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# De novo organelle biogenesis in the cyanobacterium TDX16

- 2 released from the green alga *Haematococcus pluvialis*
- 3 Qing-lin Dong\*, Xiang-ying Xing, Yang Han, Xiao-lin Wei, Shuo, Zhang
- 4 Department of Bioengineering, Hebei University of Technology, Tianjin 300130, China
- 5 <sup>\*</sup> Corresponding author
- 6 Email: <u>qldong@hebut.edu.cn</u>
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### 17 Abstract

18 It is believed that eukaryotes arise from prokaryotes, which means that organelles can form in the 19 latter. Such events, however, had not been observed previously. Here, we report the biogenesis of 20 organelles in the endosymbiotic cyanobacterium TDX16 that escaped from its senescent/necrotic 21 host cell of green alga Haematococcus pluvialis. In brief, organelle biogenesis in TDX16 initiated 22 with cytoplasm compartmentalization, followed by de-compartmentalization, DNA allocation, and 23 re-compartmentalization, as such two composite organelles-the primitive chloroplast and primitive 24 nucleus sequestering minor and major fractions of cellular DNA respectively were formed. 25 Thereafter, the eukaryotic cytoplasmic matrix was built up from the matrix extruded from the 26 primitive nucleus; mitochondria were assembled in and segregated from the primitive chloroplast, 27 whereby the primitive nucleus and primitive chloroplast matured into nucleus and chloroplast 28 respectively; while most mitochondria turned into double-membraned vacuoles after matrix 29 degradation. Results of pigment analyses, 16S rRNA and genome sequencing revealed that 30 TDX16 is a phycocyanin-containing cyanobacterium resembling *Chroococcidiopsis thermalis*, 31 which had acquired 9,017,401bp DNAs with 10301 genes form its host. Therefore, organelle 32 biogenesis in TDX16 was achieved by hybridizing the acquired eukaryotic DNAs with its own 33 ones and expressing the hybrid genome.

34 Organelle biogenesis in TDX16 results in its transition into a new eukaryotic alga TDX16-DE, 35 which provides a reference to re-understand the development, structure, function and association 36 of organelles in eukaryotes and the reasons behind them, and has implications on other sections of 37 biology, particularly cancer biology and evolutionary biology: (1) the formation and maturation of 38 the small organelle-less nascent cancer cells share striking similarities with TDX16 development 39 and transition, so, it is most likely that cancer cells arise from bacteria; (2) organelle biogenesis in 40 TDX16 uncovers a way of new organelle and new single-celled eukaryote formation, and in light 41 of which, the ancestral organelles were likely formed in rather than transformed form the 42 endosymbiotic prokaryotes that had acquired their hosts' DNAs.

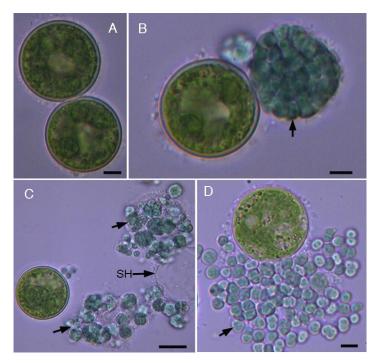
43 Key words: Organelle biogenesis; Cyanobacterium; DNA acquisition; Hybridization; Transition

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## 46 Introduction

All cells are structurally categorized into two groups: eukaryotic cells and prokaryotic cells. 47 48 Eukaryotic cells contain membrane-bounded organelles. These organelles were once thought to 49 develop only by fission of the preexisting ones, while recent studies show that Golgi apparatus [1], 50 peroxisomes [2-5], lysosomes [6-8] and vacuoles [9-10] form de novo. By contrast, prokaryotic 51 cells have no organelle, but are believed to be the ancestors of eukaryotic cells, which means that 52 organelles can develop from scratch in the former. Such events, however, had not been observed 53 previously for unknown reasons. It is possible that organelle biogenesis in prokaryotic cells occur 54 in specific situations and finish in short time, which result in sudden transition of the prokaryotic 55 cells into eukaryotic cells, and thus are hard to capture. 56 In our previous studies we found unexpectedly that the senescent/necrotic cells of unicellular

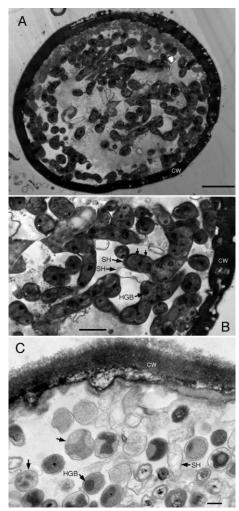
57 green alga *Haematococcus pluvialis* (eukaryote) suddenly burst and liberated countless small blue 58 endosymbiotic cyanobacterial cells (TDX16) in the adverse conditions of high temperature and 59 low irradiance (Fig. S1) [11].



#### 60

**Fig. S1. Light microscopic images of TDX16 liberation from the senescent** *Haematococcus pluvialis* **cell.** (A) Two large senescent *H. pluvialis cells*, scale bar 5 $\mu$ m. (B) One senescent *H. pluvialis* cell suddenly burst and liberated a massive equal-sized blue spheroid (arrow) consisting of countless TDX16 cells, scale bar 5 $\mu$ m. (C) The transparent covering sheath (SH) of the blue spheroid ruptured, and thus the blue spheroid collapsed into many cell clumps (arrow), scale bar 10 $\mu$ m. (D) The compacted TDX16 cells in the cell clumps disaggregated, which were enclosed within the sporangia (arrow), scale bar 5 $\mu$ m. Cells were observed with a light microscope BK6000 (Optec, China). Microphotographs were taken under the objective lens (40×) using a DV E3 630 camera.

67 Transmission electron microscopic observations revealed that tiny premature TDX16 cells with 68 unique electron-dense heterogenous globular bodies (HGBs) multiplied by asymmetric division 69 within the enclosing sheaths (sporangia) in the senescent/necrotic H. pluvialis cell (host) and 70 subsequently grew up into small thylakoids-less endospore-producing TDX16 cells filling up the 71 dead host's cellular space (Fig. S2). The liberated small blue TDX16 cells are relatively stable in 72 the dim light, but turn readily into small green algal cells as light intensity elevated [12]. The time 73 required for TDX16's transition is short and negatively related to light intensity, which is about 10 days at 60  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>. Whereas irradiance above 60  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> is lethal to 74 TDX16, causing massive cell death. 75



#### 76

77 Fig. S2. Transmission electron microscopic images of TDX16 proliferation and development in the senescent/necrotic *H. pluvialis* 

cell. (A)Tiny premature TDX16 cells proliferated within a senescent/necrotic *H. pluvialis* cell, whose organelles had dissolved, remaining
 only an intact cell wall (CW), scale bar 5μm. (B) Detail from (A), tiny TDX16 cells (arrow) with electron-dense heterogenous globular

- bodies (HGBs) multiplied by asymmetric division within and escaped from the enclosing sheaths (sporangia) (arrow), scale bar 2µm. (C)
- bodes (redbs) indupred by asymmetre division whilm and escaped norm the enclosing shears (sporangia) (arrow), scale bar 2µm. (c)
- 81 Tiny TDX16 cells grew up into small thylakoid-less DTX16 cells filling up the cellular space of the dead *H. pluvialis* cell. The small
- 82 TDX16 cells multiplied by formation of endospores within the sporangia (arrow), scale bar 0.2 $\mu$ m.

The unprecedented transition of cyanobacterium TDX16 cells into green algal cells enable us to get a real understanding of organelle biogenesis in prokaryotic cells. Hence, this research aims to study how and why organelles form in TDX16.

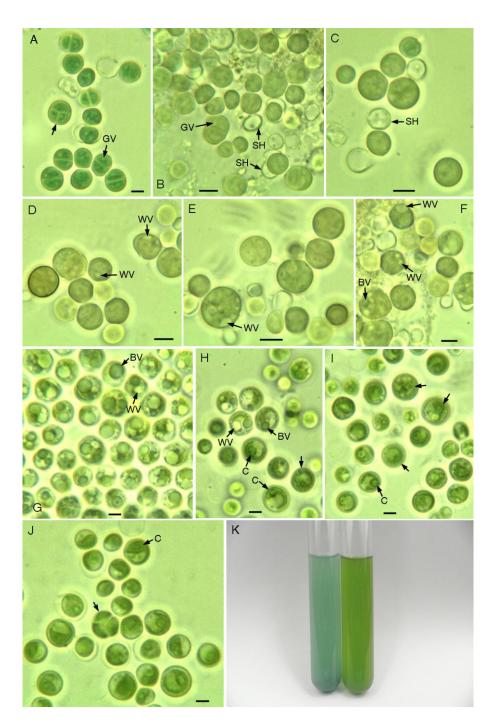
86 **Results** 

### 87 Light microscopic observation of TDX16-to-alga transition

88 At the beginning, TDX16 cells were blue in color and reproduced by binary fission within the 89 sporangia, containing more or less small grey vesicles (day 1, Fig. 1A). In the following day (day 90 2, Fig.1B) TDX16 cells turned pale blue-green and began to escape from the ruptured sporangia 91 leaving remnant sheaths (ruptured sporangia). With time, all TDX16 cells escaped from the 92 sporangia, which no longer divided but enlarged, rounded up and turned a little bit more blue, 93 while the intracellular grey vesicles became nearly indistinguishable (Fig. 1C, day 3). Subsequently, TDX16 cells turned slightly yellow, in which small white vesicles began to form 94 95 (Fig. 1D, day 4) and increase in number and size (Fig. 1E, day 5). As such most TDX16 cells 96 contained several small or medium-sized white vesicles, and occasionally a newly-formed small 97 blue vesicle (Fig. F, day 6). Radical changes occurred on day 7 (Fig. 1G): TDX16 cells turned 98 green, in which large blue vesicles and large white vesicles formed. Thereafter, the large blue and 99 white vesicles shrank and disappeared in TDX16 cells, while parietal chloroplasts similar to those 100 of green algae developed in some of the last type cells (day 8, Fig. 1H). When the large blue and 101 white vesicles disappeared completely, chloroplasts formed in most TDX16 cells but were still 102 under development in the rest ones (day 9, Fig. 1I). Ultimately, all TDX16 cells turned into green 103 algal cells (TDX16-DE), containing one single giant chloroplast and multiplying by formation of 104 autospores (autosporulation) (day 10, Fig. J). Such that, the color of TDX16 cultures changed 105 from blue (day1) to green (day 10) (Fig. 1K).

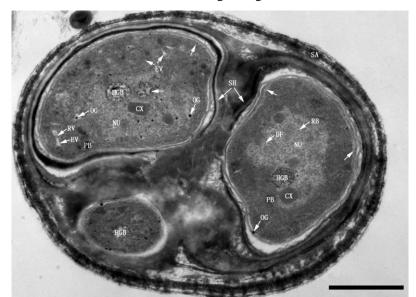
# 106 Transmission electron microscopic observation of organelle 107 biogenesis

108 Consistent with our previous studies, light microscopic observation (Fig.1) showed unequivocally 109 the transition of TDX16 cells into green algal cells as evidenced by the changes of cell color, 110 morphology and reproduction mode as well as the formation of chloroplast. There is no doubt that



112 Figure 1. Light microscopic images of cyanobacterium TDX16-to-alga transition. (A) The newly inoculated blue TDX16 cells 113 reproduced by binary fission within the sporangia (arrow) containing small grey vesicles (GV) (day 1). (B) TDX16 cells turned pale 114 blue-green, some of which escaped from the sporangia leaving the remnant sheath (SH) (day 2). (C) All TDX16 cells escaped from the 115 sporangia, increased in size, rounded up and turned a little bit more blue (day 3). (D) TDX16 cells turned slightly yellow, in which white 116 vesicle (WV) began to form (day 4), and increased in size and number (E) (day 5). (F) TDX16 cells contained several small or one 117 medium-sized white vesicles and occasionally a newly-formed small blue vesicles (BV) (day 6). (G) TDX16 cells turned green, in which 118 large blue vesicles and large white vesicles formed (day7). (H) Large blue vesicles and large white vesicles shrunk in part of TDX16 cells 119 and vanished in the rest ones (arrow), and in some of the latter type cells parietal chloroplasts (C) developed (day 8). (I) Chloroplasts 120 formed in most TDX16 cells, but were under development in other ones (arrow) (day 9). (J) All TDX16 cells turned into green algal cells 121 (TDX16-DE), containing single chloroplast and reproducing by autosporulation (arrow) in day 10. (K) Image of TDX16 culture samples 122 on day 1(left) and day 10 (right). Scale bar 2µm.

123 during the10-day transition process other organelles aside from chloroplast and compartments also 124 formed through previously unknown intermediates and subtle changes in ultrastructure, which can 125 not be tracked in real time, but be detected discontinuously with the conventional transmission 126 electron microscopy (TEM). Whereas, it is a formidable task to elucidate the complex and dynamic process of organelle biogenesis based on the static TEM images. Since TDX16 cells 127 originally contained only heterogenous globular bodies (Fig. S2), all organelles developed from 128 129 scratch. That is to say, changes of TDX16 initiated from a definite start-point and progressed 130 irreversibly in one direction towards organelle biogenesis. In this unique situation, we sequenced 131 TEM images according to sampling time, and reconstructed the general process of organelle biogenesis based on the connection and coherence of intermediates and changes in ultrastructure. 132 133 However, the time for each change could not be determined owing to the unsynchronized states of 134 cells, and thus time intervals were showed in the figure legends.



