

1 **The first near-complete assembly of the hexaploid bread wheat genome,**
2 ***Triticum aestivum***

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14

15 **Abstract**

16 Common bread wheat, *Triticum aestivum*, has one of the most complex genomes known to
17 science, with 6 copies of each chromosome, enormous numbers of near-identical sequences
18 scattered throughout, and an overall size of more than 15 billion bases. Multiple past attempts to
19 assemble the genome have failed. Here we report the first successful assembly of *T. aestivum*,
20 using deep sequencing coverage from a combination of short Illumina reads and very long
21 Pacific Biosciences reads. The final assembly contains 15,344,693,583 bases and has a weighted
22 average (N50) contig size of 232,659 bases. This represents by far the most complete and
23 contiguous assembly of the wheat genome to date, providing a strong foundation for future
24 genetic studies of this important food crop. We also report how we used the recently published
25 genome of *Aegilops tauschii*, the diploid ancestor of the wheat D genome, to identify
26 4,179,762,575 bp of *T. aestivum* that correspond to its D genome components.

27

28 **Introduction**

29 For many years, the hexaploid (AABBDD) bread wheat genome, *Triticum aestivum*, has resisted
30 efforts to sequence and assemble it. The first effort to sequence the genome, published in 2012

31 [1], used an earlier generation of sequencing technology and only assembled 5.42 billion bases
32 (Gbp), approximately one-third of the genome. In a second attempt two years later, an
33 international consortium published the results of a systematic effort to sequence the genome one
34 chromosome at a time, using deep coverage in 100-bp Illumina reads [2]. That effort, although
35 more successful than the previous one, yielded only 10.2 billion bases of sequence,
36 approximately two-thirds of the genome. The contiguity of this assembly was quite poor, with
37 the 10.2 billion bases divided amongst hundreds of thousands of contigs, and with N50 sizes
38 ranging from 1.7 to 8.9 kilobases (Kb) for the different chromosome arms. In 2017, a third
39 assembly of wheat was published, estimated to represent 78% of the genome [3]. This assembly
40 contained 12.7 billion bases of sequence, but it too was highly fragmented, containing over 2.7
41 million contigs with an N50 contig size of 9,731 bp.

42

43 The wheat genome's complexity, and the challenge it presents for genome assembly, stems not
44 only from its large size (five times the size of the human genome), but also from its very high
45 proportion of relatively long, near-identical repeats, most of them due to transposable elements
46 [4]. Because these repeats are much longer than the length of Illumina reads, efforts to assemble
47 the genome using Illumina data have been unable to resolve these repeats. Another major
48 challenge in assembling the wheat genome is that it is hexaploid, and the three component
49 genomes—wheat A, B, and D, each comprising seven chromosomes—share many regions of high
50 similarity. Genome assembly programs are thus faced with a doubly complex problem: first that
51 the genome is unusually repetitive, and second that each chromosome exists in six copies with
52 varying degrees of intra- and inter-chromosome similarity.

53

54 The most effective way to resolve repeats is to generate individual reads that contain them. If a
55 single read is longer than a repeat, and if both ends of the read contain unique sequences, then
56 genome assemblers can unambiguously place the repeat in the correct location. Without such
57 reads, every long repeat creates a breakpoint in the assembly. Recent advances in sequencing,
58 particularly the long read, single-molecule sequencing technologies from Pacific Biosciences
59 (PacBio) and Oxford Nanopore, can produce reads in excess of 10,000 bp, although with a high
60 error rate. By combining these very long reads with highly accurate shorter reads, we have been
61 able to produce an assembly of the wheat genome that is dramatically better than any previous
62 attempt. Ours is the first assembly that contains essentially the entire length of the genome, with
63 more than 15.3 billion bases, and its contiguity is more than *ten times* better than the partial
64 assemblies published in the past.

