### 1 High-quality rice RNA-seq-based co-expression network for

### 2 predicting gene function and regulation

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### 9 Abstract

10 Inferring the genome-scale gene co-expression network is important for understanding genetic architecture underlying the complex and various biological phenotypes. The 11 12 recent availability of large-scale RNA-seq sequencing data provides great potential for 13 co-expression network inference. In this study, for the first time, we presented a novel 14 heterogeneous ensemble pipeline integrating three frequently used inference methods, to build a high-quality RNA-seq-based Gene Co-expression Network (GCN) in rice, 15 16 an important monocot species. The quality of the network obtained by our proposed 17 method was first evaluated and verified with the curated positive and negative gene 18 functional link datasets, which obviously outperformed each single method. Secondly, 19 the powerful capability of this network for associating unknown genes with biological functions and agronomic traits was showed by enrichment analysis and case studies. 20 21 Particularly, we demonstrated the potential applications of our proposed method to 22 predict the biological roles of long non-coding RNA (lncRNA) and circular RNA 23 (circRNA) genes. Our results provided a valuable data source for selecting candidate 24 genes to further experimental validation during rice genetics research and breeding. 25 To enhance identification of novel genes regulating important biological processes 26 and agronomic traits in rice and other crop species, we released the source code of 27 constructing high-quality RNA-seq-based GCN and rice RNA-seq-based GCN, which 28 can be freely downloaded online at https://github.com/czllab/NetMiner.

## Key words: Ensemble pipeline, RNA-seq-based GCN, Agronomic traits, LncRNA gene, CircRNA gene

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### 32 Introduction

33 The complex cellular network formed by the interacting macromolecules underlie an 34 organism's phenotypes (Kitano, 2002a, 2002b; Vidal et al., 2011). Reconstructing a 35 complete map of the cellular network is crucial for understanding an organism's 36 genetic architecture underlying phenotypes. In animals, multiple types of networks have been built based on multi-level '-omics' datasets from genome, transcriptome, 37 38 proteome, epigenome, metabolome and other subcellular systems (Mitra et al., 2013). 39 In plants, most of the current available '-omics' dataset comes from the transcriptome 40 analysis, with relatively few studies generating other types of '-omics' datasets (Ma et 41 al., 2013). The rapid accumulation of large-scale open access plant transcriptome data 42 provides the great potential for identifying the molecular networks underlying diverse 43 functions. Co-expression meta-analysis is a powerful method for reconstructing gene 44 co-expression network using transcriptome data. This method combines expression profiles from all available experimental conditions, aims to predict the statistically 45 46 significant functional associations between genes. The extensibility and easiness to 47 apply make it a powerful tool for inferring the biological roles of uncharacterized 48 genes (Bergmann et al., 2003; Gerstein et al., 2014; Ma et al., 2013; Mutwil et al., 49 2011; Stuart et al., 2003).

50 For co-expression meta-analysis, many algorithms have been proposed to construct 51 the gene networks. However, it has been shown that the outcome of network inference 52 varies between tools, and the single network inference approach has inherent biases 53 and is unable to perform optimally across all experimental datasets (De Smet and 54 Marchal, 2010; Marbach et al., 2012). In addition, how to clean-up the links occurring 55 by accident in a gene co-expression network and select biologically significant 56 associations is also a critical procedure for modeling the authentic gene relations 57 (Alipanahi and Frey, 2013; Usadel et al., 2009). Moreover, the current computational 58 methods are mainly designed for analyzing microarray dataset. Indeed, microarrays 59 are intrinsically limited for measuring a relative small dynamic range of gene 60 expression and only representing a subset of genomic contents (Abdullah Sayani et al., 61 2006; Mutwil et al., 2011). Compared with microarrays, RNA sequencing (RNA-seq) 62 emerges as a new approach to transcriptome profiling, which provides broader 63 dynamic range of measurements allowing genome-wide detection of novel, rare and 64 low-abundance transcripts. However, the majority of co-expression meta-analyses 65 have been neglected the rapid growing availability of next-generation RNA-seq data 66 (especially in plants). Its potential capacity in co-expression network inference has not 67 been well studied.

68 In this study, we designed a novel ensemble pipeline for inferring high-quality Gene 69 Co-expression Network (GCN) using RNA-seq data by integrating the predictions of 70 three different network inference algorithms. Since the multiple types of networks in 71 the model plant, *Arabidopsis*, has been constructed and widely analyzed, we directly 72 applied this pipeline to the important crop species, rice, to enhance its efficiency of molecular breeding. We compiled a standard physical and non-physical set of positive 73 74 and negative functional link datasets between genes derived from 4 known biological 75 networks and evaluated the quality of our network. In the case study, bottom-up 76 subnetwork analysis revealed that the usefulness of reconstructed RNA-seq-based 77 gene co-expression network for realistic biological problems. Particularly, we showed 78 that the potential application of our method for predicting the biological roles of the 79 uncharacterized genome elements including long non-coding RNA (lncRNA) and 80 circular RNA (circRNA) genes. Our study revealed the massive genetic regulatory 81 relationships associating with cellular activities and agronomic traits, which provide a 82 valuable data source for selecting candidate genes to accelerate rice genetics research.