135

Figure 2. Three TDX16 cells within a sporangium (SA) (day 1). TDX16 cells were enclosed by thick sheaths (SH), containing no thylakoid, but heterogenous globular bodies (HGB), carboxysomes (CX), ribosomes (RB), DNA fibers (DF) and osmiophilic granules (OG) in the nucleoids (NU) as well as polyphosphate bodies (PB) in the cytoplasm. OG also presented in the cytoplasm and some small electron-transparent vesicles (EV) with internal ring-shaped vesicles (RV) or OG were being developed in the upper left cell. Compartmentalization initiated in the two large cells (arrow). Scale bar, 1µm.

#### 141 Initial structure of TDX16 cells

Initially, TDX16 cells (day 1) were surrounded by thick sheaths and enclosed within the sporangia
(Fig.2-3), resembling the endospores of thermophilic cyanobacterium *Chroococcidiopsis* sp.
[13-14]. TDX16 in the same or different sporangia remained at different states with some different

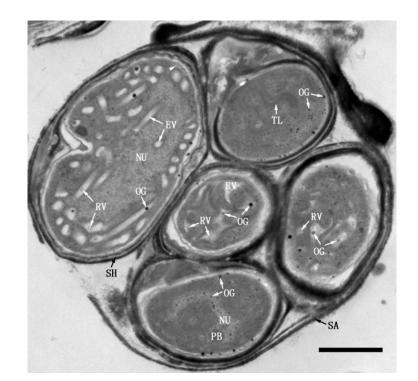


Figure 3. Five TDX16 cells within a sporangium (SA) (day 1). The bottom cell contained osmiophilic granules (OG), while
 electron-transparent vesicles (EV) were developed in the three middle cells, and several thylakoid-like structures (TL) were built up in the
 upper cell. Compartmentalization commenced in the large cell (arrowhead). Scale bar, 1μm.

149 inclusions (Fig.2-3). As shown in Fig.2, three different-sized cells in a multilayered sporangium 150 contained no thylakoid but unique membrane-bounded heterogenous globular bodies (HGB) and 151 cyanobacterial inclusions, including carboxysomes (CX) [15], polyphosphate bodies (PB) [16] and osmiophilic granules (OG) [17]. The heterogenous globular bodies sequestered DNA-like 152 153 electron-dense granules and filaments (resembling compacted chromatin fibers) and situated in the 154 nucleoids (NU), where DNA fibers (DF) [18] and ribosomes (RB) [19] scattered. The prominent 155 difference among these cells was that some small swirly and rod-shaped electron-transparent 156 vesicles (EV) were being developed in the left large cell. Similarly, in a five-cell-containing 157 sporangium (Fig.3), the bottom cell contained osmiophilic granules and a polyphosphate body, 158 while many large different-shaped electron-transparent vesicles were being developed in the three 159 middle cells, and several thylakoid-like structures were built up in the upper cell. These results 160 confirmed the light microscopic observations that TDX16 was hypersensitive to light and highly variable in nature, whose change could not be suppressed completely even in the dim light. 161

The electron-transparent vesicles developed from osmiophilic granules, because (1) osmiophilic
granule was the only membranous cyanobacterial inclusion prior to electron-transparent vesicles,
(2) osmiophilic granules presented in electron-transparent vesicles, some of which were not in the

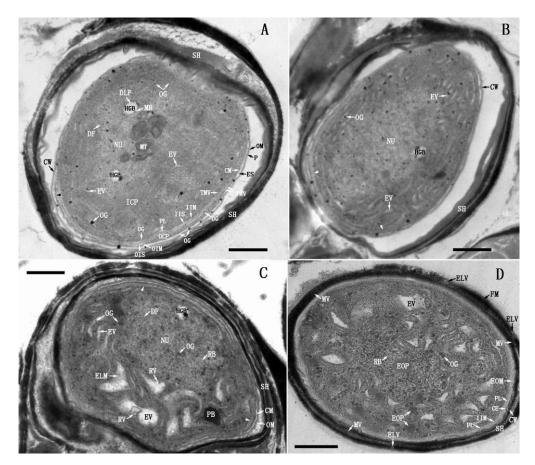
165 section plane and thus invisible, and (3) as electron-transparent vesicles enlarged, osmiophilic 166 granules turned into ring-shaped vesicles (RV) after their dense matrixes became opaque and 167 finally transparent (Fig.2-3). Osmiophilic granule contains triacylglycerol and tocopherol [20], 168 while its detail composition and structure are unknown. There is a general consensus that 169 osmiophilic granule in cyanobacteria is comparable to plastoglobule (PG) in algal and plant chloroplasts [17, 21], which contains lipids, carotenoids, enzymes, and proteins e.g., 170 171 vesicle-inducing protein in plastids 1, and structurally consists of a monolayer lipid membrane 172 (half unit membrane) and a neutral lipid core [22-26]. Whereas, the formation of ring-shaped 173 vesicles in the electron-transparent vesicles indicated that osmiophilic granules had two monolayer 174 lipid membranes: the intermembrane space was likely filled with hydrophobic neutral lipids, while 175 the interior monolayer membrane encased probably a hydrophilic "protein core". So, as the 176 intermembrane space dilated, the outer monolayer membrane bulged out into an 177 electron-transparent vesicle; while the interior monolayer membrane and protein core remained 178 unchanged as a monolayer-membrane-bounded osmiophilic granule, which subsequently 179 transformed into a ring-shaped vesicle after metabolizing the protein core (Fig.2-3).

# 180 Compartmentalization, formation of cytoplasmic envelope and primary 181 thylakoids

# 182 Compartmentalization, production of osmiophilic granules, dilation of electron-transparent 183 vesicles and formation of cytoplasmic envelope

#### 184 Compartmentalization

185 TDX16 with surrounded sheath escaped from the ruptured sporangium and changed rapidly in 186 structures and inclusions. As shown in Fig.4A, the cyanobacterial polyphosphate bodies and 187 carboxysomes vanished; the heterogenous globular bodies became nearly empty leaving only few 188 DNA-like fibrils and electron-dense margin residues. While a startling number of osmiophilic 189 granules and stacks of membranous elements emerged, and many small electron-transparent 190 vesicles were being developed. The cell wall became clear, which, like those of gram-negative 191 bacteria and other cyanobacteria [27-28], was composed of an electron-dense outer membrane 192 (OM), an electron-transparent intermediate space (layer) and an inner electron-dense 193 peptidoglycan layer (P), and separated from the cytoplasmic membrane (CM) by an 194 electron-transparent extracytoplasmic (periplasmic) space (ES) (Fig.4A).



196 Figure 4. Compartmentalization, formation of electron-transparent vesicles and cytoplasmic envelope (day 1-2). (A) TDX16's cell 197 wall (CW) comprised an outer membrane (OM) and a peptidoglycan layer (P), which was separated from the cytoplasmic membrane (CM) 198 by an extracytoplasmic space (ES). Inside the cytoplasm, an inner intracytoplasmic membrane (IIM), an outer intracytoplasmic membrane 199 (OIM) and an intervening peptidoglycan-like layer (PL) were being synthesized by fusion of the small thick margin vesicles (TMV) 200 blistered form CM. Whereby, the cytoplasm was partitioned into three compartments: the inner cytoplasm (ICP); the outer cytoplasm 201 (OCP), and the sandwiched intracytoplasmic space (IS) that was further separated by PL into an outer intracytoplasmic space (OIS) and 202 an inner intracytoplasmic space (IIS). OCP began to reduce in localized region near the start point (arrowhead), such that OIM moved to 203 CM. Osmiophilic granules (OG) budded from CM, IIM and OIM, and migrated into ICP, where many small electron-transparent vesicles 204 (EV) were being formed and stacks of membranous elements (MT) emerged; while the heterogenous globular bodies (HGB) became 205 nearly empty leaving only DNA-like fibrils (DLF) and electron-dense margin residues (MR). Interestingly, OG shed from the outer leaflet 206 of CM into ES, connecting CM and CW. (B) IS became narrow (arrow), while more and more small EV were being developed (C) EV 207 dilated into swirling ones spiraling around the nucleoids (NU). (D) OCP vanished, OIM and CM were positioned together giving rise to a 208 double-membraned cytoplasmic envelope (CE); NU and HGB disappeared, several electron-opaque particles (EOP) emerged; IS became 209 widened filling with electron-opaque materials (EOM); a new sheath (SH) was formed, consisting of flocculent fibrillary materials (FM), 210 microvesicles (MV) and electron-translucent vesicles (ELV). Scale bar, 0.5 µm.

The most striking change was the compartmentalization of prokaryotic cytoplasm (cytoplasm) (Fig.4A): two intracytoplasmic membranes and an intervening peptidoglycan-like layer (PL) were being synthesized synchronously, which initiated from a start point and extended parallel to the cytoplasmic membrane. As a result, the cytoplasm was partitioned into three compartments: (1) the inner cytoplasm (ICP) delimited by the inner intracytoplasmic membrane (IIM), (2) the outer 216 cytoplasm (OCP) bounded by the outer intracytoplasmic membrane (OIM) and cytoplasmic 217 membrane, and (3) the sandwiched intracytoplasmic space (IS) that was further separated by the 218 peptidoglycan-like layer into an outer intracytoplasmic space (OIS) and an inner intracytoplasmic 219 space (IIS) (Fig.4A). It was important that the outer cytoplasm began to degrade in localized 220 regions near the start point, such that the outer intracytoplasmic membrane got closed to the 221 cytoplasmic membrane (Fig.4A). In fact, compartmentalization also commenced in some of the 222 newly inoculated cells (day 1, Fig.2-3). The intracytoplasmic membranes and peptidoglycan-like 223 layer were synthesized by fusion of the small thick margin vesicles (TMV) blistered form the 224 inner leaflet of the cytoplasmic membrane (Fig.4A) in two probable ways: (1) if the small thick 225 margin vesicles were delimited by a half-unit membrane, they first released their contents for 226 synthesizing the septal peptidoglycan-like layer and then fused alternately on its two sides; (2) if 227 the small thick margin vesicles were limited by a unit membrane, as they fused one another, the 228 septal peptidoglycan-like layer was synthesized within the coalesced vesicles, a scenario 229 somewhat similar to the formation of cell plate during cytokinesis [29].

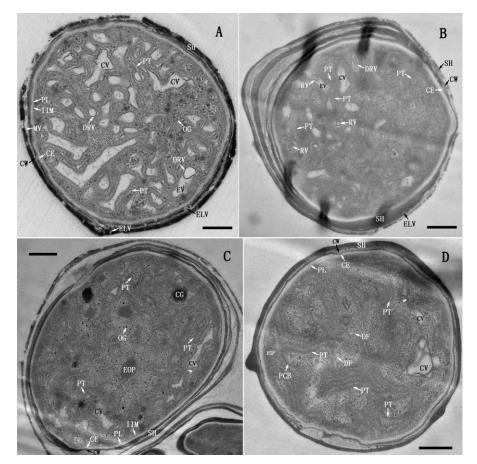
#### 230 **Production of osmiophilic granules**

231 Osmiophilic granules of various sizes blistered from the inner and outer leaflets of the cytoplasmic 232 membrane (Fig.4A), such a scenario was also observed in the newly inoculated cells (Fig.2-3). 233 Importantly, some small osmiophilic granules also budded from the intracytoplasmic membranes 234 (Fig. 4A). These results suggested that the intracytoplasmic membranes were functionally 235 comparable to the cytoplasmic membrane that was apparently capable of both photosynthesis and 236 respiration just like the cytoplasmic membrane of the thylakoid-less cyanobacterium Gloeobacter 237 violaceus [30-31]. Osmiophilic granules appeared to play different roles: these blistered from the 238 inner leaflet of cytoplasmic membrane and the intracytoplasmic membranes migrated into the 239 inner cytoplasm for developing electron-transparent vesicles (Fig.4A); while those shed off the 240 outer leaflet of cytoplasmic membrane contacted the cell wall, and thus served likely as transport 241 conduits or transport vesicles to channel or transfer lipids and carotenoids from cytoplasmic 242 membrane to cell wall (Fig.4A), because these compounds were synthesized on cytoplasmic 243 membrane but deposited in outer membrane [32].

#### 244 Dilation of electron-transparent vesicles and formation of cytoplasmic envelope

As the intracytoplasmic membranes and peptidoglycan-like layer extended progressively (Fig.4B)

246 and closed up (Fig.4C), the small electron-transparent vesicles elongated (Fig.4B) and dilated 247 asymmetrically into swirling ones spiraling around the nucleoid (Fig.4C). Thereafter, the outer 248 cytoplasm vanished and thus the outer intracytoplasmic membrane and cytoplasmic membrane 249 combined into a double-membraned cytoplasmic envelope (CE), which abutted the cell wall 250 owing to the narrowing of extracytoplasmic space (Fig.4D). In parallel with these changes: (1) the 251 heterogenous globular body and nucleoid disappeared, while electron-opaque particles (EOP) 252 formed (Fig. 4D); (2) the intracytoplasmic space widened, filling with electron-opaque materials 253 (EOM) (Fig. 4D); and (3) the old thick sheath scaled off, while a new fuzzy loosely compacted 254 sheath external to the outer membrane formed, comprising of fibrillar materials (FM), 255 microvesicles (MV) and electron-translucent vesicles (ELV).



256

Figure 5.Formation of primary thylakoid (day 2-3). (A) Ring-shaped vesicles (RV) swelled up into dilated-ring-shaped vesicles (DRV), whose membranes met with the membranes of electron-transparent vesicles (EV), and thus gave rise to unit-membrane-bounded combined vesicles (CV). Subsequently, CV coalesced into longer ones or flattened out into slender short primary thylakoids (PT). (B) The newly formed short PT distributed randomly in the inner cytoplasm (ICP), whose matrix turned opaque. Occasionally a cluster of small RV presented in a EV. (C) The short PT extended or merged end-to-end into long PT; while the long CV flattened out into PT by localized-constriction (arrowhead).Meanwhile, several cyanophycin granules (CG) were formed. (D) The extrinsic phycobilisomes (PCB) were assembled on PT. Scale bar, 0.5 μm.

