3D clustering analysis of super-resolution microscopy data by

3D Voronoi tessellations

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Abstract

Single-molecule localization microscopy (SMLM) can play an important role in integrated structural biology approaches for example at the interface of cryo electron microscopy (cryo-EM), X-ray crystallography, NMR and fluorescence imaging to identify, localize and determine the 3D structure of cellular structures. While many tools exist for the 3D analysis and visualisation of crystal or cryo-EM structures little exists for 3D SMLM data which can provide fascinating insights but are particularly challenging to analyze in three dimensions especially in a dense cellular context. We developed 3DClusterViSu, a method based on 3D Voronoi tessellations that allows local density estimation, segmentation & quantification of 3D SMLM data and visualization of protein clusters within a 3D tool. We show its robust performance on microtubules and histone proteins H2B and CENP-A with distinct spatial distributions. 3DClusterViSu will favor multi-scale and multi-resolution synergies to allow integrating molecular and cellular levels in the analysis of macromolecular complexes.

potentially extendable to the 3D case, to date only DBSCAN^{26,27} and Getis and Franklin's local point pattern analysis have been used for 3D segmentation of localization data^{28,29}; other methods such as Bayesian clustering²³ and Voronoi-based segmentations in fact remain 2D approaches as neither SR-Tesseler nor ClusterVisu can process 3D data^{12,18}.

Processing data directly in 3D rather than in 2D turns out to be crucial to avoid artefacts due to the enhanced complexity in 3D as compared to the 2D case, especially in a dense cellular context. An analysis in 2D would lead to an overlap between neighboring structures which are otherwise separate in 3D space. Using test data with clusters distributed randomly in a 3D space with noise, we show that a simple 2D clustering analysis of the data can lead to unprecise results with erroneous size estimations (Fig. 1). With increasing density of clusters and of background noise, the point distributions obtained for a 2D analysis become close to random due to the overlay of 3D structures in a 2D image (Fig. 1B-C), while 3D segmentation of the same data is still robust and retrieves the clusters properly (Fig. 2C-H). This suggests that in order to avoid information loss and instead precisely determine properties of 3D objects which are labelled within a cell or a tissue, it is essential to acquire and also segment and represent the data in three dimensions, especially in crowded environments such as chromatin. In the following we describe the concept of three-dimensional Voronoi-based cluster analysis which has never been applied to 3D SMLM data before. We evaluate the performance of this method with synthetic and experimental data and provide examples of visualization and quantitative analysis of 3D SMLM data.

A Voronoi diagram for the 3D case is a space partitioning into polyhedron regions such that every polyhedron would be the locus of points closest to the corresponding seed³⁰. Similarly to the 2D case where each event forms a seed (**Fig. 2A**), in 3D the seeds are defined by the single-molecule (X,Y,Z) coordinates, while the Voronoi polyhedrons can be considered as the regions

Using synthetic data to illustrate the 3D Voronoi tessellation concept (**Fig. 2C**; methods), we show that by randomly distributing the same number of points through the same-sized region we obtain a test dataset with Voronoi polyhedrons that should be compared with those of the clustered dataset. This allows determining three characteristic regions which lie between the intersections of probability density functions from the distributions of Voronoi volumes of the two datasets (**Fig. 2D**). The smallest polyhedrons correspond to high-density regions that form clusters, while average-sized and big polyhedrons correspond to low-density regions, *i.e.* the background, as highlighted by the color-coded display of the points (**Fig. 2E**) that can be represented as a Voronoi diagram (**Fig. 2F**). Next, interpolation of the local densities to a

Visualization of 3D data in the form of a 2D image can be difficult, especially in crowded environments with dense fluorophore occurrence due to the missing information in the Z-direction. The example of microtubules (**Fig. 3**) shows that the scatter plot is useful only for very low density data, otherwise the markers overlap and hide details (**Fig. 3A**). When displayed as slices of a 3D histogram, the density of molecules is usually not sufficient to ensure good continuity of the images (**Fig. 3B**). Moreover, when molecules are displayed as Gaussian clouds with a width dependent on the localization precision²⁹ the resolution of the image can be

