

1 **Predicting drug resistance evolution: insights from antimicrobial peptides and**
2 **antibiotics**

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20

21 **Abstract**

22

23 Antibiotic resistance constitutes one of the most pressing public health concerns.

24 Antimicrobial peptides of multicellular organisms are considered part of a solution to

25 this problem, and AMPs produced by bacteria such as colistin are last resort drugs.

26 Importantly, antimicrobial peptides differ from many antibiotics in their

27 pharmacodynamic characteristics. Here we implement these differences within a

28 theoretical framework to predict the evolution of resistance against antimicrobial

29 peptides and compare it to antibiotic resistance. Our analysis of resistance evolution

30 finds that pharmacodynamic differences all combine to produce a much lower

31 probability that resistance will evolve against antimicrobial peptides. The finding can

32 be generalized to all drugs with pharmacodynamics similar to AMPs.

33 Pharmacodynamic concepts are familiar to most practitioners of medical

34 microbiology, and data can be easily obtained for any drug or drug combination. Our

35 theoretical and conceptual framework is therefore widely applicable and can help

36 avoid resistance evolution if implemented in antibiotic stewardship schemes or the

37 rational choice of new drug candidates.

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41 Antibiotic resistance is prevalent (1) and evolves quickly. It takes only a few years
42 from the introduction of a new antibiotic to the clinic until resistant strains emerge(2).
43 Prudent use and the introduction and development of novel antibiotics are currently
44 considered to be the most effective ways to tackle resistance evolution(3). The
45 prediction of when and how antibiotic resistance evolves and spreads is notoriously
46 difficult, but would be extremely informative for antibiotic stewardship and the
47 development of new drugs.

48 Amongst the new drugs under development are antimicrobial peptides (AMPs)(4).
49 AMPs are peptides that have spatially explicit hydrophobic and cationic residues(5).
50 Note that for example polymixins (including colistin) are usually subsumed under
51 antibiotics, also fall into this category as they are AMPs of bacterial origin(6),(7). One
52 of the alleged advantages of AMPs is that bacterial resistance would evolve much
53 more slowly than against antibiotics(5, 8), a highly desirable property(9).

54 We have recently demonstrated that AMPs from multicellular organisms affect
55 growing bacterial populations differently from antibiotics, i.e. they differ in their
56 pharmacodynamics (or dose-response relationship)(10). A similar observation has
57 been reported for colistin a last resort drug to treat *Pseudomonas* infections(11)
58 .Pharmacodynamic characteristics of susceptible and resistant bacterial strains can be
59 used to illustrate the selection of resistance under treatment with range of
60 dosage(12).Such application is based on the concept of the ‘mutant selection window’
61 (MSW, Fig 1)(13, 14). The MSW has been successfully applied in animal models,
62 demonstrating its value to understand resistance emergence *in vivo*(15).

63 The width of the mutant selection window is partly determined by the steepness of the
64 pharmacodynamic curve (see Fig 1). Importantly the concentration range between no
65 killing and maximal killing is much narrower for AMPs than antibiotics, resulting in a
66 much steeper curve. The maximum killing rate of AMPs is much higher than of
67 antibiotics, as reflected in quicker killing time(16). Another difference relevant to the
68 evolution of resistance is the finding that many antibiotics increase mutation rates of
69 bacteria(17, 18),(19), but the AMPs tested so far do not show such an effect as they
70 do not elicit bacterial DNA damage responses (17, 18).

71 Here we use a pharmacodynamics approach that has been widely used to describe
72 sigmoid dose-response relationships (20, 21),(22, 23) to study the evolution of
73 resistance of a homogeneous population. Our work uses the formulation of
74 pharmacodynamic function from Regoes et al(20). We particularly explored how the
75 steepness of the pharmacodynamic curve (described by the the Hill coefficient κ),
76 together with other pharmacodynamic parameters determine the probability of
77 resistance evolution(20). The potential importance of the Hill coefficient κ is often
78 overlooked in many pharmacodynamic models, where it simply set to 1 for all
79 drugs(24). Recent work includes the Hill coefficient (25, 26), indicating the
80 importance of this pharmacodynamic parameter.

81 We use this approach with different parameter values for κ , derived from empirical
82 data, as this allows us to calculate the size of the mutant selection window that
83 generalizes over all possible resistant strains. Gullberg *et al.* demonstrated(14) that
84 resistant mutants are already under positive selection below the MIC (minimum
85 inhibitory concentration) of the susceptible strain. We therefore use the mutant
86 selection concentration (MSC, Fig 1A) as the lower boundary, not the MIC of the
87 sensitive strain that was used previously(12, 13). Using empirical parameter estimates

88 for AMPs and antibiotics, we show that the probability of resistance evolution against
89 AMPs (or any drug with similar pharmacodynamics properties) is much lower than
90 for antibiotics. We therefore provide a robust and generalizable predictive framework
91 for studying the evolution of drug resistance. This is particularly useful to apply when
92 new drugs are introduced, i.e. before resistance has evolved.

