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2 **Hepatitis B vaccination as an elimination tool**
3 **assessed in a paediatric cohort and simulated in a model**

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5 Anna L McNaughton^{1*}, José Lourenço^{2*}, Louise Hattingh^{3*}, Emily Adland⁴,
6 Samantha Daniels³, Anriette Van Zyl³, Connie S Akiror⁵, Susan Wareing⁶,
7 Katie Jeffery⁶, M Azim Ansari¹, Paul Klenerman^{1,6}, Philip J R Goulder⁴,
8 Sunetra Gupta², Pieter Jooste³, Philippa C Matthews^{1,6}§

9
10 * These three authors contributed equally to the work presented here

11
12 ¹ Nuffield Department of Medicine, Peter Medawar Building for Pathogen Research, South
13 Parks Road, Oxford OX1 3SY, UK

14 ² Department of Zoology, Peter Medawar Building for Pathogen Research, South Parks Road,
15 Oxford OX1 3SY, UK

16 ³ Department of Paediatrics, Kimberley Hospital, Kimberley, 8300, South Africa

17 ⁴ Department of Paediatrics, Peter Medawar Building for Pathogen Research, South Parks
18 Road, Oxford OX1 3SY, UK

19 ⁵ Global Healthcare Public Foundation, Makindu Lane, Kololo, Kampala, Uganda

20 ⁶ Department of Infectious Diseases and Microbiology, Oxford University Hospitals NHS
21 Foundation Trust, John Radcliffe Hospital, Headley Way, Oxford OX3 9DU, UK

22
23 § Corresponding author email: philippa.matthews@ndm.ox.ac.uk

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26 **RUNNING HEAD:** HBV elimination in a clinical cohort and model

27
28 **KEYWORDS:** hepatitis b virus; HBV; HIV; co-infection; epidemiology; Africa; South Africa;
29 children; paediatrics; antibodies; vaccine; immunisation; elimination; transmission; dynamics;
30 model; simulation; vertical transmission; PMTCT; number needed to vaccinate; sustainable
31 development goals; public health

32
33

34 **ABBREVIATIONS**

- 35 • 3TC - Lamivudine
- 36 • Anti-HBc – Antibody to hepatitis B core antigen (antibody mediated by exposure to
- 37 infection)
- 38 • Anti-HBe – Antibody to hepatitis B envelope antigen
- 39 • Anti-HBs – Antibody to hepatitis B surface antigen (vaccine-mediated antibody)
- 40 • ART – Anti-retroviral therapy
- 41 • COSAC – Coinfection in South African children
- 42 • EPI – Expanded Programme on Immunisation
- 43 • FTC - Entecavir
- 44 • HBV – Hepatitis B virus
- 45 • HBcAg – Hepatitis B core antigen
- 46 • HBeAg – Hepatitis B envelope antigen
- 47 • HBsAg – Hepatitis B surface antigen
- 48 • HBIg – Hepatitis B immunoglobulin
- 49 • HIV – Human immunodeficiency virus (type 1)
- 50 • KReC – Kimberley Respiratory Cohort
- 51 • PMTCT – Prevention of mother to child transmission
- 52 • RTHB – Road to Health Book
- 53 • TDF – Tenofovir
- 54 • UN – United Nations
- 55 • WHO – World Health Organisation

56

57

58 **ABSTRACT**

59 Sustainable Development Goals set a challenge for the elimination of hepatitis B virus (HBV)
60 as a public health concern by 2030. We evaluate the current and future role of HBV vaccination
61 and prevention of mother to child transmission (PMTCT) as tools for elimination, through the
62 combined scrutiny of a paediatric cohort in South Africa and a model to simulate transmission
63 and prevention. Existing efforts have been successful in reducing prevalence of infection
64 (HBsAg) in children to <1%. Our model anticipates that current combination efforts of
65 vaccination and PMTCT can significantly reduce population prevalence (HBsAg) by 2030, but
66 will reduce the prevalence of HBV e-antigen positive carriers more slowly, with potential
67 implications for public health control. With strategies and resources already available,
68 significant, positive public health impact is possible, although time to HBV elimination as a
69 public health concern is likely to be longer than that proposed by current goals.

70

71 INTRODUCTION

72 The vaccine against hepatitis B virus (HBV) infection is widely regarded as robust, safe and
73 immunogenic (1–3). As such, it is one of the cornerstone strategies through which the
74 international community can work towards the target set by United Nations Sustainable
75 Development Goals (SDGs) for HBV elimination as a public health threat by the year 2030
76 (4,5). In sub-Saharan Africa (sSA), a substantial burden of HBV transmission is likely to occur
77 early in life, either vertically from mother to child, or through horizontal acquisition among
78 young children (6). In this setting, the HBV vaccine has been progressively rolled out as part
79 of the World Health Organisation (WHO) Expanded Programme on Immunisation (EPI) over
80 the past two decades (6). In many countries, the first dose of vaccine is postponed until age
81 six weeks, when it is given together with other routine immunizations; in South Africa, this is a
82 hexavalent combination (HBV, Diphtheria, Tetanus, Pertussis, Haemophilus influenzae type
83 B, Poliomyelitis) (7). Populations in sSA are particularly vulnerable to morbidity and mortality
84 due to the high prevalence of HBV infection ($\geq 8\%$ in many regions) (8–10), co-endemic HIV
85 infection (11), poor access to screening and diagnostics, limited deployment of antiviral
86 therapy, stigma of HBV infection, and chronic neglect of education, research and resources
87 (12,13).

88

89 Vaccine deployment can be difficult to measure; many children in sSA are born outside
90 healthcare settings, there are no robust data regarding coverage of the three dose regimen
91 (6), and different immunological correlates of protection have been applied (14,15). In order
92 to accelerate progress towards elimination goals, a variety of approaches has been
93 suggested, including shifting the first dose to be given at birth (16), additional doses in the
94 context of HIV infection (17,18), booster doses in individuals whose antibody titre fails to meet
95 a target threshold (14), and catch-up vaccination campaigns for adolescents and adults.
96 However, there is a lack of robust data to inform which of these measures, individually or in
97 combination, is most effective in shifting populations towards sustained elimination of HBV
98 infection as a public health concern. Given the resource limitations of many settings in which
99 HBV represents a public health challenge, there is an urgent need to underpin interventions
100 with an evidence base derived both from careful observation of the existing impact of
101 vaccination and from projections regarding future outcomes on both the incidence and
102 prevalence of HBV infection.

103

104 On these grounds, we have set out to collect a detailed dataset to provide a snapshot of a
105 population in South Africa in which HBV and HIV infections are co-endemic, first seeking
106 evidence of the impact of the current immunization schedule on preventing HBV infection
107 (indicated by hepatitis B surface antigen, HBsAg) in children with and without HIV infection,

108 and to examine the potential waning of immunity over time (with protective immunity assessed
109 by vaccine-mediated antibody titres, anti-HBs). We then assessed the extent to which
110 continuation of current practice could be predicted to achieve elimination targets within the
111 timeframe set out by SDGs. Finally, we built on this framework by adding data assimilated
112 from reference to the wider published literature to model the effects of different HBV vaccine
113 deployment strategies, either alone or in combination with enhanced measures for prevention
114 of mother to child transmission (PMTCT). PMTCT depends on antenatal screening to identify
115 HBV positive mothers, deployment of antiviral treatment during trimester three, accelerated
116 neonatal vaccination, and ideally the administration of HBV immune globulin ('HBIG') to high-
117 risk babies immediately after birth, although the latter is rarely affordable in resource-limited
118 settings (19).

119
120 The impact of HBV vaccination has been neglected in the modelling literature compared to
121 other immunisations for infectious disease. To date, few studies have addressed this subject,
122 with one study modelling the global prevalence of current intervention efforts (20), and another
123 describing a modelling approach that scrutinizes the combined impact of broad HBV
124 elimination strategies (21). Our study builds on these prior approaches in contributing to the
125 development of robust insights into tackling the global burden of HBV. In this instance, we root
126 our analysis within primary clinical data, single out the individual and combined impact of
127 childhood vaccination and PMTCT strategies, and address the specific impact of co-endemic
128 HIV infection. In so doing, we contribute to a growing body of evidence that can directly
129 underpin practice in vaccination programmes, ensuring that clinical and public health
130 resources are targeted in the best way to bring about HBV elimination, with particular
131 reference to some of the world's most vulnerable settings that overlap with the epicentre of
132 the HIV pandemic.

133
134 Combining output from a clinical dataset together with a dynamic model provides a synergistic
135 approach; in order to describe and understand the complete picture, both strands of evidence
136 should be viewed together. Specifically, our paediatric cohort highlights the success and
137 impact of the HBV vaccine programme in preventing new infections, while the model illustrates
138 how simply continuing to pursue this strategy in isolation is not a reliable route to HBV
139 elimination as a public health concern in the near future. Taking the evidence together, we
140 conclude that while vaccination is a fundamental part of global elimination strategy and is
141 highly effective in preventing infection in individual children, there is an urgent need for
142 rigorous, enhanced deployment of parallel strategies including education, diagnostics, antiviral
143 therapy, and the ongoing quest for a cure.

144

145 **RESULTS**

146 **Serological evidence of exposure to HBV infection**

147 From our cohort of 402 children in Kimberley, South Africa, three were HBsAg-positive (0.7%;
 148 Table 1). This HBsAg prevalence is significantly lower than in adults in a comparable study
 149 population (e.g. 11.1% in a previous study (9); $p < 0.0001$). Exposure to HBV infection was
 150 measured using anti-HBc antibody; this was detected in three children (0.7%), one of whom
 151 was also HBsAg-positive. The other two were HBsAg negative, indicating previous HBV
 152 exposure and clearance.

153

154 **Table 1: Profiles of five children from Kimberley, South Africa, with serological**
 155 **evidence of current or previous infection with HBV (based on positive HBsAg (n=3) or**
 156 **anti-HBc (n=2))**

Subject	K306	K405	KReC51	KReC151	K093
Cohort	HIV positive age ≤60 months	HIV positive age ≤60 months	KReC	KReC	HIV positive age >60 months
Sex	F	F	F	M	F
Age (months) at time of sampling	18	37	20	15	118
HIV infection	Positive	Positive	Negative	Negative	Positive
ART^a (if HIV positive)	Yes	Yes	NA	NA	No
Number of doses of HBV vaccine	NK	NK	NK	3	NK
HBsAg result^b	<u>Detected</u>	<u>Detected</u>	<u>Detected</u>	Not detected	Not detected
Anti-HBc result^c	Not detected	Not detected	<u>Detected</u>	<u>Detected</u>	<u>Detected</u>
HBeAg result^d	Not done	Not done	<u>Detected</u>	Not done	Not done
Anti-HBs result^e	Not detected	Not detected	Not detected	<u>Detected</u>	Not detected
Interpretation	Active infection	Active infection	Active infection	Immunised, infected and cleared	Infected and cleared

157 ^aART indicates the participant was receiving anti-retroviral therapy to treat HIV infection; ^bHepatitis B surface
 158 antigen test; ^cHepatitis B core antibody test; ^dHepatitis B envelope antigen test; ^eHepatitis B surface antibody test
 159 (vaccine mediated response). KReC = Kimberley Respiratory Cohort. NA = not applicable. NK=not known. HBV
 160 viral loads were not tested in any of these children.

161

162 **Documented evidence of vaccination and serological evidence of immunity to HBV in**
163 **children aged ≤ 60 months**

164 We collected written evidence of immunisation from the Road to Health Book (RTHB) in 90.8%
165 HIV-negative (KReC) subjects and 6.3% of HIV positive subjects (total 41.3% of cohort). None
166 of the HBsAg-positive children attended with a written vaccination record (RTHB). Due to
167 missing vaccination records, in the absence of a detectable anti-HBs titre we cannot reliably
168 distinguish between children who are unimmunized, and children who are immunised but fail
169 to mount an antibody response. However, among those with a RTHB record, 81.3% of HIV-
170 negative and 100% of HIV-positive children were recorded as having received three HBV
171 vaccine doses.

172

173 Among all children age ≤ 60 months, 238/310 (77%) had an anti-HBs titre ≥ 10 mIU/ml
174 suggesting some degree of vaccine-mediated immunity. The median anti-HBs titre in HIV-
175 negative children was significantly higher than among the HIV-positive group (196 mIU/ml, vs.
176 11 mIU/ml, respectively, $p < 0.0001$) (Fig 1A). There was no detectable anti-HBs antibody in
177 3.4% of HIV-negative vs. 47.8% of HIV-positive children ($p < 0.0001$). Irrespective of the
178 antibody titre used as a threshold for immunity, anti-HBs was higher in HIV-negative compared
179 to HIV-positive children (Fig 1B). There was no significant difference in anti-HBs titres between
180 male and female participants, either with or without HIV infection ($p = 0.49$ and 0.31
181 respectively, data not shown).

182

183 **Waning of vaccine response with age**

184 HIV-positive children with anti-HBs titres ≥ 100 mIU/ml were significantly younger than those
185 with lower antibody titres (median age 17 months vs. 31 months, $p = 0.0008$), while no such
186 difference was observed within the HIV-negative group (Fig 2A). Using the lower threshold of
187 ≥ 10 mIU/ml, we found no significant difference by age in either the HIV-positive or the HIV-
188 negative groups ($p = 0.17$ and 4.48 respectively, data not shown). To expand our view of the
189 HIV-positive group, we also added analysis of an older cohort (92 children aged > 60 months),
190 and demonstrated that anti-HBs titres were significantly lower in this older group ($p < 0.0001$),
191 with only 2/92 subjects (2.2%) achieving an anti-HBs titre of ≥ 10 mIU/ml (Fig 2B). Anti-HBs
192 titres waned significantly with age up to age 60 months in HIV-positive children (Fig 2C;
193 $p = 0.004$). We observed a similar trend in the HIV-negative cohort, but this did not reach
194 statistical significance (Fig 2C; $p = 0.07$). The proportion of HIV-positive subjects with a
195 detectable anti-HBs titre declined steadily with age in the cohort, contrasting to the trend in
196 HIV-negative subjects, where individuals maintained protective anti-HBs titres despite a trend
197 towards decreasing mean titres (Fig 2C). Although the numbers of children in this cohort are
198 small, and we did not collect longitudinal data, these results support previous literature reports

199 that HBV vaccine-mediated immunity wanes over time independently of HIV serostatus, but
200 faster for HIV positive individuals (22,23).

