# Inert and seed-competent tau monomers suggest structural origins of aggregation

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28    **Abstract**
29
Tauopathies feature progressive accumulation of tau amyloids. Pathology may begin when
these amplify from a protein template, or seed, whose structure is unknown. We have purified
and characterized distinct forms of tau monomer—inert ($M_i$) and seed-competent ($M_s$).
Recombinant $M_s$ triggered intracellular tau aggregation, induced tau fibrillization *in vitro*, and
self-assembled. $M_s$ from Alzheimer's disease also seeded aggregation and self-assembled *in*
*vitro* to form seed-competent multimers. We used crosslinking with mass spectrometry to
probe structural differences in $M_i$ vs. $M_s$. Crosslinks informed models of local peptide
structure within the repeat domain which suggest relative inaccessibility of residues that drive
aggregation (VQIINK/VQIVYK) in $M_i$, and exposure in $M_s$. Limited proteolysis supported this
idea. Although tau monomer has been considered to be natively unstructured, our findings
belie this assumption and suggest that initiation of pathological aggregation could begin with
conversion of tau monomer from an inert to a seed-competent form.
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**Introduction**

Amyloids are ordered protein assemblies, typically rich in beta sheet, that underlie multiple disorders such as Alzheimer's disease (AD). Amyloid-forming proteins include tau, synuclein, and expanded polyglutamine proteins such as huntingtin, among many others. It is unknown how or why intracellular proteins such as tau transition from a relatively inert form to one that efficiently self-assembles into ordered structures *in vivo*. This process begins with the formation of a pathogenic "seed," a structure that serves as a template for homotypic fibril growth. This structural transition could be a critical event in the pathogenesis of neurodegeneration. Under defined conditions and relatively high concentrations (typically micromolar), recombinant tau monomer will form amyloid fibrils *in vitro*. However the basis of spontaneous assembly in cells is unknown. The conversion of a protein from a monomer to a large, ordered multimer could occur by several mechanisms, but the first step probably involves the formation of a seed. This event, and indeed the actual conformation or assembly state of the protein that constitutes the "minimal" seed, has remained obscure. This has led to the idea that a seed is potentially transitory, arising from an equilibrium between two states: one relatively aggregation-resistant, and another that is short-lived. A seed could be a single molecule, or several. Based on extrapolation from kinetic aggregation studies, it has been suggested that a critical seed for tau and polyglutamine peptide amyloid formation is a single molecule[1,2], while an earlier study (among others[3]) has proposed a tau multimer[4]. Isolation of the seed-competent form of tau could be critical to understanding the initiation of disease and the design of more effective diagnostics and therapeutics.

Tau forms amyloids that underlie neurodegeneration in a variety of neuropathological syndromes, collectively termed tauopathies[5]. These include AD and frontotemporal dementias, among many others. Multiple groups, including ours, have now observed that tau will propagate an aggregated state from the outside to the inside of a cell, between cells, across synapses, and within brain networks[6]. In prior work we used size exclusion chromatography (SEC) to define tau trimers as the minimal unit of spontaneous cellular uptake and intracellular amyloid formation, and proposed this as the smallest particle capable of propagating aggregates between cells[7]. This work involved application of "naked" protein assemblies derived from recombinant protein or human brain onto cultured "biosensor" HEK293 cells or primary neurons that express a tau aggregation reporter[8,9]. Biosensor cells and primary neurons alike take up tau aggregates via macropinocytosis[10]. The aggregates subsequently serve as highly specific templates to trigger intracellular amyloid formation[9,11]. We have also determined that preincubation of cationic lipids such as Lipofectamine with tau seeds facilitates their direct transduction into a cell, bypassing the physiologic uptake mechanism[9,12]. Lipofectamine-mediated delivery into biosensor cells allows direct quantitation of seed titer for both tau and $\alpha$-synuclein[10].

Tau is intrinsically disordered upon isolation from bacteria or mammalian cells and is relatively inert in terms of spontaneous self-assembly. However under various conditions, including exposure to polyanions such as heparin, tau will form aggregates via nucleated self-assembly [13,14]. It is unknown how these experimental conditions relate to the initiation of aggregation in human brain. We have now purified various stable forms of full-length tau monomer from recombinant sources and human brain. One is relatively inert and is stable for long periods. Another is "seed-competent," triggers amyloid formation in cells and *in vitro*, and exhibits intrinsic properties of self-assembly. We have used crosslinking with mass

spectrometry (XL-MS) to probe the structures of these molecules. Models of discrete regions within the RD predict that differential exposure of hexapeptide motifs previously known to be important for amyloid formation distinguishes the two forms of tau. These models are supported by limited proteolysis studies. The identification of distinct and stable forms of tau monomer, including some that are uniquely seed-competent, bears directly on how we understand the initiation of protein aggregation in the tauopathies.

MATERIALS AND METHODS

**Tau expression, purification, fibrillization, and labeling**
We utilized several forms of recombinant tau. Full-length (FL), wild-type (WT) tau contains two cysteines that create disulfide bridges and could complicate isolation of monomer. Thus in addition to preparing FL WT tau (2N4R) as previously described[15], we purified FL tau (2N4R) that contains two cysteine/alanine substitutions (C291A, C322A), termed tau (2A). We used the 2A and WT forms of tau in our initial studies, before exclusively studying WT. Additionally, for fluorescence correlation spectroscopy (FCS), we engineered a single cysteine at the amino terminus (Cys-Tau (2A)) for labeling via maleimide chemistry. These modified proteins have fibrillization and seeding properties similar to FL WT tau. To initiate fibrillization, we incubated 8µM tau in 10mM HEPES, 100mM NaCl, and 8 µM heparin (1:1 ratio of FL tau to heparin) at 37°C for 72 h without agitation. For cysteine labeling, we incubated 200 µL of 8µM fibrils (monomer equivalent) and monomer with 0.025 mg of Alexa Fluor-488 (AF488) C5-maleimide (Invitrogen) and 80µM Tetramethylrhodamine-5-maleimide (Sigma-Aldrich) overnight at 4°C with gentle rotation. We quenched excess dye with 10mM DTT for 1h at room temperature. For limited heparin exposure, recombinant tau at 1µM was incubated with heparin at 1µM for 15min, 1hr and 4hr at 37°C before purification of monomer via Superdex 200 column.

To avoid confusion throughout the manuscript, we employ the following terminology:
   $M_i$: This refers to "inert" tau monomer, whether recombinant or derived from control brain.
   $M_s$: This refers to "seed competent" monomer, whether derived from sonicated fibrils, heparin-treated monomer, or AD brain.

**Sonication and size exclusion chromatography (SEC)**
We sonicated labeled and non-labeled fibrils using a Q700 Sonicator (QSonica) at a power of 100-110 watt (Amplitude 50) at 4°C for 3h. Samples were then centrifuged at 10,000 x g for 10 min and 1 mL of supernatant was loaded into a Superdex 200 Increase 10/300 GL column (GE Healthcare) and eluted in PBS buffer at 4°C. After measuring the protein content of each fraction with a Micro BCA assay (Thermo Scientific) and/or fluorescence using a plate reader (Tecan M1000), we aliquoted and stored samples at -80°C or immediately used them in biochemical studies and cell seeding assays. Each aliquot was thawed immediately before use. The molecular weight/radius of proteins in each fraction was estimated by running gel filtration standards (Bio-Rad): Thyroglobulin (bovine) 670 kDa/845nm; γ-globulin (bovine) 158 kDa/5.29nm; Ovalbumin (chicken) 44 kDa/3.05nm; myoglobin (horse) 17 kDa/2.04nm; and vitamin B$_{12}$ 1.35 kDa/0.85nm.

