**ciRS-7 exonic sequence is embedded in a long non-coding RNA locus**

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## Abstract

ciRS-7 is an intensely studied, highly expressed and conserved circRNA. Essentially nothing is known about its biogenesis, including the location of its promoter. A prevailing assumption has been that ciRS-7 is an exceptional circRNA because it is transcribed from a locus lacking any mature linear RNA transcripts of the same sense.  Our interest in the biogenesis of ciRS-7 led us to develop an algorithm to define its promoter. This approach predicted that the human ciRS-7 promoter coincides with that of the long non-coding RNA, LINC00632.   We validated this prediction using multiple orthogonal experimental assays.  We also used computational approaches and experimental validation to establish that ciRS-7 exonic sequence is embedded in linear transcripts that are flanked by cryptic exons in both human and mouse. Together, this experimental and computational evidence generate a new view of regulation in this locus: (a) ciRS-7 is like other circRNAs, as it is spliced into linear transcripts; (b) expression of ciRS-7 is primarily determined by the chromatin state of LINC00632 promoters; (c) transcription and splicing factors sufficient for ciRS-7 biogenesis are expressed in cells that lack detectable ciRS-7 expression.  These findings have significant implications for the study of the regulation and function of ciRS-7, and the analytic framework we developed to jointly analyze RNA-seq and ChIP-seq data reveal the potential for genome-wide discovery of important biological regulation missed in current reference annotations.

## Author Summary

circRNAs were recently discovered to be a significant product of 'host' gene expression programs but little is known about their transcriptional regulation. Here, we have studied the expression of a well-known circRNA named ciRS-7. ciRS-7 has an unusual function for a circRNA; it is believed to be a miRNA sponge. Previously, ciRS-7 was thought to be transcribed

from a locus lacking any mature linear isoforms, unlike all other circular RNAs known to be

expressed in human cells. However, we have found this to be false; using a combination of

bioinformatic and experimental genetic approaches, in both human and mouse, we discovered

that linear transcripts containing the ciRS-7 exonic sequence, linking it to upstream genes. This

suggests the potential for additional functional roles of this important locus and provides critical

information to begin study on the biogenesis of ciRS-7.

## Introduction

Until recently, the expression of circRNA was almost completely uncharacterized, with a few

important exceptions [1–4]. It is now appreciated that circRNAs are a ubiquitous feature of

eukaryotic gene expression [1,3,5,6]. While many functions have been posited for circRNAs,

few have been supported with experimental evidence. ciRS-7, one of the most highly expressed

and most intensely studied circRNAs, is an exception to this rule, where recent work has shown

it functions as a miRNA sponge [6,7]. The sequence of ciRS-7 is highly repetitive with over 70

repeated miR-7 seed sequences in humans, most of which are conserved across eutherian

mammals, and its expression is highly variable across tissues [6–8]. ciRS-7 also exhibits

increasing expression in neuronal differentiation models *in vitro [9]*. In zebrafish, which do not

have an endogenous copy of ciRS-7 but do express miR-7, ectopic expression of the ciRS-7

sequence results in a defect in midbrain development [6]. And a recent ciRS-7 knock-out mouse

exhibited neuronal defects, including impaired sensorimotor gating and dysfunctional synaptic

transmission [10]. In spite of these functional findings, a model for the biogenesis and regulation

of ciRS-7 is lacking, and key questions remain: What is the primary transcript that is processed

to produce ciRS-7? Where in the genome is the promoter for this transcript? Are there any other

spliced transcripts, circular or linear, generated from this locus?

71   Correlative and mini-gene analyses have suggested that biogenesis of some circRNAs

72 is regulated by intronic sequence flanking the circularized exon [11–13]. However, the

73 immediate flanking sequence does not appear to control the biogenesis of ciRS-7; inserting 1 kb

74 of the endogenous sequence flanking the ciRS-7 exon into a plasmid driven by a CMV promoter

75 was not sufficient to produce ciRS-7 [7], implying that additional sequence is necessary for

76 circularization. Identifying this additional sequence is an especially difficult problem in the case

77 of ciRS-7, as the intron upstream of the circularized exon has not been described due to the

78 lack of an annotated promoter, and unlike every other known human circular RNA, ciRS-7 is not

79 thought to be included in a mature linear transcript, obscuring possible transcriptional start sites

80 that would be shared with these isoforms.

81   Identifying the promoter for ciRS-7 has broad implications but is non-trivial: unlike for

82 linear RNAs where techniques like 5' RACE can determine the transcription start site (TSS), no

83 such approach can be used for ciRS-7 or any other circRNA. To overcome this problem and to

84 identify the TSS of ciRS-7 , we designed a new statistical method that entailed integrative

85 analysis of chromatin modifications measure by ChIP and the RNA expression levels of ciRS-7

86 to identifying its promoter. This is a general analytic framework that could be applied to any

87 transcript, but we chose to focus on ciRS-7 because of the biological significance described

88 above and because it has stood out as the only case of a human circRNA with no known linear

89 counterpart.

90   Our analysis led us to discover that the promoters of a nearby uncharacterized locus

91 currently annotated as a long non-coding RNA (LINC00632) were responsible for driving ciRS-7

92 expression. In contrast to current thinking in the field, we also discovered that, in both human

93 and mouse, the ciRS-7 exon is embedded in novel linear transcripts that include cryptic exons

94 both up and downstream of the ciRS-7 sequence. In humans, these linear transcripts include

95 exons overlapping with LINC00632, and the subcellular localization of transcripts from

96 LINC00632 vary depending on the presence of the ciRS-7 sequence.

97    Together, these results support (post-)transcriptional coupling between a long-noncoding

98    RNA and ciRS-7 and raise important functional questions about this locus. Our results also

99    represent the first steps toward pinpointing the mechanisms underlying the regulation and

100   biogenesis of ciRS-7.

## Results

**Computational methods predict the ciRS-7 promoter region**

As a first step to identify the ciRS-7 promoter, we examined available chromatin

immunoprecipitation sequencing (ChIP-seq) data from HeLa, HEK293, and *in vitro* differentiated

neuronal cells, which exhibit a range of ciRS-7 expression, from very low (or nonexistent) in

HeLa cells to very high in neurons [7–9].