201

202 **Stratification of vaccine responses by anti-retroviral therapy (ART) among HIV-positive** 203 **children**

204 For HIV-positive children aged ≤ 60 months, ART treatment data were available for 79% of
205 subjects. Within this group, 71% were receiving ART at the time we tested for anti-HBs, and
206 had received a median of 20 months of treatment (IQR 6-33 months). Comparing anti-HBs
207 titres between ART-treated vs. untreated children, we found no significant difference ($p=0.72$;
208 76 ART-treated, median anti-HBs 13.3 mIU/ml and 31 untreated children, median anti-HBs
209 14.1 mIU/ml, data not shown). There was also no difference between anti-HBs titres of children
210 treated for ≤ 12 months vs. >12 months ($p=0.50$, data not shown). We did not examine the
211 effect of ART on anti-HBs titres in children >60 months due to the low numbers of subjects
212 with a detectable anti-HBs titre ($n=2$).

213

214 **Odds of developing an anti-HBs response**

215 We used an odds ratio (OR) analysis to identify factors associated with vaccine-mediated
216 protection (Fig 2D). HIV-positive status was associated with lack of protection, for antibody
217 titres of both <10 mIU/ml (OR 26.2, 95% CI 11.2-58.6), and <100 mIU/ml (OR 11.6, 95% CI
218 6.7-20.4). In contrast, younger age (<24 months) was protective, (for anti-HBs <10 mIU/ml OR
219 0.3, 95% CI 0.2-0.5 and for anti-HBs <100 mIU/ml OR 0.3, 95% CI 0.2-0.4). Other
220 characteristics analysed including gender, ART, CD4+ count, CD4+ ratio and HIV viral load
221 were not found to be significantly predictive of anti-HBs titres at either threshold.

222

223 **Fitting of a dynamic model to local HBV epidemiology**

224 We set out to use our clinical data to inform the development of a dynamic model to provide
225 insights into the long-term outcomes of sustained immunization, and to suggest how
226 prevention strategies can be optimized, for example by enhancement of PMTCT or extended
227 vaccination campaigns targeting older age groups.

228

229 In summary, the model takes into consideration the susceptible proportion of the population
230 (S), the chronic (C) and acute (I) carriers, the immune (R) and the vaccinated (V) (Fig 3A). To
231 be able to parameterize HBV or vaccine-related epidemiological traits in age, such as age-
232 specific probability of chronicity or decay of vaccine-induced protection, susceptible (S) and
233 vaccinated (V) individuals are divided into three subgroups representing infants (i , <1 years of
234 age), children (c , 1-6 years of age) and older individuals (comprising older children,
235 adolescents and adults, a , >6 years of age). Chronic carriers, C, are divided into HBeAg-

236 positive (C+) and HBeAg-negative (C-) to further allow for different parameterization between
237 these two biologically distinct states.

238

239 Informed by the cohort data described above, natural decay and the effects of HIV sero-status
240 on vaccine-induced protection are also taken into account. We used a Bayesian Markov-chain
241 Monte Carlo (bMCMC) approach to fit the dynamic model to the local demographic and
242 epidemiological setting of Kimberley before projecting the impact of interventions. We used
243 informative priors for model parameters for which robust literature support exists, and
244 uninformative (uniform) priors otherwise. For full details on the model and fitting approach, see
245 the Methods section.

246

247 The dynamic model was able to closely reproduce the target (fitted) variables – HBV
248 prevalence (HBsAg), prevalence of HBV exposure (anti-HBc) (Fig 3 B1), and relative
249 proportion of HBeAg-negative and HBeAg-positive among chronic carriers (Fig 3B2). For
250 parameters for which little or no support was found in the literature (Fig 3C), the resulting
251 posteriors were well behaved. For parameters using informative priors taken from the literature
252 (Fig 3 D, E), the resulting posteriors matched well. Overall, the obtained bell-shaped posteriors
253 highlighted no identifiability issues with the fitting approach (Fig 3 C, D, E).

254

255 The posterior for the rate of seroconversion from HBeAg-positive to HBeAg-negative (θ)
256 suggested slow progression, with a median period of ~18.5 years (95% CI [14.3, 21.9]). We
257 note here that although we used an uninformative (uniform) prior for θ , its posterior with
258 median ~5.3% a year, here not accounting directly to age-specificity, is compatible with
259 empirical estimations (24) of yearly rates of less than 2% for <3 years of age and 4-5% for
260 older children (25), with ~90% of individuals acquiring HBV early in life remaining HBsAg-
261 positive at the ages of 15-20 years (26). Spontaneous clearance of chronic HBV infection (loss
262 of HBsAg) (ρ) was estimated to be even slower, close to 0.3% a year (95% CI [0.04, 0.84]),
263 slightly lower than reported rates of 0.7-2.26% previously observed in the literature (27–
264 29), although there remains a lack of data for the African subcontinent.

265

266 **Model projection of the impact of routine neonatal vaccination and PMTCT alone**

267 Based on Sustainable Development Goals (SDGs) for the year 2030 set out in the WHO'S
268 Global Health Sector Strategy on Viral Hepatitis (5), we have considered the impact of HBV
269 interventions using two targets: (i) 90% reduction in HBsAg incidence (total new chronic HBV
270 cases) relative to the pre-control era, and (ii) reduction of HBeAg-prevalence to 1 in 1000
271 individuals in the population (0.1%) in the post-control era (see Materials and Methods for
272 further details). In our projections of the impact of HBV interventions, we addressed the time

273 required to achieve these goals separately. Fig 4 shows the results of numerical simulations
274 for varying coverage of neonatal vaccination and PMTCT. Variation presented is from the
275 stochastic nature of the simulations, including demographic stochastically and parameter
276 (posterior) sampling.

277

278 As expected, both HBsAg incidence (Fig 4 A1) and HBeAg-positive prevalence (Fig 4 B1)
279 reduce faster with increasing neonatal immunization coverage, resulting in shorter times to
280 reach the elimination targets (Fig 4 A2, B2). Importantly, even immunization of 100% of
281 neonates is predicted to take ~99 years (95% CI 61 - 186) for the HBsAg incidence target to
282 be achieved (Fig 4 A2), and ~175 years (95% CI 103 - 278) for the HBeAg-positive prevalence
283 target (Fig 4 B2). Such long timeframes are supported by a previous modelling study (21).

284

285 When simulating PMTCT intervention, both HBsAg incidence (Fig 4 C1) and HBeAg-positive
286 prevalence (Fig 4 D1) reduced faster in time for increasing efforts, resulting in shorter times to
287 reach the elimination targets (Fig 4 C2, D2). However, the impact of PMTCT was smaller than
288 neonatal vaccination for similar coverage, resulting in significantly longer times to reach the
289 target thresholds. In fact, for the majority of PMTCT effort levels simulated, the targets could
290 not be reached within 500 years (beige areas in Fig 4 C2, D2). For HBeAg-positive prevalence,
291 only when PMTCT effort was 1 (i.e. complete elimination of vertical transmission), was the
292 reduction target attainable within 500 years. These results reflect the impact of a control
293 strategy that can be highly successful at preventing infections at a particular time-point in an
294 individual's life (perinatally) but does not necessarily translate into sustained long-term
295 protection.

296

297 The model suggests that reaching either of the elimination targets will require different
298 intervention coverage and different time scales. In particular, the target for reducing HBsAg
299 incidence is easier to achieve than reducing HBeAg-prevalence. This implies that for a certain
300 vaccination coverage or PMTCT effort, reductions in HBsAg incidence must be interpreted
301 with caution, as such positive trends will potentially mask the fact that HBeAg-positive
302 prevalence, critical for public health, will not be responding at the same rate.

303

304 **Modelling progress towards HBV elimination by the year 2030 based on combinations** 305 **of neonatal vaccination and PMTCT**

306 Based on the premise that interventions in the South African population have been most
307 consistently deployed since roll-out of the HBV vaccine in infancy since 1995 (6), we used our
308 model to determine the impact of combined interventions by the year 2030 (Fig 5 A1, B1), and
309 to predict the year at which the 90% reduction in HBsAg incidence and 0.1% HBeAg-positive

310 prevalence targets would be reached (Fig 5 A2, B2). Strikingly, HBsAg incidence could already
311 have been reduced by >90% (Fig 5 A1) if both neonatal vaccination and PMTCT had been
312 deployed at 100% coverage since they became widely available in 1995 (mean predicted year
313 of elimination 2017; Fig 5 A2). In reality, complete coverage of such interventions is not
314 possible, and we therefore projected outcomes based on <100% intervention coverage. For
315 example, combining neonatal vaccination and PMTCT with 90% coverage of each since 1995
316 is projected to achieve the HBsAg incidence target by 2028; if this is reduced to 80% coverage
317 then goals will be attained by 2044. To achieve the target reduction in HBeAg-positive
318 prevalence, the projected years are 2072 and 2096 (modelled on 90% coverage and 80%
319 coverage of interventions, respectively, Fig 5 B1, B2). Again, these results suggest that setting
320 goals based on HBsAg incidence obfuscates the difficulty of achieving targets based on
321 HBeAg-positive prevalence on similar time scales.

322

323 **Projecting the probability of achieving elimination targets based on combinations of** 324 **neonatal vaccination, PMTCT and enhanced vaccination**

325 We simulated the impact of combining neonatal vaccination and PMTCT with additional
326 vaccine deployment in other population groups (Fig 6 A1, A2), namely the routine vaccination
327 of older children (at the entry point of 6 years of age), and one-off catch-up vaccination of
328 children (<6 years) and others (>6 years).

329

330 Overall, the highest probability of achieving elimination targets is through a combination of
331 100% neonatal vaccination coverage and PMTCT (Fig 6 A1, red line). Again, such high
332 intervention efforts are realistically not attainable. We therefore also modelled an ambitious
333 combination of 90% coverage of both neonatal vaccine and PMTCT (Fig 6 A1, A2, green line)
334 as proposed in WHO'S Global Health Sector Strategy on Viral Hepatitis (5). Such intervention
335 resulted in only 50% probability of reaching the HBsAg incidence target by 2030, and
336 approaching 100% probability only by 2050. For the target based on HBeAg-positive
337 prevalence, the probabilities of achieving the goal were pushed forward by approximately four
338 decades.

339

340 Adding catch-up vaccination campaigns makes no impact on the probability of reaching either
341 of the elimination targets (Fig 6 A1, A2, blue and cyan lines). Routine vaccination at 6 years
342 of age as an alternative for PMTCT, even when delivered at 100% coverage, is markedly less
343 effective than any other projected intervention (Fig 6 A1, A2, magenta line).

344

345 **Projecting the impact of HIV on the probability of achieving elimination targets**

346 As our clinical cohort is centred in South Africa, at the epicentre of the HIV pandemic, we also
347 used our model to investigate the impact of co-endemic HIV on the success of interventions
348 for HBV. We considered a baseline scenario defined by the epidemiological setting fitted by
349 our model in the context of Kimberley, in which local HIV prevalence was taken into
350 consideration for each of the modelled age groups (Fig 6 B1, B2, solid line). We then
351 performed a sensitivity exercise, considering alternative scenarios in which HIV prevalence
352 was altered to zero or higher prevalence, projecting HBV interventions into the future.

353

354 Overall, when compared to a scenario with no HIV (Fig 6 B1, B2, dotted line), the presence of
355 HIV infection at the prevalence seen in Kimberley (Fig 6 B1, B2, solid line) has a relatively
356 modest impact on the probability of achieving the HBV targets, adding an estimated four years
357 to the time taken to achieve a 50% chance of reaching the goals (Fig 6 B1). We also simulated
358 the effect of higher population HIV prevalence (x2, x3 and x4 baseline data for Kimberley) to
359 investigate the potential impact of coinfection in high-risk populations. Increasing HIV
360 prevalence, as expected, has a negative impact on the success of combined interventions for
361 HBV, but the effects are relatively modest. In particular, doubling HIV prevalence would shift
362 the 50% probability endpoint into the future by ~4 years for the HBsAg incidence target, and
363 ~7 years for the HBeAg prevalence target. With increasing HIV prevalence, the negative
364 impact on HBV interventions increases, particularly with respect to reduction in HBeAg
365 prevalence. Encouragingly, as ART is now offered at the point of HIV diagnosis and uptake
366 is consistently increasing, any detrimental impact of HIV coinfection is likely to diminish over
367 time, with more of the HIV-infected population retaining near intact immunity.

368

369 **DISCUSSION**

370 This is a unique study in which we capitalize on detailed clinical cohort data collected in South
371 Africa, represented here and also in our previous publications (9,30), in order to (i) form a
372 robust view of the dynamics of HBV epidemiology, and (ii) develop a mathematical model of
373 HBV transmission and prevention. Overall, we demonstrate that the optimum population
374 intervention is high coverage neonatal vaccination, and that this can be strengthened by robust
375 deployment of PMTCT. However, we project long time-scales to achieve elimination targets,
376 congruent with the large established reservoir of chronic HBV infection, lack of curative
377 therapy, infection that can persist for the entire life-span of the host, and interventions that
378 target only a small proportion of the population. Developing an evidence-based understanding
379 of the most effective approaches to control and elimination is key in light of the Sustainable
380 Development Goals, and is a particular priority for resource-constrained settings that are often
381 made particularly vulnerable by the high prevalence of both HIV and HBV infection. The

382 outputs from this model could be of direct influence in informing ongoing public health
383 strategies in high-prevalence settings.

384

385 **Rationale for combining clinical data and modelling**

386 Importantly, by assimilating the results of the clinical cohort and the model, we develop a much
387 more complete picture than either individual approach would provide in isolation. Standing
388 alone, the clinical study could provide false reassurance that vaccination campaigns will be
389 adequate to bring about control or elimination; conversely, in the absence of the cohort, the
390 model could be mistakenly interpreted to suggest that vaccination offers limited benefits to
391 population health in the short-medium term. Only by viewing the two conclusions together can
392 we correctly infer that vaccination is of profound importance in protecting individual children
393 and significantly reducing the burden of infection in paediatric cohorts, but also that continuing
394 to pursue this strategy alone is not sufficient to bring about HBV elimination, or even robust
395 control, within the desired time-scale. Although vaccination is a powerful strategy, it is not the
396 short or medium term route to elimination of this pathogen.

397

398 **Comparison with other published models**

399 Compared to published models of other vaccine-preventable diseases (31), there is a marked
400 deficit in the existing literature for HBV, with few other modelling efforts represented in the
401 peer-reviewed literature (32,33). Reassuringly, our findings are consistent with those of
402 another recent simulation of HBV prevention (21); we concur in concluding that current
403 vaccine-based interventions will result in a modest reduction in HBV prevalence by the year
404 2030. However, there are also some important differences that distinguish our work from
405 previous efforts:

- 406 i. Our evaluation provides the advantages of both clinical data and a mathematical
407 model, with close links between our cohort and simulations, and strengths in
408 interpretation of data derived through different approaches. In so doing, we have also
409 been able to specifically address the impact of co-endemic HIV that has not been
410 factored into previous evaluations, using unique cohort data to implement a data-
411 driven approach into the dynamic model.
- 412 ii. In contrast to approximating model behaviour to a wide range of epidemiological
413 settings across many geographical regions, we focus on a particular population for
414 which we derive unknown epidemiological parameters and apply a robust data-driven
415 approach to others. Our Bayesian framework therefore stands alone (as a tool) that
416 can be applied to any population for which empirical support of key HBV
417 epidemiological parameters is missing. By supplying the model's code, we can
418 facilitate the use of the tool by other academics.

