1 2 3	Catecholamines, not acetylcholine, alter cortical and perceptual dynamics in line with increased excitation-inhibition ratio
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19 20 21 22	Abbreviated title: Neuromodulation and Cortical Excitation-Inhibition Balance
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34 ABSTRACT

35 The ratio between excitatory and inhibitory neurons (E/I ratio) is vital for cortical 36 circuit dynamics, computation, and behavior. This ratio may be under the 37 dynamic control of neuromodulatory systems, which are in turn implicated in 38 several neuropsychiatric disorders. In particular, the catecholaminergic (dopaminergic and noradrenergic) and cholinergic systems have highly specific 39 40 effects on excitatory and inhibitory cortical neurons, which might translate into changes in the local net E/I ratio. Here, we assessed and compared their net 41 42 effects on net E/I ratio in human cortex, through an integrated application of 43 computational modeling, placebo-controlled pharmacological intervention. 44 magnetoencephalographic recordings of cortical activity dynamics, and 45 perceptual psychophysics. We found that catecholamines, but not acetylcholine, 46 altered both the temporal structure of intrinsic activity fluctuations in visual and 47 parietal cortex, and the volatility of perceptual inference based on ambiguous 48 visual input. Both effects indicate that catecholamines increase the net E/I ratio in 49 visual and parietal cortex. 50 51 52

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60 INTRODUCTION

Cortical activity fluctuates continuously, even in the absence of changes in 61 62 sensory input or motor output (1). These intrinsic fluctuations in cortical activity 63 are evident from the level of single neurons to large-scale networks of distant 64 cortical areas (2-4). Fluctuations in cortical mass activity, specifically the 65 amplitude modulation of ongoing oscillations, exhibit temporal structure 66 characteristic of so-called "scale-free" behavior: Power spectra that scale as a 67 function of frequency according to a power law, $P(f) \propto f^{\beta}$ (5,6), and long-range 68 temporal autocorrelations (7-10). This temporal structure of cortical activity varies 69 widely across individuals, is partly explained by genetics (11), and it exhibits 70 marked changes in brain disorders (12,13).

71 The large variability of cortical activity is not only due to the biophysics of 72 individual cells (1), but also due to the balance between excitatory and inhibitory 73 inputs to each neuron (2,14). The ratio between excitatory and inhibitory 74 interactions in local cortical circuits, henceforth referred to as E/I ratio, is also 75 essential for the characteristic structure of spontaneous cortical activity (15,16). 76 For example, structural variations of excitatory and inhibitory connectivity affect 77 the temporal structure of activity fluctuations in a model of a local cortical circuit 78 (15). Finally, the E/I ratio is also a key determinant of the computational 79 properties of individual cortical neurons (17,18) as well as the behavior of the 80 organism, as shown for perceptual categorization tasks (16,18-21).

81 This key property of cortical circuits, E/I ratio, might not be a fixed 82 property of cortex, but rather under dynamic control. One factor in particular 83 might be key for regulating cortical E/I ration and thus cortical variability as well 84 as behavior: dynamic variations in neuromodulatory tone (22). Modulatory 85 systems of the brainstem regulate cortical state through widespread ascending

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86 projections, and they are implicated in most of the major neuropsychiatric 87 disorders (17,23-26). The modulatory neurotransmitters released from these 88 systems, such as noradrenaline or acetylcholine, alter specific elements 89 (pyramidal cells or inhibitory interneurons) of cortical microcircuits (27,28) as well 90 as the variability of cortical neurons (27,29,30). Critically, whether and how 91 neuromodulatory systems change the net E/I ratio and ongoing activity 92 fluctuations within local populations of cortical neurons has remained unknown. A 93 systematic, empirical assessment of the net effects on cortical E/I ratio in human 94 cortex would be key for understanding how synaptic and cellular effects of 95 neuromodulation translate into changes in human cognition and behavior, as well 96 as into disturbances thereof in brain disorders. However, inferences on cortical 97 net E/I ratio based on standard "resting-state" measurements of human cortical population activity have, so far, been challenging. 98

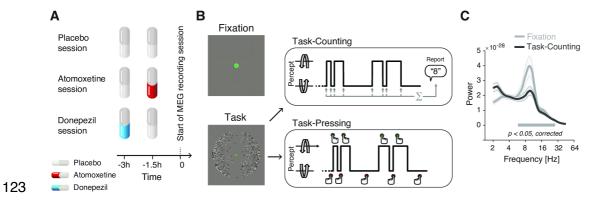
99 Here, we aimed to overcome this challenge through the integrated 100 application of computational modeling, magnetoencephalographic (MEG) 101 recordings of fluctuations in cortical population activity under different 102 pharmacological interventions and "steady-state" task conditions. and 103 psychophysical measurements of bistable perceptual dynamics that are sensitive 104 to cortical E/I ratio (21,31,32). This integrative approach enabled us to 105 systematically image and compare the effects on the cortical net E/I ratio of two 106 major groups of neuromodulatory systems: the catecholaminergic (noradrenergic and dopaminergic) and cholinergic systems. Importantly, we read out their effects 107 108 on cortical net E/I ratio from two separate measurements: changes in the intrinsic 109 fluctuations in cortical activity and of bistable perceptual dynamics. Both yielded convergent evidence for an increase of net E/I ratio in visual and parietal cortex 110 due to catecholamines, but not acetylcholine. 111

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113 **RESULTS**

114 We tested for changes in intrinsic perceptual and cortical dynamics under 115 placebo-controlled pharmacological manipulations of catecholamine (using atomoxetine) and acetylcholine (using donepezil) levels (Fig 1A). Importantly, 116 117 intrinsic fluctuations in cortical activity were measured during two steady-state 118 conditions (Fig 1B): (i) fixation of an otherwise gray screen (Fixation), as in most 119 common studies of human "resting-state" activity; and (ii) silent counting of the spontaneous perceptual alternations induced by a continuously presented, 120 121 ambiguous visual stimulus (Task-counting). In a third condition, subjects 122 immediately reported the perceptual alternations by button-press (Task-pressing).



124 Fig 1. Experimental design (A, B) Types and time course of experimental sessions. (A) Each 125 subject participated in three sessions, involving administration of placebo, atomoxetine, or 126 donepezil (session order randomized across subjects). Each session entailed the administration of 127 two pills, in the order depicted for the different session types. (B) Within each session, subjects 128 alternated between three conditions, Fixation, Task-Counting and Task-Pressing, during which 129 MEG was recorded (runs of 10 min each). See Materials and Methods for details. (C) Group 130 average power spectrum, averaged across all MEG sensors, for Rest and Task (Placebo condition 131 only).

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This design capitalized on recent insights into the changes in cortical E/Iratio under sensory stimulation (33,34) and on the effects of cortical E/I-ratio on bistable perceptual dynamics (21,31,32). These previous insights and our experimental data combined, allowed for interpreting the latter in terms alterations in net cortical E/I ratio under the pharmacological treatments.

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To solidify our predictions about the impact on modulations of E/I ratio on the intrinsic correlation structure of cortical population activity, we also simulated the population activity of a simplified cortical circuit model made up of recurrently connected excitatory and inhibitory neurons, under systematic variations of gain modulation at different synapse types.

143 The Results section is organized as follows. We first present the effects of 144 the drugs on perceptual alternation rate. We then show how dynamic variations 145 of E/I ratio due to synaptic gain modulation alter intrinsic fluctuations in the amplitude of cortical oscillations of a cortical circuit model. Next, we show how 146 147 manipulating catecholaminergic and cholinergic neuromodulation, affects 148 fluctuations in cortical activity-specifically, the temporal correlation structure of intrinsic fluctuations in the amplitude of cortical oscillations (Fig 2), during both 149 steady-state conditions (Fixation and Task-counting). Finally, we discuss the drug 150 151 effects on other measures of cortical activity as well as peripheral signals. These 152 controls support the validity and specificity of our main conclusions.

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Atomoxetine increases the rate of perceptual alternations compared to placebo and donepezil

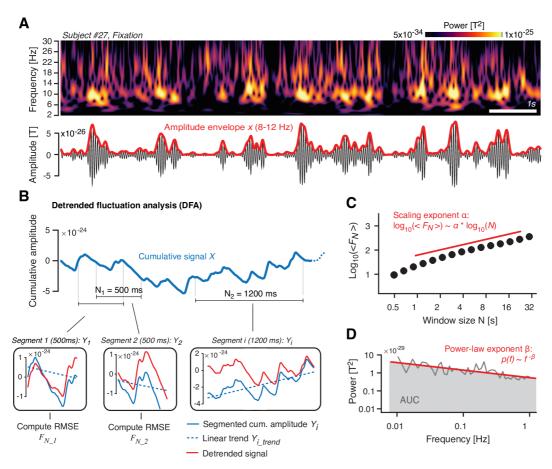
156 We used the rate of the reported alternations in perception of the ambiguous visual structure-from-motion stimulus (Fig 1B) as a behavioral proxy for changes 157 158 in cortical E/I ratio in visual cortex. Current models of the neural dynamics 159 underlying bistable perception postulate that such perceptual alternations emerge 160 from the interplay between feedforward drive of stimulus-selective neural 161 populations in sensory cortex, mutual inhibition between them, adaptation, and noise (31,32). Convergent evidence from model simulations (21) as well as 162 functional magnetic resonance imaging, magnetic resonance spectroscopy, and 163

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164 pharmacological manipulation of GABAergic transmission (21,35) indicates that

165 increases in the ratio between feedforward, excitatory input to, and mutual

- 166 inhibition within the cortical circuit give rise to faster perceptual alternation rates.
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170 (A) Top. Time-frequency representation of MEG power fluctuations during Rest (example subject). 171 Bottom. Filtered signal (10 Hz; black) and the corresponding amplitude envelope (red). (B) 172 Illustration of detrended fluctuation analysis. See main text (Materials and Methods) for details. Top. 173 Cumulative sum of the amplitude envelope. Bottom. Detrending of cumulative sum within segments, 174 shown for two different window lengths N ($N_1 = 500$ ms and $N_2 = 1200$ ms). (C) Root-mean-square 175 fluctuation function $\langle F_N \rangle$. In log-log coordinates, $\langle F_N \rangle$ increases approximately linearly as a 176 function of N, with a slope that is the scaling exponent a. (D) Illustration of power spectrum analysis 177 of amplitude envelope. In log-log coordinates, the power spectrum can be approximated by a 178 straight line, with a slope β (power-law exponent) and an area under the curve (gray) that quantifies 179 the overall variance of the signal.