We applied the 3D Voronoi segmentation concept to the cluster analysis of two histone proteins, H2B and CENP-A with distinct spatial organizations (**Fig. 4**). H2B is known to be located inside the nucleus in the form of heterogeneous nanodomains with variable density at euchromatin and heterochromatin regions³¹. The distribution of Voronoi cells of an H2B-labelled dataset lies outside the confidence envelope for the noise model indicating statistically significant clustering (**Fig. 4A**). Segmented density maps of H2B show bigger and denser clusters at the periphery of the nucleus as expected for heterochromatin (**Fig. 4B**), the distribution of H2B cluster sizes being rather broad with a median diameter of 42.4 nm (**Fig. 4C**) in line with previously reported values³¹. In contrast, the histone variant CENP-A that replaces the canonical histone H3²⁴ localizes exclusively at centromeric regions³² where it plays a key role in determining chromosome location and segregation^{23,25}. Our analysis of SMLM CENP-A data shows that the

Taken together, our novel cluster analysis method introduces a new concept for 3D (rather than 2D) segmentation of SMLM data based on the elegant mathematical properties of 3D Voronoi diagrams that allows deriving 3D cluster radius and volume values and associated molecule numbers. It provides automatic threshold determination and noise filtering. In addition, to avoid distortion effects due to non-isotropic distribution of experimental data points we introduced weighting in Z-direction (see methods; taken into account also for Monte-Carlo simulations). As an example, it takes 6 hours to segment a large experimental dataset with a volume of ~200 µm³ that contains 10⁶ localizations using an i7 quad-core single processor with 32 Gb of memory. The calculation includes 50 rounds of Monte-Carlo simulations and interpolation to a 3D density map with a voxel size of 20 nm. Finally, as compared to a 3D implementation of DBSCAN²⁷ (see methods) which requires multiple trials through individual runs to optimize parameters manually without additional a priori knowledge, our method proceeds in a single run with automatic threshold determination from Monte-Carlo simulations using an a priori background model (into which re-localization events can be included if known) and it proves to be more robust with increasing background densities thanks to noise modelling (Figs. S1B-D; S1B includes a statistical analysis of the results, the estimated cluster sizes can vary slightly depending on the background / cluster density). The Voronoi-based method was validated using simulated and experimental data, illustrating its applicability to different biological objects of interest including cases that are difficult to analyze such as fine chromatin structures in a dense cellular context and larger cellular structures such as tubulin. 3DClusterViSu will be of broad interest to the scientific community as it can be applied to any 3D SMLM data to analyze or re-analyze and quantify 3D information such as spatial organization, local density, volume and shape of labelled protein clusters in 3D and number of molecules within a given cluster. Strikingly, it allows for the first time SMLM analysis and also visualization of macromolecules directly in 3D like in the neighbor fields of cryo-EM and X-ray crystallography; therefore, it will be also a valuable tool for CLEM in integrated cellular structural biology approaches. Standalone software for 3D data processing with a GUI (3DClusterViSu) and a standalone Python-based 3D Voronoi visualization tool with a GUI are available under http://cbi-dev.igbmc.fr/cbi/voronoi3D/repository/archive.zip.

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Author contribution statement

L.A. wrote the software and performed imaging, L.A., I.O, J-L.V. and B.P.K. worked on the concept, J.M. wrote the visualization software, L.A. performed GSDIM experiments, K.O. performed CENP-A labelling, L.A., J-L.V., A.H and B.P.K. analyzed the data, and L.A. &

B.P.K. wrote the manuscript. All authors reviewed the manuscript.

Additional Information

Competing financial interests

The authors declare no competing financial interests.

Acknowledgements

We thank Leica Microsystems for the access to the Leica SR GSD 3D system and Didier

Hentsch, Pascal Kessler and Yves Lutz of the IGBMC Imaging Centre for support. This research

was made possible by the adaptive optics plug-and-play accessory MicAO 3D-SR for

PALM/STORM microscopes of Imagine Optic (www.imagine-optic.com). This work was

supported by the INCa programme and the Centre Nationale pour la Recherche Scientifique

(CNRS). The super-resolution microscope setup is supported by the Alsace Region and by the

French Infrastructure for Integrated Structural Biology (FRISBI) ANR-10-INSB-05-01 and

Instruct as part of the European Strategy Forum on Research Infrastructures (ESFRI).