#### 264 Formation of primary thylakoids

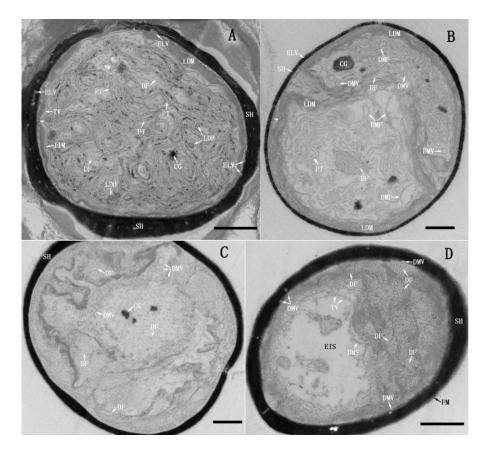
265 As shown in Fig.5A, the intraluminal ring-shaped vesicles swelled up into dilated-ring-shaped 266 vesicles (DRV), whose membranes ultimately met and combined with the electron-transparent 267 vesicle membranes, giving rise to unit-membrane-bounded combined vesicles (CV); and then the 268 combined vesicles coalesced into long ones or flattened out into short slender sacs, which were 269 morphologically similar to cyanobacterial thylakoids, termed primary thylakoids (PT). In this way, 270 the electron-transparent vesicles developed progressively into short primary thylakoids with 271 opaque luminal matrix, distributing randomly in the inner cytoplasm (Fig.5B). After that, the short 272 primary thylakoids extended or merged end-to-end into longer ones with concurrent formation of 273 cyanophycin granules (CG) [33] and electron-opaque particles; while the long combined vesicle 274 flattened out into primary thylakoids stepwise by localized-constriction (Fig. 5C). Finally, 275 extrinsic phycobilisomes (PCB) [34] were assembled on the irregular-arranged primary thylakoids 276 (Fig.5D). So as a result, the inner cytoplasm with primary thylakoids looked like the 277 cyanobacterial cytoplasm, but contained no cyanobacterial inclusions.

# De-compartmentalization, disassembly of primary thylakoids, DNA partition, re-compartmentalization and formation of secondary thylakoids

# 280 De-condensation and de-compartmentalization of inner cytoplasm, disassembly of primary

#### 281 thylakoids and DNA migration

282 As shown in Fig.6A, the inner cytoplasm de-condensed (solubilized) and became translucent with 283 concomitant formation of less electron-dense materials (LDM), less electron-dense bodies (LDB) 284 and cyanophycin granules; while the luminal matrix of primary thylakoids condensed, and so the 285 membrane pair was in close apposition, seeming to be a single unit membrane with rough margin, 286 between which short DNA fibers dispersed. In the meantime, the inner intracytoplasmic 287 membrane disassembled into tiny vesicles (TV), such that the solubilized inner cytoplasm was 288 de-compartmentalized and coalesced with the inner intracytoplasmic space; the less electron-dense 289 materials diffused outward, blurring the compacted peptidoglycan-like layer, cytoplasmic 290 envelope and cell wall (Fig. 6A). Subsequently, the coalesced inner cytoplasm and inner 291 intracytoplasmic space was separated into lower and upper portions by the less electron-dense 292 materials: in the lower portion, the primary thylakoids broke up into double-layered membrane

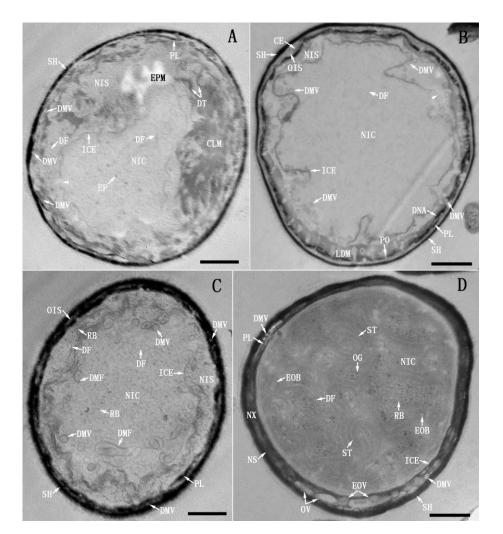


294 Figure 6. De-condensation and de-compartmentalization of inner cytoplasm, disassembly of primary thylakoids and DNA 295 migration (day 4-5). (A) The inner cytoplasm (ICP) decondensed and became translucent, with concomitant production of less 296 electron-dense materials (LDM), less electron-dense bodies (LDB) and cyanophycin granules (CG). The luminal matrix of primary 297 thylakoids (PT) condensed and thus the membrane pair were in close apposition, between which short DNA fibers (DF) dispersed. The 298 inner intracytoplasmic membrane (IIM) disassembled into tiny vesicles (TV), thus, the inner cytoplasm and inner intracytoplasmic space 299 coalesced; LDM diffused outward blurring the compacted peptidoglycan-like layer (PL), cytoplasmic envelope (CE) and cell wall (CW) 300 (arrowhead). (B) The primary thylakoids broke up into double-layered membrane fragments (DMF), which merged laterally into 301 double-membraned vesicles (DMV); while DF aggregated into a cluster. (C) DMV and DF dispersed in the coalesced ICP and inner 302 intracytoplasmic space (D) DMV moved outward quickly and attached to PL that was cover by electron-dense materials, while the 303 tangled DF migrated slowly resulting in an "empty" inner space (EIS), at the border of which the recruited TV began to fuse and elongate 304 into double-layered membrane segments (DMS). Scale bar, 0.5 µm.

305 fragments (DMF, two unit membranes) and thus DNA fibers aggregated; while in the upper 306 portion, the double-layered membrane fragments began to curl and merge laterally into 307 double-membraned vesicles (DMV) (Fig. 6B). As such, all double-layered membrane fragments 308 were assembled into double-membraned vesicles, which dispersed along with DNA fibers in the 309 coalesced inner cytoplasm and inner intracytoplasmic space (Fig. 6C). Thereafter, the 310 double-membraned vesicles moved outward quickly and attached to the peptidoglycan-like layer 311 that was cover by electron-dense materials, while the tangled DNA fibers migrated slowly 312 resulting in an "empty" inner space (EIS), at the border of which the recruited tiny vesicles began 313 to fuse and elongate into double-layered membrane segments (DMS) (Fig. 6D).

#### 314 **Re-compartmentalization, DNA partition and formation of secondary thylakoids**

315 As the double-layered membrane segments extended into the double-membraned intracytoplasmic 316 envelope (ICE), the coalesced inner cytoplasm and inner intracytoplasmic space was 317 re-compartmentalized into a new inner cytoplasm (NIC) and a new inner intracytoplasmic space 318 (NIS) (Fig.7A). The new inner cytoplasm was enclosed by the intracytoplasmic envelope; while 319 the new inner intracytoplasmic space represented the space between the intracytoplasmic envelope 320 and peptidoglycan-like layer. Most DNA fibers were allocated into the new inner intracytoplasmic 321 space, which de-condensed into cloudlike materials (CLM) or aggregated into thick DNA threads 322 (DT); by contrast only few sporadic DNA fibers and electron-dense particles (EP) were partitioned 323 into the new inner cytoplasm (Fig.7A). The intracytoplasmic envelope was not sealed, on the outer 324 leaflet of which, some electron-transparent materials were synthesized, similar in appearance to 325 the bacterial lipid [35]. Hereafter, accompanying the expansion of intracytoplasmic envelope, 326 DNA fibers in the narrowing new inner intracytoplasmic space decondensed and likely attached to 327 the thickened peptidoglycan-like layer (Fig.7B); while the double-membraned vesicles that were 328 covered by less electron-dense materials detached from the peptidoglycan-like layer, moving 329 inward via the opening of intracytoplasmic envelope into the new inner cytoplasm and outward 330 through the pores on the peptidoglycan-like layer into the outer intracytoplasmic space (Fig.7B). 331 Hence, the fenestrated peptidoglycan-like layer served not only as a mechanical and osmotic 332 barrier, but also a platform for anchoring DNA and double-membraned vesicles. When the 333 intracytoplasmic envelope closed up, DNA in the new inner intracytoplasmic space was assembled 334 into thick DNA fibers resembling chromatin fibers [36] with concomitant formation of countless 335 ribosomes (Fig. 7C). Simultaneously, in the new inner cytoplasm an increased number of DNA 336 fibers and many ribosomes were synthesized; the double-membraned vesicles dilated, opened up 337 and became double-layered membrane fragments (Fig. 7C). After that, the double-layered 338 membrane fragments extended randomly into spiral thylakoids, which were devoid of 339 phycobilisomes and morphologically different from the primary thylakoids, termed secondary 340 thylakoids (ST) (Fig.7D). Concomitant with development of secondary thylakoids was the 341 formation of osmiophilic granules and electron-opaque bodies as well as enrichment of DNA 342 fibers and ribosomes in the expanded new inner cytoplasm (Fig.7D). The structures outside of the 343 new inner cytoplasm were fuzzy owing to diffusion of electron-dense materials. The major portion





345 Figure 7. Re-compartmentalization, DNA partition and formation of secondary thylakoids. (day 5-6) (A) The double-membraned 346 intracytoplasmic envelope (ICE) re-compartmentalized the coalesced inner cytoplasm and inner intracytoplasmic space into a new inner 347 cytoplasm (NIC) and a new inner intracytoplasmic space (NIS). Most of the DNA fibers (DF) were allocated into NIS, which 348 decondensed into cloudlike materials (CLM) or aggregated into DNA threads (DT), while only few DF and electron-dense particles (EP) 349 were partitioned into NIC. ICE had an opening (arrowhead), on the outer leaflet of which, some electron-transparent materials (EPM) 350 were synthesized. (B)The double-membraned vesicles (DMV) in NIS moved into NIC via ICE opening (arrowhead), or passed through 351 the pores (PO) on the peptidoglycan-like layer (PL) into outer intracytoplasmic space (OIS). (C) ICE sealed, DNA in NIS condensed into 352 a mass of DF with concomitant formation of countless ribosomes (RB); while an increased number of DF and RB were formed in NIC; 353 DMV opened up into double-layered membrane fragments (DMF) and elongated. (D) DMF in NIC extended into secondary thylakoids 354 (ST) with concomitant formation of osmiophilic granules (OG) and electron-opaque bodies (EOB) as well as enrichment of DF and RB. 355 Outside of NIC, the major portion of PL was dismantled, such that NIS and OIS coalesced into a new intracytoplasmic space (NS), 356 sequestering new intracytoplasmic matrix (NX), DMV, oblong vesicles (OV) and electron-opaque vesicles (EOV). Scale bar, 0.5 µm.

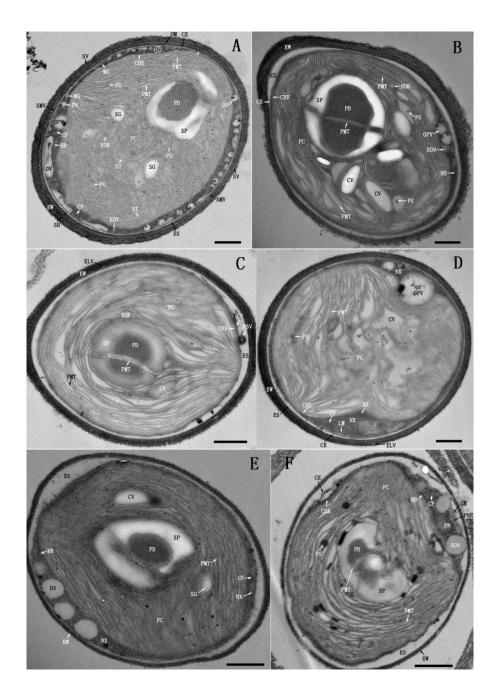
of peptidoglycan-like layer was dismantled, and thus the new inner intracytoplasmic space and outer intracytoplasmic space coalesced into a new intracytoplasmic space (NS), whose content was termed intracytoplasmic matrix (NX). There were different vesicles in the new intracytoplasmic space, including double-membraned vesicles as well as newly formed electron-translucent oblong vesicles (OV) and electron-opaque vesicles (EOV) (Fig.7D). The viability of TDX16 manifested the coordination and competence of the only two compartments in performing cellular functions. The new inner cytoplasm performed photosynthesis and respiration on the secondary thylakoids just like the cases of cyanobacteria, though it contained only a handful of DNA and no cyanobacterial inclusion except osmiophilic granules; while the new intracytoplasmic matrix retained the major fraction of cellular DNA and performed most if not all of other metabolic activities.

#### 368 **Biogenesis of primitive chloroplast, eukaryotic cell wall and primitive nucleus**

#### 369 Biogenesis of primitive chloroplast and eukaryotic cell wall

370 As shown in Fig.8A, the new inner cytoplasm became polarized, in which the newly formed 371 secondary thylakoids underwent disassembly, leaving some remnants in the lower region; while 372 parallel arrays of discrete slender sacs with transparent matrix were being developed in the upper 373 region. These parallel-arranged slender sacs were morphologically similar to algal and plant 374 thylakoids, termed primitive eukaryotic thylakoids (PMT), which appeared to develop from the 375 plastoglobuli (similar in appearance to but smaller in size than osmiophilic granules) formed 376 during disassembly of secondary thylakoids (Fig.8A), in a way similar to development of primary 377 thylakoids from osmiophilic granules (Fig.5). Beneath the primitive eukaryotic thylakoids, a 378 nascent pyrenoid (PD) with an incomplete starch plate (SP) and two starch granules (SG) were 379 formed (Fig. 8A), both of which were the characteristic bodies of green algal chloroplasts [37]. 380 Accordingly, the new inner cytoplasm developed into a primitive chloroplast (PC) delimited by 381 the double-membraned intracytoplasmic envelope. That was to say, the intracytoplasmic envelope 382 became the double-membraned chloroplast envelope (CHE). The absence of mitochondrion 383 suggested that respiration took place on the primitive eukaryotic thylakoids. Thus, the primitive 384 chloroplast was a dual-function composite organelle.