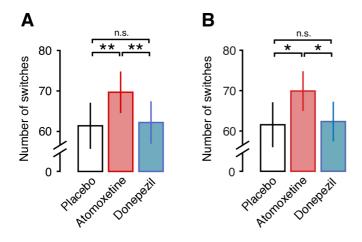
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181 In this study, atomoxetine increased the rate of perceptual alternations compared 182 to both, placebo and donepezil (Fig 3A; atomoxetine vs. placebo: p = 0.007; t =183 2.913; atomoxetine vs. donepezil: p = 0.001; t = 3.632; donepezil vs. placebo: p =184 0.966; t = -0.043; all paired t-tests, pooled across Task-counting and Task-

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pressing). This atomoxetine effect on the perceptual dynamics was also significant for Task-counting (p = 0.045; t = 2.103; paired t-test; Fig S1A) and Task-pressing (p = 0.018; t = 2.540; paired t-test; Fig S1B) individually, and the perceptual alternation rates were highly consistent across both conditions (Fig S1C).



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Fig 3. Atomoxetine, but not donepezil, increases the rate of perceptual alternations (A) Number of perceptual alternations reported by the subjects per 10 min run, pooled across task conditions (Task-counting and Task-pressing). (B) Same as (A), after removing blink and eye movement data (with linear regression).

196 One potential concern is that atomoxetine might have increased the rates 197 of spontaneous eye blinks or fixational eye movements, inducing retinal 198 transients and thus fluctuations in visual cortical activity and perception, without 199 any change in intra-cortical E/I ratio. Three observations rule out this concern. 200 First, there was no significant increase during atomoxetine compared to placebo 201 in any of five different eye movement parameters measured here (Fig S2). 202 Second, none of the eye movement parameters correlated significantly with the 203 perceptual alternation rate (Fig S2). Third, and most importantly, the effect of 204 atomoxetine on the perceptual dynamics was also significant after removing (via 205 linear regression) the individual eye movement parameters (Fig 3B).

206 In sum, the psychophysical results are consistent with an atomoxetine-207 induced increase in the net E/I ratio. This change should have occurred in cortical

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208 circuits within the dorsal visual stream that govern the perceptual dynamics of209 ambiguous structure-from-motion signals (36).

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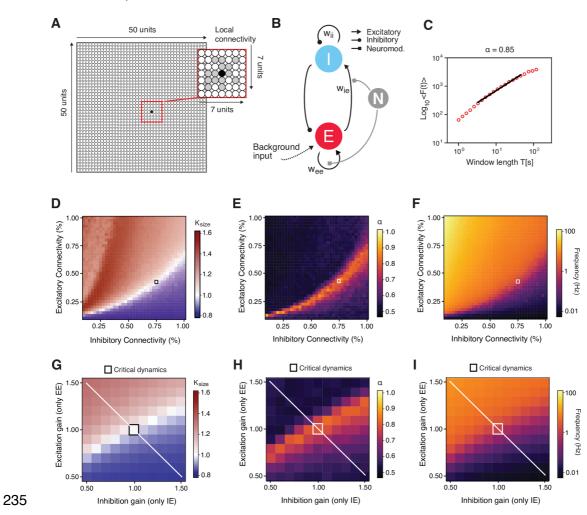
211 Effects of synaptic gain modulation on scaling behavior in a cortical circuit

212 model

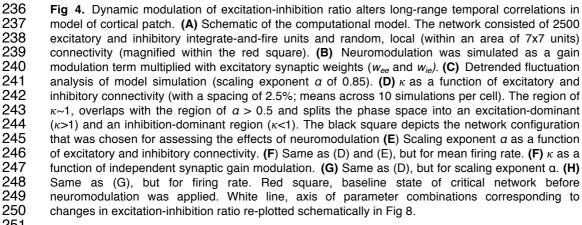
We used the temporal correlation structure of fluctuations in cortical activity as a 213 214 separate read-out of changes on cortical E/I ratio, guided by simulations of 215 cortical circuit models under neuromodulation. The models of bistable perception 216 discussed above are sufficient for generating perceptual time courses, but are not 217 sufficiently realistic to generate the features of cortical mass activity evident in 218 physiological recordings of local field potentials or MEG signals (e.g., alpha-band 219 oscillations, scale-free amplitude envelope fluctuations). We used a more 220 complex cortical circuit model that does exhibit these features (15) as a starting 221 point for our modeling work (Fig 4). The model has previously been used to show 222 that scale-free intrinsic fluctuations in cortical activity are highly sensitive to 223 variations in the structural E/I ratio (i.e., the percentage of excitatory and 224 inhibitory connections) in the circuit (15). This model accounts for the joint 225 emergence of two empirically established scale-free behaviors, which we 226 reproduced: (i) neuronal avalanches, activity patterns propagating through the 227 network as evident in recordings from microelectrode arrays, with an event size 228 distribution following a power-law (37); and (ii) long-range temporal correlations of the amplitude envelope fluctuations of the model's local field potential, which 229 230 we assessed empirically through MEG recordings. The power-law scaling of 231 avalanche size distribution was quantified in terms of the kappa-index, which 232 quantifies the similarity between the measured avalanche size distribution and a

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theoretical power-law distribution with an exponent of -1.5 (38); a kappa index of



1 indicates perfect match between the two.



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The two phenomena unfold on different scales of spatial resolution (single

253 neurons vs. mass activity summed across neurons) and different temporal scales

254 (tens of milliseconds vs. several hundred seconds). Yet, both phenomena have

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255	been found to emerge at the same ratio between structural excitatory and	
256	inhibitory connectivity (15), and we replicated this finding here (Fig 4D-F).	

257 Critically, we extended this model with a modulatory mechanism in order to assess the impact of dynamic, multiplicative changes in cortical E/I ratio that 258 might result from catecholamines or acetylcholine. We first determined the 259 260 structural connectivity (small squares in Fig 4D-F) and the time scale parameters 261 such that the network generated intrinsic alpha-band oscillations with amplitude 262 fluctuations that exhibited robust long-range temporal correlations (with $\alpha \sim 0.85$, Fig 4C), as well as neuronal avalanches with scale-free size distributions 263 264 (Materials and Methods). We then independently modulated synaptic connections 265 through multiplicative scaling of the weights (as illustrated in Fig 4B).

Two separate versions of the synaptic gain modulation yielded 266 qualitatively similar effects. In the first version shown in Fig 4, we modulated only 267 excitatory synapses, but independently on excitatory as well as inhibitory neurons 268 269 (EE and IE), thus producing asymmetries in the circuits net E/I ratio as in recent 270 modeling work on the effects of E/I ratio on a cortical circuit for perceptual 271 decision-making (18). In the second version (Fig S3A), we co-modulated EE and 272 IE and independently modulated inhibitory synapses on excitatory neurons (EI). 273 This was intended to simulate modulations of the GABA receptors in the former 274 case (mediating the effects of inhibitory neurons on others), as opposed (AMPA 275 or NMDA) glutamate receptors in both of the latter two cases (mediating the effects of excitatory neurons on others). N_{EE} and N_{IE} were co-modulated by the 276 277 same factor for simplicity, because we did not assume that excitatory 278 (glutamatergic) synapses would be differentially modulated depending on whether they were situated on excitatory or inhibitory target neurons. 279

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In both versions of the model, changes in net E/I ratio altered κ (Fig 4G and Fig S3B) as well as the scaling exponent α (Fig 4H and Fig S3C) and mean firing rate (Fig 4I and Fig S3D). Importantly, the effect of changes in E/I ratio on the scaling exponent α were non-monotonic, dependent on the starting point: increases in excitation led to increases in α when starting from an inhibitiondominant point, but to decreases in α when starting from an excitation-dominant point (Fig 4G-I, white line).

287 The effects of excitatory and inhibitory gain modulation on the temporal correlation structure of the simulated activity were qualitatively similar to the 288 289 effects of (structural) changes in the fraction of excitatory and inhibitory synapses 290 simulated (as shown in Fig 4D-F). We conceptualize the latter as simulations of 291 individual differences in cortical anatomical microstructure, and the former as simulations of within-subject, state-dependent changes in cortical dynamics, 292 293 which are the focus of the current study. The new simulation results provided a 294 solid foundation for the interpretation of the pharmacological effects on 295 fluctuations of alpha-band amplitude envelope signals in human MEG data, 296 described next.