93

94

95 **Results**

96

97 The mutant selection window (Fig 1) shows the concentration of an antimicrobial
98 under which susceptible strains are suppressed, but resistant strains can still grow(13).
99 We show that the lower bound of the mutant selection window (MSC) can be
100 calculated based solely on the pharmacodynamics of the susceptible strains and the
101 costs of resistance (Fig 1A, Fig 2A, equation 3). The cost is defined here as the
102 reduction of growth rate in a drug free environment.

103

104 The pharmacodynamics of AMPs and antibiotics differ significantly(10): the
105 pharmacodynamic curves of AMPs are much steeper as captured by a higher Hill
106 coefficient κ (see Fig 2A); the step from a concentration with no effect to a killing
107 concentration is therefore much smaller. This feature is likely due to a higher number
108 of “hits” that AMPs need to deliver to bacteria to kill them and perhaps cooperative
109 binding of AMPs molecules to the cell membrane(27). This results in a narrower
110 MSW for AMPs than antibiotics The MSW opens at lower concentrations when the
111 costs of resistance are low. Our re-analysis of data on antibiotic resistance against a
112 variety of antibiotics in a number of different bacterial species (data from(28)) shows

113 that the upper bound of the MSW correlates with the cost of resistance (Fig 2B).
114 Taken together we are now in a position to estimate the size of the MSW for any
115 drug, if estimates of pharmacodynamic parameters based on the sensitive strains,
116 including the MIC, the maximum effect and the steepness of the pharmacodynamics
117 curve are available (Fig 1A, Fig 2C).

118

119 Next we wanted to explore if the differences between AMPs and antibiotics in the
120 width of the MSW correlated with different probabilities of drug resistance evolution
121 within a host. A further difference between AMPs and antibiotics is that some
122 antibiotics increase mutagenesis but AMPs do not(17, 18). We incorporated this
123 difference in addition to the difference in the steepness of the pharmacodynamics
124 relationship into a stochastic model describing bacterial replication and evolution
125 under selection pressure from AMPs. We consider two cases here: (a) do resistant
126 mutants emerge (answering this question requires a stochastic model) and (b) do
127 resistant mutants drive the susceptible strains to extinction?

128

129 We find that resistance emerges with a much higher probability for the parameter
130 settings of antibiotics (top row Fig 3B) than for AMPs in our simulations (bottom row
131 Fig 3B, Fig 3A). All intermediate cases, where we simulated changes in one or two of
132 the parameters κ mutation rate and maximum effect, also reduce the probability of
133 resistance emergence compared to ‘pure’ antibiotics.

134 We also find that resistant mutants are much more likely to drive the susceptible
135 bacterial populations to extinction under antibiotic than under AMP treatment (Fig 3
136 B). Again, this result also holds when we study intermediate cases. In summary, our
137 results show that the application of drugs with low κ , mutation elevation and low

138 maximum effect, i.e. characteristics found in most common antibiotics, inherently
139 bears a high risk of causing the evolution of resistance.

140 We have shown before(10) that combinations of AMPs have higher κ and lower MICs
141 than individual AMPs. This also results in differences in resistance selection and the
142 extinction of susceptible strains, consistent with the results above.

143

144 Day *et al* (29) provided an approach to calculate a resistance hazard: a measure that
145 combines the time of resistance emergence and its selection within a host. We
146 calculated similar resistance hazard for AMPs in comparison to antibiotics. The
147 simulation results show (Fig 3C) that the hazard is much higher and the concentration
148 range much wider under antibiotic treatment than under AMP treatment. Also, when
149 resistance evolves, it emerges earlier in the antibiotic scenario than in the AMP
150 scenario at low concentrations (Fig 3D). In certain concentrations (for example,
151 around MIC in our simulation), resistance emerges earlier in AMP than in antibiotics
152 (Fig 3D). Time of emergence is mostly affected by κ and mutation rate: higher κ and
153 lower mutation rate, the latter more important when population sizes are small, confer
154 delayed resistance emergency (Fig S4).

155

156

157 **Discussion**

158 Our predictions suggest that AMPs, or in fact any antimicrobial drug with similar
159 pharmacodynamics, are much less likely to select drug-resistant mutants than
160 antimicrobials with antibiotic-like characteristics. Our theory is blind to the molecular
161 mechanism of action but captures the dynamically relevant aspects of action.

162 We assume that pharmacodynamics and mutagenic properties of AMPs are
163 significantly different from antibiotics. This assumption is based on limited data of
164 AMPs in the literature(10, 17). More experiments with a variety of antimicrobial
165 peptides are needed to determine if AMP like characteristics can be indeed
166 generalized and if these characteristics are significant different from antibiotics.

167

168 In the light of our results, increasing κ and/or the maximum effect are desirable for
169 any drug as well as advantageous to hosts managing their microbiota using AMPs.
170 Our model therefore provides useful information for the development of new
171 antimicrobial drugs: higher κ and maximum effect will impose much weaker selection
172 on the bacteria to evolve resistance in lower concentrations, and clear the bacterial
173 population more quickly in higher concentration which will, in turn, reduce the
174 probability of resistance emergence. Currently mostly AMPs display these properties,
175 but it is likely that new antibiotics that target the cell membrane or wall display
176 similar pharmacodynamics.

