Title: On the bioconversion of dietary carotenoids to astaxanthin and its distribution in the

marine copepod, *Tigriopus californicus*

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Abstract

Astaxanthin is the dominant carotenoid pigment found in the marine copepod, *Tigriopus*

californicus (Baker), giving these animals orange-red coloration. Like all metazoans, *T.*

californicus must convert carotene or hydroxy-carotenoids present in their algal diet to

astaxanthin. Other astaxanthin-pigmented crustaceans have been shown to use a precursor-

specific bioconversion pathway to astaxanthin. The aims of this study were to demonstrate that

T. californicus bioconverts dietary carotenoids to astaxanthin. Prior to the experiments copepods

were maintained on a carotenoid-free diet on which they lost all carotenoid coloration. Copepods

were then fed one of four dietary carotenoids (β-carotene, lutein, zeaxanthin, or canthaxathin)

that are precursors to specific astaxanthin bioconversion pathways. We found that copepods from

each precursor pigment group produced astaxanthin, and that the amount produced depended on

which carotenoid was supplemented. We also describe the distribution of astaxanthin in

developing egg sacs and show that the red color of the naupliar eyespot is not due to astaxanthin.

1. Introduction

With few exceptions, animals cannot synthesize carotenoids de novo from basic

biological precursors (Britton and Goodwin, 1982). To use carotenoids as external colorants,

animals as diverse as flamingos and lobsters must obtain carotenoids from their diet (Cianci et

al., 2002; Fox and Hopkins, 1966). Animals with red coloration can derive red carotenoid

pigments via two distinct pathways: they can ingest yellow pigments and oxidize them to

produce red keto-carotenoids (Fig. 1) or they can ingest red pigments directly. For most

metazoans, yellow carotenoids are much more common components of diets and thus most

metazoans derive red coloration through the conversion of yellow dietary pigments (Brush,

1990; Goodwin, 1984). Despite the long history of research on the evolution and distribution of

carotenoid coloration across diverse taxa such as birds, fish, and crustaceans, an ideal model

system for studying the genetic and physiological mechanisms involved in carotenoid

metabolism in animals does not exist.

Recently, we have begun investigating the potential of the red marine copepod *Tigriopus*

californicus (Baker) to serve as a model system for the study of carotenoid physiology. Over the

past four decades, *Tigriopus* copepods have become model organisms for studies on

ecotoxicology (Raisuddin et al., 2007), phylogeography (Edmands, 2001), local adaptation

(Pereira et al., 2016), and mitochondrial-nuclear interactions (Ellison and Burton, 2008). As a

result, a wealth of physiological and genetic data exist that will facilitate detailed investigations

in the molecular mechanisms involved in carotenoid coloration. However, fundamental aspects

of their pigment physiology are relatively unexplored.

In the wild, *Tigriopus californicus* and other species within the genus *Tigriopus* typically

have bright orange-red coloration (Fig. 2 a,c) that is produced via the deposition of the red keto-

carotenoid astaxanthin (Davenport et al., 2004; Goodwin and Srisukh, 1949; Weaver et al.,

2016). As with other carotenoid-pigmented animals, *Tigriopus* copepods must ingest carotenoids

in their diet to use them as colorants. This basic statement is supported by the observation of

Davenport et al. (Davenport et al., 1997) that when *Tigriopus* copepods are reared on a diet

lacking dietary carotenoids, they lose their characteristic red color and appear white. However, to

date, the dietary requirements and the bioconversion of dietary carotenoids to astaxanthin by

Tigriopus californicus has not been the subject of well controlled studies.

In this study, we investigated the molecular source of pigmentation in *T. californicus*. We

first compared the body coloration and identified the carotenoid content of animals fed a live

microalgae diet and carotenoid-free yeast diet. We then used carefully controlled precursor-

product carotenoid-supplement feeding experiments to study the bioconversion of dietary

precursor carotenoids to astaxanthin by *T. californicus*. Finally, we tested whether the red

coloration of the naupliar eye spot (Fig. 2) and the coloration of the egg sacs of gravid females

(Fig. 2c) was due to the presence of astaxanthin.

2. Methods

(a) Copepod culturing

Tigriopus californicus copepods collected from the wild in the vicinity of San Diego, California

have been raised in our laboratory since 2014 in 10L aquaria in filtered artificial seawater (ASW,

32 psu) at 24C on a 12 h light: 12 h dark light cycle and fed live microalgae *Tetraselmis chuii*

and *Synechococcus spp.* This diet contains algal-derived carotenoids α- and β-carotene, lutein,

and zeaxanthin (Brown and Jeffrey, 1992; Guillard et al., 1985), wich are hypothesized to be

substrates for bioconversion to astaxanthin (Fig. 1). We refer to copepods raised under these

conditions as our 'stock population'.

