms. First, the amplitude of dendritic attenuation 79 varies from synapse to synapse, because the distances from the soma are different (Stuart 80 and Spruston, 1998; Segev and London, 2000). Let us denote this dendritic position 81 dependence of synapse k as v_k , and call it as the unit EPSP, because v_k corresponds to the 82 somatic potential caused by a unit conductance change at the synapse (i.e. somatic EPSP per 83 AMPA receptor). As depicted in Figure 1A, unit EPSP v_k takes a small (large) value on a 84 synapse at a distal (proximal) position on the dendrite. The second factor is the amount of 85AMPA receptors in the corresponding spine, which is approximately proportional to its spine 86 size (Matsuzaki et al., 2004). If we denote this spine size factor as g_k , the somatic EPSP 87 caused by a synaptic input through synapse k is written as $w_k = g_k v_k$. This means that even if 88 the synaptic contact is made at a distal dendrite (i.e. even if v_k is small), if the spine size q_k is 89 large, a synaptic input through synapse k has a strong impact at the soma (e.g. red synapse 90 in Fig. 1A) or vice versa (e.g. cyan synapse in Fig. 1A).





92A) Schematic figure of the model consist of two neurons connected with K synapses. Curves 93 on the left represent unit EPSP v_k (top) and the weighted EPSP $w_k = q_k v_k$ (bottom) of each 94synaptic connection. Note that synapses are consistently colored throughout Figure 1 and 2. B) Schematics of non-parametric representation of the probability distribution by 9596 multisynaptic connections. In both graphs, x-axes are unit EPSP, and the left (right) side 97 corresponds to distal (proximal) dendrite. The mean over the true distribution $p(v_c|x_{1:n}, y_{1:n})$ can be approximately calculated by taking samples (i.e. synapses) from the unit EPSP 98 99 distribution $q_{v}(v)$ (top), and then taking a weighted sum over the spine size factor q_{k} 100 representing the ratio $p(v_c|x_{1:n}, y_{1:n})/q_v(v)$ (bottom). C) Illustration of synaptic weight 101updating. When the distribution $p(v_c|x_{1:n+1}, y_{1:n+1})$ comes to the right side of the original distribution $p(v_c|x_{1:n}, y_{1:n})$, a synaptic weight g_k^{n+1} become larger (smaller) than g_k^n at 102proximal (distal) synapses. **D)** An example of learning dynamics at K=10 and $q_v(v)=$ const. 103104 Each curve represents the distribution of relative spine size $\{g_k\}$, and the colors represent the growth of trial number. E) Comparison of performance among the proposed method, the 105106 monosynaptic rule, and the exact solution (see A conceptual model of multisynaptic 107 *learning* in Methods for details). The monosynaptic learning rule was implemented with 108 η =0.01, 0.015, 0.02, 0.03, 0.05, 0.1, 0.2 (from gray to black), and the initial value was taken as $v_m^0 = 1/2$. Lines were calculated by taking average over 10⁴ independent simulations. 109

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113 On this model, we consider a simplified classical conditioning task as an example, 114 though the framework is applicable for various inference tasks. Here, the presynaptic 115neuron activity represents the conditioned stimulus (CS) such as tone, and the postsynaptic neuron activity represents the unconditioned stimulus (US) such as shock. CS and US are 116 117 represented by binary variables $x_n \in \{0,1\}$ and $y_n \in \{0,1\}$, where $x_n = 1$ ($y_n = 1$) denotes the 118presence of the CS (US), and subscript n stands for the trial number (Fig. 1A). Learning 119 behavior of animals and human in such a conditioning can be explained by the Bayesian framework (Courville et al., 2006). In particular, in order to invoke an appropriate behavioral 120response, the brain needs to keep track of the likelihood of US given CS $v_c \equiv p(y_n = 1 | x_n = 1)$, 121122presumably by changing the synaptic weight between corresponding neurons. Thus, we 123 consider supervised learning of the conditional probability v_c by multisynaptic connections, from pre- and postsynaptic activities representing US and CS, respectively. From finite trials 124up to *n*, this conditional probability is estimated as $\overline{v}_c^n = \int v_c' p(v_c' | x_{1:n}, y_{1:n}) dv_c'$, where 125 $x_{1:n} = \{x_1, x_2, \dots, x_n\}$ and $y_{1:n} = \{y_1, y_2, \dots, y_n\}$ are the histories of input and output activities, and 126 $p(v_c | x_{1:n}, y_{1:n})$ is the probability distribution of the hidden parameter v_c after *n* trials. 127Importantly, in general, it is impossible to get the optimal estimation of \bar{v}_c^{n+1} directly from 128 \overline{v}_c^n , because in order to calculate $\overline{v}_c^{n+1} = \int v'_c p(v'_c | x_{1:n+1}, y_{1:n+1}) dv'_c$, one first needs to calculate the 129distribution $p(v_c | x_{1:n+1}, y_{1:n+1})$ by integrating the previous distribution $p(v_c | x_{1:n}, y_{1:n})$ and 130131 the new observation at trial n+1: $\{x_{n+1}, y_{n+1}\}$. This means that for near-optimal learning, synaptic connections need to learn and represent the distribution $p(v_c | x_{1:p}, y_{1:p})$ instead of 132133the point estimation \bar{v}_c^n . But, how can synapses achieve that? The key hypothesis of this 134paper is that redundancy in synaptic connections is the substrate for the non-parametric 135representation of this probabilistic distribution. Below, we show that dendritic summation 136over multisynaptic connections yields the optimal estimation from the given distribution $p(v_c | x_{1:n}, y_{1:n})$, and dendritic-position-dependent Hebbian synaptic plasticity updates this 137138distribution.

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140 Dendritic summation as importance sampling

141 We first consider how dendritic summation achieves the calculation of the mean conditional 142 probability $\bar{v}_c^n = \int v'_c p(v'_c | x_{1:n}, y_{1:n}) dv'_c$. It is generally difficult to evaluate this integral by directly 143taking samples from the distribution $p(v_c | x_{1:n}, y_{1:n})$ in a biologically plausible way, because 144the cumulative distribution changes its shape at every trial. Nevertheless, we can still 145estimate the mean value by using an alternative distribution as the proposal distribution, 146and taking weighted samples from it. This method is called importance sampling (Robert 147and Casella, 2013). In particular, here we can use the unit EPSP distribution $q_{i}(v)$ as the 148proposal distribution, because unit EPSPs $\{v_k\}$ of synaptic connections can be interpreted as samples depicted from the unit EPSP distribution q_v (Fig. 1B top). Thus, the mean \bar{v}_c^n is 149150approximately calculated as

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$$\overline{v}_{c}^{n} = \int v_{c}^{\prime} p(v_{c}^{\prime} \mid x_{1:n}, y_{1:n}) dv_{c}^{\prime} \approx \frac{1}{K} \sum_{k=1}^{K} \frac{p(v_{c} = v_{k} \mid x_{1:n}, y_{1:n})}{q_{v}(v_{k})} v_{k} = \sum_{k} g_{k}^{n} v_{k} = \sum_{k} w_{k}^{n}, \quad (1)$$

152 where $g_k^n = \frac{p(v_c = v_k | x_{1:n}, y_{1:n})}{Kq_v(v_k)}$. Therefore, if spine size g_k^n represents the relative weight of 153 sample v_k , then dendritic summation over postsynaptic potentials $w_k^n \equiv g_k^n v_k$ naturally 154 represents the desired value $(\bar{v}_c^n \approx \sum_k w_k^n)$. For instance, if the distribution of synapses is 155 biased toward proximal side (i.e. if the mean \bar{v}_c^n is overestimated by the distribution of unit 156 EPSPs as in Fig. 1B top), then synapses at distal dendrites should possess large spine sizes, 157 while the spine sizes of proximal synapses should be smaller (Fig. 1B bottom).