65

66 **Results**

67 To create the wheat genome assembly, we generated two extremely large primary data sets. The
68 first data set consisted of 7.06 billion Illumina reads containing approximately 1 trillion bases of
69 DNA. The Illumina reads were 150-bp, paired reads from short DNA fragments, averaging 400
70 bp in length. Using an estimated genome size of 15.3 Gbp, this represented 65-fold coverage of
71 the genome. The second data set used Pacific Biosciences single-molecule (SMRT) technology
72 to generate 55.5 million reads with an average read length just under 10,000 bp, containing a
73 total of 545 billion bases of DNA, representing 36-fold coverage of the genome. All reads were
74 generated from the Chinese spring variety (CS42, accession Dv418) of *T. aestivum*, the same
75 variety as used in earlier attempts to sequence the genome.

76

77 **MaSuRCA assembly**

78 To create the initial assembly, Triticum 1.0, we ran the MaSuRCA assembler (v. 3.2.1) on the
79 full data set of Illumina and PacBio reads. The first major step was the creation of super-reads
80 [5] from the Illumina reads. Super-reads are highly accurate and longer than the original reads,
81 and because they are much fewer in number, they provide a means to greatly compress the
82 original data. This step generated 95.7 million super-reads with a total length of 31 Gb, a mean
83 size of 324 bp and an N50 size of 474 bp (i.e., half of the total super-read sequence was
84 contained in super-reads of 474 bp or longer). The super-reads provided a 32-fold compression
85 of the original Illumina data.

86

87 Next we created *mega-reads* by using the super-reads to tile the PacBio reads, effectively
88 replacing most PacBio reads (which have an average error rate of ~15%) with much more
89 accurate sequences [6]. Most PacBio reads were converted into a single mega-read, but in some
90 cases a given PacBio read yielded two or more (shorter) mega-reads. In total we created
91 57,020,767 mega-reads with a mean length of 4,876 bp and an N50 length of 8,427 bp. The total
92 length of the mega-reads was 278 Gb, representing about 18X genome coverage. As part of this
93 step, we also created synthetic mate pairs; these link together two mega-reads when the pair of
94 mega-reads originates from a single PacBio read. We generated these pairs by extracting 400 bp
95 from opposite ends of each pair of consecutive mega-reads corresponding to a given PacBio
96 read. This resulted in 23.45 million pairs of 400 bp reads, totalling 18.75 Gb.

97

98 Construction of super-reads and mega-reads required approximately 100,000 CPU hours, of
99 which 95% was spent in the mega-reads step. By using large multi-core computers to run these

100 steps in parallel, these steps took 1.5 months of elapsed (wall clock) time. The peak memory
101 (RAM) usage was 1.2 terabytes.
102
103 We then assembled the mega-reads and the synthetic pairs using the Celera Assembler [7] (v8.3),
104 which was modified to work with our parallel job scheduling system. The CA assembly process
105 required many iterations of the overlapping, error correction, and contig construction steps, and it
106 was extremely time consuming, even with the many optimizations that have been incorporated in
107 this assembler in recent releases. The total CPU time was ~470,000 CPU hours (53.7 years),
108 which was only made feasible by running it on a grid with thousands of jobs running in parallel
109 for some of the major steps. The total elapsed time was just over 5 months. When combined with
110 the earlier steps, the entire assembly process took 6.5 months. The resulting assembly, labelled
111 Triticum 1.0, contained 17.046 Gb in 829,839 contigs, with an N50 contig size of 76,267 bp and
112 an N50 scaffold size of 101,195 bp (**Table 1**).