(b) Testing the dietary origin of red coloration in T. californicus

To test the hypothesis that the characteristic orange-red coloration of *T. californicus* depends on

the presence of carotenoids in their diet, in 2015 we switched a sample of approximately 1,000

stock population copepods to a carotenoid-free diet of nutritional yeast (Bragg, Santa Barbara,

CA). The nutritional yeast diet contains inactive dry yeast and a mix of B-complex vitamins but

lacks carotenoids. We cultured these copepods in 15L containers with opaque sides and lids to

deter algal growth under gentle aeration; changing the water and mixing copepods from separate

containers approximately once every three months. We refer to copepods raised under these

conditions as 'yeast-fed copepods'. We sampled 10 adult copepods from the stock population in

triplicate and 10 yeast-fed copepods in triplicate then visually assessed coloration and tested for

the presence of carotenoids in their bodies as described in part *(f)* below.

(c) Qualitative carotenoid analysis of red eyespots

To determine whether the red coloration of the naupliar eyespot was carotenoid-based, we

dissected the portion of the cephalosome containing the eyespot from 10 adult yeast-fed

copepods. All 10 dissected eyespots were pooled in one tube and the corresponding 10 bodies

were pooled in a separate tube then processed and analyzed for carotenoids as described below.

(d) Maternal deposition of astaxanthin to developing embryos

In a separate experiment, we sought to determine whether the red coloration of mature egg sacs

attached to stock population gravid females was carotenoid-based. We carefully removed red egg

sacs from three gravid females using a fine needle under a dissecting scope and processed each

clutch individually for carotenoid analysis as described below.

(e) Bioconversion to astaxanthin from carotenoid supplementation of yeast-fed copepods

To definitively test for the bioconversion of dietary carotenoids to astaxanthin by *T. californicus,*

yeast-fed copepods were supplemented with either β-carotene, lutein, zeaxanthin, and

canthaxanthin. We chose these four precursor carotenoids for our feeding experiments because

they occupy various positions in the putative pathway used by copepods to produce astaxanthin

(Fig. 1). Stock solutions of β-carotene, lutein, zeaxanthin, and canthaxanthin were made from

water-soluble carotenoid beadlettes (DSM, Basel, Switzerland) in ASW and diluted to a working

carotenoid concentration of 2 µg/mL. Each carotenoid-supplement contained only the carotenoid

listed, except the lutein supplement. Of the total carotenoid content, 90.6% was pure lutein, but

zeaxanthin comprised 7.8%. Therefore, the "lutein" supplement contained 1.984 µg/mL lutein,

and 0.016 µg/mL zeaxanthin. For each carotenoid supplement group, 10 adult copepods were

placed in 5 mL carotenoid solution in each well of a six-well plate (n = 6 for each supplement

group) with 0.75 mg nutritional yeast as food for 48h, then processed for carotenoid analysis (see

below).

(f) Carotenoid analysis

After each experiment, copepods were placed in fresh ASW to clear gut contents, then rinsed

with de-ionized water, dried and –for the bioconversion experiment – weighed to the nearest 0.01

mg. The mass from one sample from the β-carotene supplement group was not recorded and one

sample from the zeaxanthin supplement group was destroyed before carotenoid analysis.

Carotenoids were extracted from copepods by sonicating in 500 µL HPLC-grade acetone in a 1.7

mL microcentrifuge tube for 10s at 10W; we then capped tubes with nitrogen gas and incubated

them overnight at 4C in the dark. Samples were centrifuged at 3,000g for 5 min, the supernatant

was removed to a new tube and evaporated to dryness at 40C under vacuum, then resuspended in

50 µL acetone. Carotenoids were separated using a Shimadzu HPLC system from a 40 µL

injection on to a Sonoma C18 column (10 µm, 250 x 4.6 mm, ES Technologies) fitted with a

C18 guard cartridge. We used mobile phases A) 80:20, methanol: 0.5 M ammonium acetate, B)

90:10, acetonitrile:H_2O, and C) ethyl acetate in a tertiary linear gradient as follows: 100% A to

100% B over 4 min, then to 80% C: 20% B over 14 min, back to 100% B over 3 min and

returning to 100% A over 5 min and held for 6 minutes (Wright et al., 1991). Total run time was

32 min at a flow rate of 1 mL min $^{-1}$. Absorbance was measured at 450 nm using a UV/VIS

detector. Carotenoids were identified and quantified by comparison to an authentic standards

(company). Astaxanthin concentration was normalized to copepod dry weight.

(g) Statistical analysis

We tested for a difference in the amount of astaxanthin produced by copepods from each group

using ANOVA and evaluated pairwise comparisons between groups using Tukey HSD post-hoc

test.