Figure legends

Figure 1 Importance of 3D cluster analysis of localization data.

(A) Clusters in a 3D dataset (number of clusters = 150, density of localization within clusters = 6.10^{-4} nm⁻³, in the background = 8.10^{-6} nm⁻³) can be easily segmented using the 3D Voronoi diagram approach described in the current work. (B) The same dataset projected on the X-Y plane and processed with a 2D segmentation method¹⁹ does not allow to determine the correct shape, size and number of clusters. (C) Similar dataset with higher background density (6·10⁻⁵ nm⁻³), lower cluster density (4·10⁻⁴ nm⁻³) and higher number of clusters (175) becomes undistinguisheble from a random distribution when projected in 2D, but still can be successfully processed in 3D (see later Fig. 2C-H). In the left column are the clusters determined with the 3D Voronoi approach described in the current work (A), with our previously reported 2D Voronoi approach¹⁹ (B) and using the mean local density as the threshold for binarization of 2D data²⁵ (C). In the middle column are probability density functions of volumes (A) or surfaces (B, C) of Voronoi cells for the processed datasets (blue) in comparison with that of the background model, a spatially randrom distribution (magenta and green). The experimental curve inside the confidence envelope (C) indicates that the experimental dataset is undistinguishable from the background model and so no clusters can be detected with confidence. In the right column are histograms of the equivalent radius of the detected clusters, to be compared with the simulated cluster radius of 30 nm.

Figure 2 Concept of 3D Voronoi-based segmentation of SMLM data

(A) Concept of two-dimensional Voronoi diagrams; the brightness of the polygons is proportional to the local density of points, determined as the inverse value of their surface area. (B) The concept of three-dimensional Voronoi tesselations highlights the strong increase in complexity upon transition from 2D to 3D data (stereo representations; zoomed region on the right; small spheres indicate the coordinates of the fluorophores; Voronoi diagram cells are colored according to their size, from blue (big cells) to red (small cells) and allow deriving the number of molecules present in a given 3D cluster with associated radius and volume values; 3D visualization available tool under http://cbidev.igbmc.fr/cbi/voronoi3D/tree/master/visualisation). (C) Simulated 3D volume of clustered points in a dense background. (**D**) Distribution of volumes of Voronoi polyhedrons of the clustered dataset (blue); mean values (green) and confidence envelope (red) of a set of distributions for datasets with randomly placed points obtained from Monte-Carlo simulations. The three characteristic regions: small clustered polyhedrons (green), intermediate and huge polygons corresponding to background (yellow and gray). (E) The original points displayed in colors accordingly to the three regions allow to visually delimit the clusters. (F) Small Voronoi polyhedrons correspond to the clusters. (G) Density map binarized at the level of the determined threshold allows cluster analysis. (H) Histogram of the equivalent radius of the clusters. The first peak corresponds to the small clusters originated from fluctuations in the dense background, the second peak with radius of (28.5±1.6) nm reveals the simulated clusters. The dataset on (C-H) consists of 175 clusters with a radius of 30 nm. The density of molecules inside the clusters is 4·10⁻⁴ nm⁻³, the density of background localizations is 6·10⁻⁵ nm⁻³. (I) Distribution behavior of volumes of Voronoi polyhedrons at different noise and cluster density ratios. The dataset contains

a fixed total number of points (7·10⁴) and a fixed number of clusters (150) with fixed radius (30

nm).

Figure 3 Application of the 3D Voronoi diagram method for visualization and noise reduction on

the example of β -tubulin

(A) Plot of fluorescent molecules in the form of points (scattered plot) does not allow for correct

visualization of dense regions (β-tubulin detected with Alexa-647-labelled secondary antibodies).