177

178 The smaller MSW under AMPs is a direct consequence of the steeper
179 pharmacodynamic functions(10). It is important to note that this relationship hinges
180 on the realization that the window opens at the concentration at which the resistant
181 strains have a higher growth rate than the sensitive strain, well below the MIC of the
182 sensitive strain(14). Thus, a high Hill coefficient (κ) would constitute a promising
183 characteristic of new antimicrobials. The other characteristics in which AMPs differ
184 from antibiotics – mutagenesis and maximum effect – affect mostly the time until
185 resistance emerges, but not the size of the MSW. Because this time becomes shorter

186 with higher population sizes, these characteristics may have less significance for
187 clinical infections (30).

188

189 We find that time to resistance emergence in AMPs is longer than in antibiotics when
190 the concentration is low (subMIC). Around MIC resistance against AMPs seems to
191 emerge quicker than against antibiotics (FIG D). This counterintuitive result is
192 explained by the fast removal of the sensitive strains caused by the combination of
193 high κ and low p_{simin} and is not related to the mutation rate *per se*. Overall the
194 probability of resistance emergence is lower for AMPs as higher concentrations
195 quickly remove the sensitive population. Chevereau *et al.*(31) reached a different
196 conclusion using a different modeling approach. They modeled the
197 pharmacodynamics only for positive growth and continuously adjusted the drug
198 concentration to maintain the overall growth rate at half of the maximal in the
199 simulation. In this scenario, drugs with sensitive dose-response would facilitate
200 evolution due to the wide distribution of fitness, a scenario that seems unlikely in real
201 antimicrobial treatment.

202

203 One recommendation derived from our modeling approach is that drugs that show
204 pharmacodynamics resembling AMPs should be good candidates for slowing the
205 evolution of resistance. Interestingly, combinations of AMPs result in increased κ ,
206 which our model predicts to bear lower risks of evolution of resistance(10). It is often
207 argued that combination therapy reduces resistance evolution (but also see (32)), as it
208 is supposedly more difficult to evolve resistance against more than one mechanism at
209 a time. Our approach indicates that combination therapy might even prove effective if

210 there are mutations that confer complete cross-resistance to the drugs in the
211 combination.

212

213 It has been proposed that bacterial resistance evolution against AMPs is highly
214 unlikely (5, 8). Yet, *in vitro* experimental evolution has demonstrated that resistance
215 to AMPs can arise (33–35) and AMP-resistance mechanisms have been characterized
216 (36). Against antibiotics, resistance can increase the MIC by 2-3 orders of magnitude
217 in a relatively small bacterial population(37), a range that has not been observed for
218 AMPs. Though AMPs provide promising leads for drug development (4), their
219 conserved killing mechanisms also argue for caution. In their paper ‘arming the
220 enemy’, Bell et al.(38) discussed the high likelihood of cross-resistance against, for
221 example, human AMPs. This problem has hardly been studied. Our analysis suggests
222 how one could reap the benefits of AMPs without arming the enemy: we should rely
223 on agents with AMP-like pharmacodynamics. This in principle can be adopted
224 without using AMPs themselves.

225

226 Pharmacodynamic estimates can be easily and routinely obtained from time-kill
227 curves. This can also be achieved for drug combinations(10). A report by the
228 *Leopoldina*, the German National Academy of Sciences, recently recommended to
229 use new drugs only in combination to avoid fast resistance evolution(39). The
230 scientific support for this notion is limited and controversial(32, 40, 41). In clinical
231 situations pharmacodynamic approaches can provide a first informed guess. Also, the
232 risk of resistance evolution based on the pharmacodynamics of drug candidates will
233 be a useful additional criterion to develop new drugs. We would also like to note that
234 the concept of the mutant selection window has been applied to understand antiviral

235 resistance evolution(42), and hence our approach has the potential to inform antiviral
236 resistance research and ultimately treatment as well.

237 In order to generate predictions on resistance evolution based on pharmacodynamics,
238 one of our main goals of the project, we made a number of simplifying assumptions.

239 The pharmacodynamics are based on data of initial killing only. Moreover, we
240 assume homogeneous populations over time and space. Expanding the framework to
241 integrate tolerance and resistance is possible but would require pharmacodynamic
242 estimates and additional functions. Another possible extension of our work would be
243 to include pharmacodynamic estimates of resistant strains that change over time due
244 to compensatory mutations and to cross resistance or collateral sensitivity when
245 exposed to combinations of antimicrobials. Finally, we assumed the same
246 pharmacokinetics for all cases in our study. As AMPs are currently rarely used
247 (Colistin being the notable exception), future empirical work will inform realistic
248 parameter estimates for pharmacokinetics. In all cases however, the basis of any
249 analysis concerning resistance evolution is the influence of individual
250 pharmacodynamic parameters, for which we provide a framework.

251

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254

255 **Materials and Methods**

256 For the parameterization of the predictive models, we used two main sources. The
257 pharmacodynamic parameters are taken from one of our own studies that determines
258 pharmacodynamics for AMPs and antibiotics under standardized conditions(10). In
259 short, time kill experiments with different AMP concentrations were conducted and

260 the slopes of the linear regressions were used to calculate the parameters of the
261 pharmacodynamic function. Here, we only took into account the initial kill rates and
262 assumed a homogeneous population structure. The estimates of mutation rates again
263 are from our own comparative study on mutagenesis under AMP and AB
264 treatment(17) .

