Can we meet global challenges for elimination of Hepatitis B Virus infection by 2030? Vaccine-mediated immunity in a South African cohort and a model of transmission and prevention

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RUNNING HEAD: HBV vaccine responses and model of timescale to elimination

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ABBREVIATIONS

- 3TC - Lamivudine
- Anti-HBc – antibody to hepatitis B core antigen (antibody mediated by exposure to infection)
- Anti-HBe – antibody to hepatitis B envelope antigen
- Anti-HBs – antibody to hepatitis B surface antigen (vaccine-mediated antibody)
- ART – anti-retroviral therapy
- COSAC – coinfection in South African children
- EPI – Expanded Programme on Immunisation
- FTC - Entecavir
- HBV – hepatitis B virus
- HBcAg – Hepatitis B core antigen
- HBeAg – Hepatitis B envelope antigen
- HBsAg – Hepatitis B surface antigen
- HIV – human immunodeficiency virus (type 1)
- KReC – Kimberley Respiratory Cohort
- PMTCT – prevention of mother to child transmission
- RTHB – Road to Health Book
- TDF – Tenofovir
- UN – United Nations
- WHO – World Health Organisation

ABSTRACT

Background: Sustainable Development Goals and the World Health Organisation (WHO) Global health sector strategy on viral hepatitis have set a challenge for the elimination of hepatitis B virus (HBV) infection as a public health concern by the year 2030. Based on current estimates of 250 million individuals with chronic infection, an intensive scale-up of interventions will be required. We set out to characterise the epidemiology of HBV infection and the prevalence of vaccine-mediated protection in a cohort of South African children, and used these data, alongside parameters from the published literature, to inform a model of HBV transmission and prevention. This has allowed us to develop evidence-based insights into the extent to which scaling up vaccination and prevention of mother-to-child transmission (PMTCT) might ultimately contribute to HBV elimination, and to assess the extent to which the targets for 2030 are realistic.

Methods and findings: We studied a cohort of 310 children (136 HIV-positive; 174 HIV-negative) aged 6-60 months in Kimberley, South Africa. Less than 1% of children in this setting were HBV infected (HBsAg positive). A vaccine-mediated antibody (anti-HBs) titre ≥10 mIU/ml was present in 238/310 children (77%). Anti-HBs titres were higher in HIV-negative children and in younger children (p<0.0001 in each case). Using these data, together with estimates of HBV transmission and epidemiology derived from the wider literature, we developed a model of HBV infection dynamics. We used this model to investigate the influence of prevention strategies, focusing on vaccination and PMTCT. Current vaccination efforts can be predicted to reduce population prevalence by ~20% in the first 25 years, but can bring the prevalence of HBV e-antigen (HBeAg)-positive chronic carriers down by ~40% in the same time period. We show likely additional benefit in providing catch-up vaccination in the short-term, but little long-term difference. Combining neonatal vaccination with robust PMTCT is the most effective population-level strategy to secure short-term impact, but coverage of both interventions needs to be high. Thus with strategies and resources already available, significant, positive public health impact is possible, although time to HBV elimination is likely to be substantially longer than that proposed by current goals.

Conclusions: At the level of an individual cohort, these data reflect the substantial overall success of HBV immunisation, with <1% of children now becoming infected with HBV in an endemic setting. However, we go on to demonstrate that to move towards the target of elimination, major improvements in vaccination deployment and coverage are required, and enhanced efforts in PMTCT. Realistic targets, rather than focusing on a complete elimination of the public health threat posed by HBV by the year 2030, may be better aiming for a substantial reduction in prevalence, which will come about through vaccination, PMTCT, and reduction of HBeAg-positive carriage. The magnitude of the elimination challenge, and the long time periods of sustained investment that will be required, underline the crucial importance of parallel investment into diagnostics, advocacy, policy, education and ongoing research into HBV cure strategies.

INTRODUCTION

The World Health Organisation (WHO) and United Nations (UN) Sustainable Development Goals have set out targets for the elimination of viral hepatitis as a major public health threat by 2030 (1,2). The magnitude of this challenge becomes apparent on reviewing the burden of mortality and morbidity attributed to these infections: overall, hepatitis viruses are responsible for an estimated 1.4 million deaths annually. Of these, 47% are directly attributable to hepatitis B virus (HBV) (1), which is currently estimated to be responsible for chronic infection in 240-260 million individuals worldwide (3). The highest prevalence of HBV infection, frequently >8%, is reported in Africa and South East Asia (4), but African populations are under-represented in the current literature (5).

In South Africa, HBV is endemic: the estimated prevalence among adults is 6-11% (4,6,7), with a disproportionately higher prevalence occurring in rural regions (8,9). Conventional parenteral transmission routes are well recognised, but a substantial proportion of infections in South Africa have traditionally been attributed to horizontal transmission within households during early childhood (10). In a recent study in Kimberley, we demonstrated cases of HBV infection among children with HIV (11), despite the inclusion of the HBV vaccination in routine childhood immunisation programmes in South Africa since 1995 (10).

This vaccine, a safe and affordable recombinant surface antigen protein, has been available for over two decades (12,13), and is highly efficacious, generating immunity to HBV in >90% of immunocompetent individuals after a primary course of three doses (12,14,15). Since the mid-1990s, the WHO has encouraged universal coverage of the HBV vaccine through its Expanded Programme on Immunisation (EPI) (16) and the majority of countries now offer three doses of HBV vaccination in infancy. Antenatal screening for HBV, to reduce the risk of vertical transmission, is also advocated by the WHO (17). High maternal HBV viral loads and HBV envelope antigen (HBeAg) positivity are risk factors for transmission, and the administration of HBV immune globulin ('HBIg') immediately after birth, together with vaccination, is recommended for this group, and has been demonstrated to reduce the risk of vertical transmission to ≤3% (18). Despite the availability of interventions for prevention of mother to child transmission (PMTCT), in many regions of the world antenatal screening for HBV is not routinely offered (19,20).

EPI guidelines recommend universal administration of the HBV vaccine, with advice being to provide the primary dose in the first day of life (3,21). However, there are several challenges to the success of this strategy. In South Africa, the first dose is conventionally delayed until age 6 weeks with subsequent doses at 10 and 14 weeks, leaving a window during which

vertical transmission can occur peripartum or in the early weeks of life (22). Coverage of the
third vaccine dose is difficult to ascertain with confidence; estimates for coverage in the first
year of life range from 56-97% (10). South Africa's high HIV prevalence (estimated 12.7%
(23)) poses a further challenge to the success of national HBV initiatives, as being HIV
positive can increase the risk of peri-partum transmission of HBV, and the HBV vaccine has
been demonstrated to have reduced efficacy in HIV positive individuals (24–27).

