1

Multiple origin but single domestication led to *Oryza sativa*

by

Jae Young Choi[*] and Michael D. Purugganan[*,†]

[*]Center for Genomics and Systems Biology, Department of Biology, 12 Waverly Place,

New York University, New York, NY 10003 USA

[†]Center for Genomics and Systems Biology, New York University Abu Dhabi, Saadiyat

Island, Abu Dhabi, United Arab Emirates

Short title: Asian rice domestication

Keywords: Domestication, Oryza sativa, Population Genomics, Admixture, Phylogeny

Corresponding Author: Jae Young Choi

Mailing Address: 12 Waverly Place, New York University, New York, NY 10003

Phone Number: (212) 998-8465

Email: jyc387@nyu.edu

Abstract

The domestication scenario that led to Asian rice (*Oryza sativa*) is a contentious topic.

Here, we have reanalyzed a previously published large-scale wild and domesticated rice

dataset, which were also analyzed by two studies but resulted in two contrasting

domestication models. We suggest the analysis of false positive selective sweep regions

and phylogenetic analysis of concatenated genomic regions may have been the sources

that contributed to the different results. In the end, our result indicates Asian rice

originated from multiple wild progenitor subpopulations; however, *de novo*

domestication appears to have occurred only once and the domestication alleles were

transferred between rice subpopulation through introgression.

Introduction

Asian rice (*Oryza sativa*) is a diverse crop comprising of five subpopulations

(Garris *et al.* 2005). Archaeobotanical data for japonica and indica, the two major

subpopulation of *O. sativa*, suggests japonica was domesticated first ~7000 years ago in

the Yangtze Basin of China while indica was domesticated later ~4000 years ago in the

Ganges plains of India (Fuller *et al.* 2010). Elucidating the origins of Asian rice and the

history of its domestication has been a contentious field (Gross and Zhao 2014). With

whole genome data, it is becoming apparent that each Asian rice variety group/subspecies

(aus, indica, and japonica) had distinct subpopulations of wild rice (*O. nivara* or *O.*

rufipogon) as its progenitor (Huang *et al.* 2012). Specifically, wild rice could be divided

into three major subpopulations and were designated as Or-I, Or-II, and Or-III by Huang

et al. (2012). Phylogenetically, japonica was most closely related to Or-III, while aus and

indica were most closely related to Or-I but each was monophyletic with a different

subset of Or-I samples (Huang *et al.* 2012). These results were consistent with a recent

whole genome study showing distinct wild progenitors led to aus, indica, and japonica

domestication (Choi *et al.* 2017).

Whether rice was domesticated once and subsequent varieties were formed by

introgression with different wild progenitors, or whether each variety was domesticated

independently in different parts of Asia, is debatable. The debate mainly arose from two

studies analyzing the same data but surprisingly arriving at two different domestication

scenarios: Huang *et al.* (2012) supporting the single domestication with introgression

model with Civáň *et al.* (2015) supporting the multiple domestication model. If the causal

domestication mutation arose in a single genotype and was subsequently introgressed into

the other two subpopulations (single domestication with introgression model), gene trees

for the domestication region would differ from the genome-wide tree. Because the

domestication is hypothesized to have occurred once in a single subpopulation and spread

to the other subpopulations through hybridization, we define it as the single *de novo*

domestication with introgression model. On the other hand, if the domestication mutation

arose in each subpopulation on a different genetic background and was independently

selected (multiple domestication model), then the gene trees for the domestication region

would be concordant with the genome-wide tree. As domestication should leave evidence

of recent selection, both studies used a reduction in polymorphism levels as a metric to

detect local genomic regions associated with domestication. The evolutionary histories of

those regions were then interpreted as the domestication history for Asian rice.

We argue there may have been two issues that may have led to the conflicting

results between Huang *et al.* (2012) and Civáň *et al.* (2015). First, regions that were

detected by the two studies are candidate selective sweep regions that may or may not be

related to domestication. Even population genetic model-based methods of detecting

selective sweeps are prone to false-positives and with the right condition any

evolutionary scenario can be interpreted with a false-positive selective sweep region

(Pavlidis *et al.* 2012). Because lineage sorting within a genomic region depends on the

effective population size (N_e) of that region (Pamilo and Nei 1988), evolutionary factors

that decreases N_e (e.g. population bottleneck, positive or negative selection, and low

recombination rate) can accelerate the lineage sorting process (Hobolth *et al.* 2011;

Scally *et al.* 2012; Prüfer *et al.* 2012; Pease and Hahn 2013). Given that each Asian rice

had separate wild progenitor population of origin, any false-positive selective sweep

region will likely to be concordant with the underlying species phylogeny, and spuriously

support the multiple domestication model. Hence, any candidate domestication related

selective sweep region would need additional evidence before being considered for

downstream evolutionary analysis. Second, both studies used genotype calls made from a

low coverage (1~2X) resequencing data (Huang *et al.* 2012). Uncertainty associated with

genotype calls made from low coverage data (Nielsen *et al.* 2011) could be another

source that led to the different results for the two studies.

