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1 TITLE: Increased prefrontal activity with aging reflects nonspecific neural responses rather
2 than compensation

3 ABBREVIATED TITLE: Nonspecific prefrontal activity in aging

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20 Abstract

21 Elevated prefrontal cortex activity is often observed in healthy older adults despite declines in
22 their memory and other cognitive functions. According to one view, this activity reflects a
23 compensatory functional posterior-to-anterior shift, which contributes to maintenance of
24 cognitive performance when posterior cortical function is impaired. Alternatively, the increased
25 prefrontal activity may be less efficient or less specific, owing to structural and neurochemical
26 changes accompanying aging. These accounts are difficult to distinguish on the basis of
27 average activity levels within brain regions. Instead, we used a novel model-based multivariate
28 analysis technique, applied to two independent functional magnetic resonance imaging
29 datasets from an adult-lifespan human sample (N=123 and N=115; approximately half
30 female). Standard analysis replicated the age-related increase in average prefrontal
31 activation, but multivariate tests revealed that this activity did not carry additional information.
32 The results contradict the hypothesis of a compensatory posterior-to-anterior shift. Instead,
33 they suggest that the increased prefrontal activation reflects reduced efficiency or specificity,
34 rather than compensation.

35 Significance statement

36 Functional brain imaging studies have often shown increased activity in prefrontal brain
37 regions in older adults. This has been proposed to reflect a compensatory shift to greater
38 reliance on prefrontal cortex, helping to maintain cognitive function. Alternatively, activity may
39 become less specific as people age. This is a key question in the neuroscience of aging. In
40 this study, we used novel tests of how different brain regions contribute to long- and short-
41 term memory. We found increased activity in prefrontal cortex in older adults, but this activity
42 carried less information about memory outcomes than activity in visual regions. These findings
43 are relevant for understanding why cognitive abilities decline with age, suggesting that optimal
44 function depends on successful brain maintenance rather than compensation.

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49 Introduction

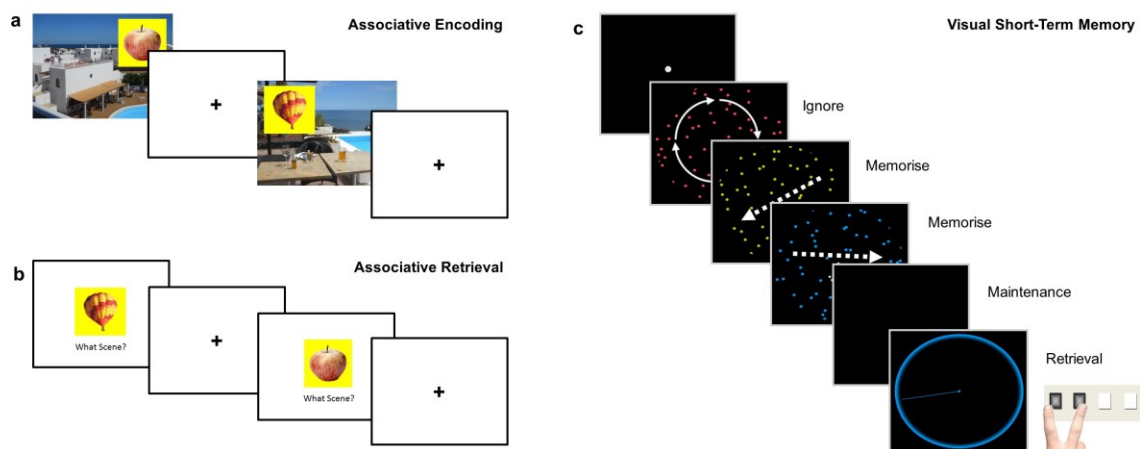
50 It is well established that healthy aging is associated with a decline in cognitive processes like
51 memory, but mechanistic explanation of this decline is impeded by difficulties in interpreting
52 the underlying brain changes. Functional magnetic resonance imaging (fMRI) of such
53 cognitive processes shows striking increases, as well as decreases, in brain activity of older
54 relative to younger adults. One leading theory – the Posterior-to-Anterior Shift in Aging (PASA)
55 – states that recruitment of anterior regions like prefrontal cortex (PFC) contributes to
56 maintenance of cognitive performance when posterior cortical function is impaired (Davis,
57 Dennis, Daselaar, Fleck, & Cabeza, 2008; Grady, 2012; Park & Reuter-Lorenz, 2009).
58 Alternatively, age-related increases in PFC activity may reflect reduced efficiency or specificity
59 of neuronal responses, reflecting primarily age-related functional impairment within PFC
60 (West, 1996; Glisky et al., 2001; Raz and Rodrigue, 2006; Nyberg, Lövdén, Riklund,
61 Lindenberger, & Bäckman, 2012; Park et al., 2004). It is difficult to adjudicate between these
62 theories based on average activity levels within brain regions (Morcom and Johnson, 2015).
63 We used a novel multivariate approach to directly test predictions of the PASA theory.

64 With multivariate methods that examine distributed patterns of brain activity over many voxels,
65 one can ask whether increased anterior activity provides additional information, and whether
66 this information goes beyond that provided by posterior cortical regions. Such increases in the
67 information represented by PFC activity would support theories that attribute additional PFC
68 recruitment to compensatory mechanisms. We used a model-based decoding approach called
69 multivariate Bayes (MVB) (Friston et al., 2008; Morcom and Friston, 2012; Chadwick et al.,
70 2014), which estimates the patterns of activity that best predict a target cognitive outcome.
71 Importantly, MVB allows formal comparison of models comprising different brain regions, such
72 as PFC, posterior cortex, or their combination.

73 In this study, we applied MVB to fMRI data from two different paradigms in population-derived,
74 adult-lifespan samples (N=123 and N=115, 19-88 years; Shafto et al. 2014). In the first, long-
75 term memory (LTM) experiment, participants were scanned while encoding new memories of
76 unique pairings of objects and background scenes, and the target cognitive outcome was
77 whether or not these associations were subsequently remembered (Figure 1a). A previous
78 behavioral study in an independent sample showed a strong decline in such associative
79 memory across the adult lifespan (Henson et al., 2016). To test whether findings generalized
80 across tasks and cognitive domains, as PASA predicts (Davis et al., 2008), we replicated our
81 findings in a second, visual short-term memory (STM) experiment. In the STM experiment, a
82 separate sample of participants was scanned while maintaining visual dot patterns online for
83 a few seconds in the presence of distraction, and the target cognitive outcome was the

84 increase in the number of patterns to be maintained (i.e., load; Figure 1b). Increases in PFC
85 activity have frequently been reported in older adults in similar tasks (Grady et al., 1998;
86 Cabeza et al., 2004), although sometimes activity reductions are found at higher loads
87 (Cappell et al., 2010).

88 We defined two regions-of-interest (ROIs): posterior visual cortex (PVC), comprising lateral
89 occipital and fusiform cortex, and PFC, comprising ventrolateral, dorsolateral, superior and
90 anterior regions (Fig 2a). These ROIs were based on previous fMRI studies of memory tasks,
91 and those cited in the context of the PASA theory (Davis et al., 2008; Maillet and Rajah, 2014).



92

93 **Figure 1.** Memory tasks. a and b, long-term memory (LTM) task and c, short-term memory (STM) task.

94 a, Associative encoding. In the scanned Study phase of the LTM task, participants were asked to make
95 up a story that linked each object with its background scene (120 trials total). A scene with positive
96 valence is illustrated. On each trial, the scene was presented for 2 sec, then the object superimposed
97 for 7.5 sec, finally the screen was blanked for 0.5 sec before the next trial. b, Associative retrieval. At
98 Test (out of the scanner), each object was presented again, and after a measure of priming, item
99 memory and background valence memory, participants were asked to verbally describe the scene with
100 which it was paired at Study. The latter verbal recall was scored as correct or incorrect, which was then
101 used to classify the trials at Study into “remembered” and “forgotten” (see text for details). The example
102 illustrates encoding and retrieval of a trial with neutral valence. c, An example trial of STM task with
103 memory load of 2 items. Trials began with fixation dot for 7 sec. On each trial, three dot displays were
104 displayed in red, yellow and blue for 500 msec each (250 msec gap). To vary load, the dots in either
105 one, two or three of the dot displays moved in a consistent direction (the to-be-ignored displays rotated).
106 After the last display, the screen was blanked for an 8 sec maintenance period. Then the probe display
107 presented a colored circle to indicate which dot display to recall (red, yellow or blue). Participants had
108 up to 5 sec to adjust the pointer until the direction matched that of the to-be-remembered display.

