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## Comparison of decision-related signals in sensory and motor preparatory responses of neurons in Area LIP

#### Abbreviated title: Decision signals in LIP sensory & motor responses

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## 1 **ABSTRACT**

2 Neurons in the lateral intraparietal area (LIP) of Macaques exhibit both 3 sensory and oculomotor preparatory responses. During perceptual decision making, the preparatory responses have been shown to track the state of the evolving 4 5 evidence leading to the decision. The sensory responses are known to reflect 6 categorical properties of visual stimuli, but it is not known if these responses also 7 track evolving evidence. We compared sensory and oculomotor-preparatory 8 responses in the same neurons during a direction discrimination task when either 9 the discriminandum (random dot motion) or an eve movement choice-target was in 10 the neuron's response field. Both configurations elicited task related activity, but only the motor preparatory responses reflected evidence accumulation. The results 11 12 are consistent with the proposal that evolving decision processes are supported by 13 persistent neural activity in the service of actions or intentions, as opposed to high 14 order representations of stimulus properties.

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## **18 SIGNIFICANCE STATEMENT**

19 Perceptual decision making is the process of choosing an appropriate motor 20 action based on perceived sensory information. Association areas of the cortex play 21 an important role in this sensory-motor transformation. The neurons in these areas 22 show both sensory- and motor-related activity. We show here that, in the macaque 23 parietal association area LIP, signatures of the process of evidence accumulation 24 that underlies the decisions are predominantly reflected in the motor-related 25 activity. This finding supports the proposal that perceptual decision making is implemented in the brain as a process of choosing between available motor actions 26 27 rather than as a process of representing the properties of the sensory stimulus.

## **INTRODUCTION**

29 The life of animals is a constant process of deciding what to do next based on, 30 among other things, the perception of the world around them. In primates, 31 perceptual decision making has evolved into an efficient mechanism of translating 32 the perceived state of the world into possible motor actions (Cisek & Kalaska 2005, 33 Klaes et al 2011, Kubanek & Snyder 2015). The motor system receives continuous 34 access to evolving perceptual decisions and maintains a graded level of 35 preparedness based on the quality of the incoming evidence (Gold & Shadlen 2000, 36 Selen et al 2012). This sensorimotor transformation is particularly evident in the 37 parietal and prefrontal association cortices, where neurons encoding the motor 38 actions associated with the choices on offer also represent evolving decisions 39 (Bollimunta & Ditterich 2011, de Lafuente et al 2015, Ding & Gold 2012, Kim & 40 Shadlen 1999, Roitman & Shadlen 2002). Thus, perceptual decision making can be 41 framed as a choice between available motor actions (Cisek 2007, Cisek & Kalaska 42 2010, Shadlen et al 2008).

43 Yet, perceptual decisions do not feel like they are about potential actions but 44 about propositions or stimulus properties. Indeed, one can make a decision without 45 knowledge of the action that will be required to act on it. In such situations, one 46 might expect neural circuits involved in motor planning to be irrelevant to the 47 decision process (Gold & Shadlen 2003). However, it has been shown that even then, 48 neurons in the parietal association areas carry a representation of the properties of 49 the stimulus that will be relevant for future actions (Bennur & Gold 2011, Freedman 50 & Assad 2006, Goodwin et al 2012). It is possible that such an 'abstract' 51 representations of decision relevant information-independent of the possible 52 motor actions—coexist with representations of decisions as intended actions 53 (Freedman & Assad 2011). Whether such simultaneous representations exist in the 54 same association area has not been investigated before. Consequently, it is also not 55 known if such abstract representations play a role in the decision-making process.

56 We used the random-dot motion (RDM) direction discrimination task 57 (Newsome et al 1989) to investigate these questions. In this task, the animals 58 discern the net direction of a stochastic motion stimulus and report their decision 59 by making a saccade to one of two choice targets that is along the direction of the perceived motion. This task is particularly well suited for our purposes. First, 60 optimal performance on this task demands integration of motion evidence over 61 62 time. This prolonged deliberation time allows characterization of whether a neural 63 population is participating in the process of evidence accumulation or not. Second, 64 there exists a theoretical framework—bounded accumulation of noisy evidence to a 65 decision threshold (aka drift-diffusion, Palmer et al 2005, Smith & Ratcliff 2004) that accounts quantitatively for the speed and accuracy of decisions in this task. 66 67 Third, it has been shown that responses of neurons in several areas of the brain 68 involved in planning saccadic eye movements represent the evolving decision in this 69 task (Ding & Gold 2010, Ding & Gold 2012, Horwitz & Newsome 1999, Kim & 70 Shadlen 1999, Shadlen & Newsome 1996).

We focused on the parietal sensorimotor association area LIP. Many neurons
 in LIP respond to both the presence of a sensory stimulus in, and to a planned

saccade into their response fields (Barash et al 1991b). We recorded the responses
of the same set of neurons during the RDM discrimination task in two configurations
— when the response field contained the RDM stimulus and when it contained one
of the choice targets. We show that the neurons represent the moment-by-moment
accumulation of sensory evidence only in the latter configuration, that is, when they
are involved in the planning of the motor action required to report the choice.

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## 81 MATERIALS AND METHODS

All training, surgery, and experimental procedures were conducted in accordance with the National Institutes of Health *Guide for Care and Use of Laboratory Animals* and were approved by the University of Washington Institutional Animal Care and Use Committee (IACUC Protocol # 2896-01).

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### 87 Experimental Design and Statistical Analysis

#### 88 <u>Neural recordings:</u>

89 We recorded activity of 49 well isolated single units from area LIPv (Lewis & 90 Van Essen 2000) of two adult female rhesus monkeys (Macaca mulatta) trained on 91 the random-dot motion direction discrimination task. MRI was used to localize LIPv 92 and to target recording electrodes. Within this putative LIPv, we screened for 93 neurons that had both visual responses and spatially selective persistent activity. 94 The persistent activity was assessed using a memory-guided saccade task (Gnadt & 95 Andersen 1988). In this task, a target is flashed in the periphery while the monkey 96 fixates on a central spot. The monkey has to remember the location of the target and 97 execute a saccade to that location when instructed. The response field (RF) of each 98 neuron was identified as the region of visual space that elicited the highest activity 99 during the interval between the target flash and the eventual saccade. For the majority of neurons in LIPv, this region also elicits the strongest visual response 100 101 (Platt & Glimcher 1998). During the recording sessions, visual and persistent 102 activities were assessed qualitatively. We confirmed these properties by analyzing 103 the following responses acquired during the experiment: (i) the response to RDM 104 presented in the RF, 100-300 ms after onset and (*ii*) delay period activity, 100-300 105 ms before a saccade into the RF. We confirmed that both proxies were greater than 106 baseline activity, 0-200 ms before the appearance of a visual stimulus in the RF.

107 <u>Behavioral Task:</u>

108 The choice-reaction time direction discrimination task is similar to previous 109 studies (Roitman & Shadlen 2002). The animal initiates a trial by fixating on a point 110 (fixation point; FP) presented on an otherwise black screen. Two choice-targets then 111 appear on the screen. After a variable delay (drawn from an exponential distribution of mean 750 ms), the random-dot motion (RDM) stimulus is displayed in an 112 113 imaginary aperture (i.e., invisible borders) of 5°-9° diameter at a third location. The 114 first three frames of the stimulus consist of white dots randomly plotted at a density 115 of 16.7 dots • deg<sup>-2</sup> • s<sup>-1</sup>. From the fourth frame, each dot from three frames before is replotted — either displaced in one direction along the axis connecting the two 116 117 targets, or at a random location. The probability with which a dot is displaced in the

direction of one of the targets determines the stimulus strength (coherence) and on each trial, this was randomly chosen from the set C = [0, 0.032, 0.064, 0.128, 0.256, 0.512]. The motion strengths and the two directions were randomly interleaved. Importantly, the monkey was allowed to view the stimulus as long as it wanted and indicate the perceived direction of motion with a saccade to the target that lay in that direction to obtain a liquid reward. Rewards were given randomly (p=0.5) for the 0% coherence motion condition.

125 During recording from each isolated neuron, the choice-targets and the RDM 126 were presented in two configurations (Figure 1). In the 'Target-in-RF' configuration, 127 one of the choice-targets overlay the neuronal RF. In the 'RDM-in-RF' configuration, the RDM stimulus was presented in the RF. The two configurations were alternated 128 129 in blocks (median block size 90, IQR 60-120). The order of blocks was randomized 130 across neurons (23 started with Target-in-RF blocks; 26 with RDM-in-RF blocks) 131 and each neuron was recorded with at least one block of trials in each configuration. 132 For 33 of the neurons, the targets and the dot stimuli were placed 120° apart on an 133 imaginary circle (as shown in Figure 1). For the remaining 16 neurons (in one 134 monkey), the targets and the dot stimulus were aligned linearly in both 135 configurations. Since the directions of motion varied across sessions, we adopted the following conventions. In the Target-in-RF configuration, the direction of motion 136 137 towards the target in the RF for each neuron was considered the 'positive' direction. 138 In the RDM-in-RF configuration, the positive direction was assigned *post hoc* from 139 the neural recordings: the direction of motion that elicited the higher mean 140 response.

141 All statistical tests are described in the pertinent sections of Materials and142 Methods.

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#### 144 Analyses of behavioral data

145 The accuracy and reaction times (RT) of the monkeys were fit by a bounded evidence accumulation model (Shadlen et al 2006). In the parsimonious application 146 147 of this model employed here, the instantaneous evidence about motion at each time 148 step is assumed to arise from a normal distribution with variance  $\Delta t$  and mean 149  $\kappa(C - C_0)\Delta t$ , where C is the signed motion coherence,  $C_0$  is a bias, and  $\kappa$ , a scaling 150 parameter. This instantaneous evidence is accumulated over time and the decision 151 process terminates when the accumulated evidence reaches one of the bounds  $\pm B$ 152 leading to the choice of one of the targets. The mean RT is the expectation of the time taken for the accumulated evidence to reach the bound plus a constant — the 153 154 non-decision time  $t_{nd}$  comprising sensory and motor delays. To account for 155 asymmetric reaction times in some configurations, we used two different nondecision times ( $t_{nd1}$  and  $t_{nd2}$ ) for the two target choices. In this framework, the mean 156 157 RT for the correct choices (i.e. choices consistent with the sign of the drift rate, 158  $\kappa[C - C_0]$  ) is described by

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$$RT = \frac{B}{k(C-C_0)} \tanh\left(\kappa(C-C_0)B\right) + t_{nd}$$
(1)

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$$P_{+} = [1 + \exp(-2\kappa(C - C_{0})B)]^{-1}$$
(2)

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166 where  $P_+$  is the probability of choosing the target consistent with the 'positive' 167 direction of motion. We fit Equation 1 to the RT data and used the fitted parameters 168 to predict the choice functions (Equation 2) (Gold & Shadlen 2002, Kang et al 2017). 169 We first established an estimate of  $C_0$  from a logistic fit of the choices. Because the 170 parsimonious model explains only the RT when the choice is consistent with the 171 sign of the drift rate (Ratcliff & Rouder 1998), we used the mean RT for positive 172 choices at  $C-C_0>0$  and negative choices for  $C-C_0<0$ . We then fit  $\kappa$ , B,  $t_{nd1}$  and  $t_{nd2}$  and used the values of  $\kappa$  and B in Equation 2 to establish predictions of choice (Figure 2). 173

174 We evaluated the fidelity of these predictions by comparing the predictions 175 to a logistic regression fit of the choice data. To demonstrate that these predictions 176 were not a trivial result of monotonic ordering of RTs by motion strength, we 177 compared them to predictions from 10,000 pseudorandomly generated RT vs. 178 coherence functions that preserved the order of RTs. To generate these functions, 179 we retained the observed RTs for the minimum (-51.2%), maximum (+51.2%) and 180 0% coherences and used ordered random values within this range for the other coherences. We quantified the magnitude of the perturbation as the average of the 181 182 percentage change from the observed RT at each coherence. We then performed the 183 steps above to fit these perturbed RTs to establish a new predicted choice function. 184 We estimated the probability of obtaining a predicted choice function as good or 185 better that the ones derived from data as a function of the size of the perturbation. 186 We report the minimal perturbation at which p < 0.01.

187 To obtain a more precise estimate of decision times, we fit an elaborated 188 version of the bounded evidence accumulation model (Extended data Figure 2-1) 189 simultaneously to both choices and reaction times (including both correct and error 190 trials). In this model, the decision bounds (*B*) collapse with time (*t*) such that

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- 192 193

 $B(t) = B_0 - B_1 (t - B_{del})^2 \quad for \ t > B_{del}$ (3)

194 where  $B_0$  is initial bound height,  $B_1$  is the rate of collapse and  $B_{del}$ , the delay to onset 195 of collapse. The non-decision time is modeled as a normal distribution with mean  $t_{nd}$ 196 and standard deviation  $\sigma_{tnd}$ . A separate non-decision time was used for decisions 197 terminating at each of the two bounds. This model was fit by maximizing the log 198 likelihood of the observed responses (choice and RT) on each trial to numerical 199 solutions for the probability densities of terminating at  $\pm B(t)$  (Churchland et al 200 2008, Kang et al 2017). The mean decision times were obtained from these fits and 201 their standard error estimated from fitting the model to resampled trials (i.e., the 202 standard deviation of the means from 100 iterations).

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#### 204 Analyses of neural data

Population responses were computed as the average of all trials from all neurons after smoothing each trial with a 75 ms wide boxcar filter (Figure 3A-D). The smoothing was only for visualization and all analyses were conducted on the raw spike data (1 ms resolution). To visualize the coherence dependent buildup of activity (Insets of Figure 3A,C), we detrended individual neuronal responses by
subtracting the average responses across all coherences for the same neuron
(separately for each task configuration).