Thus, we revisited the domestication scenarios proposed by the two studies and

reanalyzed the Huang *et al.* (2012) data using a complete probabilistic framework that

takes the uncertainty in SNP and genotype likelihoods into consideration (Fumagalli *et*

al. 2014; Korneliussen *et al.* 2014). We then carefully compared our results against the

two domestication models and contrasted it against the results from both Huang *et al.*

(2012) and Civáň *et al.* (2015) studies.

Materials and Method

Raw paired-end FASTQ data from the Huang *et al.* (2012) study was download

from the National Center for Biotechnology Information website under bioproject ID

numbers PRJEB2052, PRJEB2578, PRJEB2829. We excluded the aromatic rice group

from the analysis, as their sample sizes were too small and we excluded the few samples

that had too high coverage. In the end a total of 1477 samples were selected for analysis

(Supplemental Table 1).

Raw reads were then trimmed for adapter contamination and low quality bases

using trimmomatic ver. 0.36 (Bolger *et al.* 2014) with the command:

```
java -jar trimmomatic-0.36.jar PE \
    $FASTQ1 $FASTQ2 \
    $FASTQ1_paired $FASTQ1_unpaired $FASTQ2_paired $FASTQ2_unpaired \
     ILLUMINACLIP:TruSeq2-PE.fa:2:30:10:4 \
     LEADING:3 TRAILING:3 SLIDINGWINDOW:4:15 MINLEN:30
```

Quality controlled FASTQ reads were then realigned to the reference japonica genome

downloaded from EnsemblPlants release 30 (ftp://ftp.ensemblgenomes.org/pub/plants/).

Reads were then mapped to the reference genome using the program BWA-MEM ver.

0.7.15 (Li 2013) with default parameters. Alignment files were then processed with

PICARD ver. 2.9.0 (http://broadinstitute.github.io/picard/) and GATK ver. 3.7 (McKenna

et al. 2010) toolkits to remove PCR duplicates and realign around INDEL regions

(DePristo *et al.* 2011).

107   Using the processed alignment files, genotype probabilities were calculated with

the program ANGSD ver. 0.913 (Korneliussen *et al.* 2014). The genotype probabilities

were then used by the program ngsTools (Fumagalli *et al.* 2014) to conduct population

genetic analysis. To estimate theta (θ) ngsTools uses the site frequency spectrum as a

prior to calculate allele frequency probabilities. Usually site frequency spectrum requires

an appropriate outgroup sequence to infer the ancestral state of each site. However, for

calculating Watterson and Tajima's θ it is not necessary to know whether each

polymorphic site is a high or low frequency variant (Korneliussen *et al.* 2013). Hence, we

used the same reference japonica genome as the outgroup but strictly for purposes of

calculating θ. Per site allele frequency likelihood was calculated using ANGSD with the

commands:

```
angsd -b $BAMLIST -ref $REF -anc $REF -out $SFS -r $CHR \
    -uniqueOnly 1 -remove_bads 1 -only_proper_pairs 1 -trim 0 \
    -C 50 -baq 1 -minMapQ 20 -minQ 30 \
    -minInd $minInd \
    -setMinDepth $setMinDepth \
    -setMaxDepth $setMaxDepth \
    -doCounts 1 -GL 1 -doSaf 1
```
119

Per site allele frequency for each domesticated and wild subpopulation was calculated

separately with different filtering parameters using the options –minInd, -setMinDepth, -

setMaxDepth; where parameter minInd represent the minimum number of individuals per

site to be analyzed, setMinDepth represent minimum total sequencing depth per site to be

analyzed, setMaxDepth represent maximum total sequencing depth per site to be

analyzed. Specifically, -minInd and –setMinDepth were set as a third of the number

individuals in the subpopulation while –setMaxDepth was set as five times the number

individuals in the subpopulation. Overall site frequency spectrum was then calculated

with the realSFS program from the ANGSD package. Using each subpopulation's site

frequency spectrum as prior, we then calculated θ for each subpopulation using ANGSD

with the command:

```
angsd -b $BAMLIST -ref $REF -anc $REF -out $THETA -r $CHR \
    -uniqueOnly 1 -remove_bads 1 -only_proper_pairs 1 -trim 0 \
    -C 50 -baq 1 -minMapQ 20 -minQ 30
    -minInd $minInd \
    -setMinDepth $setMinDepth \
    -setMaxDepth $setMaxDepth
    -doCounts 1 -GL 1 -doSaf 1 \
    -doThetas 1 -pest $SFS
```
131

132   Using the output file from the previous command, for each subpopulation a sliding

133   window analysis was then conducted with the thetaStat program from the ANGSD

134   package using non-overlapping window length and step sizes of 20 kbp, 100 kbp, 500

135   kbp, and 1000 kbp with the command:

```
thetaStat do_stat $ALLELEFREQ_POSTPROB_FILE \
    -nChr $IND \
    -win $WINDOW -step $STEP
```

For each window, θ per site was estimated by dividing Tajima's theta (θ_π) against

the total number of sites with data in the window. Windows with less then 25% of sites

with data were discarded from downstream analysis. This resulted in a minimum of 90%

of the windows being analyzed (Supplemental Table 2). Sweeps were identified using

sliding windows that were estimating the ratio of wild to domesticate polymorphism

(π_w/π_d). To calculate π_w/π_d values we chose the Or-II subpopulation to calculate π_w since

Or-II subpopulation was most distantly related to all three domesticated rice

subpopulation (Supplemental Fig 1). π_w/π_d values were calculated separately for each

domesticated rice subpopulations. Windows with large π_w/π_d values were designated as

candidate domestication selective sweep region, and significance was determined using

an empirical distribution of π_w/π_d values described below.

