

1 **Increased prefrontal activity with aging reflects nonspecific neural responses rather**
2 **than compensation**

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4 Alexa M. Morcom¹

5 Richard N. A. Henson², for Cambridge Centre for Ageing and Neuroscience³

6 ¹Centre for Cognitive Ageing and Cognitive Epidemiology, University of Edinburgh, United
7 Kingdom

8 ²MRC Cognition and Brain Sciences Unit, Cambridge CB2 3EB, United Kingdom

9 ³Cambridge Centre for Ageing and Neuroscience (Cam-CAN), University of Cambridge and
10 MRC Cognition and Brain Sciences Unit, Cambridge CB2 3EB, United Kingdom

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12 Alexa M. Morcom can be contacted at: alexa.morcom@ed.ac.uk

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14 No. of Figures = 3

15 No. of Tables = 2

16 No multimedia/ extended data

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19 Abstract

20 Elevated prefrontal cortex activity is often observed in healthy older adults despite declines
21 in their memory and other cognitive functions. According to one view, this activity reflects a
22 compensatory functional posterior-to-anterior shift, which contributes to maintenance of
23 cognitive performance when posterior cortical function is impaired. Alternatively, the
24 increased prefrontal activity may be less specific, reflecting reduced dedifferentiation or
25 reduced efficiency of neuronal responses due to structural and neurochemical changes
26 accompanying aging. These accounts are difficult to distinguish on the basis of average
27 activity levels within brain regions. Instead, we used a novel model-based multivariate
28 analysis technique, applied to functional magnetic resonance imaging data from an adult-
29 lifespan human sample (N=123; 66 female). Standard analysis replicated the age-related
30 increase in average prefrontal activation during memory encoding, but multivariate tests
31 revealed that this activity did not carry additional information. Indeed, direct tests of the
32 relative contributions of anterior and posterior regions to memory indicated reduced reliance
33 on prefrontal cortex with increasing age. The results contradict the posterior-to-anterior shift
34 hypothesis, suggesting reduced specificity rather than compensation.

35 Significance statement

36 Functional brain imaging studies have often shown increased activity in prefrontal brain
37 regions in older adults. This has been proposed to reflect a compensatory shift to greater
38 reliance on prefrontal cortex, helping to maintain cognitive function. Alternatively, activity
39 may become less specific as people age. This is a key question in the neuroscience of
40 aging. In this study, we used novel tests of how different brain regions contribute to memory
41 for events. We found increased activity in prefrontal cortex in older adults, but this activity
42 carried less information about memory outcomes than activity in visual regions. These
43 findings are relevant for understanding why cognitive abilities decline with age, suggesting
44 that optimal function depends on successful brain maintenance rather than compensation.

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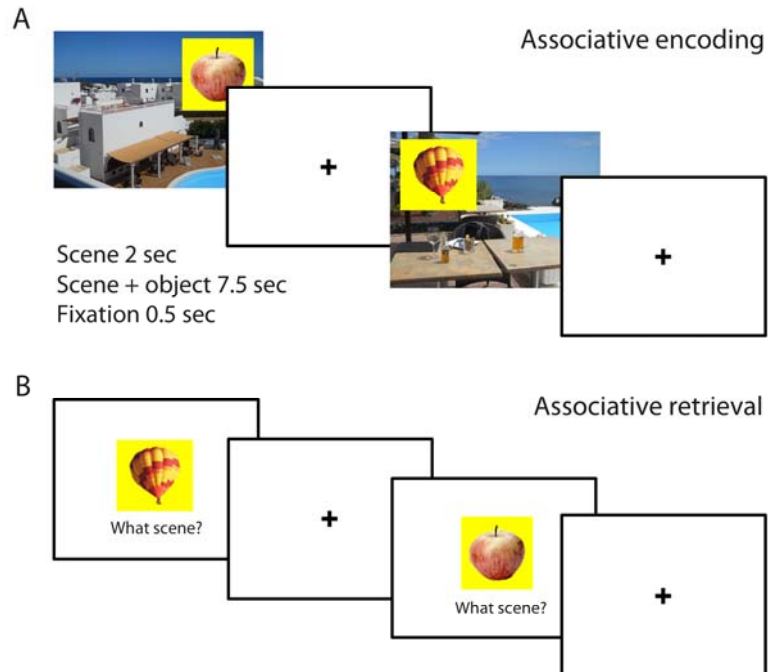
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49 Introduction

50 It is well established that healthy aging is associated with a decline in cognitive processes
51 like memory, but mechanistic explanation of this decline is impeded by difficulties in
52 interpreting the underlying brain changes. Functional magnetic resonance imaging (fMRI) of
53 such cognitive processes shows striking increases, as well as decreases, in brain activity of
54 older relative to younger adults. One leading theory – the Posterior-to-Anterior Shift in Aging
55 (PASA) – states that compensatory recruitment of anterior regions like prefrontal cortex
56 (PFC) contribute to maintenance of cognitive performance when posterior cortical function is
57 impaired (Davis et al., 2008; Park and Reuter-Lorenz, 2009; Grady, 2012). Alternatively,
58 age-related increases in PFC activity may reflect reduced specificity of neuronal responses,
59 reflecting primary age-related changes within PFC (Park et al., 2004; Nyberg et al., 2012). It
60 is difficult to adjudicate between these theories based on average activity levels within brain
61 regions (Morcom and Johnson, 2015). We used a novel multivariate approach to directly test
62 predictions of the PASA theory.

