1 LTR_retriever: a highly accurate and sensitive program for identification of LTR

2 retrotransposons

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8 ABSTRACT

9 Long terminal-repeat retrotransposons (LTR-RTs) are prevalent in plant genomes. Identification of 10 LTR-RTs is critical for achieving high-quality gene annotation. Based on the well-conserved structure, 11 multiple programs were developed for *de novo* identification of LTR-RTs; however, these programs 12 are associated with low specificity and high false discovery rate (FDR). Here we report LTR_retriever, 13 a multithreading empowered Perl program that identifies LTR-RTs and generates high-quality LTR 14 libraries from genomic sequences. LTR_retriever demonstrated significant improvements by 15 achieving high levels of sensitivity (91.8%), specificity (94.7%), accuracy (94.3%), and precision 16 (90.6%) in model plants. LTR_retriever is also compatible with long sequencing reads. With 40k self-17 corrected PacBio reads equivalent to 4.5X genome coverage in Arabidopsis, the constructed LTR 18 library showed excellent sensitivity and specificity. In addition to canonical LTR-RTs with 5'-19 TG..CA-3' termini, LTR_retriever also identifies non-canonical LTR-RTs (non-TGCA), which have 20 been largely ignored in genome-wide studies. We identified seven types of non-canonical LTRs from 21 42 out of 50 plant genomes. The majority of non-canonical LTRs are *Copia* elements, with which the 22 LTR is four times shorter than that of other *Copia* elements, which may be a result of their target 23 specificity. Strikingly, non-TGCA Copia elements are often located in genic regions and 24 preferentially insert nearby or within genes, indicating their impact on the evolution of genes and 25 potential as mutagenesis tools.

26 Keywords: LTR retrotransposon, LTR_retriever, transposable element, genome annotation, evolution

27 INTRODUCTION

28	Transposable elements (TEs) are ubiquitous interspersed repeats in most sequenced eukaryote
29	genomes (Wessler 2006). According to their transposition schemes, TEs are categorized into two
30	classes. Class I TEs (retrotransposons) use RNA intermediates with a "copy and paste" transposition
31	mechanism (Kumar and Bennetzen 1999; Wicker, et al. 2007). Class II TEs (DNA transposons) use
32	DNA intermediates with a "cut and paste" mechanism (Feschotte and Pritham 2007; Wicker, et al.
33	2007). Depending on the presence of long terminal repeats (LTRs), Class I TEs are further classified
34	as LTR retrotransposons (LTR-RTs) and non-LTR retrotransposons, including short interspersed
35	transposable elements (SINEs) and long interspersed transposable elements (LINEs) (Han 2010). For
36	simplicity, TEs other than LTR-RT, including both non-LTR retrotransposons and DNA transposons,
37	are called non-LTR in this study. In plants, LTR-RTs contribute significantly to genome size
38	expansion due to their high copy number and large size (Rensing, et al. 2008; Schnable, et al. 2009;
39	Nystedt, et al. 2013; Ming, et al. 2015). For example, retrotransposons contribute to -approximately
40	75% to the size of the maize (Zea mays) genome (Schnable, et al. 2009). In Oryza australiensis, a
41	wild relative of rice (O. sativa), the amplification of three families of LTR retrotransposons is
42	attributed to the genome size doubling within the last 3 million years (MY) (Piegu, et al. 2006). The
43	amplification and elimination of LTR-RTs has shaped genome landscapes (Ammiraju, et al. 2007;
44	Ammiraju, et al. 2010), thereby affecting the expression of adjacent genes (Hollister and Gaut 2009;
45	Hollister, et al. 2011; vonHoldt, et al. 2012; Makarevitch, et al. 2015).
46	An intact LTR-RT carries an LTR at both termini (Fig 1A). The LTR regions usually span 85-
47	5000 base pairs (bp) with intra-element sequence identity \ge 85%. In plants, LTRs are typically flanked
48	by 2 bp palindromic motifs (Fig 1A), commonly 5'-TGCA-3' (Zhao, et al. 2016) with some rare
49	exceptions. For instance, the first active TE detected in rice, the Tos17 LTR element has a 5'-
50	TGGA-3' motif (Hirochika, et al. 1996). The sequence between the 5' and 3' LTR is defined as the
51	internal region and usually ranges from 1,000-15,000 bp (Supplementary Fig S1). To confer
52	transposition activities, the internal region of most autonomous LTR elements should contain a primer
53	binding site (PBS), a polypurine tract (PPT), a gag gene (i.e., encoding structural proteins for reverse
54	transcription), and a pol gene (i.e., functioning as protease, reverse transcriptase, and integrase)
55	(Havecker, et al. 2004). Depending on the order of protein domains in the <i>pol</i> gene, intact LTR-RTs
56	can be further categorized into two families called Gypsy and Copia (Kumar and Bennetzen 1999). If

57 the internal region does not contain any open reading frames (ORFs), e.g., reverse transcriptase genes, 58 the belonging LTR-RT is unable to transpose independently, and it relies on the transposition-related 59 proteins from other autonomous LTR-RTs (Havecker, et al. 2004; Jiang 2016). There are two groups 60 of non-coding LTR-RTs: terminal-repeat retrotransposon in miniature (TRIM) (Havecker, et al. 2004; 61 Gao, et al. 2012) and large retrotransposon derivatives (LARD) (Havecker, et al. 2004). These non-62 coding LTR-RTs are distinguished by their average length: TRIMs are < 1 kb and LARDs are 5.5-9kb 63 (Havecker, et al. 2004; Jiang 2016). 64 The insertion of an LTR-RT is accompanied by the duplication of a small piece of sequence immediately flanking the element, which is called target site duplication (TSD, 4-6 bp in length) (Fig 65 66 1A). There are many mechanisms that can introduce mutations to a newly transposed LTR-RT. Due to 67 the sequence similarity between the long direct repeat of an LTR-RT, intra-element recombination can 68 occur, leading to the elimination of the internal region and the formation of a solo-LTR (Fig 1C). The 69 number of solo LTRs indicate the frequency and efficiency of LTR removal in a genome (Tian, et al. 70 2009). New LTR-RT insertions can be silenced by methylation and chromatin modification as a 71 genomic mechanism to suppress expression (Fedoroff 2012; vonHoldt, et al. 2012). Silenced elements 72 have less selection constraint and accumulate more mutations including deletions, resulting in 73 truncated LTR-RTs (Fig 1B). Truncated LTR-RT could also be the product of illegitimate 74 recombination which generates deletions and translocations (Tian, et al. 2009; Zhao, et al. 2016). 75 LTR-RTs often insert into other LTR-RTs, generating nested LTR-RTs (Fig 1D) (SanMiguel, et al. 76 1998; Tian, et al. 2009; Levy, et al. 2010). Given these mutation mechanisms, intact elements only 77 contribute a small fraction of all LTR-RT related sequences in a genome. If the required structural 78 components are altered, i.e., mutated, truncated, and nest-inserted by other TEs (Fig 1), the LTR 79 element becomes non-autonomous and is difficult to identify using structural information. 80 Although the structure of LTR-RT is conserved among species, their nucleotide sequences are not 81 conserved except among closely related species. Particularly, substantial sequence diversity is 82 observed within the long terminal repeat region. Therefore, LTR-RTs are usually not identified based

- 83 on sequence homology. Due to the lack of nucleotide sequence similarity among species, constructing
- 84 a species-specific LTR library (i.e., exemplars) is essential for identification of all LTR-RT related
- 85 sequences in a newly sequenced genome.