Vaccine modelling studies, which include 'number needed to vaccinate' (NNV) approaches,
can be used to inform and advise on intervention strategies and to develop cost-benefit
analyses for vaccination programmes (28). Such models are based on parameters that
include vaccine efficacy, and the incidence, prevalence and transmission rates of a given
infection (29). A recent modelling study has considered the contribution of vaccination
towards the global elimination of HBV (30), but the broad approach and inclusion of
vaccination as one of many potential interventions makes it difficult to single out the role and
influence of immunisation. Although the HBV vaccine is one of the most robust and widely
used immunisations, to the best of our knowledge there is no specific HBV vaccine model in
the published literature.

In order to investigate HBV vaccine coverage and vaccine-mediated immunity in South
Africa, we set out to investigate HBV sero-epidemiology. We then used insights gained from
these data gathered in one specific site, together with a detailed panel of other parameters
that are reported in the existing literature, to develop a model that illustrates HBV dynamics
and transmission. The model allows us to quantify the individual impact of different
interventions in a way that would never be possible in a clinical study. This underpins a
better understanding of specific ways in which prevention strategies can be developed and
improved, informs wise deployment of limited resources, and provides insights that inform
our approach to the challenges of measuring progress. In summary, this work first provides a
detailed picture of the extent to which existing prevention measures are already having an
impact (clinical cohort study), and then uses this as a foundation to quantify (through a
mathematical model) how enhancements in vaccination and PMTCT strategies could
improve our chances of meeting targets for elimination over time.

MATERIALS AND METHODS

Ethics Approval

Ethics approval for the study was obtained from the Ethics Committee of the Faculty of
Health Science, University of the Free State, Bloemfontein, South Africa (HIV Study Ref:

ETOVS Nr 08/09 and COSAC Study Ref: ECUFS NR 80/2014). Written consent for
enrolment into the study was obtained from the child's parent or guardian.
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Study cohorts
Children were recruited as part of the Co-infection in South-African Children (COSAC) study,
in Kimberley, South Africa, as previously described (11,31). We set the lower age limit of
recruitment as 6 months in order to limit the detection of maternal anti-HBs in younger
infants, and the upper limit at 60 months in order to optimise the capture of hand-held
vaccine records (Road to Health Book, RTHB) carried by children aged under 5. These
children were recruited from two sources:

i.   HIV-negative participants (n=174), recruited through the Kimberley Respiratory
Cohort (KReC) as previously described (31). These children were admitted to
hospital with a clinical diagnosis of respiratory tract infection between July 2014 and
August 2016. The majority of KReC children were routinely HIV-tested as a
component of their clinical assessment, and were confirmed HIV-negative in 163
cases (93.7%). A further 11 children did not have an HIV test result recorded, but
were assumed to be HIV-negative for the analysis based on the clinical data that
were available at the time of admission to hospital.

ii. HIV-positive children (n=136) recruited primarily from HIV outpatient clinics,
(recruited between September 2009 and July 2016). This includes five children who
were recruited into the KReC study but subsequently tested HIV-positive. For HIV-
positive children, we recorded date of commencement of ART (anti-retroviral
therapy), CD4+ T cell count, CD4+ T cell percentage, and HIV RNA viral load, when
these data were available. We recorded these information using the time point
closest to the sample that was analysed for HBV serology.
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In order to study the influence of age on vaccine-mediated responses, we also collected data
from a third group of older HIV-positive children (age >60 months, range 64-193 months,
n=92) as previously described (11,31). Where possible, we recorded the number of HBV
vaccine doses received based on the RTHB. At the time of undertaking this study, children
were immunised with three doses of a monovalent HBV vaccine (Biovac Paed). The
characteristics of the cohorts are summarised in table 1 and all metadata can be found in
Suppl. data 1.
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207   **Table 1: Characteristics of three paediatric study cohorts, comprising 402 children,**
208   **recruited from Kimberley Hospital, South Africa.**

Cohort	HIV negative; KReC (age ≤60 months)	HIV positive (age ≤60 months)	HIV positive (age >60 months)
Number of subjects	174	136	92
Age range in months	8-58	6-60	64-193
Median age in months (IQR)	18 (12-26)	29 (18-40)	137 (122-154)
Sex (% male)	55.4	44.9	45.6

209   KReC = Kimberley Respiratory Cohort. IQR = interquartile range.

Laboratory assessment of HBV status

Testing for Hepatitis B serum markers and DNA was performed as previously described; for
HIV-positive children this is in keeping with recent implementation of HBV screening in
Kimberley (11). Briefly, HBsAg testing was carried out in Kimberley Hospital, South Africa
using the Magnetic parcel chemiluminometric immunoassay (MPCI; Advia Centaur platform).
Confirmatory HBsAg testing was carried out by the UKAS accredited clinical microbiology
laboratory at Oxford University Hospitals (OUH) NHS Foundation Trust, Oxford, UK
(Architect i2000). For all samples, anti-HBs and anti-HBc testing were carried out by the
OUH laboratory (Architect i2000). Limit of detection of the anti-HBs assay was 10 mIU/ml.

Setting a threshold for vaccine mediated immunity

In practice, a threshold for vaccine-mediated immunity is difficult to define, and studies
variably quote anti-HBs titres of ≥10 mIU/ml or ≥100 mIU/ml as a correlate of protection. UK
recommendations for testing HBV immunity often rely on the more stringent criterion of an
anti-HBs titre of ≥100 mIU/ml (32). However, early vaccine studies have highlighted that a
titre of ≥10 mIU/ml is likely to be a clinically relevant threshold for protection; a study of
children in The Gambia showed that children who attained an anti-HBs titre of ≥10 mIU/ml
were most likely to be immune (33), and another study demonstrated increased risk of
infection when antibody titres fell <10 mIU/ml (34). Due to the varying use of different
thresholds, we have presented our results pertaining to both thresholds of ≥10 mIU/ml and
≥100 mIU/ml.

Statistical analysis

Data from the cohort was analysed using GraphPad Prism v.7.0. We determined significant
differences between sub-sets within the cohort using Mann-Whitney U tests for non-
parametric data, Fisher's exact test for categorical variables and correlation between data
points was assessed using Spearman's correlation coefficient. To assess the impact of