Japonica has demographic history that is consistent with more intense

domestication related bottlenecks then aus and indica (Xu *et al.* 2011). Thus, many π_w/π_d

values for japonica are expected to be similar between true domestication sweep and

neutral regions, causing difficulties in identifying true-positive selective sweeps. Hence,

we chose the approach of Civáň *et al.* (2015) by using a single threshold π_w/π_d value to

determine significance for all three subpopulation. In contrast to Civáň *et al.* (2015) we

chose our threshold based on the empirical distribution of each subpopulation. The 97.5

percentile π_w/π_d values were determined for each domesticated rice subpopulation, and

the subpopulation with the lowest 97.5 percentile π_w/π_d values was decided as the

significance threshold. The threshold percentile that is represented by each subpopulation

and window size is listed in Supplemental Table 3. If the top 2.5% windows in the

subpopulation with the lowest π_w/π_d threshold are all due to true domestication sweeps,

then in the other two subpopulations the threshold may be seen after a selective sweep or

a population bottleneck. These co-located low-diversity genomic regions (CLDGRs) then

represent candidate domestication-related selective sweep regions for all three

subpopulations, and it is necessary for each CLDGR to have additional information to

differentiate itself from the background domestication-related bottleneck scenarios. We

assumed CLDGRs overlapping genes with functional genetic evidence related to

domestication phenotypes (Meyer and Purugganan 2013) as true candidate domestication

genes. Custom R (R Core Team 2016) code for identifying the overlapping selective

sweep windows can be found in the GitHub repository:

https://github.com/cjy8709/Huangetal2012_reanalysis.

To account for the uncertainty in the underlying data, phylogenetic analysis were

conducted by estimating pairwise genetic distances from genotype probabilities (Vieira *et*

al. 2016). We ran the program ANGSD to calculate genotype probabilities for all 1477

domesticated and wild rice samples using the command:

```
angsd -b $BAMLIST -ref $REF -out $GENOPP -r $CHR \
    -uniqueOnly 1 -remove_bads 1 -only_proper_pairs 1 -trim 0 \
    -C 50 -baq 1 -minMapQ 20 -minQ 30 \
    -minInd $minInd
    -setMinDepth $setMinDepth
    -setMaxDepth $setMaxDepth
    -doCounts 1 -GL 1 -doMajorMinor 1 -doMaf 1 \
    -skipTriallelic 1 -SNP_pval 1e-3 -doGeno 8 -doPost 1
```

174
Initially, the effects of different filtering parameters on the downstream phylogenetic

analysis were examined by using three different parameter values for the options –

minInd, -setMinDepth, -setMaxDepth: 1) minInd=492, setMinDepth=492,

setMaxDepth=4920; 2) minInd=738, setMinDepth=738, setMaxDepth=8862; 3)

minInd=492, setMinDepth=369, setMaxDepth=8862. Afterwards all subsequent

phylogenetic analysis were conducted with genotype posterior probabilities calculated

using the minInd=492, setMinDepth=492, setMaxDepth=4920 parameter set. Genotype

posterior probabilities were then used by the program ngsDist from the ngsTools package

to estimate all pairwise genetic distances. Using the output file from the previous

command, neighbor-joining trees were reconstructed with the genetic distances using the