86	Computational identification of LTR-RTs based on structural features has been implemented
87	multiple times. Such methods are usually used jointly to maximize power in genome annotation
88	projects. However, inconsistent results are often obtained from these tools (Hoen, et al. 2015), which
89	could be due to the differences in defining the LTR structure in the program and the different
90	implementation of these methods. LTR_STRUC was one of the earliest developments of genome-
91	wide LTR identification programs (McCarthy and McDonald 2003), but its scalability and
92	computational potency is limited by the Windows platform. LTR_finder (Xu and Wang 2007) and
93	LTRharvest (Ellinghaus, et al. 2008) are by far the most sensitive programs in finding LTRs.
94	Nevertheless, these programs suffer from reporting large numbers of false positives (Lerat 2010).
95	MGEScan-LTR is another early development of LTR searching programs (Rho, et al. 2007). Its recent
96	update on the web-based platform allows wider usage (Lee, et al. 2016), but is still associated with the
97	issue of false identifications. As the most sizeable content of plant genomes, the assembly of LTR-RTs
98	in plant genomes is typically compromised due to the collapse of short reads from such regions.
99	Fragmented and misassembled repetitive sequences could lead to further error propagation in
100	downstream genome annotation. Unfortunately, most of the current programs are not well adapted to
101	the nature of draft genomes.
102	In this study, we introduce LTR_retriever, a novel tool for identification of LTR-RTs. This
103	package efficiently removes false positives from initial software predictions. We benchmarked the
104	performance of LTR_retriever with existing programs using the well assembled and annotated rice
105	genome (International Rice Genome Sequencing Project 2005) as well as other high-quality monocot
106	and dicot model genomes, e.g., maize (Jiao, et al. 2017), sacred lotus (Nelumbo nucifera) (Ming, et al.
107	2013), and Arabidopsis (Arabidopsis thaliana) (Arabidopsis Genome Initiative 2000). Our results
108	indicated that LTR_retriever achieved very high specificity, accuracy, and precision without
109	significantly sacrificing sensitivity, hence significantly outperforming existing methods. In addition,
110	we implemented a module to accurately search for non-canonical LTR-RTs that featured non-TGCA
111	motifs in LTR regions. A search in 50 published genomes identified seven types of non-canonical
112	LTR-RTs, which are mainly Copia elements with substantially shorter length compared to regular
113	Copia elements. Further characterizations show that non-canonical LTR-RTs are less abundant in the

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114 genomes but preferentially inserted into genic regions. Finally, we demonstrated the feasibility of

115 making high-quality LTR libraries from self-corrected PacBio reads.

116 NEW APPROACHES

117 De novo prediction of LTR-RTs can produce large amounts of false positives. To detect and filter

118 out non-LTR sequences and obtain high-quality LTR-RT exemplars (representative LTR-RT

sequences), we developed eight modules with adjustable parameters in LTR_retriever (Fig 2). A

120 detailed description of each individual module can be found in **Supplementary Methods**.

121 **RESULTS**

122 Recovery of LTR elements based on structural features has been implemented in multiple 123 packages. However, high level of false positive is a key issue. It is possible to reduce false positives 124 by defining more stringent parameters such as high LTR similarity, intermediate LTR length, and 125 "TGCA" motif (Fig 3, Supplementary Table S1). Unfortunately, the level of false negatives becomes 126 high when more stringent parameters are applied (Fig 3, Supplementary Table S1). The trade-off 127 between sensitivity and specificity cannot be minimized by merely adjusting parameters of existing 128 tools (Fig 3, Supplementary Table S1). To establish efficient filters, it is essential to understand the 129 fundamental differences between true LTR elements and false positives. In this study, we employed 130 four statistical metrics (sensitivity, specificity, accuracy, and precision) to evaluate the performance of 131 LTR-RT recovery programs (Materials and Methods). 132 Features of LTR false positives and solutions

133 In genome assembling practices, one of the most difficult tasks is to assemble highly repetitive 134 regions. Even in the best-assembled genomes, there are still gaps to be filled. In assemblies of non-135 overlapping scaffolds, sequence space (gaps) is manually added based on their inferred order. For a 136 piece of sequence with gaps, it is not uncommon that genome assemblers mistakenly join two similar 137 sequences that belong to different transposable elements from the same family. Under these situations, 138 the ambiguous sequence replaced by gaps is much less reliable than continuous sequence. 139 Tandem repeats are locally duplicated sequences of two or more bases such as centromere repeats 140 and satellite sequences (Benson 1999). Although it is possible that an LTR element carries small

and sateline sequences (Denson 1777). Fullough it is possible that an Directoment earnes small

141 portions of tandem repeats, it becomes an LTR false positive when the majority sequence of an LTR-

142 RT candidate consists of tandem repeats including low complexity sequences. We deploy Module 1 in 143 LTR_retriever to eliminate candidates that contain substantial amounts of gaps and tandem repeats 144 (Fig 2, Supplementary Methods). Module 1 also controls sequence length in consideration of both 145 extremely long (15KB) and short (100bp) LTR-RT. The broad range of length settings allows 146 LTR retriever to identify very short elements like TRIM or exceptionally long elements. The 147 implementation of **Module 1** allows LTR_retriever to exclude 4~12% of total candidates which are 148 very likely false positives. 149 Identifying the exact boundaries of an LTR candidate is critical for further structural analysis 150 such as motifs and TSDs. Published methods have applied some schemes to define boundaries. In 151 practice, we found that the external boundaries of an LTR candidate were defined quite precisely by 152 these prediction methods. However, for the internal boundaries which define the start and end of the 153 internal region, predictions of existing methods are often incorrect. By manual inspections, we found 154 the percentage of inaccurate internal boundary could be as high as 30%. The misdefined internal 155 boundary of an LTR candidate will result in an incorrect prediction of LTR structures, such as motif, 156 PBS, and PPT, which is likely to fail in the next filtering steps. We thus developed **Module 2** for 157 correction of the internal boundaries of raw LTR predictions (Fig 2, Supplementary Methods), 158 which could recover an extra 27% high-quality LTR candidates in the rice genome. 159 LTR-RT features with long terminal repeat flanking each side of the internal region. To 160 exhaustively search for LTR candidates from genomic sequences, most published tools start with 161 finding sequence alignments that are close to each other. This approach can effectively identify LTR 162 elements featured with a pair of long terminal repeats as well as finding non-LTR TE pairs that are 163 similar to each other (**Fig 1**). Such non-LTR TE fragments could be contributed by tandem repeats, 164 DNA TEs, SINEs, LINEs, solo-LTRs from the same LTR-RT family, or other repetitive sequences 165 including tandemly located gene families. Excluding such LTR-like false positives is challenging. 166 Moreover, consider that some TEs prefer to insert into other TE sequences, TE clusters are frequently 167 found (SanMiguel, et al. 1998; Bergman, et al. 2006). The dense distribution of TEs creates a 168 significant amount of false LTRs in *de novo* predictions. With close inspection, we found that in most 169 cases, the intra-element sequence similarity of such false positives extended beyond the predicted 170 boundary of the direct repeat (Fig 1E). In contrast, for a true LTR-RT, the sequence alignment