265

266 *Calculation of the size of the mutant selection window*

267 The size of the mutant selection window (MSW) depends on the lower and upper
268 bound of the MSW and is calculated as

$$269 \quad size_{MSW} = \frac{MIC_R}{MSC}. \quad (0)$$

270 The lower bound of the MSW is the concentration for which the net growth rate of the
271 resistant strain is equal to the net growth rate sensitive strain and is called the minimal
272 selective concentration (MSC). The upper bound of the MSW is the MIC of the
273 resistant strain (MIC_R) (Fig 1 A).

274 To analytically describe the MSW, we use the pharmacodynamic (PD) function $\psi(a)$,
275 which mathematically describes the net growth rate with a Hill function:

$$276 \quad \begin{aligned} \psi(a) &= \psi_{max} - d(a) \\ &= \psi_{max} - \frac{(\psi_{max} - \psi_{min})(a / MIC)^\kappa}{(a / MIC)^\kappa + \psi_{min} / \psi_{max}} \end{aligned} \quad (0)$$

277

278 ((10, 20, 21)). Here, a is the antimicrobial drug concentration, $\psi(a = 0) = \psi_{max}$, $d(a)$ is
279 the effect of the antimicrobial with the dose a , and $\psi(a \rightarrow \infty) = \psi_{min}$. Therefore, the
280 maximal effect E_{max} is $E_{max} = \psi_{max} - \psi_{min}$. The parameter MIC denotes the
281 concentration that results in zero net growth (this definition differs from the "official"
282 MIC definition by Mouton et al (43)). The Hill coefficient κ describes the steepness of
283 the curve; functions with higher κ describe steeper curves (Fig 2A). For illustration of

284 the pharmacodynamic parameters see Fig S3). Cost of resistance c is included as a
285 reduction of the maximum growth rate of the resistant strain in absence of
286 antimicrobials with $c = 1 - \psi_{max,R} / \psi_{max,S}$ (Fig 1A, 2A). The pharmacodynamic
287 function can be described for both a drug susceptible strain S and a drug-resistant
288 strain R , with $\psi_S(a)$ and $\psi_R(a)$, respectively. The MSC is calculated as $\psi_S(a) = \psi_R(a)$.
289 We assume that the net growth rate of the resistant strain below the MSC is, for any
290 given concentration a , with $0 < a < MSC$, approximately at the same level as without
291 antimicrobials and therefore we set $\psi_R(a) \approx \psi_{R,approx}$ (illustrated in Fig 2A). With
292 $\psi_{R,approx} = \psi_{max,R} = \psi_{max,S}(1-c)$, we are able to describe the net growth rate of the
293 resistant strain with the net growth rate of the sensitive strain $\psi_{max,S}$ and the costs of
294 resistance c : $\psi_R(a) \approx \psi_{R,approx} = \psi_{max,S}(1-c)$. This is valid because $MIC_R \gg MIC_S$ and
295 assuming $\kappa_R \gg \kappa_S$. The analytic solution of the MSC is

$$296 \quad MSC = MIC_S \left(\frac{c\psi_{min,S}}{\psi_{max,S}(c-1) + \psi_{min,S}} \right)^{1/\kappa_S}. \quad (0)$$

297
298 *Analysis of the relationship between cost of resistance c and MIC_R*

299 Data(44) determining relationship between fitness of resistant strains and
300 MIC_R/MIC_S was re-analyzed. The dataset contained information about increase of
301 MIC due to resistance and fitness of the resistant strain. The dataset summarizes
302 cases of bacterial resistance to antibiotics. Similar data for AMPs have been
303 compiled recently(30) but are yet too scarce to include in the following analysis.
304 We therefore assumed similar relationships for both antibiotics and AMPs.
305 We calculated cost of resistance c as $c = 1 - \text{fitness}$, using $n = 128$ observations
306 compiled in the mentioned dataset. Fitting a \log_{10} transformed linear regression
307 to the data resulted in the parameterized function $\log_{10}(MIC_R/MIC_S) = 2,59 * c +$

308 1,65, ($R^2 = 0.22$). The data was then resampled with using bootstrapping to (i)
309 determine the 95% confidence interval of log-linear regression of the data as
310 interval, where 95 % of the regression fall into (see fig. 2B) and (ii) to include the
311 variance of the data when determining the size of the mutant selection window
312 (MSW)(see fig. 2C). For the latter, the given dataset was fitted to the mentioned
313 log-linear regression 200 times, resulting in 200 parameter sets for the
314 regression. Each parameter set was then used to calculate the size of the MSW
315 depending on the cost of resistance. The 95% confidence interval was then
316 calculated as the interval, in which 95% of the calculated size of the MSW are in
317 for a given cost.

318

319 *Model of evolution and prediction of resistance*

320 To study resistance evolution we used a mathematical model that incorporates
321 pharmacodynamics (PD) and pharmacokinetics (PK) and captures population
322 dynamics of bacterial populations under treatment with antimicrobial drugs(20). We
323 ran stochastic simulations to calculate the probability of resistance emergence, the
324 probability of the resistant strain, the time to resistance emergence and the risk of
325 resistance (the resistance hazard(29)).