419 iii. As outputs, we have used targets for reductions in both HBsAg incidence and HBeAg-
420 positive prevalence, and have projected the impact of interventions based specifically
421 on the WHO proposal for 90% vaccination of neonates and 90% PMTCT coverage by
422 2030. Previous studies (20,21) have focused instead on *ad hoc* control thresholds or
423 impact on the public health problem through reduction of HBV-related deaths. By
424 focusing on two alternative control targets for HBV, we conclude that different
425 intervention efforts and time scales are required to achieve these. Goals based on
426 HBeAg-positive prevalence levels are harder to achieve when compared to reductions
427 in new infections, and reflect important epidemiological and public health traits of
428 chronic infections; our results thus contribute to an ongoing discussion regarding which
429 goals should be set, and their underlying public health implications.

430

431 **HBV model projections**

432 Although a high coverage of neonatal vaccination combined with robust PMCTC shows
433 potential promise to reach elimination targets, the projected time-frame is currently
434 substantially beyond the 2030 milestone. Furthermore, optimal intervention levels have not
435 been in effect since 1995 and the real time-frame to achieve the goals is therefore expected
436 to be considerably longer. We did not address elimination (extinction) in our projections, but it
437 is clear from our main results that an elimination time-frame is far beyond reach with the
438 interventions currently available, and efforts should, for now, be focused on planning for
439 control of HBV as public health issue rather than elimination of the pathogen.

440

441 The model we have developed is statistically robust based on the parameters we have
442 included for this population, and we believe this is an important parsimonious, data-driven tool,
443 offering the potential to scrutinise different strategies independently from one another. The
444 determinants of an equilibrium in any population depend on a number of factors, which may
445 be determined by characteristics and behaviours of the host population (34) as well as
446 potentially by the genetics of the virus. However, where the relevant epidemiological
447 parameters have been defined, we believe the model could robustly be applied to other
448 settings.

449

450 **Impact of HIV on population interventions for HBV**

451 Although previous studies in southern Africa have indicated that HBV infection is not
452 significantly associated with HIV status (7,35,36), our data do highlight and corroborate a likely
453 additional vulnerability of HIV-infected children based on lower anti-HBs titres and waning
454 immunity over time. Impaired vaccine responses have previously been reported in HIV-
455 positive individuals (18,37–40), but it is also possible that vaccine coverage is lower in HIV-

456 infected children (41). Waning of anti-HBs titres over time has been observed in both HIV-
457 positive and HIV-negative subjects, but this does not necessarily correlate with loss of clinical
458 protection; anamnestic responses are thought to occur in a proportion of those vaccinated
459 (42), although this memory may be attenuated by HIV (43,44).

460

461 ART has previously been associated with improved HBV vaccine responses (45,46), although
462 we did not replicate this finding in our cohort. This can potentially be explained by data from a
463 previous study of Kimberley children, demonstrating that CD4+ T cell recovery takes a median
464 of five years after ART initiation (47). Our current study is underpowered to detect any true
465 effect, given both the relatively short durations of ART, and the small number of untreated
466 children. Interestingly, despite the lack of direct association with ART, children with lower HIV
467 viral loads had significantly higher anti-HBs titres, in keeping with previous studies (17,45).
468 Based on current treatment guidelines, all HIV-infected children are now started on ART (48)
469 and the immune reconstitution of this population over time is likely to reduce differences in
470 vaccine responses between HIV-positive and HIV-negative groups.

471

472 **Changes required to meet 2030 sustainable development goals**

473 The model suggests long time-lines, enumerated in centuries rather than decades, before
474 control targets (focused on either HBsAg incidence or HBeAg prevalence) are reached using
475 vaccination or PMTCT alone. Combinations of these interventions show much shorter time
476 scales. Based on currently available interventions, major scaling up of both neonatal
477 vaccination and PMTCT efforts will be required to deliver the 2030 targets. Importantly, the
478 prevalence of HBeAg-positive carriers, who are at an elevated risk of chronic liver disease and
479 hepatocellular carcinoma, as well as being at higher risk of transmitting their infection, will
480 decline at a slower rate. Setting a control target based on reduction in the number of new HBV
481 cases (i.e. HBsAg incidence) can therefore lead to the most optimistic projections but distract
482 attention from the importance of reducing HBeAg-positive prevalence which constitutes the
483 bulk of the public health burden of HBV.

484

485 Our results also underscore that a major public health impact is possible even without
486 achieving elimination. Careful adjusting of expectations and aims, according to the scale on
487 which particular changes occur, may inform the setting of realistic targets (e.g. reduction in
488 the prevalence of HBeAg-positive carriers could be the most informative outcome measure).
489 The wrong choice of either target or timescale could result in unnecessary abandonment of a
490 strategy that could have a major impact in a few decades. In addition to informing rational use
491 of interventions that have a positive population impact, our study is also important in cautioning
492 against the use of strategies that may have little or no lasting population impact. This is

493 illustrated by our results for catch-up HBV vaccination, which adds little in situations where
494 high coverage of both neonatal immunization and PMTCT can be attained. Considerable
495 political drive, investing in increased surveillance and reducing barriers to treatment access
496 will also be required in order to accurately monitor progress towards the elimination targets
497 (49).

498

499 **Impact of HIV and ART on achieving the 2030 sustainable development goals for HBV**

500 Our clinical cohort highlights the day-to-day challenges of drug provision and monitoring within
501 this setting: we did not have access to detailed prospective ART treatment data, guidelines
502 have changed numerous times since 2002, and 3TC was intermittently used as a substitute
503 for nevirapine (NVP) due to supply issues. During the period covered by our study, ART was
504 only introduced in children achieving certain immunological criteria (as per old treatment
505 guidelines), while in future, infected children will be started on treatment as soon as diagnosed,
506 which could restore vaccine responses to similar levels as seen in the HIV-negative
507 population; further studies will be required to assess this over time. ART treatment is relevant
508 to outcomes in individuals with HIV/HBV coinfection, as first line ART regimens include either
509 lamivudine (3TC) or tenofovir (TDF), both of which have activity against HBV. Alternative
510 approaches for HBV prevention in HIV-positive subjects, such as supplementing the current
511 schedule with booster vaccinations and increased vaccine doses have been trialled with
512 variable results (17). A promising recent study found that repeating the primary course of
513 vaccination after establishing HIV-positive children on ART generated lasting protective
514 immune responses (18).

515

516 We used cohort data to parameterize vaccine-induced protection depending on HIV
517 serostatus and time since vaccination. As far as we know, this is the first data-driven approach
518 to project the effects of HIV prevalence on HBV interventions using a dynamic model. Our
519 projections propose that HIV does have a negative effect on HBV interventions, although HIV
520 prevalence only marginally increases time to reach elimination targets, which may not be
521 significant in light of the long overall time-frames that we project even in the absence of HIV.
522 The high HIV prevalences modelled can occur in specific high-risk groups including sex
523 workers and men who have sex with men (50) and it is likely that increased intervention will
524 be required in these groups to minimise HBV transmission.

525

526 **Caveats and limitations**

527 Different approaches to recruitment of our HIV-positive and HIV-negative cohorts may have
528 introduced unintentional bias. By using respiratory admissions to hospital for the KReC cohort,
529 we were able to identify and recruit a sufficient number of HIV-negative children, but the KReC

530 children may be less healthy than a comparable group of HIV-negative children in the
531 community, and this approach predominantly selected younger children (on average 9.4
532 months younger than the HIV-positive cohort).

533

534 We set out to focus on children aged <60 months in order to collect data from the RTHB. In
535 practice, we did not capture good RTHB data and data collection from the RTHB is itself
536 subject to bias, as families who attend with such records may be those who are most likely to
537 have immunised their children. Numerous complex social factors are also relevant in
538 determining whether children are immunised; babies born to mothers who have HIV and/or
539 HBV are more likely to be disadvantaged by poverty, and by illness and death in the family,
540 such that they might be less likely to present for (or respond to) vaccination. However, in this
541 setting (and others where antenatal HBV screening is not routinely deployed (12,51,52)), we
542 deem it unlikely that there is a significant difference in vaccination rates between infants born
543 to HBV-positive versus HBV-negative mothers. Vaccine immunogenicity may be altered by a
544 variety of other factors which we did not measure in this study, including maintenance of cold
545 chain, body site of immunization, vaccine preparation (in this case the monovalent HBV
546 vaccine (Biovac Paed)), circadian timing of vaccine doses, and time of day when samples are
547 collected (53), although existing data for HBV vaccine do not support this (54).

548

549 We relied on HBsAg to detect cases of HBV infection. HBV DNA is a more sensitive screening
550 tool but was not practical due to high cost and lack of availability in this setting. The relatively
551 small numbers in each age group and the lack of longitudinal follow-up for individual children
552 puts limitations on the data showing anti-HBs waning over time, but the trends we observe
553 here are biologically plausible and consistent with the existing literature (23,55).

554

555 We have not considered the influence of population migration on the success of HBV
556 interventions to reach the elimination targets. Migration of non-immune and/or infected
557 individuals into an area would delay the time to achieve the targets estimated by our modelling
558 approach. In the absence of clear data to underpin population migration in southern Africa, we
559 have currently addressed our questions in the assumption that populations are static, but the
560 potential impact on HBV control is an important consideration for regions in which there is
561 significant population flux.

562

563 Although we have estimated and parameterized the impact of HIV status on HBV vaccine-
564 induced protection, we have not modelled other factors related to HIV infection. Namely, we
565 have not included the potential for increased susceptibility to HBV infection or increased risk
566 of vertical transmission. These factors would have required further model classes and specific

567 parameterization, for which little literature support exists. It is likely that such HIV-related
568 factors would have negative effects on our projections of impact, with time to reach elimination
569 targets becoming longer. Including such factors is a possible path for future work once
570 parametrization becomes possible from publically available data.

571

572 **Conclusions**

573 Our results affirm the success of the HBV vaccine programme in reducing the prevalence of
574 HBV in children, with current prevalence rates of <1% underlining the importance of ongoing
575 immunisation. However, we also highlight that cases of HBV transmission persist and that a
576 proportion of children are potentially at risk of infection as a result of low anti-HBs titres, either
577 as a result of missing or incomplete immunisation, or because of poor antibody titres following
578 vaccination (especially in the context of HIV infection). We predict that current elimination
579 targets, in particular when framed around reductions of HBeAg-positive prevalence, are
580 unlikely to be achieved by 2030 based on existing interventions. Reaching the different
581 proposed goals appears to be dependent upon different intervention efforts and thus can lead
582 to very different levels of optimism and achievement, with important consequences on the
583 future commitment of the players involved. For optimum impact, we suggest that elimination
584 targets should be defined around HBeAg-positive carriers, which are a major proxy for the
585 public health burden of HBV, and the target for which current interventions seem to have less
586 impact. This highlights the essential need to collect better data that can help to inform progress
587 towards targets, to optimize deployment of vaccination and PMTCT, and to invest substantially
588 in education, case finding and treatment. The prospects of control would be substantially
589 enhanced by improvements in therapy, and ultimately, the only route to elimination of HBV
590 may be to develop a cure.

591

592 **MATERIALS AND METHODS**

593 **Ethics Approval**

594 Ethics approval for the study was obtained from the Ethics Committee of the Faculty of Health
595 Science, University of the Free State, Bloemfontein, South Africa (HIV Study Ref: ETOVS Nr
596 08/09 and COSAC Study Ref: ECUFS NR 80/2014). Written consent for enrolment into the
597 study was obtained from the child's parent or guardian.

598

599 **Study cohorts**

600 Recruitment was undertaken in Kimberley, South Africa. A previous study of HBV serology in
601 adults in the same setting found HBsAg prevalence of 9.5% (55/579) (7). Children were
602 recruited as part of the Co-infection in South-African Children (COSAC) study as previously

603 described (30,56). The lower age limit of recruitment was 6 months in order to limit the
604 detection of maternal anti-HBs.

605

606 Children were recruited as follows:

607 1. HIV-negative children age 6-60 months (n=174), recruited through the Kimberley
608 Respiratory Cohort (KReC) as previously described (56). These children were admitted to
609 hospital between July 2014 and August 2016 with a clinical diagnosis of respiratory tract
610 infection. KReC children were confirmed HIV-negative in 163 cases (93.7%). A further 11
611 children did not have an HIV test result recorded, but were assumed to be HIV-negative based
612 on the clinical data recorded at the time of admission to hospital.

613 2. HIV-positive children were recruited primarily from HIV out-patient clinics between
614 September 2009 and July 2016 as previously described (30,56). We recorded date of
615 commencement of anti-retroviral therapy (ART), CD4+ T cell count and percentage, and HIV
616 RNA viral load using the time point closest to the sample that was analysed for HBV serology.
617 For the purpose of analysis, we divided these into two groups according by age:

618 i. Age 6-60 months; n=136. This group was selected to match the age range of
619 the HIV-negative group, and also included five children who were initially
620 screened for the KReC cohort but tested HIV-positive.

621 ii. Age >60 months (range 64-193 months); n=92.

622

623 Where possible, we recorded the number of HBV vaccine doses received based on the RTHB.
624 At the time of undertaking this study, children were immunised with three doses of a
625 monovalent HBV vaccine (Biovac Paed). The characteristics of the cohorts are summarised
626 in table 2 and all metadata can be found in Suppl. data 1 on-line
627 (<https://figshare.com/s/cd1e4f324606949d1680>).

628

629 **Table 2: Characteristics of three paediatric study cohorts, comprising 402 children,**
630 **recruited from Kimberley Hospital, South Africa.**

Cohort	HIV negative; KReC (age ≤60 months)	HIV positive (age ≤60 months)	HIV positive (age >60 months)
Number of subjects	174	136	92
Age range in months	8-58	6-60	64-193
Median age in months (IQR)	18 (12-26)	29 (18-40)	137 (122-154)
Sex (% male)	55.4	44.9	45.6

631 KReC = Kimberley Respiratory Cohort. IQR = interquartile range.

632

633 **Laboratory assessment of HBV status**

634 Testing for Hepatitis B serum markers and DNA was performed as previously described; for
635 HIV-positive children this is in keeping with recent implementation of HBV screening in
636 Kimberley (30). Briefly, HBsAg testing was carried out in Kimberley Hospital, South Africa
637 using the Magnetic parcel chemiluminometric immunoassay (MPCI; Advia Centaur platform).
638 Confirmatory HBsAg testing was carried out by the UKAS accredited clinical microbiology
639 laboratory at Oxford University Hospitals (OUH) NHS Foundation Trust, Oxford, UK (Architect
640 i2000). For all samples, anti-HBs and anti-HBc testing were carried out by the OUH laboratory
641 (Architect i2000). Limit of detection of the anti-HBs assay was 10 mIU/ml.