113

Assembly	Element type	Number	Total size (bp)	Average size (bp)	N50 size (bp)
Triticum 1.0	contigs	829,839	17,045,571,778	20,541	76,267
	scaffolds>2Kb	576,137	16,889,295,941	29,314	101,195
Triticum 2.0	contigs	375,328	14,395,027,822	38,353	75,599
	scaffolds>2Kb	252,501	14,412,484,332	57,078	100,805
FALCON Trit 1.0	contigs	97,809	12,939,100,857	132,289	215,314
Triticum 3.0	contigs	279,439	15,343,711,528	54,908	232,613
Triticum 3.1	contigs	279,439	15,344,693,583	54,912	232,659

114 Next, in order to detect and remove redundant regions of the assembly, we aligned the assembly
115 against itself using the nucmer program from the MUMmer package [8]. We identified and
116 excluded scaffolds that were completely contained in and $\geq 96\%$ identical to other scaffolds.
117 After this de-duplication procedure, the reduced assembly, Triticum 2.0, contained 14.40 Gbp in

118 375,328 contigs with an N50 contig size of 75,599 bp, with scaffolds spanning 14.45 Gbp and an
119 N50 scaffold size of 100,805 bp (**Table 1**).

120

121 **FALCON assembly**

122 Independently of the MaSuRCA assembly, we assembled the PacBio data alone using the
123 FALCON assembler [9], followed by polishing with the Arrow program, which substantially
124 improves the consensus accuracy. FALCON implements a hierarchical assembly approach; the
125 initial step is to error correct long reads by aligning all reads to a subset of the longest reads.
126 Given the relatively low raw read coverage (36X), we used a long-read cutoff of 1 Kb,
127 generating 11X coverage of error-corrected reads with an N50 size of 16 Kb. Error correction
128 and assembly of the corrected reads was completed using ~150,000 CPU hours, which took ~3
129 weeks on a 16-node cluster. The contigs output from FALCON require further polishing, which
130 involves realignment of raw reads and calculation of a new consensus [10]. For the polishing
131 step, we used Pacbio's resequencing pipeline from the SMRT Analysis package
132 (<https://github.com/PacificBiosciences/SMRT-Link>) after first splitting the assembled contigs
133 into <4 Gbp chunks (a limit of the aligner). Polishing required an additional ~160,000 CPU
134 hours, for a total of 310,000 CPU hours and 6 weeks elapsed (wall clock) time.

135

136 These steps produced an assembly, designated FALCON Trit 1.0, containing 12.94 Gbp in
137 97,809 contigs with a mean size of 132,289 and an N50 size of 215,314 bp (**Table 1**).

138

139 **Merged assembly**

140 The contigs from the FALCON assembly were larger than those from the MaSuRCA assembly;
141 however, the total size of the assembly was 1.5 Gbp smaller. To capture the advantages of both
142 assemblies, we merged them as follows. We aligned the contigs (not scaffolds) from the two

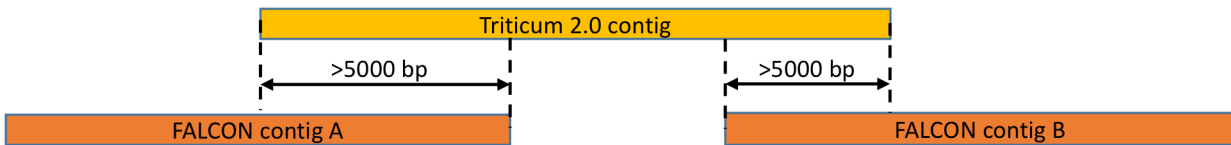


Figure 1. Illustration of the merging process for the Triticum 2.0 and FALCON Trit 1.0 assemblies. If two contigs A and B from the FALCON assembly overlapped a Triticum 2.0 contig by at least 5000 bp, then A and B were merged together, using the Triticum 2.0 contig to fill the gap.

143 assemblies using MUMmer 4.0 [8] and extracted all pairwise best matches. We then merged
144 each pair of FALCON contigs when they overlapped a single Triticum 2.0 contig by at least
145 5000 bp, with Triticum 2.0 sequence filling the gap (see **Figure 1**).

146

147 After merging and extending the FALCON contigs, we then identified all MaSuRCA scaffolds
148 that were not contained in the longer FALCON contigs, and added these to the new assembly.