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298 Atomoxetine, not donepezil, increases the scaling exponent of cortical 299 activity

We found a subtle, but robust and highly consistent increase in the scaling exponent α of fluctuations in human MEG under atomoxetine, but not donepezil (Fig 5 and Fig 6). We focused our analyses on amplitude envelope fluctuations in the 8–12 Hz frequency range ("alpha band"), for two reasons. First, as expected from previous work (39), the cortical power spectra exhibited a clearly discernible in this frequency range, which robustly modulated with task conditions

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306 (suppressed under Task-counting, Fig 1C). Second, the parameters of the above

307 model were tuned to produce oscillations in the same range (see above and (15)).

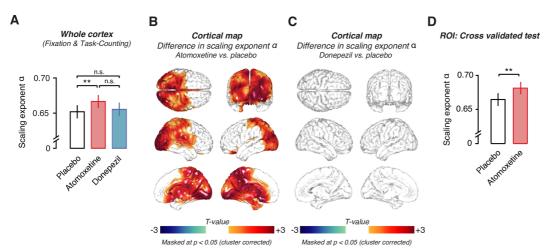


Fig 5. Scaling exponent a for the pharmacological conditions, pooled across Fixation and Taskcounting conditions. **(A)** Mean scaling exponent across all voxels (N = 3000) for all three pharmacological conditions. Compared to placebo, the exponent exhibits a significant increase under atomoxetine, but not under donepezil. **(B, C)** Spatial distributions of drug-induced changes (threshold: at p = 0.05, two-sided cluster-based permutation test). **(B)** atomoxetine vs. placebo; **(C)** donepezil vs. placebo. **(D)** Cross-validation approach, see Results for details.

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317 The average scaling exponent across cortical patches and participants 318 during Fixation (placebo only) was $\alpha = 0.67$ ($\sigma = 0.09$) and during Task-counting 319 (placebo only) $\alpha = 0.64$ ($\sigma = 0.07$), indicative of robust long-range temporal 320 correlations during both behavioral contexts. Averaged across all cortical voxels 321 and across Fixation and Task-counting conditions, there was a highly significant 322 increase in α (*p* = 0.0068; *t* = 2.93; paired t-test) under atomoxetine (α = 0.67, σ 323 = 0.05), compared to placebo (α = 0.65, σ = 0.05; Fig 5A). There was no 324 evidence for any effect of donepezil ($\alpha = 0.66, \sigma = 0.05$) compared to placebo (p 325 = 0.50; t = 0.68; bf = 0.68; paired t-test; Fig 5A). The increase in scaling exponent α under atomoxetine was widespread, but not homogenous across cortex, 326 327 comprising occipital and posterior parietal as well as a number of cortical regions 328 in the midline (Fig 5B, p = 0.0022; cluster-based permutation test).

The atomoxetine effect was, although subtle, highly reproducible across runs. We tested this using a cross-validation approach. We first obtained a set of voxels that were significantly increased under atomoxetine compared to placebo

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(paired t-test, p < 0.05) during run 1 (averaged across the two behavioral contexts, Fixation and Task-counting). Next, we extracted the average scaling exponents across subjects for both conditions (atomoxetine and placebo) from run 2. We repeated the procedure with a set of voxels obtained from run 2 and extracted the scaling exponents from run 1. This unbiased approach reveals a highly significant increase in scaling exponent α after the administration of atomoxetine compared to placebo (p = 0.0023; t = 3.365; Fig 5D).

339 Repeating the spatial comparison separately for Fixation and Taskcounting yielded significant effects of atomoxetine on α during both behavioral 340 341 contexts (Fig 6A, Fixation: p = 0.0245; Fig 6B, Task-counting: p = 0.0035; cluster-342 based permutation test). The significant atomoxetine effects occurred in largely overlapping posterior cortical regions (Fig 6C). Conversely, we found no evidence 343 for a significant interaction between the effects of atomoxetine and task anywhere 344 345 in cortex: A direct comparison of the atomoxetine vs. placebo contrast maps 346 between Fixation and Task-counting yielded no significant clusters (p > 0.081 for 347 all clusters; cluster-based permutation test). Taken together, these results 348 indicate that the effects of atomoxetine were largely independent of sensory drive 349 and behavioral context.

350

By contrast, we found no significant effect of donepezil on α in any cortical region (p > 0.22 for all clusters; cluster-based permutation test; Fig 5C). Further, no effects were evident for donepezil, when splitting by task conditions (Fig S4). The control analyses presented below establish clear effects of donepezil on both cortical activity as well as markers of peripheral nervous system activity, thus ruling out concerns that the drug may have been less effective overall than atomoxetine (see Discussion).

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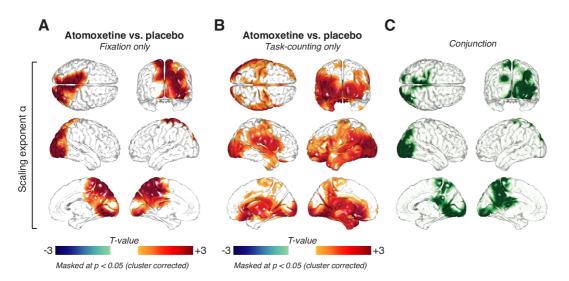


Fig 6. Atomoxetine increases long-range temporal correlations irrespective of behavioral condition.
 Spatial distribution of the atomoxetine-induced changes in scaling exponent α during (A) Fixation
 and (B) Task-counting. (C) Conjunction of maps in (A) and (B), highlighting (in green) voxels with
 significant increases in both conditions.

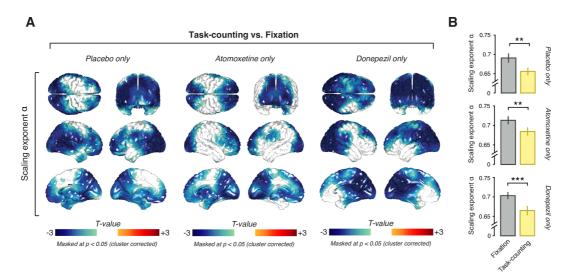
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365 Decreased scaling exponent of cortical activity during Task-counting

366 The cortex-wide scaling exponent α was significantly larger during Fixation than 367 during Task-counting (p = 0.0062; t = 2.97; paired t-test; placebo condition only). 368 This difference was significant across large parts of cortex (p < 0.05; clusterbased permutation test; Fig 7A). The task-related decrease was also observed 369 370 consistently across all pharmacological conditions (Fig 7A). Importantly, the 371 regions exhibiting significant decreases during Task-counting included the 372 occipital and parietal regions that were driven by the moving stimulus and 373 exhibited atomoxetine-induced changes in scaling behavior. Indeed, when testing for the task-dependent change in scaling exponent specifically in those regions 374 375 showing a significant atomoxetine effect, the reduction during Task-counting was 376 also highly significant (Fig 7B).

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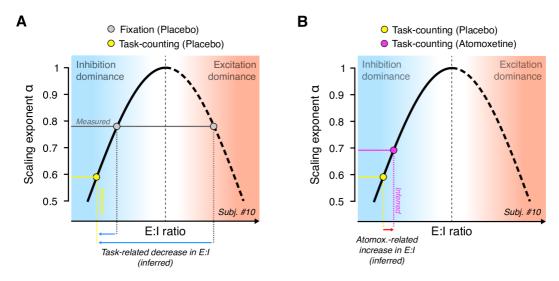
Fig 7. Decreased long-range temporal correlations under Task-counting (A) Difference in scaling
exponent α between Task-counting and Fixation. *Left:* Contrast only for Placebo condition. *Middle*.
Contrast only for Atomoxetine condition. *Right.* Contrast only for Donepezil condition. (B) Scaling
exponent α for Fixation (purple) and Task-counting (yellow) conditions, averaged across voxels
comprising the conjunction cluster depicted in Fig. 6C for placebo only (*Top*), atomoxetine only
(*Middle*) and donepezil only (*Bottom*).

386 Change in scaling exponent under atomoxetine is consistent with increase 387 in net cortical E/I ratio

388 In our model, the scaling exponent α exhibited a non-monotonic dependence on 389 excitation-inhibition ratio (see the white diagonal line in Fig 4G-I and schematic 390 depiction in Fig 8). Consequently, without knowing the baseline state, any change 391 in α is ambiguous with respect to the direction of the change in E/I ratio (i.e., 392 towards excitation- or inhibition-dominance). Thus, the observed increase in α 393 under atomoxetine during Fixation could have been due to either an increase or a 394 decrease in E/I ratio. However, recent insights into the changes in visual cortical 395 E/I ratio during sensory drive in rodents help constrain the baseline state during 396 the Task-counting condition: In the awake state, counter-intuitively, sensory drive 397 decreases E/I ratio in primary visual cortex (33,34). Assuming that the same 398 holds in human cortex during the Task-counting condition this insight enabled us 399 to infer the change in net cortical E/I ratio induced by atomoxetine during Task-400 counting.