### 83 **Results**

#### 84 Network construction and evaluation

85 To evaluate the quality and reliability of publicly available RNA-seq dataset, we analyzed 348 RNA-seq transcriptomes of the important monocot crop species rice 86 after removing the unreliable genes and samples (for details, see Dataset 2, Materials 87 88 and methods section). After quality filtering and trimming, a total of 12,458,505,209 89 reads were remained in the samples, 75.2% of which were mapped to the MSU7.0 90 reference genome and 71.4% were mapped uniquely (see Dataset 2). Of the genes 91 (MSU7.0 reference set) covered with RNA-Seq reads, 98.4% have coverage of > 50%92 of the gene length (see Supplementary Information, Fig.S1A). Despite of the large difference in the number of mapped reads between samples, the percentage of 93 94 expressed genes is similar in most of them, ranging from 32% (10th percentile) to 95 66% (90th percentile), and as the number of mapped reads increases, the ratio of the 96 number of expressed genes is rapidly increased to saturation (see Supplementary 97 Information, Fig.S1B). We tested several normalization methods to compute the 98 expression abundance and expression correlations between genes and samples, the 99 tissue-specific expression pattern and enrichment results of rice genes showed that 100 these RNA-seq data are highly reliable (see Supplementary Text, Fig.S2-Fig.S6, Table S1 and Dataset 3 for details). 101

102 We comprehensively analyzed whether the co-expression between genes is associated

103 with their biological roles, and demonstrated that functionally related genes are often 104 to be co-expressed in our RNA-seq dataset (see Supplementary Text, Fig.S7-Fig.S8, 105 Dataset 4 for details). Based on this, we designed a new ensemble pipeline to build 106 RNA-seq-based gene co-expression network by integrating the predictions of three 107 state-of-the-art network inference methods, including Weighted Gene Correlation 108 Network Analysis (WGCNA) (Langfelder and Horvath, 2008), Graphical Gaussian 109 Model (GGM) (Schäfer et al., 2001) and Bagging the Conservative Causal Core of 110 Network (BC3NET) (de Matos Simoes and Emmert-Streib, 2012), based upon an 111 un-weighted voting system and rescoring the co-expression links (see Materials and 112 Methods for details). We here did select these three inference methods but not the 113 other existing approaches is because of either their high computational complexity or 114 the inconsistent data source (Feizi et al., 2013; Friedman et al., 2008; Huynh-Thu et 115 al., 2010; Qin et al., 2014). We constructed the co-expression network of rice which 116 included 16770 genes with 146,419 links. This network shows the small-world 117 characteristic with an average path length between any two nodes is equal to 6.28. The 118 distribution of connection degrees obeys the truncated power-law where most nodes 119 have a few co-expression partners with only a small ratio of hub nodes associating 120 with a large number of partners (see Supplementary Information, Fig.S9A). The 121 negative correlation between degrees and clustering coefficients of genes reveal 122 hierarchical and modular characteristics of network and the possible synergistic 123 regulation of gene expression (Supplementary Information, Fig.S9B) (Bergmann et al., 124 2003).

125 We evaluated the performance of the ensemble inference pipeline in rice. Since there 126 are no gold standard reference co-expression networks available in rice, we compiled 127 as replacement a standard set of positive links (9390203 interactions), by capturing 128 gene pairs that were contained in the same Gene Ontology (GO) categories, the same 129 pathways, interact with each other in the protein-protein interaction network or linked 130 in the probabilistic functional gene network (RiceNet), and a standard set of negative 131 links (272997 interactions) based on the functional dissimilarities between genes (for 132 details, see Materials and methods section). We used fold enrichment to measure the 133 relationship of two data sets (our network and standard positive functional links / our 134 network and standard negative functional links): the larger the proportion of the 135 number of shared elements divided by that expected by random chance, the closer they are (see Materials and methods for details). We found that the co-expression 136 137 relationships connecting highly or frequently expressed gene pairs were positively 138 associated with the positive standard links and were negatively associated with the 139 negative standard links (see Supplementary Information, Fig.S10). Meanwhile, we 140 also observed that the expression sample number of co-expression link (defined as the

141 total number samples which simply plus the number of gene A expressed samples and 142 the number of gene B expressed samples) is a more reliable factor than its expression 143 level (defined as the expression abundance summation of gene A and gene B) to affect 144 the fold enrichment of the standard links (see Supplementary Information, Fig.S10). These outcomes indicated that the positive standard links had reliably captured the 145 146 co-expression links between genes. Using the standard datasets, we found that the 147 network structure obtained by our ensemble inference method was consistently better 148 than the networks built by the individual method with higher enrichment for positive 149 links and lower enrichment for negative links (Fig.1). These results suggested that the 150 committee of different methods can reduce the bias occurring in a single inference 151 method and provide more reliable predictions with higher sensitivity and specificity. 152 We observed that the folds of enrichment are not obviously improved or are slightly 153 decreased by the integrated networks from 6 data set (Fig.1A, the GGM method, line 154 highlighted in yellow) than that of each single data set, indicating that integrating the 155 networks built using different data normalization methods might have no obvious 156 effects on the structure of inferred network (Fig.1). Co-expression is actually one of 157 the inputs used to build the probabilistic functional gene network (RiceNet), which 158 were included in the standard positive links. To examine whether this has effect on our 159 evaluation results, we carried out the fold enrichment analysis after removing the links 160 contained in RiceNet from the standard positive links. We found that integrating the 161 functional links of RiceNet into the standard positive links has no effect on the results of comparing the quality of our network with the other networks obtained by the 162 single algorithm (see Supplementary Information, Fig.S11). Based on the novel 163 164 RNA-seq dataset, we also examined whether a large fraction of potential interactions was recovered by our collected RNA-seq dataset, and found that the most general 165 166 transcriptional links were already established reliably with these 348 rice RNA-seq 167 samples (see Supplementary Text for details).

#### 168 **Prediction of gene functions through co-expression subnetworks**

169 We observed that our reconstructed RNA-seq-based gene co-expression network is 170 always positive predictor of functional associations for the protein-protein interaction 171 network and probabilistic functional gene network, GO network and pathway network 172 (see Supplementary Text, Fig.S12). Meanwhile, we also observed that many genes 173 under the same GO functional category are significantly more connected to each other 174 than expected by chance (see Supplementary Text, Dataset 5). Therefore, we adopted 175 GO enrichment analysis of a gene's co-expression neighborhood as a tool to predict 176 its biological functions (Vandepoele et al., 2009). For each gene belonging to a given 177 GO category, we asked whether the GO enrichment in its co-expression neighborhood