149 The resulting merged assembly, Triticum 3.0, contains 15,343,750,409 bp in 279,529 contigs,
150 with a contig N50 size of 232,613 bp (**Table 1**). The longest contig is 4,510,883 bp.

151

152 **Genome complexity**

153 As described above, previous attempts to assemble the hexaploid wheat genome were stymied
154 because of its exceptionally high repetitiveness, but until now we had no reliable way to quantify
155 how repetitive the genome truly is. To answer this question with a precise metric, we computed
156 the k-mer uniqueness ratio, a metric defined earlier as a way to capture repetitiveness that
157 reflects the difficulty of assembly [11]. This ratio is defined as the percentage of a genome that is
158 covered by unique sequences of length k or longer. If, for example, 90% of a genome is

159 comprised of unique 50-mers, then one might expect that 90% of that genome could be
160 assembled using accurate (low-error-rate) reads that were longer than 50 bp.
161
162 With the *Triticum 3.0* assembly in hand, we computed the k-mer uniqueness ratio for wheat and
163 compared it to several other plant and animal genomes, as shown in **Figure 2**. As the figure
164 illustrates, for any value of k, a much smaller percentage of the wheat genome is covered by
165 unique k-mers than other plant or animal genomes, with the exception of *Ae. tauschii*, which as
166 expected (because it is near-identical to the D genome of hexaploid *T. aestivum*) is only slightly
167 less repetitive. For example, only 44% of the 64-mers in the wheat genome are unique, as
168 contrasted with 90% of the 64-mers in cow and 81% of the 64-mers in rice. This analysis
169 demonstrates that in order to obtain an assembly covering most of the wheat genome,
170 particularly if the algorithm relies on de Bruijn graphs, much longer reads will be required. Our