We investigated RNA Polymerase II binding as well as the histone modifications

H3K4me3 and H3K27ac, which are enriched in active promoters [14,15]. In addition, we

examined H3K4me1, which is enriched in enhancers, and H3K27me3, a repressive mark

enriched in silenced loci [16]. The only peaks called by MACS2, a widely used peak-calling

algorithm [17], in H3K4me3, H3K27ac or RNA Polymerase II were in HEK293 and *in vitro*

differentiated neuronal cells and coincided with the transcriptional start positions of LINC00632

isoforms, the nearest annotated transcript upstream of ciRS-7 (Fig 1A; S1 Fig). Conversely, the

repressive mark H3K27me3 was visibly enriched throughout the LINC00632 and ciRS-7 locus in

HeLa cells, consistent with their lack of ciRS-7 expression, with a H3K27me3 peak called at a

LINC00632 promoter (S1 Fig). This visual inspection generated the hypothesis that the

LINC00632 and ciRS-7 promoters coincide, which we went on to quantitatively test.

If ciRS-7 shares its promoter with LINC00632, activating chromatin marks at the

LINC00632 promoter and ciRS-7 expression should be positively correlated. To test this

prediction, we analyzed matched ChIP-seq and RNA-seq data from 34 ENCODE tissues and

cell types. Specifically, we separated the ~175 kb genomic region spanning 50 kb upstream of

the LINC00632 annotation to 50 kb downstream of ciRS-7into 500 bp bins, and we computed

the Pearson correlation between ciRS-7 expression and the enrichment of each of three

activating marks (H3K4me3, H3K4me1, and H3K27ac) in each bin (Fig 1B).  Because the null

distribution of the Pearson correlation requires assumptions that do not hold for our data, we

computed an empirical null distribution: for each activating mark, we computed the Pearson

correlation between ciRS-7 expression and its enrichment per bin 50 kb up- and downstream of

genes that should have no relationship to expression or chromatin modifications in the ciRS-7

locus: ACTB, HOTAIR, and FOXO4. This empirical null distribution was used to estimate the

FDR for the correlation coefficients at each bin/mark pair (S2 Fig, Methods).

The Pearson correlation between H3K4me3 marks and ciRS-7 expression were highest

and statistically significant (q<0.005) at the two promoters of LINC00632 (Fig 1C, marked as

'P(Distal)' and 'P(Proximal)'; S3 Fig) and H3K27ac marks in these regions were also high and

statistically significant (q<0.005). Coincident H3K4me1 and H3K27ac marks, marks of active

enhancers [18], found in this loci were also significantly correlated with ciRS-7 expression (Fig

1C, marked as 'E'). No significant signal at regions more proximal to the ciRS-7 exon or

upstream of annotated LINC00632 isoforms were observed, providing further statistical support

that ciRS-7 expression is driven from these promoters.

**Orthogonal experimental tests validate that ciRS-7 shares a promoter with LINC00632**

To determine whether specific activation of the LINC00632 promoters was sufficient to drive

ciRS-7 expression, we used three experimental tests.  First, we used the promoter-activating

CRISPRa system in HeLa cells which express low or undetectable levels of ciRS-7[7,19] to test

if ciRS-7 expression could be driven by these promoters. We designed single guide RNAs

(sgRNAs) to target the two promoter regions highlighted in Fig 1C, just upstream of T1 and T3,

identified as the putative promoter regions by computational analysis (See S1 File for sgRNA

sequences). Targeting of the CRISPRa system to either region resulted in induction of specific

LINC00632 isoforms, and activating either of these promoters induced robust expression of

ciRS-7 (Fig 2A), with a ∆Ct compared to ACTB of ~10 (S4 Fig), although at ~2-3 orders of

magnitude less than in the highly expressing HEK293 cells.

To test the hypothesis that HeLa cells are competent to express ciRS-7 at the nearest

identified promoter if it is free from repressive chromatin marks, we transfected a Bacterial

Artificial Chromosome (BAC O, Fig 2B), containing a genomic fragment starting upstream of the

proximal LINC00632 promoter and ending ~50 kb downstream of ciRS-7, into HeLa cells.  We

detected significant expression of ciRS-7 and LINC00632 from this BAC by both RT-PCR and

Northern blot after one day of transfection. As an aside, this experiment supports the model that

the lack of LINC00632 and ciRS-7 expression in HeLa cells is due to chromatin modification of

the locus, rather than the absence of necessary trans-acting factors and shows that all  (post-

)transcriptional machinery required for ciRS-7 expression are present in HeLa cells (Fig 2C,D;

S5 Fig).

As an orthogonal test that the proximal LINC00632 promoter, and no further downstream

promoter drives ciRS-7 expression, we transfected three other BACs (A-C) each containing the

exonic sequence of ciRS-7, but differing in their inclusion of the proximal endogenous promoter

of LINC00632 (Fig 2B,E). Because BAC O transfects more efficiently than BACs A-C, likely due

to its relatively small size, we excluded it in this comparison (S6 Fig). Expression of LINC00632

isoforms and ciRS-7 were correlated and highly dependent on inclusion of the LINC00632

promoter, further supporting the hypothesis that ciRS-7 and LINC00632 isoforms share the

same promoters (Fig 2E, S7A Fig).

As a final test that the dominant promoter of ciRS-7 is located in the LINC00632

promoter, we created a genomic deletion in HEK293T cells, which express ciRS-7 at high levels

[8], that encompasses the predicted positions of both putative promoters and encompass the

guide RNAs used for CRISPRa (hg38 coordinates, chrX:140,709,590-140,749,836) (see

diagram in S7B Fig). We obtained one homozygous clone. ciRS-7 decreased by approximately

one thousand-fold (S7B Fig), but was not completely abolished, reflecting residual low-level

promoter activity in the LINC00632 locus.

**ciRS-7 is an exon embedded in mature human and mouse linear RNA transcripts**

In parallel with our computational approach to identify the promoter of ciRS-7, we tested

whether gaps in the reference annotation of human exons might have missed upstream and or

downstream exons spliced to and/or from ciRS-7. Indeed, spliceosomal circRNAs contain both

5' and 3' splice sites flanking their exons, and in almost all known cases, circRNA exons are

embedded in linear transcripts spliced to and from downstream and upstream exons [1,3].