program FastME ver. 2.1.5 (Lefort *et al.* 2015) with the command:

```
        fastme-2.1.5-linux64 -D 1 -i $DISTANCE_FILE -o $TREE
      ```
187   Phylogenetic trees were diagramed using the web interface iTOL ver. 3.4.3

188   (http://itol.embl.de/) (Letunic and Bork 2016).

190   Results and Discussions

191   In both Huang *et al.* (2012) and Civáň *et al.* (2015) studies, the phylogeny based

192   on genome-wide data versus putative domestication regions were compared to determine

193   which domestication scenario was best supported by the data. We reconstructed the

194   genome-wide phylogeny by estimating genetic distances between domesticated and wild

195   rice using genotype probabilities (Vieira *et al.* 2016). To examine the effects of different

196   parameters would have on downstream analysis, three different parameters were used to

197   estimate the genotype probabilities. The probabilities were then subsequently used to

198   estimate genetic distances and build neighbor-joining trees for each chromosome

199   (Supplemental Fig 1). Comparing trees built from the three different parameters, each

200   chromosomal phylogeny was largely concordant with the others forming two major

201   clades where the japonicas were grouping together, while indica and aus formed a

202   monophyletic group (Figure 1A). Further, the trees corroborated the results of Huang *et*

203   *al.* (2012) where the japonicas were most closely related to the Or-III wild rice

204   subpopulation, while indica and aus were most closely related to the Or-I wild rice

205   subpopulation.

206   We then scanned for local genomic regions associated with domestication related

207   selective sweeps to infer the domestication history of Asian rice. Compared to the π_w/π_d

208   method, there are population genetic model based tests that are more powerful for

209   detecting selective sweeps (Vitti *et al.* 2013). However, these methods use hard called

210   genotypes and do not take the uncertainty associated with low coverage data into account.

211   But more importantly the two previous study of Huang *et al.* (2012) and Civáň *et al.*

212   (2015) used the π_w/π_d method to detect domestication related sweeps. For consistency we

213   also implemented the π_w/π_d method using genotype likelihoods to take the low coverage

214   into consideration, and took a closer look at the genomic regions with significant

215   evidence of a selective sweep.

216   To identify putative selective sweep regions, we chose the approach of Civáň et

217   al. (2015) and identified sweep regions separately for each rice subpopulation. If rice had

218   a single *de novo* domestication of origin in one subpopulation and the other two

219   subpopulations were domesticated through introgression, then all three rice

220   subpopulations would have identical sweep regions with shared haplotypes; otherwise,

221   the single *de novo* domestication with introgression model cannot be supported. CLDGRs

222   (Civáň *et al.* 2015) were identified using a window size that was different from both

223   Huang *et al.* (2012) (100 kbp) and Civáň *et al.* (2015) (between 100 and 200 kbp), using

224   a 20 kbp sliding window to narrow down on the candidate genes relating to

225   domestication. To identify significant CLDGRs we chose a stringent cutoff to

226   conservatively identify candidate regions and identified a total of 39 CLDGRs

227   (Supplemental Table 4).

228   Neighbor-joining trees were then reconstructed for each 39 CLDGRs

229   (Supplemental Figure 2). The majority of CLDGRs showed monophyletic relationships

230   among the domesticated rice subpopulation, where japonica, indica, and aus were

231   clustering between and not within subpopulation types. Six windows (e.g.

232   chr2:11,660,000-11,680,000) showed phylogenetic relationships where each

233   domesticated sample were clustering with the same domesticated subpopulation type.

234   This initially suggested the evolutionary history of CLDGRs were most consistent with

235   the single *de novo* domestication model. We then examined larger window sizes of 100

236   kbp, 500 kbp, and 1000 kbp for candidate CLDGRs (Supplemental Table 4) and

237   reconstructed phylogenies for those regions (Supplemental Fig 3,4, and 5). Larger

238   window sizes have fewer numbers of windows for analysis, hence leading to fewer

239   numbers of CLDGRs being identified (Supplemental Table 2). Nonetheless, with

240   increasing window sizes CLDGR phylogenies became more congruent with the genome-

241   wide phylogenies, consistent with the multiple domestication model. However, CLDGRs

242   are only candidate regions that may harbor domestication genes or may be false positive

243   selective sweep regions affected by domestication-related bottlenecks. As population

244   bottlenecking can decrease effective population sizes, false positive CLDGRs may

245   represent regions of the genome with increased lineage sorting. These regions are then

246   likely to have phylogenies that are more concordant with the underlying species

247   phylogeny (Pamilo and Nei 1988). Hence, it is crucial that a CLDGR have additional

248   evidence that can associate it with selection and differentiate its evolutionary history from

249   the underlying species phylogeny. To do so we searched CLDGRs that overlapped genes