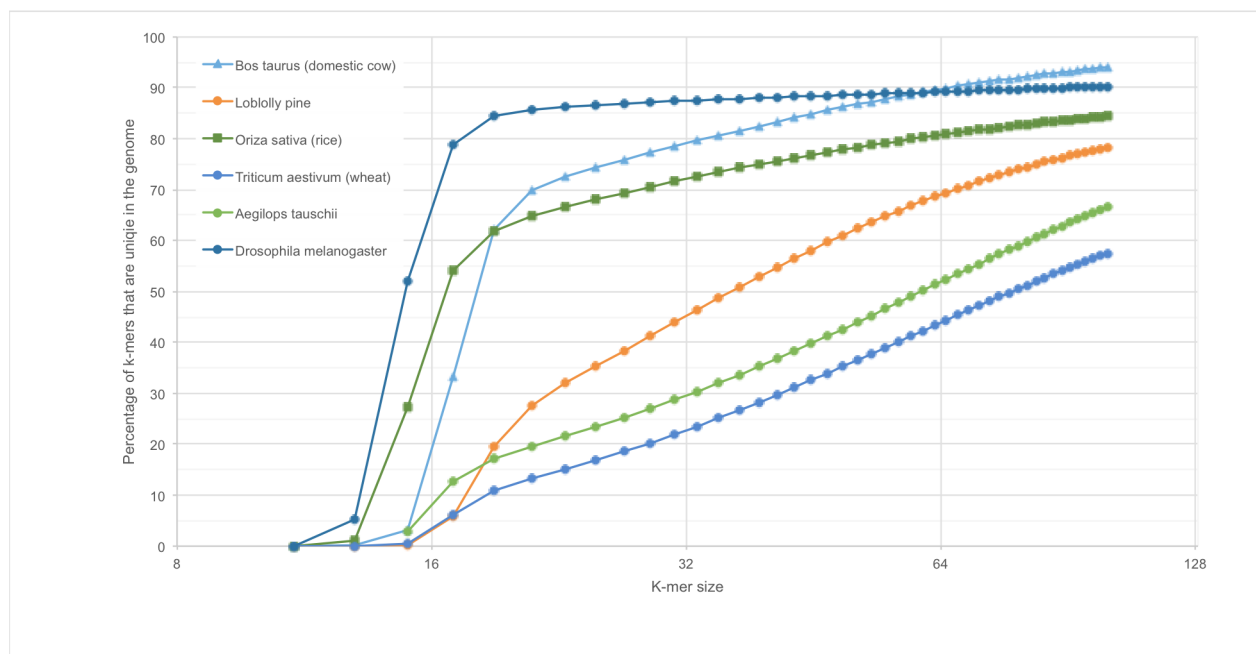


Figure 2. K-mer uniqueness ratios for the wheat genome (*Triticum aestivum*) compared to the cow, fruit fly, rice, loblolly pine, and *Ae. tauschii* genomes. The plot shows the percentage of each genome that is covered (y-axis) by unique sequences of length k, for various values of k (x-axis).

171 sequencing strategy, by using deep coverage in very long PacBio reads coupled with highly
172 accurate Illumina reads, was able to produce the long, accurate reads required to assemble this
173 very complex genome.

174

175 **Identifying the wheat D genome**

176 *T. aestivum* is a hexaploid plant with three diploid ancestors, one of which is *Aegilops tauschii*,
177 commonly known as goat grass. *Ae. tauschii* itself is a highly repetitive genome that has resisted
178 attempts at assembly, but we recently published a highly contiguous draft assembly (Aet_MR
179 1.0) using a similar strategy to the one used for wheat, a combination of PacBio and Illumina
180 sequences [6]. *T. aestivum*'s hexaploid composition is typically represented as AABBDD, where
181 the D genome was contributed by an ancestor of *Ae. tauschii*. The hexaploidization event
182 occurred very recently, approximately 8,000 years ago, when *Ae. tauschii* spontaneously
183 hybridized with a tetraploid wheat species, *Triticum turgidum* [12].

184

185 Because this event was so recent, the wheat D genome and *Ae. tauschii* are highly similar, much
186 closer to one another than the D genome is to either the A or B genomes. We used this similarity
187 to identify the D genome components of our assembly by aligning the *Ae. tauschii* contigs in
188 Aet_MR 1.0 to Triticum 3.0. We used the nucmer program [8] to identify all alignments
189 representing best matches between Triticum 3.0 and Aet_MR 1.0 with a minimum identity of
190 97%. The vast majority of the two genomes are >99% identical, making this filtering process
191 relatively straightforward.

192

193 After filtering, we identified 50,101 contigs with a total length of 4,179,762,575 bp from
194 Triticum 3.0 that aligned to *Ae. tauschii*. We separated these D genome contigs from Triticum
195 3.0 and provided them as the first release of the wheat D genome, which we have named
196 TriticumD 1.0. The N50 size of these contigs is 224,953 bp, using a genome size estimate of 4.18
197 Gb for wheat D. The total size of 4.18 Gb corresponds closely to the 4.33 Gb in the recently
198 published *Ae. tauschii* (Aet_MR 1.0) assembly [6].

199

200 We also ran the alignments in the other direction, aligning all of Aet_MR 1.0 to TriticumD 1.0,
201 and found that 99.8% of the *Ae. tauschii* assembly matches TriticumD; only 8.96 Mb failed to
202 align. The overall mapping is complex; although most of the *Ae. tauschii* and wheat D genomes
203 align in a 1-to-1 mapping, many scaffolds align in a many-to-one or one-to-many arrangement.
204 Thus the additional 150 Mb in *Ae. tauschii* appears to be due to gain/loss of repeats rather than
205 loss of unique sequence from wheat D.