63 With multivariate methods that examine distributed patterns of brain activity over many
64 voxels, one can ask whether increased anterior activity provides additional information,
65 beyond that carried by posterior cortical regions. Such complementary information would
66 support theories like PASA that attribute additional PFC recruitment to compensatory
67 mechanisms. We used a model-based decoding approach called multivariate Bayes (MVB)
68 (Friston et al., 2008; Morcom and Friston, 2012; Chadwick et al., 2014), which estimates the
69 patterns of activity that best predict a target cognitive outcome. Importantly, MVB allows
70 formal comparison of models comprising different brain regions, such as PFC, posterior
71 cortex, or their combination.

72 In this study, we applied MVB to fMRI data from a memory encoding paradigm in a
73 population-derived, adult-lifespan sample (N=123, 19-88 years; Shafto et al. 2014).
74 Participants were scanned while encoding new memories of unique pairings of objects and
75 background scenes, and the target cognitive outcome was whether or not these associations
76 were subsequently remembered (Fig 1). A previous behavioral study in an independent
77 sample showed a strong decline in such associative memory across the adult lifespan
78 (Henson et al., 2016). We defined two regions-of-interest (ROIs): posterior visual cortex
79 (PVC), comprising lateral occipital and fusiform cortex, and PFC, comprising ventrolateral,
80 dorsolateral, superior and anterior regions (Fig 2a). These ROIs were based on previous
81 fMRI studies of memory encoding, and those cited in the context of the PASA theory (Davis
82 et al., 2008; Maillet and Rajah, 2014).



83

84 **Figure 1.** Associative encoding task. In the scanned Study phase, participants were asked to make
85 up a story that linked each object with its background scene (120 trials total). On each trial, the scene
86 was presented for 2 sec, then the object superimposed for 7.5 sec, finally the screen was blanked for
87 0.5 sec before the next trial. At Test (out of the scanner), each object was presented again, and after
88 a measure of priming, item memory and background valence memory, participants were asked to
89 verbally describe the scene with which it was paired at Study. The latter verbal recall was scored as
90 correct or incorrect, which was then used to classify the trials at Study into “remembered” and
91 “forgotten” (see text for details).

92

93 Materials and Methods

94 Participants

95 A healthy, population-derived adult lifespan human sample (N=123; 19-88 years; 66 female)
96 was collected as part of the Cam-CAN study (Shafto et al., 2014). Participants were fluent
97 English speakers in good physical and mental health. Exclusion criteria included a low Mini
98 Mental State Examination (MMSE) score (≤ 24), serious current medical or psychiatric
99 problems, or poor hearing or vision, as well as standard MRI safety criteria. Two participants
100 were excluded from the current analysis as subsequent memory could not successfully be
101 decoded from either region of interest (see Multivariate Bayesian decoding). Two further
102 participants were excluded because of statistical outlier values in the analysis of univariate
103 subsequent memory effects (see Statistics for criteria). The experiment used a within-

104 participant design, so all participants received all the task conditions. Therefore,
105 randomization and blinding were not required. The study was approved by the
106 Cambridgeshire 2 (now East of England—Cambridge Central) Research Ethics Committee.
107 Participants gave informed written consent.

108 **Materials**

109 Stimuli were 160 pictures of everyday emotionally-neutral objects taken from (Smith et al.,
110 2004). For the study phase, objects were presented within a square yellow background on
111 one of 120 scenes from the IAPS emotional pictures database (Lang et al., 1997). Scenes
112 were grouped into 40 per valence (positive, neutral, negative), selected based on a pilot
113 study, with the same randomized trial order for each valence condition for all participants. To
114 control for stimulus effects, the 160 objects were divided randomly into 4 sets, and the
115 allocation of object sets to scene valence rotated across participants in 4 different
116 counterbalances (see (Henson et al., 2016) for further details).

117 **Behavioral procedure**

118 The behavioural paradigm is summarized in Figure 1. The scanned study phase comprised
119 120 trials, presented in two 10 min blocks separated by a short break. On each study trial, a
120 background scene was first presented for 2 sec, and an object then superimposed for 7.5
121 sec, slightly above center and either to the left or right. Participants were asked to create a
122 story that linked the object to the scene, to press a button when they had made the story,
123 and to continue to elaborate it until the scene and object disappeared. A blank screen of 0.5
124 sec was then presented prior to the next trial. Participants were informed that the task would
125 include some pleasant and unpleasant scenes. They were not told that their memory would
126 be tested later. A practice session of 6 study trials was given just beforehand.