multiple variables, we used multivariate logistic regression analysis (35) using the Statistics
add-on tool in Google Sheets (https://www.google.co.uk/sheets/about/).
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Mathematical model of HBV transmission and prevention
A mathematical model was developed using ordinary differential equations (ODE) and is
shown in Fig 1. Parameterization of transmission and prevention was based both on our
South African paediatric cohort and current literature estimates. Mid-year population
estimates from 2016 published by Statistics South Africa (23) were used to underpin
assumptions about life expectancy, fertility rate and infant mortality. The details and sources
of these parameters can be found in Table 2.
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249 **Table 2: List of parameters used to inform a model of HBV transmission**
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Model symbol	Description	Value	Reference
α	demographic transition of children *(Sc)* into older ages *(Sa)*	fitted	$
$\square$	demographic transition of infants *(Si)* into children *(Sc)*	fitted	$
ρ	$1/\rho$ = infectious period of chronic *HBeAg-*	fitted	$
θ	rate of seroconversion from *HBeAg+* to *HBeAg-*	fitted	$
β	transmission rate of chronic *HBeAg-*	fitted	$
β_m	transmission rate of chronic *HBeAg+* and acute infections *(I)*	10β	(24)
A_1	frequency of vertical transmission from *HBeAg+* mothers	0.75	(18)
A_2	frequency of vertical transmission from *HBeAg-* mothers	0.25	(18)
μ	$1/\mu$ = lifespan; μ = mortality rate of general population	59 yrs (2011)	(23)
μ'	$1/\mu'$ = lifespan; μ' = mortality rate of chronic *HBeAg+*;	50 yrs	*
σ	$1/\sigma$ = infectious period of acute infections *(I)*	0.5 yrs	(36)
γ	infant's risk for acute infection	0.95	(37)
ε	children's risk for acute infection	0.40	(37)
ψ	older ages' risk for acute infection	0.15	(37)
v_n	vaccine efficacy in susceptible infants	0.95	(12)
v_c	vaccine efficacy in susceptible children	0.95	v_n
v_a	vaccine efficacy in susceptible adults	0.95	v_n
v_{C+}	vaccine efficacy in newborns of chronic *HBeAg+* mothers	0.83	(30)
v_{C-}	vaccine efficacy in newborns of chronic *HBeAg-* mothers	0.95	(38)
δ_n	proportion vaccinated at point of entry of infants	variable	**
δ_a	proportion vaccinated at point of entry of older ages	variable	**

κ_i	proportion of infants vaccinated in catch-up	variable	**
κ_c	proportion of children vaccinated in catch-up	variable	**
κ_a	proportion of older ages vaccinated in catch-up	variable	**
ζ	reduction in vertical transmission due to intervention (PMTCT)	variable	**

252 ($) Fitted by the Bayesian MCMC approach (see Supplementary Data File 2 for full details).
253 (*) Assumed parameters: sensitivity analysis is presented in supplementary material.
254 (**) Variable parameters: used for dynamic projections of intervention (see Results Section).
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We consider the population grouped into epidemiologically relevant states as follows:

- **Susceptible** (S_i, S_c, S_a): sub-divided into three age groups *i, c,* and *a*, representing
infants (<1 yr of age, designated S_i), *children* (age 1-6 yrs, designated S_c) and *older*
children and adults (>6 years of age, designated S_a), respectively.
- **Carriers** (I, C-, C+): sub-divided into three groups represented as *acute infections* (I),
chronic e-antigen positive (*HBeAg+,* designated C+) and chronic e-antigen negative
(*HBeAg-*, designated C-).
- **Recovered** (R); individuals who have been infected but cleared, rendering them
immune.
- **Vaccinated** (V); individuals who have received a full vaccine schedule and are
assumed to have protective titres of vaccine-mediated anti-HBs.

Depending on age of infection, individuals can either sustain an acute infection (I) or become
chronic carriers (C), as represented by the age-specific parameters γ, ψ, ε for S_i, S_c and S_a
respectively. Chronic carriers were assumed to be initially HBeAg+ (C+), but could convert
to HBeAg- (C-) at rate θ, and eventually clear infection at a rate ρ. Depending on the
infection status of mothers, individuals could be born susceptible (represented by an input of
Z into the S_i class) or be infected at birth (represented by an input of W into the C+ class).
Population size was taken to be constant with equal births (b) and deaths (μ), and with
HBeAg+ individuals (C+) having a shorter lifespan (1/μ') than the rest of the population due
to severe manifestations of infection. Acutely infected individuals (I) were assumed to enter a
recovered state (R) at a rate σ.

Transmission rates of carriers (β, β_m) are linked to viraemia level, with HBeAg+ (C+) and
acute infections (I) sharing similar rates (β_m) and HBeAg- (C-) a lower rate (β). We assumed
that acute (I) and HBeAg+ carriers (C+) had a higher transmission potential than acute and
HBeAg- carriers: since the infectious period of HBeAg positive chronic is orders of

magnitude longer than of acute infections, their contribution to overall transmission both at
the levels of the individual and population is much higher. A Bayesian statistical method is
used to estimate transmission potential based on population HBV prevalence. It is therefore
expected that the obtained distribution for transmission potential indirectly takes into account
that acute infections will not be highly infectious for the entire duration of infection (that is,
the estimated distribution of the transmission potential is expected to approximate a mean of
potential for the entire period of infection).
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Intervention strategies were considered in the model in the form of reductions in vertical
transmission (PMTCT, affecting W and Z), and routine and catch-up vaccination (moving
individuals to class V). Routine vaccination could take place at birth (with a proportion Z'
entering class V) or effectively within the older population (as a proportion V_a of those
leaving S_c entering class V, affecting individuals >6 yrs of age). Catch-up vaccination was
modelled by moving a proportion of susceptible individuals in every age class into the
vaccinated class in a single time event (not shown in diagram, described in detail in
supplementary data file 2).
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The modelling approach was subdivided into three main steps: (i) fitting to demographic and
(ii) transmission backgrounds, followed by the (iii) simulation of single or combined
interventions. In the first two steps we effectively fit unknown model parameters (a, c, β, ρ, θ)
to population-based observations using a Bayesian Markov-Chain Monte-Carlo (MCMC)
approach. After obtaining posteriors for these parameters (i, ii), we set them to the obtained
medians before numerically simulating interventions (iii). Full ODE model details, fitting
approach, MCMC output, as well as other results and sensitivity experiments are presented
in supplementary data file 2.
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RESULTS

Serological evidence of exposure to HBV infection

Evidence of current infection with HBV, determined by the detection of HBsAg, was
observed in three children (0.8% of the cohort; Table 3). None of these three children
attended with a written vaccination record (RTHB). Anti-HBc was detected in 0.8% subjects
(n=3), one of whom was also HBsAg positive. The other two participants were HBsAg
negative, indicating previous exposure to HBV infection and likely viral clearance in these
subjects.
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Table 3: Detailed information and serological profiles of five children from Kimberley, South Africa, with serological evidence of current or previous infection with HBV (based on positive HBsAg (n=3) or anti-HBc (n=2))

Subject	K306	K405	KReC51	KReC151	K093
Cohort	HIV-positive age ≤60 months	HIV positive age ≤60 months	KReC	KReC	HIV positive age >60 months
Sex	F	F	F	M	F
Age (months) at time of sampling	18	37	20	15	118
HIV infection	Positive	Positive	Negative	Negative	Positive
ART[a] (if HIV positive)	Yes	Yes	n/a	n/a	No
Number of doses of HBV vaccine	NK	NK	NK	3	NK
HBsAg result[b]	Detected	Detected	Detected	Not detected	Not detected
Anti-HBc result[c]	Not detected	Not detected	Detected	Detected	Detected
HBeAg result[d]	Not done	Not done	Detected	Not done	Not done
Anti-HBs result[e]	Not detected	Not detected	Not detected	Detected	Not detected
Interpretation	Active infection	Active infection	Active infection	Immunised, infected and cleared	Infected and cleared

[a]ART indicates the participant was receiving anti-retroviral therapy to treat HIV infection; [b]Hepatitis B surface antigen test; [c]Hepatitis B core antibody test; [d]Hepatitis B envelope antigen test; [e]Hepatitis B surface antibody test (vaccine mediated response). KReC = Kimberley Respiratory Cohort. n/a = not applicable.