178 could infer its correct function: an inference is called true positive if and only if the 179 predicted GO term is more specific than its known GO terms or is equal to the known 180 GO terms. In the enrichment significance level of corrected *p*-value smaller than 0.05, we found that 15.50% (Sensitivity) annotated functions were correctly inferred based 181 on 10545 annotated genes in rice network. If we used only the gene annotations on the 182 183 second and third layers of the directed GO graph for inference, the Sensitivity was 184 increased to 21.66%. We found that the 21.27% (Precision) of all inferred functions 185 are true positives and this number is improved to 25.38% when we only adopted the 186 second and third layers of directed GO graph. These results might be suggesting that the incompleteness or errors in the GO annotations of rice genes. 187

188 The relatively low Sensitivity and Precision of our network in function inference 189 might be due to the simple scoring metrics. We here further analyzed the predictive 190 performance of our network based on the Critical Assessment of protein Function Annotation (CAFA) metrics (Tzafrir et al., 2003) (see Materials and Methods). To 191 192 eliminate the effects of the incompleteness and errors of GO annotations, we removed 193 the genes with I) the number of known annotations smaller than 3; II) the number of 194 predicted annotations smaller than 3 and III) the variation coefficient of the number of 195 known annotations and the number of predicted annotations larger than 0.5. To order to produce the Receiver Operating Characteristics (ROC) and Precision-Recall (PR) 196 197 curves, we calculated the sensitivities, 1-specificities and precisions under different 198 thresholds (-log(corrected q-value)). For the purpose of correcting different depths of 199 GO predictions, we also calculated the weight value of each GO term and obtained the weighted ROC and PR curves. The weighted ROC and PR curves obtain the larger 200 201 AUC score (70.01%) and maximum F-measure (F-max = 0.54) than the not weighted 202 ones (AUC = 68.23%, F-max = 0.53) (see Fig.2), indicating that our gene network can 203 effectively predict the difficult or less frequent GO terms (see Fig.2). In addition, we 204 further compared the predictive performances of our network with RiceNet using the 205 same evaluation criteria as employed in our study. We observed that our co-expression 206 network is comparable or better than the RiceNet in terms of the ROC and PR curves 207 (Fig.2). Moreover, we also found that the semantic similarities between the known 208 GO terms and our predicted GO terms are obviously higher than the random ones 209 (p-value = 5.24E-10, paired t-test). These results indicated that our RNA-seq-based 210 gene network can be applied for inferring the potential functions of unknown genes.

In addition to the neighboring gene analysis above, we used two examples below to demonstrate the stricter and intuitive method of RNA-seq-based gene co-expression network analysis for inferring the gene functions. In flowering plants, floral organ development is a very important biological process. We therefore first selected a priori 215 guide gene OsMADS16 involving in flower development to obtain a co-expression 216 subnetwork consisting of 37 closely connected neighbors within two-layer links from 217 the guide genes (see Fig.3A and Dataset 6). We found that 15 genes were involved in 218 flower development process, with ~ 203-fold enrichment. For example, 11 members 219 of MADS-box family, which were verified involving in the determination of floral 220 organ identity and development, are effectively captured in this subnetwork. Moreover, 221 this subnetwork includes the well-known genes DL, Wdal and DPW, which have 222 been experimentally validated to control the floral organ identity, anther and pollen 223 development (Jung et al., 2006; Nagasawa et al., 2003; Shi et al., 2011). Interestingly, 224 we did not find that two YABBY domain containing genes OsYABBY1 and OsYABBY6 225 are annotated involving in floral organ development in rice, but their Arabidopsis 226 homologs of YABBY2 and YABBY1 were associated with the inflorescence meristem 227 growth and regulation of floral organ development (Siegfried et al., 1999). The 228 connections between the unannotated genes (gray nodes) and known genes within a 229 subnetwork provide clues for their associations with specific biological processes. For 230 example, LOC\_Os07g09020 involves in the reproduction and embryo development, 231 whose links with OsMADS3, OsMADS4 and DL enable further targeted experimental 232 validations.

233 Second, we used another guide gene OsCESA4 involving in cell wall metabolism to 234 build a subnetwork (Fig.3B and Dataset 6). The resulting subnetwork was made up of 235 139 genes with ~96-fold enrichment, including 4 homologs of OsCESA4: OsCESA1, 236 OsCESA3, OsCESA7 and OsCESA9, and 14 other genes associated with the cell wall 237 metabolism. In addition, this subnetwork also captures 28 genes (pink nodes) whose 238 Arabidopsis thaliana homologs were involved in cell wall metabolism. For example, 239 LOC\_Os01g06580, encoding a fasciclin domain containing protein, is a homologous 240 gene to AT5G03170 which is involved in secondary cell wall biogenesis. Two genes 241 of LOC\_Os01g62490 and LOC\_Os03g16610 are laccase precursor proteins are both 242 homologs to LAC17 involved in cell wall biogenesis. AT1G09540, an Arabidopsis 243 homolog of two rice MYB family transcription factors of LOC Os05g04820 and 244 LOC\_Os01g18240, are participating in cell wall macromolecule metabolism and 245 xylem development. We also noted that 14 genes labeled with blue nodes, involving 246 in carbohydrate metabolism, associating with microtubule or resembling to known 247 cell wall metabolism genes in function domain, are recovered in this gene subnetwork. 248 All these genes are the potential candidates for the further functional investigation. 249 Especially, the known cell cycle genes LOC\_0s04g28620 and LOC\_0s04g53760 are 250 also captured in this subnetwork, confirming that cell wall metabolism and cell cycle 251 are two closely associated processes.