171 terminates at the boundary of the LTR region. This represents an important structural feature that 172 could distinguish LTR-RTs and its false positives. Another distinctive feature between true LTR and 173 such false positives is the existence of TSDs. In an LTR-RT, TSDs flanking the element are identical 174 (Fig 1A). However, in an LTR false positive, sequences at each end have different origins (Fig 1E). 175 For 4-6 bp random sequences, the possibility of one being identical to the other is 0.02-0.39%, which 176 is very unlikely. To utilize the structural difference between LTR-RT and false positives, Module 3 177 was developed (Fig 2, Supplementary Methods) to exclude elements with extended alignment 178 beyond LTR regions and those without a TSD immediately flanking the termini of LTRs. Benefiting 179 from the accurate boundaries of candidate elements corrected by **Module 2**, this module could 180 effectively identify most of the false positives which could account for nearly half (42.6%) of total 181 LTR candidates. 182 **Module 3** also allows fine-grained adjustment of the internal and external element boundaries by 183 jointly searching TSDs and motifs. As LTR-RTs are predominantly represented by 5 bp TSD and the 184 5'-TG..CA-3' motif, searching for such sequence structure at the termini of direct repeats is prioritized. 185 If the canonical motif is absent, the seven non-canonical motifs (**Supplementary Table S2**) is 186 searched instead. This function allows LTR_retriever flexibly while accurately characterizing the 187 terminal structure of an LTR candidate. In rice, up to 99% of recognized LTR-RTs carry the canonical 188 5'-TG..CA-3' motif immediately flanked by 5 bp TSDs, while less than 0.1% of LTR-RTs have non-189 canonical motifs with 5 bp TSDs. In other cases, LTR candidates were found carrying the canonical 190 motif with TSDs less than 5bp, which could be due to inter-element recombination or mutation. For 191 example, in the maize genome, LTR-RT with TSD length of 3 bp and 4 bp have 108 and 483 192 occurrences out of 43,226 intact LTR-RTs, respectively. 193 Similar to retroviruses, direct repeats of a newly inserted LTR-RT are identical to each other. 194 Based on the neutral theory (vonHoldt, et al. 2012), Module 4 was developed for the estimation of 195 insertion time of each intact LTR-RT (Fig 2, Supplementary Methods). We applied the Jukes-196 Cantor model for estimation of divergence time in noncoding sequences (Jukes and Cantor 1969). In 197 the rice genome, more than 99% of intact LTR-RTs are inserted within 4 million years (MY) given the rice mutation rate of 1.3×10^{-8} mutations per site per year (Ma and Bennetzen 2004) 198 199 (Supplementary Fig S2).

200 In the internal region of an LTR element, coding sequences like *gag*, *pol*, and *env* are usually 201 found (Fig 1A) (Ellinghaus, et al. 2008), which could also help to discriminate LTR-RTs and non-202 LTRs efficiently. In **Module 5**, we applied the profile hidden Markov model (pHMM) to identify 203 conserved protein domains that occur in LTR-RT candidate sequences (Fig 2, Supplementary 204 Methods). A total of 102 TE-related pHMMs were identified using the rice TE library, with 55 non-205 LTR profiles and 47 LTR-RT profiles which include 30 Gypsy profiles, 9 Copia profiles and 8 206 profiles with ambiguous LTR-RT family classifications (unknown). In rice, 82.6% of intact LTR-RTs 207 could be classified as either *Copia* or *Gypsy* using **Module 5**. Furthermore, the direction of LTR-RT 208 could be phased using the profile match information. Eventually, 60.5% of LTR-RTs in rice could be 209 phased to either on the positive strand or negative strand. A BLAST-based search for non-LTR 210 transposase and plant coding proteins in LTR-RT candidates are also implemented in **Module 5** for 211 the further exclusion of non-LTR contaminations. About 1-4% of the candidate sequences were 212 recognized as non-LTR originated and could be further eliminated. 213 After screening and adjustment of LTR candidates using **Module 1** to **Module 5**, the retained 214 candidates are structurally intact LTR-RTs. However, since the screening criteria are very stringent, 215 some true LTR-RTs could be excluded. Through manual inspection, we found that some LTR-RT 216 candidates passed all the screening criteria but only have minor deletions at either the 5' or 3' termini, 217 resulting in the failure in the identification of terminal structures. Such candidates are categorized as 218 truncated LTR-RTs whose intact LTR region and the internal region will be retained if there is no 219 highly similar copy in the intact LTR element pool. Module 6 was designed to retain sequence 220 information from truncated LTR-RTs which contributes about 10% of sensitivity increment of 221 LTR_retriever (Fig 2, Supplementary Methods). 222 New LTR-RT tends to insert into other LTR-RTs, creating nested insertions. To exclude nested 223 insertions from the LTR exemplars, we developed a function in **Module 6**, which utilizes all newly

224 identified LTR regions to search for homologous sequences in identified internal regions. This search

- could recognize and removes LTR-RTs that are nested in intact LTR-RTs. Using this method, about 8%
- of LTR-RT internal regions in rice and 67.7% in maize are identified as nested with other LTR
- 227 elements. By removing such nested insertions, the library size can be reduced significantly without

228 sacrifice of sensitivity. More importantly, it avoids the misannotation of LTR sequences as internal

229 regions.

230 Construction of non-redundant LTR library

231 Construction of the repeat library with non-redundant, high-quality TE sequences is critical for 232 RepeatMasker-based TE and gene annotations, with the size of the repeat library being one of the 233 limiting factors for speed. The required time for whole genome TE annotations using RepeatMasker is 234 highly correlated to the size of TE libraries. Since the identified LTR-RTs are redundant, it would 235 significantly speed up whole genome LTR-RT annotation if the redundancy is eliminated. To reduce 236 redundancy of identified LTR-RTs, **Module 8** was developed using the clustering function of BLAST 237 or CD-HIT. Due to the reduced redundancy and exclusion of nested insertions (Module 6), the LTR-238 RT sequence size was reduced to 10-30% of its original size. Accordingly, whole genome LTR-RT 239 annotation could be accelerated ~4-fold with similar sensitivity comparing to a non-redundant LTR 240 library.

241 Comparison of performances to other LTR identification tools

242 To compare the performance between LTR retriever and other existing methods, we employed 243 the rice genome as a reference. The rice genome is one of the best sequenced and assembled genomes 244 (International Rice Genome Sequencing Project 2005). To set a standard for our comparison study, 245 we manually curated representative LTR elements obtained from the rice genome (cv. Nipponbare) 246 and generated a compact repeat library which contains 897 sequences with the size of 2.34 Mb. The 247 897 sequences represent 508 non-redundant LTR elements (Supplementary Methods and 248 **Supplementary Sequence Files**). Using this library, LTR-RT contributes 23.5% of the assembled 249 genome (374 Mb). This number is slightly higher than the two highest estimates from previous studies 250 (20.6%, 22%) (Ma, et al. 2004; Chaparro, et al. 2007), suggesting the current identification of LTR 251 retrotransposon in Nipponbare is close to saturation and the library is reasonably comprehensive. As a 252 result, this library is used as a reference library for subsequent analysis. The accurate annotation of 253 LTRs in the rice genome allows us to summarize the true positive (TP), true negative (TN), false 254 positive (FP), and false negative (FN) of a *de novo* LTR prediction and annotation, hence allowing the 255 evaluation of different methods.

