

1 The neural representation of personally familiar and
2 unfamiliar faces in the distributed system for face
3 perception

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17 **Abstract**

18 Personally familiar faces are processed more robustly and efficiently than unfamiliar
19 faces. The human face processing system comprises a core system that analyzes the
20 visual appearance of faces and an extended system for the retrieval of person-
21 knowledge and other nonvisual information. We applied multivariate pattern analysis
22 to fMRI data to investigate aspects of familiarity that are shared by all familiar
23 identities and information that distinguishes specific face identities from each other.
24 Both identity-independent familiarity information and face identity could be decoded
25 in an overlapping set of areas in the core and extended systems. Representational
26 similarity analysis revealed a clear distinction between the two systems and a
27 subdivision of the core system into ventral, dorsal and anterior components. This
28 study provides evidence that activity in the extended system carries information about
29 both individual identities and personal familiarity, while clarifying and extending the
30 organization of the core system for face perception.

31

32 **Keywords:** personally familiar faces; mvpa; decoding; brain networks; core and
33 extended systems; representational similarity analysis.

34 Introduction

35 A wide and distributed network of brain areas underlies face processing. The model
36 by Haxby and colleagues (Gobbini & Haxby, 2007; Haxby & Gobbini, 2011; Haxby,
37 Hoffman, & Gobbini, 2000) posited a division between a core system involved in the
38 processing the visual appearance of faces—comprising the Occipital Face Area (OFA),
39 the Fusiform Face Area (FFA), and the posterior Superior Temporal Sulcus (pSTS)—
40 and an extended system, comprising parietal, frontal, and subcortical areas, involved
41 in inferring socially relevant information from faces, such as direction of attention,
42 intentions, emotions, and retrieval of person knowledge (Gobbini, 2010; Gobbini &
43 Haxby, 2007; Haxby & Gobbini, 2011; Haxby et al., 2000).

44 The definition of the core system has been extended to include areas in the anterior
45 fusiform gyrus (the anterior temporal face area, ATFA; Collins & Olson, 2014; Rajimehr,
46 Young, & Tootell, 2009), the anterior superior temporal sulcus (aSTS-FA; Carlin,
47 Calder, Kriegeskorte, Nili, & Rowe, 2011; Duchaine & Yovel, 2015; Pitcher, Dilks, Saxe,
48 Triantafyllou, & Kanwisher, 2011), and the inferior frontal gyrus (IFG-FA; Duchaine &
49 Yovel, 2015; Guntupalli, Wheeler, & Gobbini, 2017; J. V. Haxby et al., 1994). For
50 example, in a recent fMRI neural decoding study with visually familiar faces (Guntupalli
51 et al., 2017), we showed that the representation of face identity is progressively
52 disentangled from image-specific features along the ventral visual pathway. While
53 early visual cortex and the OFA represented head view independently of the identity of
54 the face, we recorded an intermediate level of representation in the FFA in which
55 identity was emerging but was still entangled with head view. The human face
56 processing pathway culminated in the right ATFA and IFG-FA where we recorded a
57 view-invariant representation of face identity.

58 While both unfamiliar and familiar faces effectively activate the core system (Duchaine
59 & Yovel, 2015; & Haxby, 2006; Guntupalli et al., 2017; Natu & O'Toole, 2011; Pitcher
60 et al., 2011), familiar faces activate the extended system more strongly than unfamiliar
61 faces (Bobes, Lage Castellanos, Quiñones, García, & Valdes-Sosa, 2013; Cloutier,
62 Kelley, & Heatherton, 2011; Gobbini & Haxby, 2007; Natu & O'Toole, 2011; Taylor et
63 al., 2009). Personally familiar faces recruit Theory of Mind (ToM) areas such as the

64 medial prefrontal cortex (MPFC) and the temporo-parietal junction (TPJ), because they
65 are more strongly associated with person knowledge (Cloutier et al., 2011; Gobbini &
66 Haxby, 2007; Gobbini, Leibenluft, Santiago, & Haxby, 2004); they activate the
67 precuneus and the anterior temporal cortices, suggesting retrieval of long-term
68 episodic memories; they modulate the activity in the amygdala and insula, suggesting
69 an increased emotion processing (Gobbini & Haxby, 2007; Gobbini et al., 2004; Natu
70 & O'Toole, 2011). Because the core and extended systems have been mostly studied
71 separately, we lack a clear understanding of how personal familiarity, consolidated
72 through repeated interactions, affects the representations in the core system, and how
73 core and extended systems interact to create the known behavioral advantages for
74 personally familiar faces.

75 The behavioral literature on face processing (Bruce, Henderson, Newman, & Burton,
76 2001; A. M. Burton, Wilson, Cowan, & Bruce, 1999; Gobbini et al., 2013; Ramon,
77 Vizioli, Liu-Shuang, & Rossion, 2015; Visconti di Oleggio Castello, di Oleggio Castello,
78 Wheeler, Cipolli, & Gobbini, 2016; Visconti di Oleggio Castello, Guntupalli, Yang, &
79 Gobbini, 2014; Visconti di Oleggio Castello & Gobbini, 2015) suggests that, despite
80 the subjective impression of efficient or “expert” perception of natural faces (Diamond
81 & Carey, 1986), only familiar faces are detected and recognized more robustly and
82 efficiently, in stark contrast with the surprisingly inefficient identification of unfamiliar
83 faces. Recognition of personally familiar faces is highly accurate even when images
84 are severely degraded, while recognition of unfamiliar faces is markedly impaired by
85 variation in head position or lighting, even with good image quality (Bruce et al., 2001;
86 A. Mike Burton, Jenkins, & Schweinberger, 2011; A. M. Burton et al., 1999; Hancock,
87 Bruce, & Burton, 2000; Jenkins & Burton, 2011). Detection of personally familiar faces
88 is facilitated even in conditions of reduced attentional resources and without
89 awareness (Gobbini et al., 2013).

90 The representations of familiar and unfamiliar faces may differ in multiple ways.
91 Familiar identities could have more robust, individually-specific representations, which
92 are learned and consolidated over the course of personal interactions. Alternatively,
93 familiar face representations could be enhanced with attributes that are similar across
94 many personally familiar faces. For example, personally familiar faces (especially those

95 used in the present and our previous experiments that are faces of close relatives of
96 personal friends) are associated with person-knowledge and emotional attachment
97 that lead to social interactions that are different from the interactions with strangers,
98 and these attributes may be shared across many familiar—one may be more open
99 and unguarded with family and personal friends (Gobbini et al., 2004).

100 Here we applied multivariate pattern analyses (MVPA; Haxby et al., 2001; Haxby,
101 Connolly, & Guntupalli, 2014), including MVP classification (MVPC) and
102 representational similarity analysis (RSA; Kriegeskorte & Kievit, 2013) with two goals in
103 mind. First, we wanted to dissociate familiarity information from identity information in
104 the core and extended systems. Second, we wanted to investigate the relationships
105 among core and extended face processing areas by examining the similarities of their
106 representational spaces using second-order representational geometry (Guntupalli et
107 al., 2016; Kriegeskorte & Kievit, 2013; Kriegeskorte, Mur, & Bandettini, 2008).

108 We first derived independent neural measures of identification and familiarity. To
109 prevent any effect of familiarity information in identity decoding, we performed identity
110 classification separately for familiar and unfamiliar faces. To control for the effect of
111 identity-specific visual information in familiarity decoding, we trained classifiers to
112 distinguish familiar from unfamiliar faces, and tested them on left-out identities. The
113 results replicated the distinction between the representations of personally familiar
114 and unfamiliar faces in the extended system that was previously revealed only with
115 univariate analysis (Gobbini & Haxby, 2007), showing that this effect captured factors
116 that were common across familiar faces and invariant across identities.

117 To unravel the representational structure of the face processing network, we
118 investigated the relationships among the areas of the core and extended system
119 uncovered by the classification analyses. Using the approach used by Guntupalli et al.
120 (2016) (see also Kriegeskorte et al., 2008), we studied the similarities between
121 representational geometries (Kriegeskorte & Kievit, 2013) in different face-processing
122 areas (second-order representational geometry). This analysis revealed clear
123 distinctions between the core system and the extended system, supporting the model
124 by Gobbini & Haxby (2007), Haxby & Gobbini (2011), Haxby et al. (2000). In addition,

125 the results support the extension of the core system to more anterior areas, such as
126 the ATFA, the aSTS-FA and IFG-FA (Collins & Olson, 2014; Duchaine & Yovel, 2015;
127 Fairhall & Ishai, 2007; Guntupalli et al., 2017; Rajimehr et al., 2009), and reveal a finer
128 subdivision of this system into ventral, dorsal, and anterior components.