The new intracytoplasmic space became clear: the new intracytoplasmic matrix condensed, containing ribosomes and chromatin fibers (CF) [36, 38]; the peptidoglycan-like layer and double-membraned vesicles disappeared, while many small dotted vesicles (DV) blistered from the chloroplast envelope and lined up along the cytoplasmic envelope, some of which began to fuse and flattened out into membrane segments (MS) (Fig.8A). Such a scenario of membrane synthesis was akin to nuclear envelope assembly [39-40]. In addition, a large coated-vesicle-like



391

392 Figure 8. Biogenesis of primitive chloroplast, eukaryotic cell wall and primitive nucleus (day-7-9). (A) The secondary thylakoids (ST) 393 were dismantled leaving some remnants and plastoglobuli (PG) in the lower region of new inner cytoplasm (NIC), while parallel arrays of 394 primitive eukaryotic thylakoids (PMT) were being developed in the upper region of NIC with concomitant formation of a nascent 395 pyrenoid (PD) with an incomplete starch plate (SP), and two starch granules (SG). So, NIC developed into the primitive chloroplast (PC), 396 and the intracytoplasmic envelope became the chloroplast envelope (CHE). Inside the new intracytoplasmic space (NS), thick chromatin 397 fibers (CF) and large ribosomes (RB) were formed; the peptidoglycan-like layer and the double-membraned vesicles disappeared; while 398 many small dotted vesicles (DV) and opaque-periphery vesicle (OPV) emerged. Some DV began to fuse and flattened into membrane 399 segments (MS). Outside of the new intracytoplasmic space (NS), some smaller vesicles (SMV) shed from the cytoplasmic envelope (CE) 400 into the extracytoplasmic space (ES); the peptidoglycan layer (P) turned into an electron-dense layer (EL) (arrowhead), and a stratified 401 SH embedded with small vesicles (SV) was formed external to the outer membrane (OM). Hence OM, EL and the sandwiched 402 electron-transparent space constituted a trilaminar domain, which along with SH became the eukaryotic cell wall (EW). (B) PMT with 403 wide luminal space were formed continuously by elongation of the combined vesicle (CV); PD was surrounded with a complete SP and 404 bisected by two pairs of PMT; hence PC expanded and occupied most NS in the longitudinally sectioned plane; (C) PC filling with PMT

405 occupied whole NS in longitudinally sectioned plane; CHE adhered to CE and a dense vesicle (DSV) shed off from CE into the widened
406 ES. (D) The vertical section of a cell. The anterior portion of PC contacted CE; MS merged into the limiting membrane (LM) at the
407 border of new intracytoplasmic matrix (NX) (E) The oblique section of a cell. The major fraction of NX converged at one side of PC. (F)
408 The tangential section of a cell. NX was encased by LM into the primitive nucleus (PN) containing CF, RB and electron-opaque vesicles
409 (EOV); while LM became primitive nuclear envelope (PNE). NS vanished, and so CE shrank and wrapped PC and PN. Scale bar, 0.5 μm.
410 opaque-periphery vesicle (OPV) was being assembled at the primitive chloroplast envelope, which
411 bridge the primitive chloroplast and cytoplasmic envelope probably for transferring substances.

412 The fuzzy electron-dense sheath external to the cell wall (Fig.7D) scaled off, while a 413 stratified sheath embedded with many small vesicles formed, which adhered to the outer 414 membrane and made the latter difficult to discern (Fig.8A); the peptidoglycan layer (Fig. 4A) 415 became denser and thicker indicating changes of its composition and thus was referred to as 416 electron-dense layer (EL). In this case, the outer membrane along with the electron-dense layer 417 and the sandwiched electron-transparent space still adopted its original configuration (Fig. 4A), 418 and thus constituted a trilaminar domain resembling the trilaminar sheath in the cell walls of green 419 algae [41-45], which combined with the stratified sheath into a continuum similar in structure to 420 the cell walls of green algae, termed eukaryotic cell wall (EW).

#### 421 **Biogenesis of primitive nucleus**

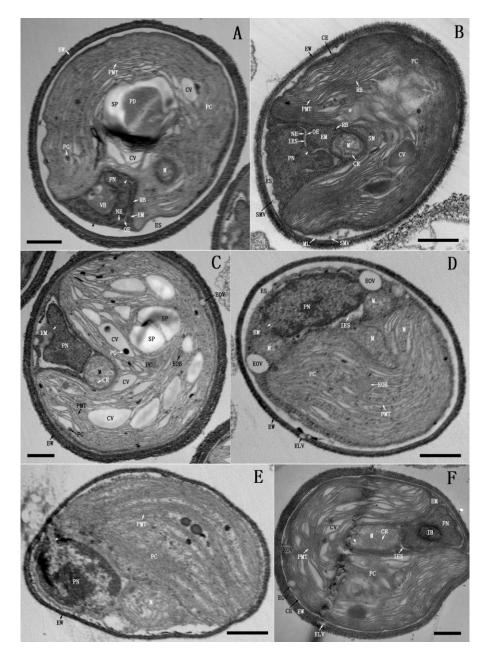
422 As plastoglobuli developed progressively into combined vesicles and then flattened into primitive 423 eukaryotic thylakoids with wide luminal space in the lower region of primitive chloroplast, the 424 pyrenoid got matured, which was surrounded with a complete starch plate and bisected by two 425 pairs of primitive eukaryotic thylakoids. Hence, the primitive chloroplast expanded substantially 426 with corresponding shrinkage of the new intracytoplasmic space (Fig. 8B). Subsequently, the 427 primitive eukaryotic thylakoids coalesced and extended around the pyrenoid, the adjacent 428 membranes of which were connected, seeming to be a single membrane (Fig. 8C); the further 429 expanded primitive chloroplast occupied whole new intracytoplasmic space in the longitudinally 430 sectioned planes, and so the primitive chloroplast envelope fully adhered to the cytoplasmic 431 envelope, from the latter of which a dense vesicle (DSV) shed off into the widened 432 extracytoplasmic space (Fig.8C). Consistently, vertical profile (Fig.8D) showed that the anterior 433 portion of new intracytoplasmic space disappeared owing to expansion of primitive chloroplast, as 434 such the new intracytoplasmic matrix in the shrunken new intracytoplasmic space was 435 concentrated by squeezing out liquid into the extracytoplasmic space, at the border of which the

436 membrane segments coalesced into a limiting membrane (LM) (Fig.8D). As the expansion of 437 primitive chloroplast continued, the stepwise-concentrated new intracytoplasmic matrix moved to 438 (Fig. 8E) and finally converged at one side of primitive chloroplast, which was ensheathed by the 439 limiting membrane into a membrane-bounded organelle adhering to the primitive chloroplast (Fig. 440 8F). This organelle sequestered the new intracytoplasmic matrix that retained the major fraction of 441 cellular DNA and performed metabolic activities, and thus was also a composite organelle, termed 442 primitive nucleus (PN). As such, the limiting membrane became the primitive nucleus envelope 443 (PNE) that probably consisted of four unit membranes, because it was synthesized by fusion of the 444 small dotted vesicles that were budded from the primitive chloroplast envelope (Fig. 8A) and 445 likely delimited by two unit membranes. Concurrent with the formation of primitive nucleus, the 446 new intracytoplasmic space vanished, and thus the cytoplasmic envelope shrank and wrapped the 447 only two primitive organelles, apparently to keep intercommunication via intimate membrane 448 contacts in this vital transient state (Fig. 8F).

#### 449 Formation of eukaryotic cytoplasmic matrix and biogenesis of mitochondria

#### 450 Concurrent formation of eukaryotic cytoplasmic matrix and biogenesis of mitochondria

451 As shown in Fig.9A, a vesicle-containing body (VB), apparently chipped off the invaginated 452 primitive chloroplast, was being engulfed by the primitive nucleus with concomitant formation of 453 a thin layer of electron-dense materials; and concurrently a small nascent mitochondrion (M) was 454 being assembled within the primitive chloroplast. The primitive nucleus envelope was contiguous 455 with the cytoplasmic envelope at the outer side, but separated into two sets of double-membraned 456 envelopes inside the primitive chloroplast cavity, the inner and outer sets of which were referred to 457 as nuclear envelope (NE) and outer nuclear envelope (OE) respectively. This result confirmed that 458 the primitive nucleus envelope consisted of four unit membranes. The thin layer of electron-dense 459 materials was a ribosome-containing fluid extruded from the primitive nucleus at the site where 460 the nuclear envelope and outer nuclear envelope fused, which served as the medium connecting 461 the primitive organelles and cytoplasmic envelope, and thus was the incipient eukaryotic 462 cytoplasmic matrix (cytosol) (EM). After 'digestion' of the vesicle-containing body, the primitive 463 nucleus and eukaryotic cytoplasmic matrix both increased in sizes; while an oval mitochondrion



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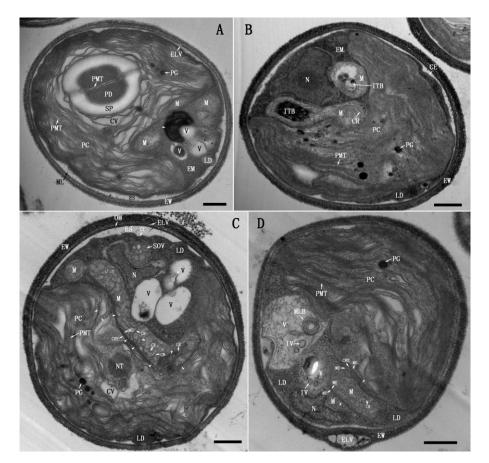
465 Figure 9. Concurrent formation of eukaryotic cytoplasmic matrix and biogenesis of mitochondria (day 8-9). (A) The primitive 466 nucleus (PN) engulfed a vesicle-containing body (VB) and extruded nuclear matrix (white arrowhead) to build up the eukaryotic 467 cytoplasmic matrix (EM); while a mitochondrion (M) was assembled in the primitive chloroplast (PC). The nuclear envelope (NE) and 468 outer nuclear envelope (OE) were separated in PC cavity but contiguous with the cytoplasmic envelope (CE) at the outer side (black 469 arrowhead). (B) PC with enriched stroma (SM) further invaginated, in the apical dome of its cavity, a mitochondrion with characteristic 470 cristae (CR) emerged. OE and NE were separated by an inter envelope space (IES), but fused at one site into a large opening (arrowhead), 471 from which the nuclear matrix was extruded. A number of smaller vesicles (SMV) and microfibrils (ML) budded and emanated 472 respectively from CE into the extracytoplasmic space (ES). (C), (D) and (E) PN extruded nuclear matrix (arrowhead). (F) PN contained a 473 membrane-delimited intranuclear body (IB). Scale bar, 0.5 µm.

with characteristic cristae (CR) emerged in the apical dome of the enlarged primitive chloroplast
cavity (Fig.9B). The nuclear envelope and outer nuclear envelope were separated by an
interenvelope space (IES), but merged at one site resulting in a wide opening, from which the

477 primitive nuclear matrix was extruded. Ribosomes in the eukaryotic cytoplasmic matrix were 478 larger than those within the primitive chloroplast stroma (SM), most of which were bound to the 479 outer membranes of primitive organelles (Fig. 9B). During this process, a number of smaller 480 vesicles and microfibrils (ML) budded and emanated from cytoplasmic envelope into 481 extracytoplasmic space, respectively (Fig. 9B). Concurrent extrusion of primitive nuclear matrix and formation of mitochondrion in different cells took place in the same manner (Fig. 9C, D and 482 483 E), and in one of which two spindle-shaped mitochondria were just being assembled within the 484 primitive chloroplast (Fig. 9D). Occasionally, an unusual membrane-delimited intranuclear body 485 (IB) appeared in the primitive nucleus (Fig. 9F), which seemed to be developed during engulfment 486 and digestion of the vesicle-containing body or the like and play a role in selective extrusion of the 487 primitive nuclear matrix to build up the eukaryotic cytoplasmic matrix.

