# scPipe: a flexible data preprocessing pipeline for single-cell RNA-sequencing data

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#### Abstract

Single-cell RNA sequencing (scRNA-seq) technology allows researchers to profile the transcriptomes of thousands of cells simultaneously. Protocols that incorporate both designed and random barcodes to label individual cells and molecules have greatly increased the throughput of scRNA-seq, but give rise to a more complex data structure. There is a need for new tools that can handle the various barcodes used by different protocols and exploit this information for quality assessment at the sample-level and provide effective visualization of these results in preparation for higher-level analyses.

We developed scPipe, an R package that allows barcode demultiplexing, read alignment and gene-level quantification and quality control of raw sequencing data generated by multiple 3 prime end sequencing protocols that include CEL-seq, MARS-seq and Drop-seq. scPipe produces a count matrix that is essential for downstream analysis along with an HTML report that summarises data quality. These results can be used as input for downstream analyses including normalization, visualization and statistical testing. scPipe performs this processing in a few simple R commands, promoting reproducible analysis of single-cell data that is compatible with the emerging suite of scRNA-seq analysis tools available in R/Bioconductor. The *scPipe* R package is available from https://github.com/LuyiTian/scPipe.

### Introduction

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Single-cell transcriptome profiling offers researchers a powerful method for studying gene regulation that allows transcriptional heterogeneity to be explored in a manner that is not possible using bulk RNA sequencing. The introduction of cellular barcodes, which are sequences attached to the dT-primer that are distinct for each cell, has increased the throughput and substantially reduced 14

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the cost of single-cell RNA sequencing (scRNA-seq). These barcodes allow for the demultiplexing of 15 reads after samples are pooled together for sequencing. Apart from cellular barcodes, molecular 16 barcodes or unique molecular identifiers (UMIs), are frequently employed to remove PCR duplicates 17 and allow identification of unique mRNA molecules, thereby reducing technical noise. The multiple 18 levels of barcoding used in scRNA-seq experiments create additional challenges in data processing 19 together with new opportunities for quality control (QC). Different protocols use different barcode 20 configurations, which means a flexible approach to data preprocessing is required. Existing methods 21 such as UMI-tools [4], umitools (http://brwnj.github.io/umitools/) and umis [5] have been developed 22 for handling random UMIs and packages such as scatter [3] and scran [2] can preprocess the resulting 23 counts by performing general QC and normalization of scRNA-seq data. scPipe was developed 24 to address the lack of a comprehensive workflow for processing sequencing data from 3 prime (3')25 end protocols that can deal with both UMIs and sample barcodes, map reads to the genome and 26 summarise these results into gene-level counts. Additionally this pipeline collates useful metrics for 27 QC during preprocessing. 28

#### Approach

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scPipe is an R package that takes as its input fastq data generated from all popular 3' end scRNA-seq protocols and their variants, such as CEL-seq, MARS-seq and Drop-seq. Although not specifically designed for non-UMI protocols, it can also process data generated by Smart-seq and Smart-seq2. The pipeline outputs both a gene count matrix and a variety of QC statistics that are presented in a standalone HTML report that includes QC plots and other data summaries. The *scPipe* package is written in R and C++ and uses the *Rhtslib* software (https://bioconductor.org/packages/Rhtslib) for BAM I/O.

The *scPipe* workflow (Figure 1A) begins with paired-end fastq data which is passed to the 37 function sc\_trim\_barcode, which reformats the reads by moving the barcode and UMI information 38 to the read header. There are options to perform some basic filtering in this step, including removing 39 reads with low quality sequence in the barcode and UMI regions and filtering out low complexity 40 reads, most of which are non-informative repetitive sequences such as polyA. These sequences can 41 then be aligned using any popular read aligner that produces BAM formatted output. scPipe uses the 42 R-based Rsubread [1] aligner by default. Aligned reads in the BAM file are assigned to the exons they 43 overlap by the sc\_exon\_mapping function. This function records the mapping result, together with 44 UMI and cell barcodes in the optional fields of the BAM file. Next, the sc\_demultiplex function is 45 used to demultiplex results per cell using the sample barcodes. For CEL-seq and MARS-seq, the 46 demultiplexing is based on designed barcode sequences and allows for mismatches. For Drop-seq 47 where the cell barcode sequences are random, the function sc\_detect\_bc can be applied to identify 48 enriched barcodes in the data that can then be supplied to sc\_demultiplex. The demultiplexed 49 data are output in a csv file for each cell in which each row corresponds to a read that maps to a 50 specific gene, and columns that include gene id, UMI sequence and mapping position. The overall 51 barcode demultiplexing results are also recorded (Figure 1B). This information is used by the 52 sc\_gene\_counting function to remove PCR duplicates (also known as UMI deduplication) and 53 generate a gene count matrix. scPipe employs a simple yet intuitive method to correct for UMI 54 sequencing errors by collapsing UMI sequences assigned to the same gene that have a low edit 55 distance (1 by default). After UMI deduplication we get a gene count matrix that can be used for 56 further analysis. QC information is collected at each step and includes the total number of mapped 57 reads per cell, UMI deduplication statistics, per cell barcode demultiplexing statistics and UMI 58 correction statistics and ERCC spike-in control statistics (where present).