129 **Results**

130 In this experiment, we investigated the face processing network while participants
131 performed an oddball-detection task with faces of friends and strangers (see Figure 1).
132 We first investigated which areas responded more strongly to familiar faces than
133 unfamiliar ones with a standard GLM analysis. Because familiarity information
134 (whether a face is a familiar one) is necessarily confounded with identity information
135 (who that person is), we next used MVPC to dissociate which areas of the core and
136 extended system encode identity-independent familiarity information (familiar vs.
137 unfamiliar classification across identities), and which parts of the network encode
138 identity information. We performed two classification analyses using different cross-
139 validation schemes to control for the effect of identity on the representation of general
140 familiarity and to control for the effect of familiarity on the representation of identity.
141 For the familiarity classification, we employed a leave-two-identities-out cross-
142 validation scheme, where the classifier was trained on six faces (three familiar, three
143 unfamiliar) to distinguish between familiar and unfamiliar faces, and tested on two left-
144 out identities. This cross-validation scheme reduced the effect of identity information
145 (see Supplementary Figures 1 and 2). For the identity classification, we decoded the
146 four familiar faces and the four unfamiliar faces separately to eliminate the effect of
147 familiarity information in the classification of identity information. Finally, we
148 investigated the network structure derived from the similarities of representations to
149 investigate relationships among areas in the core and extended system.

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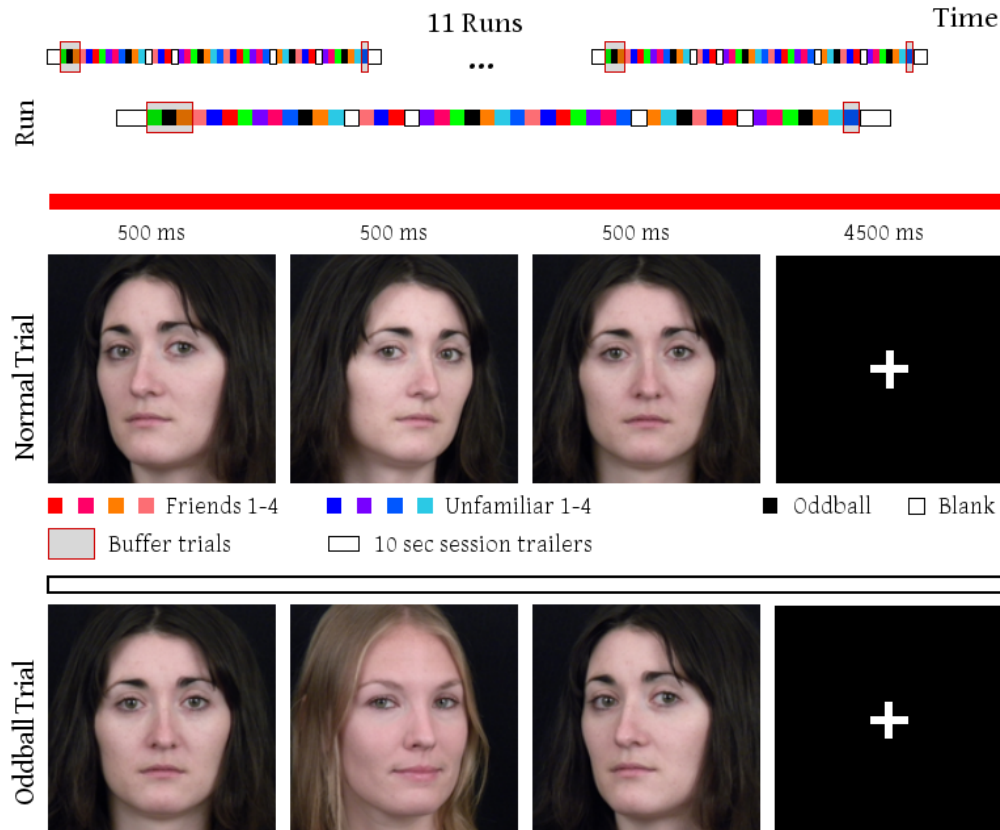


Figure 1: Slow event-related fMRI design. During each trial, images were presented in sequences of three pictures of the same identity (normal trial) or two different identities (oddball trials) in front-view or 30-degree profile views. Subjects engaged in an oddball-detection task to ensure that they paid attention to each stimulus.

151 GLM

152 In the univariate analysis contrasting Familiar > Unfamiliar we found significant
153 activation in bilateral MTG/STS extending along the full length of the right STS.
154 Additionally, we found significant clusters in the bilateral precuneus and bilateral
155 MPFC, as well as in the right IFG. Familiar faces also evoked stronger responses in
156 the left mid fusiform gyrus and the right anterior fusiform gyrus near the locations of
157 the FFA (Grill-Spector & Weiner, 2014; Weiner et al., 2013) and ATFA (Collins & Olson,
158 2014). For the contrast Unfamiliar > Familiar we found only one significant cluster in

159 the right inferior parietal lobule encroaching on the TPJ. Figure 2 shows the resulting
160 statistical maps projected on the surface.
161