127 The test phase took place outside the scanner, following a short break of approximately 10
128 min involving refreshment and conversation with the experimenter. The 120 objects from the
129 study phase were presented again, randomly intermixed with 40 new objects, and divided
130 into 4 blocks lasting approximately 20 min each. The first stage of each test involved a
131 priming measure: the masked version of the object was presented in the center of the
132 screen, and participants were asked to identify it, making a keypress response and at the
133 same time either naming the object aloud or saying “don’t know”. Next, item memory was
134 tested by removing the pixel-noise and asking participants to judge whether the object had
135 appeared in the study phase and to indicate their level of confidence in this judgement by
136 pressing one of four keys: “sure new”, “think new”, “think studied”, “sure studied”. They were
137 told that about one quarter of objects were new. Associative memory was then tested for all

138 items judged “studied” (“think” or “sure”), by asking participants to state aloud the type of
139 background the object had been studied with (positive, neutral, or negative, or “don’t know”).

140 Lastly, and relevant to the present study, participants were asked to verbally describe the
141 scene that had been paired with the test object at study. Trials at study in which scenes that
142 were correctly recalled at test, in terms of detail or gist, were scored as “remembered”,
143 whereas study trials for which the scenes could not be recalled, or for which an incorrect
144 scenes was described instead, or for which the object was not recognised, were scored as
145 “forgotten”. Split by age tertile, the mean numbers of trials (SD) for Remembered and
146 Forgotten trials were 55 (25) and 65 (25) for young adults (19-45 years; n=38), 44 (24) and
147 76 (24) for middle aged adults (46-64 years; n=43), and 23 (15) and 97 (15) for older adults
148 (65-88 years; n=42).

149 As expected, regression against age showed that the number of remembered trials
150 decreased with age (linear $t(120)=-8.06$, $P<.001$; with no significant quadratic component,
151 $t(120)=-0.50$, $P=.62$); two-tailed tests.

152 **Imaging data acquisition and preprocessing**

153 The MRI data were collected using a Siemens 3 T TIM TRIO system (Siemens, Erlangen,
154 Germany). MR data preprocessing and univariate analysis used the SPM12 software
155 (Wellcome Department of Imaging Neuroscience, London, UK, www.fil.ion.ucl.ac.uk/spm),
156 release 4537, implemented in the AA 4.0 pipeline
157 (<https://github.com/rhodricusack/automaticanalysis>).

158 The functional images were acquired using T2*-weighted data from a Gradient-Echo Echo-
159 Planar Imaging (EPI) sequence. A total of 320 volumes were acquired in each of the 2 Study
160 sessions, each containing 32 axial slices (acquired in descending order), slice thickness of
161 3.7 mm with an interslice gap of 20% (for whole brain coverage including cerebellum; TR
162 =1970 milliseconds; TE =30 milliseconds; flip angle =78 degrees; FOV =192 mm x 192 mm;
163 voxel-size =3 mm x 3 mm x 4.44 mm). A structural image was also acquired with a T1-
164 weighted 3D Magnetization Prepared RApid Gradient Echo (MPRAGE) sequence (repetition
165 time (TR) 2250ms, echo time (TE) 2.98 ms, inversion time (TI) 900 ms, 190 Hz per pixel; flip
166 angle 9 deg; field of view (FOV) 256 x 240 x 192 mm; GRAPPA acceleration factor 2).

167 The structural images were rigid-body registered with an MNI template brain, bias-corrected,
168 segmented and warped to match a gray-matter template created from the whole CamCAN
169 Stage 3 sample (N=272) using DARTEL (Ashburner, 2007) (see Taylor *et al.*, 2015) for more
170 details). This template was subsequently affine-transformed to standard Montreal
171 Neurological Institute (MNI) space. The functional images were then spatially realigned,

172 interpolated in time to correct for the different slice acquisition times, rigid-body coregistered
173 to the structural image and then transformed to MNI space using the warps and affine
174 transforms from the structural image, and resliced to 3x3x3mm voxels.

175 **Regions of interest (ROIs)**

176 ROIs were defined using WFU PickAtlas (<http://fmri.wfubmc.edu/>, version 3.0.5) with AAL
177 and Talairach atlases (Lancaster et al., 2000; Tzourio-Mazoyer et al., 2002; Maldjian et al.,
178 2003). The posterior visual cortex (PVC) mask comprised bilateral lateral occipital cortex and
179 fusiform cortex (from AAL, fusiform and middle occipital gyri), and the PFC mask comprised
180 bilateral ventrolateral, dorsolateral, superior and anterior regions (from AAL, the inferior
181 frontal gyrus, both pars triangularis and pars orbitalis; middle frontal gyrus, lateral part;
182 superior frontal gyrus, medial part; and from Talairach, Brodmann Area 10, dilation factor =
183 1).