We developed an analysis of RNA-seq reads capable of detecting splicing outside of

annotated exonic sequences, as most algorithms that do not use annotations have high false

positive and negative rates [20]. We took a transparent, simple two-step approach in an effort to

establish existence of exons spliced to and from ciRS-7: In step 1, all reads are aligned to the

full genome and transcriptome; in step 2, unaligned reads are broken into two pseudo paired-

end reads and aligned to a 100 kb radius of ciRS-7 on chromosome X (Fig 3, upper; see

Methods for details). Rather than attempting to pinpoint a genomic breakpoint, this algorithm

reports all reads whose pseudo-paired ends map to the reference genome (File S2). Differences

in alignment positions of the two pseudo paired-end reads are used to infer the existence of

splicing events. As a positive control for this algorithm, we omitted the ciRS-7 junction from our

transcriptome index and attempted to (re-)discover this junction *de novo* and other un-annotated

splicing events*.*

We applied this algorithm to an H1 hESC RNA-seq dataset (Methods). 58 total reads

representing possible novel junctions near the ciRS-7 locus were identified, 55 of which could

be explained by the ciRS-7 circle junction.  Of the three remaining reads, one represented a

novel junction between a cryptic donor site in the final exon of LINC00632 and the ciRS-7

acceptor and a second predicted splicing between the 3' end of ciRS-7 to a cryptic downstream

exon lacking any annotation (Fig 3, lower); a final read mapped internally to ciRS-7.

To test these novel predicted splicing events, we performed RT-PCR using primers in

HEK293T (Fig 4A,B; S8 Fig), a cell line known to express ciRS-7 [8]. Direct Sanger sequencing

of resulting products validated our predictions, and included two cryptic 5' splice sites in the final

exon of LINC00632 paired with the annotated acceptor of ciRS-7 (S9 Fig). RT-PCR for the

downstream exon yielded two splice isoforms, one of which was predicted computationally and

the other using an acceptor ~1 kb upstream (Fig 4C, S9 Fig, S10 Fig). qPCR for three variants:

LINC00632, the LINC00632-ciRS-7 transcript, and ciRS-7 in HEK293T showed that ciRS-7 was

~250-fold more abundant than the LINC00632-ciRS-7 transcript and about ~50-fold more

abundant than LINC00632 (S11 Fig). LINC00632-ciRS-7 and LINC00632 transcripts were also

RNase R sensitive, as expected for linear transcripts (S12 Fig).

In an effort to test transcriptional co-regulation between LINC00632 and LINC00632-

ciRS-7, we profiled 136 ENCODE cell lines and tissues to quantify both (a) the total expression

of ciRS-7 sequence compared to LINC00632 and (b) the splice variants ciRS-7 and ciRS-7-

LINC00632 (S13 fig).   This analysis revealed that (a) ciRS-7 is more highly expressed in

muscle and fat tissues than in the brain (based on TPM values); (b) the expression of

LINC00632-ciRS-7 splicing versus ciRS-7 expression is tissue-specifically regulated; and (c)

while relative expression levels of LINC00632 and ciRS-7 have a dynamic range across several

orders of magnitude, their expression was highly correlated across all cell lines and tissues we

analyzed (Pearson r=0.57, Spearman r=0.41, both p-vals<<10e-6). Together, this analysis

suggests both transcriptional coupling and differential regulation of splicing between LINC00632

and ciRS-7.

Many features of ciRS-7 expression are conserved in mammals [6]. We hypothesized

that its embedding in linear transcripts was similarly conserved, despite the current thinking that

ciRS-7 lacks a mature linear transcript in mammals [6–8,10,21]. To test this hypothesis, we

applied the the same analytic approach used above in human cells to mouse (Methods). It also

predicted the existence of novel cryptic exons flanking ciRS-7, variants that were confirmed by

RT-PCR in mouse brain (Fig 4D,E). In addition, it predicted a new circRNA resulting from back-

splicing of a cryptic exon 15 kb downstream of ciRS-7 to its annotated acceptor, which we

validated by PCR and sequencing (Fig 4D,E).  qPCR for the linear junction between the novel

upstream exon and ciRS-7 showed this isoform was RNase R sensitive, evidence of it being

linear, and ~250 fold less abundant than ciRS-7 (S14 Fig). In this experiment, ciRS-7 was also

strongly sensitive to RNase R, as has been reported by others [3]. While exonic sequences

flanking ciRS-7 in linear transcripts have no detectable primary sequence homology between

human and mouse, such conservation is not necessarily expected for long non-coding RNAs

[22].

Our RNA-seq analysis focused on establishing the existence of cryptic exons spliced to

and from the ciRS-7 exon, rather than complete annotation of all existing transcripts. We sought

to estimate transcript diversity in this locus by exploratory RT-PCR in human cells. This work

uncovered isoforms that splice directly from LINC00632 to cryptic exons downstream of ciRS-7,

including skipping of the ciRS-7 sequence and direct splicing into the downstream internal exon

of ciRS-7 (Fig 4E, S10 Fig).  We attempted several PCRs not guided by the RNA-seq analysis

described above; in general, these PCR reactions were negative, evidence against a model of

pervasive noisy splicing in the locus, and evidence that we had identified the dominant

transcripts expressed from the LINC00632 locus.

**ciRS-7 sequence has potential circRNA-independent regulatory effects**

To test for potential differential regulation of ciRS-7, LINC00632, and LINC00632-ciRS-7

linear transcripts, we profiled their subcellular localization by fractionating nuclear and

cytoplasmic RNA from HEK293T. Using XIST and ACTB as controls for enrichment of nuclear

and cytoplasmic fractions, respectively, qPCR demonstrated that, relative to ciRS-7, LINC00632

and LINC00632-ciRS-7 were enriched in the nucleus with increasing degrees (~9 and 25-fold

respectively vs. ciRS-7), suggesting that the ciRS-7 sequence impacts the steady-state

localization of transcripts containing it (Fig 4F).

One hypothesis generated by this work is that the expression of ciRS-7 is directly or

indirectly tied to the expression of other transcripts in this locus. The recent study that knocked

out the ciRS-7 sequence in mouse allows us to begin to test this hypothesis [10].