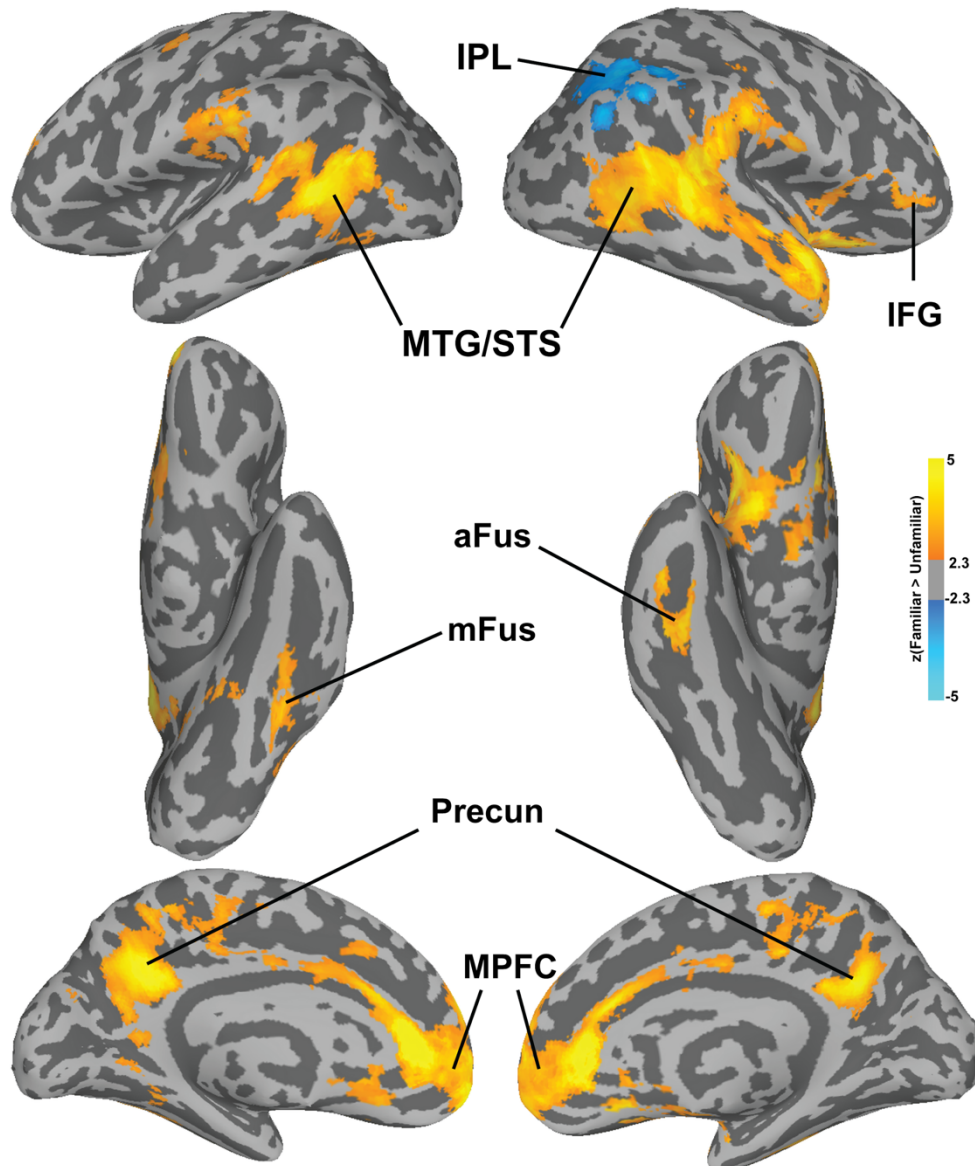


Figure 2. Cluster-corrected ($p < .05$) z-values for the univariate contrast Familiar > Unfamiliar. Abbreviations: IPL: inferior parietal lobule; mFus: middle fusiform gyrus; aFus: anterior fusiform gyrus; TPJ: temporo-parietal junction; MTG/STS: middle temporal gyrus/superior temporal sulcus; Precun: precuneus; MPFC: medial prefrontal cortex; IFG: inferior frontal gyrus.

162 **MVPA**

163 **Familiarity Classification**

164 The results of searchlight MVPC of identity-independent familiarity largely overlapped
165 with the univariate maps, showing significant classification in the bilateral MTG/STS,
166 mid and anterior right fusiform gyrus, right IFG, TPJ, precuneus, and MPFC (Figure 3).
167 Surprisingly, small patches of cortex in early visual cortex also showed significant
168 MVPC of identity-independent familiarity. We further investigated MVPC in early visual
169 cortex with additional analyses on probabilistic ROI masks from Wang, Mruczek,
170 Arcaro, & Kastner (2015), and found statistically significant decoding performance in
171 V2 and V3 (see Supplementary Methods and Supplementary Figure 7). Since testing
172 was performed on left-out familiar and unfamiliar identities, and all pictures were taken
173 with the same equipment and settings, it is unlikely that this result was due simply to
174 low-level features that distinguished familiar from unfamiliar faces. To test this further,
175 we extracted features from the layers C1 and C2 of the HMAX model (Riesenhuber &
176 Poggio, 1999; Serre, Wolf, Bileschi, Riesenhuber, & Poggio, 2007) and performed the
177 same classification analysis, and found that decoding performance was not
178 statistically significant (accuracy with C1 features 52%, $p = 0.66$; accuracy with C2
179 features 49%, $p = 0.95$; see Supplementary Methods and Supplementary Figure 8).

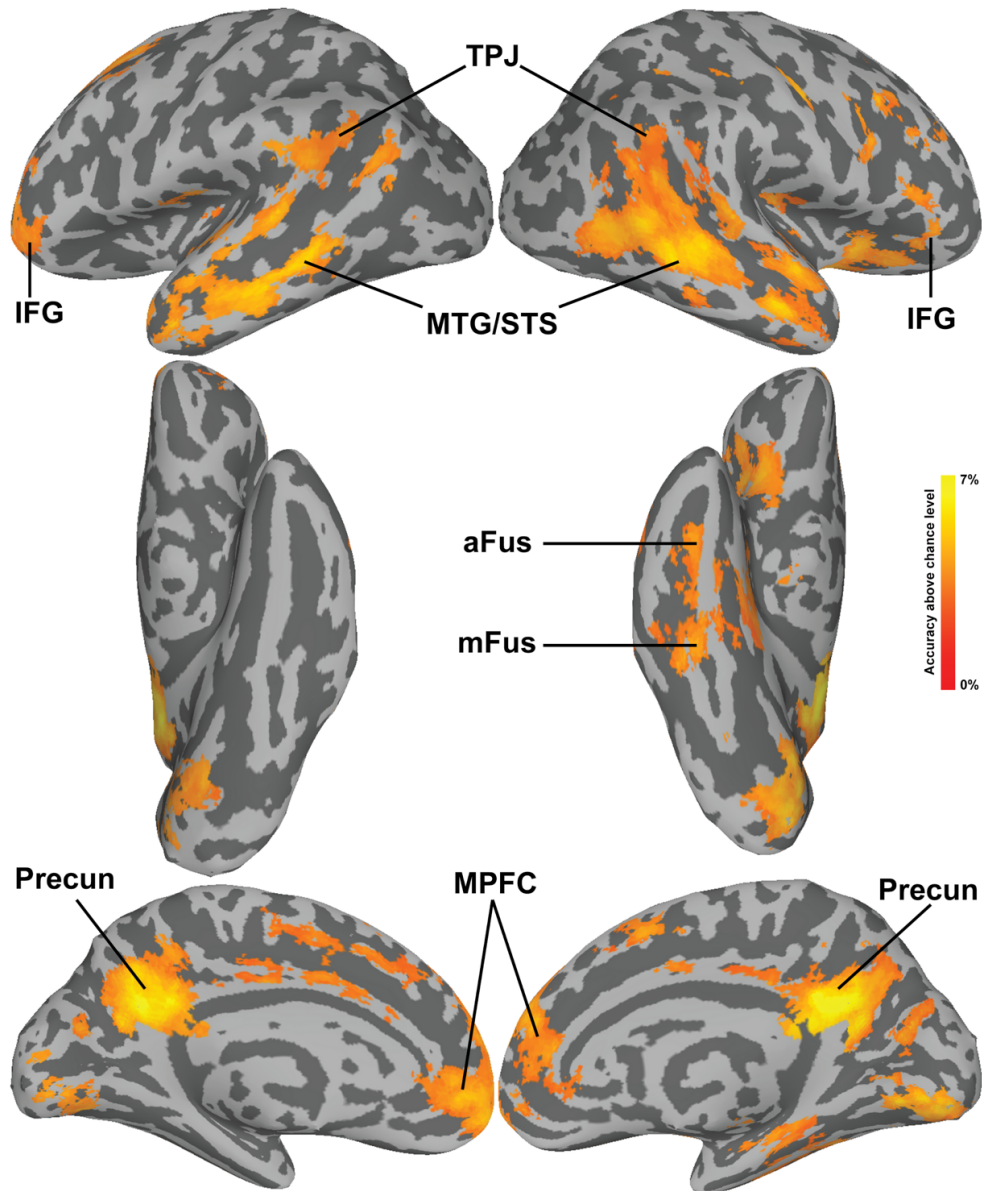


Figure 3. Searchlight maps for the Familiarity classification projected onto the surface. Maps were thresholded at a z-TFCE score of 1.65, corresponding to $p < 0.05$ one-tailed (corrected for multiple comparisons). Abbreviations: mFus: middle fusiform gyrus; aFus: anterior fusiform gyrus; TPJ: temporo-parietal junction; MTG/STS: middle temporal gyrus/superior temporal sulcus; Precun: precuneus; MPFC: medial prefrontal cortex; IFG: inferior frontal gyrus.

181 **Identity Classification**

182 The identity classification analysis showed that identity could be decoded in many of
183 the same areas as identity-independent familiarity (Figure 4). Significant classification
184 was found in the MPFC and precuneus, and in the bilateral MTG/STS, TPJ, and IFG.
185 The area in the precuneus with significant identity classification, however, was quite
186 dorsal, whereas that for significant familiarity classification was ventral and included
187 the posterior cingulate. Identity classification was significant in bilateral visual cortex
188 starting in EV and extending to occipital, posterior, and mid fusiform cortices.
189 Although MVPC of familiar identities showed a weak trend towards higher accuracies
190 than for unfamiliar identities in the IFG and MTG/STS (Supplementary Figures 4, 5,
191 and 6), these differences were not significant despite the large number of subjects.