All data are stored in an object of class SCData, which is inspired by the SCESet class in *scater* 60 which can store gene expression and related meta data together in the one object. The SCData 61 class includes additional slots for flow cytometry index data collected during cell sorting (often 62 available in CEL-Seq experiments) and its dimension reduced form, QC information obtained during 63 preprocessing, the type of gene id and the organism name, which can be useful in downstream 64 functional enrichment analysis. An SCData object can be created by the create\_scd\_by\_dir function 65 using the data folder generated during preprocessing, or manually by specifying all the required 66 slots. Next, alignment statistics for each cell can also be plotted using the plotMapping function 67 (Figure 1C) and one can plot a pairwise scatter plot of QC metrics that includes the total molecules 68 per cell and the number of genes detected using the plotQC\_pair function (Figure 1D). 69

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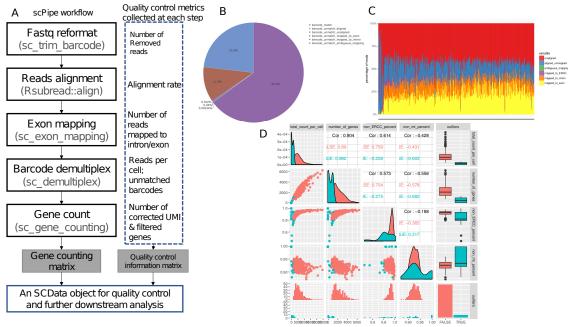


Figure 1. The workflow of *scPipe* and examples of the quality control plots it generates. (A) The preprocessing workflow of *scPipe*. Potential quality control statistics that are collected at each step are also listed. (B) pie chart illustrating the overall cell barcode matching results. (C) stacked bar plot showing the mapping rate, separated into reads that map to exon, intron and ERCCs, (D) pairwise scatter plot for quality control metrics of normal and outlier cells.

scPipe implements a simple outlier-based method for discovering low quality cells and possible 70 doublets to remove from further analysis. The method uses 5 metrics (log-transformed number 71 of molecules detected, the number of genes detected, ERCC recovery and the percentage of reads 72 mapping to ribosomal, or mitochondrial genes) as input. A robustified Mahalanobis Distance is 73 calculated for each cell with outliers automatically detected based on this distance using the *mclust* 74 package (https://cran.r-project.org/package=mclust). Outlier detection can then be reconciled with 75 visual inspection of the QC metrics through the afore-mentioned QC plotting options to fine tune 76 the sample-specific filtering thresholds chosen. Normalization is then possible using packages such 77 bioRxiv preprint doi: https://doi.org/10.1101/175927; this version posted August 14, 2017. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY 4.0 International license.

as *scran* and *scater*. An HTML report that includes all run parameters used, statistics for QC and various types of dimension reduction plots of both the gene expression data and QC metrics can be generated using the **create\_report** function. All steps in an *scPipe* analysis can be run sequentially via the **run\_scPipe** command that starts with the fastq files and related annotation and ends with an **SCData** object and an HTML report.

A vignette accompanying the package provides further details on implementation and example use cases. The example dataset included in the vignette, which contains 384 cells sequenced with 100 million reads, takes 1 hour and 40 minutes to process using scPipe on a standard Linux server.

## Discussion

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With the growing popularity of scRNA-seq technology, many tools have been developed for nor-87 malization, dimensionality reduction and clustering. There are few packages designed to handle the raw data obtained from many 3' end sequencing protocols with their associated UMIs and 89 cell-specific barcodes from beginning to end. The *scPipe* package bridges this gap between the 90 raw fast files with mixed barcode types and transcript sequences and the gene count matrix that 91 is the entry point for all downstream analysis. *scPipe* outputs numerous QC metrics obtained at 92 each preprocessing step and displays these results in an HTML report to assist end users in QC 93 evaluations. Monitoring QC metrics over time can be particularly useful for labs that routinely 94 process single-cell data to allow them to assess the impact changes in lab processes and protocols 95 have on data quality. Future improvements to *scPipe* include the parallelization of certain aspects 96 of the preprocessing and transitioning data storage to classes defined in the Single CellExperiment 97 package (https://www.bioconductor.org/packages/SingleCellExperiment/). 98

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