326

327 To simulate treatment, we consider a patient harboring 10^6 susceptible bacteria.
328 Bacterial mutation rates are assumed to depend on the antimicrobial used for
329 treatment (antibiotics or AMPs). When a resistant strain arises it is assumed to have
330 an MIC ten-fold that of susceptible wild-type strain. For simplicity, we only consider
331 one type of mutant. Antimicrobials are administered every day (see Supplement for
332 pharmacokinetics), and treatment lasts one week.

333 The population dynamics of the susceptible and resistant strains is captured in the
 334 following system of differential equations:

335

$$\begin{aligned}
 \frac{dS}{dt} &= r_S (1 - \mu) S \left(1 - \frac{S + R}{K} \right) - [d_S + d_n] S \\
 \frac{dR}{dt} &= r_R R \left(1 - \frac{S + R}{K} \right) + \mu r_S S \left(1 - \frac{S + R}{K} \right) - [d_R + d_n] R.
 \end{aligned}
 \tag{0}$$

337

338

339 Where S represents the wild-type strain and R represents the resistant strain. The
 340 maximum net growth rate ψ_{max} is the difference between the replication rate r and the
 341 intrinsic death rate d_n : $\psi_{max} = r - d_n$. μ is the mutation rate.

342

343 To include the change of antimicrobial concentrations over time (pharmacokinetics)
 344 into our model, we define the death rate to be dependent on the time-dependent
 345 antimicrobial concentration $a(t)$:

$$d_i(a(t)) = \frac{(\psi_{max} - \psi_{min})(a(t)/MIC)^K}{(a(t)/MIC)^K - \psi_{min}/\psi_{max}}, \quad i = S, R \tag{0}$$

347

348 We assume a time-dependent pharmacokinetic function $a(t)$ of the following form
 349 (see also Fig S2):

$$a(t) = \sum_n \frac{Dk_a}{k_a - k_e} \left(e^{-k_e[t-(n-1)\tau]} - e^{-k_a[t-(n-1)\tau]} \right), \quad n = 1, 2, 3K$$

351

352

353 Here, k_a is the absorption rate, and k_e is the decay rate. D is the dose given each time,
 354 n is the number of doses, τ is the dosing frequency. We define the treatment dose as
 355 the average concentration in the course of treatment:

$$\bar{a} = \frac{1}{t} \int a(t) dt \tag{0}$$

357

358 We implemented the model in Equation 4 stochastically using the Gillespie
359 algorithm(45), which allowed us to monitor how frequently mutants arise. Parameters
360 were selected based on empirical data as stated above. The net growth rate of wild-
361 type in the absence of antimicrobials was set as 1. Mutants suffer fixed or resistant-
362 level related costs (see Fig 2). κ of AMPs and antibiotics were set as 5 and 1.5,
363 respectively (10). ψ_{\min} for AMPs is fixed as -50 hour^{-1} ; and for antibiotics is fixed as -
364 5 hour^{-1} . Mutation rates in AMPs are assumed to be three times lower than in
365 antibiotics, in accordance with our empirical estimates (17). All the parameters and
366 their values are listed in Table S1. All the pharmacokinetic parameters are the same in
367 different simulations (see Fig S2). For each set of parameters, cohorts of five hundred
368 infected individuals were simulated. Successful treatment is defined as complete
369 clearance of both sensitive and resistant strains at the end of the one-week treatment.
370 For each cohort, we calculate the probability of treatment success as the proportion of
371 individuals in whom treatment was successful. In each individual, we score the time
372 of emergence of resistance strains, and estimate the resistance hazard based on the
373 average probability of treatment success and the population size of bacteria over time.
374 The hazard function can be written as,

$$375 \quad H(a, t) = \frac{1}{Kt} \int S(a, t) p_{S \rightarrow R}(a) \psi_R(a) dt, \quad (0)$$

376 where K is the capacity, S denotes population size of sensitive strain and $p_{S \rightarrow R}$ is
377 probability of a treatment developing resistance, which is calculated from the results
378 of simulations, ψ_R is the growth rate of resistant strain. Our hazard function calculates
379 the average proportion of resistant population under certain treatment dose and
380 duration.

381

382 *Implementation*

383 The analysis was performed in R (v. 3.1.3&v. 3.2.2) (46) using RSTUDIO (v.
384 0.98.1103&0.99.903)³⁵. The code is available upon request.

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386

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395 results. GY was primarily responsible for the predictive modelling, DYB for the
396 PDwork. All authors contributed to the writing of the paper. JR wrote the first draft,
397 RRR led the mathematical work.

398

399 **Competing interests:** None of the authors has competing interests.

400

401 **Data and materials availability:** The model will be made available as a remarkup
402 document for use.