109

110 Materials and Methods

111 Experiment 1: Long-term Memory Encoding

112 *Participants*

113 A healthy, population-derived adult lifespan human sample (N=123; 19-88 years; 66 female)
114 was collected as part of the Cam-CAN study (Shafto et al., 2014). Participants were fluent
115 English speakers in good physical and mental health. Exclusion criteria included a low Mini
116 Mental State Examination (MMSE) score (≤ 24), serious current medical or psychiatric
117 problems, or poor hearing or vision, as well as standard MRI safety criteria. Two participants
118 were excluded from fMRI analysis as subsequent memory could not successfully be decoded
119 from either region of interest (see Multivariate Bayesian decoding). Two further participants
120 were excluded because of statistical outlier values in the analysis of univariate subsequent
121 memory effects (see Statistics for criteria). The experiment used a within-participant design,
122 so all participants received all the task conditions. Therefore, randomization and blinding were
123 not required. The study was approved by the Cambridgeshire 2 (now East of England—
124 Cambridge Central) Research Ethics Committee. Participants gave informed written consent.

125 *Materials*

126 Stimuli were 160 pictures of everyday emotionally-neutral objects taken from Smith et al.
127 (2004). For the study phase, objects were presented within a square yellow background on
128 one of 120 scenes from the IAPS emotional pictures database (Lang et al., 1997). Scenes
129 were grouped into 40 per valence (positive, neutral, negative), selected based on a pilot study,
130 with the same randomized trial order for each valence condition for all participants. To control
131 for stimulus effects, the 160 objects were divided randomly into 4 sets, and the allocation of
132 object sets to scene valence rotated across participants in 4 different counterbalances (see
133 Henson et al., 2016, for further details).

134 *Behavioral procedure*

135 The paradigm is summarized in Figure 1a. The scanned study phase comprised 120 trials,
136 presented in two 10 min blocks separated by a short break. On each study trial, a background
137 scene was first presented for 2 sec, and an object then superimposed for 7.5 sec, slightly
138 above center and either to the left or right. Participants were asked to create a story that linked
139 the object to the scene, to press a button when they had made the story. In order to equate
140 the amount of time spent processing the story, participants were asked to continue to
141 elaborate it until the scene and object disappeared. A blank screen of 0.5 sec was then
142 presented prior to the next trial. Participants were informed that the task would include some

143 pleasant and unpleasant scenes. They were not told that their memory would be tested later.
144 A practice session of 6 study trials was given just beforehand.

145 The test phase took place outside the scanner, following a short break of approximately 10
146 min involving refreshment and conversation with the experimenter. The 120 objects from the
147 study phase were presented again, randomly intermixed with 40 new objects, and divided into
148 4 blocks lasting approximately 20 min each. The first stage of each test trial involved tests of
149 priming, item memory and memory for the picture valence (see Henson et al., 2016 for details)
150 However, for the present fMRI analysis, we focused on the fourth question in each trial.
151 Participants were asked to verbally recall the scene that had been paired with the test object
152 at study. Trials at study in which scenes that were correctly recalled at test, in terms of detail
153 or gist, were scored as “remembered”. Remaining trials were split according to whether the
154 scenes could not be recalled (“associative misses”), or for which an incorrect scene was
155 described instead (“associative intrusions”), or for which the object was not recognized (“item
156 misses”). Initial analyses showed no evidence that valence interacted with age, so trials were
157 collapsed across valence (see Behavioral results). Table 1 summarizes the trial numbers per
158 condition split by age tertile.

	Younger (19-45 years) n=38	Middle-Age (46-64 years) n=43	Older (65-88 years) n=42
Remembered	55 (25)	44 (24)	23 (15)
Forgotten			
Associative Miss	31 (13)	38 (17)	46 (18)
Associative Intrusion	11 (8)	13 (10)	10 (8)
Item Miss	22 (15)	25 (17)	42 (24)

159 **Table 1.** Trial numbers divided by condition. Remembered = trials with correct object recognition and
160 scene recall; Associative Miss = trials with correct object recognition but no scene recall; Associative
161 Intrusion = trials with correct object recognition but recall of an incorrect scene; Item Miss = trials with
162 misclassification of the object as unstudied. Data are split by age tertile. Means are given with
163 standard deviations (SD).

164 For the main imaging analyses, we combined the three types of forgotten trial in order to
165 maximise power. However, in case processes that lead to subsequent memory for associative
166 memory versus item memory differ (e.g., Dennis et al., 2008; Mattson et al., 2014), we ran a
167 subsidiary imaging analyses with item misses excluded.

168 *Imaging data acquisition and preprocessing*

169 The MRI data were collected using a Siemens 3 T TIM TRIO system (Siemens, Erlangen,
170 Germany). MR data preprocessing and univariate analysis used the SPM12 software
171 (Wellcome Department of Imaging Neuroscience, London, UK, www.fil.ion.ucl.ac.uk/spm),
172 release 4537, implemented in the AA 4.0 pipeline
173 (<https://github.com/rhodricusack/automaticanalysis>). The functional images were acquired
174 using T2*-weighted data from a Gradient-Echo Echo-Planar Imaging (EPI) sequence. A total
175 of 320 volumes were acquired in each of the 2 Study sessions, each containing 32 axial slices
176 (acquired in descending order), slice thickness of 3.7 mm with an interslice gap of 20% (for
177 whole brain coverage including cerebellum; TR =1970 msec; TE = 30 msec; flip angle =78
178 degrees; field of view (FOV) =192 mm × 192 mm; voxel-size = 3 mm × 3 mm × 4.44 mm). A
179 structural image was also acquired with a T1-weighted 3D Magnetization Prepared RApid
180 Gradient Echo (MPRAGE) sequence (repetition time (TR) 2250ms, echo time (TE) 2.98 ms,
181 inversion time (TI) 900 msec, 190 Hz per pixel; flip angle 9 deg; FOV 256 x 240 x 192 mm;
182 GRAPPA acceleration factor 2).

183 The structural images were rigid-body registered with an MNI template brain, bias-corrected,
184 segmented and warped to match a gray-matter template created from the whole CamCAN
185 Stage 3 sample (N=272) using DARTEL (Ashburner, 2007) (see Taylor *et al.*, 2015) for more
186 details). This template was subsequently affine-transformed to standard Montreal
187 Neurological Institute (MNI) space. The functional images were then spatially realigned,
188 interpolated in time to correct for the different slice acquisition times, rigid-body coregistered
189 to the structural image and then transformed to MNI space using the warps and affine
190 transforms from the structural image, and resliced to 3x3x3mm voxels.

191 *Univariate imaging analysis*

192 For each participant, a General Linear Model (GLM) was constructed, comprising three neural
193 components per trial: 1) a delta function at onset of the background scene, 2) an epoch of 7.5
194 sec which onset with the appearance of the object (2 sec after onset of scene) and offset when
195 both object and scene disappeared, and 3) a delta function for each keypress. Each neural
196 component was convolved with a canonical haemodynamic response function (HRF) to create
197 a regressor in the GLM. The scene onset events were split into 3 types (i.e, 3 regressors)
198 according to the valence of the scene on each trial, while the keypress events were modelled

199 by the same regressor for all trials (together, these four regressors served to model trial-locked
200 responses that were not of interest). The responses of interest were captured by the epoch
201 neural component, during which participants were actively relating the scene and object (see
202 Behavioral Procedure). The duration of this component did not depend on response time, as
203 participants were instructed to continue to link the object and scene mentally for the full
204 duration of the display.

205 For the principal GLMs, the epoch component was split into 6 types (regressors) according to
206 the 3 scene valences and 2 types of subsequent memory, i.e., study trials for which the scenes
207 were correctly recalled (“remembered”), and those for which the scenes could not be recalled,
208 an incorrect scene was described instead, or the object was not recognized (“forgotten”).
209 When comparing remembered and forgotten trials, we averaged across the three valences
210 because 1) there was no behavioral evidence of an interaction between age and valence on
211 subsequent memory, 2) there was no imaging evidence of an interaction between age and
212 valence on subsequent memory, and 3) there would have been insufficient numbers of each
213 trial-type to examine each valence separately. Thus the main target contrast for the univariate
214 and multivariate analyses were remembered versus forgotten trials.