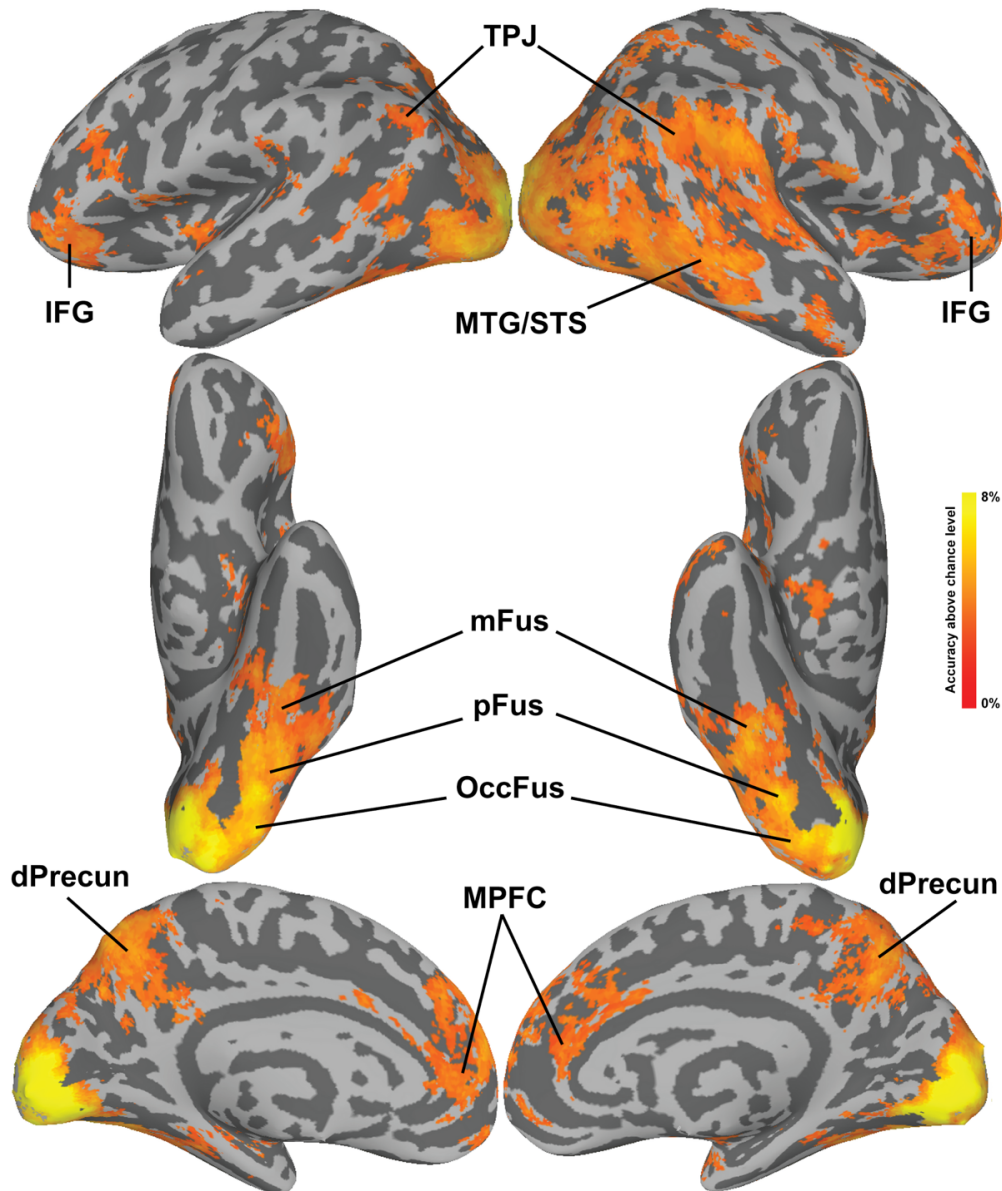


Figure 4. Searchlight maps for the Identity classification. The classification was run separately for familiar and unfamiliar identities (4-way), and the resulting maps were averaged. Maps were thresholded at a z-TFCE score of 1.65, corresponding to $p < 0.05$ one-tailed (corrected for multiple comparisons). Abbreviations: OccFus: occipital fusiform gyrus; pFus: posterior fusiform gyrus; mFus: middle fusiform gyrus; TPJ: temporo-parietal Junction; MTG/STS: middle temporal gyrus/superior temporal sulcus; dPrecun: dorsal precuneus; MPFC: medial prefrontal cortex; IFG: inferior frontal gyrus.

192 **ROI Analysis and Second-order Representational Geometry**

193 We investigated the relationships among the areas uncovered by the classification
194 analysis as a second-order, inter-areal representational geometry. We selected 30
195 spherical ROIs (see Methods for how they were selected, Figure 5 for their location,
196 and Supplementary Table 1 for their MNI coordinates) and computed a cross-
197 validated representational dissimilarity matrix (Henriksson et al., 2015) in each ROI.
198 We then constructed a distance matrix quantifying the similarity of these RDMS
199 between all pairs of ROIs. Then, we computed an MDS solution to visualize the
200 geometry of this inter-ROI matrix. Figure 6 shows the results of a 2D MDS.
201 Supplementary Figure 10 shows the distance matrix, and Supplementary Figures 11
202 and 12 show the full MDS solution.

203 The 2D solution captured relationships among areas in the ventral portion of the core
204 system in the first dimension, and relationships among areas in the dorsal and anterior
205 parts of the core system and areas in the extended system in the second dimension.
206 The first dimension showed a progression from EV areas to the posterior, mid, and
207 anterior fusiform areas. Extended system areas were all at the distant end of the first
208 dimension, as were the areas in the dorsal part of the core system (MTG/STS) and the
209 IFG. The second dimension captured distinctions among these extended and core
210 system areas, with the precuneus areas clustered together at one end, the MPFC and
211 TPJ in the middle, and the dorsal and anterior core system areas at the other end.

212 We replicated this second-order RSA on an independent fMRI dataset collected while
213 different subjects watched a full-length audiovisual movie, *Raiders of the Lost Ark*
214 (Haxby et al. 2011; Guntupalli et al. 2016). This naturalistic stimulus contained a rich
215 variety of dynamic faces that rapidly became familiar while the plot unfolded. The
216 inter-ROI similarity matrix and MDS plot replicated the results based on
217 representational geometry for the eight faces in the experiment (Figure 6). The results
218 tend to be more clearly defined for the movie data, probably due to the dynamic
219 videos, the larger data set, and hyperalignment of the data. Contributions from scene
220 context, language, music, and narrative structure might also play a role (Huth, de
221 Heer, Griffiths, Theunissen, & Gallant, 2016; Simony et al., 2016). The 2D solution

222 cleanly captured distinctions in the ventral core system in the first dimension and in
223 the extended, dorsal core, and anterior core systems in the second dimension, with
224 remarkably similar placement of ROIs on each of these dimensions between task data
225 and movie data.

226 We quantified the similarity of the within-system RDMs by running a linear mixed-
227 effect model on the correlation values and contrasting within-systems correlations
228 with between-systems correlations. We found a clear distinction between the core and
229 extended systems in terms of similarity of representational geometries. For the task
230 data, the correlations within the extended system were significantly higher than the
231 between-system correlations (estimate of the contrast “Within Extended > Between”
232 0.0993 [0.0875, 0.1111] 95% confidence interval, t-value = 16.36), while the
233 correlations within the core system were not significantly different from the between-
234 system correlations (estimate of the contrast “Within Core > Between” 0.0044
235 [-0.0043, 0.0130], t-value = 1.00). For the movie data, both contrasts were significant:
236 within-core vs. between 0.0678 [0.0619, 0.0738], t-value = 22.47; and within-extended
237 vs. between 0.1479 [0.1398, 0.1565], t-value = 35.07. Supplementary Tables 2 and 3
238 show the full parameter estimates for both models, while Supplementary Tables 4 and
239 5 report additional statistics on the subsystems.