#### 488 Continuous biogenesis of mitochondria after formation of eukaryotic cytoplasmic matrix

489 After building up sufficient eukaryotic cytoplasmic matrix, bulk extrusion of primitive nuclear 490 matrix ceased. So the primitive nucleus got matured into a nucleus (N) and TDX16 developed into 491 a premature eukaryote, because new mitochondria were continuously developed in the primitive 492 chloroplast even after the formation of vacuole (V) with internal vesicle (IV), multilamellar body 493 (MLB), lipid droplet (LD), and small opaque vesicle (SOV), leading to distortion of the primitive 494 eukaryotic thylakoids and diminishment of the primitive chloroplast (Fig.10). As shown in 495 Fig.10A, a small mitochondrion was being developed in the periphery of the primitive chloroplast; 496 while a twisting dumbbell-shaped mitochondrion in another cell was nearly finished, one of its 497 bulbous-end sequestering an internal body (ITB) was segregated, but another end still under 498 development in the primitive chloroplast (Fig.10B). Details of mitochondrion biogenesis were 499 detected during assembly of giant mitochondria. As shown in Fig. 10C, a giant 'L-shaped' 500 mitochondrion was in development and still continuous with the primitive chloroplast in the 501 region around its corner point: the inner side envelope of its long arm and the corresponding 502 portion of chloroplast envelope, as well as the interior cristae were nearly complete; while those of 503 its short arm were just being synthesized. All these membranes were synthesized by merging the 504 small dense-margined vesicles (DGV) developed from the segmented primitive eukaryotic 505 thylakoids (Fig. 10C). Similarly, in another cell a bulky mitochondrion was undergoing segregation, which was connected with the primitive chloroplast on the inner side but a small 506



508 Figure 10. Biogenesis of mitochondria after building up eukaryotic cytoplasmic matrix (day 8-9). (A) A small mitochondrion was 509 being developed in the primitive chloroplast (PC) in the presence of two mitochondria, three vacuoles (V), a lipid droplet (LD) and some 510 electron-dense materials (arrowhead). (B) A twisting dumbbell-shaped mitochondrion was nearly finished, one of its bulbous-end 511 sequestering an internal body (ITB) was segregated, but the other end was contiguous with PC (C) A large 'L-shaped' mitochondrion was 512 being assembled in the presence of three vacuoles and a cluster of small opaque vesicles (SOV), which was continuous with PC in the 513 region around its corner point. The inner side mitochondrial envelope (ME) and the corresponding portion of chloroplast envelope (CHE) 514 as well as cristae (CR) were being synthesized by fusion of the dense-margined vesicles (DGV) (arrowhead) that were developed by 515 segmentation of the primitive eukaryotic thylakoids (PMT). There was a large nucleoid-like structure (NT) in the venter side of PC. (D) 516 After emergence of the large vacuole with internal vesicles (IV) and a multilamellar body (MLB), a bulky mitochondrion was being 517 developed, which was connected with PC on the inner side but a small mitochondrion on the outer side. In the inner and outer interfaces, 518 three and two pairs of contorted membranes were being synthesized respectively by merging DGV (arrowhead). In addition, several large 519 electron-translucent vesicles (ELV) were embedded in the eukaryotic cell wall (EW). Scale bar, 0.5 µm.

mitochondrion on the outer side (Fig.10D). In the inner and outer interfaces, three and two pairs of contorted membranes were being synthesized respectively by fusion of dense-margined vesicles: among the three pairs of membranes, the outer and middle ones were the segments of mitochondria envelope and chloroplast envelope respectively, while the inner one was likely the envelope of the next mitochondrion that appeared to be in preparation; likewise the two pairs of membranes were the outer side and inner side mitochondria envelope of the bulky and small mitochondria respectively (Fig.10D). 527 The above results indicated that mitochondria were assembled in the periphery of primitive 528 chloroplast by encapsulating selected components with the membranes derived from the primitive 529 eukaryotic thylakoids. As the assembly nearly finished, the chloroplast envelope opened up at the 530 ventral side allowing mitochondria to detach by twisting, and then resealed by incorporating the 531 membrane segment concurrently synthesized with the mitochondrial envelope. Since mitochondria were always assembled in the ventral side of primitive chloroplast where a large nucleoid-like 532 533 structure situated (Fig.10C), it seemed likely that DNA was synthesized in the nucleoid-like 534 structure and subsequently sorted into the mitochondria.

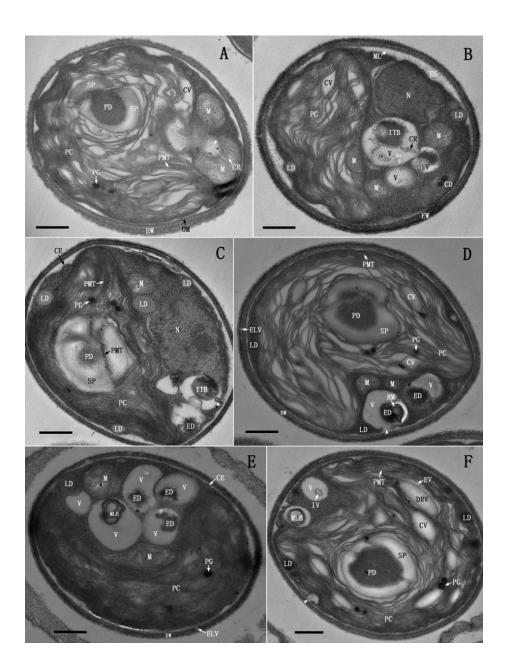
# 535 Transition of mitochondria into vacuoles and degradation of primitive 536 eukaryotic thylakoids-derived vesicles

#### 537 Transition of mitochondria into double-membraned vacuoles

538 Following the emergence of new mitochondrion, the opaque matrix of previously formed 539 mitochondria began to self-degrade into electron-transparent material (Fig.11A), such that the 540 mitochondria turned into double-membraned vacuoles (V) with electron-transparent matrix, 541 containing incompletely degraded internal body, vesicle and cristae (Fig. 11B). Most of the 542 residual inclusions were further degraded into electron-dense debris (ED) (Fig.11C) and released 543 into the eukaryotic cytoplasmic matrix (Fig. 11D); while some internal bodies themselves also 544 contained small internal bodies, so after degradation of their contents, the residual membranes (Fig. 545 11D) were collected into multilamellar bodies [46-47] (Fig, 11 E and F). Accompanying the 546 development of vacuoles, a new mitochondrion was assembled at the edge of primitive chloroplast 547 (Fig.11B); a piece of chloroplast debris (CD) emerged in the eukaryotic cytoplasmic matrix 548 (Fig.11B); and lipid droplets formed intensively on the inner membrane of cytoplasmic envelope 549 and the outer membrane of primitive chloroplast envelope (Fig.11B,C,D,E,F).

# 550 Formation and degradation of primitive eukaryotic thylakoids-derived vesicles and 551 coalescence of vacuoles

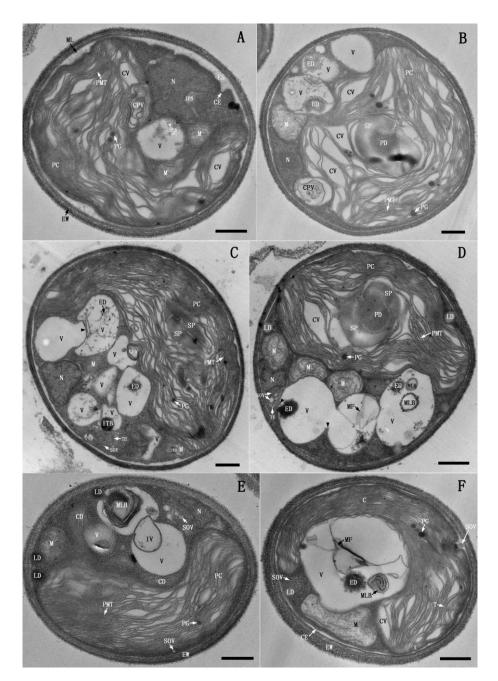
After vacuoles came into being, the short fragmented primitive eukaryotic thylakoids that were generated during assembly of mitochondria curled and 'rolled up' into "vesicle within vesicle" like compound vesicles (CPV) (Fig.12 A,B and C), which were internalized by vacuoles directly as they segregated from the primitive chloroplast (Fig. 12C), or after they shed into the eukaryotic



556

557 Figure 11. Transition of mitochondria into double-membraned vacuoles (day 8-9). (A) The matrix of a mitochondrion was being 558 degraded (arrowhead). (B) After matrix degradation, the mitochondria turned into vacuoles (V) containing internal body (ITB), internal 559 vesicle (IV) and remnant cristae (CR) or only electron-transparent matrix. Meanwhile, a new mitochondrion was developed in the 560 primitive chloroplast (PC), a lipid droplet (LD) was formed at the inner leaflet of cytoplasmic envelope (CE) and a piece of chloroplast 561 debris (CD) emerged in the eukaryotic cytoplasmic matrix (EM). (C) ITB in two small vacuoles degraded into electron-dense debris (ED); 562 while ITB in the large vacuole remained intact, the vacuolar membranes fused with CE and invaginated (arrowhead). (D) a small vacuole 563 expelled ED into EM; while a large vacuole sequestered some residual membranes (RM), the membranes of which fused with CE, 564 resulting in an opening (arrowhead). (E) A large vacuole contained a multilamellar body (MLB). (F) Two vacuoles contained a MLB and 565 an IV respectively. A small vacuole fused with CE, resulting in an opening (arrowhead); while several dilated ring-shaped vesicles (DRV) 566 emerged in electron-transparent vesicles (EV). Scale bar, 0.5 µm.

567 cytoplasmic matrix (Fig.12A and B). And then contents of the internalized compound vesicles 568 were degraded, while the remaining membranes stacked up into multilamellar bodies within the 569 vacuoles (Fig. 12D, E and F), which began to fuse with each other by membrane protrusion. As



571 Figure 12. Formation and degradation of primitive eukaryotic thylakoids-derived vesicles and coalescence of vacuoles (day 8-9).
572 (A) A collection of short primitive eukaryotic thylakoids (PMT) 'rolled up' into "vesicle within vesicle" like compound vesicle (CPV) in
573 the margin of primitive chloroplast (PC). (B) A CPV was segregating from PC into the eukaryotic cytoplasmic matrix (EM). (C) A CPV
574 was detaching from PC into a vacuole; while a large vacuole protruded into another one (arrowhead). (D) Membranes of the protruded
575 vacuole and the vacuole that contained membranous fragments (MF) fused at their contact site (arrowhead). (E) Two vacuoles were
576 merging; while a conspicuous piece of chloroplast debris (CD) presented in EM. (F) PC matured into a chloroplast (C); all vacuoles
577 coalesced into a single vacuole containing electron-dense debris (ED), MF and MLB. Scale bar, 0.5 µm.

shown in Fig. 12C and D, a vacuole protruded into another one and fused at the contact sites, such that the membrane protrusion pinched off and became an internal vesicle (Fig. 12E) or shed as membrane fragments (Fig. 12 D and F). When the primitive chloroplast dwindled to a normal size, no more mitochondria and compound vesicles were produced and all vacuoles coalesced into a

- 582 large one (Fig.12F). Accordingly, the primitive chloroplast got matured into chloroplast (C), and
- 583 the primitive eukaryotic thylakoids matured into eukaryotic thylakoids (T).

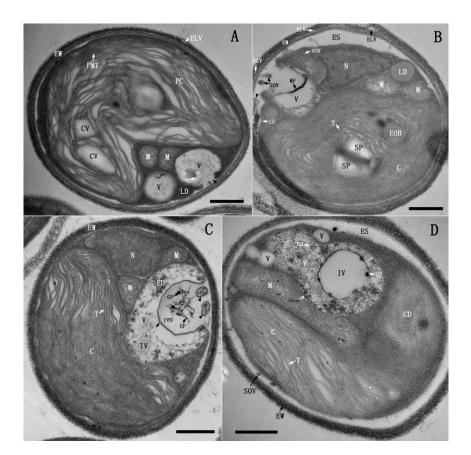


Figure 13. Vacuole mediated unconventional exocytosis and endocytosis (day 8-9). (A) An opening was formed at the contact site of the vacuolar membranes and cytoplasmic envelope (CE) (arrowhead), from which the vacuolar content was released. (B) The contacted vacuolar membranes and CE broke up into fragments (arrowhead), resulting in a wide opening, from which the soluble contents and small opaque vesicle (SOV) were expelled outside into the extracytoplasmic space (ES). (C) The vacuolar membranes merged with CE at two distant sites and then invaginated, resulting in a large invaginated space (IVS) entrapping some electron-dense fibrils (FB) and globular particles (GP); while CE between the two merged sites disrupted and coiled into membranous structures (arrowhead). It was clear that the vacuolar membranes consisted of two unit membranes (arrow). (D) A vacuole contained a large internal vesicle (IV). Scale bar, 0.5 µm.

#### 592 Vacuole mediated unconventional exocytosis and endocytosis

#### 593 Vacuole-mediated unconventional exocytosis

Vacuoles came into contact with the cytoplasmic envelope, and then the contacted membranes fused or broke up into fragments resulting in openings, from which a small quantity (Fig. 11D, F and Fig. 13A) or a large amount of vacuolar contents and small opaque vesicles that seemed to be internalized from the neighboring vesicle clusters were expelled into the extracytoplasmic space (Fig.13B). Apparently, vacuole-mediated exocytosis was unconventional exocytosis [48-50], as the exocytotic proteins were not sorted through Golgi apparatus.

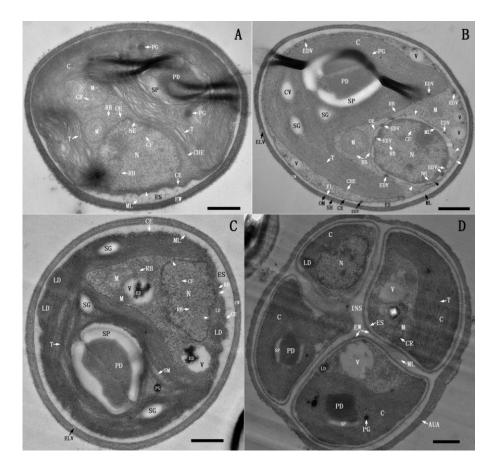
#### 600 Vacuole-mediated unconventional endocytosis

601 The vacuolar membranes merged with cytoplasmic envelope at two distant sites and then 602 invaginated, resulting in a large invaginated space (IVS), entrapping some electron-dense fibrils 603 (EF) and globular particles (GP); while cytoplasmic envelope between the two merged sites 604 disrupted and coiled into membranous structures (Fig.13C). Upon the invaginated space reaching a certain size, the membrane invagination pinched off into the vacuole lumen and became a large 605 606 internal vesicle (vacuole?), whose content was degraded in situ or discharged into the vacuole 607 lumen (Fig.13D). In the same way, the nascent vacuoles also mediated small episode of 608 endocytosis (Fig.10D and Fig.11C). Evidently, vacuole-mediated endocytosis was unconventional 609 endocytosis, as there was no other organelle involved.