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The rationale is illustrated in Fig 8. The observed decrease in α during 401 402 Task-counting compared to Fixation (Fig 7A) was likely due to a shift towards 403 inhibition-dominance (yellow point in Fig 8A). Then, the atomoxetine-induced 404 increase in α during this condition was likely due to an increase in net E/I ratio 405 during Task-counting (Fig 8B) – the same conclusion inferred from the increase 406 in the rate of perceptual alternations above. Because the effects of atomoxetine 407 on α were the same during Task-counting and Fixation, it is likely that the same 408 mechanism was at play during Fixation, where the baseline state was unknown.



409 410

411 Fig 8. Inferring net E/I ratio from changes in scaling exponent a. Schematic illustration of the 412 inference from observed change in exponent to (hidden) change in net E:I ratio (see main text for 413 details). The non-monotonic dependence of scaling exponent α on E:I ratio (white line in Fig 4H) is 414 replotted schematically. (A) The measured scaling exponent a during Fixation (gray) can result 415 from both, inhibition- or excitation-dominant regimes; the baseline is unknown. We assume that 416 external drive (Task-counting; yellow dot) does not increase E:I ratio (Shadlen & Newsome, 1998). 417 Thus, the observed decrease in scaling exponent during Task-counting (yellow) must reflect a shift 418 towards the inhibition-dominance (blue arrows), consistent with animal physiology (34). (B) This 419 constrains the baseline state for the interpretation of the atomoxetine-induced increase in scaling 420 exponent during Task-counting (red): The latter increase likely reflects an increase in E:I ratio (red 421 arrow). 422

423 Distinct, or absent, drug effects on other features of cortical dynamics

The absence of a consistent change in the scaling behavior of cortical activity fluctuations under donepezil (Fig 5C) was not simply due to a lack of effect on cortical dynamics per se. During Fixation, atomoxetine and donepezil both significantly reduced MEG power in the 8-12 Hz range, relative to placebo, in

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428 posterior cortical regions (Fig 9 A/B; p < 0.05 for all clusters; two-sided cluster-429 based permutation test). This suppression in cortical 8-12 Hz power due to both 430 catecholamines and acetylcholine during Fixation is largely consistent with 431 previous pharmacological work (30,40), as well as with correlations of cortical 432 activity with pupil diameter (41–44), a marker of neuromodulatory brainstem 433 activity underlying the release of noradrenaline and, to some extent, acetylcholine 434 (45–48).

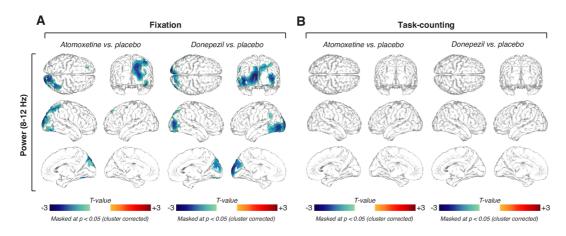


Fig 9. Similar effects of atomoxetine and donepezil on 8-12 Hz power. **(A)** Spatial distribution of drug-related alpha power changes during Fixation, thresholded at p = 0.05 (two-sided cluster-based permutation test). *Left.* Power changes after the administration of atomoxetine. *Right.* Power changes after the administration of donepezil. **(B)** Same as (A), but for Task-counting.

435

The atomoxetine-induced changes on 8-12 Hz power during Fixation had a different spatial pattern than those of the atomoxetine-induced changes in the scaling exponent α : within the cluster of the significant main effect of atomoxetine on α , power did not significantly correlate with the changes in α (group average spatial correlation between pooled difference maps within cluster; r = 0.073; p =0.129, bf = 1.065).

During Task-counting, neither drug significantly altered MEG-power (Fig 9B, p > 0.05 for all clusters; two-sided cluster-based permutation test), presumably due to the already suppressed power in the 8-12 Hz range in that condition.

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In sum, the effects of the drugs on cortical power during both conditions showed that both were, at the dosages selected for our study, were equally effective on cortical dynamics, consistently suppressing the power of lowfrequency oscillations during Fixation. This, as well as the lack of spatial correlation of the atomoxetine-induced effects on power and scaling exponent α further supports the specificity of the atomoxetine effect on cortical scaling behavior.

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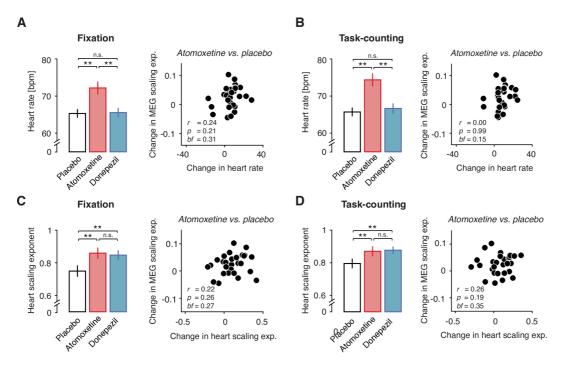
459 Atomoxetine effect on fluctuations in cortical activity is not due peripheral

460 confounds

461 We also controlled for changes in peripheral physiological signals under the drugs as potential confounds of the effect on cortical scaling behavior (Fig 10). As 462 expected, atomoxetine increased average heart rate (Fig 10A,B). Donepezil had 463 no significant effect on average heart rate, during neither Fixation (p = 0.8676; t =464 465 0.16; paired t-test; bf = 0.8676; Fig 10A) nor Task-counting (p = 0.3274; t = 1.0; paired t-test; bf = 0.3139; Fig 10B). Both drugs, however, significantly altered 466 467 heart rate scaling behavior, increasing the scaling exponent α (computed on inter-heartbeat-interval time series, see Methods) in both behavioral contexts 468 469 (Fixation/atomoxetine: p = 0.0012, t = 3.62; Task-counting/atomoxetine: p =470 0.0167; t = 2.55; Fig 10C; Fixation/donepezil: p = 0.0076, t = 2.88; Task-471 counting/donepezil: p = 0.0049, t = 3.06; Fig 10D; all paired t-tests). Critically, the 472 atomoxetine-induced changes in heart rate showed no (Task-counting: r = 0.00; p 473 = 0.99; Person correlation; bf = 0.15) or only weak and statistically non-significant 474 (Fixation: r = 0.24; p = 0.21; Person correlation; bf = 0.31) correlations with the changes in cortical activity (Fig 10A/B, right). Similarly, the atomoxetine-related 475 changes in the scaling behavior of inter-heartbeat intervals were only weakly (and 476

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- 477 not significantly) correlated with the changes in cortical scaling behavior (Fixation:
- 478 r = 0.22; p = 0.26; bf = 0.27; Task-counting: r = 0.26; p = 0.19; bf = 0.35; Fig
- 479 10C/D, right).



480

481 Fig 10. Drug effect on cortical scaling behavior is not explained by systemic drug effects. (A) Left. Heart rate for atomoxetine, placebo and donepezil during Fixation. Right. Correlation of 482 483 atomoxetine-related changes in heart rate (x-axis) with atomoxetine-related changes in MEG 484 scaling exponent a (y-axis) (within significant cluster during Fixation). (B) As (A), but during Task-485 counting (C) Right. Scaling behavior of inter-heartbeat intervals (heart scaling exponent). Left. 486 Heart scaling exponent for all pharmacological conditions during Fixation. Right. Correlation of 487 atomoxetine-related changes in heart scaling exponent (x-axis) with atomoxetine-related changes 488 in MEG scaling exponent a (y-axis). (D) Same as (C), but during Task-counting. 489

490 Atomoxetine, but not donepezil, significantly decreased spontaneous blink 491 rate during Fixation (p = 0.034; t = 2.24; paired t-test), but not during Task-492 counting (p = 0.112; t = 1.645; bf = 1.130; paired t-test; Fig S2B). However, again 493 there was no significant correlation between changes in blink-rate and changes in 494 cortical scaling behavior due to atomoxetine (Fixation: r = -0.26; p = 0.19; bf =495 0.35; Task-counting: r = -0.09; p = 0.64; bf = 0.16). 496 In sum, drug-induced changes in peripheral physiological signals under 497 the drugs, if present, did not account for the atomoxetine-induced changes in the 498 scaling behavior of the fluctuations in cortical activity (Figs 5 and 6). These

499 controls support our interpretation in terms of a specific effect on cortical net E/I

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ratio rather than non-specific secondary effects due to the systemic drug effectsor changes in retinal input due to blinks.

502

503 **DISCUSSION**

504 Cortical circuits maintain a tight balance between excitation and inhibition. The 505 E/I ratio shapes the computational properties of cortical neurons and circuits (49), 506 and thereby the behavior of the organism (18–20). Deviations from this balance 507 have been linked to schizophrenia and autism and might also be at play in 508 various other neuropsychiatric disorders (50-53). Even in the absence of 509 changes in sensory input, the ratio between excitation and inhibition changes 510 continuously in cortex (17,54), presumably due to the effects of neuromodulators. 511 such as noradrenaline and acetylcholine (20,27-29,55,56). Neuromodulators also 512 regulate ongoing changes in the operating mode of behavior (23,25,57,58). Here, 513 we unraveled the effect of neuromodulatory-controlled microcircuit level changes 514 on the net cortical E/I ratio, as manifest in perception and behavior as well as in 515 local cortical population dynamics. Catecholamines, but not acetylcholine, altered 516 both, the dynamics of perceptual inference in the face of ambiguous input, and 517 intrinsic fluctuations in cortical activity. Both effects provided independent and 518 convergent evidence for an increase in E/I ratio due to catecholamines.