215 As noted above, given that encoding of associative (source) information versus item
216 information may differ with regard to additional recruitment and (potentially) to compensation
217 (e.g., Dennis et al., 2008; Mattson et al., 2014), we ran a subsidiary analysis in which the
218 “forgotten” category excluded item misses. In these GLMs, study trials were modelled using 9
219 regressors according to the 3 scene valences and 3 (rather than 2) types of subsequent
220 memory: trials on which the object was recognized but the scene forgotten or incorrectly
221 recalled (“association forgotten”) and trials on which the object was not recognized (“item
222 forgotten”). Participants for whom one of the sessions did not contain at least one trial of each
223 type were removed, leaving n=109 (note this involved removal of more participants in the
224 oldest age tertile: 0 removed aged 19-35, 2 aged 46-64, and 12 aged 65-88 years). One
225 remaining outlier (>5 SD) on the univariate measures was removed, giving n=108. As reported
226 in the Results section, this subsidiary analysis corroborated the findings of the main analysis.

227 Six additional regressors representing the 3 rigid body translations and rotations estimated in
228 the realignment stage were included in each GLM to capture residual movement-related
229 artifacts. Finally the data were scaled to a grand mean of 100 over all voxels and scans within
230 a session, and the model was fitted to the data in each voxel. The autocorrelation of the error
231 was estimated using an AR(1)-plus-white-noise model, together with a set of cosines that
232 functioned to highpass the model and data to 1/128 Hz, fit using Restricted Maximum
233 Likelihood (ReML). The estimated error autocorrelation was then used to “prewhiten” the

234 model and data, and ordinary least squares used to estimate the model parameters. To
235 compute subsequent memory effects, the parameter estimates for the 6 epoch components
236 were averaged across the two sessions and the three valences (weighted by number of trials
237 per session/valence), and contrasted directly as remembered minus forgotten (Morcom et al.,
238 2003; Maillet and Rajah, 2014). Univariate statistical analyses were conducted on the mean
239 subsequent memory effect across all voxels in the MVB analysis, in each ROI for each
240 participant (see next section).

241 Experiment 2: Visual STM

242 *Participants*

243 Participants were a separate subset (N=115; 25-86 years; 54 female) of those recruited to the
244 Cam-CAN study (see Experiment 1, Participants, for details). Nineteen participants were
245 excluded from the current analysis as the contrast of interest could not successfully be
246 decoded from either region of interest (see Multivariate Bayesian decoding). None were
247 excluded because of statistical outlier values on the measures used (see Statistics for criteria).
248 The experiment used a within-participant design, so all participants received all the task
249 conditions.

250 *Materials*

251 The task was adapted from Emrich et al. (2013). Stimuli were three patches of coloured dots,
252 one red, one yellow, and one blue. Dots were 0.26 degrees of visual angle (dva) in diameter,
253 at a density of 0.7 per square degree, and viewed through a circular aperture of diameter 11
254 dva. As a manipulation of set size, one, two, or three of the dot displays moved (at 2 dva/ sec)
255 in a single direction which had to be remembered. The other, distractor, displays rotated
256 around a central axis, and were be ignored. On 90% of trials the probed movements were in
257 one of three directions (7, 127, or 247 degrees). Other directions were selected at random, to
258 avoid subjects learning the target directions. Order of presentation of the 3 display colors was
259 randomized trial by trial, as was memory load. Rotation direction alternated across trials of a
260 given load.

261 *Behavioral procedure*

262 Each trial began with a grey fixation dot in the middle of a black screen for 5 sec, which then
263 turned white for 2 sec. Participants then saw the 3 dot displays for 500 msec each, with 250
264 msec in-between. After the third display, an 8 sec blank fixation delay was presented, followed
265 by the probe display. The probe display showed a colored circle to indicate which dot display
266 to recall (red, yellow, or blue), with a pointer. Participants had up to 5 sec to adjust the pointer
267 using 2 buttons until it matched the direction of motion of the remembered target dot display.

268 After responding, a third button cleared the probe display. Participants completed 3 runs of 30
269 trials per run (10 for each load). The direction of the target, the sequential position of the target,
270 and the set size were counterbalanced within each run, and presented in random order. Colour
271 and position of target were also counterbalanced using a Greco-Latin square design.

272 *Imaging data acquisition and preprocessing.*

273 Imaging data were acquired on the same scanner as Experiment 1. Functional T2*-weighted
274 data were acquired using a Multi-Echo Gradient-Echo Echo-Planar Imaging (EPI) sequence.
275 Approximately 300 volumes were acquired in each of the 3 VSTM task sessions (duration
276 depending on response times). Each volume had 34 axial slices (acquired in descending
277 order), slice thickness of 2.9 mm with an interslice gap of 20% (FOV = 224 mm × 224 mm, TR
278 = 2000 msec; TE = 12 msec, 25 msec, 38 msec; flip angle = 78 degrees; voxel-size = 3.5 mm
279 × 3.5 mm × 3.48 mm). Structural image sequences were the same as in Experiment 1. The
280 multiple echos were combined by computing their average, weighted by their estimated T2*
281 contrast. The functional images were spatially realigned and interpolated in time to correct for
282 different slice acquisition times. Spatial normalisation used the 'new segment' protocol in
283 SPM12 (Ashburner and Friston, 2005). Participants' structural scans were coregistered to their
284 mean functional image, then segmented into 6 tissue classes. Functional images were rigid-
285 body coregistered to the structural image then transformed to MNI space using the warps and
286 affine transforms estimated from the structural image, and resliced to 2x2x2mm voxels.

287 *Univariate imaging analysis*

288 The GLM for each participant comprised three neural components per trial: 1) encoding,
289 modelled as an epoch of 1 sec duration at onset of the first moving dot pattern, 2)
290 maintenance, modelled as an epoch of 4 sec at offset of the last moving dot pattern (2.25 sec
291 after onset of 1), and 3) probe, a delta function at the time of the participant's response. These
292 components were each split into 3 types (regressors) according to the 3 STM load levels. As
293 in Experiment 1, 6 additional regressors were added representing the motion parameters
294 estimated in the realignment stage. Finally the data were scaled to a grand mean of 100 over
295 all voxels and scans within a session. To confirm that this dataset was suitable as a replication
296 of Experiment 1's multivariate results, we first checked that at least one significant cluster
297 within the PFC region of interest (ROI) showed increased univariate activity in older people.
298 This was done using a standard analysis of effects of a linear contrast of increasing VSTM
299 load on activity during the delay period, whole-brain corrected for multiple comparisons at $p <$
300 $.05$ (voxel threshold), and a linear contrast of age. Details of this analysis are not reported and
301 it did not contribute to ROI selection, which was the same as for Experiment 1. Note that the
302 continuous nature of the judgment in the VSTM task precludes definition of individual trials as

303 correct or incorrect (rather, performance is used to estimate continuous summary measures
304 for each participant, as in Emrich et al, 2013). Therefore all trials were included in the fMRI
305 analysis, and the main target contrast for the univariate and multivariate analyses was the
306 linear effect of load from 1-3 during the delay period.

307 Regions of interest

308 ROIs were defined using WFU PickAtlas (http://fmri.wfubmc.edu/_version_3.0.5) with AAL and
309 Talairach atlases (Lancaster et al., 2000; Tzourio-Mazoyer et al., 2002; Maldjian et al., 2003).
310 The posterior visual cortex (PVC) mask comprised bilateral lateral occipital cortex and fusiform
311 cortex (from AAL, fusiform and middle occipital gyri), and the PFC mask comprised bilateral
312 ventrolateral, dorsolateral, superior and anterior regions: from AAL, the inferior frontal gyrus
313 (IFG), both pars triangularis and pars orbitalis; middle frontal gyrus, lateral part (MFG);
314 superior frontal gyrus (SFG); and from Talairach, Brodmann Area 10 (BA10), dilation factor =
315 1. In the subregion analyses, separate masks were created for BA10, IFG, MFG and SFG
316 (regions included in the BA10 mask were excluded from the other masks).

317 Multivariate Bayesian decoding

318 A series of MVB decoding models were fit to assess the information about subsequent
319 memory carried by individual ROIs or combinations of ROIs. Each MVB decoding model is
320 based on the same design matrix of experimental variables used in the univariate GLM, but
321 the mapping is reversed: many physiological data features (derived from fMRI activity in
322 multiple voxels) are used to predict a psychological target variable (Friston et al., 2008). This
323 target (outcome) variable is specified as a contrast. In Experiment 1 (LTM) the outcome was
324 subsequent memory, and in Experiment 2 (STM) it was the linear increase in STM load during
325 maintenance periods. Modelled confounds in the design (all covariates apart from those
326 involved in the target contrast) are removed from both target and predictor variables.