250   with functional genetic evidence related to domestication. We found three known

251   domestication genes: long and barbed awn gene *LABA1* (chr4:25,959,399-25,963,504),

252   the prostrate growth gene *PROG1* (chr7:2,839,194-2,840,089), and shattering locus *sh4*

253   (chr4:34,231,186-34,233,221) (Li *et al.* 2006; Tan *et al.* 2008; Hua *et al.* 2015).

254   Interestingly, the gene *sh4* was the only gene detected across multiple sliding window

255   sizes excluding the largest 1000 kbp window (Supplemental Table 4).

256   Phylogenetic trees were then reconstructed for the three domestication loci that

257   included 20 kbp upstream and downstream (40 kbp in total) of their coding sequence. We

258   note for all three genes the casual variant resulting in the domestication phenotype were

259   located in the protein coding sequences (Li *et al.* 2006; Jin *et al.* 2008; Hua *et al.* 2015).

260   For all three genomic regions, the phylogenies were clustering different subpopulation

261   types of domesticated rice together (Figure 1B), consistent with the single *de novo*

262   domestication scenario.

263   Interestingly, *sh4* was identified as a candidate gene with evidence of selective

264   sweep in this study and both Huang *et al.* (2012) and Civáň *et al.* (2015). Only Civáň *et*

265   *al.* (2015) did not find evidence of single origin in a phylogenetic tree reconstructed from

266   a 240 kbp region surrounding *sh4*. A single nucleotide mutation in the *sh4* gene causes a

267   reduction in seed shattering (Li *et al.* 2006), which is an important domestication trait

268   thought to minimize the labor during harvesting (Sang and Ge 2007). Sanger sequencing

269   of the *sh4* region across various domesticated rice have indicated a near identical

270   haplotype at the *sh4* region suggesting a single origin of non-shattering (Li *et al.* 2006;

271   Zhang *et al.* 2009; Thurber *et al.* 2010). When we reconstructed phylogenies for 40 kbp

272   windows surrounding the *sh4* region, the upstream region of the start codon had

273   phylogenies where the domesticated rice were clustering with the same domesticated

274   subpopulation types (Supplemental Fig 6). We then reconstructed the phylogeny for large

275   genetic regions surrounding each three domestication loci and discovered with each

276   increased window size, the phylogeny of the region increasingly corroborated the

277   genome-wide phylogeny by clustering with the same subpopulation type (Figure 2). This

278   was not only true for *sh4,* but also *LABA1* and *PROG1* as well. Thus, the domestication-

279   related evolutionary history for *sh4* is limited to the gene and regions that are downstream

280   of the stop codon. Including large flanking regions or concatenating candidate

281   domestication region without functional genetic evidence can lead to phylogenies that are

282   concordant with the genome-wide species phylogeny, spuriously concluding it as

283   evidence for the multiple domestication origin model. In fact, careful re-examination of

284   the Huang *et al.* (2012) results indicate their phylogeny for the concatenated 55 candidate

285   domestication region actually shows a topology where japonica and indica were grouping

286   with the same domesticated subpopulation type, while individual domestication regions

287   with functional evidence showed a mix of japonica and indica clustering together,

288   suggesting the concatenation had resulted in the neutral diversity of the non-

289   domestication related regions to overwhelm the domestication regions phylogenetic

290   signatures.

291   In this study we have used the same approach as Huang *et al.* (2012) and Civáň *et*

292   *al.* (2015) to search for regions of domestication related selective sweeps and investigated

293   those regions' evolutionary history. With stringent thresholds and conservative

294   assumptions to exclude false positive CLDGRs we were able to narrow down to three

295   genes (*LABA1*, *PROG1*, and *sh4*), which were likely to be the key genes involved in the

296   domestication of Asian rice (Meyer and Purugganan 2013). We note that our method of

297   detecting selective sweeps may have missed other true domestication sweeps when other

298   methods are applied (Liu *et al.* 2017), and the three genes may represent the minimum

299   number of genes involved in the domestication of Asian rice. With higher coverage data

300   becoming available (Li *et al.* 2014) powerful population genetic model based selective

301   sweep test can be applied to detect more loci that were potentially involved in the

302   domestication process. But even with these methods one is still left with candidate

303   regions and it is likely that different studies would detect different regions with

304   significant evidence of undergoing a selective sweep, which could potentially result in

305   contrasting domestication scenarios between studies. Hence, it is important that future

306   domestication studies using advanced methods for detecting selection should consider

307   whether the candidate region would also have functional genetic evidence as well.

308   Civáň *et al.* (2015) had criticized the role of *PROG1* and *sh4* in domestication due

309   to several wild rice alleles clustering with the domesticated alleles (Figure 1B). However,