(B-C) A slice of the 3D volume shows more information content when calculated as a Voronoi

density map (C) compared to a 3D histogram (B) (both panels show voxel-thick slices). (D-F)

Distribution of volumes of Voronoi polyhedrons (D) allows noise reduction. (E-F) XY

projections of the original dataset (E) and of the dataset containing only the points with the

density over the threshold (F). Scale bars: 1µm. The color bars indicate number of localizations

inside pixels for the histogram images (B, E-F) or the local density measured in nm⁻³ for the

density map (C).

Figure 4 Cluster analysis of histone proteins H2B and CENP-A by 3D Voronoi tesselation

(A-C) Histone H2B detected with Alexa-647-labelled secondary antibodies in a HeLa cell. (A) The distribution of volumes of Voronoi polyhedrons indicate clustering of histone H2B (color code as in Fig. 1D). (B) 3D density map displayed as an isosurface at the level of the threshold in the 3D software Chimera²⁶. (C) Histogram of the equivalent diameter of H2B clusters with the median value of 42.4 nm. (D-F) CENP-A detected with Alexa-647-labelled secondary antibodies in U2OS cells at late G1 phase. (D) The distribution of volumes of Voronoi polyhedrons indicate strong clustering of CENP-A which allows quantification of their properties. The histogram of the diameters of the centromeres is shown in the inset. (E) Scattered plot of molecules colored according to the local density (top; color code as in panels A & D); segmented density map displaying CENP-A clusters in the centromeric regions of the cell (bottom). (F) 3D representation of CENP-A clusters displayed as Voronoi tessellations in our 3D visualization tool (bottom: zoomed region in stereo representation; mean diameter of 260 nm containing an average of 418 localizations in a given 3D cluster).

Methods (online methods section)

Cell culture and immunofluorescence

For the tubulin and H2B samples, HeLa cells were cultured in a 4-compartment glass-bottom petri dish (CELLView, Greiner Bio-One), washed in PBS and fixed with 4% formaldehyde for 20 min in phosphate-buffered saline solution (PBS). After permeabilization with 0.1% Triton X-100 in PBS (PBS/Tx) twice for 10 min, the primary antibody (anti- β -tubulin monoclonal (1Tub-2A2, in house IGBMC) used as mouse ascites fluid diluted 500x in PBS/Tx; histone H2B monoclonal antibody (LG11-2) used as a 500x dilution of mouse ascites fluid in PBS/Tx) was incubated overnight at 4 °C. The sample was then washed with PBS/Tx three times over 30 minutes, and the secondary antibody (goat anti-mouse Alexa Fluor-647) in dilution 4 μ g/ml in PBS/Tx was incubated for 1 to 2 hours at room temperature (RT). Subsequently, the cells were washed in PBS/Tx three times for 30 minutes, then briefly three times in PBS. The samples were mounted in a PBS buffer with addition of 10 mM of cysteamine (also known as β -mercaptoethylamine or MEA) and 25 mM of HEPES (pH 7.5).

For the CENP-A samples, U2OS cells were synchronized using Thymidine-Nocodazole synchronization. Briefly, the cells were plated and 24 hours later the medium was replaced with prewarmed complete DMEM supplemented with 2.5 mM Thymidine for 18 hours. The cells were washed with DMEM and 100 ng/ml Nocodazole was added for 12-14 hours. Floating cells were collected and spin down washed twice with prewarmed DMEM and resuspended in prewarmed DMEM. Cell were collected after 8 hours, washed twice in PBS and fixed in 2% paraformaldehyde for 15 min at RT, washed twice in PBS, permeabilized with PBS/Tx for 15 min, washed twice in PBS, washed five times in PBS+0.5% BSA (PBB), blocked with 10% serum in PBB for 1 hour, washed five times in PBB, incubated with secondary antibodies (EMD Millipore 07-574) in 400x dilution for 1h, washed five times in PBB and three times with PBS. The sample was mounted in an imaging buffer 1 that contained 20% of Vectashield (Vector Laboratories), 70%

of 2,2`-thiodiethanol (also known as thiodiglycol or TDE) and 10% of PBS 10x (the measured refractive index of this mounting medium is 1.49). The mounting was performed by incubating the sample in PBS solutions with gradually increased concentrations of TDE (10%, 25% and 50%), for 10 min each.