327 Each MVB model is fit using hierarchical parametric empirical Bayes, specifying empirical priors
328 on the data features (voxel-wise activity) in terms of patterns over voxel features and the
329 variances of the pattern weights. Since decoding models operating on multiple voxels (relative
330 to scans) are ill-posed, these spatial priors on the patterns of voxel weights act as constraints
331 in the second level of the hierarchical model. MVB also uses an overall sparsity (hyper) prior
332 in pattern space which embodies the expectation that a few patterns make a substantial
333 contribution to the decoding and most make a small contribution. The pattern weights
334 specifying the mapping of data features to the target variable are optimised with a greedy
335 search algorithm using a standard variational scheme which iterates until the optimum set size
336 is reached (Friston et al., 2007). This is done by maximizing the free energy, which provides

337 an upper bound on the Bayesian log-evidence (the marginal probability of the data given that
338 model). The evidence for different models predicting the same psychological variable can then
339 be compared by computing the difference in their log-evidences, giving the log (marginal)
340 likelihood ratio test (Bayes factor) (see Friston et al., 2007; Chadwick et al., 2012; Morcom
341 and Friston, 2012). In this work, the main outcome measures were the log-evidence for each
342 model and the set of fitted weights for all patterns (voxels) in the ROI, which can be examined
343 to assess their distribution over voxels and the contributions of different combinations of
344 voxels. These analyses were implemented in SPM12 v6486 and custom MATLAB scripts.

345 Features (voxels) for MVB analysis were selected using an orthogonal contrast and a leave-
346 one-participant-out scheme. For each participant and ROI, these were the 1000 voxels with
347 the strongest responses to the task: in Experiment 1 (LTM), the 6 epoch regressors modelling
348 object onsets in the GLM, and in Experiment 2 (STM), the 3 epoch regressors modelling
349 maintenance periods in the GLM (defined using an F contrast in all other participants testing
350 variance explained by these regressors, regardless of valence or subsequent memory). We
351 used a sparse spatial prior, in which each pattern is an individual voxel (Morcom and Friston,
352 2012; Chadwick et al., 2014; Hulme et al., 2014; Maass et al., 2014). We first checked that
353 the target memory variables could reliably be decoded from the selected features by
354 contrasting the evidence for each model with the evidence for models in which the design
355 matrix (and therefore the target variable) had been randomly phase-shuffled, taking the mean
356 over 20 repetitions, and comparing log-evidence for real versus phase-shuffled models. One-
357 tailed *t*-tests compared the difference in real versus shuffled model evidences to a
358 hypothesized population mean difference of 3 which would reflect good Bayesian evidence for
359 the real over the shuffled models. These showed that the difference in log-evidence was
360 robustly greater than this in both PVC, $t(118) = 6.08$, $p < .0001$, $r^2_{adj} = .225$, mean difference =
361 9.72; and PFC, $t(118) = 7.70$, $p < .0001$, $r^2_{adj} = .323$, mean difference = 11.8. The same applied
362 to Experiment 2: for PVC, $t(95) = 8.42$, $p < .0001$, $r^2_{adj} = .415$, mean difference = 23.0, and
363 PFC, $t(95) = 11.4$, $p < .0001$, $r^2_{adj} = .569$, mean difference = 35.0. To confirm that the sparse
364 prior represented the best spatial model, we then compared the log-evidence with that for
365 models with smooth spatial priors, in which each pattern is a local weighted mean of voxels
366 (Gaussian FWHM = 8). For Experiment 1 (LTM): log evidence was substantially greater for
367 the sparse priors in both ROIs: in PVC, $t(118) = 18.4$, $p < .0001$, $r^2_{adj} = .737$, and PFC, $t(118)$
368 $= 18.0$, $r^2_{adj} = .728$, $p < .0001$, two-tailed tests. The same was true for Experiment 2 (STM): for
369 PVC, $t(95) = 10.3$, $r^2_{adj} = .464$, $p < .0001$, and PFC, $t(95) = 14.9$, $r^2_{adj} = .650$, $p < .0001$.

370 Unlike univariate activation measures such as subsequent memory effects, but like other
371 pattern-information methods, MVB finds the best non-directional model of activity predicting
372 the target variable, so positive and negative pattern weights are equally important. Therefore,

373 the principle MVB measure of interest for each ROI was the spread (standard deviation) of the
374 weights over voxels, reflecting the degree to which multiple voxels carried substantial
375 information about subsequent memory. To test whether PFC activity was compensatory, we
376 also constructed a novel measure of the contribution of prefrontal cortex to subsequent
377 memory. This used Bayesian model comparison within participants to assess whether a joint
378 PVC-PFC model boosted prediction of subsequent memory relative to a PVC-only model. The
379 PASA hypothesis, in which PFC is engaged to a greater degree in older age and this
380 contributes to cognitive outcomes, predicts that a boost will be more often observed with
381 increasing age. The initial dependent measure was the log model evidence, coded
382 categorically for each participant to indicate the outcome of the model comparison. The 3
383 possible outcomes were: a boost to model evidence for PVC-PFC relative to PVC models, i.e.,
384 better prediction of subsequent memory (difference in log evidence > 3), equivalent evidence
385 for the two models ($-3 < \text{difference in log evidence} < 3$), or a reduction in prediction of
386 subsequent memory for PFC-PVC relative to PVC (difference in log evidence < -3).

387 Lastly, given that the relative contribution of anterior versus posterior regions could change
388 with age, even if the absolute amount of pattern information decreased with age in both
389 regions, we computed a second novel measure: we estimated the PFC contribution to
390 cognitive outcome in terms of the proportion of top-weighted voxels in the joint PVC-PFC
391 model that were located in PFC, as opposed to PVC, derived from joint PVC-PFC models. In
392 each participant, the voxels making the strongest contribution to the cognitive outcome,
393 defined as those with absolute voxel weight values greater than 2 standard deviations from
394 the mean, were split according to their anterior versus posterior location. The dependent
395 measure was the proportion of these top voxels located in PFC.

396 Experimental design and statistical analysis

397 Sample size was determined by the initial considerations of Stage 3 of the CamCAN study –
398 see Shafto et al. (Shafto et al., 2014) for details. For the LTM experiment, a sensitivity analysis
399 indicated that with $N=123$, we would have 80% power to detect a small to medium effect
400 explaining 6.5% of the variance on a two tailed test for a model with 2 predictors ($r^2 = .0658$).
401 For the STM experiment with $N = 115$, the corresponding minimal effect size for 80% power
402 was 6.9% of the variance ($r^2 = .0694$). In our previous report of aging and successful memory
403 encoding, an *a priori* test of a between-region difference in subsequent memory effects
404 according to age showed a large effect ($r^2 = .257$) (Morcom et al., 2003).

405 Age effects on continuous multivariate or univariate dependent measures were tested using
406 robust second-order polynomial regression with “rlm” in the package MASS for R (Venables
407 et al., 2002); MASS version 7.3-45; R version 3.3.1) with standardized linear and quadratic

408 age predictors. For analysis of covariance for behavioral data we used JASP version 0.8.3.1.
409 Analysis of outcomes of the between-region MVB model comparison (PVC and PFC combined
410 versus PVC, see Fig 2 and main text) used ordinal regression with “polr” in MASS.
411 Distributions were also trimmed to remove extreme outliers (> 5 SD above or below the mean).
412 In Experiment 1 (LTM), the two participants (aged 72 and 80) with outlier values for univariate
413 effects were also removed from the MVB analyses so the samples examined were
414 comparable. We excluded two further participants (aged 68 and 83) in whom subsequent
415 memory could not be decoded from at least one of the two ROIs (log model evidence ≤ 3),
416 giving $n=119$. In Experiment 2 (STM), we excluded nineteen participants in whom VSTM load
417 could not be decoded during maintenance, giving $n=96$. All tests were two-tailed and used an
418 alpha level of .05.

419 Where it was important to test for evidence for the null hypothesis over an alternative
420 hypothesis, we supplemented null-hypothesis significance tests with Bayes Factors
421 (Wagenmakers, 2007; Rouder et al., 2009). The Bayes Factors were estimated using Dienes’
422 online calculator (Dienes, 2014) which operationalizes directional hypotheses such as PASA
423 in terms of a half-normal distribution. Here, we assumed an effect size of 1 SD and therefore
424 defined the half-normal distribution with mean=0 and SD=1. All statistics and p values are
425 reported to 3 significant figures, except where $p < .0001$.