642

643 **Threshold for vaccine mediated immunity**

644 An absolute threshold for vaccine-mediated immunity is difficult to define, and studies variably
645 quote anti-HBs titres of ≥ 10 mIU/ml or ≥ 100 mIU/ml as a correlate of protection. UK
646 recommendations for testing HBV immunity often rely on the more stringent criterion of an
647 anti-HBs titre of ≥ 100 mIU/ml (14). However, early vaccine studies have highlighted that a titre
648 of ≥ 10 mIU/ml is likely to be a clinically relevant threshold for protection; a study of children in
649 The Gambia showed that children who attained an anti-HBs titre of ≥ 10 mIU/ml were most
650 likely to be immune (15), and another study demonstrated increased risk of infection when
651 antibody titres fell < 10 mIU/ml (57). Due to the varying use of different thresholds, we have
652 presented our results pertaining to both thresholds of ≥ 10 mIU/ml and ≥ 100 mIU/ml.

653

654 **Statistical analysis**

655 Data from the cohort was analysed using GraphPad Prism v.7.0. We determined significant
656 differences between sub-sets within the cohort using Mann-Whitney U tests for non-
657 parametric data, Fisher's exact test for categorical variables and correlation between data
658 points was assessed using Spearman's correlation coefficient.

659

660 **Mathematical model of HBV transmission and prevention**

661 A mathematical model was developed using ordinary differential equations (ODE) and is
662 shown in Fig 3. Parameterization of transmission and prevention was based both on our
663 Kimberley paediatric cohort and current literature estimates. Mid-year population estimates
664 from 2016 published by Statistics South Africa (11) were used to underpin assumptions about
665 life expectancy, fertility rate and infant mortality.

666

667 We used our mathematical model to simulate the transmission dynamics of HBV and the
668 impact of interventions. Our approach is divided into three steps: fitting of demographic

669 background, fitting of HBV transmission background, and simulation of interventions. The
 670 following set of ordinary differential equations (ODE) is used to model the deterministic
 671 transmission of HBV under homogeneous mixing. Constant parameters informed by the
 672 literature and estimated parameters are described in further detail below.

$$\frac{dS_i}{dt} = Z - cS_i - \lambda S_i - \mu S_i \quad (1)$$

$$\frac{dS_c}{dt} = (1 - \omega_c)cS_i - aS_c - \lambda S_c - \mu S_c \quad (2)$$

$$\frac{dS_a}{dt} = (1 - \omega_a)aS_c - \lambda S_a - \mu S_a \quad (3)$$

$$\begin{aligned} \frac{dI}{dt} = & \lambda\gamma S_a + \lambda\epsilon S_c + \lambda\psi S_i \\ & + \lambda\gamma(1 - \Delta_a)V_a + \lambda\epsilon(1 - \Delta_c)V_c + \lambda\psi(1 - \Delta_i)V_i \\ & - \sigma I - \mu I \end{aligned} \quad (4)$$

$$\frac{dR}{dt} = \sigma I + \rho C^- - \mu R \quad (5)$$

$$\frac{dC^-}{dt} = \theta C^+ - \rho C^- - \mu C^- \quad (6)$$

$$\begin{aligned} \frac{dC^+}{dt} = & W \\ & + \lambda(1 - \psi)S_i + \lambda(1 - \gamma)S_a + \lambda(1 - \epsilon)S_c \\ & + \lambda(1 - \psi)(1 - \Delta_i)V_i + \lambda(1 - \gamma)(1 - \Delta_a)V_a + \lambda(1 - \epsilon)(1 - \Delta_c)V_c \\ & - \theta C^+ - \mu' C^+ \end{aligned} \quad (7)$$

$$\frac{dV_i}{dt} = Z' - cV_i - \lambda(1 - \Delta_i)V_i - \mu V_i \quad (8)$$

$$\frac{dV_c}{dt} = cV_i + \omega_c cS_i - aV_c - \lambda(1 - \Delta_c)V_c - \mu V_c \quad (9)$$

$$\frac{dV_a}{dt} = aV_c + \omega_a aS_c - \lambda(1 - \Delta_a)V_a - \mu V_a \quad (10)$$

673
 674 We take into consideration the susceptible proportion of the population (S_i , S_c , S_a , eq. 1-3),
 675 the chronic (C^+ , C^- , eq. 6-7) and acute infections (I , eq. 4), the recovered and immune (R , eq.
 676 5) and the vaccinated (V_i , V_c , V_a , eq. 8). Susceptible and vaccinated subgroups are divided
 677 into 3 main classes representing infants (S_i , <1 years of age), children (S_c , 1-6 years of age)
 678 and older individuals (S_a , >6 years of age).

679
 680 **Carriage and infection types**

681 Carriers are represented by two chronic infection states depending on HBe-antigen status
 682 (designated C^+ for HBeAg-positive and C^- for HBeAg-negative), and I for acute infection.
 683 Individuals may acquire HBV at any of the age classes, developing chronic infection
 684 depending on age-associated risks: $(1-\psi)$ for infants, $(1-\epsilon)$ for children, $(1-\gamma)$ for older ages.
 685 We assume that the probability of developing chronic infections decreases with age, with
 686 $\psi=0.15$, $\epsilon=0.4$, and $\gamma=0.95$ (58–60). When developing chronic infection, we assume that all
 687 individuals become HBeAg-positive but may lose this status and become HBeAg-negative at
 688 a rate θ (61). HBeAg-negative carriers may clear infection spontaneously at a rate ρ , entering
 689 the recovered class (R). Acute infections (I) are assumed to last 6 months (62) and are cleared
 690 at a rate σ , entering the recovered class (R).

691

692 **Force of Infection**

693 All carriers contribute to the force of infection (λ , eq. 11). It is assumed that chronic HBe-
694 antigen positive infections (C^+) and acute infections (I) have a higher transmission rate ($\beta\beta_m$)
695 than chronic HBe-antigen negative infections (C^-) (β) (9):

$$\lambda = \beta[C^- + \beta_m(C^+ + I)] \quad (11)$$

696

697 **Births and Mortality**

698 The population is assumed to be of constant size with equal births b (eq. 12) and deaths (μ ,
699 μ'). Due to HBV-associated mortality, the lifespan of chronic HBeAg-positive (C^+) individuals
700 is taken to be lower (50 years) than the general lifespan (59 years (11)). In the absence of
701 control, the total number of births (b) is divided into Z (eq. 13), W (eq. 14) and Z' (eq. 17)
702 depending on the probability of vertical transmission (A_1, A_2) and proportion vaccinated at birth
703 (ω_n). W is the proportion of babies born to infected mothers acquiring infection at birth or
704 shortly after, and Z the proportion born susceptible.

$$b = \frac{\mu(S_a + S_c + S_i + I + R + V_i + V_c + V_a + C^-) + \mu' C^+}{S_a + S_c + S_i + I + R + V_i + V_c + V_a + C^- + C^+} \quad (12)$$

$$Z = \frac{b(1 - \omega_n)(S_a + S_c + S_i + I + R + V_i + V_c + V_a) + bC^+(1 - \omega_n)(1 - A_1) + bC^-(1 - \omega_n)(1 - A_2)}{bC^+ A_1 + bC^- A_2} \quad (13)$$

$$W = bC^+ A_1 + bC^- A_2 \quad (14)$$

705

706 **Vertical Transmission**

707 Vertical transmission takes place from mothers with chronic infections and is dependent on
708 their HBe-antigen serostatus, with frequency of transmission α_1 for HBeAg-positive (C^+) and
709 α_2 for HBeAg⁻ (C^-). For interventions reducing vertical transmission, α_1 and α_2 are multiplied by
710 $(1 - \zeta)$, with $\zeta \in [0, 1]$ being the impact of the intervention (eq. 15-16). For simplicity and lack of
711 observations for appropriate parameterization, we assume that acute infections do not
712 contribute to vertical transmission.

$$A_1 = \alpha_1(1 - \zeta) \quad (15)$$

$$A_2 = \alpha_2(1 - \zeta) \quad (16)$$

713

714 **Routine vaccination**

715 Routine vaccination is implemented under three general strategies: coverage of neonates (Z' ,
716 eq. 8, 17), coverage of 1-6 years old by vaccinating individuals leaving the susceptible <1
717 years old class (term $c\omega_c S_i$ in eq. 9), and coverage of 6+ years old by vaccinating individuals
718 leaving the susceptible 1-6 years old class (term $a\omega_a S_c$ in eq. 10). In essence, we model
719 vaccination occurring either at birth, or at particular ages (1 year, 6 years).

$$Z' = b\omega_n(S_a + S_c + S_i + I + R + V_i + V_c + V_a) + b\omega_n(1 - A_1)C^+ + b\omega_n(1 - A_2)C^- \quad (17)$$

720

721 **Catch-up vaccination**

722 For simplicity, catch-up is modelled in a single event (time step t_{cu}), by moving a proportion of
 723 susceptible individuals into the age-corresponding vaccinated classes. In practice, this is an
 724 impulse event in the ODE system. Catch-up proportions are age-specific with parameters K_i
 725 for <1 years old, K_c for 1-6 years old, and K_a for 6+ years old.

$$K_i = \begin{cases} \kappa_i, & \text{if } t = t_{cu} \\ 0, & \text{otherwise} \end{cases} \quad (18)$$

$$K_c = \begin{cases} \kappa_c, & \text{if } t = t_{cu} \\ 0, & \text{otherwise} \end{cases} \quad (19)$$

$$K_a = \begin{cases} \kappa_a, & \text{if } t = t_{cu} \\ 0, & \text{otherwise} \end{cases} \quad (20)$$

726

727 **Markov-chain Monte-Carlo fitting approach**

728 In two independent steps, we fit certain ODE model outputs to empirically observed variables
 729 in the South African population, to set demographic and transmission backgrounds before
 730 simulating intervention strategies. We apply a Bayesian Markov-chain Monte-Carlo (MCMC)
 731 approach, developed and used by us in other modelling studies (63,64). The proposal
 732 distributions (q) of each parameter are defined as Gaussian (symmetric), effectively
 733 implementing a random walk Metropolis kernel. We define our acceptance probability α of a
 734 parameter set Θ given model ODE output y as:

$$\alpha = \min\left\{1, \frac{\pi(y|\Theta^*)p(\Theta^*)q(\Theta^o|\Theta^*)}{\pi(y|\Theta^o)p(\Theta^o)q(\Theta^*|\Theta^o)}\right\} \quad (21)$$

735

736 where Θ^* and Θ^o are the proposed and current (accepted) parameter sets (respectively); $\pi(y$
 737 $| \Theta^*)$ and $\pi(y | \Theta^o)$ are the likelihoods of the ODE output representing the (observed) variables
 738 by each parameter set Θ^* and Θ^o ; $p(\Theta^o)$ and $p(\Theta^*)$ are the prior-related probabilities given
 739 each parameter set.

740

741 For simplicity and because all fitted variables are proportions, the likelihoods π were calculated
 742 as the product of conditional Gaussian probabilities ($Pr\{\dots\}$). The likelihood is the product the
 743 conditional probabilities of all variables. The likelihood can be formally expressed as:

$$\pi(y|\Theta) = \prod_{i=1}^N [Pr\{y_i = d_i\}] \quad (22)$$

744

745

746 **MCMC and model implementation**

747 The mathematical ODE model and MCMC approach were developed in C/C++ (available as
748 additional material which will be uploaded on manuscript acceptance). Visualisations were
749 implemented in R.

750

751 **Fitting demographic background**

752 Before considering transmission and interventions, we first fitted the model to a demographic
753 background. This is done with the above described fitting approach without transmission (i.e.
754 at $t=0$, $I+C^++C^- = 0$), using as target variables (Gaussian with standard deviation 1) the
755 expected mean proportions of infants <1 years old ($S_i=0.022$), children 1-6 years old ($S_c=0.11$)
756 and older ages ($S_a=0.868$) in the population of study (taken from Census 2011 (65)). We set
757 the posteriors of the aging rates a and c , with median $a=0.1337$ (95% CI 0.1330 - 0.1343) and
758 median $c=0.7536$ (95% CI 0.7369 - 0.7709). We set the values of a and c to the median values
759 of the posteriors for all other model results (fitting transmission background and simulating
760 interventions).

761

762 **Fitting transmission background**

763 After fitting demographic parameters and before considering interventions, we fitted the model
764 to a transmission background. This is done using the above described fitting approach, with
765 fixed aging rates a and c . The target variables are set to the percentage of the population that
766 is HBsAg-positive (total carriers), percentage that are anti-HBc positive (R), and relative
767 prevalences of chronic carriers HBeAg-positive (C^+) and HBeAg-negative (C^-) for the
768 population of study. We used target Gaussian distributions (standard deviation 1) with mean
769 30% for anti-HBc, mean 8.3% for total carriers, mean proportion of 73% for HBeAg-negative
770 and 23% for HBeAg-positive (9,66). In this step, the posteriors of the parameters β , ρ , α_1 , α_2 ,
771 θ and β_m are obtained.

772

773 **Fitted parameters and priors for transmission setting**

774 We fitted six parameters for the local transmission setting (β , ρ , α_1 , α_2 , θ and β_m). Gaussian
775 informative priors are used for three parameters: frequency of vertical transmission α_1 for
776 HBeAg-positive (C^+) with mean $M=0.8$ and standard deviation $SD=0.05$, the frequency of
777 vertical transmission α_2 for HBeAg⁻ (C^-) with $M=0.25$ and $SD=0.05$ (59,60,67,68), and the
778 increased transmission factor for chronic HBe-antigen positive infections (C^+) and acute
779 infections (I) β_m with $M=10$ and $SD=2.5$ (69–72). For β , ρ and θ uninformative, uniform priors
780 are used with ranges of 0 to 30 for β and 0 to 1 for θ and ρ . In the main results we demonstrate
781 that the posteriors for ρ and θ follow the scarce knowledge of these parameters.

782

783 **Simulating deterministic interventions**

784 After fitting demographics and transmission backgrounds, when simulating deterministic
785 interventions, we fix a , c , β , ρ , α_1 , α_2 , θ and β_m to the obtained posterior medians. We vary
786 combinations of the intervention parameters ω_n , ω_c , ω_a , (routine coverage for different ages),
787 K_i , K_c , K_a (catch-up coverages) and ζ (reduction in vertical transmission). The transmission
788 dynamics without interventions are run until the population reaches equilibrium, effectively
789 reproducing the desired proportions as used in *Fitting transmission background*, at which point
790 interventions are started and the model is tracked for 1000 years.