**Size-cutoff filtration**
Monomer, dimer and trimer fractions were passed through a 100kDa MWCO filter (Corning) as instructed by the manufacturer (centrifuged at 15,000 x g for 15min at 4°C). Filtered

140 material was immediately collected and used in seeding assay along with the non-filtered
141 samples of the same fraction at a final concentration of 100nM, or analyzed by limited
142 proteolysis. Protein concentration was determined before and after filtration by determining
143 absorption at 205nm.
144
**CD spectroscopy**
146 Circular dichroism (CD) measurements were performed at 25°C on a Jasco J-815
147 spectropolarimeter using a 0.1cm optical path length. 200µL of 2µM $M_s$ or $M_i$ monomer was
148 dialyzed onto 10 mM NaP and the spectra were measured at 0.10 nm intervals, with a band
149 width of 1.0nm, and scan speed of 10nm/min. The spectrum represents the average of 4
150 scans in the range of 195 to 250nm.
151
**Enzyme-linked immunosorbent assay**
A total tau "sandwich" ELISA was performed similarly to that described previously[16].
Antibodies were kindly provided by Dr. Peter Davies (Albert Einstein College of Medicine).
96-well round-bottom plates (Corning) were coated for 48 hours at 4°C with DA-31 (aa 150-
190) diluted in sodium bicarbonate buffer (6µg/mL). Plates were rinsed with PBS 3 times,
blocked for 2h at room temperature with Starting Block (Pierce), and rinsed with PBS 5
additional times. SEC fractions were diluted in SuperBlock solution (Pierce; 20% SuperBlock,
diluted in TBS), and 50 µL sample was added per well. DA-9 (aa 102-150) was conjugated to
HRP using the Lighting-Link HRP Conjugation Kit (Innova Biosciences), diluted 1:50 in
SuperBlock solution, and 50µL was added per well (15µg/mL). Sample + detection antibody
complexes were incubated overnight at 4°C. Plates were washed with PBS 9 times with a 15
sec incubation between each wash, and 75 µL 1-Step Ultra TMB Substrate Solution (Pierce)
was added. Plates were developed for 30min, and the reaction quenched with 2M sulfuric
acid. Absorbance was measured at 450nm using an Epoch plate reader (BioTek). Each plate
contained a standard curve, and all samples were run in triplicate.
167
**Fluorescence correlation spectroscopy**
169 FCS measurements were conducted on a Confocal/Multiphoton Zeiss LSM780 Inverted
170 microscope (Carl Zeiss-Evotec, Jena, Germany), using a 40X water immersion objective as
171 previously described [17]. Fluorescently labeled tau from SEC fractions (in PBS) was excited at
172 488nm and 561nm for 30sec, recording 10 times[18]. The data analysis was performed with
173 Origin 7.0 (OriginLab, Northampton, MA).
174
**Liposome-mediated transduction of tau seeds**
Stable cell lines were plated at a density of 35,000 cells per well in a 96-well plate. After 18h,
at 60% confluency, cells were transduced with protein seeds. Transduction complexes were
made by combining [8.75µL Opti-MEM (Gibco) +1.25µL Lipofectamine 2000 (Invitrogen)] with
[Opti-MEM + proteopathic seeds] for a total volume of 20µL per well. Liposome preparations
were incubated at room temperature for 20min before adding to cells. Cells were incubated
with transduction complexes for 24h.
182
**FRET flow cytometry**
Cells were harvested with 0.05% trypsin and fixed in 2% paraformaldehyde (Electron
Microscopy Services) for 10min, then resuspended in flow cytometry buffer. The MACSQuant
VYB (Miltenyi) was used to perform FRET flow cytometry. To measure CFP and FRET, cells
were excited with a 405nm laser, and fluorescence was captured with 405/50nm and
525/50nm filters, respectively. To measure YFP, cells were excited with a 488nm laser and

fluorescence was captured with a 525/50nm filter. To quantify FRET, we used a gating
strategy similar to that previously described[9]. The integrated FRET density (IFD), defined as
the percentage of FRET-positive cells multiplied by the median fluorescence intensity of
FRET-positive cells, was used for all analyses. For each experiment, ~20,000 cells were
analyzed in triplicate. Analysis was performed using FlowJo v10 software (Treestar).
194
**Tau seeding *in vitro***
Recombinant full length (0N4R) tau monomer was purified as previously described[19] at
1mg/mL in BRB80 buffer (80mM PIPES, 1mM MgCl2, 1mM EGTA, pH 6.8 with 0.3M NaCl)
and boiled at 100°C for 5min with 25mM β-mercaptoethanol. The tau protein solution was
then rapidly diluted 1:5 and cooled to 20°C in PBS, pH 7.4, to a final concentration of
0.2mg/mL of tau and 5mM β-mercaptoethanol. This solution was supplemented with
Thioflavin T (ThT) to a final concentration of 20µM and filtered through a sterile 0.2µm filter.
Reaction sizes of 195µL were aliquoted from the prepared protein stock and thoroughly
mixed with 5µL of each sample at 100nM monomer equivalent, or 5µL of buffer control. For
each sample, three different technical replicates were prepared. An opaque 96-well plate was
prepared with a 3mm glass bead added to each well to increase agitation. The recombinant
tau solution was added to the plate in 200µl reaction volumes. The plate was sealed with
sealing tape to prevent evaporation and incubated in the plate reader (SpectraMax M2) at
37°C. ThT fluorescence was monitored over time with excitation and emission filters set to
444nm and 485nm, respectively. Fluorescence readings were taken every 5min, with
agitation for 5sec before each reading.
211
**Tau extraction from brain and characterization by SEC**
0.5g frontal lobe sections from AD patients at late Braak stage (VI) and age-matched controls
lacking evident tau pathology were gently homogenized at 4°C in 5mL of TBS buffer
containing protease inhibitor cocktails (Roche) using a dounce homogenizer. Samples were
centrifuged at 21,000 x g for 15 min at 4°C to remove cellular debris. Supernatant was
partitioned into aliquots, snap frozen and stored at -80°C.  Immunopurification was performed
with HJ8.5 anti-tau antibody[20] at a ratio of 1:50 (1µg mAb per 50µg of total protein),
incubating overnight at 4°C while rotating. To each 1mL of mAb/brain homogenate we added
200µL of a 50% slurry protein G-agarose beads (Santa-Cruz). We washed the bead with TBS
buffer before overnight incubation at 4°C. We then centrifuged the complexes at 1000 x g for
3min and discarded the supernatant. Beads were washed with Ag/Ab Binding Buffer, pH 8.0
(Thermo Scientific) three times. Tau bound to the beads was eluted in 100 µL low pH elution
buffer (Thermo Scientific), incubated at room temperature for 7min, followed by neutralization
with 10µL Tris-base pH 8.5. This elution step was repeated once more with 50 µL elution
buffer and 5µL Tris-base pH 8.5 for a total of 165µL. Samples were then centrifuged at
10,000 x g for 10min, and the supernatant loaded onto a Superdex 200 Increase 10/300 GL
column (GE Healthcare). SEC fractions were frozen at -80°C after evaluation of protein
content by Micro BCA assay (Thermo Scientific).
230
To compare different extraction methods, fresh frozen frontal lobe section from an AD patient
brain was suspended in TBS buffer containing protease inhibitor cocktails (Roche) at 10%
w/vol in 4 portions. Samples were homogenized using 3 different devices: a dounce
homogenizer, probe sonicator (Omni International), and tissue homogenizer (Power Gen 125,
Fischer Scientific). We also included one more condition of homogenizing with tissue
homogenizer followed by probe sonication for 10min. Samples were centrifuged at 21,000 x g

for 15min at 4°C to remove cellular debris. Supernatant was partitioned into aliquots followed
by immunopurification.
239
To control for release of tau $M_s$ from fibrils in AD brain, a tau KO Mouse brain was divided
into two halves, followed by spiking one half with recombinant fibrils and the other with fibril-
derived $M_s$, both at final concentration of 10µM monomer equivalent. Each was dounce
homogenized, centrifuged, immunoprecipitated with HJ8.5 anti-tau antibody, and fractionated
by SEC with identical techniques as used for human brain processing. SEC fractions were
then used in seeding experiments.
246
247
**Analysis of heat denaturation data**
We analyzed the IFD from measurements of temperature dependent seeding using global fits
to a proposed unimolecular heat denaturation reaction. This analysis rests on the Arrhenius
equation[21]:

$$k_U = Ae^{-\frac{E}{RT}}$$

where $k_U$ is the unfolding rate constant, $E$ is the activation energy, $R$ is the gas constant, $T$ is
the temperature, and $A$ is the pre-exponential factor. For the unimodal model, the data were
fit globally to:

$$\mathrm{IFD}(t) = 100e^{-t/\tau}.$$

257
Here, $t$ is the heat denaturation time and $\tau = 1/k_U$ is the unfolding time. A second, multimodal
model was deployed to account for discrepancies in the early time points which appeared to
suggest the presence of a lag phase in denaturation. In this model, the data were fit globally
to

$$\mathrm{IFD}(t) = 100; \qquad t \leq l_t$$
$$\mathrm{IFD}(t) = 100e^{-(t-l_t)/\tau}; t > l_t$$

264
265    where $l_t$ is the lag time given by

266    $$1/l_t = Be^{-\frac{E}{RT}}$$

267
268    and $B$ is a pre-exponential factor. We used the Akaike information criterion (AIC) to evaluate
269    the best model as it quantifies the trade-off between goodness of fit and the complexity of the
270    model [22]. For least squares model fitting, AIC can be reduced to:

272    $$\mathrm{AIC} = 2p + n\ln(\mathrm{RSS}/n)$$

273
274    where $p$ is the number of parameters in the model, $n$ is the number of observations, and RSS
275    is the residual sum of squares. The preferred model is the one with the minimum AIC. Here,
276    we find AIC = 123 for the unimodal model and AIC = 105 for the multimodal model, which
277    suggests the multimodal model is a better description of the denaturation data.
278
**Crosslinking, sample processing and LC-MS/MS analysis**
$M_i$ and $M_s$ tau samples were prepared as described above. In all cases, tau preparations
were crosslinked at a total protein concentration of ~0.1mg/mL using $10 - 20$µg starting
material. The crosslinking buffer was 50 mM HEPES-KOH (pH 7.4) containing 150mM NaCl
and 1mM DTT. The crosslinking reaction was initiated by adding disuccinimidyl suberate

(DSS) stock solution (25 mM DSS-$d_0$ and $-d_{12}$, Creative Molecules) in DMF to a final
concentration of 1mM. Samples were incubated at 37°C for 1min. For the heparin-derived $M_s$
sample, heparin sulfate (Sigma) was added to a final concentration of 5µM, followed by 1mM
DSS and the samples were incubated for 1min at 37°C. Excess reagent was quenched by
addition of ammonium hydrogen carbonate to 50mM and incubation at 37°C for 30min, and
then flash frozen at -80°C. Absence of higher molecular species was confirmed by SDS-
PAGE and coomassie stain. After the quenching step, samples were evaporated to dryness
in a vacuum centrifuge and resuspended in 8M urea. Proteins were reduced with 2.5mM
TCEP (37°C, 30 min) and alkylated with 5mM iodoacetamide (30min, room temperature,
protected from light). The sample solutions were diluted to 1M urea with 50mM ammonium
hydrogen carbonate and trypsin (Promega) was added at an enzyme-to-substrate ratio of
1:50. Proteolysis was carried out at 37°C overnight followed by acidification with formic acid
to 2% (v/v). Samples were then purified by solid-phase extraction using Sep-Pak tC18
cartridges (Waters) according to standard protocols. Samples were fractionated by size
exclusion chromatography (SEC) on a Superdex Peptide column as described elsewhere [23].
Two fractions collected from SEC were evaporated to dryness and reconstituted in
water/acetonitrile/formic acid (95:5:0.1, v/v/v) to a final concentration of approximately 0.5
µg/µl. 2µL each were injected for duplicate LC-MS/MS analyses on an Eksigent 1D-NanoLC-
Ultra HPLC system coupled to a Thermo Orbitrap Fusion Tribrid system. Peptides were
separated on self-packed New Objective PicoFrit columns (11cm x 0.075mm I.D.) containing
Magic $C_{18}$ material (Michrom, 3µm particle size, 200Å pore size) at a flow rate of 300nL/min
using the following gradient. 0-5min = 5 %B, 5-95min = 5-35 %B, 95-97min = 35-95 %B and
97-107min = 95 %B, where A = (water/acetonitrile/formic acid, 97:3:0.1) and B =
(acetonitrile/water/formic acid, 97:3:0.1). The mass spectrometer was operated in data-
dependent mode by selecting the five most abundant precursor ions (m/z 350-1600, charge
state 3+ and above) from a preview scan and subjecting them to collision-induced
dissociation (normalized collision energy = 35%, 30ms activation). Fragment ions were
detected at low resolution in the linear ion trap. Dynamic exclusion was enabled (repeat count
1, exclusion duration 30sec).
313
**Analysis of mass spectrometry data**
Thermo .raw files were converted into the open .mzXML format using msconvert
(proteowizard.sourceforge.net) and analyzed using an in-house version of xQuest[24]. Spectral
pairs with a precursor mass difference of 12.075321 Da were extracted and searched against
the respective FASTA databases containing Tau (TAU_HUMAN P10636-8). xQuest settings
were as follows: Maximum number of missed cleavages (excluding the crosslinking site) = 2,
peptide length = 5-50 aa, fixed modifications = carbamidomethyl-Cys (mass shift = 57.021460
Da), mass shift of the light crosslinker = 138.068080 Da, mass shift of mono-links =
156.078644 and 155.096428 Da, $MS^1$ tolerance = 10 ppm, $MS^2$ tolerance = 0.2 Da for
common ions and 0.3 Da for crosslink ions, search in ion-tag mode. For brain-derived
samples we also included variable modifications including: Methionine oxidation = 15.99491,
Ser/Thr/Tyr Phosphorylation = 79.96633 and Lysine Ubiquitylation = 114.043 with
nvariable_mod = 1. Post-search manual validation and filtering of the recombinant samples
was performed using the following criteria: xQuest score > 16, mass error between -4 and
+7ppm, %TIC > 10, and a minimum peptide length of six aa. In addition, at least four
assigned fragment ions (or at least three contiguous fragments) were required on each of the
two peptides in a crosslink. False discovery rates (FDR) for the identified crosslinks were
estimated using xprophet[24]. For the recombinant samples, $M_i$ and $M_s$, the FDR ranged from
6-10%. Post-search manual validation of the brain-derived samples was performed using the

following criteria: xQuest score > 7, mass error between -5 and +7ppm, %TIC > 10, and a
minimum peptide length of six aa. In addition, at least four assigned fragment ions (or at least
three contiguous fragments) were required on each of the two peptides in a crosslink. The
FDRs for the brain samples were much higher and ranged between 20-25%. For triplicate
datasets corresponding to the $M_i$ and $M_s$ boiling time course we computed consensus
crosslink profiles enforcing that at least two of the three datasets contain a crosslink.
Crosslink data was visualized using Xvis[25]. Average contact distance was computed by
averaging the sequence separation between crosslink pairs in a given dataset.
341
**Generation of structural models using XL-MS-derived constraints**
High confidence crosslink pairs identified above were used to generate an ensemble of
possible structures using a Rosetta protocol employing the crosslink pairs as structural
restraints. The integration of XL-MS derived restraints have been previously used to refine
structural models of large complexes[23] and simpler heterodimeric complexes[26]. Based on
distance distributions of crosslink pairs mapped onto crystallographic structures we set a
lower bound of 15Å and an upper bound of 25Å for lysine C$\alpha$ pairs in our simulations.
Importantly, in our simulations we weighted the constraint pairs as to allow some distances
above the upper bound limit. The fragment library was supplanted by using chemical shifts
derived from fibrillar tau ssNMR assignments (bmrb entry 17920) using csrosetta[27]. We
generated 1000 models for each of the four XL-MS datasets on a high performance cluster
(biohpc.swmed.edu). Representative structures were selected according to the low Rosetta
score and radius of gyration. All plots were generated with gnuplot. All figures were
generated using Pymol.
356
**Commandline used for *ab initio* protocol calculations with XL-MS restraints**
AbinitioRelax.default.linuxgccrelease -in:file:fasta tau.fasta -file:frag3 tau.frags3.dat -file:frag9
tau.frags9.dat -nstruct 1000 -abinitio::increase_cycles 0.5 -abinitio::relax -score::weights
score13_env_hb -abinitio::rg_reweight 0.5 -abinitio::rsd_wt_helix 0.5 -abinitio::rsd_wt_loop
0.5 -disable_co_filter true -out:file:silent csrosetta.out -constraints:cst_fa_file tau.cst -
constraints:cst_file tau.cst -constraints:cst_weight 0.1 -constraints:cst_fa_weight 0.1 -
loopfcst::coord_cst_weight 10.0
364
365 **Statistical analysis**
366 Group mean values were analyzed by one-way ANOVA with Bonferroni post hoc significant
367 differences test using GraphPad prism 5 software. Data in text and figures are represented
368 as mean $\pm$ SEM.
369
**Kinetic analyses of $M_i$ and $M_s$ proteolysis**
Limited proteolysis of $M_i$/$M_s$ using trypsin was carried out in 50mM TEAB at 25 °C. The
enzyme to tau ratio was adjusted to 1:100 (wt/wt) with around 11ug of $M_i$/$M_s$ present initially.
The total reaction mixture volume was 60µl. Aliquots were withdrawn from the reaction
mixture at 1, 5, 15, 30, 60 and 120min by using 10µL of 10% trifluoroacetic acid (TFA) to
quench the reaction (PH<3). The trypsin-digested peptides were then desalted using an
Oasis HLB plate (Waters) and eluted with 100µL 80% acetonitrile (ACN) containing 0.1%
TFA. The solvent was evaporated in a SpeedVac concentrator and the dried samples were
reconstituted in 20µl of 2% acetonitrile, 0.1% TFA and 2µl solution was used for by
LC/MS/MS analysis, the analysis were performed on an Orbitrap Elite mass spectrometer
(Thermo Electron) coupled to an Ultimate 3000 RSLC-Nano liquid chromatography systems