Super-resolution imaging

The super-resolution imaging of the β-tubulin and the H2B samples was performed on a Leica SR GSD 3D system in Wetzlar, Germany. The system was equipped with the HC PL APO 160x/1.43 Oil CORR GSD objective and the Andor iXon Ultra 897 EMCCD camera with a field of view of 18x18 μm in the high-power mode. Continuous wave fiber laser (MPBC Inc., 642 nm 500 mW) and a diode laser (405 nm 30 mW) were utilized for excitation. The objective was linked to the sample with help of a suppressed motion (SuMo) sample stage, which reduces lateral and axial drift. The astigmatism was induced with the cylindrical lens and calibrated using gold beads and the automatic built-in procedures.

The super-resolution imaging of the CENP-A samples was performed on our in-house Leica SR GSD system. We used the HCX PL APO 100x/1.47 Oil CORR TIRF PIFOC objective with a 1.6x magnification lens that provides an equivalent pixel size of 100 nm on the camera. The camera, the lasers and the stage were the same as on the Leica SR GSD 3D system. The astigmatism was induced with a MicAO 3DSR adaptive optics system installed between the microscope stage and the camera (the value of the astigmatism was set to $0.2~\mu m$ root mean square). The deformation of the PSF was calibrated by defocusing the objective with a step of 50 nm and imaging Tetraspeck fluorescent beads with diameter of 200~nm.

The samples were first illuminated with the 100% power of the 642 nm laser to quickly send the fluorophores into the dark state. The acquisition was started manually after observing first single-fluorophore events ("blinking"). The time of exposition of a frame was 6.9 ms (H2B), 10 ms (β-tubulin) or 25 ms (CENP-A); the electron multiplying gain of the camera was 300; the laser power during the acquisition was 28% (H2B) or 100% (β-tubulin and CENP-A). After a few minutes, as the number of

blinking evens dropped, the sample started to be illuminated additionally by a 405 nm laser with gradual increase of its intensity in order to keep a nearly constant rate of single-molecular returns into the ground state. The acquisition was stopped after almost complete bleaching of the fluorophore.

Data processing

The localization and fitting of single-molecule events were performed in real time during acquisitions in Leica LAS AF software with the "direct fit" fitting method. The localization tables were then exported for further processing in the SharpViSu software workflow² and with customized Matlab procedures. To reduce the number of localizations of the same fluorophore and improve localization precision the data were processed by averaging the coordinates of consecutive events within a radius of 50 nm around each localization. The drift was detected and corrected in three dimensions using cross-correlation-based approach. Briefly, the dataset was divided into several consecutive subsets, from each of them a histogram image with pixelation of 20 nm was build; next, the shift between these images was detected with subpixel precision and then interpolated linearly throughout intermediate frames. The shift value was then subtracted from the coordinates of every frame. The lateral drift was detected using the projection on the XY plane, and the axial drift was detected using the average shift, calculated from the XZ and YZ projections. The procedure was repeated iteratively several times assuring absence of detectable residual drift². Regions of interest (ROI) for Voronoi analysis were selected manually allowing faster computations and more homogeneous distributions compared to the entire field of view.

The following analysis was performed in Matlab using customized code. Voronoi diagrams (vertices of polyhedrons and connectivity order) were retrieved with 'voronoin' function. Volumes of the cells were determined from the vertices with the function 'convhulln'³, the local density in each data point was defined as the inverse value of the volume of the corresponding Voronoi polyhedron. To account for non-isotropic distribution of experimental data points in Z-direction we introduced a correction factor for the calculated local density. Indeed, the intensity of the PSF diminishes while going out of focus and so does

desired voxel size (20 nm in our case).

For processing of experimental data acquired with astigmatism, the points for Monte-Carlo simulations were generated to have completely spatially random X, Y coordinates (the 'rand' function), and Z coordinates picked from a normal distribution with standard deviation $\sigma = 300$ nm (the 'normrnd' function). The points were distributed over the manually defined field of view (FOV). The distributions were generated for 50 different random sets of points, the boundaries of the confidence envelope were determined as <n $> <math>\pm 1.96 \cdot \sigma$ for each bin of the histogram, where <n> is the average number of cells within the range of the bin and σ is the standard deviation of n, calculated from the 50 random datasets. The abscissas of the first and the second intersections between the curves of the experimental and the mean value of the randomized distributions were determined from the two points around the intersections in the linear approximation.