While we have not experimentally validated the transcriptional start of the ciRS-7 pre-

mRNA in mouse, there are many similarities to the human locus: the nearest upstream gene is

an uncharacterized lincRNA (C230004F18Rik) and the nearest H3K4me3 (a mark of promoter

activity) and RNA Polymerase II peaks to ciRS-7 occur at the transcriptional start of this lincRNA

(Fig 5A). In addition, transcription of this locus appears to begin at the C230004F18Rik

promoter, proceeding continuously past the ciRS-7 locus (Fig 5A). Taken together, these data

suggest that the transcriptional start of the ciRS-7 pre-mRNA in mouse occurs at an upstream

lincRNA, namely C230004F18Rik, as it does in human. This raises the question: is the

abundance of C230004F18Rik affected by the presence or absence of the ciRS-7 sequence?

To test this, we re-analyzed data from mouse ciRS-7 knockout experiment to determine

if deletion of the ciRS-7 sequence resulted in differential expression of C230004F18Rik. We

discovered that, when collapsing across all brain regions profiled, among differentially

expressed genes that are statistically significant, C230004F18Rik is the third-most upregulated

gene in the ciRS-7 KO vs. WT (Fig 5B,C; 2.44 fold induction, p-adjusted 1.21e-6).  The most

upregulated gene is Fos (3.44 fold, p-adjusted 2.95e-5), and the second-most upregulated gene,

C030023E24Rik (3.03 fold, p-adjusted 1.10e-9), is an uncharacterized transcript located roughly 5.5

kb downstream of ciRS-7 and encompassed by the transcriptional read-buildup (Fig 5A-C).

In the hippocampus, C230004F18Rik was the most significantly changed gene after

ciRS-7 by p-value, with 3.11 fold higher expression (p-adjusted 2.51e-13), followed by

C030023E24Rik (3.4 fold higher, p-adjusted 6.29e-13) (S15 Fig). And C230004F18Rik was

significantly upregulated in all tissues examined except the cortex (S15 Fig) (the lack of

significant differential expression was presumably due to higher variability between replicates

than in other tissues, see Fig 5C).  This consistent and large effect of ciRS-7 knock-out on

C230004F18Rik is consistent with a direct regulatory impact of the ciRS-7 sequence on

C230004F18Rik abundance.

## Discussion

The embedding of ciRS-7 sequence in cryptic exons changes the view of the

exceptionality of ciRS-7 as circRNA lacking a linear host transcript: its transcriptional regulation

is similar to other circRNAs that are embedded in linear 'host' RNAs. In human, we have

computationally and experimentally demonstrated that LINC00632 and ciRS-7 share the same

promoters, and have strong support for a similar model in mouse from: (a) RNA-seq analysis

showing strong expression effects on flanking linear Riken transcripts from ciRS-7 KO; (b) RNA-

seq and PCR data linking ciRS-7 to cryptic up- and downstream exons; and (c) continuous

RNA-seq signal across the locus starting from the upstream gene C230004F18Rik, through

ciRS-7, and into the downstream transcript C030023E24Rik. Together, these data support the

model that C230004F18Rik, ciRS-7 and C030023E24Rik represent a single transcriptional

locus.

Because of the syntenic hosting of ciRS-7 in linear transcripts, and to simplify

nomenclature, we propose renaming the uncharacterized gene LINC00632 (respectively Riken

transcripts) to **A**lternatively **S**pliced **IN**to **C**iRS-7 (ASINC, respectively Asinc in mouse); we call

the linear variants of these non-coding RNAs lacking ciRS-7 sequence ASINC.1 and those

containing it ASINC.2.

The transcriptional and splicing machinery necessary for ciRS-7 expression is likely not

brain specific, and rather is general: BACs containing a fragment of the LINC00632 locus that

includes its promoter can express ciRS-7 when introduced to HeLa cells (which have little to no

endogenous expression of ciRS-7). ciRS-7 expression may be primarily regulated at the level of

chromatin modification of the locus, either at the newly-discovered promoters or putative

enhancers.

Our work has implications for the assigned functions of ciRS-7. Despite intensive study,

the promoter and mechanisms regulating ciRS-7 expression have remained mysterious. To

date, experiments studying the function of the ciRS-7 sequence have made what was a

reasonable simplifying assumption that the only transcripts containing the ciRS-7 sequence are

circular [8,10]. However, interpretation of past and future studies assigning function to the ciRS-

7 sequence must be made in light of its origination from and potential functions in linear

transcripts.  This includes a recent study of a mouse model where the ciRS-7 exon was deleted

[10].  Our analysis of the ciRS-7 locus shows that knock-out of this exon results in an

upregulation of both the upstream and downstream Riken transcripts, C230004F18Rik and

C030023E24Rik.  The magnitude and significance of these effects compared to other gene

expression changes suggests it was a direct effect of the ciRS-7 knockout, and raises the

possibility that some functions assigned to the knock-out animal could be due to increases in

expression of the Riken transcripts.

There are multiple models that could explain the increased expression of the up-and

downstream-Riken transcripts ciRS-7-null mice.  If ciRS-7 transcription originates from a

promoter shared with C230004F18Rik, as is the case for human transcription, then ciRS-7

would originate from the same linear pre-spliced transcript as the Riken transcripts.  This raises

the possibility that generating linear transcripts containing the ciRS-7 sequence destabilizes

them or that the act of circRNA biogenesis itself leads to destabilization of the residual linear

transcript. These explanations would predict upregulation of the Riken transcripts when the

ciRS-7 exon is deleted. Another model is that ciRS-7 could directly or indirectly affect

expression of the Riken transcripts through regulatory networks. For example, ciRS-7 may

sequester or compete for splicing factors (e.g., nuclear Ago2 [23]), or ciRS-7 expression may

affect the expression of other genes that are involved in direct regulation of the Riken

transcripts.

The view that ciRS-7 directly regulates its host transcript is supported by our finding that

ASINC transcripts are differentially localized in the cell depending on their inclusion of the ciRS-

7 sequence. This suggests active regulation of or by the transcripts potentially through factors

that bind the sequence in ciRS-7, and generates the hypothesis that ciRS-7-containing linear

ASINC.2 has different functions in the nucleus than the ciRS-7 circRNA in the cytoplasm.