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159 Synaptic plasticity as particle filtering

160 In the previous section, we showed that redundant synaptic connections can represent 161 probabilistic distribution $p(v_c = v_k | x_{1:n}, y_{1:n})$ if spine sizes $\{g_k\}$ coincide with their importance $g_k^n = \frac{p(v_c = v_k \mid x_{1:n}, y_{1:n})}{Kq(y_c)}$. But, how can synapses update their representation of the probabilistic 162distribution $p(v_c = v_k | x_{1:n}, y_{1:n})$ based on a new observation $\{x_{n+1}, y_{n+1}\}$? Because 163 $p(v_c = v_k | x_{1:n}, y_{1:n})$ is mapped onto a set of spine sizes $\{q_k^n\}$ as in Equation 1, the update of the 164 estimated distribution $p(v_k | x_{1:n}, y_{1:n}) \rightarrow p(v_k | x_{1:n+1}, y_{1:n+1})$ can be performed by the update of 165166spine sizes $\{g_k^n\} \rightarrow \{g_k^{n+1}\}$. By considering particle filtering (Doucet et al., 2000) on the 167parameter space (see *The learning rule for multisynaptic connections* in Methods for details), 168we can derive the learning rule for spine size as

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$$g_{k}^{n+1} = \frac{1+f(x_{n+1}, y_{n+1}; v_{k})}{1+f(x_{n+1}, y_{n+1}; w^{n})} g_{k}^{n}, \quad f(x, y; v) \equiv (2v-1)x(2y-1).$$
(2)

This rule is primary Hebbian, because the weight change depends on the product of preand postsynaptic activity x_{n+1} and y_{n+1} . In addition to that, the change also depends on unit EPSP v_k . This dependence on unit EPSP reflects the dendritic position dependence of synaptic plasticity. In particular, for a distal synapse (i.e. for small v_k), the position-dependent term ($2v_k$ -1) takes a negative value (note that $0 \le v_k < 1$), thus yielding an anti-Hebbian rule as observed in neocortical synapses (Letzkus et al., 2006; Sjöström and Häusser, 2006).

176For instance, if the new data $\{x_{n+1}, y_{n+1}\}$ indicates that the value of v_c is in fact larger 177then previously estimated, then the distribution $p(v_c|x_{1:n+1}, y_{1:n+1})$ shifts to the right side 178(upper panel of Fig. 1C). This means that the spine size g_k^{n+1} becomes larger then g_k^n at 179synapses on the right side (i.e. proximal side), whereas synapses get smaller on the left side (i.e. distal side; bottom panel of Fig. 1C). Therefore, pre- and postsynaptic activity causes 180 181 LTP at proximal synapses induces LTD at distal synapses as observed in experiments 182(Letzkus et al., 2006; Sjöström and Häusser, 2006). The derived learning rule (Eq. 2) also depends on the total EPSP amplitude $w^n = \sum_k w_k^n = \sum_k g_k^n v_k$. This term reflects a normalization 183184factor possibly modulated through redistribution of synaptic vesicles over the presynaptic 185axon (Staras et al., 2010). A surrogate learning rule without this normalization factor will be 186studied in a later section.

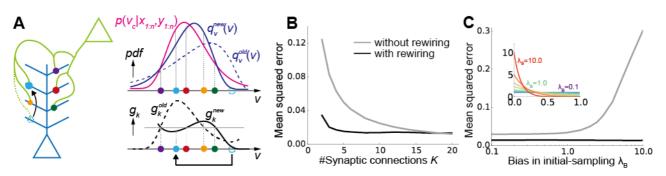
187We performed simulations by assuming that the two neurons are connected with ten synapses with the uniform unit-EPSP distribution (i.e. $q_v(v) = \text{const.}$). At an initial phase 188189of learning, the distribution of spine size $\{g_k^n\}$ has a broad shape (purple lines in Fig. 1D), 190 and the mean of distribution is far away from the true value ($v = v_c$). However, the distribution 191 is skewed around the true value as evidence is accumulated through stochastic pre- and 192postsynaptic activities (red lines in Fig. 1D). Indeed, the estimation performance of the 193proposed method is nearly the same as that of the exact optimal estimation, and much 194 better than the standard monosynaptic learning rules (Fig. 1E; see Monosynaptic learning 195rule in Methods for details).

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197 Synaptogenesis as resampling

As shown above, weight modification in multisynaptic connections enables a near optimal learning. However, to represent the distribution accurately, many synaptic connections are required (gray line in Fig. 2B), while the number of synapses between a excitatory neuron

pair is typically around five in the cortical microcircuits. Moreover, even if many synapses are
allocated between presynaptic and postsynaptic neurons, if the unit EPSP distribution is
highly biased, the estimation is poorly performed (gray line in Fig. 2C). We next show that
this problem can be avoided by introducing synaptogenesis (Holtmaat and Svoboda, 2009)
into the learning rule.



206 Figure 2. Synaptic rewiring for efficient learning

A) Schematic illustration of resampling. Dotted cyan circles represent an eliminated synapse, and the filled cyan circles represent a newly created synapse. **B**, **C**) Comparison of performance with/without synaptic rewiring at various synaptic multiplicity *K* (**B**), and bias in initial-sampling λ_B (**C**). For each bias parameter λ_B , the unit EPSP distribution { v_k } was set as $v_{\kappa'} = -\log(1-[1-e^{-\lambda_B}]\frac{\kappa'}{\kappa})$, as depicted in the inset. Lines are the means over 10⁴ simulations.

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213In the proposed framework, when synaptic connections are fixed (i.e. when $\{v_k\}$ are 214fixed), some synapses quickly become useless for representing the distribution. For 215instance, in Figure 2A, (dotted) cyan synapse is too proximal to contribute for the 216representation of $p(v_c|x,y)$. Therefore, by removing the cyan synapse and creating a new 217 synapse at a random site, on average, the representation becomes more effective (Fig. 2A). 218Importantly, in our framework, spine size factor g_k is proportional to the informatic 219importance of the synapse by definition, thus optimal rewiring is achievable simply by 220removing the synapse with the smallest spine size. Ideally, the new synapse should be 221sampled from $p(v_c|x,y)$ for an efficient rewiring, yet it is not clear if such a sampling is 222biologically plausible, and indeed random resampling is sufficient as long as elimination is 223selectively performed as mentioned above.



By introducing this resampling process, the model is able to achieve high

performance even if the total number of synaptic connection is just around three (black line in Fig. 2B), or if the initial distribution of $\{v_k\}$ is poorly taken (black line in Fig. 2C).

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228 Detailed single neuron model of learning from many presynaptic neurons

229In the previous sections, we found that synaptic plasticity in multisynaptic connections can 230achieve non-parametric near-optimal learning in a simple model with one presynaptic 231neuron. To investigate its biological plausibility, we next extend the proposed framework to 232a detailed single neuron model receiving inputs from many presynaptic neurons. To this end, 233we constructed an active dendritic model using NEURON simulator (Hines and Carnevale, 2341997) based on a previous model of L2/3 pyramidal neurons of the primary visual cortex 235(Smith et al., 2013). We randomly distributed 1000 excitatory synaptic inputs from 200 236presynaptic neurons on the dendritic tree of the postsynaptic neuron, while fixing synaptic 237connections per presynaptic neuron at K=5 (Fig. 3A; see *Morphology* in Methods for the 238details of the model). We assumed that all excitatory inputs are made on spines, and each 239spine is projected from only one bouton for simplicity. In addition, 200 inhibitory synaptic 240inputs were added on the dendrite to keep the excitatory/inhibitory (E/I) balance (Froemke, 2412015). We first assigned a small constant conductance for each synapse, and then measured 242the somatic potential change, which corresponds to the unit EPSP in the model. As observed 243in cortical neurons (Stuart and Spruston, 1998), input at a more distal dendrite showed 244larger attenuation at the soma, though variability was quite high across branches (Fig. 3B). 245