#### 610 Transition of TDX16 into an eukaryotic alga TDX16-DE

After bulk exocytosis and endocytosis, the large vacuoles vanished and no other organelle was formed. Thus, the prokaryotic cyanobacterium TDX16 (Fig.2-3) turned into a stable eukaryotic alga TDX16-DE with unique structure (Fig.14A, B and C). TDX16-DE cell has an eukaryotic cell wall, an extracytoplasmic space and a double-membraned cytoplasmic envelope, contains a chloroplast, a nucleus with two set of envelopes, two mitochondria, and no or several double-membraned vacuoles, but lacks endoplasmic reticulum, Golgi apparatus and peroxisome.

617 The nucleus still retains ribosomes (Fig.14A, B and C), indicating its capability of protein 618 synthesis. The nuclear envelope and outer nuclear envelope have no visible pores and usually 619 connect with the cytoplasmic envelope at the opening of chloroplast cavity (Fig.14A). When they 620 are separated, a number of electron-dense vesicles (EDV) bud form the nuclear envelope into the 621 interenvelope space, which fuse with and re-bud from the outer nuclear envelope and ultimately 622 migrate to the two sides of chloroplast envelope and cytoplasmic envelope (Fig.14B), probably 623 transferring nucleus-synthesized proteins for membrane renewal. Meanwhile, several openings are 624 formed on the outer nuclear envelope, one of which is at the site where it merges with the 625 cytoplasmic envelope. Such that, the eukaryotic cytoplasmic matrix and extracytoplasmic space is 626 connected through the interenvelope space, enabling the exchange of metabolites between these 627 two compartments (e.g., protein secretion) (Fig. 14B). By contrast, when the outer nuclear 628 envelope and nuclear envelope come into contact, they disrupt at several sites and result in



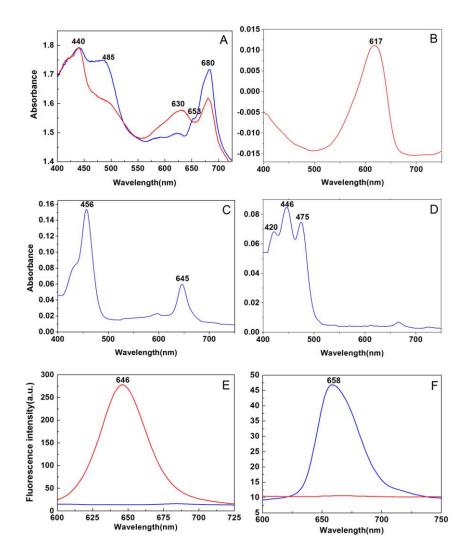
630 Figure 14. Structure and reproduction of TDX16-DE (day 9-10). (A), (B) and (C). ThreeTDX16-DE cells contain chloroplasts (C), 631 nuclei (N) with chromatin fibers (CF) and ribosomes (RB), mitochondria (M) and vacuoles (v) ((A) had no vacuole). (A) The nuclear 632 envelope (NE) and outer nuclear envelope (OE) contact the cytoplasmic envelope (CE) at the opening of chloroplast cavity (arrowhead). 633 (B) Electron-dense vesicles (EDV) bud form NE (black arrowhead) into inter envelope space (IES), and then fuse with and re-bud from 634 OE, ultimately reach the two sides of chloroplast envelope (CHE) and CE. There are several openings on OE (white arrowhead), and one 635 opening at the contact site of OE and CE (arrow). (C) OE and NE contact intimately, on which large openings (arrowhead) are formed, 636 and also a fusion pore is developed at the contact site of NE, OE and CE (arrow). (D) Four autospores in an autosporangium (AUA) are 637 segregated from each other by the wide interspace (INS). Scale bar, 0.5 µm.

- openings, allowing nucleocytoplasmic transport (Fig.14C), and fuse with the cytoplasmic
  envelope at one site resulting in a fusion pore, enabling the direct communication between nucleus
  and extracytoplasmic space.
- TDX16-DE multiplies via autosporulation. As shown in Fig.14D, four autospores (TDX16-DE
- 642 cells) within an autosporangium (AUG) are in different developmental stages and more or less
- similar in arrangement to TDX16 (endospores) in the sporangium (Fig.2).

### 644 Photosynthetic pigments of TDX16 and TDX16-DE

645 In vivo absorption spectra (Fig.15A) showed that apart from the absorption maxima of chlorophyll

a (Chl a) at 440 and 680 nm, TDX16 displayed a prominent peak at 630nm, corresponding to



647

Figure 15. Absorption and fluorescence emission spectra. (A) In vivo absorption spectra of TDX16 (red) and TDX16-DE cell (blue).
Absorption spectra of the isolated and purified phycocyanin (B), chlorophyll b (C) and lutein (D). Fluorescence emission spectra of water
soluble pigment extracts (E) and lipid soluble pigment extracts (F) of TDX16 (red) and TDX16-DE cell (blue).

651 phycocyanin [51]; while TDX16-DE cell lacked phycocyanin peak at 630nm but exhibited a 652 conspicuous shoulder peak of chlorophyll b (Chl b) at 653 nm [52], and a merged peak of 653 carotenoids around 485 nm. Consistently, fluorescence emission spectroscopy indicated that the 654 water soluble pigment extract of TDX16 (Fig. 15E) and lipid soluble pigment extract of TDX16-DE (Fig. 15F) displayed an emission peak of phycocyanin at 646 nm [53] and an emission 655 656 peak of Chl b at 658 nm [54] respectively, but no emission peak was detected in the water soluble pigment extract of TDX16-DE (Fig. 15E) and lipid soluble pigment extract of TDX16 (Fig. 15F). 657 The separated phycocyanin of TDX16 showed an absorption peak at 617nm (Fig. 15B), nearly the 658 659 same as that of C-phycocyanin [53]; the purified Chl b and lutein of TDX16-DE displayed absorption peaks at 456 and 645 nm (Fig. 15C), 420, 446 and 475nm (Fig. 14D) respectively, 660

identical to those of plant pigments [55]. These results demonstrate that TDX16 containsphycocyanin but no Chl b, while TDX16-DE has Chl b but no phycocyanin.

#### **16S rRNAs of TDX16 and TDX16-DE chloroplast**

16S rRNAs of TDX16 (GenBank KJ599678.2) and TDX16-DE chloroplast (GenBank KJ612008.1) share a low identity of 83%, but show high similarities of 98% and 99% to that of *Chroococcidiopsis thermalis* (GenBank NR102464.1) and those of the chloroplasts of *Auxenochlorella protothecoides* (GenBank AY553213.1) and *Chlorella vulgaris* (GenBank AB001684.1) respectively.

### 669 **TDX16 genome**

TDX16 genome is 15,333,193 bp in size with an average of GC content of 55.2 % containing 15,894 genes (CDS 15,756; RNA 138). This Whole Genome Shotgun project has been deposited at DDBJ/ENA/GenBank under the accession NDGV00000000. The version described in this paper is version NDGV01000000.

## 674 **Discussion**

### 675 The reason for organelle biogenesis in TDX16

676 Organelle biogenesis in prokaryotes is reasonable in theory. However, the formation of organelles 677 in TDX16 may be intuitively unacceptable and suspected as an artifact of contamination with microorganisms, because (1) such an event had not been observed previously, and (2) it is 678 679 assumed that organelle biogenesis in prokaryotes occurred only once in ancient time during the 680 origin of the first eukaryotic common ancestor (discussion is in the following section). Indeed, the 681 possibility of contamination by other microorganisms in this study can be completely excluded. 682 Because (1) TDX16 cells used in the experiments were prepared from the pure and axenic colony 683 (Fig. S3); (2) light microscopic observation confirmed the absence of other microorganisms in 684 TDX16 cultures (Fig. 1); and (3) the intermediate cell structures between TDX16 and TDX16-DE 685 (Fig. 1-14) are unprecedented and coherent, which can not be generated by any other 686 microorganisms. Such that, a key question arises as to why organelles formed in TDX16?



Fig. S3. Image of TDX16 colonies in an inverted petri dish

689 The consistent results of cell morphology and color (Fig. S1 and Fig. 1A,), structure (Fig. S2 690 and Fig.2-3), pigmentation (Fig.15) and 16S rRNA sequence indicate that TDX16 is a 691 phycocyanin-containing cyanobacterium resembling C. thermalis. However, the size, gene number 692 and GC content of TDX16 genome (GenBank NDGV00000000) are 2.4, 2.8 and 1.2 times those 693 of C. thermalis (6,315,792 bp, 5593 genes and 44.4% GC, GenBank CP003597.1), respectively. In 694 addition, comparisons with genomes of other prokaryotes and unicellular green algae (eukaryotes) 695 sequenced so far show that (1) TDX16 genome is the largest prokaryote genome and even larger 696 than that of green alga Ostreococcus tauri (12.56MB, GenBank CAID00000000.1), and (2) gene 697 number of TDX16 genome is much larger than those of other cvanobacteria, and larger than those of unicellular green algae, including Chlamydomonas reinhardtii (15,143 genes, GenBank 698 NW 001843471), the close relative of *H. pluvialis*. These results demonstrated that TDX16 had 699 700 obtained a huge number of eukaryotic genes that have higher GC contents than the prokaryotic 701 ones. Because (1) TDX16 multiplied in the senescent/necrotic H. pluvialis cell at the expense of 702 host's degraded organelles and cytoplasmic matrix (Fig.S2), and (2) Chl b and lutein, the typical 703 pigments of green algae, are absent in TDX16 but present in TDX16-DE (Fig. 15), it is certain that 704 TDX16 had acquired 9,017,401bp DNAs with 10301 genes from its dead green algal host H. 705 pluvialis. Accordingly, organelle biogenesis in TDX16 resulted from hybridizing the acquired 706 DNAs with its own ones and expressing the hybrid genome. Since this study focuses on the 707 cellular mechanism of organelle biogenesis in TDX16, we are unable to elucidate the molecular 708 mechanism here, but infer the molecular process based on the changes of cellular structure:

(1) when the bloated *H. pluvialis* cells underwent senescence/necrosis (Fig. S1), the tiny dormant
endosymbiotic cyanobacterium TDX16 was activated, which took up host's DNAs and retained
the acquired DNAs most likely in the unique replicable heterogenous globular body so as to keep

from degradation (Fig. S2). After the small TDX16 cells were liberated from the ruptured host cell

713 (Fig. S1), the heterogenous globular body with electron-dense DNA-like materials situated in the

nucleoid and seemed to be a "micronuleus" (Fig. 2).

- 715 (2)upon compartmentalization, the obtained DNAs were released gradually from the heterogenous
- 716 globular body into the nucleoid (Fig. 4), most of which kept inactive because the initially formed
- primary thylakoids were cyanobacterial ones (Fig.5) similar to those of *Chroococcidiopsis* [13],
- owing to expression of TDX16's own genes that were suppressed within the host cell and in the
- 719 dim light.
- 720 (3) during de-compartmentalization the total DNAs in the solubilized inner cytoplasm fragmented, 721 intermingled (hybridized) (Fig.6), which was then partitioned into the new intracytoplasmic space 722 (major fraction) and new inner cytoplasm (minor fraction) during re-compartmentalization (Fig.7), 723 and subsequently gave rise to nuclear genome (Fig.8-9) and primitive chloroplast genome (Fig.8) 724 respectively. Hereafter, the primitive chloroplast genome partitioned into chloroplast genome and 725 mitochondrial genome during assembly of mitochondria (Fig. 9-11). The disappearance of 726 phycocyanin (Fig.15) and TDX16 16S rRNA, but appearance of chlorophyll b, lutein (Fig.15) and 727 new 16S rRNA of TDX16-DE chloroplast indicated that DNA hybridization resulted in the loss of prokaryotic genes, retention of eukaryotic genes and synthesis of new (hybrid) genes. 728

### 729 Organelle biogenesis in TDX16 sheds light on eukaryotes

Organelle biogenesis in the prokaryote TDX16 (Fig. 2-13) resulted in its transition into an eukaryote TDX16-DE (Fig. 14), that is to say, the organelles of eukaryote TDX16-DE were originally formed in the prokaryote TDX16. So, the biogenesis of organelles in TDX16 provides a reference for re-understanding the development, structure, function and association of organelles and other compartments in eukaryotes and the reasons behind them.

#### 735 Formation of different DNA-containing organelles all at once

With the initial compartmentalization (Fig. 4) and subsequent de-and re-compartmentalization (Fig.6-7), the whole cellular content of TDX16 was allocated into the only two compartments: the new intracytoplasmic space and the new inner cytoplasm (Fig.7), from which nucleus, chloroplast and mitochondrion were developed respectively. (Fig.8-11). Therefore, different DNA-containing 740 organelles in TDX-DE were formed all at once in a single development event with concurrent 741 establishment of interorganellar metabolic link and communication as evidenced by the viability 742 of TDX16-DE. Accordingly, the interorganellar signaling (control) is a multilateral system, 743 encompassing not only anterograde signaling (nucleus-to-chloroplast/mitochondrion) and 744 retrograde (chloroplast/mitochondrion-to-nucleus) signaling [56-58], but also 745 chloroplast-to-mitochondrion signaling [59] and mitochondrion-to-chloroplast signaling.

#### 746 The number of membranes enclosing the DNA-containing organelles

Chloroplast, nucleus and mitochondrion were all formed in TDX16 by encapsulating the relevant components with the membranes synthesized by fusion, flatten and extension of the small vesicles (Fig. 6-10). Such that, the envelopes of these DNA-containing organelles inevitably comprise two unit membranes if the vesicles are bounded by one unit membrane, but four unit membranes, such as the nuclear envelop of TDX16-DE (Fig.14) and the chloroplast envelopes of some algae [60-62], if the vesicles are bounded by two unit membranes.