Indeed, the cytoplasmic ciRS-7 circles have been shown to function by sequestering mir-7[6,7],

a mechanism that unlikely to be employed by nuclear ASINC.2. Further study of transcripts in

the entirety of the locus and their regulation may reveal new functions for ciRS-7 and this locus

as a whole.

This work also lays a foundation for the field to begin to dissect transcriptional regulation

and biogenesis of the ciRS-7 locus. Regulation of alternative splice variants in the ASINC locus,

transcription factor binding patterns, and three-dimensional interactions between the promoters

and putative enhancers we identified can now be analyzed across different cell types and

throughout development. In addition, the analysis presented here could be generalized to other

genes whose promoters are not well-annotated. For example, another well-known circRNA with

no annotated promoter is derived from the Sry gene in mouse, which is circularized in mature

adult testes but expresses an unspliced mRNA in the developing genital ridge that governs sex

determination [2]. It has been hypothesized, though remains untested, that the promoter for the

Sry circRNA uses a separate promoter from the linear mRNA[24]. Other highly-expressed

circRNAs in human are also derived from the first exon of an annotated mRNA [25].  Given our

results, we predict that the promoters of these circular RNAs may have been misidentified

and/or misannotated in the genome and may be associated with cryptic up- or downstream

splice junctions.  Future analyses that comprehensively characterize transcription and splicing

at individual loci will be required to fully understand the regulatory mechanisms underlying

circRNA biogenesis.

## Methods

**Methods**

**RNA-seq analysis for detection of novel splice isoforms**

Raw RNA-seq reads from SRR5048080 (human) and SRR1785046  (mouse) were downloaded

from the SRA. Reads were mapped and analyzed using KNIFE [27]. Reads failing to align

("unaligned") by KNIFE were used in a simple custom mapping approach to identify novel

splicing events within a single read: single reads were split into pseudo-pairs (k-mers) by taking

the first 20 mer in the unaligned read and the remaining k-mer defined by the start position O

(30 for mouse and 50 for human because of differing input read lengths) and the minimum of

O+20 and the remaining read length after trimming (see supplemental perl script in S2 File).

Only reads where each pseudo-pair > 18 nt were used for analysis. These pseudo-paired end

reads, which actually came from the same single read were then realigned separately as pairs

using bowtie2 to a custom index made by the following sequences:

>mm10_knownGene_uc012hid.1 range=chrX:61083246-61285558 5'pad=0 3'pad=0 strand=-

repeatMasking=none

>hg38_knownGene_uc004fbf.2 range=chrX:140683176-140884660 5'pad=0 3'pad=0 strand=+

repeatMasking=none

Pairs of pseudo-reads mapping on the same strand were identified and used for further

analysis. While pseudo-alignments are used in some RNA-seq analysis approaches, few

algorithms are capable of achieving precise de novo transcript recovery due to their high false

positive rates and unknown false negative rates. This approach differs from other algorithms

because it (a) does not use a seed and extend approach; and (b) reads are aligned to a 100 kb

radius of ciRS-7 rather than the reference genome, in principle, preventing misalignment of true

ASINC reads to other loci. The complete analysis, the R script used along with output is

provided in S2 File. Although our analysis is likely to include other novel splicing in the locus, we

focused our attention on queries for reads that supported a splice from an un-annotated location

upstream of the acceptor in ciRS-7 and from the donor in ciRS-7 to an un-annotated

downstream exon using criteria on the position of discordant pseudo-paired end mappings (see

R script). An example of the reads that supported these events were (in human:

SRR5048129.92905492_2774860 (upstream exon); SRR5048080.59411858_2626289

(downstream exon) and in mouse: SRR1785046.9826290 (upstream exon);

@SRR1785046.13497132 HWI-ST1148:158:C3UJCACXX:2:2112:5228:93046 length=50

(downstream exon backsplicing to ciRS-7 acceptor).

**ENCODE data analysis**

For ChIP-seq analysis of histone modifications, processed narrowPeak files aligned to hg19

were downloaded from the ENCODE portal. All samples for ChIP-seq were selected with the

following filtering criteria, based on annotations in the metadata annotation file downloaded from

https://www.encodeproject.org/metadata/type=Experiment&replicates.library.biosample.donor.or

ganism.scientific_name=Homo+sapiens/metadata.tsv: "Assay" == "ChIP-seq", "File format" ==

"bed narrowPeak", "Output type" == "peaks". Only samples with availability of H3K4me1,

H3K4me3, H3K9me3, H3K27ac, H3K27me3, and H3K36me3 were selected. For RNA-seq

analysis, raw reads were similarly downloaded from the ENCODE portal.  Total RNA-seq

experimental data were filtered based on the following criteria: "File format" == "fastq", "Output

type" == "reads", "Biosample treatment" == null, "Library depleted in" == "rRNA", "Biosample

subcellular fraction term name" == null. The lists of cell types for which satisfactory ChIP-seq

and RNA-seq data were cross-referenced to identify the list of 34 cell types for which data was

analyzed.

ChIP-seq narrowPeak file were processed according to the following pipeline: the enrichment

scores of any peak calls in genomic 500bp bins spanning 50 kb up- and down-stream of the

genomic locus were identified using bedtools intersect -wao -a [genomic_bins.bed] -b

[narrowPeak file]. If multiple peaks were called in a given bin, the peak with the highest

enrichment score was reported using bedtools merge -c [enrichment column] -o max. The

absence of a peak was reported as a 0, which could indicate either complete lack of signal or

insufficient signal over input to call a significant peak in the ENCODE pipeline. Fold enrichment

values for replicate ChIP-seq experiments performed on the same cell type were averaged for a

given genomic bin.

RNA-seq reads were quantified using kallisto quant against a custom kallisto index consisting of

RefSeq cDNA transcripts, exclusive of any covering the ASINC/CiRS-7 locus, plus sequences

corresponding to individual ASINC/ciRS-7 exons transcribed from both the plus and minus

strands[28]. For single-ended data, the quant --[fr/rf]-stranded -b 0 -t 2 -l 200 -s 20 --single

command was used, using either --fr-stranded or --rf-stranded depending on the order of the

input files. For paired-ended data, the quant --[fr/rf]-stranded -b 0 -t 2 command was used, again

using either --fr-stranded or --rf-stranded depending on the input read files.