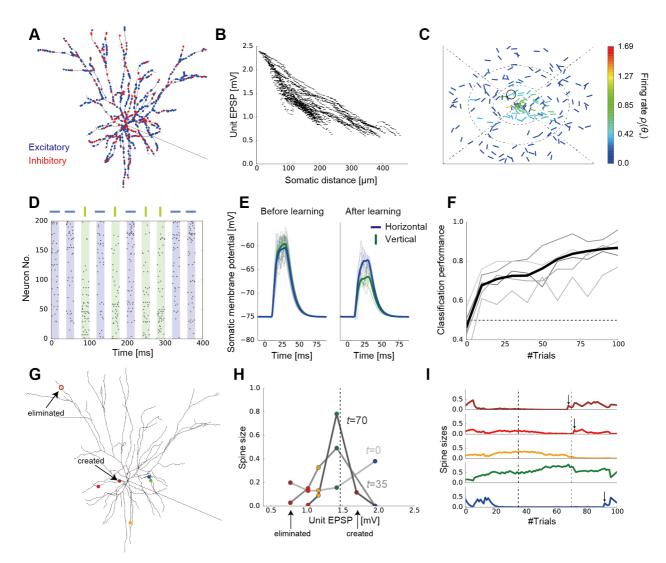


Figure 3. A detailed model of multisynaptic learning with multiple presynaptic neurons 246A) Schematic figure of the detailed neuron model. Blue and red points on the dendritic trees 247248represent excitatory and inhibitory synaptic inputs, respectively. B) Dendritic position 249dependence of unit EPSP. Each dot represents a synaptic contact on the dendritic tree. C) An 250example of the visual selectivity patterns of presynaptic neurons. Position and angle of each 251bar represent the receptive field (RF) and the orientation selectivity of each presynaptic 252neuron, where the RF was defined relative to the RF of the postsynaptic neuron (the central 253position). Colors represent the firing rates of presynaptic neurons when a horizontal bar 254stimulus is presented at the RF of the postsynaptic neuron. Here, the firing rates were 255evaluated as the expected number of spikes within 20ms stimulus duration (see Stimulus 256*selectivity* in Methods for details). The black circle shows the selectivity of the representative 257neuron depicted in G-I. D) Examples of input spike trains generated from the horizontal 258(target) and vertical (non-target) stimuli. Presynaptic neurons were sorted by their stimulus preference. Note that in the actual simulations, variables were initialized after each 259

stimulation trial. See *Task configuration* in Methods for details of the task. E) Somatic
responses before and after learning. Thick lines represent the average response curves over
100 trials and thin lines are trial-by-trial responses. F) The average learning curves over 50
simulations (black line) and examples of learning curves (gray lines). G-I) An example of
learning dynamics under the multisynaptic rule (see Results for details).

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266 Next, we consider a perceptual learning task in this neuron model. Each excitatory 267presynaptic neuron was assumed to be a local excitatory neuron, modeled as a simple cell 268having a small receptive field (RF) and a preferred orientation in the visual space (Fig. 3C). 269Axonal projections from each presynaptic neuron were made onto five randomly selected 270dendritic branches of the postsynaptic neuron regardless of the stimulus selectivity, 271because visual cortex of mice has a rather diverse retinotopic structure (Bonin et al., 2011). 272In this setting, the post-neuron should be able to infer the orientation of the stimulus 273presented at its RF from the presynaptic inputs, because cells having similar RFs or 274orientation selectivity are often co-activated (Simoncelli and Olshausen 2001; Geisler et al., 2752001). Thus, we consider a supervised learning task in which the postsynaptic neuron has to 276learn to detect a horizontal grading, not a vertical grading, from stochastic presynaptic 277spikes depicted in Figure 3D. In reality, the modulation of lateral connections in L2/3 is 278arguably guided by the feedforward inputs from layer 4 (Ko et al., 2013; Urbanczik and Senn 2792014). However, for simplicity, we instead introduced an explicit supervised signal to the 280postsynaptic neuron. In this formulation, we can directly apply the rule for synaptic plasticity 281and rewiring introduced in the previous section (see *The learning rule for the detailed model* 282in Methods). Here, in addition to the rewiring by the proposed multisynaptic rule, we 283implemented elimination of synapses from uncorrelated presynaptic neurons, to better 284replicate developmental synaptic dynamics.

Initially, the postsynaptic somatic membrane potential responded similarly to both horizontal and vertical stimuli, but the neuron gradually learned to show a selective response to the horizontal stimulus (Fig. 3E). After 100 trials, the two stimuli became easily distinguishable by the somatic membrane dynamics (Fig. 3E and F; see *Performance evaluation* in Methods for details). Next, we examined how the proposed mechanism works in detail. To this end, we focused on a presynaptic neuron circled in Figure 3C, and tracked

291the changes in its synaptic projections and spine sizes (Fig. 3G–I). Because the neuron has a 292 RF near the postsynaptic RF, and its orientation selectivity is nearly horizontal, the total 293synaptic weight from this neuron should be moderately large after learning. Indeed, the 294Bayesian optimal weight was estimated to be around 1.5 mV in the model (vertical dotted 295line in Fig. 3H), under the assumption of linear dendritic integration. Overall, the unit EPSPs 296 of the majority of synapses were initially around 1.0-1.5 mV, while smaller or larger unit 297 EPSPs were rare due to dendritic morphology (Fig. 3B). To counterbalance this bias toward 298the center, we initialized the spine size in a U-shape (light gray line in Fig. 3H). In this way, 299 the prior distribution of the total synaptic weight becomes roughly uniform (see also Fig. 1B). 300 After a short training, the most proximal spine (the blue one) was depotentiated, whereas 301 spines with moderate unit EPSP sizes were potentiated (yellow and green ones on dark gray 302line in Fig. 3H). This is because, the expected distribution of the weight from this 303 presynaptic neuron shifted to the left side (i.e. to a smaller EPSP) after the training, and this 304 shift was implemented by reducing the spine size of the proximal synapse, while increasing 305 the sizes of others (as in Fig. 1C, but here the change is to the opposite direction). Note that, 306 the most distal spine (the brown one) was also depressed here, as the expected distribution 307 got squeezed toward the center. Finally, after a longer training, the expected distribution 308 became more squeezed, hence all but the green spine were depotentiated (black line in Fig. 309 3H). Moreover, the most distal synapse was eliminated because its spine size became too 310 small to make any meaningful contribution to the representation, and a new synapse was 311 created at a proximal site (open and closed brown circles in Fig. 3G, respectively) as 312explained in Figure 2A. This rewiring achieve a more efficient representation of the weight 313 distribution on average. Indeed, the new brown synapse was potentiated subsequently (top 314 panel in Fig. 3I). Note that, in this example, red and blue synapses were also rewired shortly 315after this moment (vertical arrows above red and blue traces in Fig. 31).

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317 The model reproduces various properties of synaptic organization on the dendrite

318 While we confirmed that the proposed learning paradigm works well in a realistic 319 model setting, we further investigated its consistency with experimental results. We first 320 calculated spine survival ratio for connections from different presynaptic neurons. As

321 suggested from experimental studies (Ko et al., 2013; lacaruso et al., 2017), more synapses 322 survived if the presynaptic neuron had a RF near the postsynaptic RF after learning (Fig. 4A). 323 Likewise, synapses having similar orientation selectivity to the postsynaptic neuron showed 324 higher survival rates (Fig. 4B) as indicated from previous observations (Ko et al., 2013; Lee et 325 al., 2016). However, this orientation dependence was evident only for projections from 326 neurons with a RF in the direction of the postsynaptic orientation selectivity (blue line in Fig. 327 4C), and the spines projected from neurons with orthogonal RFs remained to have uniform selectivity even after learning (green line in Fig. 4C), as reported in a recent experiment 328 329 (lacaruso et al., 2017). In contrast, both connections from neurons with nearby and faraway 330 RFs showed clear orientation dependence, though the dependence was more evident for the 331 latter in the model (Fig. 4D). The consistencies with the experimental results (Fig. 4A-D) 332 support the legitimacy of our model setting, though they were achieved by the elimination 333 of uncorrelated spines, not by the multisynaptic learning rule per se.