We compared the strength of direction selectivity in our neural population to that reported in Fanini and Assad (2009), using their direction selectivity index (DI):

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$$DI = \frac{|\sum_{n} R_{n} e^{i\theta_{n}}|}{\sum_{n} R_{n}}$$
(4)

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217 where  $R_n$  is the mean response to  $n^{th}$  direction  $\theta_n$  in the time window 190 ms 218 after RDM onset to 100 ms before saccade. DI was computed from responses to the 219 51.2% coherence motion trials in the two directions ( $\pi$  radians apart). We 220 compared the distribution of the DI values in our population to those reported in 221 Figure 3A of Fanini and Assad (2009), using a rank sum test (Figure 3E).

222 We used responses at the two strongest motion strengths  $(\pm 51.2\%)$ 223 coherence) to estimate the latency from motion onset to the time that direction 224 selectivity was first apparent in a given neural population (Figure 3F). We averaged 225 the responses in 40 ms bins on each trial at these coherences and derived receiver 226 operating characteristics (ROC) from these response distributions at each time bin. 227 The area under the ROC denotes the probability of the neuron responding more to 228 the positive direction of motion. For each time bin, we applied a Wilcoxon rank sum 229 test and estimated the response latency as the first of three successive bins that met 230 statistical significance (p<0.05). We used a bootstrap procedure to estimate the 231 distribution of latencies under the two task configurations. For each configuration, 232 we resampled trials with replacement, matching the number of trials in the original 233 data sets, and obtained a latency using the same procedure as on the actual data. We 234 repeated this procedure 1000 times for each configuration. The medians of these 235 distributions recapitulated the latency estimated from the data (180 and 190 ms for 236 the Target-in-RF and RDM-in-RF respectively). We report the p-value of a rank sum 237 test (2-tailed) using the bootstrap derived distributions to evaluate the null 238 hypothesis that the latencies are the same for the two configurations. We obtained 239 the same result by sampling neurons (instead of trials), with replacement.

240 We quantified the effect of motion strength on the rate of increase of neural 241 response ('buildup rate') during the decision-making epoch as the slope of the 242 response in the time window 180 to 380 ms after stimulus onset (Figure 3G). The 243 start of the time window was chosen based on the latency of the direction selectivity 244 of the responses. To exclude pre-saccadic activity, we discarded from each trial, the 245 spikes occurring up to 100 ms before saccade onset. We computed by least squares 246 method, the slope for each neuron at each coherence from the mean detrended 247 response in 10 ms time bins in the aforementioned time window. We then tested 248 whether these buildup rates scaled with coherence across the population in each 249 stimulus configuration by fitting a linear model regressing these buildup rates 250 against signed coherence. We confirmed that the trends shown in Figure 3G were 251 preserved when the analysis was performed using weighted regression.

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253 <u>Leverage of neural activity on behavior:</u> (Figure 4)

We measured the leverage of neural activity on the animal's choice in two ways. First, we fit the monkey's choices with logistic regression

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$$P_{+} = [1 + \exp{-(\beta_{0} + \beta_{1}C + \beta_{2}R)}]^{-1}$$
(5)

259 where  $P_{t}$  is the probability of choosing the 'positive' direction target, C is signed 260 coherence and R is the z-scored mean neural response in the time window 100 to 261 300 ms before saccade. If the variations in firing rate of the neurons have leverage 262 over choice even when the effect of motion coherence is accounted for, then  $\beta_2 \neq 0$ . 263 We compared  $\beta_2$  across configurations with a signed rank test on their absolute 264 values. We also quantified the additional leverage of the neural responses on choice 265 beyond that of the motion strength, by measuring the difference in the deviance of 266 the full model and the model without the R term (A). Comparisons of A provided 267 similar results to the comparisons of the  $\beta_2$  term that are presented in the results.

268 Second, we quantified the trial-by-trial correlations between neuronal response and the animal's choice in the 0% coherence trials by computing 'choice 269 270 probability' (CP, Britten et al 1996). For each neuron, we computed the mean 271 responses on the 0% coherence trials in a time window 100 to 300 ms preceding the 272 saccade. The trials were separated into two groups based on the animal's choice. We 273 used the distributions of responses from the two groups to calculate the area under 274 the ROC, termed the choice probability. We evaluated the null hypothesis that |CP-275 0.5 = 0 using a permutation test. We permuted the union of responses from both 276 groups and assigned them randomly to the two choices (matching the number of 277 trials in each group) and computed the CP. By repeating this procedure 2000 times, 278 we established the distribution of |CP-0.5| under  $H_{\theta}$  and report the p value as the 279 area to the right of the observed CP minus 0.5.

280 To evaluate whether the CPs from the two configurations were different, we 281 first converted responses to z-scores (by neuron and configuration) and then 282 combined the z-scores across neurons. We then computed two CPs, as above, for the 283 two configurations. To evaluate the null hypothesis that the two CPs are equal, we 284 performed another permutation test, this time preserving the association with 285 choice but permuting the association with configuration. We obtained the 286 distribution of the difference in CP ( $|\Delta CP|$ ) under  $H_0$  from 2000 repetitions of the 287 permutation procedure and report the p value as the area of this distribution that is 288 greater than the observed  $|\Delta CP|$  from the data.

289 We also quantified the correlation between the buildup rates and RT. We 290 used trials in which the monkey chose the 'positive' direction target, including all 291 such trials at 0% motion strength and only correct trials at positive motion 292 strengths. For each trial, we computed the slope of the response between 180-420 293 ms after RDM onset (using 40 ms time bins) from the detrended responses. To 294 remove the effect of coherence on RT, we standardized (i.e., z-scored) both the RTs 295 and the buildup rates within each coherence and computed the correlation between 296 them.

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298 Variance and correlation analysis:

299 To evaluate if the neuronal firing rates on individual trials during the decision-making epoch reflect a process of accumulation of noisy evidence, we 300 analyzed the pattern of variance and autocorrelation of the responses (Churchland 301 302 et al 2011, de Lafuente et al 2015). We were interested in the variance attributable to such an accumulation process. For the  $i^{\text{th}}$  time bin, this variance  $(s_{\langle N_i \rangle}^2)$  is the fraction of the total measured variance  $(s_{N_i}^2)$  remaining after accounting for the 303 304 point process variance (PPV), that is, the variance expected even if the underlying 305 rates were constant. We refer to  $s_{\langle N_i \rangle}^2$ , which is a variance of a conditional 306 expectation of the counts, hence the variance of the underlying rate, simply as 307 308 'variance' in the main text. Assuming the PPV is proportional to the mean count,

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 $s_{<N_i>}^2 = s_{N_i}^2 - \varphi < N_i>$ (6)

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312 where  $\varphi$  is a constant that must be estimated.

313 Since our goal was to compare how well the firing rates conform to a 314 diffusion process, we allowed  $\varphi$  to be a free parameter and fit it to obtain the best 315 conformity to the autocorrelation pattern for a running sum of independent, 316 identically distributed random numbers. Recall that the variance of the sum of n317 independent random samples of variance  $\sigma^2$  is  $n\sigma^2$ . If the sum is extended for 318 another *m* samples, the variance is  $(n+m)\sigma^2$ . The sum out to *n* shares a fraction of this variance: n/(n+m). This is the  $R^2$ , and its square root is the correlation,  $\rho$ . So, for 319 an unbounded diffusion process, the correlation between the  $i^{th}$  and  $i^{th}$  time steps is 320

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$$\rho_{ij} = \sqrt{\frac{\min(i,j)}{\max(i,j)}}$$
(7)

Note that for six time bins, the 6 by 6 correlation matrix contains 15 unique values of  $\rho_{i\neq j}$ .

We characterized the variance and autocorrelation from six 60 ms time bins between 180-540 ms after stimulus onset, ignoring any time bins that extended to within 100 ms of the saccade. To pool data across neurons, we used the residuals for each trial as follows. The mean response of a trial in each time bin was subtracted from the mean of the responses from all the trials for that neuron for the same signed coherence in that time bin. We computed the covariance matrix from the residuals for the six time bins.

331 We used an initial guess for  $\varphi$  to calculate the variance attributable to the diffusion process  $(s_{\langle Ni \rangle}^2, Equation 6)$  and substituted the raw variances for the 332 diagonal of the covariance matrix. The correlation was derived from this covariance 333 matrix by dividing each term by  $\sqrt{(s_{< N_i>}^2 s_{< N_j>}^2)}$ . We used Nelder-Mead simplex 334 335 method (MATLAB function '*fminsearch*') to find the  $\varphi$  that minimized the sum of 336 squares of the difference between the 15 z-transformed calculated correlation  $(r_{ij})$ 337 and the z-transformed theoretically predicted correlation ( $\rho_{ii}$ ). Note that the values 338 of  $\varphi$  were not constrained to be the same in the Target-in-RF ( $\varphi = 0.42$ ) and RDM-in-339 RF ( $\phi$  = 0.39) configurations.

We report the variance  $(s_{\langle N_i \rangle}^2)$  in Figure 5 using the fitted  $\varphi$  values and estimated the standard errors from a bootstrap. We evaluated the effect of time on the variance using least squares regression. We also performed these analyses over a range of plausible values of  $\varphi$  and confirmed that only the absolute values of the variances differed, whereas the shape of the variance function over time was unaffected. We similarly computed the variance and its standard error for time bins aligned to the onset of the saccade.

347 We used a combination of Monte Carlo methods and parametric statistical 348 tests to analyze the decline in variance preceding the saccade. For trials in which the 349 monkey chose the target in the RF, we compared the variance in the two time bins 350 immediately preceding the saccade, using the bootstrap derived standard errors. 351 We report a t-test. We made the same comparison for each of the other conditions: 352 (1) unchosen Target-in-RF, (2) preferred direction choice with RDM-in-RF, and (3) 353 non-preferred direction choice with RDM-in-RF. None were significant (p>0.05). We 354 do not report these tests in the results and instead compare directly the estimates of 355 variance decline in the four conditions. To do this, we computed the fractional 356 difference in variance in the two time bins and estimated its standard error using 357 the same bootstrap. We compared this difference statistic in the four conditions 358 using ANOVA. We report the maximum *p* value for the comparison of the chosen 359 Target-in-RF condition with the other three conditions, using Tukey's test.

360 To quantify how well the measured correlation values conform to theoretical 361 predictions, we formed a sum of square (SS) statistic from the 15 pairs of observed 362 and theoretical correlations (after Fisher-z transformation, Figure 6D-E). We used a 363 bootstrap procedure to estimate the distribution of this statistic by sampling with 364 replacement from the data and following the steps above (100 iterations). We used 365 a Kolmogorov-Smirnov test to determine the significance of the difference between 366 the distribution of the SS statistics between the RDM-in-RF and the Target-in-RF 367 configurations.

#### 369 Model

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370 We simulated the spike rates of three neural populations during the RDM 371 epoch — one population with the RDM in their RF and two with targets in their RF. 372 We devised two models that could account for direction selectivity seen in the RDM-373 in-RF population: (1) selectivity is inherited by means of divisive suppression from 374 the Target-in-RF populations that are accumulating evidence ('divisive suppression 375 model'), and (2) selectivity arises from an evidence accumulation process 376 transpiring in the RDM-in-RF population itself ('parallel diffusion model'). Each 377 model was implemented in two stages. In the first stage, our goal was to 378 approximate the pattern of mean responses seen in the data. The models specify the 379 predicted autocorrelation matrices for both neural populations. In the second stage, 380 we compared the two models by assessing their capacity to explain the 381 autocorrelation matrices derived from the neural data.

In the divisive suppression model (Figure 7A), the RDM-in-RF population was modeled as having an exponential rise in firing rate starting 50 ms after RDM onset and peaking at 130 ms (Figure 7C). The peak response varied from trial to trial, independent of RDM direction. The population then maintained the peak response through the end of the simulated epoch (540 ms after RDM onset). The two Target-in-RF populations were modeled as maintaining a steady response ( $R_0$ ) up to 180 ms after RDM onset and then following drift diffusion dynamics (Figure 7B). The responses *S* in the dynamic epoch evolved at each time step  $\Delta t$  as

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$$\Delta S = K \Delta t + N\{0, \alpha \sqrt{\Delta t}\}$$
(8)

393 incorporating a deterministic drift component (K) and a diffusion component (N) — 394 a Normally distributed random number with mean zero and standard deviation 395  $\alpha\sqrt{\Delta t}$ . The drift component was positive for one target population (T<sub>1</sub>) and negative 396 for the other  $(T_2)$ . The parameter K was chosen so that the drift rate in the  $T_1$ 397 population of the model after implementation of divisive suppression (see below, 398 Equation 9) matched the observed buildup of the neural response for the Target-in-399 RF neural population at the 25.6% coherence condition (solid line in Figure 7F). The 400 parameter  $\alpha$  was chosen such that the slope of the variance, after incorporation of 401 suppression, mimicked that seen in data (blue curve in Figure 5A). See Table 2 for 402 values of model parameters.

403 We simulated 10,000 trials and implemented divisive suppression between404 the three populations of the form

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 $R_{1} = \frac{R_{1}'(t)}{1 + \omega_{21}R_{2}'(t - \Delta t) + \omega_{31}R_{3}'(t - \Delta t)}$ (9)

408 where *R*' and *R* denote the unsuppressed and suppressed responses, respectively, of 409 the population indicated by the subscript, and  $\omega_{ij}$  is the weight of the influence of 410 the *i*<sup>th</sup> population on the *j*<sup>th</sup>. The suppressed responses at each time point (*t*) was 411 computed based on the unsuppressed responses in the time window preceding it by 412  $\Delta t = 10$ ms.

413 We first estimated the suppression of two target populations on each other  $(\omega_{T1T2} \text{ and } \omega_{T2T1})$  from the peak and steady state responses of the neurons to the 414 appearance of a target in their RF. We then estimated the weight of suppressive 415 416 influence of the RDM-in-RF population on the Target-in-RF populations ( $\omega_{DTx}, x \in$ {1,2}) using the firing rates at the trough of the response dip following the onset of 417 418 RDM (arrow in Figure 7F). The influences of the two Target-in-RF populations on 419 the RDM-in-RF population  $\omega_{TxD}$  were adjusted around  $\omega_{DTx}$  to mimic the observed 420 separation in mean responses of the RDM-in-RF population to the two directions of 421 motion. Such asymmetry of the influence of the two Target-in-RF populations might 422 arise from the different spatial relationship they might have with the RDM-in-RF 423 population. Similar asymmetries are likely for the other pairs of  $\omega$  too, but we set them to be equal here to simplify the model. We used the weights of suppression to 424 425 estimate the underlying unsuppressed mean responses of each of the populations 426 (Figure 7B-C).