(Dionex). Samples were injected onto a 75µm i.d., 15-cm long EasySpray column (Thermo),
and eluted with a gradient from 1-28% buffer B over 60 min. Buffer A contained 2% (v/v) ACN
and 0.1% formic acid in water, and buffer B contained 80% (v/v) ACN, 10% (v/v)
trifluoroethanol, and 0.1% formic acid in water. The mass spectrometer operated in positive
ion mode with a source voltage of 2.8kV and an ion transfer tube temperature of 275 °C. MS
scans were acquired at 240,000 resolution in the Orbitrap and up to 14 MS/MS spectra were
obtained in the ion trap for each full spectrum acquired using collision-induced dissociation
(CID), with charge 1 ions rejected. Dynamic exclusion was set for 15s after an ion was
selected for fragmentation.  Raw MS data files were searched against the appropriate protein
database from Uniprot, and reversed decoy sequences appended (Elias and Gygi, 2007) by
using Protein Discovery 2.2 (Thermo Fisher Scientific). Fragment and precursor tolerances of
20ppm and 0.6Da were specified, and 12 missed cleavages were allowed.
Carbamidomethylation of Cys was set as a fixed modification and oxidation of Met was set as
a variable modification. Label-free quantitation of proteins across samples was performed.
Average peptide intensity values were computed for all time points for each peptide. To
estimate differences in kinetic profiles we calculated the median value of each profile and
compared the $M_i$ to $M_s$ ratio.
398
399
400    RESULTS
401
**Isolation of fibril-derived monomer and other assemblies**
We initially sought to define the tau seeding unit that would trigger intracellular aggregation
upon direct delivery to the cell interior. We had previously observed that a tau trimer is the
minimal assembly size that triggers endocytosis and intracellular seeding[7]. These
experiments depended on spontaneous cell uptake, since no Lipofectamine was added to the
reactions. A prior study had also indicated the role of disulfide linkages in promoting tau
aggregation, potentially by dimer formation[4]. Thus, for our initial studies we engineered and
purified full-length (FL) tau monomer that lacks any internal cysteines due to alanine
substitutions (C299A and C322A), termed tau (2A). FL tau (2A) cannot self-associate based
on disulfide linkages, which helped prevent the formation of cryptic dimers that could have
confounded our studies. These substitutions did not affect tau purification, heparin-induced
fibrillization, and sonication protocols, which we performed as described previously[7]. We
covalently labeled the fibril preps prior to sonication and isolation of recombinant FL tau (2A)
assemblies of various sizes by size exclusion chromatography (SEC)[7]. In parallel, we also
studied FL wild type (WT) tau. We purified unfibrillized recombinant FL tau (2A) monomer by
SEC (Fig. 1A), and isolated SEC fractions of sonicated fibrils that contained putative
monomer, dimer, trimer and ~10-mer (Fig. 1B).
419
**Fibril-derived monomer exhibits seeding activity in cells and *in vitro***
To test the seeding activity of the tau preparations, we used a previously described
"biosensor" cell reporter line[9].These cells stably express 4R tau repeat domain (RD)
containing the disease-associated P301S mutation, fused to cyan and yellow fluorescent
proteins (RD-CFP/YFP). Exogenously applied seeds induce intracellular aggregation with
resultant fluorescence resonance energy transfer (FRET) that can be measured via flow
cytometry[9,12]. The degree of aggregation is scored using "integrated FRET density" (IFD),
which is the product of the percent positive cells and the mean fluorescence intensity of
FRET-positive cells, and from this we determine a titer of tau seeding activity[9]. Lipofectamine
directly transduces tau assemblies across the plasma membrane and increases the assay's

sensitivity by approximately 100-fold. Upon incubation with Lipofectamine, we were surprised
to observe seeding by monomer and larger assemblies alike, whether FL WT or 2A. (Fig.
1C,D). Epifluorescence microscopy confirmed the presence of intracellular inclusions after FL
WT tau monomer seeding (Fig. 1D). We termed the inert monomer "$M_i$," and the seed-
competent monomer "$M_s$." To rule out higher order assemblies of tau within the putative
monomer fraction, immediately prior to the seeding assay we passed fractions through a
100kDa cutoff filter to eliminate anything larger than a monomer. While monomer fraction
retained ~80% of seeding activity, only ~20% of dimer seeding activity remained, and ~1-2%
of trimer seeding activity remained (Fig. 1E). To exclude an artifact related to Lipofectamine
transduction into cells, we tested FL (2A) tau preparations in an *in vitro* seeding assay that
induces fibril formation by full-length tau (0N4R) through iterative polymerization and agitation
steps[19]. $M_i$ had no intrinsic seeding activity. However $M_s$ induced amyloid formation, albeit
more slowly than trimer or unfractionated fibrils (Fig. 1F). This slow aggregation process may
reflect inefficient fibril assembly, and a predominance of small nucleated assembly events
from the added monomer. We concluded that the $M_s$ fraction contained seeding activity that
enabled intracellular aggregation of tau RD-CFP/YFP in cells, or full-length tau *in vitro*.
Finally, we tested whether contamination of very small amounts of seeds could somehow
account for the seeding activity in monomer fractions by carrying out dose-response titrations
of the various preparations. $M_s$ had an $EC_{50}$ of ~10nM (Fig. 1G), which was very similar to
dimer and trimer (Fig. 1H). Thus to account for signal observed in the seeding assay,
contamination of an otherwise inert monomer with larger seed-competent assemblies would
have to be substantial.
452
**Comparison of $M_i$ and $M_s$ by CD and FCS**
We tested for obvious structural differences between $M_i$ and $M_s$ using CD spectroscopy,
which revealed none (Fig. 2A). We re-tested the assemblies using fluorescence correlation
spectroscopy (FCS), which measures particle diffusion through a fixed volume. As we
previously observed[7], we accurately estimated the units of small assemblies (≤10-mer), but
not larger assemblies (>10-mer) (Fig. 2B). In an additional effort to detect cryptic multimers
within the $M_s$ preparation, we used double-label FCS. We engineered a cysteine onto the
amino terminus of FL tau (2A) to enable its covalent modification (Cys-Tau (2A)). We then
prepared Cys-tau (2A) fibrils, or monomer, and labeled them simultaneously with Alexa488
(green) and tetramethylrhodamine (TMR) via maleimide chemistry. We carried out sonication
and purification by SEC as before, isolating assemblies of various sizes. We evaluated each
for cross-correlation between red and green signal, which indicates the presence of at least
two tau molecules in a particle. We analyzed >300 events for each assembly. When we
evaluated $M_i$ and $M_s$, 100% of events in each case showed a diffusion time consistent with a
tau monomer (Fig. 2C,D). Furthermore, we observed no cross-correlation between red and
green signal, indicating that neither preparation had detectable multimeric assemblies (Fig.
2C,D,H). By contrast, when we evaluated larger species such as dimer, trimer, or ~10-mer,
we observed longer diffusion times consistent with the predicted assembly sizes, and
significant cross-correlation values (Fig. 2E-H), consistent with the presence of multimers.
The FCS studies supported the conclusion that $M_i$ and $M_s$ are comprised predominantly of
monomer.
474
**SEC preparation efficiently purifies $M_s$ monomer**
To rule out cross-contamination of assemblies within the SEC column, we tested its ability to
exclude larger seeds from the monomer fraction. We first isolated $M_s$ and larger assemblies
from a sonicated fibril preparation (Fig. 3, Group 1). Removing the fraction that contained $M_s$