184 **Univariate imaging analysis**

185 For each participant, a General Linear Model (GLM) was constructed, comprising three
186 neural components per trial: 1) a delta function at onset of the background scene, 2) an
187 epoch of 7.5 seconds which onset with the appearance of the object (2s after onset of
188 scene) and offset when both object and scene disappeared, and 3) a delta function for each
189 keypress. Each neural component was convolved with a canonical haemodynamic response
190 function (HRF) to create a regressor in the GLM. The scene onset events were split into
191 three types (i.e, three regressors) according to the valence of the scene on each trial, while
192 the keypress events were modelled by the same regressor for all trials (together, these four
193 regressors served to model trial-locked responses that were not of interest). The responses
194 of interest were captured by the epoch neural component, during which participants were
195 actively relating the scene and object (see Behavioral Procedure). This epoch component
196 was split into 6 types (regressors) according to the three scene valences and the two types
197 of subsequent memory. Six additional regressors representing the 3 rigid body translations
198 and rotations estimated in the realignment stage were included to capture residual
199 movement-related artifacts. Finally the data were scaled to a grand mean of 100 over all
200 voxels and scans within a session.

201 The GLM was fit to the data in each voxel. The autocorrelation of the error was estimated
202 using an AR(1)-plus-white-noise model, together with a set of cosines that functioned to
203 highpass the model and data to 1/128 Hz, fit using Restricted Maximum Likelihood (ReML).
204 The estimated error autocorrelation was then used to “prewhiten” the model and data, and
205 ordinary least squares used to estimate the model parameters. To compute subsequent
206 memory effects, the parameter estimates for the 6 epoch components were averaged across

207 the two sessions and the three valences (weighted by number of trials per session/valence),
208 and contrasted directly as remembered minus forgotten (Morcom et al., 2003; Maillet and
209 Rajah, 2014). Univariate statistical analyses were conducted on the mean subsequent
210 memory effect across all voxels in the MVB analysis, in each ROI for each participant (see
211 next section).

212 **Multivariate Bayesian decoding**

213 A series of MVB decoding models were fit to assess the information about subsequent
214 memory carried by individual ROIs or combinations of ROIs. MVB estimates the free energy,
215 which provides an upper bound on the Bayesian log-evidence. The evidence for different
216 models can then be compared, or the fitted model weights examined to assess their
217 distribution over voxels and the contributions of different voxels. These analyses were
218 implemented in SPM12 v6486 and custom MATLAB scripts.

219 MVB maps many physiological data features (the predictor variables are formed from fMRI
220 activity in multiple voxels) to a psychological target variable. Each MVB decoding model is
221 based on the same design matrix of experimental variables used in the above univariate
222 GLM. The target variable is specified as a contrast, in this case subsequent memory.
223 Modelled confounds in the design (all covariates apart from those involved in the target
224 contrast) are removed from both target and predictor variables. Each MVB model is fit using
225 hierarchal parametric empirical Bayes, specifying empirical priors on the data features
226 (voxel-wise activity) in terms of patterns over voxel features and the variances of the pattern
227 weights. Since decoding models operating on multiple voxels (relative to scans) are ill-
228 posed, these priors on the patterns of voxel weights act as constraints in the second level of
229 the hierarchical model. MVB also uses an overall sparsity (hyper) prior in pattern space
230 which embodies the expectation that a few patterns make a substantial contribution to the
231 decoding and most make a small contribution. The pattern weights specifying the mapping of
232 data features to the target variable are optimised with a greedy search algorithm using a
233 standard variational scheme (Friston et al., 2007). In this work we used a sparse spatial
234 prior, in which each pattern is an individual voxel (Morcom and Friston, 2012; Chadwick et
235 al., 2014; Hulme et al., 2014; Maass et al., 2014) (log evidence was substantially greater for
236 sparse than spatially smooth priors in both ROIs: for 1-tailed t-tests comparing to population
237 mean=3, in PVC, $t=4.65$, $p<.001$ and PFC, $t=6.91$, $p<.001$, $n=119$).

238 Features (voxels) for MVB analysis were selected using an orthogonal contrast and a leave-
239 one-participant-out scheme. For each participant and ROI, these were the 1000 voxels with
240 the strongest responses to the 6 epoch regressors in the above GLM (defined using an F
241 contrast in all other participants testing variance explained by these regressors, regardless

242 of valence or subsequent memory). We then checked that subsequent memory could
243 reliably be decoded from the selected features by contrasting the evidence for each model
244 with the evidence for models in which the design matrix (and therefore the target variable)
245 had been randomly phase-shuffled, taking the mean over 20 repetitions. This difference in
246 log-evidence corresponds to the log (marginal) likelihood ratio or log Bayes factor for
247 comparing the real and phase-shuffled models. Log evidence was robustly greater for real
248 than shuffled models in both PVC ($t=7.96$, $p<.0001$, mean difference = 12.7, $SE=1.60$; two-
249 tailed, $n=119$) and PFC ($t=10.5$, $p<.0001$, mean difference = 18.7, $SE=1.78$; two-tailed,
250 $n=119$).