427 In the parallel diffusion model, we implemented drift diffusion dynamics in 428 the RDM-in-RF population as well as in the Target-in-RF population, and the 429 populations had no suppressive interactions (Figure 9). The drift component in the 430 RDM-in-RF population (*K* in Equation 8) was set to mimic the observed separation 431 of responses to the two directions of motion in the data (Figure 7G). The scaling 432 factor for the variance of the diffusion component ( $\alpha$  in Equation 8) was adjusted to mimic the observed slope of the variance of the responses in the RDM-in-RF 433 434 configuration (green curve in Figure 5A). Because of the absence of divisive 435 interactions in this model, K and  $\alpha$  for the Target-in-RF populations were 436 recomputed to bring them in agreement with the data (Table 2).

437 Up to here, all parameters were established from the neural data, allowing 438 both models to approximate the mean responses in the data. To compare how well 439 the two models can account for the pattern of autocorrelation in the data, we 440 needed to consider other possible sources of variance and autocorrelation. In both 441 models, the variance of the non-directional sensory response of the RDM-in-RF 442 populations was incorporated as a free parameter  $V_{RDM}$ . This parameter was 443 constrained to not exceed the variance observed at the peak of the sensory neural 444 response in the RDM-in-RF configuration. For the divisive suppression model, our 445 hypothesis is that the noisiness of the suppression causes the autocorrelation 446 pattern of the RDM-in-RF population to deviate from theoretical predictions. We 447 instantiated this noisy process by corrupting the interaction signals so that they 448 were not perfect replicas of the responses of the three populations in the model 449 (Insets in Figure 7B, C). This noise term was proportional to the square root of the 450 response. We set the scaling term  $\gamma$ =5 to represent a modest amount of noise ( $R^2$ = 451 0.81 for the diffusion paths and their corrupted versions).

452 We attempted to achieve the best possible fit to the 30 correlations observed 453 in the data in the two configurations (15 unique values each for the Target-in-RF and RDM-in-RF configuration) under each of the models. The models give rise to 454 455 predicted correlations in the Target-in-RF and RDM-in-RF populations (varying with 456 the free parameter  $V_{RDM}$ ). As above, we allow for uncertainty in the PPV in the data 457 ( $\varphi$  in Equation 6). So we compute the correlations in the neural data with two 458 additional degrees of freedom (parameters,  $\varphi_{RDM}$  and  $\varphi_{Tar}$  for the RDM-in-RF and 459 Target-in-RF configurations, respectively). We estimated the set of parameters that 460 maximized the log likelihood ( $\hat{L}$ ) of the 30 correlations in the data (Fisher ztransformed) under the model predictions. It was not possible to fit  $\gamma$  and  $\varphi_{RDM}$ 461 462 simultaneously without imposing additional constraints (e.g.,  $\varphi_{RDM} = \varphi_{Tar}$ ). Instead, 463 we fixed  $\gamma$  to establish a modest perturbation of the interaction signals, as noted 464 above. This is the model illustrated in Figures 7-8 (parameters in Table 2). We 465 compared models using the difference in Bayesian Information Criterion (BIC = $-2\hat{L} + k \ln(n)$ , where k is the number of free parameters and n is the number of 466 data points). We explored a range of  $\gamma$ , to confirm that the suppression model is 467 468 favored even with subtle noise perturbation (e.g.,  $\Delta$ BIC>100 for  $\gamma$ =1,  $R^2$ = 0.99). BICs 469 were calculated by conservatively assuming 4 degrees of freedom (d.f.) for the 470 divisive suppression model { $\varphi_{RDM}$ ,  $\varphi_{Tar}$ ,  $\gamma$ ,  $V_{RDM}$ } and just two d.f. for the parallel 471 diffusion model { $\varphi_{RDM}$ ,  $\varphi_{Tar}$ } because  $\gamma$  should be regarded as a free parameter and 472 the best fit of the parallel diffusion model assigns  $V_{\text{RDM}} \approx 0$ . We also fit to a model 473 with  $\gamma$  as a free parameter under the constraint  $\varphi_{RDM} = \varphi_{Tar}$ . This implementation also

474 favors the suppressive interaction model (ΔBIC>6x10<sup>3</sup>; best fitting  $\gamma$ =8.2). Note that 475 the implementation of  $V_{RDM}$  introduces autocorrelation of the rate that spans the 476 duration of the analysis epoch (360 ms). Parametrization of the sensory responses 477 with exponentially decreasing autocorrelation did not provide a significantly better 478 fit to the data in either model.

479

## 480 **RESULTS**

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482 We recorded from 49 well isolated single neurons in area LIP from two 483 monkeys (28 neurons from monkey N and 21 neurons from monkey B) as they 484 decided the net direction of a noisy random-dot motion (RDM) stimulus. On each 485 trial, two choice targets indicated the two directions to be discriminated (e.g., up vs. 486 down). The monkeys reported their decision by making a saccade to the choice target along the perceived direction of motion. They were free to indicate their 487 488 decision whenever ready, thus providing a measure of reaction time (RT). The 489 monkeys performed the task with the RDM and the targets arranged in two 490 configurations (Figure 1). In the 'Target-in-RF' configuration, one of the choice 491 targets was placed in the response field (RF) of the neuron under study. In the 'RDM-492 *in-RF'* configuration, the RDM was placed in the RF. In this way, we obtained data 493 from the same LIP neuron when it belonged either to the pool representing the RDM 494 stimulus or to one of the two pools representing the choice targets.

We first establish that the animals integrate motion information over 100s of ms to make their choices in both task configurations. This prolonged deliberation time offers a window in which to interrogate how the neural responses relate to the process of decision formation. We show that the firing rates of neurons represent the state of the accumulated evidence only when the neurons belong to a pool representing the targets.

501

### 502 **Behavior in the two task configurations**

503 The behavior of both monkeys exhibited an orderly dependence on the 504 strength of the RDM in both task configurations. They took longer to report their 505 decision when the motion strength was weaker (Figure 2, A-D), and their decisions 506 were less accurate (Figure 2, E-H). The systematic relationship between reaction time (RT) and accuracy is well described by the accumulation of noisy evidence to a 507 508 threshold, which determines both the time it takes to make a decision and which 509 alternative the monkey chooses (Gold & Shadlen 2002, Smith & Ratcliff 2004). We 510 support this assertion by fitting the RTs to a bounded evidence accumulation model 511 and then using the fitted parameters to predict the choices (Kang et al 2017, Shadlen 512 & Kiani 2013). Specifically, the curves in the top row of Figure 2 are fits to a parsimonious symmetrically bounded drift diffusion model, which uses four 513 514 parameters to account for the effect of motion strength on the mean RT for correct 515 choices (Equation 1; see Methods). Two of the parameters—the bound height, ±B, and the sensitivity coefficient,  $\kappa$ —establish predictions for the proportion of choices 516 517 as a function of motion strength (Equation 2). The dashed curves in the lower panels 518 of Figure 2 depict these predictions. They are only slightly worse than logistic fits to

519 the choice data themselves (gray curves), which are unconstrained by RT. To 520 quantify the "goodness of prediction", we compared the model predictions to those 521 obtained from random perturbations of the mean RTs which preserve their orderly 522 dependence on motion strength. Small perturbations of the RT (mean 7.5%, range 1-12% or equivalently, mean 48 ms, range 7-73 ms) are sufficient to produce 523 524 substantially poorer predictions (p<0.01). The fidelity of the predictions supports 525 the assertion that the choices result from the same process of bounded evidence 526 accumulation that explains the decision times. Importantly, this conclusion holds for 527 both stimulus configurations.

528 From this exercise we conclude that the decision times (i.e., RT minus the 529 non-decision time) estimated from diffusion model fits can be used to identify an 530 epoch in which noisy evidence was integrated to make the decision. To obtain more 531 refined estimates of the integration times for the different task configurations, we fit 532 a more elaborate bounded diffusion model (Figure 2-Extended data Figure 1, see 533 Methods for details and Table 1 for fit parameters). The small differences in reaction 534 times between the two configurations for Monkey N was accounted for by the non-535 decision time parameter. For Monkey B, a combination of increased sensitivity and 536 decreased bound height contributed to the faster RTs in the RDM-in-RF 537 configuration. Importantly, the fits established that both monkeys integrated 538 evidence over hundreds of ms in each configuration.

539

#### 540 **LIP neuronal responses in the two task configurations**

541 Neurons in area LIP can exhibit sensory-, memory- and saccade-related responses (Barash et al 1991a, Gnadt & Andersen 1988). For example, in a task 542 543 where a monkey must remember a visually cued location and make a delayed 544 saccade to it, LIP neurons can show (1) a short latency response to the visual cue if it appears in the RF, (2) a persistently elevated response during the delay period and 545 546 (3) a burst of activity preceding a saccade to the remembered location. Not all LIP 547 neurons exhibit all three types of responses. Since our goal was to compare the 548 decision related activity in the same neurons when they belonged to the pool 549 representing the sensory information and when they belonged to the pool involved 550 in planning the motor action, we recorded from neurons that responded to visual 551 stimuli in their RF and also showed persistent activity in association with saccadic 552 motor planning. Each of our neurons increased their responses above baseline to 553 the appearance of a visual stimulus in their RF (responses after RDM onset: median 554 5 SD above baseline, interquartile range [IQR]: 2.7 to 7.7). The strength of this 555 sensory response was comparable to the highest responses observed during the 556 delay period (median 4.3 SD above baseline, IQR: 2.3 to 9.2, p=0.49, Kolmogorov-557 Smirnov test).

558 During the direction discrimination epoch, the pattern of activity of the 559 recorded neurons varied according to which pool they belonged to. When the 560 neurons belonged to a pool with one of the targets in the RF, the responses largely 561 recapitulated observations from earlier reports (e.g. Churchland et al 2008, Roitman 562 & Shadlen 2002). Figure 3 shows the average population response of all neurons in 563 the Target-in-RF configuration, aligned to either the onset of RDM (Figure 3A) or to 564 the saccade (Figure 3B). The response was elevated before the onset of the RDM reflecting the presence of a choice target in the RF of the neurons. Following motion onset, there was a stereotyped dip in activity before the responses began to separate by motion strength. The evolution, beginning ~180 ms after stimulus onset, is best appreciated in the de-trended responses (Figure 3A, inset). These features and those next described were evident in both of the monkeys, shown individually in Figure 3-Extended data Figure 1 and 2.

571 The same neurons also exhibited differential responses to the two directions 572 of motion being discriminated when they belonged to the pool representing the 573 RDM. To combine responses across the population in this task configuration, we 574 identified the preferred direction of motion for each neuron as the one that elicited 575 the greater response. Figure 3C-D shows the responses of the population averaged 576 after sorting by each neuron's preferred direction. After an initial rise in activity due 577 to the appearance of the RDM in the RF, the responses exhibited a direction 578 dependent separation. Such modulation of LIP neuronal responses by motion 579 direction has been previously reported in naïve monkeys (Fanini & Assad 2009). 580 However, the direction dependent modulation was slightly stronger in our neural 581 population (median direction selectivity index: 0.11 and 0.09, respectively for our 582 neurons and those reported in Fanini & Assad; p=0.06 rank-sum test; see Figure 3E). Note that, our neural population displays this degree of direction selectivity at a 583 584 lower motion strength (51.2% coherence) than that used by Fanini & Assad (100%) 585 coherence). This result is consistent with previous reports of stronger directional 586 selectivity in LIP neurons of monkeys trained on tasks that rely on direction 587 discrimination (Sarma et al 2015).

588 We quantified the time course of the evolution of direction selectivity at the 589 highest motion strength (Figure 3F) using an ROC metric (see Methods). The 590 responses to the two motion directions were significantly different starting 190 ms 591 after the onset of dot stimulus (p<0.05 on Wilcoxon rank sum test). This is much 592 later than the  $\sim$ 50 ms latency of direction selectivity observed in naïve monkeys 593 (Fanini & Assad 2009). This is also later than the  $\sim 100$  ms latency for direction 594 category selectivity reported in monkeys trained to categorize sets of motion 595 directions (Swaminathan & Freedman 2012). As discussed below, the long latency in 596 our neuronal pool may be an indication that the directional responses we observed 597 in the RDM-in-RF configuration arise through a different mechanism than the 598 direction- and category-selective responses previously reported in LIP.

599 The latency in the RDM-in-RF configuration lagged the direction selectivity 600 seen in the same neurons in the Target-in-RF configuration (180 ms,  $p<10^{-3}$ , 601 bootstrap analysis). However, the similarity of the latencies suggests that the RDM-602 in-RF population might also reflect the formation of the decision, as the Target-in-RF population has been shown to do (Churchland et al 2008, Roitman & Shadlen 2002). 603 604 Consistent with this possibility, the rise and decline of neural activity depends on 605 the strength of the RDM (Figure 3C, inset), albeit with a smaller dynamic range 606 compared to responses in the Target-in-RF configuration. Note that in this 607 configuration, directions are sorted based on the preferred direction of each neuron. 608 The coherence dependent ordering of responses could have been accentuated by 609 this *post hoc* procedure. To quantify this coherence dependence, for each neuron 610 and motion strength, we estimated the slope of the responses (buildup rate) in a 611 200 ms epoch beginning at the time of response separation as identified in the 612 preceding analysis. We then characterized the relationship between motion 613 strength and buildup rates separately for the preferred and non-preferred 614 directions of motion (Figure 3G). The buildup rates of neurons in the Target-in-RF 615 configuration showed a linear dependence on motion strength both when the 616 motion direction was towards the RF  $(1.5\pm0.2 \text{ spikes per } s^2 \text{ per } 1\% \text{ coherence})$ 617  $p<10^{-9}$ ) and when the motion was away from the RF (-1.2±0.2,  $p<10^{-5}$ ). A similar trend was observed in the RDM-in-RF configuration. However, this relationship was 618 619 significant only for the non-preferred direction of motion ( $-0.7\pm0.2$  spikes per s<sup>2</sup> per 620 1% coherence, p<0.002). For the preferred direction, the build-up rates increased with coherence but not significantly so  $(0.6\pm0.4 \text{ spikes per } s^2 \text{ per } 1\%$  coherence. 621 622 p=0.13). In both configurations, these trends were preserved even when the highest 623 motion strength trials were excluded. Thus, neuronal pools in LIP representing the 624 saccade targets and the RDM both differentiate the discriminanda during an epoch 625 coinciding with decision formation. The build-up of neural activity depended on the 626 strength of the stimulus in both populations, but this dependence was weaker when 627 the RDM was in the RF.