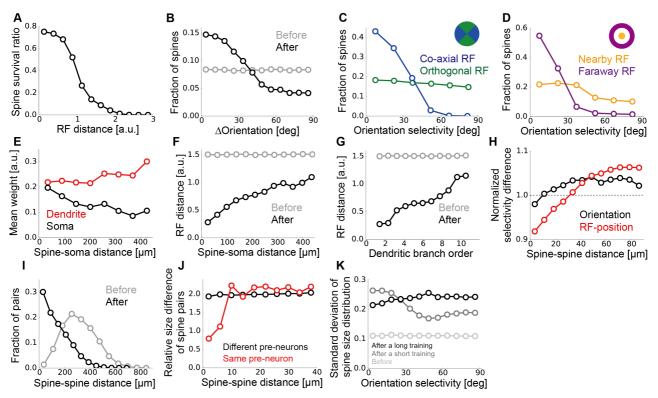


Figure 4. Synaptic organization on the dendrite by the multisynaptic learning rule
A) Survival ratio of spines with different receptive field (RF) distances from the postsynaptic
neuron. B) Fraction of spines having various orientation selectivity before and after learning.
C, D) Fraction of spines survived after learning, calculated for different orientation
selectivity at co-axial/orthogonal RFs (C), and at nearby/faraway RFs (D). We defined the RF

339 of presynaptic neuron *j* being orthogonal if $\frac{\pi}{4} \le \varphi_i < \frac{3\pi}{4}$ or $\frac{5\pi}{4} \le \varphi_i < \frac{7\pi}{4}$, and co-axial otherwise. The RF of neuron j was defined as nearby if $r_j < 0.5$, but faraway if $r_j > 1.0$ (see Stimulus 340 341selectivity in Methods). E) Relationship between the dendritic distance and the relative 342weight at the dendrite q_k and the soma $q_k v_k / v_{max}$. F) Relationship between the dendritic distance of a spine and its RF distance in the visual space. G) The same as F, but calculated 343 344for the dendritic branch order, not the dendritic distance. H) Dependence of normalized RF 345 difference (red), and normalized orientation difference (black) on the between-spine 346distance were calculated for two synapses projected from different neurons. We used the

347 Euclidean distance in the visual field $\ell_{ij} = \sqrt{(r_i \cos \varphi_i - r_j \cos \varphi_j)^2 + (r_i \sin \varphi_i - r_j \sin \varphi_j)^2}$ for RF distance

348 between presynaptic neurons *i* and *j*, and the normalization was taken over all synapse pairs. 349 I) Distributions of dendritic distance between synapses projected from the same presynaptic 350neuron before and after learning. J) Relative spine size difference between spines projected 351from the same presynaptic neuron or different neurons calculated for pairs with different 352spine distance. The relative size difference between spine *i* and *j* was defined as $|\log(g_i/g_i)|$. 353K) Standard deviation (SD) of spine size distribution at various orientation selectivity for 354synapses from presynaptic neurons with nearby RFs ($r_i < 0.5$). The distributions for short and 355long training were taken after learning from 10 and 1000 samples, respectively. All panels 356 were calculated by taking averages over 500 independently simulated neurons, and the 357 learning was performed from 1000 training samples.

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359We next investigated changes in dendritic synaptic organization generated by the 360 multisynaptic learning. Overall, the mean spine size was slightly larger at distal dendrites 361 (red line in Fig. 4E), but this trend was not strong enough to compensate the dendritic 362 attenuation (black line in Fig. 4E), being consistent with previous observations in neocortical 363 pyramidal neurons (Williams and Stuart, 2003). Importantly, neurons with RFs faraway from 364 the postsynaptic RF likely formed synaptic projections more on distal dendrites than on 365 proximal ones (Fig. 4F), and at higher dendritic branch orders than at lower ones (Fig. 4G), 366 as observed previously (lacaruso et al., 2017). This is because, in the proposed learning rule, 367 if pre- and postsynaptic neurons have similar spatial selectivity, synaptic connections are 368 preferably rewired toward proximal positions (Fig. 3G), and vice versa (Fig. 2A). Moreover, 369 nearby spines on the dendrite showed similar RF selectivity even if multisynaptic pairs (i.e., 370 synapse pairs projected from the same neuron) were excluded from the analysis (red line in Fig. 4H), due to the dendritic position dependence of presynaptic RFs. On the other hand,
similarity between nearby spines was less significant in orientation selectivity (black line in
Fig. 4H), as observed previously in rodent experiments (Jia et al., 2010; lacaruso et al., 2017).
These results suggest a potential importance of developmental plasticity in
somatic-distance dependent synaptic organization.

376 In the model, the position of a newly created synapse was limited to the branches 377 where the presynaptic neuron initially had a projection, to roughly reproduce the spatial 378 constraint on synaptic contacts. As a result, although there are many locations on the 379 dendrite where the unit EPSP size is optimal for a given presynaptic neuron, only few of them are accessible from the neuron, hence synapses from the same presynaptic neuron may 380 381 form clusters there. Indeed, by examining changes in multisynaptic connection structure, 382we found that the dendritic distance between two spines projected from the same 383 presynaptic neuron became much shorter after learning (Fig. 4I), creating clusters of 384synapses from the same axon. This result suggests that clustering of multisynaptic 385connections observed in the experiments (Schmidt 2017) is possibly caused by 386 developmental synaptogenesis under a spatial constraint. Furthermore, as observed in 387 hippocampal neurons (Bartol et al., 2015), two synapses from the same presynaptic neuron 388had similar spine sizes if the connections were spatially close to each other, but the 389 correlation in spine size disappeared if they were distant (red line in Fig. 4J). On the other 390 hand, spine sizes of two synapses from different neurons were always uncorrelated 391 regardless of the spine distance (black line in Fig. 4J).

392Lastly, we studied the spine size distribution. In the proposed framework, the mean 393 spine size does not essentially depend on presynaptic stimulus selectivity due to 394 normalization, but the variance may change. In particular, the spine size variance is 395 expected to be small if the presynaptic activity is highly stochastic, because the distribution 396 of spine sizes stays nearly uniform in this condition, while the spine size variance should 397 increase upon accumulation of samples. Indeed, in the initial phase of learning, the variance 398 of spine size went up for projections from neurons with horizontal orientation selectivity 399 (gray line Fig. 4K), though the spine size variance from other presynaptic neurons caught up 400 eventually (black line Fig. 4K). In this regard, a recent experimental study found higher

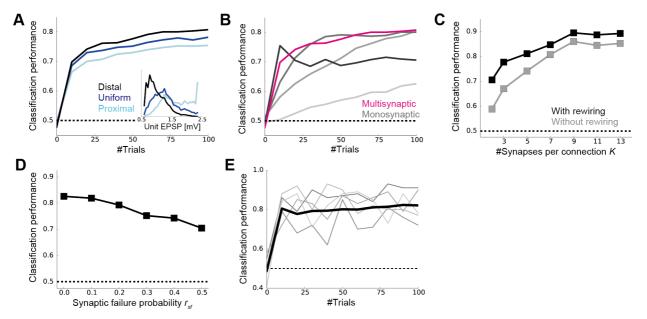
401 variability in postsynaptic density (PSD) areas for projections from neurons sharing
402 orientation preference with the postsynaptic cell, though the data was from adult, not from
403 juvenile mice (Lee et al., 2016).

404

405 The multisynaptic rule robustly enables fast learning

The correspondence with experiment observations discussed in the previous section supports the plausibility of our framework as a candidate mechanism of synaptic plasticity on the dendrites. Hence, we further studied the robustness of learning dynamics under the proposed multisynaptic rule. Below, we turn off the spine elimination mechanism that is not compensated by creation, as this process affects the learning dynamics.

In the proposed model, if the initial synaptic distribution on the dendrite $q_x(v)$ is close to the desired distribution $p_v(v)$, spine size modification is in principle unnecessary. In particular, the optimal EPSPs of most presynaptic neurons are small in our L2/3 model (Fig. 3C); hence most synaptic contacts should be placed on distal branches on average. Indeed, when the initial synaptic distribution was biased toward the distal side, improvement in classification performance became faster (black vs blue lines in Fig. 5A). This result suggests that the synaptic distribution on the postsynaptic dendrite may work as a prior distribution.



418 Figure 5. Dynamics of the multisynaptic learning rule under various conditions

419 A) Learning dynamics under various initial synaptic distributions. The inset represents the

420 unit EPSP distributions when synaptic connections are biased toward the distal dendrite

421(black), unbiased (blue), and biased toward the proximal (light blue). B) Comparison with the 422monosynaptic learning. We set the learning rate as $\eta_{w}=0.03, 0.1, 0.3, 1.0$, from light gray to 423black lines. To keep the E/I balance, the inhibitory weight was set to γ_l =2.0 for η_w =1.0, and 424 $\gamma = 1.25$ for the rest. The magenta line is the same as the black line in A. C) Classification 425performance after learning with different numbers of synapses per connection with or 426 without rewiring. For the E/I balance, the inhibitory weights were chosen as $\gamma_{l}=2.0, 1.2, 0.75,$ 427 0.6, 0.5, 0.4, 0.3, when the number of synapses per connections were K=2, 3, 5, 7, 9, 11, 13, 428respectively. **D)** The performance after learning with various synaptic failure probabilities. 429Both in panel C and D, the performance was calculated after 1000 trials. E) Learning 430 dynamics under the surrogate rule. Thin gray lines represent examples. All panels were 431 calculated by taking the means over 50 simulations.