206

207 **Assembly quality.** Assessing the quality of an assembly is challenging, especially when the
208 previous assemblies are so much more fragmented, as they are in the case of *T. aestivum*.
209 However, the very high-fidelity alignments between Triticum 3.0 and the published *Ae. tauschii*
210 genome, at over 99% identity, provide strong support for its accuracy. We found no large-scale
211 structural disagreements between the assemblies, other than the many-to-one mappings for some
212 of the scaffolds. These could indicate that one assembly has over-collapsed a repeat, but they
213 could also indicate a true polymorphism; we do not have sufficient data to distinguish these
214 possibilities. The fact that 99.8% of *Ae. tauschii* aligns to Triticum 3.0 supports the hypothesis
215 that the assembly is largely complete as well.

216

217 **Re-polishing to create Triticum 3.1**

218 Finally, we used an independent set of Illumina 250-bp reads from an earlier study [3] to
219 measure the quality of the consensus sequence. We used the KAT program [13] to count all 31-
220 mers in each assembly and compare these counts to the 31-mers in the read data. Because the
221 read data here represented 30-fold coverage of the genome, 31-mers that occur approximately 30
222 times should represent unique sequences; i.e., they are expected to occur exactly once in the
223 assembly.

224

225 The KAT analysis revealed that the FALCON Trit 1.0 assembly was missing a relatively large
226 number of 31-mers that occurred in the reads (**Figure 3**), while the Triticum 2.0 assembly was
227 missing far fewer of these 31-mers. The Triticum 3.0 assembly, which used the polished
228 FALCON assembly for most of its consensus sequence, was also missing many 31-mers. The
229 mostly likely explanation for this effect is that the polishing process over-corrected by replacing
230 some 31-mers with near-identical ones. This would have the effect of creating an excess of 31-
231 mers that occur exactly twice in the assembly, although their coverage indicated that they should
232 occur once. The KAT analysis confirmed this expectation (data not shown).

233

234 We also observed that Triticum 2.0, which used MaSuRCA to create the consensus from
235 Illumina reads, had far fewer missing 31-mers. We therefore re-polished Triticum 3.0 by aligning
236 it to Triticum 2.0, extracting the mutual best matches, and then using the 2.0 sequence as the
237 final consensus. This allowed us to re-polish approximately 11.6 Gbp of the assembly. The
238 resulting assembly, Triticum 3.1, has exactly the same contigs and scaffolds (**Table 1**) but has an
239 improved overall consensus, containing more of the true 31-mers (**Figure 3**). Because of changes