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### 252 Construction of regulatory subnetworks for gene functional analysis

We explored the potential value of motif-guided analysis (Ma et al., 2013) in building 253 254 regulatory network and finding functionally related genes using two examples. Cell cycle is a highly conserved biological process in higher eukaryotes. From G1 phase to 255 256 S phase of the cell cycle is controlled by the E2F transcription factors, which bind to a conserved DNA motif WTTSSCSS (with "W" standing for "A" or "T" and "S" 257 258 standing for "C" or "G") (Vandepoele et al., 2005). We used this motif to retrieve 259 1093 genes from the rice network. Out of the 180 cell cycle genes annotated in rice 260 (totally 55986 genes), 33 cell cycle genes were included in these 1093 genes, resulting 261 in 9.4-fold enrichment. We used the cell cycle genes and the genes that were directly 262 linked to them to form a regulatory network (totally 104 genes, Fig.4A and Dataset 6). 263 We observed that a large number of genes (red nodes in Fig.4A) encode proteins 264 participating in regulation of cell cycle, DNA replication, chromatin dynamics and 265 DNA repair. The currently known cell cycle genes include three cyclin genes, one E2F 266 transcription factor, 9 DNA replication origin factors, two checkpoint regulators, 13 267 DNA replication or repair proteins and 10 other genes with unknown biochemical 268 functions but were annotated playing important roles during cell cycle. In addition, 269 this subnetwork also includes 18 genes whose Arabidopsis homologs participate in 270 regulation of cell cycle, DNA replication, DNA repair and chromatin dynamics. Also 271 recovered are four genes including LOC\_Os01g64900, LOC\_Os03g49200, LOC\_Os 272 07g18560 and LOC\_Os09g36900 whose Arabidopsis homologs have not annotated 273 biochemical function but were involved in cell cycle. Although some genes are not 274 annotated with direct participation of cell cycle, their molecular structure and function 275 domain indicated their potential roles in it, such as the ribonuclease H2 subunit B (LOC\_Os04g40050), ATP-dependent RNA helicase (LOC\_Os11g44910), ribonuclease 276 277 H2 subunit B (LOC Os04g40050) and the BRCA1 C Terminus domain containing 278 protein (LOC\_Os08g31930). All these genes are the potential candidate cell cycle 279 genes for further investigation.

280 WRKY transcription factors play important roles in regulation of plant stress response by binding the W-box sequence TTGACY (with "Y" standing for "C" or "T") (Chen 281 282 et al., 2012; Rushton et al., 2010). Similarly, we extracted a total of 1329 genes 283 associating with W-box, from which a subset of 88 known stress response genes out 284 of 996 genes relating to stress response in rice were found, achieving the fold 285 enrichment of 3.72. We also constructed a regulatory network using the 88 genes and 286 the genes with W-box that were directly linked to them (totally 389 genes, Fig.4B and 287 Dataset 6). This subnetwork includes 172 genes that are regulated by different types 288 of environmental stresses (red node). Among them, 138 rice genes and 34 homologs

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289 in Arabidopsis are annotated in the reference genomes relating to abiotic and biotic 290 stresses. The majority of Arabidopsis homologs of these genes are experimentally 291 confirmed involving in the biological regulation of phosphate starvation, water 292 deprivation, nitrate, hypoxia, salt, cold, heat, chitin, sugar and oxidative stresses. 293 Particularly, 53 of 172 abiotic stress response genes whose Arabidopsis homologs are reacted to the ethylene (ETH), abscisic acid (ABA), salicylic acid (SA) or jasmonic 294 295 acid (JA), which is in accordance with the fact that WRKYs play roles in the plant 296 abiotic stress by invoking the ETH-, ABA-, SA- or JA-mediated signaling pathways 297 (Chen et al., 2012). Moreover, 36 genes play important roles in regulating plant 298 immune responses to pathogens including WRKYs, NB-ARC domain containing 299 resistance proteins, NBS-LRR domain containing resistance proteins, kinase proteins 300 and other verified defense members of the plant innate immune system were also 301 contained in this network (see Dataset 6). This is completely supported by the 302 transcriptional reprogramming network model of the WRKY-mediated plant immune 303 responses (Eulgem and Somssich, 2007). In addition, this gene subnetwork also 304 included 8 genes whose Arabidopsis homologs are associated with the seed 305 development, dormancy and germination. In agreement with the fact that the SA and 306 ABA antagonizes gibberellin (GA)-promoted seed germination; 6 of these genes 307 participate in the SA- and ABA-mediated signaling pathways (Xie et al., 2007). 308 Interestingly, three genes of LOC\_Os03g12290, LOC\_Os01g24550 and LOC\_Os01g 309 64470 involving in leaf senescence are also placed in this network, with LOC\_Os 01g 310 64470 involving in the SA- and JA-mediated signaling pathway, which is supported 311 by the fact that the WRKYs function in leaf senescence by modulating the JA and SA 312 equilibrium (Miao and Zentgraf, 2007). This subnetwork successfully captured the 313 W-box related genes that can facilitate further studies the functions of uncharacterized 314 genes and help us to understand the regulatory mechanisms of plant responding to 315 various stresses.

316 In addition, we also used two miRNAs of osa-miR156 and osa-miR396 to capture the 317 functionally related genes based on microRNA target enrichment analysis, which is 318 performed similar with motif enrichment analysis (Ma et al., 2013). We observed that 319 a large number of genes involving in cell division and organ development were 320 captured in this gene subnetwork, for example, two TCP transcription factors of 321 LOC\_Os01g55100 and LOC\_Os11g07460 (see Fig.S13 and Dataset 6). Meanwhile, 322 we also found that many genes relating to stress tolerance were placed in the 323 subnetwork of osa-miR156, for instance, a WRKY transcription factors LOC\_Os 324 10g18099 (see Fig.S13 and Dataset 6). These obtained results well confirm the 325 biological roles of these two miRNAs (Rodriguez et al., 2010; Stief et al., 2014; Wu et 326 al., 2009). Taken together, all these outcomes indicated that the rice RNA-seq-based

327 gene co-expression network could be converted to highly reliable regulatory network

328 for further studying gene regulations.