The prevalence of HBsAg is much higher in adults in comparable study populations; in a previous study, we described HBV epidemiology in a cohort of antenatal women, and mothers attending paediatric clinics with their children in Durban and Kimberley (7). Among these adults, HBsAg prevalence was 9.5% (55/579), and the rate of HBeAg-positivity was 28% (14/50) (7).

Documented evidence of vaccination and serological evidence of immunity to HBV in children aged ≤60 months

We were able to collect written documented evidence of immunisations from the RTHB in 90.8% HIV negative (KReC) subjects but only from 6.3% of HIV positive subjects. This means that in the absence of a detectable anti-HBs titre, we cannot reliably distinguish children who are immunised but fail to mount an antibody response from children who are unimmunised. Among children with an RTHB record, 81.3% of HIV-negative and 100% of HIV-positive subjects were recorded as having received three primary doses of the HBV vaccine as stipulated by the EPI.

Across the whole cohort age 6-60 months, 238/310 children (77%) had an anti-HBs titre ≥10 mIU/ml suggesting some degree of vaccine-mediated immunity. The median anti-HBs titre in HIV-negative participants was significantly higher than among HIV-positive children (196 mIU/ml, vs. 11 mIU/ml, respectively, $p < 0.0001$) (Fig 2A). No detectable anti-HBs titre was detected for 3.4% of HIV-negative individuals, vs. 47.8% of HIV-positive subjects ($p < 0.0001$). Irrespective of the antibody titre used as a threshold for immunity, anti-HBs was higher in HIV-negative compared to HIV-positive children (Fig 2B, C). We found no significant difference in the anti-HBs titres between male and female participants, either with or without HIV infection ($p = 0.49$ and 0.31 respectively).

Waning of vaccine response with age

In order to explore the influence of age on titres of vaccine-mediated immunity, we compared the ages of children who achieved anti-HBs ≥100mIU/ml vs those who did not reach this threshold, considering HIV-positive and HIV-negative groups separately. Among HIV-positive children, those with protective antibody titres were significantly younger than those not reaching this threshold (median age 17 months vs. 31 months, $p = 0.0008$; Fig 3A). No such difference was observed within the HIV-negative group (Fig 3B). Using a threshold of ≥10mIU/ml, no significant differences were observed in the anti-HBs responses of either the HIV-positive or the HIV-negative groups ($p = 0.17$ and 4.48 respectively). To expand our view of the HIV-positive group, we also added analysis of an older cohort (92 children aged >60 months), and demonstrated that anti-HBs titres were significantly lower in this older group ($p < 0.0001$), with only 2/92 subjects (2.2%) achieving a detectable anti-HBs titre of ≥10mIU/ml (Fig 3C).

Anti-HBs titres waned significantly with age up to age 60 months in HIV-positive children (Fig 3D; $p = 0.004$). No correlation was identified in the HIV-negative cohort ($p = 0.07$, $R^2 = 0.02$), although with median anti-HBs titres declined from 336.1 mIU/ml (IQR 55.3-953.6 mIU/ml) in subjects ≤12 months to 197.1 mIU/ml (IQR 67.9-448.5 mIU/ml) in those >36 months (Fig 3E). Although the numbers of children in this cohort are small, and we did not collect

longitudinal data, these results support previous literature reports that HBV vaccine-mediated immunity wanes over time (39,40).

Stratification of vaccine responses by anti-retroviral therapy (ART) among HIV-positive children

For children aged 6-60 months, we recorded whether or not study participants were treated with ART; this information was available for 79% of subjects. Among these, 71% of HIV-positive study participants were known to be receiving anti-retroviral therapy (ART) at the time of testing for anti-HBs. These children had been treated with ART for varying lengths of time (median 20 months; IQR 6-33 months).

We compared anti-HBs titres of subjects being treated with ART compared to those not currently receiving ART and found no significant difference (p=0.72; 76 children on ART, median anti-HBs 13.3 mIU/ml and 31 children not on ART, median anti-HBs 14.1 mIU/ml). There was also no difference between anti-HBs titres of children on ART for ≤12 months compared with those treated for >12 months (p=0.50). We did not examine the effect of ART on anti-HBs titres in children >60 months old due to the low numbers of subjects in this group with a detectable anti-HBs titre (n=2).

Multivariate analysis

In a multivariate analysis, two factors were identified as predictive of a protective anti-HBs titre: age <24 months and HIV-negative status (Table 4).

Table 4: Multivariate analysis to identify factors associated with anti-HBs titre ≥100mIU/ml among HIV-positive and HIV-negative children aged 6-60 months from Kimberley, South Africa. Percentages shown represent the proportion of the entire cohort (n=310) who fall into the listed category. Row totals add up to less than 310 as a result for missing data points for some children.

Characteristic	Anti-HBs titre <100 mIU/ml		Anti-HBs titre ≥100 mIU/ml		P-value
	n=	%	n=	%	
Age <24 months	66	39.0	100	71.1	
≥24 months	103	61.0	41	28.9	0.005
Sex (Male)	84	50.0	71	50.0	
(Female)	84	50.0	70	50.0	0.276
HIV (negative)	56	31.9	118	84.5	

(positive)	113	68.1	23	15.5	< 0.0001

Among HIV-positive children age 6-60 months, CD4+ T-cell counts and HIV viral load were

available for 83% of participants (suppl. data 1). We included age, sex, CD4+ T-cell counts,

CD4+ T-cell proportion and HIV viral load in a multivariate analysis for protective anti-HBs

responses (Table 5). In this model, age <24 months and HIV viral load ≤2.0 $\log_{10}$ were found

to be predictors of an anti-HBs titre of ≥100 mIU/ml. Multivariate analysis using the lower

anti-HBs titre of ≥10mIU/ml identified only HIV-negative status as a significant association.

Table 5: Multivariate analysis for factors associated with anti-HBs titre ≥100mIU/ml in

HIV-positive children age 6-60 months from Kimberley, South Africa. Percentages

shown represent the proportion of the entire cohort (n=136) who fall into the listed category.

Row totals add up to less than 136 as a result for missing data points for some children.