256 The sensitivity of all existing LTR discovery tools was reported very high (Xu and Wang 2007; 257 Ellinghaus, et al. 2008; You, et al. 2015), however, systematic evaluation of specificity using the 258 whole genome sequence length is not available. Specificity describes the proportion of true negative, 259 i.e., non-LTR sequences, being correctly ruled out, which is as important as sensitivity for evaluation 260 of a diagnostic test (Zhu, et al. 2010). To better describe the performance of these methods, precision 261 and accuracy are also calculated (Fawcett 2006). Precision, or positive predictive value, is the 262 proportion of true positives, i.e., LTR sequences, among all positive results revealed by the test. The 263 precision is an indication of false discovery rate (FDR), with the equation FDR=1-precision. Accuracy 264 is the proportion of true predictions, which controls systemic errors and random errors (Materials 265 and Methods). 266 For comparison, we chose four of the most widely used LTR searching methods, LTR_STRUC 267 (McCarthy and McDonald 2003), MGEScan-LTR (Rho, et al. 2007), LTR finder (Xu and Wang 268 2007), and LTRharvest (Ellinghaus, et al. 2008), for performance benchmarks. As LTRharvest is the 269 most flexible program with more than 20 modifiable parameters, we optimized the parameters based 270 on our experience for more accurate predictions (Fig 3). The optimized parameters were also applied 271 to the parameter settings of LTR_finder and MGEScan-LTR. LTR_retriever can utilize multiple input 272 sources including the results from LTR_finder, LTRharvest, and MGEScan-LTR. We used separate 273 and combined inputs in LTR retriever for comparisons. 274 As expected, sensitivities of the most published methods are very high, ranging from 91.2% to 275 95.3% (Fig 3, Supplementary Table S1). However, specificities of these methods are not desirable, 276 ranging from 72.3% to 87.7% (Fig 3, Supplementary Table S1) with the exception of LTR-finder 277 (91.0%). Specificity of 72.3% indicates that 27.7% of non-LTR genomic sequences were falsely 278 recognized as LTR-RT sequences. The optimized parameters in LTR harvest led to an improvement of 279 the specificity from 79.2% to 87.7% (Supplementary Table S1). The optimized LTR finder had the 280 best balance, with sensitivity and specificity both reached to the level of 90%, however, its precision 281 is only 75.8% (Fig 3, Supplementary Table S1). As a reminder, FDR=1-precision. Although 282 LTR finder has the highest precision among the published methods, the precision of 75.8% indicates 283 that 24.2% of "LTR-RT related sequences" identified in the genome were falsely reported as LTR-RT. 284 The accuracy of existing methods ranges from 77.5-91.3%, showing variations in true prediction rate.

285 We tested LTR_retriever using the optimized LTRharvest results as input. As a stringent filter, 286 LTR_retriever achieved specificity and accuracy of 96.8% and 95.5%, respectively, greatly 287 outperforming existing methods (Fig 3, Supplementary Table S1). The precision also increased from 288 the original 69.9% to 89.9%, indicating the FDR dropped to 1/3 and is among the lowest of all 289 methods (Fig 3, Supplementary Table S1). Strikingly, the sensitivity of LTR retriever remained as 290 high as 91.1% compared to the original 93.0%, meaning that we only sacrificed less than 2% of 291 sensitivity to achieve the observed performance improvements (Fig 3, Supplementary Table S1). 292 Other input sources such as those from LTR_finder and MGEScan-LTR were also tested and showed 293 excellent performance (**Supplementary Table S1**). Upon combination of two or more input sources, 294 the sensitivity is increased to 94.5%, which is equivalent to the highest level that was achieved by the 295 existing methods, providing a workaround to achieve comprehensive and high-quality predictions 296 (Supplementary Table S1). By excluding the majority of false positives, the final library size was 297 substantially reduced, from the largest 44.4 MB by MGEScan-LTR to the final 4.4 MB by the 298 LTR retriever (Supplementary Table S1). The reduced library size significantly reduced the 299 annotation time using RepeatMasker. 300 Benchmarking on other genomes 301 LTR_retriever was developed based on the rice genome, which has demonstrated the highest

specificity, accuracy, and precision among its counterparts with the same level of sensitivity. To test whether the excellent performance of LTR_retriever can be reproduced with other genomes, we chose four other genomes with variable amounts of LTR elements including two maize genomes (cv. B73 and cv. Mo17) (Xin, et al. 2013; Jiao, et al. 2017), Arabidopsis (Arabidopsis Genome Initiative 2000), and sacred lotus (Ming, et al. 2013). All these genomic sequences are associated with reasonable repeat libraries so that performance of LTR_retriever could be evaluated by comparisons between the respective standard annotations and LTR_retriever generated libraries.

309 For all the genomes we tested, LTR_retriever demonstrated very sensitive and accurate

310 performance in retrieving LTRs. Most metrics reached the levels of 90% (Table 1). For Arabidopsis,

- 311 we obtained a very high specificity and accuracy, which were 98.9% and 98.4%, respectively,
- 312 indicating the nearly perfect prediction by LTR_retriever. For the ancient eudicot sacred lotus, the
- four metrics ranged from 81.2% to 91.3%. The maize genome is known to be highly repetitive, and

- we used both the reference B73 (v4) and the Mo17 genomes to evaluate the performance of
- 315 LTR_retriever. With LTR-RTs comprising ~75% of the 2.1 GB genome, LTR_retriever could identify
- 316 91.1% and 95.7% LTR-RTs with specificities of 90.6% and 95.7%, respectively. Due to the high
- 317 LTR-RT content and the nearly perfect performance of LTR_retriever, the precisions reached 96.6%
- 318 (FDR=3.4%) and 98.7% (FDR=1.3%), respectively. It is known that structure of the maize genome is
- 319 very complex due to intensive nested TE insertions (SanMiguel, et al. 1996), LTR_retriever is able to
- 320 overcome complex structures and recover most LTR-RTs from the genome.
- **Table 1.** Performance of LTR_retriever on model plant genomes.

	Rice					
Genomes	Nipponbare	Sacred Lotus	Maize B73 v4	Maize Mo17	Arabidopsis*	
Lib size (MB)	5.92	2.75	35.97	2.57	1.21	
Std-lib masking	23.53%	28.70%	75.40%	77.44%	6.98%	
Fraction masked	25.30%	29.61%	70.08%	75.05%	7.43%	
Run time (-t 20)	42 min	2.08 h	94.88 h	24.8 h	10 min	
Sensitivity	91.70%	89.35%	91.10%	95.65%	91.17%	
Specificity	96.86%	91.26%	90.58%	95.66%	98.92%	
Accuracy	95.65%	90.70%	90.97%	95.65%	98.38%	
Precision	89.99%	81.18%	96.61%	98.69%	86.33%	

322 *Redundancy of the Arabidopsis library is not reduced since it is already very compact.