(B5), we then pooled the remaining fractions, and spiked them with $M_i$. We re-fractionated the
material on SEC to isolate the monomer in fraction B5 again (Fig. 3, Group 2). As previously
observed, $M_s$ and other fibril-derived assemblies in Group 1 had seeding activity (Fig. 3).
However, in Group 2, while we observed seeding activity in larger assemblies, the monomer
(which we take to be $M_i$) re-isolated from a pool of larger fibril-derived assemblies had no
seeding activity (Fig. 3). This confirmed that larger, seed-competent assemblies do not
appreciably contaminate the monomer fraction during SEC.
486
**Heat denaturation of assemblies**
Although prior controls had essentially excluded the presence of tau multimers in the sample,
we used heat-mediated dissociation of oligomeric assemblies as an additional test for the
possibility that $M_s$ in fact represents a uniquely compact multimer that somehow purifies as a
monomer. We collected $M_s$ by SEC, and heated the sample to 95°C for 3h. We then re-
isolated the sample via SEC. We carried out the same procedure with trimer and ~20-mer. In
each case, we tested the resultant fractions for seeding activity. In the first instance, after
heating we re-isolated $M_s$ purely as monomer that retained virtually all of its seeding activity
(Fig. 4A). The trimer assembly (fraction B8) broke down to smaller assemblies, predominantly
monomer, each of which retained seeding activity (Fig. 4B). The ~20-mer (fraction A5) was
largely stable following heat treatment, and retained its seeding activity (Fig. 4C). These
experiments highlighted the lability of small multimers (i.e. trimer), and a surprising
persistence of seeding activity in heat-treated monomer.
500
**Differential heat lability of tau assemblies**
In the preceding experiment $M_s$ retained seeding activity even after 3h at 95°C, a condition
sufficient to dissociate trimers. These experiments implied that $M_s$ consists of a stable seed-
competent structure, resistant to heat denaturation. Consequently, we used more nuanced
heat denaturation of seeding activity to probe the relative stabilities of $M_s$, dimer, trimer, and
larger assemblies of FL WT tau. We first isolated tau monomer, dimer, trimer, ~10-mer, and
~20-mer on SEC. We then incubated the various assemblies at a range of temperatures (65,
75, 85, 95°C) and times (0, 3, 12, 18, 24, 48, 72h) before measuring seeding activity. Lower
temperatures only slightly reduced seeding activity, whereas exposure of $M_s$, dimer, and
trimer to temperatures ≥85°C for 18-24h eliminated it at roughly the same rate for each (Fig.
4D-G). By contrast, the seeding activities of ~10-mer and ~20-mer were relatively heat-
resistant (Fig. 4D-G). This was consistent with our prior observations that tau seeds derived
from cultured cells are resistant to boiling [11]. To determine a putative energy barrier between
$M_s$ and $M_i$, we evaluated the denaturation data for $M_s$ by integrating the data from the prior
experiments (Fig. 4H). We compared two models for the transition of $M_s$ to an inert form
(which we assumed to be an unfolding reaction): a unimodal unfolding model vs. a
multimodal model that assumes intermediate seed-competent states. The unimodal model
did not account for the data at early time points, which indicated a lag phase in denaturation,
whereas the multimodel model performed better (Fig. 4H). The lag phase in denaturation
implied an ensemble of seed-competent states that define $M_s$, each separated by smaller
energy barriers. Using the multimodal model, we calculated the barrier to conversion of $M_s$ to
an inert form to be ~78 kcal/mol.
523
**$M_s$ has unique properties of self-assembly**
Aggregation of $M_i$ *in vitro* is relatively slow, requires high protein concentration (micromolar),
and polyanions such as heparin[13,14]. Based on the seeding activity of $M_s$ we predicted that it
might more readily self-associate. We incubated FL WT tau $M_i$ and $M_s$ alone, or dimer or

trimer at equimolar ratios, keeping total particle concentration constant at 500nM. We then
monitored change in assembly size over 24h. $M_i$, dimer, and trimer showed no evidence of
self-association in this timeframe (Fig. 5A,C,D). By contrast, when incubated alone, $M_s$
readily formed larger assemblies (Fig. 5B). When we incubated $M_i$ with dimer or trimer, we
saw no change in the assembly population over 24h (Fig. 5E,F). By contrast, when we mixed
$M_s$ with dimer or trimer we observed a growth of larger assemblies with a concomitant
reduction in dimer and trimer peaks (Fig. 5G,H). We conclude that $M_i$, dimer, and trimer do
not form larger assemblies at an appreciable rate, while $M_s$ self-assembles and adds on to
larger assemblies.
537
**Heparin induces transition from $M_i$ to $M_s$**
The preparation of $M_s$ based on sonication of fibrils raised two important issues. First, it left
uncertain whether $M_i$ could be converted to a seed-competent form without previously being
incorporated into a fibril. Second, we observed that sonication could create fragments from
tau monomer that might potentially act as seeds (Supp. Fig. S6A). Consequently, we used
heparin to induce the formation of $M_s$, thereby avoiding sonication. We exposed FL WT tau to
heparin for varying amounts of time before purifying different assembly sizes by SEC and
testing for seeding activity. After 15min of heparin exposure, we detected low but significant
amounts of seed-competent monomer, and much fewer larger assemblies (Fig. 6A).
Crosslinking of purified, heparin-induced $M_s$ revealed no evidence of multimers or an
increase in fragments (Supp. Fig. S6B). Recombinant monomer not treated with heparin had
no seeding activity at any time point (Fig. 6A). At longer heparin treatment times (1h, 4h)
monomer fractions as well as larger assemblies all had strong seeding activity (Fig. 6A). $M_s$
derived from heparin exposure was relatively resistant to heat denaturation at 95°C, albeit
less so than fibril-derived $M_s$ (Fig. 6B). Relative seeding efficiency of the various forms of $M_s$
as well as sonicated or unsonicated fibrils were relatively similar (Fig. 6C). We noted also that
sonication of $M_i$ and purification by SEC did not produce any seed-competent species,
eliminating the possibility that small assemblies of sonication-induced fragments accounted
for seeding activity of $M_s$ (Fig. 6C). These experiments also indicated that it is not necessary
for tau monomer to be part of a fibril or to be exposed to sonication to produce an efficient
seed-competent monomer. Heparin, presumably by catalyzing a transition from an inert to a
seed-competent form, enables this critical conformational change.
560
**XL-MS reveals unique contacts associated with $M_s$**
To probe the structures of $M_i$ and $M_s$, we employed cross-linking with mass spectrometry (XL-
MS), which uses DSS-mediated crosslinking of proteins (monomer or larger assembly)
followed by trypsin proteolysis, enrichment of resultant fragments by SEC, and identification
by capillary liquid chromatography tandem mass spectrometry (MS). This method creates
restraints for structural models of single proteins or protein complexes[23,28,29]. We assigned
the complex fragment ion spectra to the corresponding peptide sequences using xQuest [24].
Denaturation of recombinant tau with 8M urea prior to crosslinking produced no
intramolecular cross-links (data not shown), indicating that crosslinks observed under native
conditions represented local structure. We studied $M_i$, fibril-derived $M_s$ and heparin-derived
$M_s$ using XLMS. Short reaction times ensured the production of only intra-molecular
crosslinks as monitored by SDS-PAGE (Fig. S6). XL-MS for each sample was carried out in
triplicate (Suppl. Table S1), and only considering consensus crosslinks present in each
replicate (Suppl. Table S2). $M_i$ exhibited crosslink patterns which indicated local and distant
intramolecular contacts (Fig. 7A). In $M_s$, we observed a consistent crosslinking of K150 with
K254, K267, K274 or K280 all located between RD 1 and 2. These crosslinks tracked

exclusively with $M_s$, both fibril- or heparin-derived (Fig. 7B,C). We never observed these
crosslinks in $M_i$. To test the relationship of this crosslink with seed function, we carried out
heat denaturation at 95°C for 3 or 24h, followed by XL-MS. Heating samples results in a
decrease in crosslink frequency (Fig. S7). Importantly, however, we observed a parallel
persistence of this crosslink pattern with seeding activity (Fig. 7B,C). The XL-MS results
indicate a distinct structure and seeding activity for $M_s$ that is surprisingly resistant to
denaturation at 95°C.
584
**AD brain contains $M_s$**
Given our experiments with recombinant $M_i$ and $M_s$, we wished to test whether similar
structures exist *in vivo*. We extracted AD and control brain samples using a dounce
homogenizer to avoid liberating significant monomer from fibrils. We immunoprecipitated tau
using an antibody that targets the amino-terminus (HJ8.5), and resolved the eluates by SEC,
followed by ELISA to determine tau levels (Fig. 8A,B). Tau from control brain purified in the
monomer fraction (Fig. 8A), while tau from AD brain distributed across multiple fractions,
corresponding to monomer and larger assemblies (Fig. 8B). When we tested each fraction for
seeding activity, we observed none in any control brain fraction (Fig. 8C). However, all AD
fractions contained seeding activity, including monomer (Fig. 8C). To exclude the possibility
that the brain homogenization protocol liberated $M_s$ from neurofibrillary tangles, we spiked tau
KO mouse brain samples with recombinant fibrils *in vitro*, or fibril-derived $M_s$. We then used
dounce homogenization and immuno-purification as for human brain. We evaluated the
seeding activity in total lysate, supernatant following 10,000 x g centrifugation, and SEC
fractions (Fig. 8D). We readily observed monomer seeding activity in tau KO brain spiked
with $M_s$, however we observed none in fractions that had been spiked with fibrils (Fig. 8D).
The homogenization protocol for human brain was thus unlikely to have liberated $M_s$ from
pre-existing tau fibrils.
603
To test for self-association of control-derived $M_i$ vs. AD-derived $M_s$, we purified these species
by SEC, and divided each monomer fraction in two. We snap-froze one fraction and
incubated the other overnight at room temperature. Then we again resolved the assemblies
via SEC and tested each fraction for seeding activity. Control monomer was inert, even after
incubation at RT (Fig. 8E). AD-derived $M_s$ that was purified, frozen, and re-purified by SEC
exhibited seeding activity exclusively in the monomer fraction (Fig. 8E). By contrast, AD-
derived $M_s$ incubated at RT formed seed-competent assemblies of increasing size (Fig. 8E).
We concluded that, as for other types of $M_s$, AD-derived $M_s$ exhibited an intrinsic capacity for
self-association into seed-competent assemblies. To compare structures of control vs. AD-
derived monomer via XL-MS, we isolated tau from brains of 3 AD patients and 3 age-
matched controls. In control-derived monomer, we observed no evidence of the crosslink that
marked $M_s$ (Fig. 8G). However, in each AD-derived $M_s$ sample we observed a discrete set of
crosslinks between aa150 and aa259-290 (Fig. 8H). This essential finding did not change, no
matter what method of homogenization we used (Supp. Fig. S8, Suppl. Table S3), and
implied a common structure that unifies ensembles of seed-competent tau monomer, whether
produced *in vitro* or *in vivo*.
620
**Models of seed-competent monomer suggest exposure of VQIINK and VQIVYK**
Based on intramolecular FRET and electron paramagnetic resonance spin labeling
Mandelkow et al. have previously proposed native tau structure to be in a "paperclip"
configuration, with the C-terminus folded over the RD[30]. To understand how core elements of
tau control its aggregation, we employed Rosetta to create models of tau structure for $M_i$ and