Characteristic	Proportion of group with anti-HBs titre <100 mIU/ml		Proportion of group with anti-HBs titre ≥100 mIU/ml		P-value
	n=	%	n=	%	
Age (<24 months)	28	24.8	16	69.6	
(≥24 months)	85	75.2	7	30.4	0.048
Sex (Male)	52	47.7	6	30.0	
(Female)	57	52.3	14	70.0	0.432
Treatment (on ART)	63	65.6	15	75.0	
(not on ART)	33	34.4	5	25.0	0.568
CD4+ count (<1000)	36	39.1	5	33.3	
(≥1000)	56	60.9	10	66.7	0.954
CD4+ (<30%)	55	60.4	6	42.9	
(≥30%)	36	39.6	8	57.1	0.462
Viral load (<2.0$\log_{10}$)	20	21.5	6	40.0	
(≥2.0$\log_{10}$)	73	78.5	9	60.0	0.045

Odds of developing an anti-HBs response

An odds ratio (OR) analysis (Fig 4) indicated that being HIV-positive was associated with reduced odds of developing protective anti-HBs titres, based on titres of both ≥10 mIU/ml (OR 26.2, 95% CI 11.2-58.6) and ≥100 mIU/ml (OR 11.6, 95% CI 6.7-20.4). Younger age (<24 months) increased the odds of having an anti-HBs titre of ≥10 mIU/ml (OR 0.3, 95% CI 0.2-0.5) or ≥100 mIU/ml (OR 0.3, 95% CI 0.2-0.4). Among the HIV-positive subjects only, age <24 months only elevated the odds for developing an anti-HBs response of ≥100 mIU/ml (OR 0.1, 95% CI 0.06-0.4) (Fig 4B). Other characteristics analysed including gender, ART, CD4+ count, CD4+ ratio and HIV viral load were not found to be significantly predictive of anti-HBs titres at either threshold.

Modelling effects of interventions on HBV prevalence

We first fitted our transmission model (Fig 1) to demographic expectations for the age classes in the absence of transmission, using the expected population proportions published for South Africa as baseline (Fig S2, parameters detailed in Tables 2 and S2). With the posterior medians for the age parameters, we then fitted known HBV prevalence data derived from this cohort and from the published literature (Figure 1B/C, parameters detailed in Tables 2 and S3). Finally, using both the posterior medians for age and transmission parameters we simulated a multitude of scenarios involving vaccination and PMTCT interventions deployed first singly (Fig 1 D/E), and then in combination (Fig 5), quantifying 'impact' as reduction in total HBV prevalence (sum of acute and chronic carriers) in the post-vaccination era, and estimating time for prevalence to reach levels for which stochastic extinction would be highly likely.

ODE model solutions (Fig 1B) closely captured the pre-vaccination, target proportions of carriers (sum of I, C-, C+) recovered (HBcAb, R) and relative chronic prevalences of HBeAg+ (C+) and HBeAg− (C−) carriers, highlighting how the transmission model can mechanistically represent local HBV epidemiology in the absence of vaccination. The MCMC chains (Fig S3) and posteriors (Fig 1C) of fitted (unknown) parameters were also seen to have robust behaviours. Our Bayesian estimations propose that the rate of seroconversion (θ) from HBeAg+ to HBeAg- is extremely slow, with a median period of ≈ 18.5 yrs (95% CI ∈ [14, 22.2]), approximately 31% of the general population's lifespan and 37% of HBeAg+ lifespan (Table 2). Spontaneous clearance of chronic infection (ρ) was estimated to be even slower, close to 0.286% a year (95% CI ∈ [0.0349, 0.898]), capturing the yearly rate of 0.73% previously found in a Brazilian cohort (41). Hence, the model effectively suggests a life-time probability of around 0.11 of HBV clearance for individuals acquiring chronic infection very early in life. We note here that although we leave seroconversion (θ) from

HBeAg+ to HBeAg- free when fitting, its posterior with median of about 5.3% a year is

compatible with empirical estimations (42) of yearly rates of < 2% for < 3 years of age and 4-

5% for older children (43), with ≈ 90% of individuals acquiring HBV early in life remaining

HBeAg+ at the ages of 15 – 20 years (44).

Fig 1D illustrates how increasing the proportion of infants given birth vaccination has a

positive and progressive impact on reducing the population prevalence of HBV infection.

Importantly, even with complete coverage of neonates, prevalence is shown to approach

zero just under 400 years. These long time-scales are in keeping with an intervention that

does not tackle the large established reservoir of chronic infection and targets only a small

proportion of the population such that vaccine-induced herd-immunity accumulates slowly

over several decades (Fig S6). For similar reasons, implementing robust PMTCT (Fig 1E)

would also slowly reduce HBV prevalence, but since it does not prevent infection later but

still early in life, PMTCT on its own would have a smaller overall impact than neonatal

vaccination. Having illustrated the theoretical impact of these single interventions at a

population level, we next used our model to explore the impact of combined interventions,

considering vaccination of neonates together with older ages (Fig 5 panels ABCD-1),

neonatal vaccination together with PMTCT (Fig 5 panels ABCD-2), and neonatal vaccination

together with PMTCT plus 100% catch-up vaccination of all ages (Fig 5 panels ABCD-3).

Using the model, we investigated how different combinations of interventions would impact

HBV epidemiology in terms of the time required to reduce total prevalence to 0.1% (Fig 5

panels A1-3). Combining immunization of neonates with older ages (>6 years) required the

longest time (Fig 5 A1), with improvements seen when combining neonatal vaccination with

PMTCT (Fig 5 A2), and shortest periods observed when combining the latter with a one off

100% catch-up of susceptible individuals (Fig 5 A3). In each of these scenarios, reaching

0.1% prevalence took >200 years, even under full coverage. However, total prevalence may

be reduced to 1% (~8 times lower than the level of endemicity before interventions), on

much shorter timescales (50 yrs) when neonatal vaccination and PMTCT are combined (Fig

S10).

After 25 years, based on a current rate of ~75% vaccination coverage in neonates only

(mean of estimated 56-97%), the model predicts a total reduction in prevalence of ~19%

relative to the pre-vaccination era (Fig 5 B1-2). If coverage were to be maintained at a stable

95%, impact would be slightly higher with a reduction of 23% (Fig 5 B1-2). The addition of

vaccination in older ages (>6 years old) was seen not to add much to this figure (Fig 5 B1).

However, PMTCT was seen as highly beneficial, with a combination of 75% reduction in

vertical transmission and current neonate coverage achieving 30% reduction in total

prevalence (Fig 5 B2). With both interventions being effective at 95%, prevalence could have

been reduced by 35%. If vaccination of neonates and PMTCT at 95% had been coupled with

an extra catch-up campaign to immunise 100% of the susceptible population, prevalence

would be reduced by 43% (Fig 5 B3). The impact of catch-up vaccination was highest in the

short and mid-term, but slowed down thereafter.

In considering the overall impact of any intervention, HBeAg+ individuals are the group with

the highest public health importance as these present the highest risk of chronic liver

disease, including cancer, and high risk of both horizontal and vertical transmission. We

found that under current vaccination coverage of 75% of neonates, HBeAg+ carriers could

be reduced by 41% in 25 years (Fig 5 D1-2); and, had coverage been 95%, a reduction up to

49% would have been possible. As observed for total prevalence, combining vaccination of

neonates with older ages created minimal additional impact (Fig 5 D1). PMTCT, on the other

hand, increased the impact of neonatal vaccination alone, with a reduction of 49% predicted

for a combination of current 75% vaccination efforts and 50% reduction in vertical

transmission. If both interventions had been maintained at 95%, the HBeAg+ carriers could

have been reduced by a substantial 75% in only 25 years. As seen before for total

prevalence, combining vaccination of neonates with PMCTC and a catchup of 100% would

have generally increased impact (Fig 5 D3).