628 We also compared the responses at the end of the decision process for the 629 two task configurations (Figure 3B & D). When the monkey chose the target in the 630 neuron's RF, the responses appear to coalesce to a common firing rate just before 631 the saccade, irrespective of motion strength (Figure 3B, solid curves), as shown 632 previously (Churchland et al 2008, Roitman & Shadlen 2002). This pattern is 633 thought to reflect a threshold level detected by another circuit to terminate the decision (Hanes & Schall 1996, Hanks et al 2014, Mazurek et al 2003). When the 634 635 same neurons contained the RDM in their RF, the responses to the different 636 coherences remained separated until the saccade, and this held for either choice 637 (Figure 3D). This was also the case when the RF contained the unchosen target 638 (Figure 3B, dashed curves). Thus, only the responses of the pool representing the 639 target chosen by the animal contains a possible neural signature of decision 640 termination. In the ensuing sections, we support this qualitative observation with 641 other lines of evidence that show that this pool alone signals decision termination 642 and the time taken to reach it.

643

#### 644 **Correlation between neural responses and behavior**

645 We examined whether the neural responses in the two stimulus configurations were predictive of the monkey's decisions. Specifically, we asked if 646 647 the trial to trial variation in the responses correlates with the trial to trial variation 648 in the monkey's choice behavior. To test this for each neuron, we counted the spikes in a 200 ms long epoch ending 100 ms before saccade initiation on each trial and 649 650 incorporated this count in a logistic regression model of choice (GLM; see Methods). 651 To facilitate comparison across the two stimulus configurations, we standardized 652 the responses across trials of each configuration. We included the strength and 653 direction of the presented stimulus as confounders, thus asking whether the 654 variation in neural response tells us more about the upcoming choice than can be ascertained from the stimulus itself. This was indeed the case for 61.2% of cells in 655 656 the Target-in-RF configuration and for 35.4% of cells in the RDM-in-RF

657 configuration (30 of 49 and 17 of 48 cells respectively; Equation 5,  $H_0$ :  $\beta_2 = 0$ ; 658 p<0.05; Figure 4A). The leverage of the neural activity on choice was significantly 659 stronger in the Target-in-RF configuration (p=0.005, signed rank test).

660 In a complementary analysis, we assessed whether the neural responses on ambiguous trials (0% motion coherence) differed according to the eventual choice 661 662 of the animal. We computed choice probability (Britten et al 1996), a nonparametric 663 statistic that quantifies the overlap between the distributions of responses of the 664 neuron accompanying the two choices (see Methods). A choice probability of 0.5 665 indicates that the two distributions are completely overlapping and therefore 666 uninformative about the ensuing choice. At the single neuron level, choice probability of 32.4% and 25.8% of the neurons was significantly different from 0.5 667 668 in the Target-in-RF and RDM-in-RF configurations, respectively (12 of 37 and 8 of 669 31 cells with at least 10 trials at 0% coherence respectively, p<0.05, permutation 670 test). In both stimulus configurations, the mean choice probability of the neuronal population was significantly greater than 0.5 (Figure 4B, population mean ± SEM of 671 672 0.66±0.03 and 0.59±0.04 for Target-in-RF and RDM-in-RF respectively, p<10<sup>-5</sup> and p<0.02 on t-test). For comparison between the two configurations, we calculated 673 674 'grand' choice probability from standardized responses of all neurons on the 0% coherence trials (see Methods, Britten et al 1996). This choice probability was 675 significantly stronger in the Target-in-RF configuration (0.65 vs. 0.56,  $p<10^{-3}$ , 676 permutation test). From the analyses of choice probability and firing rate leverage 677 678 on choice (Figure 4A-B) we adduce that LIP neurons responsive to both the RDM 679 and the choice targets are informative about the choice, but it is the latter set of neurons (Target-in-RF) that covary more strongly with choice. 680

681 Finally, since the neurons exhibit time dependent changes in their activity in 682 both stimulus configurations, we asked whether the variation of the buildup rates were predictive of the variation in the RTs on a trial-by-trial basis. We used the 683 684 trials in which the monkey chose the target in the RF or the target consistent with the direction of motion preferred by the neuron (RDM-in-RF). For a majority of 685 686 neurons recorded in the Target-in-RF configuration (36 of 49), the reaction times 687 were inversely correlated with the slope of the neural responses (population mean: 688 -0.08, p<0.01). In the RDM-in-RF configuration, the correlation was not significantly 689 different from 0 (mean: 0.03, p>0.33) (Figure 4C) and significantly weaker than the 690 correlations seen in the Target-in-RF configuration (p<0.01, Kolmogorov-Smirnov 691 test). This comparison suggests that only the pool of neurons that contain the 692 chosen target in their RF carries information about the time the animal will take to 693 report its decision.

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695

#### 5 Signatures of noisy evidence accumulation in the response variance

We also wished to ascertain whether the responses on single trials conform to the expectations of noisy evidence accumulation. If so, the variance of the firing rates across trials should increase linearly as a function of time (i.e., the number of samples accumulated). Also, the autocorrelation between firing rates at different times within a trial should conform to the pattern associated with the cumulative sum of random numbers. Such correlation should decay as a function of separation in time from the first sample and increase for equidistant samples as a function of time from the onset of accumulation (see Methods). We used the method developed
by de Lafuente et al (2015) (based on Churchland et al (2011)) to estimate these
quantities.

706 The variance and autocorrelation patterns varied markedly based on 707 whether the neurons contained the target or the RDM in their RF. In the Target-in-708 RF configuration, the variance increased linearly with time during the same epoch 709 that the mean firing rates seemed to reflect the integration of evidence (Figure 5A, 710 shaded region). In the RDM-in-RF configuration, the rise in variance was 711 weaker (p<10<sup>-10</sup>, bootstrap analysis). significantly Also, the observed 712 autocorrelation matrix for the responses in the Target-in-RF configuration (Figure 713 6B,D,F) resembled the theoretical prediction ( $R^2 = 0.88$ ). In contrast, the pattern of 714 autocorrelations (Figure 6C,E,G) for the responses in the RDM-in-RF configuration 715 diverged markedly from the predicted pattern ( $R^2 = 0.2$ ). A bootstrap analysis 716 confirmed that the difference in  $R^2$  values between the two configurations was 717 statistically reliable ( $p < 10^{-10}$ ; see Methods). Later, we show that the deviation of the 718 autocorrelation pattern from theoretical prediction cannot be attributed to a muted 719 drift diffusion process unfolding on the background of a strong non-directional 720 sensory response (Figure 9).

The variance of the neural response also affords a more refined examination 721 722 of the mechanism of decision termination. The firing rate averages in Figure 3B 723 suggest the possibility that decisions terminate when the firing rate of the neurons 724 with the chosen target in their RF reach a threshold. A more stringent test of a 725 threshold is that even for the same motion strength, the variance of the neural 726 response should approach a minimum just before the saccade. Indeed, we observed 727 a precipitous decline in the variance in the  $\sim 100$  ms preceding the saccade for the 728 neuronal pool with the chosen target in the RF (Figure 5B, solid blue line). The 729 variance in the time bin preceding the saccade was significantly lower than the 730 variance in its prior time bin (p<0.01, t-test). This decline in variance was more 731 precipitous than that seen for the other three conditions shown in Figure 5B 732 (ANOVA, p<0.03, see Methods).

733 Together, the analyses of time dependent variance and autocorrelation 734 reveal that neurons in the Target-in-RF configuration exhibit firing rate patterns 735 consistent with a process that represents the running sum of noisy samples of evidence to a criterion level. The analyses complement the observations made 736 737 earlier on the mean firing rates by demonstrating conformance with the second 738 order statistics of diffusion to a bound. These features were less apparent when the 739 same neurons were studied in the RDM-in-RF configuration. This neural population 740 does not appear to represent the accumulation of the noisy evidence that supports 741 the monkey's decisions. They reflect the direction of motion during the time course 742 of decision formation but not the state of the accumulated evidence that can be used 743 to terminate the decision process. We next consider a possible account of their 744 pattern of activity.

745

#### 746 **A model of interaction between populations**

How could the responses of neurons with the RDM in their RF correlate withthe decision outcome without representing the process of evidence accumulation?

749 One possibility is that the weaker decision-related signals observed in the 750 population with the RDM in their RF are inherited from the populations that have 751 the choice targets in their RF and are involved in the accumulation process. It has 752 been shown that responses of LIP neurons to visual stimuli are suppressed by 753 concurrently presented visual stimuli when they are well outside the RF (Balan et al 754 2008, Churchland et al 2008), even by as much as 50° visual angle (Falkner et al 755 2010, Louie et al 2011). An asymmetrical influence of the two Target-in-RF populations could lead to the appearance of direction selectivity and a correlation 756 757 with the animal's choices in the RDM-in-RF population. Moreover, the noise added 758 through this additional step could explain the divergence of the variance and 759 autocorrelation of the RDM-in-RF population from the theoretical predictions of a 760 diffusion process. Additionally, such an extra step could account for the timing of 761 direction selectivity in the RDM-in-RF population, which lags slightly behind that of 762 the Target-in-RF population.

763 To evaluate the plausibility of this idea, we simulated the responses of three 764 neural populations—one representing the motion stimulus and two representing 765 the choice targets—during the motion viewing epoch (Figure 7A). In the model, the 766 RDM-in-RF population receives direct excitation from the visual representation of the dynamic random dots. This direct excitation furnishes a constant firing rate that 767 768 varies from trial to trial, but importantly, is not direction selective (Figure 7C). The 769 two Target-in-RF populations start off at a steady firing rate, simulating the steady 770 state sensory response to the target already present in the RF. The responses then 771 follow drift-diffusion dynamics starting at 180 ms, simulating evidence 772 accumulation. The drift rate was set to be directly or inversely proportional to 773 motion coherence for the populations representing the correct and incorrect 774 targets, respectively (Figure 7B).

775 The three populations interact through divisive suppression (Carandini & 776 Heeger 2011, Louie et al 2011, Sceniak et al 2001) at each time point, parameterized 777 by the  $\omega$  terms in Equation 9 (Methods). We set these parameters to approximate 778 the observed neural responses to the 25.6% motion strength RDM (illustrated in 779 Figure 7F-G). We assumed that the early dip in the response of the Target-in-RF 780 neurons (arrow, Figure 7F) was caused by suppression from the neurons activated 781 by the appearance of the RDM ( $\omega_{DT1}=\omega_{DT2}$ ). The suppression between the two 782 Target-in-RF pools ( $\omega_{T1T2}=\omega_{T2T1}$ ) was estimated from the onset and steady state 783 responses after the appearance of the target in the RF. Suppression of the RDM-in-784 RF pool from the Target-in-RF pools ( $\omega_{T1D}$  and  $\omega_{T2D}$ ) were adjusted around  $\omega_{DT}$  to 785 approximate the separation in firing rate traces shown in Figure 7G (see Methods). 786 Such asymmetric influence of the two Target-in-RF populations might arise from 787 differences in their spatial relationship (neuronal connectivity) with the RDM-in-RF 788 population. These adjustments were sufficient to mimic the observed mean 789 responses of the neural population in our simulations (Figure 7D-E). In addition, we 790 assumed that the suppressive interaction signals were corrupted by a small amount 791 of noise (see Methods). Importantly, according to the model, the direction selectivity 792 of the RDM-in-RF population is derived solely from the suppressive inputs from the 793 Target-in-RF populations.

This simple model reproduced the main features of our results (Figure 8).

<sup>794</sup> 

795 After the implementation of suppression, the Target-in-RF population retained the 796 time course of the variance and the pattern of autocorrelation expected of a 797 diffusion process. Notably, the variance and autocorrelation in the RDM-in-RF 798 population also conformed to the patterns in the neural data: (i) the attenuated 799 increase in variance as a function of time and (*ii*) the divergence in the pattern of 800 autocorrelation from the theoretical prediction of diffusion. We also considered an 801 alternative model in which the RDM-in-RF population itself represents an 802 attenuated evidence accumulation signal in parallel with the Target-in-RF 803 populations (Figure 9). To do this, we removed the lateral interactions and 804 implemented the accumulation identically to the Target-in-RF population, but 805 matching the observed firing rate dynamics and variance in the RDM-in-RF data (displayed in Figures 7G and 5A, respectively). This model was significantly worse 806 807 in accounting for the pattern of autocorrelation observed in the data ( $\Delta BIC > 5x10^3$ ). 808 We thus favor the model with divisive suppression, which accounts for the presence 809 of choice related activity in the RDM-in-RF population and the absence of clear signs 810 of noisy evidence accumulation.

811 812

## 813 **DISCUSSION**

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815 We compared decision related activity in the sensory and motor-planning 816 responses of LIP neurons. We conclude that the process of evidence accumulation 817 leading to choice is revealed primarily in motor preparatory responses. The sensory 818 responses exhibit a weak relationship with the animal's behavior, but our results 819 and simulations suggest that this relationship is likely inherited from the motor 820 preparatory responses. We first discuss our results in the context of previous 821 studies of area LIP and then consider their implication on the broader question of 822 routing of information in the cortex.