426 Results

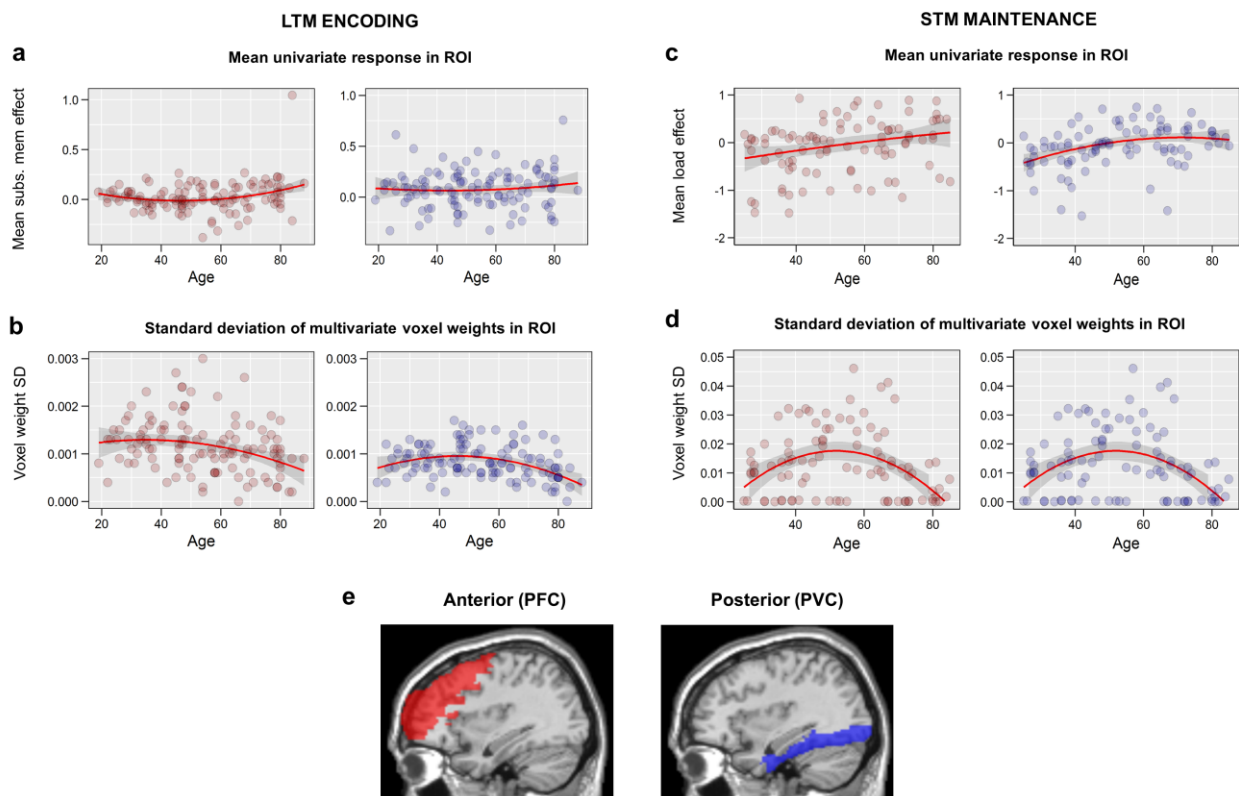
427 Experiment 1: Long-term Memory (LTM) Encoding

428 *Behavioral results*

429 We examined age effects on the number of trials in each remembered and forgotten condition
430 (see Table 2). For remembered trials, there was a significant linear decrease with age ($t(118)$
431 $= -7.30$, $p < .0001$, $r^2_{adj} = .299$), with no significant quadratic component ($t(118) = -0.104$, $p =$
432 $.917$; $\alpha = .0125$). As a consequence, the number of forgotten trials increased with age,
433 and this was true for both associative misses (linear $t(118) = 4.82$, $p < .0001$, $r^2_{adj} = .150$;
434 quadratic, $t(118) = 0.630$, $p = .532$) and item misses (linear $t(118) = 5.43$, $p < .0001$, $r^2_{adj} =$
435 $.186$; quadratic, $t(118) = 1.57$, $p = .120$), although not for associative intrusions (linear $t(118)$
436 $= -1.38$, $p = .163$; quadratic, $t(118) = -2.29$, $p = .0221$). Analysis of covariance with the factor
437 of valence (Positive, Neutral, Negative) showed no interaction between age and valence on
438 the number of remembered items (for linear effect of age, $F(2,231) < 1$, $p = .486$; quadratic,
439 $F(2,231) = 1.59$, $p = .206$).

440 *Testing compensation*

441 Standard univariate activation analyses assessed mean activity in each ROI across all voxels
442 included in the multivariate analysis (see Materials and Methods). Consistent with the PASA
443 account, the increase in activity associated with subsequent memory became more
444 pronounced with age, particularly in later years (linear effect of age, $t(118) = 2.43$, $p = .0166$;
445 quadratic effect of age, $t(118) = 2.58$, $p = .0111$) (Fig 2a, Table 2). Age effects in PVC were
446 not significant (see Table 2). The age effects in PFC were also present after removal of the
447 older participant with the largest SM effect (although they did not meet our criterion for an
448 outlier; see Fig 2a; linear $t(117) = 2.14$, $p = .033$; quadratic $F(117) = 2.31$, $p = .033$). In both ROIs
449 results were very similar for the models excluding forgotten trials for which the items
450 themselves were forgotten (see Methods; PFC: linear $t(107) = 2.22$, $p = .0316$; quadratic $t(107)$
451 $= 2.91$, $p = .00527$; PVC: linear, $t(107) = 1.10$, $p = .288$; quadratic, $t(107) = 1.24$, $p = .233$).



452

453 **Figure 2.** Relationship between age and univariate and multivariate effects within ROIs. (a). Univariate
454 subsequent memory effects (mean activity for remembered - forgotten), showing increased activity with
455 age in PFC but not PVC. (b). Spread of multivariate responses predicting subsequent memory
456 (standard deviation of fitted MVB voxel weights), showing reduced spread of responses with age in both
457 ROIs. (c). Univariate effects of load (positive linear contrast) during STM maintenance, showing
458 increased activity with age in both ROIs. (e). Spread of multivariate responses during STM maintenance
459 predicting increasing load, showing reduced spread of responses with age in both ROIs. Red and blue
460 lines are robust-fitted second-order polynomial regression lines and shaded areas show 95%

461 confidence intervals. (e). PVC (blue) and PFC (red) ROIs overlaid on sagittal section (x=+36) of a
 462 canonical T1 weighted brain image. Note that y-axis scales are not comparable across tasks.
 463

ROI/ measure	Model		Linear			Quadratic		
	F	p	t	r^2_{adj}	p	T	r^2_{adj}	p
Mean univariate SM activation								
PFC	5.49	.00525	2.43	.0312	0.0166	2.58	.0371	.0111
PVC	0.426	.654	0.728	--	0.480	0.703	--	.495
PFC-PVC	0.837	.436	0.883	--	0.388	1.084	--	0.293
Multivariate spread (SD) of SM activity								
PFC	6.36	.00240	-3.33	.0701	.000998	-1.44	--	.151
PVC	11.3	< .0001	-3.49	.0780	.000650	-3.50	.0784	.000621
PFC-PVC	2.02	.109	4.16	--	.0437	.398	--	.690

464 **Table 2.** Age effects on mean univariate SM effects and spread of multivariate SM effects in the LTM
 465 task. PFC-PVC refers to analyses where the dependent variable was the difference in each measure
 466 between PFC and PVC. SD = standard deviation. r^2_{adj} = the unbiased estimate of the amount of variance
 467 explained in the population. n = 119.