Expression data was quantified as 1000*tpm/transcript length. Expression values (RPKM) were

averaged across replicates for a given cell type for a given transcript.

Our methodology for identifying novel promoters is described below and fundamentally differs

from typical informatic approaches such as machine-learning algorithms that use hidden

variables or neural networks. These approaches have unknown statistical properties such as

effective degrees of freedom or an easily-modeled null distribution for the final test statistic.

Below, we describe a method that is conceptually simple and statistically transparent in that the

null distribution can be easily computed, and our statistic of interest, numerically related to the

active promoter, can be referred to this distribution to obtain an empirical p value.

Decoy ChIP marks on chromosomes 7, 12, and X, corresponding to regions +/- 50 kb upstream

and downstream of the annotated TSS and transcription stop sites respectively in the ACTB,

FOXO4, and HOTAIR loci, were used as an empirical null for determining the false-discovery

rate (FDR) for correlations between ChIP enrichment in putative promoters and enhancers (S3

File). We computed an FDR as follows. For each mark, we computed the correlation between

ciRS-7, measured as exonic TPM, and chromatin mark enrichment for bins on chromosomes 7,

12, and X, and generated the empirical distribution of these correlations (S5 File). Then for each

mark, we determined the correlation value above which 0.5% of the data in the empirical null fell

($q<0.005$), and assigned a correlational threshold on the basis of this.  We model-checked our

assumptions for the empirical null distribution by showing that there was no significant

correlation or anticorrelation between ciRS-7 and ACTB (Pearson r = 0.31, p-value = 0.08),

ciRS-7 and HOTAIR (Pearson r = -0.13, p-value = 0.45), or ciRS-7 and FOXO4 (Pearson

r=0.12, p-value 0.48) as such effects could distort our null model.  Heatmaps were generated by

averaging ChIP-seq peak enrichment for a given 500bp genomic bin across all sample

replicates, and computing the Pearson correlation coefficient of ChIP enrichment against RNA-

seq expression for a given cell type.

For genome browser screenshots, pre-processed data was obtained from the ENCODE portal

(see S6 File) and visualized using the UCSC genome browser. Data were shown as the mean

value over a smoothing window of 6 pixels.

**Quantification of novel junctions and isoforms from ENCODE RNA-seq data sets**

370 paired-end RNA-sequencing data sets made from ribosomal RNA-depleted total RNA were

downloaded from the ENCODE portal. This set included some samples for which there were

biological or technical replicates, representing 136 different cell/tissue types. FASTQ files were

quantified against a kallisto index made from ENSEMBL release 89 ncRNA and cDNA fasta files

using default parameters (kallisto index -k 31). A second custom kallisto index was created

from 40bp junctional sequences within the ASINC locus containing 20bp sequence upstream of

a splice site and 20bp sequence downstream of a splice site. This index was also created using

default parameters. Paired end data was downloaded and processed using kallisto quant -b 0

against both indexes. tpm (transcripts per million) outputs from the reference transcriptome

were aggregated, and for samples with multiple sequencing data sets, tpms were averaged

across replicates. For gene-level analysis of the ASINC locus, the five transcripts

corresponding to 'LINC00632' in ENSEMBL release 89 were summed to determine gene-level

expression of the locus. For the custom junctional index, est_counts output for each sample

from kallisto quant were normalized to sequencing depth calculated from the reference

transcriptome and averaged across replicates. Only junctions with reads supported by at least

one sample were reported.

**Analysis of mouse ciRS-7 knock-out data**

Data from Piwecka et al. [10] were downloaded from the NCBI Sequence Read Archive using

the tool fastq-dump. Data were quantified using kallisto quant --single -l 200 -s 30 against a

kallisto index built using default parameters. The kallisto index was generated from a

concatenation of cDNA and ncRNA from ENSEMBL release 90 with the addition of the

C030023E24Rik sequence, which was not present in the ENSEMBL fasta file. Kallisto

quantification was imported into R using tximport [29] and differential gene expression analysis was

performed using DESeq2 with default parameters [30]. Aggregation of transcript annotations to

perform gene-level analysis was performed with the tx2gene parameter of tximport based on

transcript-gene pairing information parsed from the ENSEMBL fasta files. Unless otherwise noted,

cutoffs for significance were based genes having an adjusted p-value ("padj") lower than 0.05.

**Bacterial Artificial Chromosomes (BACs) and plasmid vectors**

BACs were purchased from Thermo Fisher Scientific (Waltham, MA) in the case of BAC CTD-

2166E9, and from the BACPAC Resources Center (Children's Hospital Oakland Research

Institute, CA) in the case of all other BACs. BACs were purified from E. coli using the

Nucleobond Xtra BAC Maxi Kit (Macherey-Nagel, Duren, Germany). SP-dCAS9-VPR (Addgene

ID: 63798) was provided by the Qi lab[19], and the sgRNA-encoding plasmid along with the

Cas9 plasmid, pMCB306 (Addgene ID: 89360) and lentiCas9-Blast (Addgene ID: 52962)

respectively, were gifts from Michael Bassik [31,32]. Guides were cloned into pMCB306 cloned

into the BlpI/BstXI site using annealed oligos with the appropriate sticky ends (S1 File).

**BAC Fingerprinting**

To ensure BACs had the proper insert, 3 µg of each BAC were digested with 12 units of Ban I

(NEB, Ipswich, MA) in the manufacturer's buffer for 1.5 hours at 37°C. The digests were then

heated to 65°C for 20 min. The digestion fragments were separated by loading 750 ng per lane

on a 1% LE Agarose (GeneMate) gel with 0.5X TAE running buffer. The DNA was visualized

with ethidium bromide staining. Simulated BAC fingerprints were created using SnapGene

software (from GSL Biotech) (S16 Fig).

**Transfections**

All transfections were performed in 6-well plates using 7.5 µL of Lipofectamine 3000 and 2.5 µg

of total DNA per well according to the manufacturer's protocol. Unless noted otherwise, cells

were harvested 24 hours after transfection.