310   evidence from de-domesticated weedy rice shows feralized rice can carry the causative

311   domestication allele but not retain any of the domestication phenotypes (Li *et al.* 2017),

312   suggesting some of the wild rice in the Huang et al. (2012) dataset may actually represent

313   different stages of feralized domesticated rice (Wang *et al.* 2017). Further, Civáň *et al.*

314   (2015) claimed the clustering of wild and domesticated rice alleles as evidence of

315   selection from standing variation (i.e. soft sweep), which led to the observed phylogenies

316   for *PROG1* and *sh4* (Civáň and Brown 2017). However, given the deep genome-wide

317   divergence between japonica and indica subpopulation (Choi *et al.* 2017; Wang *et al.*

318   2017), soft sweeps in this case is expected to produce distinct haplotypes and not

319   homogenize the haplotypes between subpopulations (Messer and Petrov 2013). Thus,

320   clustering of wild rice with domesticated rice in candidate domestication genes is more

321   consistent with the frequent gene flow occurring between domesticated and wild rice

322   (Wang *et al.* 2017). Subsequently, we caution the interpretation of phylogeographic

323   analyses investigating the geographic localities of wild and domesticated CLDGRs,

324   because phylogenetic clustering between wild and domesticated alleles can not

325   differentiate originating progenitor vs. recent hybridization between wild and

326   domesticated rice.

327   Recently Wang *et al.* (2017) suggested several wild rice samples in the Huang *et*

328   *al.* (2012) study had evidence of gene flow from domesticated rice into the wild rice.

329   Thus, the genetic affinity between Or-I wild rice subpopulation and indica/aus; and Or-III

330   wild rice subpopulation and japonica, may represent recent gene flow and it is unclear

331   whether Or-I and Or-III wild rice subpopulation represents the direct progenitor of

332   domesticated rice. The deep genome-wide coalescence time between japonica and indica

333   predating the archaeologically estimated domestication time (Choi *et al.* 2017) suggests

334   japonica and indica has independent wild rice of origin, but its possible this progenitor

335   was not sampled in the Huang *et al.* (2012) study. This is clearly seen across the genome-

336   wide phylogeny of the domesticated rice as all samples cluster with the same

337   domesticated subpopulation type. On the other hand, phylogenies from domestication loci

338   were consistent with a single origin model where all domesticated subpopulations were

339   monophyletic with each other. Further, in all three regions the most closely related wild

340   rice corresponded to the Or-III subpopulation, supporting the hypothesis that the

341   domestication alleles were introgressed from japonica into indica and aus (Huang *et al.*

342   2012; Choi *et al.* 2017). There is a possibility that the Or-III subpopulation positioning as

343   the sister group to all domesticated rice in domestication-associated genomic regions may

344   be an artifact from the gene flow between japonica and wild rice (Wang *et al.* 2017).

345   However, we do not think this is the case for three reasons: 1) gene flow from

346   domesticated to wild rice is predominately originating from indica and aus

347   subpopulations (Wang *et al.* 2017), 2) gene flow of domestication allele into wild rice

348   will cluster wild and domestication rice together not positioning them as a separate sister

349   group, and 3) even if it is a result of gene flow the sister group position suggests it was an

350   old gene flow event ultimately involving the japonica subpopulation.

351   In the end, our evolutionary analysis for the domestication loci *LABA1*, *PROG1*,

352   and *sh4* are consistent with both Sanger and next-generation sequencing results (Li *et al.*

353   2006; Tan *et al.* 2008; Xu *et al.* 2011; Huang *et al.* 2012; Hua *et al.* 2015). Our results are

354   also consistent with the archaeological and genomic evidences (Fuller *et al.* 2010; Choi *et*

355   *al.* 2017). Here then, we provide support for a model in which Asian rice has evolved

356   from multiple origins but *de novo* domestication had only occurred once (Caicedo *et al.*

357   2007; Gao and Innan 2008; He *et al.* 2011; Huang *et al.* 2012; Castillo *et al.* 2016; Choi

358   *et al.* 2017) (Figure 3). Specifically, this model hypothesizes each domesticated rice

359   subpopulation had distinct wild rice subpopulation as its immediate progenitor, but *de*

360   *novo* domestication only occurred once in japonica, involving genes that include *LABA1,*

361   *PROG1,* and *sh4*. The domestication alleles for these genes were then subsequently

362   introgressed into the wild progenitors of aus and indica by gene flow and ultimately led

363   to their domestication. Indeed crossing between wild and domesticated rice has been

364   common practice in modern rice breeding for enhancing the limited domesticated rice

365   genetic pool (Brar and Khush 1997), and may have been the ultimate source of

366   diversifying the initial proto-domesticated rice into genetically differentiated

367   domesticated rice subpopulations.

369   Acknowledgements

370   This work was supported by grants from the National Science Foundation Plant Genome