403

404

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406

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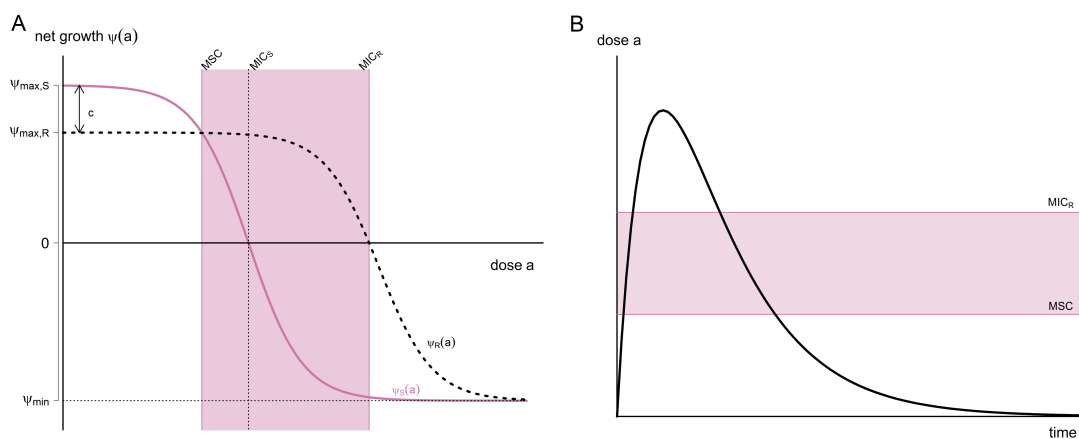
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522 FIGURES

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527 **Fig 1. The revised mutant selection window and pharmacodynamic parameters.**

528 **(a)** The mutant selection window (MSW) is defined as the antimicrobial concentration

529 range in which resistant mutants are selected (13). Following (14), we determine the

530 MSW using net growth curves of a susceptible strain S and a resistant strain R .

531 Mathematically, net growth is described with the pharmacodynamic function $\psi(a)$

532 ((20), see Materials and Methods and Fig S3 for details). In short, the function

533 consists of the four pharmacodynamic parameters: net growth in absence of

534 antimicrobials ψ_{max} , net growth in the presence of a dose of antimicrobials, which

535 effects the growth maximal, ψ_{min} , the MIC and the parameter κ , which describes the

536 steepness of the pharmacodynamic curve. Here, the two pharmacodynamics functions

537 $\psi_S(a)$ (continuous pink line) and $\psi_R(a)$ (dotted black line) describe the net growth

538 of the S and R , respectively, in relation to the drug concentration a . Cost of resistance

539 c is included as a reduction of the maximum growth rate of the resistant strain

540 $\psi_{max,R}$, with $c = 1 - \psi_{max,R}/\psi_{max,S}$. Note that with this definition, cost of

541 resistance is expressed as reduction in net growth rate in absence of antimicrobials (a

542 = 0). The lower bound of the MSW is the concentration for which the net growth rate

543 of the resistant strain is equal to the net growth rate of the sensitive strain and is called

544 the minimal selective concentration (MSC) (see Materials and Methods for analytic

545 solution, see Fig S1 for how the MSC is influenced by pharmacodynamic parameters

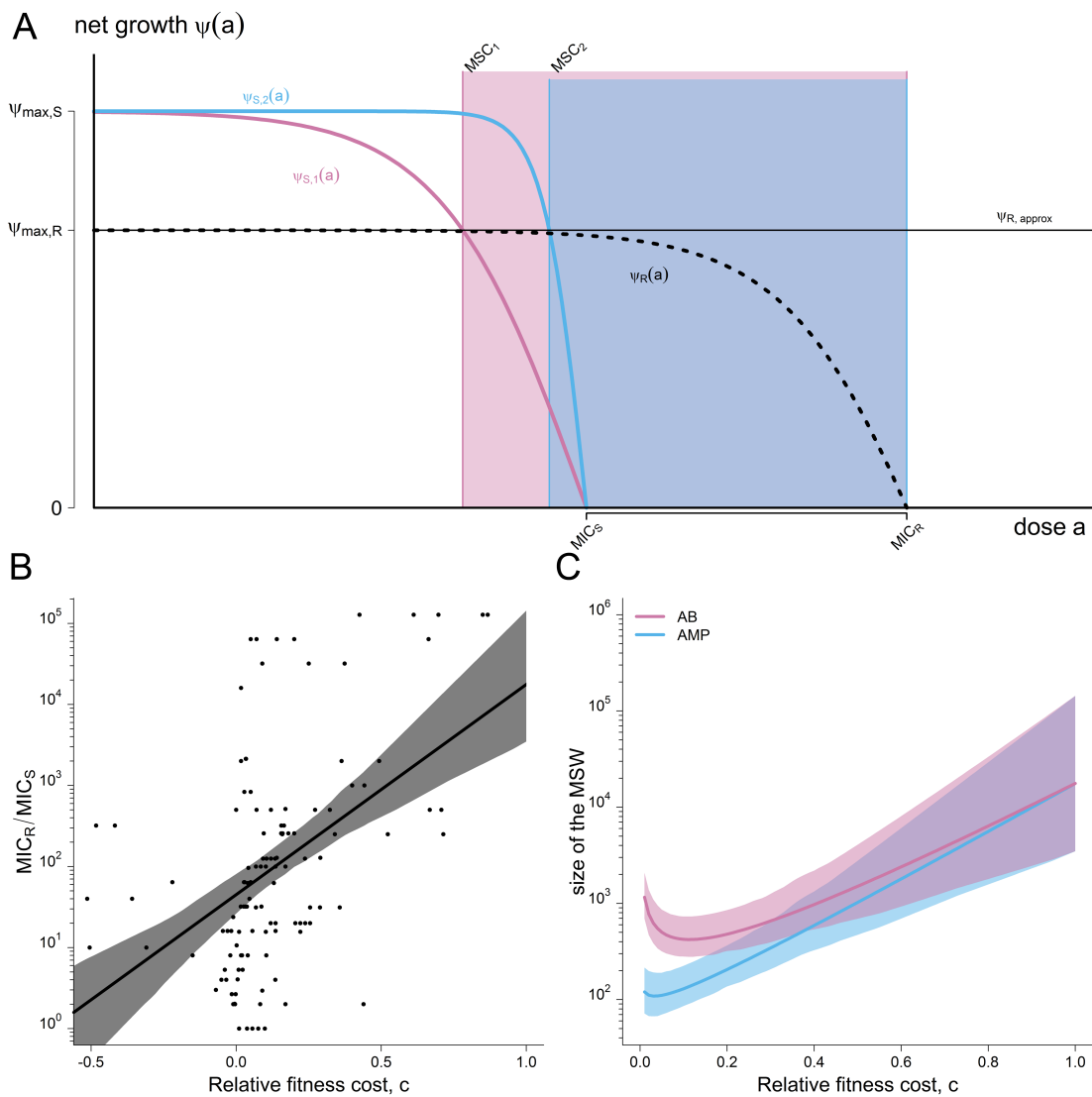
546 of the sensitive strain). The upper bound is given by the MIC of the resistant strain