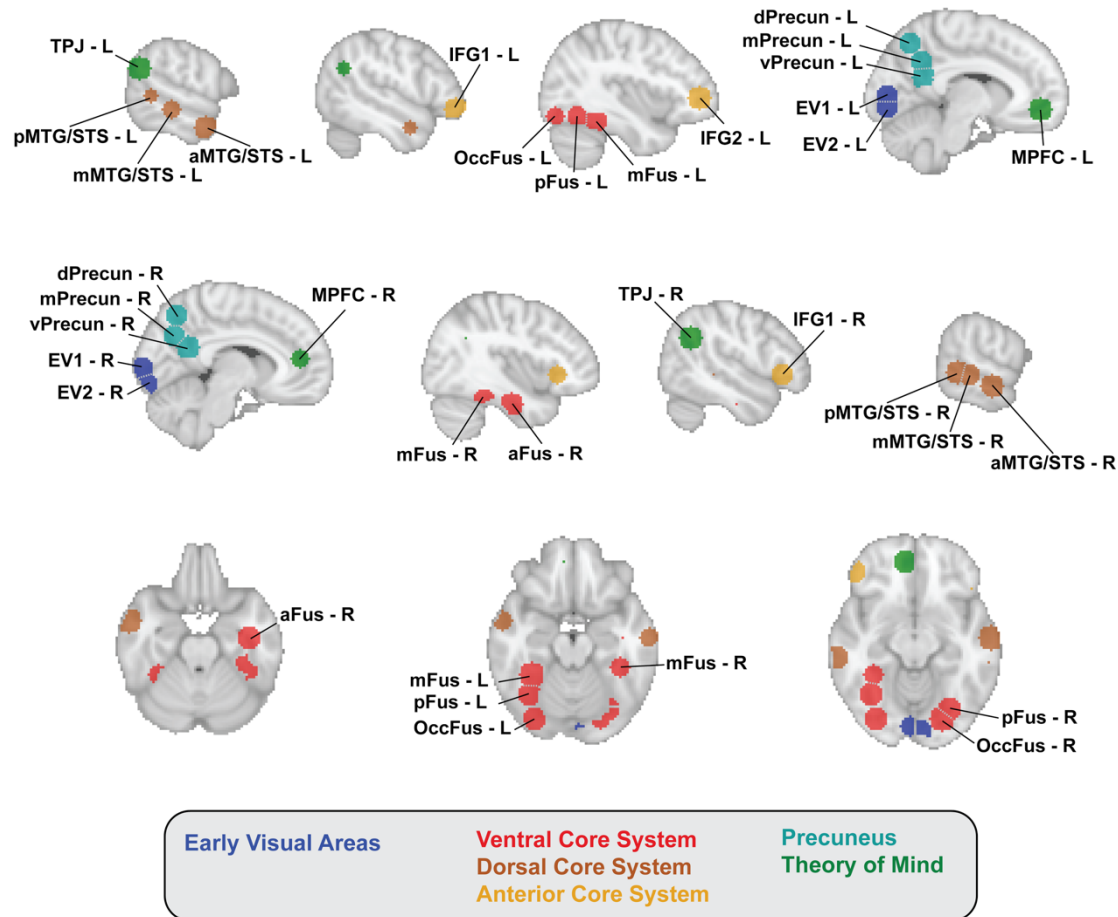


Figure 5. Spherical ROIs used to analyze the similarity of representational geometries.

Top row shows left sagittal slices; middle row shows right sagittal slices; bottom row shows axial slices. Regions are color coded according to the system they belong to. Grey dotted lines between ROIs indicates that they were contiguous but not overlapping (see Methods for details).

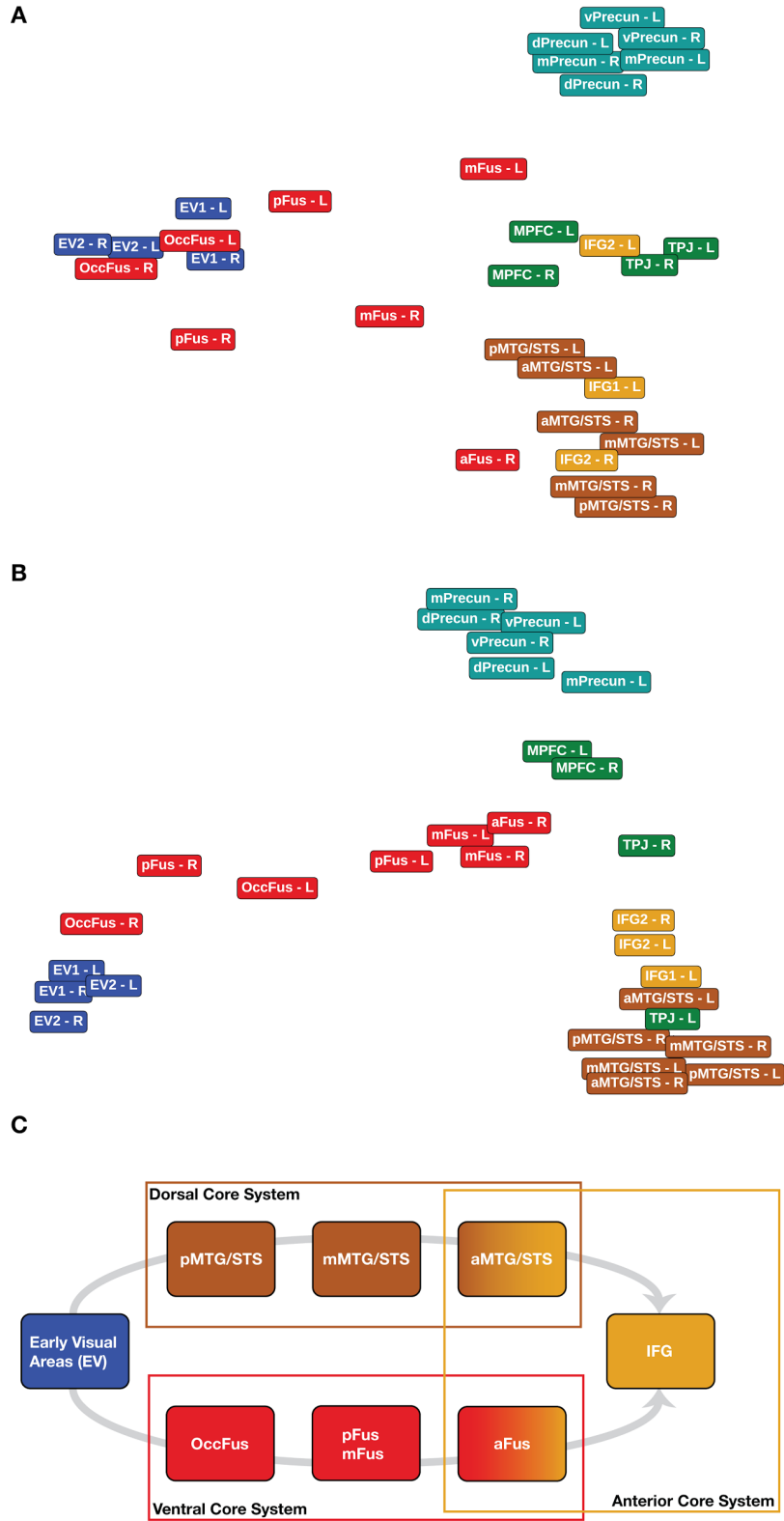


Figure 6. Similarity of neural representations in ROIs derived from familiarity and identity decoding. Top panel and middle panel show MDS solutions based on the task data (A) and the hyperaligned movie data (B) (Guntupalli et al., 2016; Haxby et al., 2011) (see Methods section for more details). The color of the labels indicates the system to which the ROI belongs to (see Figure 5 for their location and Supplementary Table 1 for the MNI coordinates). With both datasets the MDS solution shows the hierarchy from early visual cortex to ventral core system (first dimension, x-axis), as well as a segregation between the precuneus, theory of mind areas, and areas of the anterior and dorsal core system (second dimension, y-axis). Panel (C) shows the proposed division of the core system into dorsal, ventral, and anterior portions. Representation of identity and gaze in the anterior core areas are disentangled from variations in head view (Carlin, Rowe, Kriegeskorte, Thompson, & Calder, 2012; Guntupalli et al., 2017).

241

242 **Discussion**

243 In this experiment we investigated how familiar and unfamiliar faces are represented in
244 the distributed neural system for face perception. We distinguished between familiarity
245 information, abstracted from the visual appearance of the faces, and the identification
246 of individual faces, controlling for the added information of personal familiarity. These
247 analyses revealed an extensive network of areas that carry information about face
248 familiarity and identity, replicating previous studies that used univariate analyses, but
249 providing more details about the type of information present in those areas. We then
250 analyzed the second-order representational geometry of this extensive network,
251 revealing a clear distinction between the core and the extended systems for face
252 perception and a new subdivision of the areas in the core system.