371   Research Program (IOS-1546218), the Zegar Family Foundation (A16-0051) and the

372   NYU Abu Dhabi Research Institute (G1205) to M.D.P. We appreciate the New York

373   University – High Performance Computing for providing computational resources and

374   support. We thank Simon "Niels" Groen, Zoe Lye, and the anonymous reviewers for

375   providing helpful comments.

376   References

377   Bolger, A. M., M. Lohse, and B. Usadel, 2014 Trimmomatic: a flexible trimmer for

378   Illumina sequence data. Bioinformatics 30: 2114–2120.

379   Brar, D. S., and G. S. Khush, 1997 Alien introgression in rice. Plant Mol. Biol. 35: 35–

380   47.

381   Caicedo, A. L., S. H. Williamson, R. D. Hernandez, A. Boyko, A. Fledel-Alon *et al.*,

382   2007 Genome-Wide Patterns of Nucleotide Polymorphism in Domesticated Rice.

383   PLoS Genet. 3: e163.

384   Castillo, C. C., K. Tanaka, Y.-I. Sato, R. Ishikawa, B. Bellina *et al.*, 2016 Archaeogenetic

385   study of prehistoric rice remains from Thailand and India: evidence of early japonica

386   in South and Southeast Asia. Archaeol. Anthropol. Sci. 8: 523–543.

387   Choi, J. Y., A. E. Platts, D. Q. Fuller, Y.-I. Hsing, R. A. Wing *et al.*, 2017 The rice

388   paradox: Multiple origins but single domestication in Asian rice. Mol. Biol. Evol.

389   34: 969–979.

390   Civáň, P., and T. A. Brown, 2017 Origin of rice (Oryza sativa L.) domestication genes.

391   Genet. Resour. Crop Evol. 64: 1125–1132.

392   Civáň, P., H. Craig, C. J. Cox, and T. A. Brown, 2015 Three geographically separate

393   domestications of Asian rice. Nat. plants 1: 15164.

394   DePristo, M. A., E. Banks, R. Poplin, K. V Garimella, J. R. Maguire *et al.*, 2011 A

395   framework for variation discovery and genotyping using next-generation DNA

396   sequencing data. Nat. Genet. 43: 491–498.

397   Fuller, D. Q., Y.-I. Sato, C. Castillo, L. Qin, A. R. Weisskopf *et al.*, 2010 Consilience of

398   genetics and archaeobotany in the entangled history of rice. Archaeol. Anthropol.

399   Sci. 2: 115–131.

400   Fumagalli, M., F. G. Vieira, T. Linderoth, and R. Nielsen, 2014 ngsTools: methods for

401   population genetics analyses from next-generation sequencing data. Bioinformatics

402   30: 1486–7.

403   Gao, L., and H. Innan, 2008 Nonindependent Domestication of the Two Rice Subspecies,

404   Oryza sativa ssp. indica and ssp. japonica, Demonstrated by Multilocus

405   Microsatellites. Genetics 179: 965–976.

406   Garris, A. J., T. H. Tai, J. Coburn, S. Kresovich, and S. McCouch, 2005 Genetic structure

407   and diversity in Oryza sativa L. Genetics 169: 1631–8.

408   Gross, B. L., and Z. Zhao, 2014 Archaeological and genetic insights into the origins of

409   domesticated rice. Proc. Natl. Acad. Sci. 111: 6190–6197.

410   He, Z., W. Zhai, H. Wen, T. Tang, Y. Wang *et al.*, 2011 Two Evolutionary Histories in

411   the Genome of Rice: the Roles of Domestication Genes. PLoS Genet. 7: e1002100.

412   Hobolth, A., J. Y. Dutheil, J. Hawks, M. H. Schierup, and T. Mailund, 2011 Incomplete

413   lineage sorting patterns among human, chimpanzee, and orangutan suggest recent

414   orangutan speciation and widespread selection. Genome Res. 21: 349–356.

415   Hua, L., D. R. Wang, L. Tan, Y. Fu, F. Liu *et al.*, 2015 LABA1, a Domestication Gene

416   Associated with Long, Barbed Awns in Wild Rice. Plant Cell 27: 1875–1888.

417   Huang, X., N. Kurata, X. Wei, Z.-X. Wang, A. Wang *et al.*, 2012 A map of rice genome

418   variation reveals the origin of cultivated rice. Nature 490: 497–501.

419   Jin, J., W. Huang, J.-P. Gao, J. Yang, M. Shi *et al.*, 2008 Genetic control of rice plant

420   architecture under domestication. Nat. Genet. 40: 1365–9.

421   Korneliussen, T. S., A. Albrechtsen, and R. Nielsen, 2014 ANGSD: Analysis of Next

422   Generation Sequencing Data. BMC Bioinformatics 15: 356.

423   Korneliussen, T. S., I. Moltke, A. Albrechtsen, and R. Nielsen, 2013 Calculation of

424   Tajima's D and other neutrality test statistics from low depth next-generation

425   sequencing data. BMC Bioinformatics 14: 289.

426   Lefort, V., R. Desper, and O. Gascuel, 2015 FastME 2.0: A Comprehensive, Accurate,

427   and Fast Distance-Based Phylogeny Inference Program. Mol. Biol. Evol. 32: 2798–

428   2800.

429   Letunic, I., and P. Bork, 2016 Interactive tree of life (iTOL) v3: an online tool for the

430   display and annotation of phylogenetic and other trees. Nucleic Acids Res. 44:

431   W242–W245.

432   Li, H., 2013 Aligning sequence reads, clone sequences and assembly contigs with BWA-

433   MEM. arXiv:1303.3997v2.

434   Li, L.-F., Y.-L. Li, Y. Jia, A. L. Caicedo, and K. M. Olsen, 2017 Signatures of adaptation

435   in the weedy rice genome. Nat. Genet. 49: 811–814.

436   Li, Z., J. Rutger, S. Yu, W. Xu, C. Vijayakumar *et al.*, 2014 The 3,000 rice genomes

437   project. Gigascience 3: 7.

438   Li, C., A. Zhou, and T. Sang, 2006 Rice domestication by reducing shattering. Science

439   (80-.). 311: 1936–9.

440   Liu, Q., Y. Zhou, P. L. Morrell, and B. S. Gaut, 2017 Deleterious variants in Asian rice

441   and the potential cost of domestication. Mol. Biol. Evol. 34: 908–924.

442   McKenna, A., M. Hanna, E. Banks, A. Sivachenko, K. Cibulskis *et al.*, 2010 The

443   Genome Analysis Toolkit: a MapReduce framework for analyzing next-generation

444   DNA sequencing data. Genome Res. 20: 1297–303.