$M_s$ using restraints from the crosslink patterns and length of the DSS crosslinker. The overall
energetics and radii of gyration in the models were comparable for $M_i$ and $M_s$ (Fig. S9),
indicating global structural similarity. We thus focused on the RD, given its high frequency of
intramolecular crosslinks, and primary role in aggregation (Fig. 9A). We observed differences
in the predicted interface structure between R1/R2 and R2/R3 which encode two core
VQIINK and VQIVYK motifs critical for tau amyloid formation[31,32]. The $M_i$ structural model
predicted masking of VQIINK and VQIVYK sequences in compact "hairpin" structures (Fig.
9B, Supp. Movie $M_i$), similar to the structure of microtubule-bound tau previously determined
by NMR [33]. By contrast, within $M_s$ the model predicted relative exposure of VQIINK and
VQIVYK (Fig. 9C, Supp. Movie $M_s$). We next evaluated XL-MS-guided predictions of patient-
derived tau, although lower sample quality and fewer high confidence crosslinks (possibly
due to protein heterogeneity) limited our accuracy. As for recombinant protein, $M_i$ from control
patients also featured VQIINK/VQIVYK sequences in a less accessible configuration (Fig. 9D,
Supp. Table S1; Supp. Movie: Control1). In AD-derived $M_s$, long-range contacts from aa150
to R2 influenced the model, and predicted an exposed configuration of VQIINK/VQIVYK (Fig.
9E, Table S1; Supp. Movie: AD1). With important caveats, the models guided by XL-MS
imply that the general difference between $M_i$ and $M_s$ derives from relative shielding vs.
exposure of VQIINK/VQIVYK sequences.
644
**Limited proteolysis supports models of exposed VQIINK/VQIVYK sequences**
As an orthogonal comparison of the structures of $M_i$ and $M_s$, we used limited proteolysis with
trypsin. $M_i$ or $M_s$ (heparin-exposed) that had been passed through a 100kD filter immediately
prior were subjected to a fine time course of limited proteolysis (Fig. 10A). Each sample was
prepared in triplicate with matched protein quantities to facilitate label-free analysis. We then
used mass spectrometry to evaluate the production of tau fragments and mapped these to
specific cleavage sites. We identified 60 peptides common across the two conditions (Suppl.
Table S4). To summarize enrichment of peptides across the two datasets we compared the
ratio of averaged kinetic profiles (Fig. S10). Differences between the $M_i$ and $M_s$ primarily
localized to the RD (Fig. S10). In $M_i$, an R1R2 fragment was enriched (Fig. 10C) while only
the R2 portion of that fragment was enriched in $M_s$ (Fig. 10D). We observed similar patterns
in R2R3 (Fig. 10F,G). By contrast, other domains outside of these regions had similar
cleavage kinetics in $M_i$ and $M_s$ (Fig. 10E,H, Fig. S10). Mapping these cleavage sites onto our
structural models revealed that proteolysis in $M_i$ preferentially occurred outside the hairpin
that includes VQIINK and VQIVYK amyloid sequences, while cleavage in $M_s$ occurred
adjacent to the amyloid sequences (Fig. 10I,J). The cleavage patterns were thus consistent
with structural models of VQIINK and VQIVYK regions, which predicted relative inaccessibility
of hairpin-associated sequences in $M_i$, and accessibility in $M_s$.
663
664
665   **Discussion**
666
We propose that tau monomer occupies two distinct and stable conformational ensembles.
One set of structures (collectively termed $M_i$) is relatively inert, while another has intrinsic
ability to self-assemble, and acts as a template, or seed, for fibril growth *in vitro* and in cells
(collectively termed $M_s$). Multiple controls indicated that our original preparation of fibril-
derived $M_s$ is in fact a monomer, uncontaminated by larger assemblies. Tau monomer
purified from AD brain also had intrinsic seeding activity, and self-associated to produce
larger seed-competent assemblies. A model restrained by the XL-MS data, and consistent
with biochemical studies, predicts that VQIVYK and VQIINK sequences assume an open

configuration in all types of $M_s$ (fibril-derived, heparin-induced, and AD-derived). By contrast,
the model predicts lack of VQIINK/VQIVYK exposure in $M_i$. Limited proteolysis studies are
consistent with this idea, although clearly more detailed biochemical, biophysical, and
structural analyses will be needed to test its validity. Taken together, these data establish a
new concept for tau: this intrinsically disordered protein has multiple, stable monomeric
states, functionally distinguished by the presence or absence of seeding activity.
681
Amyloid proteins form progressively larger assemblies over time, and it has been difficult to
define the composition of the minimal seed. Mandelkow and colleagues studied tau
aggregation *in vitro* and concluded that a seed of 8-12 molecules existed in their
experimental system[4]. By contrast, Kuret and colleagues posited an "intermediate" of tau that
could subsequently initiate self-assembly, and their data, based on extrapolation of tau
concentrations needed to enable development of thioflavin fluorescence *in vitro*, were
consistent with a monomeric seed[1]. Wetzel and colleagues also proposed that a monomer is
the basis of a "thermodynamic nucleus" that templates the aggregation of synthetic
polyglutamine peptides[34]. However, no prior study has previously identified stable forms of
tau monomer that seed amyloid formation.
692
The actual cause of tau aggregation in tauopathies is unknown. It has been proposed that
dissociation of tau monomer from microtubules, possibly due to phosphorylation, allows high
concentration and self-association to form pathogenic assemblies[35]. In this study, using a
single source of recombinant protein, we define distinctly structured seed-competent and
inert forms of tau. We have similarly identified seed-competent species in human brain. In
reality "seed-competent" and "inert" forms of tau almost certainly represent multiple structural
ensembles separated by defined energy and/or kinetic barriers. The barrier for conversion of
an inert to a seed-competent form of tau can apparently be overcome by incubation with
heparin and/or incorporation into a fibril. In neurons, other factors such as post-translational
modifications and heterologous binding events likely play a role. Identification of the factors
that trigger conversion from inert to seed-competent forms will thus have obvious implications
for understanding disease mechanisms.
705
Isolation of seed-competent monomer from AD brain, with a very mild purification that
explicitly excludes sonication or vigorous tissue homogenization, strongly suggests that this
form of tau exists *in vivo*. Furthermore, we observed that both recombinant $M_s$ and AD-
derived $M_s$ build multimeric assemblies *in vitro* far more efficiently than $M_i$ or control-derived
monomer. Thus, we hypothesize that a uniquely structured form of tau may be required for
efficient assembly growth in cells. This contrasts with the idea that multimeric assemblies
uniquely stabilize the conformation of otherwise unstructured proteins as they incorporate into
the growing fibril, or that liquid-liquid phase separation with extremely high local concentration
underlies tau aggregation[36]. Instead, we imagine that the initiation of aggregation in human
brain might begin with a stable transition of tau monomer from an inert to a seed-competent
form. To fully study this process will require more extensive biochemical purification of tau $M_s$
from the earliest stages of disease.
718
719 $M_s$ has a remarkably stable structure, as it resists heat denaturation at 95°C for up to 3h. This
720 suggests a heretofore unrecognized conformation of tau that, to account for its slow
721 denaturation, likely involves multiple intra-molecular interactions involving short and long
722 range amino acid contacts. XL-MS provides some indication of what these might be, and
723 crosslinks between aa150 and R2 appear to mark a seed-competent conformation. In

agreement with the XL-MS results, we observed that heat inactivation of $M_s$ seeding activity occurs with a lag phase, rather than first order time-dependent decay. This implies a complex tertiary structure in which $M_s$ has multiple seed-competent intermediates. Future XL-MS studies performed at different temperatures could reveal these structures. With more advanced methods to interrogate the structure of monomeric tau in patient material, we imagine that "seed-competent monomer" will in fact represent myriad structures, depending on the underlying disease. This could provide an explanation for how a single tau protein might self-assemble into diverse amyloid strains. We note with excitement a recent study of the yeast prion Sup35 from the Tanaka laboratory. Like tau, Sup35 is intrinsically disordered, yet they have observed local structure that influences the conformations of fibrils it can form[37].