519

520 **Convergent evidence for catecholaminergic disinhibition in cortical circuits**

521 Our simulations indicated that the long-range temporal correlation of neural 522 population activity, as measured with the scaling exponent α , was highly 523 sensitive to changes in E/I ratio, produced through different regimes of 524 asymmetric synaptic gain modulation (see the white line in Fig 4H). In both 525 versions of our model, the neuromodulatory effects were not perfectly symmetric

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(see the deviations of peak scaling exponents from main diagonal in Fig 4H).
While the latter effect was small and may be specific to the particular details of
the model, it remains possible that the subtle changes in scaling exponents we
observed were produced through symmetric gain modulations that maintained
the net E/I balance (i.e., along the main diagonal). However, two additional lines
of evidence converge on our conclusion that catecholamines (in particular
noradrenaline) boosted the cortical E/I ratio.

The first line of evidence is the specific and consistent effect of the cathecolaminergic manipulation on perceptual switch rate in same group of participants. Building on a well-documented link between the volatility of perceptual inference on cortical net E/I-balance (21,31,32), this behavioral effect sits well with the notion of an effective net disinhibition in the circuits of visual cortex that determine the dynamics of perceptual inference in the face of ambiguous motion signals.

540 Second, a mounting body of evidence from recent invasive rodent work 541 also supports an overall increase in net cortical E/I ratio due to catecholamines, 542 specifically noradrenaline (17). One study established that noradrenaline 543 decreases tonic, ongoing inhibition of neurons in auditory cortex, with the excitatory inputs unaffected (56). Another study showed that noradrenaline (but 544 545 not acetylcholine) mediated a locomotion-related, tonic depolarization of visual 546 cortical neurons (including pyramidal cells) (27). Both studies indicated a non-547 selective (i.e. broadband) gain increase of neuronal responses, irrespective of the features of presented stimuli, which is different from the more subtle disinhibitory 548 549 effects of acetylcholine (17,55).

550

551 **Cortical distribution of catecholaminergic effects on activity fluctuations**

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The atomoxetine effects on the scaling exponent were widespread across cortex, 552 553 but not entirely homogenous. They were pronounced across occipital and parietal 554 cortex, but not robust in frontal cortex (see Fig 5B). This distribution might point to 555 a noradrenergic, rather than dopaminergic origin. Atomoxetine increases the levels of both catecholamines, noradrenaline and dopamine (59), but the 556 557 dopaminergic system mainly projects to prefrontal cortex (60) but only sparsely 558 projects to occipital areas (61), whereas the noradrenergic projections are more 559 widespread and strong to occipito-parietal cortex (62). Alternatively, this distribution may reflect the different receptor composition of across cortical 560 561 regions (63,64): The relative frequency of different adrenoceptors (α 1-, α 2 or β -562 adrenoceptor) differs strongly between frontal and posterior cortex, which, in turn, can result in distinct effects of noradrenaline on the dynamics of neural activity in 563 these different cortical regions (63), in particular persistent activity. Future studies 564 565 should investigate whether the observed differences of noradrenergic effects on 566 long-range temporal correlations in cortical activity are due to these differences in 567 adrenoceptor composition across cortex.

568

569 No evidence for cholinergic effects on net E/I ratio

570 In contrast to atomoxetine, we observed no robust effect of increased acetylcholine levels on cortical long-range temporal correlations. This absence of 571 572 an effect was unlikely due to an ineffective pharmacological manipulation through 573 donepezil: the latter had equally strong effects as atomoxetine on alpha-band 574 power in some cortical regions, as well as on heart rate variability. Rather, the absence of robust donepezil effects might reflect specific properties of cholinergic 575 action, which may leave the cortical net excitation-inhibition ratio largely 576 unchanged. Substantial evidence points to the rapid disinhibition of (excitatory) 577

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pyramidal cells by acetylcholine, by activating a circuit made up of a chain of two 578 579 inhibitory interneurons (VIP+ and SOM+) (28,65,66). The cholinergic activation of 580 this disinhibitory circuit would be expected to shift the net excitation-inhibition 581 ratio towards excitation, just as we inferred for catecholamines. However, this 582 disinhibitory circuit seems to mainly affect transient, stimulus-evoked responses 583 (55), whereas noradrenaline also alters the tonic levels of inhibition (56). This 584 may explain the relative lack of donepezil effects during the steady-state 585 conditions (blank fixation and continuous task drive) employed in our present study. In general, cholinergically mediated disinhibitory effects on cortical 586 587 neurons might be subtler as well as more selective than the ones mediated by 588 noradrenaline (17).

589

590 Decrease of long-range temporal correlations during task and sensory 591 drive

592 Consistent with our current results, previous studies also found a decrease in 593 temporal autocorrelations of cortical activity due to external drive, even during 594 intermittent presentation of stimuli and tasks, entailing more external transients 595 than the steady-state task condition used here (8,67). The observation is 596 consistent with the insight from intracellular recordings of cortical neurons in 597 animals, that cortical responses to sensory stimulation in the awake state are 598 dominated by inhibition (33,34). One candidate source of this sensory-driven 599 state change is thalamocortical inhibition (68), but intracortical feedback inhibition 600 might also contribute (69).

601 Simulations of large-scale biophysical models of cortical networks show 602 that the driven state is associated with shortened temporal autocorrelations as 603 well as a decrease in the entropy of activity states in the network (70).

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604 Correspondingly, the increase in long-range temporal autocorrelations under 605 catecholaminergic modulation observed presently may be associated with an 606 increase in entropy, in other words, a tendency of the cortex to explore a larger 607 set of activity states. This greater exploration of cortical state space may in turn 608 be linked to a prominent idea about the function of noradrenaline, which 609 postulates that high tonic noradrenaline levels promote exploratory, and more 610 distractible, behavior (23).

611

612 Functional consequences of changes in net cortical E/I ratio

We observed a selective increase in the rate of spontaneous perceptual alternations under catecholaminergic but not cholinergic boost, adding to evidence that these dynamics are under neuromodulatory control (71). Such a change could be due to an increase in cortical "noise" defined as the amplitude of spontaneous fluctuations in activity (31). Future invasive studies should relate chatecholaminergic changes in the variability of spiking activity (72) to bistable perception.

620 The selective increase of perceptual alternation rate under atomoxetine is 621 consistent with the relative decrease of intra-cortical inhibition (21) that was also 622 inferred from the changes in the long-range temporal correlation structure of 623 cortical activity. A net increase in excitation will likely have particularly strong 624 effects on the dynamics of parietal and prefrontal cortical circuits involved in 625 working memory and decision-making (19). These circuits are characterized by 626 slow intrinsic fluctuations of activity (73-75). The catecholaminergic increase in 627 long-range temporal correlations of intrinsic activity fluctuations in parietal circuits that we observed in the current study may reflect a relative increase specifically 628 629 in the recurrent excitation in 'accumulator' circuits. Recurrent excitation, in turn, is

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essential for both the computational capacities (76) as well as the timescale of intrinsic activity fluctuations of these circuits (74,75). Simulations of synaptic gain modulation of such 'accumulator' circuits indicate that the most robust behavior emerges from co-modulation of both excitatory and inhibitory synapses, but with different factors (20). It will be important to test these predictions in future work, using tasks tailored to probing into these circuits of association cortex.

636

637 Catecholamines: a control parameter for critical network dynamics

Long-range temporal correlations in the fluctuations of neural mass activity (i.e., activity summed across the entire local network) (7) and avalanches within the neuronal network (37) jointly emerge at the same ratio between excitatory and inhibitory connectivity in the simplified cortical patch model used here. Both phenomena, long-range temporal correlations and neuronal avalanches, are commonly interpreted as hallmarks of "criticality" (7,10,37,77). Criticality refers to a complex dynamical system poised between order and chaos (78–80).

645 The cortex might operate in a narrow regime around this critical point 646 (80,81). This operating mode, in turn, might yield computational modes superior 647 to those of the "sub-" or "supercritical" modes (38,77,82-84). A number of recent 648 reports have indicated that cortical dynamics may fluctuate around the critical 649 state (85-88), but these fluctuations have, so far, been spontaneous. Here, we 650 identified two key factors (task drive and catecholaminergic neuromodulation) to 651 bring these changes under experimental control. Complex systems can selforganize towards criticality (78), e.g., through plasticity and/or feedback 652 653 connections. However, critical dynamics can also be achieved through an 654 external control parameter that fine-tunes the system. The tuning of temperature

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655 in the Ising model of spin magnetization is a common example (80).656 Noradrenaline may serve as such a control parameter in the cerebral cortex.

In sum, combining measurements of perceptual dynamics as well as intrinsic fluctuations in cortical population activity under steady-state perceptually ambiguous stimulation provides a novel non-invasive read-out of pharmacological effects on cortical net E/I ratio in humans. This read-out might be useful for addressing fundamental questions about the state dependence of cortical computation and for inferring changes in cortical E/I ratio in neuropsychiatric disorders, or pharmacological treatments of these disorders.