Results

Dietary origin of the red coloration of T. californicus

The major carotenoid found in stock population copepods was free astaxanthin (mean $\pm$ se; 49.38

ng copepod $^{-1}$ $\pm$ 2.19). Minor amounts of mono and di-esterified astaxanthin were detected

comprising 3.22% and 8.83% of the total carotenoid content, respectively. We found that when

stock population copepods were switched to a carotenoid-free yeast diet they lost their

characteristic orange-red coloration and appeared clear (Fig. 2b). Biochemical analysis revealed

that a small but measurable amount of astaxanthin was detected in the yeast-fed copepods (mean

$\pm$ se; 0.54 ng copepod $^{-1}$ $\pm$ 0.016).

Astaxanthin analysis of red eyespots

We detected no carotenoids in the red eyespot-enriched fraction of yeast-fed *Tigriopus*

californicus. Analysis of the corresponding body fraction returned a similar concentration of

astaxanthin as from the yeast-fed copepods in the previous experiment (0.3 ng copepod $^{-1}$).

Astaxanthin analysis of red egg sacs

We found that the red egg sacs of stock population gravid females contained astaxanthin (mean $\pm$

se; 10.53 ng egg sac $^{-1}$ $\pm$ 3.31).

Bioconversion of dietary supplemented carotenoids

We found that *T. californicus* copepods from each carotenoid supplement group accumulated

astaxanthin after 48h when none was present in their diet (Fig. 3). The amount of astaxanthin

converted depended on the specific carotenoid supplemented (mean astaxanthin in µg/mg dry

mass of copepod ± se); zeaxanthin (0.99 ± 0.11) > canthaxanthin (0.90 ± 0.12) > β-carotene

(0.38 ± 0.06) > lutein (0.21 ± 0.02). However, the amount of astaxanthin produced was not

significantly different between zeaxanthin and canthaxanthin groups (difference, 95% CI: -0.09

µg/mg, -0.41 to 0.23, *p*=0.91) or between β-carotene and lutein groups (difference, 95% CI: -

0.17 µg/mg, -0.5 to 0.14, *p*=0.49). Post-hoc pairwise comparisons revealed that copepods

supplemented with zeaxanthin and canthaxanthin produced significantly more astaxanthin than

copepods fed either β-carotene or lutein (*p < 0.001*). In each supplement group, only free

astaxanthin and the supplemented carotenoid were detected. It is possible that exiguous amounts

of intermediate carotenoids were present in samples, but were not detected on our system.

Discussion

In this study, we conducted carefully controlled precursor/product tests to document the

bioconversion of dietary carotenoids to the red ketocarotenoid astaxanthin in the marine copepod

T. californicus. When they were maintained on a yeast diet that provided no carotenoids,

copepods became clear with no hint of red or yellow. Biochemical analysis confirmed that these

animals essentially lacked carotenoids in their tissues. When we supplemented yeast-fed

carotenoid-free copepods with β-carotene, lutein, zeaxanthin, or canthaxanthin for 48h, we

observed significant production of astaxanthin (Fig. 3). These experiments confirmed that *T.*

californicus requires carotenoid-rich foods to obtain their characteristic orange-red color and that

they bioconvert precursor carotenoids from their diet to astaxanthin.

The amount of astaxanthin produced depended on which carotenoid was the precursor for

bioconversion (Fig 3). Copepods fed zeaxanthin and canthaxanthin produced more astaxanthin in

48h than copepods fed β-carotene or lutein. This pattern suggests that the number of oxidation

reactions required to convert the supplemented precursor carotenoid to astaxanthin may mediate

the rate of astaxanthin production in this species. Canthaxanthin and zeaxanthin require two

hydroxylation or two ketolation reactions respectively to form astaxanthin; whereas, β-carotene

requires 4-7 reactions, and lutein requires 3 reactions and a conversion from α-doradexanthin to

β-doradexanthin (Fig 1). Interestingly, a similar effect of supplementation with zeaxanthin versus

lutein was observed in a study of American Goldfinches, which transform dietary carotenoid to

Canary Xanthophyll A and B, and Northern Cardinals which transform dietary pigments to

astaxanthin. Both goldfinches and cardinals produced more oxidized pigments and more colorful

integumentary structures when they were fed zeaxanthin compared to when they were fed lutein

(McGraw et al., 2014).