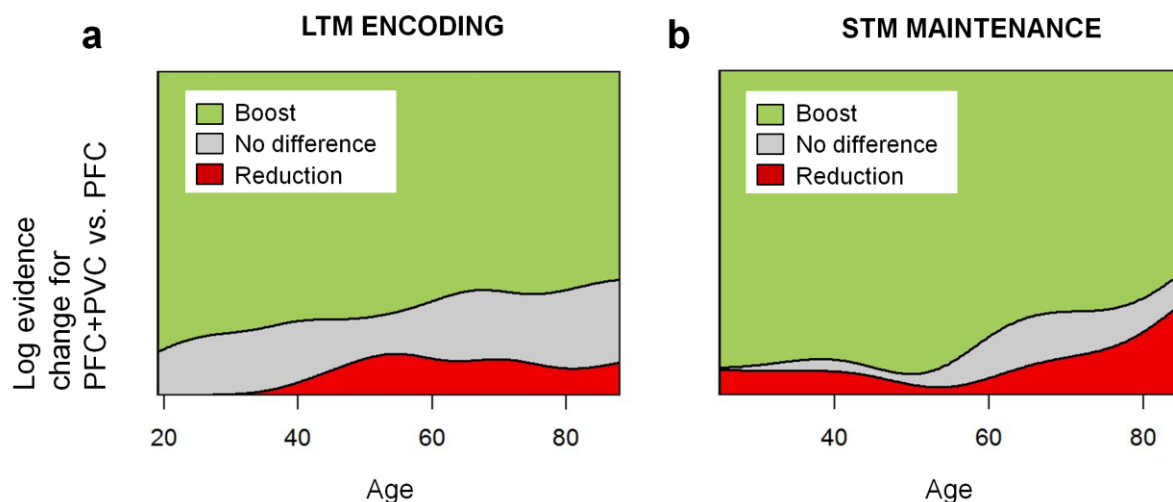
468

469 If the increasing PFC activation with age reflected compensation, we expected the multivariate
 470 analyses to show that this increased activity carried additional information about subsequent
 471 memory. However, the data revealed a different pattern. In MVB models, like other linear
 472 models with multiple predictors, each voxel within an ROI has a weight that captures the
 473 unique information it contributes (in this case, for predicting subsequent memory). Because
 474 both positive and negative weights carry information, we summarised the MVB results by the
 475 spread (standard deviation) of weights over voxels (see Materials and Methods).

476 In both ROIs, this spread was markedly reduced during later life (PVC: linear $t(118) = -3.49$,
 477 $p = .000650$; quadratic $t(118) = -3.50$, $p = .000621$; PFC: linear $t(118) = -3.33$, $p = .000998$;
 478 quadratic $t(118) = -1.44$, $p = .151$); see Fig 2b and Table 2. This means that, contrary to a
 479 compensatory PASA shift, PFC showed fewer, rather than more, voxels with large positive or
 480 negative weights with increasing age. Again, the results were similar for the subsidiary models
 481 excluding item misses (PVC: linear $t(107) = -1.41$, $p = .158$; quadratic $t(107) = -2.81$, $p =$
 482 $.000544$; PFC: linear $t(107) = -2.21$, $p = .0280$; quadratic $t(107) = -0.566$, $p = .570$). By contrast,
 483 the spread of univariate activities across voxels increased with age in both ROIs (for PVC,
 484 linear effect of age, $t(118) = 5.91$, $p < .0001$, $r^2_{adj} = .215$; quadratic effect of age, $t(118) = 1.72$,

485 $p = .0881$; for PFC, linear effect of age, $t(118) = 5.64$, $p < .0001$, $r^2_{adj} = .199$; quadratic $t(118)$
486 $= -2.31$, $p = .0226$, $r^2_{adj} = .0268$).

487 To provide a more direct test for a compensatory posterior-to-anterior shift, we assessed the
488 *specific* contribution of PFC to subsequent memory, over and above that of PVC. We fitted a
489 joint MVB model that included both posterior and anterior ROIs, and contrasted this with a
490 model including PVC only, using Bayesian model comparison. Thus we could ask, for each
491 participant, whether or not adding PFC to the model “boosted” prediction of subsequent
492 memory (see Methods). Contrary to the PASA theory of a compensatory shift towards greater
493 reliance on PFC, a Bayes Factor comparing these two models revealed strong evidence for
494 the null hypothesis of no boost ($BF_{01} = 11.1$); indeed, the probability of a boost to model
495 evidence for PVC-PFC compared to PVC-only actually *decreased* with age numerically (Fig
496 3a; linear $t(118) = -1.54$, $p = .126$). Excluding item misses from the model enhanced this
497 pattern (for linear age effect $t(107) = -2.34$, $p = .0211$, $BF_{01} = 14.3$).



498

499 **Figure 3.** Evidence against a compensatory posterior-to-anterior shift from MVB comparisons between
500 ROIs. Ordinal regression of Bayesian model comparison of combined PVC+PFC model versus PVC-
501 only model using age to predict outcomes of model comparison: adding PFC to the model boosts
502 prediction of the cognitive outcome (difference in log-evidence > 3), or there is no boost ($-3 < \text{difference}$
503 < 3), or a reduction in log-evidence (difference < -3). (a) LTM, for subsequent memory effects, a boost
504 was no more frequent with increasing age (b) STM, for load effects, a boost was less frequent with
505 increasing age.

506 *Testing sub-regions within PFC*

507 We also explored whether the pattern of results was similar across subregions within PFC.
508 The PASA theory does not specify which areas are involved in a compensatory shift, but aging
509 does not affect all subregions equally (Raz and Rodrigue, 2006). In functional studies,

510 univariate SM effects in ventrolateral and dorsolateral PFC tend to be age-invariant while
 511 anterior and superior prefrontal regions show age-related increases (Morcom et al., 2003;
 512 Maillet and Rajah, 2014). In contrast, Davis et al.'s (2008) PASA proposal was based on
 513 increased activation in older people in anterior ventrolateral PFC and anterior cingulate during
 514 visual perception and episodic retrieval. In the present episodic encoding task, there were
 515 significant age-related increases in univariate activation in anterior PFC (BA10) and lateral
 516 inferior frontal gyrus (IFG), and significant decreases in the spread of multivariate voxel
 517 weights in BA10 and superior (medial) frontal gyrus (SFG), as well as numerical decreases
 518 also in IFG and in lateral middle frontal gyrus including dorsolateral PFC (MFG) (Table 3; see
 519 Materials and Methods, Regions of Interest for region definition). Thus the overall age-related
 520 increase in activation was mainly driven by BA10 and IFG, but no subregion showed a
 521 decrease in activation with age. The reduction in multivariate information and evidence against
 522 a functional boost were relatively uniform over subregions (Table 3). Direct model comparison
 523 showed no evidence that PFC activity was compensatory in older age, even in the two
 524 subregions with strong increases in activation: Bayes Factors weighed against any boost to
 525 prediction of subsequent memory for joint PFC-PVC models relative to PVC-only models (BF_{01}
 526 favoring the null over positive linear effect of age = 11.1 for BA10, 12.5 for MFG, 5.00 for IFG
 527 and 14.2 for SFG).

ROI/ measure	Model		Linear			Quadratic		
	<i>F</i>	<i>p</i>	<i>t</i>	r^2_{adj}	<i>p</i>	<i>t</i>	r^2_{adj}	<i>p</i>
BA10								
Mean univariate SM	5.24	.00660	1.33	--	.180	2.36	--	.0189
MVB spread (SD)	8.28	.000433	-3.75	.0911	.00032	-1.86	--	.0623
PFC boost	--	--	-1.44	--		-0.321	--	
IFG								
Mean univariate SM	5.39	.00572	2.48	.204	.0152	2.72	.227	.00824
MVB spread (SD)	3.30	.0405	-2.50	--	.0127	-0.653	--	.514
PFC boost	--	--	0.00665	--		-0.210	--	
MFG								
Mean univariate SM	1.34	.266	1.56	--	.119	1.38	--	.169
MVB spread (SD)	4.54	.0126	-2.86	.0487	.00466	-1.10	--	.271
PFC boost	--	--	-1.45	--		-1.132	--	
SFG								
Mean univariate SM	1.72	.184	1.33	--	.181	1.34	--	.179
MVB spread (SD)	4.39	.0145	-2.83	.0474	.00533	-1.09	--	.275
PFC boost	--	--	-1.68	--		1.03	--	

528 **Table 3.** Age effects for PFC subregions in the LTM task. The table lists mean univariate SM effects,
529 the spread (SD) of multivariate Bayesian (MVB) voxel weights predicting SM, and results of the
530 between-region tests of 'boost' to model evidence for PFC plus PVC models compared to PVC-only.
531 See text for details. Alpha = .0125. SD = standard deviation. SM = subsequent memory. n=119.