664

665 **METHODS**

666 Pharmacological MEG experiment

667 Participants

30 healthy human participants (16 females, age range 20-36, mean 26.7) participated in the study after informed consent. The study was approved by the Ethical Committee responsible for the University Medical Center Hamburg-Eppendorf. Two participants were excluded from analyses, one due to excessive MEG artifacts, the other due to not completing all 3 recording sessions. Thus, we report results from N=28 participants (15 females).

674

675 General design

We pharmacologically manipulated the levels of catecholamines (noradrenaline and dopamine) and acetylcholine in a double-blind, randomized, placebocontrolled, and cross-over experimental design (Fig 1A, B). Each participant completed three experimental sessions, consisting of drug (or placebo) intake at two time points, a waiting period of 3 hours, and an MEG recording. During each

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MEG session, participants were seated on a chair inside a magnetically shielded
MEG chamber. Each session consisted of 6 runs of different tasks, each of which
was 10 minutes long and followed by breaks of variable duration.

684

685 Pharmacological intervention

We used the selective noradrenaline reuptake inhibitor atomoxetine (dose: 40 686 687 mg) to boost the levels of catecholamines, specifically noradrenaline and (in 688 prefrontal cortex) dopamine (59). We used the cholinesterase inhibitor donepezil 689 (dose: 5 mg) to boost acetylcholine levels. A mannitol-aerosil mixture was 690 administered as placebo. All substances were encapsulated identically in order to 691 render them visually indistinguishable. Peak plasma concentration are reached ~3-4 hours after administration for donepezil (89) and 1-2 hours after 692 administration for atomoxetine (90), respectively. We adopted the following 693 procedure to account for these different pharmacokinetics (Fig 1A): participants 694 695 received two pills in each session, one 3 h and another 1.5 h before the start of MEG recording. In the Atomoxetine condition, they first received a placebo pill (t 696 697 = -3 h) followed by the atomoxetine pill (t = -1.5 h). In the Donepezil condition, they first received the donepezil pill (t = -3 h), followed by placebo (t = -1.5 h). In 698 699 the Placebo condition, they received a placebo at both time points. The half-life is 700 \sim 5 h for atomoxetine (90) and \sim 82 h for donepezil, respectively (89). In order to 701 allow plasma concentration levels to return to baseline, the three recording 702 sessions were scheduled at least 2 weeks apart. This design ensured maximum 703 efficacy of both pharmacological manipulations, while effectively blinding 704 participants as well as experimenters.

705

706 Stimuli and behavioral tasks

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707 In each session, participants alternated between three different task conditions (2 708 runs à 10 minutes per condition) referred to as Fixation, Task-counting, and 709 Task-pressing in the following (Fig 1B). All conditions entailed overall constant 710 sensory input. Fixation and Task-counting also entailed no overt motor responses 711 and are, therefore, referred to as "steady-state" conditions in the following. We 712 used these steady-state conditions to quantify intrinsic fluctuations in cortical 713 activity. Task-pressing entailed motor responses and was used for reliable 714 quantification of perceptual dynamics. All instructions and stimuli were projected 715 onto a screen (distance: 60 cm) inside the MEG chamber. The individual 716 conditions are described as follows.

Fixation. Participants were asked to keep their eyes open and fixate a green fixation dot (radius = 0.45° visual angle) presented in the center of an otherwise gray screen. This is analogous to eyes-open measurements of "resting-state" activity widely used in the literature on intrinsic cortical activity fluctuations.

722 Task-counting. Participants viewed a seemingly rotating sphere giving rise 723 to the kinetic depth effect (91,92): spontaneous changes in the perceived rotation 724 direction (Fig 1B). The stimulus subtended 21° of visual angle. It consisted of 725 1000 dots (500 black and 500 white dots, radius: 0.18° of visual angle) arranged 726 on a circular aperture presented on a mean-luminance gray background, with the 727 green fixation dot in the center. In order to minimize tracking eve movements, the sphere rotation was along the horizontal axis, either "forward" (towards the 728 729 observer) or "backward" (away from the observer), and the dot density decreased 730 along the horizontal axis towards the center of the stimulus. Participants were instructed to count the number of perceived changes in rotation direction and 731 732 report the total number of perceived transitions at the end of the run. Just like

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during Fixation, Task-counting minimized any external (sensory or motor)
transients. Subjects silently counted the alternations in perceived rotation
direction and verbally reported the total count after the end of the 10 min run.

Task-pressing. This condition was identical to Task-counting, except that 736 participants were instructed to press and hold one of two buttons with their index 737 738 finger to indicate the perceived rotation direction of the sphere. Thus, each 739 perceptual alternation was accompanied by a motor response leading to change 740 in the button state. This allowed for a more reliable quantification of participants' 741 perceptual dynamics. On two sessions (atomoxetine condition), button presses 742 were not registered. Hence, the corresponding analyses were performed on 26 743 participants.

744

745 Data acquisition

MEG was recorded using a whole-head CTF 275 MEG system (CTF Systems, Inc., Canada) at a sampling rate of 1200 Hz. In addition, eye movements and pupil diameter were recorded with an MEG-compatible EyeLink 1000 Long Range Mount system (SR Research, Osgoode, ON, Canada) at a sampling rate of 1000 Hz. In addition, electrocardiogram (ECG) as well as vertical, horizontal and radial EOG were acquired using Ag/AgCl electrodes (sampling rate 1200 Hz).

752

753 Data analysis

754 Eye data

Eye blinks were detected using the manufacturer's standard algorithm with default settings. Saccades and microsaccades were detected using the saccade detection algorithm described in (93), with a minimum saccade duration of 4

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samples (= 4 ms) and a threshold velocity of 6. For 18 out of 28 participants, only
horizontal eye movements were recorded.

760

761 EOG data

EOG events (blinks and saccades) were extracted using semi-automatic artifact procedures as implemented in FieldTrip (94). In short, EOG traces were bandpass filtered using a third-order butterworth filter (1 - 15 Hz) and the resulting signal was z-scored. All time points where the resulting signal exceeded a z-score of 4 were marked as an EOG event.

767

768 MEG data

Preprocessing, First, all data were cleaned of strong transient muscle artifacts 769 770 and squid jumps through visual inspection and manual as well as semi-automatic 771 artifact rejection procedures, as implemented in the FieldTrip toolbox for MATLAB 772 (94). To this end, data segments contaminated by such artifacts (+/- 500 ms) 773 were discarded from the data (across all channels). Subsequently, data were 774 downsampled to 400 Hz split into low (2-40 Hz) and high (>40 Hz) frequency 775 components, using a 4th order (low- or high-pass) Butterworth filter. Both signal 776 components were separately submitted to independent component analysis (95) using the FastICA algorithm (96). Artifactual components (eye blinks/movements, 777 778 muscle artifacts, heartbeat and other extra-cranial artifacts) were identified based on three established criteria (97): power spectrum, fluctuation in signal variance 779 780 over time (in bins of 1s length), and topography. Artifact components were 781 reconstructed and subtracted from the raw signal and low- and high frequencies were combined into a single data set. On average, 20 (+/- 14) artifact 782

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components were identified for the low frequencies and 13 (+/- 7) artifactual
components were identified for the high frequencies.

785

Spectral analysis. Sensor-level spectral estimates (power spectra and cross 786 787 spectral density matrices) were computed by means of the multi taper method 788 using a sequence of discrete prolate Slepian tapers (98). For the power spectrum 789 shown in Fig 1C, power spectra were computed using a window length of 5s and 790 a frequency smoothing of 2 Hz, yielding 19 orthogonal tapers. The focus of this 791 paper was on the fluctuations of the amplitude envelopes, rather than on the 792 (oscillatory) fluctuations of the carrier signals per se. The temporal correlation 793 structure of the amplitude envelope fluctuations of cortical activity seems similar across different carrier frequency bands (10). We focused on amplitude envelope 794 fluctuations in the alpha-band because (i) the cortical power spectra exhibited a 795 796 clearly discernible alpha-peak, which robustly modulated with task, as expected 797 from previous work (39) (Fig 1C); and (ii) the computational model used to study 798 the effect of synaptic gain modulation on cortical activity fluctuations was tuned to 799 produce alpha-band oscillations (see above and (15)).

800

801 Source reconstruction: general approach. The cleaned sensor level signals (N 802 sensors) were projected onto a grid consisting of M = 3000 voxels covering the 803 cortical surface (mean distance: 6.3 mm) using the exact low-resolution brain 804 electromagnetic tomography (eLORETA; (99) method. The magnetic leadfield 805 was computed, separately for each subject and session, using a single shell head 806 model constructed from the individual structural MRI scans and the head position relative to the MEG sensors at the beginning of the run (100). In case no MRI 807 was available (4 subjects), the leadfield was computed from a standard MNI 808

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template brain transformed to an estimate of the individual volume conductorusing the measured fiducials (located at the nasion, the left and the right ear).

811

812 Source level estimates of amplitude envelopes and power. For comparing 813 amplitude envelope and power estimates between experimental conditions in 814 source space we aimed to select a single direction of the spatial filter for each 815 voxel across pharmacological conditions (i.e., MEG sessions), but separately for 816 Fixation and Task-Counting conditions. The rationale was to avoid filter-induced 817 biases in the comparisons between the pharmacological conditions, while 818 allowing that external task drive might systematically change the dipole 819 orientations.