251 Unlike univariate activation measures such as subsequent memory effects, but like other
252 pattern-information methods, MVB finds the best non-directional model of activity predicting
253 the target variable, so positive and negative pattern weights are equally important.
254 Therefore, the principle MVB measure of interest for each ROI was the spread (standard
255 deviation) of the weights over voxels, reflecting the degree to which multiple voxels carried
256 substantial information about subsequent memory. We also constructed two novel measures
257 of the contribution of prefrontal cortex to subsequent memory. The first used Bayesian model
258 comparison within participants to test whether a joint PVC-PFC model boosted prediction of
259 subsequent memory relative to a PVC-only model. The PASA hypothesis, in which PFC is
260 engaged to a greater degree in older age and this contributes to cognitive outcomes,
261 predicts that a boost will be more often observed with increasing age. The initial dependent
262 measure was the log model evidence, coded categorically for each participant to indicate the
263 outcome of the model comparison. The 3 possible outcomes were: a boost to model
264 evidence for PVC-PFC relative to PVC models, i.e., better prediction of subsequent memory
265 (difference in log evidence > 3), equivalent evidence for the two models ($-3 < \text{difference in}$
266 $\text{log evidence} < 3$), or a reduction in prediction of subsequent memory for PFC-PVC relative
267 to PVC (difference in log evidence < -3). The second novel measure of PFC contribution to
268 subsequent memory was the proportion of top-weighted voxels in the joint PVC-PFC model
269 that were located in PFC, as opposed to PVC, derived from joint PVC-PFC models. In each
270 participant, the voxels making the strongest contribution to subsequent memory, defined as
271 those with absolute voxel weight values greater than 2 standard deviations from the mean,
272 were divided according to their anterior versus posterior location. The dependent measure
273 was the proportion of these top voxels located in PFC.

274 **Experimental design and statistical analysis**

275 Sample size was determined by the initial considerations of Stage 3 of the CamCAN study –
276 see Shafto et al.(Shafto et al., 2014) for details. For this secondary data analysis study, a
277 sensitivity analysis indicated that with $N=123$, we would have 80% power to detect a small to

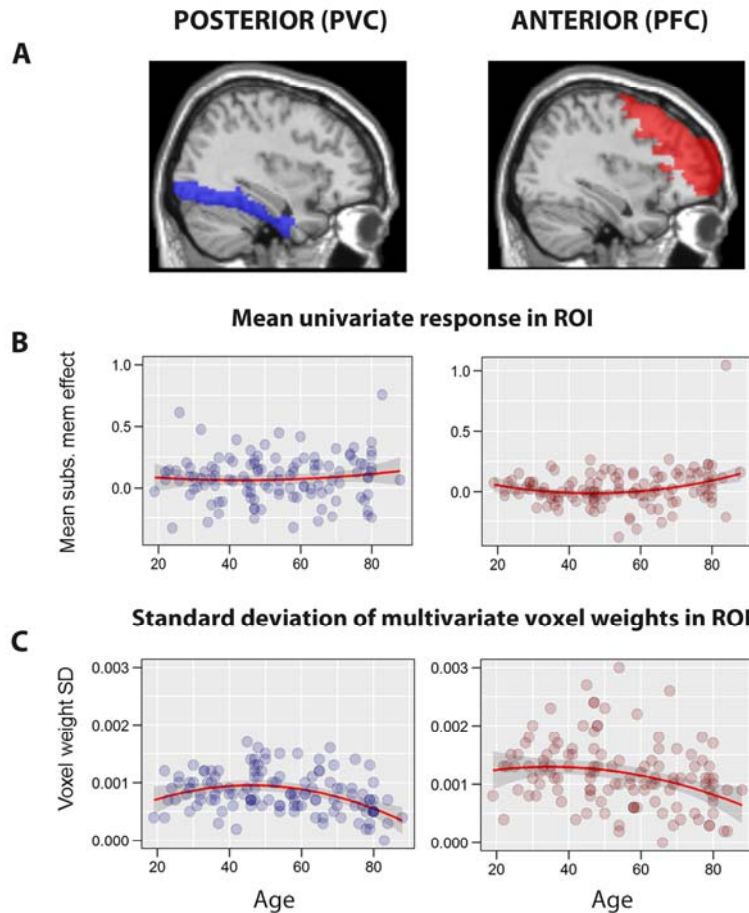
278 medium effect explaining 7.4% of the variance ($r^2=.074$). In our previous report of aging and
279 successful memory encoding, an *a priori* test of a between-region difference in subsequent
280 memory effects according to age showed a large effect ($r^2=.257$) (Morcom et al., 2003).

281 Age effects on continuous multivariate or univariate dependent measures were tested using
282 robust second-order polynomial regression with “rlm” in the package MASS for R (Venables
283 et al., 2002); MASS version 7.3-45; R version 3.3.1) and standardized linear and quadratic
284 age predictors. Analysis of outcomes of the between-region MVB model comparison (PVC
285 and PFC combined versus PVC, see Fig 2 and main text) used ordinal regression with “polr”
286 in MASS. Distributions were also trimmed to remove extreme outliers (> 5 SD above or
287 below the mean). The two participants (aged 72 and 80) with outlier values for univariate
288 effects were also removed from the MVB analyses so the samples examined were
289 comparable. Finally, we excluded two further participants (aged 68 and 83) in whom
290 subsequent memory could not be decoded from at least one of the two ROIs (log model
291 evidence ≤ 3), giving $n=119$. All tests were two-tailed and used an alpha level of .05.