323 Direct LTR library construction from PacBio reads

324 The recent development of long-read sequencing technologies has provided a solution for

- resolving highly repetitive regions in *de novo* genome sequencing projects (VanBuren, et al. 2015).
- 326 The PacBio single molecule, real-time (SMRT) sequencing technology produces long reads with an

average length of 10-15kb. Empirically, more than 95% of LTR-RTs range from 1-15kb

328 (Supplementary Fig S1). Thus, theoretically, the long-read sequencing technology may allow us to

- 329 identify intact LTR elements directly from the reads.
- 330 It is known that the current PacBio RS II platform has an average sequencing error rate of 15%.
- 331 In our experience, most LTR-RT insertions are structurally detectable if inserted 4 million years ago
- 332 or younger (Supplementary Fig S2) which is equivalent to 89.6% of identity between two LTR

regions. When mutations/sequencing errors accumulated, the fine structure such as TSD and terminal
motifs could be mutated and element would be beyond the detection limit. Thus the sequencing error
rate of 15% could have artificially aged the actual LTR element to become undetectable. We tested
the LTR_retriever using raw PacBio reads and no confident intact LTR element was reported.
However, LTR_retriever performed excellently using self-corrected PacBio reads with an error rate of
2%.

339 To test the efficiency of LTR_retriever, we used 20 thousand (k) self-corrected PacBio reads 340 from Arabidopsis Ler-0 as an initial input (Materials and Methods), and with 20 k reads as an 341 increment until 180 k. The Arabidopsis repeat library from Repbase was used to calculate sensitivity, 342 specificity, accuracy, and precision. The LTR library constructed from the Arabidopsis Ler-0 genome 343 was used as the control to compare to the quality of LTR libraries constructed from PacBio reads. As 344 more reads were used, the prediction of intact LTR-RTs increased linearly (Fig 4A). However, the size of LTR libraries constructed from these candidates are not increased at the same rate (Fig 4A), 345 346 and the sensitivity exceeds the library developed from the genome sequence after 40 k reads input and 347 is saturated at 93% after 120 k reads being used (Fig 4B). Since the average length of these reads is 348 14.6kb, and the Arabidopsis "Ler-0" genome was assembled as ~131 MB, the sample of 40 k and 200 349 k reads is equivalent to 4.5- and 13.4-fold genome coverage, respectively. Moreover, despite the 350 number of reads being used, the average specificity, accuracy, and precision were 99.5%, 98.8%, and 351 94.0%, respectively, indicating very high-quality LTR libraries could be constructed from PacBio 352 reads. Furthermore, masking potentials (percentage of the genome that could be masked) of PacBio 353 LTR libraries surpass the standard library level after using 40 k or more reads (Supplementary Fig 354 **S3**), indicating that it is sufficient to construct a comprehensive library using as little as 4.5X PacBio 355 self-corrected reads. To summarize, LTR_retriever shows high sensitivity, specificity, accuracy, and 356 precision to construct LTR libraries directly from self-corrected PacBio reads prior to genome 357 assembly.

358 Identification of LTR-RTs with non-canonical motifs

LTR-RT features dinucleotide motifs flanking the direct repeat regions (**Fig 1**). The most

360 common motif is the palindromic 5'-TG..CA-3' motif. However, during manual curation of LTR-RTs,

361 we discovered many LTRs with non-TGCA motifs (Ferguson and Jiang, unpublished). These non-

362 canonical motifs can be non-palindromic, for example, Tos17, a rice LTR-RT that can be activated by 363 tissue culture, has non-canonical motifs of 5'-TG...GA-3' (Hirochika, et al. 1996); AtRE1 in 364 Arabidopsis has 5'-TA...TA-3' motifs (Kuwahara, et al. 2000); and TARE1, intensively amplified in 365 the tomato genome, has 5'-TA...CA-3' motifs (Yin, et al. 2013). In addition, three copies of *Gypsy*-366 like elements with 5'-TG..CT-3' motifs were annotated in the soybean genome (Du, et al. 2010). 367 To recover LTR elements with certain terminal motif, LTR harvest enables the "-motif" 368 parameter allowing users to specify the motif to be discovered, which requires prior motif knowledge. 369 When users apply the default setting (no motif specified), the number of LTR-RT candidates can be 2-370 4 times more than the result with "-motif TGCA" specified. The significant increase of predicted 371 candidates does not necessarily indicate a large number of non-TGCA LTR recovered. With 372 annotations and further curations, we found 99% of the additional candidates are false positives in the 373 rice genome. 374 To identify non-TGCA LTR-RT with high confidence, we developed Module 7 as an optional 375 add-on to LTR retriever (Supplementary Methods). The sacred lotus genome carries many non-376 canonical LTR elements. We tested the performance of LTR retriever in identifying such elements 377 using the manually curated non-canonical LTR-RTs from this genome (Supplementary methods). 378 Our results showed that LTR_retriever could identify high-quality non-canonical LTR-RTs, with a 379 sensitivity of 74.7% and a precision of 81.6% (FDR=18.4%). And the specificity and accuracy were 380 98.5% and 96.5%, respectively, indicating that the identified non-canonical LTR-RTs are highly 381 accurate. 382 Non-canonical LTR-RTs are widespread in plants and preferentially insert in genic regions 383 To characterize non-TGCA LTR-RTs, we searched through 50 publically available plant 384 genomes. A total of 870 high-confidence non-TGCA LTR-RTs were found from 42 of these genomes 385 (Materials and methods). Further categorization of non-TGCA LTR-RTs identified seven types of 386 high-confident non-canonical motifs including three (TACT, TGTA, and TCCA) that were not 387 previously reported (Supplementary Table S2). Further classification of ORFs within these elements 388 based on pHMM search indicated that 89% of classified non-TGCA LTR elements were the Copia

type, while only 11% were the *Gypsy* type (**Supplementary Table S2**). We also identified 83,368

canonical LTR-RTs in these genomes, with a *Gypsy* - *Copia* ratio of 2.9:1 (**Table 2**).

391

	Non-TGCA LTR-RT				TGCA LTR-RT					
			LTR	IN	Total			LTR	IN	Total
	Count	Percentage	(bp)	(bp)	(bp)	Count	Percentage	(bp)	(bp)	(bp)
Copia	255	29.2%	272	4435	4979	14854	17.8%	911	5765	7588
Gypsy	34	3.9%	1115	5044	7273	42667	51.2%	1288	7352	9928
unknown	583	66.9%	233	4684	5151	25847	31.0%	1184	4656	7025
All LTR	872	100%	279	4625	5184	83368	100%	1189	6234	8611

Table 2. Average element size of different types of LTR-RTs in 50 sequenced plant genomes.

393

For canonical LTR-RTs, the length of the LTR region in *Gypsy* elements is about 40% longer than *Copia* elements (**Table 2**). However, in the case of non-canonical LTR-RTs, this size difference is intensified to 400%. This is due to the significant reduction of LTR length of non-canonical *Copia* elements, from an average size of 911 bp to 272 bp (**Table 2**). The size of internal region and whole element of non-canonical *Copia* are also much shorter than those of *Copia* elements carrying the TGCA motif (**Table 2**). These results suggest that shorter LTRs may have facilitated the amplification and survival of non-TGCA LTR-RTs.