To this end, we first computed the mean source-level cross-spectral density matrix C(r, f) for each frequency band, f, averaged across the three MEG sessions, as follows:

823
$$C(r,f) = \frac{1}{3} \sum_{i=1}^{3} \left(A_i^T(r) C_i(f) A_i(r) \right)$$
(1)

whereby *i* indicated the MEG session, $C_i(f)$ was the (sensor-level) session- and frequency-specific cross-spectral density matrix and A_i is the spatial filter for session *i*. We then extracted the first eigenvector $u_1(r, f)$ of the session-average matrix C(r, f) and computed the unbiased filter selective for the dominant dipole orientation, $B_i(r, f)$, as:

829
$$B_i(r,f) = A_i(r)u_1(r,f)$$
 (2)

Please note that this filter was now frequency-specific, whereas the previous filters, $A_i(r)$, were not. To obtain instantaneous estimates of sourcelevel amplitudes, the sensor-level signal for session i, $X_i(t)$, was band-pass filtered (using a finite impulse response filter) and Hilbert-transformed, yielding a complex-valued signal $H_i(f,t)$ for each frequency band. This signal was

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835 projected into source space through multiplication with the unbiased spatial filter,

836 $B_i(r, f)$, and the absolute value was taken:

837
$$Env_i(r, f, t) = |(H_i(f, t) B_i(r, f))|$$
(3)

838 where $Env_i(r, f, t)$ was the estimated amplitude envelope time course of source 839 location *r* and frequency *f*. Next, for each session, unbiased source-level cross 840 spectral density estimates were obtained from the sensor-level cross-spectral 841 density matrix $C_i(f)$ and the frequency-specific, unbiased spatial filter $B_i(f)$. The 842 main diagonal of the resulting matrix contains source-level power estimates for all 843 source locations:

844
$$S_i(f) = diag(B_i^T(f)_i C_i(f) B_i(f))$$
(4)

These computations where repeated separately for the Task-counting and Fixation conditions, session by session. The differences in amplitude envelope fluctuations and power estimates between pharmacological and task conditions reported in this paper were robust with respect to the specifics of the analysis approach. In particular, we obtained qualitatively similar pharmacological effects in sensor space, as reported in an earlier conference abstract (101).

851

852 Detrended fluctuation analysis. The source-level amplitude envelopes 853 $Env_i(r, f, t)$ were submitted to detrended fluctuation analysis (102,103) in order 854 to quantify long-range temporal correlations. Detrended fluctuation analysis 855 quantifies the power law scaling of the fluctuation (root-mean-square) of a locally 856 detrended, cumulative signal with time-window length. Different from the analysis of the more widely known autocorrelation function (73,74), detrended fluctuation 857 858 analysis provides robust estimates of the autocorrelation structure for stationary 859 and non-stationary time series. The procedure of the detrended fluctuation 860 analysis is illustrated in Fig 2.

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For simplicity, in the following, we re-write the amplitude envelope $Env_i(r, f, t)$ as x of length T. First, we computed the cumulative sum of the demeaned x, (Fig 2B):

$$X(t) = \sum_{t'=1}^{t} (x(t') - \langle x \rangle)$$
(5)

where *t'* and *t* denote single time points up to length *T*. The cumulative signal *X* was then cut into $i = 1 \dots k$ segments Y_i of length *N* (overlap: 50%), where k = floor[(T - N)/(0.5 N)] (Fig 2B, top). Within each segment Y_i of equal length *N*, the linear trend Y_{i_trend} (least squares fit) was subtracted from Y_i (Fig 2B, bottom, blue vs. red lines), and the root-mean-square fluctuation for a given segment was computed as:

871
$$F_{N_{i}} = \left[\frac{1}{N} \sum_{n=1}^{N} (Y_{i}(n) - Y_{i_{t}trend}(n))^{2}\right]^{\frac{1}{2}}$$
(6)

where *n* indicates the individual time points. The fluctuation was computed for all*k* segments of equal length *N* and the average fluctuation was obtained through:

874
$$< F_N > = \frac{1}{k} \sum_{i=1}^k F_{N_i}$$
 (7)

The procedure was repeated for 15 different logarithmically spaced window lengths *N*, ranging from 3 s to 50 s, which yields a fluctuation function (Fig 2C). As expected for scale-free time series (103), this fluctuation function follows a power-law of the form:

$$(8)$$

880 The "scaling exponent" α was computed through a linear regression fit in log-log 881 coordinates (Fig 2C). The longest and shortest window lengths were chosen 882 according to guidelines provided in (103).

A scaling exponent of $\alpha \sim = 0.5$ indicates a temporally uncorrelated ("white noise") process. Scaling exponents between $0.5 < \alpha < 1$ are indicative of scalefree behavior and long-range temporal correlations (103), whereas exponents of $\alpha < 0.5$ indicate long-range anti-correlations ("switching behavior") and $\alpha > 1$ are

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indicative of an unbounded process (103). The scaling exponents for alpha-band
MEG amplitude envelopes estimated in this study ranged (across experimental
conditions, MEG sensors and participants) from 0.40 and 1.04, with 99.4% of all
estimates in the range from 0.5 to 1. This is indicative of scale-free behavior and
consistent with previous human MEG work (7–10,12,13).

892

893 Relationship between measures of cortical variability. Scale-free behavior of 894 neural time series has also been quantified via analysis of the power spectrum 895 (5,6,73). There is a straightforward relationship between both approaches, which 896 we explain below, to help appreciate our results in the context of these previous 897 studies. The power spectrum of the amplitude envelope of cortical activity is typically well approximated by the power law $p(f) \propto f^{-\beta}$, where β is referred to 898 899 as the power-law exponent (Fig 2D). For power-law decaying autocorrelations, 900 the relationship between the power-law exponent β and the scaling exponent α 901 (estimated through DFA) of a time series is:

902

 $\beta = 2\alpha - 1 \tag{9}$

903

Analysis of ECG data. ECG data were used to analyze two measures of 904 905 peripheral autonomic activity: average heart rate and heart rate variability. For 906 both measures, we used an adaptive threshold to detect the R-peak of each 907 QRS-complex in the ECG. Heart rate was then computed by dividing the total 908 number of R-components by time. Heart rate variability was quantified by means 909 of the detrended fluctuations analysis described for MEG above, but now applied 910 to the time series of the intervals between successive R-peaks (9,10). In line with 911 the MEG analyses, we used windows ranging from 3 to 50 heartbeats (roughly 912 corresponding to 3-50 s).

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913

914 Statistical tests

915 Statistical comparisons of all dependent variables between conditions were,

916 unless stated otherwise, performed using paired t-tests.

917 Null effects are difficult to interpret using regular null hypothesis 918 significance testing. The Bayes Factor addresses this problem by quantifying the 919 strength of the support for the null hypothesis over the alternative hypothesis 920 provided by the data, taking effect size into account. Wherever null effects were 921 conceptually important, results obtained from a regular (paired) t-test (104) and 922 Pearson correlations (105) were converted into corresponding Bayes Factors.

923 To map significant changes of scaling exponents α on the cortical surface, we computed a non-parametric permutation test based on spatial clustering 924 925 (106,107). This procedure has been shown to reliably control for Type I errors 926 arising from multiple comparisons. First, a paired t-test was performed to identify 927 voxels with significant changes (voxel with p < 0.05). Subsequently, significant 928 voxels are combined into clusters based on their spatial adjacency. Here, a voxel 929 was only included into a cluster when it had at least two significant neighbors. 930 Subsequently, the t-values of all voxels comprising a cluster were summed, 931 which yields a cluster statistic (i.e., a cluster t-value) for each identified cluster. 932 Next, a randomization null distribution was computed using a permutation 933 procedure (N = 10.000 permutations). On each permutation, the experimental labels (i.e., the pharmacological conditions) were randomly re-assigned within 934 935 participants and the aforementioned procedure was repeated. For each iteration, 936 the maximum cluster statistic was determined and a distribution of maximum cluster statistics was generated. Eventually, the cluster statistic of all empirical 937 clusters was compared to the values obtained from the permutation procedure. 938

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All voxels comprising a cluster with a cluster statistic smaller than 2.5% or larger than 97.5% of the permutation distribution were labeled significant, corresponding to a corrected threshold of $\alpha = 0.05$ (two-sided).

942

943 Model simulations

To simulate the effects of synaptic gain modulation on cortical activity fluctuations, 944 945 we extended a previously described computational model of a local cortical patch 946 (15) by means of multiplicative modulation of synaptic gain. All features of the model were identical to those of the model by (15), unless stated otherwise. The 947 948 model consisted of 2500 integrate-and-fire neurons (75% excitatory, 25% 949 inhibitory) with local connectivity within a square (width = 7 units) and a connection probability that decayed exponentially with distance (Fig 4A). The 950 951 dynamics of the units were governed by:

952
$$I_i = I_i + \sum_j N_{ij} W_{ij} S_j$$
(10)

953
$$\tau_i \frac{dI_i}{dt} = I_0 - I_i \tag{11}$$

where subscripts *i*, *j* indicated different units, N_{ij} was a multiplicative gain factor, W_{ij} were the connection weights between two units, and S_j a binary spiking vector representing whether unit *j* did or did not spike on the previous time step, and $I_0 = 0$. The connection weights were $W_{EE} = 0.0085$, $W_{IE} = 0.0085$, $W_{EI} =$ -0.569 and $W_{II} = -2$ whereby subscript *E* indicated excitatory, subscript *I* indicated inhibitory, and the first and second subscript referred to the receiving and sending unit, respectively.