In the wild, copepods that feed on micro and macro algae ingest relatively large

quantities of β-carotene, zeaxanthin, and lutein (Brown and Jeffrey, 1992; Buffan-dubau et al.,

1996; Sigaud-Kutner et al., 2005; Takaichi, 2011; Wang et al., 2015). The bioconversion of

dietary carotenoids to astaxanthin has been documented in other copepod species (Caramujo et

al., 2012; Rhodes, 2007), crustaceans (Hsu et al., 1970; Tanaka et al., 1976) and fish (Hsu et al.,

1972) and these authors have concluded that the pathway begins with β-carotene. However, in

addition to being used as a pigment, β-carotene is also the main precursor for vitamin A

synthesis in animals (Parker, 1996), which may cause an allocation tradeoff between vitamin A

production and coloration (Hill and Johnson, 2012). Alternatively, our results suggest that *T.*

californicus may utilize multiple carotenoids as substrates for bioconversion to astaxanthin

depending on which carotenoids are available in their diet, and/or the body's need for vitamin A.

Zeaxanthin has no vitamin A capacity and we found that copepods fed this precursor produced

significantly more astaxanthin than β-carotene supplemented copepods. While we did not detect

any intermediates along the proposed bioconversion pathways, our results demonstrate that *T.*

californicus use zeaxanthin as a substrate for astaxanthin production. It is possible that

zeaxanthin is the start of a more efficient bioconversion pathway for astaxanthin production by

T. californicus. Future experiments that analyze larger amounts of copepods within shorter

sampling intervals may identify intermediate carotenoids and help resolve which astaxanthin

bioconversion pathway(s) is used by *T. californicus*.

It is unclear from this study whether *T. californicus* uses lutein as a substrate for

astaxanthin production because the lutein supplement also contained trace amounts of

zeaxanthin. Lutein is common in marine phytoplankton as well, and some marine animals are

thought to preferentially use lutein as the substrate for bioconversion to astaxanthin and other

ketocarotenoids. Hsu (Hsu et al., 1972) and Katayama (Katayama et al., 1973) have shown that

goldfish (*Carassius auratus*) potentially use lutein as precursor to astaxanthin. However, this

bioconversion pathway requires the isomerization of α-doradexanthin to β-doradexanthin, a

transformation that others have shown to be unlikely in fungus, plants, and other marine animals

(Matsuno et al., 1999; Ohkubo et al., 1999).

The red coloration of the eyespot is not dependent on diet; *Tigriopus* copepods fed either

algae or yeast both have red eye coloration (Fig 2). We found that the bright red eyespot

coloration of *T. californicus* is not from astaxanthin, or any other carotenoid that we are able to

detect, and that the trace astaxanthin content of yeast-fed copepods is not located in the eye.

These results clarify that the red eye coloration is not from astaxanthin, but may be from the

visual pigment rhodopsin that may utilize 3-hydroxyretinal as the chromophore (Vogt, 1983,

1984; Cronin, 1986).

We found that females deposit astaxanthin to developing embryos, supporting previous

reports of this ketocarotenoid occurring the egg sacs of other *Tigriopus* species (Goodwin and

Srisukh, 1949). It has been proposed that deposition of astaxanthin to developing eggs provides

embryos photoprotection from solar UV radiation (Dethier, 1980). Won et al. (2014) have shown

that experimental UV exposure reduced hatching success of *Paracyclopina nana* nauplii,

although the specific roles that carotenoids may play in survival following UV exposure remain

unclear.

Investigations into the genetic architecture and physiological mechanisms involved in

carotenoid metabolism in animals has only recently begun. The gene responsible for ketolation

of yellow dietary carotenoids in birds - dubbed the redness gene - was independently discovered

by Lopes et al (2016) and Mundy et al (2016). This gene encodes a cytochrome P450

oxidoreductase enzyme, CYP2J, that has sequence motifs that implicate subcellular localization

to mitochondria. The redness gene in birds is highly likely to be conserved across all animal taxa

that produce red carotenoids from yellow carotenoids (Lopes et al., 2016). Identification of the

physiological mechanisms and cellular locations involved in hydroxylation and ketolation of

carotenoids in copepods awaits future research.

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Figure 1. Proposed bioconversion pathways for astaxanthin production in animals modified from

Rhodes (2007). Pathway I is used by some fishes, including goldfish, that utilizes lutein as a

substrate. ?? represents the putative transformation of α to β-doradexanthin. Pathway II utilizes

β-carotene or zeaxanthin as a substrate to astaxanthin. Pathway III begins with β-carotene and

includes canthaxanthin as an intermediate to astaxanthin. The class of enzyme responsible for

each transformation is italicized.

Figure 2. Typical coloration of *Tigriopus californicus* fed microalgae (A,C) and nutritional yeast

(B). The red naupliar eye spot is present in copepods fed both carotenoid-rich and carotenoid-

deficient diets suggesting that production of that eye pigment is not diet-dependent. (C) Females

carry egg sacs that transition from dark gray to red as embryos develop.

354   **Figure 3.** Astaxanthin content of *T. californicus* copepods after supplementation with a precursor

355   carotenoid upstream of astaxanthin for 48h. Least-squared means and standard error bars are

356   shown and significantly different means are indicated by separate letters.