# 329 Co-expression analysis of genes controlling the important agronomic 330 traits

331 For the perspective of system biology, the phenotype of an organism was controlled by functionally linked genes involving in the related biological processes. Given the 332 333 co-expressed genes tend to have the related biochemical functions; we next want to 334 use the co-expression relationships between genes to assign the agronomic traits for 335 unknown genes. This is especially important for identifying the candidate genes in 336 Quantitative Trait Loci (QTL) mapping, Genome-Wide Association Study (GWAS) or 337 in reverse genetic studies. We collected 1031 known rice genes with the well-studied 338 functions through wet lab experiments. For these genes, we found that 934 genes were 339 expressed in our collected RNA-seq datasets and 623 genes were in network with 340 12125 connections. To examine the potential capacity of our RNA-seq-based gene co-expression network for associating genes with the agronomic traits, we analyzed 341 342 the density of co-expression links between genes of within and between agronomic 343 traits. We found that 262 co-expression links out of 88041 all possible links within the 344 common agronomic traits and that 252 co-expression links out of 982302 all possible 345 links between the different agronomic traits were captured in network, with ~11-fold 346 enrichment of links within the agronomic traits. In details, we found that several 347 agronomic traits whose genes were tightly clustered together relative to the average 348 link density of whole co-expression network (Supplementary Text, Table S2). For 349 example, an agronomic trait, source activity, measuring the capacity of making 350 photosynthetic products; whose genes was highly aggregated in network with the 351 enrichment fold of 47.81 and the corrected *p*-value of 3.96E-117. Besides, genes 352 associating with culm leaf, panicle flower, eating quality and tolerance are also significantly clustered together. Moreover, we performed the permutation test, 353 354 discovering found that co-expression link densities between genes of same agronomic 355 traits were significantly larger than random control gene set (Supplementary Text, 356 Table S2). These results indicated that our gene networks can be used to discover the 357 gene related to important agronomic traits by co-expression links.

### 358 **Function discovering for lncRNA genes**

Long non-coding RNAs (lncRNAs) have been shown to play important roles in the kingdoms of plants and animals (Ranzani et al., 2015; Zhang et al., 2014). Given that the reconstructed RNA-seq-based co-expression network can successfully associate genes with biological functions and phenotypes of interest, we next wish to discover

363 the functions for uncharacterized lncRNA genes using network-based method. We 364 downloaded the known lncRNAs of rice identified in previous studies (Zhang et al., 365 2014). We then combined these lncRNA genes with MSU7.0 reference genes to establish co-expression network based on the ensemble inference pipeline. The 366 367 obtained network is composed of 24875 genes, containing 24014 protein-coding gene and 861 lncRNA genes connected by 1357039 edges. Compared with the previous 368 369 protein-coding gene network, 7692 novel protein-coding genes were captured and 370 linked with 817 lncRNA genes. As there is no gold standard available to evaluate the 371 predictive performance, we adopted gene-guide subnetwork analysis to illustrate the 372 potential capacity of this network for lncRNA function discovering. We selected a 373 well-studied lncRNA gene of XLOC\_057324, which was verified involving in panicle 374 development and fertility, to establish a gene subnetwork consisting of the two-step 375 co-expression neighborhoods (Fig.5 and Dataset 7). In this subnetwork, 4 genes 376 including SSD1, PLA1, DEP1 and GSD1 related to panicle development or fertility. In 377 addition, we also found that 7 genes (pink nodes) whose Arabidopsis homologs 378 participate in meiosis, embryo development or reproductive process. According to the 379 functional annotation, some genes (blue nodes) might be also involved in pollen 380 development, such as two cyclin genes CYCA2 and CYCD2. Interestingly, 3 lncRNAs of XLOC\_061753, XLOC\_006119 and XLOC\_031878 expressed in the reproductive 381 382 organs are contained in this subnetwork. These results are in good agreement with the 383 experimentally verified role of *XLOC\_057324*.

#### 384 **CircRNA gene identification and function analysis**

CircRNA is an RNA molecule forming a covalently closed continuous loop that has 385 386 been discovered in various species across the domains of life with distinct sizes 387 (Memczak et al., 2013; Ye et al., 2015). The functions of circRNAs are largely 388 unknown and hard to investigate. Therefore, we try to classify them through gene co-expression network. We first identified 14325 circRNAs in rice derived from 5284 389 390 genes including 4609 protein-coding genes, 675 noncoding genes (see Materials and 391 Methods for details). 43 of these genes including 27 protein-coding genes and 16 392 non-coding genes produce the circRNAs with the percentage larger than 90% in at 393 least one sample. We analyzed the distribution of the number of detected circRNAs 394 and found that a majority of circRNAs were identified in one sample with relative 395 small number of circRNAs were detected in more than 3 samples (Fig.S14A). Though a large number of circRNAs were detected in relative small number of RNA-seq 396 397 samples, 63 circRNAs (transcribed from the protein-coding genes), identified in more 398 than 10 samples and supported by more than 26 junction reads, were captured in the 399 gene co-expression network. Moreover, we found that the primary genes transcribing

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these circRNAs were not contained in the co-expression network. We predicted the functions of these circRNAs using GO enrichment analysis of their co-expression neighborhoods. Indeed, these circRNAs are related to a broad range of biological functions, for example protein phosphorylation, ATP binding and photosynthesis (Fig.S14B). These results indicated that a great number of circRNAs play important biological roles but not are the transcriptional noise.

### 406 Discussion

407 The phenotypes of an organism are determined by the coordinated activity of many 408 genes and gene products. To gain insight into the genetic foundation underlying the 409 complex biological processes and phenotypes, we developed a novel analytic pipeline 410 for constructing high-quality RNA-seq-based co-expression network and predicting 411 gene function and regulations. we applied this pipeline to the important crop species 412 rice. The obtained co-expression links between genes were ranked by confidence 413 score, expression level and expression sample number. The thresholds of these 414 measures can be selected as the indictors of co-expression reliability for the further 415 targeted experimental validation. The detailed analysis of the topology properties of 416 network demonstrates that the degree frequency distribution follows the truncated 417 power-law and network structure is highly modular. Using the rice gold standards and bottom-up co-expression subnetwork analysis, we showed that this analysis pipeline 418 419 can be effectively applied to study the gene function and regulation. Particularly, the 420 potential application value of RNA-seq gene network for predicting biological roles of 421 IncRNA and circRNA genes are well demonstrated. Overall, our analysis provides 422 new insights into the regulatory code underlying transcription control and a starting 423 point for understanding the complex regulatory system.