432

433 We next compared the learning performance with the standard monosynaptic 434 learning rule in which the learning rate is a free parameter (see Monosynaptic rule for the 435 detailed model in Methods). If the learning rate is chosen at a small value, the neuron took a 436 very large number of trials to learn the classification task (light gray line in Fig. 5B). On the 437other hand, if the learning rate is too large, the learning dynamics became unstable and the 438 performance dropped off after a dozen trials (black line in Fig. 5B). Therefore, the learning 439 performance was comparable with the multisynaptic rule only in a small parameter region 440 $(\eta_{w} \sim 0.1)$. By contrast, in the multisynaptic rule, stable fast learning was achievable without 441 any fine-tuning (magenta line in Fig. 5B).

442As expected from Figure 2, the proposed learning mechanism worked well even if 443the number of synapses per connection was small (Fig. 5C). Without rewiring, the 444classification task required seven synapses per connection for an 80% success rate, but three was enough with rewiring (Fig. 5C). Moreover, the learning performance was robust 445446against synaptic failure (Fig. 5D). Although local excitatory inputs to L2/3 pyramidal cells 447 have a relatively high release probability (Branco and Staras, 2009), the stochasticity of 448 synaptic transmission at each synapse may affect learning and classification. We found that 449 even if the half of presynaptic spikes were omitted at each synapse (see Task configuration 450in Methods for details), the classification performance was still significantly above the 451chance level (Fig. 5D).

452In the proposed model, competition was assumed among synapses projected from 453the same presynaptic neuron, but it is unclear if homeostatic plasticity works in such a 454specific manner. Thus, we next constructed a surrogate learning rule that only requires a 455global homeostatic plasticity. In this rule, the importance of a synapse was not compared 456with other synapses from the same presynaptic neuron, but was compared with a 457hypothesized standard synapse (see *The surrogate learning rule* in Methods). When the unit 458EPSP size of the standard synapse was chosen appropriately, the surrogate rule indeed 459enabled neuron to learn the classification task robustly and quickly (Fig. 5E). Overall, these 460 results support the robustness and biological plausibility of the proposed multisynaptic 461 learning rule.

462

463 **Discussion**

464 In this work, first we have used a simple conceptual model to show: (i) Multisynaptic 465connections provide a non-parametric representation of probabilistic distribution of the 466 hidden parameter using redundancy in synaptic connections (Fig. 1AB); (ii) Updating of 467 probabilistic distribution given new inputs can be performed by a Hebbian-type synaptic 468 plasticity when the output activity is supervised (Fig. 1C-E); (iii) Elimination and creation of 469 spines is crucial for efficient representation and fast learning (Fig. 2A-C). In short, synaptic 470 plasticity and rewiring at multisynaptic connections naturally implements an efficient 471sample-based Bayesian filtering algorithm. Secondly, we have demonstrated that the 472proposed multisynaptic learning rule works well in a detailed single neuron model receiving 473 stochastic spikes from many neurons (Fig. 3). Moreover, we found that the model 474reproduces the somatic-distance dependent synaptic organization observed in the L2/3 of 475rodent visual cortex (Fig. 4F and G). Furthermore, the model suggests that the dendritic 476 distribution of multisynaptic inputs provides a prior distribution of the expected synaptic 477weight (Fig. 5A).

478

479 Experimental predictions

480 Our study provides several experimentally testable predictions on dendritic synaptic

481 plasticity, and the resultant synaptic distribution. First, the model suggests a crucial role of

482 developmental synaptogenesis in the formulation of presynaptic selectivity-dependent 483synaptic organization on the dendritic tree (Fig. 4F and G), observed in the primary visual 484 cortex (lacaruso et al., 2017). More specifically, we have revealed that the RF-dependence of 485 synaptic organization is a natural consequence of the Bayesian optimal learning under the 486 given implementation. Evidently, retinotopic organization of presynaptic neurons is partially 487 responsible for this dendritic projection pattern, as a neuron tends to make a projection 488onto a dendritic branch near the presynaptic cell body (Markram et al., 2015; Gal et al., 4892017). However, a recent experiment reported that RF-dependent global synaptic 490 organization on the dendrite is absent in the primary visual cortex of ferrets (Scholl et al., 4912017). This result indirectly supports the non-anatomical origin of the dendritic synaptic 492organization, as a similar organization is arguably expected in ferrets if the synaptic 493organization is purely anatomical.

494 Our study also predicts developmental convergence of synaptic connections from 495each presynaptic neuron (Fig. 3G and Fig. 4I). It is indeed known that in adult cortex, 496 synaptic connections from the same presynaptic neuron are often clustered (Kasthuri et al., 497 2015; Schmidt, 2017). Our model interprets synaptic clustering as a result of an 498experience-dependent resampling process by synaptic rewiring, and predicts that synaptic 499 connections are less clustered in immature animal. In particular, our result suggests that 500synaptic clustering occurs in a relatively large spatial scale (~100µm; as shown in Fig 5I), not 501in a fine spatial scale ($\sim 10 \mu m$). This may explain a recent report on the lack of fine clustering 502structure in the rodent visual cortex (Lee et al., 2016).

503Furthermore, our study provides an insight on the functional role of anti-Hebbian 504plasticity at distal synapses (Letzkus et al., 2006; Sjöström and Häusser, 2006). Even if the 505presynaptic activity is not tightly correlated with the postsynaptic activity, that does not 506 mean the presynaptic input is not important. For instance, in our detailed neuron model, 507inputs from neurons having a RF faraway from the postsynaptic RF still helps the 508postsynaptic neuron to infer the presented stimulus (Fig. 3). More generally, long-range 509inputs are typically not correlated with the output spike trains, because the inputs usually 510carry contextual information (Bittner et al., 2015), or delayed feedback signals (Manita et al., 5112015), yet play important moduratory roles. Our study indicates that anti-Hebbian plasticity

at distal synapses prevents these connections from being eliminated, by keeping the

513 synaptic connection strong. This may explain why modulatory inputs are often projected to

distal dendrites (Bittner et al., 2015; Manita et al., 2015), though active dendritic

515 computation shuold also be crucial especially in case of Layer 5 or CA1 pryramidal neurons

516 (Segev and London, 2000).

517

518 *Related works*

519Previous theoretical studies often explain synaptic plasticity as stochastic gradient descent 520on some objective functions (Pfister et al., 2006; Nessler et al., 2013; Urbanczik and Senn, 5212014; Hiratani and Fukai, 2016), but these models require fine-tuning of the learning rate 522for explaining near-optimal learning performance observed in humans (Behrens et al., 5232007; Lake et al., 2015) and rats (Madarasz et al., 2016), unlike our model. Moreover, in this 524study, we proposed synaptic dynamics during learning as a sample-based inference process, 525in contrast to previous studies in which sample-based interpretations were applied for 526neural dynamics (Orbán et al., 2016).

527 On the anti-Hebbian plasticity at distal synapse, previous modeling studies have 528 revealed its potential phenomenological origins (Graupner and Brunel, 2012), but its 529 functional benefits, especially optimality, have not been well investigated before. Particle 530 filtering is an established method in machine learning (Doucet et al., 2000), and has been 531 applied to artificial neural networks (Freitas et al., 2000), yet its biological correspondence 532 had been elusive.

533Previous computational studies on dendritic computation have been emphasizing 534the importance of active dendritic process (Segev and London, 2000), especially for 535performing inference from correlated inputs (Ujfalussy et al., 2015), or for computation at 536terminal tufts of cortical layer 5 or CA1 neurons (Urbanczik and Senn, 2014). Nevertheless, 537experimental studies suggest the summation of excitatory inputs through dendritic tree is 538approximately linear (Cash and Yuste, 1999; Hao et al., 2009). Indeed, we have shown that a 539linear summation of synaptic inputs is suitable for implementing importance sampling. 540Moreover, we have demonstrated that even in a detailed neuron model with active dendrites,

a learning rule assuming a linear synaptic summation works well.