791

792 **Simulating stochastic interventions**

793 A stochastic version of the model presented in equations 1-10 was developed by introducing
794 demographic stochasticity in state transitions. This followed a previously used strategy, in
795 which multinomial distributions are used to sample the effective number of individuals
796 transitioning between classes per time step (64,73,74). Multinomial distributions are
797 generalized binomials – *Binomial* (n,p) - where n equals the number of individuals in each
798 class and p the probability of the transition event (equal to the deterministic transition rate).
799 Simulations followed the same approach as described for deterministic simulations (see
800 above). However, for each combination of parameters defining the intervention, $N=50$
801 stochastic simulations are run by sampling N times the posteriors of the parameters obtained
802 in *Fitting transmission background* (β , ρ , α_1 , α_2 , θ and β_m). This approach effectively takes into
803 account demographic stochasticity and parameter (posterior) variation.

804

805 **Measuring impact of interventions**

806 Sustainable development goals (SDGs) for the year 2030 have been set out in the WHO
807 Global Health Sector Strategy on Viral Hepatitis (5). Given the public health relevance of
808 chronic infections, in particular of HBeAg-positive infections, we here set out to measure
809 impact of interventions based on two targets set for the year 2030:

- 810 i. The WHO target for a 90% reduction in HBsAg incidence, based on the assumption
811 that this applies to chronic infection. (WHO goals also use reductions in HBsAg
812 prevalence, and we have included this approach in Figure Supplements).
- 813 ii. An additional target for reduction of HBeAg-positive prevalence to 1 in 1000 (0.1%) in
814 the whole population, relative to the pre-intervention era.

815

816 **Fitting of cohort data on HIV serostatus and HBV vaccine-induced protection**

817 We started with the assumptions that (i) protection is either constant or decays with age, (ii)
818 vaccine efficacy reported elsewhere for infants is representative of protection levels in the

819 population cohort of 1 year olds (infants), and (iii) HIV status may alter protection levels and
820 decay of vaccine-mediated protection over time (75).

821

822 First, using a response threshold of ≥ 100 mIU/ml as a correlate of protection (75), we
823 calculated the percentage of protected individuals in age 1, 2, 3, 4 and 5 years old, as available
824 in the cohort data. Following assumption (i), we normalized the percentage of protected
825 individuals in age by the percentage found for 1 year olds. Following assumption (ii) we
826 multiplied this scaled variable ($[0,1]$) by an informed, literature-based baseline vaccine-
827 induced protection (to infection) of 95% for HIV-negative infants and 75% for HIV-positive
828 infants (see (75) for a recent literature review). The transformed protection cohort series are
829 shown in red on Figure Supplement 1AB. The obtained efficacy in the age group of 1 year
830 olds is seen to be $\sim 95\%$ for HIV- and $\sim 75\%$ for HIV+, as expected.

831

832 We then used nonlinear weighted least-squares to fit the transformed protection cohort series
833 (Figure Supplement 1AB) and projected protection in ages, with weights equal to the inverse
834 of the (empirical) standard error for each age class (Figure Supplement 1C). The nonlinear
835 model ($Y \sim a * X^b$) fitted the data closely (Figure Supplement 1AB) for both HIV-positive and
836 HIV-negative individuals (with resulting coefficients $a=0.7842$ $b=-1.0477$ for HIV-positive and
837 $a=0.95246$ $b=-0.05265$ for HIV-negative). As reported elsewhere (75), projection of protection
838 by age showed a significant difference depending on HIV serostatus, both in level of vaccine-
839 mediated antibodies, and in decay of protection with age (Figure Supplement 1C).

840

841 **Modelled HBV vaccine-induced protection in the context of HIV status**

842 Given that the age classes in the dynamic model are discrete (<1 , 1-6, 6+ years of age) and
843 for simplicity, we parameterized protection according to the predicted (Gaussian) distributions
844 at the mean age of each age class in the model (Figure Supplement 1D). That is, we used the
845 predicted mean (M) and standard deviation (SD) at ages 0.5, 3.5, 32.5 years as proxies for
846 protection at model age classes <1 , 1-6, 6+ years of age, respectively. The resulting
847 distributions (shown in Figure Supplement 1D-F) were: HIV-negative aged $<1y$ with $M=0.952$
848 and $SD=0.024$, aged 1-6y with $M=0.892$ and $SD=0.023$, aged 6+y with $M=0.796$ and
849 $SD=0.074$; HIV-positive aged $<1y$ with $M=0.784$ and $SD=0.148$, aged 1-6y with $M=0.217$ and
850 $SD=0.070$, aged 6+y with $M=0.031$ and $SD=0.039$. These estimations were in accordance
851 with previous studies and pooled ranges reported (75). Note that these values equate to
852 protection at the individual level of each age class, such that, for example, HIV-negative aged
853 $<1y$ with $M=0.952$ equates to a mean of 95.2% vaccine-induced protection in that age class.

854

855 Vaccine-induced protection is modelled in the dynamic system using the term $(1-\Delta x)$ in
856 equations 4 and 7-10, where x relates to a specific age class. The term $(1-\Delta x)$ therefore models
857 a reduction in risk of infection, with Δx being the protection offered by the vaccine. Given that
858 vaccine-induced protection is dependent on HIV status, Δx takes the following forms:

859

$$\Delta_i = P_i^+ \times v_i^+ + (1.0 - P_i^+) \times v_i^- \quad (25)$$

$$\Delta_c = P_c^+ \times v_c^+ + (1.0 - P_c^+) \times v_c^- \quad (26)$$

$$\Delta_a = P_a^+ \times v_a^+ + (1.0 - P_a^+) \times v_a^- \quad (27)$$

860

861 Where P_x^+ is the HIV prevalence at a certain age x , v_x^+ the vaccine-induced protection at a
862 certain age x for HIV-positive individuals, and v_x^- the vaccine-induced protection at a certain
863 age x for HIV-negative individuals (as determined in the approach detailed above). HIV
864 prevalence levels used in the context of Kimberley were 1% for <1 years of age, 5% for 1-6
865 years of age, and 15% for >6 years of age (based on communications with clinicians in South
866 Africa, (76)).

867

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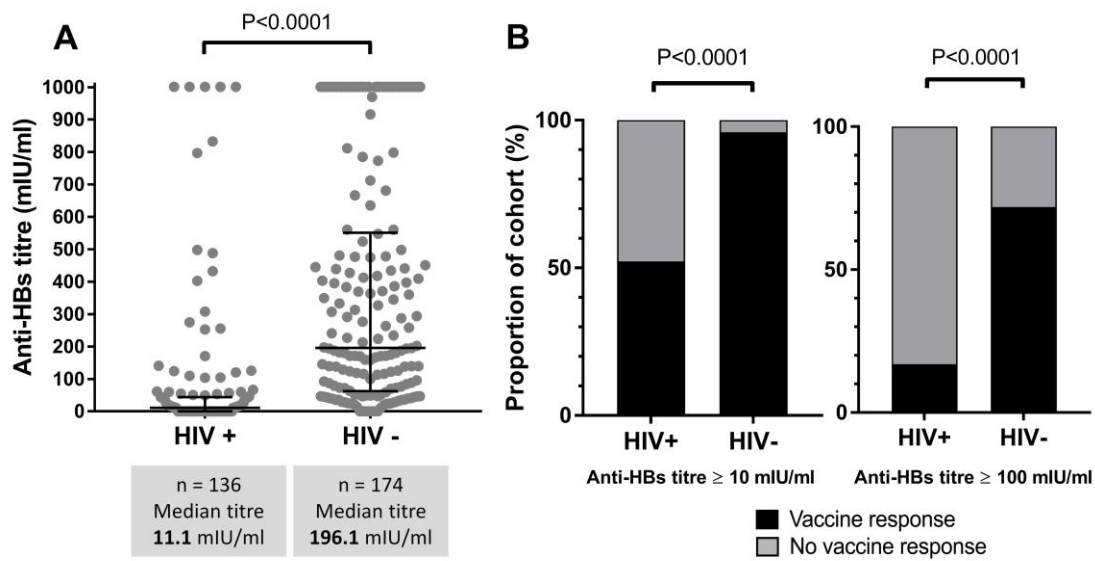
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- 1088

1089 **FIGURE LEGENDS**



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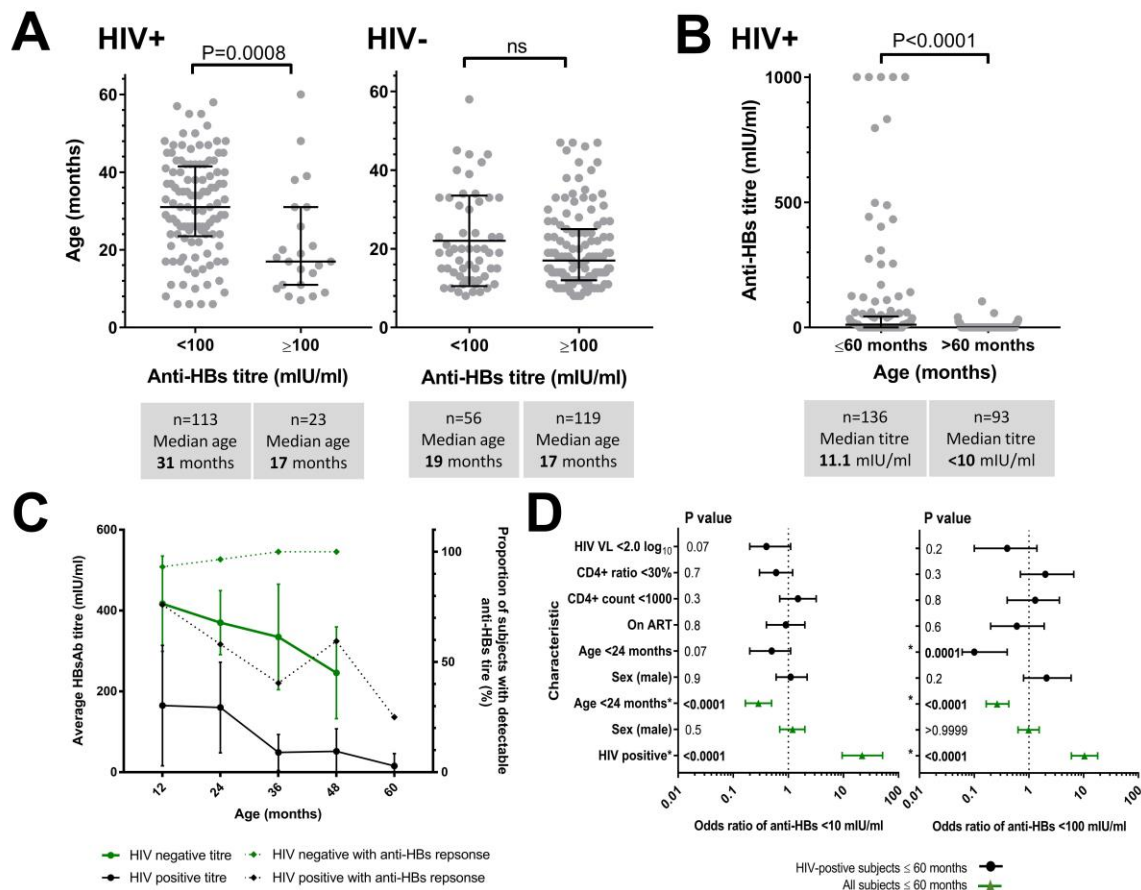
1091 **Figure 1: Hepatitis B surface antibody (anti-HBs) titres mediated by vaccination in HIV-**

1092 **positive (HIV+) and HIV-negative (HIV-) children aged 6-60 months in Kimberley, South**

1093 **Africa.** A: Scatter plot representing vaccine-mediated antibody titres, indicating median and

1094 interquartile ranges (p-value by Mann Whitney U test). B: Proportion of children with anti-HBs

1095 ≥10 mIU/ml or ≥100 mIU/ml (p-values by Fisher's Exact Test).



1096

1097

Figure 2: Relationship between age and vaccine-mediated Hepatitis B surface antibody (anti-HBs) titres in HIV-positive and HIV-negative children in Kimberley, South Africa.

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1099

A: Ages of children attaining anti-HBs titres ≥ 100 mIU/ml for HIV-positive and HIV-negative

1100

children age 6-60 months. Median ages, interquartile ranges and p-values by Mann-Whitney

1101

U test are indicated. B: Relationship between age and vaccine-mediated Ab titre among HIV-

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positive children including those age 6-60 months and an older cohort age >60 months (range

1103

64-193 months). P-value by Mann Whitney U test. C: Anti-HBs titre and proportion of subjects

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with a detectable titre for HIV-positive and HIV-negative children according to age. On the

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solid lines, each point represents the mean titre (with 95% confidence intervals) for the group

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of children aged ≤ 12 months (1 yr), 13-24 months (2 yrs), 25-36 months (3 yrs), 37-48 months

1107

(4 yrs), 49-60 months (5 years). For the same groups of children, the dotted lines represent

1108

the proportion of subjects with a detectable titre and the 95% confidence intervals. Trends

1109

within the data were assessed using linear regression analysis D: Odds ratios for protective

1110

response to HBV vaccination in children age 6-60 months in Kimberley, South Africa are

1111

shown for anti-HBs titre <10 mIU/ml and <100 mIU/ml in the whole cohort (green) and in HIV-