445   Messer, P. W., and D. A. Petrov, 2013 Population genomics of rapid adaptation by soft

446   selective sweeps. Trends Ecol. Evol. 28: 659–669.

447   Meyer, R. S., and M. D. Purugganan, 2013 Evolution of crop species: genetics of

448   domestication and diversification. Nat. Rev. Genet. 14: 840–852.

449   Nielsen, R., J. S. Paul, A. Albrechtsen, and Y. S. Song, 2011 Genotype and SNP calling

450   from next-generation sequencing data. Nat. Rev. Genet. 12: 443–51.

451   Pamilo, P., and M. Nei, 1988 Relationships between gene trees and species trees. Mol.

452   Biol. Evol. 5: 568–83.

453   Pavlidis, P., J. D. Jensen, W. Stephan, and A. Stamatakis, 2012 A Critical Assessment of

454   Storytelling: Gene Ontology Categories and the Importance of Validating Genomic

455   Scans. Mol. Biol. Evol. 29: 3237–3248.

456   Pease, J. B., and M. W. Hahn, 2013 More accurate phylogenies inferred from low-

457   recombination regions in the presence of incomplete lineage sorting. Evolution (N.

458   Y). 67: 2376–84.

459   Prüfer, K., K. Munch, I. Hellmann, K. Akagi, J. R. Miller *et al.*, 2012 The bonobo

460   genome compared with the chimpanzee and human genomes. Nature 486: 527–31.

461   R Core Team, 2016 R: A Language and Environment for Statistical Computing. Vienna,

462   Austria.

463   Sang, T., and S. Ge, 2007 The Puzzle of Rice Domestication. J. Integr. Plant Biol. 49:

464   760–768.

465   Scally, A., J. Y. Dutheil, L. W. Hillier, G. E. Jordan, I. Goodhead *et al.*, 2012 Insights

466   into hominid evolution from the gorilla genome sequence. Nature 483: 169–175.

467   Tan, L., X. Li, F. Liu, X. Sun, C. Li *et al.*, 2008 Control of a key transition from prostrate

468   to erect growth in rice domestication. Nat. Genet. 40: 1360–1364.

469   Thurber, C. S., M. Reagon, B. L. Gross, K. M. Olsen, Y. Jia *et al.*, 2010 Molecular

470   evolution of shattering loci in U.S. weedy rice. Mol. Ecol. 19: 3271–84.

471   Vieira, F. G., F. Lassalle, T. S. Korneliussen, and M. Fumagalli, 2016 Improving the

472   estimation of genetic distances from Next-Generation Sequencing data. Biol. J.

473   Linn. Soc. 117: 139–149.

474   Vitti, J. J., S. R. Grossman, and P. C. Sabeti, 2013 Detecting Natural Selection in

475   Genomic Data. Annu. Rev. Genet. 47: 97–120.

476   Wang, H., F. G. Vieira, J. E. Crawford, C. Chu, and R. Nielsen, 2017 Asian wild rice is a

477   hybrid swarm with extensive gene flow and feralization from domesticated rice.

478   Genome Res. 27: 1029–1038.

479   Xu, X., X. Liu, S. Ge, J. D. Jensen, F. Hu *et al.*, 2011 Resequencing 50 accessions of

480   cultivated and wild rice yields markers for identifying agronomically important

481   genes. Nat. Biotechnol. 30: 105–111.

482   Zhang, L.-B., Q. Zhu, Z.-Q. Wu, J. Ross-Ibarra, B. S. Gaut *et al.*, 2009 Selection on grain

483   shattering genes and rates of rice domestication. New Phytol. 184: 708–720.

485   Figure 1. Neighbor-joining tree for A) chromosome 1 and B) 20 kbp upstream and

486   downstream (40 kbp total) of domestication genes *LABA1*, *PROG1*, and *sh4*. Inner circle

487   of colors represent domesticated rice: red, aus; blue, indica; yellow, temperate japonica;

488   brown, tropical japonica. Outer circle of colors represent wild rice that were designated

489   by Huang *et al.* (2012): green, Or-I; purple, Or-II; orange, Or-III. Arrows indicate the

490   most ancestral internal node of the monophyletic domesticated rice clade.

492   Figure 2. Neighbor-joining trees for three different window sizes flanking the

493   domestication genes *LABA1*, *PROG1*, and *sh4*. First row, 50 kbp upstream and

494   downstream of gene (100 kbp total); Second row, 250 kbp upstream and downstream of

495   gene (500 kbp total); Third row, 500 kbp upstream and downstream of gene (1000 kbp

496   total).

498   Figure 3. Domestication scenario that led to Asian rice. Each domesticated rice

499   subpopulation had separate wild rice progenitor, however because the geographic origin

500   of the progenitor is heavily debated its location is omitted from the map. Geographic

501   position of the domesticated rice represent hypothesized domestication areas and was

502   based on Fuller *et al.* (2010). Red arrow indicates a gene flow event that transferred the

503   japonica originating domestication haplotypes from the genes *LABA1*, *PROG1*, and *sh4*

504   into the progenitors of aus and indica, which led to their domestication.

505   Supplemental Fig1. Neighbor-joining trees for 12 chromosomes. Each row represent trees

506   built using genotype posterior probabilities calculated from 3 different parameters. Top,

507   middle, and bottom row represents tree built from genotype posterior probabilities

508   calculated from parameter 1, 2, and 3; listed in materials and method.

510   Supplemental Fig2. Neighbor-joining trees for the 39 CLDGRs identified after 20 kbp

511   sliding window.

513   Supplemental Fig3. Neighbor-joining trees for the 10 CLDGRs identified after 100 kbp

514   sliding window.

516   Supplemental Fig4. Neighbor-joining trees for the 4 CLDGRs identified after 500 kbp

517   sliding window.

519   Supplemental Fig5. Neighbor-joining trees for the 2 CLDGRs identified after 1000 kbp

520   sliding window.

522   Supplemental Fig6. Neighbor-joining trees for 40 kbp windows surrounding the gene sh4

523   (chr4:34,231,186-34,233,221).

A   B   LABA1   PROG1   sh4

	LABA1	PROG1	sh4
A			
B			
C			