292 Where it was important to test for evidence for the null hypothesis over an alternative
293 hypothesis, we supplemented null-hypothesis significance tests with Bayes Factors
294 (Wagenmakers, 2007; Rouder et al., 2009). The Bayes Factors were estimated using
295 Dienes’ online calculator (Dienes, 2014) which operationalizes directional hypotheses such
296 as PASA in terms of a half-normal distribution. Here, as the regression betas were
297 standardized, the half-normal distribution had mean=0 and SD=1.

298 Results

299 Standard univariate activation analyses assessed mean activity in each ROI across all
300 voxels included in the multivariate analysis (see Materials and Methods). These confirmed
301 that activity in both ROIs positively predicted subsequent memory across the lifespan (PVC:
302 $t(118) = 4.42$, $p < .001$; PFC: $t(118) = 2.13$, $p = .035$). Consistent with the PASA account,
303 PFC activity increases for subsequently remembered versus forgotten items also became
304 more pronounced with age, particularly in later years (for linear effect of age, $t(118) = 2.43$,
305 $p=.017$; for quadratic effect of age, $t(118) = 2.58$, $p = .012$) (Fig 2b). Age effects in PVC were
306 not significant, though there was also no evidence that age effects in the two ROIs differed
307 (see Table 1).



308

309 **Figure 2.** Relationship between age and subsequent memory effects within ROIs. **(a)** PVC (blue) and
 310 PFC (red) ROIs overlaid on sagittal section ($x=+36$) of a canonical T1 weighted brain image. **(b).**
 311 Univariate subsequent memory effects (mean activity for remembered - forgotten), showing increased
 312 activity with age in PFC but not PVC. **(c).** Spread of multivariate responses predicting subsequent
 313 memory (standard deviation of fitted MVB voxel weights), showing reduced spread of responses with
 314 age in both ROIs. Red and blue lines are robust-fitted second-order polynomial regression lines and
 315 shaded areas show 95% confidence intervals.

316

ROI/ measure	Linear			Quadratic		
	<i>t</i>	R^2_{adj}	<i>p</i>	<i>t</i>	R	P
Mean						
PVC	0.728	--	0.480	0.703	--	0.495
PFC	2.43	.032	0.017	2.58	.038	0.012
PFC-PFC	0.883	--	0.388	1.084	--	0.293
SD						

PVC	5.91	.216	< .0001	1.72	--	0.093
PFC	5.64	.200	< .0001	0.747	--	0.460
PFC-PFC	-5.51	.192	< .0001	-2.31	.027	0.024

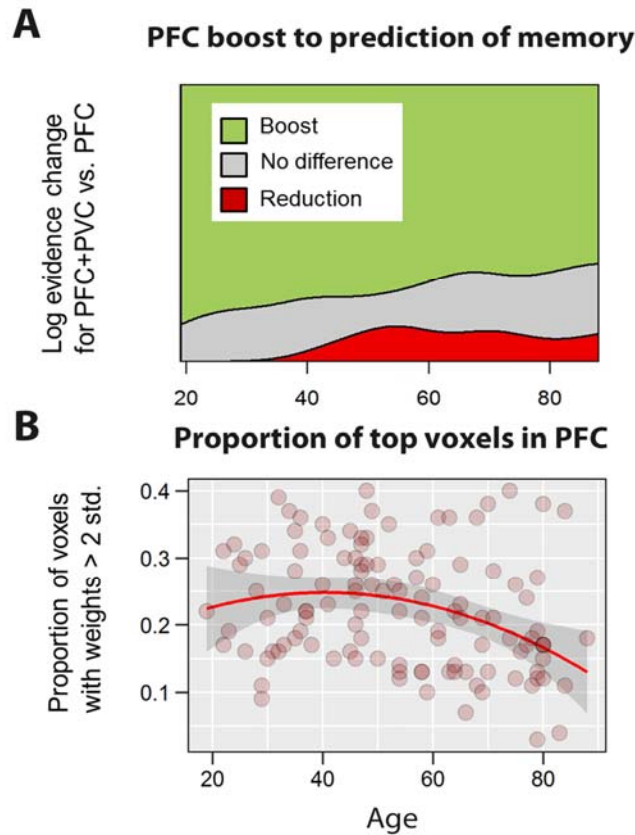
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318 **Table 1.** Age effects on mean and standard deviation of univariate SM effects. SD = standard
319 deviation. $n=119$. R^2_{adj} = the unbiased estimate of the amount of variance explained in the population.