532 *Testing posterior-anterior shift*

533 The foregoing analyses provide strong evidence that the increase in (univariate) PFC activity
534 observed in this task did not reflect compensation. Nonetheless, the PASA theory is more
535 general, describing a shift in relative reliance on posterior and anterior regions with age, which
536 need not be compensatory, but could reflect differential age effects in posterior and anterior
537 cortices. In other words, the relative involvement of anterior versus posterior regions could
538 increase with age, even if the absolute involvement of both decreased with age. Direct
539 comparison of the mean univariate activation between ROIs did not reveal any evidence for
540 such relative differences in age effects, with strong Bayesian evidence against the predicted
541 greater age-related increase in PFC (BF_{01} for null hypothesis = 25; Table 2). We next tested
542 for a shift in the relative multivariate information between regions. In the separate MVB
543 models, the age-related reduction in spread of weights was numerically *greater* in PFC than
544 PVC (linear age effect on PFC-PVC difference, $t(118) = 4.16$, $p = .0437$); see Table 2. We
545 also measured the proportion of top-weighted voxels (> 2 standard deviations above the
546 mean) that were located in PFC as opposed to PVC in the joint PVC-PFC model. This
547 proportion decreased significantly with age (overall model, $F(2,116) = 3.27$, $p = .0415$; linear
548 $t(118) = -2.55$, $r^2_{adj} = .359$, $p = .0119$; quadratic $t(118) = -.106$, $p = .915$), with mean 52.4% of
549 top voxels located in PFC in the younger tertile (SD = 9.09; 18-45 years) and 47.1% in the
550 older tertile (SD = 8.57; 65-88 years), although this was no longer significant when item misses
551 were excluded (overall model, $F(2,105) = 2.60$, $p = .0799$, linear $t(107) = -1.86$, $p = .0638$).
552 Thus, there was no evidence that in older age there is a general shift in the areas contributing
553 to subsequent memory from posterior to anterior (though see Experiment 2 below).

554 Experiment 2: Short-term Memory (STM) Maintenance

555 *Behavioral results*

556 For the visual STM task, analysis of the effects of increasing load on performance showed a
557 strong age-related increase in the effect of load on accuracy, measured using the root mean
558 squared error of the estimated dot direction relative to the actual dot direction in degrees (Fig
559 1b). As expected, older people showed a larger increase in error at load = 3 compared to load
560 = 1 (linear contrast) than younger people (for linear age-by-load interaction, $t(95) = 5.53$, $p <$
561 $.0001$, $r^2_{adj} = .192$; quadratic $t(95) = 1.27$, $p = .203$), although some age-related decrement in
562 accuracy was present even at load = 1 (for linear effect of age, $t(95) = 2.607$, $p = .0110$, $r^2_{adj} =$
563 $.0382$; quadratic $t(95) = 0.388$, $p = .699$).

564 *Testing compensation*

565 For STM, standard univariate activation analyses showed that increasing load elicited activity
 566 increases during the maintenance period which varied according to age in both ROIs. As in
 567 the LTM experiment, and consistent with the PASA account, PFC activation increased with
 568 age, particularly in later years (linear $t(95) = 3.01$, $p = .003$; quadratic $t(95) = -0.505$, $p = .615$)
 569 (Fig 2c, Table 4). Unlike for LTM encoding, there was also a significant increase in load-related
 570 PVC activation over the lifespan (linear $t(95) = 4.28$, $p < .0001$; quadratic $t(93) = -0.988$, $p =$
 571 $.324$; see Table 4.

ROI/ measure	Model		Linear			Quadratic		
	<i>F</i>	<i>p</i>	<i>t</i>	r^2_{adj}	<i>p</i>	<i>T</i>	r^2_{adj}	<i>p</i>
Mean univariate STM activation								
PFC	4.57	.0128	3.01	.0553	.00336	-0.505	--	.615
PVC	9.43	.000187	4.28	.119	< .0001	-0.988	--	.324
PFC-PVC	.606	.548	-0.587	--	.559	-0.878	--	.380
Multivariate spread (SD) of STM activity								
PFC	13.4	< .0001	.662	--	.507	-5.03	.162	< .0001
PVC	9.30	.000210	-1.01	--	.308	-4.07	.108	< .0001
PFC-PVC	3.00	.0547	.674	--	.497	2.26	.0250	.0244

572 **Table 4.** Age effects on mean univariate SM effects and spread of multivariate SM effects in the STM
 573 task. PFC-PVC refers to analyses where the dependent variable was the difference in each measure
 574 between PFC and PVC. SD = standard deviation. $n = 96$. R^2_{adj} = the unbiased estimate of the amount
 575 of variance explained in the population.

576

577 Separate MVB analysis in each ROI showed a similar pattern of age effects to the LTM task.
 578 In both PFC and PFC, the spread (SD) of individual voxel weights predicting increased STM
 579 load was particularly reduced during later life, with a significant quadratic component (PVC:
 580 linear $t(95) = -1.01$, $p = .308$; quadratic $t(95) = -4.07$, $p < .0001$; PFC: linear $t(95) = 0.662$, $p =$
 581 $.507$; quadratic $t(95) = -5.03$, $p < .0001$) (see Fig 2d and Table 4). The result for PVC was
 582 unchanged by removing a subset of subjects with very low values (i.e., SD weights $< .0005$;
 583 for quadratic age effect $t(69) = -5.32$, $p = .0012$). As found for LTM encoding, the direction of
 584 the effect in PFC was contrary to a compensatory PASA shift, i.e., PFC voxels contributed
 585 less to the cognitive task in old age. Again, the spread of univariate effects did not show the

586 same effects of age (in PVC linear $t(95) = 1.52$, $p = .134$; quadratic $t(95) = 0.831$, $p = .406$; in
587 PFC, linear $t(95) = -0.471$, $p = .641$; quadratic $t(95) = 1.70$, $p = .0912$).

588 As for LTM encoding, we used model comparison of a joint PVC-PFC model with a PVC-only
589 model to directly evaluate the compensatory PASA hypothesis. The results were similar to the
590 LTM experiment: The “boost” to prediction of the cognitive variable obtained by adding PFC
591 to the model showed a significant age-related *reduction* in the probability of a boost for STM
592 load (in an ordinal regression, $t(95) = -2.00$, $p = .0479$). The Bayes Factor provided strong
593 evidence against the compensatory hypothesis of an increased boost (for unidirectional
594 hypothesis, $BF = 10.2$), although evidence was only anecdotal for the presence of an age-
595 related reduction in boost (for bidirectional hypothesis, $BF = 1.81$). Thus, like for the LTM
596 experiment, there was clear evidence against a compensatory increase in prefrontal
597 contribution to the task with age.

598 *Testing sub-regions within PFC*

599 Again, we examined the four prefrontal subregions separately to assess whether the findings
600 were driven by a specific part or parts of the large ROI (Table 5). For this experiment, the age-
601 related increase in univariate activation was not separately significant in any subregion, which
602 may have reflected relatively distributed effects and the more inclusive selection of ‘active’
603 voxels. As for the LTM experiment however, overall age effects on the spread of multivariate
604 voxel weights were significant in three subregions, and those in IFG were similar in magnitude
605 and form, suggesting reductions in spread across PFC, with no major between-subregion
606 differences. Moreover, all ROIs showed Bayes Factors of at least 6 against a boost to model
607 evidence from adding PFC to the posterior-only models predicting increasing STM load, again
608 consistent with the overall results.

ROI/ measure	Model		Linear			Quadratic		
	<i>F</i>	<i>P</i>	<i>t</i>	r^2_{adj}	<i>p</i>	<i>t</i>	r^2_{adj}	<i>p</i>
BA10								
Mean univariate	1.90	.155	1.79	--	.0787	-0.937	--	.352
MVB spread (SD)	12.7	< .0001	-1.41	--	.158	-4.69	.171	< .0001
PFC boost <i>t</i>	--	--	-1.48	--	.142	-1.00	--	.320
PFC boost BF_{01}	--	--	10.0	--	--	--	--	--
IFG								
Mean univariate	2.64	.0767	1.99	--	.0512	0.997	--	.323
MVB spread (SD)	6.26	.00282	-0.787	--	.427	-3.35	.0864	.00109
PFC boost <i>t</i>	--	--	-1.11	--	.270	-1.10	--	.274
PFC boost BF_{01}	--	--	7.69	--	--	--	--	--

MFG								
Mean univariate	2.08	.131	2.03	--	.0459	-0.447	--	.654
MVB spread (SD)	11.0	< .0001	0.300	--	.762	-4.60	.165	< .0001
PFC boost <i>t</i>	--	--	-1.38	--	.171	-0.788	--	.433
PFC boost BF ₀₁	--	--	6.25	--	--	--	--	--
SFG								
Mean univariate	2.64	.0770	2.27	--	.0264	0.241	--	.812
MVB spread (SD)	7.37	.00106	-1.57	--	.116	-3.34	.0858	.00111
PFC boost <i>t</i>	--	--	-2.73	.0528	.00755	-0.720	--	.473
PFC boost BF ₀₁	--	--	14.3	--	--	--	--	--

609 **Table 5.** Age effects for PFC subregions in the visual short-term memory task. The table lists mean
 610 univariate activation during maintenance in response to increasing VSTM load, the spread (SD) of
 611 MVB voxel weights predicting linearly increasing VSTM load, and results of the between-region tests
 612 of 'boost' to model evidence for PFC plus PVC models compared to PVC-only. See text for details.
 613 Alpha = .0125. SD = standard deviation. n=96.