547 MIC_R . We calculate the size of the MSW as : $size(MSW) = \frac{MIC_R}{MSC}$. **(b)** The

548 boundaries of the MSW applied to the pharmacokinetics of the system.

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553 **Fig 2. The mutant selection window for arbitrary mutant strains.** The two

554 boundaries of the MSW, MSC and MIC_R , are influenced differently by the

555 pharmacodynamic parameters of the sensitive strain S and the resistant strain R. **(a)**

556 The lower boundary of the MSW (MSC) depends primarily on the pharmacodynamic

557 parameters of the sensitive strain, assuming that the net growth rate of the resistant

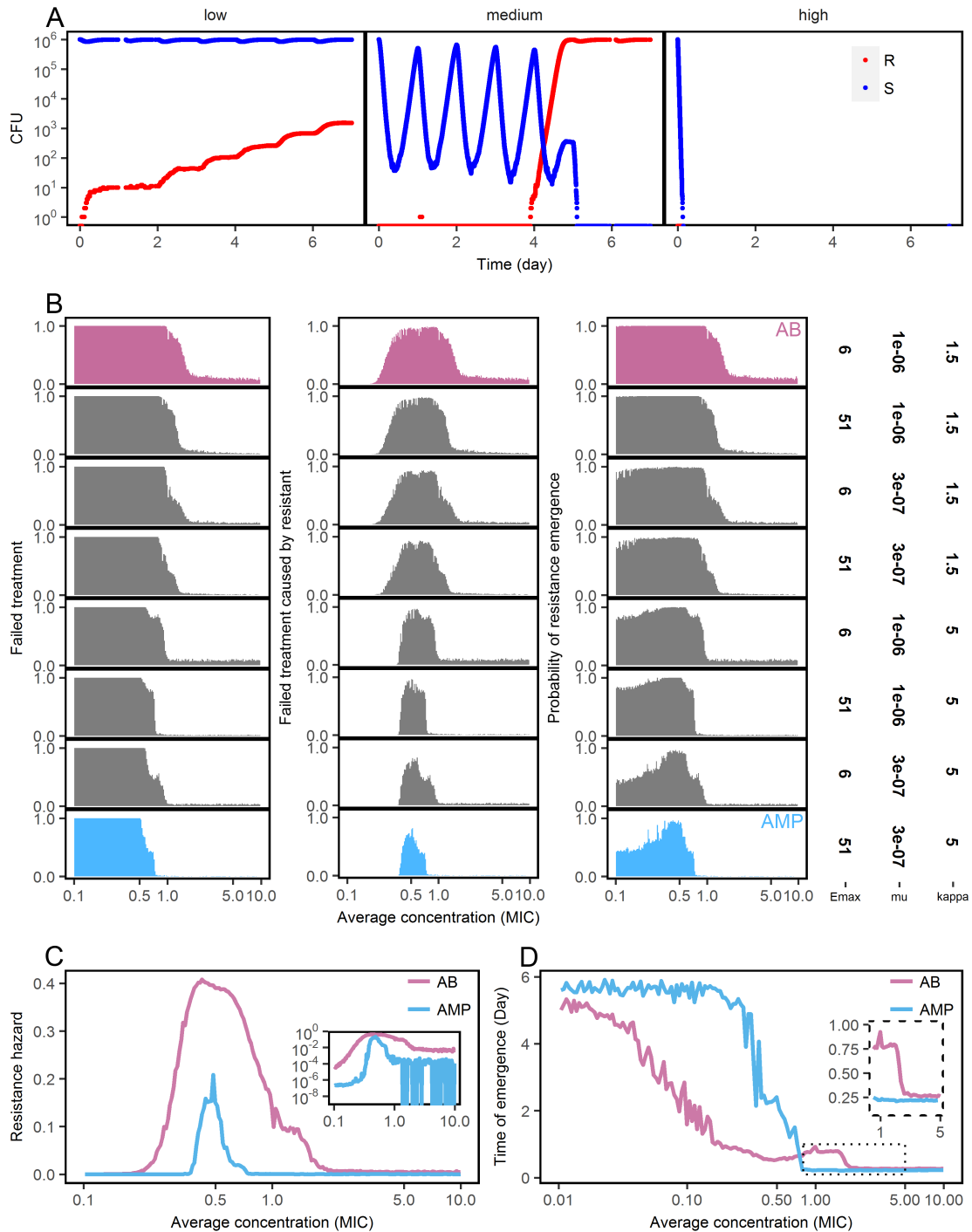
558 strain below the MSC is approximately at the same level as without antimicrobials:

559 $\psi_R(a) \approx \psi_{max,S}(1 - c) = \psi_{R,approx}$, for $0 < a < MSC$ (ψ_R : dotted black line;

560 $\psi_{R,approx}$: continuous black line) (see Materials and Methods for details). The effect

561 of each of the four pharmacodynamic parameters and of the cost of resistance on the

562 MSC is depicted in Fig S1. We plotted the pharmacodynamic function $\psi_S(a)$ of two
563 sensitive strains with varying κ values: $\psi_{S,1}(a)$ representative for Abs with a small κ
564 ($\kappa = 1.5$, pink) and $\psi_{S,2}(a)$ representative for AMPs with a large κ ($\kappa = 5$, blue).
565 Increasing the κ value results in increasing the MSC (MSC_1 (pink) $<$ MSC_2 (blue)). **(b)**
566 The upper boundary of the MSW is per definition the MIC_R , which is linked to its
567 fitness cost, i.e. the upper boundary MIC_R increases with costs c (data from(44)).
568 Here, the log-linear regression and the 95% confidence interval are plotted. See
569 materials and methods for details of the statistics. **(c)** The relationship between cost of
570 resistance, other pharmacodynamic parameters, and the size of the MSW is complex.
571 We show that because both boundaries of the MSW – the MSC and the MIC_R – are
572 influenced by costs of resistance c , the lowest MSW window size is achieved at
573 intermediate cost of resistance c . We plotted the size of the MSW (line) and the 95%
574 confidence intervals for both AMP-like and AB-like pharmacodynamics, with
575 $\psi_{max,S} = 1$, $MIC_S = 1$, $\psi_{min,S,AB} = -5$, $\psi_{min,S,AMP} = -50$, $\kappa_{S,AB} = 1.5$ and
576 $\kappa_{S,AMP} = 5$. $\psi_{max,R}$ was calculated using the relationship $\log_{10}(MIC_R/MIC_S) = 2,59 * c + 1,65$.
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582 **Fig 3. Evolution of drug resistance determined by pharmacodynamics.**

583 (a) At high dose antimicrobials achieve maximal effects and rapidly kill most of the
 584 population, preventing resistance evolution (left). At medium dose, the sensitive strain
 585 will not be eliminated immediately, and resistant mutants emerge (central). At low

586 dose, the sensitive strain will not be removed, the mutants emerge as well, but will not
587 quickly reach equilibrium due to substantial fitness costs (right, resistant: pink,
588 susceptible: blue), **(b)** Simulations comparing the range from ‘pure’ antimicrobials
589 peptides (AMP) to ‘pure’ antibiotics (AB) by altering μ , ψ_{min} and κ . We find that the
590 probabilities of treatment failure (left), of failure caused by resistant strains (middle)
591 and of resistance emergence are always higher under the AB-scenario than the AMP-
592 scenario. A successful treatment requires less AMP than AB. **(c)** Following (29) we
593 calculate the resistance hazard as the time-averaged proportion of mutants in a patient
594 under a particular treatment dose. We find that AMPs are much less likely to select
595 for resistance across concentrations than antibiotics (inset graph: a log-scale view).
596 **(d)** Time to resistance is much longer under AMP than AB treatment when the
597 average concentration is below MIC, but shorter around MIC and equal in higher
598 concentrations (inset graph). The parameters are: $\psi_{max,S} = 1$, $\psi_{max,R} = 0.9$,
599 $\kappa_{AB} = 1.5$, $\kappa_{AMP} = 5$, $\psi_{min,AB} = -5$, $\psi_{min,AMP} = -50$, $MIC_S = 10$, $MIC_R =$
600 $MIC_S * 10^{[2.59 * (\psi_{max,S} - \psi_{max,R}) + 1.65]}$. $\mu_{AB} = 10^{-6}$, $\mu_{AMP} = 3 * 10^{-7}$, $k_a =$
601 0.5 , $k_e = 0.2$, $d_n = 0.01$, $\tau = 1/24$.
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