401 Comparing to canonical Copia elements, less new insertions (5% less for elements younger than 402 0.2 MY) and more old elements (7% more of 1.2 MY - 1.8 MY elements) (Fig 5A) were observed for 403 non-canonical Copia elements based on sequence similarity between LTR sequences. Meanwhile, we 404 found that elements with canonical motifs were more likely to form solo LTRs. Comparing to 54% of 405 the non-canonical Copia elements have solo-complete LTR ratios less than three, only 32% of 406 canonical Copia elements are in this category, indicating the inefficient removal of non-canonical 407 LTR-RT insertions (Fig 5B). To characterize the insertion preference, we extracted 200 bp flanking 408 sequences of each element, and BLAST against the genome for determination of copy numbers. The 409 majority (70%) of the flanking sequences of non-canonical *Copia* elements have copy numbers less 410 than five, while that of canonical *Copia* elements is 46% (Fig 5C). Strikingly, 40% of non-TGCA 411 Copia elements are located within 1KB distance to protein-coding genes, which is 16% more frequent 412 than canonical Copia elements (Fig 5D). Taking together, our results show that non-canonical Copia 413 elements prefer non-repetitive genomic regions and are often inserted within or close to genes.

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414 **DISCUSSION**

415 Technological advances have minimized the cost of sequencing a genome. The real bottleneck to 416 establishing genomic resources of an organism is the annotation of its genomic sequence. As 417 mentioned above, TEs, particularly LTR retrotransposons, are the largest component of most plant 418 genomes. If TEs are left unmasked prior to gene annotation, they would seed numerous of spurious 419 sequence alignments, producing false evidence for gene identification. Even worse, the open reading 420 frames of TEs look like *bonafide* genes to most gene-prediction software, corrupting the final 421 annotations. As a result, the first step of genome annotation is to identify TEs and other repeats. 422 Subsequently, these repeats are masked to facilitate gene annotation. As a result, the quality of repeat 423 library is not only important for the study of repeats, but also critical for high-quality gene prediction. 424 In this study, we reported the development of LTR_retriever, a multithreading empowered Perl 425 program that can process LTR-RT candidates from LTR_finder, LTRharvest, and MGEScan-LTR and 426 generate high-quality and compact LTR libraries for genome annotations or study of transposable 427 elements. We curated LTR elements identified from the rice genome and used the curated LTR library 428 as the standard to test the performance of LTR retriever in terms of sensitivity, specificity, accuracy, 429 and precision. Benchmark tests on existing programs indicated very high sensitivities achieved, 430 however, specificities and accuracies were not satisfactory, and the FDR could be as high as 49%, 431 suggesting the necessity for improvement (Supplementary Table S1). 432 Since annotation of TE sequences usually precedes the annotation of functional genes for a newly 433 sequenced genome, propagation of false positives in the construction of LTR library will significantly 434 increase the probability of misidentification of LTR sequences in the genome and further dampen the 435 power of downstream annotations. For example, it is known that most DNA transposons target genic 436 regions and avoid repetitive sequences (Feschotte and Pritham 2007; Han, et al. 2013). As a result, it 437 is not uncommon that the sequence between two adjacent DNA transposons represents gene coding 438 regions or regulatory sequences. If the two DNA transposons are mistakenly annotated as the LTR of 439 an individual LTR-RT, the intervening genes would be considered as the internal region of an LTR-440 RT and would be masked before gene annotation. In this scenario, the false positives could be 441 extremely detrimental for downstream analyses. LTR_retriever effectively eliminates such false 442 positives. By processing LTR-RT candidates using LTR_retriever, the specificity and accuracy

reached to 96.9% and 95.7%, respectively, and the FDR is reduced to 10% which is among the lowest of all existing methods (**Fig 3, Supplementary Table S1**). Strikingly, the sensitivity of LTR_retriever remained as high as 91.7%, meaning that we only sacrificed less than 2% of sensitivity to achieve all these performance improvements (**Fig 3, Supplementary Table S1**). Further benchmark tests on two maize genomes, the sacred lotus genome, and the Arabidopsis genome also showed excellent performance (**Table 1**), suggesting that LTR_retriever is compatible with both monocot and dicot genomes.

450 The majority of LTR-RTs we identified carried a palindromic dinucleotide motif flanking each

451 direct repeat. The motif is well conserved and is usually 5'-TG..CA-3'. However, the importance of

452 such conservation is poorly understood. Retrovirus, e.g., HIV-1, is thought to be the close relative of

453 LTR elements with the addition of an envelope protein (Zhou, et al. 2001; Hobaika, et al. 2009).

454 Studies of retrovirus integration indicated that the terminal sequences of retroviral LTR regions,

455 especially the 3' CA ends, are essential and important for integration of the virus (Zhou, et al. 2001;

456 Hobaika, et al. 2009). As a result, there might be a convergent evolution between the termini of the

elements and transposition machinery. That may explain why most LTR elements have the conserved

458 TG..CA motif.

459 Despite the conservation, non-TGCA motifs were also found but in a much lower frequency.

460 LTR_retriever also demonstrated high performance in identifying such non-canonical LTR-RTs. A

461 broad scan on 50 published plant genomes retrieved seven non-TGCA type LTR-RTs with the

462 majority belonging to the *Copia* family (Supplementary Table S2). For some, the abundance is not

463 ignorable. It appears that, among the four terminal nucleotides (TGCA), only the first nucleotide (T)

464 is invariable. Our systemic survey for the presence of non-canonical termini provides guidance for

465 future annotation of LTR elements.

Previous studies indicate that *Gypsy* and *Copia* elements are differentially located in plant genomes. The distribution of *Copia* elements is biased toward euchromatic chromosomal arms that are relatively close to genes, whereas *Gypsy* elements are more likely located in the gene poor,

heterochromatic or pericentromeric regions (Baucom, et al. 2009; Bousios, et al. 2012). Here we

470 demonstrate, the non-canonical Copia elements are even closer to genes than canonical Copia

471 elements and preferentially insert into non-repetitive sequences (Fig. 4). Apparently, there is a

472 negative correlation between distance to genes and elements size, particularly the size of LTRs. As a
473 result, the limited amplification and smaller size are likely the consequences of the target specificity
474 of non-canonical LTR elements.

475 In Arabidopsis, TEs are separated into two classes based on their location (Sigman and Slotkin 476 2016). One class is present in large constitutive heterochromatic regions and their CHH methylation is 477 maintained by chromomethylase 2 (CMT2), and the other class is located near genes where CHH 478 methylation is constantly targeted by RNA-directed DNA methylation (RdDM). TEs in genic regions 479 are subject to more stringent epigenetic control and demonstrate a higher level of CHH methylation 480 compared to TEs in the non-genic region (Gent, et al. 2013; Li, et al. 2015). Moreover, TE insertions 481 in genic regions are less likely to spread in the population since some of them are deleterious. In 482 addition, genic space in a genome is limited comparing to the non-genic sequence space. The 483 combined effect of epigenetic control, negative selection, and limited target sites is attributed to the 484 low abundance of non-canonical LTR elements. Furthermore, selection against insertion of large size 485 TEs would result in the relatively small size of both LTR and internal region of these elements. To 486 this notion, the *Tos17* element in rice (with a "TG..GA" terminal motif) is an excellent example. The 487 length of the Tos17 element is only 4.3 kb with an LTR of 138 bp, which is very small compared to 488 other autonomous LTR elements (**Table 2**). It preferentially inserts into genic regions and may 489 amplify rapidly during tissue culture (Miyao, et al. 2003). Nevertheless, there are only a few copies of 490 Tos17 in the natural population of rice (Hirochika, et al. 1996), suggesting the selective pressure 491 against insertion of this element (Hirochika, et al. 1996; Miyao, et al. 2003). Because of its insertion 492 preference, *Tos17* has been applied as a tool for mutagenesis (Miyao, et al. 2003). In our study, we 493 identified 870 high-confidence non-canonical LTRs in 42 out of 50 plant genomes, which is likely an 494 underestimate due to high stringency. These elements also prefer genic insertions, which could 495 contain other *Tos17-like* active elements in these species. In conclusion, annotation of non-canonical 496 LTR elements is important not only due to their prevalent distribution, but also the potential 497 application in functional studies in plants.