320

321 If the increased activation in PFC reflected a compensatory PASA shift, we expected the
322 multivariate analyses to show that this increased activity carried additional information about
323 subsequent memory. However, the data revealed a different pattern. In MVB models, like
324 other linear models with multiple predictors, each voxel within an ROI has a weight which
325 captures the unique information it contributes (in this case, for predicting subsequent
326 memory). Because both positive and negative weights carry information, we summarised the
327 MVB results by the spread (standard deviation) of weights over voxels (see Materials and
328 Methods).

329 In both ROIs, this spread was markedly reduced during later life (PVC: for linear effect of
330 age, $t(118) = -3.49$, $p < .001$; for quadratic effect of age, $t(118) = 3.50$, $p < .001$; PFC: linear
331 $t(118) = -3.34$, $p < .001$; quadratic $t(118) = -1.44$, $p = .151$) (see Fig 2c and Table 2). This
332 means that, contrary to a compensatory PASA shift, PFC showed fewer, rather than more,
333 voxels with large positive or negative weights with increasing age. Moreover, direct
334 comparison across ROIs showed that the age-related reduction in spread of weights was
335 greater in PFC than PVC (linear $t(118) = -2.02$, $p = .044$). By contrast, the spread of
336 univariate activities across voxels increased with age in both ROIs (Table 1).



337

338 **Figure 3.** Evidence against a posterior-to-anterior shift from MVB comparisons between ROIs. **(a)**
 339 Ordinal regression of Bayesian model comparison of combined PVC-PFC model versus PVC-only
 340 model showed that a boost (difference in log-evidence > 3) for the combined model relative to the
 341 PVC model was no more frequent with increasing age relative to no boost ($-3 < \text{difference} < 3$) or a
 342 reduction (difference < -3). **(b)** The proportion of top-weighted voxels (> 2 standard deviations above
 343 mean) that fell within PFC (rather than PVC) showed an age-related reduction. The red line is a
 344 robust-fitted second-order polynomial regression line and the shaded area shows 95% confidence
 345 intervals.

346

ROI/ measure	Linear				Quadratic	
	<i>t</i>	<i>r</i>	<i>p</i>	<i>T</i>	<i>r</i>	<i>p</i>
Mean						
PVC	-2.06	.187	.039	2.23	.202	.026
PFC	-.338	--	.732	1.88	--	.059
PFC-PFC	1.09	--	.278	-.196	--	.844
SD						

PVC	-3.49	.307	<.001	-3.50	.308	<.001
PFC	-3.33	.294	.001	-1.44	--	.151
PFC-PFC	-2.02	.184	.044	.398	--	.690

347

348 **Table 2.** Age effects on mean and standard deviation of MVB voxel weights. SD = standard deviation.
349 n=119.

350

351 To provide more direct tests for a posterior-to-anterior shift, we developed two measures of
352 the relative contribution of PFC and PVC to subsequent memory after fitting joint MVB
353 models that included both posterior and anterior ROIs. First, we used Bayesian model
354 comparison in each participant to test whether adding PFC to the model – the joint PVC-PFC
355 model – “boosted” prediction of subsequent memory relative to the PVC-only model (see
356 Methods). Contrary to the PASA theory of a compensatory shift to greater reliance on PFC,
357 a Bayes Factor comparing these two models revealed strong evidence for the null
358 hypothesis of no boost (BF = 11.1); indeed, the probability of a boost to model evidence for
359 PVC-PFC compared to PVC-only actually *decreased* with age numerically (Fig 3a; linear
360 $t(118) = -1.54$, $p = .064$). Second, we measured the proportion of top-weighted voxels (> 2
361 standard deviations above the mean) in the joint PVC-PFC model that were located in PFC,
362 as opposed to in PVC. However, this proportion significantly *decreased* with age (Fig 3b;
363 linear $t(118) = -3.31$, $p = .00132$; quadratic $t(118) = -1.99$, $p = .0490$), again contrary to
364 PASA.

365 Discussion

366 This study investigated the proposal that there is a posterior-to-anterior shift in task-related
367 brain activity during aging, with a greater relative reliance on prefrontal cortex in older age.
368 We tested predictions of this PASA theory with data from an episodic memory encoding task
369 that was conducted on a relatively large population-derived adult lifespan sample. Using
370 novel model-based multivariate analyses, we provide direct evidence against a posterior-to-
371 anterior shift. Instead, our data suggest that increased PFC activity in older adults was less
372 specific rather than compensatory, and that older adults relied *less* on prefrontal relative to
373 posterior areas.

374 The results of the standard univariate activation analyses are consistent with previous
375 studies showing age-related increases in activation in prefrontal cortex, which form the basis
376 of the PASA theory (Grady et al., 1994; Davis et al., 2008). The increase was relatively
377 modest, but generalizes previous findings in that it was reliable across lateral, anterior and

378 superior areas. Despite this, multivariate analysis showed that with increasing age, fewer
379 voxels in each ROI strongly predicted subsequent memory. This negative effect of age on
380 the spread of multivariate responses was accompanied by a positive effect on the spread of
381 univariate activation responses across voxels. This, suggests that, while more voxels
382 showed substantial (positive or negative) responses related to subsequent memory in older
383 people, these additional responses were redundant, with fewer voxels contributing uniquely
384 to memory encoding, as expected if increased prefrontal activity is less specific.