DISCUSSION

The work presented here is an important foundation for informing strategies that will move us

towards the HBV targets enshrined within Sustainable Development Goals and Global

Hepatitis Health Sector Strategy (2). With ambitious aims set out to be achieved by 2030, it

is crucial that existing resources are deployed in the best possible way, based on a clear

understanding of the likely scale and timeline for an impact to be evident at population level.

While being optimistic about the improvements we can make in reducing population

prevalence of HBV infection, a clear assessment of the challenge ahead is important

advocacy for the development of new approaches, with the ultimate long-term aim still being

HBV cure.

Studying a cohort of South African children demonstrates the potent effect of vaccination

within individual communities: our observation that HBV infections are infrequent in these

children (prevalence <1%) is in keeping with other studies that report a substantial decline in

prevalence since universal infant HBV vaccination was introduced (25,45). A substantial

proportion of HBV transmission in Africa is thought to arise before the age of 5 years (46)

and these results are therefore encouraging in demonstrating that vaccination – when
deployed correctly - is indeed reducing HBV incidence in early childhood, when the risk of
developing chronic infection is at its highest. Although previous studies in the region have
indicated that HBV infection is not significantly associated with HIV status (22,24,47), our
data do highlight a likely additional vulnerability of HIV-infected children based on lower
antibody titres, and a rapid wane to below the threshold of anti-HBs <10 mIU/mL that is
associated with protection.

HBV vaccination is given in South Africa at 6, 10, and 14 weeks; this provides a window of
opportunity for perinatal HBV transmission (11,22). This is illustrated particularly by one
subject within our cohort (KREC-151), an HIV-negative child with serologic evidence of past
infection with HBV, despite documentation of the three-dose HBV vaccination schedule and
anti-HBs titre of 201.8 mIU/ml. Perinatal transmission can be significantly reduced by the
implementation of antenatal screening for HBV and the administration of a birth dose
vaccine (48) supplemented with anti-HBV immunoglobulin, although this intervention may
not be affordable in resource-limited settings (49). In the case of KREC-151, it seems most
likely that vaccination occurred after infection with HBV had already been established.
Systematic efforts to deliver the first vaccine dose soon after birth (in keeping with EPI
recommendations) could reduce such transmission events, even in settings where HBV
immune globin is not routinely available.

Vaccine-mediated immunity to HBV
Anti-HBs seroprevalence was significantly lower in HIV-positive participants when compared
to HIV-negative subjects, irrespective of the threshold set for a protective titre. There are two
possible explanations for this observation. First, impaired vaccine responses have previously
been observed in HIV-positive individuals (25,50–53). However, given the lack of RTHB data
for the HIV-positive study participants, we cannot exclude the second possibility, that
coverage with the primary HBV vaccine course was lower among these children. This would
be in keeping with a previous report that children born to HIV positive parents in South Africa
are less likely to complete childhood vaccination programs (54).

Protective anti-HBs titres in HIV-positive subjects were significantly more frequent in
subjects <24 months of age than in older subjects. This difference was not identified in the
HIV-negative cohort and suggests that the vaccine responses in HIV-positive subjects wane
rapidly after immunisation. This waning has been previously reported in both HIV-positive
and HIV-negative subjects (40,45,50). However, loss of the anti-HBs response does not
necessarily correlate with loss of clinical protection and anamnestic responses, where anti-

HBs titre is very low or absent but immunological memory remains, are thought to occur in a
proportion of those vaccinated (55), although this memory may be attenuated in the context
of HIV (56,57).

We found no difference in anti-HBs titres between participants on ART and those not on
ART, although there are previous reports correlating ART with improved HBV vaccine
responses (27,58). A previous study of Kimberley children demonstrated that recovery of
CD4+ T cell percentage in HIV-positive children takes a median of five years after initiation
of treatment (59); our current study is therefore likely to be underpowered to detect any true
effect, given both the relatively short durations of ART treatment, and the small number of
children not receiving ART. Interestingly, despite the lack of correlation between anti-HBs
responses and treatment with ART, we did find that children with lower HIV viral loads had
significantly higher anti-HBs titres, in keeping with previous studies (58,60). Based on
current treatment guidelines, all HIV-infected children are now started on ART (61) and the
immune reconstitution of this population over time should be anticipated to reduce the
differences in vaccine responses between HIV-positive and HIV-negative groups.

HBV model projections
The model we have developed is statistically robust based on the population parameters we
have included for this population, and we believe this is a novel and important tool for adding
to our understanding about transmission dynamics and potential interventions for HBV,
including offering the potential to scrutinise different strategies independently from one
another. The determinants of an equilibrium in any population depend on a number of
factors, which are determined by characteristics and behaviours of the host population (62)
as well as potentially by the genetics of the virus. However, where the relevant
epidemiological parameters have been defined, we believe the model could robustly be
applied to other settings to explore the impact of interventions.

Based on the output from the model, we can demonstrate that targets for 'eliminating viral
hepatitis as a major public health threat by 2030' are unlikely to be met, unless there is a
major scaling up of both vaccination and PMTCT efforts. These two interventions
implemented together, with a focus on neonatal vaccination, offer the best chances of
making a significant impact on population prevalence. Perhaps more importantly, the
predicted impact is greatest among HBeAg+ carriers, who are at an elevated risk of chronic
liver disease and hepatocellular carcinoma, as well as being at higher risk of transmission,
and therefore constitute the bulk of the public health burden of HBV.