Without further studies to identify structures of tau at higher resolution, we cannot know for certain why one form acts as a seed and another does not. However, we gained important insights when we modeled the configurations of R1R2 and R2R3 using Rosetta, with crosslinks as restraints. With obvious caveats, our models predicted that the local environment surrounding two hexapeptide motifs, VQIINK and VQIVYK, which are required for tau to form amyloid structures, may explain the differences between seed-competent and inert forms. In the models of $M_i$, and control brain-derived tau, these motifs lie buried in hairpin structures. By contrast, in $M_s$ and AD-derived tau, both are exposed. VQIINK and VQIVYK thus might mediate intermolecular interaction in a growing assembly. In support of our structural model, the proteolysis experiments corroborate differences in exposure of the VQIINK and VQIVYK sequences in the R1R2 and R2R3 regions between $M_i$ and $M_s$. We note with great enthusiasm the recent study of Fitzpatrick et al. [38], which defined critical sequences of tau within the amyloid core that are based on VQIVYK and adjacent amino acids. Indeed, it has been recently observed that heparin binding involves residues spanning 270-290, and promotes expansion of the remainder of the molecule [39]. This is consistent with our predictions of relative exposure of VQIINK/VQIVYK. The diversity of exposed core elements (almost certainly beyond VQIINK/VQIVYK) could specify the formation of assemblies that give rise to distinct strains, as suggested by work from the Tanaka laboratory[37]. Consistent with this idea, the Fitzpatrick et al. study indicates that in AD-derived tau fibrils the VQIVYK sequence plays a key role in the core amyloid structure (along with adjacent amino acids), but the VQIINK sequence does not [38]. We also note that multiple disease-associated mutations in tau affect residues in close proximity to VQIINK/VQIVYK. For example, our models predict that serine or leucine substitutions at P301 (which cause dominantly inherited tauopathy) would uniquely destabilize the local structure and promote exposure of the VQIINK/VQIVYK sequences. Future experiments will test these ideas more definitively.

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**Competing Interests**

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 FIGURE LEGENDS

**Figure 1: Seeding activity of tau monomer in cells and *in vitro***
(**A, B**) FL Cys-Tau(2A) was labeled with Alexa488 and resolved by SEC (**A**), or was fibrillized
in the presence of heparin, labeled with Alexa488, sonicated, and the assemblies resolved by
SEC (**B**). The column was calibrated using standards of the indicated hydrodynamic radii.
Color codes indicate the putative assembly units. (**C**) Tau assemblies were seeded into tau
RD-CFP/YFP biosensor cells. $M_i$ represents "inert" monomer purified in (A), which had no
seeding activity; $M_s$ represents "seed-competent" monomer purified in (B), which induced
intracellular tau aggregation. (**D**) FL WT tau and FL Cys-Tau(2A) were similarly fibrillized,
sonicated, and the fragments resolved by SEC. Seeding activity of each fraction was
determined. $M_s$ and larger assemblies of both forms of tau exhibited seeding activity, but not
$M_i$. IFD = Integrated FRET Density. (**E**) Tau assemblies of n=1,2,3 were passed through a
100kD size cutoff filter. Filtration had no effect on the $M_s$ fraction, whereas it reduced seeding
of assemblies of n=2 or 3. (**F**) Tau fibrils, trimer, or monomer were used to induce fibrillization
*in vitro* of full-length (0N4R) tau, measured by induced thioflavin fluorescence. $M_i$ had no
seeding activity, whereas $M_s$, trimer, and unfractionated fibrils had strong seeding activity.
(**G,H**) Titration of assemblies was performed. (**G**) $M_s$ exhibited an $EC_{50}$ of approximately
10nM (monomer equivalent); (**H**) Dimer and trimer had similar potencies. Concentration is
reflected as monomer equivalent.

**Figure 2: Analyses of $M_i$ and $M_s$ by CD and FCS**
(**A**) CD spectra of $M_i$ and $M_s$ were similar. (**B**) FCS Diffusion times for $M_i$, $M_S$, dimer, trimer,
and ~10mer, and the cross-correlation for $M_i$, $M_s$, dimer, trimer, and ≥10-mer were
determined after labeling of fibrils with Alexa488, or double labeling additionally with
tetramethylrhodamine prior to sonication. Table reflects the predicted diffusion time and the
actual diffusion time. The variance between predicted vs. observed times is reported. (**C-G**)
FCS for double-labeled tau assemblies. Cross correlation (CC) between the two dyes is
indicated in grey lines. (**H**) Summary of FCS cross-correlation, including free dyes. Neither
free dye, $M_i$ nor $M_s$ showed any cross-correlation, indicating that single species predominate.
All multimeric assemblies exhibited cross-correlation, indicating detection of both dyes within
a single particle.

**Figure 3: Fidelity of SEC purification of assemblies**
SEC fidelity was tested by isolating $M_s$ from fractions after fibril sonication. Remaining
fractions were combined with $M_i$, and the mix was re-isolated by SEC. In Group 1, after the
first isolation, the monomer fraction (which contains $M_s$) contained seeding activity. In Group
2, after the second purification by SEC, the monomer fraction (which contains $M_i$ spiked in)
did not exhibit seeding activity.

**Figure 4: Heat denaturation of assemblies**
(**A-C**) Heat-induced dissociation of assemblies. (**A**) The SEC fraction containing $M_s$ (B5) was
heated to 95°C for 3h and re-isolated by SEC prior to testing the FRET biosensor assay. No
loss in seeding activity was observed. (**B**) When the SEC fraction containing trimer (B8) was
heated similarly, seeding activity shifted to fractions that contain dimer and monomer (B7,
B5). (**C**) ~20-mer (A5) was largely stable to heating, although some smaller seed-competent
assemblies were liberated. (**D-G**) Various assemblies were subjected to heat denaturation at
the indicated temperatures and times, followed by analysis of seeding activity in the FRET
biosensor assay. Whereas ~10-mer and ~20-mer were relatively stable from 65-95°C,

monomer, dimer and trimer showed temperature-dependent loss of seeding activity. (**H**) Plot
of denaturation data for $M_s$ with multimodal regression curves superimposed.
948

**Figure 5: $M_s$ self-assembles**
$M_i$ and $M_s$ were incubated at 500nM or with equivalent amounts (monomer equivalent) of
dimer and trimer for various times prior to resolution by SEC. Assemblies were monitored by
reading the absorbance of fractions using micro BCA assay. (**A**) $M_i$ showed no self-
association. (**B**) $M_s$ exhibited self-association over time. (**C,D**) Dimer and trimer were stable
over time. (**E,F**) $M_i$ does not react with dimer or trimer to form larger assemblies. (**G,H**) $M_s$
reacts with dimer and trimer to form larger assemblies.
956

**Figure 6: Heparin induces transition from $M_i$ to $M_s$**
(**A**) Heparin treatment of FL WT tau was carried out for 15min, 1h, or 4h. Samples were
resolved by SEC, and fractions of various sizes were compared using the biosensor seeding
assay. "Pre-SEC" refers to the sample prior to fractionation. NT = monomer not treated with
heparin. At 15min, a small, but significant seeding activity was observed primarily in the
monomer fraction. By 1h this signal was very strong, and comparable to the signal of $M_s$
derived from sonicated fibrils. (**B**) $M_s$ derived from 4h heparin exposure was heated at 95°C
for different times, followed by analysis of seeding activity in the FRET biosensor assay.
Seeding activity decayed over 24h. (**C**) Seeding efficiencies per nM of tau (monomer
equivalent) of the various forms of $M_s$, sonicated, or unsonicated fibrils were relatively similar.
$M_i$ was sonicated identically to $M_s$, followed by purification via SEC, but exhibited no seeding
activity. Transfection of heparin failed to trigger intracellular aggregation (data not shown).
969