#### 753 Uneven DNA distribution and promiscuous DNA sequences among organelles

754 During de-and re-compartmentalization the total DNAs in TDX16 aggregated (hybridized) and 755 were partitioned into the new intracytoplasmic space (major fraction) and the new inner cytoplasm (minor fraction) (Fig.6-7). The former's matrix was then encapsulated into the nucleus (Fig.8), 756 757 while the latter subsequently gave rise to chloroplast and mitochondrion (Fig.8-12). So, nucleus sequestered most of the cellular DNAs, while chloroplast and mitochondrion contained only a 758 759 handful of DNAs. Accordingly, DNA partition during organelle biogenesis in prokaryotes is the 760 cause for uneven DNA distribution among organelles in eukaryotes, and likely also the cause for 761 promiscuous DNA sequences: chloroplast and mitochondrial sequences in nucleus [63], nuclear 762 and chloroplast sequences in mitochondrion [64-66], and nuclear and mitochondrial sequences in 763 chloroplast[67-71], which are usually interpreted as interorganellar, intracellular or horizontal 764 (DNA/gene) sequence transfers.

#### 765 The common origin of eukaryotic cytoplasmic matrix and nuclear matrix

After formation of the two transitional composite organelles, the eukaryotic cytoplasmic matrix was built up from the matrix extruded by the primitive nucleus (Fig.9), indicating the common origin of eukaryotic cytoplasmic matrix and nuclear matrix (nucleoplasm). Therefore, it is not surprising that (1) most of nucleus-encoded proteins are synthesized in the eukaryotic cytoplasmic 770 matrix, (2) some nucleus-encoded proteins are synthesized locally in the nuclear matrix of 771 TDX16-DE as indicated by the presence of ribosome (Fig.14) and the nuclei of other cells 772 (nuclear translation) [72-77], and (3) during open mitosis, (A) nucleus but not mitochondrion or 773 chloroplast disassembles, (B) nuclear matrix and eukaryotic cytoplasmic matrix coalesce into one 774 compartment (the reverse process of their formation), and (C) daughter nuclei form by de novo 775 assembly of nuclear envelop (in this regard, daughter nuclei form de novo). Accordingly, the 776 eukaryotic cytoplasmic matrix and nuclear matrix is in essence a dynamic entity, and thus we 777 define them as the nucleocytoplasmic matrix.

#### 778 Development of mitochondria in the primitive chloroplasts

Mitochondria were assembled in and segregated from the primitive chloroplast in TDX16 (Fig. 9-11), demonstrating that mitochondria and chloroplasts developed from the same intermediate organelle, which is the reason why (1) chloroplast and mitochondrial genomes share similar features [78], and have widespread homologies and some same DNA sequences [79-80], (2) mitochondria contain specific chloroplast proteins [81], and (3) chloroplasts possess respiratory electron transfer chains for chlororespiration [82-83].

785 The presence of mitochondria in chloroplasts [84-89] and detachment of mitochondria or mitochondrion-like bodies from chloroplasts [90-92] have been observed frequently in plant cells. 786 787 However, these phenomena have largely been overlooked and whether the chloroplast-localized mitochondria were developed in or engulfed by the chloroplast remains controversial. In light of 788 789 the assembly of mitochondria in the primitive chloroplast of TDX16, it is likely that the impaired 790 mitochondria entered the chloroplast and dismantled, allowing the mixture of mitochondrial 791 matrix and chloroplast stroma (the reverse process of their formation). Such that the chloroplast 792 became a primitive-chloroplast-like composite organelle, in and from which new mitochondria 793 were assembled and segregated. Thus, assembly of mitochondria in chloroplast is, in principle, 794 similar to development of daughter nuclei in nucleocytoplasmic matrix during open mitosis, which 795 occurs in the plant cells that probably contain only impaired mitochondria under stress conditions.

#### 796 Transition of mitochondria into double-membraned vacuoles

797 Mitochondria in TDX16 turned into double-membraned vacuoles after matrix degradation (Fig.11).

798 Currently, mitochondrion in plant cells is thought to be degraded only by autophagy (mitophagy),

which is selectively enclosed by the phagophore (isolation membrane) into a double-membraned

autophagosome, and then delivered into the single-membraned vacuole for degradation. This way of mitochondrion degradation is, however, unachievable in TDX16 cell, because (1) autophagosome can not be formed owing to the lack of endoplasmic reticulum, which is proposed to be the membrane source for phagophore synthesis in plant cells[93], and (2) there is no vacuole, which is also developed from scratch.

805 The cellular mechanism of vacuole biogenesis in plant cell is unclear, while the vacuolar membrane is presumably sourced from Golgi apparatus[94] or endoplasmic reticulum[10], both of 806 807 which are devoid in TDX16 and TDX16-DE. So, mitochondrion-to-vacuole transition in TDX16 808 is an alternative way of mitochondrion degradation and vacuole formation, while the hydrolytic 809 enzymes were probably stored in and released from mitochondria' internal bodies (Fig.10-11). 810 Since double-membraned vacuoles also present in plant cells [95-96], it is certain that transition of 811 mitochondria or other organelles (e.g., autophagosomes) into double-membraned vacuoles occur 812 in plant cells under specific conditions.

Different from the single-membraned vacuoles in plant cells, the double-membraned vacuoles in TDX16-DE serve both as a degradative and as a secretory compartment, similar in function to the secretory lysosomes in animal cells [6-7]. Since mitochondria-produced vesicles contribute to the generation of peroxisomes [5], it is possible that the mitochondria-derived double-membraned vacuoles in TDX16-DE also play the roles of peroxisomes.

#### 818 Formation of different thylakoids

Thylakoids are photosynthetic apparatus and vital for cell maintenance and organelle development. So, concomitant with compartmentalization, de-and re-compartmentalization were the assembly/disassembly of primary and secondary thylakoids in the transitional compartments and finally development of primitive eukaryotic thylakoids in the primitive chloroplast (Fig.4-8).

(1) The primary thylakoids developed from the electron-transparent vesicles formed by "germination" of osmiophilic granules (Fig.4-5). Thus, (A) osmiophilic granules are the precursors of thylakoids in cyanobacteria, which is why there is no osmiophilic granule in the thylakoid-less cyanobacterium *G. violaceus* [30]; (B) osmiophilic granules consist of two rather than one half unit membrane; and (C) the intralamellar vesicles of cyanobacteria [97-99] are premature thylakoids developed from osmiophilic granules.

829 (2) The secondary thylakoids developed from the primary thylakoid-derived vesicles (Fig.7), in a

manner similar to re-development of thylakoids from the former thylakoid-derived vesicles or
segments in the stress-experienced cyanobacterial cells and germinated akinetes [17,100].
Nevertheless, the absence of phycobilisomes in secondary thylakoids suggested that the primary
thylakoid-derived vesicles were modified in composition.

(3) The primitive eukaryotic thylakoids developed from the plastoglobuli produced during disassembly of secondary thylakoids in the same way as the development of primary thylakoids from the osmiophilic granules (Fig.8). Therefore (A) plastoglobuli are identical to osmiophilic granules in structure but different in composition, and (B) plastoglobuli are the precursors of chloroplast thylakoids, which is why their number increases during thylakoid breakdown but decreases during thylakoid development [25, 101].

#### 840 The double-membraned cytoplasmic envelope of TDX16-DE

TDX16-DE's double-membraned cytoplasmic envelope was formed in TDX16 by combination of the outer intracytoplasmic membrane with the cytoplasmic membrane after degradation of the outer cytoplasm (Fig. 4), the two membranes of which were closely appressed in most cases and thus difficult to distinguish without being aware of the developmental process.

845 As a matter of fact, double membraned cytoplasmic envelopes seem also present in other 846 unicellular green algae, particularly picoalgae (cell size less than 3 µm), which are ignored or 847 thought to be the two layers of a unit membrane. For examples, Nanochlorum eucaryotum, 848 Nannochloris coccoides [102], Chlorella fusca [42] and Chloroparva pannonica [103] apparently 849 have double-membraned cytopalsmic envelops akin to that of TDX16-DE, while Pseudochloris 850 wilhelmii[104], Nannochloropsis oceanica[105], and Chlorella sp[106-108] seem also to be the 851 case. Picoalgae are very simple in structure, containing a minimal set of organelles. For examples, 852 the picoalgae [109-117] all lack endoplasmic reticulum, most of which also lack Golgi apparatus 853 [109-111, 114-115], and few of which lack endoplasmic reticulum, Golgi apparatus and 854 peroxisome [116-117] and even vacuole [117]. These results suggest that endoplasmic reticulum, 855 Golgi apparatus and peroxisome are not essential and their functions can be performed in other 856 compartments, similar to performance of mitochondrial function in cytoplasmic matrix of the 857 mitochondrion-less eukaryote Monocercomonoides sp[118].

TDX16-DE is very small (2.9-3.6 μm) and bears strong resemblances to picoalgae in cell morphology, structure, organelle number and arrangement, though 16S rRNA sequence of its chloroplast shows high similarity to those of the chloroplasts of *A. protothecoides* and *C. vulgaris*. In TDX16-DE cells, the attachment of ribosomes to and assembly of lipid droplets on the inner membrane of cytoplasmic envelop (Fig.10-14) indicate that the cytoplasmic envelop serves the functions of endoplasmic reticulum for synthesizing proteins and lipids. And the proteins synthesized on the inner membrane can be used locally for remodeling the cytoplasmic envelop without sorting and secreted into the extracytoplasmic space directly with no need for vesicular transport, and thus the cytoplasmic envelop serves the functions of Golgi apparatus as well.

#### 867 The outer membrane in the eukaryotic cell wall of TDX16-DE

868 The eukaryotic cell wall of TDX16-DE (Fig.8-14) was developed on the base of TDX16's prokaryotic cell wall (Fig. 4) by forming sheath over the outer membrane and modifying the 869 870 peptidoglycan layer into the electron-dense layer (Fig.4D-8A), and so, the outer membrane 871 remains in the eukaryotic cell wall of TDX16-DE. Since the sheath scaled off and re-formed 872 continuously until formation of the stratified sheath, the outer membrane where enzymes anchored 873 was remodeled to accommodate the above changes, which along with the electron-dense layer and 874 the sandwiched electron-transparent space constituted a trilaminar domain resembling the 875 trilaminar sheaths [41-45, 106] in algal and plant cell walls. The trilaminar domain and the 876 trilaminar sheaths are equivalent structures, because like cyanobacterial cell walls, algal and plant 877 cell walls also contain lipids [43,119-122], enzymes[43-44, 123-126] and carotenoids [127-128]. 878 Accordingly, the out layers of trilaminar sheaths in algal and plant cell walls are membranes, 879 which serve as platforms for anchoring enzymes to assemble the primary and secondary cell walls 880 (corresponding to the sheath and the extended part of electron-dense layer in the eukaryotic cell 881 wall of TDX-DE, respectively) and barriers for constraining lateral diffusion of cytoplasmic 882 membrane proteins [129]; while the intermediate layers are aqueous spaces and the inner layers 883 are porous mechanical structures.

#### 884 The extracytoplasmic space is a membrane-surrounded compartment

TDX16 had an extracytoplasmic space between the cytoplasmic membrane and the outer membrane (Fig. 4A), equivalent to the periplasmic space of other prokaryotic cells [27, 130]. The extracytoplasmic space remains in TDX16-DE (Fig.14), which is surrounded by the cytoplasmic envelope and the outer membrane within the eukaryotic cell wall, and thus a genuine membrane-surrounded compartment. During biogenesis of the primitive nucleus the liquid of new intracytoplasmic matrix was squeezed out into the extracytoplasmic space (Fig.8), and later the vacuoles expelled their contents into and internalized substances from it (Fig.13). So, the extracytoplasmic space plays indispensable roles and metabolically links to the eukaryotic cell wall, cytoplasmic envelope, eukaryotic cytoplasmic matrix, nucleus and vacuoles.

Likewise, the extracytoplasmic spaces (interspaces) between the cytoplasmic membrane and the trilaminar-sheath-containing cell wall in algal cells[41-42, 44] are also membrane-surrounded compartments, rather than the extracellular spaces (apoplasts) [131] as usually considered.

#### 897 Generation of different small bodies from the primitive chloroplast

The oversized primitive chloroplast in TDX16 was the source of energy and materials for organelle development and cell maintenance, from which different small bodies generated during it dwindled into a large chloroplast.

901 (1) the vesicle-containing body was firstly developed from the primitive chloroplast and engulfed 902 by the primitive nucleus (Fig.9A), which delivered materials for primitive nucleus maturation and 903 formation of eukaryotic cytoplasmic matrix. The absence of visible enclosing-membrane and 904 rectangular-shape of the vesicle-containing body suggested that it was formed most likely by 905 incision, but not by budding or protrusion.

906 (2) the chloroplast debris with fragmented primitive thylakoids (Fig. 11B, 12E and 13D) was 907 irregular in shape and devoid of enclosing-membrane, and thus seemed to be chipped off the 908 primitive chloroplast during assembly of mitochondria and degraded, at least in part, in the 909 eukaryotic cytoplasmic matrix, which originated from the primitive nucleus that was capable of 910 degrading the vesicle-containing body.

(3) the compound vesicles detached from the primitive chloroplast in a way similar to that of
mitochondria and transferred principally the segmented primitive eukaryotic thylakoids into
vacuoles for degradation and formation of multilamellar bodies (Fig. 12).

These small bodies played roles in primitive chloroplast maturation, the mechanisms by which they formed apparently differed from those for developing the small bodies during the piecemeal-degradation of chloroplast, including Rubisco-containing body [132], ATI1-PS body [133] and SSGL body [134], as well as senescence-associated vacuole [135] and CV-containing vesicle [136]. Hence, generating different small bodies through different mechanisms is a strategy for both chloroplast development and chloroplast degradation.