543Methods

544A conceptual model of multisynaptic learning

545The learning rule for multisynaptic connections

- In the model, CS (eg. tone stimulus) and US (eg. electric shock) were represented by binary 546
- 547variables $x_n \in \{0,1\}$ and $y_n \in \{0,1\}$. At each trial *n*, CS was delivered with $\Pr[x_n = 1] = \pi_x$, and US
- 548was given only when $x_n = 1$, with probability $\Pr[y_n = 1 | x_n = 1] = v_c$. For this task, the update rule

for the spine size factor $g_k^{n+1} = \frac{1}{Kq_v(v_k)} p(v_c = v_k | x_{1:n+1}, y_{1:n+1})$ is given as, 549

$$g_{k}^{n+1} = \frac{1}{\kappa_{q_{v}(v_{k})}} \rho(v_{c} = v_{k} \mid x_{1:n+1}, y_{1:n+1})$$

$$\propto \frac{1}{\kappa_{q_{v}(v_{k})}} \rho(x_{n+1}, y_{n+1} \mid v_{c} = v_{k}) \rho(v_{c} = v_{k} \mid x_{n+1}, y_{n+1} \mid v_{c} = v_{k}) \rho(v_{c} \mid x_{n+1}, y_{n+1} \mid v_{c} = v_{k}) \rho(v_{c}$$

$$\approx \frac{1}{Kq_{v}(v_{k})} \rho(x_{n+1}, y_{n+1} | v_{c} = v_{k}) \rho(v_{c} = v_{k} | x_{1:n}, y_{1:n})$$

$$\approx \rho(y_{n+1} | x_{n+1}, v_{c} = v_{k}) \left(\frac{1}{Kq_{v}(v_{k})} \rho(v_{c} = v_{k} | x_{1:n}, y_{1:n})\right)$$

$$= \rho(y_{n+1} | x_{n+1}, v_{c} = v_{k}) g_{k}^{n}.$$

In particular, in our problem setting, v_c does not provide any information about y_n when 551 $x_n=0$, thus approximately (see the proof of convergence below), 552

553
$$p(y_{n+1} | x_{n+1}, v_c = v_k) \approx x_{n+1} [v_k y_{n+1} + (1 - v_k)(1 - y_{n+1})] + \frac{1}{2}(1 - x_{n+1}) \\ \propto 1 + (2v_k - 1)x_{n+1}(2y_{n+1} - 1).$$

554Because the normalization factor is determined by

555
$$1 = \int p(v'_c \mid x_{1:n}, y_{1:n}) dv'_c \approx \frac{1}{K} \sum_k \frac{p(v'_c = v_k \mid x_{1:n}, y_{1:n})}{q_v(v_k)} = \sum_k g_k^n,$$

the sum of $\{g_k^{n+1}\}$ should also be normalized to 1. Thus the update rule is given as 556

557
$$g_{k}^{n+1} = \frac{\left[1 + f(x_{n+1}, y_{n+1}; v_{k})\right]g_{k}^{n}}{\sum_{k'}\left[1 + f(x_{n+1}, y_{n+1}; v_{k'})\right]g_{k'}^{n}} = \frac{1 + f(x_{n+1}, y_{n+1}; v_{k})}{1 + f(x_{n+1}, y_{n+1}; w^{n})}g_{k}^{n}$$

where $f(x,y;v) \equiv (2v-1)x(2y-1)$ and $w^n \equiv \sum_k w_k^n = \sum_k g_k^n v_k$. As for the resampling process, at 558every trial *n*, if spine *k* satisfied $g_k < g_{th}$, unit EPSP was resampled uniformly from [0,1), and 559560the spine size was set to $g_k = g_{th}$.

561

Proof of convergence 562

The derived learning rule can be rewritten as 563

564
$$\log p(v_c = v_k | x_{1:n}, y_{1:n}) = \sum_{n'} \log \left[1 + (2v_k - 1)x_{n'}(2y_{n'} - 1) \right] + \text{const},$$

so in order to prove convergence, we need to show that $\varphi(v) \equiv \langle \log[1+(2v-1)x_{n'}(2y_{n'}-1)] \rangle_{z'}$ is 565

566maximized at true v_c . By considering Taylor expansion, the above equation is expanded as

567 $\langle \log(1+z) \rangle = \sum_{m=1}^{\infty} \frac{(-1)^{m+1}}{m} \langle z^m \rangle$. In this form, the average is calculated as

568
$$\left\langle \left((2\nu - 1) x_{n'} (2y_{n'} - 1) \right)^{m} \right\rangle = (2\nu - 1)^{m} \left\langle x_{n'} y_{n'} + (-1)^{m} x_{n'} (1 - y_{n'}) \right\rangle$$
$$= (2\nu - 1)^{m} \nu_{c} \pi_{x} + (1 - 2\nu)^{m} (1 - \nu_{c}) \pi_{x}$$

- 569 Note that $(x_n)^m = x_n$ if m>0, because $x_n = 0$ or 1. Thus, by substituting the above equation into
- the Taylor expansion form,

571

$$\varphi(v) = \pi_{x}v_{c}\log[1+(2v-1)] + \pi_{x}(1-v_{c})\log[1+(1-2v)]$$

$$= \pi_{x}[v_{c}\log v + (1-v_{c})\log(1-v)] + \text{const.}$$

- 572 Therefore, $\varphi(v)$ is maximized at $v = v_c$.
- 573

574 Monosynaptic learning rule

575 For comparison, we implemented a monosynaptic learning rule. By expanding the 576 exact solution $\bar{v}_c^n = \sum_{n'} x_{n'} y_{n'} / \sum_{n'} x_{n'}$:

577
$$\overline{v}_{c}^{n} = \left(x_{n}y_{n} + \sum_{n'=1}^{n-1} x_{n'}y_{n'}\right) / \left(x_{n} + \sum_{n'=1}^{n-1} x_{n'}\right) \approx \overline{v}_{c}^{n-1} \left(1 + x_{n} \left(y_{n} - \overline{v}_{c}^{n-1}\right) / \sum_{n'=1}^{n-1} x_{n'}y_{n'}\right).$$

Hence, by using a single variable v_m^n , the learning rule is given as $v_m^n = v_m^{n-1} (1 + \eta x_n (y_n - \overline{v}_m^{n-1}))$, where η represents the learning rate. In the optimal learning depicted in Figure 1E, v_c was estimated as $\overline{v}_c^n = (1 + \sum_{n'} x_{n'} y_{n'})/(2 + \sum_{n'} x_{n'})$.

581

582 *Details of the conceptual model*

In the simulations, we used $\pi_x=0.3$, and v_c was randomly chosen from [0,1) uniformly at each simulation (not at each trial). The number of connections was kept at K=10 except for Figure 2B in which K=2 to 20 were used. Initial value of k-th connection v_k was set as $v_k=(k+0.5)/K$ except for Figure 2C in which the initial distribution was biased by choosing v_k as $v_{k'} = -\log(1-[1-e^{-\lambda_B}]\frac{k'}{K})$ where λ_B is the bias parameter. Resampling was performed with the threshold $g_{th}=0.0001$, and a new unit EPSP v_k was uniformly sampled from [0,1). In Figure 2B and C, the errors were calculated after learning from 10^4 trials.

591 Detailed single neuron model

592 <u>Morphology</u>

593We constructed a detailed neuron model based on a model of L2/3 pyramidal neuron with 594 active dendrites (Smith et al., 2013) using NEURON simulator (Hines and Carnevale, 1997). 595Here, we used the original reconstructed morphology without scaling. We distributed 1000 596excitatory synaptic inputs from 200 presynaptic neurons randomly on the dendrite. Synaptic 597input was modeled as a double exponential conductance change with the rise time 598 τ_{rise} =0.5ms, the decay time τ_{decay} =2.5ms, and the reversal potential was set to 0mV. For each 599synapse k from presynaptic neuron j, we first applied a synaptic input with a constant weight 600 factor γ_q =2.5nS, and then determined the unit EPSP v_i^k of synapse k by measuring somatic 601 membrane potential change. The minimum and the maximum value of the unit EPSP of the 602 given model were v_{min} =0.57mV and v_{max} =2.39mV, respectively. In the simulation of the task, 603 using malleable spine size factor g_j^k , we set the weight factor of synapse k as $\gamma_g g_j^k$. Similarly, 604 200 inhibitory synaptic inputs were uniformly distributed on the dendrite, and the rise and 605decay time of conductance was set as 0.5ms and 2.5ms, and the reversal potential was set to 606 -90mV. The inhibitory weight factor was chosen as γ_i =0.75nS.