253 The results suggest that the core system for face perception can be separated into
254 ventral, dorsal, and anterior subsystems. The ventral core system consists of fusiform
255 areas extending from the occipital lobe to the anterior ventral temporal lobe. The
256 dorsal system extends from the posterior MTG/STS to anterior lateral temporal
257 cortex. The representations in the dorsal core system did not appear to have strong
258 similarities with those in the ventral core system, consistent with the functional
259 distinction between dorsal and ventral areas suggested by O'Toole, Roark, & Abdi
260 (2002) and Pitcher et al. (2011). The anterior areas in the fusiform gyrus, the anterior
261 MTG/STS, and the IFG may be the convergence of the ventral and dorsal pathways in
262 which representations of faces become invariant to facial attributes such as head
263 position (Carlin et al., 2011; Guntupalli et al., 2017) and perhaps other social
264 attributes. For example, the right anterior STS plays a role in the representation of the
265 dangerousness of animals (Connolly et al., 2016) and may play a role in the
266 representation of social impressions, such as trustworthiness and aggressiveness
267 (Todorov, Gobbini, Evans, & Haxby, 2007).

268 We teased apart neural responses due to factors that are shared by familiar faces
269 from factors that are specific to familiar and unfamiliar identities. To separate identity-
270 independent familiarity information from identity-specific visual information, we
271 employed a cross-validation scheme in MVPC of face familiarity in which we tested

272 the classifier on identities that were not included in the training data. To investigate
273 identity-specific information that was independent of familiarity, we tested MVPC of
274 familiar and unfamiliar identities separately.

275 We found reliable decoding of identity-independent familiarity in extended system
276 areas that showed stronger responses to familiar faces in univariate analyses, such as
277 theory of mind areas (precuneus, TPJ, and MPFC), consistent with previous reports
278 (Gobbini & Haxby, 2007; Natu & O'Toole, 2011). Importantly, MVPC of familiarity was
279 designed to test for a familiarity effect that was not specific to familiar individuals,
280 revealing that this network does carry such identity-independent information about the
281 familiarity of faces. Both the univariate and MVPC results expand the areas reported
282 previously to include additional areas that are components of the dorsal and anterior
283 core system for face perception in the MTG/STS, anterior fusiform cortex, and IFG.
284 We suspect that our relatively large sample size made it possible to identify this more
285 extensive network.

286 Unexpectedly, we found significant decoding of familiarity information in early visual
287 cortex while controlling for identity information. Additional ROI decoding analyses in
288 early visual areas (Wang et al., 2015) revealed that familiarity information could be
289 decoded in V2 and V3 (see Supplementary Material). Low-level image differences did
290 not seem to explain this finding: familiar and unfamiliar faces were indistinguishable
291 using features extracted from the HMAX model (Riesenhuber & Poggio, 1999; Serre et
292 al., 2007). Recent studies have shown that feedback information from higher-order
293 visual areas to early visual cortex carries fine-grained information about the category
294 of the stimuli being observed (Morgan, Petro, & Muckli, 2016; Muckli et al., 2015),
295 suggesting that feedback processes might have contributed to the significant
296 familiarity decoding in early visual areas. However, future studies with paradigms
297 designed to address the nature of these feedback processes are needed to further
298 test this possibility.

299 In addition to identity-independent familiarity, the same network carries information
300 about specific identities. We tested for this type of information with separate MVPC
301 analyses of four familiar identities and four unfamiliar identities. By not including

302 familiar and unfamiliar identities in the same analysis, we could test for identity-
303 specific neural patterns that were not dependent on familiarity. Again, this network
304 was more extensive than that reported in previous studies (e.g. Anzellotti, Fairhall, &
305 Caramazza, 2013; Guntupalli et al., 2017; Kriegeskorte, Formisano, Sorger, & Goebel,
306 2007; V. S. Natu et al., 2010; Nestor, Plaut, & Behrmann, 2011), most probably due to
307 the larger number of subjects and, perhaps, the inclusion of personally familiar faces.
308 Importantly, this network included the IFG, consistent with Guntupalli et al. (2017), and
309 extended into the MTG/STS, TPJ, precuneus, and MPFC.

310 Identity decoding was also found in early visual cortex and the posterior ventral core
311 system, likely reflecting to some extent image-specific information. In Guntupalli et al.
312 (2017) we showed that view-dependent representation of faces was the dominant
313 factor in early visual cortex and the OFA. We did not find a significant difference in
314 MVPC of familiar identities as compared to MVPC of unfamiliar identities, despite the
315 large number of subjects in this study. There was a nonsignificant trend towards
316 higher MVPC accuracies for familiar identities in the IFG and MTG/STS, but more work
317 is needed to establish whether these trends are real.

318 **Conclusions**

319 Our results revealed new structure in the distributed system for face perception,
320 suggesting that the core system can be subdivided into ventral, dorsal, and anterior
321 components based on differences of representations. The anterior portion of the core
322 system may be the point at which the ventral and dorsal pathways converge to
323 generate view-independent representations of identity and of socially-relevant visual
324 information, such as direction of attention. Identity-independent information about
325 familiarity could be decoded in extended system areas such as the TPJ, precuneus,
326 and MPFC, as well as in dorsal and anterior core system areas such as the MTG/STS,
327 anterior fusiform cortex, and IFG. In sum, these results reveal new information about
328 how face perception, one of the most highly developed and socially relevant visual
329 functions, is realized in an extensive distributed system involving cortical fields in
330 occipital, temporal, parietal, and prefrontal cortices.

331 **Materials and Methods**

332 **Participants**

333 Thirty-three young adults participated in the experiment (mean age 23 y.o. +/- 3.33
334 SD, 13 males). They were recruited from the Dartmouth College community and all
335 had normal or corrected-to-normal vision. Prior to the imaging study we took pictures
336 of four friends for each participant to use as familiar stimuli. Some of these friends
337 also were study participants (pictures of 76 individuals were taken as familiar stimuli).
338 Photos of unfamiliar individuals were collected at the University of Vermont
339 (Burlington) using the same camera and lighting conditions. Prior to participation in
340 the fMRI study, subjects were screened for MRI compliance and provided informed
341 consent in accordance with the Committee for the Protection of Human Subjects at
342 Dartmouth College.

343 **Stimuli**

344 The stimuli for the fMRI experiment were pictures portraying different familiar and
345 unfamiliar identities: four friends' faces, four unknown faces, and the subject's own
346 face. For each identity we used three images with different head orientations: frontal
347 view and 30-degree profiles to the left and right with gaze towards the camera. All
348 photos on both sites (Dartmouth College and University of Vermont) were taken using
349 the same consumer-grade digital camera in a dedicated photo-studio room with black
350 background and uniform lighting.

351 Each familiar face was matched with an unfamiliar individual face, similar in age,
352 gender and ethnicity. Twenty-seven images (9 individuals, 3 head positions) were
353 used in the experimental design per each subject. Stimuli were presented to the
354 subjects in the MRI scanner using a projection screen positioned at the rear of the
355 scanner and viewed through a mirror mounted on the head coil.

356 The original high-resolution digital images were cropped to include the face from the
357 top of the head to the neck visible under the chin, centered on the face. Images were

358 scaled to 400x400 pixels. Images subtended approximately 10x10 degrees of visual
359 angle.

360 **Procedure**

361 The stimuli were presented using a slow event-related design while subjects were
362 engaged in a simple oddball task (Figure 1). A typical trial consisted of three different
363 images of the same individual, each presented for 500 ms with no gap. On catch
364 trials, one of the three images was of a different individual. The order of head
365 orientations within trials was randomized. The task was included to make sure that
366 subjects paid attention to the identity of the faces. Before entering the scanner,
367 subjects had a short practice session with each condition (one trial for each of 9
368 identities, one blank trial, and one catch trial) to be familiarized with the design and the
369 stimuli.

370 The order of the events was pseudo-randomized to approximate a first-order
371 counterbalancing of conditions (Aguirre, 2007). A functional run comprised 48 trials:
372 four trials for each of the nine individuals (four familiar, four unfamiliar and self), four
373 blank trials, four oddball and four buffer trials (three at the beginning and one at the
374 end). The buffer trials were added to optimize the trial order and were discarded from
375 the analysis. Each run had 10 seconds of fixation at the beginning (to stabilize the
376 hemodynamic response) and at the end (to collect the response to the last trials).
377 Each session consisted of 11 functional runs, resulting in 396 non-oddball trials (44 for
378 each of the nine identities).