The model suggests long time-lines, enumerated in centuries rather than decades, for
possible elimination. Although our population data demonstrate a substantial reduction in
prevalence of HBV infection in children under the age of five years, which is likely to rest
almost entirely on the success of the EPI delivery of HBV vaccination, this intervention is
tackling only a small fraction of the total population. As HBV is already endemic, is often
clinically silent, and may persist in infected carriers for decades (possibly for a life-time), the
time-scale for elimination is long. However, the results of our simulations underscore that we
can have a major public health impact even without achieving elimination. Careful adjusting
of expectations and aims, according to the scale on which particular changes occur, may
inform the setting of realistic targets (e.g. reduction in the prevalence of HBeAg+ carriers
could be a useful outcome measure when assessing the impact of interventions across a
population). The wrong choice of target and timescale could result in unnecessary
abandonment of a strategy that could have a major impact in a few decades.
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In conclusion, for all simulated combinations of interventions, the model demonstrates that
elimination can only occur over long time-scales. In the context of single interventions, we
demonstrated that vaccination in neonates was the intervention resulting in highest impact,
followed by PMCTC and vaccination in older ages (>6 years). When interventions were
combined, the best approach was PMCTC with vaccination of neonates. A catch-up
campaign was demonstrated to be beneficial, but our simulations suggest that for realistic
catch-up coverages the added value would be minimal.
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Our findings are consistent with those of another recent simulation of HBV prevention that
also highlights the need for an amplification of current interventions if international
sustainable development goals for HBV elimination are to be achieved (30). Our models
concur in concluding that current vaccine-based interventions will reduce HBV prevalence by
only about 40% over 35 years. However, there are also some important differences between
the two studies. For instance, the previous study (30) approximates model behaviour to a
wide range of epidemiological settings across many geographical regions, while we focus on
a particular population for which we derive unknown epidemiological parameters. Our
Bayesian framework therefore stands alone (e.g. as a tool) that can be applied to any
population for which empirical support of key HBV epidemiological parameters is missing.
Another important difference is that we focus our elimination analysis based on total
prevalence of HBV, while the earlier study (30) used incidence or HBV-related deaths. Our
study highlights the importance of considering reduction of HBV prevalence in evaluating the
public health burden of HBV, an issue that has not received sufficient attention to date.
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Caveats and limitations

There are a number of caveats that should be considered when analysing and interpreting the clinical dataset. Different approaches to recruitment of HIV-positive and HIV-negative children may introduce unintentional bias or confounders. Using respiratory admissions to hospital for the KReC cohort provided us with an important opportunity to identify a sufficient number of children quickly, and to acquire blood samples from children who would already be undergoing venepuncture as part of their routine clinical care. However, this approach to recruitment predominantly selected younger children (on average 9.4 months younger than the HIV-positive cohort). This bias towards younger subjects in the HIV-negative group, gave us less data with which to assess waning of the anti-HBs response among these children with age. The KReC children may also be less healthy than a comparable group of HIV-negative children in the community.

When designing the study, we elected to focus on children aged under age 60 months in order to collect data from the RTHB which is not routinely carried by older children. In practice, however, we did not capture good RTHB data from the HIV-positive group who frequently did not bring this record to their clinic visits. Data collection from the RTHB is itself subject to bias, as families who attend with such records may be those who are most likely to have immunised their children, while those for whom data are missing could represent the families in which children have missed vaccine doses. In this and other settings where antenatal HBV screening is not routinely deployed (5,19,20), we deem it unlikely that there is a significant difference in vaccination rates between infants born to HBV-positive versus HBV-negative mothers. Numerous complex social factors are also relevant in determining whether children are immunised; babies born to mothers who have HIV and/or HBV are more likely to be in disadvantaged by poverty, and by illness and death in the family, such that they might be less likely to present for (or respond to) vaccination.

We recognise that this is a small cohort of children, and that we may be underpowered to detect associations between anti-HBs titre and other parameters (i.e. there is a risk of Type II error). However, the convincing statistical associations between anti-HBs titre and both HIV status and age demonstrate sufficient power to detect important signals. Furthermore, we used cross-sectional data to investigate likely longitudinal patterns in anti-HBs titre decline over time. The relatively small numbers in each age group and the lack of longitudinal follow-up for individual children puts limitations on the interpretation of these data, but the changes we observe here are consistent with reports from the existing literature (40,63) and are compatible with a true biological phenomenon.

Our approach to screening for HBV infection in this population is limited by undertaking an

HBsAg assay. A more robust assessment, capturing cases of occult infection, would require

use of HBV DNA as a screening tool. In practice, this is too expensive for wide-spread

deployment and was not practical for this study on the same grounds. The lack of

understanding about the biological correlates of HBV-vaccine mediated immunity is another

challenge. On pragmatic grounds, we have presented data for anti-HBs thresholds of both

≥10 mIU/ml and ≥100 mIU/ml. In the long-term, better understanding of the correlates of

protective immunity are required to tailor vaccine strategies to individuals or cohorts. Recent

data suggest that the site of immunization, diurnal timing of vaccine doses, and time of day

when samples are collected may also have significant impact on antibody titres (64),

although existing data for HBV vaccine do not support this (65). In this study, we did not set

out to capture these data, but they might be pertinent for future studies. We have also not

addressed issues such as maintenance of the cold-chain and vaccine storage which can

also influence efficacy. Finally, vaccine efficacy may be determined by the vaccine received;

the children immunised in this cohort would have received the traditional monovalent vaccine

(Biovac Paed), whereas children now being immunised in South Africa will have hexavalent

vaccination (HBV/DTP/IPV/Hib, Hexaxim, Sanofi-Pasteur).

Alternative approaches for HIV-positive subjects, such as supplementing the current

schedule with booster vaccinations and increased vaccine doses have been trialled with

variable results (60). A promising recent study of HIV-positive children found that repeating

the primary course of vaccination subsequent to response to ART generated lasting

protective immune responses (51). We recognise the potential significance of ART treatment

on HBV outcome in subjects with HIV/HBV coinfection, as first line ART regimens for this

population consistently include either lamivudine (3TC) or tenofovir (TDF), both of which

have activity against HBV. For all adults (including pregnant mothers) and adolescents, the

first line regimen is currently TDF, Emtricitabine (FTC) and Efavirenz (EFV) at diagnosis and

continuing for life (guidelines are available on-line at www.health.gov.za). In the case of

previous 3TC-based regimens, the potential for rapid selection of 3TC resistance in HBV

means it is not possible to assume a 3TC-based regimen will suppress HBV, as we and

others have previously identified (11)(66). In this specific cohort, we were unable to make a

robust assessment of the impact of ART as we did not set out to collect detailed prospective

ART treatment data, guidelines have changed numerous times since 2002 (and may also

have differed depending on age and timing of diagnosis), and lamivudine was intermittently

used as a substitute for nevirapine due to supply issues of the latter. Maternal TDF as part of

a specific PMTCT regimen was unlikely to have been delivered at the time of this study, as

antenatal HBV screening has not been routine practice to date (5). Together, these caveats

in our data clearly highlight the day-to-day challenges of drug provision and monitoring within

this setting.

We present a parsimonious mathematical framework, not including, for instance, the

proportion of the population infected with HIV, which may suffer from increased risk for

vertical transmission and reduced vaccine efficacy. In the population studied here, ART has

only been introduced in children achieving certain immunological criteria (as per old

treatment guidelines), while in future, infected children will be started on treatment as soon

as diagnosed (potentially at birth), which could be predicted to increase vaccine responses

to similar levels as seen in the HIV-negative population; further studies will be required to

assess this over time. More importantly, the effects of HIV and ART would be: (1) mostly in

the absolute values of our projections, but not necessarily on the obtained differences in

terms of impact between the simulated interventions; and (2) would be expected to make

elimination more difficult, which would underscore our discussion points on goals for

elimination. We also note that quantifications of time to elimination are problematic in a

deterministic model such as ours, but our primary outcome is not to present a quantitative

estimate for time to elimination, but rather to demonstrate that the predicted time scale is

outside the proposed goals for 2030. We have not considered the influence of population

migration on the success of interventions to eliminate HBV. Migration of non-immune and/or

infected individuals into an area would delay the time to elimination estimated by our

modeling approach. In the absence of clear data to underpin population migration in

southern Africa, we have currently addressed our questions in the assumption that

populations are static, but the potential impact on HBV control is an important consideration

for regions in which there is significant population flux.