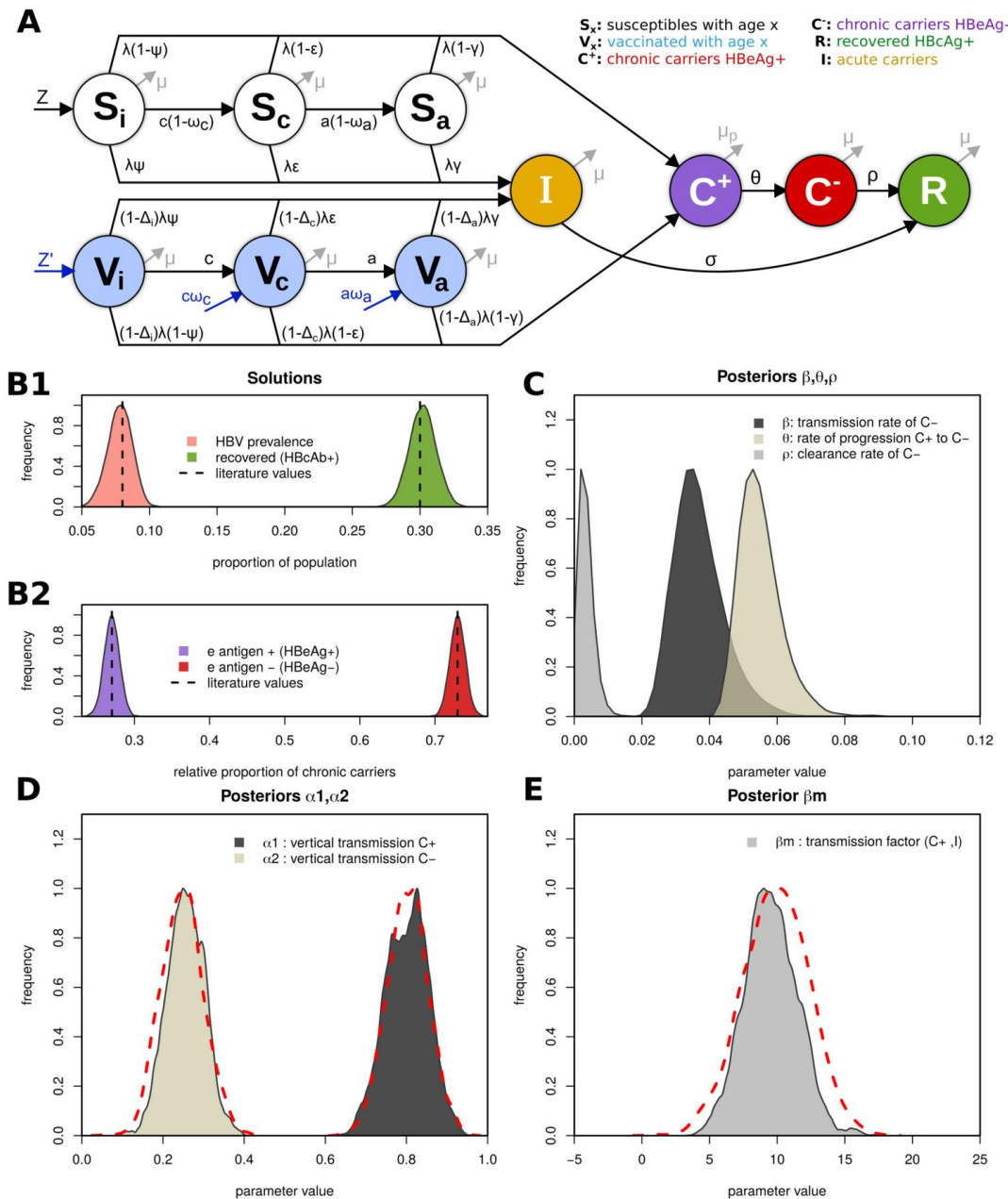
1112

positive children (black). Statistically significant OR are denoted * and significant p-values are

1113

indicated in bold. Figure 2: Relationship between age and vaccine-mediated Hepatitis B

1114 surface antibody (anti-HBs) titres in HIV-positive and HIV-negative children in Kimberley,
 1115 South Africa.



1116

1117 **Figure 3: Diagram showing model of HBV dynamics in a population, fitted solutions and**

1118 **parameter posteriors. (A)** Diagram of the ODE model. Susceptibles (S_x) and vaccinated (V_x)

1119 are divided into 3 classes representing infants ($x=i$, <1 years of age), children ($x=c$, 1-6 years

1120 of age) and older individuals ($x=a$, >6 years of age). Further details in the Methods section.

1121 **(B1-B2)** Distributions of pre-intervention ODE model output at equilibrium for the fitted classes:

1122 (B1) carriers ($I+C^++C^-$, HBsAg+, salmon) and recovered (R , HBeAg+, green); (B2) relative

1123 proportions of HBeAg+ (C^+ , purple) and HBeAg- (C^- , red) among chronic carriers (C^++C^-).

1124 Distributions of target variables (fitted, B1, B2) are obtained by running the deterministic model

1125 with 10,000 samples of the posteriors shown in subplots C-E. Dashed vertical lines present

1126 the target fitted proportions based on the SA cohort and literature reports (see Methods
 1127 Section). **(C-E)** Posterior distributions for the fitted parameters (1.5 million samples), with
 1128 informative priors drawn with dashed red lines (1000 samples from distributions). Support
 1129 results for the cohort data-driven approach related to HIV status and HBV vaccine-induced
 1130 protection are in **Figure 3 - Supplement Figure 1**.
 1131

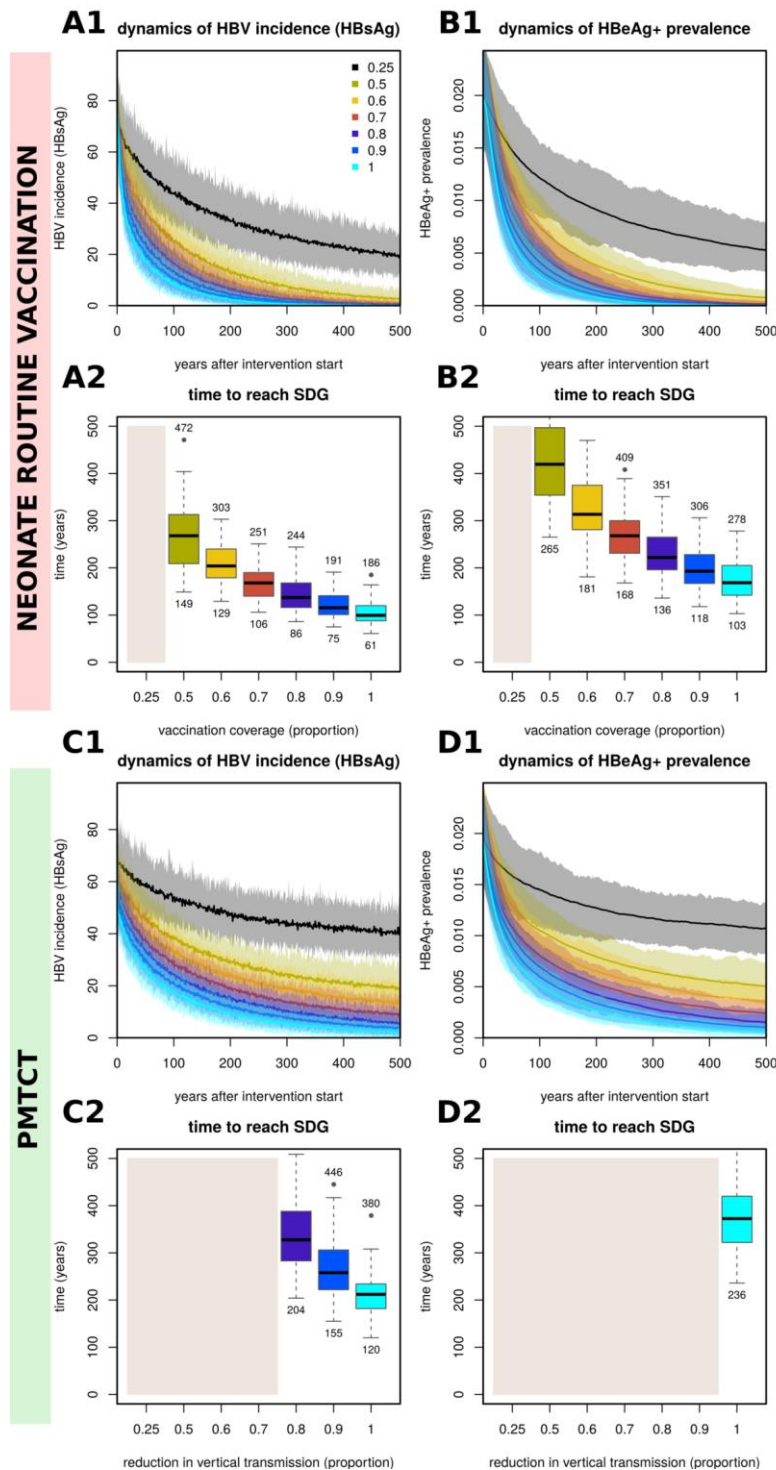
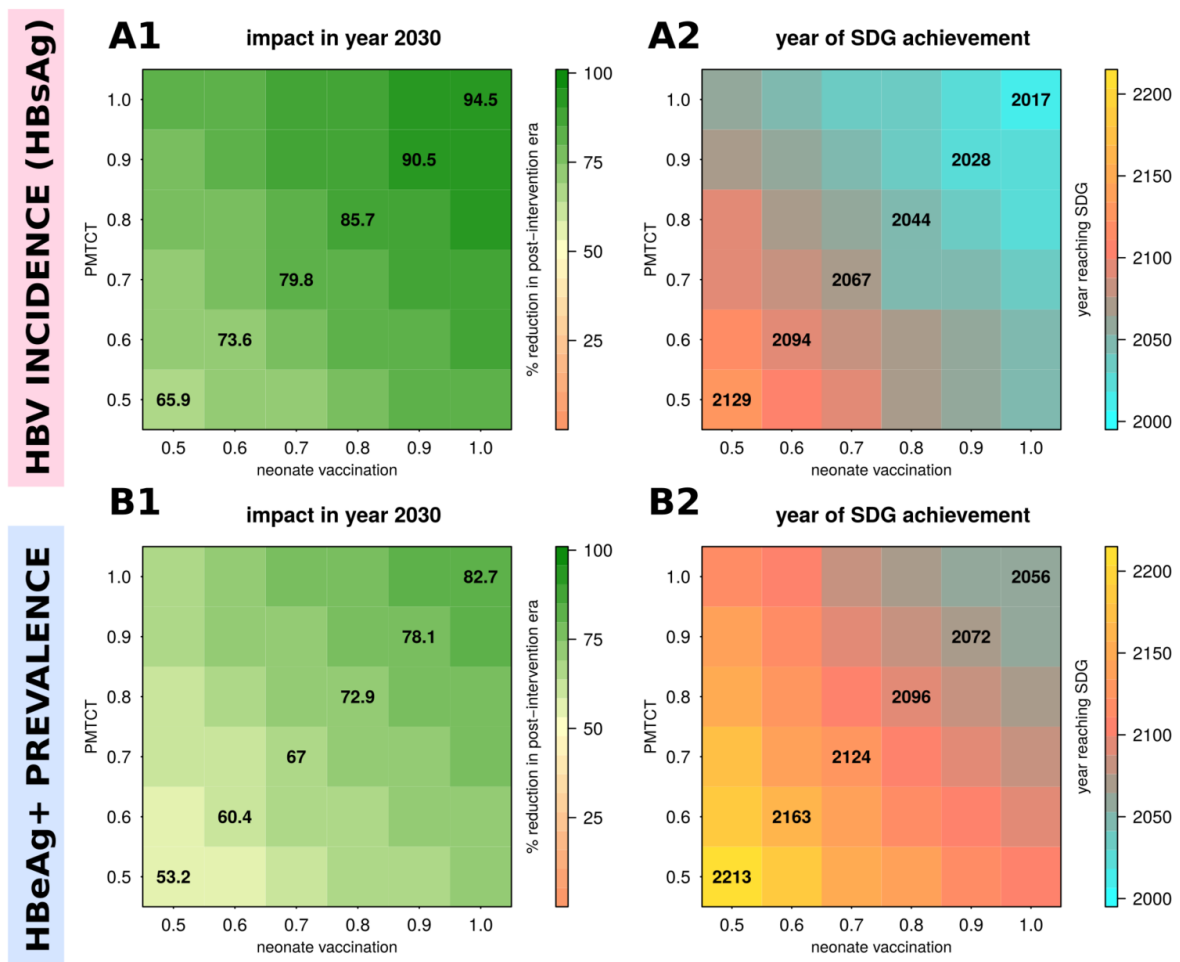


Figure 4: Stochastic impact of neonatal vaccination and PMTCT on HBV incidence (HBsAg) and HBeAg+ prevalence, showing time to reach sustainable development goals when using interventions independently. (A1-A2) Impact on HBV incidence (HBsAg) (A1) and time to reach sustainable development goal (SDG) (A2) for varying routine immunization coverage of neonates. (B1-B2) Impact on HBeAg+ prevalence (B1) and time to reach SDG (B2) for varying routine immunization coverage of neonates. (C1-C2) Impact on HBV incidence (HBsAg) (C1) and time to reach SDG (C2) for varying PMTCT coverage. (D1-D2) Impact on HBeAg+ prevalence (D1) and time to reach SDG (D2) for varying PMTCT coverage. (A1, B1, C1, D1) Lines are the mean and shaded areas the standard deviation of model output when running 50 stochastic simulations per intervention (sampling the parameter posteriors shown in Figure 1). (A2, B2, C2, D2) HBV incidence

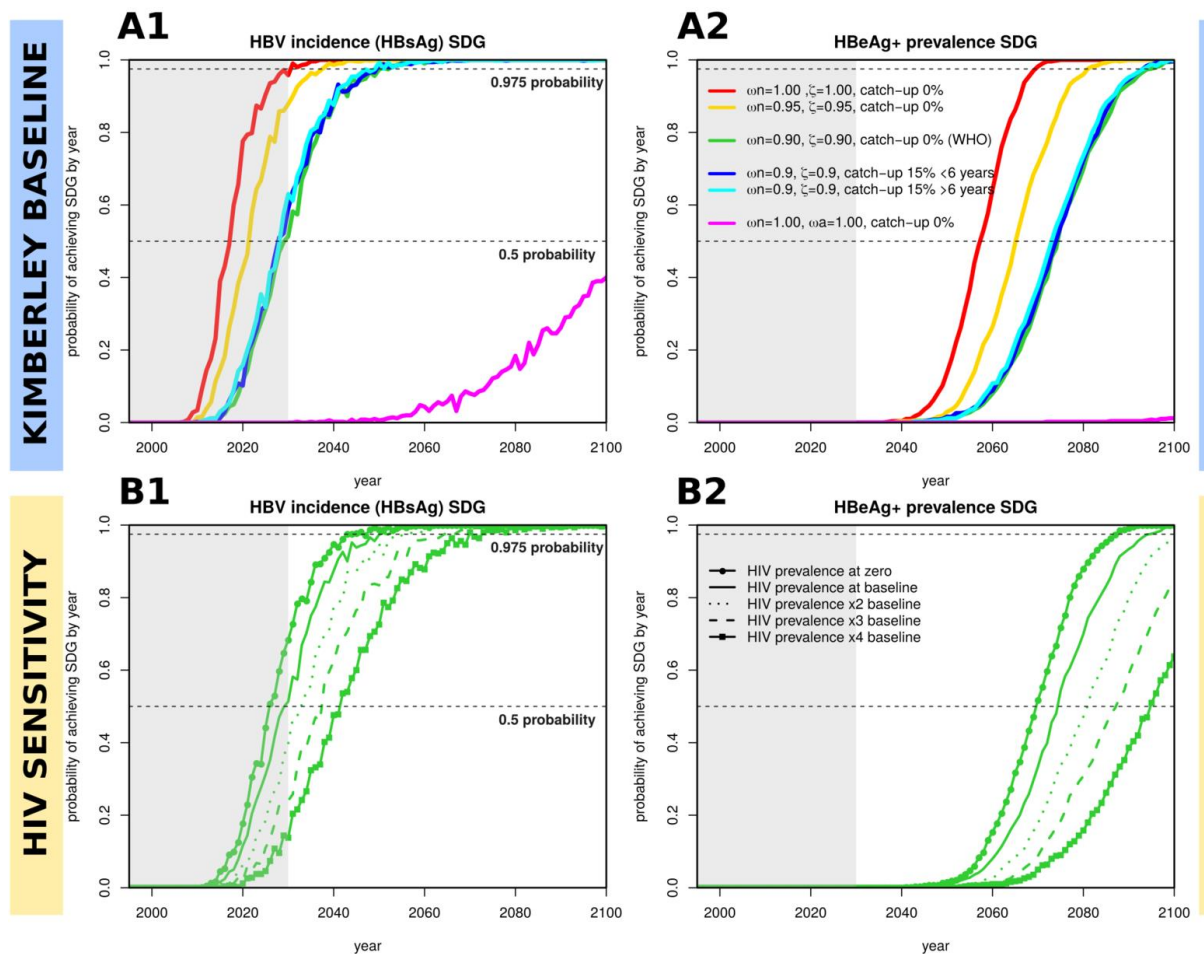
1161 standard deviation of model output when running 50 stochastic simulations per intervention
 1162 (sampling the parameter posteriors shown in Figure 1). **(A2, B2, C2, D2) HBV incidence**

1163 **(HBsAg)** SDG is set to a reduction of 90%. HBeAg+ prevalence SDG is set to 1/1000
 1164 individuals. Beige areas mark interventions reaching SDGs after 500 years on average.
 1165 Boxplots show the variation of the 50 stochastic simulations. Numbers above and below
 1166 boxplots show the 2.5% lower and 97.5% upper limits of the solutions. **(All subplots)**
 1167 Intervention coverage varies from 0.25 to 1 (as coloured and named in subplot A1). Support
 1168 results: deterministic solutions of neonatal vaccination and PMTCT are in Figure 4 – **Figure**
 1169 **supplement 1**; for stochastic solutions of neonatal vaccination and PMTCT with impact on
 1170 total prevalence (acute and chronic) are in **Figure 4 – Figure supplement 2**.