The recent development of single molecule sequencing technology enables the assembly of low
complexity and repetitive regions. Many genome sequencing projects have benefited from the PacBio
SMRT sequencing technique which features with 10-15kb average read length (Ming, et al. 2015;

501 VanBuren, et al. 2015). Given the length of most LTR elements is less than 15kb (Supplementary 502 Fig S1), it is possible to identify full-length LTRs from PacBio long reads. We applied LTR retriever 503 on self-corrected PacBio reads which proved a successful strategy to identify LTR-RTs. For the 504 Arabidopsis "Ler-0" genome, 40 thousand self-corrected reads covering approximately 4.5X of the 505 genome were more than sufficient to generate an LTR library with higher quality compared to that 506 generated from the assembled genome (Fig 4). Although self-corrected reads still have $\sim 2\%$ 507 sequencing error rate, the generated LTR library was proven highly sensitive and accurate (Fig 4). 508 The pre-identified full-length LTRs may help to estimate LTR percentages of the new genome, study 509 the evolution of LTR-RTs without performing the computationally intensive whole genome assembly, 510 and facilitate downstream de novo gene annotation. Since LTR-RTs contribute greatly to the size of 511 plant genomes, identification and masking of repetitive sequences in advance could speed up the 512 genome assembly by as much as 50-fold (Gregory Concepcion, Pacific Bioscience, personal 513 communication). 514 In summary, we developed a package which takes genome sequences or corrected PacBio reads 515 as input and generates high-quality, non-redundant libraries for LTR elements. It also provides

516 information about the insertion time and location of intact LTR elements in the genome. This tool

517 demonstrates significant improvements in specificity, accuracy, and precision while maintaining the

518 high sensitivity compared to existing methods. As a result, it will facilitate future genome assembly

and annotation as well as enable rapid comparative studies of LTR-RT dynamics in multiple genomes.

520 MATERIALS AND METHODS

521 Implementation of LTR_retriever

522 LTR_retriever is a command line program developed based on Perl. The package supports multi-523 threading, which was achieved using the Semaphore module in Perl, and multithreading requests are 524 passed to dependent packages. LTR retriever takes genomic sequences in the FASTA format as input. 525 The program can handle fragmentized and gapped regions, which is a benefit when annotating draft 526 genomes. LTR_retriever has been optimized for plant genomes; however, its parameters can be 527 adjusted for the genomes of other organisms. The output of the program contains a set of high-quality, 528 comprehensive but non-redundant LTR exemplars (library), which can be used to identify or mask 529 LTR sequences using RepeatMasker. Additionally, a summary table that includes LTR-RT

530 coordinates, length, TSDs, motifs, insertion time, and LTR families is produced. The program also

531 provides gff3 format output, which is convenient for downstream analysis.

532 Genomes and sequences

533 The initial BAC sequences of "Nipponbare" were downloaded from the Rice Genome Research

534 Program (<u>http://rgp.dna.affrc.go.jp</u>) for our early efforts to construct the rice TE library. The rice

reference genome "Nipponbare" release 7 was downloaded from the MSU Rice Genome Annotation

536 Project (http://rice.plantbiology.msu.edu) (Kawahara, et al. 2013). The sacred lotus genome was

- 537 downloaded from the National Center for Biotechnology Information (NCBI) under the project ID
- 538 "AQOG01". The Arabidopsis reference genome "Columbia" version 10 was downloaded from The

539 Arabidopsis Information Resource (TAIR) (<u>www.arabidopsis.org</u>) (Berardini, et al. 2015). The maize

540 genome "B73" version AGPv4 was downloaded from Ensembl Plants release 34. An additional of 46

- plant genomes were downloaded from Phytozome v11 (Goodstein, et al. 2012) (Supplementary
- 542 Methods).

543 The Arabidopsis "Ler-0" genome was sequenced and assembled by Pacific Biosciences using the

544 PacBio RS II platform and the P5-C3 chemistry. The assembly is about 131 MB with a contig N50

545 6.36 MB (https://github.com/PacificBiosciences/DevNet). A total of 184,318 self-corrected reads

546 were also downloaded, which is about 2.69 GB with an average read length of 14.6kb and sequence

error rate < 2%, covering 20.58 X coverage of the genome.

548 Standard LTR libraries

549 In this study, LTR libraries from four genomes (rice, maize, Arabidopsis, and sacred lotus) were

used to evaluate the performance of LTR_retriever as well as existing tools. The TE database of maize

551 was downloaded from the Maize TE database (<u>http://maizetedb.org</u>). The Arabidopsis repeat library

athrep.ref was downloaded from Repbase (Jurka 2000). The LTR libraries for rice and sacred lotus

553 were manually curated in the Jiang Lab (**Supplementary Methods, Supplementary sequence files**).

554 Benchmark programs and parameters

555 LTR_STRUC (McCarthy and McDonald 2003) was obtained from Mr. Vinay Mittal

- 556 (vinaykmittal@gatech.edu) via personal communications. No parameter settings were available for
- 557 LTR_STRUC. LTRharvest (Ellinghaus, et al. 2008) is part of the GenomeTools v1.5.4 (Gremme, et al.
- 558 2013). Parameters for running LTR harvest were empirically optimized with "-minlenltr 100 -

559	maxlenltr 7000	-mintsd 4	-maxtsd 6 -mot	f TGCA -ma	tifmis () -	similar 9	0 -vic i	10 -seed 20"	Ontimized
555	тилисти 7000	-minisu +	-тальа о -топ	1001 - mc	$n_{ij}n_{is} \circ -$		J = V i C I	0 -seeu 20 .	Optimized

- 560 parameters were also applied to MGEScan-LTR (Rho, et al. 2007) and LTR_finder (Xu and Wang
- 561 2007). The modified version of MGEScan-LTR was obtained from the DAWG-PAWS package (Estill
- and Bennetzen 2009) and was run with parameter settings "-min-mem=20 -mim-dist=1000 -max-
- 563 dist=15000 -min-ltr=50 -max-ltr=7000 -min-orf=200". LTR_finder v1.0.6 was run with parameter
- settings "-D 15000 -d 1000 -L 7000 -l 100 -p 20 -M 0.9". To tolerate sequencing errors on corrected
- 565 PacBio reads, parameters "-motif TGCA -motifmis 1" were used in related LTRharvest runs. To
- identify extra non-canonical LTR-RTs, no "-motif" parameter was specified for the maximum
- 567 sensitivity.
- 568 Based on the annotation using the standard LTR library, the whole genome was categorized into
- 569 four parts which are true positive (TP, LTR was identified), false negative (FN, LTR was not
- 570 identified), false positive (FP, non-LTR was identified as LTR), and true negative (TN, non-LTR was
- 571 not identified as LTR). Four metrics were used to evaluate the performance of LTR_retriever and its
- 572 counterparts, which are sensitivity, specificity, accuracy, and precision defined as follows.
- 573 Sensitivity = TP/(TP+FN)
- 574 **Specificity = TN/(FP+TN)**
- 575 Accuracy = (TP+TN)/(TP+TN+FP+FN)
- 576 **Precision** = TP/(TP+FP)
- 577 Sensitivity, specificity, accuracy, and precision of each test were calculated using genomic
- 578 sequence lengths by custom Perl scripts.
- 579