379 **Image acquisition**

380 Brain images were acquired using a 3T Philips Achieva Intera scanner with a 32-
381 channel head coil. Functional imaging used gradient-echo echo-planar-imaging with
382 SENSE reduction factor of 2. The MR parameters were TE/TR = 35/2000 ms, Flip
383 angle = 90°, in-plane resolution = 3x3 mm, matrix size of 80x80 and FOV = 240x240
384 mm. 35 axial slices were acquired with no gap covering the entire brain except the
385 most dorsal portion (Supplementary Figure 9). Slices were acquired in the Philips-
386 specific interleaved order (slice step of 6, i.e., ceiled square root of total number of

387 slices). Each of the 11 functional runs included 154 dynamic scans with 4 dummy
388 scans for a total time of 316 seconds per run. After the functional runs a single high-
389 resolution T1-weighted (TE/TR = 3.7/8.2 ms) anatomical scan was acquired with a 3D-
390 TFE sequence. The voxel resolution was 0.938×0.938×1.0 mm with a bounding box
391 matrix of 256×256×160 (FOV = 240×240×160 mm).

392 **Image preprocessing**

393 All preprocessing steps were run using a Nipype workflow (version 0.11.0; FSL version
394 5.0.9) (K. Gorgolewski, Burns, Madison, & Clark, 2011; Jenkinson, Beckmann, Beh-
395 rens, Woolrich, & Smith, 2012), which also used functions from SciPy (Jones, Oli-
396 phant, & Peterson, 2001) and NumPy (van der Walt, Colbert, & Varoquaux, 2011). We
397 modified the preprocessing pipeline *fmri_ants_openfmri.py* and adapted it for our
398 analyses. The modified version is available at [https://www.github.com/](https://www.github.com/mvdoc/famface)
399 [mvdoc/famface](https://www.github.com/mvdoc/famface). All the preprocessing analyses were run on a computing cluster
400 running Debian Jessie with tools provided by the NeuroDebian repository (Halchenko
401 & Hanke, 2012).

402 **Preprocessing Steps**

403 We used a standard FSL preprocessing pipeline (FEAT) as implemented in Nipype
404 (*nipype.preprocess.create_featreg_preproc*), using a FWHM smoothing of 6 mm, a
405 highpass filter at 60 s cutoff, and the first volume of the first run as a reference for EPI
406 alignment. After motion correction, the BOLD time-series were masked with a dilated
407 gray-matter mask, smoothed, and then high-pass filtered. The preprocessed data
408 were then used for a GLM and MVPA analysis, with additional preprocessing steps as
409 described in the following sections.

410 **Template Registration**

411 Each subject's data (functional or second-level betas) were resliced into the MNI
412 template with 2 mm isotropic voxel size. First, a reference volume was created by
413 computing a median temporal SNR volume across functional runs. Then, we computed
414 an affine transformation registering this median tSNR volume to the subject's
415 anatomical scan using FSL's FLIRT tool (Jenkinson, Bannister, Brady, & Smith, 2002),

416 and the transformation was improved using the BBR cost function. A second non-
417 linear transformation registering the subject's anatomical image to the MNI template
418 was computed using ANTs (Avants, Tustison, & Song, 2009) with default parameters.
419 The affine and nonlinear transformations were then combined to reslice the reference
420 volume and all the functional volumes and second-level betas into the MNI template.
421 Results from this registration pipeline were visually inspected for each subject.

422 **MVPA Preprocessing**

423 First, we resliced the bold time-series into the MNI template using a combination of
424 linear and nonlinear transformations (see Template Registration section). Then, we
425 extracted beta parameters associated with each condition for each run using
426 PyMVPA's *fit_event_hrf_model* (Hanke et al., 2009) function based on NiPy's
427 functionality (Millman & Brett, 2007). Additional nuisance regressors comprised motion
428 estimates, artifacts (volumes were marked as artifact if their intensity exceeded three
429 standard deviations of the normalized intensity), and noise estimates. To obtain noise
430 estimates we used the CompCor method (Behzadi, Restom, Liau, & Liu, 2007). In
431 brief, we performed a GLM on the BOLD timeseries in the voxels belonging to each
432 subject's white-matter mask projected in MNI space. The regressors of this GLM were
433 the motion estimates and volumes marked as artifacts. We then performed PCA on
434 the residuals, and took the first 5 components as noise estimates.

435 **GLM analyses**

436 The first-level and second-level analyses (fixed effect) for each subject were
437 performed in the subject's individual space, and the results were then projected into a
438 standard template (FSL's MNI152, 2 mm isotropic, see details in the Template
439 Registration section). These analyses followed a standard FSL pipeline as
440 implemented in Nipype (*nipype.estimate.create_model_fit_workflow* and
441 *nipype.estimate.create_fixed_effects_flow*). A standard GLM analysis was performed
442 separately for each run to extract beta values associated with each condition and the
443 planned contrasts. Additional nuisance regressors comprised motion estimates,
444 artifacts (volumes were marked as artifact if their intensity exceeded three standard

445 deviations of the normalized intensity), and first-order derivatives. A second-level
446 analysis was performed to obtain per-subject statistical maps associated with each
447 condition and contrast using FSL's *FLAMEO* (fixed-effect model). The statistical maps
448 were then resliced into the MNI152 template (see details above), and a third-level
449 analysis was performed across subjects using FSL's *FLAMEO* (mixed-effect model).
450 The resulting z-stat maps were then corrected for multiple comparisons using FSL's
451 *cluster* routine, with a voxel z-threshold set at 2.3, and cluster p-value of $p = .05$. The
452 Nipype pipeline we used for third-level analysis can be found at
453 <https://www.github.com/mvdoc/famface>¹.

454 **MVPA analyses**

455 **Classification methods**

456 MVPC was implemented in Python using PyMVPA (Hanke et al., 2009)
457 <http://www.pymvpa.org>). GLM betas were estimated within each run for each
458 condition (see MVPA Preprocessing section). For all analyses we kept only the betas
459 for the four familiar and the four unfamiliar identities, discarding trials where subjects
460 saw their own face, or responded to an oddball presentation. The betas were then z-
461 scored within each run (separately for each voxel) and used as features for
462 classification. We used Linear C-SVM as a classifier, as implemented in LIBSVM
463 (Chang & Lin, 2011). The C parameter was set to the PyMVPA default, which scales it
464 according to the mean norm of the training data.

465 **Cross-validation**

466 We used a leave-one-out (LOO) scheme for cross-validation. The splitting unit was
467 dependent on the type of classification (familiarity or identity). For familiarity
468 classification, we cross-validated across pairs of identities. We trained the classifier
469 on three familiar and three unfamiliar identities, and tested on the left-out identities.
470 This resulted in 16 cross-validation splits that allowed us to control for identity
471 information (see Supplementary Figures 1 and 2 for a comparison of leave-one-run-

¹ We thank Satrajit Ghosh and Anne Park for sharing the original pipeline.

472 out and leave-two-identities-out cross-validation schemes). For identity classification,
473 we cross-validated across runs, resulting in a leave-one-run-out scheme (11 splits). To
474 remove the effect of familiarity on classification of face identity, we performed identity
475 classification independently for familiar and unfamiliar identities, and averaged the
476 resulting accuracy maps.

477 **Searchlight**

478 We used sphere searchlights (Kriegeskorte, Goebel, & Bandettini, 2006) to extract
479 local features for classification. We selected a 5-voxel radius (10 mm), and moved the
480 searchlight sphere across the voxels belonging to a union mask in which at least 26
481 subjects (~80%, arbitrarily chosen) had fMRI coverage (see Supplementary Figure 9),
482 as well as selecting only gray- and white-matter voxels in the cerebrum. For each
483 center voxel in this mask, we selected nearby voxels contained in a sphere, and used
484 them as features for classification. The classifier's accuracy was stored in the central
485 voxel, and the process was repeated for every voxel.