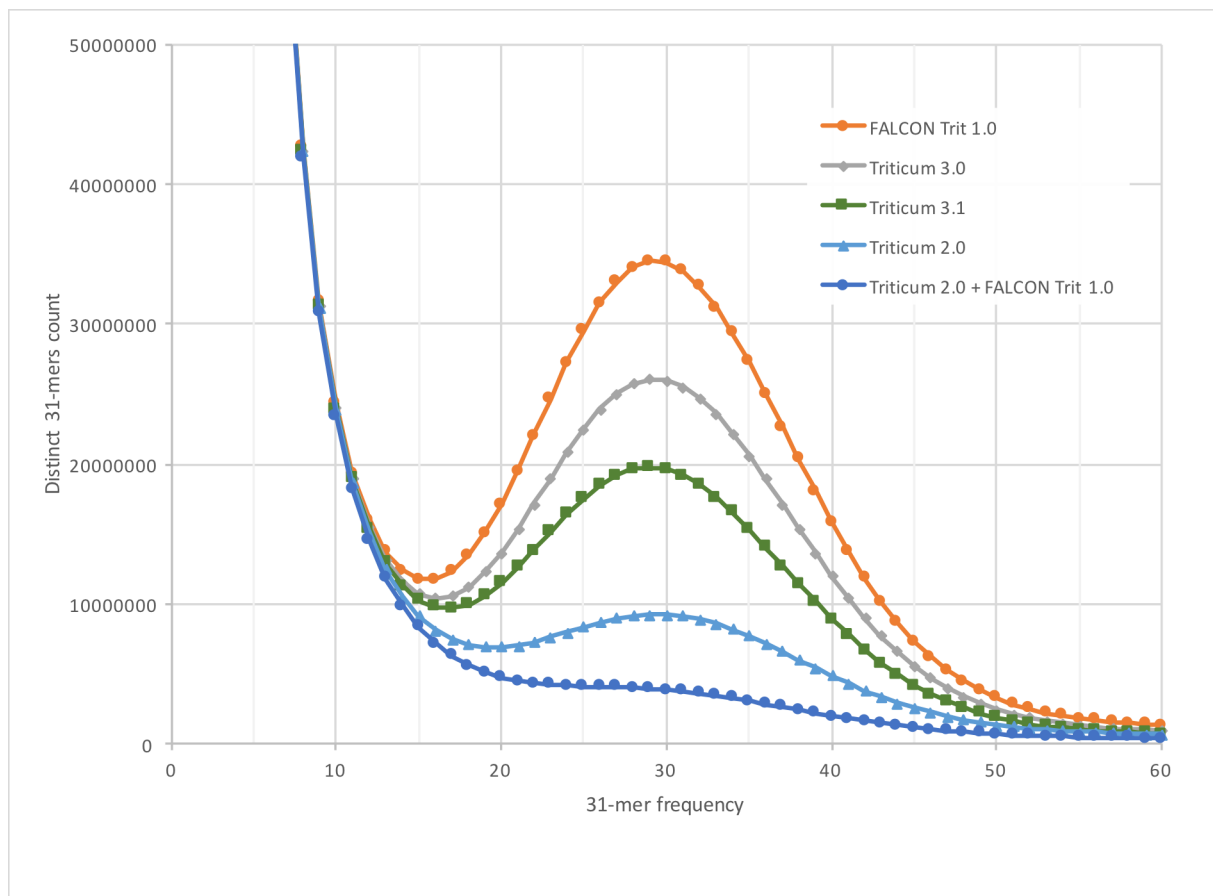


Figure 3. Missing 31-mers in the different assemblies of *Triticum aestivum*. Using the Illumina read data from a previously published assembly of the same genome, we counted all 31-mers in the reads, and then plotted how many of these reads are missing from each assembly. The x-axis shows how often the k-mers occur in the reads. The y-axis shows how many distinct k-mers are missing from each assembly. The FALCON Trit 1.0 assembly had the largest number of missing k-mers, while Triticum 2.0 had the fewest.

240 in the consensus sequence, the 3.1 assembly is very slightly larger as well. To evaluate the
241 possibility of further improvements, we analysed the 31-mer spectra of both FALCON Trit 1.0
242 and Triticum 2.0 as a single sequence set. We found that this almost completely eliminated the
243 missing 31-mers (**Figure 3**), illustrating that further improvements in the consensus are possible
244 and are planned for future assembly releases.

245

246

247 **Discussion**

248 In 2004, an international consortium determined that whole-genome shotgun (WGS) sequencing
249 of hexaploid wheat was simply too difficult, "mainly because of the large size and highly
250 repetitive nature of the wheat genome" [14]. The consortium instead determined that the
251 chromosome-by-chromosome approach would be more effective. This strategy, which was far
252 slower and more costly than WGS sequencing, in the end produced a genome assembly that was
253 highly fragmented and that contained only 10.2 Gb [2].

254
255 The assembly described here is the first to successfully reconstruct essentially all of the
256 hexaploid wheat genome, *Triticum aestivum*, and to produce relatively large contiguous
257 sequences. The final assembly contains 15,344,693,583 bp with an N50 contig size of 232,659
258 bp. The previous chromosome-based assembly was not only much smaller overall, but it had
259 average contig sizes approximately 50 times smaller [2]. A recent whole-genome assembly based
260 on deep Illumina sequencing contained 2,726,911 contigs spanning 12,658,314,504 bp and had a
261 contig N50 size of 9731 bp [3]. Compared to Triticum 3.0, that assembly is 2.69 Gb smaller, and
262 its contigs are 24 times smaller. (Note that in order to provide a fair comparison, all N50 sizes
263 reported here are based on the same 15.34 Gb total genome size.)