1171
 1172 **Figure 5: Sensitivity of mean intervention impact on HBV incidence (HBsAg) and**
 1173 **HBeAg+ prevalence based on combinations of routine neonatal vaccination and**
 1174 **PMTCT. (A1-A2) Mean impact of interventions on HBV incidence (HBsAg) (A1) and mean**
 1175 **time to reach sustainable development goals (SDGs) (A2). (B1-B2) Mean impact of**
 1176 **interventions on HBeAg+ prevalence (B2) and mean time to reach SDG (B2). (All subplots)**
 1177 **Impact is shown as percent reduction in incidence or prevalence compared to pre-intervention**
 1178 **levels (e.g. 50 indicates a 50% reduction compared to before the start of the intervention).**
 1179 **HBV incidence (HBsAg) SDG is set to a reduction of 90%. HBeAg+ prevalence SDG is set**

1180 to 1/1000 individuals. Mean results are obtained from 50 stochastic simulations per
 1181 intervention combination (vaccination, PMTCT) with parameters sampled from the posteriors
 1182 shown in Figure 1. Start of interventions in the stochastic simulations is in year 1995 to
 1183 simulate an appropriate time scale to address impact by 2030. Support results: impact and
 1184 time to reach SDGs when considering combinations of PMTCT and routine vaccination of
 1185 individuals at the age of 6 are in **Figure 5 – Figure supplement 1**; impact and time to reach
 1186 SDGs when considering combinations of PMTCT and neonate routine vaccination plus a
 1187 complete catch-up campaign are in **Figure 5 – Figure supplement 2**.

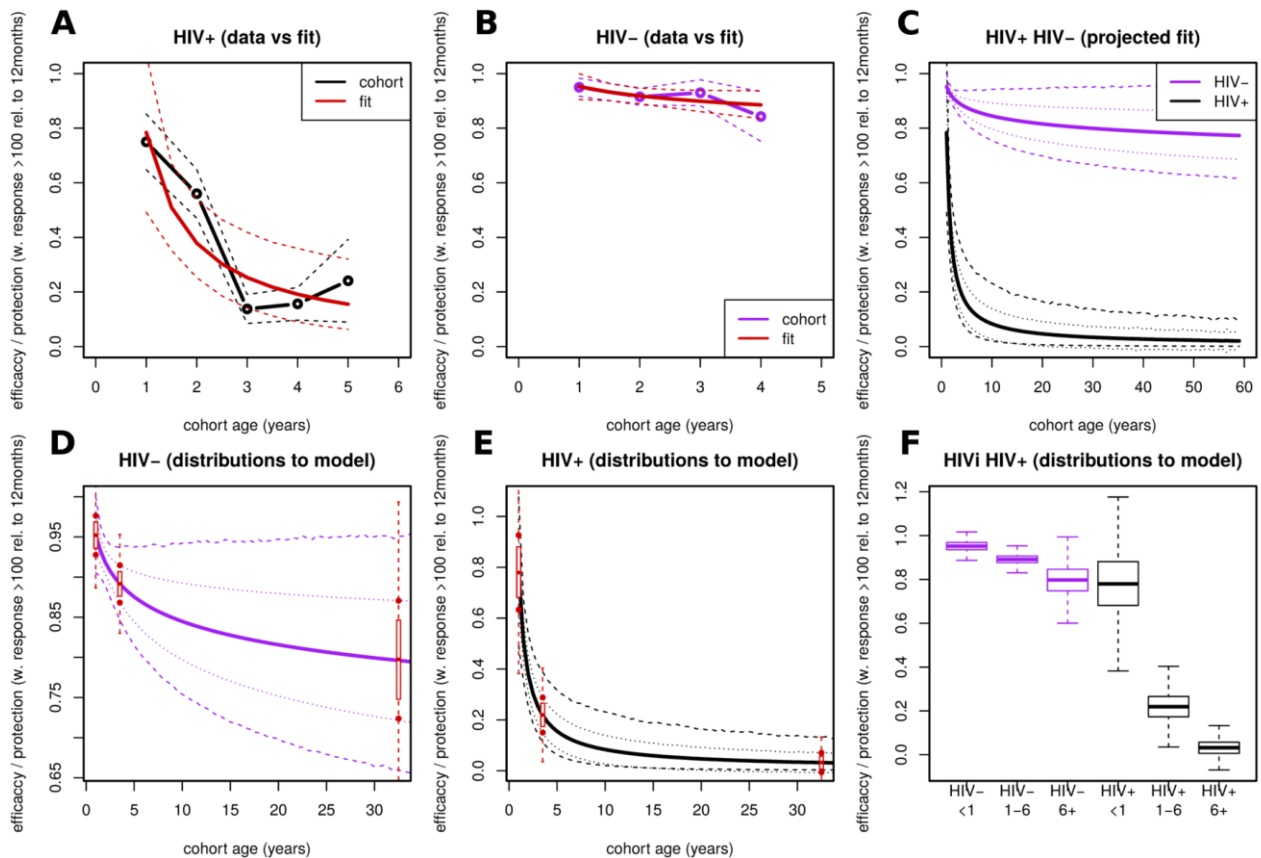


1188
 1189 **Figure 6: Yearly estimated probabilities of achieving sustainable development goals for**
 1190 **HBV incidence (HBsAg) and HBeAg+ prevalence based on particular combinations of**
 1191 **interventions and local HIV prevalence levels.** A total of 1000 stochastic simulations are
 1192 run independently for each set of particular interventions (coloured legend, subplot A2), with
 1193 each using a random parameter sample from the posteriors shown in Figure 1. Interventions
 1194 start in year 1995. For every year post-intervention start, the proportion of simulations that
 1195 have achieved the sustainable development goals (SDGs) is recorded and taken to be the
 1196 probability. **(A1)** Probability of reaching **HBV incidence (HBsAg) SDG** in time (goal is set to
 1197 a reduction of 90%). **(A2)** Probability of reaching **HBeAg+ prevalence SDG** in time (goal is set

1198 to 1/1000 individuals). **(B1, B2)** Same as subplots A1-A2 but addressing sensitivity to HIV
 1199 prevalence levels in the population for a particular intervention (green, $\omega n=0.9$, $\zeta=0.9$, catch-
 1200 up 0% (WHO)). Solid line is the same as in subplots A1-A2 (named HIV prevalence at
 1201 baseline). Other lines present results assuming zero HIV prevalence (full line with points) or
 1202 higher prevalences (dotted, dashed, line with squares). **(All subplots)** The dashed horizontal
 1203 lines mark 0.5 and 0.975 probability of achieving SDGs. The grey shaded area marks the time
 1204 period before 2030. In the interventions, ωn is routine vaccination of neonates, ζ the PMTCT
 1205 effort, ωa routine vaccination of +6 years of age, and catch-up a one-off event of vaccination
 1206 in some age groups or general population.
 1207

1208 SUPPORTING FIGURES

1209

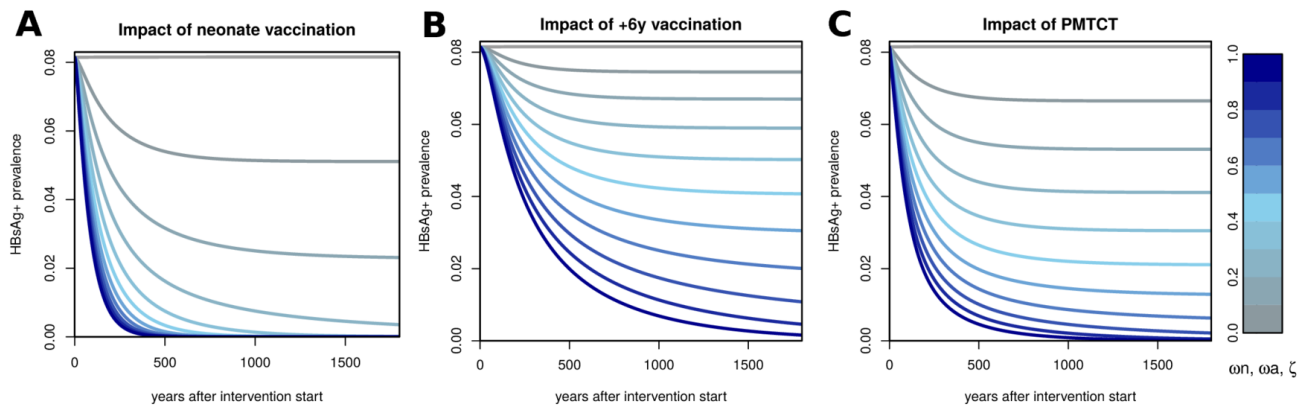


1210

1211 **Figure 3 – Figure supplement 1: Fitting HBV vaccine response according to HIV serostatus.** **(A, B)** Data on HBV
 1212 vaccine response (see sections *Waning of vaccine response with age*, and *Odds of developing an anti-HBs*
 1213 *response*) dependent on HIV serostatus. Data (points) and standard error (dashed) are shown in black for HIV+
 1214 (A) and purple for HIV- (B). Fit and 95% CI is shown in red. **(C)** Predicted HBV vaccine response dependent on
 1215 HIV serostatus (HIV+ black, HIV- purple) across all ages. Dashed lines are the fitted 95% CI; dotted lines are the
 1216 fitted standard deviation; solid bold lines are the fitted mean. **(D, E)** Boxplots in red show distributions
 1217 obtained with 10,000 samples from a gaussian distribution with mean and standard deviation equal to the
 1218 point prediction at mean ages of each age class in the dynamic model (0.5 years for class <1 years old, 3.5

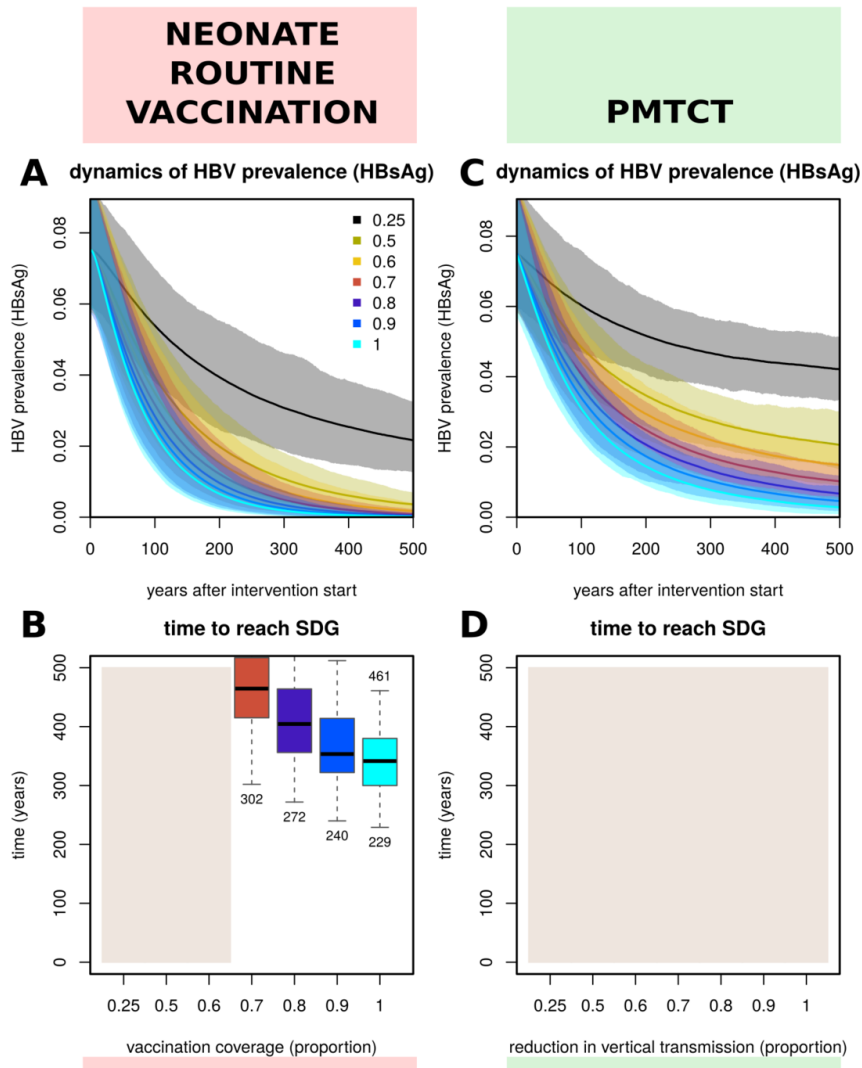
1219 years for class 1-6 years old, 32.5 years for age class 6+ years old). Distributions in subplot D are for HIV-
1220 individuals and in subplot E are for HIV+ individuals. Red dots show the gaussian sampled standard deviation
1221 (which is seen approximating the fitted standard deviation). **(F)** Summary of the distributions found in subplots
1222 D and E according to HIV serostatus and later used in the dynamic model (HIV- in purple with <1y mean=0.952
1223 std=0.024, 1-6y mean=0.892 std=0.023, 6+y mean=0.796 std=0.074; HIV+ in black with <1y mean=0.784
1224 std=0.148, 1-6y mean=0.217 std=0.070, 6+y mean=0.031 std=0.039). **(A-C)** For fit details refer to methods
1225 section.