424 Compared with the sequence-based functional annotation, a great advantage of gene 425 co-expression-based inference approach is that homologs are not required for a gene 426 to receive a prediction. Actually, it is the case when a novel function appears for a 427 particular species and the genes participating in the new biological process do not 428 have corresponding homologues in other species. This is especially interesting for the 429 non-coding RNAs because only short regions of non-coding RNA transcripts are 430 limited by sequence- or structure-specific interactions, compared to the protein-coding 431 gene; this difference in selection pressure makes it very difficult to find orthologous 432 non-coding RNAs by their sequences. Indeed, using the BLAST search against NCBI 433 Reference Sequence Database (RSD), we found that 87% and 89% of unannotated 434 genes and lncRNA genes do not have homologous genes in other species, respectively. 435 The functional analysis of rice lncRNA gene of XLOC 057324 suggested that our

RNA-seq-based gene network can be effectively applied to annotate the functions ofnon-coding genome elements.

438 For RNA-seq-based gene co-expression network investigators, the creation of novel 439 computational methods for building high-quality network poses a future fundamental 440 challenge. According to our best knowledge, only four existing methods including 441 Pearson's Correlation Coefficient (PCCs), WGCNA, Canonical Correlation Analysis 442 (CCA) and SpliceNet have been used to establish the RNA-seq gene co-expression 443 networks (Giorgi et al., 2013; Hong et al., 2013; Iancu et al., 2012; Yalamanchili et al., 444 2014). Moreover, some of these inference tools are unable to be applied to the 445 large-scale expression dataset owing to their high computational complexity. For the 446 uncertainty and complexity of mechanism models underlying the RNA-seq data, we designed a novel ensemble-based inference pipeline to establish the high confidence 447 448 RNA-seq gene co-expression network. Our outcomes demonstrate that the committee 449 of three inference methods provides more robust and less false positive and false 450 negative results than single algorithm. The improved performance of our ensemble 451 inference method depends on the voting and rescoring scheme which can reduce the 452 bias occurring in a single learning method and assign a higher confidence to the 453 interactions that are repeatedly retrieved by different methods. Indeed, the standpoint 454 of aggregating the results of different algorithms has been adopted in various contexts and it has proven to be effective in a variety of applications (Lertampaiporn et al., 455 456 2013; Liu et al., 2007; Yang et al., 2010).

457 In principle, gene co-expression meta-analysis can only detect co-regulations between 458 genes which are co-expressed constantly or are sometimes co-expressed but otherwise 459 silent. However, many activation patterns of gene groups appear only under the 460 specific experimental conditions but behave independently under the other conditions, which might not be captured by our method. Especially, for lncRNA and circRNA 461 genes, their expression patterns demonstrated highly spatiotemporal specificity. To 462 463 overcome this problem, the high-efficiency bi-clustering methods can be integrated into our model to reveal the transcriptional gene interactions presented only under a 464 465 specific subset of the experimental conditions (Madeira and Oliveira, 2004). Our 466 approach can further improved by I) expanding our ensemble pipeline with other 467 high-efficiency inference methods (Hase et al., 2013), II) employing more reasonable 468 voting and rescoring schemes to generate the consensus networks.

## 469 Materials and methods

### 470 Dataset preprocessing

471 We downloaded 456 rice primary RNA-seq samples from the NCBI Sequence Read Archive (see Dataset 1 and 2 for details), with the keywords of "Oryza sativa" 472 473 [Organism] AND "platform illumina" [Properties] AND "strategy rna seq" [Properties] (accessed on May 29, 2014). These RNA-seq samples contained a wide spread of 474 475 experimental conditions, tissue types and developmental stages. After the SRA files were gathered, the archives were extracted and saved in FASTQ format using the SRA 476 477 Toolkit. The FASTQ files were firstly trimmed using Trimmomatic software (version 478 0.32) (Bolger et al., 2014) with the default settings, except for an additional parameter 479 of minimum read length at least 70% of original size. Then, the fastq\_quality\_filter 480 program included in FASTX Toolkit was adopted to further filtrate the FASTQ files, 481 with the minimum quality score 10 and minimum percent of 50% bases that have a 482 quality score larger than this cutoff value. Surviving RNA-seq samples were mapped 483 to the MSU7.0 reference genomes (55986 genes) using TopHat v2.0.4 with the default settings except for "--max-multihits 1" (Trapnell et al., 2009). The PCR and 484 485 optical/sequencing-driven duplicate reads were removed using the Picard tools. After 486 reads mapping, the uniquely aligned reads count (RAW) and Fragments Per Kilobase 487 Of Exon Per Million Fragments Mapped (FPKM) of each gene was calculated relative 488 to the reference gene model using the HTSeq-count (v0.5.4) and Cufflinks software 489 (v2.1.1), respectively (Anders et al., 2014; Trapnell et al., 2012). The unreliable 490 samples and genes were filtered according to the following three criteria: I) The 491 samples, in which the percentage of the number of genes with expression value 492 smaller than 10 reads is larger than 90%, were not considered for further analysis; II) 493 We did not consider the genes whose expression value is less than 10 reads in more 494 than 80% samples; III) Genes with the variation coefficient of expression values 495 smaller than 0.5 were excluded from subsequent analysis. After filtering, we got two expression datasets composed of 348 RNA-seq samples and 24775 genes were. The 496 filtered RAW dataset were further corrected using four normalization methods: I) 497 498 Upper Quartile (UQ) (Robinson et al., 2010); II) Trimmed Mean of M values (TMM) 499 (Robinson et al., 2010); III) Relative Log Expression (RLE) (Robinson et al., 2010) 500 and IV) Variance Stabilizing Transformation (VST) (Anders and Huber, 2010).