961 On each time step (dt = 1 ms), I_i was updated for each unit *i*, with the 962 summed input from all other (connected) units *j* and scaled by a time constant 963 $\tau_i = 9 \text{ ms}$, which was the same for excitatory and inhibitory units. The probability 964 of a unit generating a spike output was given by:

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$$P_{si} = P_{si} + I_i \tag{12}$$

$$\tau_P \frac{dP_{si}}{dt} = P_0 - P_{si} \tag{13}$$

967 with the time constant for excitatory units $\tau_P = 6 ms$ and for inhibitory $\tau_P = 12 ms$. 968 P_0 was the background spiking probability, with $P_0(exc.) = 0.000001 [1/ms]$ and 969 $P_0(inh.) = 0 [1/ms]$. For each time step, it was determined whether a unit did or 970 did not spike. If it did, the probability of that unit spiking was reset to 971 $P_r(excitatory) = -2 [1/ms]$ and $P_r(inhibitory) = -20 [1/ms]$.

972 We used this model to analyze the dependency of two quantities on E/I 973 ratio: (i) the power-law scaling of the distributions of the sizes of neuronal 974 avalanches (37) estimated in terms of the kappa-index κ which quantifies the 975 difference between an empirically observed event size distribution and a 976 theoretical reference power-law distribution with a power-law exponent -1.5 (38), and (ii) the scaling behavior (scaling exponent α) of the amplitude envelope 977 fluctuations of the model's local field potential. To this end, we summed the 978 979 activity across all (excitatory and inhibitory) neurons to obtain a proxy of the local 980 field potential. We band-pass filtered the local field potential in the alpha-band (8-12 Hz) and computed long-range temporal correlations in the alpha-band 981 982 amplitude envelopes following the procedure described above (see Detrended 983 fluctuation analysis of MEG data), using windows sizes ranging from 5 s to 30 s. 984 For all simulations reported in this paper, we optimized the connection weights 985 using Bonesa, a parameter tuning algorithm (108), such that the network exhibited alpha-band oscillations, long-range temporal correlations, and neuronal 986 987 avalanches (see Discussion).

988 In order to assess the influence of structural excitatory and inhibitory 989 connectivity on network dynamics (Figs 4D-F), we varied the percentage of units 990 (excitatory and inhibitory) a given excitatory or inhibitory unit connects to within a

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991 local area (7 units x 7 units; Fig 4A). These percentages were varied992 independently for excitatory and inhibitory units with a step size of 2.5%.

993 The gain factor N_{ii} was the main difference to the model described by 994 (15). It was introduced to simulate the effects of neuromodulation on synaptic 995 interactions in the cortical network (20). With all the above parameters fixed 996 (42.5% excitatory connectivity, 75% inhibitory connectivity; small square in Figs 997 4D-F), we systematically varied the synaptic gain factors, in two different ways. In 998 the first version, we only varied N_{EE} and N_{IE} to dynamically modulate the circuit's 999 net E/I ratio (Fig 4B), in a way consistent with recent modeling of the effects of E/I 1000 ratio on a cortical circuit for perceptual decision-making (18). In the second version, we varied N_{EE} , N_{IE} , and N_{EI} (Fig S3A). Here, N_{EI} was modulated 1001 1002 independently from N_{EE} , and N_{IE} , which in turn were co-modulated by the same 1003 factor.

1004 Per parameter combination, we ran 10 simulations, using the Brian2 1005 spiking neural networks simulator (109). Each simulation was run for 1000 1006 seconds, with a random initialization of the network structure and the probabilistic 1007 spiking. In this paper, we focus on the effects of neuromodulation on the scaling 1008 exponent α , which served as a reference for interpretation of the MEG effects.

1009

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- 1021 Conceptualization: T.P., A.K.E., and T.H.D.; Experimental design: T.P. and
- 1022 T.H.D.; Model design: T.P., A-E.A., K.L-H., and T.H.D.; Investigation: T.P.;
- 1023 Formal analysis: T.P.; Model simulations: A.-E.A.; Writing Original draft: T.P.
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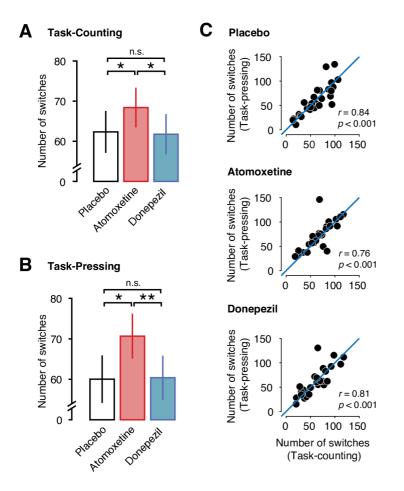
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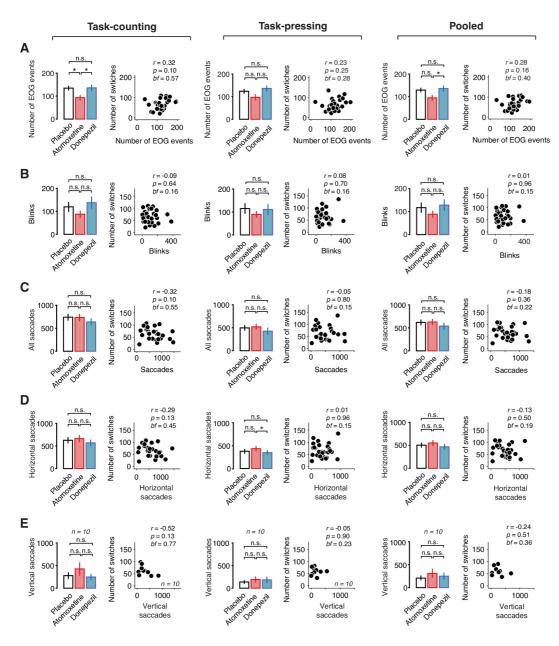
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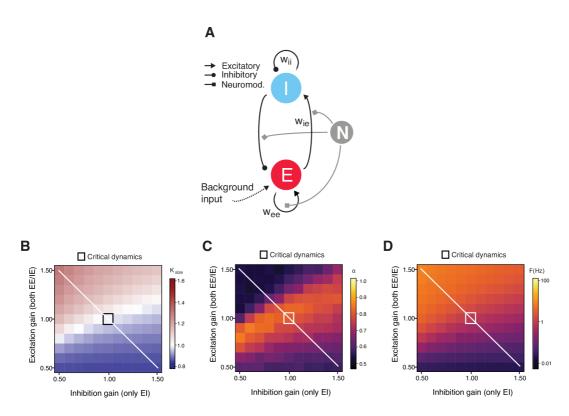
SUPPLEMENTARY FIGURES



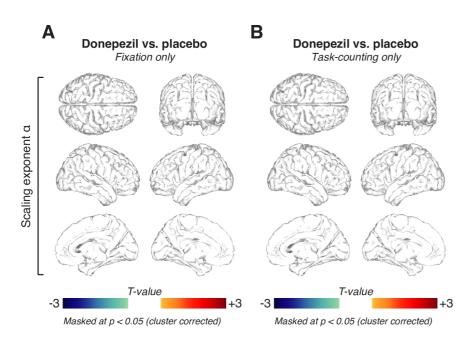
S1 Fig. Similar atomoxetine-related effects in both Task-counting and Task-resting conditions. **(A)** Number of perceptual alternations reported by the subjects per 10 min run for Task-counting condition. **(B)** Same as (A), but for Task-pressing condition. **(C)** Relation between the number of reported alternations during Task-counting (x-axis) and Task-pressing (y-axis). The blue line depicts a linear relation with slope 1 as a reference.



S2 Fig. Change in perceptual alternation rate is not due to change in blinks or fixational eye movements. (A) Number of EOG events for during Task-counting (left), Task-pressing (middle) and pooled across both conditions (right). Scatter plots depict the relation between the number of EOG events (x-axis) and the number of reported perceptual alternations (y-axis). (B) Same as (A), but for the number of detected eye blinks. (C) Same as (A) and (B), but for the number of saccades (horizontal and vertical). (D) Same as (C), but for horizontal saccades only. (E) Same as (D), but for vertical saccades only.



S3 Fig. Different version of modulation of E/I ratio in cortical patch model (A) Neuromodulation was simulated as a gain modulation term multiplied with excitatory (EE and IE) and/or inhibitory (EI only) synaptic weights. (B) κ as a function of excitatory and inhibitory connectivity (with a spacing of 2.5%; means across 10 simulations per cell). The region of κ ~1, overlaps with the region of a > 0.5 and splits the phase space into an excitation-dominant (κ >1) and an inhibition-dominant region (κ <1). (C) Same as (B), but for scaling exponent *a*. (D) Same as (B) and (C), but for firing rate.



S4 Fig. No donepezil-related changes in scaling exponent in neither behavioral contexts. **(A)** Spatial distribution of donepezil-induced changes in scaling exponent α during Fixation, thresholded at p = 0.05 (two-sided cluster-based permutation test). **(B)** As (A), but for Task-counting.