614

615 *Testing posterior-anterior shift*

616 Finally, even if age increased activity and decreased multivariate information in both PFC and
 617 PVC, it is possible that the PFC:PVC ratio of activity and/or multivariate information increases
 618 with age, consistent with the general PASA claim. As for LTM encoding, there was no evidence
 619 that age effects on (univariate) activation in the two ROIs differed, i.e. the increase in activation
 620 in older people was similar in magnitude (Table 4; BF₀₁ for null hypothesis over prediction of
 621 a greater age-related increase in PFC = 33.3). However, multivariate analysis revealed a
 622 picture different from that in the LTM task. In the separate MVB models, the age-related
 623 reduction in spread of weights showed a stronger quadratic component in PFC than PVC (for
 624 PFC-PFC, quadratic $t(95) = 2.26$, $p = .0244$; Table 4). More clearly, when examining the
 625 location of top-weighted voxels from the joint PFC+PVC model, a higher proportion were
 626 located in PFC in older age (for model, $F(2,93) = 22.4$, $p < .0001$, linear $t(95) = 3.72$, $p < .001$,
 627 quadratic $t(95) = 5.20$, $p < .0001$), with mean 69.1% of top voxels located in PFC in the younger
 628 tertile (SD = 14.1; 25-43 years) but 81.0% in the older tertile (SD = 13.3; 66-85 years). Thus
 629 while the STM experiment, like the LTM experiment, found decreases in absolute PFC (and
 630 PVC) involvement in old age, the relative involvement of PFC versus PVC voxels (at least in
 631 terms of those with high weights in the joint model) did increase with age, unlike in the LTM
 632 experiment. This provides some support for a PASA pattern in this task, even though there
 633 was no evidence that this greater PFC involvement was compensatory.

634 Discussion

635 This study investigated the proposal that there is a posterior-to-anterior shift in task-related
636 brain activity during aging, with the greater reliance on prefrontal cortex in older age reflecting
637 compensatory mechanisms. We tested the predictions of this PASA theory with data from two
638 memory tasks that were conducted on independent and relatively large population-derived
639 adult lifespan samples. Using novel model-based multivariate analyses, we provide direct
640 evidence against a compensatory posterior-to-anterior shift. Instead, our data suggest that the
641 increased prefrontal activation reflects less specific or less efficient activity, rather than
642 compensation.

643 The results of our standard univariate activation analyses are consistent with previous studies
644 showing age-related increases in activation in prefrontal cortex, which form the basis of the
645 PASA theory (Grady et al., 1994; Davis et al., 2008). Many studies have found such increases
646 in PFC activation in different cognitive tasks, although regional reductions in activation are
647 also found (e.g. see Rajah and D'Esposito, 2005; Spreng et al., 2010; Li et al., 2015). We
648 found such age-related increases in both the PFC activation associated with trials that were
649 later remembered many minutes later (in the LTM experiment) and the activation associated
650 with maintaining increasing numbers of items for a few seconds (in the STM experiment). We
651 also further generalized previous findings across PFC sub-regions, in that the increased
652 activation was reliable across lateral, anterior and superior prefrontal areas (although in the
653 LTM experiment, it was mainly driven by inferolateral and anterior PFC).

654 Importantly, despite this increased univariate activity, multivariate analysis of both
655 experiments showed that with increasing age, PFC possessed less, rather than more,
656 information about the cognitive outcome. This reduced pattern information was evident both
657 in terms of the spread of voxel weights (Figure 2) and the lack of a meaningful boost to model
658 evidence when adding PFC voxels to the model (Figure 3). The latter type of inference was
659 made possible by our novel use of multivariate Bayesian (MVB) classification.

660 If the increased prefrontal activation with age is not compensatory, then what does it reflect?
661 One possibility is that neural function is less efficient, such that a greater BOLD signal is
662 required for the same level of computation, i.e, less “bang for the buck” for the same level of
663 neural activity (Grady, 2008; see also Rypma and Esposito, 2000; Morcom et al., 2007;
664 Reuter-Lorenz and Campbell, 2008; Nyberg et al., 2014). This could reflect the proposed
665 greater sensitivity of prefrontal cortices than other brain regions to aging (West, 1996; Glisky
666 et al., 2001; Raz and Rodrigue, 2006). Another possibility is that PFC activity becomes less
667 specific with age, as might be expected by theories of age-related dedifferentiation, particularly
668 in complex cognitive functions (Li et al., 2001; Park et al., 2004; Carp et al., 2011;

669 Abdulrahman et al., 2014). Partial support for the latter comes from the LTM experiment,
670 where the negative effect of age on the spread of multivariate weights across voxels was
671 accompanied by a positive effect of age on the spread (as well as mean) of univariate activity.
672 This suggests that, while more voxels showed substantial (positive or negative) activity related
673 to subsequent memory in older people, these additional responses were redundant, with fewer
674 voxels contributing uniquely to memory encoding, as expected if the increased prefrontal
675 activity is less specific. In the STM experiment, on the other hand, the spread of univariate
676 responses was age-invariant, suggesting a more spatially uniform increase in response to
677 load with age, although the MVB results suggested that – just as in the LTM task – this
678 increased response carried less information. Whether the present results reflect reductions in
679 efficiency or reductions in specificity, they are more consistent with the general idea of brain
680 maintenance (Nyberg et al., 2014) – that cognitive function in older age is determined by the
681 ability to maintain a youth-like brain – than with the idea associated with PASA of functional
682 compensation by anterior brain regions.

683 Despite age-related decreases in overall multivariate information in both PFC and PVC, it is
684 possible that the *relative* contribution of anterior regions to cognitive tasks could increase with
685 age. There is some evidence for such a shift from studies showing crossover effects in which
686 age-related decreases in posterior cortical activity occur alongside age-related increases in
687 PFC (e.g., Grady et al., 1994; Davis et al., 2008; see also recent meta-analysis by Maillet and
688 Rajah, 2014). However, our univariate activation analyses showed little evidence of such a
689 relative posterior-to-anterior shift: despite increased prefrontal activation, age effects on
690 univariate activation in PFC and PVC did not differ significantly in either experiment. In terms
691 of multivariate information, the LTM experiment actually showed, if anything, a decrease rather
692 than increase in the contribution of PFC relative to PVC. The only comparison that provided
693 some support for a relative increase in anterior contribution was for multivariate information
694 about load in the STM experiment. Thus the direction of any *relative* shift in reliance on PFC
695 versus PVC with age seems to be task-dependent, as opposed to the task-general posterior-
696 to-anterior shift claimed by PASA (Davis et al., 2008; see also Ford and Kensinger, 2017).
697 This is consistent with other meta-analyses, which have found age-related decreases as well
698 as increases in activation, depending on the task (Spreng, Wojtowicz, & Grady, 2010; Li et al.,
699 2015). Moreover, most studies have not made the direct statistical comparisons needed to
700 test for anterior-posterior differences in the absence of crossover effects (see Morcom &
701 Johnson, 2015). A strength of our approach is that our analyses encompassed large ROIs in
702 both anterior and posterior cortices, as well as direct comparisons between the two.

703 In summary, our data replicate an increase in PFC activity over the adult lifespan, but do not
704 support the idea that this reflects a compensatory posterior-to-anterior shift, at least in the

705 context of the two memory tasks considered here. Our results are inconsistent both with the
706 proposal that the increased activity is compensatory, and with a generalized shift with age to
707 greater relative reliance on prefrontal cortex. The data are most parsimoniously explained by
708 reduced efficiency or specificity of neural responses, reflecting primary age-related deleterious
709 changes in posterior as well as prefrontal cortex which vary in their relative magnitudes
710 according to the task. Our results therefore help to adjudicate between competing accounts of
711 neurocognitive aging, while also illustrating the more general ability of MVB to compare
712 models that comprise different sets of voxels, thereby offering an exciting new general way to
713 test the relative contributions of brain regions to cognitive outcomes.

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723 Author contributions

724 A.M.M. designed research; A.M.M., R.N.A.H. and Cam-CAN performed research; A.M.M. and
725 R.N.A.H. analyzed data; A.M.M. and R.N.A.H. wrote the paper.

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