823

### 824 **Properties of neural responses in area LIP**

825 There has been a long debate about the relative importance of sensory 826 salience-related signals and saccade preparatory signals in area LIP (Andersen & 827 Buneo 2002, Barash et al 1991a, Bushnell et al 1981, Colby & Goldberg 1999). Many 828 neurons show inherent selectivity for visual features such as direction and shape, 829 even in monkeys that have never been trained to use such information (Fanini & 830 Assad 2009, Sereno & Maunsell 1998). In addition, training induces stimulus 831 selectivity that can be distinct from intrinsic selectivity (Sarma et al 2015, Toth & 832 Assad 2002). LIP neurons also carry a rich representation of saccade plans. They 833 display spatially selective persistent activity when the animal plans a saccade to a 834 previously instructed, but no longer visible target (Barash et al 1991a, Gnadt & 835 Andersen 1988). This persistent activity is dissociable from the sensory response 836 evoked by the target (Mazzoni et al 1996) and can encode other factors that bear on 837 the saccade plan, such as the probability that a saccade will be instructed (Janssen & 838 Shadlen 2005) and the expected reward (Platt & Glimcher 1999, Sugrue et al 2004). 839 The richness of saccadic planning is particularly evident in perceptual decisionmaking tasks, where the neuronal activity continually tracks the current state of the
evidence for choosing the target in the neuron's RF (Bollimunta et al 2012, Mazurek
et al 2003).

843 By recording from the same LIP neurons when they belonged to the 844 population representing either the RDM or a choice target, we could directly 845 compare the sensory- and saccade-related responses. While both populations 846 modulated their activity in accordance with the strength and direction of the RDM, 847 there were important differences. This modulation was more intense when a choice 848 target was in the RF. While the RDM elicited a strong response when it was in the 849 RF, the dependence on direction and stimulus strength was weaker. This is unlikely 850 to be explained by saturation of the response, because the same neurons attained 851 higher firing rates before saccade onset when the target was in the RF (cf. Figure 3B 852 and Figure 3C). Further, the variance and autocorrelation patterns of the neuronal 853 responses were consistent with the predictions of noisy evidence accumulation only 854 when the neurons contained a target in their RF. Finally, a neural correlate of 855 decision termination was only apparent when a target was in the RF.

Although we have used the term "sensory" to describe the direction selective 856 857 responses of neurons with the RDM in their RF, the gradual build-up of the firing rates of these neurons (Figure 3C) differed from the constant firing rates reported in 858 859 naïve monkeys (Fanini & Assad 2009). We suspect that the responses are not 860 sensory in the way one would characterize the responses of neurons in visual areas 861 MT/MST or even the visual responses of LIP neurons to transient stimuli (e.g., targets) as they were remarkably slow, emerging 190 ms after stimulus onset (at the 862 863 highest coherence). This is far later than the  $\sim 50$  ms latency of direction selectivity 864 (Fanini & Assad 2009) and the ~100ms latency for direction-category selectivity 865 (Swaminathan & Freedman 2012), and it is longer than the 180 ms latency of decision-related signals observed in the neuronal pool representing the targets. 866

Together, these considerations suggest that the neuronal pool representing 867 the RDM inherits its direction and choice related signals from the neuronal pools 868 869 representing the targets. We demonstrated that a model of lateral interactions 870 serving the general purpose of gain control (Carandini & Heeger 2011) is sufficient 871 to produce these effects. Such lateral interactions are well established in upstream 872 visual areas (Hunter & Born 2011, Schein & Desimone 1990, Shushruth et al 2009). 873 In LIP, lateral interactions are thought to mediate the suppressive effect of visual 874 stimuli presented outside a neuron's RF (Balan et al 2008, Churchland et al 2008, 875 Zhang et al 2017), even from distances >50° away from the RF (Falkner et al 2010, 876 Louie et al 2011). A limitation of the present study is that we do not have access to 877 two classes of neurons on the same trials. Recording simultaneously from neurons that represent the RDM and at least one choice target, would allow for a direct test 878 879 of the lateral interactions that we modeled. For example, we would predict that the 880 weaker leverage of the RDM-in-RF neurons would be explained away (i.e., 881 mediated) by inclusion of Target-in-RF responses in the same GLM.

882

#### 883 **Routing of information in cortex**

We do not know how the momentary evidence represented by populations of direction selective neurons in the visual cortex makes its way specifically to the

886 target-representing neurons in LIP. There are projections from areas MT and MST to 887 area LIP, but it is difficult to reconcile this direct pathway with the long latency of 888 the decision related activity in LIP. The delay of the decision related responses 889 relative to the latency of the visual responses in LIP ( $\sim$ 50 ms), suggests a role for 890 some form of memory buffer and/or a multisynaptic chain through which decision 891 relevant information must pass before reaching the saccade planning neurons in 892 LIP. This is one reason to suspect that apparently simple perceptual decisions may 893 share similarities with more complex decisions that derive evidence from memory 894 and other evaluations (Shadlen & Shohamy 2016).

895 We must emphasize that area LIP is not the only region that receives 896 decision-pertinent signals in this task. Other areas involved in the planning of eve 897 movements, such as FEF/Area 46, caudate nucleus and superior colliculus, also have 898 access to such input (Ding & Gold 2010, Ding & Gold 2012, Horwitz & Newsome 899 1999, Kim & Shadlen 1999, Mante et al 2013). However, the decision related activity 900 in these areas arises with comparable latencies, so they do not furnish an 901 explanation for the long latency in LIP. We favor the idea that the latency is 902 necessitated by limitations in connectivity between the many possible sources of 903 evidence bearing on the salience of an item and the neurons that represent such 904 items as potential affordances to the motor system. This connectivity constraint 905 might necessitate active routing (Kastner & Pinsk 2004, Olshausen et al 1993), 906 although this process is poorly understood.

907 Our results also invite caution when interpreting trial-to-trial correlations 908 between neural response and choice behavior. The neuronal pool in LIP 909 representing the RDM has a mean CP of 0.59, larger than the reported CP of 0.54 for 910 neurons in area MT (Cohen & Newsome 2009) that are known to play a causal role 911 in affecting choice and RT in this task (Ditterich et al 2003, Salzman et al 1990). One 912 might therefore be tempted to conclude that the RDM-in-RF population plays a role 913 in evidence accumulation leading to the decision, but this is at odds with our 914 findings. In the RDM task, the sequential sampling framework (e.g., drift-diffusion) 915 provides a detailed mechanistic account of evidence accumulation both at the level 916 of behavior and at the level of its instantiation in the neural responses. This enabled 917 us to show that only the neuronal population involved in planning of the motor 918 action reflected the computations relevant to decision-making.

919 If the neurons with the RDM in the RF do not represent the evolving 920 evidence, a natural question is what do these neurons signify? One obvious 921 possibility is that they simply represent an object that might attract the gaze, as 922 transient lights are wont to do. Another possibility is that they represent the focus of 923 spatial attention (Colby & Goldberg 1999). However, this focus should be initially on 924 the RDM and then either remain stationary through the decision or gradually give 925 way to the chosen target. This is inconsistent with the dynamics observed in our 926 data, which look like a muted version of the decision related signals exhibited by 927 neurons with a choice target in the RF. The same objection applies to the proposal 928 that these neurons represent the salience of the RDM (Bisley & Goldberg 2010). A 929 more speculative idea is that the neurons that contain the RDM in their RF confer 930 information bearing on the spatial origins of the evidence—that is, they help to bind

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- 931 the location of the thing we are deciding about to the decision itself, which is about
- 932 what to do.

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## 1095**FIGURE LEGENDS**

1096

**FIGURE 1: Behavioral task configurations.** The monkey fixates at an instructed losation (x) and then two choice targets (red dots) appear in one of two configurations:(1) *Target-in-RF*: One of the targets is situated in the RF of the neuron being recorded from, and (2) *RDM-in-RF*: Both targets are situated outside the RF. In the next step, the RDM is presented either inside (RDM-in-RF) or outside the RF (Target-in-RF). The monkey is free to report its decision any time after the appearance of the RDM by making a saccade to one of the targets.

1104

1105 FIGURE 2: Predicting choices from diffusion-to-bound models fit to RTs. A-D: 1106 RTs of the two monkeys as a function of motion strength in the two task 1107 configurations (see Methods for convention on sign of motion strength). Solid lines 1108 show the fits of a diffusion-to-bound model. Data includes the trials at 0% motion 1109 strength in which the monkey chose the target consistent with its bias (established 1110 from logistic fits to the choice data) and correct trials at other motion strengths. *E*-1111 *H*: The probability the monkey chooses the target consistent with positive motion 1112 direction, plotted as a function of motion strength. The dashed lines are predictions 1113 from the corresponding fits of the RTs. Gray lines are fits to the choice data (logistic 1114 regression).

- 1115 FIGURE 2-EXTENDED DATA 1: Simultaneous fit of RT and choice with a diffusion-to-bound model. The probability of choosing the positive direction 1116 1117 target (*A*,*B*) and the mean RTs (*C*,*D*) are plotted as a function of motion strength and direction (indicated by sign of coherence; see Methods) for the two monkeys in the 1118 1119 two stimulus configurations. The curves are fits to the data from a diffusion-to-1120 bound model with nonstationary bounds (see Methods). The fit parameters are 1121 shown in Table 1. *E*,*F*: Mean decision times (solid curves) derived from the model 1122 fits, plotted as a function of motion strength. Shading is  $\pm 1$  S.E.
- 1123

1124 FIGURE 3: Neural population responses. Average response of the recorded neural 1125 population during Target-in-RF (A,B) and RDM-in-RF (C,D) configurations. Panels 1126 A,C are aligned to the onset of RDM and include all trials sorted by direction and 1127 strength of motion. Insets show average of detrended responses (i.e., after 1128 subtraction of the mean response for all motion strengths, for each neuron). Panels 1129 **B**,**D** are aligned to the saccade and includes correct trials (and 0% coherence trials 1130 sorted by the animal's choices). E: Histograms of the distribution of Direction 1131 Selectivity Index (DI) for the neural population recorded by Fanini and Assad 1132 (2009) and for the neural population in the RDM-in-RF configuration of the present 1133 study. F: Area under ROC for responses to the two directions of motion at 51.2% coherence computed in 40 ms bins. The colored lines at the bottom indicate the time 1134 1135 bins in which this metric was significantly >0.5 for the corresponding configuration. 1136 G: The relation between the response buildup rate and motion strength. Filled circles are data from trials with motion in the neuron's preferred direction and 1137 1138 unfilled circles for the opposite motion direction. Solid and dashed lines are 1139 corresponding linear regression model fits.

1140 **FIGURE 3-EXTENDED DATA 1 and 2: Population responses of neurons in** 1141 **individual animals.** Neural responses that were shown in Figure 3, panels A-D and

1142 F plotted from data pooled separately for each individual monkey.

1143

1144 FIGURE 4: Leverage of neural activity on behavior. Scatter plot and histograms 1145 for the two stimulus configurations showing the distribution of  $\beta_2$  term (A) of 1146 logistic regression (Equation 5), choice probability (B) and coefficient of correlation 1147 (C) between slope of response buildup and RT. Neurons for which the metric was 1148 significant are shown with a blue fill (significant in the Target-in-RF configuration) 1149 and/or a green border (significant in the RDM-in-RF configuration) in the scatter 1150 plots and as darker colors in the histograms. Data points in **B** outside the axes 1151 indicate neurons where choice probability could be determined for only one of the 1152 two configurations. One and three such data points are not shown in the scatter 1153 plots of *A* and *C* respectively.

1154

**FIGURE 5: Variance of responses.** The variance of neural responses aligned to the onset of RDM (A) or to the saccade (B). Total variance is computed in 60 ms bins and the point process variance subtracted from it (see Methods). In B, solid lines are data from trials in which the animal chose the preferred target of the neuron and dashed lines are from trials with the opposite choice.

1160

1161 FIGURE 6: Autocorrelation of responses. A: Theoretical prediction of the 1162 autocorrelation matrix for six time bins  $(\rho_{i,i})$  of a diffusion process. Only the 15 unique values (upper triangular matrix, i < i) are shown. **B**,**C**: Estimated 1163 1164 autocorrelation for the neural responses in the two stimulus configurations. D,E: 1165 Deviation of B,C from the theoretical predictions shown in A. F,G: Comparison of 1166 correlation values in A-C between theory (black lines) and data (colored lines). Solid 1167 lines are correlation along the top row (between first and *j*<sup>th</sup> time bins) and dashed 1168 lines along the first juxtadiagonal (correlation between *i*<sup>th</sup> and its preceding time 1169 bins). Line style and color correspond to those in panels A-C.

1170

1171 FIGURE 7: Divisive suppression model. A: Schematic of the three populations 1172 simulated in the model - one population representing the RDM (D) and two 1173 representing the targets ( $T_1$  and  $T_2$ ). The  $\omega$  terms denote the suppressive influence 1174 of each population on the other two. *B*: Average response of simulated  $T_1$  (solid 1175 cyan) and T<sub>2</sub> (dashed cyan) populations across trials in which the direction of 1176 motion supported T<sub>1</sub>. Dark and light gray traces show responses to 10 example 1177 trials for the two populations. C: The mean and example trial responses of the D population to the two directions of motion. Dark and light gray indicate motion 1178 1179 towards  $T_1$  and  $T_2$ , respectively. Solid and dashed cyan lines denote the 1180 corresponding average response traces, but they overlap, as the two populations do 1181 not distinguish between directions of motion. Insets in B and C show the noisy 1182 versions of the corresponding responses that furnish the divisive suppression. **D**,**E**: 1183 The responses of the three populations after implementation of divisive 1184 suppression. Color scheme is the same as in panels *B* and *C*. The simulated 1185 responses in *B-E* are smoothed with a 10 ms boxcar filter. *F,G*: The average

responses of the recorded neural population to the 25.6% motion strength stimulus
in the Target-in-RF and RDM-in-RF configurations that our simulations
approximated. These traces are the same as the cyan traces in Figure 3A & C.