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1228 **Figure 4 – Figure supplement 1: Sensitivity of interventions with deterministic output.** Impact of **(A)** neonate
1229 vaccination (ω_n), **(B)** vaccination at 6 years of age (ω_a), and **(C)** PMTCT (ζ), on HBV prevalence (HBsAg) in
1230 time. The coverage / effort of simulated interventions quantified on the color scale to the right from 0 (no
1231 coverage / effort) to 1 (full coverage / effort). Impact is quantified by post-intervention reductions in HBV
1232 prevalence (HBsAg). Impact is highest for neonate vaccination, followed by PMTCT and lastly vaccination at 6
1233 years of age for the same intervention effort. Simulations use the median parameter values of the posteriors
1234 shown in Figure 1. Results with stochastic simulations are presented in Figures 4-6 of the main text (and
1235 corresponding Support Figures).



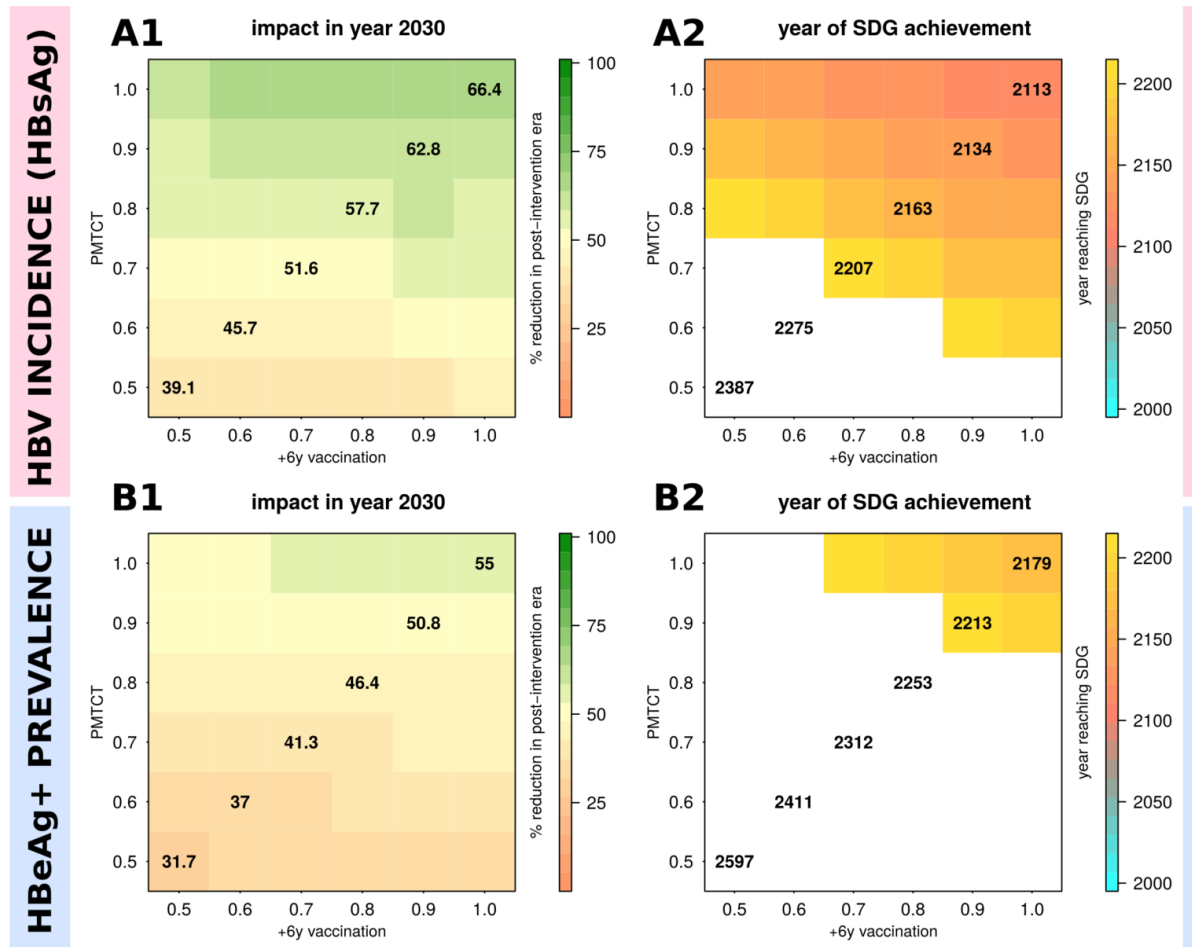
1236

1237 **Figure 4 – Figure supplement 2: Post-intervention stochastic impact on HBV prevalence (HBsAg), with time**
 1238 **to reach sustainable development goals when using routine neonatal vaccination and PMTCT**
 1239 **independently. (A, B) Impact (reduction) on HBV prevalence (HBsAg) (A) and time to reach sustainable**
 1240 **development goal (SDG) goal (B) for varying coverage of neonates. (C, D) Impact (reduction) on HBV**
 1241 **prevalence (HBsAg) (C) and time to reach SDG (D) for varying PMTCT. (All subplots) Intervention coverage /**
 1242 **effort varies from 0.25 to 1 (as colored and named in subplot A). (A, C) Lines are the mean and shaded areas**
 1243 **are the standard deviation of model output when running 50 stochastic simulations per intervention (sampling**
 1244 **the posteriors shown in Figure 1). (B, D) Beige areas mark interventions reaching SDGs after 500 years on**
 1245 **average. Boxplots show the variation of the 50 stochastic simulations. Numbers above and below boxplots**
 1246 **show the 2.5% lower and 97.5% upper limits of the solutions. The SDG is 1 in a 1000 individuals. Compared to**
 1247 **Figure 4 in the main text: measuring impact with SDG on HBV incidence (HBsAg) (as opposed to HBV**
 1248 **prevalence) results in more optimistic projections, i.e. shorter times to SDG (compare Figure 4 A2, C2 with this**
 1249 **figure subplots B, D). PMTCT is unable to present solutions reaching the SDG for HBV prevalence (HBsAg) in 500**
 1250 **years (D).**

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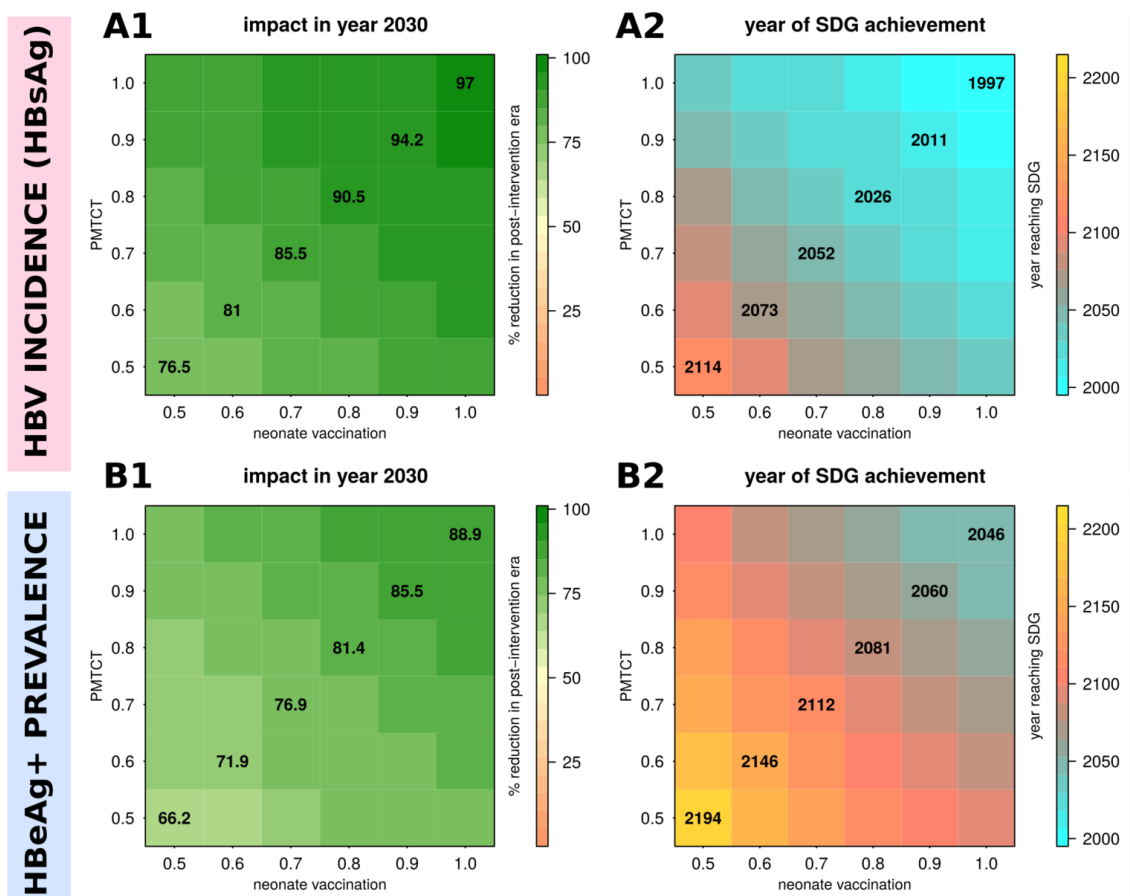
1255 **Figure 5 – Figure supplement 1: Sensitivity of mean intervention impact on HBV incidence (HBsAg) and**
 1256 **HBeAg+ prevalence, with estimated mean year to reach sustainable development goals for combinations of**
 1257 **routine +6 years vaccination and PMTCT. (A1-A2) Mean impact of interventions on HBV incidence (HBsAg)**
 1258 **(A1) and mean time to reach sustainable development goals (SDGs) (A2). (B1-B2) Mean impact of**
 1259 **interventions on HBeAg+ prevalence (B2) and mean time to reach SDG (B2). (All subplots) Impact is shown as**
 1260 **percent reduction in incidence or prevalence compared to pre-intervention levels (e.g. 50 indicates a 50%**
 1261 **reduction compared to last time step before intervention start). HBV incidence (HBsAg) SDG is set to a**
 1262 **reduction of 90%. HBeAg+ prevalence SDG is set to 1 in a 1000 individuals. Mean results are obtained from 50**
 1263 **stochastic simulations per intervention combination (vaccination, PMTCT) with parameters sampled from the**
 1264 **posteriors shown in Figure 1. Start of interventions in the stochastic simulations is in year 1995 to simulate an**
 1265 **appropriate time scale to address impact by 2030. Compared to Figure 5 main text: the combination of PMTCT**
 1266 **and routine vaccination of +6 years is highly suboptimal, with perfect routine coverage and PMTCT (top right**
 1267 **cell, subplots A1, B1) achieving reductions of HBV incidence (HBsAg) and HBeAg+ prevalence by 2030 similar**
 1268 **to half the vaccination coverage for neonates and half the PMTCT effort seen in Figure 5 (top right cell,**
 1269 **subplots A1, B1), for example.**

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1275 **Figure 5 – Figure supplement 2: Sensitivity of mean intervention impact on HBV incidence (HBsAg) and**
 1276 **HBeAg+ prevalence, with estimated mean year to reach sustainable development goals for combinations of**
 1277 **routine neonatal vaccination and PMTCT plus a complete catch-up campaign. (A1-A2) Mean impact of**
 1278 **interventions on HBV incidence (HBsAg) (A1) and mean time to reach sustainable development goals (SDGs)**
 1279 **(A2). (B1-B2) Mean impact of interventions on HBeAg+ prevalence (B2) and mean time to reach SDG (B2). (All**
 1280 **subplots) Impact is shown as percent reduction in incidence or prevalence compared to pre-intervention levels**
 1281 **(e.g. 50 indicates a 50% reduction compared to last time step before intervention start). HBV incidence**
 1282 **(HBsAg) SDG is set to a reduction of 90%. HBeAg+ prevalence SDG is set to 1 in a 1000 individuals. Mean**
 1283 **results are obtained from 50 stochastic simulations per intervention combination (vaccination, PMTCT) with**
 1284 **parameters sampled from the posteriors shown in Figure 1. Start of interventions in the stochastic simulations**
 1285 **is in year 1995 to simulate an appropriate time scale to address impact by 2030. Complete catch-up campaign**
 1286 **stands for a one-off event with 100% coverage of all susceptible individuals in the population at the start of**
 1287 **interventions. Compared to Figure 5 main text: adding one 100% catch-up campaign to the interventions in**
 1288 **Figure 5 is beneficial, for which the highest reductions of HBV incidence (HBsAg) and HBeAg+ prevalence by**
 1289 **2030 are achieved, as well as the shorter times to SDG. However, 100% catch-up is logistically and**
 1290 **economically not feasible and the added benefits are small. For example, with complete neonatal coverage**
 1291 **and PMTCT (top right cell, subplots A1, B1), the catch-up campaign would only add <5% in the mean reduction**
 1292 **of HBV incidence (HBsAg) and HBeAg+ prevalence up to year 2030 (compare to top-right cells of subplots A1**
 1293 **and B1 in Figure 5).**

1294

1295 **SUPPLEMENTARY DATA**

1296

1297 **Suppl data 1.** Metadata for three paediatric cohorts recruited in Kimberley, South Africa,
1298 including longitudinal CD4+ T cell and viral load data for paediatric HIV cohort age ≤ 60 months
1299 in Kimberley, South Africa. This file is available on-line via the following link:
1300 <https://figshare.com/s/cd1e4f324606949d1680>

1301

1302 **FIGURE SUPPLEMENTS**

1303

1304 Figure 3 – Figure supplement 1: Fitting HBV vaccine response according to HIV serostatus.

1305

1306 Figure 4 – Figure supplement 1: Sensitivity of interventions with deterministic output.

1307

1308 Figure 4 – Figure supplement 2: Post-intervention stochastic impact on HBV prevalence
1309 (HBsAg), with time to reach sustainable development goals when using routine neonatal
1310 vaccination and PMTCT independently.

1311

1312 Figure 5 – Figure supplement 1: Sensitivity of mean intervention impact on HBV incidence
1313 (HBsAg) and HBeAg+ prevalence, with estimated mean year to reach sustainable
1314 development goals for combinations of routine +6 years vaccination and PMTCT.

1315

1316 Figure 5 – Figure supplement 2: Sensitivity of mean intervention impact on HBV incidence
1317 (HBsAg) and HBeAg+ prevalence, with estimated mean year to reach sustainable
1318 development goals for combinations of routine neonatal vaccination and PMTCT plus a
1319 complete catch-up campaign.

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1327 Nil

1328

1329 **CONFLICTS OF INTEREST**

1330 None to declare

1331

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1342