385 The notion of a posterior-to-anterior shift implies an age-related increase in PFC activity
386 *relative* to posterior activity (Morcom and Johnson, 2015). Direct comparisons have not
387 generally been made, but evidence for a shift comes from findings of cross-over effects in
388 which age-related decreases in posterior cortical activity occur alongside age-related
389 increases in PFC (e.g., Grady et al., 1994; Davis et al., 2008). Numerous other studies have
390 focused on age-related increases in PFC rather than a shift relative from posterior cortex
391 (e.g., Cabeza et al., 1997; Anderson et al., 2000). A recent meta-analysis of subsequent
392 memory effects supported PASA, with age-related increases in older adults in several PFC
393 regions (Maillet and Rajah, 2014), and decreases in PVC, although another meta-analysis
394 across different tasks found age-related decreases as well as increases in different PFC
395 regions (Spreng et al., 2010). In individual studies, neighbouring areas of PVC (Grady et al.,
396 1994) and PFC (Rajah and D'Esposito, 2005) can also show both age-related increases and
397 decreases. A strength of our approach is that our multivariate analyses encompassed large
398 ROIs in both anterior and posterior cortices, as well as direct comparisons between the two.

399 The univariate activation analyses showed no evidence of a posterior-to-anterior shift, as
400 age effects in PFC and PVC did not differ. However, the reduction in multivariate responses
401 predicting subsequent memory was more pronounced in PFC than PVC. Together with the
402 evidence that the activity was less specific, this implicates age-related effects in both regions
403 which impact PFC to a greater degree, consistent with evidence from structural studies
404 (West, 1996; Raz and Rodrigue, 2006). One mechanism by which deleterious changes can
405 give rise to increased activity may be a pervasive dedifferentiation of neuronal
406 representations, which predominantly affects complex cognitive functions (Li et al., 2001;
407 Park et al., 2004; Carp et al., 2011; Abdulrahman et al., 2014). Alternatively, processing may
408 become less efficient, providing less computational “bang for the buck” for the same level of
409 neural activity (Rypma and Esposito, 2000; Morcom et al., 2007; Grady, 2008; Reuter-
410 Lorenz and Campbell, 2008; Nyberg et al., 2014). According to these theories, increased
411 activation in older adults reflects loss of neuronal integrity rather than compensation.

412 The PASA theory proposes that PFC is not only engaged to a greater degree in older adults
413 but that the additional activity also compensates, i.e., helps to maintain cognitive function.
414 Unique insights are therefore to be gained from joint analysis of the contributions of anterior
415 and posterior regions. Using multivariate models of both PFC and PVC, we constructed two
416 novel measures of the degree to which unique information about subsequent memory was
417 carried by PFC: 1) A direct model comparison showed that PFC did not contribute more to
418 subsequent memory with increasing age, over and above the contribution of PVC, while a
419 direct comparison of the number of influential voxels failed to support the hypothesis that
420 PFC plays a stronger role with increasing age. On the contrary, we found that fewer of the
421 voxels most strongly predicting subsequent memory were located in PFC relative to PVC in
422 older adults.

423 Our data replicate a (modest) increase in PFC activity over the adult lifespan, but do not
424 support the idea that this reflects a compensatory posterior-to-anterior shift, at least in the
425 context of memory encoding. The results are most parsimoniously explained by reduced
426 specificity of neural responses reflecting primary age-related deleterious changes leading to
427 dedifferentiation or inefficient neural computation. Our results therefore help to adjudicate
428 between two main competing accounts of neurocognitive aging, while also illustrating the
429 ability of MVB to compare models that comprise different sets of voxels, thereby offering an
430 exciting new general way to test the relative contributions of brain regions to cognitive
431 outcomes.

432 Acknowledgments

433

434 A.M.M. is a member of the University of Edinburgh Centre for Cognitive Ageing and
435 Cognitive Epidemiology (CCACE), part of the UK cross-council Lifelong Health and
436 Wellbeing Initiative, Grant number G0700704/84698. The Cambridge Centre for Ageing and
437 Neuroscience (Cam-CAN) research was supported by the Biotechnology and Biological
438 Sciences Research Council (grant number BB/H008217/1). The full Cam-CAN author list
439 can be found here: <http://www.cam-can.org/index.php?content=corpauth>. R.N.A.H. is funded
440 by the Medical Research Council (MC_A060_5PR10).

441 Author contributions

442

443 A.M.M. and R.N.A.H. designed research; A.M.M., R.N.A.H. and Cam-CAN performed
444 research; A.M.M. and R.N.A.H. analyzed data; A.M.M. and R.N.A.H. wrote the paper.

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564 Figures and Figure legends

565 **Figure 1**

566 **Figure 2**

567

568 **Figure 3**

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570 Tables and Table legends

571 **Table 1**

572 **Table 2**

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