## 920 Implications of organelle biogenesis in TDX16

921 This study unveils the biogenesis of organelles in cyanobacterium TDX16. Likewise, it is 922 reasonable that organelles can also form in bacteria in the similar situations. In light of organelle 923 biogenesis in TDX16, we postulate that organelles (no chloroplast) form in the 924 eukaryotic-DNA-acquired bacteria by enclosing the cytoplasm into a primitive nucleus, from 925 which mitochondria and eukaryotic cytoplasmic matrix develop. This postulation is supported by 926 the facts that in heterotrophic eukaryotes (1) mitochondria present in nuclei [137-142], (2) nuclear 927 genomes contain mitochondrial DNA copies [143-145], and (3) most mitochondrial proteins are 928 descendants of nuclear genes with no bacterial antecedents [146-148]. Therefore, organelle 929 biogenesis in TDX16 has broad implications on biology, particularly cancer biology and 930 evolutionary biology.

#### 931 Implications on cancer biology

932 The origin of cancer cells is the most fundamental yet unresolved problem in cancer biology. 933 Cancer cells are thought to be transformed from the normal cells, however, recent studies reveal 934 that the small nascent primary cancer cells (PCCs) for cancer initiation [149-153] and secondary 935 cancer cells (SCCs) for cancer progression [151, 154-162] are formed in and but not transformed 936 from the senescent normal cells and cancer cells, respectively. These small nascent PCCs/SCCs 937 are very small, undifferentiated [154, 161, 163-164] and absent of organelles, which mature into 938 eukaryotic PCCs/FCCs after being released from the ruptured senescent normal/cancer cells. 939 Nevertheless, the cellular mechanisms of how the small nascent PCCs/SCCs formed, and how 940 organelles developed in PCCs/SCCs are unclear. In the light of TDX16-to-TDX16-DE transition, 941 it is most likely that PCCs/SCCs arise from bacteria [165]: the intracellular bacteria take up the 942 senescent normal/cancer cells' DNAs and become small nascent organelle-less PCCs/SCCs, which 943 develop into eukaryotic PCCs/SCCs by hybridizing the acquired DNAs with their own ones and 944 expressing the hybrid genomes to guide organelle biogenesis.

945 Implications on evolutionary biology

946 The origin and diversification of organelles are two different problems in evolutionary biology.
947 The origin of organelles is coupled to origin of eukaryotes concerning how the ancestral organelles
948 formed in the first and last eukaryotic common ancestors. This problem is difficult to make clear

949 owing to the lack of direct evidence. Now, the endosymbiotic hypothesis [166-167] is widely 950 accepted that an endosymbiotic eubacterium and an endosymbiotic cyanobacterium within an 951 eukaryotic cell turned into the ancestral mitochondrion and chloroplast respectively, while an 952 endosymbiotic archaebacterium within an eubacterium transformed into the ancestral nucleus 953 [168-170]. By contrast, the diversification of organelles is coupled to formation of new 954 single-celled eukaryotes (the progenitors of multicellular eukaryotes) regarding how the new 955 organelles form in the new single-celled eukaryotes after the formation of the first and last 956 eukaryotic common ancestors. This problem has received little attention and remains unclear.

957 Organelle biogenesis in TDX16 (1) uncovers a way of organelle diversification and new 958 single-celled eukaryote formation: the endosymbiotic prokaryote acquires and hybridizes its 959 eukaryotic host's DNA with its own one and then develops into a new single-celled eukaryote by 960 de novo biogenesis of new organelles; (2) provides clues for inferring the origin of organelles and 961 modifying the endosymbiotic hypothesis. In light of organelle biogenesis in TDX16, it is more 962 likely that the ancestral nucleus, mitochondrion and chloroplast were de novo formed in but not 963 transformed from the endosymbiotic prokaryotes that had acquired their senescent/necrotic hosts' 964 DNAs. Such that, the modified endosymbiotic theory unifies the endosymbiotic theory and 965 autogenous theory, and accounts for both the origin and the diversification of organelles.

## 966 Methods

#### 967 Strain and cultivation

Pure TDX16 cells were collected from the *H. pluvialis* cultures, in which all *H. pluvialis* cells burst and released TDX16 cells[11], and maintained in BG-11 liquid medium [171] at 25°C, 12  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> in the illumination incubator.

Axenic TDX16 was prepared according to the method [172] with some modifications. Briefly, pure TDX16 culture was treated with antibiotics nystatin (100  $\mu$ g/ml) and cycloheximide (150  $\mu$ g/ml) for 18h, then the culture was diluted 10 fold with sterile distilled water and plated onto BG-11 solid medium in petri dishes supplemented (w/v)with glucose (0.5 %), peptone (0.3 %) and yeast extracts (0.2 %). The petri dishes were sealed with Parafilm and incubated in an inverted position in the illumination incubator at 25 °C, 12 µmol photons m<sup>-2</sup> s<sup>-1</sup> for one month. The colonies (Fig. S3) were picked and transferred into autoclaved 250-ml flasks containing 100 ml

- 978 BG-11 medium and cultivated under the same conditions as described above.
- 979 The obtained axenic TDX16 cultures were used in this experiment. For TDX16 transition, 10 ml
- 980 axenic TDX16 culture was inoculated into each autoclaved 250-ml flask containing 100 ml BG-11
- 981 medium and illuminated with continuous light of 60  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> at 25 °C.

## 982 Microscopy observations

#### 983 Light microscopy

- 984 TDX16 cells in cultures were examined each day with a light microscope BK6000 (Optec, China).
- 985 Photomicrographs were taken under the oil immersion objective lens  $(100 \times)$  using a DV E3
- 986 630 camera. Cell sizes were measured with a micrometer eyepiece.

#### 987 Transmission electron microscopy

- TDX16 cells were harvested each day by centrifugation (3000 rpm, 10 min) and fixed overnight in
- 989 2.5% glutaraldehyde (50 mM phosphate buffer, pH7.2) and 1% osmium tetroxide (the same buffer)
- 990 for 2 h at room temperature. After dehydration with ascending concentrations of ethanol, the fixed
- 991 cells were embedded in Spurr's resin at  $60^{\circ}$ C for 24 h. Ultrathin sections (60 to 80 nm) were cut
- with a diamond knife, mounted on a copper grid, double-stained with 3% uranyl acetate and 0.1%
- lead citrate, and examined using a JEM1010 electron microscope (JEOL, Japan).

## 994 **Pigment analyses**

#### 995 In vivo absorption spectra

- 996 Cell suspensions were scanned with Ultraviolet-Visible Spectrophotometer Cary 300 (Agilent,
- USA), the spectra were normalized to give an equal absorbance of Chl a at 440 nm.

#### 998 Fluorescence emission spectra

- 999 Water soluble pigments were extracted with 0.75M K-phosphate buffer (pH= 6.8). Lipid soluble
- 1000 pigments were extracted with pure acetone and diluted 50-fold into ethanol. Both extracts were
- analyzed on Fluorescence Spectrophotometer F-4500 (Hitachi, Japan) at room temperature with
- 1002 excitations of 580 nm and 478 nm respectively.

### 1003 **Pigment separation and identification**

1004 Chl b and lutein was separated by thin-layer chromatography according to the method described

- 1005 by Lichtenthaler [55]. phycocyanin was extracted and purified following the procedures described
- 1006 by Adams [173]. All pigments were analyzed with Ultraviolet-Visible Spectrophotometer Cary

1007 300 (Agilent, USA), and identified by spectroscopic matching with the published data.

#### 1008 **16S rRNA sequence**

DNA samples were prepared according to the method described previously [174]. 16S rRNAs were amplified using the primers 8-27f (AGAGTTTGATCCTGGCTCAG) and 1504-1486r (CTTGTTACGACTTCACCCC) [175]. Fragments were cloned into the pMD18-T vector and amplified using M13 forward and reverse universal primers. The PCR products were digested with restriction enzymes BamH1/SalI, and sequenced on ABI 3730 DNA analyzer (PerkinElmer Biosystems, USA).

## 1015 Genome sequence of TDX16

1016 TDX16 cells were harvested by centrifugation at 3000 rpm for 10 min, and washed twice with 5M 1017 NaCl solution and sterile water alternately. The pelleted cells were frozen in liquid nitrogen and 1018 then grinded with sterile glass beads (0.5 mm diameter). The slurry was transferred into 5ml 1019 centrifuge tube with TE buffer (1mM EDTA, 10 mM Tris-HCl, pH=8.0), supplemented with 1.0 1020 ml lysozyme solution (20 mg/ml) and incubated at 37 °C for 60 min, then added CTAB 1021 (Cetyltrimethyl ammonium bromide) solution (10% CTAB, 0.7 M NaCl) and heated to  $65^{\circ}$ C for 1022 30 min in a water bath. After centrifugation (12000 rpm, 10min), the supernatant was extracted 1023 with one volume of phenol-chloroform-isoamyl alcohol (25:24:1, V/V), and DNA was precipitated 1024 overnight at -20 °C after the addition of 2/3 volume of cold isopropanol and 1/10 volume of 3M 1025 sodium acetate, dried and resuspended in TE buffer. The extracted DNA was first subjected to 1026 quality assay and then sheared ultrasonically into fragments, with their overhangs being converted 1027 into blunt ends applying T4 DNA polymerase, Klenow Fragment and T4 Polynucleotide Kinase. 1028 Subsequently, adapters were ligated to the 3' ends of DNA fragments that were introduced with 'A' 1029 bases. The obtained fragments were purified via gel-electrophoresis and PCR-amplified for 1030 preparing the sequencing library of DNA clusters. Paired-end sequencing was carried out on an Illumina HiSeq 4000 platform, yeilding1.132 Mb raw data. After removal of the low quality reads, 1031 1032 1.009 Mb clean data was assembled with SOAPdenovo.

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## 1475 Acknowledgements

- 1476 This work was supported by the Natural Science Foundation of Hebei Province (B2008000029).
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# 1481 List of abbreviations

AUG	Autosporangium	EW	Eukaryotic cell wall
С	Chloroplast	FM	Fibrillar materials
CD	Chloroplast debris	GA	Golgi apparatus
CE	Cytoplasmic envelope	GP	Globular particles
CF	Chromatin fibers	HGB	Heterogenous globular bodies
CG	Cyanophycin granules	IB	Intranuclear body
CHE	Chloroplast envelope	ICE	Intracytoplasmic envelope
CLM	Cloudlike materials	ICP	Inner cytoplasm
СМ	Cytoplasmic membrane	IES	Inter envelope space
CPV	Compound vesicles	IIM	Inner intracytoplasmic membrane
CR	Cristae	IIS	Inner intracytoplasmic space
CV	Combined vesicles	INS	Interspace
CW	Cell wall	IS	Intracytoplasmic space
CX	Carboxysomes	ITB	Internal body
DF	DNA Fibers	IV	Internal vesicle
DGV	Dense-margined vesicles	IVS	Invaginated space
DLF	DNA-like fibrils	LD	Lipid droplet
DMF	Double-layered membrane fragment	LDB	Less electron-dense bodies
DMS	Double-layered membrane segment	LDM	Less electron-dense materials
DMV	Double-membraned vesicles	LM	Limiting membrane
DRV	Dilated ring-shaped vesicles	М	Mitochondrion
DSV	Dense vesicle	ME	Mitochondrial envelope
DT	DNA threads	MF	Membrane fragments
DV	Dotted vesicles	ML	Microfibrils
ED	Electron-dense debris	MLB	Multilamellar body
EDV	Electron-dense vesicles	MR	Margin residues
EF	Electron-dense fibrils	MS	Membrane segments
EG	Electron-dense granules	MT	Membranous elements
EIS	Empty Inner space	MV	Microvesicles
EL	Electron-dense layer	Ν	Nucleus
ELM	Electron-translucent materials	NE	Nuclear envelope
ELV	Electron-translucent vesicles	NIC	New inner cytoplasm
EM	Eukaryotic cytoplasmic matrix	NIS	New inner intracytoplasmic space
EOB	Electron-opaque bodies	NS	New intracytoplasmic space
EOP	Electron-opaque particles	NT	Nucleoid-like structure
EOM	Electron-opaque materials	NU	Nucleoid
EOV	Electron-opaque vesicles	NX	New intracytoplasmic matrix
EP	Electron-dense particles	OCP	Outer cytoplasm
EPM	Electron-transparent materials	OE	Outer nuclear envelope
ER	Endoplasmic reticulum	OG	Osmiophilic granules
ES		0.0.4	
	Extracytoplasmic space	OIM	Outer intracytoplasmic membrane

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OPV	Opaque-periphery vesicle	RV	Ring-shaped vesicles
OM	Outer membrane	SA	Sporangium
OV	Oblong vesicles	SG	Starch granules
Р	Peptidoglycan layer	SH	Sheath
PB	Polyphosphate bodies	SMV	Smaller vesicles
PC	Primitive chloroplast	SM	Stroma
PCB	Phycobilisomes	SOV	Small opaque vesicle
PD	Pyrenoids	SP	Starch plate
PG	Plastoglobuli	ST	Secondary thylakoids
PL	Peptidoglycan-like layer	SV	Small vesicles
PMT	Primitive thylakoids	Т	Thylakoids
PN	Primitive nucleus	TL	Thylakoid-like structure
PNE	Primitive nuclear envelope	TMF	Two-layered membrane fragment
РО	Pores	TMV	Thick margin vesicle
РТ	Primary thylakoids	TV	Tiny vesicles
RB	Ribosomes	V	Vacuole
RM	Residual membranes	VB	Vesicle-containing body