264
265 Why did previous attempts to assemble *T. aestivum* produce a result that was billions of
266 nucleotides shorter than the true genome size? The most likely explanation is that the repetitive
267 sequences, which cover some 90% of the genome [4, 14], are so similar to one another that
268 genome assembly programs cannot avoid collapsing them together. This is a well-known
269 problem for genome assembly, particularly when using the short reads produced by next-

270 generation sequencing technologies. If the differences between repeats occur at a lower rate than
271 sequencing errors, then assemblers cannot distinguish them. The result is an assembly that is
272 both highly fragmented and too short. The same phenomenon can be seen in attempts to
273 assemble *Ae. tauschii*. from short reads. An assembly of that genome using Illumina and 454
274 sequencing data, contained only 2.69 Gb and had an N50 contig size of just 2.1 Kb [12]. A
275 hybrid assembly using both Illumina and PacBio data, reported by our group early in 2017,
276 produced an assembly of 4.33 Gb, closely matching the estimated genome size, with a contig
277 N50 size of 487 Kb [6].

278
279 The key factor in producing a true draft assembly for this exceptionally repetitive genome was
280 the use of very long reads, averaging just under 10,000 bp each, which were required to span the
281 long, ubiquitous repeats in the wheat genome. Deep coverage in these reads (36X, or 545 Gb of
282 raw sequence) coupled with even deeper coverage (65X) in low-error-rate short reads, allowed
283 us to produce a highly accurate and highly contiguous consensus assembly. The massive data set,
284 over 1.5 trillion bases, also required an unprecedented amount of computing power to assemble,
285 and its completion would not have been possible without the availability of very large parallel
286 computing grids. All together, the various assembly steps took 880,000 CPU hours, or just over
287 100 CPU years. An important technical note is that the computational cost was not simply a
288 function of genome size, but more critically a function of its repetitiveness. The presence of large
289 numbers of unusually long exact and near-exact repeats (Figure 2) means that all of these
290 sequences overlap one another, leading to a quadratic increase in the number of sequence
291 alignments that an assembler must consider.

292

293 Finally, by aligning this assembly to the draft genome of *Aegilops tauschii*, the progenitor of the
294 wheat D genome, we were able to cleanly separate the D genome component from the A and B
295 genomes of hexaploid wheat, which is reported here for the first time. This separation was
296 possible because *Ae. tauschii* is much closer to wheat D, having diverged approximately 8,000
297 years ago [14], than either genome is to wheat A or B .

298

299 The wheat genome presented here provides, for the first time, a near-complete substrate for
300 future studies of this important food crop. Previous efforts to annotate the genome have been
301 hampered by the absence of a large proportion of the genome itself, making inferences about
302 missing genes or gene families difficult, and also by the highly fragmented nature of previous
303 assemblies, which had average contig sizes under 10 Kb. With over half of the genome now
304 contained in contigs longer than 232 Kb, the Triticum 3.0 assembly will contain many more
305 genes within single contigs, greatly aiding future efforts, which are already under way, to study
306 its gene content, evolution, and relationship to other plant species.

307

308 **Availability of data.** The Triticum project data have been deposited at the National Center for
309 Biotechnology Information (NCBI) under BioProject PRJNA392179. The assembly has been
310 deposited at DDBJ/ENA/GenBank under the accession NMPL000000000. The version described
311 in this paper is version NMPL010000000. The PacBio and Illumina reads are available under the
312 same BioProject. The TriticumD 1.0 contigs are available separately at
313 ftp://ftp.ccb.jhu.edu/pub/data/Triticum_aestivum/Wheat_D_genome.

314

315 **Competing interests statement.** None of the authors have competing or conflicting interests.

316

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