501 The microarray gene expression data were extracted from both ATTED-II database 502 and Rice Oligonucleotide Array Database (ROAD) (Cao et al., 2012; Obayashi et al., 503 2009). The Gene Ontologies (GOs) were downloaded from Plant GeneSet Enrichment 504 Analysis Toolkit (PlantGSEA) (Yi et al., 2013). We downloaded biological pathways 505 from two data sources including PlantGSEA database and Plant Metabolic Network 506 (PMN) (http://pmn.plantcyc.org/). The gene sets of transcription factor family were 507 downloaded from Plant Transcription Factor Database (PlantTFDB) (Jin et al., 2013). 508 MicroRNAs and their related targets were collected from the Plant MicroRNA Target 14

Expression database (PMTED) and Plant MicroRNA database (PMRD) (Zhang et al.,
2010). Known agronomic trait genes were collected from both Q-TARO database
(Yonemaru et al., 2010) and literatures. Tos17 mutant phenotypes were extracted from
Rice Tos17 Insertion Mutant Database (Hirochika et al., 1996). The phenotypes were
associated with MSU7.0 gene locus identifiers through BLASTN alignments of Tos17
flanking sequences obtained from NCBI website. Protein-protein interaction network
of rice were downloaded from PRIN (Gu et al., 2011). Probabilistic functional gene

network of rice was obtained from RiceNet data portal (Lee et al., 2011).

#### 517 Gene co-expression network construction

518 We developed an ensemble-based inference pipeline for constructing the high-quality 519 RNA-seq-based Gene Co-expression Network (GCN) based upon combining multiple 520 inference algorithms, then aggregating their predictions through an unweighted voting 521 system and rescoring co-expression links. Our ensemble-based inference system was 522 designed based on the hypothesis that the different network inference methods have 523 complementary advantages and limitations under the different contexts. To select base 524 inference methods for constructing an ensemble system, five algorithms were initially 525 tested and evaluated, including the weighted gene co-expression network analysis 526 (Langfelder and Horvath, 2008), graphical Gaussian model (Schäfer et al., 2001), 527 bagging statistical network inference (de Matos Simoes and Emmert-Streib, 2012), 528 graphical lasso model (Friedman et al., 2008) and tree-based method (Huynh Thu et 529 al., 2010). Since graphical lasso and tree-based method have high computational 530 complexity and are infeasible for large number of RNA-seq dataset, we did not adopt 531 these two algorithms for subsequent network construction. The flowchart for building 532 high confidence RNA-seq-based gene co-expression network was depicted in Fig.6. 533 In details, our procedure for producing the high-quality gene co-expression network 534 was started from 6 RNA-seq datasets as described in Dataset preprocessing. Based on 535 the 6 RNA-seq expression datasets, the weighted co-expression network inference, 536 graphical Gaussian model and bagging statistical network inference were adopted to 537 obtain 18 initial gene co-expression networks using the R packages of WGCNA, 538 GeneNet and BC3NET, respectively (available from the CRAN repository). Since the outputs of WGCNA and GeneNet produced the long ordered list of confidence scores 539 540 (topological overlap for WGCNA and partial correlation coefficient for GeneNet) for 541 an enormous amount of gene pairs, we designed a random permutation model to 542 choose the restrict threshold that roughly identifies functional co-expression links. We 543 repeatedly created 100 times random datasets to obtain a series of background 544 distributions, by randomly shuffling the associations from genes to expression profiles, 545 and used the average of 99.99th percentile of these distributions (corresponding to the

probability of  $10^{-4}$  that two genes are connected by chance) to define the threshold. 546 After obtaining initial networks, we employed two-step voting procedure, including 547 548 voting within inference method and voting among the inference methods, to construct the high-quality gene co-expression network. In the first step of voting procedure, we 549 550 selected the links included in more than two networks of all 6 initial co-expression networks, which were built by applying the single network inference algorithm to 6 551 552 RNA-seq datasets, to establish a consensus gene network (i.e. intra-method consensus 553 network). In second step of voting procedure, we pick the co-expression relationships 554 contained in more than one network of three intra-method consensus networks to 555 establish the final co-expression network.

556 The confidence score calculation procedure for each gene pair of the final RNA-seq 557 gene co-expression network was performed as following: I) Firstly, we normalized the 558 confidence scores of each co-expression link of each initial network to the interval range from 0 to 1. II) Then, we assigned a confidence score to each association of the 559 560 intra-method consensus gene networks by averaging the normalized confidence scores 561 of all 6 initial networks. III) Finally, we defined the confidence score for each edge of 562 final high confidence co-expression network by averaging the confidence scores of 563 three intra-method consensus gene networks. Note that for the co-expression links not listed in a co-expression network were assigned a confidence score of 0. 564

#### 565 **Performance evaluation**

566 As the information about gold standard Oryza sativa reference gene network is 567 unavailable, we compiled as replacement a standard set of positive and negative links for the performance evaluation. The gold standard of positive functional links was 568 569 obtained by capturing gene pairs that were contained in the same GO categories, the 570 same pathways, interact with each other in protein-protein interaction network or 571 linked in probabilistic functional gene network. To construct the gold standard of 572 negative functional links, we firstly selected all the biologically unrelated GO pairs 573 (semantic similarity score = 0) that have the number of genes greater than 5 and less 574 than 50, coupling all possible gene pairs of each partnership in remainder GO terms as 575 initial non-functional relationships. Subsequently, we established 10000 background 576 distributions of functional similarity, by 10000 times randomly sampling of 1000 gene 577 pairs and calculating the functional similarities. We selected a subset of gene pairs 578 from the initial non-functional links as final non-functional links using the criterion that the functional similarity between gene pair that are smaller than the average of 579 580 5th percentiles of these simulated background distributions. The semantic similarities 581 between the GO terms were calculated using the R package of GOSim (Fröhlich et al.,

582 2007). Functional similarities between genes in terms of the GO space were calculated583 using the metric adopted from (Chabalier et al., 2007).

Since our gold standards included only a subset of true functional and non-functional link, we evaluated the predictive performance of our method for gene co-expression network inference using the fold enrichment measure. The fold of enrichment was calculated as a function of the confidence score cutoff (k) in the edge list of the inferred network by the following formula:

$$\frac{n_k}{m_k} \times \frac{M}{N} \tag{1}$$

where,  $n_k$  is the number of true positive or true negative functional links in the *k*th cutoff of the edge list;  $m_k$  is the number of edges of the inferred network in the *k*th cutoff; *M* denotes the number of true positive or true negative functional links in the gold standards and *N* represents the number of all possible interactions in the genome space. The network visualization was carried out using both Cytoscape (Cline et al., 2007) and BioLayout Express3D (Theocharidis et al., 2009).