The simulated cluster data in **Figs. 2C-I** was generated as randomly distributed points inside spheres with the radius of 30 nm. The positions of clusters and of background points were distributed randomly in the FOV, with the following constraints: 1) distance from the centers of the clusters to the borders \geq 75 nm; 2)

Even though the distribution of Voronoi cell areas of the 2D-projected dataset in **Fig. 1C** lies within the confidence envelope for the background model indicating no clustering, we segmented this dataset keeping only the regions with the local density stronger than the mean density calculated from the 2D Voronoi diagram⁵. The resulting clusters, as expected, have random size much smaller than the original radius of 30 nm (**Fig. 1C**, left and right panels).

We have also implemented the averaging of the local densities using the first-rank⁵ neighbors in 3D. This option helps to remove spurious clusters in the background but it also leads to reduction of the volume of the detected clusters because the density of the near-border regions within clusters is averaged with the low-dense background (**Fig. S3**). By contrast, simple removal of small clusters as done by default (**Fig. 2H**) does not affect the shape of big clusters. Although not done here, it is possible to separate closely located clusters through methods such as watershed transformation, which are compatible with our clustering method and can be performed after binarization of the density map. Similarly, if experimentally evidenced, the background noise model could be adjusted to include re-localization events arising from molecules blinking several times.

For the CENP-A data, after the segmentation, centromeres touching each other and small clusters in the background were removed from the analysis. To determine the size of the CENP-A clusters, 5 different ROIs with 200 well separate centromeres in total were analyzed. The equivalent radius (diameter) of clusters was calculated as the radius (diameter) of the sphere with the same volume as the clusters. Quantified properties of clusters (number of events, equivalent radius or diameter) are represented as mean \pm standard deviation of the corresponding values. The density map can be readily exported to TIFF or MRC formats for further processing or visualization.

The standalone software for visualization of 3D Voronoi tessellations was written in Python using the

Mayavi data visualization library⁶ along with NumPy⁷ and SciPy⁸. SMLM datasets are loaded as a 3D

CSV point list file. The user can then navigate through the 3D Voronoi cells determined by the input

points. The cells are colored according to their size, from blue (big cells) to red (small cells). The user can

set a cell size threshold to see only smaller cells where events are aggregated, use a clipping box to see

inside the cells and toggle the drawing of the event points. The size of the cells is computed in parallel

using QHull's "ConvexHull" function³ from SciPy. Cells delimited by vertices outside of the event range

are merely ignored. The Mayavi interface allows the user to change a lot of drawing parameters on-the-

fly. Colors, opacity, orientations, lightning, clipping shape etc. are all tunable through the built-in

parameter adjustment wizard.

As an example, it takes 6 hours to segment a large experimental dataset with a volume of ~200 µm³ that

21

contains 10⁶ localizations using an i7 quad-core single processor with 32 Gb of memory. This is much

faster in a single run than what could be done for example with the current 3D implementation of

DBSCAN⁹ in VividSTORM¹⁰ where one single run takes 8 hours for 67 000 points (which is a rather

small data set). In contrast, our Voronoi-based segmentation uses an automatically determined threshold

value and takes in total 6 hours for 1 000 000 points (15 times as many) processed in one single run, i.e. it

is more robust, non-dependent on user-set parameters and runs faster (in total range of 30-100 times faster

depending on the number of trials needed and the complexity of the data). 3D DBSCAN was implemented

in Matlab to test DBSCAN at different background noise densities (Fig. S1B-D). The volume of a cluster

was calculated as the volume of the convex hull of the points comprising the corresponding cluster.

Code availability

Matlab implementations of the 3D Voronoi segmentation method and the Python-based 3D Voronoi

visualization software tools are available under:

http://cbi-dev.igbmc.fr/cbi/voronoi3D/repository/archive.zip

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