For CRISPRa experiments, we introduced 1.25 µg of the SP-dCAS9-VPR plasmid and 1.25 µg

of combined sgRNA plasmids (four for each promoter tested) (see S1 File for sequences). Cells

were harvested 48 hours after transfection.

For CRISPR genomic deletions, two sgRNA vectors (pMCB306) with guides targeting a ~55 kb

deletion of the X chromosome were transfected into HEK293T cells at a total mass of 1.25 mg

per transfection along with 1.25 mg of a vector expressing Cas9 (lentiCas9-Blast). The

sequences for these guides can be found in S1 file. The cells were incubated for two days prior

to being sorted into single cells by GFP fluorescence as a measure of transfection, which is also

expressed on pMCB306. After two weeks, colonies were screened by PCR for the presence of

the deletion.

**Nuclear/Cytoplasmic Fractionation**

521 $1\text{-}2\text{x}10^6$ 293T cells were fractionated for nuclear/cytoplasmic RNA using the PARIS kit (Thermo

522 Fisher Scientific) according to the manufacturer's instructions. 0.25-0.5 µg of RNA of each

523 fractionated sample was used in the RT prior to qPCR, using an equal RNA mass for both the

524 nuclear and cytoplasmic fractions in each experiment.

**RNA Purification (primary tissue)**

527 Snap-frozen total brain tissue from a 12-week old C57BL/6 pregnant female mouse was

528 homogenized in TRIZOL and the aqueous phase was purified on Purelink RNA column with on-

529 column DNase treatment.

**RNA Purification (cell lines)**

Cells were lysed directly in tissue culture plates by the direct addition of TRIzol reagent (Thermo

Fisher Scientific). The manufacturer's protocol was followed with the following modifications:

after isolation of the aqueous phase, 1 volume of 100% EtOH was added to the sample, and

then the entire volume was applied to and spun through a RNA Clean & Concentrator-5 column

(Zymo, Irvine, CA). The column protocol was performed as per the manual's instructions starting

from the application of the RNA Prep Buffer.

**RNase R treatment**

1 µg of RNA was treated with 5 U RNase R (Epicentre, Madison, WI) (or no enzyme in the case

of the mock) in 10 µL total reaction volume at 37 C for 30 min. 1 µL 1 mM EDTA, 10 mM

dNTPs, and 25 uM random hexamers were then added to the sample and the sample was then

heated to 65 C for 5 min to denature RNA structures. The RNA was then reverse transcribed

without purification with the addition of 4 µL 5x supplement buffer (250 mM Tris pH 8, 125 mM

KCl, 15 mM $MgCl_2$) and 2 µL of 0.1 M DTT to provide the necessary conditions for the RT

reaction.

**RT-PCR and qPCR**

Total RNA was reverse transcribed with random hexamers using 100 U Maxima Reverse

Transcriptase (Thermo Fisher Scientific) according to the manufacturer's instructions.

Endpoint PCRs were performed using DreamTaq DNA Polymerase (Thermo Fisher Scientific,

Waltham, MA). For all PCR reactions, 1.5 µl of the unpurified RT-reaction was used per 50 µl

reaction volume. All RT-PCR reactions were performed using the recommended cycling

protocol for 35 cycles.

qPCR reactions were assembled as 10 µl reactions using AccuPower 2X GreenStar qPCR

Master Mix (Bioneer, Daejeon, Korea) with 0.3 µl of template used per reaction. qPCRs were

performed on an ABI 7900HT using following cycling protocol: 50℃ for 20 min, 95℃ for 10 min,

(95℃ for 15 s and 60˚C for 60 s) × 45 cycles, followed by a dissociation stage.

**Northern Blot**

Northern Blots were performed using 5 μg of total RNA per well using the NorthernMax kit

(Thermo Fisher Scientific) according to the manufacturer's recommendations. Single-stranded

DNA oligos were used as probes and were purchased from IDT (Coralville, IA). Probe

sequences can be found in S1 File.

# Supporting Information

**S1 Fig. Additional ChIP-seq plots across the ciRS-7 locus from neuronal and HeLa cells.**
568

**S2 Fig. Heatmap of pearson correlations between chip marks at null loci and ciRS-7**

**expression**: ACTB (top),  HOTAIR (middle), FOXO4 (bottom). Coordinates reported are from the

hg19 genome build.

**S3 Fig. Sample correlational plots of chip mark enrichment vs ciRS-7 expression at the distal**

**promoter region.**

**S4 Fig. CRISPR activation of LINC00632 promoters induces the expression of ciRS-7 in HeLa**

**cells.** qPCR measurement of ciRS-7 expression relative to actin. Error bars represent the

standard deviation of biological replicates.

**S5 Fig. RNase R sensitivity of transcripts generated from BAC O vs HEK293T.** LINC00632

isoform T3 was measured in both cases. Error bars represent the standard deviation of

biological replicates.

**S6 Fig.Transfection efficiency of the BACs** relative to BAC O quantified by DNA-qPCR of

BAC backbone DNA from HeLa cells transfected with the BAC. Error bars represent the

standard deviation of biological replicates (with error propagated from BAC O).

**S7 Fig. qPCR quantification of ciRS-7 and LINC00632 isoform T3 in HeLa transfected with**

**BACs and HEK mutants.** (A) RNA expression in HeLa transfected with BACs A, B, and C. All

values have been normalized to those for BAC A, and error bars represent the standard

deviation of biological replicates (with error propagated from BAC A). (B) RNA expression of

isoforms in wild-type HEK293T and in a cloned strain of HEK293T in which the putative ciRS-7

promoters have been deleted.

**S8 Fig. Additional PCRs to determine connectivity between exons of LINC00632 and ciRS-**

**7.**

**S9 Fig. Sanger sequencing traces for novel linear ciRS-7 junctions in human.**

**S10 Fig. Products from TOPO cloning** of bands in Fig 2B--*left* (lanes 2 and 3).

**S11 Fig. qPCR ΔCt of human isoforms.** Higher values indicate lower expression. Error bars

represent standard deviation of biological replicates.