607

608 Stimulus Selectivity

609 We hypothesized that all excitatory presynaptic neurons are simple cells having direction 610 selectivity $\{\theta_j\}$ at receptive field (RF) $\{(r_j, \varphi_j)\}$. Here, the position of RF in the visual field was 611 defined by the relative position to the postsynaptic neuron in the polar coordinate (Fig. 3C). 612 We modeled the mean firing rate of presynaptic neuron *j* for a stimulus θ at the RF of the 613 postsynaptic neuron (i.e. at *r*=0) as

614
$$\rho_{j}(\theta) = \int_{0}^{2\pi} \rho(\theta';\theta_{j}) \cdot \rho(\theta' \text{ at } \{r_{j},\varphi_{j}\} \mid \theta \text{ at } r = 0) d\theta'$$

The first term $\rho(\theta';\theta_i)$ is the mean response of the neuron with orientation selectivity θ_i when orientation θ' is presented at its own RF, hence using a von Mises distribution, the response is approximately given as $\rho(\theta';\theta_i) \equiv \rho_o \exp(\kappa_o \cos[2(\theta'-\theta_i)])/(2\pi I_o(\kappa_o))$ (Swindale, 1998). The second term is the probability of observing a stimulus with orientation θ' at the position (r_j, φ_j) given stimulus θ at the center. The orientation θ' at (r_j, φ_j) should be similar

to the orientation θ at the center if $r_j \sim 0$, or $\varphi_j \sim \theta$ due to continuity and contour statistics (Simoncelli and Olshausen 2001; Geisler et al., 2001). Hence, we modeled the conditional probability as

623
$$p(\theta' \text{ at } \{r_j, \varphi_j\} \mid \theta \text{ at } r = 0) \equiv \exp(-r_j/r_o + \kappa_j^r \cos[2(\theta' - \theta)])/(2\pi I_o(\kappa_j^r))$$

where $\kappa_{j}^{r}(\theta) \equiv \frac{r_{o}}{r_{j}+r_{min}} \exp\left(\kappa_{\varphi} \cos\left[2(\varphi_{j}-\theta)\right]\right)$. Note that the marginalized probability $\exp(-r_{i}/r_{o})$ is 624 625 smaller than one as an explicit stimulus may not exist at (r_j, φ_j) if the RF is far away from the 626 center. By calculating the integral, the mean firing rate is derived as $\rho_{j}(\theta) = \left(\rho_{o}I_{o}(\tilde{\kappa}_{j})/[2\pi I_{o}(\kappa_{o})I_{o}(\kappa_{j}^{r})]\right)e^{-r_{j}/r_{o}} \quad \text{where} \quad \tilde{\kappa}_{j} \equiv \sqrt{(\kappa_{o})^{2} + (\kappa_{j}^{r})^{2} + 2\kappa_{o}\kappa_{j}^{r}\cos\left(2\left[\theta_{j} - \theta_{o}\right]\right)} \quad . \quad \text{In} \quad \text{the}$ 627 628 simulation, we used $\kappa_o=2.0$, $\kappa_{\varphi}=4.0$, $\rho_o=1.5\pi$, $r_{min}=0.01\exp(\kappa_{\varphi})$, and $r_o=1.0$. The selectivity 629 of each presynaptic neuron was uniformly sampled from the ranges: $0 \le r_i < 3$, $0 \le \varphi_i < 2\pi$, and

630 $0 \le \theta_j < \pi$.

Based on the selectivity described above, we modeled the spiking activity of presynaptic neuron *j* as a Poisson process with the rate $\rho = \rho_j(\theta)$ under the presence of stimulus $\theta = \theta_+$ or θ_- . In addition, we assumed that all presynaptic neurons follow a Poisson process with the rate $\rho = \rho_{sp}$ in the spontaneous activity. In the simulation, we set $\rho_{sp} = 0.01\rho_o$.

636 *Task configuration*

637 We next consider the activity of the postsynaptic neuron. A sensory neuron should decode 638 the presented stimulus given stochastic spiking spikes of presynaptic neurons. In particular, 639 here we consider decoding of stimulus orientation θ given spike counts from *M* presynaptic 640 neurons $s_{1:M}t = \{s_1t, s_2t, ..., s_Mt\}$. As the spikes were generated from Poisson processes in the 641 model, the log-likelihood ratio of $\theta = \theta_{\pm}$ against the spontaneous activity ϕ is given as

$$642 \qquad \log \frac{p(\theta_+ \mid \boldsymbol{s}_{tM}^t)}{p(\phi \mid \boldsymbol{s}_{tM}^t)} = \sum_{j=1}^M \boldsymbol{s}_j^t \log \left(\frac{\rho_j(\theta_+)}{\rho_{s\rho}}\right) + \sum_{j=1}^M \left(\rho_{s\rho} - \rho_j(\theta_+)\right) = \sum_{j=1}^M \boldsymbol{w}_j^* \boldsymbol{s}_j^t + C.,$$

643 where $w_j^* \equiv \log(\rho_j(\theta_+)/\rho_{sp})$. Hence, if the synapses projected from presynaptic neuron *j* learn to 644 represent w_j^* jointly, the somatic membrane potential naturally represents the 645 log-likelihood of the stimulus being θ_+ , assuming passive dendritic integration.

646 In this task configuration, the estimated log-likelihoods are on average the same 647 for two perpendicular stimuli $\theta = \theta_+$ and θ_- before learning, but the estimated log-likelihood becomes significantly larger for $\theta = \theta_+$ once the correct weight structure is acquired. Hence, we evaluated the learning performance by a classification between $\theta = \theta_+$ and θ_- , using θ_- as a control.

651 In the simulation, we first generated the spike counts of each presynaptic neurons $\{s_1^t, s_2^t, ..., s_M^t\}$ by sampling from Poisson distributions with the rates $\{\rho_1, \rho_2, ..., \rho_M\}$ where 652 $\rho_i = \rho_i(\theta_+)$ or $\rho_i(\theta_-)$ depending on the task. Based on the spike count s_i^t , spike timings of the 653 *m*-th spike from presynaptic neuron *j* at trial *t* was determined as $t_m^{j,t} = (\zeta_U^{j,t} + m - 1)\Delta t_{stimulus}/s_j^t$ 654 655where $\Delta t_{stimulus}$ =20ms, and $\zeta_{U}^{j,t}$ is a random variable uniformly depicted from [0,1). In the 656 presence of synaptic failure, we instead defined a spike count at each synapse k by s_{ik} ~ Binomial(s_i^t , $1-r_{sf}$), where r_{sf} is the failure rate. Inhibitory spikes were calculated in the same 657 way, but the spike probability was defined by the total excitatory inputs as $\rho_{lnh}^t = \sum_{i}^{M} s_i^t / M_{inh}$ 658 to achieve the E/I balance. 659

660

661 *The learning rule for the detailed model*

662 We next derived the multisynaptic learning rule for this task. The optimal estimation of the 663 weight from presynaptic neuron *j* at trial *t* is given as

664
$$w_j^t = \int_{w_{\min}}^{w_{\max}} w' \cdot p(w_j^t = w' \mid s_j^{1:t}, \theta_{1:t}) dw' = \int_{v_{\min}}^{v_{\max}} \gamma_w v' \cdot p(w_j^t = \gamma_w v' \mid s_j^{1:t}, \theta_{1:t}) dv'.$$

665 Here, we introduced a scaling factor γ_w to represent a dimensionless value w by a unit EPSP v666 [mV]. In the simulation, we used $\gamma_w = w_{max}/v_{max}$. By importance sampling,

$$667 w_{j}^{t} = \int_{v_{\min}}^{v_{\max}} \gamma_{w} v' \frac{p\left(w_{j}^{t} = \gamma_{w} v' \mid s_{j}^{1:t}, \theta_{1:t}\right)}{q(v')} q(v') dv' \approx \frac{1}{K} \sum_{k=1}^{K} \frac{\gamma_{w} v_{jk} p\left(w_{j}^{t} = \gamma_{w} v_{jk} \mid s_{j}^{1:t}, \theta_{1:t}\right)}{q(v_{jk})} = \gamma_{w} \sum_{k=1}^{K} g_{jk}^{t} v_{jk} ,$$