1189

1190 FIGURE 8: Variance and correlation of the simulated responses. A: Variance as a 1191 function of time in two of the simulated suppressed populations (D and  $T_1$  for trials 1192 with motion supporting  $T_1$  choice). *B*: Autocorrelation in the simulated suppressed 1193 Target-in-RF population  $T_1$ . Conventions as in Figure 6B. C: Deviation of the 1194 autocorrelation in the model from the autocorrelation estimated from the data in 1195 the Target-in-RF configuration. *D*,*E*: Same as B and C for the RDM-in-RF population. 1196 F: Comparison of correlation values along the top row (solid lines) and first 1197 juxtadiagonal (dashed lines) between the model (see panels B & D) and the data. 1198 Circles show the correlation estimated from data. Filled circles correspond to the 1199 values along the top row and open circles to the values along the juxtadiagonal. Gray 1200 lines show the correlation expected from a diffusion process.

1201

1202 **FIGURE 9**: Alternative model with the RDM-in-RF population showing drift-

**diffusion dynamics.** This model assumes that there is no interaction between the RDM-in-RF neurons and the Target-in-RF neurons. *A-B:* Responses of model neurons. Respectively similar to Figure 7B-C. *C-H:* Variance and autocorrelation in

1206 the model and the data. Conventions as in Figure 8A-F respectively.

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## **TABLES**

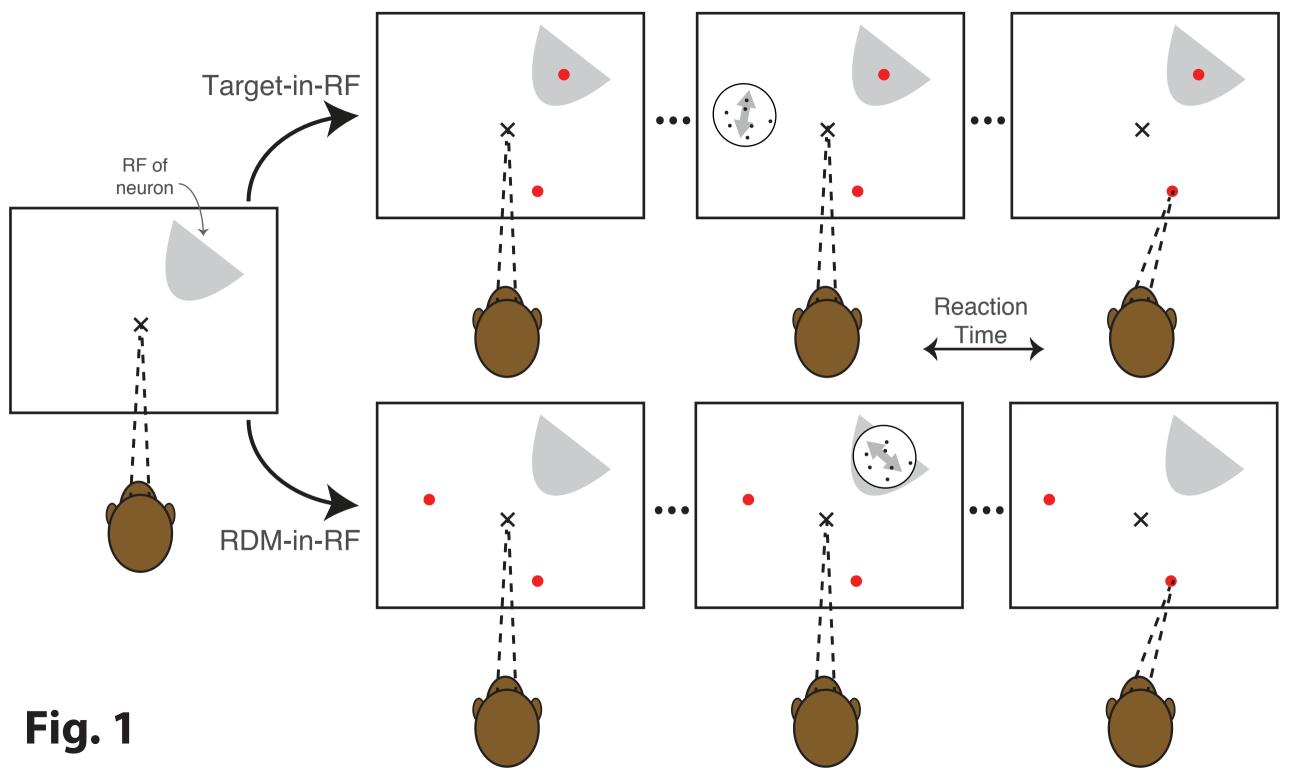
#### 

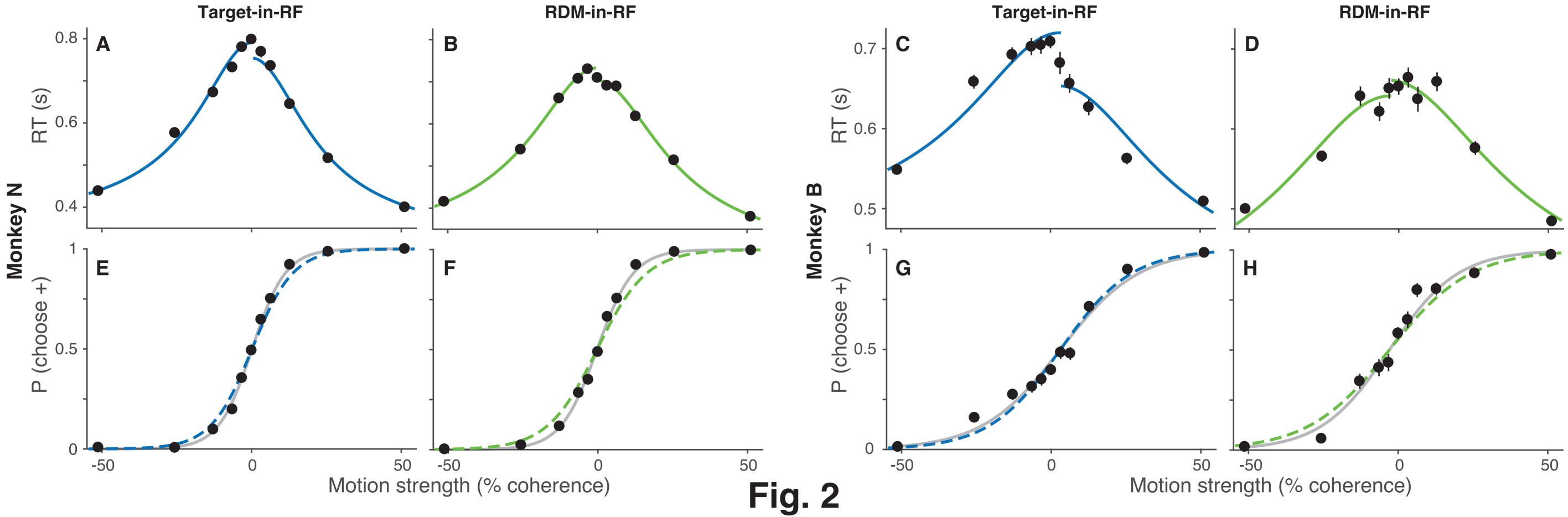
1209 Table 1: Bounded diffusion model best fit parameter values (±SE)

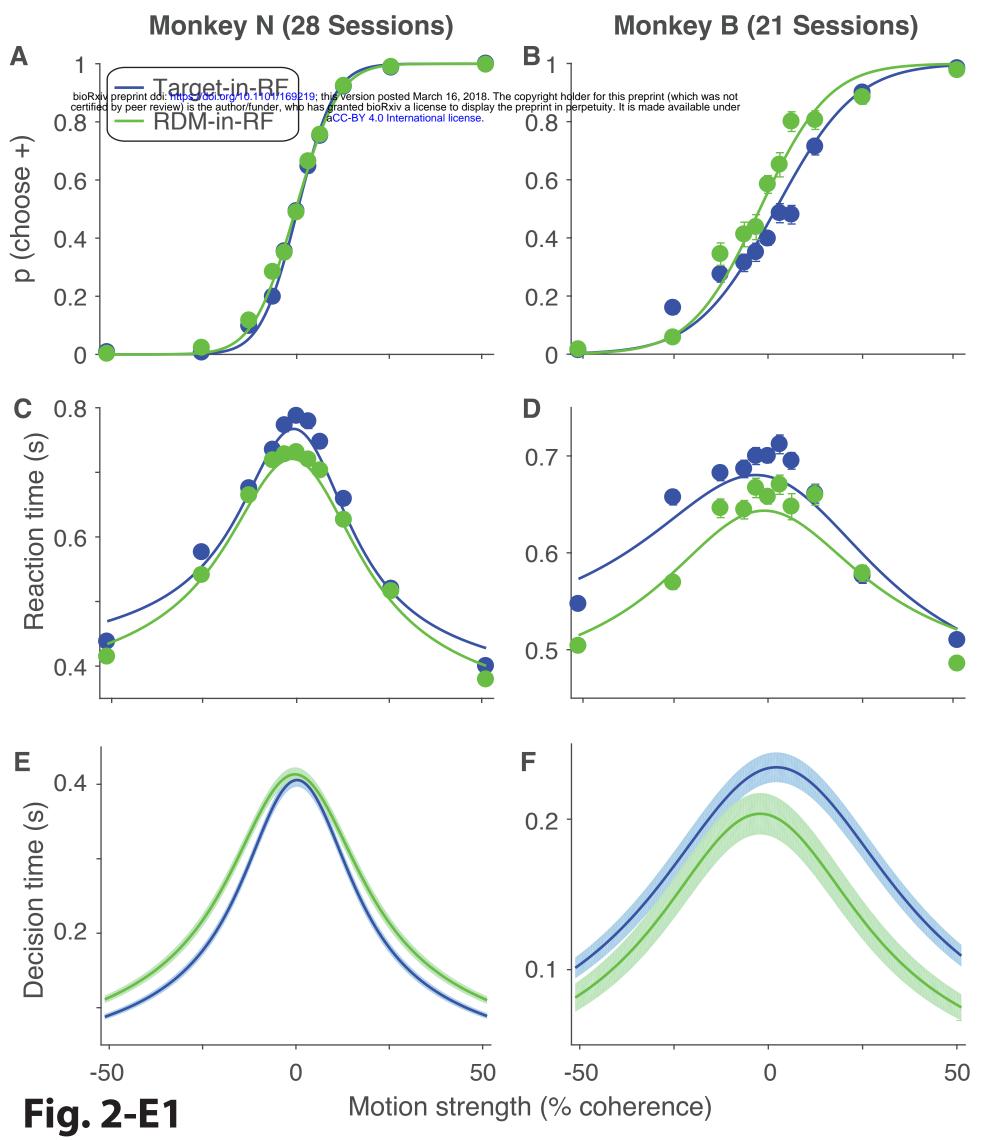
| Parameter        | Monkey N         | Monkey N        | Monkey B         | Monkey B         |
|------------------|------------------|-----------------|------------------|------------------|
|                  | (Target-in-RF)   | (RDM-in-RF)     | (Target-in-RF)   | (RDM-in-RF)      |
| К                | $16.05 \pm 0.38$ | 13.86 ± 0.44    | 9.66 ± 0.39      | $12.00 \pm 0.70$ |
| $B_0$            | $0.72 \pm 0.02$  | $0.78 \pm 0.02$ | $0.52 \pm 0.02$  | $0.47 \pm 0.04$  |
| B <sub>del</sub> | $0.01 \pm 0.00$  | $0.00 \pm 0.01$ | $0.02 \pm 0.01$  | $0.02 \pm 0.01$  |
| $B_2$            | $0.67 \pm 0.09$  | $0.97 \pm 0.08$ | 1.16 ± 0.21      | 1.26 ± 0.38      |
| $t_{nd1}$        | $0.34 \pm 0.01$  | $0.29 \pm 0.01$ | $0.41 \pm 0.01$  | $0.45 \pm 0.01$  |
| $\sigma_{tnd1}$  | $0.13 \pm 0.00$  | $0.11 \pm 0.00$ | $0.07 \pm 0.00$  | $0.08 \pm 0.00$  |
| $t_{nd2}$        | $0.38 \pm 0.01$  | $0.32 \pm 0.01$ | $0.47 \pm 0.01$  | $0.43 \pm 0.01$  |
| $\sigma_{tnd2}$  | $0.12 \pm 0.00$  | $0.12 \pm 0.00$ | $0.07 \pm 0.00$  | $0.06 \pm 0.00$  |
| $C_0$            | $0.00 \pm 0.00$  | $0.00 \pm 0.00$ | $-0.02 \pm 0.00$ | $0.02 \pm 0.01$  |