**S12 Fig. RNase R sensitivity of ciRS-7, LINC00632, and LINC00632-CDR1AS isoforms in**

**HEK293T.**

**S13 Fig. Quantification of ciRS-7 and LINC00632 transcripts across ENCODE tissues and cell**

**lines.** (A) LINC00632 and ciRS-7 gene-level quantification, transcripts per million reads (tpm) (B)

ciRS-7 backsplice and LINC00632-ciRS-7 junctional counts per million reads

**S14 Fig. qPCR ΔCt of mouse isoforms** *(Top)* ΔCt (vs GAPDH) for ciRS-7 linear and circular

isoforms and (*Bottom*) RNase R sensitivity of transcripts in mouse brain. Error bars represent

standard deviation of technical replicates.

**S15 Fig. Volcano plots of log fold-change ciRS-7 KO vs WT in each brain region (Methods).**

**S16 Fig. BAC quality checks.** (A) Simulated and experimental BanI digest of the four BACs

used in this study. The agreement of these footprints supports that BAC inserts are as reported

and have not been significantly altered by bacterial recombination. (B) Sanger sequencing of

the 5' ends of BAC genomic inserts. The vector sequence is in lowercase; the genomic insert

sequence is in uppercase.

**S1 File. PCR primers, Northern probes, and sgRNA sequences.**

**S2 File. RNA-seq scripts and output for novel isoform discovery.**

**S3 File. Table of ChIP-seq peak enrichment and RNA expression levels.**

**S4 File. Quantification of RNA expression in ENCODE data.**

**S5 File. Calculation of empirical FDR for ChIP-seq and RNA-seq correlations.**

**S6 File. ENCODE accession numbers used in genome browser screenshots.**

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# Figures

**Fig 1.** Computational and statistical analysis predict ciRS-7 shares a promoter with annotated LINC isoforms. **(A)** H3K27ac and H3K4me3 peaks across the ciRS-7 locus and nearby genomic region in *in vitro*-differentiated neurons, HEK293, and HeLa cells. **(B)** Schematic depicting analysis correlating chromatin mark enrichment near the ciRS-7 locus with ciRS-7 expression in RNA seq data. To estimate a false discovery rate, null correlations are computed using chIP-enrichment at disparate regions of the genome with no relationship to ciRS-7 (ACTB, FOXO4, and HOTAIR). Then, using these null correlations, a null distribution is created from which a false discovery rate can be estimated. **(C)** Heatmap correlation (Pearson r) between strand-

specific ciRS-7 expression and enrichment of histone marks across the ciRS-7 locus and
surrounding genomic region (spanning from 50 kb upstream of LINC00632 and 50 kb
downstream of ciRS-7). Correlations are plotted in 500 nucleotide bins, and a depiction of
annotated genes is shown below. Our FDR threshold (q<0.005) is satisfied for correlations
greater than 0.35 for H3K4me1 (top 6 correlated bins), 0.45 for H3K4me3 (top 4 correlated
bins), and 0.75 for H3K27ac (top 14 correlated bins) (See File S5). Putative promoters regions
are annotated with brackets and the letter 'P'. Putative enhancers are marked with the letter 'E'.
751

**_Fig 2._** Experimental approaches confirm the identity of the ciRS-7 promoter. **(A)** RT-PCR for
ciRS-7 and LINC00632 transcripts in HeLa (+/- Cas9-VPR activation) with guide RNAs
targeting distal and proximal promoters identified in Fig 1C. The approximate targeted locations
of the proximal and distal guide RNAs are shown with a blue and red bar, respectively, in the
diagram shown above the gel. **(B)** Schematic of BAC inserts with respect to the ciRS-7 and
LINC00632 genes. **(C)** RT-PCR and **(D)** Northern blot for ciRS-7 and LINC00632 transcripts
generated after BAC O transfection. The appearance of two bands in the ciRS-7 Northern blots
are due to alternative splicing of an intron contained within the ciRS-7 exonic sequence. **(E)** RT-
PCR for ciRS-7 and LINC00632 transcripts from HeLa cells transfected with BACs A-C.

>SRR5048129.92905492

CACACGTGTTGTCATACAAAAACTG    GGTTTCCGATGGCACCTGTGTCAAGGTCTTCCAACAACTCCGGGC

**LINC00632**    **ciRS-7**

>SRR5048080.59411858

GATCTTCTGACATTCAGGTCTTCCAGTGTCTGCAATATCCAG    CACATTGGTAAAGACTTTATAACTTCCA

764    **ciRS-7**    **Cryptic Exon**

765    ***Fig 3.*** (Upper) Schematic outlining RNA-seq split read mapping approach. (Lower) Unannotated
766    linear splicing is predicted up- and downstream of the ciRS-7 exon. Reads supporting these
767    junctions are shown above the locus. Note, features are not drawn to scale.
768

**Fig 4.** ciRS-7 exonic sequence is included in linear transcripts. **(A)** Schematic of the locus including PCR primers used in this study. Dotted lines indicate approximate positions of newly discovered introns. Figure is not drawn to scale. **(B)** RT-PCR of circular and linear ciRS-7 splice products from HEK293T. (1,A): control PCR for ciRS-7; other lanes: LINC00632 exons spliced to the ciRS-7 exonic sequence: the two bands in each of these lanes represent the products formed when the two possible splice sites in the final exon of LINC00632 are used (see diagram on the right of gel). **(C)** PCR of spliced products that include cryptic exons downstream of ciRS-7. (‡) represents rolling circle ciRS-7 PCR products with and without intron retention. (*) Other products were also identified (see S7 Fig). **(D)** RT-PCR of circular and linear ciRS-7 splice products from mouse brain RNA. mA and mA' bind to approximately the same region but have slightly different sequence (see S1 File). **(E)** Examples of novel splicing observed in the human

and mouse ASINC loci. Curved line in mouse indicates a backsplice. **(F)** qPCR quantification of
nuclear-cytoplasmic fractioned RNA from HEK293T. Error bars represent the standard
deviation of biological replicates.

***Fig 5.*** Up- and downstream transcripts are upregulated in ciRS-7 KO mouse. **(A)** ChIP-seq and
RNA-seq tracks for mouse cerebellum and hindbrain in the genomic region surrounding the
ciRS-7 locus. **(B)** Volcano plots of log fold-change ciRS-7 KO vs WT collapsed across four brain
regions. **(C)** Box plots depicting tpm of C230004F18Rik (top) and C030023E24Rik (bottom) in
WT and ciRS-7 KO mice across four brain regions.