580 DATA ACCESS

- 581 LTR_retriever is an open source software available in the GitHub repository
- 582 (https://github.com/oushujun/LTR_retriever). Manually curated LTR libraries for rice and sacred lotus
- 583 are available as supplementary files.
- 584
- 585 FUNDING

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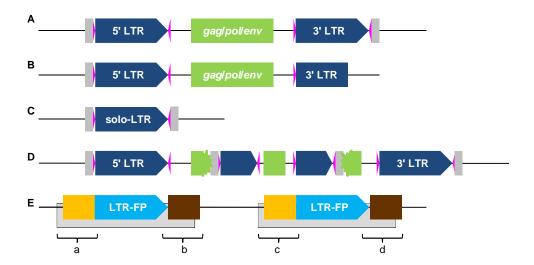


Fig 1. The structure of LTR retrotransposons (LTR-RT), their derivatives, and false positives.

(A) The structure of an intact LTR-RT with long terminal repeat (LTR) (navy pentagons), a pair of di-nucleotide palindromic motifs flanking each LTR (magenta triangles), the internal region including protein coding sequences for *gag*, *pol*, and *env* (green boxes), and 5 bp target site duplication (TSD) flanking the element (gray boxes). (B) A truncated LTR-RT with missing structural components. (C) A solo-LTR. (D) A nested LTR-RT with another LTR-RT inserted into its coding region. (E) A false LTR-RT detected due to two adjacent non-LTR repeats (gray boxes). The counterfeit also features with a direct repeat (blue pentagons) but usually has extended sequence similarity on one or both sides of the LTR (orange and brown boxes). Regions a-d are extracted and analyzed by LTR_retriever.

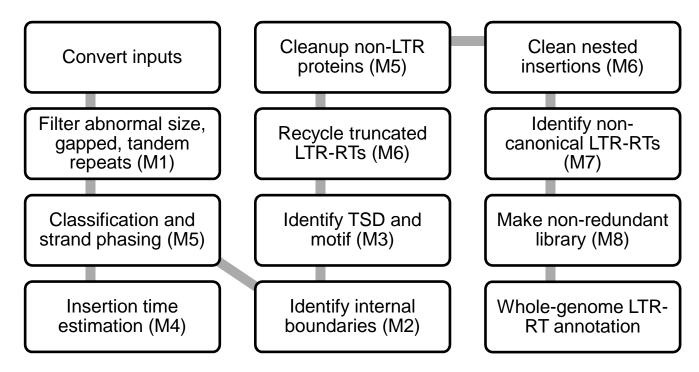


Fig 2. Workflow of LTR_retriever. Modules 1-8 are indicated in parentheses.

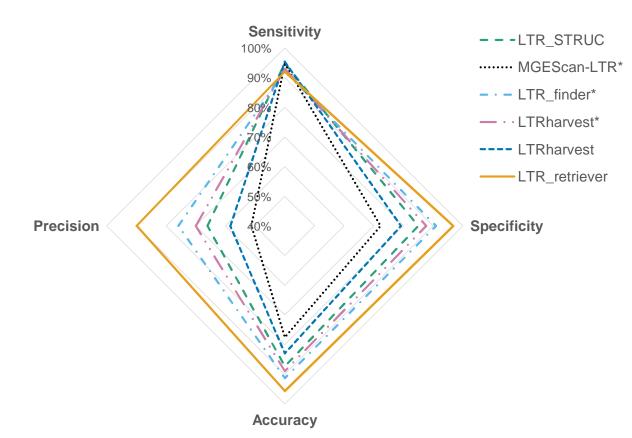


Fig 3. Comparison of the performance of LTR-RT recovery programs on the rice genome.

LTR libraries of the rice genome were constructed using LTR_STRUC, MGEScan-LTR, LTR_finder, LTRharvest, and LTR_retriever, respectively, and then were used to identify LTR sequences in the genome using RepeatMasker. Identified candidate sequences were compared to whole-genome LTR sequences recognized by the manually curated standard library. The genomic size (bp) of true positive, false positive, true negative, and false negative were used to calculate sensitivity, specificity, accuracy, and precision. *Indicates the analysis were using optimized parameters (**Materials and Methods**) while the remainder was in default parameters.

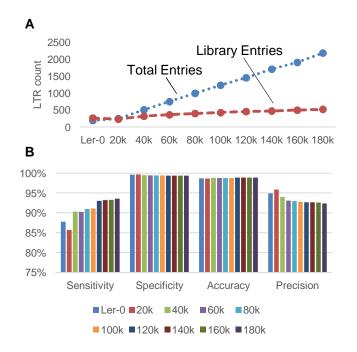


Fig 4. Direct library construction using self-corrected PacBio reads.

(A) Identification of intact LTR elements and construction of libraries using the Arabidopsis "Ler-0" genome and 20k - 180k self-corrected PacBio reads. (B) The performance of custom LTR libraries compared with that from the Arabidopsis reference (*Col-0*) genome.

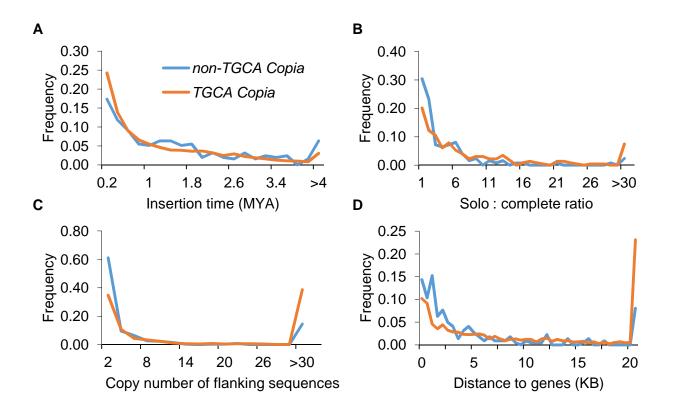


Fig 5. Characterization of non-canonical Copia elements in plants.

(A) Non-TGCA *Copia* is older than canonical *Copia*. (B) Non-TGCA *Copia* has lower ratio of solo LTR to complete LTR, indicating ineffective exclusion for this type of LTR elements. (C) Non-TGCA *Copia* elements are predominately associated with non-repetitive flanking sequences. (D) Non-TGCA *Copia* elements are located closer to genes than canonical *Copia* elements. Blue lines represent non-TGCA (non-canonical) *Copia* elements and orange lines represent TGCA (canonical) *Copia* elements. All analyses were based on 50 plant genomes.