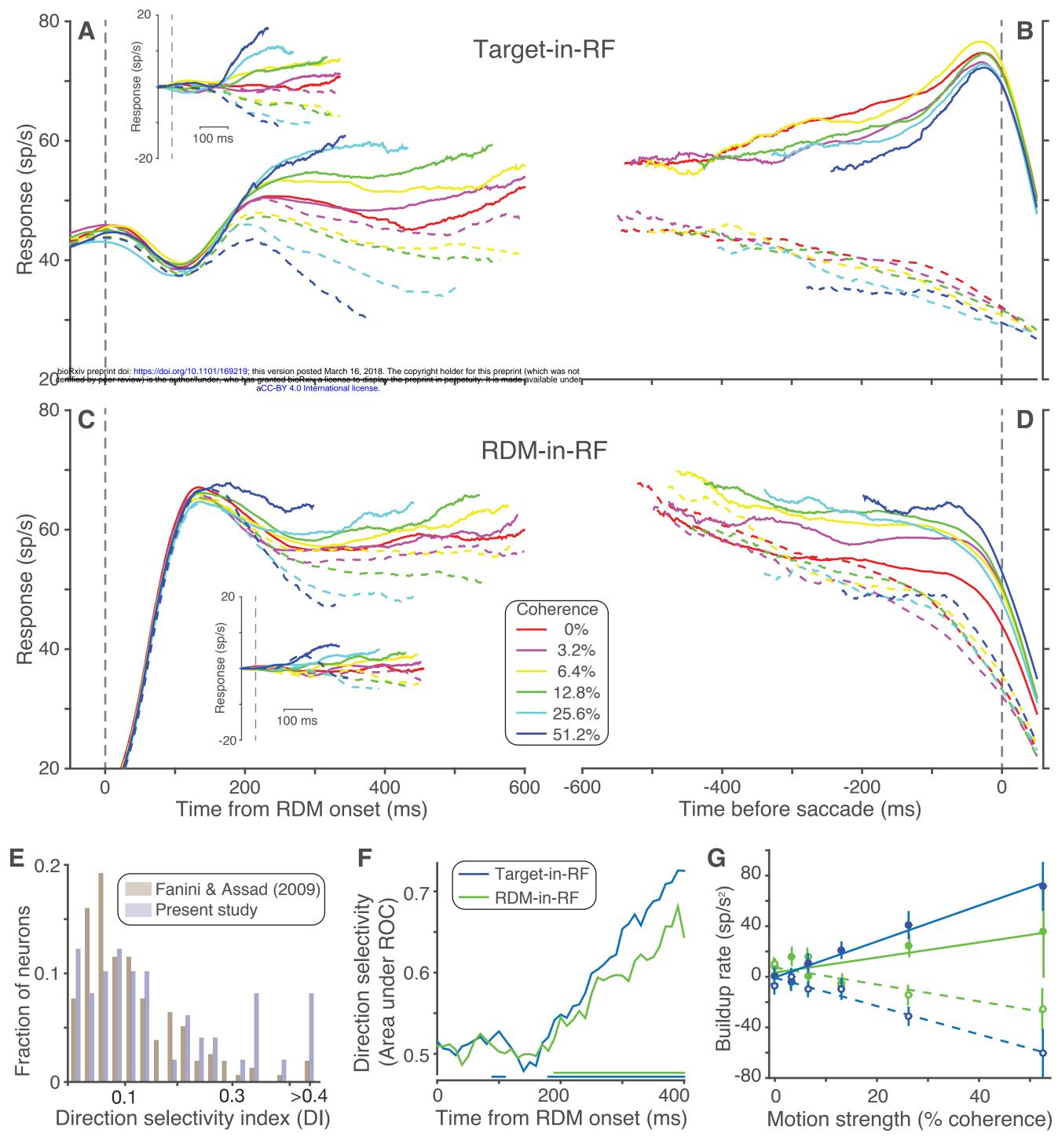
1211 Table 2: Parameter values for simulations

| Parameter                         | Divisive suppression model | Parallel diffusion model |
|-----------------------------------|----------------------------|--------------------------|
| К(Т)                              | 80.4                       | 52.8                     |
| α(Τ)                              | 29.8                       | 23.7                     |
| K (D)                             | N/A                        | 25.0                     |
| α (D)                             | N/A                        | 9.6                      |
| $\omega_{T2T1}$ = $\omega_{T1T2}$ | 2 x 10 <sup>-3</sup>       | N/A                      |
| $\omega_{DT1} = \omega_{DT2}$     | 4 x 10 <sup>-3</sup>       | N/A                      |
| $\omega_{T1D}$                    | 6 x 10 <sup>-3</sup>       | N/A                      |
| ω <sub>T2D</sub>                  | 1 x 10 <sup>-3</sup>       | N/A                      |
| $arphi_{\it RDM}$                 | 0.38                       | 0.39                     |
| $arphi_{\it Tar}$                 | 0.43                       | 0.43                     |
| <b>V</b> <sub>RDM</sub>           | 4.17                       | 0                        |