Conclusions

Our results are unique in presenting a detailed snapshot of the current situation, followed by

a projection of future outcomes based on a mathematical model. We have affirmed the

success of the HBV vaccine programme in reducing the prevalence of HBV in children, with

current rates of <1% in the South African setting we have studied. This underlines the

importance of ongoing immunisation, which is fundamental in preventing infection in the

vulnerable early months of life. However, we also highlight that a small number of cases of

HBV transmission continue, despite inclusion of the HBV vaccine in EPI, and that a

proportion of children are potentially at risk of infection as a result of low anti-HBs titres,

either as a result of incomplete immunisation, or because of poor antibody titres following

vaccination (especially in the context of HIV infection). However, at a population level,

although neonatal immunisation is the best single intervention, our model demonstrates that
this alone does not offer a route to elimination. Substantial reduction of population
prevalence hinges on a combination of measures; the roles of catch-up vaccination and the
need for major efforts in PMTCT are highlighted by our model. A meaningful and sustainable
campaign to eliminate this infection also requires concerted efforts and investment in case
finding and treatment, education, reduction of stigma, and sexual and reproductive health
services. Ultimately, the only route to elimination of HBV may be to develop a cure.
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FIGURE LEGENDS

Figure 1. Diagram of HBV transmission model, statistical fitting and predicted impact

of single interventions.

A: HBV transmission model, showing population groups who are susceptible (divided into

infants (S_i), children (S_c) and older ages (S_a)), chronically infected (divided into HBeAg+ (C+)

and HBeAg- (C-)), acutely infected (I), recovered (R) and vaccinated (V), and the transitions

(Table 2) between these groups. Full details of the model are available in Supplementary

Data File 2, and a summary in the Methods section of the main text. B: Distributions of pre-

vaccination ODE model output at equilibrium for the fitted classes: expected proportion of

acute infections (I, yellow), proportion recovered (R, green), relative proportions of C+ and

C- (purple, red, respectively). Dashed vertical lines present the target proportions to be fitted

based on the SA cohort and literature reports (values in Tables S2 and S3). C: MCMC

posterior distributions obtained by the Bayesian approach for unknown parameters (marked

fitted in Table 2). D: Dynamics of total HBV prevalence based on vaccination of newborns

(starting at t=0), with proportion vaccinated designated as δn (where δn=1 is equivalent to an

intervention that covers 100% of newborns). E: Dynamics of total HBV prevalence, based on

implementing an intervention that prevents mother to child transmission (PMTCT, starting at

t=0) by a proportion represented by parameter ζ (ζ=1 is equivalent to averting 100% of

possible transmission events). Fitted parameters as described in the Results section and all

others as in Table 2.

Figure 2: Hepatitis B surface antibody (anti-HBs) titres mediated by vaccination in

HIV-positive (HIV+) and HIV-negative (HIV-) children aged 6-60 months in Kimberley,

South Africa.

A: Scatter plot representing vaccine-mediated antibody titres, indicating median and interquartile ranges, for HIV-positive and HIV-negative children (p-value by Mann Whitney U test). B: Proportion of HIV-positive and HIV-negative children with anti-HBs ≥10 mIU/ml (p-value by Fisher's Exact Test). C: Proportion of HIV-positive and HIV-negative children with anti-HBs ≥100 mIU/ml (p-value by Fisher's Exact Test).

Figure 3: Relationship between age and vaccine-mediated Hepatitis B surface antibody (anti-HBs) titres in HIV-positive and HIV-negative children in Kimberley, South Africa.

Ages of children attaining anti-HBs titres ≥100 mIU/ml for HIV-positive (A) and HIV-negative children (B) age 6-60 months. Median ages, interquartile ranges and p-values by Mann-Whitney U test are indicated. C, D: Relationship between age and vaccine-mediated Ab titre among HIV-positive children including those age 6-60 months and an older cohort age >60 months (range 64-193 months; see Table 4). P-value by Mann Whitney U test (panel C) and by Spearman's rank correlation test (panel D). E: Anti-HBs titre for HIV-positive and HIV-negative children according to age. Each point represents the mean titre for the group of children aged ≤12 months (1 yr), 13-24 months (2 yrs), 25-36 months (3 yrs), 37-48 months (4 yrs), 49-60 months (5 years).

Figure 4. Odds ratios for protective response to HBV vaccination in children age 6-60 months in Kimberley, South Africa.

Odds ratios are shown for anti-HBs titre <10mIU/ml and <100mIU/ml in the whole cohort (grey) and in HIV-positive children (black). Statistically significant OR are denoted * and significant p-values are indicated in bold.

Figure 5. Impact of combined interventions on HBV prevalence and time to elimination.

A1-3: time to elimination of HBV based on a threshold of 1 carrier per 1000 individuals in the population. B1-3: Reduction of total HBV prevalence for 25 years after the start of interventions. C1-3: Reduction of total HBV prevalence for 50 years after the start of interventions. D1-3: Reduction in HBeAg+ carriers (model class 'C+') for 25 years after the start of interventions. A/B/C/D: In all cases, the x-axis shows the proportion of neonates vaccinated. Top row: neonatal vaccination combined with routine vaccination at older ages (>6 years old, y-axis). Middle row: neonatal vaccination combined with reduction in vertical transmission (PMTCT, y-axis). Bottom row: neonatal vaccination combined with reduction in vertical transmission (PMTCT, y-axis) after a catch-up campaign covering 100% of the

1012 susceptible population. Dashed lines are visual references for 70%, 80% and 90% of the

1013 respective interventions. Parameters as in Table S1 of supplementary data file 2.

1014

1015 **SUPPLEMENTARY DATA LEGENDS**

1016

1017 **Suppl data 1.** Metadata for three paediatric cohorts recruited in Kimberley, South Africa,

1018 including longitudinal CD4+ T cell and viral load data for paediatric HIV cohort age ≤60

1019 months in Kimberley, South Africa.

1020

1021 **Suppl data 2.** Variables used to inform a population-based model of HBV transmission and

1022 prevention, with supporting references and a complete methods description for model of

1023 HBV transmission and prevention.

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Nil

CONFLICTS OF INTEREST

None to declare

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YEARS FOR HBV PREVALENCE TO REACH 1 IN 1000 INDIVIDUALS IN POST-VACCINATION ERA

REDUCTION IN HBV PREVALENCE RELATIVE TO PRE-VACCINATION ERA

after 25 years

after 50 years

REDUCTION IN HBeAg+ PREVALENCE RELATIVE TO PRE-VACCINATION ERA

after 25 years