668 where $g_{jk}^{t} \equiv p(w_{j}^{t} = \gamma_{w}v_{jk} | s_{j}^{1:t}, \theta_{1:t})/(Kq(v_{jk}))$ represents the relative spine size of spine k from 669 presynaptic neuron j, and K is the total number of synapses per presynaptic neuron. 670 Therefore, considering a Bayesian filtering, the update of $\{w_{j}^{t}\}$ is done by the following 671 update of spine size $\{g_{jk}^{t}\}$:

$$\tilde{\boldsymbol{g}}_{jk}^{t} = \boldsymbol{g}_{jk}^{t} \cdot \boldsymbol{p}\left(\boldsymbol{s}_{j}^{t} \mid \boldsymbol{\theta}_{t}, \boldsymbol{w}_{j} = \boldsymbol{\gamma}_{w} \boldsymbol{v}_{jk}\right), \quad \boldsymbol{g}_{jk}^{t+1} = \tilde{\boldsymbol{g}}_{jk}^{t} / \sum_{k'} \tilde{\boldsymbol{g}}_{jk'}^{t},$$

673 where $p(s_j^t | \theta_t, w_j = \gamma_w v_{jk}) = \delta(\theta_t = \theta_+) \cdot \exp\left(\left[\gamma_w v_{jk} + \log \rho_{sp}\right] s_j^t - \rho_{sp} e^{\gamma_w v_{jk}}\right) / (s_j^t !)$, and $\delta(x)$ is a function

674 that returns 1 if *x* is true, but returns 0 otherwise.

At every trial, synapses with spine size $g_{jk}t < g_{th}$ was removed with 20% chance. If a synapse is removed, a new synaptic contact from the corresponding presynaptic neuron was simultaneously created on one of the dendritic branches to which the neuron initially had projections. Probability of selecting a branch was set to be proportional to the length of the branch. Spine size of a newly created synapse was set to $g_{jk}t=1/K$. This rewiring procedure is slightly different from the one in the conceptual model, because rewiring becomes too frequent if we directly apply the latter.

682 In addition to rewiring of synaptic connections, we also included an elimination 683 process that is not compensated by new connections, as the total number of synaptic 684 connections is known to decreases during development (Holtmaat and Svoboda, 2009). In 685 particular, inactive synapses are expected to be more fragile (Wiegert and Oertner, 2013). 686 Hence, we tracked the firing rate of presynaptic neuron during the training phase by 687 $r_i^t = (1 - 1/\tau_r)r_i^{t-1} + s_i^t/\tau_r$. At every trial, if the presynaptic firing rate satisfies $r_j^t < r_{el-th}$, we eliminated the synaptic contact with 20% chance. Throughout the simulation, we used g_{th} = 688 689 0.001, τ_r =10.0, and r_{el-th} =0.05.

690

691 *Monosynaptic learning rule for the detailed model*

692 As presynaptic neurons follow stationary Poisson processes, the learning rule for693 monosynaptic connection was defined as

694
$$\boldsymbol{g}_{j}^{t} = \boldsymbol{g}_{j}^{t-1} + \eta_{w} \left(\boldsymbol{s}_{j}^{t} \exp\left[-2\gamma_{w} \boldsymbol{v}_{j}\right] - \rho_{sp} \right),$$

695 where η_w is the learning rate parameter (Nessler et al., 2013; Hiratani and Fukai, 2016), and 696 v_j is the unit EPSP of the synaptic connection from neuron *j*. To ensure stability, we bounded 697 the spine size between $0 < g_j^t < 1$, and doubled the scaling factor from γ_w to $2\gamma_w$.

698

699 *The surrogate learning rule*

In the surrogate rule, each synapse estimates the mean unit EPSP by $v_{jk}^o = (1 - g_{jk}^t)v_o + g_{jk}^t v_{jk}$, where v_o is the standard unit EPSP. Subsequently, a synapse updates its spine size by

702 $g_{jk}^{t+1} = g_{jk}^{t} \exp\left(s_{j}^{t} \log\left[\rho_{jk}/\rho_{jk}^{ot}\right] - \left[\rho_{jk}-\rho_{jk}^{ot}\right]\right) / Z_{t}$

703 where
$$\rho_{jk} = \rho_{sp} \exp(\gamma_w v_{jk})$$
, $\rho_{jk}^{ot} = \rho_{sp} \exp(\gamma_w v_{jk}^{ot})$, and $Z_t = \exp\left[\frac{1}{M \cdot K} \sum_{j,k} \left(s_j^t \log\left[\rho_{jk} / \rho_{jk}^{ot}\right] - \left[\rho_{jk} - \rho_{jk}^{ot}\right]\right)\right]$

The normalization term Z_t is global in a sense that the term is given by the summation over all the excitatory synapses projected to the postsynaptic neuron. To ensure the stability, we bounded the spine size factor as $0 \le g_{jk}^t \le 1/2$, and set $v_o = 1.5 v_{min}$ (≈ 0.9 mV).

707

708 *Performance evaluation*

709 During the training phase, only the target (i.e. horizontal stimulus $\theta = \theta_{+}$) was presented. In 710the test phase, we presented 200 stimuli, of which 100 stimuli were the horizontal stimulus 711 $(\theta = \theta_{+})$, while the other half were the vertical stimulus $(\theta = \theta_{-})$. In Figure 3F, 5A, 5B and 5E, we 712stopped the training at every 10 trials, and measured the performance. The classification 713performance was measured by the ratio of horizontal trials in which the maximum EPSP 714height Δv_n^h exceeded the threshold $v_\theta = (m_h/\sigma_h^2 + m_v/\sigma_v^2)/(1/\sigma_h^2 + 1/\sigma_v^2)$, to the total of 100 trials, 715where $m_h = E \left[\Delta v_n^h \right]$ and $\sigma_h^2 = Var \left[\Delta v_n^h \right]$ were calculated over 100 test stimuli (*n*=1, 2, ..., 100). 716 Although the evaluations were made solely on false negatives, we also observed significant 717 decrease of false positives during learning (Fig. 3E). When a postsynaptic action potential 718 was emitted, we used the estimated membrane threshold Δv_{th} =25mV as the maximum EPSP 719 height Δv_n , but such a trial was rare (<1%) in our model setting.

720

721 Details of the NEURON simulations

Initial values of spine sizes $\{g_j^k\}$ were chosen such that $g_j^k \sim 1/q_v(v_j^k)$ is satisfied. To this end, we first estimated the unit EPSP density at $v = v_j^k$ through a sample-based approximation:

725
$$q_{\nu}\left(\boldsymbol{v}_{j}^{k}\right) \propto \sum_{m=1}^{M} \sum_{i=1}^{K} \delta\left[\boldsymbol{v}_{j}^{k} - \frac{1}{2} d\boldsymbol{v} \leq \boldsymbol{v}_{m}^{i} < \boldsymbol{v}_{j}^{k} + \frac{1}{2} d\boldsymbol{v}\right] \equiv \tilde{q}_{\nu}\left(\boldsymbol{v}_{j}^{k}\right),$$

where $dv = (v_{max} - v_{min})/10$. Then we calculated $g_j{}^k$ by $g_j{}^k = \frac{1/\tilde{q}_v(v_j{}^k)}{\sum_{k'}1/\tilde{q}_v(v_j{}^{k'})}$. In Figure 5A, to generate a biased synaptic distribution, we randomly sampled a position from the whole dendritic tree with probability $\left(\frac{L'}{L_{max}}\right)^{\lambda_B-1} \cdot \left(\frac{L'}{L_{max}}\right)^{1-\lambda_B}/10 \cdot B(\lambda_B, 2 - \lambda_B)$, and added a synapse until 1000 synapses are created on the dendritic tree. Here, L' is the distance from the soma, L_{max} is its

maximum length, λ_B is the bias parameter, and B(x,y) is the Beta function.

Presynaptic selectivity and initial synaptic contacts were randomly generated for each simulation, while the dendritic morphology was fixed. Further details of the model are available at ModelDB (http://modeldb.yale.edu/225075 with access code "1234").

734

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739

740 **Competing Interests**

The authors declare that no competing interests exist.

742

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