486 **Statistical assessment**

487 To determine statistical significance for the MVPC analyses, we performed
488 permutation testing (Stelzer, Chen, & Turner, 2013) coupled with Threshold-Free
489 Cluster Enhancement (TFCE, (Smith & Nichols, 2009), as implemented in
490 CoSMoMVPA (Oosterhof, Connolly, & Haxby, 2016). For each subject and each
491 classification analysis, we computed a null distribution by randomly permuting the
492 labels and performing classification. For identity classification analysis, we randomly
493 shuffled the identity labels within each run, and performed classification. This
494 procedure was repeated 20 times for each subject. For familiarity analysis, we
495 randomly permuted the familiarity labels across the entire experiment. This was
496 repeated exhaustively, resulting in 35 permutations (see Supplementary Materials for a
497 short proof that only 35 unique permutations are possible in this case). To create a
498 null distribution of TFCE values for each voxel, permutation maps were randomly
499 sampled and averaged across subjects, and this process was repeated 10,000 times.
500 Note that we selected a smaller number of permutations than suggested by (Stelzer et
501 al., 2013) (100 per subject) because of the large number of subjects we had: with 33

502 subjects, the number of possible average maps for identity classification was 20^{33} and
503 for familiarity classification was 35^{33} .

504 **Similarity of neural representations within ROIs**

505 **Second-order Representational Similarity Analysis**

506 We defined ROIs based on the searchlight results for both the familiarity and identity
507 classification. Thirty spherical ROIs were centered on voxels selected manually at or
508 near peak values, with a 10 mm radius (five voxels). Voxels belonging to more than
509 one ROI were assigned to the ROI with the closest center (Euclidean distance),
510 resulting in some contiguous but not overlapping ROIs (see Figure 5). On average,
511 ROIs contained 412 voxels at a 2 mm isotropic resolution (SD: 73 voxels).

512 For each ROI we computed a cross-validated representational dissimilarity matrix
513 (RDM) (Henriksson, Khaligh-Razavi, Kay, & Kriegeskorte, 2015) between the eight
514 identities (four familiar faces, four unfamiliar faces). First, we z-scored the beta
515 estimates within each run, which were computed as described in the MVPA
516 Preprocessing section. Then, we divided all runs into two partitions of six and five
517 runs, and averaged the beta values within each partition. The data between these two
518 partitions were correlated (Pearson correlation) to obtain an 8x8 matrix of
519 dissimilarities between pairs of identities. Note that because correlations were
520 computed between data from two different partitions, the diagonal could be different
521 from one. This process was repeated for every possible combination of runs, yielding
522 462 RDMs that were averaged to obtain a final RDM for each ROI and each subject.
523 The final RDMs were made symmetrical by averaging them with their transpose. All
524 averaging operations were performed on Fisher-transformed (r-to-z) correlation
525 values, then mapped back to correlation using the inverse transformation.

526 We used these final RDMs to compute pairwise distances between ROIs for each
527 subject individually using correlation distance. The resulting 33 distance matrices (one
528 for each subject) were averaged to obtain a group-level distance matrix. This distance
529 matrix was used to compute a three-dimensional MDS solution, using classical MDS
530 as implemented in R (*cmdscale*) interfaced in Python using *rpy2* (Gautier, 2008).

531 **Comparison with movie data**

532 To investigate the reproducibility of the network formed by the ROIs defined above,
533 we computed between-subject correlation distances across these ROIs using
534 hyperaligned data from a different study, in which eleven participants watched
535 “Raiders of the Lost Ark” (Guntupalli et al., 2016; Haxby et al., 2011). Since data were
536 functionally aligned with hyperalignment (Guntupalli et al., 2016; Haxby et al., 2011),
537 we performed a between-subject analysis instead of a within-subject analysis, where
538 distances between pairwise ROIs were computed across subjects, replicating the
539 approach in (Guntupalli et al., 2016). Additional details on the experimental paradigm
540 and scanning parameters can be found in the Supplementary Material.

541 Because data were in two different resolutions of the same template (task: MNI 2 mm;
542 movie: MNI 3 mm), center coordinates of the spherical ROIs were recalculated
543 assigning the closest voxel in MNI 3 mm using Euclidean distance. The median
544 displacement was 1.41 mm (min: 1 mm, max: 1.73 mm). As described above,
545 spherical ROIs were drawn around these center voxels using a radius of 9 mm (3
546 voxels) to account for the different voxel size. Overlapping voxels were assigned to
547 the ROI with the closest center, resulting in possibly contiguous but not overlapping
548 ROIs. On average ROIs contained 100 voxels (SD: 20 voxels).

549 The movie data were masked selecting only white- and gray-matter voxels, and
550 divided into two parts for cross-validation. For each of the two parts, whole-brain
551 searchlight hyperalignment parameters were derived from one part of the movie, and
552 the second part was projected into the common model space in functional alignment
553 (Guntupalli et al., 2016; Haxby et al., 2011). The aligned data were z-scored, and
554 timepoint-by-timepoint RDMs were computed in each ROI for each subject
555 individually, yielding a 1322 x 1322 RDM within each ROI (1336 x 1336 for the second
556 fold of hyperalignment). Following the analysis in (Guntupalli et al., 2016) we estimated
557 a distance matrix between ROIs while cross-validating across subjects. For each pair
558 of ROIs, the correlation between their RDMs was computed for all 55 pairs of
559 subjects, and averaged to compute the cross-validated correlation between those
560 ROIs. This process resulted in two 30x30 cross-validated distance matrices (one for

561 each hyperalignment fold), which were made symmetrical by averaging them with their
562 transpose, and finally averaged together to obtain one final 30x30 matrix. All
563 averaging operations were computed on Fisher-transformed (r-to-z) correlation values,
564 then mapped back to correlation using the inverse transformation. Finally, a
565 dissimilarity index (D) was computed for each pair of ROIs to normalize the correlation
566 according to the maximum possible correlation within each ROI (Guntupalli et al.,
567 2016):

$$568 \quad D_{ROI1 \cdot ROI2} = 1 - \frac{r_{ROI1 \cdot ROI2}}{\sqrt{r_{ROI1} \cdot r_{ROI2}}}$$

569 The final matrix containing dissimilarity indices was then used to compute an MDS
570 solution as described previously.

571 **Differences between core and extended system representational geometries**

572 In order to quantify differences in representational geometries between areas of the
573 core and extended systems, we divided the pairwise distances between ROIs in the
574 upper triangular RDM into within-system and between-system cells, and converted
575 them back to correlations (by subtracting them from 1). Then, we ran a Linear Mixed-
576 Effect Model on the correlations using *lme4* (Bates, Maechler, Bolker, & Walker, 2014),
577 fitting a linear model of the form

$$578 \quad r_{i,j} = \beta_0 + \beta_1 C_{i,j} + \beta_2 E_{i,j} + z_i,$$

579 where $i = 1 \dots N$ indicates either the subjects for task data ($N = 33$) or the pairwise
580 subjects for hyperaligned movie data ($N = 55$); $j = 1 \dots 465$ indicates the index of the
581 pairwise correlations between ROIs, $C_{i,j}$ and $E_{i,j}$ indicate whether $r_{i,j}$ is a within-
582 system correlation for the core or extended system respectively, $\beta_0, \beta_1, \beta_2$ are fixed-
583 effects parameters, and z_i are the subject-level random effects. Using this model,
584 β_1 corresponds to the contrast “Within Core > Between”, and β_2 to the contrast “Within
585 Extended > Between”. After fitting, we performed parametric bootstrapping to obtain
586 95% bootstrapped confidence intervals on the model parameters.

587 **Visualization**

588 Volumetric results were visualized using Nilearn (Abraham et al., 2014), and projected
589 on template surfaces using AFNI and SUMA (Cox, 1996; Saad, Reynolds, Argall,
590 Japee, & Cox, 2004).

591 **Data and code availability**

592 Non-thresholded statistical maps can be found on neurovault.org (K. J. Gorgolewski
593 et al., 2015) at the following URL: <http://neurovault.org/collections/NEUNABLT>. All
594 data can be found at <http://datasets.datalad.org/?dir=/labs/gobbini/famface/data>².
595 The code used for the analyses is available at the following GitHub repository:
596 <https://www.github.com/mvdoc/famface>.

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² All data will be released after publication. Currently, data from the first subject are available from the DataLad repository.

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