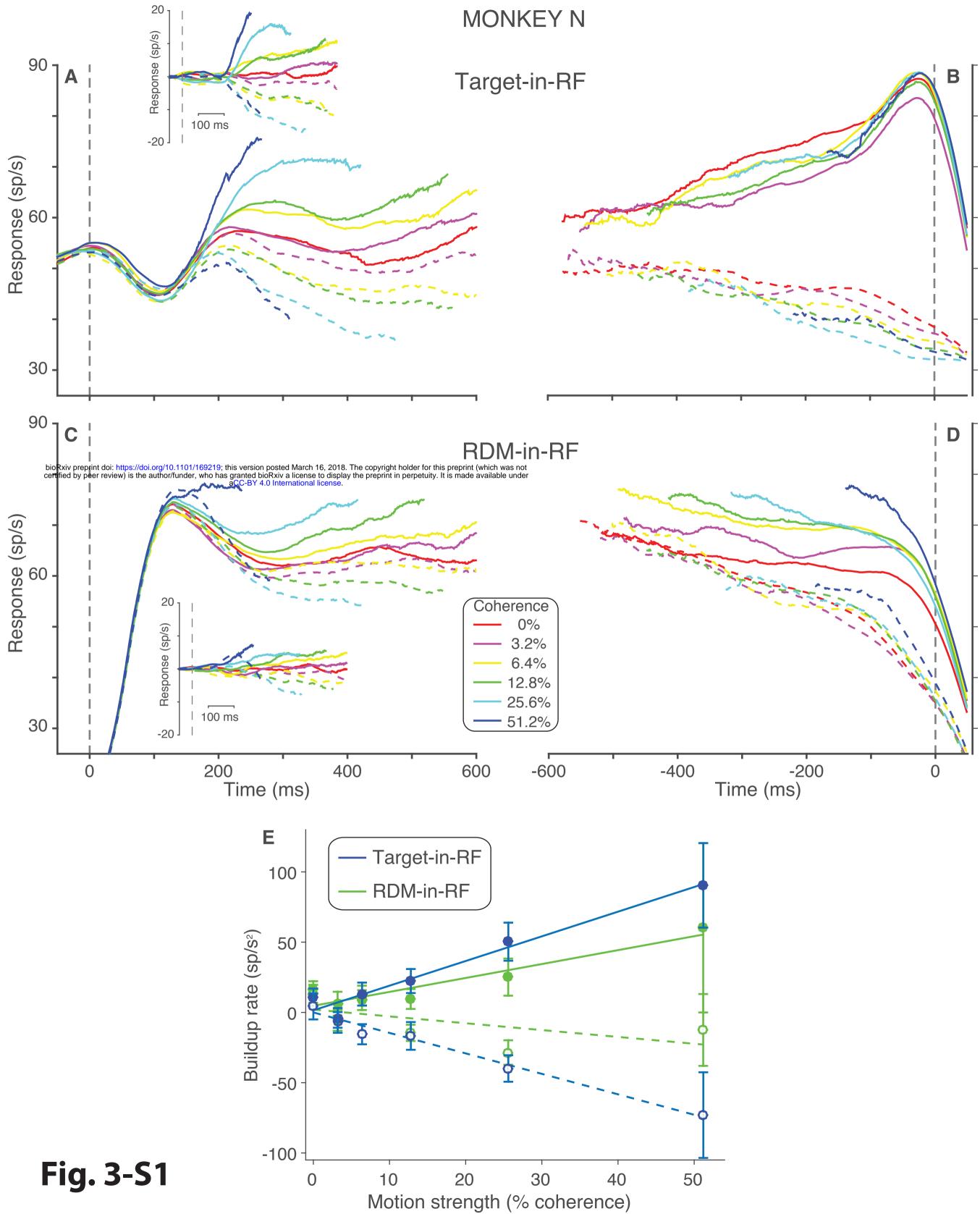


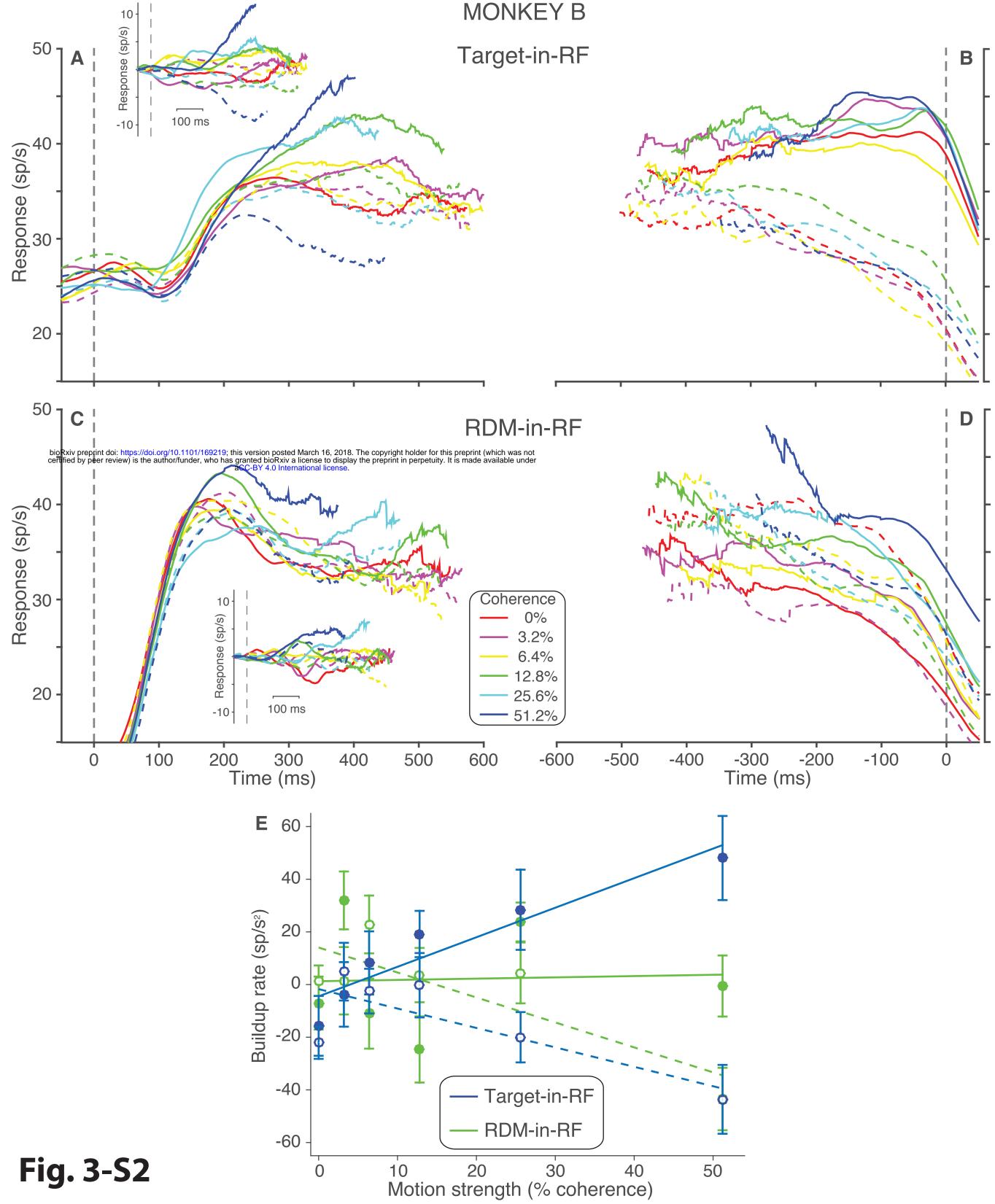




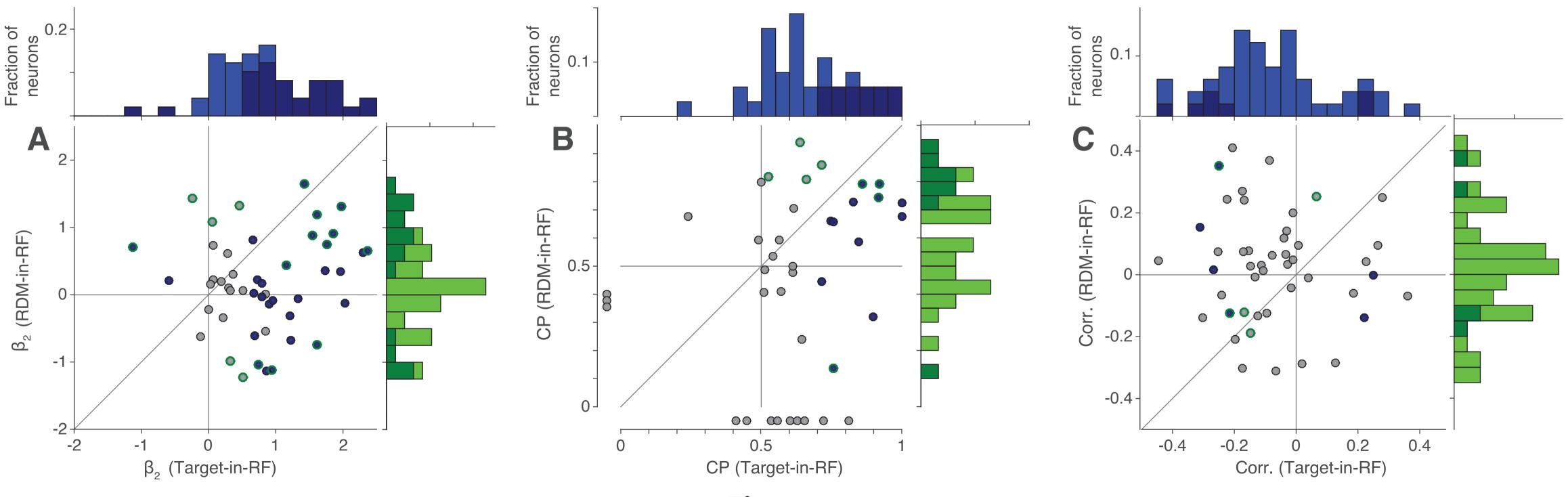




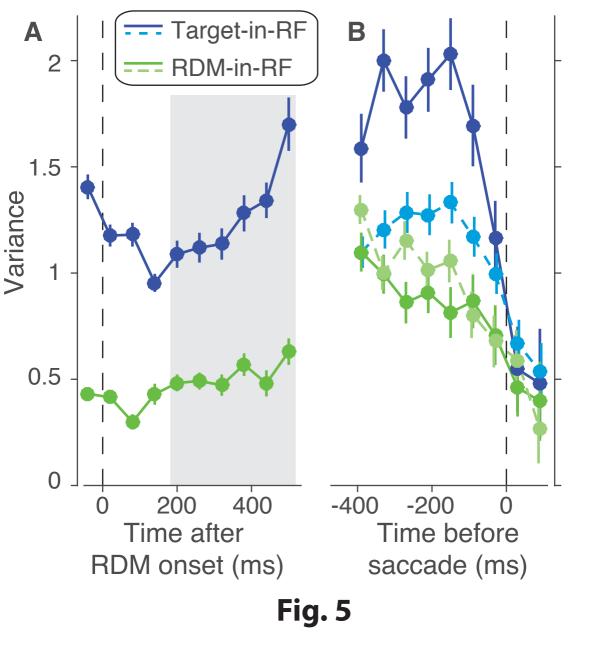






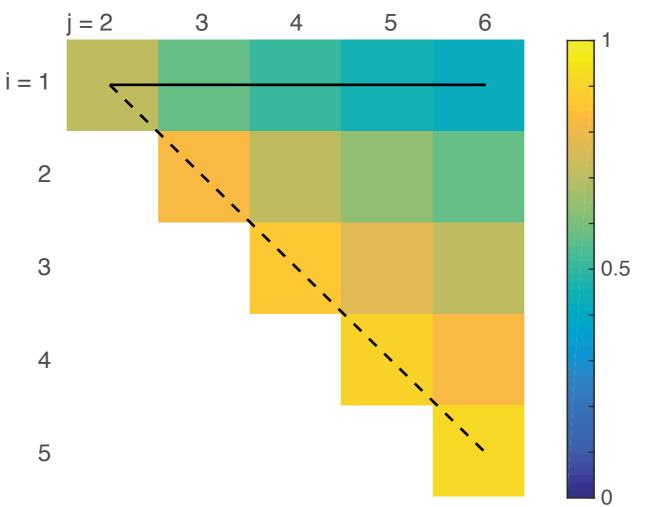


**Fig. 4** 



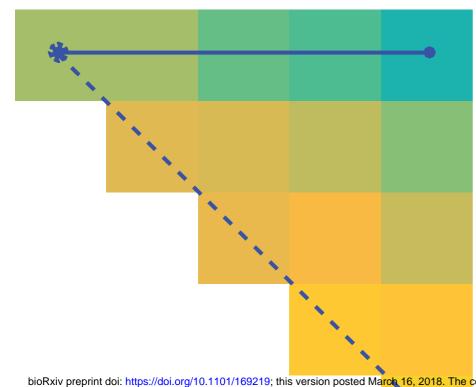
Α

# Theoretical autocorrelation



С

Target-in-RF

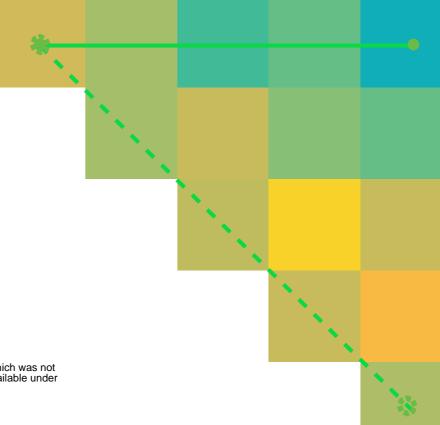


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Е

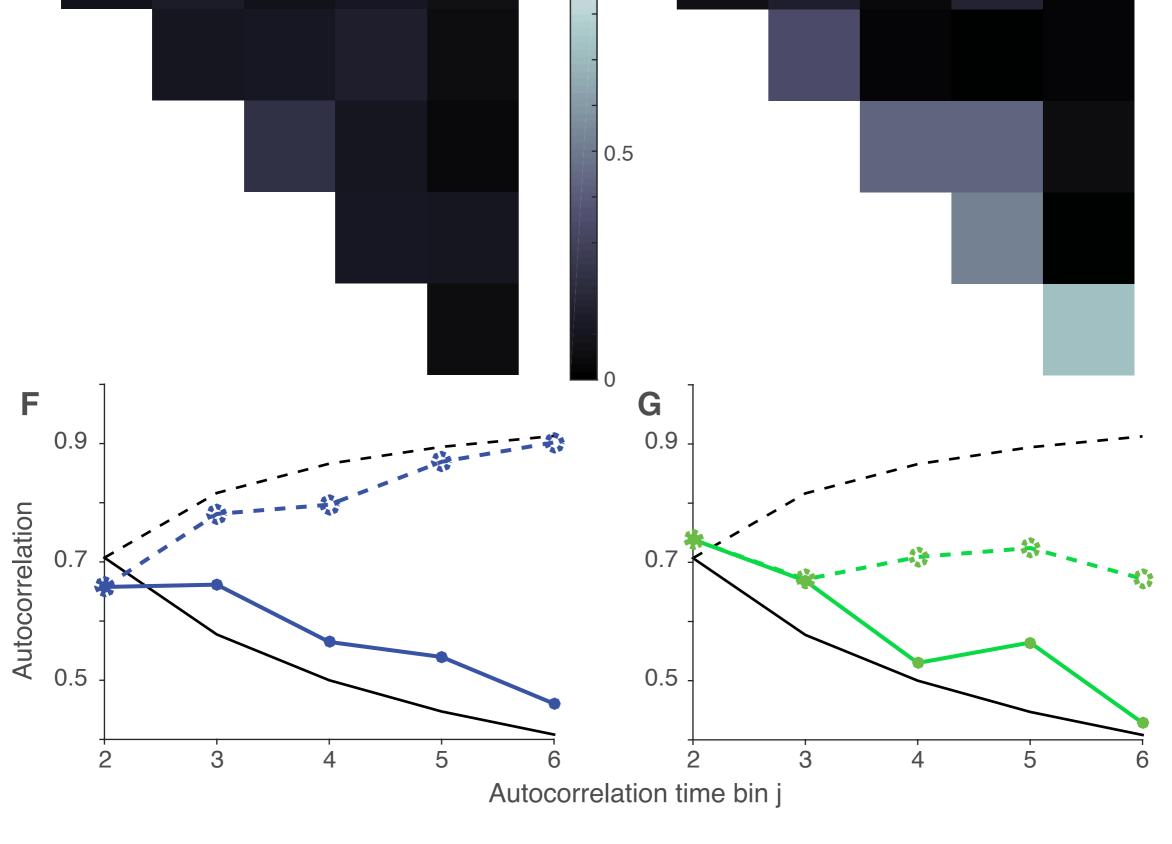
RDM-in-RF

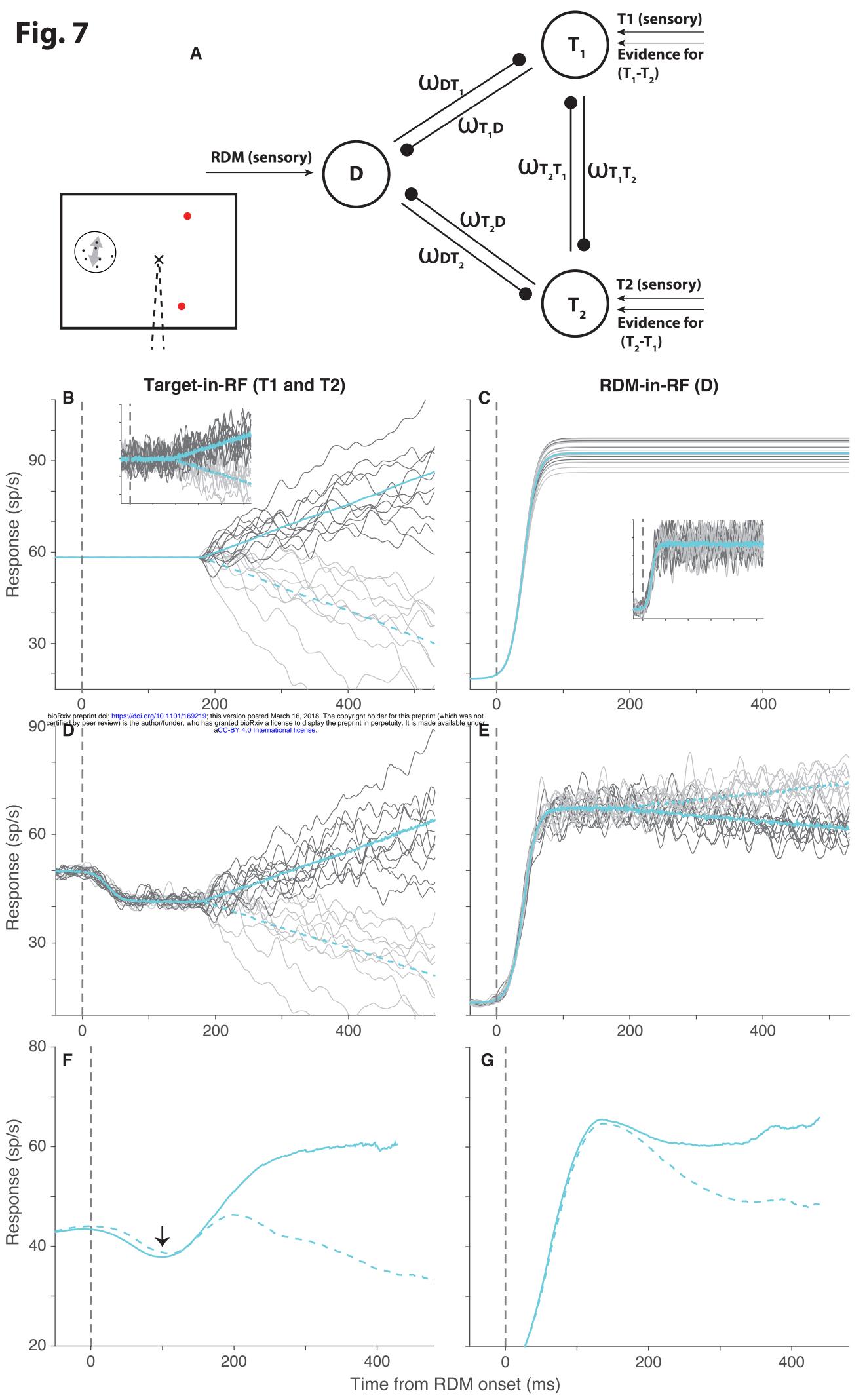
Fig. 6

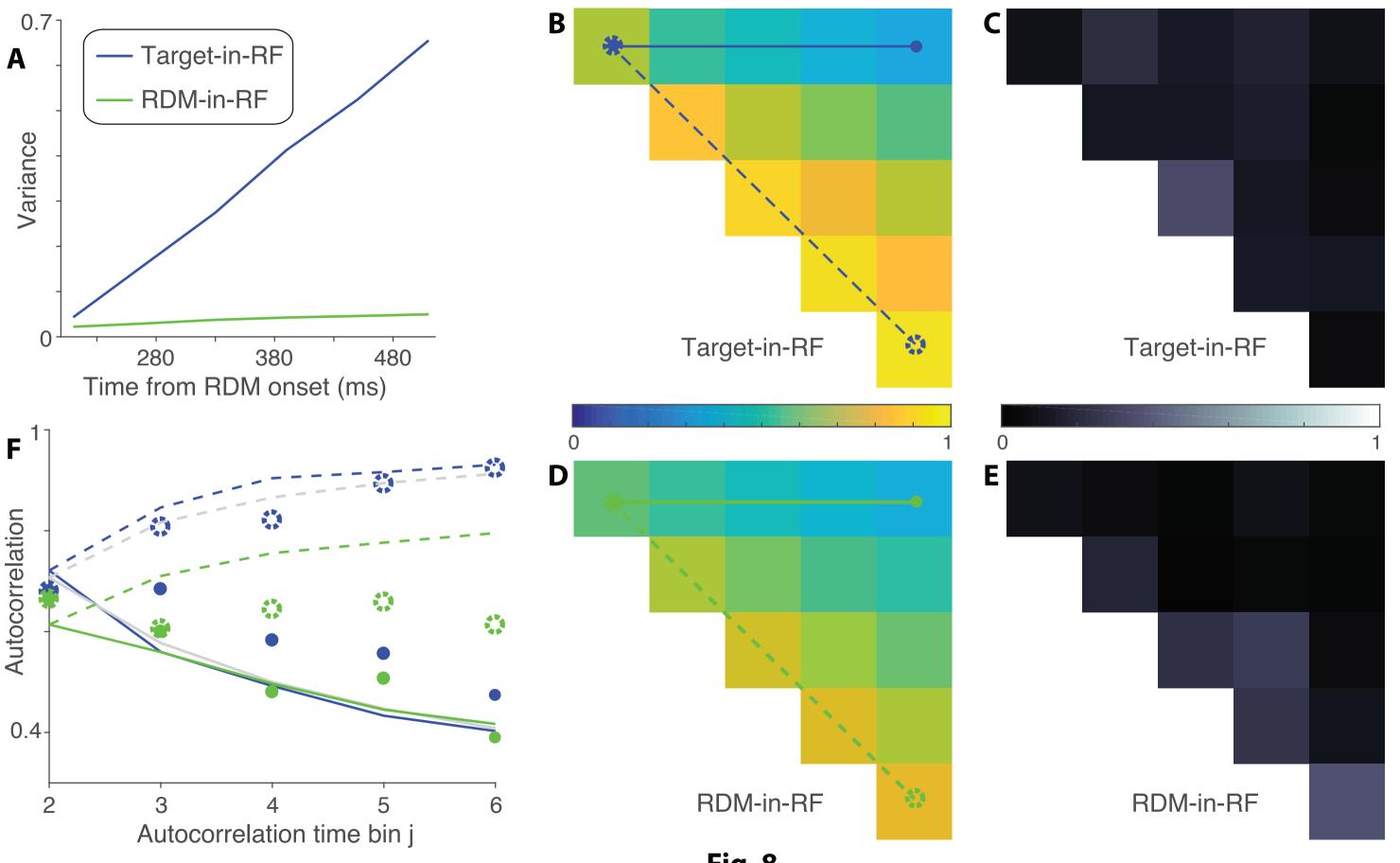


D

Β







**Fig. 8** 