**Figure 7. Unique XL-MS patterns for different forms of $M_i$ and $M_s$**
Tau monomers were prepared as described, heated at 95°C for 0, 3 or 24h, reacted with
DSS, proteolyzed and analyzed by mass spectrometry to define intramolecular crosslinks.
Diagrams represent crosslinks within the tau protein. Tau is shown in grey; RD is colored in
red (R1), green (R2), blue (R3) and indigo (R4). Each diagram indicates only crosslinks
present in every triplicate (green or red). Crosslinks uniquely observed within $M_s$ preparations
are shown in red. Each sample was prepared, isolated by SEC, and then subjected XL-MS.
(**A**) $M_i$: tau monomer not previously fibrillized; (**B**) $M_s$: fibril-derived tau monomer; (**C**) $M_s$:
heparin-exposed tau monomer (0.25h or 1h). Crosslinks from aa150 to aa254-290 mark all
forms of $M_s$ after exposure to 95°C for 0h, 0.25h and 3h, but not 24h.
980

**Figure 8: AD brain contains seed-competent monomer**
Tau from control and AD brains was immunoprecipitated and subjected to SEC. (**A**) SEC
from control brain contained predominantly tau monomer. (**B**) SEC from AD brain contained a
range of tau assembly sizes. (**C**) Tau monomer from control brain exhibited no seeding
activity, whereas monomer from AD brain did, along with larger assemblies. Tau Unit refers
to the putative number of molecules per assembly. LF = Lipofectamine control. (**D**) Tau KO
mouse brain was spiked either with human tau $M_s$ or fibrils prior to dounce homogenization,
immunopurification, and resolution by SEC. Samples spiked with $M_s$ exhibited monomer
seeding activity, but not samples that had been spiked with fibrils. (**E**) AD-derived tau
monomer was incubated for the indicated times prior to SEC and determination of seeding
activity in each fraction. Larger seed-competent assemblies formed after 24h incubation at
RT. (**F, G**) Three control and AD brains were homogenized, monomer isolated, and evaluated
by XL-MS. Tau monomer from controls lacked the long-range crosslinks observed in $M_s$. AD-

derived $M_s$ contained long-range crosslinks (aa150 to aa254-290) also observed in
recombinant forms of $M_s$.
996
**Figure 9. Models of $M_i$ and $M_s$ suggest differences in the R1R2 and R2R3 regions**
XL-MS identified pairs were used as restraints in Rosetta to create structural models of
discrete tau domains. (**A**) Schematic highlighting the region of the RD encoding structural
differences between $M_i$ and $M_s$. Tau RD is colored in red (R1), green (R2), blue (R3) and
indigo (R4); N- and C-terminal portions of tau are shown in grey. Fragments of interest are
shown with their position in the RD. (**A**) recombinant $M_i$; (**B**) fibril-derived $M_s$, (**C**) Control $M_i$
and (**D**) AD-derived $M_s$. Regions surrounding the R1R2 and R2R3 are indicated, highlighting
two amyloid-forming sequences, VQIINK (green spheres) and VQIVYK (blue spheres). In
both forms of $M_i$ VQIINK and VQIVYK are associated with flanking amino acids in hairpin
structures. In both forms of $M_s$ the VQIINK and VQIVYK sequences are presented at the
protein surface. Please see Supplemental Movie files to better visualize the 3D orientation of
specific regions.
009
**Figure 10. Proteolysis of $M_i$ and $M_s$ reveals distinct patterns**
(**A**) $M_i$ and $M_s$ were prepared in triplicate, isolated by SEC, and passed through a 100kD filter
immediately prior to exposure to trypsin for 1, 5, 10, 30, 60 and 120min. Samples were
analyzed by mass spectrometry and kinetic profiles generated for peptides present at each
time point. (**B**) Tau RD is colored in red (R1), green (R2), blue (R3) and indigo (R4). Identified
peptides are shown with their position in the RD. (**C-H**) Kinetic profiles are indicated for
peptides that were more abundant in $M_i$ (**C, F**), $M_s$ (**D, G**) or equal in $M_i$ and $M_s$ (**E, H**). $M_i$ and
$M_s$ kinetic profiles are shown in blue and black, respectively. Fragments enriched in $M_i$ or $M_s$
were mapped onto corresponding regions in the structural models (**I, J**). The models are
shown as cartoons colored in red (R1), green (R2) and blue (R3). Cleavage sites are
indicated by arrows for $M_i$ (blue) and $M_s$ (black).
021
022   **Supplemental Table S1. Summary of triplicate XLMS datasets**
023
024   **Supplemental Table S2. Summary of consensus XLMS datasets**
025
026   **Supplemental Table S3. Summary of patient-derived XLMS datasets**
027
028   **Supplemental Table S4. Summary of peptides identified in the $M_i$ and $M_s$ proteolysis**
029
030   **Supplemental Movie Files**
031   PyMol was used to create rotating movies of all structural models for $M_i$ and $M_s$ derived from
032   recombinant or human sources. Each model of $M_s$ features one or both VQIINK/VQIVYK
033   sequences exposed. Forms of $M_i$ feature these sequences relatively buried in hairpin
034   structures.
035
**Supplemental Figure S6. SDS-PAGE of tau after sonication or heparin treatment.**
(**A**) Two different FL WT tau preparations were sonicated or not, and 1.5µg protein was then
resolved by SDS-PAGE and coomassie stain. Sonication induced a small degree of protein
fragmentation. (**B**) FL WT tau was exposed to heparin for 15min, sufficient to induce
conversion from $M_i$ to $M_s$, followed by DSS crosslinking for the indicated time periods. 100ng
Protein was then resolved by SDS-PAGE and silver stain. No small fragments or higher-order
crosslinked species were visible.

043
**Supplemental Figure S7. Frequency of crosslinks decrease with heat incubation**

Heat denaturation of $M_i$ and $M_s$ (fibril-derived and heparin treated for 0.25h, 1h) decreases the abundance of consensus crosslink pairs (**A**). Columns represent data after exposure to 95°C for 0h, 3h and 24h.

**Supplemental Figure S8. Different brain homogenization methods yield similar crosslink patterns**

A single AD brain sample was homogenized using four different methods: (**A**) Dounce homogenization; (**B**) Pulse sonication; (**C**) Mechanical homogenization; (**D**) Mechanical homogenization followed by pulse sonication. Diagrams represent crosslinks within the FL tau protein. RD is colored in red (R1), green (R2), blue (R3) and indigo (R4). High confidence XL-MS crosslinks are shown as light green lines; crosslinks found in $M_s$ are shown in red.

**Supplemental Figure S9. Energetics of Rosetta structural ensembles**

The ensembles are shown as a distribution of total energy of each model and radius of gyration for recombinant $M_i$ (**A**), recombinant $M_s$ (**B**), control brain-derived $M_i$ (**C**) and AD-derived $M_s$ (**D**).

**Supplemental Figure S10. Proteolysis reveals localized differences between $M_i$ and $M_s$**

The medians of the averaged kinetic profiles were compared as ratios for $M_i$ and $M_s$. The data were compared to the mean (red line) and standard deviation (dotted grey line). Peptides within the RD that are enriched in $M_i$ or $M_s$ are shown as colored dots according to location in the RD and labeled with N-term and C-term peptide positions. As a reference the tau RD is colored in red (R1), green (R2), blue (R3) and indigo (R4). Identified peptides are shown with their position in the RD.

Figure 1

Figure 2

**B**

| Fraction | Units (n) | $T_{predicted}$ (µs) | $T_{actual}$ (µs) | Variance* |
|----------|-----------|----------------------|-------------------|-----------|
| B5 | 1 | 210 | 211 | 0.005 |
| B7 | 2 | 264 | 270 | 0.022 |
| B8 | 3 | 303 | 309 | 0.020 |
| A7 | 10 | 452 | 561 | 0.241 |
| A4 | >10 | 518 | 1880 | 0.724 |

*Variance: 1-(Predicted / Actual Diffusion Time)

Figure 3

Figure 4

Figure 6

**A**

**B**

**A**

Dounce Homogenization

**B**

Pulse Sonication

**C**

Mechanical Homogenization

**D**

Mechanical Homogenization
Pulse Sonication

**A**

| N-term | | Repeat Domain | | | | C-term |
|---|---|---|---|---|---|---|
| | R1 | R2 | R3 | R4 | | |

VQIINK  VQIVYK

264  R2  311

**B** $M_i$

VQIINK

VQIVYK

**C** $M_s$

VQIINK

VQIVYK

**D** Control $M_i$

VQIINK

VQIVYK

**E** AD $M_s$

VQIINK

VQIVYK

Figure 10