596 The function enrichment of co-expression neighborhoods was calculated as the ratio 597 of the relative occurrence in gene set of co-expression neighborhoods to the relative 598 occurrence in genome using Fisher's exact test. The *p*-value was further adjusted by 599 Benjamini-Hochberg correction for multiple hypotheses testing. The corrected *p*-value 600 smaller than 0.05, was considered as enriched. To evaluate the predictive performance 601 of our RNA-seq-based network for inferring gene function using the co-expression 602 neighborhoods, we adopted the gene-centric evaluation, which were provided in the Critical Assessment of protein Function Annotation (CAFA) project (Tzafrir et al., 603 604 2003). For this metric, the GO terms of each gene (gold and predicted) are propagated 605 up the GO hierarchy to the root, obtaining a set of terms. In this process, for each scored GO term, we propagated its score  $(-\log(q-value))$  of Fisher's exact test) toward 606 607 the root of the ontology such that each parent term received the highest score among its children. The Sensitivity (Recall), 1-specificity, Precision and maximum F-measure 608 609 (F-max) was calculated using the same method as in the CAFA project. The Receiver 610 Operating Characteristics (ROC) curve was drawn by changing the threshold and 611 plotting the Sensitivity versus the 1-specificity and then calculated the score of Area 612 Under Curve. Similarly, we plotted the Precision-Recall (PR) curve by altering the 613 threshold and plotting the Precision versus the Recall. Semantic similarity scores 614 between the GO term pairs were calculated using the R package of GOSim.

#### 615 Analysis of circRNA genes

616 The circular RNA (circRNA) genes were predicted using 618 novel rice RNA-seq 617 samples downloaded from the NCBI Sequence Read Archive (accessed on February 618 15, 2016) by CIRI software (Gao et al., 2015). We calculated the counts of junction 619 reads of a circRNA as its relative expression abundance. Then, we integrated the 620 aligned reads number of known rice genes using HTSeq-count program (v0.5.4) and 621 expression values of circRNAs into a numeric expression matrix. We removed the 622 circRNAs from the matrix if it was identified in less than 3 RNA-seq samples. Using 623 the filtered matrix, we built three initial gene co-expression networks by WGCNA, 624 GGM and BC3NET. Based on this, we selected the co-expression links contained in 625 more than one network of the three initial networks to obtain the final co-expression 626 network. Although only the numbers of junction reads were adopted to measure the 627 expression abundances of circRNAs, this method is simple and effective for building 628 co-expression network, given the reads were distributed uniformly along circRNA.

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#### **Figure Legends** 783

784 Fig.1 Enrichment folds of different algorithms for co-expression network inference. A) Comparing to GGM with 785 positive links. B) Comparing to WGCNA with positive links. C) Comparing with BC3NET with positive links. D) 786 Comparing with GGM with negative links. E) Comparing with WGCNA with negative links. F) Comparing with 787 BC3NET with negative links. In the legends, the RAW, FPKM, UQ, TMM, RLE and VST represent the networks 788 obtained by the single RNA-seq dataset; INT indicates intra-method consensus networks established by integrating 789 the predictions of different RNA-seq datasets, EBM denotes high-quality gene co-expression network obtained by 790

- integrating all intra-method consensus networks
- 791 Fig.2 Performance evaluation of our network for predicting gene function. A) Receiver Operating Characteristics
- 792 (ROC) curve. B) Precision-Recall (PR) curve. In the legends, Not-weighted indicates the evaluation parameters
- 793 were calculated by the standard method of CAFA project; Weighted indicates the evaluation parameters were
- 794 calculated by the weighted method of CAFA project
- 795 Fig.3 Subnetworks derived from the gene-guide approach. The subnetworks include all other nodes within two 796 layer connections from guide genes. A) OsMADS16 involved in flower development; B) OsCESA4 involved in cell 797 wall biosynthesis. Within each subnetwork, red nodes represent the experimentally verified genes related to 798 corresponding biological functions. Pink nodes indicate the genes whose Arabidopsis homologs are experimentally 799 verified relating to the corresponding biological processes. Blue nodes represent potential function-related genes, 800 and the gray nodes denote that the genes with unknown functions or annotated with irrelevant functions. The size 801 of node is proportional to the number of connected genes
- 802 Fig.4 Subnetworks derived from the known cis-regulatory motif-guide approach. A) WTTSSCSS combined with 803 the E2F transcription factors involved in cell cycle. B) TTGACY combined with the WRKY transcription factors 804 involved in stress response. Within each subnetwork, red nodes represent the experimentally verified genes related 805 to corresponding biological functions. Pink nodes indicate the genes whose Arabidopsis thaliana homologs are 806 experimentally verified to associate with the corresponding biological functions. Blue nodes denote potential

- 807 function-related genes. Gray nodes indicate that the genes with unknown functions or annotated with irrelevant
- 808 functions. The size of node is proportional to the number of connected genes
- 809 Fig.5 Co-expression subnetwork derived from guide-gene approach for XLOC\_057324 associated with panicle
- 810 development and fertility. Within the subnetwork, red nodes represent the experimentally verified genes related to
- 811 corresponding biological functions; chrysoidine nodes represent transcription factors; pink nodes indicate the
- 812 genes whose Arabidopsis thaliana homologues are experimentally verified to related to corresponding biological
- 813 functions; blue nodes represent that the genes are potential function-related, and the gray nodes indicate that the
- 814 genes are function unknown or annotated with unrelated functions
- 815 Fig.6 Flowchart of high-quality RNA-seq-based gene co-expression network inference

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#### 821 Author Contributions

H.Y. conceived the original screening and research plans; H.Y. and C.Z.L conceived the project; C.Z.L. and H.Y. supervised the experiments; H.Y. performed most of the experiments, analyzed the data and wrote the paper; B.K.J analyzed the phenotype data and revised the paper.

### 826 Additional Information

827 Competing financial interests: The authors declare no competing financial interests.















